Impacts of science on society and policy in major river basins globally

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Abstract. Radical transformations of knowledge development are required to address the sustainability issues in the Anthropocene. This study developed a framework to understand the internal structures of knowledge development with two dimensions: Degree of Multidisciplinarity and Degree of Issue-connectivity. Examining the knowledge development in 72 river basins globally from 1962 to 2017 using the Web of Science dataset, it was found that the river basin knowledge systems

- 10 were characterized by increasingly interconnected issues addressed by limited disciplines. Evaluating these structural characteristics against 6 impact indicators on society and policy, over 90% of rivers were found to have knowledge structures that strongly linked to society impacts whereas only 57% were to that of policy. Optimization analysis further found that about 35% of the rivers studied mostly in Asia, Africa, and South America were prone to fragmented knowledge structures that had limited capacities to effectively address the issues with negative environmental impacts and resource depletion. Improving
- 15 multidisciplinary research is the key to transform the current knowledge structure to support more sustainable river basin development.

1 Introduction

Science is often called upon to provide solutions to societal problems and acts as a common ingredient of policy making. However, the exponential development of science and technology with its irreversible environmental and social side effects is

20 pushing the Earth's safe operating space close to its planetary boundaries (Steffen et al., 2015; Brey, 2018). Therefore, radical transformations of knowledge (science and technology) development are required to meet the rapidly changing societal needs in the Anthropocene (Norström et al., 2020; Hakkarainen et al., 2022).

Advancing knowledge management and assessment is a key to radical transformations of knowledge development. Current studies on knowledge management and assessment mainly rely on intellectual-related indicators (e.g., R&D inputs, number

- 25 of scientific papers and patents) with several evaluation tools (e.g. bibliometric studies, case study analysis, and patent benchmarking) (Penfield et al., 2013). They tend to focus on the quality of scientific outputs, i.e., the "credible, legitimate, and relevant" criteria of "good science" (Cash et al., 2003; Posner and Cvitanovic, 2019). While these studies have provided fruitful insights into how science has produced impacts, on one hand, due to a lack of generalized findings, they have limited applicability beyond their case study areas; and on the other hand, due to the large negligence of the structural dynamics of
- 30 the knowledge system, they have failed to answer how different disciplinary knowledge interact to address increasingly

complex issues that may significantly impact the society and policy-making (Weichselgartner and Kasperson, 2010; Hakkarainen et al., 2020). Without understanding and addressing the possible structural failure of knowledge development, we would not be in a position to direct knowledge transformations (Wu et al., 2021; Wei et al., 2022; Newig and Rose, 2020). This study developed a framework to understand the internal structure of knowledge development and evaluated the impacts

35 of these structural dynamics on society and policy with this framework, thus contributing to structurally reconfiguring the knowledge systems for addressing complex sustainability issues. The framework was empirically applied in the knowledge development of 72 river basins across the world from 1962 to 2017 using publications from the Web of Science dataset. The knowledge development in river basins was chosen as an example because water is a key input for almost all economic activities with broad impacts on both society and policy (Rodríguez et al., 2021) and river basins are logical spatial units to

40 understand the water cycle within the Earth System (Warner et al., 2008).

2 Methods

2.1 A network-based framework to measure the structure of a knowledge system

Built on the Science of Science (SoS) theory (Zeng et al., 2017), a knowledge system is understood as a dynamic system, consisting of knowledge from different disciplines and issues studied, with complex and co-evolving relationships between 45 them, as Latour (1987) described "*knitting, weaving and knotting together into an overarching scientific fabric*" (Latour, 1987; Shi et al., 2015). We adopt a network-based framework to evaluate such interactions (Wei et al., 2022; Wu et al., 2021; Sayles and Baggio, 2017; Coccia, 2020).We characterize the knowledge system as a discipline-issue network, where connections are established between issues and the disciplines used to address the issues (Noyons, 2001; Callon et al., 1983). To further examine the impacts of knowledge development, the discipline-issue networks are projected into issue networks, where issues 50 are connected if they are studied by the same discipline. We use two dimensions to capture the topological structure of a knowledge system (Wasserman and Faust, 1994; Borgatti, 2005; Zeng et al., 2017). First is the Degree of Multidisciplinarity (DM), which indicates the proportion of disciplines engaged in different issues and is measured as the density of the disciplineissue network (the ratio between actual number of connections and the maximum possible number of connections in the network) (Eq. 1). For any discipline-issue network i:

55
$$
DM_i = \frac{2C_d}{n(n-1)}
$$
 (Eq.1)

where DM_i is the Degree of Multidisciplinarity value of a discipline-issue network i, C_d is the total number of existing connections between any issue and discipline d in the network, and n is the total number of d in the network. This dimension recognizes the importance of disciplinary diversity in sustainability issues (Norström et al., 2020; Cockburn, 2022; Stirling, 2007). The higher the DM, the more disciplines are involved and the more multidisciplinary the knowledge system is.

60 Second is the Degree of Issue-connectivity (DI). It indicates how many different issues are studied in an interconnected manner and is measured as the degree centrality (Wasserman and Faust, 1994; Borgatti, 2005) of the issue network (Eq.2). For any issue network i:

$$
DI_i = \frac{\sum_{n} C_m}{n}
$$

 $rac{cm}{n}$ (Eq.2)

- where DI_i is the Degree of Issue-connectivity of an issue network i, C_m is the number of adjacent connections to any specific 65 issue m, and n is the total number of m in the network. This dimension recognizes the increasing complexity in sustainability issues and the importance of understanding these issues in an interactive manner (Burmaoglu et al., 2019; Okamura and Nishijo, 2020). The greater the DI, the more interconnected the issues are and the more centralised the knowledge system is. To compare the relative differences of DM and DI among rivers, the z-scores for DM and DI (x'_k) in any river k are calculated by subtracting the means ($\overline{x_k}$) then divided by the standard deviations (σ_k) of all rivers. Four types of knowledge structures 70 are defined (Fig. 1): A) Integrated knowledge structures ($DM'_k > 0$, $DI'_k > 0$) with diverse disciplines engaged in interconnected issues; B) Issue-driven knowledge structures $(DM'_k < 0, DI'_k > 0)$ with limited disciplines engaged in
- interconnected issues; C) Fragmented knowledge structures (DM $'_{k}$ < 0, DI $'_{k}$ < 0) with limited disciplines engaged in isolated issues; and D) Discipline-driven knowledge structures (DM $'_{k}$ $>$ 0, DI $'_{k}$ $<$ 0) with diverse disciplines engaged in isolated issues. An integrated knowledge structure is considered ideal in studying highly interconnected issues with diverse disciplines: while
- 75 a fragmented structure is at the other end of the spectrum that both issues and disciplines are in silos. An issue-driven knowledge structure tends to provide disciplinary-specific solutions for interconnected issues, which are often cost-effective in the short term but may lead to unintended or unexpected outcomes in the long term due to the narrow perspective of the limited number of disciplines. A discipline-driven knowledge structure tends to provide trans-disciplinary solutions for key issues of focus, which are often not cost-effective in the short term as it often takes a long time and requires large investments
- 80 to find a solution, but more sustainable in the long term. In time, knowledge development may demonstrate different structural pathways, for example moving from the under-developed fragmented structure to a discipline-driven structure, and/or from an issue-driven structure towards an integrated one.

We apply our framework to evaluate the impacts of knowledge development. The commonly recognised triple-bottom-lines framework is adopted to define the impacts of the knowledge system on society (Reyers and Selig, 2020), which include the

85 social (SO), economic (EC) and environmental (EN) dimensions. We then uniquely define the impacts of the knowledge system on policy according to the whole-of-system characteristics in natural resources management, covering resource availability (RA), resource utilization (RU), and governance capacity (GC) (Wei et al., 2018; Ostrom, 2009). Resource availability refers to the supply capacity of natural resources, resource utilization reflects the extent to which a resource is used, and governance capacity indicates the government's regulation of the supply and demand of a resource (Fig. 1).

90

Figure 1: A framework to understand the knowledge system and its impacts on society and policy in natural resources management

2.2 Data collection and processing

The river basin knowledge system

The river basin knowledge system was represented by peer-reviewed articles indexed in the Web of Science (WoS) dataset. 95 Archiving over 21,000 high quality scholarly journals, the WoS is one of the largest databases that document knowledge development since 1900. It provides up-to-date, consistent classifications of knowledge under the Master Journal List [\(https://mjl.clarivate.com/home\)](https://mjl.clarivate.com/home), which classifies articles according to their source journals into 254 disciplines under five research areas: Arts & Humanities, Life Sciences & Biomedicine, Physical Sciences, Social Sciences, and Technology (Clarivate analytics, 2018).

100 Articles with "drainage basin" OR "river basin" OR "valley" OR "hydrographic basin" OR "watershed" OR "catchment" OR "wetland" in their Titles, Abstracts and Keywords sections were collected from 1900 to 2017. Four types of information were extracted from each article: disciplines, year of publication, keywords, and river basin studied. The discipline and year of publication for each article were automatically assigned based on their source journals. For journals with multiple disciplines, only the first, most dominant discipline was assigned. A total of 215 disciplines were identified (see Table A1

105 for a full list).

The keywords were extracted, filtered, and tokenized from the Titles, Abstracts and Keywords sections of the articles using the Natural Language Processing (NLP) module in the Derwent Data Analyzer [\(https://clarivate.com/derwent/zh](https://clarivate.com/derwent/zh-hans/solutions/derwent-data-analyzer-automated-ip-intelligence/)[hans/solutions/derwent-data-analyzer-automated-ip-intelligence/\)](https://clarivate.com/derwent/zh-hans/solutions/derwent-data-analyzer-automated-ip-intelligence/). Those keywords related to the methodologies of the articles were removed and the remaining were regrouped manually into the 94 issues that broadly represent major topics of

110 river basins research and management (e.g., agriculture, pollution, climate change, see Table A2 for a full list, also refer to Wei and Wu (2022) for more details on grouping of the keywords). Each article was also assigned a river basin to which it was used as a case study. All articles without a clear indication of case river basins and duplicated articles were removed. Initially, the top 100 most published river basins were selected. Removing

those with ambiguous river basin names and those river basins with unenclosed coastal shorelines that lack country-level data,

- 115 a total of 72 river basins covering major river basins in the world were finally selected. The river basins were selected based on the volumes of scientific publications to ensure that major river basins with high socio-economic and environmental significance were covered. At least one river basin in each of the continent were included for the spatial representativeness of the study. 165,044 discipline-issue connections with the number of articles counted as the weights of connections were also identified. These connections were used to construct the discipline-issue network and the issue network for each of the 72 river
- 120 basins for analysis.

Indicators to represent society and policy

We chose the indicators for society and policy based on the following principles: 1) expressed quantitatively; 2) reflecting system processes rather than end-states; 3) data availability; and 4) specific focus on impacts related to water resources. For the society, the economic impact was defined by water productivity, which was the economic value generated by water

- 125 resource use. The societal impact was represented by populations to show the total size of human demand for water resources, and the environmental impact was a negative indicator of water stress. Greater water stress indicated greater negative impacts on the environment. For the policy, resource availability was represented by the percentage of cultivated land. While precipitation and runoff are commonly recognized as key indicators for water resource availability, we selected cultivated land as its change was more influenced by water resource management. It was a negative indicator, meaning that increasing
- 130 cultivated land increased water resource use, thus reducing the availability of water resources. Resource utilization was represented by total freshwater withdrawals to indicate the size of water use, and governance capacity was represented by a normalised Government Effectiveness Index that gauged the abilities of policy implementation.

Data on the indicators for both the society and policy were collected from the AQUASTAT database by the Food and Agriculture Organization (FAO), the World Bank, the Socioeconomic Data and Applications Centre (SEDAC) by NASA. In

135 particular, population and water withdrawal data have been improved by Yan et al. (2022) by combining FAO, SEDAC databases and local government archives with extended temporal and spatial scales, which was adopted in this study. The chosen indicators with brief descriptions and corresponding temporal and spatial scales are summarised in Table 1:

Table 1: Summary of indicators on society and policy

To aggregate the different spatial scales of data into a unified river basin scale, the boundaries of the 72 river basins were

140 defined. 26 river basin boundaries were identified as transboundary and collected from the Transboundary Waters Assessment Programme (TWAP). The basin boundaries of the remaining 46 river basins located entirely within single countries were collected from corresponding national records (e.g. the U.S. Geological Survey, the Murray-Darling Basin Authority). For each transboundary river basin, a basin area ratio was calculated as the weighted proportion of river basin area to population for each country within the boundary of the river basin. The country-level indicators were then multiplied by the basin area

- 145 ratio, and then aggregated by the average values for all spanning countries in the basins. For river basins located entirely within single countries, the country-level indicators were assumed to be the same within the basin boundaries. All gridded level indicators were clipped based on the basin boundaries and averaged across the basin area using ArcGIS Pro 3.0. Finally, missing values at country levels in time were imputed by linearly interpolating the missing values based on the regression relationship between the existing values in the time series. For the Government Effectiveness Index which was not available
- 150 before 1996, values were assumed to be the same as the first available year. A study period from 1962 to 2017 at five-year intervals was used. This study period was chosen to reflect the history of water resources development closely tied to rapid socio-economic development, environmental deterioration, and a governance system transitioning from technocratic, top-down control to collaborative, integrated management (Molle, 2009). Also, there was limited data availability on society and policy at a global scale before 1962.

155 **2.3 Analysis approaches**

Time trend analysis for indicators of the knowledge system and its impacts

The Mann-Kendall test was used to test if there exist statistically significant, monotonic increasing/decreasing trends in the time series for the knowledge system and its impacts (Mann, 1945; Kendall, 1975). Significant trends were identified with a two-sided t-test with p value ≤ 0.05 .

160 The Sen's slopes (Sen, 1968) were then used to measure the magnitudes of the trends as Eq.3:

$$
d_{\text{Sen}} = \tilde{d} \left(\frac{x_j - x_i}{j - i} \right) \text{ for } 1 \le i < j \le n \tag{Eq.3}
$$

where \tilde{d} is the median value separating the higher 50% from the lower 50% of the indicator value x in the time series, *i* and *j* are adjacent time points, and n is the total number of time points. As a non-parametric measure, the Sen's slope is insensitive to outliers and autocorrelations in the time series and does not require data that satisfy the normality assumption, thus providing

165 a robust measure of the time trends for indicators with varying scales and limited data amounts (Wang et al., 2020; Fernandes and G. Leblanc, 2005)

Measuring the knowledge system impacts

To compare the impacts on society and policy with different scales, z-scores were calculated to normalise the values of the knowledge indicators (i.e., DM and DI), and the society and policy indicators over their time series (Eq.4).

170 For any river basin k, and any knowledge, societal, and policy indicator x:

$$
\mathbf{x}'_k = \frac{\mathbf{x}_k - \overline{\mathbf{x}}_k}{\sigma_k} \tag{Eq.4}
$$

where x'_k is the z-score of any knowledge, societal and policy indicator of x_k , $\overline{x_k}$ is the mean value, and σ_k is the standard deviation.

Generalized linear regression models were used to quantify the relationships between the normalised society and policy

175 indicators as dependent variables and the knowledge system indicators as independent variables using Eq.5-6:

where α and β are the normalised coefficients representing the partial influences to which DM and DI have for river basin k relate to a particular society indicator x or a particular policy indicator y, and ε is the random error terms capturing the biased

- 180 values. Models that failed to pass the two-sided t test with p value > 0.05 and/or with adjusted $R² < 0.3$ were rejected (Royston, 2007; Ratner, 2009). This threshold value was selected to ensure at least weak regression relationships were identified for the knowledge structural indicators with the society and policy indicators and has been commonly adopted in studies on correlations between knowledge and environmental practices (Afroz and Ilham, 2020; Alias, 2019; Hernanda et al., 2023). We recognized that the society and policy indicators can be influenced by a wide range of factors. Therefore, these statistical
- 185 models were not developed for causal inferences. Rather, we focused on the comparative knowledge impacts associated with

Determining the patterns of knowledge impact

different river basin biophysical and socio-political contexts.

To identify the different interacting patterns between knowledge and society, and between knowledge and policy, the river basins were grouped based on their regression coefficients (α and β) for the society and policy indicators respectively. Firstly,

- 190 river basins with more than two statistically non-significant linear models regarding the three society indicators, and those regarding the three policy indicators were grouped separately. These river basins were identified to have knowledge systems with unclear impact patterns. Secondly, the remaining river basins were grouped using agglomerative hierarchical clustering (AHC) based on the Euclidean distances and Ward's agglomerative criterion, which was chosen as it was less prone to the randomness of clustering initiation and provided stable groupings of rivers (Murtagh and Legendre, 2014). Rivers were first
- 195 clustered based on the six coefficients in the linear models with the society indicators (i.e., α and β for social, economic, and environmental impacts), then clustered separately based on the six coefficients in models with the policy indicators (i.e., α and β for resource availability, utilization, and governance). The number of clusters was chosen as 2 for the society and policy clustering respectively, which was determined by maximizing the sum of square errors between different groups and minimizing the errors within groups.

200 *Optimizing the knowledge system for its impacts*

We represented the four types of knowledge-impact relationships by calculating the average of coefficients (α_{avg} , β_{avg} , ϵ_{avg}) for the linear models of the corresponding rivers in each knowledge-impact pattern group. These relationships were then used as the objective functions for multi-objective optimizations using a NSGA-II genetic algorithm (Deb et al., 2002; Coello coello et al., 2020) to identify the optimal DM and DI values (DM'_{opt}, DI'_{opt}) that simultaneously achieve the objectives specified in 205 Table 2.

The NSGA-II algorithm was selected because it searches for the global Pareto optimality for the multiple counteractive objectives in this study (Edgeworth, 1881; Deb and Gupta, 2005). It provides a set of effective solutions that are at least as good as other possible solutions for each objective and strictly better for at least one objective (Halffmann et al., 2022). Combining random numbers and information from previous search interactions over the whole of potential solution points,

- 210 this algorithm has been effectively used to solve multi-objective problems, particularly in engineering and decision-making optimization (Marler and Arora, 2004). 100 pairs of potential DM and DI values were randomly generated initially and modelled over 1000 iterations to search for the optimum values. Finally, we evaluated the trade-offs and synergies of different objectives achieved by different optimized DM and DI values to recommend tailored management strategies for future knowledge system development.
- 215 **Table 2: Optimization objectives for knowledge-impact relationships**

The above analysis were conducted using R version 4.2.3 with the following packages: "igraph" [\(https://igraph.org/r/\)](https://igraph.org/r/), "imputeTS" [\(https://cran.r-project.org/web/packages/imputeTS/index.html\)](https://cran.r-project.org/web/packages/imputeTS/index.html), "Stats" [\(https://www.rdocumentation.org/packages/robustbase/versions/0.95-0\)](https://www.rdocumentation.org/packages/robustbase/versions/0.95-0), "factoextra" [\(https://cran.r](https://cran.r-project.org/web/packages/factoextra/index.html)[project.org/web/packages/factoextra/index.html\)](https://cran.r-project.org/web/packages/factoextra/index.html), and "nsga2R" [\(https://cran.r-project.org/web/packages/nsga2R/index.html\)](https://cran.r-project.org/web/packages/nsga2R/index.html).

220 **3 Results**

3.1 Knowledge systems characterized by increasingly interconnected issues addressed by limited disciplines

The knowledge systems of the 72 river basins were characterized by a limited increase in scientific disciplines engaged (low and stabilizing DM), but increasing interconnections among issues studied (increasing DI). 47% of the river basins had positive temporal trends for DM but only 8 were statistically significant ($p < 0.05$), most of which are located in Asia (e.g., the Nakdong 225 River, and the Yangtze River). About 40% had negative Sen's slopes, of which only 9 were statistically significant, spreading across North America, Europe, and Oceania. Moreover, both the average significant positive and negative Sen's slopes only

varied between 0.02% and 0.05% per 5 years, with obvious stabilization of the absolute DM values between 0 and 0.25 (i.e.,

no greater than 25% of the different disciplines and issues were connected) for all river basins in 2017. Multidisciplinary research for global river basin studies was highly constrained within the biophysical disciplines, with over 70% of interactions

230 among the Environmental Sciences, Water Resources, Ecology, Multidisciplinary Geosciences, and Marine & Freshwater Biology. Only about 10% of interactions were contributed by social sciences such as Human Geography, Economics, and Management (Fig. 2a, Table A1).

On the other hand, all river basins demonstrated statistically significant increasing trends for DI ($p \le 0.05$). The top 5 river basins with the greatest positive trends were the Great Lakes, the Mississippi River, the Yangtze River, the Nile River, and the

- 235 Chesapeake Bay, with an average Sen's slope of 6% increase per 5 years; which was about 12 times greater than the bottom 5 river basins. The Murray-Darling River had an increasing trend of 3.8% per 5 years as the only river basin studied in Oceania, followed by the European river basins with an average increasing trend of 2.7%. 50% of the river basins had absolute DI values between 20 and 40 (i.e. the average number of issue interconnections in the knowledge system) and the highest DI value reaching nearly 80 (i.e., the Great Lakes) in 2017. About 40% of issues connections were between ecological degradation and
- 240 restoration, and pollution and treatments, followed by similar connections among management and control, agriculture and irrigation, flood and drought management, climate change and population, each at about 4% - 5% (Fig. 2b, Table A2). Classifying the knowledge structures of river basins based on their normalised DM and DI values indicates that 35% of the river basins had fragmented knowledge structures with low DM and low DI, mostly in Asia. 25% river basins had integrated knowledge systems with relatively high DM and DI values, including the Murray-Darling River, the Colorado River, the
- 245 Amazon River, the Nile River, and most of the European rivers. Most of the discipline-driven rivers are located in North America, whereas there are major Asian and North American river basins (e.g., the Yellow River, the Yangtze River, the Mekong River, the Mississippi River, the Columbia River) with issue-driven knowledge systems (Fig. 2c).

Figure 2: (a) The temporal trends (Sen's slope) and the absolute values (in inset) of the Degree of Multidisciplinary (DM) for the 72 250 **river basins; (b) the temporal trends (Sen's slope) and the absolute values (in inset) of the Degree of Issue-connectivity (DI) for the 72 river basins; and (c) the knowledge system classification for the 72 river basins by their normalized average DM and DI. Dots in the boxplots indicate individual DM and DI values, the box boundaries indicate the 25th and 75th percentiles, the centre line indicates median values, and the whiskers indicate 1.5 times the interquartile range.**

3.2 Unequal development of the society and policy indicators among the 72 river basins

- 255 We then examined the development of the society and policy indicators in the 72 river basins, by the change trends in absolute values and their relative proportions among the three society indicators and among the three policy indicators, respectively. The impacts of SO (i.e., increasing populations) dominated among the society indicators (over 60% of the relative proportions) of the African and South American river basins. These basins also had the greatest increases in the absolute SO values on average (an average Sen's slope of 25% per 5 years, same thereafter), and the South American river basins also had the greatest
- 260 increase in the EN values on average (13.7%) (i.e., increasing water stress). For Asian river basins, SO contributed to over 50% of proportions among the society indicators and less than 20% by EC (i.e., increasing water productivity). Yet they had the greatest absolute EC increases at over 60% per 5 years on average, mostly by the Yangtze River, the Pearl River, and the Yellow River (average 100% increase), whereas other basins like Ganges-Brahmaputra-Meghna Basin, the Mekong River, and the Jordan River only increased by 10% on average. Most of the European and North American river basins had relatively
- 265 stable development with their society indicators, characterized by low SO (0-40%), and balanced EC (30-60%) and EN (50-

70%). European river basins demonstrated the least absolute increase in SO at less than 3% and all European and North American river basins studied had decreasing trends (-2.4% and -1.2% on average) in EN (i.e., reducing waster stress) (Fig. 3a, Fig. B1).

- Lake Tana in Africa demonstrated the greatest relative proportions in RA (i.e., area of cultivated area to indicate reduced water 270 availability) among the three policy indicators, whereas the greatest absolute increases in RA were observed for the South American (9.1%) river basins. Most river basins studied (African, European, North American, South American, and the Murray-Darling Basin in Oceania) had similarly lower RU (i.e., freshwater withdrawal amount) (0-40% of relative proportions) and higher GC (i.e., governance effectiveness) (50-100%). Among them, the African river basins had the greatest absolute RU increase at 43% on average, whereas non-significant change trends in GC were observed for over 60% of the rivers. Although
- 275 the Asian river basins had comparatively lower GC (20-50%), a significant increase of 2.4% per 5 years on average was identified (Fig. 3b, Fig. B2).

Figure 3: (a) The relative average values of the social (SO), economic (EC), and environmental (EN) indicators; and (b) the relative average values of the resource availability (RA), utilization (RU), and governance (GC) indicators for the 72 river basins. Only the 280 **top 5 most published river basins in Asia, Europe, and North America, and all rivers in Africa, South America, and Oceania were labelled.**

3.3 The knowledge structures are more strongly linked to society than to policy indicators

The structural characteristics of the knowledge systems had been strongly linked to the society indicators with over 90% river basins had acceptable regression model fits, but much weaker with the policy indicators as only 41 river basins had two or 285 more linear models that validated the relationships between their knowledge systems and the policy indicators (adjusted R^2) 0.3, statistical significance $p < 0.05$).

69% river basins mostly in North America, Europe and the Murray-Darling River in Oceania were identified to have a pattern of Knowledge For Environment (KFE), of which increases in DM and DI corresponded to decreases in the EN (an inverse indicator on water stress). For river basins with this pattern, generally positive relationships with the SO (median $DM = 0.10$,

- 290 DI = 0.74, same thereafter), and trade-off relationships between the DM (-0.02) and DI (0.92) with the EC were also identified. 21 river basins mostly in Asia, Africa and South America were identified to have a Knowledge Against Environment (KAE) pattern. These river basins had strong positive relationships of DM (0.12) and DI (0.93) with EN, SO (DM = 0.28, DI = 0.72). and EC ($DM = 0.02$, $DI = 0.90$). Only the DM and DI of the Lake Kinneret had insignificant correlations with the EN and EC indicators, which was grouped into a separate group identified as "unclear knowledge-society interaction" (Fig. 4a-b, Fig.B3).
- 295 25 river basins spreading across North America, Asia, South America and Oceania had a pattern of Knowledge For Resource Availability (KFR). These rivers demonstrated negative relationships of DM (-0.04) and DI (-0.80) with RA (an inverse indicator of cultivated land). There were also trade-off relationships of DM and DI with RU (DM = 0.19 , DI = -0.58) and with GC ($DM = -0.01$, $DI = 0.16$). 16 rivers in Asia and Africa had a Knowledge Against Resources Availability (KAR) pattern, which tended to have strong positive relationships of DM and DI with RA (DM = 0.40, DI = 0.72) and RU (DM = 0.32, DI =
- 300 (0.63) , and trade-off relationships (DM= -0.03, DI = 0.91) with GC. The remaining 31 river basins were identified to have "unclear knowledge-policy interaction", mostly in North America. Further, the impacts of DI were generally stronger and statistically significant whereas the impacts of DM were much weaker and tended to be insignificant (Fig. 4c-d, Fig. B4).

Figure 4: (a) The 72 river basins classified based on the coefficients of the linear models between the knowledge structural indicators 305 **and the society indicators; and (b) the distributions of the DM and DI coefficients for valid linear models. (c) The 72 river basins classified based on the coefficients of the linear models between the knowledge structural indicators and the policy indicators; and (d) the distributions of the DM and DI coefficients for valid linear models. Dots in the boxplots indicate individual DM and DI coefficients in the linear models, the box boundaries indicate the 25th and 75th percentiles, the centre line indicates the median value, and the whiskers indicate the 1.5 times the interquartile range.**

310 **3.4 Optimizing the knowledge structures for improved society and policy impacts**

Mapping the river basins' knowledge system classifications with their society and policy impact patterns, it was found that river basins with integrated knowledge structures tended to have KFE (83% of rivers with integrated structures, same thereafter) and KFR (50%) patterns. The issue-driven river basins tended to have KFE (61%) and KAR (38%) patterns, whereas

the discipline-driven river basins were dominated by the KFE (94%) and unclear knowledge-policy (75%) patterns. River

- 315 basins with fragmented knowledge structures were prone to the KAE (36%) and KAR (48%) patterns (Fig. 5a). We further identified the optimal DM and DI values for river basins with each of the KFE, KAE, KFR, and KAR patterns, with the objectives to maximise their positive and minimise negative society and policy impacts (see Supplementary Information C for criteria of optimization). For the KFE river basins, an integrated knowledge structure (DM = 1, DI = 1) should be targeted, which maximizes the SO (normalized value $= 1$) and EC (0.94) indicators while minimizing the negative
- 320 EN indicators (0.13) (Fig. 5a,b). On the other hand, there exist trade-offs for the KAE river basins to select the optimal knowledge structure. While the integrated knowledge structure ($DM = 1$, $DI = 1$) could maximize the SC (1), and EC (0.93), it also maximises the negative EN (1). A fragmented knowledge structure is optimal to minimize the negative EN impact (0.13) , but also reduces positive SC (0.33) and EC (0.13) impacts (Fig. 5a,c).
- For river basins with the KFR pattern, an integrated structure is optimal to minimize the negative RA (0.14) and maintain 325 balanced RU (0.41) and GC (0.43) (Fig. 5a,d). For the river basins with the KAR patterns, an integrated knowledge structure could maximize all RA (1), RU (1), and GC (0.89). A fragmented knowledge structure minimizes the negative RA (0.32), yet traded off with low RU (0.36) and GC (0.10). It should also be noted that the knowledge systems for rivers with "unclear knowledge-society interaction" (1) and "unclear knowledge-policy interaction" (31) could not be optimised (Fig. 5a,e).

330 **Figure 5: (a) The current knowledge structure, patterns of knowledge impacts on society and policy, and the suggested knowledge structures after optimization for the 72 river basins. The optimized society indicators for (b) Knowledge For Environment (KFE) pattern, and (c) Knowledge Against Environment (KAE) pattern; and the optimized policy indicators for (d) Knowledge For Resource Availability (KFR) pattern, and (e) Knowledge Against Resource Availability (KAR) pattern.**

4 Discussions

335 This study developed a framework to measure knowledge development of 72 river basins from a quantifiable network perspective using scientific publications in the Web of Science (WoS) dataset and evaluated the impacts of knowledge on society and policy from 1962 to 2017. Our findings shed light on better understanding of river basin knowledge development. *Insufficient development for multidisciplinary research*

Current knowledge structures in the 72 main river basins in the world were characterized by increasing Degree of Issue-

- 340 connectivity (DI), whereas the Degree of Multidisciplinarity (DM) was low and had limited growth (Fig. 2). We identified that even for river basins with discipline-driven knowledge structures, they had low values of DM and interconnections were concentrated among biophysical disciplines, indicating dominations of natural sciences for multidisciplinary research for most river basins across the world. Additionally, the impacts of DM tended to be statistically insignificant with both society and policy indicators (Fig. 4 and Fig. B3-B4), coupled with booming populations (SO) for resources demand (i.e., large RA
- 345 indicating low water availability), low economic and resource productivity (EC, RU), along with deteriorating environment (EN) (Fig. 3). This implies that current practices of multidisciplinary research were not sufficient to solve the complex sustainability issues. Addressing many sustainability issues requires more knowledge from the human perspective to comprehend the human-nature interactions (Krausmann and Fischer-Kowalski, 2013; Jerneck et al., 2011). Drawing knowledge from social sciences (e.g., political science, sociology, management, psychology) is the key to improving
- 350 multidisciplinary research to transform the current knowledge systems of river basins. Knowledge systems for river basins could benefit from strengthening these governance-related disciplines to reconcile the relationship between individual behaviours and collective management decisions for water, and coordinate the interactive relationships between socioeconomic development and environmental sustainability.

Challenges at the knowledge-policy interface

- 355 Over 90% of the river basins had knowledge structures that strongly linked to the society indicators but only 57% of rivers had statistically significant relationships with the policy indicators (Fig. 4). This is closely related to the challenge of knowledge transfer on decision making at the science-policy interface (Nguyen et al., 2017; Louder et al., 2021). Such challenge has been widely recognised as policy and practice decisions are informed by diverse values and beliefs, multiple sources of knowledge, and are shaped by cognitive factors and power dynamics beyond the direct influence of research activities (Hakkarainen et al.,
- 360 2020; Pitt et al., 2018; Posner and Cvitanovic, 2019). We propose to develop "boundary spanners" as a potential solution (Edwards and Meagher, 2020). These spanners could be creditable academic organizations for the policy community, individual or groups of scientists or professional consultants who facilitate knowledge and information across otherwise disconnected communities and synthesize different values and insights to facilitate collective sense-making (Stovel and Shaw, 2012; Bodin, 2017). They not only can bridge disciplinary silos for natural and social scientists, but more importantly able to
- 365 coordinate scientists with local stakeholders and policy-makers with different levels of management power and contexts. Additionally, although beyond the scope of this study, we recognize the interactions between society and policy. In particular,
	- 17

the SO in society indicators and the RU in policy indicators were most strongly positively correlated $(r = 0.81, p < 0.05)$ (Fig. B5), which indicates a need to recognise the connections between policy and society development and their spill-over effects on knowledge in future study.

- 370 *Tailored knowledge strategies based on knowledge-society-policy patterns*
	- The integrated knowledge structure was identified to be most desirable, which links with the Knowledge For Environment (KFE) and the Knowledge For Resource Availability (KFA) patterns. Issue-driven knowledge structures were identified to have similar optimized society and policy impacts to the integrated knowledge structure, whereas discipline-driven knowledge structure was not effective in optimizing multiple society and policy indicators at the same time (Fig. 5 and Fig. C1). About
- 375 15% of the river basins studied in America, Europe and Oceania (e.g., the Amazon River, the Colorado River, the Danube River, and the Murray-Darling Basin) with integrated knowledge structures demonstrated more balanced impacts on society and policy (Fig. 5). They provide good examples for other river basins in achieving a holistic integration of science, society and policy. On the other hand, river basins with the Knowledge Against Environment (KAE) and the Knowledge Against Resource Availability (KAR) patterns are considered less desirable, as optimizing the current knowledge structure to reduce
- 380 the negative environmental impacts or improving resource availabilities would be traded off with socio-economic development and governance capacities (Fig. 5). Rivers with fragmented knowledge structures comprising 35% of the river basins studied, mostly in Asia, Africa, and South America were most prone to these impact patterns (Fig. 3). It reflects the inevitable concerns and interests of these river basins with greater development pressures and inequalities. A more balanced and integrated knowledge development approach could be supported by raising awareness of human impacts on river basins, and targeted
- 385 research fundings that facilitate bridging between science and policy (Matsumoto et al., 2020; Jabbour, 2022). Our network-based framework contributes to advancing the Science of Science (Zeng et al., 2017) and transforming knowledge for more sustainable river basin development. It provides a method to explicitly measure the structure of knowledge as a discipline-issue network system, which guides future knowledge development by identifying explicitly where and what to change or connect between disciplinary knowledge and issues at hand, therefore assisting in more suitable, more precise, and
- 390 more predictable knowledge development. Moreover, our framework links the structural configurations of knowledge systems with developments in society and policy, thus contribute to better evaluation of research outcomes and action-oriented research for specifying "credible, legitimate, and relevant" in good governance (Kim, 2019; Cash et al., 2003). Finally, this framework will contribute to river basin management by enabling comparisons of knowledge development for river basins with varying management issues of focuses and contexts, thus enables the design of tailored management strategies and co-learning
- 395 according to different patterns of connections among river basin knowledge, society, and policy development. The limitations in this study and future research directions are also recognized. Regarding the data source, only scientific publications written in English indexed in the WoS were studied. While the WoS provides a consistent, systematic documentation of scientific knowledge development across a broad range of disciplines for a long timeframe, gray literature focusing on practice-driven knowledge (e.g., conference paper, government reports) also contributes to the river basin
- 400 knowledge development and can be included in future studies (Ramírez-Castañeda, 2020). Selecting case river basins based

on scientific publications also led to potential bias towards large river basins with societal and natural significance, and selections of the indicators to represent the society and policy of the river basins were also bounded by the temporal and spatial data availability. Additionally, classifications of disciplines in this study were conducted based on journal assignments. It should be recognized that boundaries between disciplines have been increasingly blurred when used in the context of research

405 evaluation. Most importantly, further research efforts should be made to reveal the mechanisms behind the interactive dynamics between knowledge system and its impacts on society and policy.

5 Conclusions

To conclude, this study developed a systemic framework to evaluate the impacts of science on society and policy at a global river basin scale. Rather than using input or output-based knowledge proxies, it directly measured the knowledge structure

- 410 using network-based dimensions: Degree of Multidisciplinarity and Degree of Issue-connectivity, which recognizes the diversity and complexity of sustainability issues in the Anthropocene. It was found that the river basin knowledge systems were characterized by increasingly interconnected issues addressed by limited disciplines, which were more strongly linked to society impacts than to policy. Integrated knowledge structures were more desirable for balanced development for society and policy, while over 35% of river basins mostly in Asia, Africa, and South America faced challenges in effective knowledge
- 415 transformation for more sustainable development. By determining the structural configurations suitable for specific society and policy impacts, this study can assist in transforming knowledge for more sustainable river basins.

Appendix A. List of scientific disciplines and issues in the global river basin knowledge network

This Appendix provides information on the 215 disciplines and the 94 issues grouped based on keywords collected from the 420 Web of Science database and used to construct the discipline-issue networks and the issue networks for the 72 river basins studied. Table A1 and A2 summarizes the total number of connections for each discipline and issue in the networks.

Table A1. Disciplines in the knowledge network

Table A2. Issues in the knowledge network

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Appendix B. Additional statistical details

This Appendix provides additional statistical details on the Result and Discussion Sections. For Result Section 3.2, the temporal trends and corresponding absolute indicator values of the Social Impact (SO), Economic Impact (EC), and Environmental Impact (EN) for the society indicators (Figure B1); and the temporal trends and absolute indicator values of

430 the Resource Availability (RA), Resource Utilization (RU), and Governance Capacity (GC) for the policy indicators (Figure B2).

For Result Section 3.3, the regression coefficients and levels of significance between the knowledge structural indicators and the society indicators (Figure B3), and between the knowledge structural indicators and the policy indicators (Figure B4). Figure B5 provides correlations between the society indicators and the policy indicators to support the Discussion Section.

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Figure B1. (a) The temporal trends (Sen's slope) and (b) the absolute values of the social indicators; (c) the temporal trends (Sen's slope) and (d) the absolute values of the economic indicators; (e) the temporal trends (Sen's slope) and (f) the absolute values of the environmental indicators for the 72 river basins. Dots in the boxplots indicate individual DM and DI values, the box boundaries indicate the 25*th* **and 75***th* **percentiles, the centre line indicates median values, and the** 440 **whiskers indicate the 1.5 times of the interquartile range.**

Figure B2. (a) The temporal trends (Sen's slope) and (b) the absolute values of the resource availability indicators; (c) the temporal trends (Sen's slope) and (d) the absolute values of the resource utilization indicators; (e) the temporal trends (Sen's slope) and (f) the absolute values of the governance capacity indicators for the 72 river basins. Dots in the boxplots indicate individual DM and DI values, the box boundaries indicate the 25*th* **and 75***th* 445 **percentiles, the centre line indicates median values, and the whiskers indicate the 1.5 times of the interquartile range.**

Figure B3. The model coefficients for each river basin's linear models between the structural indicators and the (a) SO indicators, (b) the EC indicators, and (c) the EN indicators.

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Figure B4. The model coefficients for each river basin's linear models between the structural indicators and the (a) RA indicators, (b) the RU indicators, and (c) the GC indicators.

 Figure B5. The Pearson correlations among the society system and policy system indicators, indicators are ordered based on hierarchical clustering using Ward's Distance.

Appendix C. Additional methods and results on optimizing the knowledge structures for improved society and policy This Appendix provides additional details on the optimization analysis conducted in Result Section 3.4.

The clustering analysis of river basins based on the regression models for the society indicators (i.e., SO, EC, and EN) resulted

460 in three knowledge-society interaction patterns for the 72 river basins: the Knowledge For Environment (KFE), the Knowledge Against Environment (KAE), and the unclear knowledge-society interaction patterns. Similarly, the regression models for the policy indicators (i.e., RA, RU, and GC) resulted in three knowledge-policy interaction patterns: the Knowledge For Resource availability (KFR), the Knowledge Against Resource availability (KAR), and the unclear knowledge-policy interaction patterns. This means that each of the 72 river basins have one knowledge-society interaction pattern and one knowledge-policy

465 interaction pattern.

To identify the knowledge structures (i.e., DM and DI) that optimize the society indicators, we first removed the rivers with unclear knowledge-society interaction pattern $(n=1)$, and then calculated the average regression coefficients for river basins under the KFE and KAE patterns, respectively. Similarly to identify the DM and DI values for optimized policy indicators, rivers with unclear knowledge-policy interaction patterns were removed (n=31), and the average regression coefficients for

470 each of the KFR and KAR patterns were calculated. This resulted in 12 regression relationships (two for each of SO, EC, EN, RA, RU, and GC), as summarized in Table C1.

Society	Knowledge-society pattern:	Knowledge-society pattern:
indicator	KFE $(n = 50)$	$KAE (n = 21)$
SO.	$= 0.075 \times DM'_{\text{opt}} + 0.692 \times DI'_{\text{opt}} + 0.282$	$= 0.223 \times DM_{\text{opt}}' + 0.674 \times DI_{\text{opt}}' + 0.331$
EC	$= -0.016 \times DM_{\text{opt}}' + 0.919 \times DI_{\text{opt}}' + 0.034$	$= 0.022 \times DM'_{\text{opt}} + 0.774 \times DI'_{\text{opt}} + 0.133$
EN	$= -0.002 \times DM_{\text{opt}}' - 0.734 \times DI_{\text{opt}}' + 0.863$	$= 0.175 \times DM'_{\text{opt}} + 0.899 \times DI'_{\text{opt}} + 0.133$
Policy	Knowledge-policy pattern:	Knowledge-policy pattern:
indicator	KFR $(n = 25)$	KAR $(n = 16)$
RA	$= -0.045 \times DM_{\text{opt}}' - 0.626 \times DI_{\text{opt}}' + 0.789$	$= 0.338 \times DM'_{\text{opt}} + 0.613 \times DI'_{\text{opt}} + 0.315$
RU.	$= 0.025 \times DM_{\text{opt}}' - 0.177 \times DI_{\text{opt}}' + 0.565$	$= 0.230 \times DM'_{\text{opt}} + 0.627 \times DI'_{\text{opt}} + 0.362$

Table C1. Knowledge-impact relationships used as objective functions for optimization

For each of the KFE, KAE, KFR, and KAR pattern, these relationships were used as objective functions for multi-objective optimizations using a NSGA-II genetic algorithm (Deb et al., 2002; Coello coello et al., 2020) to identify the optimal DM and 475 $\,$ DI values (DM $'_{\rm opt}$, DI $'_{\rm opt}$). 100 pairs of potential DM and DI values were randomly generated initially and modelled over 1000

iterations to search for the optimum values that achieve the objectives as outlined in Table 2. The global Pareto optimality for each pattern were identified when the Pareto Front $= 1$, which indicated the set of effective solutions that were at least as good as other possible solutions for each objective and strictly better for at least one objective

(Halffmann et al., 2022). Set of optimal DM and DI values that resulted in society and policy indicators for each pattern were 480 identified, as shown in Figure C1.

The dark coloured lines highlight the boundary values for the SO, EC, EN, RA, RU, and GC indicators, and their corresponding DM and DI values that were selected as the optimal solutions discussed in the main text. The light coloured lines represent the other possible values on the Pareto Front.

It should also be noted that as we conducted optimizations based on the average coefficients in the linear models, these exact

485 optimal DM and DI values were not directly related to any specific rivers in each knowledge-impact pattern group. Therefore, we referred to the corresponding knowledge structures (i.e., integrated, issue-driven, discipline-driven, and fragmented) that these structural values represented as the optimal knowledge structures that achieved the society and policy objectives.

Figure C1. The pareto front values for the (a) society and (b) policy indicators, and the corresponding DM and DI 490 **values.**

Data and code availability

Data pertaining to this work is available publicly as cited in the manuscript, and codes used to analyse the data is deposited in [https://github.com/SLWU423/Code-for-global-river-basin-science-policy-society-impact.](https://github.com/SLWU423/Code-for-global-river-basin-science-policy-society-impact)

495 **Author contribution**

S. Wu contributed to conceptualization, data curation, methodology, data analysis, writing the original draft, reviewing and editing the manuscript; Y. Wei contributed to conceptualization, methodology, data validation, reviewing and editing the manuscript.

Competing interests

500 At least one of the (co-)authors is a member of the editorial board of HESS.

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