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13	Key Points:				
14	• The stormflow hydrograph of the studied watershed displays a bimodal pattern.				
15	• The onset of the bimodal response demonstrates a threshold behavior.				
16	• Delayed stormflow is mainly contributed by shallow groundwater.				
17					

18 Abstract

19 Bimodal runoff behavior, characterized by two distinct peaks in flow response, often leads to 20 significant stormflow and associated flooding. Understanding and characterizing this phenomenon 21 is crucial for effective flood forecasting. However, this runoff behavior has been understudied and 22 poorly understood in semi-humid regions. In this study, we investigated the response 23 characteristics and occurrence conditions of bimodal hydrograph based on the hydrometric and 24 isotope data spanning 10 years in a semi-humid forested watershed in North China. The main findings include: 1) the onset of the bimodal hydrograph exhibits a threshold behavior, with 25 26 delayed streamflow peaks occurring when the sum of event rainfall (P) and antecedent soil 27 moisture index prior to the rainfall (ASI) exceeds 200 mm; 2) isotopic hydrograph separation 28 reveals that delayed stormflow process is primarily driven by pre-event water, with increasing 29 contributions of pre-event water during catchment wetting-up; 3) the dynamic variation in 30 groundwater level precedes that of streamflow, establishing a hysteretic relationship wherein 31 groundwater level peaks before streamflow during delayed stormflow. These findings, supported 32 by onsite observations, emphasize the dominance of shallow groundwater flow in the generation 33 of delayed stormflow.

Keywords: Semi-humid watershed, Stormflow, Bimodal runoff response, Threshold, Shallow
 groundwater

36 **1. Introduction**

Runoff generation is one of the most complex hydrological processes due to their complexity
and non-linearity (McDonnell *et al.*, 2007; McGuire & McDonnell, 2010; Phillips, 2003). At

39 different times of a year, the activation of different runoff generating mechanisms, and contrasting 40 compartments and flow routes form different hydrograph shapes, which are generally classified as 41 unimodal and bimodal response types (Jenkins et al., 1994; Gu, 1996; Kosugi et al., 2011). A 42 unimodal response is characterized by a needle-shaped peak which responds immediately to the 43 rainfall impulse. In contrast, the bimodal response contains a delayed damped arch-shaped peak 44 responding to the same rainfall impulse in addition to the direct peak (Martínez-Carreras et al., 45 2016). Generally, the delayed peak in a bimodal event contributes substantially more runoff than 46 the first peak (Zillgens et al., 2007). For instance, the study by Onda et al. (2001) showed that the 47 delayed peak discharge is five to ten times greater than the first peak. When the bimodal runoff 48 event occurs, the streamflow increases markedly and lasts for several days. Therefore, 49 characterizing the bimodal response is of great significance to understanding the runoff generation 50 process and essential to achieving improved forecasting of extreme floods.

51 Since the bimodal hydrograph was accidently observed in Côte d'Ivoire in 1960 during flood 52 frequency analysis and surface runoff generation study (Dubreuil, 1960, 1985), bimodal response 53 has piqued the interest of many hydrologists worldwide and been recorded in watersheds with 54 varied geological and climate conditions. For example, Onda et al. (2001) observed bimodal 55 hydrographs in a steep mountainous watershed underlain by shale and serpentinite in Japan (annual 56 precipitation: 1800 mm). Padilla et al. (2014, 2015) found delayed peaks after the rainfall in a 57 steep headwater catchment underlain by fractured bedrock also in Japan (annual precipitation: 58 2669 mm). Zillgens et al. (2007) recorded a delayed peak after the direct peak in Saalach basin in 59 the Austrian Alps (annual precipitation: 1400 mm). Masiyandima et al. (2003) found bimodal 60 responses in an inland valley watershed with wet lowlands in central Côte d'Ivoire (annual rainfall: 61 1045 mm). Anderson and Burt (1977, 1978) observed delayed peak after the storm at Bicknoller Combe in Sommerset, composed of impermeable Old Red Sandstone. The characteristics and conditions of occurrence of bimodal hydrograph can provide an effective method for simplifying the description of complex hydrological systems, and comparing stormflow generation mechanism in different watersheds (Tromp-van Meerveld & McDonnel, 2006). However, most of these studies mentioned above have been done in humid regions with rainfall of more than 1000 mm. To the best of authors' knowledge, very few studies if not none have been conducted in semi-humid environment with rainfall less than 800 mm.

Meanwhile, recognizing the pivotal role of bimodal response in runoff generation, researchers 69 70 have made concerted efforts over the past several decades to quantify its characteristics and 71 establish statistical metrics for identifying the occurrence of bimodal events. Findings suggest that 72 indicators for bimodal response encompass factors such as rainfall amount (Haga et al., 2005), 73 pre-event streamflow (Graeff et al., 2009), soil moisture (Anderson & Burt, 1978; Weyman, 1970), 74 groundwater level (Padilla et al., 2015) and storage (Martínez-Carreras et al., 2016). Taking the 75 work of Martínez-Carreras *et al.* (2016) as an illustrative example, it revealed that the delayed peak 76 manifested only when the watershed storage reached a critical threshold of 113 mm. It is 77 noteworthy that predictors vary significantly among watersheds, with only a limited number of 78 studies presenting quantitative results akin to those reported by Martínez-Carreras et al. (2016). 79 Moreover, response timing metrics such as response lag to peak—providing insights into different 80 aspects of water travel time during an event—have received comparatively less attention in the 81 evaluation of threshold effects (Dingman, 2015; Ross et al., 2021).

Many studies have delved into the compartments and flow pathways responsible for generating distinct runoff response patterns. The first runoff peaks are attributed to factors such as rainwater directly falling onto the stream channel, rapid flow through preferential paths (Becker & McDonnell, 1998; Martínez-Carreras *et al.*, 2015; Wrede *et al.*, 2015), or saturation-excess
overland flow in the riparian zone (Anderson & Burt, 1978; Westhoff *et al.*, 2011). While delayed
runoff peaks in bimodal events are primarily linked to subsurface flow processes (Weyman, 1970;
Onda *et al.*, 2006; Zillgens *et al.*, 2007; Graeff *et al.*, 2009; Padilla *et al.*, 2015). However, a notable
gap exists in the literature, as many studies have focused solely on water flow processes within the
soil profile without thoroughly investigating whether subsurface stormflow originates from the
soil layer, bedrock layer, or a combination of both.

92 Bimodal responses, representing the nonlinear interplay between runoff and rainfall, 93 inherently showcase the stormflow process in terms of both response timing and magnitude. This 94 intuitive manifestation holds significant implications for advancing runoff modeling (Graeff et al., 95 2009; McDonnell et al., 2007) and enhancing the precision of flash flood forecasting (Zhang et al., 96 2021; Zillgens et al., 2007). In our present study, spanning the years 2014 to 2023, we collected 97 data on rainfall, groundwater levels, soil water content, and streamflow within a semi-humid forest 98 experimental watershed in North China. Our investigation involves characterizing the response 99 magnitude and timing of stormflow to rainfall through hydrograph analysis, while also scrutinizing 100 the composition of the water sources contributing to stormflow. Specifically, we hypothesize that 101 (1) the occurrence of bimodal streamflow responses exhibits a threshold behavior with rainfall and 102 watershed wetness, and (2) the primary source of water for the delayed stormflow is subsurface 103 flow.

104 **2. Materials and Methods**

105 **2.1 Study area**

106 The study headwater catchment, the Xitaizi Experimental Watershed (XEW), is situated at 107 coordinates 40°32'N and 116°37'E, as depicted in Figure 1. Spanning an area of 4.22 km², XEW 108 exhibits elevations ranging from 676 to 1201 m above sea level. Approximately 54% of the area 109 features a slope between 20% and 40%. The region experiences a monsoon-influenced semi-humid 110 climate characterized by an average annual rainfall of 625 mm. The majority of this precipitation, 111 around 80%, occurs between June and September. The annual mean temperature in the area is 112 11.5°C, accompanied by a relative humidity of 59.1%. Experimental and observational activities 113 were conducted over the period from 2014 to 2023.

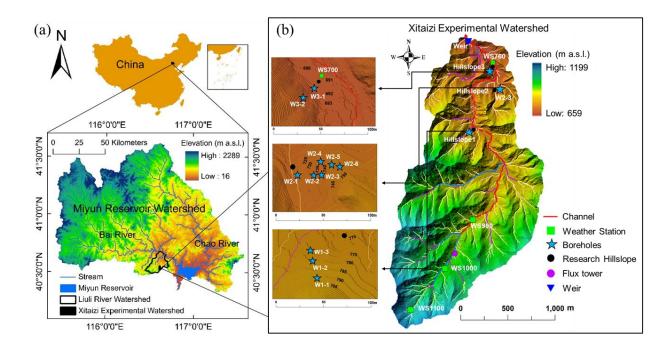


Figure 1. Location of the Xitaizi Experimental Watershed (XEW) in North China (a), and the detailed distributed monitoring stations and instruments (b), including four automatic weather stations (WS700-1100), one weir, and eleven groundwater boreholes (blue star corresponds with well numbers and locations). Four rain gauges are located near the weather stations, and one is located adjacent to the weir.

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121 XEW represents a typical location in North China's earth-rocky mountainous region, where 122 approximately 80% of the catchment area is underlain by firmly compacted, deeply weathered 123 granite. Soil mapping and field investigations reveal the prevalent soil types to be brown earth and 124 cinnamon soil (according to Chinese soil taxonomy), with a depth extending to 1.5 meters. The 125 saturated hydraulic conductivity of the soil ranges from 19.5 to 175.3 mm/h, with an average value 126 of 45 mm/h. The bedrock in the area is primarily composed of granite, constituting approximately 127 88% of the total bedrock composition, while gneiss and dolomite are sporadically distributed. 128 Some sections of the granite exhibit fracture, and a layer of regolith is sandwiched between the 129 soil layer and the bedrock layer. In terms of land cover, the catchment is predominantly covered 130 by forest (98%), with 54.2% being broad-leaved, 2.3% coniferous, and 10.5% a mix of coniferous 131 and broad-leaved. The remaining 33% consists of shrubs (Tie et al., 2017).

132 **2.2 Meteorology and runoff measurements**

Meteorological variables and runoff have been systematically monitored since 2013. Meteorological conditions were consistently measured using four GRWS100 automatic weather stations. These weather stations were strategically distributed quasi-uniformly along the elevation gradient, as depicted in Figure 1. The comprehensive data collection from these stations contributes to a thorough understanding of the meteorological dynamics in the study area over the specified timeframe.

For the measurement of air temperature (Ta) and relative humidity at each automatic weather station, an HC2S3-L temperature and relative humidity probe was utilized. These probes were equipped with a radiation shield to enhance accuracy. Simultaneously, a LI-190R quantum sensor was employed to measure photosynthetically active radiation (PAR). Rainfall data were collected at 10-minute intervals using six tipping-bucket rain gauges. These gauges were positioned in an open space near the automatic weather stations, and average values were adopted for analysis inthis study.

Furthermore, the antecedent precipitation index (API), generally used to represent the residual effect of previous precipitation (Mosley, 1979; Iwagami *et al.*, 2010), was calculated for all the events over 3, 6, and 12 days. The API during the antecedent *t* days is described as follows:

150 where *i* is the day count and P_i is the daily precipitation in the *i*th day previously.

151 A Parshall flume was installed at the catchment outlet to measure streamflow (Figure 1). The 152 water level in the flume was measured every 5 min with a HOBO capacitance water level logger 153 from 2014. Streamflow was calculated using the standard Parshall flume rating curve, and both 154 the rainfall and streamflow measurements were averaged to hourly timesteps, and in this study, 155 the analysis is conducted at hourly timesteps. Unfortunately, the observation equipment is 156 susceptible to failures due to the complex environmental conditions and disturbances caused by 157 wild animals and plants. Compounded by the remote location of XEW, accessing the site promptly 158 to address malfunctions is challenging, leading to the loss of some observation data. Notably, 159 stormflow data from July 19 to August 16, 2016, had to be excluded because the road collapsed 160 during a heavy storm, preventing a significant amount of runoff from passing through the Parshall 161 flume. Furthermore, streamflow data from 2018 to 2019 are unavailable, and the two bimodal 162 events in 2016 were omitted from the hysteresis analysis due to substantial errors in streamflow 163 observations resulting from damage to the diversion channel. The specific observation periods are 164 detailed in Table 1. These limitations underscore the challenges associated with conducting 165 observations in remote and environmentally intricate locations.

- **Table 1.** Rainfall-runoff event classification and counts by year. This table provides a
- 167 breakdown of the number of rainfall-runoff events categorized as unimodal, bimodal, and hybrid
- 168 bimodal for each year, along with the corresponding time periods. The total counts are
- summarized at the bottom.

Year	Year Unimodal event Bimodal event		Hybrid bimodal event	Time period
Characteristics	A needle-shaped peak which responds immediately to the rainfall impulse	A delayed damped arch-shaped peak responding to the same rainfall impulse in addition to the direct peak	The delayed peak increased rapidly and merged with the direct peak, generating extremely high streamflow volume	
2014	7	-	-	Jul 25 - Sep 25
2015	12	2	-	Jun 1 - Oct 1
2016	2	2	1	Jul 10 - Aug 20
2017	-	2	-	Jun 20 - Jul 10
2020	14	2	-	Jul 1 - Oct 10
2021	15	5	2	Jun1 - Oct 10
2022	18	1	-	Apr 1 - Nov 1
2023	9	-	1	Apr 1 - Nov 1
Total	77	14	4	

¹⁷⁰

171 **2.3. Soil water content observation**

172 Volumetric soil water content (SWC) was measured at eight observation sites using CS616 time-domain reflectometry (TDR) probes at 10-min intervals. On Hillslope 1, five soil moisture 173 174 sensors were deployed, with an additional three located adjacent to WS900. These sensors were strategically placed in the soil profiles at 80 cm depth intervals, each at a depth of 10 cm. For 175 176 analysis in this study, the 10-minute interval measurements were aggregated to hourly time steps, 177 and the arithmetic mean of the total SWC across the four profiles was employed. Moreover, SWC data immediately preceding a rainfall event were integrated over the 80 cm depth to calculate an 178 179 antecedent soil moisture index (ASI), as proposed by Haga et al. (2005). This index, commonly utilized in analyzing the impact of antecedent shallow soil water storage on catchment runoff 180

response (Fu *et al.*, 2013; Penna *et al.*, 2011), provides valuable insights into the soil moisture
conditions preceding rainfall events.

2.4 Groundwater level observation

Fluctuations in groundwater level (below the ground surface, hereinafter referred to as bgs) were systematically recorded in eleven 80 mm diameter boreholes situated on three hillslopes within the catchment (refer to Figure 1). The boreholes were drilled to depths of 5-26 m in granite (weathered and fractured to varying extents) mantled by thin soils. Unscreened portions of the boreholes accounted for approximately one third to three fifths of the total depth (refer to Table 2). To capture the groundwater level dynamics, HOBO capacitance water level loggers (Onset, USA) were deployed to record water levels in the boreholes at hourly intervals. It is noteworthy that water levels were rarely observed in boreholes W1-1, W1-2, W2-4, W2-5, and W2-6. This observation could be attributed to the boreholes potentially not being drilled deep enough to reach the groundwater, possibly due to challenges encountered during field drilling. Slug tests conducted following installation suggested that the saturated conductivity in the weathered and fractured granite was relatively high, ranging from 5.2×10^{-3} m/day to as high as 1.16 m/day.

Table 2. Depths and groundwater levels of boreholes. This table summarizes the depths of the
 bottom and the boundary between unscreened and screened portions, along with the shallowest
 and deepest groundwater levels of boreholes in the study area.

Borehole	Bottom (m)	Boundary (m)	Shallowest GWL (m)	Deepest GWL (m)
W1-3	10	6	2.8	10 ^a
W2-1	5	2	0.2	2.2
W2-2	10	4	4.8	10 ^a
W2-3	26	9	6.4	12.2
W3-1	10	4	0.8	3.9
W3-2	10	4	6.1	9.9

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Note: All values indicate depths (in meters) from the ground surface; GWL represents groundwater level; 'a' indicates the groundwater level dropped below the bottom of the borehole.

An index for groundwater level (I_G) was computed by normalizing the groundwater levels in each borehole to their recorded range throughout the research years, following the approach outlined by Detty and McGuire (2010). Subsequently, the arithmetic mean of I_G across all boreholes was calculated, serving as a representative proxy for the groundwater level across the entire catchment. This approach provides a standardized measure that allows for the comparison of groundwater level variations across different boreholes within the study area.

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4 **2.5 Separation of rainfall-runoff events**

215 An intensity-based automatic algorithm, as outlined by Tian et al. (2012) and Powell et al. 216 (2007), was employed to delineate and segregate rainfall events from hourly rainfall time series 217 data. In this algorithm, a threshold rainfall intensity of >0.1 mm/h was utilized to determine the 218 commencement and conclusion of each event, with individual storms being separated by a 219 minimum of six hours. Events characterized by an accumulated rainfall exceeding 5 mm were 220 selected for further analysis. A total of 95 distinct rainfall events, each with a cumulative rainfall 221 of at least 5 mm, were identified and isolated from the rainfall data series spanning the years 2014 222 to 2023, employing the intensity-based automatic method (refer to Table 1).

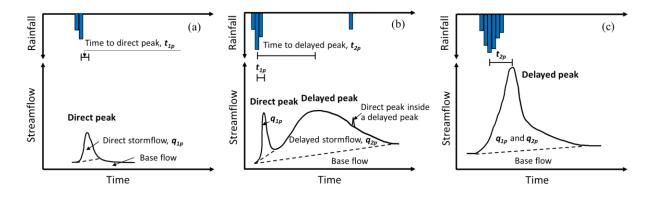
223 Storm runoff events are identified when streamflow experiences a rapid increase and attains 224 a peak in response to a rain impulse. Throughout the analyses presented, streamflow refers to the 225 total discharge measured at the weir. The computer program HYSEP (Sloto & Crouse, 1996) was 226 employed to automatically partition a streamflow hydrograph into baseflow and stormflow 227 components. Subsequently, the automated separation outcomes underwent manual verification and 228 adjustment, aligning with observed data and widely accepted straight-line separation principles. In 229 the context of each event, q_0 is defined as the streamflow before the onset of rainfall. This 230 parameter characterizes the baseflow conditions preceding the hydrograph's response to a rain 231 impulse (Zillgens et al., 2007). The separation of stormflow from base flow allows for a more 232 detailed examination of the runoff dynamics during distinct rainfall events.

233 **2.6 Hydrograph and event types**

The hydrograph served as a valuable tool for characterizing the timing, magnitude, and duration of runoff responses to rainfall. Three primary response types were identified based on the number and shape of streamflow peaks: unimodal, bimodal and hybrid bimodal events. Schematic diagrams illustrating these three types of events are presented in Figure 2.

A unimodal event has a single peak generates during or shortly after the cessation of rain impulse (refer to Figure 2a). While a bimodal event features two peaks as a response to the same rain impulse, of which the direct peak (also called the first peak) corresponds to a fast catchment response to rainfall and occurs synchronously with the rainfall or shortly after its onset. Additionally, we referred those events has a similarly shaped hydrograph to unimodal event, but the water yield and peak delay time are significantly greater, as hybrid bimodal events. Hybrid bimodal events can be distinguished from unimodal events by their extremely high streamflow volume, longer duration, and delayed response time (Figure 2c). The hydrographs of bimodal and
hybrid bimodal events can refer to Figures 12.

It's worth noting that a rainfall event may consist of multiple impulses, and in such cases, the hydrograph responds with multiple direct peaks (see Figure 2b). The stormflows from the first peak (q_{1p}) and delayed peak (q_{2p}) , along with the total event stormflow $(qs = q_{1p} + q_{2p})$, were calculated by summing hourly values over the identified event period. The runoff ratio (Rr), commonly used to estimate the effective contributing area during a runoff event (Buttle *et al.*, 2004; Detty & McGuire, 2010), is calculated as the ratio of *qs* to gross rainfall.



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Figure 2. Schematic diagrams of the hydrographs of an (a) unimodal event, (b) typical bimodal events, and (c) hybrid bimodal event (modified from Zillgens *et al.*, 2007).

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257 **2.7 Definition of lag time**

The lag time, defined as the duration between peak rainfall and peak streamflow (Mosley, 1979), is a critical parameter for modeling the temporal variability of streamflow. Lag time varies significantly among different water sources (Becker, 2005; Haga *et al.*, 2005) and has been introduced to comprehend sub-components of runoff in different response processes. In this study, two specific lag times are considered: t_{1p} the time lag between peak rainfall intensity and the first streamflow peak, and t_{2p} the time lag between peak rainfall intensity and the delayed streamflow peak, as illustrated in Figure 2.

265 **2.8 Water sampling and isotope analysis**

Water samples for isotope analysis (δ^{18} O and δ D) were collected from July 1 to September 1. 266 267 2021. Rainwater was automatically sampled every two hours using an ISCO6712 automatic water 268 sampler (Inc., Lincoln, Nebraska, USA) positioned near the weir. Manual bulk samples of rainfall 269 were also collected at the same location after each event using a rainwater sampler with a 9.5 cm 270 diameter funnel attached to a 500 ml plastic water bottle, insulated with bubble foil to protect 271 against direct sunlight, and a table tennis ball placed in the funnel's mouth to minimize evaporation. 272 Stream water was collected every two hours upstream of the Parshall flume location using an 273 automatic water sampler (Figure 1). Spring, seepage water, and groundwater were manually 274 collected daily from boreholes using a bailer. All collected samples underwent isotopic composition analysis (δ^{18} O and δ D) using a Picarro L2140-i isotopic liquid water and water vapor 275 276 analyzer (wavelength-scanned cavity ring-down spectroscopy, WS-CRDS) with a declared 277 precision of δ^{18} O $\pm 0.1\%$ and δ D $\pm 1\%$.

278 **2.9 Isotopic hydrograph separation**

To trace the source of the streamflow during storm events, a simple mass balance approach was employed to segregate the streamflow into two components: event water and pre-event water. These components are represented by rainfall and baseflow, respectively, based on the oxygen isotopic concentration (δ^{18} O) of each component. The δ^{18} O of baseflow and weighted rainwater samples served as end members, defining the ultimate isotopic composition of the stream, in accordance with the approach outlined by Padilla *et al.* (2014):

285
$$C_{\rm s} = xC_{\rm e} + (1-x)C_{\rm p}$$
 (2)

286
$$x = \frac{c_{\rm s} - c_{\rm p}}{c_{\rm e} - c_{\rm p}} \cdot 100[\%]$$
(3)

where C_s , C_e and C_p refer to δ^{18} O concentrations of stream, event and pre-event water components, respectively. C_e is the weighted value calculated using the incremental mean weighting method (McDonnell *et al.*, 1990) for each event. C_p is determined from the stream δ^{18} O concentration measured immediately preceding the rainfall. *x* is the percentage of event water in stream.

291 **3. Results**

3.1 Characteristics of different runoff response types

During the period from 2014 to 2023, a total of 95 distinct rainfall events, each with a cumulative rainfall of at least 5 mm, were identified from the rainfall data series. Among these events, 14 exhibited a bimodal response, and an additional 4 displayed a hybrid bimodal process (refer to Table 1).

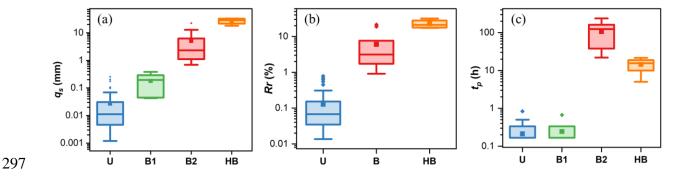


Figure 3. Comparison of (a) stormflow, q_s , (b) runoff ratio, Rr and (c) lag time (t_p) from peak 298 rainfall to peak streamflow of different event types. U indicates unimodal event, B (including the 299 first peak B1 and the delayed peak B2) bimodal event and HB hybrid bimodal event. In each 300 boxplot, the lower and upper limits represent the lower and upper quartiles, while the whiskers 301 extend to the minimum and maximum values in each dataset. The horizontal line within the box 302 303 signifies the median. Individual asterisks denote points more than 1.5 times away from the median. 304 It's noteworthy that a semi-logarithmic coordinate was utilized for enhanced interpretability due 305 to the extensive range.

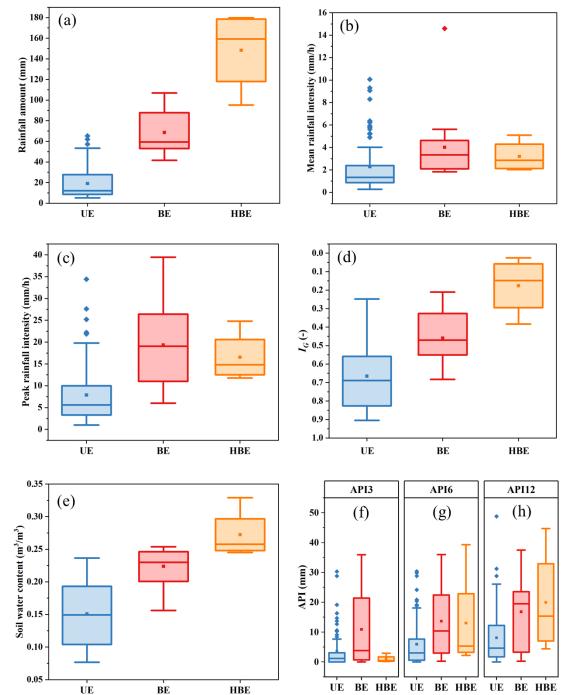
307 The stormflow volume and lag times of streamflow peaks for both unimodal and bimodal 308 events were determined and characterized. As depicted in Figure 3, unimodal events generated 309 relatively minimal runoff, with a maximum q_{1p} of 0.25 mm. In contrast, the q_{1p} and q_{2p} of bimodal 310 events exhibited a wider range, spanning from 0.03 to 0.38 mm and from 0.82 to 31.63 mm, 311 respectively (Figure 3b). The stormflow volume of bimodal events proved to be 3 to 114 times 312 larger than that of unimodal events, primarily due to the presence of delayed peaks (Figure 3a). 313 Correspondingly, bimodal events displayed higher Rr values ranging from 0.91% to 31.81%, 314 whereas the Rr of unimodal events remained below 0.8% (Figure 3b). This discrepancy suggests 315 an expanded effective contributing area during bimodal and hybrid bimodal events, as highlighted 316 in previous studies (Zhang et al., 2021).

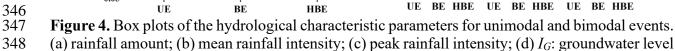
In both unimodal and bimodal events, all direct peaks were observed within a one-hour timeframe. However, the delayed peak, a distinctive feature of bimodal events, manifested itself between 5 hours and 9.9 days after the occurrence of the direct peak. Notably, hybrid bimodal events exhibited shorter lag times and significantly higher stormflow yield, underscoring the need for heightened attention in flood forecasting. The substantial difference in lag time strongly implies that these peaks are contributed by distinct water sources, aligning with findings from previous studies (Haga *et al.*, 2005).

324 **3.2 Determinants of delayed streamflow peaks**

The relationships between different event types and rainfall characteristic parameters and watershed wetness indicators were further depicted in Figure 4. It is noteworthy that the soil water content (SWC) and groundwater level index (I_G) presented in Figure 4 represent data recorded at the end of rainfall events, considering that delayed streamflow peaks typically manifest subsequent to the cessation of rainfall events. Rainfall amount, I_G , and SWC were statistically significantly different for both groups, as proven by the t-test of equality of medians at a significance level of α =0.01. The transition from unimodal to bimodal events reveals a consistent increase in rainfall amount, *I_G*, and SWC. Nearly all bimodal events exhibited rainfall amounts exceeding 50 mm, whereas the range for unimodal events varied from 5.2 to 66.6 mm (Figure 4a). This suggests that the initiation of delayed streamflow peaks may be associated with substantial rainfall.

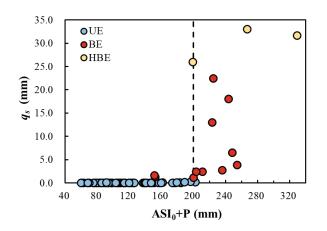
335 The I_G and SWC of bimodal events, especially hybrid bimodal events, were significantly 336 higher (p < 0.01) than those of unimodal events. Despite partial overlap in the ranges of I_G and 337 SWC for these groups (Figure 4d and e), the mean I_G and SWC values for bimodal events (0.46 338 and (0.67) were notably greater than those for unimodal events (0.22 and 0.13), underscoring the 339 distinctiveness of these parameters between event types. Contrastingly, peak rainfall intensity, 340 mean rainfall intensity, and Antecedent Precipitation Index (API) metrics (API3, API6, and API12) 341 exhibited a widespread overlap in their variation (p > 0.05, Figure 4b, d, g-i). Consequently, while 342 bimodal events were characterized by higher rainfall and antecedent wetness, I_G and SWC emerged 343 as more effective indicators for estimating the occurrence of bimodal events, while peak rainfall 344 intensity, mean rainfall intensity, and API were found to be insufficient for distinguishing between 345 bimodal and unimodal events.





- index; (e) soil water content; (g)-(i) API3, API6 and API12: antecedent precipitation index over 3,
- 350 6 and 12 days. UE, BE and HBE are respectively unimodal, bimodal and hybrid bimodal events.
- 351 To be noted, each element of the box carries the same interpretation as described in Figure 3.
- 352

353 Considering the interdependence of groundwater level, streamflow, and SWC on rainfall, a 354 detailed examination of the relationship between rainfall amount and bimodal events was 355 conducted. The analysis revealed that the occurrence of delayed peaks is contingent on both event 356 rainfall and antecedent wetness, displaying a distinct threshold behavior (Figure 5b). The 357 combined sum of event rainfall amount (P) and antecedent soil moisture index prior to the rainfall 358 (ASI_0) serves as a reliable indicator for predicting the occurrence of delayed peaks. Figure 5 359 illustrates that bimodal events tend to manifest when $P + ASI_0$ exceeds 200 mm (with only two 360 bimodal events misplaced). An intriguing observation is that these misplaced bimodal events 361 produced very little q_s , and these unimodal events nearby to the threshold, occurred just before the 362 year's first bimodal response when the watershed was sufficiently humid, signaling a 363 predisposition for bimodal events. However, once the rainfall surpassed the threshold, all bimodal 364 episodes were randomly distributed, and no discernible relationship was observed between their stormflow volume (q_s) and rainfall amount. Based on these findings, we posit that the stormflow 365 366 generation process may be dominated by groundwater or SWC.



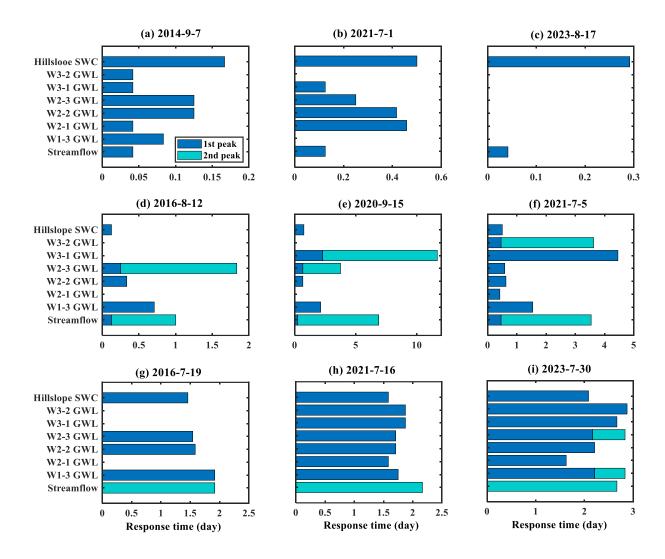
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Figure 5. Relationship between the $ASI_0 + P$ and stormflow volumes (q_s) of different event types. UE is unimodal event, HBE is hybrid bimodal event, *P* is rainfall amount, and ASI_0 is antecedent soil moisture index before the rainfall.

372 **3.3 Timing of groundwater, soil water, and streamflow response**

373 The preceding analysis indicates a correlation between different event types and groundwater 374 levels along with SWC. Moreover, the inconsistent response time among different event types may 375 signify distinct contributing sources to the stream channel, providing insights into the primary 376 mechanisms behind runoff generation. Earlier or identical response timing of groundwater 377 compared with streamflow suggested that streamflow response was driven by hillslope 378 groundwater (Haught and Meerveld, 2011; Rinderer et al., 2016). To explore this further, six 379 bimodal events with minimal or sporadic rainfall during the delayed peak period, along with three 380 unimodal events, were selected. The response timing of groundwater, SWC, and streamflow is 381 illustrated in Figure 6. Each horizontal bar represents the onset of rain on the left end and the lag 382 time for the peak value on the right end of the corresponding variable. It's worth noting that some 383 groundwater levels in Figures 6d, e, and g lack horizontal bars due to missing groundwater level 384 data, while the groundwater levels in Figure 6c lack horizontal bars due to no response from 385 groundwater.

386 SWC reached their maximum after direct streamflow peaks but before delayed peaks. 387 Particularly in typical bimodal events, SWCs peaked much earlier than delayed streamflow peaks, 388 suggesting that, in these events, soil water did not contribute to direct peak but may to delayed 389 streamflow peaks. Regarding groundwater levels, some locations showed two peaks and not all 390 responded to the same rainfall event. Among different locations, groundwater levels peaked before 391 or after the delayed streamflow peaks. However, for the hybrid bimodal events, the response time 392 of groundwater levels at various locations, and even the SWC tended to coincide with the delayed 393 streamflow peak. Identical response timing or groundwater rising and peaking just before the 394 stream suggest that whole catchment or critical zone contributed to delayed stormflow.



395

Figure 6. Response time of streamflow, groundwater level and soil water content in nine events. The horizontal axis illustrates the lag time from the onset of rainfall. The bar lengths depict the time taken for volumetric water content and groundwater level to reach their respective maximums from the onset of rainfall. GWL is groundwater level, and SWC is soil water content. Each row and column chart shares identical vertical and horizontal axis titles.

401 Pearson correlation coefficients (r_p) between peak groundwater levels, peak SWC and

402 delayed streamflow were calculated for 19 bimodal events. As showed in Figure 7, the first two

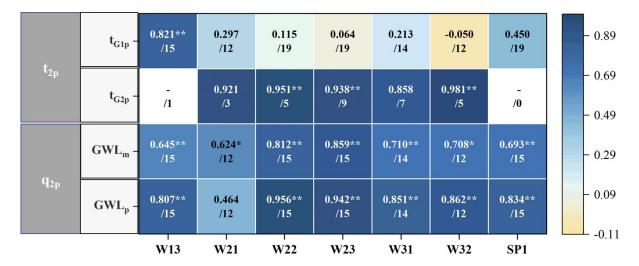
403 lines show the correlation coefficients between t_{2p} and the lag time of the peak groundwater levels

404 and SWC, t_{G1p} and t_{G2p} represent the response times of the first and second peaks of groundwater

405 level or SWC, respectively. The last two lines show the correlation coefficients between q_{2p} and

406 the average and peak values of groundwater levels and SWC. The number after the slash specifies407 how many pairs of the variables.

408 Groundwater levels exhibited two peaks in some events, with the exception of W13. 409 Correspondingly, among these events, the response time of the second peak of groundwater level 410 has a strong correlation with t_{2p} with the $r_p > 0.858$. Even though W13's groundwater level only 411 has one peak, this peak's response time was highly correlated with t_{2p} at the 0.01 significance level 412 (r_p =0.821). In contrast, SWC displayed one peak in all events, and its response time exhibited a weak correlation with t_{2p} ($r_p = 0.450$). Both groundwater levels and SWC, particularly their peak 413 414 values, demonstrated a high correlation with delayed stormflow volumes (q_s) . Above all, 415 groundwater is deemed to be the primary controlling factor in delayed stormflow.



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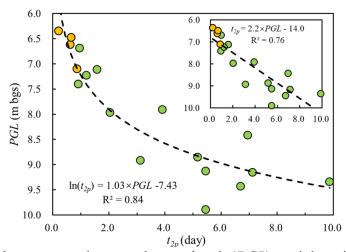
Figure 7. Pearson correlation coefficients between peak streamflow and peak groundwater levels.
The number after the slash specifies how many pairs of the variables. I_G, groundwater water level
index; ** Denotes that correlation is significant at the 0.01 level (two-tailed).

420

421 The robust correlation observed between groundwater levels at different locations and 422 stormflow suggests that groundwater observations at a specific location can serve as a 423 representative proxy for the overall groundwater level across the watershed. Given the relatively 424 complete and dynamic water level observation data for W23, this borehole was selected for further425 analysis.

426 **3.4 Stormflow timing and magnitude characteristics**

427 Considering the high correlation between streamflow and groundwater level as indicated in 428 the previous analysis, we hypothesized a connection between groundwater and delayed stormflow. 429 To elucidate this correlation between groundwater and streamflow, we fitted the relationship 430 between the groundwater level at location W23 and the magnitude and timing of the delayed 431 stormflow for bimodal events. The time lag of delayed peak (t_{2p}) shows a negative exponential correlation with peak groundwater level ($ln(t_{2p}) = 1.03 \times PGL - 7.43$, R² = 0.84, p < 0.01, Figure 432 433 8), suggesting that a higher groundwater level corresponds to a faster response of the delayed 434 runoff peak to rainfall. A comparable linear correlation was also fitted between t_{2p} and groundwater level, albeit with a slightly lower R^2 ($R^2 = 0.76$). 435



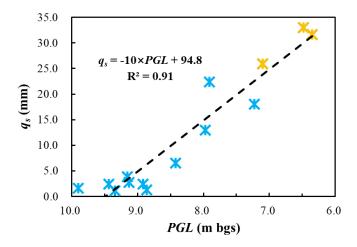
436

Figure 8. Correlation between peak groundwater level (*PGL*) and lag time of the delayed streamflow peak (t_{2p}). The insert shows the same plot with linear fitting. Orange solid circles represent hybrid bimodal events.

440 Moreover, as shown in Figure 9, q_s also has a strong linear relationship with groundwater

441 level ($q_s = -10 \times PGL + 94.8$, $R^2 = 0.91$, p < 0.01). These results highlight the significant influence

442 of groundwater on flood generation in the studied watershed, suggesting that incorporating443 groundwater level variations into flood forecasting models could enhance their accuracy.



444

Figure 9. Correlation between peak groundwater (*PGL*) level and stormflow amount (q_s) for bimodal events. Orange stars represent hybrid bimodal events.

447 For both fitted lines, the closely matching fitting lines for hybrid bimodal events support the 448 hypothesis that these high, delayed streamflow responses, which may appear unimodal, are, in fact, 449 bimodal. During hybrid bimodal events, the delayed peak increased rapidly and reached its peak 450 within one day, practically merging with the direct peak. This led to a potentially misleading result 451 that only one peak was generated. This occurrence was likely due to the groundwater level rising 452 rapidly to a critical level with substantially higher hydraulic conductivity, allowing a larger portion 453 of the hillslope to become hydraulically connected to the stream during these events within a very 454 short time. Consequently, a substantial amount of groundwater was quickly discharged into the 455 channel.

456 **3.5 Isotope composition of groundwater and stream water**

457 To gain additional insight into the control of groundwater level on delayed stormflow, the 458 isotope compositions of different water bodies were analyzed. Figure 10 summarizes the δ^{18} O of 459 stream, spring, seepage water and the groundwater δ^{18} O from all boreholes between July 1 and

September 1 in 2021. Rainwater exhibited a high variation in δ^{18} O composition (ranging from -460 14.42 to -5.28 ‰), with a rainfall-weighted mean δ^{18} O value of -9.197. In contrast, groundwater 461 δ^{18} O composition appeared more stable throughout the sampling period, showing little variation 462 across various boreholes, with a mean δ^{18} O value ranging from -9.76±0.10 to -9.08±0.86‰. This 463 stability indicates minimal event-based mixing with rainwater. The δ^{18} O values of spring and 464 seepage water followed a pattern similar to that of groundwater. The average δ^{18} O value of the 465 stream (-9.51‰) closely resembled that of groundwater (-9.49‰). Although the stream's δ^{18} O 466 composition briefly deviated toward that of rainfall during a storm, it quickly reverted to its 467 468 previous value, resembling groundwater. Large isotopic variation in rainfall was dampened in the 469 stream, indicating that both baseflow and some stormflow originated from groundwater storage 470 with a consistent isotopic ratio, a result of dispersion and mixing processes.

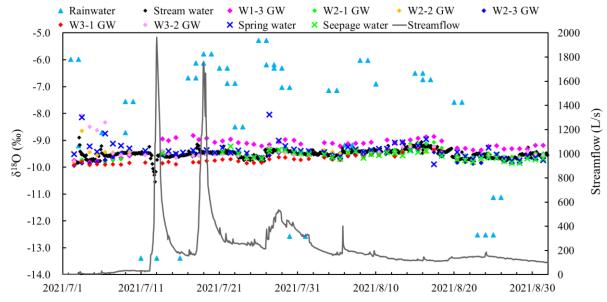
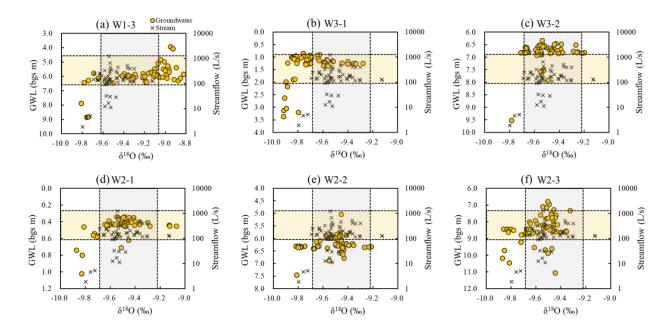




Figure. 10. Stable isotope δ^{18} O time series of rainwater, stream water and groundwater.

473 In Figure 11, groundwater δ^{18} O values were plotted against groundwater levels for each 474 borehole, and stream water δ^{18} O values were plotted against streamflow. The variability of 475 groundwater δ^{18} O increased with rising groundwater levels, suggesting a stronger influence of

476 rainwater on groundwater. Stream water's δ^{18} O remained independent of streamflow volume and 477 exhibited a range of variation similar to that of groundwater. Notably, the overlapping isotopic 478 compositions, including those during stormflow, were predominantly found in regions with higher 479 groundwater levels. This observation underscores that, even during stormflow events, groundwater 480 remains the primary source of streamflow.



481

482 **Figure 11.** δ^{18} O measurements in groundwater and stream water from July 1 to September 1, 2021. 483 Circles and cross represent the δ^{18} O of groundwater and stream water, respectively.

484 **4. Discussion**

485 **4.1 Lag time of delayed streamflow peaks**

The lag time of delayed peaks varies across different water sources, providing valuable insights for estimating stormflow water resources. Haga *et al.* (2005) conducted relevant studies in a forested unchanneled catchment, noting that events with shorter lag times (<2 hours) predominantly exhibited runoff composed of saturation excess overland flow near the spring area. In contrast, events with longer lag times (>24 hours) were characterized by river runoff mainly 491 composed of saturated subsurface flow above the soil-bedrock interface. Becker (2005) 492 synthesized lag times from various studies in different basins, observing a trend where lag times 493 for the three main flow components differed by at least one order of magnitude, following the 494 pattern overland flow < subsurface flow < baseflow. This substantial difference in lag times is 495 likely attributed to the stochastic triggering of different flow paths by rainfall forcing in distinct 496 events.

497 Lag times for the direct streamflow peaks, observed in both unimodal and bimodal events in 498 this study, were generally within 30 minutes. These lag times exhibited no significant correlation 499 with rainfall amount, rainfall intensity, or pre-event streamflow (correlation coefficients of 0.005, 500 0.017, and 0.012, respectively). This lack of correlation suggests that the direct streamflow peaks 501 were nearly concurrent with rainfall. Therefore, we infer that these direct peaks were generated 502 either through bypass flow mechanisms, such as macropores, fractures, or soil-bedrock interfaces, 503 as interpreted in Buttle and Turcotte (1999), Onda et al. (2001), Uchida et al. (2005), and Xu et al. 504 (2016). Alternatively, they could have been directly contributed to the channel by rainfall. This 505 interpretation aligns with the consideration that the routing time of the river network in XEW is 506 approximately 1 hour (Zhao et al., 2019).

507 In contrast to the direct peaks, the time lags from the peak rainfalls to the delayed peaks were 508 considerably longer, ranging from 5 hours to 9.9 days (Figure 3). This lag time in our study aligns 509 with findings from other studies where similar parameters were calculated (refer to Table 3). The 510 results imply that the delayed peaks observed in XEW were likely generated by subsurface flow 511 processes, as indicated in the work of Lischeid *et al.* (2002).

512

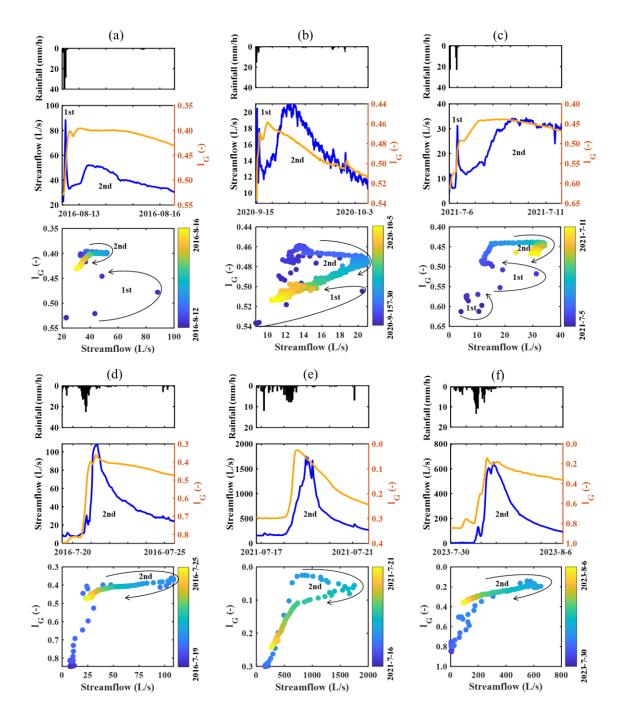
Reference	Lag time of delayed peak	The source of the delayed peak
Anderson & Burt (1978)	About one day	Subsurface flow
Onda et al. (2001)	Ten hours to one week	Subsurface flow and bedrock groundwater
Masiyandima <i>et al.</i> (2003)	Several hours	Subsurface flow
Becker (2005)	A day to several weeks	Subsurface stormflow
Zillgens et al. (2007)	Three to five days	Subsurface flow
Birkinshaw (2008)	Several tens of hours to a few days	Subsurface stormflow
Kosugi et al. (2011)	Two to three days	Bedrock groundwater
Fenicia et al. (2014)	Several hours or days	Subsurface flow
Padilla <i>et al.</i> (2014, 2015)	Within four days	Bedrock groundwater
Yang <i>et al.</i> (2015)	Several hours	Subsurface flow
This study	5 hours to 9.9 days	Subsurface flow (groundwater flow)

514 **Table 3.** Lag time between peak rainfall intensity and the delayed streamflow peak in this study 515 and in previous studies.

516

517 **4.2** Hysteresis between groundwater level and streamflow

For bimodal events in XEW, the non-linear relationship between groundwater level and streamflow results in hysteretic relationships between the two variables. Figure 12 shows time series for streamflow and I_G as well as scatter plots comparing the two variables for the six events used in section 3.3. As noted by Dunne (1978), when two runoff peaks appeared in an event, there must be at least two zones in the catchment that responded to the storm and contributed to runoff. The hysteretic nature highlights the possibility of multiple hydrological compartments being active and these compartments are not necessarily contributing significant flows simultaneously but
rather sequentially during the runoff generation period (Fovet *et al.*, 2015; Martínez-Carreras *et al.*, 2016).



530

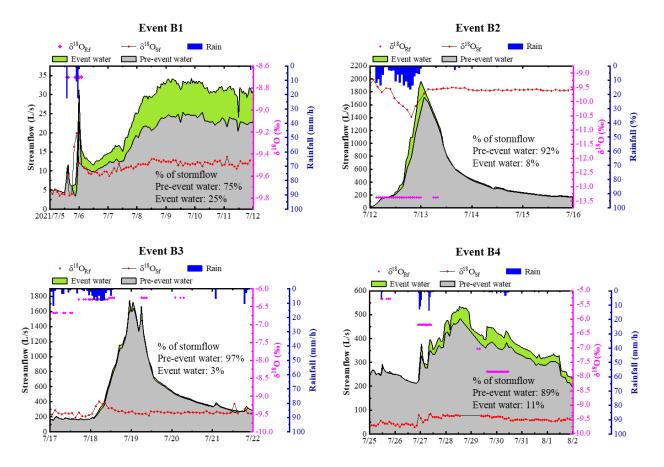
Figure 12. Streamflow and I_G with corresponding scatter plots between both variables for three typical bimodal and three hybrid bimodal events. Note that the axis scales vary between events. Arrows indicate progression of time. Direct peaks in bimodal hydrographs indicated as "1st" and delayed peaks as "2nd".

536 Streamflow increased quickly and peaked before groundwater level during direct peaks, 537 resulting in an anti-clockwise hysteretic loop. It can be explained that direct peaks were formed by 538 rainfall directly falling onto the channel or a saturation zone near the channel, and/or by the flow 539 that contributed to the channel through rapid routes, as observed in other watersheds by Jackisch 540 et al. (2016). In contrast, groundwater level peaked first during delayed peaks, indicating that the 541 groundwater level in the watershed peaked first and subsequently released water, creating the 542 delayed runoff peak. This behavior may be attributed to the groundwater level surpassing a 543 threshold for generating bimodal hydrographs, leading to enhanced hydraulic connectivity 544 between hillslopes and the channel. This, in turn, resulted in the swift release of a substantial 545 amount of groundwater or subsurface flow (Burt & Butcher, 1985; Detty and McGuire, 2010; 546 McGlynn & McDonnell, 2003; McGuire and McDonnell, 2010; Scaife and Band, 2017). 547 Consequently, the groundwater level is not merely a passive feature in this watershed, where 548 shallow groundwater may constitute the primary runoff component, but actively controls the 549 stormflow.

550 **4.3 Two-component hydrograph separation**

The two-component hydrograph separation was performed for four bimodal storm events using the δ^{18} O of the bulk rainfall, a pre-event water signature (represented by the stream δ^{18} O before the rainfall) and the monitored stream water signature during the events. These four events were chosen because their relatively complete isotope data. It should be noted that in all four rainfall events, δ^{18} O values in rain and stream water were notably different, which is a requirement for end-member hydrograph separation analysis. The hydrograph separation results, as well as the δ^{18} O series of rainwater and stream water were shown in Figure 13. 558 Regarding the water sources separation result, these four events can be divided into two 559 groups: Event B1 and B4, the major stormflow process were lagged and considerably damped, and 560 event water contributions were higher compared to the other two events. The fraction of event 561 water comprising the hydrograph was 25% in Event B1, and the contribution ratio of event water 562 in Event 4 was 11%. Considering that the rain had already stopped, the event water component of 563 the delayed peak should be the rainwater temporarily stored in the watershed during the rainfall 564 process. Event B2 and especially Event B3, however, were almost entirely pre-event water 565 dominated (the contributions of pre-event water were 92% for Event 2 and 97% for Event B3), 566 although it was evident that some event water contributed to the stormflow during the rising and 567 peak period of streamflow, this water may have originated from the direct rainfall or rain water 568 taking a rapid route to the stream channel.

The hydrograph separation results indicated that the streamflow contribution of pre-event water changed virtually in sync with streamflow following the onset of rain, almost entirely dominating the hydrograph, while event water dominated the sharp streamflow peak responding to high-intensity storm. Early in the rainy event, the pre-event component of the hydrograph exceeded 50%, indicating a sufficiently swift groundwater response such that considerable amounts of groundwater were released soon after the start of rain.



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Figure 13. The partitioning of stormflow into its pre-event and event water sources using onetracer two component hydrograph separation analysis with δ^{18} O as tracer for the four storm events. $\delta^{18}O_{Rf}$ and $\delta^{18}O_{Sf}$ are the $\delta^{18}O$ respectively for rain and stream water.

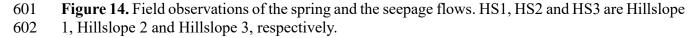
579 In addition, there was a noticeable, gradual rise in the pre-event water contribution to total 580 stormflow as the catchment was wetting-up (Figure 13). Event B1 had a rather dry antecedent 581 condition and showed a relatively lower pre-event water percentage (about 75%). Event 3 in the 582 temporal sequence had a extremely high pre-event water proportion (approximately 97%) and 583 occurred under highly wet antecedent conditions. In Event B4, due to a little reduced wetness 584 condition compared to the preceding Event B3, the percentage of pre-event water decreased 585 somewhat to approximately 89%. This pattern may be attributed to increased water flux during the wetting-up process when the water table rose into near surface soil layers with high saturated 586 587 hydraulic conductivity. The rate of groundwater increase slowed as a result of the higher

transmissivity, and more pre-event water was mobilized and travelled rapidly to the stream viashallow flow pathways (Lundin, 1982).

590 4.4 Filed observation

591 Our field observations on-site indicate that direct exfiltration of groundwater into the runoff 592 predominates, with few signs of hillslope overland flow. For example, during a heavy storm on 593 July 5, 2021, characterized by short duration (7 hours) and very high intensity (27.6 mm/h) with a 594 total rainfall of 65.2 mm, minimal overland flow was observed at the study site. However, post the 595 storm on July 5, the spring water flow from Hillslope 2 substantially increased. Moreover, at 596 various points in the watershed, seepage flow was observed gushing from fractures in the stone 597 and holes in the earth. These field observations strongly suggest the direct exfiltration of 598 groundwater into the runoff, providing further support to the notion that groundwater significantly 599 contributes to stormflow in the watershed.





603 **5. Conclusions**

600

Based on observations from 2013 to 2023, the study carried out an event-scale analysis of streamflow hydrographs in a semi-humid forested watershed of North China. Three stormflow patterns with distinct shaped hydrograph, i.e., unimodal, bimodal, and hybrid bimodal were
identified. Particularly, their rainfall-runoff response characteristics as well the stormflow
composition were analyzed, and derived the following conclusions:

609 1) Direct peaks for both unimodal and bimodal events occurred within 1 hour following the
610 peak rainfall, while the lag time of delayed peaks ranged between 5 h and 9.9 days. The stormflow
611 amount generated by bimodal events, due to the delayed peak, was several to hundreds of times
612 more than that of the unimodal events, often resulting in flooding.

613 2) Delayed stormflow appeared when the sum of event rainfall amount (P) and antecedent 614 soil moisture index (ASI) exceeding 200 mm. Stormflow yield is positively proportional to event 615 peak groundwater level while the lag time of delayed peak showed an inverse correlation with 616 peak groundwater level.

617 3) The isotopic analysis and two-component hydrograph separation unveiled that pre-event
618 water predominantly contributed to the delayed stormflow, with event water dominating the sharp
619 streamflow peak in response to high-intensity storms.

4) Streamflow peaked before groundwater level during direct peaks, suggesting that direct streamflow peaks are from direct rainfall onto the channel or rapid flow through macropores and bedrock fractures, Discharge peaked before catchment storage during single peak. But groundwater levels peaked first during delayed streamflow, suggested that the delayed stormflow is primarily made up of shallow groundwater, and this is further supported by field observation.

This study clarified the prerequisites for bimodal stormflow, and the provided information on the response characteristics and water resources of stormflow is not common knowledge for regions. We believe these findings can enrich runoff generation theory and contribute new insights for stormflow modelling in other similar regions.

629 Data availability

All the data used in this study will be available at the Zenodo website at the time ofpublication.

632 Author contribution

633 ZC contributed the conceptualization, formal analysis, investigation and writing; FT
634 contributed the conceptualization, formal analysis and revision; ZZ, ZX, YD and JW contributed
635 the Investigation; M contributed the writing.

636 Competing interests

637 Some authors are members of the editorial board of Hydrology and Earth System Sciences.

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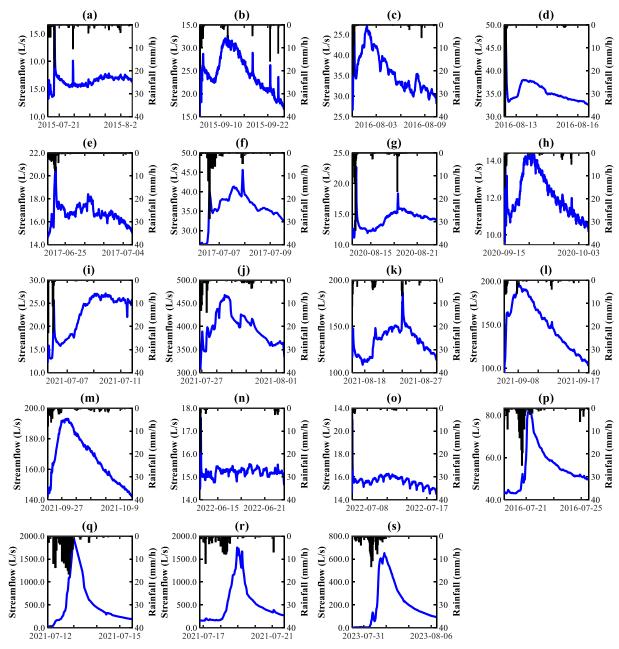
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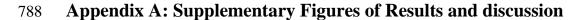


Figure A1. Rainfall and streamflow hydrograph for (a-o) 15 bimodal and (p-s) 4 hybrid bimodal events.