

Understanding soil loss in mollisol permanent gully head cuts by hydrological and hydromechanical response

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Abstract: During permanent gully development, soil losses on steep slopes and in channel beds are typically driven by the hydromechanical response and water storage within the soil mass; however, this knowledge has been largely neglected in previous studies of gully erosion in the mollisol region of Northeast China. In this study, erosion intensities during the 111 d of the rainy season and 97 d of the snow-melting season were analyzed with respect to soil water storage, drainage capacity, and soil suction stress, supported by monitoring results of soil moisture, temperature, and precipitation, as well as experimental analysis of soil hydromechanical properties. Under the same confining stress, the mollisols in the interrupted head cut of Gully No. II increased more rapidly and dissipated pore water pressure more effectively than those at the uninterrupted head cut of Gully No. I. The combination of the soil water characteristic curve and the hydraulic conductivity function indicated that the mollisols of Gully No. II had a lower air-entry pressure and higher saturated hydraulic conductivity during the wetting and drying cycles than Gully No. I. The head cut area of Gully No. II exhibited rapid water infiltration and drainage response and high soil water storage capacity. The absolute suction stresses within the mollisols of Gully No. II was lower than that in Gully No. I, which could lead to high erosion per unit of steep slope area. Importantly, gravitational mass wasting on steep slopes was closely related to soil suction stress, and we observed a correlation between erosion per unit in the gully bed area and soil water storage. Therefore, it is more important to predict the soil loss in the permanent gully from soil water storage and the hydromechanical response of soil mass, other than sole rainfall amount. In other words, the required water storage capacity to yield runoff intensity and low suction stress would predict soil loss in the permanent gully head cut more accurately.

Keywords: Gravitational mass wasting; Soil water characteristic curve; Erosion per unit area

1 Introduction

Gravitational mass wasting refers to the downward movement of rock, regolith, and/or soil caused by gravity along the sloping top layers of the earth's surface (Evans, 2004; Allen et al., 2018). There are four types of mass wasting, based on the speed of movement of the material and the level of moisture, namely, falls and avalanches, landslides, flow, and creep (Bierman and Montgomery, 2014). They often occur in various sizes with undetermined failure planes and are affected by hydrological and hydromechanical responses (Stein and LaTray, 2002; Rengers and Tucker, 2014). On the steep slopes of permanent gullies, gravitational mass wasting involves debris-free soil falling owing to bed undercutting driven by intensive channelized flow or persistent high soil moisture (Harmon and Doe, 2001). Soil loss from gravitational mass wasting during the rainy season occurs when a steep slope loses support from debris deposits. Meanwhile, soil loss during the melting season may result from persistent low soil suction stress. In unsaturated soil mechanics, a high occurrence potential or intensive soil loss from gravitational mass wasting corresponds to low soil suction stress (Lu and Godt, 2013). It remains unclear whether soil loss from gravitational mass wasting corresponds to soil suction stress during these two stages.

43 Permanent gullies are initiated in locations where concentrated flows can erode and deliver bed sediments
44 (Kirkby and Bracken, 2009; Sidle et al., 2017) and expand when gravitational mass wasting occurs following instant
45 or constant water infiltration (Poesen et al., 2010; Tebebu et al., 2010). Permanent gully development can be
46 determined by the topographical threshold and volumetric retreat rate of gully head cuts (Svoray et al., 2012; Guan
47 et al., 2021; Zare et al., 2022), the gully length–area–volume relationship (Li et al., 2015 and 2017), and their function
48 in the upstream drainage area and rainy days in different environments (Hayas et al., 2019). Soil loss from permanent
49 gullies is largely influenced by hydrological factors (Gómez-Gutiérrez et al., 2012), such as the flow rate, total water
50 volume, rainfall intensity and amount, and hydromechanical properties of the soil mass. Soil properties are affected
51 by land use, plant roots, texture, and structure. The hydrological process near the head cut, the hydromechanical
52 response of soil mass in reaction to water infiltration, and their relationship with soil loss from gravitational mass
53 wasting remain unknown. Under natural conditions, water infiltrates either following rain events or snow/ice-melting
54 events. The infiltration rate strongly depends on the amount and intensity of precipitation, leading to soil water
55 storage. However, the amount of stored water varies due to the amount of rainfall and melting rate or temperature.
56 During the snow/ice-melting season, the water infiltration duration persists longer than rain events because of
57 prolonged soil saturation and an extended period of low soil suction stress. This may generate more soil loss owing
58 to gravitational mass wasting. However, rain events typically generate intensive channelized flows, which erode
59 steep slopes and trigger gravitational mass wasting. Therefore, it is challenging to compare soil loss in the two
60 seasons. However, this issue could be addressed by considering the associated hydrological processes of head cuts
61 and hydromechanical responses within the soil mass.

62 In the mollisol region of Northeast China (MEC), over 296,000 permanent gullies have developed since 1960
63 (Yang et al., 2017; Dong et al., 2019). Gravitational mass-wasting processes have caused rapid gully widening due
64 to overfarming and a lack of maintenance (Wang et al., 2009). Various studies have focused on the hydrological
65 processes affecting ephemeral gully development and volume disparities caused by rain/snow melting (Tang et al.,
66 2022; Jiao et al., 2023), tillage practices (Xu et al., 2018; Li et al., 2021), and morphology (Zhang et al., 2016).
67 Permanent gullies pose a greater threat to croplands than ephemeral gullies because the soil loss from permanent
68 gully erosion can be as high as 50–65% of the total loss (Zhang et al., 2022). The relatively high area-increasing
69 ratio is affected by the combination of permanent gullies with cropland use, a large ridge orientation angle, and a
70 sunny orientation (Li et al., 2016; Liu et al., 2023). Tang et al. (2023) provided evidence of the rainfall threshold for
71 permanent gully development. They found that the maximum value of 3-d accumulative rainfall best explained
72 permanent gully bed erosion, and the cumulative value of erosive rainfall best accounted for gravitational mass
73 wasting. However, gravitational mass wasting on the steep slope of a permanent gully can occur either during the
74 rainy season or snow-melting season (Zhang et al., 2020; Zhou et al., 2023). Note that some studies proved that the
75 soil loss during snow-melting season remarkably accounts for a large percentage (Hu et al., 2007 and 2009), and
76 gully heads retreated faster than in the summer (Wu et al., 2008). Currently, the hydrological processes near the head
77 cut and the hydromechanical response of mollisols to water infiltration in the two seasons have never been
78 documented, and the associated soil loss from gravitational mass wasting is poorly understood. In the MEC, although
79 the duration of the snow/ice-melting season is shorter than that of the accumulated rainy days (Wang et al., 2021;
80 Fan et al., 2023; Went et al., 2024), the time for snow-melting water significantly exceeds that of rainy water
81 infiltration. Therefore, soil water storage may surpass drainage owing to continuous meltwater infiltration and
82 limited water drainage paths. Rain infiltration during the summer season temporarily increases and then decreases
83 once the rain event ceases and the water drains. Stored water significantly depends on rainfall events and the initial
84 soil water storage (Farkas et al., 2005; Xu et al., 2018). Therefore, the duration of low soil suction stress, such as
85 high soil moisture, differed substantially between the two seasons. Another effect is channelized water during
86 intensive rainstorms (Wen et al., 2021), which may erode the bed and result in gravitational mass wasting. Therefore,

87 the soil loss from gravitational mass wasting may coincide with the soil suction stress in the snow/ice-melting season.
88 Meanwhile, this coincidence may not exist in the rainy season.

89 Soil loss from gravitational mass wasting on the steep slope of a permanent gully is poorly understood in the
90 MEC. To date, relatively few studies have addressed its relationship with the hydrological and hydromechanical
91 response of the soil mass. This work focused on how the monitored soil water change and the suction stress affect
92 soil loss during the rainy and melting seasons in the head cuts of two permanent gullies, where one head cut
93 experiences no human activity, whereas the other does. Soil loss in the head cut area during the rainy and melting
94 seasons was observed. The differences in the physical properties of the mollisols, such as pore water pressure
95 dissipation at a given confining stress, the soil water characteristic curve (SWCC), and the hydraulic conductivity
96 function (HCF), were compared. The soil loss per unit area on the steep slope and gully bed was analyzed for the
97 soil water storage, drainage, and soil suction stress, respectively. The objective of this study was to characterize the
98 relationship between soil loss intensity on steep slopes and the hydromechanical response of the soil mass, as well
99 as the intensity in channel beds with water storage.

100 **2 Study area**

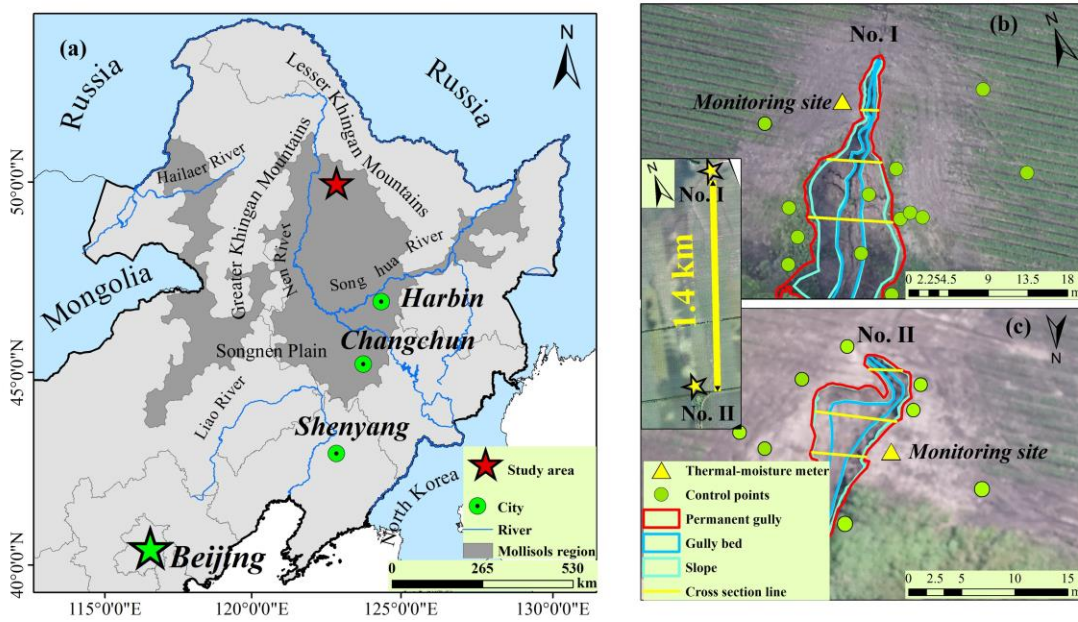
101 Northeast China is one of the three main mollisol regions worldwide, with a total area of 1,030,000 km². It
102 contributes 20% of the grain and more than 40% of the corn in China. Most of the mollisol region was gradually
103 converted from native vegetation to cropland beginning in the late 19th Century. Croplands constitute 80% of the
104 total land area, and the main crop types are soybean and corn. The study area is located in the typical heavy gully
105 erosion area of the mollisol region of Northeast China, where native grasslands and forests have been fully converted
106 into croplands since 1968. It is situated in a transitional rolling hilly area extending from the Songnen Plain to the
107 Greater Khingan Mountains in the west, the Lesser Khingan Mountains in the north, and near the Nen River (Fig.
108 1a). Owing to the gentle landscape, the farmland in the study area is covered by a thick black organic soil layer, with
109 sandstone, mudstone, and sandy conglomerate underneath.

110 The two permanent gullies examined in this work are 1.4 km apart and are located on the south-facing and
111 north-facing rolling slopes, respectively (Figs. 1b and 1c). The catchment area above Gully No. I is 0.22 km². The
112 relative relief and channel gradient are 25.85 m and 3.3%, respectively. The catchment above the head cut of Gully
113 No. II is 0.35 km², and the relative relief and channel gradient are 26.1 m and 3.2%, respectively. The width of Gully
114 No. I gradually broadened, whereas Gully No. II narrowed and Gully No. I was deeper (Figs. 2a and 2b). The mean
115 depth of the Gully No. I was 3.5 m while that of Gully No. II was 1.23 m. The mean length and width of No. I gully
116 were 25.3 m and 8.72 m, whereas those of Gully No. II were 28.2 and 5.61 m. The gully area for No. I was 199.3
117 m², and the volume was 863.6 m³. For Gully No. I, the area and volume of the gully were 143.3 m² and 123.6 m³.

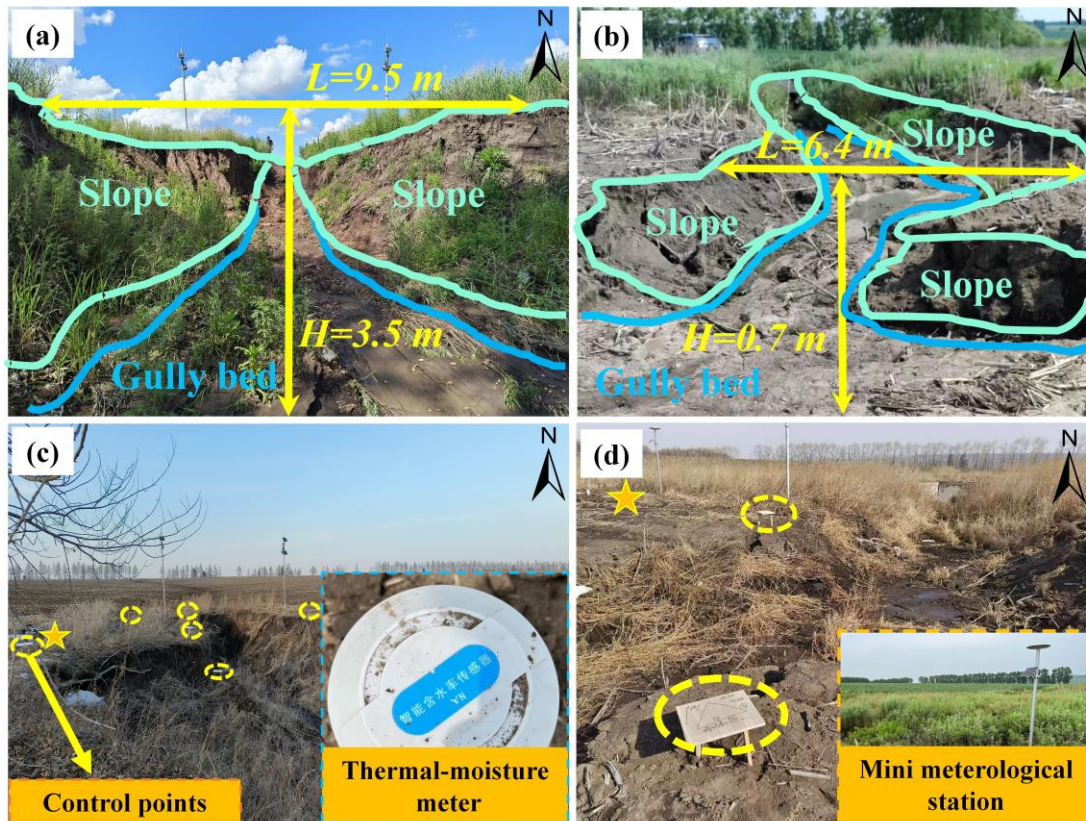
118 The two gullies are still expanding because they are connected to the river network, which drains water into the
119 Nen River. Although grass covers the area near the sidewall and ridge along the gully, mass-wasting movement
120 frequently occurs during the melting and rainy seasons. The differences in the gully planform and depth indicate that
121 the mass movement at the sidewall or head cut has distinctive rates and scales. The mass movement at the sidewalls
122 of the two gullies differed in scale, as shown in Figs. 2c and 2d. The height and width of the Gully No. II were lower
123 than those of the Gully No. I (Fig. 3). The head cut area of Gully No. II underwent tillage activities, whereas the
124 head cut area of Gully No. I did not. Therefore, Gully No. II is representative of the initial development stage for a
125 large permanent gully.

126 The study area has a continental monsoon climate with variable annual precipitation ranging from 347 to 775
127 mm, averaging 546 mm between 1971 and 2018 (Tang et al., 2023). Rainfall mainly occurs between June and August,
128 accounting for 70–90% of the annual precipitation, with an average of 461 mm. Snowfall occurs mainly from

129 November to April, accounting for 10–30% of the annual precipitation. The average temperature in the coldest and
 130 warmest months are -22.5°C and 20.8°C , respectively, with an annual average temperature of 0°C .

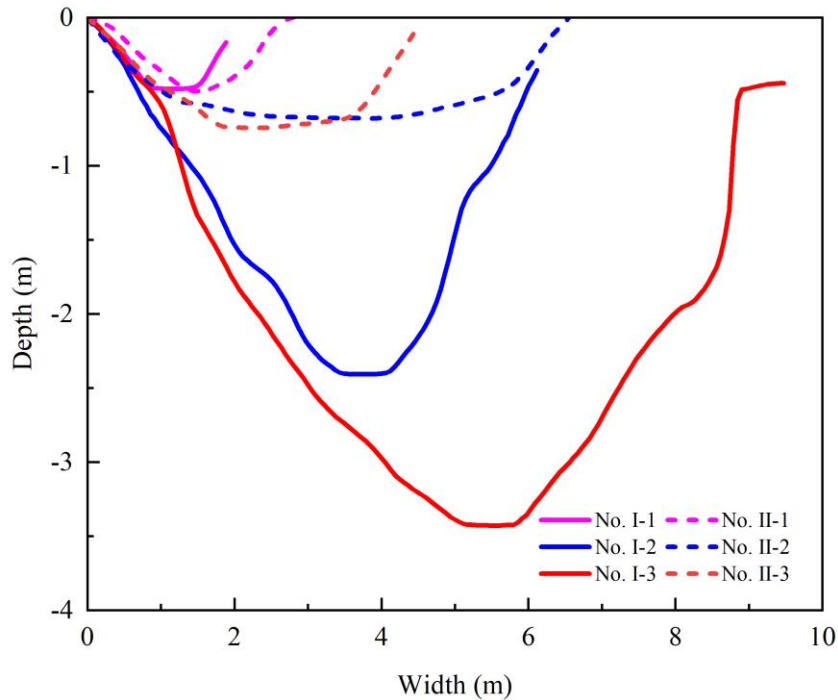


131
 132 **Fig. 1.** Location of the two permanent gullies in the mollisol region of Northeast China. (a) The red star marks the
 133 observation site in the study area (from ESRI). (b) Monitoring sites and ground controlling points at permanent
 134 Gully No. I. (c) Monitoring sites and ground controlling points at permanent Gully No. II. (background of a is
 135 from ESRI. The area between the blue lines marks the gully bed, and that between the pink and blue lines marks
 136 the steep slope.



137

138 **Fig. 2.** A close view of the steep slope and head cut of the two permanent gullies, with (a) cross-section and upstream
 139 view of the permanent Gully No. I, (b) cross-section and downstream view of the permanent Gully No. II, (c)
 140 ground control points (blue dot circles) and the soil moisture–temperature monitoring site (yellow star) at
 141 permanent Gully No. I, and (d) ground controlling points and the soil moisture–temperature monitoring sites at
 142 permanent Gully No. II. The location of the head cut of the two gullies is shown in Fig. 1. The area between
 143 the blue lines marks the gully bed. The area between the pink and blue lines marks the slope.



144
 145 **Fig. 3.** Difference of the two permanent gullies' cross-section. The location of the cross-section lines is shown in
 146 Figs. 1b and 1c.

147 3 Material and methods

148 3.1 Monitoring work

149 Near the gully head cut, frequency–domain reflectometry sensors were installed to monitor the soil moisture
 150 and air temperature at depths of 20, 40, 60, and 80 cm (Fig. 2c). These two monitoring sites share the same rainfall
 151 records as Gully No. II (Fig. 2d). A trench was dug to obtain soil samples from the two monitoring sites. The soil
 152 samples were used for pore water pressure dissipation tests via consolidated undrained triaxial compression tests
 153 (CU) using a GDS triaxial apparatus (GDS, UK), and the unsaturated permeability was measured using the transient
 154 release and imbibition method (TRIM; Lu and Godt, 2013).

155 To observe the gravitational mass-wasting process during the rainy and melting seasons, the study area was
 156 scanned using numerous control points (the dots in Figs. 1a and 1b and dashed circles in Figs. 2c and 2d) installed
 157 in and around the gully area, and an unmanned aerial vehicle (UAV) was used. These control points were used to
 158 improve the accuracy of the UAV-derived map and digital elevation model to obtain highly accurate topography
 159 data. Three flights on June 28, 2022, October 17, 2022, and June 20, 2023, were performed with the same flight
 160 routine and image overlap. The two frontier flights in 2022 spanned 111 d during the rainy season. The latter two
 161 covered the winter of 2022 and the spring of 2023. As low soil moisture persists from October each year and snow
 162 cover in the winter does not result in gravitational mass movement, the effective duration of the melting season starts
 163 on March 15, 2023. Therefore, the melting season in this study lasted 97 d. We used Pix4D software to process the

164 image synthesis and gully topography production, which can reallocate the point cloud and filter the points of the
 165 vegetation layer. As the points of the vegetation layer, mainly the grass blades, are changeable in plant height,
 166 whereas the ground point is fixable, the vegetation layer can be filtered out and removed using the filtering tool. The
 167 DEM products were spatially registered in ArcGIS 10.2 using a standard layer of orthoimages, ground control points,
 168 and spline functions (Table 1). The erosion depth of the head cut was then obtained from the difference between the
 169 two DEMs. Therefore, the linearity and erosion per unit area could be calculated using the erosion depth and grid
 170 size. The differences between the two digital elevation models generated positive and negative terrain, which showed
 171 soil loss from gravitational mass wasting. The eroded soil volume in a unit of steep slope surface area, termed erosion
 172 per unit area, was applied to address the erosion caused by gravitational mass wasting.

173
 174 **Table 1.** Detailed information on three UAV flights and the digital elevation models

| UAV model | Flight date | Season/ duration | Flight height (m) | DEM accuracy (m) | Image overlap (%) |
|-------------------|-------------|------------------|-------------------|------------------|-------------------|
| DJI Inspire 2 RTK | 2022.06.28 | / | 200 | 0.058 | 80 |
| DJI Phantom 4 RTK | 2022.10.17 | Rainy/111 d | 500 | 0.108 | 80 |
| DJI Phantom 4 RTK | 2023.06.21 | Melting/97 d | 150 | 0.042 | 80 |

175

176 3.2 Tests of pore water pressure rising and dissipation

177 The consolidation module of the GDS triaxial apparatus was used to record the pore water pressure within the
 178 soil mass under a given confining stress. The soil samples were initially saturated in a vacuum pump and then
 179 consolidated in the chamber of the GDS apparatus at effective confining pressures of 100, 200, and 300 kPa with a
 180 10-kPa backpressure. The consolidation process was completed when the pore water pressure decreased to the
 181 backpressure values.

182 For the pore water increasing stage:

$$183 P_{\uparrow} = P_0 \times t^{b_{\uparrow}} \quad (1)$$

184 where P_{\uparrow} is the recorded pore water pressure during the increasing stage (kPa), P_0 is the initial pore water pressure
 185 since loading (kPa), t is the time (s), b_{\uparrow} is the rising proxy reflecting the steepness of the power-law curves of pore
 186 water pressure increase.

187 For the pore water dissipation stage:

$$188 P_{\downarrow} = \frac{P_{max}}{1+b_{\downarrow} \times t} \quad (2)$$

189 where P_{\downarrow} is the recorded pore water pressure during the dissipation stage (kPa), P_{max} is the maximal pore water
 190 pressure since loading (kPa) and is the rollover point in the pore water pressure curve, t is the time (s), b_{\downarrow} is the
 191 dissipation proxy reflecting the water drainage ability of soil mass at given confining pressure. It reflects the
 192 concavity of the pore water pressure dissipation curve.

193

194 3.3 Hydromechanical properties

195 TRIM was used to test the unsaturated permeability of the soil mass (Lu and Godt, 2013). The SWCC and HCF
 196 were obtained using Hydrus 1-D (Wayllace and Lu, 2012). Using the models proposed by Mualem (1976) and van
 197 Genuchten (1980), the constitutive relations between the suction head (h), water content (θ), and hydraulic
 198 conductivity (K) under drying and wetting states can be represented by the following equation:

199
$$\frac{\theta - \theta_r}{\theta_s - \theta_r} = \left[\frac{1}{1 + (\alpha|h|^n)} \right]^{1 - \frac{1}{n}} \quad (3)$$

200 and

201
$$K = K_s \frac{\left\{ 1 - (\alpha|h|)^{n-1} [1 + (\alpha|h|^n)^{\frac{1}{n-1}}] \right\}^2}{[1 + (\alpha|h|^n)^{\frac{1}{2} - \frac{1}{2n}}]} \quad (4)$$

202 where θ_r is the residual moisture content (%), θ_s is the saturated moisture content (%), α and n are empirical
 203 fitting parameters, α is the inverse of the air-entry pressure head, n is the pore size distribution parameter, and K_s
 204 is the saturated hydraulic conductivity (cm/s).

205 Based on the observed volumetric water content and the SWCC, the suction stress (σ^s , kPa) throughout the
 206 observation stage can be expressed as:

207
$$\sigma^s = -\frac{S_e}{\alpha} \left(S_e^{n/(1-n)} - 1 \right)^{1/n} \quad (5)$$

208 3.4 Soil water storage and drainage

209 In this study, the hydrological process of the steep slope is of utmost importance for analyzing gravitational
 210 mass wasting because of the varied soil water storage and drainage in the rainy and snow-melting seasons. Soil water
 211 is temporally stored during rainstorms but drains after the rainstorms cease. The drainage process during melting is
 212 not addressed herein because melting water constantly contributes to high soil moisture. Therefore, soil water storage
 213 (S_s) during rainstorms and the snow-melting season and drainage (S_d) after a rainstorm can be evaluated using the
 214 soil depth and the difference between the maximum soil moisture and antecedent soil moisture:

215
$$S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r} \quad (6)$$

216
$$S_s = S_e^w \Delta h_i \quad (7)$$

217
$$S_d = P - S_e^d \Delta h \quad (8)$$

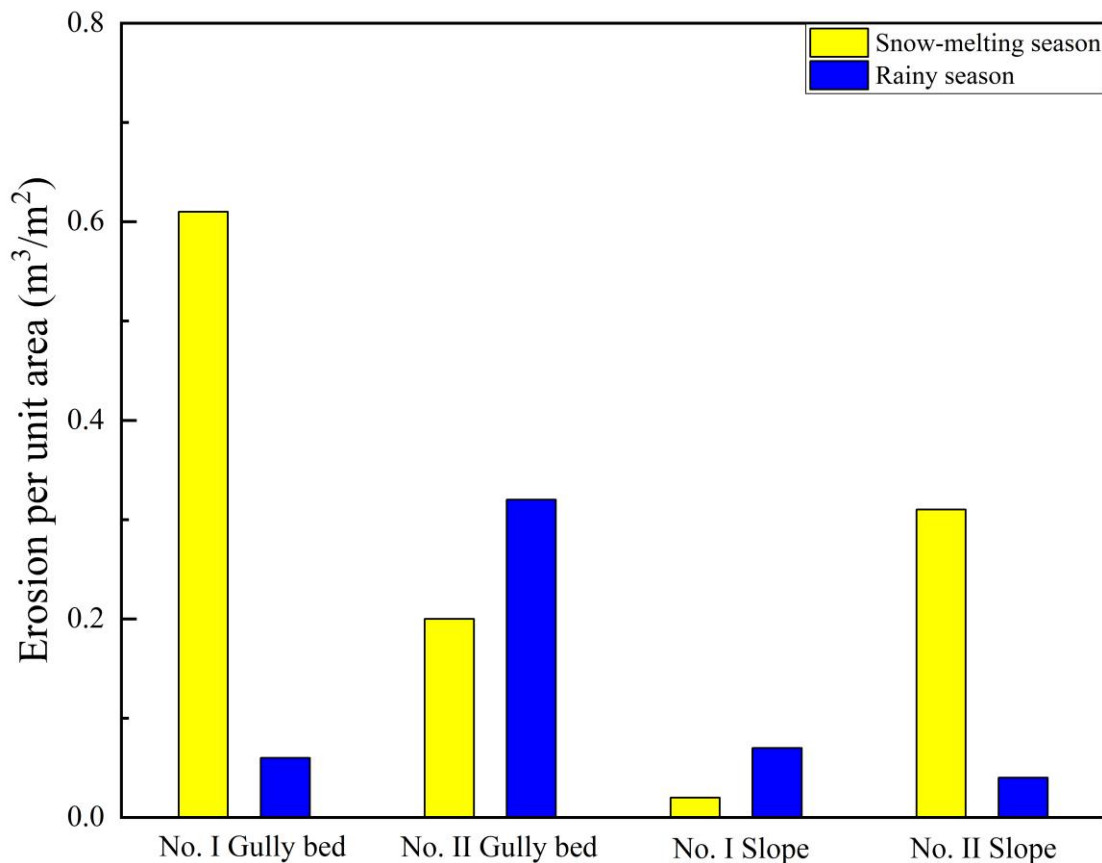
218 where S_e is the degree of saturation, θ is the in-situ observed volumetric moisture content measured (%), Δh_i is
 219 the soil layer i (200 mm in this work, $i = 1, 2, 3, 4$), S_e^w and S_e^d are the residual soil moisture in the wetting and
 220 drying processes (%), and P is the accumulated rainfall (mm) and equals 0 mm in the snow-melting season. To
 221 show the soil water storage during the rainy and snowmelt seasons and the water drainage after rainfall, all the
 222 information was considered, including rainfall amount, air temperature, soil moisture, and temperature in various
 223 soil layers. The recorded rain events were categorized into four groups: light rain, moderate rain, torrential rain, and
 224 rainstorms, with rain amounts of < 10, 10–25, 25–25, and 50–100 mm, respectively.

225 4. Results

226 4.1 Erosion per unit area of gully bed and slope

227 The erosion per unit area in both bed and slope areas during the snowmelt season for Gully No. I was greater
 228 than that in Gully No. II (Fig. 4). This could have been driven by the low meltwater storage and high meltwater
 229 runoff at the head cut of Gully No. I. During the rainy season, the erosion per unit area for the bed of Gully No. II
 230 was greater than that of Gully No. I. This may have resulted from rapid soil water storage and drainage producing
 231 intensive runoff at the head cut of Gully No. II. The erosion of steep slopes is mainly due to gravitational mass
 232 wasting. For Gully No. II, erosion per unit area during the snowmelt season was significantly greater than that during
 233 the rainy season. During the snow-melting season, the erosion per unit area for the slope in Gully No. II was greater
 234 than that in Gully No. I. Although erosion per unit area during the rainy season for Gully No. I was higher than that
 235 of Gully No. II, the difference was negligible compared to that in the snow-melting season. The slopes of the
 236 permanent gully were steep, and the stability of the slope primarily depended on the soil suction stress as a function
 237 of the hydromechanical properties and the soil moisture.

238 As the channel bed erosion was closely correlated with the hydrological process and the slope erosion
 239 corresponded to the soil suction stress, further examination of the associated soil water storage and drainage and the
 240 hydromechanical properties of the soil mass in the two permanent gullies was conducted. One of the differences in
 241 the hydrological processes in the head cut indicates that soil water storage and drainage occur during the rainy season.
 242 Water drainage was absent during the snowmelt season. These results are due to the continuous melting of water
 243 from snow and ice in macropores and fissures. Once the melting process was completed, the soil water storage
 244 process ceased with the onset of the water drainage process during the transition time between the snow melting and
 245 rainy seasons.



246
 247 **Fig. 4.** Differences in the erosion per unit area for the gully bed and slope
 248

249 **Table 2.** The physical properties and pore water pressure changes in the soil mass

| Parameters | Definition | Confining pressure (kPa) | Permanent gully | |
|------------------------|---------------------------------------|-----------------------------|-----------------|--------|
| | | | No. I | No. II |
| v_f (kPa/min) | Pore water rising ratio | 100 | 11.83 | 23.04 |
| | | 200 | 4.86 | 90.52 |
| | | 300 | 5.55 | 10.92 |
| b_f | Pore water rising proxy as Eq. (1) | 100 | 0.23 | 0.25 |
| | | 200 | 0.24 | 0.46 |
| | | 300 | 0.30 | 0.41 |
| v_d (kPa/h) | Pore water dissipation ratio | 100 | 3.68 | 22.77 |
| | | 200 | 3.32 | 194.47 |
| | | 300 | 3.66 | 23.94 |
| $b_d (\times 10^{-5})$ | | 100 | 9.97 | 79.70 |

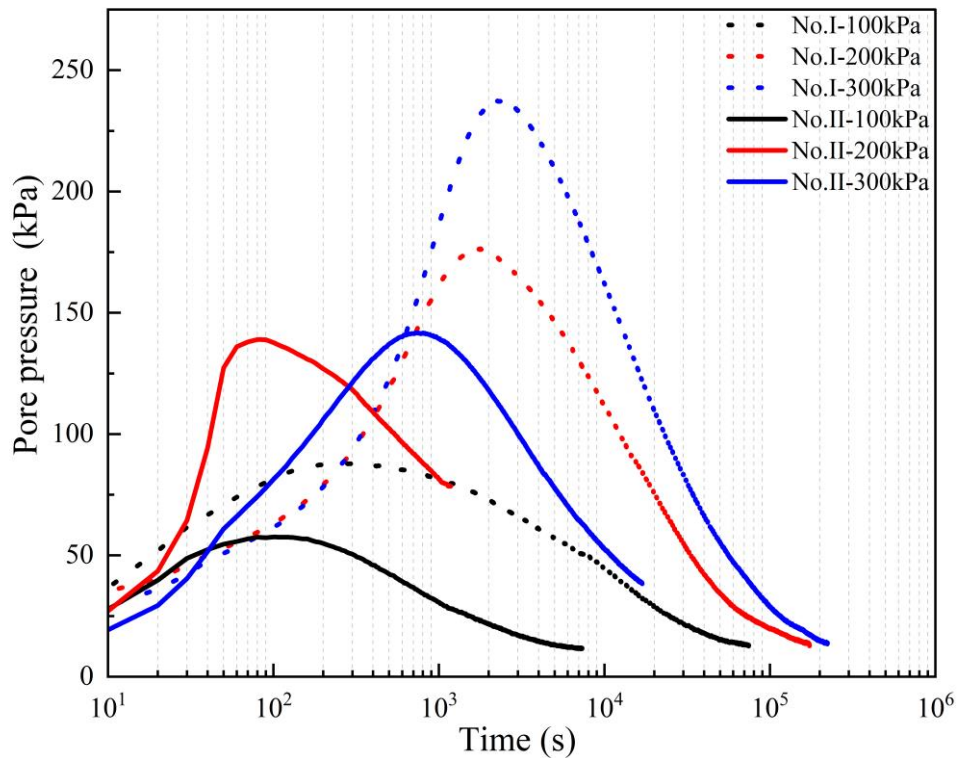
| | | | | |
|--|---------------------------------|--------------------------|------|-------|
| | Pore water | 200 | 7.80 | 79.40 |
| | dissipation proxy as Eq. (2) | 300 | 6.82 | 18.10 |
| | c (kPa) | Effective cohesion | 11.3 | 7.2 |
| | ϕ ($^{\circ}$) | Effective friction angle | 16.3 | 21.3 |
| | γ (kN m^{-3}) | Unit weight | 14.1 | 12.5 |

250

251 4.2 Physical properties of mollisols

252 4.2.1 Pore water pressure rising and dissipation

253 Under the same confining pressure, pronounced differences were observed in the rising and dissipation ratios
 254 of the pore water pressure within the mollisols of the two gullies. The pore water pressure results during the
 255 consolidation process at effective confining pressures of 100, 200, and 300 kPa were compared (Fig. 5). The physical
 256 properties and the rising and dissipation ratios and proxies are listed in Table 2. The peak value of the pore water
 257 pressure within the mollisols of Gully No. I was higher than that in Gully No. II. The peak value of the pore water
 258 pressure within the mollisols of Gully No. II increased to 57.6, 139.0, and 141.7 kPa under the confining stresses of
 259 100, 200, and 300 kPa, respectively. In contrast, the peak value of the pore water pressure within the mollisols of
 260 Gully No. I increased to 87.9, 176.1, and 237.3 kPa, respectively.



261

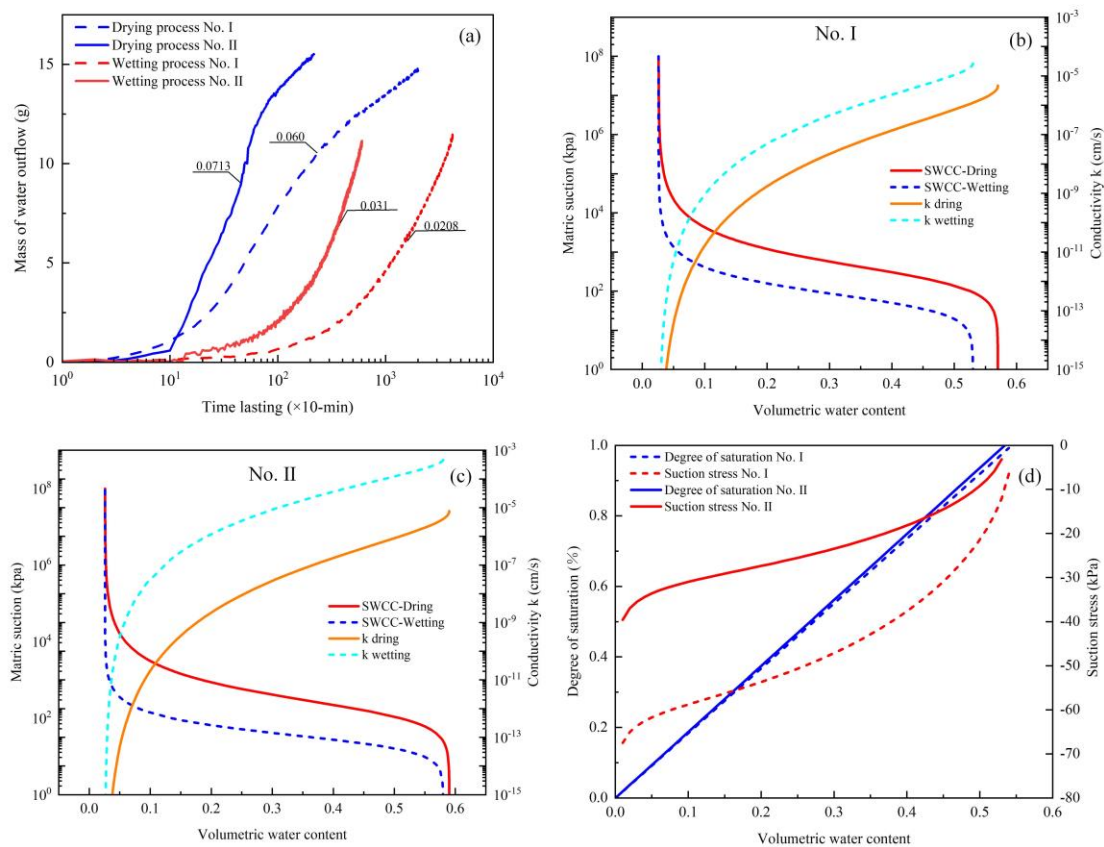
262 **Fig. 5.** Variation in pore water pressure under effective confining pressure of 100, 200, and 200 kPa by GDS
 263 triaxial shear tests (GDS Instruments, UK). The proxy for the pore water pressure rising and dissipation are
 264 calculated using Eqs. (1) and (2). The rising and dissipation ratio is calculated using the pore water pressure
 265 difference during a given time interval. The values of proxy and ratio are shown in Table 2.

266 The high peak pore water pressure illustrates that the mollisols of Gully No. II had strong hydraulic conductivity
 267 as the ratio increased, and the proxy and dissipation ratio and proxy represented the pore connectivity. During the
 268 rising stage, the rising ratio of the mollisols in Gully No. II was 2 to 18.6 times greater, and its rising proxy was 1.08
 269 to 1.92 times larger than that of Gully No. I. Within the dissipation stage, the ratios were 6.20 to 58.6 greater, and

270 its proxies were 2.65 to 8.0 times larger than those for mollisols of Gully No. I. The largest difference between these
 271 two gullies was observed under a confining stress of 200 kPa. Therefore, the increase in the pore water pressure and
 272 dissipation properties suggests that the head cut of Gully No. II may have exhibited active hydrological processes.
 273

274 4.2.2 Hydromechanical properties of mollisols

275 Fig. 6 shows the results of the TRIM tests, SWCC, HCF, and estimated suction stress with varying degrees of
 276 saturation. The water outflow mass was measured at 10-min intervals during the drying and wetting processes. The
 277 SWCC and HCF of the drying process and wetting process differ because water flow from the drying process relates
 278 to the applied suction level, while the water flow during the wetting process was measured at a positive pressure
 279 head (Lu and Godt, 2013). The water outflow masses measured for the mollisols in Gully No. II were generally
 280 higher than those of the mollisols in Gully No. I. For the drying tests using mollisols from Gully No. II and No. I,
 281 the water outflow masses were 0.0713 and 0.060 g per 10 min, respectively. For the wetting tests, the water outflow
 282 masses were 0.031 and 0.0208 g per 10 min, respectively (Fig. 6a). Overall, the permeability of mollisol Gully No.
 283 II was higher than that of mollisol Gully No. I. The same results were obtained for the pore water pressure increase,
 284 dissipation ratio, and proxy, as shown in Table 2.



285
 286 **Fig. 6.** Differences in the hydromechanical properties of the two soil masses. (a) Water flow mass in the drying and
 287 wetting process. (b) SWCC for soil mass of permanent Gully No. I. (c) SWCC for soil mass of permanent Gully
 288 No. II. (d) Suction stress–volumetric water content curves for the two soil masses. The mass of water outflow
 289 was recorded at 10 min for each test.

290
 291 Using the parameters listed in Table 3, the SWCC and HCF curves of the mollisols were plotted (Figs. 6b and
 292 6c). Air-entry pressure and residual water content are two important parameters that describe the hydrological and
 293 mechanical characteristics of mollisols. The air-entry pressure represents the critical value at which air enters the

294 saturated soil and begins to drain. In comparison, the values of α^d and α^w together prove the required air-entry
 295 pressure for mollisols in Gully No. I was greater than that in Gully No. II, the differences were 79.4 kPa and 28.0
 296 kPa under drying and wetting conditions, respectively (Table 3). Therefore, water infiltration into Gully No. II,
 297 during either the rainy or snow-melting seasons, was more active than in Gully No. I. The residual moisture did not
 298 vary markedly due to the soil type similarity.

299 The saturated hydraulic conductivities of the mollisols in Gully No. I were lower than those in Gully No. II in
 300 both the drying and wetting processes. In Table 2 and Fig. 5, the pore water pressure rising ratio and proxy and the
 301 dissipation ratio and proxy further indicate the permeability of the mollisols in Gully No. II was higher than that in
 302 the mollisols of Gully No. I. Therefore, the pore water pressure changed with varying confining stress, air-entry
 303 pressure, and saturated hydraulic conductivities under drying and wetting conditions, suggesting that it is more
 304 challenging for the mollisols in Gully No. I to absorb and drain more water compared to mollisols in Gully No. II.

305 Figs. 6b and 6c present the matric suction and hydraulic conductivity at various soil moisture levels. However,
 306 it was impossible to compare the suction stress level with various hydrological and mechanical parameters, as listed
 307 in Table 3. Hence, the suction stress at various soil moisture levels was determined (Fig. 6d). The absolute suction
 308 stress at the specified soil moisture for mollisols in Gully No. I was higher than that of mollisols in Gully No. II,
 309 suggesting a higher possibility of gravitational mass wasting for the mollisols in Gully No. II.

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Table 3. Parameters describing the SWCC and the HCF from Hydrus 1D.

| Parameters | Definition | Permanent gully | |
|---------------------------------|--|-----------------------|-----------------------|
| | | No. I | No. II |
| θ_r | Residual moisture | 0.0262 | 0.0259 |
| θ_s^d | Saturated moisture | 0.57 | 0.59 |
| θ_s^w | | 0.53 | 0.58 |
| α^d (kPa ⁻¹) | The inverse of the air-entry pressure head | 0.0042 | 0.0063 |
| α^w (kPa ⁻¹) | | 0.0183 | 0.0375 |
| n^d | The pore size distribution parameter | 1.69 | 1.68 |
| n^w | | 1.95 | 1.91 |
| K_s^d (cm s ⁻¹) | Saturated hydraulic conductivity | 4.73×10^{-6} | 7.82×10^{-6} |
| K_s^w (cm s ⁻¹) | | 2.64×10^{-5} | 4.26×10^{-4} |

312 Notes: the superscript *d* and *w* indicate drying and wetting states.

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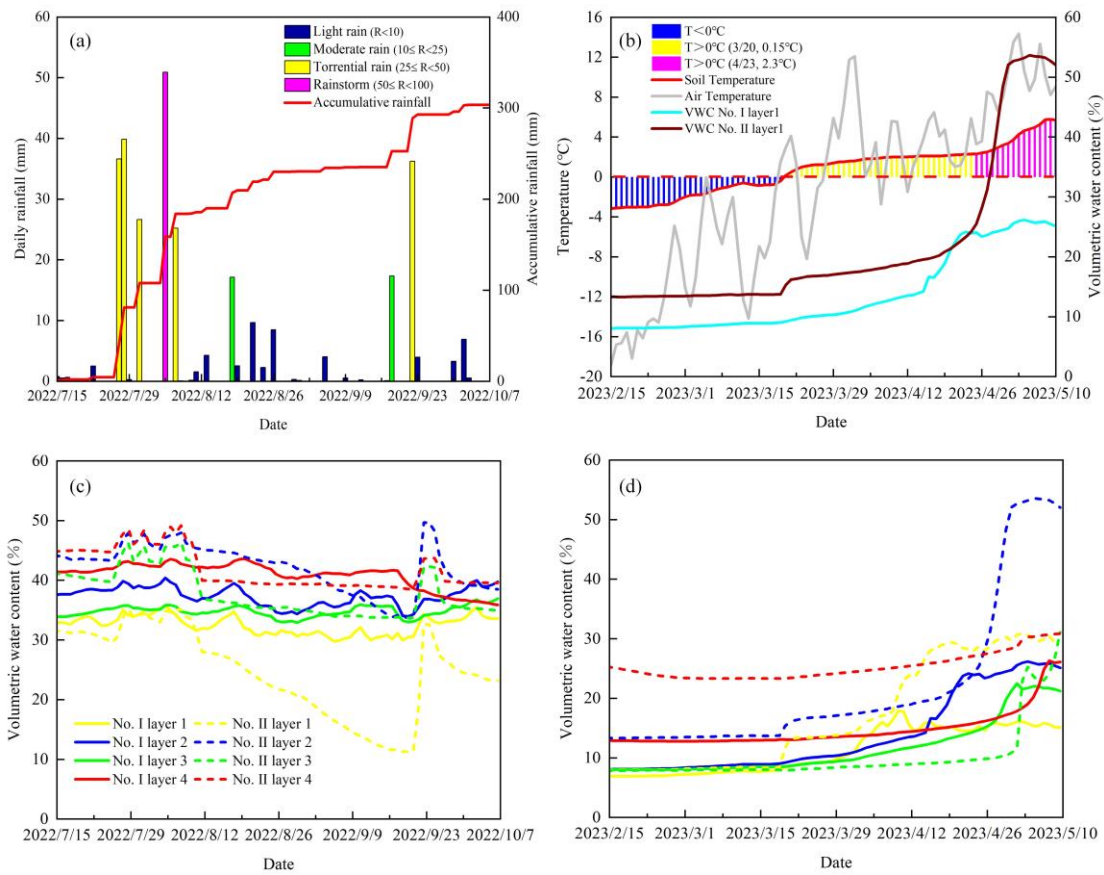
314 4.3 Hydrological response

315 4.3.1 Monitoring results

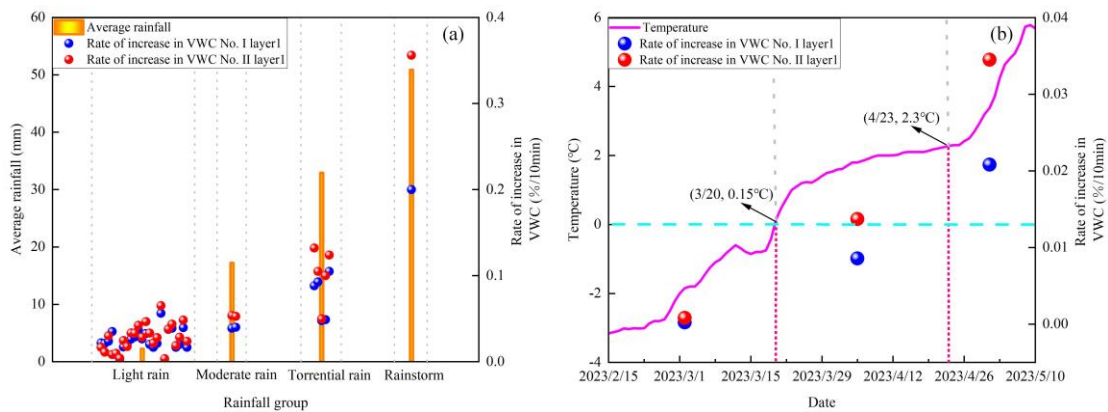
316 In total, 24 light rain events, two moderate rain events, five torrential rain events, and one rainstorm event were
 317 recorded (Fig. 7a). During the snow-melting season, the air temperature started to increase above 0 °C on March 20
 318 with an increasing gradient of 0.15 °C per day, which reached 2.3 °C per day after April 23 (Fig. 7b). For soil
 319 moisture changes, the volumetric water content at a depth of 20 cm for Gully No. II greatly increased from April 23,
 320 whereas it only slightly increased for Gully No. I. This suggests that the head cut of the Gully No. II may have
 321 experienced higher soil moisture levels. Soil moisture throughout the rainy and snowmelt seasons had dissimilarities
 322 between sites. During the rainy season, the volumetric water content at a depth of 20 cm persistently remained at a

323 lower level of soil moisture than at the other three soil depths, as shown in Fig. 7c. However, during the snow-
 324 melting season, the volumetric water content of the 40 cm soil layer was the highest (Fig. 7d). Overall, the soil
 325 moisture content of Gully No. II exhibited greater fluctuations than Gully No. I in both the rainy and snowmelt
 326 seasons. Water infiltration from rain events or snowmelt into the head cut of Gully No. II was more active than that
 327 of Gully No. I. The observed difference proves that the stored and drained water from the head cut of Gully No. II
 328 was significantly greater than that in Gully No. I.

329 To further analyze the differences in water infiltration during the rainy and snowmelt seasons, the rate of soil
 330 moisture increase at a depth of 20 cm was compared in detail (Fig. 8). Among the four types of rain events, the mean
 331 rate of increase for Gully No. II were 0.027, 0.053, 0.102, and 0.356, respectively, which were 1.12, 1.35, 1.34, and
 332 1.78 larger than those for Gully No. I (Figs. 8a and 9a). During the snow-melting season, soil moisture ratios increase
 333 in the initial, medium, and final stages for Gully No. II were 3.48, 1.60, and 1.66 times, respectively, than those in
 334 Gully No. I (Fig. 8b). Therefore, the water infiltration ratios for the head cut areas of Gully No. II during the rainy
 335 and snowmelt seasons.

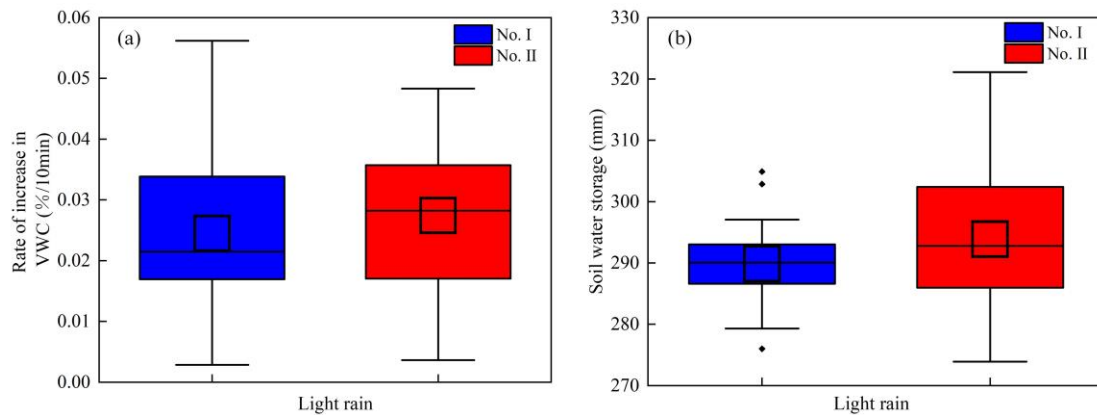


336
 337 **Fig. 7.** Field-monitored rainfall conditions, air and ground temperature, and volumetric water content. **(a)** Rain
 338 events during the rainy season. **(b)** Soil, air temperature, and volumetric water content during the snow-melting
 339 season. **(c)** and **(d)** Monitored volumetric water content during the rainy and snow-melting seasons.



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Fig. 8. Volumetric water content increasing ratio in snow-melting ratio and the rainy season. **(a)** Rate of increase in VWC at varied rain events. **(b)** Rate of increase in VWC at three stages of temperature increase.



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Fig. 9. Hydrologic behavior for gully head cut during light rain events. **(a)** Lower rate of increase in VWC for Gully No. I. **(b)** Higher soil water storage for Gully No. II. The three crossing lines of the boxes show the 75th quantile (Q_3), median (Q_2), and 25th quantile (Q_1) from top to bottom. The length of the box is referred to as the interquartile range ($IQR = Q_3 - Q_1$). The crossed square inside the box is the average value. The upper and lower limits of whiskers are $Q_3 + 1.5IQR$ and $Q_1 - 1.5IQR$, respectively. The solid squares are the outliers.

4.3.2 Soil water storage and drainage

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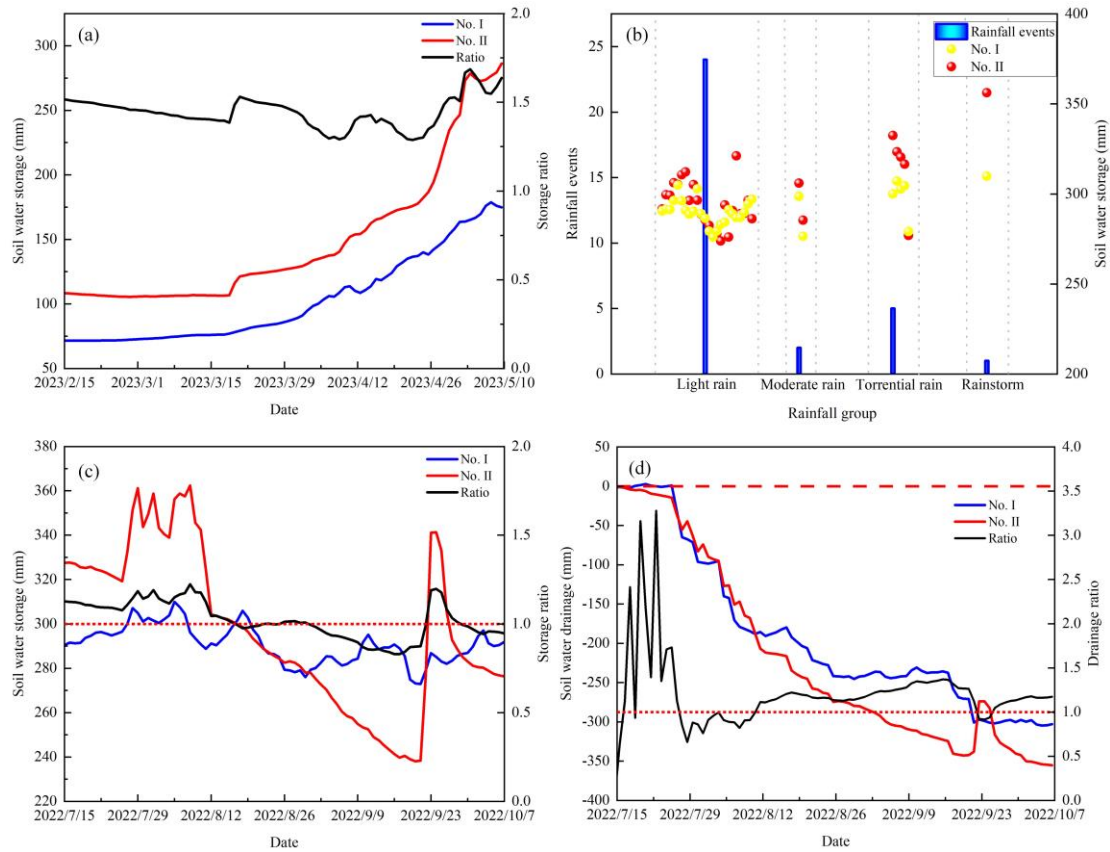
Fig. 10 shows the stored and drained water in the soil column at the head cuts of the two gullies. During the snowmelt season, the water stored in Gully No. II was higher than that in Gully No. I. The stored water ratio was calculated by dividing the amount of water stored in Gully No. II based on the amount stored in Gully No. I was typically larger than 1.0 throughout the snowmelt season (Fig. 10a). This ratio increased abruptly from April 26. Therefore, the amount of water stored in the head cuts of Gully No. II was higher.

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Regarding the four types of rain events, the mean stored water for the head cuts of Gully No. II during the 24 light rain events was greater than that in Gully No. I (Figs. 9b and 10b). The differences in water stored in the head cuts of the two gullies were 4.0, 8.1, 15.2, and 46.3 mm, respectively. Therefore, the stored water, either in the snow-melting or rainy seasons, was higher in the head cuts of Gully No. II. However, the water stored in the head cuts of Gully No. II was not always higher than that in Gully No. I, as shown in Fig. 10c. From August 26 to September 3, 2022, the water stored at the head cut of Gully No. II was lower than that in Gully No. I. This could be attributed to high temperatures and light rain events. However, the water stored in the head cuts of Gully No. II exceeded that of Gully No. I during a torrential rainfall event on September 22. The soil water storage capacity of Gully No. II has stronger fluctuations. Rapid water infiltration generally occurs with rapid water drainage. Fig. 10d shows the water

366 drainage and drainage ratios of the two gullies during the rainy season. The water drained from Gully No. II was
 367 higher than that in Gully No. I. Therefore, the head cut area of the Gully No. II had better soil water storage capability
 368 in snowmelt and rainy seasons and more rapid water drainage in the rainy season than Gully No. I.

369 In summary, rapid soil water storage and drainage for the head cuts of Gully No. II during torrential rain or
 370 rainstorms coincided with the observed pore water pressure rise and dissipation and the hydromechanical properties
 371 of mollisols. The high permeability of mollisols at the head cut of Gully No. II was attributed to more rapid soil
 372 water storage, drainage processes, and stored water. This could considerably influence the erosion intensity of the
 373 steep slope and gully bed of the permanent gully.



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 375 **Fig. 10.** Hydrological response during the rainy and snow-melting season. (a) Soil water storage and the storage
 376 ratio during the snow-melting season. (b) Soil water storage at varied rain events. (c) Soil water storage and the
 377 storage ratio for the two permanent gullies. (d) Soil water drainage and the drainage ratio during the rainy
 378 season. During the rainy season, soil water storage and drainage synchronously change with the onset and end
 379 of rainfall.

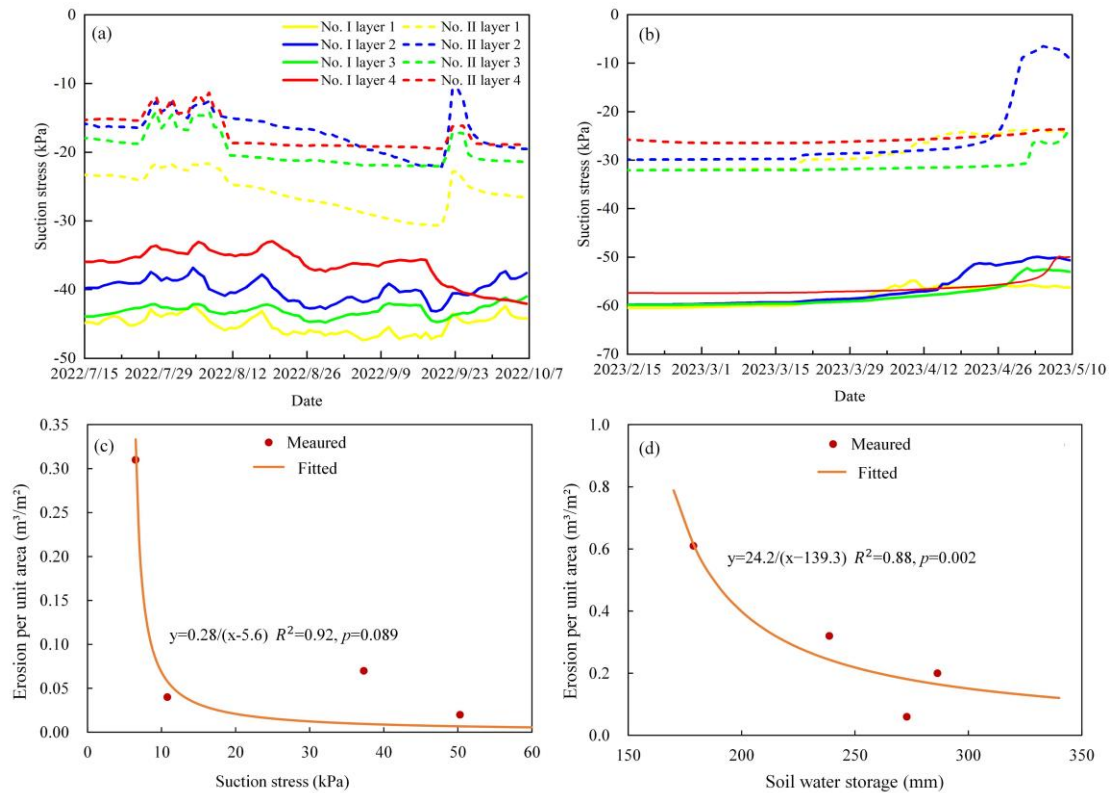
380
 381 **4.4 Hydromechanical response and soil loss**

382 The mollisols in the head cut area of the two permanent gullies differed in hydromechanical properties, so the
 383 monitored soil moisture varied greatly in the field. The suction stress was estimated according to the field-monitored
 384 soil moisture at each site and the relationship between the soil moisture and matric suction (Figs. 6d, 7c, and 7d).
 385 During the rainy season, the absolute value of the suction stress of the mollisols in Gully No. II was lower than that
 386 of Gully No. I (Fig. 11a). The smaller absolute values of the suction stress for the mollisols of Gully No. II during
 387 the snowmelt season (Figure 11b). Moreover, the smaller suction stress in the snowmelt season may have resulted
 388 in strong erosion on the slope of Gully No. II, as shown in Fig. 4.

389 As the hydrological process of the head cut area is closely related to channel bed erosion, the hydromechanical

390 response influences slope stability. It is important to analyze the possible relationship between the erosion per unit
 391 area on the channel bed, soil water storage, and erosion of a steep slope with suction stress. Generally, a high absolute
 392 value of the suction stress is associated with strong, cohesive forces between the soil particles, which is a sign of
 393 stability. In contrast, a low absolute value of suction stress suggests a higher potential for slope failure. Therefore,
 394 the relationship between the absolute value of the suction stress and erosion per unit area could be negative. Fig. 11c
 395 shows the reciprocal relationship between the suction stress and erosion per unit area of the slope. The empirical
 396 relationship indicates that gravitational mass wasting occurred on the slope, and the permanent gully expanded when
 397 the suction stress remained relatively low for a prolonged period, particularly at approximately 5.6 kPa for the study
 398 area.

399 Erosion of the channel bed is closely related to runoff discharge during erosive rain events. During erosive rain
 400 events, the amount of stored soil water decreases runoff amount and intensity. The less rainwater stored during
 401 erosive rain events, the higher the runoff amount or the more intensive the channeled flow. Therefore, the relationship
 402 between the soil water storage and erosion per unit area of the channel bed could be negative. Fig. 11d shows the
 403 reciprocal relationship between erosion per unit area of the channel bed and soil water storage. It indicates that
 404 excessive rainwater in erosive rain events could create intensified channeled flow to erode the channel bed if the
 405 stored water in the mollisols reached a threshold, such as 139.3 mm, in this study area.



406
 407 **Fig. 11.** Relationship between hydrology and the hydromechanical state with the erosion per unit area over
 408 approximately 3 months. **(a)** Suction stress during the rainy season. **(b)** Suction stress during the snow-melting
 409 season. **(c)** erosion per unit area on the slope decreases with suction stress. **(d)** The erosion per unit area on the
 410 channel bed decreases with the amount of soil water storage. The time for the monitored rainy and melting
 411 seasons were 111 d and 97 d.

412 5 Discussion

413 The physical processes of permanent gully development can be categorized into gravitational mass wasting on

414 steep slopes and sediment delivery on channel beds (Montgomery and Dietrich, 1992; van Beek et al., 2008; Luffman
415 et al., 2015). Traditionally, most studies on gully erosion have focused on soil loss owing to water erosion and piping.
416 Soil loss estimation is typically determined by several primary factors, such as the upslope contributing area,
417 topographic conditions, erosive rainfall factors, and land use conditions (Li et al., 2015; Xu et al., 2017; Wang et al.,
418 2021; Tang et al., 2022). The physical mechanics of bed erosion and slope erosion differ, making it challenging to
419 accurately predict soil loss on steep slopes. The gravitational mass-wasting process on a slope differs from that of
420 rainfall-induced shallow landslides, especially for those without failure planes (Poesen et al., 1998; Guo et al., 2020).
421 However, they share similarities, such as decreased soil strength due to water infiltration (Guo et al., 2019). Thus, a
422 thorough mechanical analysis is necessary to understand the physical processes of gravitational mass wasting on the
423 slope and sediment delivery on the channel bed.

424 This study thoroughly investigated the effects of hydrological factors and hydromechanical properties on soil
425 loss on both slopes and channel beds. Mass failure on the hillslopes was governed by suction stress. Meanwhile,
426 erosion on the channel beds was influenced by the soil water storage or runoff amount. Therefore, hydrological
427 factors related to soil water storage and drainage were analyzed (Fig. 10), along with volumetric changes at various
428 rain events and snow-melting stages (Fig. 8). In this study, we also investigated the hydromechanical properties and
429 pore water pressure at a given confining stress (Table 2 and Fig. 5), the relationship between the saturation degree
430 and suction stress (Fig. 6), and estimated the suction stress variation during the rainy and snow-melting seasons
431 (Figs. 11a and 11b). Field observations revealed two permanent gullies with distinct erosion on the slope and gully
432 beds. Gully No. II shows signs of head cut disruption, in contrast to Gully No. I, resulting in disparities in erosion
433 per unit area for both seasons and sites. The hydromechanical properties of the mollisols are distinct between the
434 two gullies, directly affecting water movement. This is evident from the increase in pore water pressure, dissipation
435 ratio, and proxy. In the head cut of Gully No. II, the mollisols were significantly disturbed, and the soil mass had
436 higher permeability and lower suction stress at a given saturation degree. This finding indicates more active water
437 infiltration compared to Gully No. I was triggered by changes in the soil's capacity to store and release water and
438 the higher volumetric water content increasing ratio. Therefore, the head cut area of Gully No. II underwent more
439 aggressive hydrological processes. Additionally, the observed rainfall amount of 139.3 mm in this study was smaller
440 than the 177 mm proposed by Tang et al. (2023). This could be explained by the different capacities for plant
441 interception and depression detention during the rainy season.

442 The soil water storage and drainage capacity at the head cut considerably influenced soil loss. Although this
443 study focused primarily on soil water storage and its impact, runoff was not addressed. The soil water storage and
444 runoff depth were approximately equal to the rainfall depth from the water balance perspective. Consequently, the
445 erosion per unit area of the channel bed was inversely proportional to the soil water storage, as shown in Fig. 11d.
446 Some researchers have identified factors leading to the erosion of mass failures on steep slopes, such as long-duration
447 storms (Xu et al., 2020), initial soil moisture in the pre-winter season (Wen et al., 2024), presence of tensile crack
448 morphology (Zhou et al., 2023) and heaving and thawing (Thomas et al., 2009). The head cut of Gully No. II has a
449 high level of disturbance, which may result in higher permeability, quicker water pressure response, and higher soil
450 moisture during the rainy or snowmelt seasons. Meanwhile, the soil suction stress was lower, and slope erosion was
451 more intense than that of Gully No. I. The distance between the two gullies was only 1.4 km, and the climatic
452 conditions were similar. Therefore, soil properties may be the dominant intrinsic factors governing soil loss on gully
453 slopes.

454 Commonly, the gully bed erosion rates mainly depend on runoff intensity, and some studies reported that the
455 runoff hydraulics in the rainy season were significantly higher than the snow-melting runoff. However, additional
456 studies proved that gully heads may retreat faster in the snow-melting season than in the summer (Wu et al., 2008;
457 Hu et al., 2009). The accumulated snowfall depth during the monitoring duration in this study was high, up to 49.6

458 mm, which was far more than the average snow depth of 30 mm. Besides, the snowfall melted from 3 to 10 May
459 2023 (Figs. 7a and 7b). Therefore, heavy snowfall during the winter of 2022 and early spring of 2023 and the
460 intensive melting may result in high soil moisture and intensive runoff, ultimately causing substantial bed erosion.
461 Long-term saturation during the snowmelt season provides sufficient water infiltration and low suction stress.
462 Therefore, the highest erosion per unit area occurred in the snowmelt season but not in the rainy season.

463 Dong et al. (2011) revealed that a critical mass water content for gravitational mass wasting ranged from 31.0%
464 to 33.8%, corresponding to a volumetric water content of 39.0% to 48.0% for the soil mass and a suction stress of
465 11.0 kPa. This showed that the direct-shear apparatus limited the ability to differentiate between the effective
466 cohesion and suction stress contributions to total cohesion. As shown in Fig. 10b and supported by Xu et al. (2020),
467 the high soil water storage during the snow-melting season in Gully No. II (Fig. 9a) and long-term water infiltration
468 can lower suction stress and higher erosion per unit area. This suggests a potentially reciprocal relationship between
469 the absolute suction stress and erosion per unit area. The result shown in Figs. 11c and 11d are key findings and
470 main contributions in the study domain of gully erosion, as they clarify the role of suction stress of stored water on
471 soil loss from steep slopes and gully beds, respectively. Our results also imply that the soil water storage may not
472 equal the amount of rainfall from the event, but instead partially derives from the initial soil water. Figure 11
473 illustrates that antecedent soil moisture or precipitation substantially influences surface runoff depth and soil loss
474 during the permanent gully expansion in MEC, while this critical aspect has been neglected in previous study. In
475 other words, the effect of antecedent precipitation should be assessed in predicting soil loss as it closely relates to
476 the soil water and indirectly influences the runoff generation and intensity (Sachs and Sarah, 2017; Wei et al., 2017;
477 Schoener and Stone, 2019; Wang et al., 2019). Notably, the theoretical framework underlying this work is that the
478 soil loss at steep slopes occurs through the mechanism of bank slope stability, and the loss in gully beds occurs due
479 to the balance between the shear force from runoff water and soil erodibility. Therefore, it is preferable to predict
480 soil loss in the permanent gullies from soil water storage and the hydromechanical response of soil mass, rather than
481 solely from rainfall amount.

482 **6 Conclusions**

483 Permanent gully development is a hydrogeomorphic phenomenon, and its physical mechanics can be attributed
484 to the hydrological and hydromechanical responses of the head cut. In the mollisol region of Northeast China,
485 numerous studies on gully development have focused on soil loss in response to rainfall or snow depth. However,
486 relatively few studies have addressed the physical mechanics of gravitational mass wasting. This study has provided
487 a complete analysis of soil loss on steep slopes and channel beds in two permanent gullies according to hydrological
488 processes, such as infiltration, soil water storage, drainage, and hydromechanical responses, such as changes in
489 suction stress levels. The following conclusions were drawn:

490 (1) Mollisols in the head-cut areas of Gully No. II exhibited a higher permeability than Gully No. I. This can
491 be attributed to the elevated ratio and proxy for pore water pressure rise and dissipation. The TRIM test results
492 confirmed that the saturated mollisols in the Gully No. II drain faster than Gully No. I, owing to their higher air-
493 entry pressure and saturated hydraulic conductivity during the wetting and drying cycles.

494 (2) The head cut area of Gully No. II exhibited more intense hydrological processes than Gully No. I. This
495 could be explained by the higher ratio of soil moisture increase observed during the four rain event types and three
496 snow-melting stages. Soil water storage in Gully No. II experienced greater fluctuations during torrential rains and
497 rainstorms. Overall, the absolute suction in Gully No. II remained lower than that in Gully No. I, potentially
498 triggering greater erosion on the steep slopes.

499 (3) The relationships between erosion per unit area on the steep slope and channel bed were analyzed for the
500 suction stress and soil water storage. Our findings indicate that low suction stress and high soil water storage can

501 increase gravitational mass wasting while reducing erosion on the channel bed. The two empirical relationships and
502 their efficiency can be enhanced by incorporating data from ongoing monitoring efforts to enhance the prediction of
503 future soil loss.

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507 Beijing Normal University, for their help during field investigations.

508 **Code and data availability**

509 The corresponding author, Prof. Chao Ma, is willing to share the raw/processed data upon reasonable request.

510 **Author contributions**

511 Prof. Ma conceived the study based on his skills in gravitational mass-wasting and unsaturated soil mechanics and
512 proposed the concept of hydrology and hydromechanical conditions in analyzing gravitational mass-wasting. Under
513 the guidance of Prof. Ma, Mr. Dongshuo Zheng and Shoupeng Wang conducted indoor tests of soil strength and
514 hydraulic-mechanical properties. Prof. Zhang helped determine the field observation sites. Dr. Dong gave insightful
515 comments. Dr. Jie Tang and Yanru Wen provided the research progress about the gravitational mass wasting on gully
516 expansion in the study area.

517 **Competing interests**

518 The authors declare no conflicts of interest.

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