



1 **Enhanced hydrological modelling with the WRF-Hydro lake/reservoir** 2 **module at Convection-Permitting scale: a case study of the Tana River** 3 **basin in East Africa**

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15 **Abstract.** East Africa frequently faces extreme weather events like droughts and floods, underscoring the need for improved
16 hydrological simulations to enhance prediction and mitigate losses. One of the main challenges in achieving this is low-quality of
17 precipitation data and limitations in modelling skills. Due to drought sensitivity, flood proneness and data availability, the upper
18 and middle stream of the Tana River basin was used as a case to address some of the challenge. We performed convection-
19 permitting (CP) simulations using the Weather Research and Forecasting (WRF) model, and utilizing the CPWRF output as a
20 driver we conducted WRF Hydrological modelling (WRF-Hydro) integrated with the lake/reservoir module. The CPWRF
21 precipitation outperforms the ERA5 using IMERG as the benchmark, particularly for the precipitation amount over mountainous
22 regions and light precipitation events (1-15 mm day⁻¹) in the dry seasons. The improved precipitation especially alleviates the peak
23 false, when comparing the well-calibrated lake-integrated model driven by CRWRF output (LakeCal) to that by ERA5, with an
24 NSE increase of 0.53. Additionally, the lake/reservoir module effectively mitigates the model-data bias, especially for dry-season
25 flow and peak flow, when comparing the lake-integrated model (LakeCal) to the model without the lake (LakeNan), with an NSE
26 increase of 1.67. The lake module makes river discharge more sensitive to spin-up time and affects discharge through lake-related
27 parameters. Adjustments to the lake-integrated model's runoff infiltration rate, Manning's roughness coefficient, and the
28 groundwater component have minimal impact on the dry-season flows. Dividing by the total NSE increase, hydrological modelling
29 improvement is 24 % and 76 % from CPWRF simulation and lake module, respectively. Our findings highlight the enhanced
30 hydrological modelling capability with the lake/reservoir module and CPWRF simulations, offering a valuable tool for flood and
31 drought predictability in data-scarce regions such as East Africa.

32 **1. Introduction**

33 The credibility of hydrological simulations in data-scarce regions is challenged by low-quality of precipitation data (regarding
34 incomplete and unreliability, and poor in-suit coverage), and limitations of hydrological modelling given the underlay's
35 complexities. To make well-informed decisions with respect to flood/drought adaptation and loss mitigation, elected officials,



36 planners, and the public require relatively reliable information on flood and drought forecasts, which rely on skilled hydrological
37 simulations. This issue could be particularly acute in drought/flood-prone and vulnerable areas such as East Africa. The economy
38 and population in East Africa mainly depend on rain-fed agriculture and pastoralism, which suffers from frequent droughts and
39 floods (Taye and Dyer, 2024). For example, the drought of 2022 triggered an exceptional food security crisis in Ethiopia, Somalia,
40 and Kenya, pushing more than 20 million people into extreme hunger (NASA, 2022). Similarly, the flood in 2023 here killed more
41 than 100 people and displaced over 700,000 (NASA, 2024). The highlighted risk in East Africa urges effective hydrological
42 simulation for better hydrological extreme forecasts, thus supporting effective water resource planning and management, and
43 aiding informed decision-making and loss mitigation for officials, planners, and the public.

44

45 Obtaining even the present-day precipitation, especially in mountainous regions, is challenging due to poor in-situ coverage, and
46 incomplete or unreliable records. Such data scarcity even complicates the evaluation of model output (Li et al., 2017). This issue
47 is only further exacerbated as one decreases grid-spacing to km scales. Gridded precipitation productions tried to be an alternative,
48 involving merged data [such as Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS) (Funk et al., 2015)],
49 reanalysis data [i.e. ERA-Interim (Dee et al., 2011)], and satellite-based data [i.e. Tropical Rainfall Measuring Mission (Adjei et
50 al., 2015) and Integrated Multi-satellite Retrievals for GPM (IMERG) (Dezfuli et al., 2017)]. However, they present uncertainties,
51 such as false detection of precipitation events and bias of precipitation amount (Bitew and Gebremichael, 2011; Ma et al., 2018;
52 Dezfuli et al., 2017) limiting their suitability in the hydrometeorological application. The uncertainty is particular in mountainous
53 regions (Li et al., 2018; Maranan et al., 2020; Zandler et al., 2019). Also, precipitation from coarse-resolution Global Climate
54 Models shows limitations (Monsieurs et al., 2018; Kad et al. 2023), due to the model configuration, such as resolution and
55 parameterization, which are crucial for a more realistic representation of processes (Kad et al., 2023a; Tao et al., 2020).

56

57 High-resolution dynamical simulation is a promising tool with which one can generate precipitation with realistic regional detail,
58 due to the capability of capturing realistic regional details, such as topography and local processes that influence orographic effects
59 (Kad and Ha, 2023; Tao et al., 2020). In Kerandi's research (2017), WRF with a refined resolution of 25 km, better captured annual
60 and interannual variability and spatial distribution of precipitation in the Tana River basin, than the coarse resolution of 50 km.
61 Indeed, at relatively coarse resolution (such as >20 km resolution), RCMs generally fail to adequately represent precipitation and
62 exhibit uncertainties when compared to reanalysis, rain gauges, and satellite observations (Biskop et al., 2012; Ji and Kang, 2013).
63 A refined horizontal resolution has the potential to significantly improve precipitation simulation over Equatorial East Africa (Pohl
64 et al. 2011).

65

66 Convection-permitting regional climate models (CPRCMs, typically with < 5 km resolution) provide an explicit representation of
67 convection and thus allow to capture precipitation extremes at the local scale, in comparison to coarse resolution (Kendon et al.,
68 2021; Schwartz, 2014; Weusthoff et al., 2010). The added value from CPRCMs relative to the parametrized regional climate
69 models, involves improved representations of the intensity distribution (Senior, 2021; Berthou et al., 2019), diurnal cycle (Stratton
70 et al., 2018) and storm size and duration (Crook et al., 2019). It is noteworthy that CPRCMs better capture surface heterogeneities
71 and give more realistic climate simulations over mountains (Kawase et al., 2013; Rasmussen et al., 2014). Additionally, CPRCMs
72 exhibit increased performance over Africa (Senior, 2021), in presenting rainy events, diurnal cycle and peak time for the Lake
73 Victoria Basin of East Africa (Lipzi et al. 2023), and sub-daily rainfall intensity distribution (especially those related to the
74 convective rainfall) in the tropics (Folwell et al. 2022). Therefore, CPRCM could be applied to generate more realistic precipitation
75 with more regional details in East Africa.



76
77 Offline atmosphere-hydrological modelling is a commonly used approach for flood and drought simulation or prediction. Ideally,
78 regional climate model (RCM) output data was directly used in hydrological applications. However, this can cause issues of
79 physical inconsistency (Chen et al., 2011; Teutschbein and Seibert, 2012). A better approach would be to couple atmospheric and
80 hydrological modelling systems to ensure physical consistency. A coupling of the Weather Research and Forecasting Model (WRF)
81 and the WRF hydrological modelling system (WRF-Hydro; Gochis et al., 2018) shows advantages in hydrology simulations and
82 hydrological extremes forecasting globally (e.g., Kerandi et al., 2018; Li et al., 2017), involving urban flood prediction over the
83 Dallas-Fort Worth area of North America (Nearing et al. 024) and drought estimation in South Korea (Alavoine and Grenier 2023).
84 In Africa, WRF-Hydro has also proven useful in discharge simulations in the Ouémé River of West Africa (Quenum et al. 2022)
85 and the Tana River basin (Kerandi et al. 2018). Kerandi's study showed minimal differences in precipitation between the stand-
86 alone and fully coupled, suggesting a limited impact of precipitation recycling and land-atmosphere feedback on soil moisture and
87 discharge in Tana River basin. This could be seen from other regions, such as Crati River Basin in Southern Italy by Senatore et
88 al. (2015) and United Arab Emirates by Wehbe et al. (2019).

89
90 Even though WRF-Hydro shows potential, its use over East Africa needs to be refined through the implementation of more
91 comprehensive hydrological processes. Many reservoirs have been built in East Africa (Palmieri et al., 2003), which can change
92 magnitude and timing of natural streamflow, usually attenuating and delaying flows in the rain season, and also releasing water in
93 dry periods (Zajac et al., 2017; Hanasaki et al., 2006). Incorporating lakes/reservoir processes in hydrological simulation is required
94 for a reliable model when applied in the region with lakes (Hanasaki et al., 2006; Lehner et al., 2011). However, only a few
95 hydrological simulations over East Africa are related to lakes (Oludhe et al., 2013; Naabil et al., 2017; Siderius et al., 2018). The
96 study on the impact of reservoirs over East Africa was even fewer, let alone the hydrological modelling with meteorological-
97 hydrological links. Naabil (2017) used WRF-Hydro with the dam-water-balance model for dam-level simulation and water
98 resource assessment in Tono dam basin. However, in this research, the reservoir module was not included in the WRF-Hydro
99 system, preventing accurate capture of dam impact on discharge and other hydrological variables. Therefore, hydrological
100 modelling coupled with its lake/reservoir module is required over East Africa for reliable flood and drought simulations. While the
101 WRF-Hydro system, with its lake/reservoir module, shows promise for simulating water balance affected by reservoirs (Maingi
102 and Marsh, 2002), its use in East Africa, especially in large river basins like the Tana River, remains limited.

103
104 The Tana River basin in East Africa is ideal for enhanced hydrological modelling due to its proneness and vulnerability to droughts
105 and floods, as well as the data available. The observational discharge records provide a benchmark for simulations despite some
106 uncertainties. The basin supports vital ecosystem services for Kenya, including drinking water supply, hydro-electric power,
107 agriculture and biodiversity, and is home to eight million people (Lange et al., 2015). However, the region faces increasing risks
108 of drought and flood, which are likely exacerbated by climate change. Droughts occur approximately every five years, causing
109 water shortages for drinking water, irrigation, and fishing (Bonekamp et al., 2018). The flood in 2018, overflowed the bank,
110 damaged crops, homes, and infrastructure, and subsequently displaced thousands of people, contributing to outbreaks of
111 waterborne diseases (such as cholera) (Kiptum et al., 2024). So, robust hydrological modelling in the Tana River basin is essential
112 for accurate predictions of extreme events and risk assessment. Using this basin as a case, the present study aims to address some
113 of the issue related to flood/drought risk mitigations, through a convection-permitting regional climate (CPCRM) simulation using
114 WRF model and a more comprehensive hydrological model using lake-integrated WRF-Hydro system. We target the following
115 sub-objectives: (1) to improve climate output (particularly focusing on precipitation) by CPCRM simulation and using the



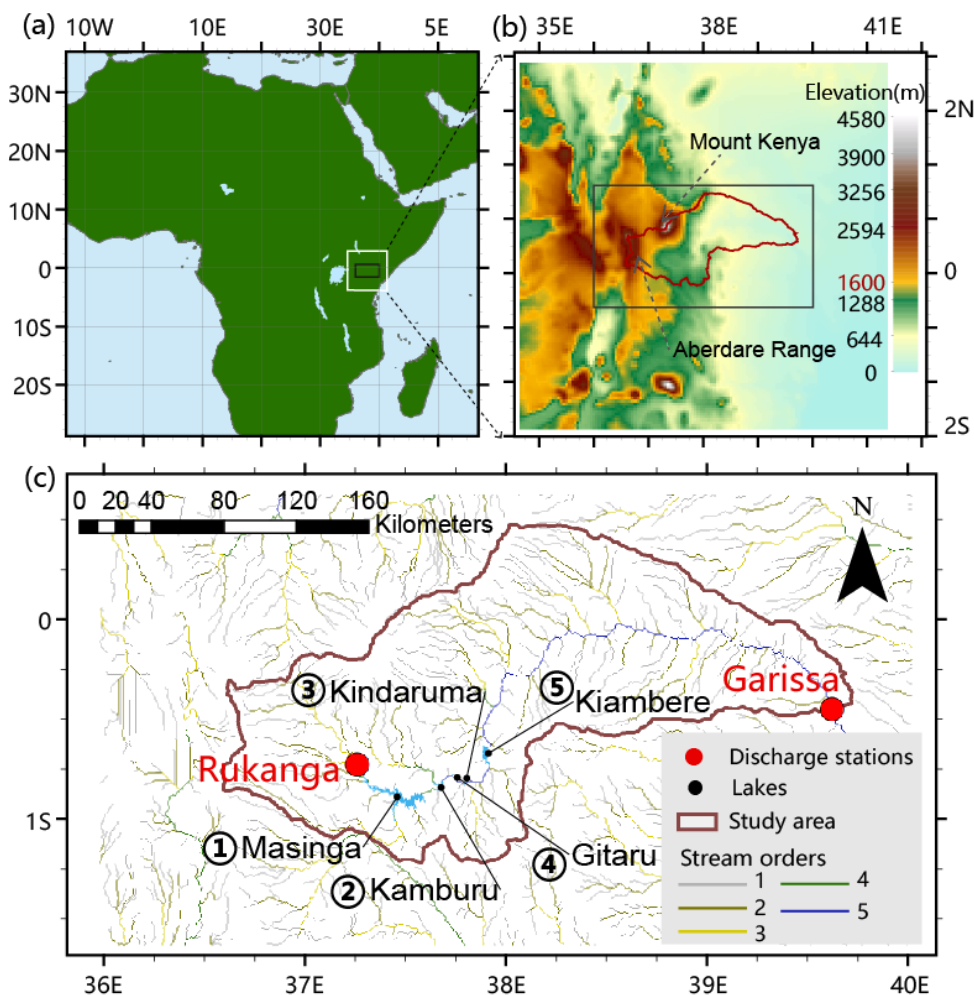
116 enhanced precipitation to advance the hydrological simulation; (2) to explore the potential of lake/reservoir module to improve the
117 hydrological modelling; (3) to build an enhanced WRF-Hydro system and investigate the contributions of the two components to
118 hydrological simulations. The research is to improve hydrological models for better water resource management and risk mitigation,
119 supporting sustainable practices in regions vulnerable to water-related damages.

120 **2. Study area and data**

121 Located in the tropics, the Tana River Basin exhibits dual peaks of precipitation over time due to the biannual migration of the
122 Intertropical Convergence Zone (ITCZ). The spatial pattern of the precipitation is profoundly modulated by the basin's varied
123 topography and atmospheric deep convection (Kad et al., 2023; Johnston et al., 2018), resulting in a gradient of arid to semi-humid
124 conditions from the lowlands to the highlands and coastal areas (Knoop et al., 2012). The precipitation is also influenced by El
125 Niño/Southern Oscillation (Otieno and Anyah, 2013; Anyah and Semazzi, 2006), IOD (Williams and Funk, 2011), and rising
126 atmospheric CO₂ (Kad et al., 2023).

127

128 For data availability, our study focuses on the upper and middle sections of the Tana River Basin (TRB), covering an area of 32,865
129 km² upstream of Garissa city (S 1.25°~N 0.50°, E 36.50°-E 39.75°). This region includes famous mountain ranges such as the
130 Mount Kenya massif and the Aberdare Range, alongside plain surfaces (Fig. 1 b). The region is characterized by a complex
131 interplay between mountainous terrain and flat surface, with elevation ranging from 34 meters to excess of 4800 (Fig. 1 a). We
132 classified the terrain into mountainous regions above 1,600 meters and plains below 1,600 meters. There are five reservoirs in the
133 basin and along the Tana River (Table 1, Fig. 1 c). It is worth noting that the Garissa station is downstream Rukanga and the lakes
134 between them are Masinga, Kamburu, Gitaru, Kindaruma, and Kiambere from the upstream to downstream. While the lakes don't
135 affect the streamflow at Rukanga, they do impact the discharge at Garissa.



136
 137 **Figure 1.** Study basin location in East Africa. (a) The WRF domain with a resolution of 5 km (shown with the white frame) and the
 138 location of the inner region (a black frame) used as the domain of WRF-Hydro simulation (b) A zoomed view of the inner area showing
 139 topography, two major mountains, and the basin boundaries. (c) Drainage map of the upper and middle stream of the Tana River Basin,
 140 including the discharge stations, lake/reservoir water level stations and the stream orders for hydrological modelling in the WRF-Hydro
 141 model system.

142 **Table 1.** Lakes/Reservoirs in upper and middle Tana River basin (TRB).

Name	Water level (max/min; unit: m)	Water depth (m)	Area (km ²)	Operating date
KAMBURU	1007/996	1007	11.7	1974
KINDARUMA	781/775	7811	2.1	1981
MASINGA	1058/1035	1058	111.6	1981
GITARU	925/917	9255	2.7	1978
KIAMBERE	702/681	702	23.2	1981

143
 144 Here, we used a global satellite product of GPM_3IMERGDF (GPM IMERG precipitation version 6 at daily temporal resolution
 145 and 0.1° x 0.1° spatial resolution) (Huffman et al., 2020) for WRF precipitation evaluation, downloaded from the NASA website
 146 (<https://gpm.nasa.gov/data-access/downloads/gpm>, accessed on 28 Apr 2023). These climate data cover the period 2010-2014.



147 Discharge observations during 2011-2014 at two stations in TRB (Garissa and Rukanga), obtained from the Water Resources
148 Authority of Kenya (WRA), are used for WRF-Hydro model discharge sensitivity analysis and calibration (Fig. 1).

149 3. Methodology

150 3.1. WRF domain design for convection-permitting WRF modelling

151 To obtain convection-permitting modelling precipitation, we used the Advanced Research WRF (WRF-ARW) model of version
152 4.4 (Skamarock et al., 2019) with the designed domain of 5 km spatial resolution (Fig. 1). The lateral boundaries were forced with
153 the 6-hourly ERA5 reanalysis with a spatial resolution of 0.25 degrees (Hersbach et al., 2020). The model was set with 50 vertical
154 levels up to 10hPa and running from 1 January 2010 to 1 January 2015 with the first year of spin-up.

155

156 The Grell-Freitas Ensemble Scheme (Grell and Freitas, 2014) was used for the cumulus scheme (which is only for the outer domain,
157 while the convection parameterization was turned off for the inner domain), the Mellor-Yamada Nakanishi Niino Level 2.5
158 (MYNN2.5) Scheme (Nakanishi and Niino, 2006) for the planetary boundary layer, the RRTM scheme for longwave radiation
159 (Mlawer et al., 1997) and the Dudhia Shortwave scheme for shortwave radiation (Jimmy Dudhia, 1989). The Noah-MP Land Surface
160 model ('Noah-MP LSM', Yang et al., 2011) was used for land surface scheme.

161 3.2. Sensitivity analysis and calibration strategy for WRF-Hydro modelling

162 3.2.1. WRF-Hydro modelling system and preliminary calibration

163 For hydrological modelling, WRF-Hydro system (Gochis et al., 2018) of Version 5.3. was employed in an offline mode, using the
164 CPWRF atmospheric simulations within a domain at 5 km resolution with 90×50 pixels over the TRB as the driver (Fig. 1). The
165 sub-grid routing processes were executed at a 500 m grid spacing and surface physiographic files were generated by ArcGIS 10.6
166 (Sampson and Gochis, 2015). The physiographic files included high-resolution terrain grids that specified the topography, channel
167 grids, flow direction, stream order (for channel routing), a groundwater basin mask and the position of stream gauging stations
168 (Fig. 1c). the first five stream orders are shown in Fig. 1c. We activated the saturated subsurface overflow routing, surface overland
169 flow routing, channel routing and base-flow modules. The overland flow routing and channel routing were calculated by a 2-D
170 diffusive wave formulation (Julien et al., 1995) and a 1-D variable time-stepping diffusive wave formulation, respectively.

171

172 The model involves the five lake/reservoirs using a level-pool lake/reservoir module which calculates both orifice and weir outflow.
173 Fluxes into a lake/reservoir object occur when the channel network intersects a lake/reservoir object. The level-pool scheme tracks
174 water elevation over time, and water out of the lake/reservoir exits either through weir overflow
175 ($Outflow_w$) or orifice-controlled flow ($Outflow_o$) following Eq. (1) and (2).

$$176 \quad Outflow_w = \begin{cases} C_w L h^{3/2}, & h > h_{max} \\ 0, & h \leq h_{max} \end{cases} \quad (1)$$

177 where h is the water elevation (m), h_{max} is the maximum height before the weir begins to spill (m), C_w is the weir coefficient, and
178 L is the length of the weir (m).

$$179 \quad Outflow_o = C_o S_o \sqrt{2gh} \quad (2)$$

180 where C_o is the orifice coefficient, S_o is the orifice area (m²), and g is the acceleration of gravity (m s⁻²).



181

182 For the sensitivity analysis and model optimization, we initially calibrated the WRF-Hydro system without the lake/reservoir
 183 module. Two key hydrological parameters, REFKDT and MannN, were tuned using the auto-calibration Parameter Estimation
 184 Tool (PEST, <http://www.pesthomepage.org>). The optimization is performed by maximizing the discharge simulation accuracy,
 185 indicated by Nash-Sutcliffe Efficiency (NSE) coefficient (Nash and Sutcliffe, 1970) of the Garissa discharge. The primarily
 186 calibrated model was mentioned as LakeNan in the following.

187 3.2.2. Experiments designed for sensitivity analysis in WRF-Hydro system modelling with lake/reservoir module

188 To optimize WRF-Hydro modelling over TRB, we facilitated a comprehensive sensitivity analysis, involving spin-up time,
 189 hydrological parameters, groundwater components, and lake-related parameters. Groundwater component tuning focuses on the
 190 parameter GWBASEWCTRT (an option for groundwater mode). Hydrological parameters include Manning roughness parameter
 191 (MannN) and runoff infiltration coefficients (REFKDT). Lake-related parameters cover the elevation of maximum lake/reservoir
 192 height (LkMxE, unit: m), weir elevation (WeirE, unit: m), weir coefficient (WeirC, ranging from zero to one), weir length
 193 (WeirL, unit: m), orifice area (OrificeA, unit: m²), orifice coefficient (OrificeC, ranging from zero to one), orifice elevation
 194 (OrificeE, unit: m), and lake/reservoir module area (LkArea, unit: m²).

195

196 For sensitivity analysis of the specific parameter, we conducted a set of experiments. In each experiment, only the focused
 197 parameter was changed while others were maintained at their default (Table 2). The defaults of lake-related parameters were
 198 obtained from WRF-Hydro GIS pre-processing toolkit (Gochis et al., 2018), while the others were obtained from the preliminary
 199 calibrated WRF-Hydro without lake/reservoir module (LakeNan, Sect. 3.2.1).

200 **Table 2. The default values for sensitivity experiments.**

Group	Parameters	The default value
Others	Spin-up time	restart with a 10-year spin-up time using the initial file from a 10-year simulation covering January 2005 to December 2014.
Hydrological parameters	REFKDT	5
	MannN	(0.55,0.35,0.15,0.1,0.07, 0.05, 0.04, 0.03, 0.02, 0.01) for the ten stream orders
Groundwater	GWBASEWCTRT	“GWBASESWCRT_Sink” for sensitivity tests of spin-up and hydrological parameters; “GWBASESWCRT_Passthrough” for sensitivity tests of lake-related parameters, and the subsequent calibration.
	LkMxE	-9,957,781,074,917,690
Lake-related parameters	WeirE	(990.5,775.9,1067.9,915.3,689.1)
	WeirC	(0.4,0.4,0.4,0.4,0.4)
	WeirL	(10,10,10,10,10)
	OrificeA	(1,1,1,1,1)
	OrificeC	(0.1,0.1,0.1,0.1,0.1)
	OrificeE	(965,764,1033.3,905.7,644.3)
	LkArea	(11.7,2.1,111.6,2.7,23.2)

201 REFKDT and MannN default values are from the preliminary calibration for LakeNan model. The MannN value is different for each stream
 202 order from 1 to 10. (Value1, Value2, Value3, Value4, Value5) indicate value for the five reservoirs (KAMBURU, KINDARUMA, MASINGA,
 203 GITARU, KIAMBERE), obtained from WRF-Hydro GIS pre-processing toolkit. Two options for the groundwater component were involved in
 204 the experiments. Groundwater component with “GWBASESWCRT_Sink” option creates a sink at the bottom of the soil column and water
 205 draining from the bottom of the soil column leaves the system into the sink, while that with “GWBASESWCRT_Passthrough” bypasses the
 206 bucket model and dumps all flow from the bottom of the soil column directly into the channel.

207 Sensitivity to spin-up time



208 To obtain a stable hydrological simulation, a spin-up time is required. Insufficient spin-up for initialization introduces unnecessary
209 uncertainty into hydrological simulations, which may affect the subsequent sensitivity analysis and hydrological modelling
210 assessments. Previous studies have shown that spin-up time affects initial conditions such as the soil moisture content, surface
211 water, lake/reservoir module water level, and groundwater, which subsequently influences the fidelity of model simulations (Ajami
212 et al., 2014a; Ajami et al., 2014b; Bonekamp et al., 2018; Seck et al., 2015). For example, groundwater simulation even needs more
213 than 10 years-spin-up to get stable (Ajami et al., 2014a). Since the shortest spin-up time likely depends on the quality of the model
214 input (especially soil data) and likely on local conditions, the impact of the spin-up time needs to be assessed on per-case basis.
215 Therefore, we first investigated the spin-up time sensitivity to get the shortest time for stable modelling and computable saving.

216

217 In our study, we conduct experiments of 17 different spin-up times (Table 3) to investigate their impacts on peak flow, average
218 discharge, and water levels of reservoirs in TRB, respectively for WRF-Hydro systems with (LakeRaw) and without lake/reservoir
219 module (LakeNan). To analyze the sensitivity of peak flow, we designated the starting point of the simulation as the observed
220 peak-flow day (26 November 2011), with spin-up times ranging from 1 day to 12 years. In the spin-up experiments, the restart date
221 precedes January 1th 2010 which is absent in WRF drivers, so we employ data in 2010 substituting the driving climate for each
222 preceding year (i.e. 2000, 2001, ..., 2009). In all experiments of LakeRaw, the parameters are set as the default shown in Table 2.

223 **Table 3. Overview of 17 spin-up time experiments**

Experiment name	Restart date	Spin-up time
1 spin-up	25 November 2011	1 day
3 mon spin-up	26 November 2011	3 months
6 mon spin-up	26 May 2011	6 months
9 mon spin-up	26 February 2011	9 months
1 year spin-up	26 December 2010	1 year
15 mon spin-up	26 August 2010	15 months
18 mon spin-up	26 May 2010	18 months
21 mon spin-up	26 February 2010	21 months
3 year spin-up	1 January 2009	3 years
4 year spin-up	1 January 2008	4 years
5 year spin-up	1 January 2007	5 years
6 year spin-up	1 January 2006	6 years
7 year spin-up	1 January 2005	7 years
8 year spin-up	1 January 2004	8 years
9 year spin-up	1 January 2003	9 years
10 year spin-up	1 January 2002	10 years
11 year spin-up	1 January 2001	11 years
12 year spin-up	1 January 2000	12 years

224

225 The initialization time for one model to reach equilibrium was calculated as the time required for the temporal changes in the
226 model output variable to decrease to a specific threshold value (Cosgrove et al., 2003). In our study, this threshold value was set
227 as half the standard deviation of hydrological variables from the last experiments (i.e. 9, 10, 11, and 12-year spin-up experiments).
228 The temporal changes were measured as the difference of a hydrological variable between the two adjacent experiments.

229 Sensitivity to hydrological parameters

230 MannN and REFKDT have been demonstrated to significantly influence the simulated river discharge (Ryu et al., 2017; Yucel et
231 al., 2015). Therefore, REFKDT and MannN for the first five stream orders were chosen for the sensitivity test, separately. For each



232 of the tests, the parameter values range from minimum to maximum, creating ten values with nearly equal intervals and generating
233 ten experiments (Table 4). Among them, MannN should be larger than 0, so the minimum scaling was 0.1, instead of 0.

234 **Table 4. Sensitivity analysis (SA) experiments designed for the two key hydrological parameters REFKDT.**

Experiments for REFKDT SA	Value
REFKDT_1	0.02*default
REFKDT_2	0.13*default
REFKDT_3	0.24*default
REFKDT_4	0.35*default
REFKDT_5	0.46*default
REFKDT_6	0.56*default
REFKDT_7	0.67*default
REFKDT_8	0.78*default
REFKDT_9	0.89*default
REFKDT_10	1*default

235 Note: The default is obtained from the WRF-Hydro GIS pre-processing toolkit. * indicates multiplication.

236 **Table 5. Sensitivity analysis (SA) experiments designed for the two key hydrological parameters MannN of the first five stream orders.**

Experiments for MannN SA	Value
MannN_1	0.1*default
MannN_2	0.44*default
MannN_3	0.89*default
MannN_4	1.33*default
MannN_5	1.78*default
MannN_6	2.22*default
MannN_7	2.67*default
MannN_8	3.11*default
MannN_9	3.56*default
MannN_10	4.00*default

237 Note: The default is obtained from the WRF-Hydro GIS pre-processing toolkit. * indicates multiplication.

238 Sensitivity to groundwater component

239 We investigate the sensitivity of groundwater components by tuning GWBASWCRT, with two options in two experiments.
240 Groundwater component with “GWBASESWCRT_Sink” option creates a sink at the bottom of the soil column and water draining
241 from the bottom of the soil column leaves the system into the sink, while that with “GWBASESWCRT_Passthrough” bypasses
242 the bucket model and dumps all flow from the bottom of the soil column directly into the channel. It's important to note that with
243 the option “GWBASESWCRT_Sink”, water draining from the bottom of the soil column will not achieve water balance closure.

244 Sensitivity test of lake/reservoir parameters

245 Morris (Morris, 1991) was employed to analyze the sensitivity order of the seven lake-related parameters, due to its low
246 computational cost and ease of interpretation (Wei, 2013), which is widely used as a global sensitivity analysis method in
247 hydrological models, particularly in computationally expensive models (Song et al., 2013; Wei, 2013). In the study, the sensitivity
248 analysis was simultaneously performed on the five lakes to reduce computational cost. In the Morris experiment, the eight main
249 lake-related parameters of the five lakes were normalized to a range of 0-1, by subtracting the minimum value and dividing by the
250 maximum minus the minimum (Table 5). Based on the eight normalized values with a lower value of zero and an upper of one,
251 we generated all samples for Morris screening, where the number of replications R, level p and sample size N were set as 10 and



252 4, and 90 (i.e. 90 parameter sets for 90 runs), respectively. For each sample (corresponding to a WRF-Hydro simulation), the eight
253 parameters for each lake/reservoir were obtained by inverse-normalization. The other parameters were kept as default. Parameter
254 sensitivity was evaluated by analyzing the influence of parameter change on varying degrees of model output, which was measured
255 by the order of importance (Francos et al., 2003).

256 **Table 6. Sensitivity analysis experiments designed for the 8 lake/reservoir-related parameters.**

Parameters	Value_min	Value_max
OrificeC	0.01*default	10*default
WeirL	0.01*default	1.2*default
WeirC	0.001*default	0.25*default
OrificeA	0.001*default	1000*default
Dam_Length	0.001*default	20*default
LxMxE	Wlmax-Wd*0.5	Wlmax+Wd*0.5
WeirE	OrificeE_default	Wlmax+Wd*0.5
OrificeE	Wlmin*0.5	Wlmin

257 Note: Wlmax, Wlmin, Wd, and OrificeE_default indicate the max water level, min water level, water depth, and OrificeE default value,
258 respectively. The default is obtained from WRF-Hydro GIS pre-processing toolkit.

259 We also compared the sensitivity among the five lakes to simulated discharge. To conserve computing resources, the test was
260 conducted based on the simulations from the calibration. For each lake test, there is a set of more than 30 simulations. Each of the
261 sets involves the seven parameters (LkMxE, WeirE, OrificeE, WeirC, WeirL, OrificeC, and Damlength). In all the sets, the values
262 of seven parameters synchronously change linearly from the minimum to the maximum shown in Table 6.

263

264 In the parameter setting, we make some rules to constrain the three parameters (LkMxE, WeirE, and OrificeE), to make the
265 simulation result reasonable: (1) LkMxE should be larger than WeirE and OrificeE; (2) OrificeE was suggested to be smaller than
266 WeirE. To satisfy these constraints, the OrificeE is set to be below the minimum water level, WeirE ranges from the OrificeE
267 default value to the maximum water level plus half water depth, and LkMxE changes from the maximum water level minus half
268 depth to maximum water level plus half depth (Table 1). Besides, OrificeC and WeirC should be kept between zero and 1 which
269 should be a constraint. The setting of maximum and minimum values, and experiment count are flexible, provided they make sense
270 and the simulation result is reasonable.

271 3.2.3. Final calibration for WRF-Hydro system modelling with lake/reservoir module

272 Based on the sensitivity analysis, we developed a comprehensive calibration strategy for the WRF-Hydro system incorporating the
273 lake/reservoir module. Based on the preliminary calibration (Sect. 3.2.1), we re-tuned the lake-related parameter sets for the five
274 lakes. Each lake was calibrated sequentially from upstream to downstream, with its parameter set undergoing more than 30
275 experimental iterations. Once the upstream lake/reservoir was calibrated, its parameters were fixed as the optimized, and we
276 proceeded to calibrate the parameters for the next downstream lake. Subsequently, we focused on re-tuning REFKDT and MannN,
277 each subjected to 30 experimental iterations. The parameter sets for each experimental iteration were generated according to Sect.
278 3.2.2. Throughout the step, we get a well-calibrated WRF-Hydro model (LakeCal) with the optimal parameter set of the best NSE,
279 calculated over Garrissa discharge from January 2011 to December 2014 against the observation.

280 3.3. Peak flow, dry-season flow and rain-season flow

281 To measure modelling performance, we obtained the flow from the long rain season of March-May (MAM) and short rain season
282 of October-December (OND), and the dry season of January-February (JF) and June-September (JJAS), as well as the peak flow.

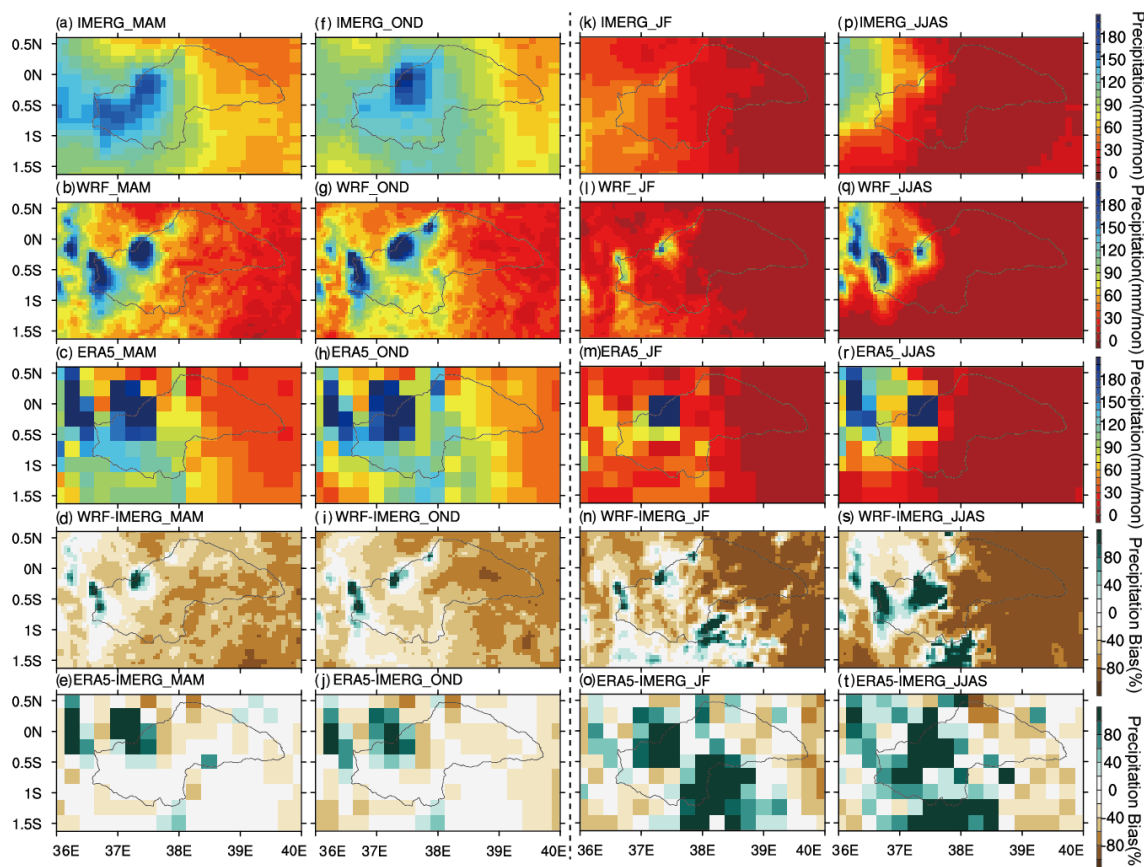


283 The max of the daily discharge over 2010-2014 at Garissa station occurred on 26 November 2011 ($844 \text{ m}^3 \text{ s}^{-1}$) and is used as a
284 peak-flow case for evaluation. Since the model cannot capture the peak at the exact date, the simulated peak flow corresponding
285 to the observation, is set as the largest daily discharge during the 21 days which covers the observed peak in the center. The peak
286 in a certain year is set as the largest daily discharge during this year. Additionally, water level observations from five lakes within
287 the TRB, obtained from Kenya's Ministry of Energy (KenGen) for the period 2011-2014, are used to assist in model sensitivity
288 analysis and calibration.

289 4. Results

290 4.1. WRF Precipitation refinement

291 Using IMERG precipitation as a benchmark, we assessed the performance of convection-permitting WRF precipitation at a 5 km
292 resolution in TRB, through the comparison to ERA5 reanalysis (the input of our WRF simulation). The evaluation focused on
293 average seasonal precipitation during the long rain season (MAM) and short rains (OND) from 2010-2014. Here, we also calculated
294 precipitation bias for WRF and ERA5 against IMERG as shown in Fig. 2.



295

296 **Figure 2.** Season precipitation of March-May (MAM, long rain season, a-c), October-December (OND, short rain season, f-h), and the
 297 **JF** (January-February, k-m), and **JJAS** (June-August, p-r) over the upper and middle stream of Tana River Basin (TRB), as well as its
 298 bias (d-e, i-j, n-o, and s-t). (a, f, k, p), (b, g, i, q), and (c, h, m, r) indicate IMERG, WRF, and ERA5 data. (d, i, n, s) and (e, j, o, t) denotes
 299 the bias of WRF and ERA5 against IMERG. The seasonal precipitation (MAM, OND, JF, and JJAS) is calculated based on daily data
 300 (in March-May, October-December, January-February, and June-August) over 2010-2014. The gray polygon indicates the boundary of
 301 the upper and middle sections of the Tana River basin.

302 The WRF model captures the spatial pattern of precipitation and its seasonal variations over TRB presented in IMERG (Fig. 2 and
 303 Table 7). WRF simulation shows that the precipitation is primarily concentrated in mountainous regions (such as Mount Kenya
 304 and Aberdare Range in Fig. 1 a), with significantly less precipitation in the plain area (Fig. 2). The annual mean precipitation is
 305 approximately 1500 mm in the mountainous terrain compared to less than 500 mm in the plain area (Table 7). During the rain
 306 seasons (MAM and OND), the total precipitation is 976 mm a⁻¹ over the terrain area and 327 mm a⁻¹ over the plain area, in contrast
 307 with 417 mm a⁻¹ and 33 mm a⁻¹ during the dry season (JF and JJAS). This spatial and seasonal pattern is also reflected in IMERG
 308 data (Figs 2 a, f, k, and p), indicating a distinct orographic and seasonal dominance. WRF-simulated precipitation exhibits a smaller
 309 model-data bias in the mountainous areas compared to the plains and during the wet period compared to the dry seasons. The bias
 310 in precipitation over the mountainous area is 47 % (133 mm a⁻¹) in dry seasons and 8 % (77 mm a⁻¹) in wet seasons, while in the
 311 plains, it is -49 % (-33 mm a⁻¹) and -46 % (-279 mm a⁻¹). The better skill over the mountain area is more pronounced during the
 312 wet season, with a bias of 4% compared to -45 % in the dry season. Compared to ERA5, WRF precipitation shows better
 313 performance over mountainous areas. For example, the model-data bias from WRF is 210 mm a⁻¹ (18 %) for the whole year, while
 314 ERA5 shows a bias of 681 mm a⁻¹ (58 %) as shown in Table 7. During the rain season of MAN or OND, WRF's bias is 29 mm a⁻¹



315 ¹ (7 %) or 48 mm a⁻¹ (10 %), whereas ERA5's is 161 mm a⁻¹ (37 %) or 100 mm a⁻¹ (22 %). Moreover, the area with the larger bias
 316 (with bias exceeding 60 %) from WRF simulation is much smaller than ERA5. In MAM, OND JF, and JJAS, the regions with
 317 larger biases are 618.2 km² (1.9 %), 711.0 km² (2.2 %), 680.0 km² (2.1 %), and 3431.0 km² (10.4 %) respectively, while ERA5's
 318 corresponding areas are 1545.5 km² (4.7 %), 1545.5 km² (4.7 %), 10818.3 km² (32.9 %), and 8500.1 km² (25.9 %). Although a
 319 slightly larger negative precipitation bias exists in the plain area, WRF precipitation doesn't show significantly decreased kills
 320 compared to ERA5 (Table 7).

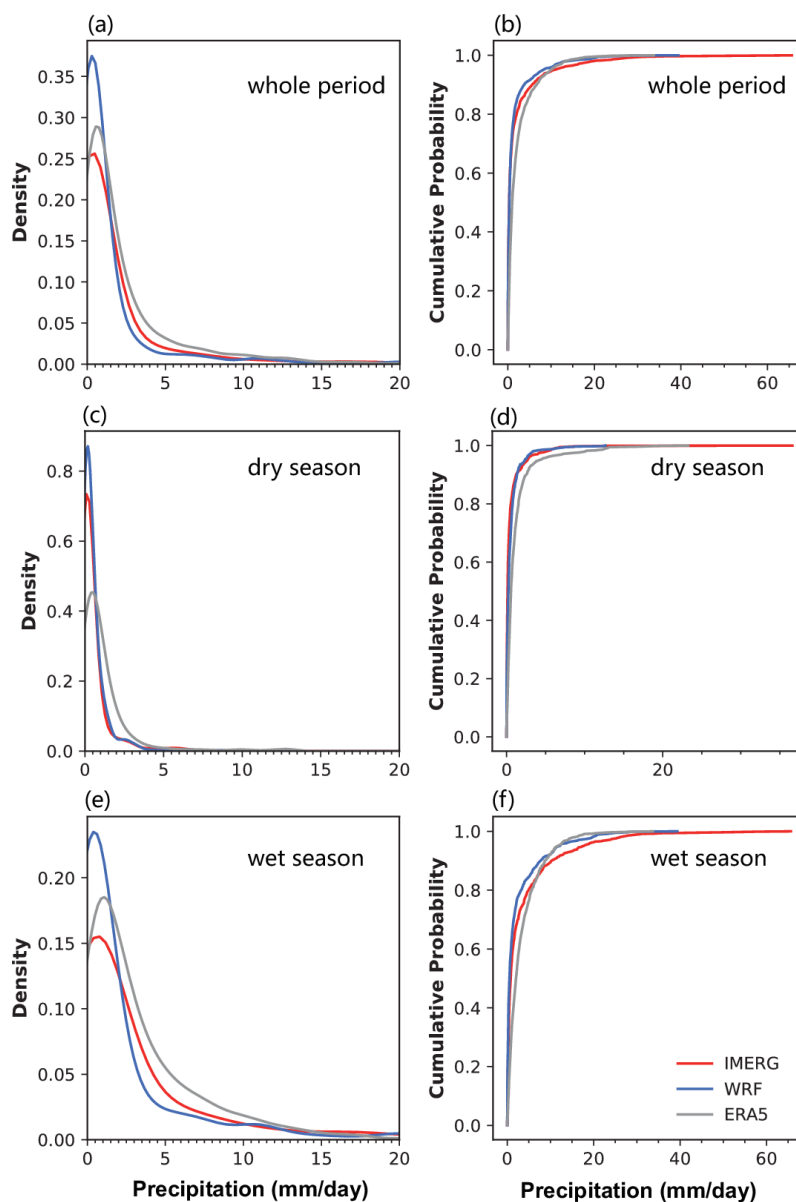
321 **Table 7. Seasonal and annual precipitation averaged over the terrain (elevation > 1600 mm) and plain (elevation < 1600 mm) area.**

Precipitation (mm)	terrain Area					Plain Area				
	Annual	MAM	OND	JF	JJAS	Annual	MAM	OND	JF	JJAS
WRF	1393	505	471	87	330	359	153	174	16	17
ERA5	1864	557	603	230	474	593	219	278	48	49
IMERG	1183	457	442	91	193	669	279	326	36	28
WRF-IMERG	210(18%)	48(10%)	29(7%)	-5(-5%)	138(72%)	-310(-46%)	-126(-45%)	-152(-47%)	-20(-56%)	-11(-39%)
ERA5-IMERG	681(58%)	100(22%)	161(37%)	139(152%)	281(146%)	-75(-11%)	-61(-22%)	-48(-15%)	12(34%)	22(79%)

322 Note: Precipitation from IMERG is the benchmark to evaluate that from WRF simulation.

323 Monthly averaged precipitation from WRF simulation, calculated over 2010-2014, aligns well with IMERG data (Fig. S1). The
 324 precipitation from WRF well captures the wet-dry season pattern, with precipitation largely falling during long (MAM, 219 mm a⁻¹
 325 ¹, 40 % of the total annual precipitation) and short rains (OND, 229 mm a⁻¹, 42 %) over the TRB. WRF accurately shows the
 326 rainfall peaks in April during the long rain season and November during the short rain season, with simulated values of 95 mm and
 327 178 mm per month, respectively. While both WRF and ERA5 display positive biases in rain seasons and negative biases in dry
 328 seasons against IMERG, WRF offers improved precipitation estimates, distinct in mountainous areas. In the mountainous region,
 329 the WRF-simulated results exhibit superior agreement against IMERG, compared to ERA5 (Figs. 2 d-e, i-j, n-o, s-t, and S1). The
 330 determined coefficient (r^2) and biases of WRF-simulated monthly precipitation against IMERG, are 0.71 and 18 mm per month
 331 (15 % of IMERG's regional average), compared to 0.21 and 57 mm per month (58 %) for ERA5 (Table S1). The decreased WRF-
 332 IMERG bias indicates that WRF simulation could alleviate the overestimation from ERA5 in the mountain area, and thus refine
 333 precipitation. Despite no significant improvement in the plain area, no apparent decreased skill exists in WRF simulation, compared
 334 to ERA5.

335
 336 The probability distribution of regionally averaged daily precipitation from WRF simulation during 2010-2014 (Fig. 3 and Table
 337 8) also exhibits reasonable correspondence to IMERG. Both WRF and ERA5 overestimate the small precipitation events (0-20
 338 mm day⁻¹) and underestimate extreme precipitation events (> 20 mm day⁻¹), against IMERG. However, WRF aligns more closely
 339 with IMERG for the small precipitation events and extreme precipitation events, particularly for the light precipitation events (1-
 340 15 mm day⁻¹) during dry seasons (Fig. 3 c-d and Table 8). The probability of 1-15 mm day⁻¹ events from WRF is 0.24, compared
 341 to ERA's 0.49 and IMERG's 0.26. This improvement is also observed in the rain seasons, although not as pronounced. The WRF
 342 simulated probability of light precipitation events is 0.34, compared to 0.66 and 0.38 from ERA and IMERG, respectively.



343

344 **Figure 3.** The distribution (a, c and e) and cumulative distribution (b, d and f) of daily precipitation from WRF-simulation, ERA5, against
 345 the IMERG (2010-2014) over the whole period, dry season and wet season. (a, b), (c, d) and (e, f) indicate the daily precipitation
 346 distribution over the whole period, dry season and wet season, respectively.

347 **Table 8.** Cumulative distribution of daily precipitation regionally averaged over TRB, from WRF simulation, IMERG, and ERA5.

Precipitation (mm day ⁻¹)	Whole period			Dry period			Wet period		
	IMERG	WRF	ERA5	IMERG	WRF	ERA5	IMERG	WRF	ERA5
0–20	0.981	0.991	0.995	0.999	0.999	0.999	0.962	0.982	0.991
>20	0.019	0.009	0.005	0.001	0.001	0.001	0.038	0.018	0.009
1–15	0.255	0.242	0.489	0.126	0.146	0.317	0.381	0.337	0.658

348

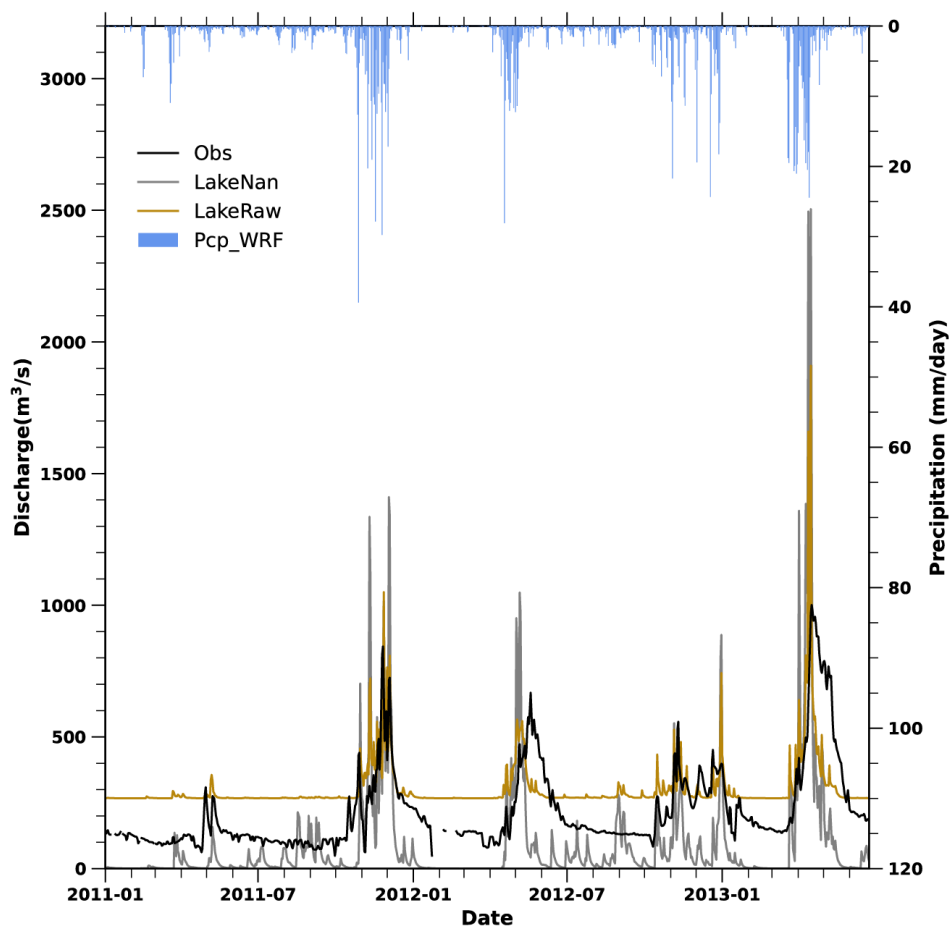


349 Despite some deviation of the daily fluctuations between WRF simulation and IMERG, it is important to recognize that the IMERG
350 itself has its uncertainties in representing precipitation over East Africa. These include low-intensity false alarms and
351 overestimating rainfall amount from weak convective events (Maranan et al., 2020). Therefore, we believe that the potential
352 advantages of the WRF simulation are likely greater than what we have demonstrated by our result. However, using IMERG as
353 the benchmark, the WRF simulation exhibited a significant improvement, with the model-data bias of 15 % over mountainous
354 areas compared to 58 % from ERA5, despite slightly degraded performance over the plains (Table S1). Future work could benefit
355 from incorporating more reliable observational data to enhance precipitation evaluation.

356 **4.2. WRF-Hydro model optimization with lake module**

357 **4.2.1. A preliminary investigation of the lake/reservoir impact on discharge**

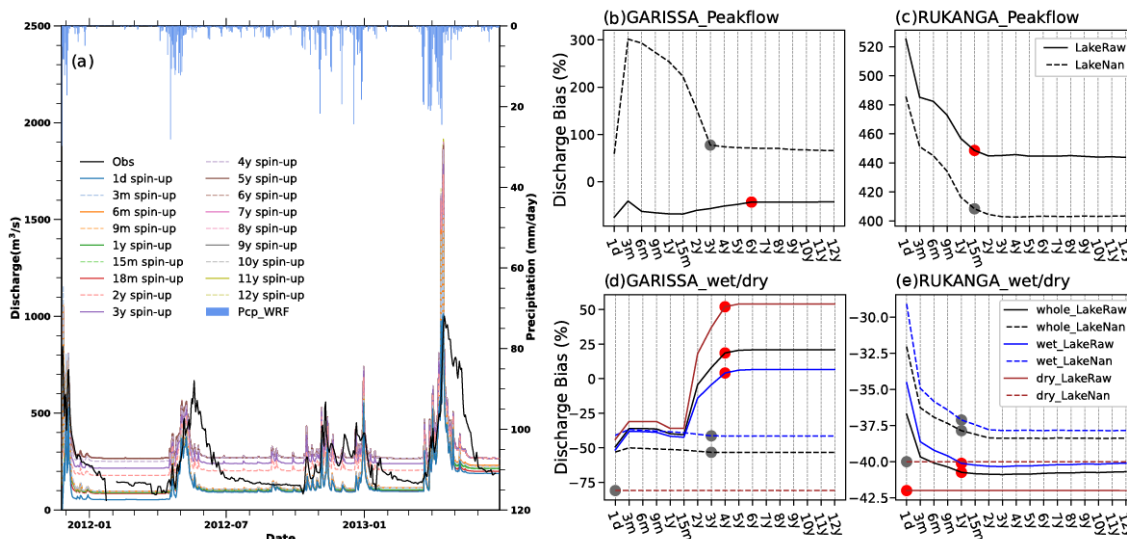
358 To assess the impact of the lake/reservoir module on hydrological simulation, we compared simulated discharges from different
359 WRF-Hydro modelling experiments against the observations. These included WRF-Hydro with (LakeRaw) and without the
360 lake/reservoir module (LakeNan) shown in Fig. 4 and Table S2. The WRF-Hydro model with lake/reservoir module (LakeRaw)
361 improves discharge simulation compared with the version without (LakeNan), even without model calibration. LakeRaw achieved
362 an NSE of 0.01 and a bias of 40 %, compared to -1.09 and -53 % from the LakeNan. The inclusion of lake/reservoir module
363 addresses the underestimation of dry-season flow. However, the lake/reservoir module (in the LakeRaw) tends to induce
364 overestimation, particularly during the dry season of February-March and August-September which amounts to approximately 81 %
365 of the annual average dry-season flow. The overestimation in LakeRaw is likely due to uncalibrated parameters, including spin-up
366 time, the hydrological parameters, groundwater component, and lake-related parameters. The hydrological parameters, which were
367 based on the model without lake/reservoir module (LakeNan), and the groundwater component and lake-related parameter set as
368 the default from GIS pre-41.processing (Methodology), need to be re-tuned when the lake/reservoir is included in WRF-Hydro
369 system. To further improve the WRF-Hydro modelling with lake/reservoir module, the potential of the above parameters was
370 explored.



371
372 **Figure 4.** The simulated daily discharges from WRF-Hydro modelling without the lake/reservoir module (LakeNan, the grey line) and
373 that with the lake/reservoir module using parameters from the LakeNan (LakeRaw, the brown line) against the observations (the black line), as well as the daily precipitation from convection-permitting WRF simulation (Pcp_WRF, the blue bar).
374

375 4.2.2. Spin-up time

376 The spin-up sensitivity is highlighted in the evolution of discharge during 2011-2014 from the 17 spin-up experiments (Fig. 5 and
377 Table 3). The simulated discharge at the Garissa station on the first day (26 November 2011, the observed peak-flow day) differs
378 between almost every experiment. More specifically, the simulated peak-flow at the Garissa station decreases as the spin-up time
379 gets shorter, which reaches $485 \text{ m}^3 \text{ s}^{-1}$ in the 12-year spin-up experiment (12y spin-up in Fig. 5a) but only $211 \text{ m}^3 \text{ s}^{-1}$ in the 1-day
380 spin-up experiment (1d spin-up) from the LakeRaw simulation. The reduction of first-day discharge suggested that, without enough
381 spin-up time, runoff is compensated more to soil moisture and groundwater which hasn't yet reached equilibrium. Generally, runoff
382 of the simulated peak-flow becomes slightly larger with increased spin-up time, until the 6-year spin-up (Fig. 5 b). The simulated
383 average discharge also shows distinct sensitivity to different spin-up times (Figs. 5 d-e). The average discharge at Garissa over the
384 whole, wet and dry seasons during 2011-2014 increased from the underestimation of -49 %, -44 % and -52 % in 1-day spin-up
385 experiment to the overestimation of 21 %, 54 % and 7 % in 12-year spin-up experiment, respectively. It generally takes
386 approximately four years of initialization for the annual discharge at the Garissa station to stabilize. (Figs. 5 d-e).



387

388 **Figure 5.** Sensitivity analysis results from 17 different spin-up experiments. (a) indicates the simulated discharge with spin-ups (the
 389 colored lines) ranging from 1 day (1d spin-up) to 12 years (12y spin-up), against the observations (Obs, the black line). The blue bars
 390 indicate the daily precipitation from convection-permitting WRF simulation. (b-e) donates the model-data bias of simulated discharge
 391 at Garissa (a and c), Rukanga (b and d) with the increase of spin-up time, which are from LakeNan (WRF-Hydro simulation with
 392 lake/reservoir module, solid line) and LakeRaw (WRF-Hydro simulation without lake/reservoir module using parameters from LakeNan,
 393 dashed line) for the whole year (black line), wet season (MAM and OND, blue line) and dry season (JJAS, red line). The dots
 394 indicate the spin-up time required for LakeRaw (red) or LakeNan (grey) to reach equilibrium. Therein, peak-flow (Peakflow) is the
 395 largest daily discharge during the 21 days which covers the observed peak (largest observed daily discharge over 2011-2014) in the center.

396 The initial time differs spatially, with shorter spin-up in the upstream area than in the downstream. In the LakeNan simulation, the
 397 initialization time of discharge metrics (i.e. peak-flow, average discharge, rain-season flow, and dry-season flow) at Rukanga
 398 station upstream is less than 2 years but could be 3 years at Garissa station downstream. The longer spin-up in the downstream
 399 area might be ascribed to the larger drainage area which needs a longer convergence time, compared to the upstream. The
 400 prolongation of spin-up time is more distinct in the simulation with lake/reservoir module than the one without. In the LakeRaw
 401 simulation, the initialization time at the upstream (Rukanga station) remains less than 2 years for discharge metrics, while the
 402 initialization time for peak-flow at the downstream (Garissa station) extends to 6 years. This stronger prolongation of spin-up time
 403 indicates the lake/reservoir affection.

404

405 Lake/reservoir module seems to prolong the necessary spin-up time for the downstream area (Fig. 5b). Besides the peak-flow, the
 406 spin-up time for whole-period, dry-season, and rain-season flow is prolonged to 4 years in the LakeRaw simulation, compared to
 407 the 3, 0 and 3 years in the LakeNan simulation. The larger spin-up difference in dry-season discharge between the LakeRaw (3
 408 years) and LakeNan (0 years) simulations demonstrate a larger sensitivity of dry-season to the lake/reservoir module, compared to
 409 the rain-season.

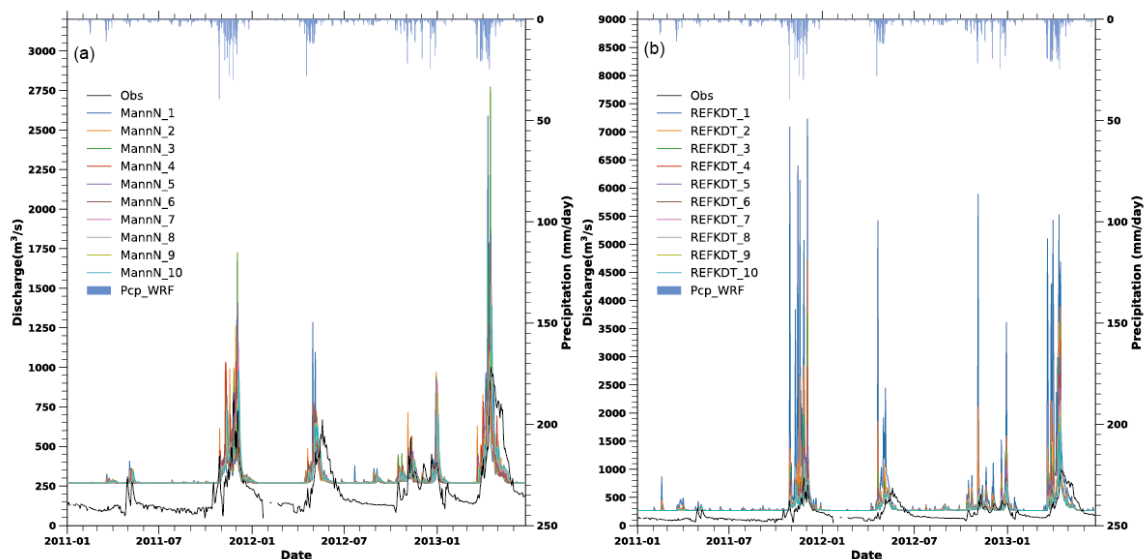
410

411 The water levels of the five lakes show the same spin-up time. However, a larger lake seems to require more time to reach
 412 equilibrium. The lakes are interconnected, so the initialization time is determined by the longest spin-up. Therefore, despite the
 413 disparate sizes, the initialization times of the five lakes are the same. The bias from the LakeRaw simulation is considerable (>
 414 80 %). This is due to that the parameters used in the LakeRaw are from the primarily calibrated LakeNan Model or GIS pre-
 415 processing (Methodology), which needs further calibration for the WRF-Hydro system.



416 4.2.3. Sensitivity analysis from hydrological parameters

417 The MannN parameter exhibits a substantial impact on the peak flow, with lower values corresponding to higher discharge peaks
418 (Fig. 6 a and Table S3). As MannN scale decreases from 4 to 0.1, the average discharge at Garissa increases from $294 \text{ m}^3 \text{ s}^{-1}$ to
419 $297 \text{ m}^3 \text{ s}^{-1}$ and peak-flow increases from $975 \text{ m}^3 \text{ s}^{-1}$ to $1309 \text{ m}^3 \text{ s}^{-1}$. In addition, the smaller MannN value delays the arrival of peak
420 flows, shifting the peak-flow date from 6 December 2011 to 2 December, advancing by four days, with MannN ranging from being
421 scaled up by 4 to 0.1. This impact is due to MannN representing channel roughness, which affects streamflow transit time and
422 volume.



423
424 **Figure 6.** The simulated WRF-Hydro discharge at Garissa from January 2011 to June 2013 from Manning roughness parameter (MannN)
425 and runoff infiltration coefficients (REFKDT) sensitivity tests, against the observation (Obs). MannN (or REFKDT) test consists of ten
426 simulations, with the MannN (or REFKDT) ranging from a near-zero (or 0.02) scale in MannN_1 (or REFKDT_1) experiment to a scale
427 of 4 (or 1) in MannN_10 (or REFKDT_10) with nearly equal intervals throughout. Precipitation from the WRF simulation (Pcp_WRF)
428 is shown at the top.

429 Similarly, the REFKDT parameter also significantly impacts peak discharge in response to heavy rain. An increase in REFKDT
430 generally results in decreased discharge (Fig. 6 b and Table S4). Specifically, when the REFKDT scaling factor changes from 0.02
431 (REFKDT equals 0.1) to 1 (REFKDT equals 5), the peak-flow decreases from $7229 \text{ m}^3 \text{ s}^{-1}$ to $1092 \text{ m}^3 \text{ s}^{-1}$. In the WRF-Hydro
432 modelling system, the REFKDT parameter governs surface infiltration by partitioning runoff into the surface and subsurface
433 components (Schaake et al., 1996), meaning a higher REFKDT value allows more water into the subsurface, therefore reducing
434 surface runoff and peak discharge.

435

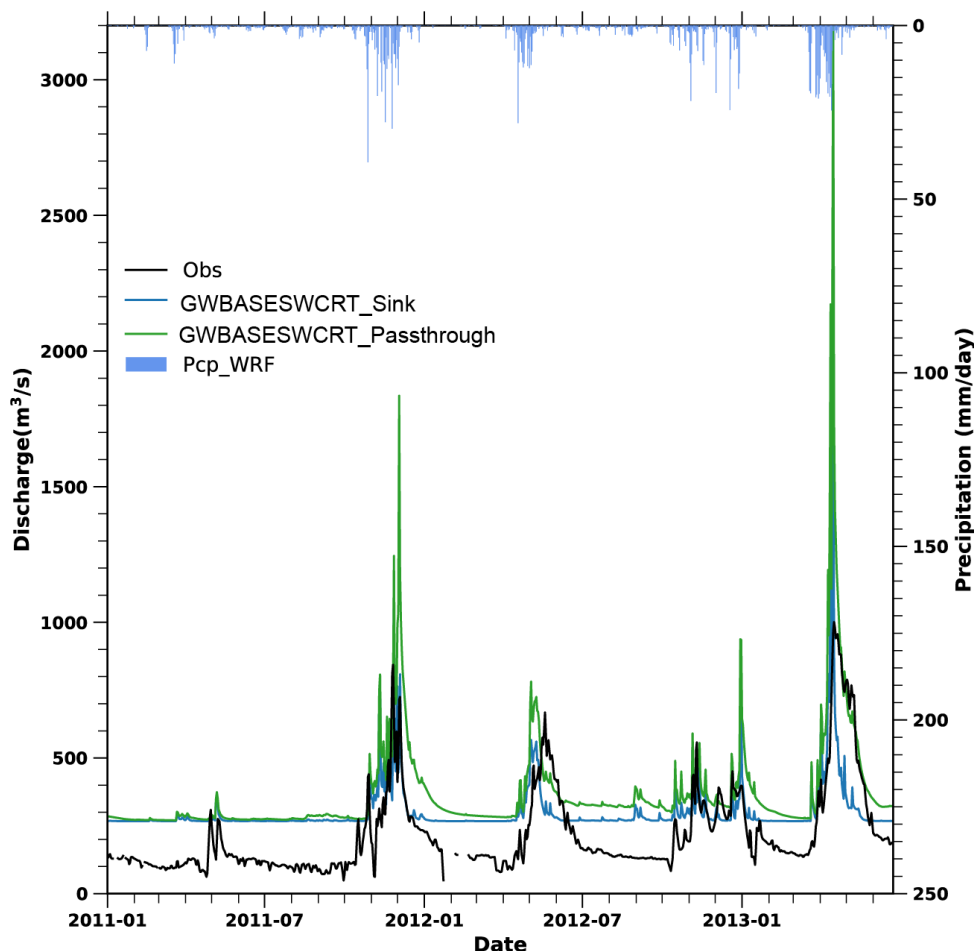
436 However, both MannN and REFKDT have minimal effects on alleviating the underestimation of dry-season flow in the above
437 WRF-Hydro simulations with the lake/reservoir module (LakeRaw), which remains largely unchanged despite variations of the
438 two parameters.

439 4.2.4. Sensitivity analysis from groundwater components

440 Overall, adjusting groundwater component options could slightly alleviate the overestimation of dry-season flow (Fig. 7 and Table
441 S5). The dry-season flows from the two experiments all remain large overestimation with a considerable bias of 122 (81 %) and



442 161 (107 %) $\text{m}^3 \text{s}^{-1}$. However, among the two experiments, the simulated discharge fluctuation in the
443 GWBASESWCRT_Passthrough experiment aligns better with the observation, compared to the GWBASESWCRT_Sink
444 experiment. The correlation coefficient (r^2) of the simulated discharge against the observation is 0.56 and 0.33 in
445 GWBASESWCRT_Passthrough and GWBASESWCRT_Sink experiment, respectively. The discrepancies in waveform led to an
446 earlier prediction of flood retreat. Given the enhanced performance of GWBASESWCRT_Passthrough experiment, we selected
447 the pass-through bucket module for the subsequent sensitivity analysis and calibration experiment.



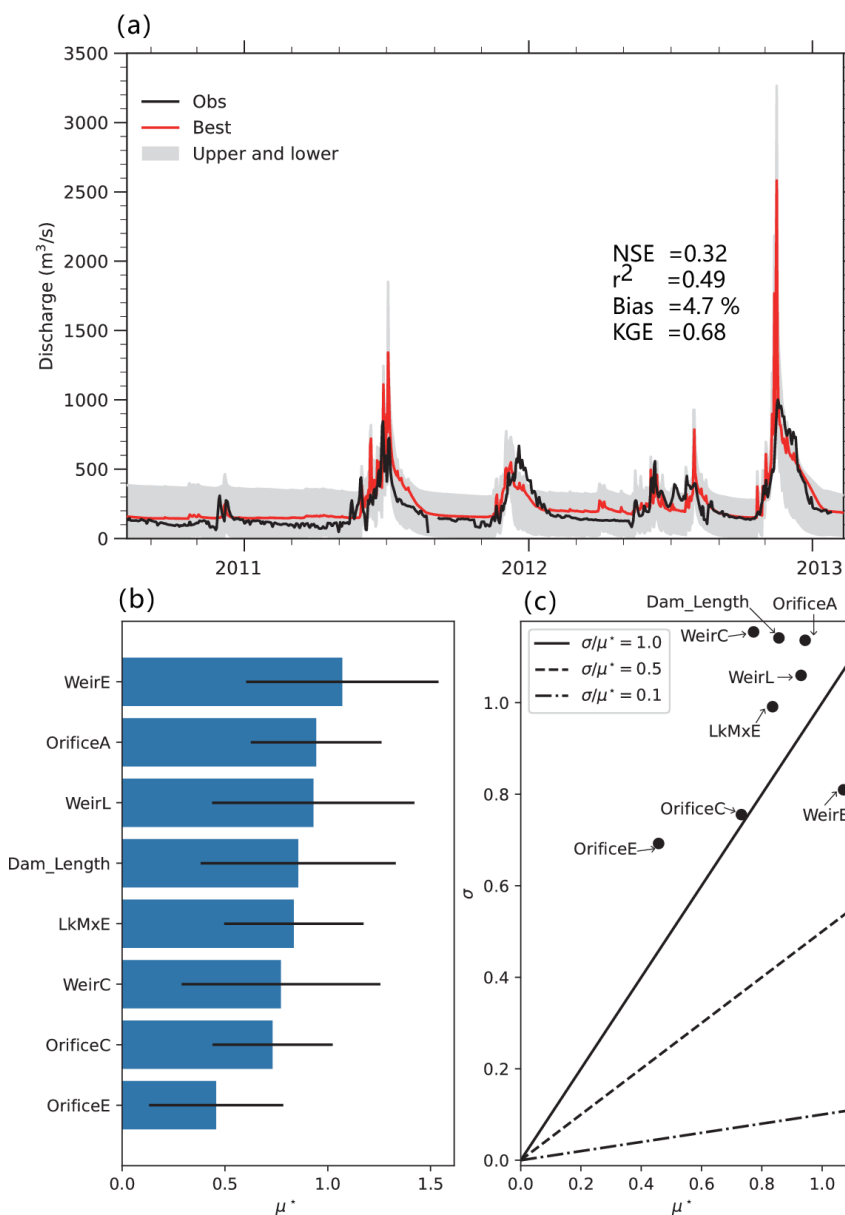
448
449 **Figure 7.** The discharge evolution of the two experiments and the observation. One experiment creates a sink at the bottom of the soil
450 column, where water drains out of the system (GWBASESWCRT_Sink), while the other bypasses the bucket model and directly channels
451 all flow from the bottom of the soil column into the stream (GWBASESWCRT_Passthrough). Precipitation from the WRF simulation
452 (Pcp_WRF) is shown at the top.

453 4.2.5. Sensitivity analysis from lake-related parameters

454 From the Morris result (Fig. 8 and Table S6), lake-related parameters (i.e., LkMxE, WeirE, WeirC, WeirL, OrificeA, OrificeC,
455 and OrificeE) show a distinct influence on the discharge at Garissa. The overestimation of discharge was mitigated in the best
456 simulation with the largest NSE (the red line in Fig. 8 a). Among the eight lake-related parameters, the WeirE turns out to be the
457 most sensitive, as indicated by its top sensitivity rank (Fig. 8 b). Altering the WeirE from its maximum (maximum water level plus
458 half water depth) to its minimum (the default Orifice elevation) in the LakeRaw model with other parameters at their default (Table



459 S6), resulted in an average discharge varying from $311 \text{ m}^3 \text{ s}^{-1}$ to $38 \text{ m}^3 \text{ s}^{-1}$, with model-data bias from 19 % to less than -85 %. This
 460 sensitivity is particularly notable during the dry-season, causing a bias difference of $244 \text{ m}^3 \text{ s}^{-1}$ averaged in the dry season during
 461 2011-2014, corresponding to -163 % of observations. This indicates that adjusting the lake-related parameters could alleviate the
 462 overestimation of dry-season flow, showing potential to improve the model's performance. Notably, the eight parameters exhibit
 463 distinct interdependence, as indicated by the large value of σ/μ^* (> 0.5) (Fig. 8 c), suggesting that parameter optimization should
 464 be conducted globally rather than locally.



465
 466 **Figure 8.** The Morris result, including simulated discharge from 90 experiments against the observation (a), the sensitivity ranking (b)
 467 and parameter interdependence (c). Nash-Sutcliffe Efficiency (NSE), coefficient of determination (r^2), bias (Bias, unit: %), and Kling-
 468 Gupta Efficiency (KGE) are calculated based on the best-simulated discharge at Garissa (with the largest NSE; shown in red) against
 469 the observation. The μ^* denotes the sensitivity of a given parameter, with a higher value indicating greater sensitivity. The large value



470 of σ/u^* indicates stronger dependencies with other parameters. The sensitivity order is generated based on the model-data bias of the
471 simulated discharge at Garissa.

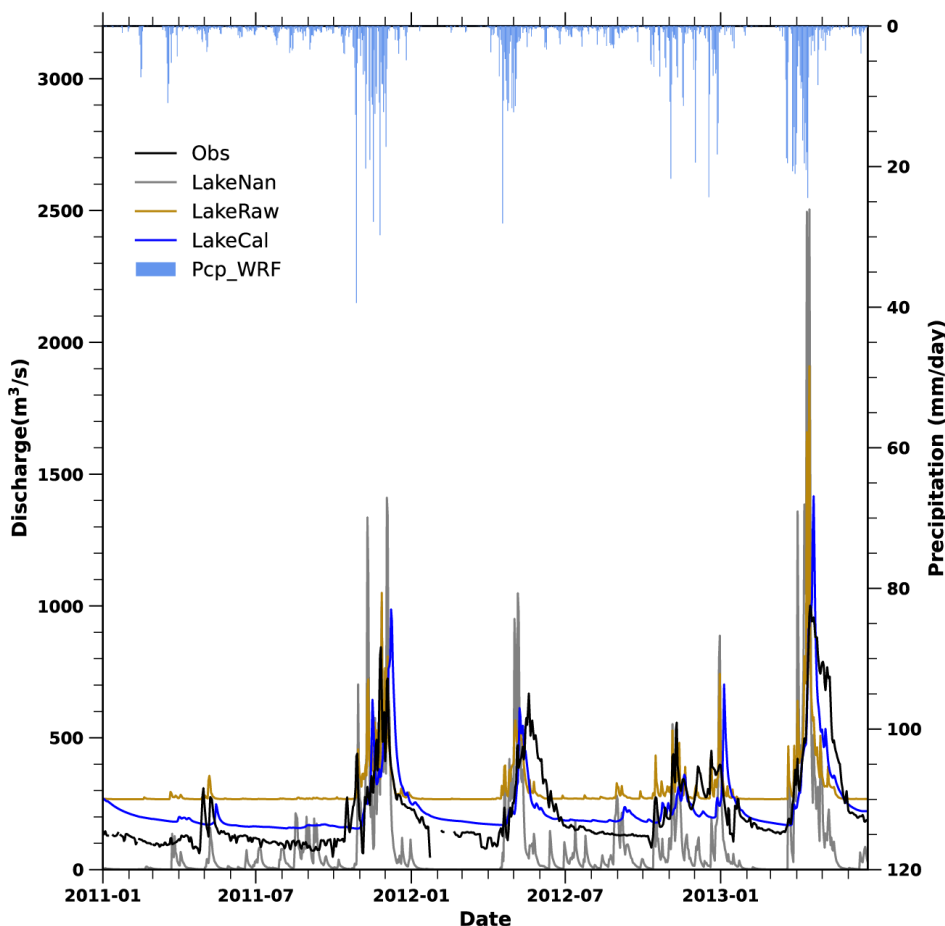
472 Although adjusting lake-related parameters can alleviate the overestimation of dry-season flow, it induces another issue: the rain-
473 season flow discharge decreases synchronously, leading to its underestimation. Changes in the WeirE (in the LakeRaw modelling
474 with the other parameters as the default) cause rain-season flow from positive bias ($52 \text{ m}^3 \text{ s}^{-1}$, 19 %) to negative ($-197 \text{ m}^3 \text{ s}^{-1}$, -
475 71 %). This bias change is also observed in the peak-flow, which varied from an overestimation of $165 \text{ m}^3 \text{ s}^{-1}$ (20 %) to an
476 underestimation of $-127 \text{ m}^3 \text{ s}^{-1}$ (-16 %). Fortunately, the rain-season flow underestimation could be re-tuned by REFKDT or MannN,
477 as well as the peak-flow.

478

479 Lakes with larger surface areas seem to play a dominant role in affecting discharge biases, as shown in Fig. S3. Adjusting
480 parameters for larger lakes, such as MASINGA, KAMBURU, and KIAMBERE, tends to cause greater variations, indicated by
481 larger standard deviations, compared to the small lakes, such as GITARU and KINDARUMA. Among the five lakes, MASINGA
482 (the largest, with an area of 111.6 km^2) exhibits the most significant impact on discharge, with standard deviations of 21 % for
483 peak flow, 23.7 % for average discharge, 19 % for rain-season flow, and 34 % for dry-season flow. Conversely, KINDARUMA
484 (the smallest with an area of 2.1 km^2) exhibits the least impact on discharge, with standard deviations of near zero (0.1 %, 0.3 %,
485 0.2 %, and 0.6 %), respectively.

486 4.2.6. The optimized results of WRF-Hydro modelling with lake/reservoir module

487 Based on the sensitivity analysis result, we conducted a calibration involving the parameters outlined above, and the results are
488 shown in Fig. 9 and Table S2. Calibration of WRF-hydro modelling system with lake/reservoir module greatly improves the
489 simulation of river discharges in the TRB. The simulated discharge from LakeCal with a KGE of 0.70 and a bias of 9 %, is more
490 consistent with the observed flow process, compared to LakeRaw with a KGE of 0.35 and a bias of 40 %. The significant
491 overestimation of discharge in the LakeRaw (Sect. 4.2.1) model was notably reduced through the calibration of the lake/reservoir
492 module, although a slight overestimation still exists.



493

494 **Figure 9.** The simulated discharges from three WRF-Hydro simulations against the observation. The three include WRF-Hydro
495 simulation without lake/reservoir module (LakeNan in grey), WRF-Hydro with lake/reservoir module based on parameters from the
496 LakeNan (LakeRaw, in brown) and the well-calibrated WRF-Hydro with lake/reservoir module (LakeCal, in blue). Precipitation from
497 the WRF simulation (Pcp_WRF) is shown at the top.

498 Notably, the modelling performance of WRF-Hydro simulation with the lake/reservoir module (LakeCal) is much better than that
499 without lake/reservoir module (LakeNan). The KGE and bias are 0.16 and -53 in LakeNan simulation, in contrast to 0.70 and 9 %
500 in LakeCal simulation. The improvement is especially for dry-season flow and peak-flow simulation, despite a slight
501 overestimation of dry-season flow. The calibration of WRF-Hydro modelling system with lake/reservoir module corrects the
502 overestimation of dry-season flow by $71 \text{ m}^3 \text{ s}^{-1}$, reducing the dry-season flow from $271 \text{ m}^3 \text{ s}^{-1}$ (with a bias of 81 %) to $200.1 \text{ m}^3 \text{ s}^{-1}$
503 (with a bias of 34 %). Besides, the deviation in peak-flow, indicated by a bias of 174 % ($144 \text{ m}^3 \text{ s}^{-1}$) decreased in LakeCal compared
504 to the bias of 24 % ($206 \text{ m}^3 \text{ s}^{-1}$) in the LakeRaw. Consistently, the overestimation of averaged discharge in both the dry-season and
505 rain-season flow was reduced, with the bias changing from 81 % and 22 % to 34 % and -2 %. Due to this improvement in dry-
506 season flow and peak-flow simulation, LakeCal better captures seasonal variation than the other two models. The r^2 is 0.75 in the
507 LakeCal model, calculated over the monthly discharge against the observation, compared to 0.66 in the LakeNan simulation.
508 Furthermore, the LakeCal could better capture the hydrograph shape during the rise and recession of floods, as indicated by the
509 improved r^2 of 0.59, compared to 0.30 in the LakeNan and 0.33 in the LakeRaw. For example, during the MAM period in 2012
510 and 2013, the simulated onset and recession times of flooding by LakeCal were closer to the observed, than those from the LakeRaw
511 and LakeNan. The earlier estimation of flood onset times in the LakeRaw was significantly alleviated in the LakeCal. The better



512 fit of the simulated discharge against the observation during flood rising and falling times in the WRF-Hydro system with
513 lake/reservoir module, indicates a promising ability to accurately forecast floods.

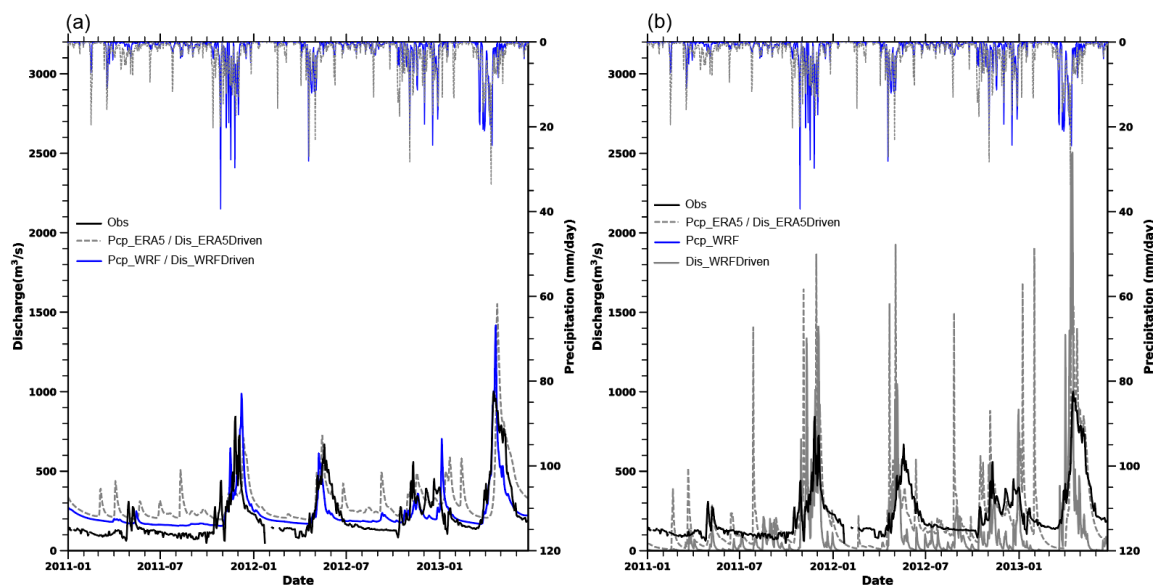
514 4.3. Attribution of hydrological simulation enhancement

515 The above skilled WRF-Hydro simulation driven by WRF precipitation (LakeCal, Fig. 9) could be attributed to the integration of
516 convection-permitting WRF simulation and the inclusion of lake/reservoir module. To qualify the contributions from CPWRF
517 simulation and lake module, we compared the well-calibrated WRF-Hydro simulation with lake/reservoir module driven by
518 CPWRF output (LakeCal) to the calibrated WRF-Hydro modelling without lake module forced by CPWRF output (LakeNan) and
519 the well-calibrated WRF-Hydro simulation with lake module driven by ERA5 (LakeCal-ERA5), shown in Figs. 9,10a and Table
520 S2.

521

522 The well-calibrated lake-integrated model forced by CPWRF output (LakeCal), outperforms both LakeNan driven by CPWRF
523 output and lake-integrated model forced by ERA5 (LakeCal-ERA5). Comparing LakeCal to LakeCal-ERA5, the WRF-improved
524 precipitation notably enhances the WRF-Hydro modelling performance, especially reducing the peak false (Fig. 10 a). The
525 simulation skill indicated by NSE, rises from 0.04 (LakeCal-ERA5) to 0.57 (the LakeCal) (Table S2), resulting in an NSE increase
526 of 0.53. Comparing the LakeCal to LakeNan, the inclusion of the lake/reservoir module significantly improves the WRF-Hydro
527 performance, distinct in alleviating under estimation of the dry-season flow and the overestimation of the peak flow. The NSE
528 rises from -1.10 (LakeNan) to 0.57 (LakeCal), which reflects an NSE increase of 1.67. Dividing by the total of the two increases,
529 improvements in hydrological simulation could be attributed 24 % (an NSE increase of 0.53) to WRF-refined precipitation and
530 76 % (an NSE increase of 1.67) to the inclusion of lake/reservoir module.

531



532

533 Figure 10. The precipitations from WRF (Pcp_WRF, solid line on the top) and ERA5 (Pcp_ERA5, dash line on the top), as well as the
534 simulated daily discharge evolution from WRF-Hydro driven by WRF precipitation (solid line at the bottom colored blue in a and grey
535 in b) and ERA5-precipitation (dashed line at the bottom) against the observation (black dashed line). (a) and (b) indicate the results from
536 WRF-Hydro simulation with and without the lake/reservoir model, respectively.



537 5. Discussion

538 5.1. Hydrological modelling improvement from convection-permitting WRF-simulated precipitation – Effect of 539 precipitation forcing

540 Dynamic downscaling with refined resolution, especially in the convection-permitting scale, allows for a more reasonable
541 representation of precipitation processes, particularly in mountainous areas (Schumacher et al., 2020; Li et al., 2020). The
542 convection-permitting WRF simulation tends to improve local (e.g., mesoscale) scale processes and interactions between local and
543 large-scales, especially over complex terrain (Kendon et al., 2021; Guevara Luna et al., 2020; Schmidli et al., 2006). Woodhams
544 et al.'s research (2018) demonstrates that the convection-permitting WRF model shows greater skill than the global model, in
545 particular on sub-daily time scales and for storms over land. It thus potentially contributes to added value in precipitation simulation.
546 In our study, the WRF simulation improves the precipitation simulation (Sect. 4.1), especially, reducing the overestimation of light
547 rainfall (1-15 mm day⁻¹) events compared to ERA5 (Fig. 3 and Table 8). Consequently, the hydrological simulation with the
548 lake/reservoir module, using WRF precipitation as input (LakeCal), showed significant improvement, particularly in reducing false
549 peak events, compared to that using ERA5 precipitation (LakeCal-ERA5) (Fig. 10a). This improvement related to peak flow is
550 also evident in the WRF-Hydro simulation without the lake/reservoir module (Fig. 10b).

551 5.2. Hydrological modelling improvement from convection-permitting WRF-simulated precipitation – Effect of 552 lake/reservoir module

553 The lake/reservoir module is crucial for improving hydrological simulations over TRB in East Africa. Possible factors contributing
554 to the overestimation issues that can occur even with sufficient spin-up time. Factors such as the groundwater component, key
555 hydrological parameters, and lake-related parameters. Despite some adjustments, the groundwater component (Sect. 4.2.4) and key
556 hydrological parameters (Sect. 4.2.3) have a limited ability to alleviate the overestimation of dry-season flow in WRF-Hydro
557 simulation without lake/reservoir module (LakeNan). In contrast, tuning lake-related parameters could significantly influence
558 downstream discharge (Sect. 4.2.6). This underscores the important role of lake/reservoir module in enhancing hydrological
559 simulations in the data scarcity regions that contain lakes or reservoirs.

560

561 Lake/reservoirs play a crucial regulatory role, storing water during the wet season (especially peak-flow) and releasing water during
562 the dry season (Zajac et al., 2017; Hanasaki et al., 2006). In our study, hydrological simulations without lake/reservoir module in
563 the TRB, which includes five lakes, show significant underestimation (-78 %) in dry-season flow and overestimation (24 %) in
564 peak-flow. These biases (dry-season flow underestimation and peak-flow overestimation) are common issues in East Africa, as
565 highlighted by Arnault et al., (2023). Previous studies demonstrated that enhancing reservoir hydrological processes can improve
566 simulation accuracy (Hanasaki et al., 2006; Lehner et al., 2011) for basins with reservoirs or lakes. Our results confirm that the
567 well-calibrated WRF-Hydro system with the lake/reservoir module significantly reduces the underestimation of dry-season flow
568 and overestimation of peak-flow. The lake/reservoir module helps to correct the underestimation of dry-season flow, adjusting the
569 dry-season flow bias from -78 % in LakeNan simulation to 34 % in the LakeCal, despite some positive bias. Additionally, the
570 peak-flow bias in the lake/reservoir simulation decreased to 17 %, compared to the value of 24 % in LakeNan simulation.

571 5.3. Uncertainties of the hydrological modelling



572 Although the lake module improves WRF-Hydro simulation, the model expressed as a water balance equation with a simple level-
573 pool scheme could induce uncertainties in the hydrological simulation, due to the insufficient physical mechanism, lack of
574 consideration for human activities and small tributaries in the upstream of lakes. For example, lake water levels may be not well
575 presented (Fig. S4 and Table S6). In the LakeCal simulation, the water level deviation can reach -191m (-28 % of the water level
576 observation averaged over 2011-2015) at KIAMBERE. Moreover, the water level fluctuations between the simulations and
577 observation show large differences, with r^2 ranging from near zero (0.005) to 0.25 for the five lakes.

578

579 The groundwater component may cause uncertainties, as we used a pass-through bucket module that directs all flow from the soil
580 column into the channel without recharging groundwater. This approach might not capture the intermittent groundwater recharge
581 from seasonal rainfall in the TRB (Taylor et al., 2013). This leads to potential inaccuracies in simulating groundwater processes
582 and their interaction with surface water in East Africa.

583

584 The benchmark data in the data scarcity area (East Africa) presents challenges for model evaluation. For example, uncertainty from
585 IMERG precipitation over East Africa (Dezfuli et al., 2017), may complicate precipitation evaluation. WRF precipitation shows
586 an underestimation of extreme precipitation (i.e. 90-100 quantiles) against the IMERG (Fig. 3), while the simulated discharge from
587 LakeCal driven by WRF-precipitation does not show a distinct underestimation of extreme flow (against the observation) as
588 expected (Fig. 10b). The absence of the underestimation of extreme flow suggests a potential overestimation of extreme
589 precipitation from IMERG against the real. The overestimation of IMERG precipitation in Africa has been demonstrated in
590 previous research (Maranan et al., 2020; Dezfuli et al., 2017), which consequently creates the illusion of some underestimation in
591 WRF precipitation (Fig. 2 and Table 7). Such erroneous underestimation of WRF precipitation was also indicated by the general
592 overestimation of extreme flow in LakeCal simulation (Fig. 10 a-b).

593

594 Future work will focus on refining the hydrological simulation over East Africa with an advanced dynamical lake/reservoir module
595 (Wang et al., 2019) and an enhanced groundwater component. Bias correction of hydrological output variables could also be
596 considered to improve the hydrological simulation (Tiwari et al., 2022). Besides, reliable benchmarks in East Africa will be crucial
597 for evaluating WRF simulation performance.

598 6. Conclusion

599 In this article, we presented a seamless, consistent meteorological-hydrological modelling system for hydrological simulation in
600 East Africa. The hydrological simulation is enhanced by CPWRF and lake module, through a case study in the TRB.

601 (1) The refined precipitation from CPWRF simulation improves the hydrological simulation, which makes an NSE increase of
602 0.53 when comparing LakeCal to LakeCal-ERA5, contributing to a 24 % enhancement in the hydrological simulation. The
603 CPWRF simulations produce more accurate precipitation estimates than ERA5, particularly for the precipitation amount over
604 mountainous regions and light precipitation events (1-15 mm day⁻¹) in the dry seasons (JF and JJAS). The well-calibrated
605 lake-integrated simulation driven by CRWRF output (LakeCal) was improved especially alleviating the peak false, compared
606 to that by ERA5 (Lake-ERA5).

607

608 (2) Additionally, the incorporation of the lake/reservoir module in the WRF-Hydro system mitigates the bias of dry-season flow
609 and peak flow when comparing LakeCal to that without lake (LakeNan), with an NSE increase of 1.67, contributing to a 76 %



610 improvement in hydrological simulation. The lake module could distinctly affect discharge through lake-related parameters.
611 The lake module makes river discharge more sensitive to spin-up time, which prolongs the spin-up time required for the
612 streamflow simulation to achieve stability, with dry-season flow exhibiting higher sensitivity compared to the rain-season
613 flow. Adjustments to the lake-integrated model's parameters (runoff infiltration rate, Manning's roughness coefficient, and
614 the groundwater component) have minimal impact on the dry-season flows.

615

616 Our study marks the improved streamflow simulation using WRF-Hydro modelling system by integrating with a lake/reservoir
617 module and convection-permitting WRF simulation. This approach offers a promising tool for conducting reliable hydrological
618 simulations in data-scarce regions of East Africa. Previous studies have rarely addressed the sensitivity analysis and parameter
619 tuning of the lake/reservoir module within the WRF-Hydro system. Our findings offer new insights into the impacts of
620 lake/reservoirs on hydrological simulations, providing valuable benchmarks for optimizing hydrological modelling, especially
621 those involving lake/reservoir components.

622

623 Utilizing the lake/reservoir module and convection-permitting modelling, our approach could address some of the challenges
624 related to flood/drought simulation uncertainty and lay the groundwork for more sophisticated hydrological modelling related to
625 more complex water cycles. This enhancement from the approach has the potential for more accurate flood and drought
626 predictability, facilitating more informed decision-making in water resource management, as well as flood and drought risk
627 mitigation. Ultimately, this supports sustainable environmental stewardship in regions susceptible to hydrological variability and
628 change.

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636 **Code availability**

637 WRF code is available from <https://github.com/wrf-model/WRF>. WRF-Hydro code is available from
638 https://github.com/NCAR/wrf_hydro_nwm_public.

639 **Data availability**

640 All WRF-Hydro simulation data in this paper are available from the authors upon request (lingzhang@cug.edu.cn and
641 luli@norceresearch.no).

642 **Competing interests**



643 The authors declare that they have no conflict of interest.

644 **Author contribution**

645 Ling Zhang and Luli developed the idea together and designed the sensitivity experiments. Ling Zhang perfected the idea, carried
646 model run, data analysis, and prepared the original manuscript and the subsequent modification. Joël Arnault, Lu li, and Anthony
647 Musili Mwanthi designed the WRF/WRF-Hydro model. Zhongshi Zhang, Joël Arnault, Stefan Sobolowski, Pratik Kad contributed
648 the review & editing. The other co-authors (Mohammed Abdullahi Hassan, Tanja Portele, Harald Kunstmann) provided
649 suggestions related to flood simulation, which facilitated the work.

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