

Comparative Hydrological Developing Appropriate Model Complexity for Predicting Modeling of Snow-Cover and Frozen Ground Impacts on Large Basin Runoff: Developing Appropriate Model Complexity for Under Topographically Complex Conditions

Quantification of snow and frozen-ground impacts based on the improved distributed hydrological models

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Abstract. ~~In cold regions, snow and frozen ground can significantly influence hydrological processes, yet understanding these dynamics remains is limited due to by insufficient observation data, in particular at large scales. To advance process understanding and modeling capabilities of modeling large basin runoff in cold regions, we enhanced the existing Grid Xinanjiang (GXAJ) model framework by developing i) the Grid Xinanjiang-Snow cover model (GXAJ-S), incorporating snowmelt processes, and ii) the Grid Xinanjiang-Snow cover-Seasonally Frozen ground model (GXAJ-S-SF), which accounts for both snowmelt and freeze-thaw cycles. These models were calibrated using daily runoff data (2000–2010) and snow depth data (for the snowmelt process) to simulate runoff (2011–2018) in the middle and upper reaches of the extensive Yalong River basin, a region characterized by complex topography and a seasonally cold climate on the Qinghai-Tibet Plateau. The results highlight the importance of including considering both snowmelt and frozen ground processes, as demonstrated by the significantly better performance of the GXAJ-S-SF model compared to the other variants. Specifically, the GXAJ-S-SF model showed that~~

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the presence of seasonally frozen ground (SFG) increased surface water runoff (by 39–77% compared to models neglecting SFG) during cold months, while reducing interflow, groundwater runoff, and soil evapotranspiration. These findings emphasize the significant hydrological impacts of SFG on large-basin runoff generation in mountainous areas. The flexible design of the snow and frozen ground components allows for their integration into other hydrological models, providing a valuable tool for improving hydro-climatic assessments and predictions in cold mountainous regions. This approach is particularly relevant for assessing downstream water resource impacts under climate-driven changes in SFG. In cold regions, snow and frozen ground significantly influence hydrological processes, but understanding these dynamics remains limited due to insufficient data. We aimed at advancing process understanding and model capabilities, departing from the existing Gridded Xinanjiang (GXAJ) model framework and developing i) the Gridded Xinanjiang-Snow cover model (GXAJ-S) considering snowmelt and ii) the Gridded Xinanjiang-Snow cover-Seasonally Frozen ground model (GXAJ-S-SF) taking into account both snowmelt and freeze-thaw cycles. The models were calibrated to daily runoff data (2000–2010; calibrating also the snowmelt module to snow depth data) to reproduce runoff (2011–2018) from the middle and upper reaches of the Yalong River located in the topographically complex and seasonally cold zone of the Qinghai-Tibet Plateau. The results showed the relevance of considering not only snowmelt

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impacts, but also frozen ground impacts, as reflected in a clearly better GXAJ-S-SF model performance compared to both other model variants. In particular, the GXAJ-S-SF model output demonstrated that the presence of seasonal frozen ground (SFG), considerably increased surface water runoff (by 39–77% compared to the two models that neglected SFG) during the cold months, while reducing interflow and groundwater runoff. Additionally, the GXAJ-S-SF model results showed a significantly reduced soil evapotranspiration. These results emphasize multiple and considerable impacts of SFG on runoff generation in mountainous areas. This modular approach has great potential for integration into other hydrological models and application in cold mountainous regions, where accounting for climate-driven SFG changes could significantly enhance future hydro-climatic assessments and predictions, including downstream water resource impacts.

Keywords: Frozen ground, Snow, Hydrological Modeling, Cold Regions. Climate change

1. Introduction

Seasonally Frozen Ground (SFG) has significant implications for the energy balance and water equilibrium of the land surface, which in turn affects ecosystems, hydrologic processes, soil properties, and biological activity worldwide. Seasonal freezing occurs across extensive areas, with approximately 25% of the Northern Hemisphere's land surface experiencing seasonal topsoil freezing in permafrost regions, i.e., the active layer, and an additional 25%

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85 outside the permafrost zone (Zhang et al., 2003). While the hydrological impacts of permafrost
thaw and active layer changes have been extensively investigated over the past decade (Ford
and Frauenfeld, 2016; Streletskiy et al., 2015), the hydrological impacts of SFG in permafrost-
free regions have received less attention (Ala-Aho et al., 2021). The hydrological response to
SFG is controversial and appears to be highly site- and time-specific (Appels et al., 2018). A
90 systematic review by Ala-Aho et al. (2021) concluded that the impact of SFG on runoff
processes is profound in many small-scale applications. ~~However, although large knowledge
gaps remain, not least regarding the complex and less clear responses on larger scales for which
the presence and absence of SFG may show considerable spatial variation.~~ The possible,
spatially complex impacts of SFGs on runoff in large basins may furthermore vary considerably
95 ~~have a significant impact on the hydrological cycle with high variability in large basins~~ within
the year (Song et al., 2022). Shiklomanov (2012) similarly noted that despite the large scale
and significant importance of SFG in cold regions, it has not received much attention due to
the lack of long-term observational time series. Additionally, climate change is expected to
alter frozen ground conditions and extent (Wang et al., 2019), increasing the frequency of
100 freeze-thaw events in cold regions (Venäläinen et al., 2001). Thus, understanding the
hydrological impacts of SFG under a warming climate, where permafrost is being transformed
into SFG, is becoming increasingly important.

It is generally accepted that frozen ground, whether seasonally frozen or permafrost,
constrains hydrological interactions to some extent. However, the hydrological response within
105 permafrost regions differs significantly from areas where only the surface soil freezes

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seasonally. Permafrost extends deeply into the subsurface, impeding or even completely preventing deep groundwater runoff (Walvoord et al., 2012), leading to shallow groundwater runoff and rapid surface water runoff during snowmelt if the active layer of permafrost has not yet thawed (Hinzman et al., 1991). In contrast, the effects of SFG typically remain shallow in depth, increasing surface water runoff and reducing groundwater recharge during snowmelt if the topsoil is frozen (Ireson et al., 2013). This suggests that SFG disrupts surface-subsurface hydraulic connectivity in winter and spring while increasing hillslope runoff into the stream channels (Covino, 2017). This study focuses on SFD, which, at the regional scale, can serve as a crucial indicator of climate change and frozen ground conditions in cold regions.

SFG regions generally experience seasonal snow cover, which significantly influences the soil freeze-thaw process. Due to the low thermal conductivity, high latent heat of melting, and high albedo of snow, changes in snow cover substantially alter the impact of air temperature on the thermal state of the soil (Goncharova et al., 2019), thereby affecting the soil freeze-thaw dynamics (Biskaborn et al., 2019). In areas of thin or transient snow cover in the SFG regions, thermal coupling between the ground and the atmosphere is more likely to increase the frequency and intensity of soil freezing while potentially reducing the duration of the freeze (Fuss et al., 2016). Consequently, soil in these regions may freeze more frequently and deeply but thaw more quickly due to weaker snowpack insulation. The seasonal effect of deep snowpack on ground temperatures depends on the thermal history of the ground, air temperature, and solar radiation that isolates the ground from the atmosphere (Maurer and Bowling, 2014). In a warming climate, a decrease in late-season snowpack may lead to

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increased soil freezing (Hardy et al., 2001). This phenomenon, termed “soil cooling in a warm world” (Groffman et al., 2001), emphasizes the complex effects of climate change on soil freezing and thawing processes. Therefore, the hydrological impacts of snow and SFG should be considered together as the two processes interact (Qi et al., 2019).

Hydrological processes associated with SFG and snow cover, including changes in soil moisture content and runoff component contributions, can be quantitatively simulated using process-based hydrological models (Gao et al., 2022; Qi et al., 2019). Physical process-based cold regions hydrological models such as the Geomorphology-Based Eco-Hydrological Model (GBEHM) (Yang et al., 2015), the Water and Energy Budget-based Distributed Hydrological Model (WEB-DHM) (Wang et al., 2009), the Variable Infiltration Capacity (VIC) model (Liang et al., 1996), and the Cold Region Hydrological Model (CRHM) (Pomeroy et al., 2007) have been developed to assess various hydrological impacts of SFG and snow cover (Jafarov et al., 2018; Qi et al., 2016; Walvoord et al., 2019). While these models offer rigorous physical interpretations, they require a number of high-quality input data, and are hindered by parameterization complexities that induce simulation uncertainties (Gao et al., 2018), and exhibit slow computational speeds. Moreover, challenging climate and environmental conditions in cold regions pose difficulties for field observations, exacerbating local parameterization challenges. Conventional hydrological models such as SWAT (Arnold et al., 1995), HBV model (Krysanova et al., 1999), TOPMODEL (Beven and Kirkby, 1979), and Xinanjiang model (Zhao, 1984) predominantly focus on soil moisture conditions, neglecting the impacts of snowmelt and soil freeze-thaw processes. However, the soil freeze-thaw cycle

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traverses runoff processes, including infiltration, evaporation, and water migration, constituting a pivotal aspect of the hydrological cycle in cold regions (Guo et al., 2022). Although efforts have been made to integrate soil freeze-thaw ~~module~~processes into hydrological models (Ahmed et al., 2022; Huelsmann et al., 2015; Kalantari et al., 2015), most existing approaches, whether traditional or physical, ~~rarely consider the fail to concurrently consider the~~ impacts of snow and SFG ~~simultaneously, and, There is also a lacking of quantitative studies on an in-~~depth understanding of the mechanisms by which snow and SFG affect hydrological processes. Furthermore, snow cover and SFG exhibit significant spatiotemporal heterogeneity and are influenced by numerous interconnected factors. The translation of point/slope-scale frozen processes into their basin-scale hydrological implications remains largely unexplored (Gao et al., 2022).

The Tibetan Plateau, the source region for many major rivers in Asia, provides water for billions of people and downstream ecosystems, earning the title "Asian Water Tower" (Immerzeel et al., 2010). The cryosphere of the Tibetan Plateau, consisting primarily of snow, permafrost, and glaciers (Qi et al., 2019), is highly sensitive to climate change. Seasonal snow cover and frozen ground significantly influence the hydrological processes in cold alpine regions, exhibiting pronounced intra-annual regulatory effects (Gao et al., 2023). Consistent with that, Pomeroy et al. (2007) is recommended to consider considering the coupling of seasonal freeze-thaw cycles with precipitation (snowfall) as a potential primary control on hydrological processes ~~to develop accurate models (Pomeroy et al., 2007)~~. The Xinanjiang model and its derivatives are considered the most commonly used practical flood forecasting

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models in China (Yao et al., 2014), with significant experience accumulated in operational flood forecasting (Chen et al., 2023); However, its adaptability in cold regions is relatively poor because it does not account for the influence of snow cover and frozen ground on the hydrological process.

Departing from the Gridded Xinanjiang Model (GXAJ), the primary objective of this study is to develop enhanced hydrological models based on the Gridded Xinanjiang Model (GXAJ) at different levels of complexity, including as represented by the snow model (GXAJ-S), GXAJ-S and the snow-seasonally frozen ground model GXAJ-S-SF, (GXAJ-S-SF), to better simulate hydrological processes in cold regions. The A main innovation is how the physical mechanisms of snowmelt and freeze-thaw cycles are coupled in the model. This is done in a way that enables quantitative analyses of the impacts of snowmelt and frozen ground on runoff, soil moisture dynamics and evapotranspiration while still keeping model complexity relatively low as compared to many physical process-based cold region models. lies in systematically coupling the physical mechanisms of snowmelt and freeze thaw cycles into the model, enabling a quantitative analysis of the contributions of snowmelt runoff and the impacts of frozen ground on soil moisture dynamics and evapotranspiration. The newly developed models feature a flexible design of snow and frozen ground components, offering computational simplicity, fewer parameters, and low data requirements while maintaining strong physical significance and applicability. Furthermore, in the light of the above-mentioned considerable knowledge gaps on large-scale impacts of SFG on runoff, an additional novel aspect of the manuscript is related to the performed systematic comparison between simplified

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190 ~~models (having no combined snow-SFG extensions, or accounting for snow processes only)~~
~~and extended models that account for combined impacts of snow and SFG. The comparison~~
~~aims at increasing the understanding regarding to which extent SFG processes play a significant~~
~~role in large basin runoff, e.g. providing guidance regarding the necessary level of complexity~~
~~in predictive models. On the one hand, simpler models with fewer input parameters have a~~
195 ~~wider applicability, not least in data-poor regions. On the other hand, models must be complex~~
~~enough to represent the governing processes with sufficient accuracy. We therefore expect that~~
~~present systematic investigation regarding to which extent SFG processes play governing roles~~
~~in large basin runoff can provide guidance on the necessary level of complexity in large basin~~
~~model applications.~~
200 ~~This study also explores the spatiotemporal dynamics of the coupled~~
~~effects of snow and seasonally frozen ground on hydrological processes, providing an efficient,~~
~~flexible, and physically consistent modeling framework suitable for data-scarce environments~~
~~and complex terrain conditions. The main objective of this study is to develop an enhanced~~
~~hydrological model based on the GXAJ model, which considers the freeze-thaw cycles of snow~~
~~cover and frozen ground leading to the development of the Gridded Xinanjiang Snow cover~~
205 ~~model (GXAJ-S) and Grid Xinanjiang Snow cover Seasonally Frozen ground model (GXAJ-~~
~~S-SF). Additionally, this study aims to evaluate the performance of the newly developed model~~
~~and quantitatively analyze the contribution of snowmelt to runoff sources, as well as the effects~~
~~of frozen ground on soil conditions, runoff components, and evapotranspiration. The~~
~~hydrological processes influenced by the coupling of seasonal snow and frozen ground is~~
210 ~~thoroughly investigated.~~

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2. Methodology

2.1 Cold region runoff mechanisms

The critical importance of ground freezing in the runoff generation of cold regions lies in the transformation of pre-existing water in soil pores into ice, which inhibits vertical water connectivity (Ala-Aho et al., 2021). Consequently, in areas with frozen ground, runoff processes are influenced not only by precipitation and soil moisture but also by ground freezing conditions driven by temperature variations (Wang et al., 2017). Based on the dynamic changes associated with seasonal freeze-thaw cycles and snow accumulation-melt dynamics, the runoff generation process are divided into four stages (Guo et al., 2022): initial freezing stage (IFS), stable freezing with snow stage (SFS-S), initial thawing stage (ITS), and complete thawing stage (CTS) (Fig. 1).

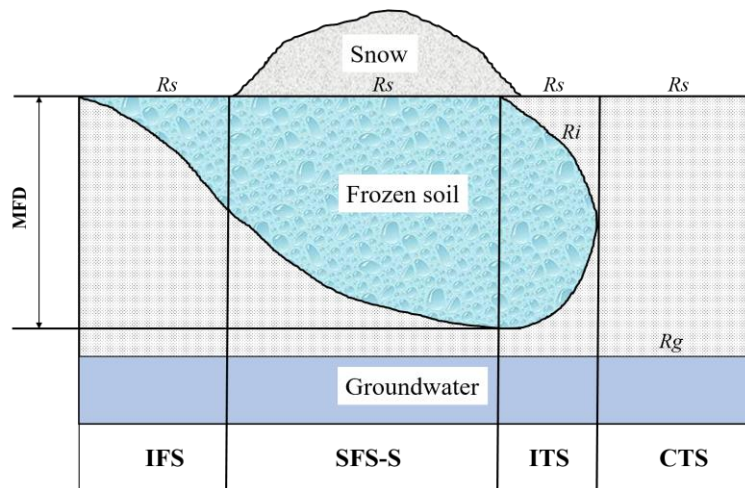


Figure 1. Runoff generation model in seasonally frozen ground/snow regions. R_s , R_i , and R_g represent surface water runoff, interflow, and groundwater runoff, respectively; MFD means maximum seasonal frozen ground

225 depth.

i) During the IFS, temperatures are low, but no snowfall occurs. The ground freezes from the surface downwards (Thomas et al., 2009), significantly inhibiting the evaporation of soil moisture into the air and making it difficult for vegetation to absorb it. Due to the frozen surface layer, groundwater recharge is restricted. The precipitation during this stage mainly generates surface water runoff (R_s), which becomes the primary runoff component.

ii) Persistent low temperatures cause the depth of the frozen ground to increase while snow accumulates on the surface, maintaining the frozen state. The snow protects the cold ground from solar radiation despite warmer temperatures (Rush and Rajaram, 2022) until the snow completely melts. In the SFS-S, groundwater remains active beneath the frozen layer (Gao et al., 2022), soil evapotranspiration is nearly zero, and R_s generated by snowmelt or rainfall remains the main runoff component.

iii) During the ITS, as the temperature continues to rise and snow completely melts, the surface frozen ground begins to thaw, receiving substantial inputs from precipitation and snowmelt. During this stage, vegetation transpiration is very limited, and soil evaporation occurs only in the thawed surface layer. As a result, the surface layer easily saturates, generating saturation-excess runoff R_s . With increasing thaw depth, interflow (R_i) appears above the thaw front. Runoff during this stage primarily consist of a mix of R_s and R_i .

iv) In the CTS, the atmospheric and soil layers restore vertical connectivity. Increased rainfall events replenish groundwater, and evapotranspiration gradually increases. Runoff processes in this stage include R_s , R_i , and groundwater runoff (R_g).

In SFG/snow covered regions, precipitation and snowmelt are the primary sources of runoff. Temperature influences the seasonal freeze-thaw cycles of snow and frozen ground, and their interaction further affects soil water/ice content and evapotranspiration. Lower elevations generally experience higher temperatures compared to higher elevations, and south-facing slopes are generally warmer than north-facing slopes. Such local to regional temperature differences cause spatial variability in runoff, with transitions in runoff components across different freeze-thaw stages forming the fundamental runoff patterns in SFG regions.

2.2 Modeling approach

The GXAJ model (Yao et al., 2012) uses the concept of a saturated runoff mechanism, meaning that during rainfall, runoff will only occur once the soil water storage reaches the field capacity, with all incoming water being absorbed by the soil before that point, without generating runoff. In the GXAJ model, the tension water storage capacity (W_M) (mm) of any grid cell is determined by the geomorphological features and underlying surface conditions such as soil and vegetation (Stephens, 1996; U. S. Department of Agriculture, 2002). The potentially uneven distribution of W_M within a grid cell is not considered. The measured precipitation in the computation period is first adjusted by subtracting the corresponding period's evapotranspiration, vegetation canopy interception, and river precipitation, and then the upstream inflow is considered to see-check if it can replenish the soil moisture in the current grid cell. This results in the an effective precipitation (P_e) that is used for runoff (R) calculation.

The runoff (R) from a grid cell is divided into three sources/components: surface runoff R_s , interflow R_i , and groundwater runoff R_g . The GXAJ model assumes that the surface soil of the

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capillary zone is a humus layer (determined by geomorphological features and soil, vegetation, and other surface conditions) (Li et al., 2004). The bottom of this humus layer is considered to be a "relatively impermeable layer." A portion of the runoff generates R_i in the humus layer here, while another part infiltrates further to produce R_g . When the free water in the humus layer becomes saturated, surface runoff occurs. Similarly, the uneven distribution of free water storage capacity (S_M) within the grid cell is not considered.

The GXAJ model calculates evapotranspiration using a three-layer model (Zhao and Wang, 1988). The soil is divided into upper, lower, and deep layers, with each layer having corresponding tension water storage capacities of W_{UM} , W_{LM} and W_{DM} (mm). When calculating actual evapotranspiration in the a grid cell, canopy interception is evaporated based on its evapotranspiration capacity. If the interception is less than the evapotranspiration capacity, the three-layer model is used. The calculation principle of the three-layer evapotranspiration model is as follows: The upper layer evaporates according to its capacity. If the upper layer's water content is insufficient, the remaining evapotranspiration capacity is used by the lower layer, which evaporates proportionally to the lower layer's water content and inversely to its water storage capacity. The ratio of the calculated lower layer evapotranspiration to the remaining evapotranspiration capacity should must not be less than the deep-layer evapotranspiration coefficient (C). Otherwise, the deficit is replenished by the lower layer's water content, and when the lower layer is insufficient, it is supplemented by the deep layer's water content.

In summary, The the GXAJ model (Yao et al., 2012) partitions runoff into three

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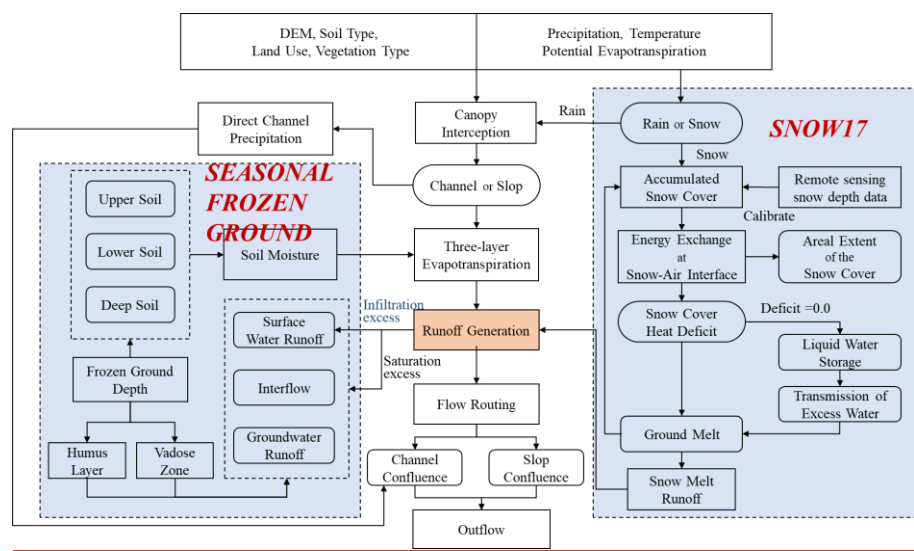
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components, i.e., R_s , R_i , and R_g , by calculating the tension water storage capacity (W_M) in the vadose zone and the free water storage capacity (S_M) in the humus layer (the spatial distribution is shown in Figure. S1) ~~(the topsoil of the vadose zone, the depth of which depends on soil profile characteristics, including the presence and location of underlying layers)~~. The W_M determines whether a grid cell generates runoff and the runoff volume (i.e., saturation-excess runoff), while the free water content of the surface soil differentiates the runoff components into R_i and R_g . When the free water content reaches saturation, R_s is produced, as illustrated in ~~Figure-Fig. S1-S2~~ (a). For actual evapotranspiration calculation, the soil within each grid cell is divided into three layers: upper, lower, and deep, with corresponding soil moisture and evapotranspiration labeled as W^u , W^l , and W^d , and E^u , E^l , and E^d , respectively, as shown in ~~Figure-Fig. S1-S2~~ (b). Confluence processes follow the calculation order between grids, sequentially routing various water sources to the watershed outlet. For details, refer to Yao et al. (2009).

However, the original GXAJ model does not account for the impacts of snow cover and freeze-thaw processes on runoff generation; studies have shown that this model is not suitable for seasonally cold regions (Yao et al., 2009, 2012). To address this, we here introduce the snowmelt runoff ~~module~~process (SNOW17) and the freeze-thaw cycle processes into the GXAJ model, investigating if and to which extent the related expanded GXAJ-S model and GXAJ-S-SF model could better represent cold region hydrological processes (Fig. 2). Specifically, these ~~process~~modules explicitly account for the accumulation and melting of seasonal snow, as well as the spatiotemporal variations in

soil freeze-thaw depth, using grid-based temperature and precipitation inputs. The SNOW17 model (Anderson, 1973) was chosen for snowmelt runoff calculation due to its minimal input requirements and clear representation of the most critical physical processes within the snowpack. Additionally, the Stefan equation was employed to predict seasonal soil freeze and thaw depths (Peng et al., 2017). The Stefan equation is widely used in conjunction with process-based models due to its simplicity and flexibility (Kurylyk, 2015).



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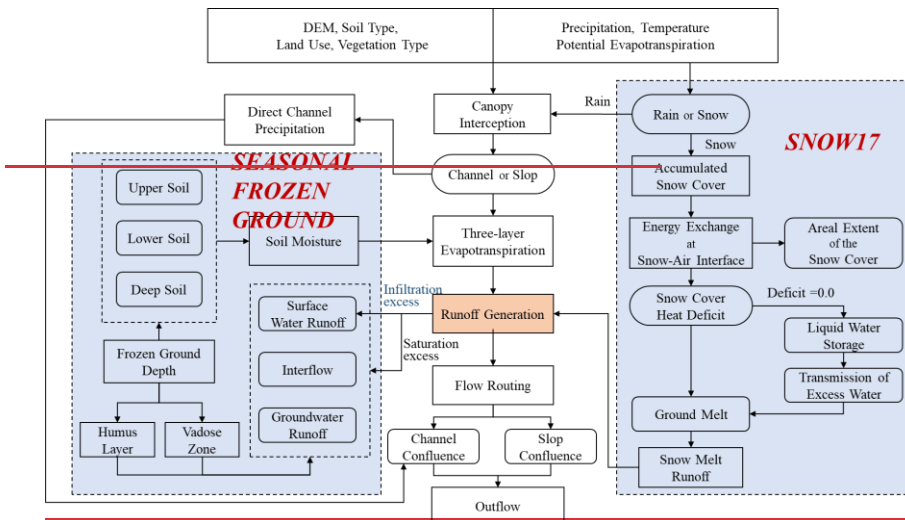


Figure 2. The schematic framework of the GXAJ-S-SF model.

2.2.1 Snow accumulation and melting runoff

Before snowfall occurs, if ground temperatures remain below freezing (0°C) for an extended period, the soil is subject to freezing (IFS) conditions. In related snow accumulation phases, as long as the snow cover remains relatively thin, most solar radiation is reflected by the snow cover due to its high albedo, while it yet does not insulate the ground, due to insufficient thickness. In contrast, thick snow covers, with their low thermal conductivities, can completely isolate the ground from the surrounding air temperature ([Rush and Rajaram, 2022](#)). Research has proposed a snow depth threshold of 30-40 cm ([Hill, 2015](#)), above which air temperature is not expected to affect ground temperature. At the lowest negative accumulated temperature, the maximum frozen depth is reached, with soil water retained as ice. As temperatures rise, the surface snow begins to melt first (Fig. [S2S3](#)).

The SNOW17 model (Anderson, 1973), developed as part of the National Weather Service

river forecast system in the United States, was used for snowmelt prediction. The model description in this section is adapted from the latest references of the model (Anderson, 2006).

The SNOW17 is an empirical lumped model that uses average daily temperature as the sole index to simulate snow accumulation, heat storage, snowmelt, liquid water retention, and meltwater transmission, determining energy exchange at the snow-air interface based on empirical relationships (He et al., 2011). The model outputs are snow depth and runoff time series. The snow accumulation and melting amount for each grid cell are calculated based on the snow-covered area. The SNOW17 model calculates snowmelt with and without rainfall, producing the total runoff during the snow cover period (O_s , mm).

The snow surface melting equation with rainfall is:

$$M_r = \sigma \cdot \Delta t_p \cdot [(T_a + 273)^4 - 273^4] + 0.0125 \cdot P \cdot f_r \cdot T_r + 8.5 \cdot UADJ \cdot (\frac{\Delta t_p}{6}) \cdot [(0.9 \cdot e_{sat} - 6.11) + 0.00057 \cdot P_a \cdot T_a] \quad (1)$$

where, M_r is the melt during rain-on-snow time intervals (mm), σ represents the Stefan-Boltzman constant ($6.12 \cdot 10^{-10}$ mm/ $^{\circ}$ K/hr), Δt_p is the time interval of precipitation data (hour), T_a is the air temperature ($^{\circ}$ C), 273 represents 0° C on the Kelvin scale, f_r is the fraction of precipitation in the form of rain, T_r is the temperature of rain ($^{\circ}$ C), $UADJ$ represents the average wind function (mm/mb/6 hr), and e_{sat} and P_a are saturated vapor pressure at T_a (mb) and atmospheric pressure (mb), respectively.

The snow surface melting equation without rainfall is:

$$M_{nr} = M_f \cdot (T_a - MBASE) \cdot \frac{\Delta t_p}{\Delta t_t} + 0.0125 \cdot P \cdot f_r \cdot T_r \quad (2)$$

where, M_{nr} is the melt during non-rain periods (mm), M_f is the melt factor (mm/ $^{\circ}$ C/ Δt_t)

~~44~~), Δt_t is the time interval of temperature data (hours), and $MBASE$ is the base
350 temperature ($^{\circ}\text{C}$).

Most soil moisture exists in the form of solid ice, and the presence of frozen ground obstructs the infiltration of snowmelt water, resulting in surface water runoff (R_s^* , mm) as shown in ~~Figure-Fig. S2-S3~~ (a). In the presence of snow cover, soil moisture evaporation is generally impeded. The snow cover prevents the evaporation of moisture from the soil surface, 355 while moisture on the snow surface is released into the atmosphere through sublimation (i.e., snow surface evaporation) as described by the SNOW17 model. Therefore, soil moisture evaporation is typically restricted under snow cover. Additionally, the frozen ground beneath the snow prevents soil moisture from being released into the atmosphere through evaporation, further limiting soil moisture evaporation. The soil moisture status at this time is shown in the 360 ~~Figure-Fig. S2-S3~~ (b).

2.2.2 Freeze-thaw process

The GXAJ-S-SF model employed the Stefan equation to estimate the approximate solution for the freeze-thaw depth. The Stefan equation is a temperature index-based freeze-thaw algorithm that assumes the sensible heat in soil freeze-thaw simulations can be neglected (~~Xie~~
365 and Gough, 2013):

$$SFD = \sqrt{\frac{2 \cdot 86400 \cdot K_f \cdot F}{L \cdot \omega \cdot \rho}} \quad (3)$$

where SFD is the freeze-thaw depth (cm), K_f is the thermal conductivity of the soil (W(mK) $^{-1}$), F is the surface freezing-thawing index, with the freezing index being the cumulative negative ground temperature during freezing and the thawing index being the

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cumulative positive ground temperature during thawing. L is the latent heat of fusion for ice
 370 $(3.35 \times 10^5 \text{ J kg}^{-1})$, ω is the water content, and ρ is the bulk density of the soil (kg m^{-3}) . We set
 the thermal conductivity to 2 W (mK)^{-1} , the water content ω to 0.12 (as a fraction of dry soil
 weight), and the bulk density ρ to 1000 kg m^{-3} (Gao et al., 2022). Due to the lack of ground
 temperature data, a conversion factor was used to transform air temperature into ground
 temperature. During the freezing period, this factor was 0.6, while during thawing, it was
 375 assumed that ground temperature equaled air temperature (Gisnas et al., 2016).

To account for the insulating effect of snow cover on frozen ground, a threshold of 30 cm
 was used: if the snow depth exceeded 30 cm (Hill, 2015), the air temperature effect on frozen
 ground was ignored, regardless of whether low temperatures caused soil freezing or high
 temperatures caused thawing. If the snow depth was below this threshold and the snow cover
 380 duration ranged between 60-140 days (Wu et al., 2024), the snow depth variable was added to
 the Stefan equation (Wang & Chen, 2022):

$$SFD^* = \sqrt{\frac{2 \cdot 86400 \cdot K_f \cdot F}{L \cdot \omega \cdot \rho}} / \sqrt[3]{ASD} \quad (4)$$

where ASD is the average snow depth.

In this study, the Stefan equation was driven by distributed temperature data, enabling us
 to simulate the soil freeze-thaw processes for each grid cell. The spatiotemporal variation of
 385 frozen soil depth affects runoff components, including soil water/ice, and soil
 evapotranspiration. We distinguish between four different possible type cases regarding
 associated runoff generation, each of which is associated with different modeling routines:

Case (a): When the surface soil is frozen, as shown in Figure Fig. S3-S4 (a), rainfall and

snowmelt primarily generate surface water runoff (R_s^*). Soil water/ice content is shown in Figure Fig. S4 S5 (a). When the soil is in a frozen state, soil moisture cannot evaporate because the frozen ground forms an ice layer that prevents upward moisture evaporation.

Case (b): When the surface soil has thawed and the thawing depth is less than the depth of the humus layer (Fig. S3 S4 (b)), the surface soil moisture exists in the form of liquid water. In this case, the thawed soil layer is considered to be the “new” vadose zone and the humus layer. The bottom of the thawed layer (impermeable layer) generates interflow (R_i^*), and since the thawed soil layer is relatively thin, surface saturation runoff (R_s^*) is easily generated:

$$R = P_e + W_0^* - W_M^* \quad (5)$$

$$R_i^* = K_i \times S^* \quad (6)$$

$$R_s^* = R + S^* - S_M^* \quad (7)$$

where P_e is the net rainfall during the period used for runoff calculation, mm; W_0^* is the initial soil moisture content of the thawed soil layer, mm; W_M^* is the tension water storage capacity of the thawed soil layer, S^* is the free water content in the thawed surface soil, K_i is the outflow coefficient of the surface soil free water content to the interflow, and S_M^* is the free water storage capacity in the thawed surface soil.

Among them, the variables with * represent relevant variables in the thaw layer, and their values are related to the temporal and spatial changes of the frozen soil depth:

$$W_0^* = \frac{(L_a - SFD^*)}{L_a} W_0 \quad (8)$$

$$S_0^* = \frac{(L_h - SFD^*)}{L_h} S_0 \quad (9)$$

$$W_M^* = \frac{(L_a - SFD^*)}{L_a} W_M = (L_a - SFD^*) \times (\theta_{fc} - \theta_{wp}) \quad (10)$$

$$S_M^* = \frac{(L_h - SFD^*)}{L_h} S_M = (L_h - SFD^*) \times (\theta_s - \theta_{fc}) \quad (11)$$

L_a and L_h are the thickness of the vadose zone and humus layer, respectively, which can

be estimated by the a soil moisture constant corresponding to the terrain index and soil type (C. Yao et al., 2009), mm; W_0, S_0, W_M, S_M are the corresponding water contents when there is no frozen soil (Yao et al., 2009).:-

At this time, there are two scenarios for soil moisture (Figs. S4-S5 (b1) and S4-S5 (b2)).

As shown in Figure-Fig. S4-S5 (b1), when the bottom of the thawed layer is in the upper soil,

the upper soil moisture includes both liquid water W_w^u and frozen solid ice W_i^u .

Evapotranspiration only affects the liquid water in the upper layer, while evapotranspiration in the lower and deep layers is zero. When W_w^u is sufficient; the upper layer evapotranspiration E^u is:

$$E^u = K \times E_M \quad (812)$$

where K is the evapotranspiration coefficient, and E_M is the water surface evaporation during the period, mm.

When the bottom of the thawed layer reaches the lower soil layer (Fig. S4-S5 (b2)), the entire upper soil is thawed, and the lower soil contains both solid and liquid water. At this time, the thawed lower layer is also affected by the evapotranspiration process. If the upper layer is dry and the lower thawed soil moisture content W_w^l is sufficient, the upper and lower layers are affected by the evapotranspiration, E^u and E^l , respectively:

$$E^u = K \times E_M \quad (913)$$

$$E^l = (K \times E_M - E^u) \times \frac{W_w^l}{W_{LM}^*} \quad (1014)$$

where W_{LM}^* is the tension water storage capacity of the lower thawed soil layer (mm),

which is related to the proportion of the lower thawed soil layer to the whole lower layer:–

$$W_{LM}^* = \frac{(L_M - SFD^*)}{L_M} W_{LM} = (L_M - SFD_{LM}^*) \times (\theta_{fc} - \theta_{wp}) \quad (15)$$

L_M represents the depth of the lower layer soil, SFD_{LM}^* is the frozen depth of the lower layer soil.

Case (c): When the humus layer is completely thawed (Fig. S3-S4 (c)), the thawed soil layer is considered to be the “new” vadose zone. According to the original GXAJ model's runoff generation theory, the bottom of the humus layer (relatively impermeable layer) generates R_i . At this time, there are two components of interflow: R_i and R_i^* . When the humus layer is saturated, R_s is generated. It is noteworthy that no groundwater runoff is generated throughout the frozen soil period.

$$R = P_e + W_0^* - W_M^* \quad (16)$$

$$R_i = K_i \times S \quad (17)$$

$$R_i^* = K_g \times S \quad (18)$$

$$R_s = R + S - S_M \quad (19)$$

where S is the free water content in the surface soil L_h , K_g is the outflow coefficient of S to groundwater runoff, S_M is the free water storage capacity in the surface soil of L_h .

Soil moisture is present in two scenarios, with the bottom of the thawed layer appearing in the lower soil (Fig. S4-S5 (c1)) and the deep soil (Fig. S4-S5 (c2)). The evapotranspiration calculation for the first scenario (Fig. S4-S5 (c1)) is consistent with Figure Fig. S4-S5 (b2). When the bottom of the thawed layer deepens to the deep soil (Fig. S4-S5 (c2)), if the soil

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moisture in the upper and lower layers is also insufficient, it is necessary to calculate the deep layer thawed soil evapotranspiration E^d :

$$E^u = K \times E_M \quad (4520)$$

$$E^l = (K \times E_M - E^u) \times W_w^l / W_{LM} \quad (4621)$$

$$E^d = C \times (K \times E_M - E^u) - E^l \quad (4722)$$

where C is the deep-layer evapotranspiration coefficient.

Case (d): Until the frozen soil is completely thawed, as shown in [Figure Fig. S4-S5](#) (d), runoff calculation is performed according to the original GXAJ model ([Fig. S4-S2](#)).

2.2.3 Model parameters and calibration

The original GXAJ model (operating on a daily scale) comprises 18 parameters (Table 1), of which 13 are spatially variable parameters estimated based on vegetation type, soil texture, and topographic attributes. The remaining 5 parameters are derived from relevant operational experience with the model. When the SNOW17 model is applied to a specific location, it has a total of 10 parameters (Table 2), of which 4 are ~~primary parameters~~ major parameters that must be determined through calibration, although some guidelines can be used for initial estimates ([Anderson, 2002](#)). The other secondary parameters have less impact on the results and can be assigned values according to the climatic conditions of the simulated location, requiring little adjustment from their initial values.

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Table 1. GXAJ model parameters and their descriptions.

Module	Parameter	Description	A prior estimate
Canopy interception	LAI_{max}	Maximum LAI for the vegetation in a year	From LDAS based on vegetation types
	h_{lc}	Height of vegetation (m)	From LDAS based on vegetation types
Channel precipitation	W_{ch}	Channel width within a cell (km)	On the basis of the analysis of measured cross sections
Evapotranspiration	W_{UM}	Tension water capacity of upper layer (mm)	On the basis of initial estimation of W_M
	W_{LM}	Tension water capacity of lower layer (mm)	On the basis of initial estimation of W_M
	C	Evapotranspiration coefficient of deeper layer	On the basis of LAI and h_{lc} of vegetation
	K	Ratio of potential evapotranspiration to pan evaporation	From literature
	W_M	Tension water capacity (mm)	Using θ_{fc} , θ_{wp} and aeration- vadoso zone thickness
Runoff generation	θ_s	Saturated moisture content	From literature based on soil types
	θ_{fc}	Field capacity	From literature based on soil types
	θ_{wp}	Wilting point	From literature based on soil types
	S_M	Free water capacity (mm)	Using θ_s , θ_{fc} and humus layer thickness
	K_i	Outflow coefficient of free water storage to interflow	On the basis of soil properties
Flow routing	K_g	Outflow coefficient of free water storage to groundwater	On the basis of soil properties
	C_i	Recession constant of interflow storage	From literature
	C_g	Recession constant of groundwater storage	From literature
	C_s	Recession constant in the lag and route technique	From literature
	L_{ag}	Lag time	From literature

455 **Table 2.** SNOW17 model parameters and their descriptions.

	Parameter	Description	Prior range
Major parameters	<i>SCF</i>	Snow correction factor, or gage catch deficiency adjustment factor	0.7 - 1.6
	<i>MFMAX</i>	Maximum solar melt factor during non-rain periods, assumed to occur on June 21 ($\text{mm} \cdot ^\circ\text{C}^{-1} \cdot 6\text{hr}^{-1}$)	0.5 - 2.0
	<i>MFMIN</i>	Minimum solar melt factor during non-rain periods, assumed to occur on December 21 ($\text{mm} \cdot ^\circ\text{C}^{-1} \cdot 6\text{hr}^{-1}$)	0.05 - 0.49
	<i>UADJ</i>	The average wind function during rain-on-snow periods ($\text{mm} \cdot \text{mb}^{-1}$)	0.03 - 0.19
	<i>NMF</i>	Maximum negative melt factor ($\text{mm} \cdot \text{mb}^{-1} \cdot 6\text{hr}^{-1}$)	0.05 - 0.5
	<i>TIPM</i>	Antecedent temperature index parameter	0.01 - 1.0
	<i>PXTEMP</i>	The temperature that separates rain from snow ($^\circ\text{C}$)	-1 - 2
Minor parameters	<i>MBASE</i>	Base temperature for snowmelt computations during non-rain periods ($^\circ\text{C}$)	0 - 1.0
	<i>PLWHC</i>	Percent liquid water holding capacity for ripe snow (decimal fraction)	0.02 - 0.3
	<i>DAYGM</i>	Constant daily amount of melt which takes place at the snow-soil interface whenever there is a snow cover ($\text{mm} \cdot \text{day}^{-1}$)	-

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To enhance the effectiveness of the model improvement and avoid the possibility that the introduction of additional parameters could potentially improve simulation results, the SNOW17 model was initially run independently. Remote sensing snow depth data (considered as "measured values") were used as input, and the parameters were adjusted to align the model-simulated snow depth with the "measured values," thereby determining the snow parameters for the study area. This approach allowed the integration of the SNOW17 model with the GXAJ model to form the GXAJ-S model for calculating snowmelt runoff in grid cells, ensuring that no new parameters were added to the GXAJ-S model compared to the GXAJ model. The freeze-thaw cycle processes employed empirical parameters (see Section 2.2.2), which were coupled with the GXAJ-S model to form the GXAJ-S-SF model. It is noteworthy that for the independent operation of the SNOW17 model to simulate snow depth (4 ~~primary parameters~~ major parameters) and for runoff simulations using the three comparative models (GXAJ, GXAJ-S, and GXAJ-S-SF) with 5 empirical parameters, the parameter optimization algorithm, the SCE-UA method, was used (Duan et al., 1992). This method randomly selects a priori configurations within the allowed range of parameters and avoids local optimal solutions by running the optimization algorithm multiple times with different a priori configurations. By using this approach, we ensured that the parameter optimization process did not rely on a single set of prior configurations., but it rather explored the parameter space to find the optimal solution, thus enhancing the robustness of the model results. Additionally, the optimization process focused only on the main parameters to avoid over-parameterization. Further, the optimization process focused only on the primary parameters to avoid over-parameterization.

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2.3 Model implementation and evaluations

2.3.1 Study area

The Yalong River is located in the southeastern part of the Tibetan Plateau and is the largest tributary of the Jinsha River. The main river stretches 1,571 km with a natural drop of 3,830 meters. Rich in hydroelectric resources, 21 hydropower stations are planned along the river, primarily concentrated in the downstream region. This study focuses on the mid-upper reaches of the Yalong River Basin (29.94°-34.21°N, 96.82°-101.63°E), with the Yajiang hydrological station serving as the outlet flow measurement (Fig. 3), covering an area of approximately 67,000 km². The elevation ranges from 2,500 to 5,900 meters, with a general south-north orientation with a high elevation in the northwest and low in the southeast, predominantly mountainous. Most precipitation occurs in summer, with limited snowfall in winter. Due to the complex terrain, meteorological observations in the study area are constrained. Seasonally frozen ground is widespread, with some areas containing sporadic permafrost (Ran et al., 2012). Seasonal snow significantly affects spring runoff, with about 50% of runoff directly fed by precipitation and the rest from glacier melt and groundwater (Wu et al., 2024). This pattern may change in the future due to global warming (Yao et al., 2022).

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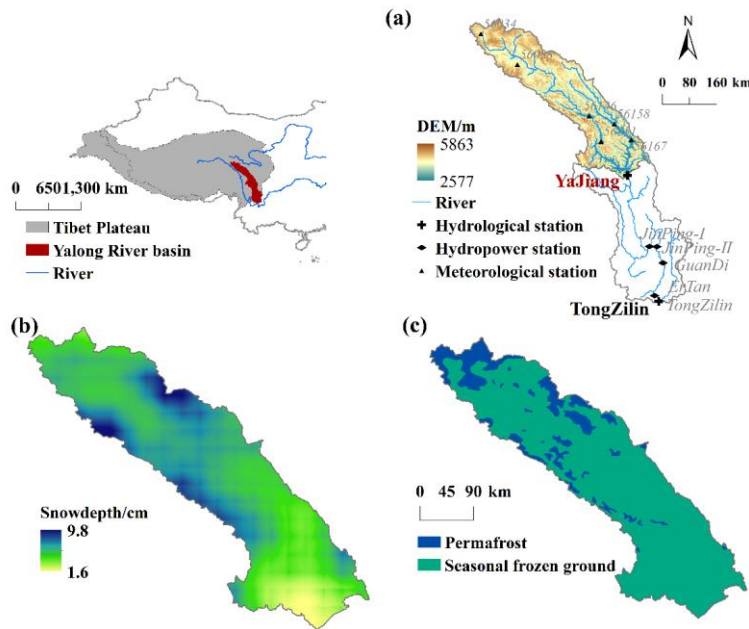


Figure 3. The mid-upper reaches of the Yalong River Basin in the southeastern Qinghai-Tibet Plateau, China, (a) topographic features, (b) annual average snow depth distribution, (c) seasonal frozen ground areas (<https://doi.org/10.3972/westdc.0078.2013.db.>).

2.3.2 Data collection, pre-processing and implementation

The data collection and description are presented in Table 3. Considering the computational efficiency of the model, the precision of precipitation, air temperature, snow depth, and all other data were resampled to 0.05° . The hydrological simulation performance of the original models (GXAJ and SNOW17) and the further developed models (GXAJ-S and GXAJ-S-SF) were evaluated in the mid-upper reaches of the Yalong River Basin. First, the SNOW17 model was calibrated (2000-2010) and validated (2011-2018) using remote sensing snow depth data to determine snowmelt parameters, with the freeze-thaw processes determined

through empirical formulas. Then, the developed models GXAJ-S and GXAJ-S-SF were used to simulate runoff during the same period, focusing on the snowmelt runoff period from March to June, and compared with the original GXAJ model. The impact of the two ~~components~~modules (SNOW17 and SFG-~~modules~~) on the runoff process, including runoff sources, components, and evapotranspiration, was also analyzed. Various statistical criteria, including Nash-Sutcliffe Efficiency (NSE), BIAS, Relative ~~BIAS~~ Error (R~~B~~E), and Root Mean Squared Error (RMSE), were used to evaluate model performance. These criteria are defined in equations S1~~8-S21~~S4.

515 **Table 3.** Data collection and description.

Data	Spatial resolution	Source	Description
Runoff	-	China Hydrology Yearbook from Ministry of Water Resources of China (http://www.mwr.gov.cn/) .	Daily runoff data (2000-2018) at the Yajiang hydrological station
Precipitation and air temperature	0.05°×0.05°	China Meteorological Administration (CMA, http://data.cma.cn/en)	Precipitation and air temperature at meteorological stations were interpolated to 0.05° and corrected by post-processing analysis.
Ground temperature	-	China Meteorological Administration (CMA, http://data.cma.cn/en)	Site data
Potential evapotranspiration	0.25°×0.25°	-	Potential evapotranspiration was estimated using the Penman-Monteith model (Allen et al., 1998)
Atmospheric pressure, relative humidity, and sunshine duration	0.25°×0.25°	CN05.1 dataset (New et al., 2000)	Daily data (1961-2020), based on site data
Snow depth	0.05°×0.05°	National Tibetan Plateau Data Center	Refer to (Yan et al., 2022)
Digital Elevation Model	1km×1km	U.S. Geological Survey (USGS) (GTOPO30)	https://www.usgs.gov/centers/eros/science/usgs-eros-archive-digital-elevation-global-30-arc-second-elevation-gtopo30 http://ede.usgs.gov/products/elevation/gtopo30/gtopo30.html
Vegetation cover	1km×1km	University of Maryland Food and Agriculture Organization	Refer to (Potapov et al., 2022)
Soil type	10km×10km		Refer to (Fischer et al., 2008)
Maximum thickness of seasonally frozen ground	1km×1km 10km×10km	National Tibetan Plateau Data Center (https://cstr.cn/18406.11.Cryos.tpd.c.300955) Food and Agriculture Organization	Maximum thickness of seasonally frozen ground every 10 years from 1961 to 2020 was simulated using the Stefan equation based on remote sensing surface temperature data Refer to (Fischer et al., 2008)

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3. Results

3.1 Simulation of snow accumulation and freeze-thaw process

At the basin scale, the SNOW17 model was first applied to determine the model parameters. The average daily snow depth simulated during the calibration period (2000-2010) and the validation period (2011-2018) was compared with remote sensing data. As shown in Figure Fig. 4, the simulated snow depth closely followed the trend observed in the remote sensing data. Although the model slightly overestimated snow depth overall, it demonstrated reasonable accuracy in capturing the dynamics of snow depth. The model performed better during the validation period (RMSE = 1.6 cm, BIAS = 0.3 cm) compared to the calibration period (RMSE = 2.1 cm, BIAS = 0.9 cm). The model simulation error is relatively large when the snow depth is deep, which may be attributed to the a more complex snow melting process under deep snow conditions. The shallower snow depths may reduce errors related to model simplifications of complex snowmelt process under deep snow conditions, thereby improving the simulation accuracy. This may also be the reason why the simulation accuracy is higher in the validation period (shallower snow depth) than in the calibration period (deeper snow depth). These relatively simple hydrological processes are more easily captured by the model, hence showing evidence of robustness. The trend lines in Figure Fig. 4 indicate a declining trend in snow depth from 2000 to 2018 in the mid-upper reaches of the Yalong River Basin, which is evident in both the remote sensing data and the model simulation results. Overall, the SNOW17 model showed satisfactory simulation results of snow depth.

The Stefan empirical formula was used to calculate the seasonal freeze-thaw depth.

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changes of the study area (Fig. 5). The results show that the maximum frozen depth was approximately 1.4 m. Freezing started at the end of September, the maximum depth was reached by the end of March, and complete thawing occurred by the end of May. The accuracy in simulating the initial freeze and initial thaw dates was validated against ground temperature data from meteorological stations within the basin (Fig. S5S6), and the spatial distribution of the average maximum frozen ground depth simulated in this study (2000-2018) was further compared with the 2000s dataset provided by data-set in from National Tibetan Plateau Data Center (2000-2020) (Table 3, bottom row; Fig. S7). The frozen soil depth distribution in this study was obtained by the Stefan formula based on the station temperature interpolation, so its spatial distribution is relatively smooth. Overall In both cases, the frozen soil depth of both showed the characteristics of deeper upstream and shallower downstream river areas in spatial distribution, and the numerical magnitude was similar, with a correlation coefficient as high as 0.89. These results provide strong support for the simulated soil freeze-thaw process results obtained with the SNOW17 model by the GXAJ-S-SF model in this study. Besides, indirectly confirming the simulated soil freeze-thaw processes. The trend from 2000 to 2018 showed a decreasing frozen depth, consistent with the snow accumulation and melting patterns (Fig. 4). The number of frozen days and the number of snow days showed a decreasing trend (Fig. S6).

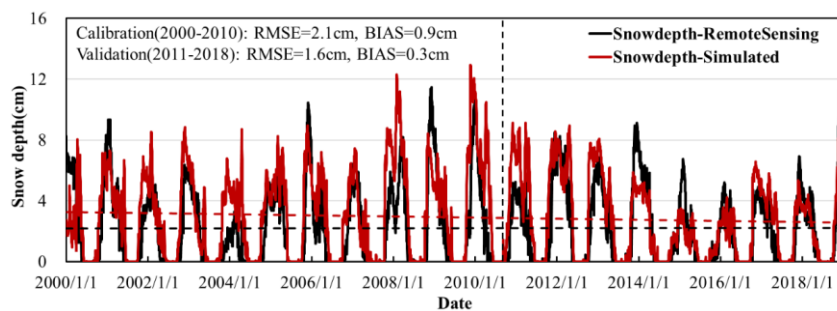


Figure 4. Comparison of simulated and observed basin-average snow depth in the Yalong River Basin during the calibration (2000-2010) and validation (2011-2018) periods, and the dashed lines represent the trend of snow depth.

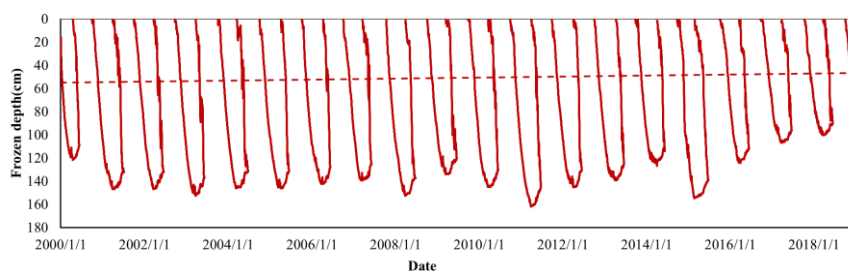


Figure 5. Seasonal freeze-thaw depth changes calculated using the Stefan empirical formula in the study area, and the dashed lines represent the trend of frozen depth.

To further investigate the coupled effects of snow depth, frozen ground depth, and their impacts of freeze-thaw processes, Figure 6 illustrates the annual variations of basin-average snow depth, snow depth, frozen ground, effective humus layer, effective vadose zone, and soil water/ice content in 2001. The figure showed that frozen ground the formation of frozen ground preceded the occurrence of snow. In particular, During periods of little or shallow snow depth (October–December), the freezing rate of frozen ground freezing was relatively fast. However, as snow depth increased (enhancing its insulating effect), the freezing rate of frozen ground gradually slowed down. Snow depth reached its maximum

value (approximately 9 cm) in February and then rapidly decreased to a maximum depth of 3 cm. Only when the snow depth was small (dropped below 1 cm) did the ground freeze begin to meltground. Therefore, the ground freezing and thawing trends of frozen ground were closely aligned with changes in snow depth.

Moreover, Figure 6(b) demonstrated that frozen ground significantly compressed reduces the effective vadose zone of the Yalong River basin, particularly during cold months (October–December and January–May), with the humus layer even becoming entirely frozen. This process drastically reduced the water storage capacity of the vadose zone, altered infiltration pathways, and consequently affected the partitioning of runoff components. Figure 6(c) further revealed that the freezing of frozen ground led to a notable increase in soil ice content due to ground freezing, as well as a corresponding decrease in which exhibited an inverse relationship with soil water content. Once the frozen ground completely thawed, soil moisture existed primarily in liquid form. These solid-liquid transformation processes of the Yalong River basin hence exerted a critical influence on the water storage capacity of the vadose zone, alters infiltration pathways, and consequently affects the partitioning of runoff into surface water and groundwater components. on the temporal distribution of hydrological processes in cold regions, such as the contributions of snowmelt and frozen ground thawing to surface runoff in spring. In summary, snow and frozen ground interacted throughout the freeze-thaw cycle, playing a dominant role in regulating soil moisture conditions and hydrological processes in cold regions.

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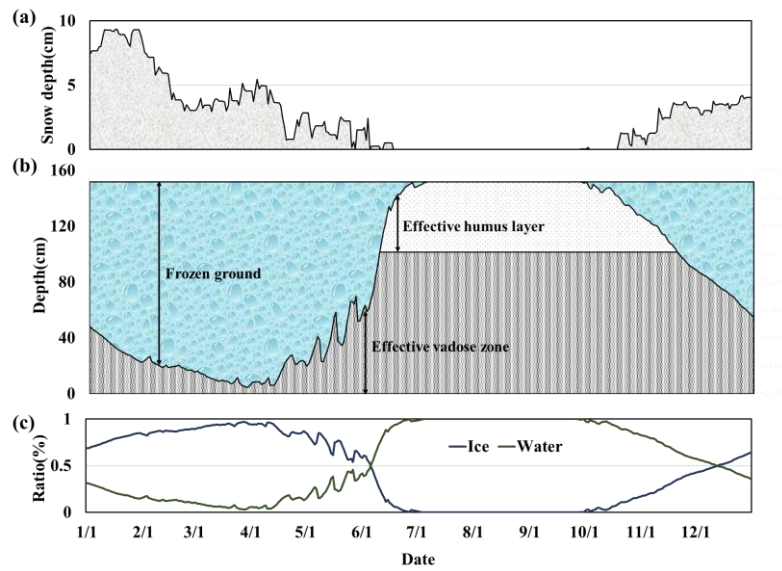


Figure 6. (a) Annual variation of basin-average snow depth; (b) impact of frozen ground on the basin-average depths of the effective vadose zone and humus layer; (c) basin-average ratio of water / ice content in the vadose zone, taking 2001 as an example

3.2 Calibration and validation of the streamflow

Fig. 7 (a) shows the simulated daily runoff-streamflow at the Yalong station at the Yalong River basin outlet. process simulation results of the GXAJ model from 2000 to 2018, without considering the effects of snow and seasonally frozen ground (SFG). The model did not distinguish between rainfall and snowfall, all incoming water was treated as rainfall. The model performed relatively well during both the calibration period (2000-2010) and the validation period (2010-2018), with NSE around 0.8. However, streamflow was often underestimated in winter and spring, which could be related to the impacts of frozen ground and snow. The daily runoff process during 2000-2018, simulated by the GXAJ model, which

does not consider the effects of snow and SFG, is shown in Figure 6 (a). During the calibration period, the model closely matched the observed values with an NSE of 0.79 and an RE of 0.09, indicating relatively accurate performance, though with a slight overestimation of runoff. However, during the validation period, despite improved accuracy with an NSE of 0.81, there was a significant underestimation, with an RE of -0.19. This suggests that non-negligible uncertainties exist when the model is run for the validation period. To further understand the model's performance in specific periods, the runoff-streamflow simulation results from March to June were analyzed separately (Fig. 6-7 (b)). The results then showed that the GXAJ model had considerable inaccuracies in simulating spring snowmelt-runoff, especially during the validation period, where NSE decreased to 0.44 and RE reached -0.50. These metrics indicated reflect that the GXAJ model calculated failed to accurately simulate spring snowmelt runoff, mainly because it did not account for the snowmelt process. So spring streamflow was calculated solely based on rainfall, failing to reflect the delayed effect of snowmelt on streamflow, which hence led to streamflow underestimation. During the calibration period, the NSE was 0.68 and the RE was -0.23, while the NSE dropped to 0.44, and the RE reached -0.50 during the validation period. These metrics indicate high inaccuracy and significant underestimation in simulating spring snowmelt runoff. Runoff generation during spring snowmelt involves multiple processes related to snowmelt, changes in surface water runoff, and soil moisture, which the model did not fully account for, leading to inaccuracies in simulating runoff time series during the snowmelt period.

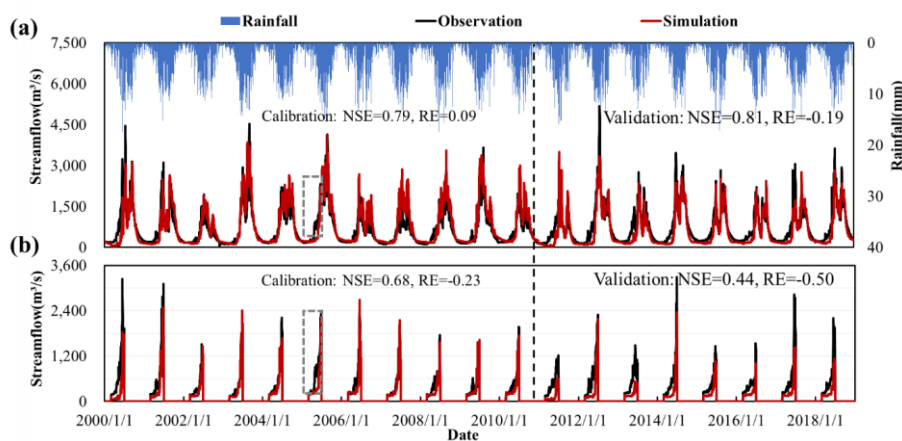


Figure 67. (a) Daily runoff-observed streamflow at the Yalong station River basin outlet and simulated streamflow by the GXAJ model during the calibration (2000-2010) and validation (2011-2018) periods, (b) with spring snowmelt runoff from March to June highlighted (within dashed rectangle).

When snow cover effects were considered in the GXAJ-S model, the accuracy of daily runoff streamflow simulation during 2000-2018 significantly improved (Fig. 7-8 (a)), especially during the calibration period (NSE=0.82, RE=0.05). Snowfall primarily occurred in colder months, corresponding to lower runoff, indicating that the a better performance of the GXAJ-S model in could reasonably simulating snow accumulation and its hydrological effects, as compared to the original GXAJ model. However, as shown in Figure Fig. 7-8 (b), the model still showed inaccuracies during the spring snowmelt period, particularly in the validation stage (NSE=0.68, RE=-0.36). The decline decrease in accuracy during the validation period may be partially related to related to changes in climatic conditions or changes in the applicability of model assumptions and parameter values parameters between the calibration and validation periods. It probably also suggests reflects that the model struggles to adequately handle more complex hydrological processes, such as the influence of frozen ground. Overall, the inclusion

of the snow process in the GXAJ-S model enhanced both the overall hydrological simulation accuracy and the simulation of spring snowmelt runoff compared to the original GXAJ model. This indicates that accounting for snowmelt significantly improved the model's performance that the model has not yet fully considered the interaction between snow and frozen ground on runoff, with the delayed water retention effect of frozen ground during the spring snowmelt period likely being a major source of error. However, the errors observed during the validation period highlight that the model still has room for improvement in addressing the delayed effects of snowmelt and more complex hydrological conditions. For example, the model has not yet fully considered the interaction between snow and frozen ground on runoff, with the delayed water retention effect of frozen ground during the spring snowmelt period likely being a major source of error. Furthermore, limitations in simulating the temporal delay of the snowmelt process, accurately distinguishing snowfall from rainfall, and input data quality (e.g., the resolution of snow data) may have constrained the model's performance. Overall, the GXAJ-S model however showed progress compared to the original GXAJ model in simulating daily runoff. Not only did the overall hydrological simulation accuracy improve, but there was also an enhancement in simulating spring snowmelt runoff. In other words, the snow cover process considerations of the GXAJ-S model improved its performance, but further adjustments and optimizations are needed in more complex hydrological conditions.

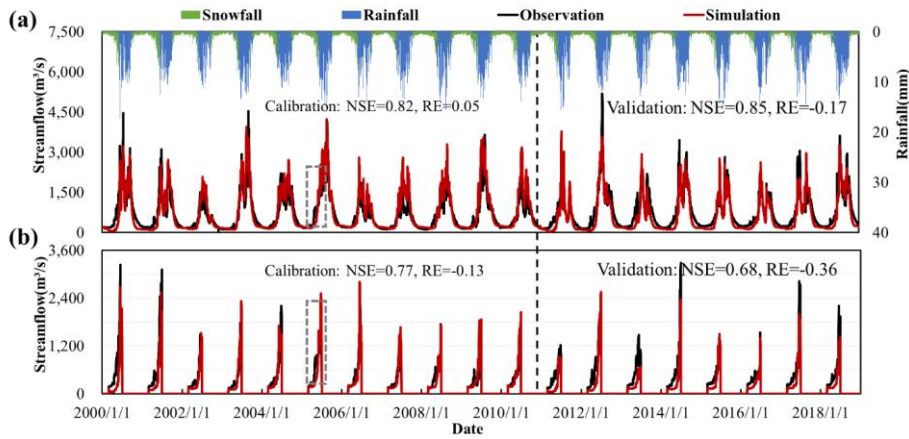


Figure 78. (a) Comparison of GXAJ-S model simulation results with observed values, (b) highlighting spring snowmelt runoff from March to June.

Considering both snow cover and SFG effects, the GXAJ-S-SF model demonstrated excellent performance in overall daily runoff simulation (Fig. 89 (a)). The NSE values for both the calibration and validation periods exceeded 0.8, and the RE values were close to zero, indicating a high degree of fit between the model and observed runoff time series. Compared to the GXAJ-S model, the GXAJ-S-SF model was more accurate in simulating daily runoff, especially during the calibration period, showing higher accuracy. In simulating spring snowmelt runoff (Fig. 89 (b)), the GXAJ-S-SF model showed improvements over the previous models, particularly during the calibration phase, achieving higher accuracy. Although some underestimation remained in the validation period, the GXAJ-S-SF model demonstrated higher accuracy compared to the other two models. Considering both snow cover and SFG effects, the GXAJ-S-SF model demonstrated excellent performance in overall daily runoff simulation (Fig. 8 (a)). The NSE values for both the calibration and validation periods exceeded 0.8, and the RE values were close to zero, indicating a high degree of fit between the model and observed

runoff time series. Compared to the GXAJ-S model, the GXAJ-S-SF model was more accurate in simulating daily runoff, especially during the calibration period, showing higher accuracy. In simulating spring snowmelt runoff (Fig. 8 (b)), (Fig. 9 (b)) the GXAJ-S-SF model showed improvements over the previous models, particularly during the calibration phase, achieving higher accuracy. Although some underestimation remained in the validation period, the GXAJ-S-SF model demonstrated higher accuracy compared to the other two models.

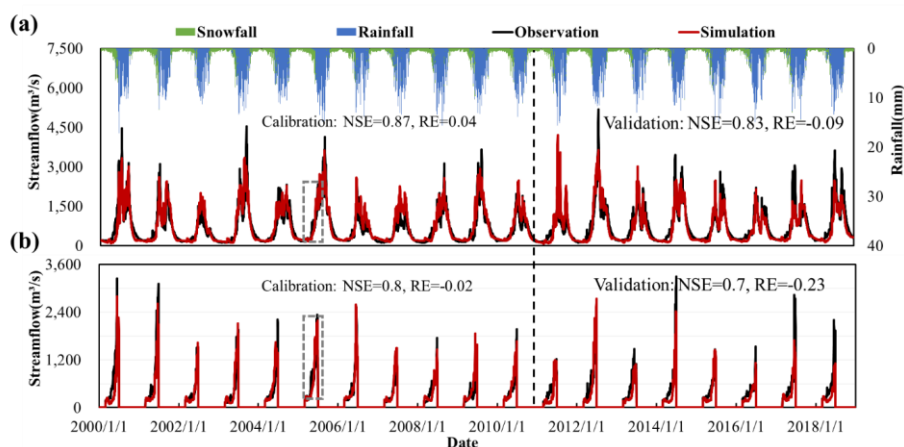
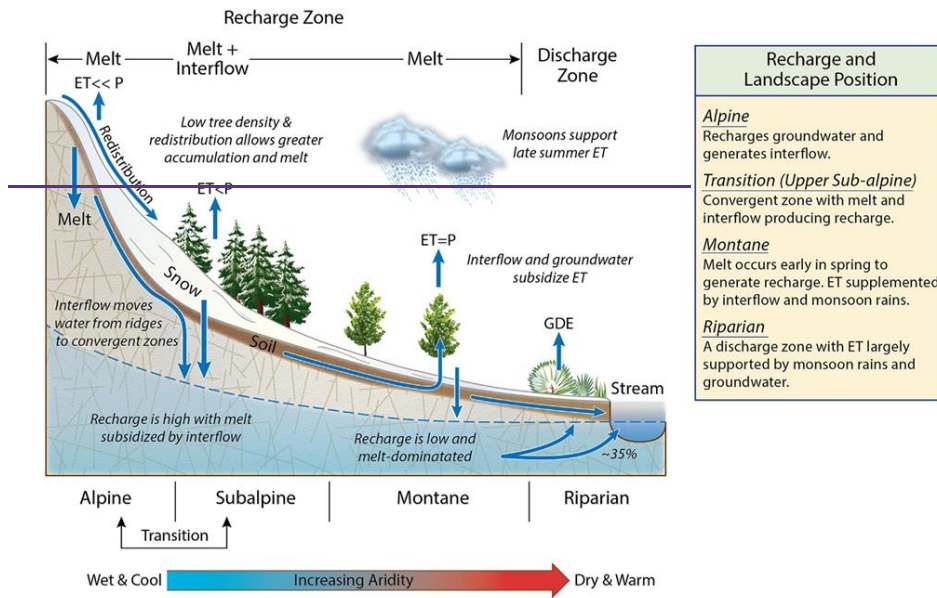


Figure 89. (a) Comparison of GXAJ-S-SF model simulation results with observed values, (b) highlighting spring snowmelt runoff from March to June.

3.3 Model differences in simulated runoff components and soil evapotranspiration

Figure-Fig. 9-10 illustrates differences in the simulation of surface water runoff, interflow, and groundwater runoff among different models. The GXAJ and GXAJ-S models simultaneously reached the minimum percentage of interflow and maximum percentage of surface runoff in June and May, respectively, possibly due to the snowmelt process modelled soil saturation in both cases reaching relatively high values during the rainy summer seasons saturating the soil earlier, thereby increasing surface runoff. Overall, the runoff

components simulated by the GXAJ and GXAJ-S models were similar, with interflow



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—accounting for the largest proportion (55-70%), followed by groundwater runoff (20-26%). This indicated that without considering seasonally frozen ground (SFG), the similarities between these two cases suggest that the omission (in GXAJ) or inclusion (in GXAJ-S) of snow processes alone in the modelling had a relatively limited impact on the simulated runoff components dynamics. The GXAJ and GXAJ-S models yielded similar runoff components, indicating that without considering SFG, the impact of considering additional snow cover processes on simulated runoff components was relatively limited. In the two models, interflow constituted the largest percentage (55-70%), followed by groundwater runoff (20-26%), with surface water runoff increasing primarily during the rainy season due to saturation excess runoff. However, the GXAJ-S-SF model exhibited showed significant simulation differences. Figure Fig. 9-10 (c) shows that during the cold months (January-March, November-December),

the proportion of surface water runoff increased significantly to 48-83%, mainly influenced by SFG (39-77%) as seen in Figure. 6b, while interflow and groundwater runoff decreased substantially,significantly. This was because SFG interrupted the connection between surface water and groundwater, preventing infiltration and leading to more surface water runoff.

710 Additionally, the impact of SFG on interflow was most evident from March to May. As the surface soil thawed from top to bottom, the thawed soil layer tended to produce interflow. Groundwater runoff was hindered by frozen ground, remaining low during the cold season until frozen soil completely melted in summer, when groundwater runoff returned to its unfrozen state. ~~~x~~

715 ~~Further analysis revealed that the influence of SFG on runoff exhibited significant seasonal dynamics. During cold seasons, SFG significantly increased the proportion of surface runoff. However, as temperatures rose and the frozen ground thawed, interflow and groundwater runoff gradually recovered. This dynamic change indicated that SFG processes played a critical role in regulating runoff composition over time. Moreover, SFG had a pronounced "decoupling effect" on surface runoff and groundwater runoff during cold months, interrupting their connection. This highlighted the crucial role of frozen ground in and restricting groundwater recharge and deep percolation.Overall, the GXAJ-S-SF model demonstrated a significant impact of SFG on runoff components.~~

720

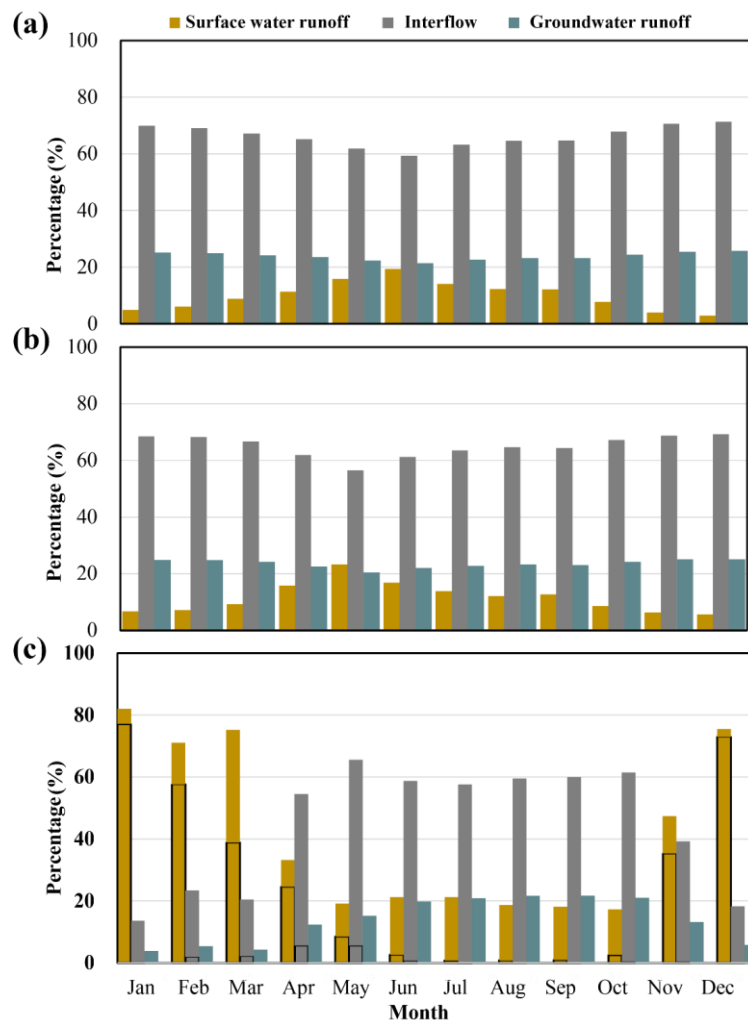


Figure 910. Comparison of simulated runoff components by models: (a) GXAJ, (b) GXAJ-S, and (c) GXAJ-S-SF, with the black box in (c) indicating runoff components influenced by SFG. The percentage of the y-axis represents the percent contribution of the considered runoff component (surface water runoff, interflow and groundwater runoff) to the total runoff.

The comparison of model outputs for soil evapotranspiration (Fig. 4911) reveals that snow and SFG significantly impact soil evapotranspiration, especially during the cold months. The

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GXAJ model ~~results exhibited some fluctuations~~~~showed a certain degree of fluctuation~~ in soil evapotranspiration during cold months, while the ~~results of the~~ GXAJ-S-SF model, which included the ~~effects~~~~impacts~~ of snow and SFG, ~~resulted~~~~showed in~~ a significant reduction in soil evapotranspiration. ~~This reduction could~~~~can~~ be attributed to two main mechanisms: ~~on the one~~
735 ~~hand,~~ the first one being that snow covered~~ed~~~~the soil surface and suppressed~~ soil moisture evaporation, e.g. through ~~its~~ sublimation processes, and the other one being that: ~~on the other~~
~~hand,~~ the formation of SFG created~~ed~~ a barrier within the soil, preventing upward evaporation of soil moisture. Consequently, the differences in output between ~~these two models~~~~the GXAJ-~~
~~S-SF model and the GXAJ~~~~SFG model highlight~~~~ed~~ the significant regulatory effects of snow
740 ~~and SFG on soil evapotranspiration during the cold months.~~ ~~This regulatory effect also~~
~~exhibited a pronounced seasonal dynamic pattern. During the cold months, the combined~~
~~influence of snow and SFG significantly reduced soil moisture evapotranspiration,~~
~~demonstrating their "protective" function in~~~~preserving soil moisture and reducing water loss~~
~~under cold climate conditions. However~~~~As expected,~~ during the summer, the simulated results
745 ~~of the two models became very similar (as indicated by the dashed rectangle in Fig. 11 for the~~
~~summer of 2010), reflecting a negligible basin-scale influence of any remaining high-altitude~~
~~ground frost and snow.~~ ~~suggesting that under higher temperatures, evapotranspiration was~~
~~primarily controlled by other factors (such as temperature and radiation) rather than snow and~~
~~SF~~

750 This reduction can be explained by snow covering the soil surface, which reduces soil moisture evaporation, and SFG forming a barrier that prevents soil moisture from evaporating upwards. Therefore, the differences between these two models highlight the important impact

of snow and SFG on soil moisture evapotranspiration during the cold months, while the simulated summer evapotranspiration is very similar, as exemplified for year 2010 by the dashed rectangle in Figure 10.

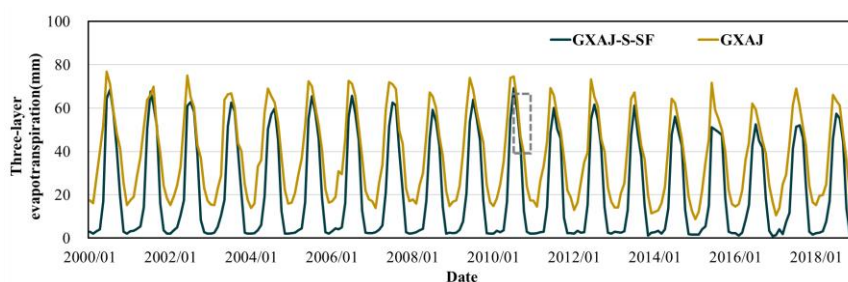


Figure 10. Simulated daily evapotranspiration series during the study period. The dashed rectangle represents 2010 summer evapotranspiration.

4. Discussion

4.1 The advantages and key limitations in enhanced hydrological models in relation to their process complexity-hydrological models

A limitation in the application of the GXAJ base model, which neglects impacts of snow and ice, is related to the fact that the parameters of its modules are determined based on historical basin characteristics. Although such models without frozen ground components can, through appropriate calibration or optimization of parameters, in some cases successfully reproduce historical hydrological processes in cold regions under stable conditions (Li et al., 2011; Zhang et al., 2017), they may not be suitable for evaluating the consequences of future changes as their calibrated values do not represent new conditions of the basin, and as the model lacks physical representation of key drivers of change. Our study demonstrates that incorporating the effects of seasonally frozen ground (SFG) and snow into a basic model can

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provide a robust and physically consistent results in simulating large-scale hydrological processes in cold regions, which can be particularly important for predicting hydrological impacts of future climate change scenarios.

Key limitations in previous studies incorporating snow and seasonally frozen ground (SFG) processes into hydrological models have faced several key limitations. For instance include: taking the VIC model (Liang et al., 1994) uses 0°C as an assumed the critical temperature for phase change, while but neglecting the energy flux exchange between snow and soil layers, as for instance done in the VIC model (Liang et al., 1994). This potentially compromises simulation accuracy, particularly in regions where snow-frozen ground interactions are significant, such as areas with large seasonal variations in frozen ground depth. Similarly, while the SWAT model —adjusts its parameters to accommodate permafrost conditions and introduces a new soil temperature module (Fabre et al., 2017), it does not account for the impact of snow depth on frozen ground and struggles to fully capture the complex and dynamic interactions between frozen ground and soil hydrological processes. Models such as the WEB-DHM (Qi et al., 2019), which employs enthalpy-based snow and frozen ground coupling, and the ATS model (Jafarov et al., 2018), have made substantial progress in simulating multi-year snow and frozen ground dynamics. However, their high complexity and demanding data requirements limit their applicability in large-scale hydrological simulations.

In contrast this context, while the here developed GXAJ-S-SF model developed in this study overcomes does not rely on these above-mentioned limitations of the VIC and SWAT models (as it accounts for the impact of snow depth variations on frozen ground and also

captures multidimensional effects of snow-frozen ground coupling on hydrological processes)
it still contains ~~enthalpy~~energy-related simplifications that makes it less dependent on extensive
795 input data than e.g. the ATS model. In particular, ~~t~~The original lumped SNOW17 model
(incorporated as a module in the GXAJ-S and GXAJ-S-SF model) was decentralized, and the
energy exchange at the snow-atmosphere-soil interface was accurately simulated based on
empirical relationships (He et al., 2011). Additionally, the model incorporated frozen ground
depth calculation formula to simulate the spatiotemporal dynamics of freeze thaw cycles.
800 integrating multiple physical hydrological processes to more precisely distribute hydrological
variables. This model not only accounts for the impact of snow depth variations on frozen
ground but also captures the multidimensional effects of snow frozen ground coupling on
hydrological processes, making it especially valuable for large scale regions where the
spatiotemporal variability of snow and frozen ground significantly influences hydrological
805 dynamics.

In complex mountainous cold regions, observation remains a bottleneck (Gao et al., 2022).
Due to limitations in measured data on frozen soil and snow depth in the considered Yalong
River basin, satellite-based snow depth data and ground temperature station data were used in
the present study for calibration and verification. In particular, errors in remote sensing snow
810 depth data (Yan et al., 2022; Zou et al., 2014) can propagate to the model output. However,
previous studies have specifically investigated the here used remote sensing dataset for the
Yalong River basin showing that its accuracy is high (Wu et al., 2024), which suggests that
model errors should be relatively low. We also recognize that the use of surface temperature
and maximum frozen ground depth to verify the freeze-thaw process introduces some

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815 uncertainty (Li et al., 2022). Since the GXAJ-S and GXAJ-S-SF model variants used the same
temperature, snow and frozen ground data in the present simulations, they can be expected to
share similar data errors. However, due the non-linear nature of the modeled processes, such
data errors may still not cancel completely when comparing different models. Nevertheless,
observed differences in model performance between these models are mainly expected to
820 reflect differences in model capabilities rather than differences in input datasets. Future work
should focus on improving remote sensing data quality and exploring the long-term robustness
of the model to further enhance performance and improve our understanding of the freeze-thaw
processes in complex mountainous cold regions.

Hydrological modeling typically prioritizes model fitness, which in theory can be
825 improved by introducing more fitting parameters. However, this study highlights differences
that are due to addition of process-based modules (regarding snow and frozen ground). This
implies that improvements in model fit and differences in associated model output (e.g. runoff
and evapotranspiration) reflect how the considered snow and/ or frozen ground processes more
concretely alter hydrological flows. This therefore increases the understanding of underlying
830 hydrological processes (Gao et al., 2022) in large-scale applications such as the Yalong River
basin that additionally has a complex topography with large elevation differences yielding high
spatio-temporal heterogeneity in snowmelt and freeze-thaw cycles of soil.

The GXAJ-S-SF model simplified complex processes while preserving key physical
835 interactions between snow and frozen ground. It offered a more intuitive and physically
meaningful approach to dynamically simulating snowmelt and frozen ground thaw cycles.

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making it particularly well suited for regions with complex topography and highly variable snow conditions. Its seamless integration of hydrological processes, low data input requirements, and high computational efficiency make it highly feasible for large-scale applications in such regions. In mountainous and cold regions, the model can effectively capture the impacts of seasonal frozen ground and snow dynamics on the hydrological cycle, which is crucial for water resource management and hydrological assessments in the context of climate change.

4.2 The impact of seasonal frozen ground/snow

Key limitations and simplifications in previous efforts include the of, as e.g. adopted in the VIC model. The associated of such as here developed

Frozen ground exhibits intricate spatiotemporal heterogeneity, making it challenging to measure. Furthermore, its impact on basin hydrology remains difficult to explore (Gao et al., 2022). SFG is a thermal condition dependent on ground heat. As soil freezes and thaws, SFG affects the thermal and hydraulic condition of the soil layer, thereby influencing the regional hydrological cycle and ecosystem function (Guo & Wang, 2016). previously mentioned, it is clear that SFG in many cases has crucial to impact locally, hydrological processes because

when the ground freezes, ice blocks some previously water-filled soil pores, preventing water from flowing through those pores, and This can impact affecting the seasonal permeability of the vadose zone and groundwater recharge (Ge et al., 2011). However, frozen ground impacts on basin hydrology remains difficult to explore (Gao et al., 2022), and its importance in different settings, as well as its general importance on larger scales is therefore relatively unknown (Ala-Aho et al., 2021)(Ala-Aho et al., 2021). Present results for the spatially

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extensive Yalong River basin provided evidence of SFG processes indeed being important for the basin's large-scale runoff processes. This is because the here considered GXAJ-S-SF model, which simultaneously accounted for the impacts of snow and SFG, showed considerable enhancements in simulating different runoff components as compared with the GXAJ-S and GXAJ models, both of which did not consider SFG impacts.

Additionally, the impact of soil freeze-thaw cycles on the entire basin hydrological process varies across seasons (Fig. 6; Gao et al., 2023). Spring runoff mainly consists of surface water runoff and interflow, while summer thawing of frozen ground increases groundwater recharge (Huelsmann et al., 2015), which is consistent with the findings of this study (Fig. 10). Ground freezing conditions are highly dependent on snow conditions, as snow has a low thermal conductivity and acts as an insulator. The depth of the snow is usually negatively correlated with ground freezing depth (Iwata et al., 2011). Therefore, despite sub-zero temperatures, thick snow cover in early winter can significantly reduce or even completely prevent the formation of ground freezing (Fig. 6; Iwata et al., 2018). One of the hydrological models considered in this study (GXAJ-S-SF), which simultaneously accounted for the impacts of snow and SFG, showed considerable enhancements in simulating different runoff components as compared with the GXAJ-S and GXAJ models, both of which did not consider SFG impacts. More generally, the multi-model simulations of daily runoff processes therefore provided important insights into key factors governing basin hydrology under seasonal variations in cold regions.

(Fabre et al., 2017)(Liang et al., 1994, p. 199)(Fabre et al., 2017)(Jafarov et al., 2018)

Furthermore, the inhibitory effects of snow and SFG on soil evapotranspiration are evident,

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as reflected in the GXAJ-S-SF model's simulation results. The freeze-thaw process complicates soil moisture movement in the vadose zone. ~~During the freezing period, soil moisture movement in the vadose zone is influenced not only by matric potential but also primarily by temperature potential. Significant temperature changes in the frozen soil profile create a temperature gradient that drives moisture movement, causing water to move upward and accumulate at the freezing interface.~~ Within the frozen layer, moisture movement is minimal, resulting in negligible upward evaporation and almost zero recharge to groundwater below. ~~During the thawing period, moisture movement is governed by matric potential, gravity potential, and temperature potential, with matric potential being the primary driver.~~ Above the freezing interface, water moves upward and evaporates, while gravitational water moves downward, accumulating and filling soil pores at the thawing interface ([Fig. S4](#)). This process results in the formation of a saturated layer above the frozen ground ([Guo et al., 2022](#); [Huelsmann et al., 2015](#); [Ireson et al., 2013](#); [Wang et al., 2017](#)). As the thawing layer thickens, the vadose zone's thickness and water storage capacity increase, enhancing both evaporation and infiltration capabilities. This phenomenon indicates that during the freezing periods, evaporation rates are very low, as ice within the soil inhibits the movement of liquid water ([Yu et al., 2018](#)). Additionally, due to low winter temperatures, transpiration rates are also significantly reduced ([Yin et al., 2014](#)). ~~These processes, including freeze-thaw dynamics, soil moisture movement, and the effects of snow and SFG on evapotranspiration, can influence the hydrological cycle and ecosystems by altering water availability and flow patterns. These effects, particularly during freeze-thaw periods, may lead to changes in water storage, infiltration, and runoff, which can alter regional water resource management and ecosystem~~

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resilience. These processes can potentially impact the hydrological cycle and ecosystems. Further research is needed to explore how these changes affect water resource management and ecosystem stability.

In addition, snowmelt runoff is a vital component of spring runoff in the Yalong River Basin, as further demonstrated in this study (Fig. S8). Snow cover varies with elevation, exhibiting significant spatiotemporal heterogeneity (Li et al., 2018). Under the backdrop of global warming, rising average temperatures are expected to affect the composition and duration of snow cover (Fig. S9; IPCC, 2021). Changes in snowmelt volume can influence downstream runoff, impacting water resource management and ecological balance. Incorporating the effects of snow into this study has improved the predictive accuracy of hydrological simulations for daily runoff and spring snowmelt runoff (Fig. 7, 8). Both remote sensing data and model simulation results in this study showed a decreasing trend in snow/frozen depth from 2000 to 2018 (Figs. 4, 5). Reduced snow cover may enhance the hydrological relevance of SFG (Ala-Aho et al., 2021). Winter snowmelt water typically infiltrates the upper soil layer, forming an almost impermeable "concrete frost" layer at the interface between the ground and snow layer upon refreezing (Dunne and Black, 1971). Due to warming, the ice content in SFG is denser, potentially altering the hydrological response of SFG during major spring snowmelt periods (Hardy et al., 2001). The snowfall process profoundly impacts ground thermal conditions, with some proposing that we might even see "colder soils in warmer climates" (Halim and Thomas, 2018). In summary, predicting future changes in SFG and its hydrological importance remains challenging due to the complex interactions between climate, land, water, ecosystems, and human activities. The hydrological

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925 ~~relevance of SFG may increase due to factors such as reduced~~~~decreases~~ ~~snow cover and~~
~~changes in snow insulation capacity, more frequent freeze-thaw cycles, rain-on-snow events,~~
~~and land cover changes (Ala-Aho et al., 2021). These~~~~Such changes are expected~~~~may therefore~~
~~to significantly impact the spatial and temporal availability of water resources in SFG regions.~~

4.2 Limitations and uncertainties

930 ~~The uncertainty in the simulation can be attributed to model parameters, input data, and~~
~~model structure. In this study, new parameters related to the components of the model were~~
~~determined based on basin characteristics, attempting to introduce new processes without~~
~~adding additional parameters to prevent parameter uncertainty and overfitting. Although~~
~~hydrological models without a frozen ground component can, through appropriate calibration~~
935 ~~or optimization of parameters, reproduce historical hydrological processes in cold regions as~~
~~well as, or even better than, models with a frozen ground component under stable conditions~~
~~(Li et al., 2011; Zhang et al., 2017), they may not be suitable for evaluating the consequences~~
~~of future changes. Models lacking a frozen ground component are physically incomplete,~~
~~requiring extensive calibration under different conditions to account for seasonal freeze-thaw~~
940 ~~dynamics. Our study demonstrates that incorporating the effects of frozen ground, snow, and~~
~~other environmental factors into the model can provide a more robust and physically consistent~~
~~framework for simulating hydrological processes in cold regions. The improved model, by~~
~~explicitly considering the spatial and temporal heterogeneity of snow, SFG, vegetation, soil,~~
~~and elevation, achieves superior performance during both calibration and validation periods~~
945 ~~(Figs. 8 and 9). This approach is particularly important for predicting future changes in~~
~~hydrological processes under various climatic scenarios.~~

In complex mountainous cold regions, observation remains a bottleneck (Gao et al., 2022).
Due to limitations in measured data on frozen soil and snow depth in the considered region,
satellite-based snow depth data and ground temperature station data were used for calibration
and verification. Generally, remote sensing snow depth data are associated with uncertainty
(Yan et al., 2022; Zou et al., 2014), meaning errors in such data inaccuracy can propagate to
the model output. However, previous studies have specifically investigated the accuracy of the
remote sensing dataset for the Yalong River basin (Wu et al., 2024) indicating that the accuracy
is high, which suggests that model errors should be relatively low. While it is true that the
GXAJ-S and GXAJ-S-SF models used the same snow data, and thus share similar errors, we
acknowledge that the non-linear nature of the modeled processes and their interactions
complicates the argument that errors will cancel out completely. In non-linear systems, errors
may propagate differently and interact with other model components, potentially leading to
differing impacts on the two models' outputs. However, given that both models utilize the same
snow data, the relative comparison of model performance can still provide useful insights,
despite the potential propagation of errors. We also recognize that using surface temperature
and maximum frozen ground depth to verify the freeze-thaw process introduces some
uncertainty (Li et al., 2022), and these verification methods limit the accurate assessment of
the entire frozen ground dynamics. Nevertheless, in regions with limited data, utilizing
available resources to assess the accuracy of hydrological processes remains an important way
to evaluate model performance. Future work should focus on improving remote sensing data
quality and exploring the long-term robustness of the model to further enhance performance
and improve our understanding of the freeze-thaw processes in complex mountainous cold

regions.

Hydrological modeling typically prioritizes model fitness; however, this study highlights that exploring differences between models can yield more insightful understanding of underlying hydrological processes than simply aiming for a perfect fit (Gao et al., 2022). We used the GXAJ model, which excludes snow and seasonally frozen ground (SFG) processes, as a baseline to demonstrate the improvements achieved by incorporating snow and SFG in the GXAJ-S and GXAJ-SF models, highlighting how these improvements enhance our understanding of hydrological processes in cold regions. Although some seasonal variations show errors, simulating the impacts of snow and SFG allows for a clearer depiction of their contributions to runoff, interflow, and groundwater, while maintaining model simplicity, reliability, and computational efficiency. Furthermore, the design of our snow and SFG components enables flexible integration, offering an expandable framework for hydrological modeling. This design allows for the seamless addition of other hydrological processes, such as vegetation and groundwater dynamics, without requiring major changes to the model structure. The developed GXAJ-S and GXAJ-S-SF models, and in particular the GXAJ-S-SF model, may have great application potential to various cold regions of the world, as indicated by the challenging application example presented here, which included complex topography, large elevation differences, and the presence of snowmelt and freeze-thaw cycles of soil.

This study quantitatively analyzed the impact of seasonal snow and frozen ground on hydrological processes based on the hydrological model, and its validity was confirmed not only by measured runoff but also by multi-source data, especially the trends in snow and frozen soil changes. Although our developed model has great application potential in other cold

regions, it should be used cautiously without prior understanding of the modeling system. Snow and frozen ground are just part of the factors affecting cold-region hydrology, with other factors intertwined with frozen ground having significant impacts. Geological conditions, in particular, greatly affect frozen ground but have large spatial heterogeneity and are challenging to measure.

The empirical parameters of the SNOW17 model and Stefan equation have clear physical significance and have been validated by previous studies (Anderson, 2006; Ran et al., 2022; Zou et al., 2014). However, the soil and geology of mountainous basins are extremely complex and vary significantly across regions. This complexity introduces challenges in applying these models to different watersheds, requiring recalibration of their values. For instance, soil texture, moisture retention, and thermal properties can vary considerably, influencing the depth and dynamics of the seasonal frozen ground. Similarly, variations in topography, vegetation cover, and geological composition can impact runoff, infiltration, and evapotranspiration processes.

herefore, when applying these models to other watersheds, it is essential to consider regional characteristics and perform site-specific recalibrations to accurately capture local hydrological dynamics. This recalibration process highlights the importance of understanding and accounting for the heterogeneous nature of mountain environments, which can significantly affect model performance and prediction. Expanding the applicability application of these complex hydrological models therefore requires careful attention to these local variations and regional variability in ambient conditions, and the potential for adjustments in both empirical parameters and model structure to reflect the, but may also considerably increase the understanding of processes and the generalizability of the assumptions made—unique conditions of each watershed.

5. Conclusions

~~The Qinghai-Tibet Plateau is largely covered by snow in winter, with snowmelt runoff being a crucial component of runoff sources, typically exhibiting seasonal differences and primarily affecting spring runoff (Gao et al., 2017; Han et al., 2019). Snow cover varies with elevation and has shown a decreasing trend in recent years, exhibiting significant spatiotemporal heterogeneity (Li et al., 2018). Changes in snowmelt volume can affect downstream runoff, impacting water resources management and ecological balance. In this context, incorporating the impact of snow into this study improved the predictive power of hydrological simulations for daily runoff and spring snowmelt (Fig. 7).~~

~~Considering global warming, the increase in winter average temperatures will affect the composition and duration of snow (IPCC, 2021). Both remote sensing data and model simulation results in this study showed a decreasing trend in snow/frozen depth and duration from 2000 to 2018 (Figs. 4, 5, S5, and S6). Reduced snow cover may enhance the hydrological relevance of SFG (Ala-Aho et al., 2021). Against the backdrop of climate change, the frequency of freeze-thaw cycles is projected to slightly increase in the future (Venäläinen et al., 2001). Winter snowmelt water typically infiltrates the upper soil layer, forming an almost impermeable "concrete frost" layer at the interface between the ground and snow layer upon refreezing (Dunne and Black, 1971). Due to warming, the ice content in SFG~~

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1035 is denser, potentially altering the hydrological response of SFG during major
spring snowmelt periods (Hardy et al., 2001). The snowfall process
profoundly impacts ground thermal conditions, with some proposing that we
might even see "colder soils in warmer climates" (Halim and Thomas, 2018).

1040 In summary, determining the future projection and hydrological
importance of SFG is challenging due to the complexity of interactions
between climate, land, water, ecosystems, and human activities. The
hydrological relevance of SFG might increase due to frozen soil thawing,
changes in snow insulation capacity, more frequent freeze-thaw cycles, rain-
on-snow events, and land cover changes (Ala-Aho et al., 2021), which will
1045 significantly impact the spatial and temporal availability of water resources
in the SFG regions.

4.2 Limitations and uncertainties

1050 The uncertainty in the simulation can be attributed to model
parameters, input data, and model structure. In this study, new module
parameters were determined based on basin characteristics, attempting to
introduce new modules without any additional parameters to prevent
parameter uncertainty and overfitting. Although hydrological models
without a frozen ground module can, through appropriate calibration or
optimization of parameters, reproduce historical hydrological processes in
1055 cold regions as well as, or even better than, models with a frozen ground
module under stable conditions (Li et al., 2011; Zhang et al., 2017), they may

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not be suitable for evaluating the consequences of future changes. Models without a frozen ground module are physically deficient, requiring calibration of parameters under different conditions. In this study, the improved model explicitly considered the spatial and temporal heterogeneity of snow, SFG, vegetation, soil, and elevation, achieving excellent performance during both calibration and validation periods (Figs. 7 and 8).

In complex mountainous cold regions, observation remains a bottleneck (Gao et al., 2022). Due to limitations in measured data on frozen soil and snow depth in the considered region, satellite-based snow depth data and ground temperature station data were used for calibration and verification. Generally, remote sensing snow depth data are associated with uncertainty (Yan et al., 2022; Zou et al., 2014), which means that errors related to such data inaccuracy can propagate to the model output. However, previous studies have investigated the accuracy of the remote sensing dataset specifically for the considered Yalong River basin (Wu et al., 2024) showing that it is high, which implies that associated model errors should be relatively low. Moreover, this study focuses on deepening the understanding of hydrological processes using a comparative approach. Since both the GXAJ-S model and the GXAJ-S-SF used the same snow data, hence having the same errors, much of these errors will most likely cancel when comparing model output differences. Remote sensing data errors are hence not likely to have impacted the core conclusions of the present study. (X. Li et al.,

2022) Nevertheless, focusing on improving the quality of remote sensing data and the long-term robustness of the model in future research will help in further improving the model performance.

Typically, model fitness is the primary goal for hydrological modeling. However, we believe that model differences, as e.g. explored in the present study, can sometimes reveal more interesting insights regarding underlying processes than a perfect fit (Gao et al., 2022). For instance, this study departed from the GXAJ model, which does not account for snow and SFG, using it as a benchmark for comparison with further developed hydrological models, i.e., GXAJ-S and GXAJ-S-SF models, that take snow and SFG into account. Although there is always some error in simulating spring runoff (Figs. 7 and 8), the predictive power of the developed models significantly increased compared to the benchmark model. The improved models performed well in simulating runoff in SFG regions while ensuring minimal input data, model simplicity, reliability, and computational efficiency. The models considered the impact of snow-SFG coupling on hydrological processes, and the modular approach is conducive to further improvement and development of modules.

The developed GXAJ-S and GXAJ-S-SF models, and in particular the GXAJ-S-SF model, may have great application potential to various cold regions of the world, as indicated by the challenging application example presented here, which included complex topography, large elevation

differences, and the presence of snowmelt and freeze-thaw cycles of soil.

This study quantitatively analyzed the impact of seasonal snow and frozen ground on hydrological processes based on the hydrological model, and its validity was confirmed not only by measured runoff but also by multi-source data, especially the trends in snow and frozen soil changes. Although our developed model has great application potential in other cold regions, it should be used cautiously without prior understanding of the modeling system. Snow and frozen ground are just part of the factors affecting cold-region hydrology, with other factors intertwined with frozen ground having significant impacts. Geological conditions, in particular, greatly affect frozen ground but have large spatial heterogeneity and are challenging to measure. The empirical parameters of the SNOW17 model and Stefan equation have clear physical significance and have been validated by previous studies (Anderson, 2006; Ran et al., 2022; Zou et al., 2014). However, the soil and geology of mountainous basins are extremely complex, requiring recalibration of their values when modeling other watersheds.

5. Conclusions

The understanding of cold-region hydrology remains incomplete, primarily due to limited observational data, which also constrains quantitative analyses of water flows and water resources, especially in complex mountainous basins like the Tibetan Plateau. In this study, we compared runoff simulations from the original GXAJ model and two enhanced versions (GXAJ-S, which incorporates snowmelt, and GXAJ-S-SF, which additionally considers freeze-

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thaw processes) against measured daily runoff (2000–2018) obtained at the Yajiang discharge station in the Yalong River basin. The results highlight showed that the GXAJ-S-SF model achieved the highest simulation accuracy, with significant improvements in NSE and RE for total runoff and runoff during snowmelt conditions. From a process perspective, the GXAJ-S-SF model revealed that the inclusion of seasonally frozen ground (SFG)—neglected in the other two model versions—led to a notable increase in surface runoff (by 39–77% compared to the other models) during the cold months, while reducing interflow and groundwater runoff. The GXAJ-S-SF model also captured significant reductions in soil evapotranspiration due to the effects of snow and SFG processes. These findings underscore the substantial influence of SFG on large-basin hydrological processes, including impacts on surface water-groundwater partitioning and vertical (evaporative) water fluxes across the land surface in mountainous areas. The snow and SFG components developed in this study are designed with flexibility and adaptability, enabling seamless integration into other hydrological models beyond GXAJ. This capability offers a pathway to improve predictions of hydrological changes under climate warming, particularly in cold mountainous regions where SFG is expected to undergo significant alterations. Explicitly accounting for these dynamics could substantially enhance future assessments of hydro-climatic changes and their associated impacts on downstream water resources.

The understanding of cold region hydrology is still incomplete, largely due to insufficient observation data that also constrain quantitative analyses of water flows and water resources, especially for complex mountainous basins such as the Tibetan Plateau. We here compared, on the one hand, runoff output from the existing hydrological GXAJ model and two models that

1145 were extended (i.e., GXAJ-S that considers snowmelt and GXAJ-S-SF that additionally
considers freeze-thaw cycles), and on the other hand, measured daily runoff (2000–2018) at the
Yajiang station in the Yalong River basin. A main conclusion from the comparative analyses is
that the GXAJ-S-SF model had the highest simulation accuracy, with significant improvement
in NSE and RE for total runoff and runoff during snowmelt conditions. From a process
1150 perspective, the GXAJ-S-SF model output showed that the presence of seasonal frozen ground
(SFG), which was neglected in the other two model versions, considerably increased surface
water runoff (by 39–77% compared to the other two models) during the cold months, while
reducing interflow and groundwater runoff. Additionally, the GXAJ-S-SF model significantly
reduced soil evapotranspiration through its consideration of snow and SFG impacts. More
1155 generally, these results emphasize multiple and considerable impacts of SFG on runoff
generation in mountainous areas, including surface water—groundwater partitioning and
vertical (evaporative) water fluxes across the land surface. Since the here-considered snow and
SFG packages are modular, they have great potential for integration in other hydrological
models, apart from GXAJ. For instance, in the context of climate warming, such explicit
1160 account for changing SFG may considerably enhance assessments and predictions of future
hydro-climatic changes in cold mountainous regions, including associated water resource
changes of downstream areas.

Declaration of Competing Interest

1165 The authors declare that they have no known competing financial interests or personal
relationships that could have appeared to influence the work reported in this paper.

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