



1                   **On the Influence of Spatial Heterogeneity of Runoff Generation on the**  
2                   **Distributed Unit Hydrograph for Flood Prediction**

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9                   **Abstract:** The spatial scale mismatch between runoff generation and runoff routing is an acceptable  
10                   compromise but a common issue in challenging hydrological modelling. Moreover, there is hardly any  
11                   report available on whether unit hydrograph (UH) that is consistent with the spatial scale of runoff  
12                   generation can be computed. The objective of this study was to explore the influence of spatial  
13                   heterogeneity of runoff generation on the UH for flood prediction. To this end, a novel GIS-based  
14                   dynamic time-varying unit hydrograph (DTDUH) was proposed based on the time-varying unit  
15                   hydrograph (TDUH). The DTDUH can be defined as a typical hydrograph of direct runoff which gets  
16                   generated from one centimeter of effective rainfall falling at a uniform rate over the saturated drainage  
17                   basin uniformly during a specific duration. The DTDUH was computed based on the saturated areas  
18                   of the watershed instead of the global watershed. The saturated areas were extracted based on the TWI.  
19                   Finally, the Longhu River basin and Dongshi River basin were selected as two case studies. Results  
20                   showed that the proposed method exhibited consistent or better performance compared with that of the  
21                   linear reservoir routing method, and performed better than the TDUH method. Specifically, the  
22                   DTDUH method indicated good performances for the flood events with low antecedent soil moisture,  
23                   and it performed consistently with the TDUH when the global watershed is nearly saturated. The



24 proposed method can be used for the watersheds with sparse gauging stations and limited observed  
25 rainfall and runoff data, as is the same with the TDUH method. Simultaneously, it is well applicable  
26 for the humid or mountain watershed where the saturation-excess rainfall is the dominant.

27 **Keywords:** Hydrological modelling; Distributed unit hydrograph; Runoff routing; Runoff generation;  
28 XAJ model

## 29 **1. Introduction**

30 The assumption that basins behave as linear systems (i.e., there is proportionality and additivity  
31 between excess rainfall and total storm response) has been the core to hydrology over the past century  
32 (Goodrich et al., 1997; Bunster et al., 2019). On such condition, a single response function named the  
33 UH has been widely applied to acquire the stormflow at the basin outlet (Czyzyk et al., 2020). The UH  
34 can be developed for both gauged and ungauged watersheds (Monajemi et al., 2021). For gauged basins,  
35 unit hydrographs are derived from observed data by measuring rainfall and runoff data. For ungauged  
36 basin, some synthetic methods, such as the Snyder's method (Snyder, 1938) and Gray's method (Gray,  
37 1961), are used to determine the unit hydrographs from spatially averaged basin characteristics. One  
38 variation is the synthetic UH method proposed by Clark (1945), in which the UH was derived by  
39 integrating two basic features of a watershed rainfall-runoff process. Specifically, translation through  
40 water movement was characterized by time-area method, and linear reservoir routing was used to  
41 represent attenuation through storage (Cho et al., 2018; Bunster et al., 2019). This history of  
42 development was synthesized in the works of Rodríguez-Iturbe and Valdés (1979) and Gupta et al.  
43 (1980), who proposed the geomorphological instantaneous unit hydrograph (GIUH). Subsequently, the  
44 width function-based geomorphological instantaneous unit hydrograph (WFIUH) method has been



45 formulated with the development of digital elevation models (DEMs) and geographic information  
46 system (GIS) technology (Seo et al., 2016). The WFIUH was derived by combining the flow paths to  
47 the outlet given by the width function with the flow celerity along the flow paths (Kirkby, 1976).

48 The UH method assumes the watershed response to be linear and time invariant, and rainfall to  
49 be spatially homogeneous. In contrast to the linearity assumption, basins have been shown to exhibit  
50 nonlinearity in the transformation of excess rainfall to stormflow (Bunster et al., 2019). For a small  
51 watershed, Minshall (1960) showed that significantly different UHs were produced by different rainfall  
52 intensities. To cope with this nonlinearity, Rodríguez-Iturbe et al. (1982) extended the GIUH to the  
53 geomorphoclimatic IUH (GcIUH) by incorporating excess rainfall intensity. Lee et al. (2008) proposed  
54 a variable kinematic wave GIUH accounting for time-varying rainfall intensity, which may be  
55 applicable to ungauged catchments that are influenced by high intensity rainfall. To this end, it is  
56 necessary to consider the geomorphic characteristics of the watershed and incorporate time-varying  
57 rainfall intensity in the rainfall-runoff modelling processes.

58 Spatially distributed travel time models, also known as Spatially Distributed Unit Hydrograph  
59 models (DUH) (Maidment et al., 1996), are a semi-analytical form of the WFIUH identified by Rigon  
60 et al. (2016) in which spatially distributed flow celerity associated with the watershed characteristics  
61 and temporally varying excess rainfall rates can be considered. In this method, the travel time of each  
62 grid cell can be calculated by dividing the travel distance of a cell to the next cell by the velocity of  
63 flow generated in that cell (Paul et al., 2018). The travel time is then summed along the flow path to  
64 obtain the total travel time from each cell to the outlet. The DUH is thus derived using the distribution  
65 of travel time from all grid cells in a watershed. Several aspects (i.e. Rainfall intensities, upstream



66 contributions, watershed equilibrium) were attracted much attention to obtain more accurate travel  
67 time distributions. Some DUH methods assumed a time-invariant travel time field and ignored the  
68 dependence of travel time on excess rainfall intensity (Melesse and Graham, 2004; Noto and La Loggia,  
69 2007; Gibbs et al., 2010; Grimaldi et al., 2010), while others suggested various UHs corresponding to  
70 different storm events, namely time-varying distributed unit hydrograph (TDUH) (Martinez et al.,  
71 2002; Sarangi et al., 2007; Du et al., 2009). The upstream contributions to the travel time estimation  
72 should also been considered in the time-varying DUH method, which was developed from a static  
73 upstream contribution to a dynamic contribution. For example, Bunster et al. (2019) developed a  
74 TDUH model that accounts for dynamic upstream contributions and compared its performance against  
75 other TDUH methods, and characterized the temporal behavior of upstream contributions and its  
76 impact on travel times in the basin. However, the methods discussed above assumed that equilibrium  
77 in each individual grid cell or global watershed can be reached before the end of the rainfall excess  
78 pulse. To this end, Yi et al. (2022) proposed a time-varying distributed unit hydrograph method for  
79 runoff routing that accounts for dynamic rainfall intensity and soil moisture content, namely the time-  
80 varying distributed unit hydrograph considering soil moisture content (TDUH-MC). Hydrologists have  
81 made great efforts to address the nonlinear issues of the UHs in the past decades, while these  
82 approximations are still acceptable compromises in challenging hydrology research.

83       Nevertheless, we found that these approximations discussed above neglected the influence of  
84 spatial heterogeneity of runoff generation on the UH. For instance, in a humid watershed, the excess  
85 rainfall can be more inclined to happen at near-channel areas, and sometimes in the arid zones with  
86 long-storms duration according to the saturation-excess mechanism (Li and Sivapalan, 2014). In



87 previous studies, the time-varying rainfall intensities were commonly considered to obtain a more  
88 accurate travel time distributions to compute the UH for the whole basin, while the depth of the excess  
89 rainfall was also considered to be uniformly distributed throughout the whole watershed (the excess  
90 rainfall actually comes from local saturated areas). The outflow hydrograph is then calculated by  
91 superimposing the response to each individual excess rainfall pulse, or equivalently by convoluting  
92 the spatially averaged excess rainfall and a UH obtained from the IUH. This indeed raised a problem  
93 that whether the unit hydrograph can reflect the realities of the runoff routing processes of an actual  
94 watershed.

95 The problem of spatial scale mismatch between runoff generation and confluence theory is  
96 prevalent in hydrological modeling, and hydrologists almost ignore the forecasting errors associated  
97 with this issue. For example, the Xinanjiang (XAJ) model is a conceptual hydrological model proposed  
98 by Zhao et al. (1980) for flood forecasts in the Xin'an River basin. It has been widely used in humid  
99 and semi-humid watersheds all over the world (Zhao, 1992; Zhou et al., 2019; Huang et al., 2020). In  
100 the model, two parabolic curves are adopted to represent the spatially non-uniform distribution of the  
101 tension water storage and the free water storage. In order to match the spatial scales of the runoff  
102 generation and the runoff routing, the excess rainfall generated in the saturated areas was assumed to  
103 be uniformly distributed across the whole basin. However, this assumption may result in huge errors,  
104 and there is hardly any report available on whether UH that is consistent with the spatial scale of runoff  
105 generation can be computed.

106 The objective of this study was therefore to explore the influence of spatial heterogeneity of runoff  
107 generation on the UH for flood prediction. The main contributions of the present study are given as



108 follows. 1) The DUH method was used as the basic tool to compute the DTDUH corresponding to  
109 various saturated states of the watershed. 2) The definition of the DTDUH was different with the  
110 traditional TDUH as it represented the characteristics of the runoff generated areas instead of the whole  
111 basin. 3) The XAJ model was used as the hydrological modelling framework to unify the spatial scales  
112 of the runoff generation and the confluence method. The performance of the DTDUH method was  
113 compared with that of the traditional TDUH method based on flood events. Finally, the Longhu River  
114 basin and the Dongshi River basin in the Guangdong Province, China, were selected as two case studies.  
115 The influence of spatial heterogeneity of runoff generation on the UH for flood prediction was  
116 investigated.

## 117 **2. Hydrological models**

### 118 *2.1 Runoff generation based on the saturation-excess mechanism*

119 Saturation-excess runoff is the major runoff mechanism in humid well-vegetated areas where  
120 infiltration rates often exceed rainfall intensity (Tromp-Van Meerveld and McDonnell, 2006; Hoang  
121 et al., 2017). Many hydrological models, such as the TOPMODEL (Beven and Kirkby, 1979), the  
122 Variable Infiltration Capacity (VIC) model (Liang et al., 1994), the Probability Distributed Model  
123 (PDM) (Moore, 2007), the XAJ model (Zhao, 1992), and the Australian Water Balance Model  
124 (Boughton, 2004), simulate saturation-excess runoff by introducing a statistical distribution of tension  
125 water storage capacity using different methods. Simultaneously, a free water capacity distribution  
126 curve is usually used to divide the total runoff into the surface runoff, interflow and groundwater. In  
127 the XAJ model, two parabolic curves are adopted to represent the spatially non-uniform distribution  
128 of the tension water storage and free water storage. The functional relationships of the tension water



129 storage capacity curve and free water storage capacity are given by

$$130 \quad \frac{A_{ps}}{A_p} = 1 - \left(1 - \frac{W}{WMM}\right)^B \quad (1)$$

$$131 \quad \frac{A_f}{A_s} = 1 - \left(1 - \frac{S}{SMM}\right)^{EX} \quad (2)$$

132 where  $A_{ps}$  is the partial pervious area where the tension water storage capacity is less than or equal  
 133 to the value  $W$ , which is the tension water capacity at a point, varying from 0 to a maximum  $WMM$ ;  
 134  $A_p$  is the pervious area of the catchment;  $B$  is the exponential of distribution of the tension water  
 135 capacity;  $A_f$  is the area where the free water storage capacity is less than or equal to the value  $S$ ,  
 136 varying from 0 to  $SMM$ ;  $A_s$  is the runoff generation area; and  $EX$  is the exponential of distribution of  
 137 the free water storage capacity curve.

138 Based on Eq. (1), when rainfall exceeds evaporation, the runoff generated in the saturated areas  
 139 can be expressed by

$$140 \quad R = \begin{cases} PE - WM + W_0 + WM \left(1 - \frac{PE + AU}{WMM}\right)^{1+B} & PE + AU < WMM \\ PE - WM + W_0 & PE + AU \geq WMM \end{cases} \quad (3)$$

141 where  $R$  is the total runoff (mm);  $WM$  is the areal mean tension water capacity (mm);  $PE$  is the rainfall  
 142 which exceeds evaporation (mm);  $W_0$  is the initial areal mean tension water storage (mm);  $AU$  is the  
 143 vertical coordinate corresponding to  $W_0$ .

144 The total runoff  $R$ , generated in a wet period in accordance with Eq. (3), can be subsequently  
 145 separated into three components, including the surface runoff, interflow and groundwater (Zhao, 1992).

$$146 \quad RS = \begin{cases} FR \left[ PE + S - SM + SM \left(1 - \frac{PE + BU}{MS}\right)^{1+EX} \right] & PE + BU < SMM \\ FR(PE + S - SM) & PE + BU \geq SMM \end{cases} \quad (4)$$



147 
$$RI = KI \cdot S \cdot FR \quad (5)$$

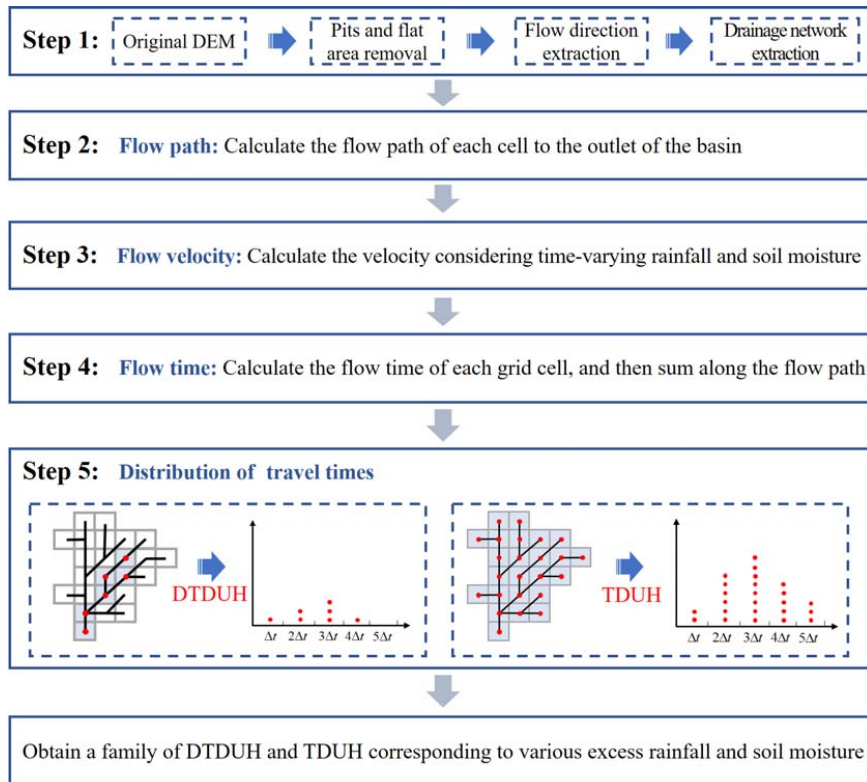
148 
$$RG = KG \cdot S \cdot FR \quad (6)$$

149 where  $RS$ ,  $RI$ ,  $RG$  represent the depth of the surface runoff, interflow and groundwater respectively  
150 (mm);  $FR$ , equalling to  $R/PE$ , is the proportion of the runoff producing area over the whole basin;  
151  $SM$  is the areal mean free water capacity (mm);  $BU$  is the vertical coordinate corresponding to  $S$  (mm);  
152 and  $KI$  and  $KG$  are outflow coefficients of the free water storage to interflow and groundwater,  
153 respectively.

## 154 2.2 Derivation of the DTDUH for the surface runoff routing

155 The GIS-derived DUH method was employed for the surface runoff routing calculations, which  
156 allowed the velocity to be calculated on a grid cell basis over the watershed. To remove the linearity  
157 assumption, fully distributed models use routing methods which are usually computationally intensive  
158 because they solve the St. Venant equations (Bunster et al., 2019), so they are usually limited to small  
159 basins. Therefore, the DUH method is an alternative method that allows the use of distributed  
160 information in a much more efficient manner. The TDUH method was used for the computation of the  
161 UH, which considered both the time-varying rainfall intensities and the soil moisture. More details can  
162 be found in Yi et al. (2022). The DTDUH proposed in this study are computed based on the TDUH.  
163 The schematic diagram of the DTDUH method is shown in Fig. 1.





164

165 **Figure 1.** Schematic diagram of the DTDUH method. The main differences between the DTDUH and  
 166 TDUH lie in that the DTDUH is computed based on local areas while the TDUH is for the global  
 167 watershed.

168 1) The drainage network can be identified based on the advanced DEM preprocessing method  
 169 (Grimaldi et al., 2012). Then, the flow path collection ( $\mathbf{Road}_{A_j}$ ) of each grid cell  $A_j$  along the flow  
 170 directions to the basin outlet can be created. For the whole basin, the flow path collection of all the  
 171 grid cells ( $\mathbf{Road}$ ) is expressed by

$$172 \quad \mathbf{Road} = \left\{ \mathbf{Road}_{A_j} \mid A_j \in \mathbf{A} \right\} \quad (7)$$

173 where  $A_j$  ( $j = 1, 2, \dots, N$ ) is the grid cell,  $N$  is the total grid cells of the basin; and  $\mathbf{A}$  is the collection of  
 174 all the grid cell.



175 The depth of the excess rainfall occurs only in the saturated areas when the entire basin does not  
176 reach a global saturated state, while current methods compute the DUH corresponding to the whole  
177 basin. To this end, we proposed to create a collection of flow paths for the saturated areas, and the  
178 specific formula is given by

$$179 \quad \mathbf{Road}_\alpha = \left\{ \mathbf{Road}_{A_j} \mid A_j \in \mathbf{A}_\alpha \right\} \quad (8)$$

180 where  $\mathbf{Road}_\alpha$  ( $\mathbf{Road}_\alpha \subseteq \mathbf{Road}$ ) is the flow path collection of the saturated grid cells when the soil  
181 moisture proportion is  $\alpha$ ; and  $\mathbf{A}_\alpha$  is the collection of the saturated grid cells when the soil moisture  
182 proportion is  $\alpha$ .

183 2) The flow velocity of each grid cell corresponding to the collection  $\mathbf{Road}_\alpha$  is computed based  
184 on the watershed characteristics and the spatial-temporal distribution characteristics of rainfall and soil  
185 moisture, and the specific formula is given as Eq. (9) (Yi et al., 2022).

$$186 \quad V = k \cdot S^{\frac{1}{2}} \cdot \left( \frac{I_t}{I_c} \right)^{\frac{2}{5}} \cdot (\alpha_t)^\gamma \quad (9)$$

187 where  $V$  ( $\text{m s}^{-1}$ ) is the flow velocity;  $k$  ( $\text{m s}^{-1}$ ) is the land use or flow type coefficient,  $S$  ( $\text{m m}^{-1}$ ) is the  
188 slope of the grid cell;  $I_t$  ( $\text{mm h}^{-1}$ ) is the excess rainfall intensity at time  $t$ ;  $I_c$  is the reference excess  
189 rainfall intensity of the basin;  $\alpha_t$  (unitless) represents the soil moisture content of the basin at time  $t$ ;  
190 and  $\gamma$  (unitless) is an exponent smaller than unity, which represents the nonlinear relationship  
191 between soil moisture content and flow velocity.

192 3) The travel time for each grid cell in collection  $\mathbf{Road}_\alpha$  can be calculated by Eq. (10). To  
193 compute the total travel time  $\tau_i$  of flow from each cell  $i$  to the outlet, travel times along the  $R_i$  cells  
194 belonging to the flow path that starts at that cell are added based on Eq. (11).



195 
$$\Delta\tau_j = \frac{L_j}{V} \quad \text{or} \quad \Delta\tau_j = \frac{\sqrt{2}L_j}{V} \quad (10)$$

196 
$$\tau_j = \sum_{A_j \in \mathbf{A}_\alpha} \Delta\tau_j \quad (11)$$

197 where  $\Delta\tau_j$  is the retention time in grid cell  $A_j$ ;  $\tau_j$  is the total travel time along the flow path for grid  
198 cell  $A_j$ ;  $L_j$  is the grid cell size. When the rasterized flow is flowing along the edges of the grid cell,  
199 the travel length is the cell size  $L_j$ , whereas the travel length is  $\sqrt{2}L_j$  when it is flowing diagonally.

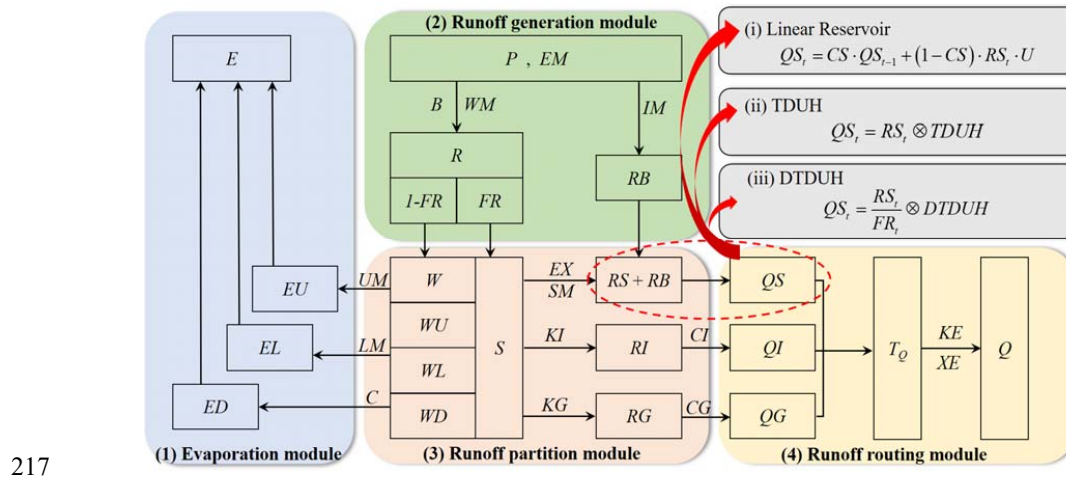
200 4) Develop a cumulative travel time map of the saturated areas instead of the whole basin based  
201 on cell-by-cell estimates for hillslope velocities. The cumulative travel time map is further divided into  
202 isochrones, which can be used to generate a time-area curve and the resulting DTDUH corresponding  
203 to the collection  $\mathbf{Road}_\alpha$  instead of  $\mathbf{Road}$ .

### 204 *2.3 Hydrological modelling framework*

205 The XAJ model proposed by Zhao et al. (1980) was used as the hydrological modelling  
206 framework. It has been widely used in humid and semi-humid watersheds all over the world. There  
207 are four modules in the model including the evapotranspiration module, runoff generation module,  
208 runoff partition module and runoff routing module. For the evapotranspiration module, the soil profile  
209 of each sub-basin is divided into three layers, the upper, lower and deeper layers, and only when water  
210 in the layer above it has been exhausted does evaporation from the next layer occur. For the runoff  
211 generation and runoff partition modules in the XAJ model, they have been reviewed in Section 2.1.  
212 Finally, for the runoff routing module, subsurface stormflow and subsurface runoff were considered  
213 using a free reservoir. To compare the differences of spatial scale mismatch between the runoff  
214 generation and the runoff routing, linear reservoir, TDUH and DTDUH were selected as the surface  
215 runoff routing methods. The Muskingum method was employed to produce streamflow from channel



216 to the outlet of the entire basin. The XAJ modelling framework used in this study is given in Fig. 2.



217  
 218 **Figure 2.** Schematic diagram of the XAJ model.

219 The depths of the surface runoff calculated by Eq. (4) are obtained after a redistribution to the  
 220 whole basin. As a matter of fact, the depths of the surface runoff should be calculated only over the  
 221 saturated areas when the DTDUH was selected as the surface runoff routing method, which can be  
 222 expressed by

$$223 \quad RS'_s = \frac{RS_s}{FR} \quad (12)$$

224 where  $RS'_s$  is the depth of the surface runoff corresponding to the saturated areas.

225 When the linear reservoir, TDUH and DTDUH were used respectively for the surface runoff  
 226 routing, the flow discharge at the outlet of the watershed can be computed by

$$227 \quad QS_i = CS \cdot QS_{i-1} + (1 - CS) \cdot RS_i \cdot \frac{F}{3.6\Delta t} \quad (13)$$

$$228 \quad QS_i = RS_i \otimes TDUH \quad (14)$$

$$229 \quad QS_i = RS'_i \otimes DTDUH \quad (15)$$

230 where  $CS$  is the recession constant of the surface water storage;  $F$  ( $\text{km}^2$ ) is the area of the basin, and



231 ⊗ is the symbol of convolution.

## 232 2.4 Model calibration and evaluation

233 The Shuffled Complex Evolution Algorithm (SCE-UA) technique was developed by the  
 234 University of Arizona in 1992 for nonlinear, high dimensional optimization issues (Duan et al., 1992).  
 235 The technique has been used extensively for calibrating hydrological models (Zhou et al., 2019).  
 236 Consequently, the SCE-UA method was employed in this study to optimize the parameters of the  
 237 hydrological model. An aggregated objective function made up of three measures and aimed at  
 238 maximizing flow characteristics was used for the parameter calibration (Brunner et al., 2021; Yi et al.,  
 239 2022; Yi et al., 2023). The aggregated objective function and three metrics are expressed by

$$240 E_{NS} = 1 - \frac{\sum_{t=1}^T |Q'_s - Q'_o|}{\sum_{t=1}^T |Q'_o - \bar{Q}_o|} \quad (16)$$

$$241 E_{KG} = 1 - \sqrt{(r-1)^2 + \left(\frac{\sigma_s}{\sigma_o} - 1\right)^2 + \left(\frac{\mu_s}{\mu_o} - 1\right)^2} \quad (17)$$

$$242 R_{SR} = \sqrt{\frac{\sum_{t=1}^T (Q'_o - Q'_s)^2}{\sum_{t=1}^T (Q'_o - \bar{Q}_o)^2}} \quad (18)$$

$$243 M = 0.5 \times (1 - E_{NS}) + 0.25 \times (1 - E_{KG}) + 0.15 \times (1 - \log(E_{NS})) + 0.1 \times R_{SR} \quad (19)$$

244 where  $Q'_o$  is the observed discharge at time  $t$ ;  $Q'_s$  is the simulated discharge at time  $t$ ;  $\bar{Q}_o$  is the  
 245 mean of the observed discharge;  $T$  is the duration of the flood event;  $r$  is the correlation coefficient  
 246 between the observed and simulated flood;  $\sigma_s$  and  $\sigma_o$  are the standard deviation values for the simulated  
 247 and observed responses, respectively; and  $\mu_s$  and  $\mu_o$  are the corresponding mean values.

248 Several criteria were used for the model performance evaluation, consisting of the  $E_{NS}$ , the Root  
 249 Mean Square Error ( $RMSE$ ), the relative flood peak error ( $Q_p$ ) and the flood peak time error ( $T_p$ ), which  
 250 can be expressed by

$$251 RMSE = \sqrt{\frac{1}{T} \sum_{t=1}^T (Q'_s - Q'_o)^2} \quad (20)$$



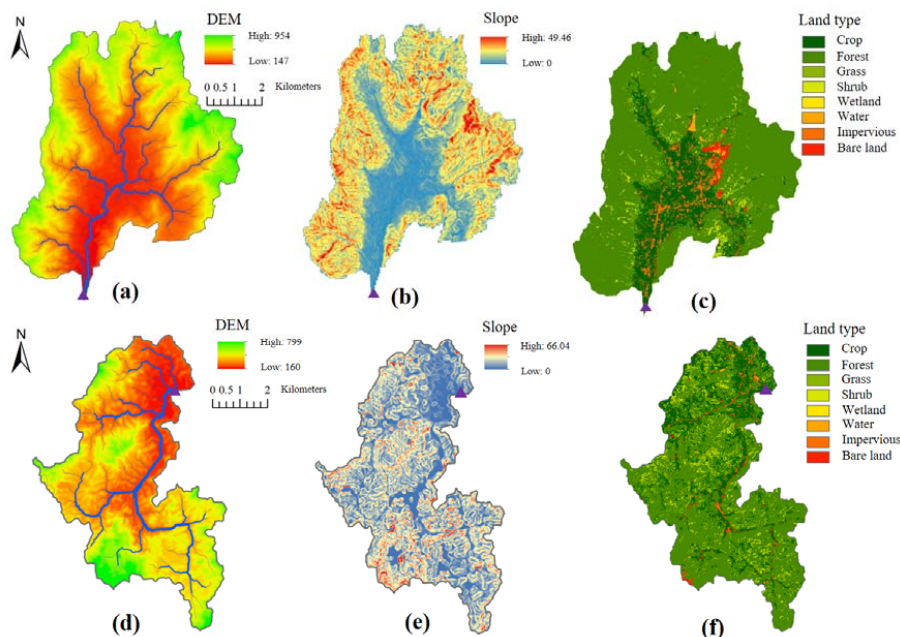
252 
$$Q_p = \frac{Q_p^s - Q_p^o}{Q_p^o} \quad (21)$$

253 
$$T_p = T_p^s - T_p^o \quad (22)$$

254 where  $Q_p^s$  is the simulated flood peak discharge;  $Q_p^o$  is the observed flood peak discharge;  $T_p^o$  is the  
255 observed flood peak time; and  $T_p^s$  is the simulated flood peak time.

### 256 3. Study area and data

257 The Longhu River basin and the Dongshi River basin were selected as two case study watersheds.  
258 The Longhu river and the Dongshi river are located in the humid mountain area, which originate from  
259 the Hanjiang River basin of the Guangdong Province, China. The Longhu river is 17.4 km long, with  
260 a basin area of 102.7 km<sup>2</sup>, and the mean slope of the basin is 2.9 ‰. The Dongshi river is 23.6 km  
261 long, with a basin area of 152.4 km<sup>2</sup>, and the mean slope of the basin is 3.56 ‰. The DEM data of the  
262 two basins were collected from (<http://www.gscloud.cn/>). The land cover data can be accessed from  
263 (<http://data.ess.tsinghua.edu.cn/>). The distributions of the DEM, slope and land cover for the two  
264 basins are shown in Fig. 3.



265

266 **Figure 3.** Distribution of the DEM, slope and land cover. (a), (b) and (c) are the DEM, slope and land  
267 cover corresponding to the Dongshi River basin. (d), (e) and (f) are the DEM, slope and land cover  
268 corresponding to the Longhu River basin.

269 Additionally, the rainfall and evaporation data from meteorological stations for the two basins  
270 were collected from 1973 to 2020, and the simultaneous hourly runoff data for the outlet of the  
271 watersheds were collected as well. A total of 32 isolated storms with the observed runoff responses  
272 from 1973 to 2020 were selected to calibrate and verify the established model. The Dongshi  
273 hydrological station was built in recent years, and the flow sequences were therefore short. Specifically,  
274 16 flood events in the Longhu River basin with 1 hour interval were collected from 1973 to 2016, and  
275 16 flood events with 1 hour interval were collected from the Dongshi River basin from 2015 to 2020.  
276 The statistics of these flood events are shown in Table 1. The average flood peaks for the Longhu River



277 basin and the Dongshi River basin are  $116.7 \text{ m}^3 \text{ s}^{-1}$  and  $73.0 \text{ m}^3 \text{ s}^{-1}$ , respectively. The average flood  
 278 durations are about 30 h and 33 h, respectively. Moreover, to consider the initial condition, the  
 279 antecedent precipitation was calculated based on the daily recession coefficient of the water storage.

280 **Table 1.** Statistics of the flood events in the Longhu and the Dongshi River basins.

Watershed	Flood events	Rainfall (mm)	Flood peak ( $\text{m}^3 \text{ s}^{-1}$ )	Time duration (h)
Longhu	19730508	80.0	94.5	27
	19730720	76.7	180.0	17
	19750526	54.9	101.0	21
	19760702	73.0	137.0	28
	19770526	73.8	90.4	18
	19771003	62.1	97.5	19
	19790607	100.3	93.4	24
	19890502	46.5	132.0	29
	20030517	94.0	140.0	46
	20060601	56.0	96.5	37
	20120527	118.8	128.0	27
	20130713	214.4	228.0	30
	20150601	83.4	85.0	44
	20150831	102.6	83.2	30
	20160430	111.2	91.0	54
20160903	85.4	89.7	26	
Dongshi	20150509	105.2	62.9	38
	20150721	132.0	82.0	29
	20160811	90.0	51.3	48
	20160819	112.5	34.9	19
	20161021	158.8	48.0	49
	20170501	84.5	98.3	22
	20170515	84.0	43.7	29
	20170613	139.2	37.2	31
	20170929	71.0	101.2	25
	20180606	61.5	34.9	32
	20180702	23.5	44.3	25
	20190418	86.4	35.5	18
	20190609	107.6	272.0	27
	20190612	74.0	100.0	66
	20200522	67.5	71.0	37
20200607	109.3	50.6	26	





## 281 4. Results

### 282 4.1 Calibration of parameters

#### 283 4.1.1 Calibrated parameters of the DTDUH flow routing method

284 The core of the DUH was the calculation of the grid flow velocity. The parameters that need to  
285 be determined in Eq. (9) consist of  $k$ ,  $S$ ,  $I_c$  and  $\gamma$ , in which  $k$  was the velocity coefficient and was  
286 determined based on different underlying surface types or different flow states (Foda et al., 2017), as  
287 shown in Fig. 3(c) and Fig. 3(f).  $S$  was the grid cell slope of the study areas, which could be obtained  
288 from the DEM data of the target basin, as shown in Fig. 3(b) and Fig. 3(e).  $I_c$  was determined using  
289 hourly mean rainfall intensity of the target basin, and this parameter was  $10 \text{ mm h}^{-1}$  for the two study  
290 watersheds. Additionally, parameter  $\gamma$  reflected the influence of soil moisture content on the flow  
291 velocity. The parameter  $\gamma$  of soil moisture content was determined to be 0.5 to reflect the influence of  
292 soil moisture content on the flow velocity for the two basins (Yi et al., 2022). It is noteworthy that the  
293 raster size of the two basins were divided into  $30 \text{ m} \times 30 \text{ m}$ . The rationality of the TDUH in these two  
294 basins had been validated in our previous research (Yi and Chen, 2022; Yi et al., 2022), and thus was  
295 not calibrated in this study.

#### 296 4.1.2 Calibrated parameters of runoff generation using the XAJ model

297 The XAJ model was adopted as the hydrological forecasting framework, in which the surface  
298 runoff routing module was replaced by three surface runoff routing methods, and the other modules  
299 remained unchanged within the XAJ model. The objective of this study was to explore the influence  
300 of spatial heterogeneity of the runoff generation on the UH for flood prediction, and thus the parameters  
301 of the runoff generation module kept unchanged. The calibrated and validation processes are as follows:

302 1) The parameters of the XAJ model were calibrated using the SCEUA method, where the surface



303 runoff routing method was the linear reservoirs. In total, all the 32 flood events in the Longhu River  
304 basin and the Dongshi River basin were used for the calibration of the XAJ + LR (linear reservoir) model  
305 without further dividing the validation period.

306 2) Then, the TDUH and DTDUH were derived, based on physical characteristics and rainfall  
307 intensities of watersheds. The parameters' determination method is given in Section 4.1.1. In order to  
308 verify the rationality of the TDUH and DTDUH routing methods, we calibrated XAJ+TDUH and  
309 XAJ+DTDUH models separately, and compared their performances with that of XAJ + LR model, as  
310 shown in Appendix (Fig. S1 and Fig. S2). Results show that the proposed method exhibits consistent  
311 or better performances compared with the LR routing method. Specifically, the DTDUH method  
312 performed best over the three methods for the Longhu River basin, and the time to peak error is  
313 significantly better than that of the LR method. While, for the Dongshi River basin, the performances  
314 of the LR method were slightly better than that of the proposed method, which could be the reason that  
315 the DTDUH was computed without the observed runoff, and there are various uncertainties in the  
316 conceptualization or implementation of the DTDUH.

317 3) Finally, the evapotranspiration module, runoff generation module and runoff separation module  
318 and their parameters obtained in Step 1) were not changed so as to discuss the performance of different  
319 runoff routing models, and the LR routing method was replaced with the TDUH and DTDUH,  
320 respectively. The XAJ model with calibrated parameters in Step 1) and TDUH as well as DTDUH  
321 were used for the validation of the XAJ + (TDUH and DTDUH) model. Since the parameters of the  
322 XAJ model were determined by the model combining with LR method, this calibration method would  
323 be more inclined to optimize the performance of XAJ + LR model. When combined with other runoff



324 routing models, the accuracy of results may be affected to some extent.

#### 325 *4.2 Computation of the DTDUH*

326 The TDUH was derived referring to Yi et al. (2022) and the rationality of the TDUH has been  
327 validated. The derivations of the proposed DTDUH were similar to those of the TDUH. The main  
328 differences lied in that the DTDUH was derived for a specific saturated area. In order to obtain the  
329 DTDUHs corresponding to various saturated states of the watershed, the tension water storage in each  
330 grid cell within the basin was considered to be negatively correlated with the Topographic Wetness  
331 Index (TWI) (Shi et al., 2008; Tong et al., 2018; Yuan et al., 2019). We assumed topographic  
332 information captures the runoff generation heterogeneity at the catchment scale, and the TWI was used  
333 as an index to identify rainfall–runoff similarity (Beven and Kirkby, 1979). Areas with similar TWI  
334 values are regarded as possessing equal runoff generation potential. Specifically, the areas with larger  
335 TWI values tend to be saturated first and contribute to saturation excess rainfall; but the areas with  
336 lower TWI values need more water to reach saturation and generate runoff (Gao et al., 2019).

337 Then, the proposed distributed unit hydrographs (DTDUH) are computed based on the saturated  
338 areas, which can be expressed by TWI. When calculating discharge using the DTDUH, we should  
339 select various DTDUH based on the time-varying soil moisture within each time interval. Theoretically,  
340 the DTDUH is different at each time interval because  $\alpha_t$  is ranging from 0 to 1. However, practically  
341 applying time-varying soil moisture based on DTDUH can be a complex task. In order to improve the  
342 effectiveness of the routing method, the soil moisture contents  $\alpha_t$  in Eq. (9) were discretized to 0.25,  
343 0.5, 0.75 and 1 based on the distributions of the TWI. Then, a simplified DTDUH can be obtained in  
344 a certain range soil moisture content. And these ranges are presented in Table 2. The distribution of the



345 saturated areas corresponding to different soil proportions are shown in Fig. 4. Similarly, the ratio of  
 346 excess rainfall intensity and the reference excess rainfall intensity  $\frac{I}{I_c}$  in Eq. (9) were discretized to  
 347 0.5, 1, 1.5 and 2 in order to improve the calculation efficiency. More details can be found in Yi et al.  
 348 (2022).

349 **Table 2.** The soil moisture content  $\alpha_t$  of each interval corresponds to the discrete soil moisture  $\alpha_s$ .

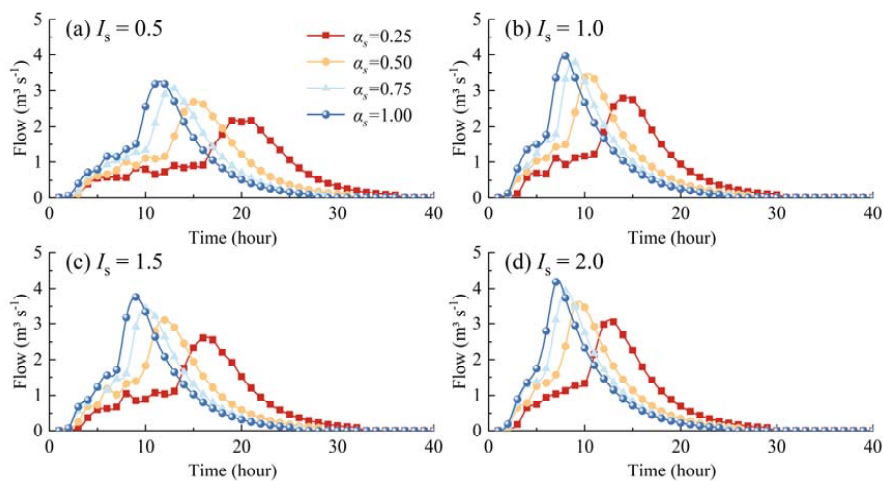
Soil moisture $\alpha_t$	$0 \leq \alpha_t \leq 0.25$	$0.25 < \alpha_t \leq 0.5$	$0.5 < \alpha_t \leq 0.75$	$0.75 < \alpha_t \leq 1$
Discrete soil moisture $\alpha_s$	0.25	0.5	0.75	1

350  
 351 **Figure 4.** Saturated areas corresponding to various soil moisture proportions, where green area  
 352 represents the saturated region and white area represents the unsaturated region. (a), (b) and (c) are the  
 353 saturated areas corresponding to 0.25, 0.5 and 0.75 soil moisture proportions for the Longhu River  
 354 basin. (d), (e) and (f) are the saturated areas corresponding to 0.25, 0.5 and 0.75 soil moisture  
 355 proportions for the Dongshi River basin.

356 The computed TDUHs and DTDUHs for the Longhu River basin and the Dongshi River basin  
 357 are demonstrated in Fig. 5 to Fig. 8. For instance, when the excess rainfall intensities range from 0 to  
 358  $5 \text{ mm h}^{-1}$  and the soil moisture contents are between 0 and 0.25, the TDUH used for the surface runoff  
 359 routing is corresponding to the red line in Fig. 5(a) for the Longhu River basin. Although the time to  
 360 peak and flow peak discharge can be different for various TDUHs, the areas below the curve are the  
 361 same with each other. However, the areas below the curve are not necessary the same for the DTDUH,  
 362 as the proposed DTDUH is derived from the saturated areas, as shown in Fig. 6. Only when the  
 363 watershed reaches a global saturated state will the DTDUH be the same as the TDUH. It is noteworthy

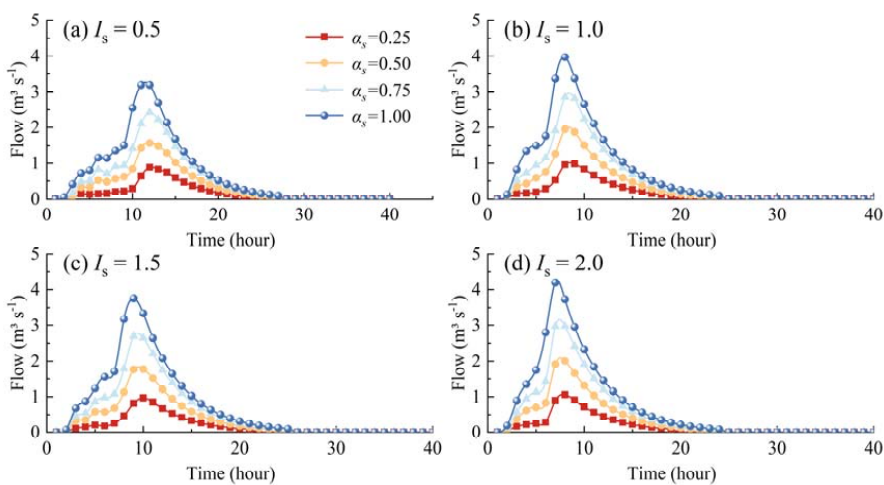


364 that for the same rainfall intensity, the time to peak of the DTDUHs corresponding to various soil  
365 moisture content are almost consistent with slight variations.



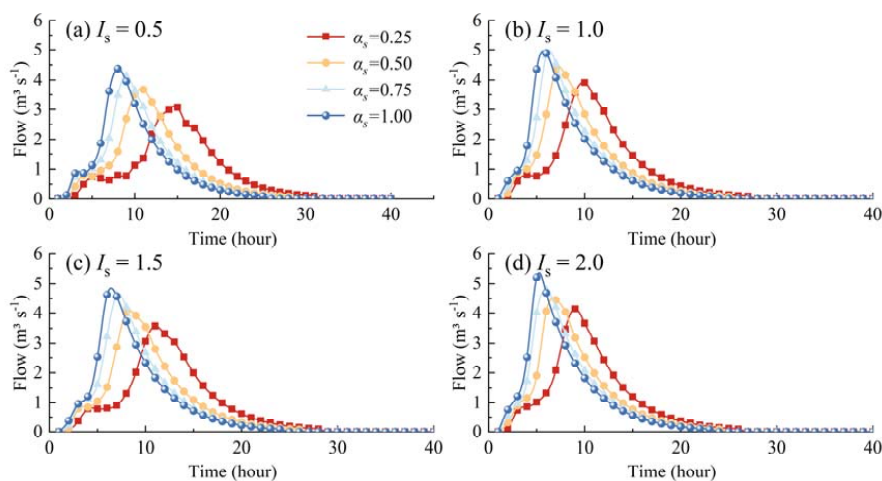
366

367 **Figure 5.** The TDUHs of the Longhu River basin. (a)  $I_s = 0.5$ . (b)  $I_s = 1.0$ . (c)  $I_s = 1.5$ . (d)  $I_s = 2.0$ .



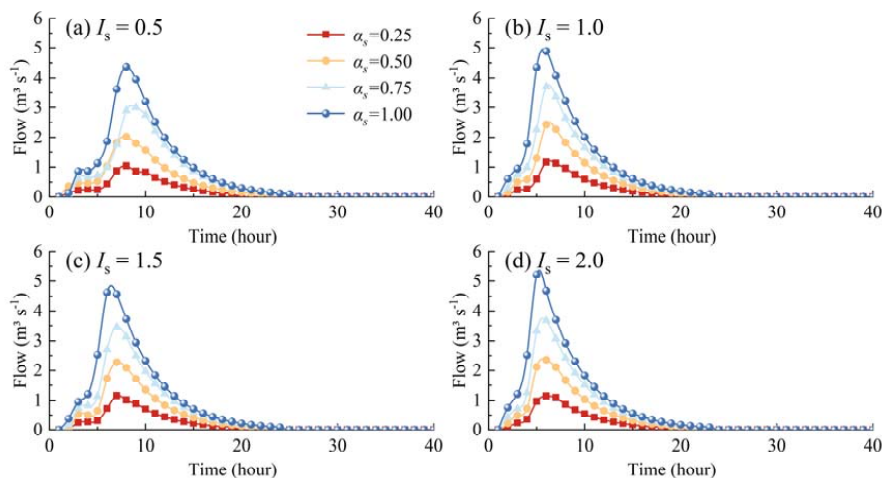
368

369 **Figure 6.** The DTDUHs of the Longhu River basin. (a)  $I_s = 0.5$ . (b)  $I_s = 1.0$ . (c)  $I_s = 1.5$ . (d)  $I_s = 2.0$ .



370

371 **Figure 7.** The TDUHs of the Dongshi River basin. (a)  $I_s = 0.5$ . (b)  $I_s = 1.0$ . (c)  $I_s = 1.5$ . (d)  $I_s = 2.0$ .



372

373 **Figure 8.** The DTDUHs of the Dongshi River basin. (a)  $I_s = 0.5$ . (b)  $I_s = 1.0$ . (c)  $I_s = 1.5$ . (d)  $I_s = 2.0$ .

### 374 4.3 Errors due to spatial scale mismatch between runoff generation and runoff routing

375 To investigate the possible errors due to spatial scale mismatch between runoff generation and  
376 runoff routing, we assumed several sets of excess rainfall with intensities of 5, 10, 15 and 20 mm h<sup>-1</sup>,  
377 and the saturated proportions of the basin are 0.25, 0.5, 0.75 and 1, respectively. Considering the  
378 average rainfall intensities of the two basins, the time durations of the rainfall are assumed changing

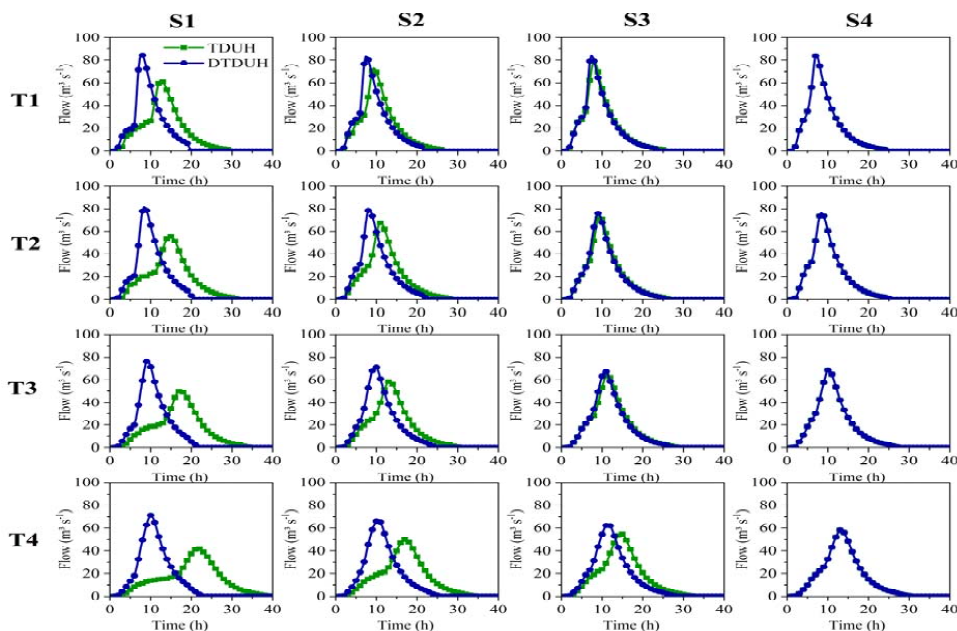


379 from 1 to 4 h, and the combinations are shown in the Table 3. Thus, 16 combinations can be formed  
380 based on Table 3. For example, combination R-T1-S1 indicates there is a total depth of 20 mm excess  
381 rainfall in the global watershed with the time duration being 1 hour ( $20 \text{ mm h}^{-1}$ ), and the saturated  
382 proportion of the basin is 0.25. Similarly, combination R-T3-S2 indicates there is a total depth of 20  
383 mm excess rainfall in the global watershed with the time durations being 4 hours ( $5 \text{ mm h}^{-1}$ ), and the  
384 saturated proportion of the basin is 0.50. Then, the flow hydrograph due to the assumed excess rainfall  
385 can be obtained using the TDUH and the DTDUH, thus to compare the errors of spatial scale mismatch  
386 between runoff generation and runoff routing. The flow hydrograph computed using the TDUH and  
387 DTDUH corresponding to the two basins are shown in Fig. 9 and Fig. 10, respectively.

388 **Table 3.** Combinations of the assumed excess rainfall, time duration and soil moisture content.

Depth of excess rainfall (mm)	Time duration (h)	Soil moisture content
20 (R)	1 (T1)	0.25 (S1)
20 (R)	2 (T2)	0.50 (S2)
20 (R)	3 (T3)	0.75 (S3)
20 (R)	4 (T4)	1.00 (S4)

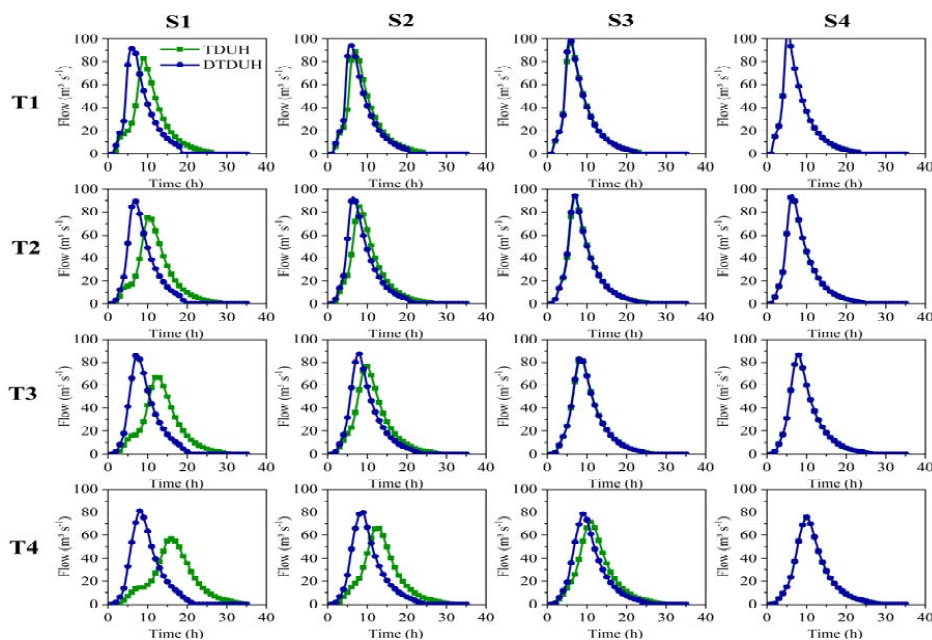




389

390 **Figure 9.** Errors of the flow hydrograph due to spatial mismatch between runoff generation and runoff

391 routing for the Longhu River basin



392





393 **Figure 10.** Errors of the flow hydrograph due to spatial mismatch between runoff generation and runoff  
394 routing for the Dongshi River basin

395 It can be found from Fig. 9 that the soil moisture significantly influences the results of the TDUH,  
396 while the results of the DTDUH are almost not changed with the variation of soil moisture for the  
397 Longhu River basin. When the saturated soil moisture proportion is low, the results of the two routing  
398 methods are significantly different. Simultaneously, when the saturated soil moisture proportion  
399 exceeds 0.5, the results of the TDUH and the DTDUH perform almost consistently. The differences  
400 between the results of the two methods increase with the duration of excess rainfall.

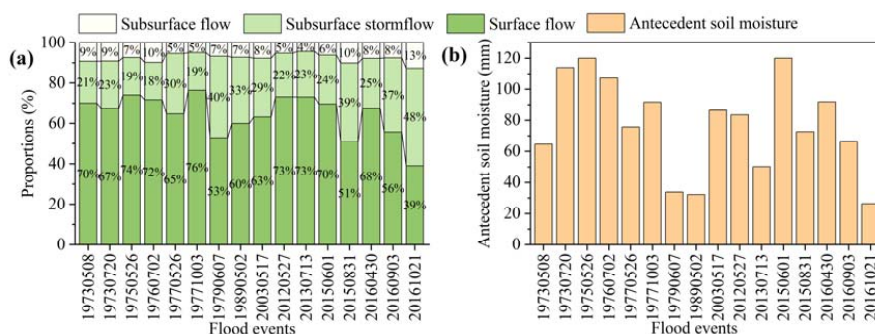
401 For the Dongshi River basin, it can be seen from Fig. 10 that patterns of the soil moisture and  
402 time duration on the performances are basically consistent with those of the Longhu River basin.  
403 Conversely, the soil moisture shows a more pronounced effect on the DTDUH, and the flow peak  
404 discharge become higher with the soil moisture changing from S1 to S4. It can also be found that the  
405 differences between the results of the TDUH and the DTDUH in the Dongshi River basin are smaller  
406 than those in the Longhu River basin, which means that the two routing methods will perform similarly  
407 for the Dongshi River basin.

408 In summary, the performances of the TDUH and DTDUH are consistent for higher soil moisture  
409 and higher rainfall intensity. When the soil moisture is low and the time duration of the excess rainfall  
410 is long, we should pay much attention to the errors due to the spatial scale mismatch between runoff  
411 generation and runoff routing. Additionally, the differences caused by this mismatch vary significantly  
412 in different watersheds.



413 *4.4 Performances of the DTDUH for the Longhu River basin*

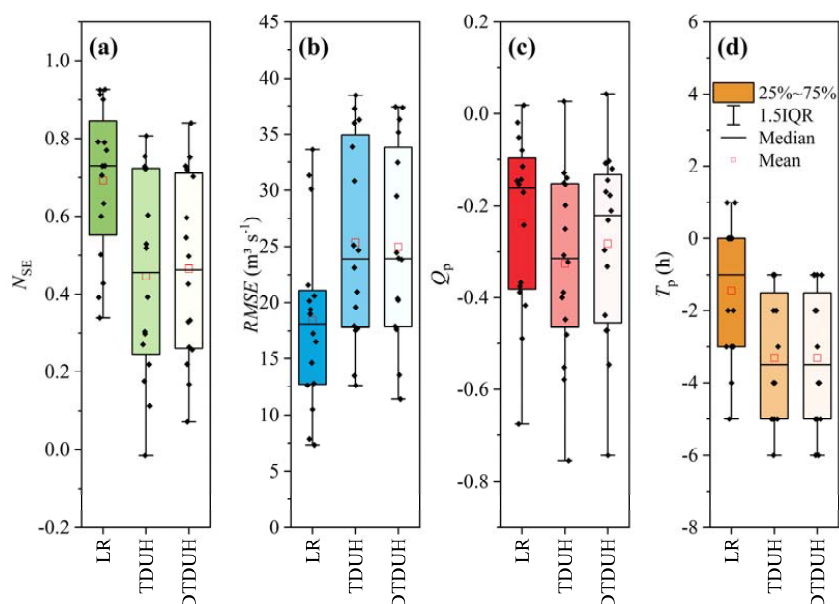
414 A total of 16 isolated storms with the observed runoff responses from 1973 to 2016 were selected  
 415 to explore the performances of the TDUH and DTDUH for the Longhu River basin. Since the TDUH  
 416 and the DTDUH were used for the surface runoff routing, the proportions of the surface runoff had  
 417 significant influence on the hydrological modelling performances. However, the performances of the  
 418 model were evaluated based on the flow hydrograph at the outlet of the watershed, and the flow  
 419 hydrograph was composed by three components including the surface runoff, subsurface stormflow  
 420 runoff and subsurface runoff. To evaluate the performances of the TDUH and DTDUH under the  
 421 condition of XAJ modelling framework, we are supposed to calculate the ratio of surface runoff to the  
 422 total depth of excess rainfall, as shown in Fig. 11(a). Results show that the surface runoff accounts for  
 423 most of the total depth of excess rainfall, which means that the performances of the hydrological model  
 424 are mainly affected by the surface runoff routing methods. To this end, it is reasonable to compare the  
 425 performances of the TDUH and DTDUH for the Longhu River basin. Simultaneously, Fig. 11(b) shows  
 426 the antecedent soil moisture of the 16 flood events. The proportion of the saturated areas accounts  
 427 more than 50% for most of the flood events.



428  
 429 **Figure 11.** Details of the runoff components and antecedent soil moisture of the 16 flood events for



430 the Longhu River basin. (a) Stacked bar charts of runoff components. (b) Bar charts of the antecedent  
431 soil moisture.



432  
433 **Figure 12.** Distributions of the evaluation index based on different runoff routing methods for the  
434 Longhu River basin. (a) Distribution of  $NSE$ . (b) Distribution of  $RMSE$ . (c) Distribution of  $Q_p$ . (d)  
435 Distribution of  $T_p$ .

436 Three surface runoff routing methods were used for the runoff simulation. Fig. 12 plots the  
437 distributions of the evaluation index using different surface runoff routing methods. Results of the four  
438 indicators show that the average  $NSE$  of the two DUH methods were low since the parameters of the  
439 XAJ model were calibrated with the linear reservoir method, hence the linear reservoir method  
440 performed the best. The results of the TDUH and DTDUH were basically consistent, and the DTDUH  
441 performed slightly better than TDUH. Noteworthily, it can be seen from Fig. 12(c) that the median of  
442 the relative error of the flood peak of the DTDUH method is increased by 10% compared to that of the



443 TDUH method, and the average error of the relative flood peak discharge of the proposed method is  
444 significantly smaller than that of the TDUH. The average antecedent soil moisture of the Longhu River  
445 basin is 77 mm which leads to a saturated proportion larger than 0.5. As discussed in the Section 4.2,  
446 the performances of the TDUH and DTDUH are consistent when the saturated proportion is larger  
447 than 0.5.

448 Additionally, we selected several flood events whose antecedent soil moisture are low and the  
449 *NSE* is larger than 0.5 for analyses. Table 4 lists the evaluation indicators of the three methods in the  
450 runoff forecasting application. It can be seen from Table 4 that all these four flood events show good  
451 performances when the linear reservoir is used for the runoff routing. The antecedent soil moistures of  
452 these flood events are 64, 33, 50 and 26 mm, respectively. The errors of the relative flood peak  
453 discharge of the DTDUH decrease by 3%, 3%, 3% and 8% for the four flood events, compared with  
454 those of the TDUH. The *NSE* of the TDUH and the DTDUH are consistent, and the *RMSE* of the  
455 DTDUH is slightly lower than that of the TDUH methods. The time to flood peak is absolutely the  
456 same for the two methods. It can be concluded that the DTDUH method indicates good performances  
457 for the flood events with low antecedent soil moisture, and it performs consistently with the TDUH  
458 when the global watershed is nearly saturated. This conclusion is consistent with that of the Section  
459 4.2, and the assumption that there will be considerable errors due to the spatial scale mismatch between  
460 runoff generation and runoff routing in low antecedent soil moisture, has been validated.

461 **Table 4.** Indicators of different routing methods for the Longhu River basin.

Indicators	Flood events	Linear reservoir	TDUH	DTDUH	Performances
<i>NSE</i>	19730508	0.93	0.81	0.84	↑
	19790607	0.73	0.60	0.60	–



	20130713	0.91	0.72	0.70	↓
	20161021	0.90	0.72	0.72	–
	19730508	7.85	12.62	11.46	↑
	19790607	14.63	17.71	17.85	↓
<i>RMSE</i>	20130713	20.15	36.03	37.36	↓
	20161021	10.51	17.55	17.64	↓
	19730508	-0.15	-0.20	-0.17	↑
	19790607	-0.14	-0.14	-0.11	↑
<i>Q<sub>p</sub></i>	20130713	-0.05	-0.15	-0.12	↑
	20161021	-0.08	-0.25	-0.17	↑
	19730508	0	-4	-4	–
	19790607	0	-2	-2	–
<i>T<sub>p</sub></i>	20130713	0	-1	-1	–
	20161021	-3	-5	-5	–

462 Note: The symbols ↑, ↓ and – mean the performance of the DTDUH is better, lower and  
 463 consistent compared with that of the TDUH.

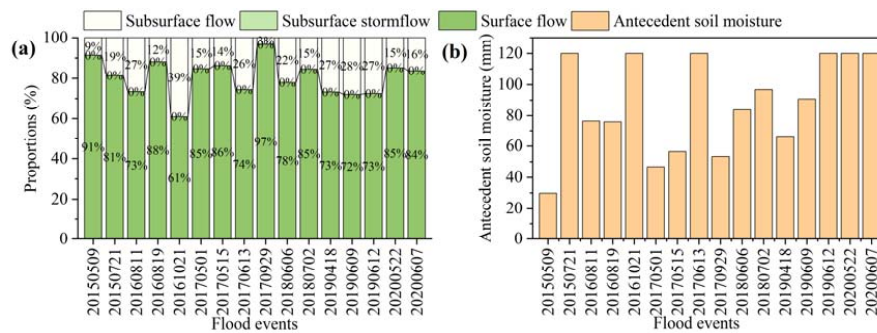
#### 464 4.5 Performances of the DTDUH for the Dongshi River basin

465 Similarly, a total of 16 isolated storms with the observed runoff responses from 2015 to 2020  
 466 were selected to explore the performances of the TDUH and DTDUH for the Dongshi River basin.  
 467 The components of the runoff and the antecedent soil moisture for the 16 flood events are shown in  
 468 Fig. 13(a) and Fig. 13(b). Fig. 13(a) shows that the depth of the surface runoff accounts for more than  
 469 70% of the total depth runoff and the study watershed has no subsurface stormflow, which means that  
 470 the Dongshi River basin can also be well used for exploring the performances of the TDUH and the  
 471 DTDUH. The average antecedent soil moisture of the 16 flood events is 87 mm, which is larger than  
 472 that of the Longhu River basin.

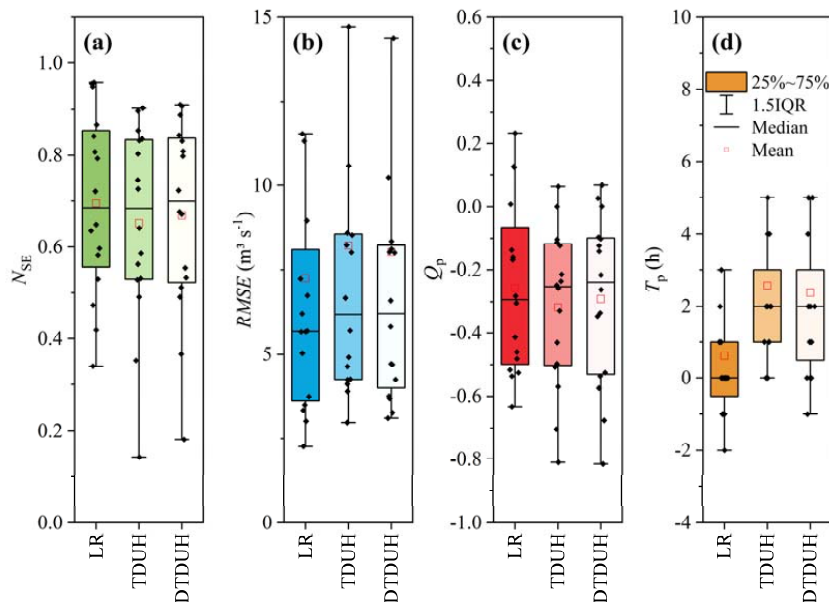
473 Fig. 14 plots the distributions of the evaluation index using different flow routing methods for the  
 474 Dongshi River basin. It can be seen from Fig. 14 that the linear reservoir method shows the best  
 475 performances overall, and the TDUH and DTDUH have consistent performances. The consistent



476 performances of the TDUH and DTDUH can be due to the fact that the average antecedent soil  
 477 moisture of these 16 flood events is high. Hence, the errors caused by the spatial scale mismatch are  
 478 small.



479  
 480 **Figure 13.** Details of the runoff components and antecedent soil moisture of the 16 flood events for  
 481 the Dongshi River basin. (a) Stacked bar charts of runoff components. (b) Bar charts of the antecedent  
 482 soil moisture.



483  
 484 **Figure 14.** Distributions of the evaluation index based on different runoff routing methods for the



485 Dongshi River basin. (a) Distribution of  $NSE$ . (b) Distribution of  $RMSE$ . (c) Distribution of  $Q_p$ . (d)  
 486 Distribution of  $T_p$ .

487 However, the differences of the results of the TDUH and DTDUH can be identified in specific  
 488 flood event. Table 5 lists the evaluation indicators of the three methods in the runoff forecasting  
 489 application for flood events No. 20170501, No. 20170515, No. 20170929 and No. 20190418. The  
 490 antecedent soil moistures of these flood events are 47, 56, 53 and 66 mm, respectively. It can be found  
 491 from Table 5 that the performances of the DTDUH are better than those of the TDUH. Therefore, we  
 492 can conclude that the proposed DTDUH shows higher accuracy for the flood events with lower  
 493 antecedent soil moisture, and these results are the same with those of the Longhu River basin.

494 **Table 5.** Indicators of different routing methods for the Dongshi River basin.

Indicators	Flood events	Linear reservoir	TDUH	DTDUH	Performances
$NSE$	20170501	0.87	0.64	0.67	↑
	20170515	0.72	0.59	0.68	↑
	20170929	0.42	0.73	0.81	↑
	20190418	0.64	0.53	0.55	↑
$RMSE$	20170501	3.01	4.91	4.71	↑
	20170515	3.49	4.25	3.75	↑
	20170929	5.67	3.90	3.25	↑
	20190418	7.24	8.23	8.01	↑
$Q_p$	20170501	-0.28	-0.26	-0.10	↑
	20170515	0.12	-0.12	-0.10	↑
	20170929	0.23	-0.43	0.067	↑
	20190418	-0.52	-0.70	-0.68	↑
$T_p$	20170501	0	4	0	↑
	20170515	0	4	4	-
	20170929	-2	2	1	↑
	20190418	1	2	2	-

495 Note: The symbols ↑, ↓ and - mean the performance of the DTDUH is better, lower and  
 496 consistent compared with that of the TDUH.



497 **5. Discussion**

498 *5.1 Forecasting performance advantage analysis of the proposed DTDUH*

499 There is no issue on the spatial scale mismatch between runoff generation and runoff routing in  
 500 the fully distributed hydrological model, since the fully distributed model solves the St. Venant  
 501 equations, however, they are usually computationally intensive (Bunster et al., 2019). For the  
 502 conceptual models, the spatially DUH method is an alternative method that allows the use of  
 503 distributed information in a much more efficient manner. A novel DUH method was proposed in this  
 504 study for the surface runoff routing, namely the DTDUH. The proposed DTDUH was computed based  
 505 on the runoff generation areas instead of the whole basin. This realization was significant different  
 506 with the current understandings (Gibbs et al., 2010; Goñi et al., 2019; Andrieu et al., 2021). To this  
 507 end, the TDUH and the DTDUH can be used for exploring the influence of spatial heterogeneity of  
 508 runoff generation on the runoff routing. The differences of the definition, assumptions as well as  
 509 limitations between the current theory and the DTDUH have been concluded in Table 6.

510 **Table 6.** The differences of the definition, assumptions as well as limitations between the current  
 511 theory and the DTDUH.

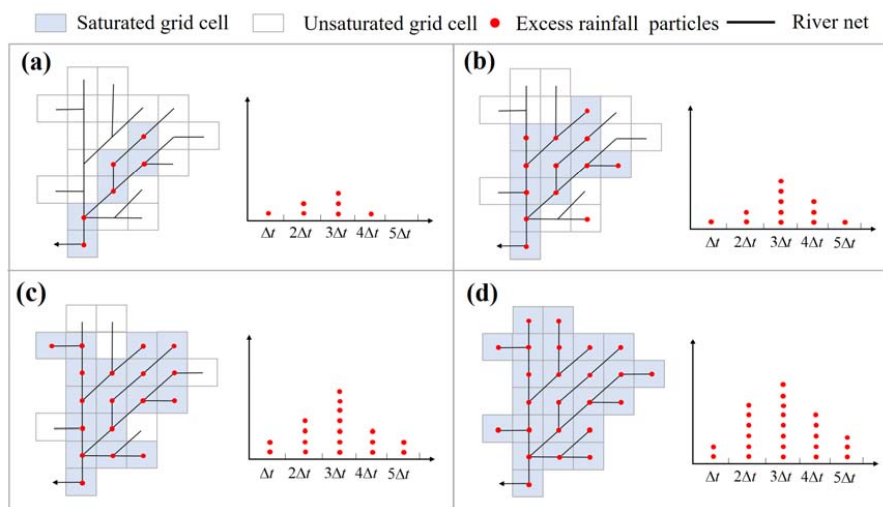
Differences	Current theory	DTDUH
Definition	A typical hydrograph of direct runoff which gets generated from one centimetre of effective rainfall falling at a uniform rate over the entire drainage basin uniformly during a specific duration.	A typical hydrograph of direct runoff which gets generated from one centimetre of effective rainfall falling at a uniform rate over the saturated drainage basin uniformly during a specific duration.
Assumption	i) The effective rainfall is uniformly distributed over the entire drainage basin. ii) The effective rainfall occurs uniformly within its specifier duration. iii) The principle time invariance.	i) The effective rainfall is uniformly distributed over the saturated drainage areas. ii) The effective rainfall occurs uniformly within its specifier duration.





	iii) It can be time variant.
Limitation	i) The limiting size of the drainage basin is considered to be 5000 km <sup>2</sup> .
	ii) The physical basin characteristics must be unchanged.
	i) The limiting size of the drainage basin is small watershed, otherwise the DUH method is not applicable.
	ii) The saturated areas should be obtained based on the physical characteristics of the watershed.

512 In this study, the DTDUH was computed considering the time-varying characteristics of the  
 513 saturated areas, and the excess rainfall was redistributed in the saturated areas instead of the whole  
 514 basin. The diagram of the DTDUH derivation processes corresponding to various saturated soil  
 515 moisture can be shown as Fig. 15. The total travel time from each saturated grid cell to the outlet is  
 516 obtained by directly recording each particle's total travel time from the initial location until the particle  
 517 leaves the basin. We can obtain the DTDUH when the last particle leaves the basin. There are 24 grid  
 518 cells in the basin. For instance, the derivation of the TDUH corresponding to saturated proportions 25%  
 519 (6 grid cells) is shown as Fig. 15(a). The DTDUH is not the same with the TDUH until the basin reach  
 520 a global saturation as Fig. 15(d).



521  
 522 **Figure 15.** The diagram of the DTDUH corresponding to various soil moisture. (a)  $\alpha_s = 0.25$ . (b)



523  $\alpha_s = 0.50$ . (c)  $\alpha_s = 0.75$ . (d)  $\alpha_s = 1.00$ .

524 For instance, when there occurs a stormflow with the depth of 10 mm in the whole basin and the  
525 saturated proportion is 50%, the actual depth of the excess rainfall in the saturated area is 20 mm. In  
526 tradition, the flow hydrograph was calculated using the TDUH as Fig 15. (d), neglecting the issues that  
527 excess rainfall particles are only on the saturated areas. This neglectation therefore leads to errors  
528 because the unsaturated areas where no excess rainfall is generated contributes to the confluence. To  
529 solve this issue, the flow hydrograph was calculated using the DTDUH as Fig. 15 (b) in this study, and  
530 in turn can improve the forecast performances of the hydrological model.

### 531 *5.2 Potential shortcomings and improvements of the proposed DTDUH*

532 Although the performances of the DTDUH methods are better than those of the TDUH methods,  
533 there are still some issues that need to be addressed: 1) A hybrid runoff generation process pattern  
534 formed by more than one mechanism can often be identified in semi-humid, semi-arid and mountain  
535 watershed, because of the heterogeneity of underlying surface conditions and meteorological factors  
536 (Hu et al., 2021; Yi et al., 2023). When there occurs more than the saturation-excess rainfall, the  
537 saturated area extraction method based on the TWI will not be applicable as the excess rainfall can  
538 also be generated from the unsaturated areas; 2) As discussed above, the saturation areas were extracted  
539 based on the TWI in this study (Tong et al., 2018; Yuan et al., 2019). When the rainfall is spatially  
540 uneven distributed over the watershed, the errors of the extracted saturated area can be huge as the grid  
541 cells with larger tension water capacity may be saturated in a shorter time than those with smaller  
542 tension water capacity. Thus, determining the time-varying saturated grid cells is a considerable  
543 challenge for the accurate hydrological forecasting, since it influences the accuracy of the DTDUH; 3)



544 The DTDUH can be well used for the areas with abundant surface runoff. For the semi-humid and  
545 semi-arid watershed with a large proportion of the subsurface stormflow runoff and subsurface runoff,  
546 how to develop a dynamic confluence method suitable for these regions is another challenging  
547 hydrologic issue because the mechanism of the subsurface stormflow generation is ambiguous, and  
548 quantifying the subsurface stormflow generating processes is a formidable task as the subsurface  
549 stormflow runoff and subsurface runoff cannot be observed directly.

## 550 **6. Conclusions**

551 A novel DTDUH method was proposed to explore the influence of spatial heterogeneity of runoff  
552 generation on the runoff routing. The XAJ model was used as the hydrological modelling framework.  
553 The Longhu River basin and the Dongshi River basin were selected as two case studies. The results of  
554 the three surface runoff routing methods including the linear reservoir, TDUH and DTDUH were  
555 compared. The advantages and shortcomings of the proposed method have been discussed. The main  
556 conclusions can be summarized as follows:

557 1. A novel DTDUH method designed for the surface runoff routing was proposed based on the  
558 TDUH method. The traditional TDUH method was derived based on the whole basin, and the proposed  
559 method was designed only for the saturated areas. The DTDUH method considered not only the time-  
560 varying rainfall intensities and soil moisture, but also the time-varying saturated areas of the watershed  
561 which were extracted based on the TWI.

562 2. The rationality of the proposed method was verified by comparing the performances of  
563 XAJ+LR, XAJ + TDUH and XAJ +DTDUH models, which were calibrated separately. Results shows  
564 that the proposed method exhibited consistent or better performance compared with that of the LR



565 routing method, and performed better than the TDUH method.

566         3. The influence of spatial heterogeneity of runoff generation on the runoff routing was carried  
567 out by comparing the performances of the TDUH and the DTDUH. The performances of the proposed  
568 DTDUH has been compared with the traditional TDUH method in the Longhu River basin and the  
569 Dongshi River basin while the parameters of the runoff generation module remained the same. Results  
570 show that the DTDUH show a better performance for the flood events with low antecedent soil  
571 moisture, and when the antecedent soil moisture is high, the performance of the two methods  
572 performed consistently.

573         4. The differences of definition, assumptions as well as limitations between the current UH theory  
574 and the DTDUH have been discussed. Additionally, some challenges related to the DTDUH has been  
575 presented, for example, the hybrid runoff being generated more than in the saturated areas, the  
576 extraction accuracy of the time-varying saturation areas, and the spatial scale mismatch between runoff  
577 generation and runoff routing of the subsurface stormflow runoff as well as the subsurface runoff.



578 **Data availability**

579 Due to the strict security requirements from the departments, some or all data, models,  
580 or code generated or used in the study are proprietary or confidential in nature and may  
581 only be provided with restrictions (e.g. anonymized data).

582 **Author contributions**

583 Lu Chen conceived the original idea, and Bin Yi designed the methodology, developed  
584 the code and performed the study. Lu Chen, Bin Yi and Tao Xie contributed to the  
585 interpretation of the results. Bin Yi wrote the paper, and Lu Chen revised the paper.

586 **Competing interests**

587 The authors declare that they have no conflict of interest.

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