1	Bimodal Hydrographs in Semi-humid Forested Watershed: Characteristics and				
2	2 Occurrence Conditions				
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13	Key Points:				
14	• The stormflow hydrograph of the studied watershed displays a bimodal pattern.				
15	• The onset of the bimodal response demonstrates a threshold behavior.				
16	• Delayed stormflow is mainly contributed by shallow groundwater.				
17					

# 18 Abstract

19 Bimodal runoff behavior, characterized by two distinct peaks in flow response, often leads to 20 significant stormflow and associated flooding. Understanding and characterizing this phenomenon 21 is crucial for effective flood forecasting. However, this runoff behavior has been understudied and 22 poorly understood in semi-humid regions. In this study, we investigated the response 23 characteristics and occurrence conditions of bimodal hydrograph based on the hydrometric and 24 isotope data spanning 10 years in a semi-humid forested watershed in North China. The main findings include: 1) the onset of the bimodal hydrograph exhibits a threshold behavior, with 25 26 delayed streamflow peaks occurring when the sum of event rainfall (P) and antecedent soil 27 moisture index prior to the rainfall (ASI) exceeds 200 mm; 2) isotopic hydrograph separation 28 reveals that delayed stormflow process is primarily driven by pre-event water, with increasing 29 contributions of pre-event water during catchment wetting-up; 3) the dynamic variation in 30 groundwater level precedes that of streamflow, establishing a hysteretic relationship wherein 31 groundwater level peaks before streamflow during delayed stormflow. These findings, supported 32 by onsite observations, emphasize the dominance of shallow groundwater flow in the generation 33 of delayed stormflow.

Keywords: Semi-humid watershed, Stormflow, Bimodal runoff response, Threshold, Shallow
 groundwater

# 36 **1. Introduction**

Runoff generation is one of the most complex hydrological processes due to their complexity
and non-linearity (McDonnell *et al.*, 2007; McGuire & McDonnell, 2010; Phillips, 2003). At

39 different times of a year, the activation of different runoff generating mechanisms, and contrasting 40 compartments and flow routes form different hydrograph shapes, which are generally classified as 41 unimodal and bimodal response types (Jenkins et al., 1994; Gu, 1996; Kosugi et al., 2011). A 42 unimodal response is characterized by a needle-shaped peak which responds immediately to the 43 rainfall impulse. In contrast, the bimodal response contains a delayed damped arch-shaped peak 44 responding to the same rainfall impulse in addition to the direct peak (Martínez-Carreras et al., 45 2016). Generally, the delayed peak in a bimodal event contributes substantially more runoff than 46 the first peak (Zillgens et al., 2007). For instance, the study by Onda et al. (2001) showed that the 47 delayed peak discharge is five to ten times greater than the first peak. When the bimodal runoff 48 event occurs, the streamflow increases markedly and lasts for several days. Therefore, 49 characterizing the bimodal response is of great significance to understanding the runoff generation 50 process and essential to achieving improved forecasting of extreme floods.

51 Since the bimodal hydrograph was accidently observed in Côte d'Ivoire in 1960 during flood 52 frequency analysis and surface runoff generation study (Dubreuil, 1960, 1985), bimodal response 53 has piqued the interest of many hydrologists worldwide and been recorded in watersheds with 54 varied geological and climate conditions. For example, Onda et al. (2001) observed bimodal 55 hydrographs in a steep mountainous watershed underlain by shale and serpentinite in Japan (annual 56 precipitation: 1800 mm). Padilla et al. (2014, 2015) found delayed peaks after the rainfall in a 57 steep headwater catchment underlain by fractured bedrock also in Japan (annual precipitation: 58 2669 mm). Zillgens et al. (2007) recorded a delayed peak after the direct peak in Saalach basin in 59 the Austrian Alps (annual precipitation: 1400 mm). Masiyandima et al. (2003) found bimodal 60 responses in an inland valley watershed with wet lowlands in central Côte d'Ivoire (annual rainfall: 61 1045 mm). Anderson and Burt (1977, 1978) observed delayed peak after the storm at Bicknoller Combe in Sommerset, composed of impermeable Old Red Sandstone. The characteristics and conditions of occurrence of bimodal hydrograph can provide an effective method for simplifying the description of complex hydrological systems, and comparing stormflow generation mechanism in different watersheds (Tromp-van Meerveld & McDonnel, 2006). However, most of these studies mentioned above have been done in humid regions with rainfall of more than 1000 mm. To the best of authors' knowledge, very few studies if not none have been conducted in semi-humid environment with rainfall less than 800 mm.

Meanwhile, recognizing the pivotal role of bimodal response in runoff generation, researchers 69 70 have made concerted efforts over the past several decades to quantify its characteristics and 71 establish statistical metrics for identifying the occurrence of bimodal events. Findings suggest that 72 indicators for bimodal response encompass factors such as rainfall amount (Haga et al., 2005), 73 pre-event streamflow (Graeff et al., 2009), soil moisture (Anderson & Burt, 1978; Weyman, 1970), 74 groundwater level (Padilla et al., 2015) and storage (Martínez-Carreras et al., 2016). Taking the 75 work of Martínez-Carreras *et al.* (2016) as an illustrative example, it revealed that the delayed peak 76 manifested only when the watershed storage reached a critical threshold of 113 mm. It is 77 noteworthy that predictors vary significantly among watersheds, with only a limited number of 78 studies presenting quantitative results akin to those reported by Martínez-Carreras et al. (2016). 79 Moreover, response timing metrics such as response lag to peak—providing insights into different 80 aspects of water travel time during an event—have received comparatively less attention in the 81 evaluation of threshold effects (Dingman, 2015; Ross et al., 2021).

Many studies have delved into the compartments and flow pathways responsible for generating distinct runoff response patterns. The first runoff peaks are attributed to factors such as rainwater directly falling onto the stream channel, rapid flow through preferential paths (Becker & McDonnell, 1998; Martínez-Carreras *et al.*, 2015; Wrede *et al.*, 2015), or saturation-excess
overland flow in the riparian zone (Anderson & Burt, 1978; Westhoff *et al.*, 2011). While delayed
runoff peaks in bimodal events are primarily linked to subsurface flow processes (Weyman, 1970;
Onda *et al.*, 2006; Zillgens *et al.*, 2007; Graeff *et al.*, 2009; Padilla *et al.*, 2015). However, a notable
gap exists in the literature, as many studies have focused solely on water flow processes within the
soil profile without thoroughly investigating whether subsurface stormflow originates from the
soil layer, bedrock layer, or a combination of both.

92 Bimodal responses, representing the nonlinear interplay between runoff and rainfall, 93 inherently showcase the stormflow process in terms of both response timing and magnitude. This 94 intuitive manifestation holds significant implications for advancing runoff modeling (Graeff et al., 95 2009; McDonnell et al., 2007) and enhancing the precision of flash flood forecasting (Zhang et al., 96 2021; Zillgens et al., 2007). In our present study, spanning the years 2014 to 2023, we collected 97 data on rainfall, groundwater levels, soil water content, and streamflow within a semi-humid forest 98 experimental watershed in North China. Our investigation involves characterizing the response 99 magnitude and timing of stormflow to rainfall through hydrograph analysis, while also scrutinizing 100 the composition of the water sources contributing to stormflow. Specifically, we hypothesize that 101 (1) the occurrence of bimodal streamflow responses exhibits a threshold behavior with rainfall and 102 watershed wetness, and (2) the primary source of water for the delayed stormflow is subsurface 103 flow.

# 104 **2. Materials and Methods**

## 105 **2.1 Study area**

106 The study headwater catchment, the Xitaizi Experimental Watershed (XEW), is situated at 107 coordinates 40°32'N and 116°37'E, as depicted in Fig. 1. Spanning an area of 4.22 km<sup>2</sup>, XEW 108 exhibits elevations ranging from 676 to 1201 m above sea level. Approximately 54% of the area 109 features a slope between 20% and 40%. The region experiences a monsoon-influenced semi-humid 110 climate characterized by an average annual rainfall of 625 mm. The majority of this precipitation, 111 around 80%, occurs between June and September. The annual mean temperature in the area is 112 11.5°C, accompanied by a relative humidity of 59.1%. Experimental and observational activities 113 were conducted over the period from 2014 to 2023.



Figure 1. Location of the Xitaizi Experimental Watershed (XEW) in North China (a), and the detailed distributed monitoring stations and instruments (b), including four automatic weather stations (WS700-1100), one weir, and eleven groundwater boreholes (blue star corresponds with well numbers and locations). Four rain gauges are located near the weather stations, and one is located adjacent to the weir.

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121 XEW represents a typical location in North China's earth-rocky mountainous region, where 122 approximately 80% of the catchment area is underlain by firmly compacted, deeply weathered 123 granite. Soil mapping and field investigations reveal the prevalent soil types to be brown earth and 124 cinnamon soil (according to Chinese soil taxonomy), with a depth extending to 1.5 meters. The 125 saturated hydraulic conductivity of the soil ranges from 19.5 to 175.3 mm/h, with an average value 126 of 45 mm/h. The bedrock in the area is primarily composed of granite, constituting approximately 127 88% of the total bedrock composition, while gneiss and dolomite are sporadically distributed. 128 Some sections of the granite exhibit fracture, and a layer of regolith is sandwiched between the 129 soil layer and the bedrock layer. In terms of land cover, the catchment is predominantly covered 130 by forest (98%), with 54.2% being broad-leaved, 2.3% coniferous, and 10.5% a mix of coniferous 131 and broad-leaved. The remaining 33% consists of shrubs (Tie et al., 2017).

## 132 **2.2 Meteorology and runoff measurements**

Meteorological variables and runoff have been systematically monitored since 2013. Meteorological conditions were consistently measured using four GRWS100 automatic weather stations. These weather stations were strategically distributed quasi-uniformly along the elevation gradient, as depicted in Fig. 1. The comprehensive data collection from these stations contributes to a thorough understanding of the meteorological dynamics in the study area over the specified timeframe.

For the measurement of air temperature (Ta) and relative humidity at each automatic weather station, an HC2S3-L temperature and relative humidity probe was utilized. These probes were equipped with a radiation shield to enhance accuracy. Simultaneously, a LI-190R quantum sensor was employed to measure photosynthetically active radiation (PAR). Rainfall data were collected at 10-minute intervals using six tipping-bucket rain gauges. These gauges were positioned in an open space near the automatic weather stations, and average values were adopted for analysis inthis study.

Furthermore, the antecedent precipitation index (API), generally used to represent the residual effect of previous precipitation (Mosley, 1979; Iwagami *et al.*, 2010), was calculated for all the events over 3, 6, and 12 days. The API during the antecedent *t* days is described as follows:

150 where *i* is the day count and  $P_i$  is the daily precipitation in the *i*<sup>th</sup> day previously.

151 A Parshall flume was installed at the catchment outlet to measure streamflow (Fig. 1). The 152 water level in the flume was measured every 5 min with a HOBO capacitance water level logger 153 from 2014. Streamflow was calculated using the standard Parshall flume rating curve, and both 154 the rainfall and streamflow measurements were averaged to hourly timesteps, and in this study, 155 the analysis is conducted at hourly timesteps. Unfortunately, the observation equipment is 156 susceptible to failures due to the complex environmental conditions and disturbances caused by 157 wild animals and plants. Compounded by the remote location of XEW, accessing the site promptly 158 to address malfunctions is challenging, leading to the loss of some observation data. Notably, 159 stormflow data from July 19 to August 16, 2016, had to be excluded because the road collapsed 160 during a heavy storm, preventing a significant amount of runoff from passing through the Parshall 161 flume. Furthermore, streamflow data from 2018 to 2019 are unavailable, and the two bimodal 162 events in 2016 were omitted from the hysteresis analysis due to substantial errors in streamflow 163 observations resulting from damage to the diversion channel. The specific observation periods are 164 detailed in Table 1. These limitations underscore the challenges associated with conducting 165 observations in remote and environmentally intricate locations.

- **Table 1.** Rainfall-runoff event classification and counts by year. This table provides a
- 167 breakdown of the number of rainfall-runoff events categorized as unimodal, bimodal, and hybrid
- 168 bimodal for each year, along with the corresponding time periods. The total counts are
- summarized at the bottom.

Year	Unimodal event	Bimodal event	Hybrid bimodal event	Time period
Characteristics	A needle-shaped peak which responds immediately to the rainfall impulse	A delayed damped arch-shaped peak responding to the same rainfall impulse in addition to the direct peak	The delayed peak increased rapidly and merged with the direct peak, generating extremely high streamflow volume	
2014	7	-	-	Jul 25 - Sep 25
2015	12	2	-	Jun 1 - Oct 1
2016	2	2	1	Jul 10 - Aug 20
2017	-	2	-	Jun 20 - Jul 10
2020	14	2	-	Jul 1 - Oct 10
2021	15	5	2	Jun1 - Oct 10
2022	18	1	-	Apr 1 - Nov 1
2023	9	-	1	Apr 1 - Nov 1
Total	77	14	4	

<sup>170</sup> 

# 171 **2.3. Soil water content observation**

172 Volumetric soil water content (SWC) was measured at eight observation sites using CS616 time-domain reflectometry (TDR) probes at 10-min intervals. On Hillslope 1, five soil moisture 173 174 sensors were deployed, with an additional three located adjacent to WS900. These sensors were strategically placed in the soil profiles at 80 cm depth intervals, each at a depth of 10 cm. For 175 176 analysis in this study, the 10-minute interval measurements were aggregated to hourly time steps, 177 and the arithmetic mean of the total SWC across the four profiles was employed. Moreover, SWC data immediately preceding a rainfall event were integrated over the 80 cm depth to calculate an 178 179 antecedent soil moisture index (ASI), as proposed by Haga et al. (2005). This index, commonly utilized in analyzing the impact of antecedent shallow soil water storage on catchment runoff 180

response (Fu *et al.*, 2013; Penna *et al.*, 2011), provides valuable insights into the soil moisture
conditions preceding rainfall events.

#### **2.4 Groundwater level observation**

Fluctuations in groundwater level (below the ground surface, hereinafter referred to as bgs) were systematically recorded in eleven 80 mm diameter boreholes situated on three hillslopes within the catchment (refer to Fig. 1). The boreholes were drilled to depths of 5-26 m in granite (weathered and fractured to varying extents) mantled by thin soils. Unscreened portions of the boreholes accounted for approximately one third to three fifths of the total depth (refer to Table 2). To capture the groundwater level dynamics, HOBO capacitance water level loggers (Onset, USA) were deployed to record water levels in the boreholes at hourly intervals. It is noteworthy that water levels were rarely observed in boreholes W1-1, W1-2, W2-4, W2-5, and W2-6. This observation could be attributed to the boreholes potentially not being drilled deep enough to reach the groundwater, possibly due to challenges encountered during field drilling. Slug tests conducted following installation suggested that the saturated conductivity in the weathered and fractured granite was relatively high, ranging from  $5.2 \times 10^{-3}$  m/day to as high as 1.16 m/day. 

202 Table 2. Depths and groundwater levels of boreholes. This table summarizes the depths of the 203 bottom and the boundary between unscreened and screened portions, along with the shallowest 204 and deepest groundwater levels of boreholes in the study area.

Borehole	Bottom (m)	Boundary (m)	Shallowest GWL (m)	Deepest GWL (m)
W1-3	10	6	2.8	10 <sup>a</sup>
W2-1	5	2	0.2	2.2
W2-2	10	4	4.8	10 <sup>a</sup>
W2-3	26	9	6.4	12.2
W3-1	10	4	0.8	3.9
W3-2	10	4	6.1	9.9

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205 Note: All values indicate depths (in meters) from the ground surface; GWL represents groundwater level; 'a' indicates the groundwater level dropped below the bottom of the borehole.

208 An index for groundwater level  $(I_G)$  was computed by normalizing the groundwater levels in 209 each borehole to their recorded range throughout the research years, following the approach 210 outlined by Detty and McGuire (2010). Subsequently, the arithmetic mean of  $I_G$  across all 211 boreholes was calculated, serving as a representative proxy for the groundwater level across the 212 entire catchment. This approach provides a standardized measure that allows for the comparison 213 of groundwater level variations across different boreholes within the study area.

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## **2.5 Separation of rainfall-runoff events**

215 An intensity-based automatic algorithm, as outlined by Tian et al. (2012) and Powell et al. 216 (2007), was employed to delineate and segregate rainfall events from hourly rainfall time series 217 data. In this algorithm, a threshold rainfall intensity of >0.1 mm/h was utilized to determine the 218 commencement and conclusion of each event, with individual storms being separated by a 219 minimum of six hours. Events characterized by an accumulated rainfall exceeding 5 mm were 220 selected for further analysis. A total of 95 distinct rainfall events, each with a cumulative rainfall 221 of at least 5 mm, were identified and isolated from the rainfall data series spanning the years 2014 222 to 2023, employing the intensity-based automatic method (refer to Table 1).

223 Storm runoff events are identified when streamflow experiences a rapid increase and attains 224 a peak in response to a rain impulse. Throughout the analyses presented, streamflow refers to the 225 total discharge measured at the weir. The computer program HYSEP (Sloto & Crouse, 1996) was 226 employed to automatically partition a streamflow hydrograph into baseflow and stormflow 227 components. Subsequently, the automated separation outcomes underwent manual verification and 228 adjustment, aligning with observed data and widely accepted straight-line separation principles. In 229 the context of each event,  $q_0$  is defined as the streamflow before the onset of rainfall. This 230 parameter characterizes the baseflow conditions preceding the hydrograph's response to a rain 231 impulse (Zillgens et al., 2007). The separation of stormflow from base flow allows for a more 232 detailed examination of the runoff dynamics during distinct rainfall events.

233 **2.6 Hydrograph and event types** 

The hydrograph served as a valuable tool for characterizing the timing, magnitude, and duration of runoff responses to rainfall. Three primary response types were identified based on the number and shape of streamflow peaks: unimodal, bimodal and hybrid bimodal events. Schematic diagrams illustrating these three types of events are presented in Fig. 2.

A unimodal event has a single peak generates during or shortly after the cessation of rain impulse (refer to Fig. 2a). While a bimodal event features two peaks as a response to the same rain impulse, of which the direct peak (also called the first peak) corresponds to a fast catchment response to rainfall and occurs synchronously with the rainfall or shortly after its onset. Additionally, we referred those events has a similarly shaped hydrograph to unimodal event, but the water yield and peak delay time are significantly greater, as hybrid bimodal events. Hybrid bimodal events can be distinguished from unimodal events by their extremely high streamflow volume, longer duration, and delayed response time (Fig. 2c). The hydrographs of bimodal and
hybrid bimodal events can refer to Fig. 12.

It's worth noting that a rainfall event may consist of multiple impulses, and in such cases, the hydrograph responds with multiple direct peaks (see Fig. 2b). The stormflows from the first peak  $(q_{1p})$  and delayed peak  $(q_{2p})$ , along with the total event stormflow  $(qs = q_{1p} + q_{2p})$ , were calculated by summing hourly values over the identified event period. The runoff ratio (Rr), commonly used to estimate the effective contributing area during a runoff event (Buttle *et al.*, 2004; Detty & McGuire, 2010), is calculated as the ratio of *qs* to gross rainfall.



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Figure 2. Schematic diagrams of the hydrographs of an (a) unimodal event, (b) typical bimodal events, and (c) hybrid bimodal event.

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## 257 **2.7 Definition of lag time**

The lag time, defined as the duration between peak rainfall and peak streamflow (Mosley, 1979), is a critical parameter for modeling the temporal variability of streamflow. Lag time varies significantly among different water sources (Becker, 2005; Haga *et al.*, 2005) and has been introduced to comprehend sub-components of runoff in different response processes. In this study, two specific lag times are considered:  $t_{1p}$  the time lag between peak rainfall intensity and the first streamflow peak, and  $t_{2p}$  the time lag between peak rainfall intensity and the delayed streamflow peak, as illustrated in Fig. 2.

#### 265 **2.8 Water sampling and isotope analysis**

Water samples for isotope analysis ( $\delta^{18}$ O and  $\delta$ D) were collected from July 1 to September 1, 266 267 2021. Rainwater was automatically sampled every two hours using an ISCO6712 automatic water 268 sampler (Inc., Lincoln, Nebraska, USA) positioned near the weir. Manual bulk samples of rainfall 269 were also collected at the same location after each event using a rainwater sampler with a 9.5 cm 270 diameter funnel attached to a 500 ml plastic water bottle, insulated with bubble foil to protect 271 against direct sunlight, and a table tennis ball placed in the funnel's mouth to minimize evaporation. 272 Stream water was collected every two hours upstream of the Parshall flume location using an 273 automatic water sampler (Fig. 1). Spring, seepage water, and groundwater were manually collected 274 daily from boreholes using a bailer. All collected samples underwent isotopic composition analysis  $(\delta^{18}\text{O} \text{ and } \delta\text{D})$  using a Picarro L2140-i isotopic liquid water and water vapor analyzer (wavelength-275 scanned cavity ring-down spectroscopy, WS-CRDS) with a declared precision of  $\delta^{18}O \pm 0.1\%$  and 276 277  $\delta D \pm 1\%$ .

## 278 **2.9 Isotopic hydrograph separation**

To trace the source of the streamflow during storm events, a simple mass balance approach was employed to segregate the streamflow into two components: event water and pre-event water. These components are represented by rainfall and baseflow, respectively, based on the oxygen isotopic concentration ( $\delta^{18}$ O) of each component. The  $\delta^{18}$ O of baseflow and weighted rainwater samples served as end members, defining the ultimate isotopic composition of the stream, in accordance with the approach outlined by Padilla *et al.* (2014):

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$$C_{\rm s} = xC_{\rm e} + (1-x)C_{\rm p}$$
 (2)

286 
$$x = \frac{c_{\rm s} - c_{\rm p}}{c_{\rm e} - c_{\rm p}} \cdot 100[\%]$$
(3)

where  $C_s$ ,  $C_e$  and  $C_p$  refer to  $\delta^{18}$ O concentrations of stream, event and pre-event water components, respectively.  $C_e$  is the weighted value calculated using the incremental mean weighting method (McDonnell *et al.*, 1990) for each event.  $C_p$  is determined from the stream  $\delta^{18}$ O concentration measured immediately preceding the rainfall. *x* is the percentage of event water in stream.

## **3. Results**

#### **3.1 Characteristics of different runoff response types**

During the period from 2014 to 2023, a total of 95 distinct rainfall events, each with a cumulative rainfall of at least 5 mm, were identified from the rainfall data series. Among these events, 14 exhibited a bimodal response, and an additional 4 displayed a hybrid bimodal process (refer to Table 1).



298 **Figure 3.** Comparison of (a) stormflow,  $q_s$ , (b) runoff ratio, Rr and (c) lag time  $(t_p)$  from peak rainfall to peak streamflow of different event types. U indicates unimodal event, B (including the 299 first peak B1 and the delayed peak B2) bimodal event and HB hybrid bimodal event. In each 300 boxplot, the lower and upper limits represent the lower and upper quartiles, while the whiskers 301 extend to the minimum and maximum values in each dataset. The horizontal line within the box 302 303 signifies the median. Individual asterisks denote points more than 1.5 times away from the median. 304 It's noteworthy that a semi-logarithmic coordinate was utilized for enhanced interpretability due 305 to the extensive range.

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307 The stormflow volume and lag times of streamflow peaks for both unimodal and bimodal 308 events were determined and characterized. As depicted in Fig. 3, unimodal events generated 309 relatively minimal runoff, with a maximum  $q_{1p}$  of 0.25 mm. In contrast, the  $q_{1p}$  and  $q_{2p}$  of bimodal 310 events exhibited a wider range, spanning from 0.03 to 0.38 mm and from 0.82 to 31.63 mm, 311 respectively (Fig. 3b). The stormflow volume of bimodal events proved to be 3 to 114 times larger 312 than that of unimodal events, primarily due to the presence of delayed peaks (Fig. 3a). 313 Correspondingly, bimodal events displayed higher Rr values ranging from 0.91% to 31.81%, 314 whereas the Rr of unimodal events remained below 0.8% (Fig. 3b). This discrepancy suggests an 315 expanded effective contributing area during bimodal and hybrid bimodal events, as highlighted in 316 previous studies (Zhang et al., 2021).

In both unimodal and bimodal events, all direct peaks were observed within a one-hour timeframe. However, the delayed peak, a distinctive feature of bimodal events, manifested itself between 5 hours and 9.9 days after the occurrence of the direct peak. Notably, hybrid bimodal events exhibited shorter lag times and significantly higher stormflow yield, underscoring the need for heightened attention in flood forecasting. The substantial difference in lag time strongly implies that these peaks are contributed by distinct water sources, aligning with findings from previous studies (Haga *et al.*, 2005).

# 324 **3.2 Determinants of delayed streamflow peaks**

The relationships between different event types and rainfall characteristic parameters and watershed wetness indicators were further depicted in Fig. 4. It is noteworthy that the soil water content (SWC) and groundwater level index ( $I_G$ ) presented in Fig. 4 represent data recorded at the end of rainfall events, considering that delayed streamflow peaks typically manifest subsequent to the cessation of rainfall events. Rainfall amount,  $I_G$ , and SWC were statistically significantly different for both groups, as proven by the t-test of equality of medians at a significance level of  $\alpha$ =0.01. The transition from unimodal to bimodal events reveals a consistent increase in rainfall amount, *I<sub>G</sub>*, and SWC. Nearly all bimodal events exhibited rainfall amounts exceeding 50 mm, whereas the range for unimodal events varied from 5.2 to 66.6 mm (Fig. 4a). This suggests that the initiation of delayed streamflow peaks may be associated with substantial rainfall.

335 The  $I_G$  and SWC of bimodal events, especially hybrid bimodal events, were significantly 336 higher (p < 0.01) than those of unimodal events. Despite partial overlap in the ranges of  $I_G$  and 337 SWC for these groups (Fig. 4d and e), the mean  $I_G$  and SWC values for bimodal events (0.46 and 338 (0.67) were notably greater than those for unimodal events ((0.22 and 0.13)), underscoring the 339 distinctiveness of these parameters between event types. Contrastingly, peak rainfall intensity, 340 mean rainfall intensity, and Antecedent Precipitation Index (API) metrics (API3, API6, and API12) 341 exhibited a widespread overlap in their variation (p > 0.05, Fig. 4b, d, g-i). Consequently, while 342 bimodal events were characterized by higher rainfall and antecedent wetness,  $I_G$  and SWC emerged 343 as more effective indicators for estimating the occurrence of bimodal events, while peak rainfall 344 intensity, mean rainfall intensity, and API were found to be insufficient for distinguishing between 345 bimodal and unimodal events.





- index; (e) soil water content; (g)-(i) API3, API6 and API12: antecedent precipitation index over 3,
- 350 6 and 12 days. UE, BE and HBE are respectively unimodal, bimodal and hybrid bimodal events.
- 351 To be noted, each element of the box carries the same interpretation as described in Fig. 3.
- 352

353 Considering the interdependence of groundwater level, streamflow, and SWC on rainfall, a 354 detailed examination of the relationship between rainfall amount and bimodal events was 355 conducted. The analysis revealed that the occurrence of delayed peaks is contingent on both event 356 rainfall and antecedent wetness, displaying a distinct threshold behavior (Fig. 5b). The combined 357 sum of event rainfall amount (P) and antecedent soil moisture index prior to the rainfall  $(ASI_0)$ 358 serves as a reliable indicator for predicting the occurrence of delayed peaks. Fig. 5 illustrates that 359 bimodal events tend to manifest when P + ASI<sub>0</sub> exceeds 200 mm (with only two bimodal events 360 misplaced). An intriguing observation is that these misplaced bimodal events produced very little 361  $q_s$ , and these unimodal events nearby to the threshold, occurred just before the year's first bimodal 362 response when the watershed was sufficiently humid, signaling a predisposition for bimodal events. 363 However, once the rainfall surpassed the threshold, all bimodal episodes were randomly 364 distributed, and no discernible relationship was observed between their stormflow volume  $(q_s)$  and rainfall amount. Based on these findings, we posit that the stormflow generation process may be 365 366 dominated by groundwater or SWC.



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Figure 5. Relationship between the  $ASI_0 + P$  and stormflow volumes ( $q_s$ ) of different event types. UE is unimodal event, HBE is hybrid bimodal event, *P* is rainfall amount, and  $ASI_0$  is antecedent soil moisture index before the rainfall.

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#### 372 **3.3 Timing of groundwater, soil water, and streamflow response**

373 The preceding analysis indicates a correlation between different event types and groundwater 374 levels along with SWC. Moreover, the inconsistent response time among different event types may 375 signify distinct contributing sources to the stream channel, providing insights into the primary 376 mechanisms behind runoff generation. Earlier or identical response timing of groundwater 377 compared with streamflow suggested that streamflow response was driven by hillslope 378 groundwater (Haught and Meerveld, 2011; Rinderer et al., 2016). To explore this further, six 379 bimodal events with minimal or sporadic rainfall during the delayed peak period, along with three 380 unimodal events, were selected. The response timing of groundwater, SWC, and streamflow is 381 illustrated in Fig. 6. Each horizontal bar represents the onset of rain on the left end and the lag time 382 for the peak value on the right end of the corresponding variable. It's worth noting that some 383 groundwater levels in Fig. 6d, e, and g lack horizontal bars due to missing groundwater level data, 384 while the groundwater levels in Fig. 6c lack horizontal bars due to no response from groundwater. 385 SWC reached their maximum after direct streamflow peaks but before delayed peaks. 386 Particularly in typical bimodal events, SWCs peaked much earlier than delayed streamflow peaks, 387 suggesting that, in these events, soil water did not contribute to direct peak but may to delayed 388 streamflow peaks. Regarding groundwater levels, some locations showed two peaks and not all 389 responded to the same rainfall event. Among different locations, groundwater levels peaked before 390 or after the delayed streamflow peaks. However, for the hybrid bimodal events, the response time 391 of groundwater levels at various locations, and even the SWC tended to coincide with the delayed 392 streamflow peak. Identical response timing or groundwater rising and peaking just before the 393 stream suggest that whole catchment or critical zone contributed to delayed stormflow.



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Figure 6. Response time of streamflow, groundwater level and soil water content in nine events. The horizontal axis illustrates the lag time from the onset of rainfall. The bar lengths depict the time taken for volumetric water content and groundwater level to reach their respective maximums from the onset of rainfall. GWL is groundwater level, and SWC is soil water content. Each row and column chart shares identical vertical and horizontal axis titles.

400 Pearson correlation coefficients  $(r_p)$  between peak groundwater levels, peak SWC and

401 delayed streamflow were calculated for 19 bimodal events. As showed in Fig. 7, the first two lines

402 show the correlation coefficients between  $t_{2p}$  and the lag time of the peak groundwater levels and

403 SWC,  $t_{G1p}$  and  $t_{G2p}$  represent the response times of the first and second peaks of groundwater level

404 or SWC, respectively. The last two lines show the correlation coefficients between  $q_{2p}$  and the

405 average and peak values of groundwater levels and SWC. The number after the slash specifies406 how many pairs of the variables.

407 Groundwater levels exhibited two peaks in some events, with the exception of W13. 408 Correspondingly, among these events, the response time of the second peak of groundwater level 409 has a strong correlation with  $t_{2p}$  with the  $r_p > 0.858$ . Even though W13's groundwater level only 410 has one peak, this peak's response time was highly correlated with  $t_{2p}$  at the 0.01 significance level 411  $(r_p = 0.821)$ . In contrast, SWC displayed one peak in all events, and its response time exhibited a weak correlation with  $t_{2p}$  ( $r_p = 0.450$ ). Both groundwater levels and SWC, particularly their peak 412 413 values, demonstrated a high correlation with delayed stormflow volumes  $(q_s)$ . Above all, 414 groundwater is deemed to be the primary controlling factor in delayed stormflow.



Figure 7. Pearson correlation coefficients between peak streamflow and peak groundwater levels.
The number after the slash specifies how many pairs of the variables. I<sub>G</sub>, groundwater water level
index; \*\* Denotes that correlation is significant at the 0.01 level (two-tailed).

419

415

420 The robust correlation observed between groundwater levels at different locations and 421 stormflow suggests that groundwater observations at a specific location can serve as a 422 representative proxy for the overall groundwater level across the watershed. Given the relatively 423 complete and dynamic water level observation data for W23, this borehole was selected for further424 analysis.

## 425 **3.4 Stormflow timing and magnitude characteristics**

426 Considering the high correlation between streamflow and groundwater level as indicated in 427 the previous analysis, we hypothesized a connection between groundwater and delayed stormflow. 428 To elucidate this correlation between groundwater and streamflow, we fitted the relationship 429 between the groundwater level at location W23 and the magnitude and timing of the delayed 430 stormflow for bimodal events. The time lag of delayed peak  $(t_{2p})$  shows a negative exponential correlation with peak groundwater level ( $ln(t_{2p}) = 1.03 \times PGL - 7.43$ , R<sup>2</sup> = 0.84, p < 0.01, Fig. 8), 431 432 suggesting that a higher groundwater level corresponds to a faster response of the delayed runoff 433 peak to rainfall. A comparable linear correlation was also fitted between  $t_{2p}$  and groundwater level, albeit with a slightly lower  $R^2$  ( $R^2 = 0.76$ ). 434



435

436 **Figure 8.** Correlation between peak groundwater level (*PGL*) and lag time of the delayed 437 streamflow peak ( $t_{2p}$ ). The insert shows the same plot with linear fitting. Orange solid circles 438 represent hybrid bimodal events.

439 Moreover, as shown in Fig. 9,  $q_s$  also has a strong linear relationship with groundwater level

440  $(q_s = -10 \times PGL + 94.8, \mathbb{R}^2 = 0.91, \mathbb{p} < 0.01)$ . These results highlight the significant influence of

groundwater on flood generation in the studied watershed, suggesting that incorporatinggroundwater level variations into flood forecasting models could enhance their accuracy.



443

444 **Figure 9.** Correlation between peak groundwater (*PGL*) level and stormflow amount ( $q_s$ ) for 445 bimodal events. Orange stars represent hybrid bimodal events. 446 For both fitted lines, the closely matching fitting lines for hybrid bimodal events support the 447 hypothesis that these high, delayed streamflow responses, which may appear unimodal, are, in fact, 448 bimodal. During hybrid bimodal events, the delayed peak increased rapidly and reached its peak 449 within one day, practically merging with the direct peak. This led to a potentially misleading result 450 that only one peak was generated. This occurrence was likely due to the groundwater level rising 451 rapidly to a critical level with substantially higher hydraulic conductivity, allowing a larger portion 452 of the hillslope to become hydraulically connected to the stream during these events within a very 453 short time. Consequently, a substantial amount of groundwater was quickly discharged into the 454 channel.

# 455 **3.5 Isotope composition of groundwater and stream water**

456 To gain additional insight into the control of groundwater level on delayed stormflow, the 457 isotope compositions of different water bodies were analyzed. Fig. 10 summarizes the  $\delta^{18}$ O of 458 stream, spring, seepage water and the groundwater  $\delta^{18}$ O from all boreholes between July 1 and

September 1 in 2021. Rainwater exhibited a high variation in  $\delta^{18}$ O composition (ranging from -459 14.42 to -5.28 ‰), with a rainfall-weighted mean  $\delta^{18}$ O value of -9.197. In contrast, groundwater 460  $\delta^{18}$ O composition appeared more stable throughout the sampling period, showing little variation 461 across various boreholes, with a mean  $\delta^{18}$ O value ranging from -9.76±0.10 to -9.08±0.86‰. This 462 stability indicates minimal event-based mixing with rainwater. The  $\delta^{18}$ O values of spring and 463 seepage water followed a pattern similar to that of groundwater. The average  $\delta^{18}$ O value of the 464 stream (-9.51‰) closely resembled that of groundwater (-9.49‰). Although the stream's  $\delta^{18}$ O 465 composition briefly deviated toward that of rainfall during a storm, it quickly reverted to its 466 467 previous value, resembling groundwater. Large isotopic variation in rainfall was dampened in the 468 stream, indicating that both baseflow and some stormflow originated from groundwater storage 469 with a consistent isotopic ratio, a result of dispersion and mixing processes.





**Figure 10.** Stable isotope  $\delta^{18}$ O time series of rainwater, stream water and groundwater.

472 In Fig. 11, groundwater  $\delta^{18}$ O values were plotted against groundwater levels for each 473 borehole, and stream water  $\delta^{18}$ O values were plotted against streamflow. The variability of 474 groundwater  $\delta^{18}$ O increased with rising groundwater levels, suggesting a stronger influence of

475 rainwater on groundwater. Stream water's  $\delta^{18}$ O remained independent of streamflow volume and 476 exhibited a range of variation similar to that of groundwater. Notably, the overlapping isotopic 477 compositions, including those during stormflow, were predominantly found in regions with higher 478 groundwater levels. This observation underscores that, even during stormflow events, groundwater 479 remains the primary source of streamflow.



480

481 **Figure 11.**  $\delta^{18}$ O measurements in groundwater and stream water from July 1 to September 1, 2021. 482 Circles and cross represent the  $\delta^{18}$ O of groundwater and stream water, respectively.

# 483 **4. Discussion**

## 484 **4.1 Lag time of delayed streamflow peaks**

The lag time of delayed peaks varies across different water sources, providing valuable insights for estimating stormflow water resources. Haga *et al.* (2005) conducted relevant studies in a forested unchanneled catchment, noting that events with shorter lag times (<2 hours) predominantly exhibited runoff composed of saturation excess overland flow near the spring area. In contrast, events with longer lag times (>24 hours) were characterized by river runoff mainly 490 composed of saturated subsurface flow above the soil-bedrock interface. Becker (2005) 491 synthesized lag times from various studies in different basins, observing a trend where lag times 492 for the three main flow components differed by at least one order of magnitude, following the 493 pattern overland flow < subsurface flow < baseflow. This substantial difference in lag times is 494 likely attributed to the stochastic triggering of different flow paths by rainfall forcing in distinct 495 events.

496 Lag times for the direct streamflow peaks, observed in both unimodal and bimodal events in 497 this study, were generally within 30 minutes. These lag times exhibited no significant correlation 498 with rainfall amount, rainfall intensity, or pre-event streamflow (correlation coefficients of 0.005, 499 0.017, and 0.012, respectively). This lack of correlation suggests that the direct streamflow peaks 500 were nearly concurrent with rainfall. Therefore, we infer that these direct peaks were generated 501 either through bypass flow mechanisms, such as macropores, fractures, or soil-bedrock interfaces, 502 as interpreted in Buttle and Turcotte (1999), Onda et al. (2001), Uchida et al. (2005), and Xu et al. 503 (2016). Alternatively, they could have been directly contributed to the channel by rainfall. This 504 interpretation aligns with the consideration that the routing time of the river network in XEW is 505 approximately 1 hour (Zhao et al., 2019).

In contrast to the direct peaks, the time lags from the peak rainfalls to the delayed peaks were considerably longer, ranging from 5 hours to 9.9 days (Fig. 3). This lag time in our study aligns with findings from other studies where similar parameters were calculated (refer to Table 3). The results imply that the delayed peaks observed in XEW were likely generated by subsurface flow processes, as indicated in the work of Lischeid *et al.* (2002).

511

512

Reference	Lag time of delayed peak	The source of the delayed peak
Anderson & Burt (1978)	About one day	Subsurface flow
Onda et al. (2001)	Ten hours to one week	Subsurface flow and bedrock groundwater
Masiyandima <i>et al.</i> (2003)	Several hours	Subsurface flow
Becker (2005)	A day to several weeks	Subsurface stormflow
Zillgens et al. (2007)	Three to five days	Subsurface flow
Birkinshaw (2008)	Several tens of hours to a few days	Subsurface stormflow
Kosugi et al. (2011)	Two to three days	Bedrock groundwater
Fenicia <i>et al.</i> (2014)	Several hours or days	Subsurface flow
Padilla <i>et al.</i> (2014, 2015)	Within four days	Bedrock groundwater
Yang <i>et al.</i> (2015)	Several hours	Subsurface flow

513 Table 3. Lag time between peak rainfall intensity and the delayed streamflow peak in this study 514 and in previous studies.

515

#### 516 **4.2 Hysteresis between groundwater level and streamflow**

This study

For bimodal events in XEW, the non-linear relationship between groundwater level and streamflow results in hysteretic relationships between the two variables. Fig. 12 shows time series for streamflow and  $I_G$  as well as scatter plots comparing the two variables for the six events used in section 3.3. As noted by Dunne (1978), when two runoff peaks appeared in an event, there must be at least two zones in the catchment that responded to the storm and contributed to runoff. The hysteretic nature highlights the possibility of multiple hydrological compartments being active and

5 hours to 9.9 days

Subsurface flow (groundwater flow)



these compartments are not necessarily contributing significant flows simultaneously but rather
sequentially during the runoff generation period (Fovet *et al.*, 2015; Martínez-Carreras *et al.*, 2016).

525

**Figure 12.** Streamflow and  $I_G$  with corresponding scatter plots between both variables for three typical bimodal and three hybrid bimodal events. Note that the axis scales vary between events. Arrows indicate progression of time. Direct peaks in bimodal hydrographs indicated as "1st" and delayed peaks as "2nd".

530

531 Streamflow increased quickly and peaked before groundwater level during direct peaks, 532 resulting in an anti-clockwise hysteretic loop. It can be explained that direct peaks were formed by 533 rainfall directly falling onto the channel or a saturation zone near the channel, and/or by the flow 534 that contributed to the channel through rapid routes, as observed in other watersheds by Jackisch 535 et al. (2016). In contrast, groundwater level peaked first during delayed peaks, indicating that the 536 groundwater level in the watershed peaked first and subsequently released water, creating the 537 delayed runoff peak. This behavior may be attributed to the groundwater level surpassing a 538 threshold for generating bimodal hydrographs, leading to enhanced hydraulic connectivity 539 between hillslopes and the channel. This, in turn, resulted in the swift release of a substantial 540 amount of groundwater or subsurface flow (Burt & Butcher, 1985; Detty and McGuire, 2010; 541 McGlynn & McDonnell, 2003; McGuire and McDonnell, 2010; Scaife and Band, 2017). 542 Consequently, the groundwater level is not merely a passive feature in this watershed, where 543 shallow groundwater may constitute the primary runoff component, but actively controls the 544 stormflow.

#### 545 **4.3 Two-component hydrograph separation**

The two-component hydrograph separation was performed for four bimodal storm events using the  $\delta^{18}$ O of the bulk rainfall, a pre-event water signature (represented by the stream  $\delta^{18}$ O before the rainfall) and the monitored stream water signature during the events. These four events were chosen because their relatively complete isotope data. It should be noted that in all four rainfall events,  $\delta^{18}$ O values in rain and stream water were notably different, which is a requirement for end-member hydrograph separation analysis. The hydrograph separation results, as well as the  $\delta^{18}$ O series of rainwater and stream water were shown in Fig. 13.

553 Regarding the water sources separation result, these four events can be divided into two 554 groups: Event B1 and B4, the major stormflow process were lagged and considerably damped, and 555 event water contributions were higher compared to the other two events. The fraction of event 556 water comprising the hydrograph was 25% in Event B1, and the contribution ratio of event water 557 in Event 4 was 11%. Considering that the rain had already stopped, the event water component of 558 the delayed peak should be the rainwater temporarily stored in the watershed during the rainfall 559 process. Event B2 and especially Event B3, however, were almost entirely pre-event water 560 dominated (the contributions of pre-event water were 92% for Event 2 and 97% for Event B3), 561 although it was evident that some event water contributed to the stormflow during the rising and 562 peak period of streamflow, this water may have originated from the direct rainfall or rain water 563 taking a rapid route to the stream channel.

The hydrograph separation results indicated that the streamflow contribution of pre-event water changed virtually in sync with streamflow following the onset of rain, almost entirely dominating the hydrograph, while event water dominated the sharp streamflow peak responding to high-intensity storm. Early in the rainy event, the pre-event component of the hydrograph exceeded 50%, indicating a sufficiently swift groundwater response such that considerable amounts of groundwater were released soon after the start of rain.



570

571 **Figure 13.** The partitioning of stormflow into its pre-event and event water sources using one-572 tracer two component hydrograph separation analysis with  $\delta^{18}$ O as tracer for the four storm 573 events.  $\delta^{18}O_{Rf}$  and  $\delta^{18}O_{Sf}$  are the  $\delta^{18}O$  respectively for rain and stream water.

574 In addition, there was a noticeable, gradual rise in the pre-event water contribution to total stormflow as the catchment was wetting-up (Fig. 13). Event B1 had a rather dry antecedent 575 576 condition and showed a relatively lower pre-event water percentage (about 75%). Event 3 in the 577 temporal sequence had a extremely high pre-event water proportion (approximately 97%) and 578 occurred under highly wet antecedent conditions. In Event B4, due to a little reduced wetness 579 condition compared to the preceding Event B3, the percentage of pre-event water decreased 580 somewhat to approximately 89%. This pattern may be attributed to increased water flux during the 581 wetting-up process when the water table rose into near surface soil layers with high saturated 582 hydraulic conductivity. The rate of groundwater increase slowed as a result of the higher

transmissivity, and more pre-event water was mobilized and travelled rapidly to the stream viashallow flow pathways (Lundin, 1982).

# 585 4.4 Filed observation

586 Our field observations on-site indicate that direct exfiltration of groundwater into the runoff 587 predominates, with few signs of hillslope overland flow. For example, during a heavy storm on 588 July 5, 2021, characterized by short duration (7 hours) and very high intensity (27.6 mm/h) with a 589 total rainfall of 65.2 mm, minimal overland flow was observed at the study site. However, post the 590 storm on July 5, the spring water flow from Hillslope 2 substantially increased. Moreover, at 591 various points in the watershed, seepage flow was observed gushing from fractures in the stone 592 and holes in the earth. These field observations strongly suggest the direct exfiltration of 593 groundwater into the runoff, providing further support to the notion that groundwater significantly 594 contributes to stormflow in the watershed.





Figure 14. Field observations of the spring and the seepage flows. HS1, HS2 and HS3 are Hillslope
1, Hillslope 2 and Hillslope 3, respectively.

# 598 **5. Conclusions**

599 Based on observations from 2013 to 2023, the study carried out an event-scale analysis of 600 streamflow hydrographs in a semi-humid forested watershed of North China. Three stormflow patterns with distinct shaped hydrograph, i.e., unimodal, bimodal, and hybrid bimodal were
identified. Particularly, their rainfall-runoff response characteristics as well the stormflow
composition were analyzed, and derived the following conclusions:

604 1) Direct peaks for both unimodal and bimodal events occurred within 1 hour following the
605 peak rainfall, while the lag time of delayed peaks ranged between 5 h and 9.9 days. The stormflow
606 amount generated by bimodal events, due to the delayed peak, was several to hundreds of times
607 more than that of the unimodal events, often resulting in flooding.

2) Delayed stormflow appeared when the sum of event rainfall amount (P) and antecedent soil moisture index (ASI) exceeding 200 mm. Stormflow yield is positively proportional to event peak groundwater level while the lag time of delayed peak showed an inverse correlation with peak groundwater level.

3) The isotopic analysis and two-component hydrograph separation unveiled that pre-event
water predominantly contributed to the delayed stormflow, with event water dominating the sharp
streamflow peak in response to high-intensity storms.

4) Streamflow peaked before groundwater level during direct peaks, suggesting that direct streamflow peaks are from direct rainfall onto the channel or rapid flow through macropores and bedrock fractures, Discharge peaked before catchment storage during single peak. But groundwater levels peaked first during delayed streamflow, suggested that the delayed stormflow is primarily made up of shallow groundwater, and this is further supported by field observation.

This study clarified the prerequisites for bimodal stormflow, and the provided information on the response characteristics and water resources of stormflow is not common knowledge for regions. We believe these findings can enrich runoff generation theory and contribute new insights for stormflow modelling in other similar regions.

# 624 Data availability

625 The data supporting this study are available on the Zenodo website at 626 https://doi.org/10.5281/zenodo.12581739.

# 627 Author contribution

628 ZC contributed the conceptualization, formal analysis, investigation and writing; FT
629 contributed the conceptualization, formal analysis and revision; ZZ, ZX, YD and JW contributed
630 the Investigation; M contributed the writing.

# 631 Competing interests

Some authors are members of the editorial board of Hydrology and Earth System Sciences.
The peer-review process was guided by an independent editor, and the authors have also no other
competing interests to declare.

# 635 Disclaimer

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# 640 Financial support

This study was supported by the National Natural Science Foundation of China (51825902)
and the National Key R&D Program of China (2022YFC3002902).

# 643 Acknowledgments

We would like to thank Jeffrey McDonnell for his constructive advice on this study. Additionally, we are grateful to the two anonymous reviewers for their valuable feedback during the revision process.

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#### **Appendix A: Supplementary Figures of Results and discussion**

Figure A1. Rainfall and streamflow hydrograph for (a-o) 15 bimodal and (p-s) 4 hybrid bimodal events.