



# Enhancing Inverse Modeling in Groundwater Systems through Machine Learning: A Comprehensive Comparative Study

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**Abstract.** Machine learning has significantly improved inverse modeling for groundwater systems. One promising development is the tandem neural network architecture (TNNA), which integrates surrogate modeling and reverse mapping for efficient forward simulations and data assimilation. Although TNNA has shown success in groundwater inverse modeling, its application scenarios remain limited, and its advantages over conventional methods have not been fully explored. This paper aims to address these gaps by comparing the TNNA method with four conventional metaheuristic algorithms: Particle Swarm Optimization, Genetic Algorithm, Simulated Annealing, and Differential Evolution. Two synthetic solute transport numerical cases are designed, with aquifer parameters characterized by low- and high-dimensional scenarios, respectively. The surrogate model is constructed using a deep residual convolutional neural network (ResNet), selected based on a comparative evaluation against three other popular machine learning models. Inversion performance is evaluated based on the accuracy of calibrated hydraulic heads, solute concentrations, and parameter estimation errors. The results demonstrate that the TNNA algorithm yields more reliable inversion results and significantly reduces computational burden across both low- and high-dimensional cases, effectively balancing exploration and exploitation in global optimization. This study highlights the significant advantages of machine learning in advancing groundwater system inversions.

## 1 Introduction

Numerical models are essential for quantifying flow and mass transport dynamics within aquifers, providing significant insights into hydrological and biogeochemical processes (Steeffel et al., 2005; Sanchez-Vila et al., 2010; Sternagel et al., 2021; Xu et al., 2022). However, directly measuring aquifer parameters, such as permeability fields, remains challenging due to limitations in current hydrogeological exploration techniques and budgetary constraints (Dai and Samper, 2004; Castaings et al., 2009; J. Chen et al., 2021). Inverse modeling has become a key approach for estimating these uncertain model parameters, improving the accuracy of numerical simulations (Zhou et al., 2014; Bandai and Ghezzehei, 2022; Abbas et al., 2024).



Inverse modeling within Bayesian theorem-based data assimilation frameworks has garnered significant attention from the hydrogeological community over the past few decades (Scharnagl et al., 2011; X. Chen et al., 2013; J. Zhang et al., 2018; Xia et al., 2021). Among available algorithms, deterministic inversion methods are a significant category, where model parameters are estimated through maximizing the posterior distribution probability using optimization techniques (Tsai et al., 2003; N. Sun, 2013; Vrugt, 2016). One type is local optimization algorithms, which update model parameters from initial guesses towards optimal solutions according to gradient directions, such as the Gaussian-Newton method (Dragonetti et al., 2018; Qin et al., 2022) and the Levenberg-Marquardt method (Schneider-Zapp et al., 2010; Nhu, 2022). These methods are highly efficient but may converge to local optima when dealing with nonconvex inversion problems. Another category is to achieve global optima solutions through metaheuristic searches, which typically incorporate processes of exploration (to search the entire parameter space for a diverse range of estimates) and exploitation (to leverage local information to refine estimates). Popular metaheuristic algorithms include the Genetic Algorithm (GA) (Ines and Droogers, 2002; Lindsay et al., 2016), Simulated Annealing (SA) (Kirkpatrick et al., 1983; Jaumann and Roth, 2018), Differential Evolution (DE) (E. Li, 2019; Yan et al., 2023), and Particle Swarm Optimization (PSO) (Rafiei et al., 2022; Travaš et al., 2023). Nevertheless, their computational efficiency may be reduced by extensive exploration and exploitation processes in achieving globally optimal inversion results. Accurate and efficient estimation of uncertain model parameters across various scenarios remains one of the most significant challenges for developing inversion frameworks.

In recent years, machine learning has experienced rapid developments and demonstrated significant performance in addressing complex problems characterized by high dimensionality and nonlinearity (Hinton and Salakhutdinov, 2006; LeCun et al., 2015; Bentivoglio et al., 2022; Shen et al., 2023). Integrating conventional inversion methods with cutting-edge machine learning techniques has become increasingly popular in addressing the challenges of inversion studies. One effective strategy is constructing surrogate models to accelerate forward simulations, ensuring that inversion algorithms perform comprehensive searches across the entire parameter space more efficiently (Razavi et al., 2012). For instance, Zhan et al. (2021) identified lithofacies structures by utilizing a deep octave convolution residual network to construct a surrogate model for predicting solute concentrations and hydraulic heads in heterogeneous aquifers. N. Wang et al. (2021) constructed a subsurface flow surrogate model under heterogeneous conditions through physically informed neural network methods, specifically for uncertainty quantification and parameter inversion. M. Liu et al. (2023) constructed a CNN surrogate model to combine with a hierarchical homogenization method to estimate effective permeability of digital rocks. More related studies can also be found in recent reviews (Yu and Ma, 2021; J. Luo et al., 2023b; Zhan et al., 2023).

In addition to surrogate models, parameter optimization through machine learning-based reverse mapping represents another significant advancement in inversion techniques. Previous studies have outlined at least two strategies to achieve reverse mapping models. The first strategy is the data-driven approach, where reverse regressions are trained using datasets that comprise pairs of model outputs and inputs. For example, A.Y. Sun (2018) developed a regression model from hydraulic heads to heterogeneous conductivity fields using a CNN-based generative adversarial network (GAN) approach. Kuang et al. (2021) succeeded in real-time identification of earthquake focal mechanisms by training a DNN regression on seismic



waveform data. Yang et al. (2022) established the relationship between gravity data and CO<sub>2</sub> plumes to perform real-time inversion for geologic carbon sequestration. Another strategy is to train a reverse network within the tandem neural network architecture (TNNA) integrated with a pre-trained surrogate model (i.e., forward network). The TNNA method was introduced with the advent of deep learning and has been successfully applied in computed tomography reconstruction (Adler and Öktem, 2017), nanophotonic structure inverse design (D. Liu et al., 2018; Yeung et al., 2021), and photonic topological state inverse design (Long et al., 2019). Our previous research expanded the application of the TNNA algorithm within groundwater science, evaluating its performance in reactive transport inverse modeling and improving inversion results by incorporating an adaptive update strategy to reduce local predictive errors of surrogate models. The findings indicated that accurate surrogate model predictive results around the actual parameter values yield dependable TNNA inversion outcomes (J. Chen et al., 2021).

The advantage of the TNNA algorithm is that it requires only one forward simulation per parameter update, whereas conventional metaheuristic algorithms necessitate multiple forward simulations. Despite this approach is innovative, the application of TNNA is primarily limited to low-dimensional parameter settings, leaving its advantages over conventional optimization algorithms uncertain. This study aims to comprehensively compare the TNNA method with four conventional metaheuristic algorithms across both low-dimensional and high-dimensional parameter settings. Major contributions of this study include (1) systematically improving and applying the TNNA algorithm to high-dimensional heterogeneous aquifer parameter inversion, thereby filling a significant research gap in the field, and (2) quantitatively evaluating the advantages and limitations of the TNNA method in comparison to conventional deterministic inversion methods. The inversion accuracy of the TNNA algorithm depends on the predictive accuracy of the surrogate models. Based on a comparative analysis of four machine learning models, the most accurate DNN for forward simulation will be chosen to build the surrogate model. With advances in artificial intelligence, the intended research outcomes are anticipated to significantly enhance the development of appropriate frameworks for novel inversion algorithms, providing fresh insights for future inversion studies.

This paper is structured as follows: Section 2 introduces the fundamental principles of the methodology involved in this study. Section 3 provides detailed information on numerical models for low- and high-dimensional scenarios. Section 4 presents the results and discussions. Finally, Section 5 presents a summary and conclusions drawn from this research, along with recommendations for future investigations.

## 2 Methodology

The nonlinear inversion optimization model of this study is formulated as follows:

$$\min \sum_{i=1}^{N_{\text{obs}}} \frac{1}{\sigma_i} [\mathbf{y}_{\text{obs}}[i] - \hat{\mathbf{y}}[i]]^2 \quad (1)$$
$$\left\{ \begin{array}{l} \hat{\mathbf{y}} = \mathbf{F}_{HF}(\mathbf{m}) \approx \mathbf{F}_{Forward}(\mathbf{m}, \boldsymbol{\theta}_{Forward}) \\ m^L \leq \mathbf{m} \leq m^U \end{array} \right.$$



Where  $\mathbf{y}_{\text{obs}} \in \mathbb{R}^{N_{\text{obs}} \times 1}$  and  $\hat{\mathbf{y}} \in \mathbb{R}^{N_{\text{obs}} \times 1}$  represent the observed data vector and the corresponding model simulation output  
95 vector.  $y_{\text{obs}}[i]$  and  $\hat{y}[i]$  refer to the  $i$ th element of the observed and simulated vectors, respectively, and  $\sigma_i$  denotes the  
standard deviation of the  $i$ th observed data.  $\mathbf{m}^L$  and  $\mathbf{m}^U$  are the vectors representing the lower and upper limit values of the  
model parameters, respectively.  $\mathbf{F}_{\text{HF}}(\cdot)$  and  $\mathbf{F}_{\text{Forward}}(\cdot)$  represent the high-fidelity numerical model and the surrogate model,  
respectively.  $\boldsymbol{\theta}_{\text{Forward}}$  represents the trainable parameters of the surrogate model (Lykkegaard et al., 2021; Jiannan Luo et al.,  
2023a).

100 The uncertain model parameters  $\mathbf{m}$  are estimated through optimization algorithms, subject to the constraints defined in  
the nonlinear optimization model. Specifically, the high-fidelity forward model output  $\mathbf{F}_{\text{HF}}(\mathbf{m})$  is approximated by the  
surrogate model  $\mathbf{F}_{\text{Forward}}(\mathbf{m}, \boldsymbol{\theta}_{\text{Forward}})$ , ensuring computational efficiency. Detailed information about for surrogate modelling  
methods and optimization algorithms is provide in Sections 2.1 and 2.2, respectively.

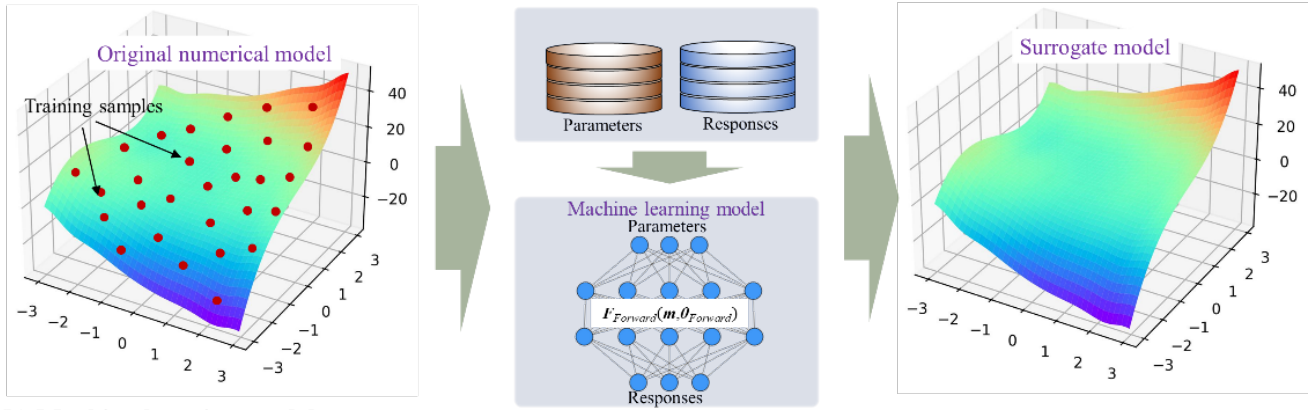
## 2.1 Surrogate modeling methods

105 As shown in Figure 1, surrogate models are developed using a data-driven strategy. The process begins by sampling  
model parameters from prior distributions and calculating their responses using high-fidelity numerical models. A training  
dataset of paired model parameters and responses is then obtained, which is used to construct surrogate models via supervised  
machine learning. Specifically, four popular machine learning models are evaluated for surrogate modeling: multi-output  
support vector regression (MSVR), fully connected deep neural network (FC-DNN), convolutional neural network (LeNet),  
110 and deep residual convolutional neural network (ResNet). Each model represents a distinct period in the development of  
machine learning. Despite rapid advancements in artificial intelligence, these four methods remain broadly applicable for  
constructing surrogate models in most groundwater modeling scenarios.

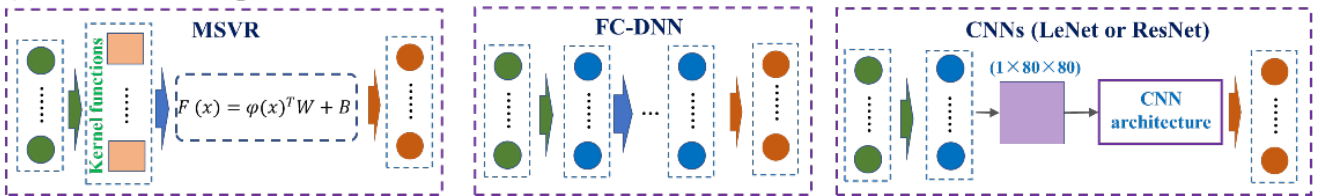
The detailed principles of MSVR and the three deep learning-based methods are illustrated in the following two sub-  
sections. The surrogate model for inversion will be constructed using the most accurate among them. Before constructing  
115 surrogate models, the training datasets are normalized to ensure that the values for different simulation components fall within  
the range of  $[0,1]$ .



**(a) The framework for data driven based surrogate model construction**



**(b) Machine learning models**



**Figure 1.** The framework for data-driven based surrogate model construction and the machine learning models employed.

**2.1.1 MSVR**

120 MSVR is developed from the original support vector machine (SVM) for realizing multivariate regression (Pérez-Cruz et al., 2002; Tuia et al., 2011). The mathematical expression is given as follows:

$$y = F(x) = \varphi(x)^T W + B \quad (2)$$

where  $\varphi(x)$  is a nonlinear regression function.  $W$  and  $B$  are regression coefficients determined by minimizing the structural risk, as outlined in Eq.(3)~(6):

125

$$W, B = \underset{W, B}{\operatorname{argmin}} L(W, B) = \frac{1}{2} \sum_{j=1}^{N_{\text{obs}}} \|w^j\|^2 + C \sum_{j=1}^{N_{\text{train}}} L(u_i) \quad (3)$$

where  $N_{\text{train}}$  is the sample size of the training dataset;  $C$  is a penalty parameter; and  $L(u)$  is a quadratic  $\varepsilon$ -insensitive loss function, expressed as:

$$L(u) = \begin{cases} 0, & u < \varepsilon \\ u^2 - 2u\varepsilon + \varepsilon^2, & u \geq \varepsilon \end{cases} \quad (4)$$

130 where  $u_i = \|e_i\| = \sqrt{e_i^T e_i}$ ;  $e_i^T = y_i^T - \varphi^T(x_i)W - B^T$ ;  $\varepsilon$  in  $L(u)$  is the radius of the insensitive tube. For  $\varepsilon=0$ , this problem is equivalent to an independent regularized kernel least square regression for each component. For  $\varepsilon \neq 0$ , it becomes feasible to develop individual regression functions for each dimension based on the model outputs and to generate their corresponding support vectors. Solving the optimization problem directly is challenging, and the desired solutions for  $W$  and  $B$  are determined



using an iterative reweighted least squares (IRWLS) procedure, employing the quasi-Newton approach. During the IRWLS process, the term  $L(u)$  in Eq.(3) is first transformed into a discrete first-order Taylor expansion, and the corresponding quadratic programming approximation is constructed. Meanwhile, a linear expression is derived based on the principle that the first-order derivatives of the objective function with respect to  $W$  and  $B$  are zero. Finally, the optimal values of  $W$  and  $B$  are obtained through a line search. Further details on the IRWLS procedure can be found in (Sanchez-Fernandez et al., 2004).

The performance of the MSVR model is influenced by three hyperparameters: the penalty parameter  $C$ , the kernel function parameter  $\sigma$  and  $\varepsilon$  (Ma et al., 2022). This study optimizes these hyperparameters by minimizing the root mean square error (RMSE) using the four metaheuristic algorithms introduced in this study.

### 2.1.2 Deep learning based surrogate models

#### (1) DNN architectures

The three DNN models are all feedforward neural networks. In DNN model construction, various neural network layers can yield diverse DNN models, resulting in different predictive performances (LeCun et al., 2015). For the DNN models adopted in this study, the involved neural network types are the fully connected layer, the convolutional layer, and the residual block layer.

In fully connected layers, both input and output layers are in vector forms. Assume  $X_{\text{input}} \in \mathbb{R}^{n \times 1}$  is the input vector and  $X_{\text{output}} \in \mathbb{R}^{m \times 1}$  is the output vector. The transformation in a fully connected layer is expressed as:

$$X_{\text{output}} = \sigma(W \times X_{\text{input}} + B) \quad (5)$$

where  $\sigma(\cdot)$  is a non-linear active function;  $W \in \mathbb{R}^{m \times n}$  is the weight matrix; and  $B \in \mathbb{R}^{m \times 1}$  is the bias vector.

In a convolutional layer, both the input and output are in matrix forms. A convolutional layer transfers information through sparse connections by several convolution kernels, essentially small matrices. The mathematical formula of a convolutional layer is as follows (Y. Wang et al., 2019; Jardani et al., 2022):

$$h_{u,v}^q(x_{u,v}) = \sigma \left( \sum_{i=1}^{k'_i} \sum_{j=1}^{k'_j} w_{i,j}^q x_{u+i,v+j} + b \right) \quad (6)$$

where  $x_{u,v}$  is the pixel value at position  $(u, v)$  of the input matrix;  $h_{u,v}^q(x_{u,v})$  is the output feature  $h_{u,v}^q(x_{u,v})$  calculated by employing the  $q$ th ( $q=1, \dots, N_{\text{out}}$ ) convolutional kernel filter  $w^q \in \mathbb{R}^{k'_i \times k'_j}$ . In a convolutional layer with  $N_{\text{out}}$  filters, the output matrix contains  $N_{\text{out}}$  feature layers. The output size ( $S_{\text{out}}$ ) of each convolutional layer is determined by the input size ( $S_{\text{in}}$ ) and the hyperparameters (i.e., zero padding  $p$ , kernel size  $k'$  and stride  $s$ ). A pooling layer is often used after a convolutional layer to remove redundant information from the extracted features and improve the efficiency of model training (J. Chen et al., 2021).

The residual block is a fundamental component of residual networks (ResNets). It is designed to mitigate the vanishing and exploding gradients commonly encountered in the training of deep neural networks. In a residual block, an intermediate layer is designed to learn a residual mapping,  $F(x)=H(x)-x$  (or  $H(x)-G(x)$ , where  $G(x)$  represents another transformation of  $x$ ).



Here,  $x$  is the input to the block. The output of the block is then computed as  $F(x)+x$ , which is intended to approximate  $H(x)$ . This design ensures that the output of the module at least replicates the input, thus avoiding overcoming the challenges posed by vanishing gradients. The mathematical formula of a residual block is expressed as follows:

$$y_l = F(x_l, W_l) + x_l \quad (7)$$

$$x_{l+1} = f(y_l) \quad (8)$$

where  $x_l$  and  $W_l$  are the input data and the connection weight matrix for the  $l$ -th residual block, respectively.  $F(\cdot)$  is the residual function. Within this framework, the function  $f(\cdot)$  is configured as an identity map, such that  $x_{l+1}=y_l$ . Then, the relationship between the  $L$ -th residual block in a deeper layer and the  $l$ -th residual block is expressed as follows (He et al., 2016):

$$x_L = x_l + \sum_{i=1}^{L-1} F(x_i, W_i) \quad (9)$$

According to the chain rule in derivatives, the gradient of the loss function  $\varepsilon$  with respect to  $x_i$  can be expressed as:

$$\frac{\partial \varepsilon}{\partial x_l} = \frac{\partial \varepsilon}{\partial x_L} \frac{\partial x_L}{\partial x_l} = \frac{\partial \varepsilon}{\partial x_L} \left( 1 + \frac{\partial}{\partial x_l} \sum_{i=1}^{L-1} F(x_i, \omega_i) \right) \quad (10)$$

This formulation highlights two key properties of the residual network. First, the gradient does not vanish during network training processes because the term  $\frac{\partial}{\partial x_l} \sum_{i=1}^{L-1} F(x_i, \omega_i)$  is never equal to -1. Second, the gradient of the deepest residual block  $\frac{\partial \varepsilon}{\partial x_L}$  can directly affect all preceding layers, ensuring effectively transmission of gradients throughout the network (Chang et al., 2022).

The FC-DNN of this study is constructed using fully connected layers, and each hidden layer consists of 512 neurons. The activation function for the output layer is Sigmoid, and the other hidden layers use Swish. The number of hidden layers  $n$  is determined by comparing the model prediction accuracy with different configurations, where  $n$  varies from 1 to 7. For the LeNet and ResNet models, the initial processing maps the input vector to a fixed matrix shaped  $1 \times 80 \times 80$  using a combination of a fully connected layer and a reshaped layer, as shown in Figure 1(b). Specifically, LeNet consists of two convolutional blocks and two fully connected layers. Each convolutional block consists of a convolutional layer followed by a max-pooling layer. The fully connected layers have 1024 and 512 neurons, respectively. ResNet consists of four stages and two different Res blocks are adopted. The first stage includes two residual units without down-sampling, while the remaining three stages each have one residual unit with down-sampling and one residual unit without down-sampling. Activation functions in all layers are Rectified Linear Units (ReLUs), except for the output layer, where Sigmoid activation is used. Detailed architecture information for LeNet and ResNet is provided in Figure S1 and Figure S2, respectively.

## (2) DNN model training

The purpose of a surrogate model is to minimize the difference between the predicted outputs  $\hat{y}_i = f_{DNN}(m_i, \theta_{DNN})$  and the numerical modeling outputs  $y_i$ . Consequently, the loss function is formulated with L1 norm constraints:





$$\theta_{DNN} = \operatorname{argmin} \frac{1}{N} \sum_{i=1}^N |F_{DNN}(m_i, \theta_{DNN}) - y_i| + \frac{w_d}{2} \theta_{DNN}^T \theta_{DNN} \quad (11)$$

where  $w_d$  is the weight decay to avoid overfitting, referred to as the regularization coefficient. This study implemented the  
 195 DNN models using PyTorch (<https://pytorch.org/>), a widely used machine learning framework. The neural network weights  
 were initialized using the default initialization method of PyTorch and optimized using the stochastic gradient descent method  
 via the Adam algorithm.

## 2.2 Optimization algorithms

### 2.2.1 Metaheuristic algorithms

200 (1) Particle swarm optimization algorithm

Particle swarm optimization (PSO) is a population-based intelligent optimization algorithm inspired by the foraging  
 behavior of birds (Eberhart and Kennedy, 1995). It is realized through the following steps:

**Step 1:** Initialize a population with  $n$  particles of a  $m$ -dimensional space  $X=(X_1, X_2, \dots, X_n)$ . For an arbitrary particle ( $i$ ),  
 denote its position, velocity and best position at the  $k$ th iteration as  $X_i^k=(x_{i1}^k, \dots, x_{im}^k)$ ,  $V_i^k=(v_{i1}^k, \dots, v_{im}^k)$ , and  $P_i^k=(p_{i1}^k, \dots, p_{im}^k)$ ,  
 205 respectively.

**Step 2:** Calculate the best solution for each particle ( $X_{pbest_i^k}$ ) according to Eq.(12):

$$X_{pbest_i^k} = \begin{cases} X_{pbest_i^{k-1}}, & f(X_i^k) \geq f(X_{pbest_i^{k-1}}) \\ X_i^k, & f(X_i^k) < f(X_{pbest_i^{k-1}}) \end{cases} \quad (12)$$

where  $f(\cdot)$  is the objective function, also known as the fitness function.

**Step 3:** Calculate the best position of the population ( $X_{gbest_i^k}$ ) according to Eq.(13).

210 
$$X_{gbest_i^k} = \min \{ f(X_1^k), \dots, f(X_n^k) \} \quad (13)$$

**Step 4:** Updated the velocity and position for each particle ( $i$ ) according to Eq.(14) and Eq.(15):

$$V_i^{k+1} = w_i V_i^k + r_1 c_1 (X_{pbest_i^k} - X_i^k) + r_2 c_2 (X_{gbest_i^k} - X_i^k) \quad (14)$$

$$X_i^{k+1} = X_i^k + V_i^{k+1} \quad (15)$$

where  $c_1$  and  $c_2$  are learning parameters, generally taken as two equal non-negative constants and are set to 0.5 and 0.1 here;  
 215  $r_1$  and  $r_2$  are two random values within the range of  $[0, 1]$ ;  $w_i$  is the inertia weight and set to 0.8 for this study.

(2) Genetic algorithm

Genetic algorithm (GA) is initially introduced by Holland John (1975). It draws inspiration from natural evolution and  
 genetics, where individuals within a population are selected or eliminated based on their adaptability to the environment. The  
 GA is realized through the following steps:

220 **Step 1:** Generate an initial population  $X=(X_1, X_2, \dots, X_n)$  randomly.





**Step 2:** Perform binary encoding on all individuals in the population  $X$  to obtain their respective binary symbol strings. These binary symbol strings are called chromosomes, and each value (“0” or “1”) on a symbol string is called a gene.

**Step 3:** Crossover: Perform crossover operations on randomly paired combinations of individuals in  $X$ . The essence of crossover is to exchange some values in the symbol strings of a pair of individuals.

225 **Step 4:** Mutation: Perform mutation operations on some random individuals in  $X$  by changing some values of their symbol strings.

**Step 5:** Selection: Perform selection operations based on the fitness values of each individual ( $X_i$ ) to generate the next generation population. This step is realized through the roulette wheel selection method, where individuals with higher fitness values are more likely to be selected.

230 **Step 6:** Determine whether the current results satisfy the iteration termination condition. If not, return to step (2); otherwise, output the optimal individual in the current population as the final result.

### (3) Simulated Annealing

The SA method is a Monte Carlo-based stochastic optimization algorithm proposed by Metropolis et al. (1953) and initially applied to combinatorial optimization problems by Kirkpatrick et al. (1983). The realization steps for SA method are  
235 as follows:

**Step 1:** Set the starting temperature as  $T_0$  and draw an initial optimal solution as  $X_i$ .

**Step 2:** Generate a new solution  $X_j$  from the neighborhood of the current solution  $X_i$ .

**Step 3:** Calculate the objective function values  $f(X_i)$  and  $f(X_j)$ . If  $f(X_i) \geq f(X_j)$ , then  $X_j$  becomes the current solution  $X_i$ ; otherwise,  $X_j$  becomes the current solution  $X_i$  with a probability calculated as:

240 
$$P(X_i \rightarrow X_j) = \exp\left(\frac{f(X_i) - f(X_j)}{a^t T_0}\right) \quad (16)$$

where  $t$  is the current time and  $a$  is the temperature decay constant.

**Step 4:** Under the current temperature conditions, repeat steps (2) and (3) until reaching the predetermined number of internal iterations. Then, update the temperature and time as follows: set  $t=t+1$  and  $T_t=a_t T_0$ , then proceed to the next step.

245 **Step 5:** Return to step (2) and continue the iteration according to the new temperature ( $T_t$ ) and time ( $t$ ) until the termination conditions are met. The iterations in this step can be considered outer iterations, distinguished from step (4).

### (4) Differential evolution

DE is another evolutionary algorithm proposed by Storn and Price (1997). Similar to GA, DE also employs mutation, crossover and selection operators, but they update uncertain model parameters in different ways (Tran et al., 2022). The detailed steps for realizing DE are as follows:

250 **Step 1:** Generate the initial population  $X=(X_1, X_2, \dots, X_n)$  randomly.

**Step 2:** Perform encoding for each individual in  $X$ . The encoding method used in DE is floating-point real encoding, rather than binary encoding used in GA.



**Step 3: Mutation:** After completing individual encoding, DE performs mutation operations to generate new individuals according to Eq. (17):

$$x_{perturbed}(g+1) = x_{rand_1}(g) + F_{DE} \times (x_{rand_2}(g) - x_{rand_3}(g)) \quad (17)$$

where  $x_{rand_1}$ ,  $x_{rand_2}$  and  $x_{rand_3}$  are randomly selected individuals among the candidate solutions of the current population and must be different from each other.  $F_{DE}$  is a scaling parameter within the range of [0,1], controlling differential variations.  $g$  represents the sequence number of iterations.

**Step 4: Crossover:** Perform crossover operations to generate the trial vector by combining the mutant and target vectors. The formula for this step is as follows:

$$u_j(g+1) = \begin{cases} x_{perturbed}^j(g+1) & \text{if } P_j \leq CR \\ x_j(g) & \text{if } P_j > CR \end{cases} \quad (18)$$

where  $P_j$  is a random number in the range of [0,1], CR is the crossover rate. If some variables of the trial vector have the same values, keep one of them and reset the others with random integer numbers in the range [1, D].

**Step 5: Selection:** Perform selection operations to determine whether the new generated trial vector  $u_j(g+1)$  can survive the next generation,  $x_j(g+1)$ . Therefore, a candidate solution replaces the parent only if it has better objective function value.

**Step 6:** Return to step 3 until the convergence criteria are met.

### 2.2.2 TNNA algorithm

The TNNA algorithm aims to obtain a reverse network that maps the observation vector to model parameters, as shown in Eq. (19).

$$m = F_{Reverse}(\tilde{y}_{obs}, \theta_{Reverse}) \quad (19)$$

where  $\theta_{Reverse}$  are the trainable parameters of  $F_{Reverse}$ . The training of the reverse network is guided by the constraints of the nonlinear optimization model defined in Eq. (1). The loss function for training is expressed as follows:

$$\theta_{Reverse} = \underset{\theta}{\operatorname{argmin}} \sum_{i=1}^{N_{obs}} \frac{1}{\sigma_i} [\hat{y}_{obs}[i] - F_{Forward}(F_{Reverse}(\hat{y}_{obs}, \theta_{Reverse}), \theta_{Forward})]^2 \quad (20)$$

The  $F_{Reverse}$  is also trained within the pytorch framework. The required training data here are the normalized observation data. Specifically, the reverse network for this study is designed using an FC-DNN with three hidden layers, each containing 512 neurons.

During reverse network training processes, each iteration of updating the trainable parameters  $\theta_{Forward}$  involves two steps: First, the vector  $\hat{y}_{obs}$  is input into the reverse network  $F_{Reverse}$  to obtain the parameter prediction  $\tilde{m}$ . This predicted parameter  $\tilde{m}$  is then input into the forward network  $F_{Forward}$  to generate the corresponding forward prediction results. Subsequently, the trainable parameters  $\theta_{Reverse}$  of the reverse network are updated based on the error feedback from the loss function in Eq. (20) through DNN model training. This process demonstrates that  $F_{Reverse}$  and  $F_{Forward}$  are connected in a TNNA, wherein the forward simulation realization is executed once during each epoch to update the trainable parameters of  $\theta_{Reverse}$ . This is a marked



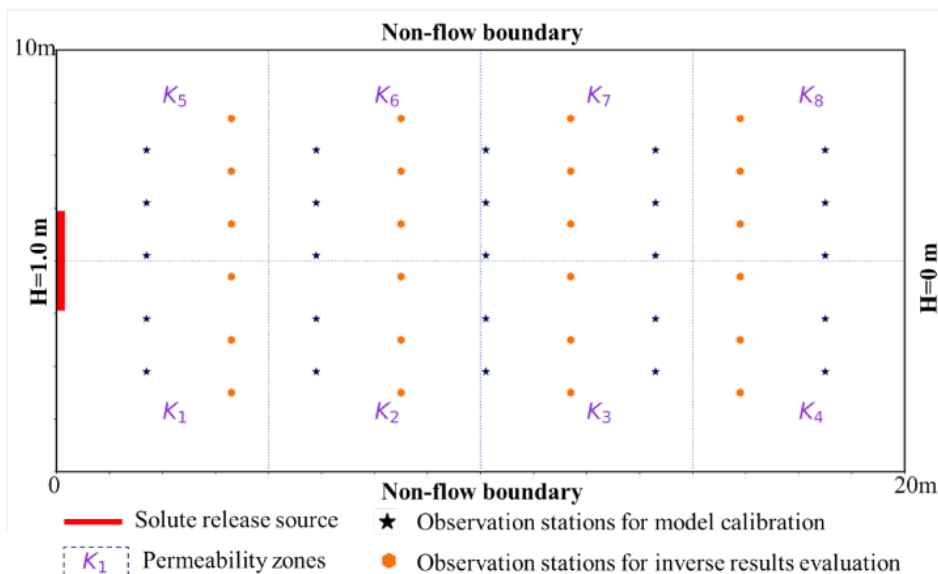
285 difference from the four selected metaheuristic algorithms, which require numerous forward simulations for each update of  
 estimated model parameters. Upon completion of  $F_{\text{Reverse}}$  training, the final optimal parameters are predicted by inputting  
 observation data into  $F_{\text{Reverse}}$ . Further details on TNNA can be found in (J. Chen et al., 2021).

### 3. Case Study

290 We introduce two numerical cases to compare the TNNA algorithm with conventional metaheuristic algorithms: one with  
 low-dimensional parameters and the other with high-dimensional parameters. Initially, four surrogate models will be assessed  
 using the low-dimensional parameter case, and the model with the highest accuracy will be integrated into the inversion  
 framework. Based on hypothetical observation scenarios, we will compare the inversion performance of the TNNA method  
 and the four metaheuristic algorithms in low- and high-dimensional cases.

#### 3.1 Case 1: Low-dimensional zoned permeability field scenario

295 As shown in Figure 2, the numerical model for the low-dimensional scenario focuses on conservative solute transport  
 within a zoned permeability field. The model domain is a two-dimensional rectangular area measuring 10m×20m. The left and  
 right boundaries are Dirichlet boundary conditions, with a hydraulic head difference of 1 m. The heterogeneous permeability  
 is divided into eight homogeneous permeability zones, denoted as  $k_1$  to  $k_8$ . The prior range for these eight permeabilities is  
 from  $1 \times 10^{-12}$  to  $9.9 \times 10^{-12} \text{ m}^2$ . The contaminant source is located at the left boundary with a fixed release concentration ranging  
 from  $1 \times 10^{-3}$  to 1 mol/L. The simulation area is uniformly discretized into 3,200 ( $40 \times 80$ ) meshes, and the simulation time is set  
 to 20 days.



300 **Figure 2.** Flow domain of the solute transport model for the low-dimensional scenario.



According to these model conditions, there are nine uncertain model parameters to be estimated: eight permeability parameters ( $k_1$  to  $k_8$ ) and the source release concentration. As shown in Figure 2, these parameters will be estimated using the observation data of hydraulic heads and solute concentrations collected from 25 locations, denoted by black pentagrams. Additionally, observation data from another 24 locations, denoted by orange hexagons, will be used to validate the prediction accuracy of the calibrated numerical model.

### 3.2 Case 2: High-dimensional gaussian random permeability field scenario

The numerical model for the high-dimensional scenario features a domain size of  $10\text{m} \times 10\text{m}$ , with impervious upper and lower boundaries and constant head boundaries at the left (1m) and right (0m) sides. The domain is discretized into 4,096 ( $64 \times 64$ ) grids. The log-permeability field follows a Gaussian distribution, and the permeability value of the  $i$ -th mesh is defined as follows:

$$k_i = \alpha_i k_{ref} \quad (26)$$

where  $k_{ref}$  is the reference permeability, set to  $2 \times 10^{-13} \text{m}^2$ . The modifier  $\alpha$  for the logarithmic Gaussian random field satisfies the following formula:

$$\alpha(\mathbf{s}) = \exp(G(\mathbf{s})), G(\cdot) \sim N(m, C(\cdot, \cdot)), \quad (27)$$

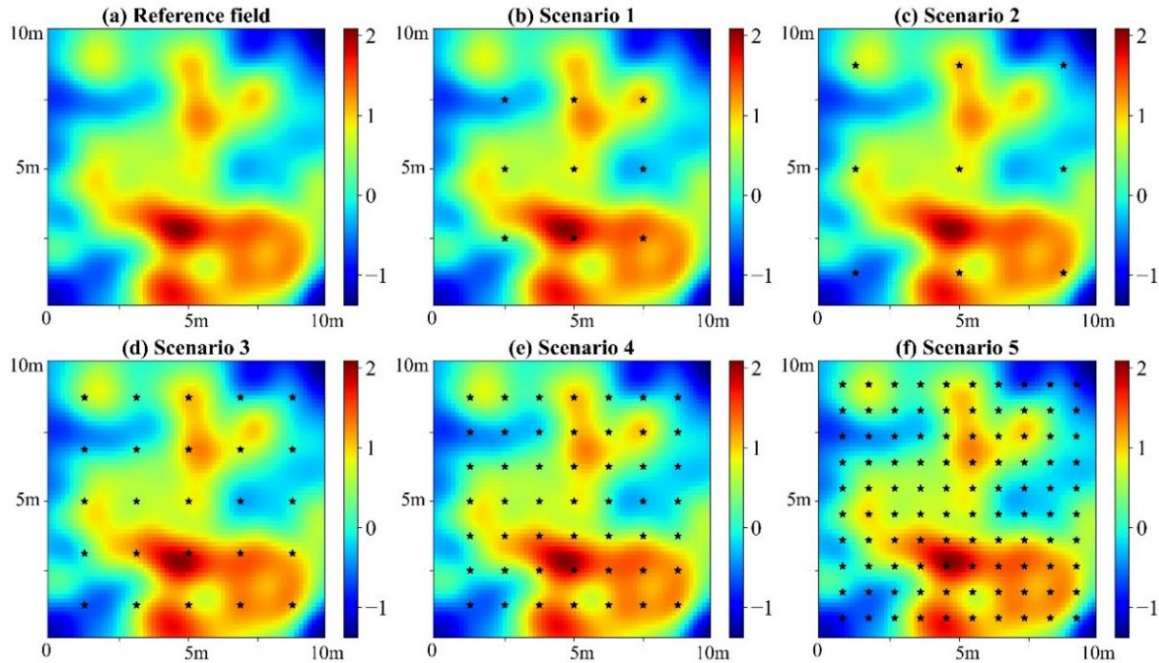
where  $m = 0$  is the constant mean and  $L_2$  exponentiated quadratic covariance function for two arbitrary spatial locations,  $\mathbf{s} = (s_x, s_y)$  and  $\mathbf{s}' = (s'_x, s'_y)$ :

$$C(\mathbf{s}, \mathbf{s}') = \sigma_G^2 \exp\left(-\sqrt{\left(\frac{s_x - s'_x}{\lambda_x}\right)^2 - \left(\frac{s_y - s'_y}{\lambda_y}\right)^2}\right), \quad (28)$$

where  $\sigma_G^2 = 2$  is the variance and  $\lambda_x = \lambda_y = 2.5$  m are the correlation lengths along the  $x$  and  $y$  directions, respectively.

The Karhunen-Loève expansion (KLE) is utilized to parameterize the permeability field (D.X. Zhang and Lu, 2004). In this case, 100 KLE terms are used to preserve more than 92.67% of the field variance. Consequently, estimating the permeability field is equivalent to identifying these 100 KLE terms. The observational data used for inverse modeling include hydraulic heads from a stable flow field and solute concentrations measured every two days over 40 days, starting from the 2<sup>nd</sup> day to the 40<sup>th</sup> day (day:  $t = 2i, i = 1, \dots, 20$ ). To mitigate inversion errors arising from equifinality, actual permeability values at observed locations are included as regularization constraints. The standard deviation of Gaussian noise for the normalized observations is set to 0.01.

As the degrees of freedom significantly increase in high-dimensional models, the influence of observation data on inversion results becomes increasingly significant. Five scenarios with different monitoring networks are considered to comprehensively evaluate the performance of different inversion algorithms using various observations. Figure 3 displays the monitoring station locations for each scenario.



**Figure 3.** The reference log-permeability field and locations of observation stations for five scenarios. The observation stations are represented by black pentagrams.

## 4. Results and discussion

### 335 4.1 Surrogate model evaluations

Surrogate models were first compared using the low-dimensional parameter case. Four training datasets  $\mathbf{D}_{train} = \{\mathbf{M}_{train}, \mathbf{Y}_{train}\}$  with 200, 500, 1000, and 2000 samples (represented as  $\mathbf{D}_{train-200}$ ,  $\mathbf{D}_{train-500}$ ,  $\mathbf{D}_{train-1000}$  and  $\mathbf{D}_{train-2000}$ , respectively) and a testing dataset  $\mathbf{D}_{test} = \{\mathbf{M}_{test}, \mathbf{Y}_{test}\}$  with 100 samples (represented as  $\mathbf{D}_{test-100}$ ) are prepared. These datasets were generated using Latin hypercube sampling (LHS) and numerical simulations. The predictive accuracy of surrogate models was quantitatively evaluated using root mean square error (RMSE) and determination coefficient ( $R^2$ ) metrics (J. Chen et al., 2022).

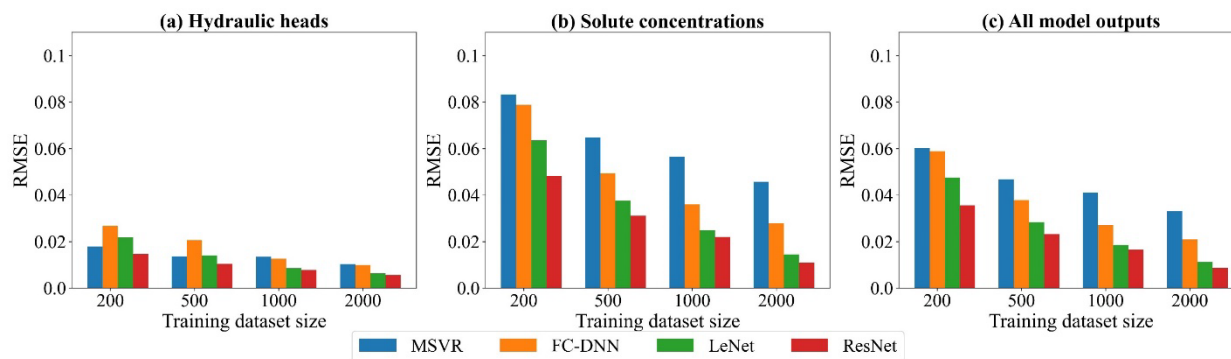
For solute transport inverse modeling problems, it is crucial to consider observations of both hydraulic heads and solute concentrations simultaneously. Therefore, the surrogate model within an inversion framework should have accurate predictive capabilities for hydraulic heads and solute concentrations. This study calculates RMSE and  $R^2$  values separately for hydraulic heads, solute concentrations, and all model response data, resulting in the following evaluation criteria:  $RMSE_{ALL}$  and  $R^2_{ALL}$  for overall data,  $RMSE_H$  and  $R^2_H$  for hydraulic heads, and  $RMSE_C$  and  $R^2_C$  for solute concentrations.

Figure 4 and Figure 5 display the RMSE and  $R^2$  values of each surrogate model, and Figure S3~Figure S6 present the pairwise comparison results. The optimal values for  $C$ ,  $\sigma$ , and  $\varepsilon$  in the MSVR method are provided in Table S1. Moreover, the



optimal number of hidden layers in the FC-DNN for  $D_{train-200}$ ,  $D_{train-500}$ ,  $D_{train-1000}$  and  $D_{train-2000}$  are 2, 4, 3, and 3, respectively,  
 350 as determined by the corresponding  $RMSE_{All}$  and  $R^2_{All}$  values in Table S2 and Table S3.

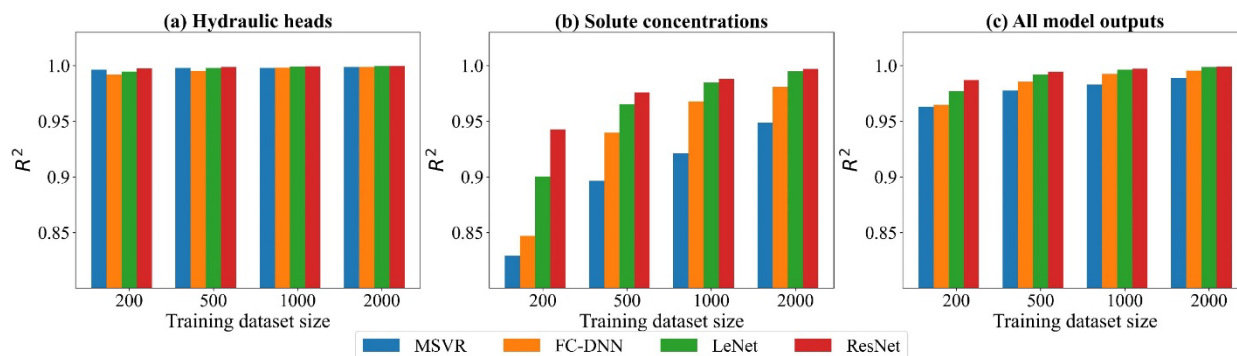
According to the performance criteria in Figure 4 and Figure 5, the prediction accuracy of each surrogate model significantly improves with an increasing number of training samples. Based on  $RMSE_{All}$  and  $R^2_{All}$  values, their performance ranks as follows: ResNet, LeNet, FC-DNN, and MSVR. The MSVR method accurately predicts hydraulic heads but performs the worst in predicting solute concentration. Training MSVR with the four prepared datasets, the  $RMSE_H$  values are below  
 355 0.02, and  $R^2_H$  values are near 1. Notably, with a training sample size of 200, the prediction accuracy of MSVR for hydraulic heads is higher than that of FC-DNN and LeNet, as indicated by their  $RMSE_H$  and  $R^2_H$  values, closely matching that of ResNet. However, when using 200 training samples, the  $RMSE_C$  value for MSVR exceeds 0.08, and the  $R^2_C$  value falls below 0.85. Even with a dataset size of 2000, the enhancement in the MSVR-based surrogate model is limited, as the  $RMSE_C$  value remains around 0.05, and the  $R^2_C$  value stays below 0.95. FC-DNN demonstrates a significant advantage over MSVR in predicting  
 360 solute concentration, particularly with larger training sample sizes of 1000 or 2000. However, there are still some obvious biases between some surrogate modeling results and their numerical modeling results (see Figure S2(d)). When adopting CNN-based surrogate models (LeNet and ResNet), the prediction accuracy for solute concentrations significantly improves (see Figure 4(b) and Figure 5(b)). With training datasets of 2000 samples, LeNet and ResNet achieve RMSE values below 0.02 and  $R^2$  values close to 1. It is worth noting that the ResNet performs well even with smaller sample sizes. For example, with  
 365 200 training samples, the  $RMSE_C$  and  $R^2_C$  values for LeNet are around 0.06 and 0.9, respectively, while these criteria values for ResNet are around 0.04 and 0.95 (see Figure 4(b) and Figure 5(b)). As the number of training samples increases, the advantages of ResNet become more apparent. According to Figure S4(d), when the training sample size reaches 2000, the prediction results of ResNet are closely consistent with the numerical simulation results for both hydraulic heads and solute concentrations.



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**Figure 4.** The RMSE results of surrogate model predictions. (a)~(c) are respectively the RMSE values of hydraulic heads, solute concentrations and all model outputs.





375 **Figure 5.** The  $R^2$  results of surrogate model predictions. (a)~(c) are respectively the  $R^2$  values of hydraulic heads, solute concentrations and all model outputs.

The comparison results of the surrogate models reflect a trend of enhanced robustness attributable to advancements in machine learning methodologies. Different machine learning approaches employ distinct strategies for achieving nonlinear mappings in developing surrogate models. Generally, deeper or larger models contain more trainable parameters, resulting in higher degrees of freedom to capture more robust nonlinear relationships. The essence of machine learning development lies in addressing the challenge of training these complex DNNs. Current state-of-the-art machine learning techniques have demonstrated proficiency in training each of the four selected surrogate modeling methods. With sufficient training samples, a surrogate model of greater complexity exhibits enhanced capability in representing higher levels of non-linearity (LeCun et al., 2015; He et al., 2016). This also explains why, despite having a sufficient number of training samples, the improvement in prediction accuracy of the MSVR for solute concentration is limited. In CNNs, sparse connections and weight-sharing in convolutional layers reduce redundant weight parameters in DNNs, enhancing the feature extraction of hidden layers. Consequently, LeNet demonstrates better performance than FC-DNN. The ResNet, which employs residual blocks in conjunction with convolutional layers, effectively addresses the issues of gradient vanishing and exploding, making the successful training of deeper CNNs possible.

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390  
395 According to J. Chen et al. (2021), a more globally accurate surrogate model can enhance the performance of TNNA inversion results. Thus, we selected the ResNet trained with 2000 samples for the subsequent inversion procedure. In the low-dimensional scenario, its RMSE values for hydraulic head and solute concentration data are less than 0.02, with  $R^2$  values greater than 0.99. Subsequently, the surrogate models for the five high-dimensional scenarios designed in this study were all constructed using the ResNet-2000. An additional 500 samples were used to assess the accuracy of their predictions. The RMSE values for hydraulic head and solute concentration data are less than 0.02, and the  $R^2$  values are greater than 0.99, as shown in Table 1. Hence, inversion simulations in the five high-dimensional scenarios of this study are also appropriate to the ResNet-2000 surrogate model.





400 **Table 1.** The  $RMSE$  and  $R^2$  values for surrogate model predictions in designed five high-dimensional scenarios.

	RMSE			$R^2$		
	$RMSE_H$	$RMSE_C$	$RMSE_{All}$	$R_H^2$	$R_C^2$	$R_{All}^2$
Scenario 1	0.0108	0.0174	0.0172	0.9990	0.9980	0.9982
Scenario 2	0.0102	0.0138	0.0136	0.9995	0.9989	0.9990
Scenario 3	0.0120	0.0165	0.0163	0.9991	0.9981	0.9983
Scenario 4	0.0123	0.0161	0.0159	0.9990	0.9984	0.9985
Scenario 5	0.0137	0.0156	0.0155	0.9989	0.9985	0.9986

## 4.2 Parameter inversion method comparison results

### 4.2.1 Inversion results of the low-dimensional parameter scenario

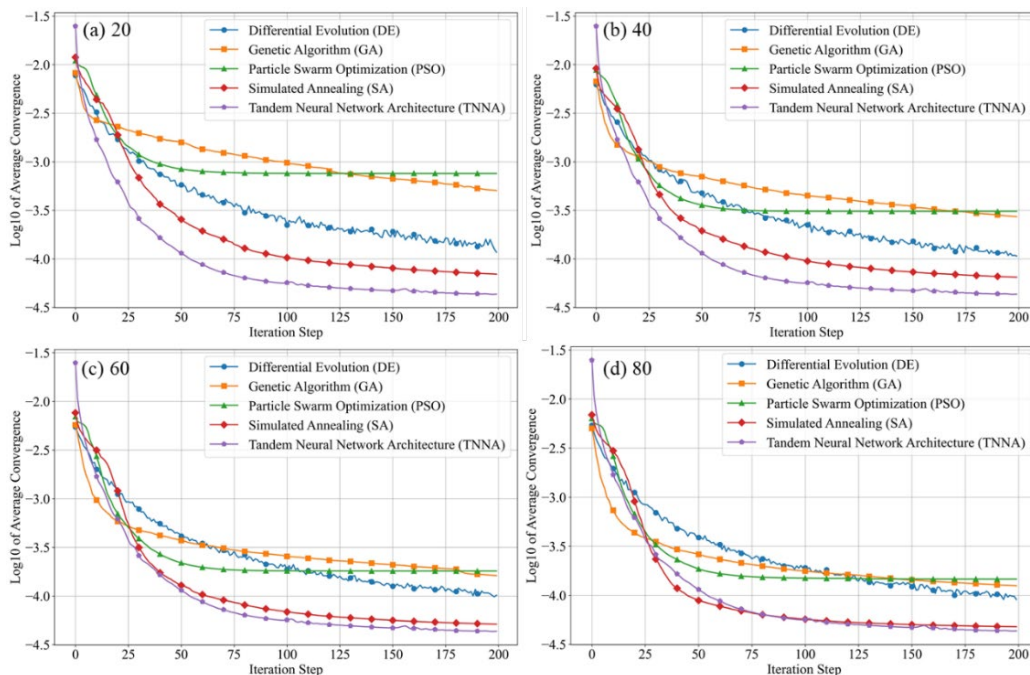
For the low-dimensional parameter scenario, the performance of optimization algorithms is thoroughly evaluated across 100 parameter scenarios using the Monte Carlo strategy. The observation data for these scenarios are derived from the testing dataset after adding Gaussian random noise  $\varepsilon \sim N(0,0.01)$ . The population sizes of GA, DE, and PSO, along with the chain length in SA, are set in four distinct scenarios: 20, 40, 60 and 80 (these population size or chain length values are represented as  $N_{PC}$  in subsequent discussions). These settings determine the number of forward modeling calls required for each iteration, significantly influencing the convergence rate and computational efficiency of optimization procedures. Maximum iterations for these four metaheuristic algorithms are set to 200. The learning rate, epoch number and weight decay for the TNNA algorithm are set to  $6 \times 10^{-5}$ , 1000, and  $1 \times 10^{-6}$ , respectively.

The performance of the five optimization algorithms is evaluated according to three aspects: average convergence efficiency and accuracy in inversion procedures, predictive accuracy of calibration models for hydraulic heads and solute concentrations, and statistical analysis of the estimated errors for each model parameter. Figure 6 presents the logarithmic average convergence curves of four metaheuristic algorithms and the TNNA algorithm throughout 100 parameter scenarios. Specifically, sub-figures (a)–(d) represent the  $N_{PC}$  values for metaheuristic algorithms set at 20, 40, 60, and 80, respectively. These figures clearly illustrate the average convergence speed and accuracy of five optimization algorithms. Figure 7 displays the comparison of calibration and validation between the simulation results and the observed values across all 100 parameter scenarios. Sub-figures (a) and (b) illustrate the comparative prediction fit at the 25 observation locations used for model calibration, whereas sub-figures (c) and (d) display the comparative prediction fit at the 24 observation locations. In this figure, distinct symbols are used to represent the five optimization algorithms. It should be noted that the  $N_{PC}$  values for the four metaheuristic algorithms are uniformly set to 80 during this comparison. Figure 8 illustrates the probability density curves of the estimation errors for nine model parameters across 100 parameter scenarios, with different colours representing the five optimization algorithms.

The results in Figure 6 demonstrate that the TNNA algorithm achieves the best convergence accuracy, with its convergence logarithmic objective function value (i.e., approximately -4.4) being smaller than those of the other four



metaheuristic algorithms across these  $N_{PC}$  settings. The influence of  $N_{PC}$  on the convergence speeds of these four metaheuristic algorithms is not significant, exhibiting a distinct transition from rapid to slower convergence around the 75th iteration. As  $N_{PC}$  increased from 20 to 80, each metaheuristic algorithm showed distinct improvements in the accuracy of the final objective function. The DE algorithm showed the least improvement in final convergence accuracy as the  $N_{PC}$  value increased from 20 to 80, with the logarithmic value of its objective function dropping from just above -4.0 to slightly below -4.0. The SA algorithm also showed limited improvement, with its logarithmic average convergence value increasing from around -4.1 at  $N_{PC}=20$  to slightly below -4.3 at  $N_{PC}=80$ , close to that of the TNNA algorithm. Among the four metaheuristic algorithms, SA exhibited the highest average convergence accuracy. Contrary to the SA and DE algorithms, the PSO and GA algorithms significantly enhanced average convergence accuracy as  $N_{PC}$  increased. Specifically, as  $N_{PC}$  increased from 20 to 80, the logarithmic convergence values of PSO and GA decreased by more than 0.5. While increasing  $N_{PC}$  values may help metaheuristic algorithms reduce the gap in average convergence accuracy compared to the TNNA algorithm, larger  $N_{PC}$  settings also require additional computational burdens. The above results indicate that the TNNA algorithm has a significant efficiency advantage over the four metaheuristic algorithms in parameter optimization. For instance, the DE algorithm requires 32,000 forward model realizations ( $80 \times 2 \times 200$ ) when  $N_{PC}$  is set to 80, while the other three metaheuristic algorithms (PSO, GA, and SA) each require 16,000 realizations ( $80 \times 200$ ). In significant contrast, the TNNA algorithm requires only one forward model realization per iteration, resulting in 200 realizations. These comparisons illustrated that the TNNA method is more effective than the other four metaheuristic algorithms in achieving robust convergence results.

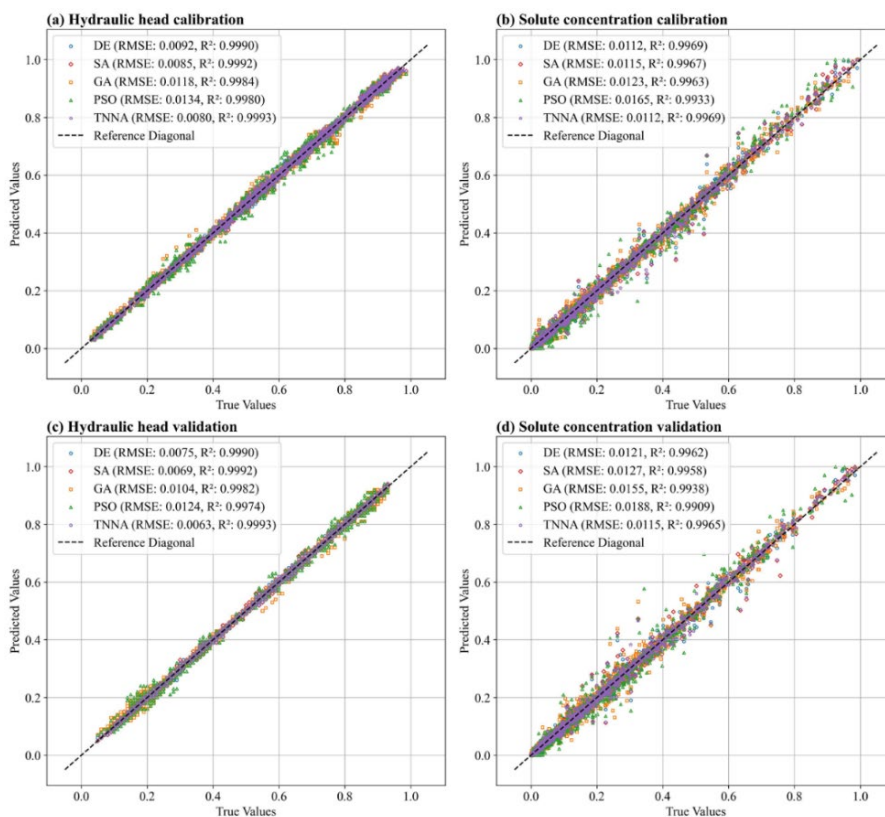


**Figure 6.** Comparative convergence trends of five optimization algorithms (Markers indicate convergence values at every 10 steps to indicate convergence values; for TNNA, only the first 200 out of 1000 iterations are presented).

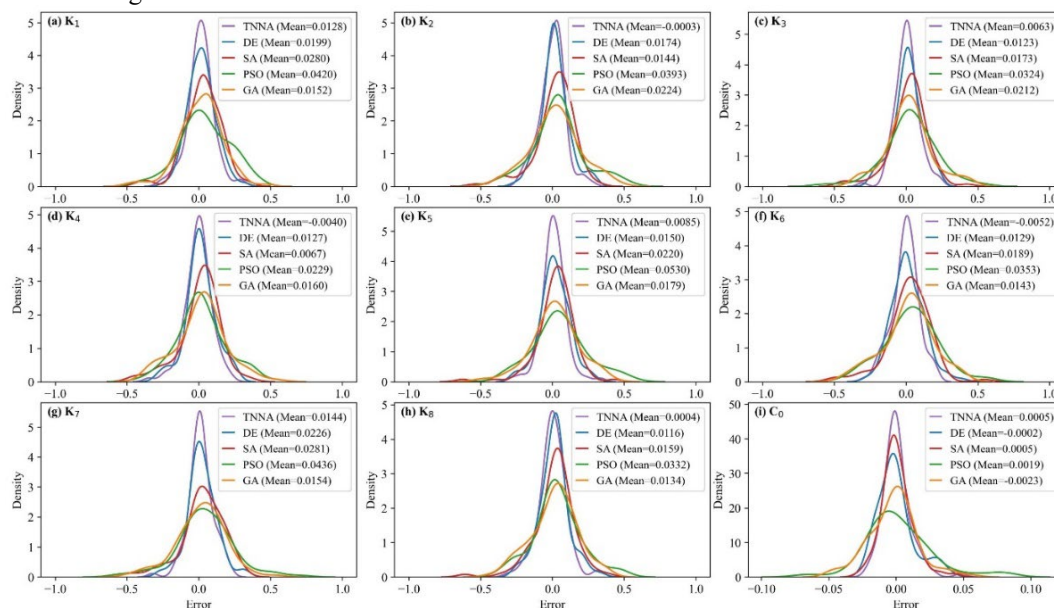


The results presented in Figure 7 indicate that, among the five optimization algorithms, the TNNA algorithm achieves the smallest RMSE values and  $R^2$  values closest to 1.0 for both hydraulic heads and solute concentration during model calibration and validation. Furthermore, the distribution of comparison points demonstrates that the calibrated and validated modeling results of the TNNA algorithm are more accurately matched with their actual values than the other four metaheuristic algorithms, particularly for solute concentrations. Among the four metaheuristic algorithms, SA and DE outperform GA and PSO regarding RMSE and  $R^2$  values. During model calibration and validation, PSO exhibits the worst predictive accuracy, recording the highest RMSE and  $R^2$  values for both hydraulic heads and solute concentrations. It is noteworthy that the RMSE and  $R^2$  values for SA during hydraulic head calibration are 0.0085 and 0.9992, respectively, while those for DE during solute concentration calibration are 0.0112 and 0.9969. These values are almost equal to those of the TNNA algorithm. The robustness of an inversion algorithm is determined by its accuracy in both calibration and validation for hydraulic heads and solute concentrations. However, DE and SA demonstrate appropriate calibration accuracy only for one of the two simulation components. Overall, the TNNA algorithm provides more robust model calibration and validation results than the other four metaheuristic algorithms.

Figure 8 indicates that the estimated error distributions for the nine model parameters derived from the TNNA algorithm are more concentrated than those obtained from the four metaheuristic algorithms. The mean estimated error values for the nine numerical model parameters using the TNNA algorithm are also the lowest. These results highlight the high accuracy and reliability of the TNNA inversion algorithm. Among the four metaheuristic algorithms, DE and SA outperform GA and PSO. This is because the probability density curves of estimation errors for the nine parameters using DE and SA are more concentrated around zero, with mean values lower than those of GA and PSO. The DE algorithm shows a more concentrated distribution around zero for the overall estimation errors of parameters  $K_1$  to  $K_8$ . In contrast, the SA reveals reduced estimation errors for the  $C_0$  parameter in most cases, ranking just behind the TNNA algorithm. GA outperforms PSO in estimation accuracy for seven of the nine model parameters, with PSO matching its probability density curves to that of GA only for parameters  $K_2$  and  $K_4$ . As a whole, the statistical results of the estimated model parameter errors illustrate that the machine learning-based TNNA algorithm exhibits enhanced inversion performance compared to the four metaheuristic optimization algorithms. However, the findings also reveal that none of the five algorithms consistently offers completely reliable inversion solutions across all scenarios. For example, the TNNA algorithm, despite its generally better performance, demonstrates estimation errors as high as 0.4 for parameters  $K_4$  and  $K_6$  in some scenarios. Such results are likely because the provided observational data cannot ensure equifinality in some scenarios. In these cases, it is essential to introduce additional regularization constraints to attenuate the equifinality (G.S. Wang and Chen, 2013; Arsenault and Brissette, 2014). These findings emphasize the importance of employing the Monte Carlo method in comparative studies of inversion algorithms to ensure comprehensive evaluations and avoid misleading conclusions.



**Figure 7.** Comparison of calibrated and validated model predictive accuracy for hydraulic heads and solute concentrations by the four metaheuristic algorithms and the TNNA method.



**Figure 8.** Probability density curves of estimation errors for nine model parameters using five optimization methods.



The above comparison results indicated that the machine learning-based TNNA algorithm outperforms the other four metaheuristic algorithms in both inversion accuracy and computational efficiency. The primary advantage of the TNNA algorithm over the four metaheuristic algorithms is its highly deterministic updating direction of model parameters, guided by the loss function, which serves as the objective function for inverse modeling. Research on machine learning applications indicates that DNNs can approximate continuous functions by adjusting weights and biases (LeCun et al., 2015; Goodfellow et al., 2016). The TNNA algorithm leverages this capability by transforming the model parameter inversion issue into the training of a reverse network to achieve reverse mappings. By establishing a loss function based on inversion constraints from the Bayesian theorem, the TNNA algorithm ensures that training the reverse network brings each parameter update closer to the optimal solution during each epoch, thereby improving accuracy and convergence speed. In contrast, the four metaheuristic algorithms require numerous forward simulations for each parameter update. The optimization direction for model parameters is determined by evaluating the objective function. This process is governed by the exploration and exploitation strategies inherent in metaheuristic algorithms. However, these approaches introduce randomness in the direction of model parameter updates, making it challenging to ensure that updates move towards the direction of fastest convergence under specific hyperparameter settings. This also explains why the TNNA algorithm can update model parameters more efficiently and achieve higher convergence accuracy despite requiring only one forward realization in each training epoch.

#### 4.2.2 Inversion results of the high-dimensional parameter scenario

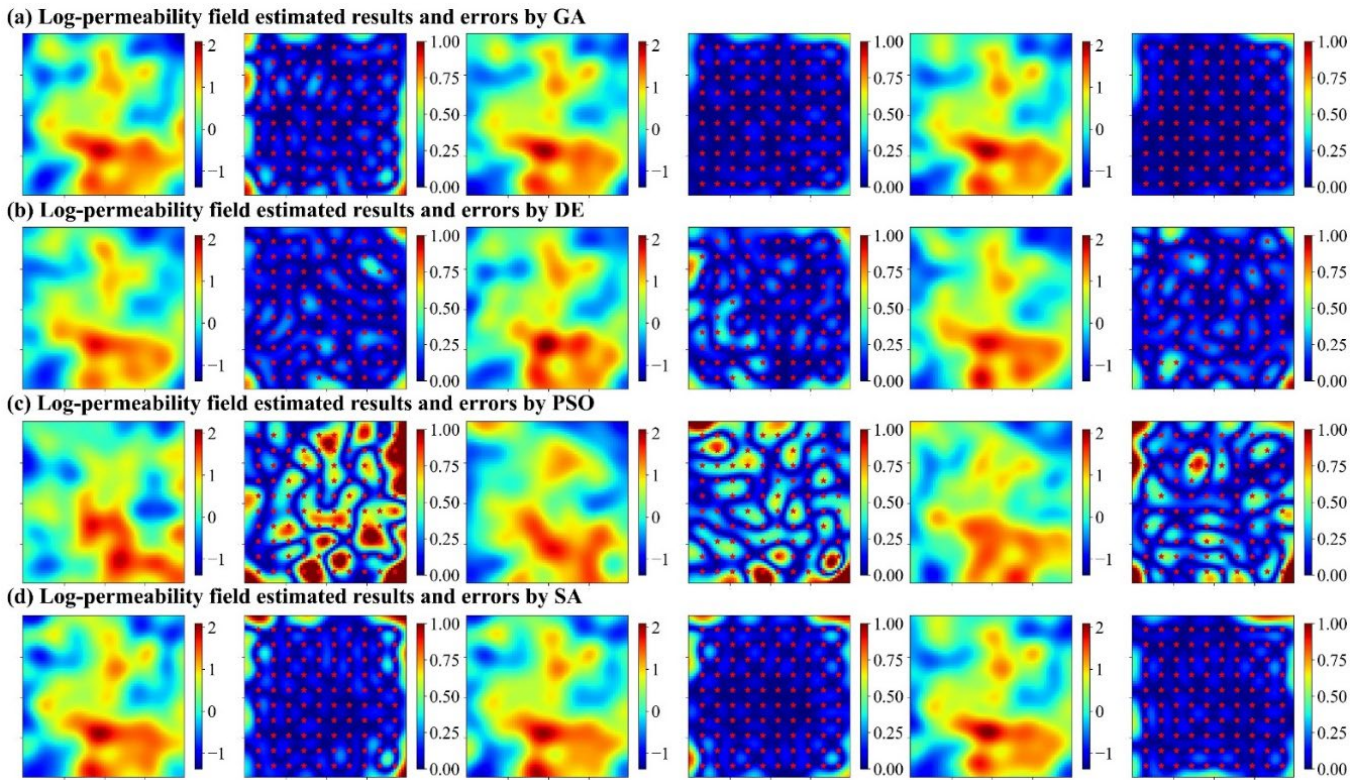
For estimating the permeability field under five designed observational scenarios, the iteration number for the four metaheuristic algorithms was set at 200, with  $N_{PC}$  values of 100, 500, and 1000. The learning rate and weight decay for training reverse networks within the TNNA framework were set to  $1 \times 10^{-3}$  and  $1 \times 10^{-4}$ , respectively.

Figure 9 and Figure 10 illustrate the log-permeability field estimation results and error distributions for the four metaheuristic algorithms and the TNNA algorithm under the most densely observed scenario (i.e., Scenario 5). The corresponding results for Scenarios 1-4 are presented in Figure S7-S14. Figure 11 compares the RMSE values for the log-permeability fields estimated by the four metaheuristic algorithms and the TNNA algorithm across all five scenarios. These detailed RMSE values can be found in Table 2 (Scenario 5) and Table S4 (Scenarios 1-4). For Scenario 5, the accuracy of permeability estimations by each metaheuristic algorithm improves as the  $N_{PC}$  value increases (see Figure 9 and Table 2). Notably, the GA achieves the best results with an  $N_{PC}$  of 1000, recording an RMSE of 0.1057. The DE and SA algorithms yield their most accurate permeability estimations with RMSE values of 0.1597 ( $N_{PC}=100$ ) and 0.1549 ( $N_{PC}=1000$ ), respectively. The PSO method is the least effective, achieving an RMSE of 0.3334 at  $N_{PC}=1000$ . As shown in Figure 10 and Table 2, the TNNA algorithm provides inversion results with an RMSE of 0.1063 after training the reverse network for 200 epochs. This suggests that the TNNA algorithm can estimate high-dimensional permeability fields with accuracy comparable to that of the GA method ( $N_{PC}=1000$ ) with significantly fewer forward model realizations (200 compared to 200,000), reducing the computational burden by 99.9% and improving inversion efficiency by a factor of 1000. Increasing the training epochs of the reverse network to 1000 further reduces the RMSE of the TNNA method to 0.0595, demonstrating its advantages over the



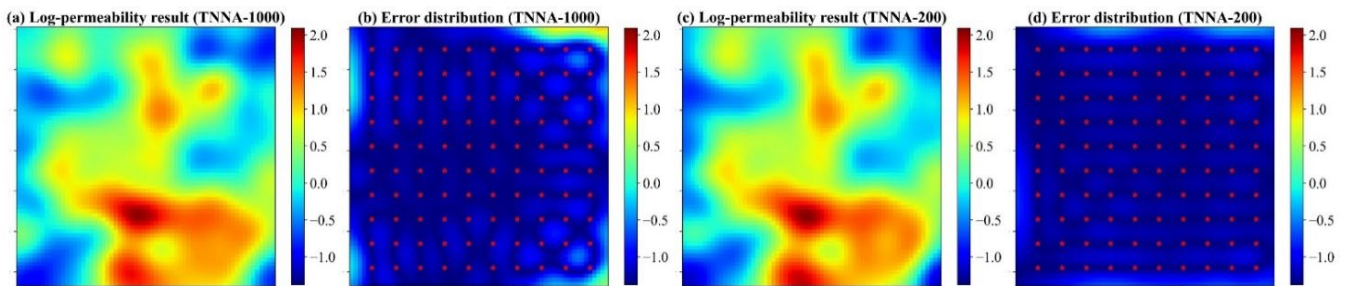


515 four metaheuristic algorithms in this scenario. Across all scenarios, the accuracy of the estimated permeability fields correlates positively with the density of observation wells, and estimation errors are generally higher in areas not covered by monitoring wells (see Figure S7-S14). Figure 11 further demonstrates that the RMSE values for permeability estimation using the TNNA algorithm are consistently lower than those of the four metaheuristic algorithms across Scenarios 1-4, indicating that the TNNA algorithm exhibits greater robustness compared to the metaheuristic algorithms in all five scenarios.



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**Figure 9.** Spatial distributions of log-permeability field estimation results (row 1, 3, and 5 for  $N_{PC}=100, 500$ , and  $1000$ , respectively) and absolute errors (row 2, 4, and 6 for  $N_{PC}=100, 500$ , and  $1000$ , respectively) for Scenario 5, achieved by four metaheuristic algorithms.



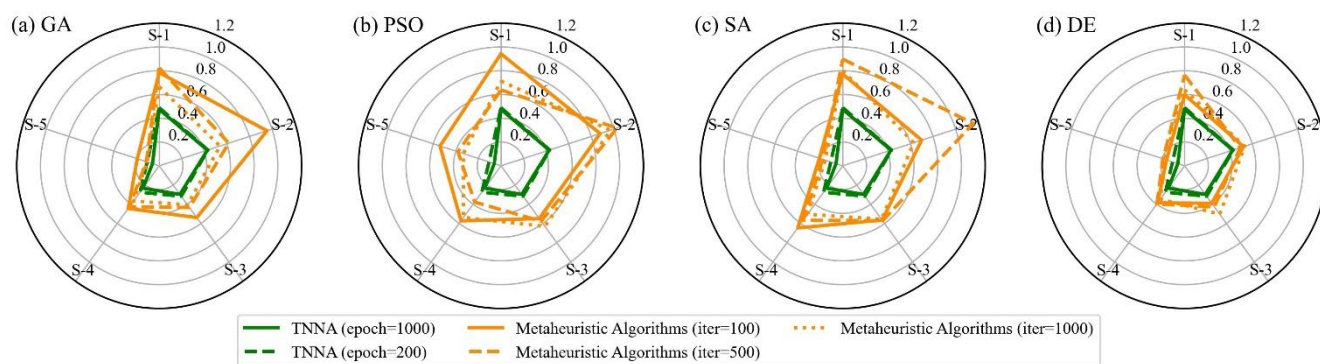
**Figure 10.** Spatial distributions log-permeability field estimation results and absolute errors for Scenario 5, achieved by the TNNA.

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**Table 2.** RMSE values of estimated log-permeability fields for the four metaheuristic algorithms and the TNNA algorithm under Scenario 5.

	Metaheuristic algorithms				TNNA	
	GA	DE	PSO	SA		
$N_{PC}=100$	0.1940	0.1597	0.5399	0.2071	epoch=200	0.1063
$N_{PC}=500$	0.1142	0.1904	0.3810	0.1781	epoch=1000	0.0595
$N_{PC}=1000$	0.1057	0.1748	0.3334	0.1549		



530 **Figure 11.** Comparison of RMSE in estimating log-permeability fields using four metaheuristic algorithms and the TNNA algorithm across five scenarios (S-1 to S-5).

To evaluate the predictive performance of the numerical model calibrated by various inversion methods, simulations of hydraulic heads and solute concentrations were conducted over 60 days, starting on the 2<sup>nd</sup> day with bi-daily recordings, using the permeability fields with the lowest RMSE values identified by each inversion method. Observation data from the 2<sup>nd</sup> day to the 40<sup>th</sup> day were used for model calibration, while additional data from the 42<sup>nd</sup> to the 60<sup>th</sup> day were employed to evaluate the future predictions of the calibrated numerical models. The RMSE values for the calibrated hydraulic heads and time series solute concentrations are presented in Table 3 and Figure 12. Figure 13 displays the spatial distribution of the calibrated numerical simulation results and errors for hydraulic heads and solute concentration simulation results at three specific times (t=4<sup>th</sup>, 20<sup>th</sup>, and 52<sup>nd</sup> days). Results for the entire 60-day period are presented in Figure S15-S44.

540 According to Figure 13(a), the calibrated simulation errors for hydraulic heads did not exceed 0.02 meters for the TNNA method and three of the four considered metaheuristic algorithms, except PSO method, which exhibited hydraulic head errors larger than 0.06 meters in certain areas. Among the four metaheuristic algorithms, the GA method achieved the lowest RMSE in hydraulic head simulations, with a value of  $7.4837 \times 10^{-4}$ . For solute concentrations, the GA algorithm consistently has the highest prediction accuracy among the metaheuristic algorithms, with RMSE values generally around 0.005 (Figure 12). The TNNA algorithm achieved a similar level of accuracy to GA in the calibrated numerical model predictions. Specifically, during the initial 10 days and from the 41<sup>st</sup> day to the 60<sup>th</sup> day, the TNNA algorithm showed slightly higher prediction accuracy than the GA-calibrated model. However, during the intermediate period from the 10<sup>th</sup> day to the 40<sup>th</sup> day, the GA-calibrated model had a slight advantage over the TNNA algorithm. The normalized absolute errors in the solute transport simulation results

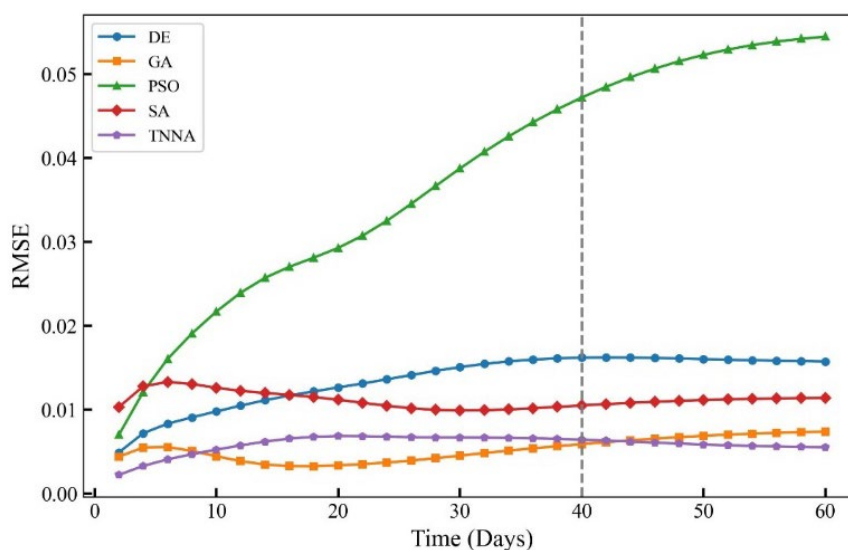




obtained using the TNNA algorithm remained consistently below 0.02 throughout the simulation period (Figure 13(b~c)).  
 550 These results indicate that in high-dimensional settings, the TNNA algorithm provides inversion outcomes that enable the calibrated model to deliver simulation results comparable to those of the best-performing metaheuristic algorithm. Overall, the TNNA method also demonstrates advantages over the four metaheuristic optimization algorithms in the designed high-dimensional scenarios, excelling in both inversion efficiency and accuracy.

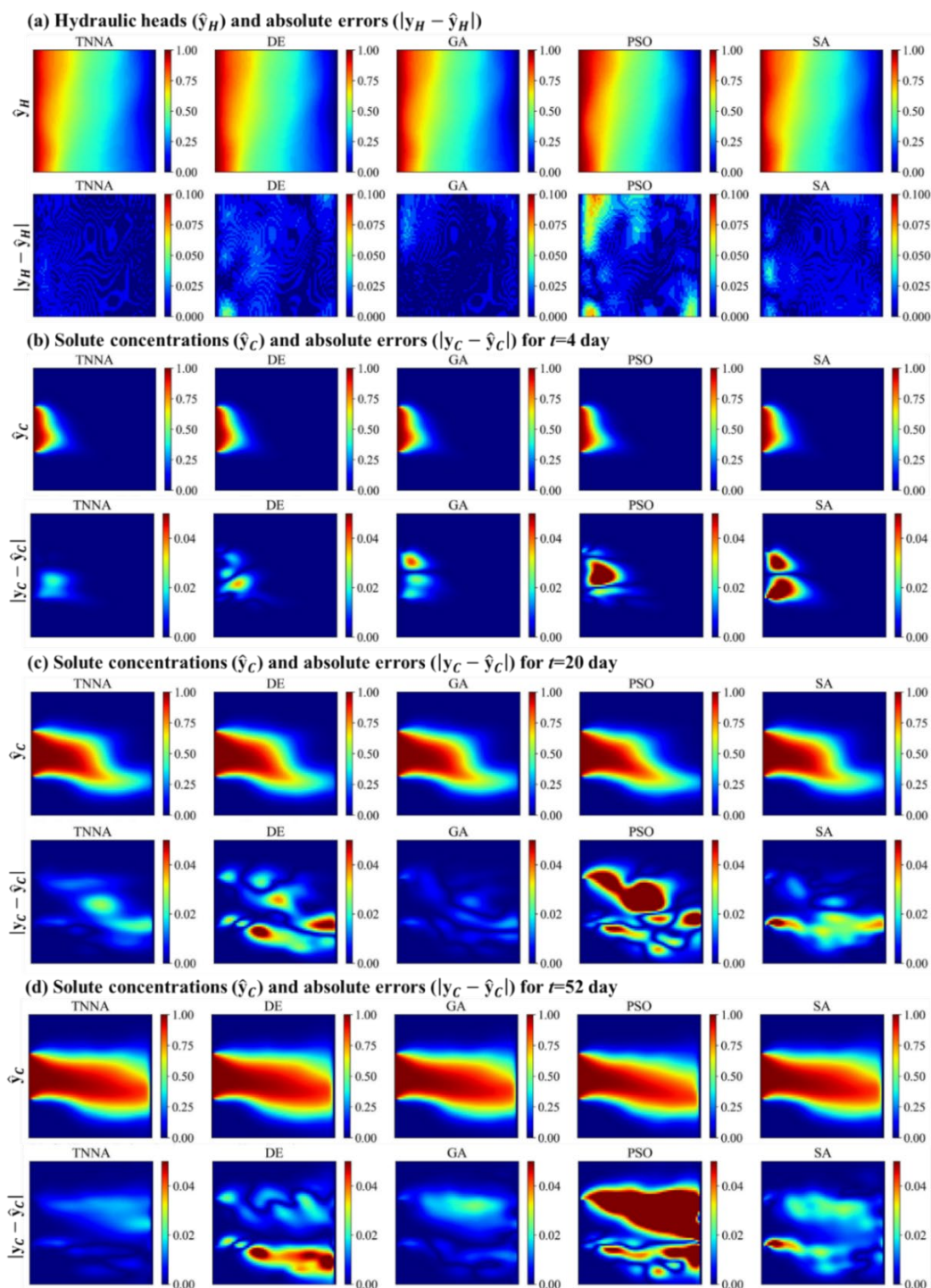
**Table 3.** RMSE values of calibrated hydraulic heads for the four metaheuristic algorithms and the TNNA algorithm.

	TNNA	DE	GA	PSO	SA
RMSE	$6.8537 \times 10^{-4}$	$1.2181 \times 10^{-3}$	$7.4837 \times 10^{-4}$	$2.1683 \times 10^{-3}$	$1.0316 \times 10^{-3}$



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**Figure 12.** RMSE values of calibrated solute concentrations over 60 days for the four metaheuristic algorithms and the TNNA algorithm.



560 Figure 13. Spatial distributions of calibrated numerical simulation results and absolute errors for hydraulic heads and solute concentrations at three dynamic times ( $t=4, 20,$  and  $50$  day) using the TNNA algorithm and four metaheuristic algorithms.



### 4.3 Insights from synthetic cases for practical research

The model conditions of the two synthetic cases in this study are primarily based on previous studies, as well as large-scale sandbox (Jose et al., 2004; J. Zhang et al., 2018; Mo et al., 2019). It is worth noting that the domain sizes for field-scale groundwater models are commonly on the kilometre scale, which is significantly larger than 10m or 20m sizes used in this study. Moreover, the hydraulic gradient of groundwater in natural alluvial aquifers is generally below 0.01, while hydraulic gradients of 0.1 and 0.05 may occur when the underlying aquitard of the aquifer has a natural slope (Chai et al., 2024). Additionally, the monitoring stations in the synthetic cases of this study are densely distributed, whereas in practical studies, the number and locations of monitoring stations are constrained by financial budgets. They are often designed based on multi-objective optimization criteria, such as maximizing information and minimizing redundancy (Keum et al., 2018; J. Chen et al., 2022; Cao et al., 2025). Therefore, a common concern that may arise is whether the results of this study can guide the selection of appropriate algorithms in practical research scenarios.

As summarized in the Introduction, the performance of an inversion algorithm depends on the degree of model nonlinearity and the complexity of parameter space. The mathematical model of this study consists of the groundwater flow continuity equation and the advection-dispersion equation. Within a fixed system mathematical model, variations in the average hydraulic gradient by an order of magnitude primarily affect flow velocity and solute transport rates, with little impact on the degree of nonlinearity in the system. Therefore, the inversion algorithms are expected to perform similarly in these real-world scenarios, provided that the system variables at field sites adhere to the same mathematical models and exhibit comparable parameter heterogeneity to that in this study. Additionally, monitoring strategies with densely distributed stations are commonly employed in the evaluation of inversion algorithms to ensure sufficient observational information, thereby reducing non-uniqueness in parameter inversion results (Bao et al., 2020; Mo et al., 2020; J. Zhang et al., 2024). Although such monitoring networks are unlikely to be used in field-scale groundwater studies, the effectiveness of the inversion results ultimately depends on the amount of effective information in the observational data. Furthermore, as optimized monitoring networks exclude redundant monitoring stations, dynamic responses from optimal monitoring stations typically exhibit higher sensitivity to model parameters, making it easier to achieve data assimilation goals for inversion algorithms. As a whole, the findings of this study are also applicable to research conducted at field scales with similar mathematical model conditions.

## 5. Summary and conclusions

Recent advancements in machine learning have significantly contributed to the development of inverse modeling. This study aims to compare the universality and advantages of the novel TNNA algorithm with four popular metaheuristic algorithms (GA, PSO, DE, and SA) across various parameter dimensions in solute transport models. Surrogate models for these inversion methods were constructed using ResNet, which achieved the highest predictive accuracy for hydraulic heads and solute concentrations among four evaluated surrogate modeling methods (MSVR, FC-DNN, LeNet, and ResNet).



The inversion results indicate that the TNNA algorithm outperforms the four conventional metaheuristic algorithms in both high-dimensional and low-dimensional scenarios, providing more accurate results while significantly reduces the computational burden. Moreover, it has been verified that the TNNA algorithm consistently delivers reliable inversion results with just a single forward simulation per iteration in scenarios featuring various complex and uncertain model parameters. This characteristic offers a practical approach to balancing exploration and exploitation with a reduced computational burden, contrasting with conventional metaheuristic algorithms that require increasing forward simulations as the inversion problem grows more complex.

This study demonstrates that achievements in machine learning can significantly enhance inversion results compared to conventional methods. Given that nonlinearity and ill-posedness are two common challenges in inversion problems across various disciplines, establishing constraints on nonlinear relationships and applying appropriate machine learning techniques can be treated as vital approaches for future research. Meanwhile, the equifinality induced by ill-posedness can be attenuated through monitoring network optimizations. The model processes in this study are clearly defined, but in real-world scenarios, model response measurements often involve significant uncertainty, especially in groundwater systems with multi-component reactive transport. In such scenarios, it is crucial to consider the inversion of model parameters and integrate the identification of key processes within the groundwater system models. These optimization challenges may also benefit from the capabilities of machine learning, since they often involve uncertain quantifications. Furthermore, it is essential to continuously follow the latest developments of machine learning and consider integrating more advanced DNN models to address increasingly complex groundwater system inversion problems. For example, the emergence of large language models offers opportunities for complex system modeling and inversion studies across various scientific and engineering disciplines (Birhane et al., 2023; Buehler, 2023). The latent capacity of large language models has yet to be fully explored. Significant achievements have primarily focus on protein designs (Jumper et al., 2021; Ferruz et al., 2022; Lin et al., 2023), drug development (Peng et al., 2023; Duffy et al., 2024), and molecular discovery (Flam-Shepherd et al., 2022; J. Li et al., 2023). Developing groundwater system inversion frameworks based on large language models is of great significance for the advancement of hydrology and earth science (Deng et al., 2023; Foroumandi et al., 2023).

### Competing interests

The contact author has declared that none of the authors has any competing interests.

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## Data Availability Statement

The data and codes for four surrogate models and five optimization algorithms are available on:  
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