1 A mathematical model to improve water storage of glacial lakes prediction

2 towards addressing glacial lake outburst floods

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21 Abstract: Moraine-dammed glacial lakes are vital sources of freshwater but also pose a hazard to 22 mountain communities if they drain in sudden glacial lake outburst floods. Accurately measuring 23 the water storage of these lakes is crucial to ensure sustainable use and safeguard mountain 24 communities downstream. However, thousands of glacial lakes still lack a robust estimate of their 25 water storages because bathymetric surveys in remote regions are difficult and expensive. Here we 26 geometrically approximate the shape and depths of moraine-dammed lakes and provide a cost-27 effective model to improve lake water storage estimation. Our model uses the outline and the terrain 28 surrounding a glacier lake as input data, assuming a parabolic lake bottom and constant hillslope 29 angles. We validate our model using ten new bathymetrically surveyed glacial lakes on the Qinghai-30 Tibet Plateau, and compiled data from 34 recently measured lakes. Our model overcomes the 31 autocorrelation issue inherent in earlier area/depth-water storage relationships and incorporates an 32 automated calculation process based on the topography and geometrical parameters specific to moraine-dammed lakes. Compared to other models, our model achieved the lowest average relative 33 error of approximately 14% when analyzing 44 observed data, surpassing the >44% average relative 34 35 error from alternative models. Finally, the model is used to calculate the water storage change of moraine-dammed lakes in the past 30 years in High Mountain Asia. The model has been proven to
be robust and can be utilized to update the water storage of lake water for conducting further
management of glacial lakes with the potential for outburst floods in the world.

39 **1. Introduction**

40 Moraine-dammed glacial lakes (MDLs) trap meltwater from snow, ice and liquid precipitation 41 within basins behind dams at or near the termini of glaciers (Westoby et al., 2014; Yao et al., 2018; Veh et al., 2019). As glaciers have been retreating in past decades in most mountain regions 42 43 worldwide, new MDLs have been forming, and existing ones have been growing in size and water storage (Bolch et al., 2012; Carrivick and Tweed, 2013; Cook et al., 2018; Shugar et al., 2020; Zhang 44 45 et al., 2023). During the period from 1990 to 2018, High Mountain Asia witnessed a remarkable 52% 46 and 54% increase in the number and area of MDLs, respectively (Wang et al., 2020). Notably, the 47 Eastern Himalayas experienced the most significant growth, leading in both the number and area of 48 MDLs during this period. MDLs are vital water reservoirs for communities in glaciated high 49 mountains, but were also repeatedly sources for Glacial Lake Outburst Floods (GLOFs) (Westoby 50 et al., 2014; Wu et al., 2019; Gao et al., 2021; Fischer et al., 2021; Zheng et al., 2021a). According 51 to a report by Lützow et al. (2023), a total of 630 GLOFs have been linked to MDLs occurring in 27 countries between 850 and 2022 CE. A recent study indicates that multiple GLOFs documented 52 53 from 1964 to 2022 have caused damage to infrastructure in High Mountain Asia (Nie et al., 2023).

54 MDLs are prone to sudden failure due to the instability of the dam structure, releasing parts of 55 the impounded water storage in catastrophic floods (Westoby et al., 2014; Zheng et al., 2021b; Duan 56 et al., 2023). MDLs can grow towards steep slopes, where debris or ice could fall into the lakes, 57 causing the barriers to overflow (Emmer et al., 2014; Carrivick and Tweed, 2013; Liu et al., 2020). 58 Due to their high altitude and potential energy, these flood waves can attain runout distances of 59 many tens of kilometers, transporting and entraining large amounts of sediments from moraines and 60 riverbanks (Westoby et al., 2014). Many GLOFs have transformed into debris flows and their coarse 61 debris rapidly filled hydropower reservoirs and further destroyed infrastructure along the flow path 62 (Westoby et al., 2014; Zheng et al., 2021b). For example, GLOFs descending from the mountains 63 with high kinetic energy have recently damaged transport and power infrastructure such as the 64 Upper Bhote Koshi hydropower plant, with a reconstruction cost of 57 million USD (United States 65 dollar) (Cook et al., 2018). Future flash floods are a potential threat to major new infrastructure, for

66 example, hundreds more hydropower projects (Nie et al., 2023). GLOFs may also undercut 67 hillslopes along mountain rivers, which may fail, impound river runoff, and form potentially 68 unstable lakes (Zheng et al., 2021a). Thus, MDLs have become a major glacier-related hazard in 69 high mountains, and will likely remain so as glaciers could lose more than a third of their mass by 70 the end of the 21st century (Rounce et al., 2023). Appraising the water storage of glacial lakes is 71 key to allowing for sustainable development along river channels originating in glaciated 72 headwaters (Yao et al., 2018; Harrison et al., 2021; Shugar et al., 2020; Liu et al., 2020).

73 Effective management of GLOF hazards hinges on the ability to assess both the likelihood and 74 magnitude of such events (Clague et al., 2000). This typically requires understanding several critical factors, including the water storage of MDL, the structural integrity and stability of the dam, 75 potential external triggers, and the flood's anticipated flow path (e.g., Richardson and Reynolds, 76 77 2000; Westoby et al., 2014; Mergili et al., 2020; Sattar et al., 2021; Qi et al., 2023). Estimating 78 glacial lake volume, however, presents significant challenges. Many glacial lakes are situated in 79 remote, physically demanding, and hazardous environments, complicating bathymetric surveys of 80 the lake basins (Cook and Quincey, 2015; Qi et al., 2022). The peak discharge during GLOFs is a 81 commonly used parameter for assessing flood hazards and can be derived from empirical formulas 82 related to the lake volume (Clague et al., 2000; Westoby et al., 2014; Sattar et al., 2021; Nie et al., 83 2023). The failure of the MDLs with the largest water storage has sustained high discharges for many hours, causing widespread inundation in mountain valleys (Mergili et al., 2020). The 84 85 Sangwang Tsho experienced disastrous outbursts in July 16, 1954, featuring one of the highest reported flood water storages (71.6×10⁶ m³) and discharges (~10,000 m³·s⁻¹) (Patel et al., 2017; 86 Veh et al., 2019). Researchers therefore developed numerous empirical regression equations to 87 88 predict the potential peak discharge during an outburst from a given lake water storage (Wang et al., 2018; Veh et al., 2019; Duan et al., 2023). In any case, these predictions and simulations of peak 89 discharge depend on accurate estimates of lake water storage, ideally obtained through bathymetric 90 surveys. However, measurements of lake depth are expensive and difficult to conduct in high-91 altitude regions with limited access (Cook and Quincey, 2015; Qi et al., 2022). Therefore, in situ 92 93 measurements of lake depth are available only for a few dozen cases in the Himalayas, while the 94 water storage remains unknown for the other thousands of lakes in this region. Current optical or radar-based satellite missions, while useful for mapping lakes, are limited in measuring lake 95

96 bathymetry due to the strong attenuation of electromagnetic waves in glacial lakes (Zhu et al., 2019). 97 As such, there has been an ongoing effort to refine empirical scaling relationships from the few 98 available worldwide samples that relate glacial lake depth and/or area to lake water storage (Fujita 99 et al., 2013; Loriaux and Casassa, 2013; Carrivick and Quincey, 2014; Cook and Quincey, 2015; 100 Veh et al., 2019; Shugar et al., 2020; Qi et al., 2022). However, these equations may yield significant 101 errors in orders of magnitude for a given lake area due to the the autocorrelation issue inherent in earlier area/depth-volume relationships. Although there are models considering the specific 102 103 geometric shapes and topography surrounding lakes, they limited to estimating the water storage of 104 larger size plateau tectonic lake (Zhou et al., 2020; Zhu et al., 2019). After numerous experiments, 105 we have found that the aforementioned models do not apply to estimating the water storage of 106 glacier lakes due to the lack of consideration for glacial lake and related parameters. Given the 107 critical role of glacial lake water storage in assessing hazard risk and providing early warning 108 information, the development of a mathematically robust yet cost-effective model is urgently needed.

Our goal is to introduce a novel approach for accurately estimating water storage by incorporating its geometry and surrounding terrain. To this end, we propose a three-dimensional model to approximate the basin morphology of MDLs and derive its analytical equation. We assess the performance of this model against field-measured underwater topography data and further compare the model error against other available empirical scaling relationships. Finally, we discuss the uncertainty and rationality of the new model and apply the model to estimate the water storage of the MDLs in High Mountain Asia.

116 **2. MDLs types and their geometric approximation**

117 MDLs can be classified into glacier-contacted lakes (GCL) and glacier-uncontacted lakes 118 (GUL). GCLs are supraglacial ponds on top of debris-covered glaciers or lakes at the termini of 119 glaciers (Richardson 2000; Bennett et al., 2012). We term GCL as MDL in direct contact with the 120 glacier terminus (Figure 1a). By contrast, GULs are separated from the present glaciers, but 121 impound substantial parts of the meltwater from the glacier upstream (Figure 1b). The bottom of an 122 MDL may be a sediment-covered bedrock depression that was eroded and deepened by the parent 123 glacier during earlier advances. As glaciers retreat, they provide space for lakes to grow between 124 the glacier terminus, with the abandoned moraine trapping excess meltwater from the parent glacier 125 (Nie et al., 2023).



Figure 1. Longitudinal cross-sections along a glacier-contacted (a) and glacier-uncontacted lake (b) (The base
 images are from Google Earth imagery) (©Google Earth). Sketches are idealized and do not represent measured
 elevations.

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We use the glacial lake inventory of High Mountain Asia by Wang et al. (2020) to differentiate 130 these two types of MDLs. In general, glacial lakes grow in area largely because they become longer. 131 132 Lower values of the ratio (R) between the maximum width and maximum length indicate that the shape of the lake is elongated; R equals 1 if the lake is perfectly circular or square (Qi et al., 2022). 133 134 According to the glacial lake inventory, the R value for glacial lakes in High Mountain Asia ranges from 0.1 to 1.0. If R is less than 0.1, it may indicate the presence of glacial lakes with lengths 135 136 exceeding 10 meters but widths of approximately 1 meter. However, in reality, glacial lakes with such dimensions are practically non-existent. Therefore, thresholds of R allow us to distinguish 137 glacial lakes into four subclasses (Table 1). We find that newly formed GCLs typically have small 138 surface areas and high values of R. We classified GCLs with R between $0.70 \sim 1.0$ as GCL-1, and 139 140 those with R less than 0.69 as GCL-2. Examples of these two types are Poiqu No.1 Lake $(85.92^{\circ}E,$ 28.14°N) and Bienong Co (93°26'E, 30°31'N) (Table 1). With ongoing glacier recession, lakes 141 142 might become decoupled from their parent glacier, switching from a lake-terminating to a land-143 terminating glacier. We termed lakes as GUL-1, if R ranged between 0.5 and 1.0, and GUL-2 if R < 0.49. Paqu Co (86°15'E, 28°30'N) and Jialong Co in 2020 are the examples of these two classes 144 (Table 1). It is noteworthy that the establishment of the *R* threshold in this study is grounded in the 145 146 glacial lake catalog dataset developed by Wang et al, (2020). Initially, the glacial lakes were divided 147 into two major categories, GCL and GUL. Subsequently, R values for each glacial lake were 148 calculated, and all co-authors classified the geometric shapes based on different types and sizes of 149 glacial lakes. Ultimately, through statistical analysis of glacial lake sizes for different types, we 150 defined the threshold for R. This allows the model to automatically categorize glacial lakes based 151 on this value.

- 152 Table 1 Examples of glacier-contacted lake and glacier-uncontacted lake. The ratio R represents the maximum width
- (m) divided by the maximum length (m) of the glacial lake. The vertical scale is exaggerated.

Туре	Lake bathymetry	Model	Features	R
GCL-1	PoiquNo.1 of 2021		A newly formed MDL typically has a small scale and is located at the glacier tongue.	0.70 <i>≤R</i> ≤1.0
GCL-2	Bienong Co of 2021		The MDL gradually grows in the area but has not yet reached the maximum range determined by the surrounding terrain.	0.10≤ <i>R</i> ≤0.69
GUL-1	Paqu Co of 2020 moraine glacier		As the glacier continues to retreat, the distance between the glacier tongue and the MDL gradually increases.	0.50≤ <i>R</i> ≤1.0
GUL-2	Jialong Co of 2020		The length of the MDL increases with time due to the continuous supply with glacier meltwater.	0.10≤ <i>R</i> ≤0.49

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- 155 **3. Model Development**
- 156 **3.1. Input data**

We suggest specific geometric models for the four subclasses (Table 1) to approximate the water storages of MDLs. Our models are fed with data from a digital elevation model (DEM) and from the outline of a glacial lake. We used a 12.5-meter ALOS PALSAR DEM, which is freely available from the Japan Aerospace Exploration Agency (JAXA, https://www.eorc.jaxa.jp).

161 **3.2.** Analytical equations

We surmise that an ideal cross-section of a MDL (Figure 2) can be partitioned into three distinct portions, V_1 , V_2 , and V_3 , representing the water storage of the lake stored adjacent to the moraine dam, at the center of the lake, and near the glacier (or bedrock if the lake is disconnected from the glacier). The corresponding lengths of these three portions along the maximum length of the lake are denoted by m, r, and n. The lake has its maximum depth, h_1 and h_2 , on either side of r. Points gand f represent the positions of a sediment layer at the lake bottom, and a and β are the slopes of near the water surface.

The core assumptions of our geometric model can be summarized such that: 1) an MDL has a parabolic longitudinal bottom profile with a uniform sediment layer at the bottom of the lake to keep $h_1 = h_2$, and a parabolic cross-section P_S (Figs. 2; 3); (2) the lake surface shape can be approximated by ellipses at both ends and a rectangle in between; (3) The glacier surface and the moraine dam dip towards the lake with the same slope.

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Figure 2. Longitudinal cross-section through a MDL. The blue horizontal line (*l*) is the maximum length on the lake surface, subdivided by *m*, *r*, and *n*. The solid black line is the hypothetical bottom of the lake, and the gray texture area represents a sediment layer covering the lake bottom. The maximum water depth is $h=h_1=h_2$, and points *g* and *f* are at equal depths.

180 In three-dimensional form, the MDL basin can be divided into three parts with each having a 181 water storage of V_1 , V_2 , and V_3 (Figure 3a). V_1 and V_3 can be considered as the water storages of 182 elliptical semi-paraboloids controlled by the water depth *h* (Figure 3b and c). Significantly, V_1 and 183 V_3 may or may not be equal, depending on the values of *m* and *n*. V_2 is a semi-parabolic cylinder

184 (Figure 3d) that has height *r*, diameter *w*, and a parabolic cross-section P_s (Figure 3e). Thus, the total 185 water storage of the MDL is $V=V_1+V_2+V_3$.





Figure 3. Definition diagram for the geometry of a MDL. a, hypothetical three-dimensional model of a MDL. b, Model for V_1 describing the lake water storage adjacent to the moraine dam. c, Model for V_1 describing the lake water storage adjacent to the glacier. d, Model for V_3 describing the lake water storage stored in the center part of the lake. e, Cross section of the column P_s . The parameters *m* and *n* are the semi-major axis of the elliptical paraboloid near the MDL inlet and outlet, respectively; *r* is the length of the parabolic cylinder in the middle of MDL; *w* and *l* represent the largest width and length of the MDL,

193 respectively; h is the lake depth.

To obtain the individual lake water storages, we define the elliptical paraboloids for V1 and V2 194 195 (equations 1-2) in a Cartesian coordinate system (x, y, z) as

196
$$V_{1} = \left\{ (x, y, z) \mid \frac{x^{2}}{a_{1}^{2}} + \frac{y^{2}}{b_{1}^{2}} \le z, y \ge 0, 0 \le z \le h \right\}$$
(1)

197
$$V_{3} = \left\{ (x, y, z) \mid \frac{x^{2}}{a_{2}^{2}} + \frac{y^{2}}{b_{2}^{2}} \le z, y \ge 0, 0 \le z \le h \right\}$$
(2)

198 and the parabolic cylinder for V2 (equation 3) as

199
$$V_2 = \left\{ (x, y, z) \mid kx^2 \le z \le h, 0 \le y \le r \right\}$$
(3)

where $a_1 > 0$, $b_1 > 0$, $a_2 > 0$, $b_2 > 0$ are length of the semi-axes of upper surfaces of V_1 and V_3 ; h > 0200 0 is the height of V_1 , V_2 and V_3 ; r > 0 is the length of V_2 . 201

202 Considering the four types of MDLs, GCL-1 corresponds to the case where r=0 and n=0. In 203 this study, m represents the part of the lake area closer to the moraine dam, and in most cases, m is 204 not equal to zero. However, in certain special cases, such as the Lake Zhasuo Co (93.25°E, 30.31°N) 205 in southeastern Tibet, m=n=0, because the surface morphology of this lake is rectangular. In most 206 scenarios, the water storage of the GCL-1 can be represented as:

207
$$V_{\rm GCL1} = \frac{\pi w m h}{8} \,. \tag{4}$$

When *n*=0, the model of MDL corresponds to GCL-2, and its water storage can be 208

209 represented as

 $V_{\rm GCL2} = \frac{\pi wmh}{8} + \frac{2}{3} whr.$ 210 (5)

When r=0, the model of MDL conforms to GUL-1, and its water storage can be expressed as: 211

 $V_{\rm GUL1} = \frac{\pi w h l}{4}$. (6) 212

213 When the type of MDL corresponds to GUL-2, its water storage can be expressed as:

214
$$V_{\text{GUL2}} = \frac{\pi w h(l-r)}{4} + \frac{2}{3} w h r \,. \tag{7}$$

215 Finally, the water depth (h) can be derived from the w and slope angles (a) of the glacial lake:

 $h=\frac{w\tan(\alpha)}{4}.$ (8) 216

Section 1 in the Supplementary file elaborates more on the derivation of these analytical 217 equations, Table 2 shows the definition of the abbreviations in the model procedure. 218

Abbreviation	Description and definition
MDL	The moraine-dammed lake
GUL	The glacier-uncontacted lake
GCL	The glacier-contacted lake
R	The ratio of the maximum width to the maximum length of the MDL
т	The semi-major axis of the elliptical paraboloid of the MDL outlet
п	The semi-major axis of the elliptical paraboloid at the MDL inlet
С	The arbitrary height of the cross-section of an elliptic paraboloid
r	The length of the parabolic cylinder in the middle of MDL
h	The maximum water depth of MDL
W	The diameter of the largest inscribed circle of the MDL
l	The length of the minimum bounding rectangle of MDL
P_s	The cross-section of the middle of MDL
S_{Ps}	The area of the cross-section in the middle of MDL
а	The median slope of the 80 m buffer zone around the MDL

Table 2. The definition of the abbreviations in the geometric model.

220 **3.3. Determination of model parameters**

We determined the parameters in Eq. 4 - 8, namely w, l, a, m, n and r, using the lake boundary and the DEM. We measured w and l by drawing a minimum rectangle bounding box with length lencompassing the MDL (Figure 4a). If the width w' of the bounding box of the MDL exceeds the actual width (w) of the lake, as in the case of the tortuous boundary of Lake Longmuqie Co (86.23°E, 28.35°N) (Figure 4b), we assign the diameter of the maximum inscribed circle within the MDL as w in Figure 4c.





Figure 4 Schematic illustration of the method for extracting the maximum length (*l*) and width (*w*) of the MDL. The
outline in Figure a represents the geometric boundary of Lake Jialong Co (86.85°E, 28.21°N), while the outlines in
Figures b and c depict the geometric boundaries of Lake Longmuqie Co (86.23°E, 28.35°N).

231 To determine the slope *a*-value surrounding the MDL, we use a DEM with a spatial resolution of 12.5 m in the model computation. We tested buffer sizes of 30 m, 50 m, 80 m, and 100 m width 232 233 beyond the MDL boundary, and extracted the mean and median value of a within each buffer. By 234 comparing the simulated results with the measured data (lakes Bienong Co, Magiong Co, Tanong 235 Co, and Jialong Co), we found that the water storage estimation using the median value of a within 236 80 m external buffer zone had a lower relative error and higher overall accuracy. Therefore, we 237 defined *a*-value as the median slope within the 80 m buffer zone surrounding the MDL boundary. 238 The choice of buffer zone distance can be adjusted based on the specific terrain characteristics of 239 the research area, allowing researchers to adapt the methodology to their data accuracy.

240 Determining the appropriate thresholds for m, n, and r of different MDL types is challenging as methods for extracting these parameters vary depending on the MDL types. In other words, due 241 242 to the different types of glacial lakes, the values of m, n, and r vary. Additionally, these values change with the size of the glacial lake. To enable the model to automatically identify and calculate the 243 244 corresponding m, n, and r for each glacial lake, we need to define a threshold. Based on the geometry of the glacial lake, we established a proportional relationship between m, n, r, and the glacier lake 245 length (1). This proportional relationship is empirically defined and essentially represents a 246 247 geometric segmentation of the glacial lake. The lake is divided into three sections, and the volume of each section is calculated separately. The total water storage of the lake is then obtained by 248 summing the volumes of these three sections. Relying on R, lake boundary from Wang et al. (2020) 249

as well as DEM, *m* and *n* were estimated for GUL-1 and GUL-2 as shown in Table 3. In the case of GCL-1, l = m due to its small area of water surface. For GCL-2, *m* was determined as 35% of *l* for lakes with 0.50 <*R*< 0.69, 30% of *l* for lakes with 0.30 <*R*< 0.49 and 20% of *l* for lakes with *R*<0.30 (Table 3).

For GUL-1, R ranges from 0.50 to 0.10, both m and n are considered equal to half of l. On the 254 255 other hand, for GUL-2, it is possible to estimate the MDL water storage solely based on r, as described in Equation 7. Accordingly, r values were statistically set up as 0.4l, 0.55l, and 0.65l, 256 257 respectively with three *R* levels (Table 3). Figure 5 illustrates several representative cases of MDLs. The above quantitative question about m, n and r is not based on subjective judgment. First, 258 we computed the R values for all glacial lakes utilizing catalog data, then categorized them by glacial 259 260 lake type, and finally, we provided a definition by statistically assessing the shape of glacial lakes. 261 This definition pertains to the proportionality of m, n, and r concerning the l of the glacial lake. 262 Consequently, our model is capable of autonomously classifying each glacial lake type through boundary data analysis. It further computes various parameters for each lake, encompassing m, n, r, 263 264 and *h*, ultimately culminating in the determination of the water storage for each lake.

т 1 и	Calculation rules of model input parameters										
Lаке туре	а	w, l	R	т	п	r					
GCL-1			0.70≤ <i>R</i> ≤1.0	l	0	0					
		wig the diameter of the	0.50 <i>≤R≤</i> 0.69	<i>l</i> ×0.35	0	l-m					
GCL-2	Median slope within	w is the diameter of the	0.30 <i>≤R≤</i> 0.49	<i>l</i> ×0.30	0	l-m					
	the 80 m buffer zone		0.10 <i>≤R≤</i> 0.29	<i>l</i> ×0.20	0	l-m					
GUL-1	outside the lake	langth of the minimum	$0.50 \le R \le 1.0$	<i>l</i> ×0.50	<i>l</i> ×0.50	0					
	boundary		0.40 <i>≤R≤</i> 0.49			<i>l</i> ×0.40					
GUL-2		bounding geometry	0.30 <i>≤R≤</i> 0.39	l-	-r	<i>l</i> ×0.55					
_			0.10≤ <i>R</i> ≤0.29			<i>l</i> ×0.65					

265 Table 3 Quantification of model input parameters.

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Figure 5. Example for the extraction of input parameters for different types of MDLs. The base map is a Google
Earth image (©Google Earth).

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We executed our workflow (Figure 6) on 44 MDLs in High Mountain Asia that have known depths and water storages. For each lake, we checked whether its outline was in contact to the parent glacier. We automatically fitted a rectangular bounding box to calculate *R*, and then automatically assigned each lake to one of the four types of MDL based on *R* thresholds (Table 1). Finally, we estimated their water storages using our and traditional empirical relationships. Our model requires MDL boundary and DEM data as inputs, and it automatically quantifies each parameter while selecting the optimal model for water storage estimation.

Finally, we applied our model to more than 10,000 glacial lakes with unknown bathymetry in
High Mountain Asia. This region had one of the highest rates of MDLs growth in the world in past
decades.



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281 Figure 6. The flow chart of the model procedure derivation.

282 **3.4. Model validation and application**

In this study, we initially validated our parameterization using bathymetric measurements from four representative glacial lakes surveyed between 2020 and 2021. Subsequently, we combined the data from these four lakes with the remaining six glacier lakes we measured, along with water storage data from 34 MDLs obtained from relevant literature sources (see Appendix A for details). This resulted in a dataset of 44 lakes, which was used to compare and validate the performance of our model against other existing methods.

A glacier lake inventory of the High Mountain Asia region, published by Wang et al, 2020 was used as input data for the model application to assess the water storage of moraine-dammed lakes in this region. Notably, Wang's glacier lake inventory provides a detailed classification of GCL and GUL, which has been internationally recognized. It is important to note that in his dataset, GUL 293 refers specifically to glacier lakes that do not contact glaciers, which may not necessarily all be 294 moraine-dammed lakes. We conducted a thorough review and made revision to ensure that we 295 retained only those GULs classified as moraine-dammed lake. To ensure the accuracy of our analysis, 296 we conducted a thorough review based on the classification criteria proposed by Yao et al., (2018) 297 which identify three types of moraine-dammed lakes: (1) lakes situated between the end moraine 298 ridge and the glacier terminus, (2) lakes beside the lateral moraine ridge, and (3) lakes on the moraine ridge. Each GUL in the dataset was individually assessed against these criteria, and only 299 300 those meeting the classification as moraine-dammed lakes were retained for further analysis.

301 **4. Results**

302 4.1. Model validation

We validated our parameterization using bathymetry measurements from four representative glacial lakes, namely, Bienong Co, Maqiong Co, Tanong Co, and Jialong Co, located in the Qinghai-Tibet Plateau. These lakes represent the four types of glacier lakes, with depths measured through bathymetric surveying (Figure 7). In comparing estimated with measured water storages (Table 4), we find that Jialong Co has the highest accuracy with a relative error of only 1%. Maqiong Co and Tanong Co are overestimated by approximately 5% and 7%, respectively. The largest lake, Bienong Co, had an underestimated water storage of 6%.

In addition, our model is designed to approximate the mean depth of MDLs and therefore underestimates the maximum measured lake depth by about 50% (Table 4). Modeled mean water depths only deviate by 18% (mean) from the measured mean water depths. Except for a notable prediction error for Bienong Co (+47%), errors for Jialong Co, Tanong Co, and Maqiong Co range from 6% to 13% relative to the measured values.

In summary, our model has a high degree of concordance with observed glacial lake water storages and provides better estimations of water depth compared to the measured average depths. This suggests that our proposed model can used in glacial lake water storage estimation and the management of GLOF hazards.





Figure 7. Subaqueous glacial lake morphology based on bathymetric surveys. The black dashed line represents the hypothetical longitudinal profile of the glacial lake; l and w are measured from the lake boundary, h is simulated lake depth and the remaining parameters (m, n, r) are calculated by rule in Table 3. Lake depth is exaggerated. **Table 4** Validation results of the mathematical model.

	Veen of		A	L	ake depth (m)	Water storage (10 ⁶ m ³)			
Name	survey	Туре	Area (km ²)	Observed (max/mean)	Simulated (mean)	Relative error	Observ ed	Simulated	Error
Bienong Co	2021	GCL2	1.16	181/74	109	+47%	102.00	95.689	-6%
Maqiong Co	2021	GCL2	0.22	34/16	17	+6%	3.325	3.581	+7%
Tanong Co	2021	GUL2	0.13	29/15	17	+13%	1.821	1.915	+5%
Jialong Co	2020	GUL2	0.55	135/62	67	+8%	37.530	37.952	+1%

324

325 4.2. Comparison with other methods

Table 5 displays the dataset of glacial lake bathymetry used in this study to validate the model. We compared our model with another model that employed the lake geometry (Zhou et al., 2020), and also with 20 additional formulas (EqS1-EqS20) collated by Qi et al. (2022) in Table S1. In the estimation of a single MDL, formulas EqS4, EqS6, EqS13, EqS17, and EqS20 displayed significant inaccuracies (132% - 853%). For instance, EqS13 shows an average error of 853%. Consequently,

- 331 we have refrained from conducting a comparative analysis of these five formulas in the subsequent
- discussions.
- 333 Table 5 The glacial lake bathymetry data set used in this study. The lake bathymetry data are shown in bold provided
- by this study, and the rest are obtained from references, see Appendix A for details.

		Area	Area Water storage (10^6m^3) Measurements based on remote sensing						g images		
Lake Name	Туре	(km ²)	Measured	Estimated	l	w	R	а	т	r	h
Kajiaqu	GCL2	0.29	3.45	3.00	1436	230	0.13	14	287	1149	15
Bienong Co	GCL2	1.17	102.00	95.69	2085	723	0.33	31	626	1460	109
Longmuqie Co	GCL2	0.58	8.28	8.47	1775	380	0.21	12	355	1420	21
Tanong Co	GUL2	0.13	1.82	1.92	805	200	0.25	19	0	523	17
Maqiong Co	GCL2	0.22	3.32	3.58	910	320	0.36	12	273	673	17
Zhasuo Co	GUL2	0.33	4.28	5.18	890	380	0.4	12	0	356	21
Jialong Co	GUL2	0.55	37.53	37.95	1285	597	0.46	24	0	514	67
Paqu Co	GUL2	0.58	8.80	9.22	2134	314	0.15	14	0	1387	19
Chmaqudan Co	GUL2	0.56	19.61	17.91	1459	450	0.31	19	0	802	38
Tara Co	GUL2	0.23	2.64	3.19	1024	255	0.26	15	0	666	17
Jialong Co	GUL2	0.46	18.20	18.59	1133	537	0.47	17	0	453	41
Rewuco	GCL1	0.42	13.85	8.52	839	613	0.73	15	839	0	42
PoiquNo.1	GCL2	0.09	2.53	2.21	428	300	0.64	22	150	278	30
Ranzeria Co	GCL2	0.29	3.88	3.16	1181	288	0.23	12	236	945	15
BethungTsho	GCL2	0.45	4.28	4.51	1355	373	0.28	9	271	1084	15
Guangxie Co	GCL2	0.41	2.61	2.71	1032	390	0.3	7	310	722	12
Shishapangma	GCL2	0.6	18.59	13.61	1721	500	0.29	12	344	1377	26
Lugge	GCL2	1.63	71.76	69.02	3163	578	0.18	23	633	2531	62
Raphstreng2	GCL2	1.31	58.19	59.13	2117	816	0.39	16	635	1482	59
Galong Co	GCL2	5.49	377.39	403.18	4284	1500	0.35	16	1285	2999	107
Bnecuoguo Co	GUL1	0.11	1.69	1.98	490	288	0.59	14	0	0	18
Cirenma Co	GUL2	0.33	12.43	12.03	1276	367	0.29	22	0	829	36
Longbasaba	GCL2	1.15	56.16	43.47	2114	680	0.3	17	634	1479	52
Midui	GCL2	0.22	1.13	1.34	968	280	0.31	7	290	678	8
Lugge	GCL2	1.18	58.30	39.18	2520	545	0.2	19	504	2016	47
Thulagi	GCL2	0.76	31.80	30.33	1991	437	0.22	28	398	1593	57
Tsho Rolpa	GCL2	1.39	76.60	62.59	2942	590	0.2	22	588	2353	59
Imja Tsho	GCL2	0.6	28.00	23.18	1341	543	0.38	22	402	939	54
Cirenma Co	GUL2	0.33	13.90	12.23	1276	370	0.29	22	0	829	37
Pidahu	GCL2	0.89	50.44	31.37	2071	500	0.21	22	414	1657	50
Imja Tsho	GCL2	1.14	63.80	52.55	2191	605	0.24	23	438	1753	65
South Lhonak	GCL2	1.31	65.80	71.22	2328	715	0.31	22	699	1630	73
Tam Pokhari	GCL2	0.45	21.25	26.02	1178	470	0.41	34	353	825	80
Thulagi	GCL2	0.91	23.30	31.83	2522	417	0.17	25	504	2017	49
Imja Tsho	GCL2	1.03	35.50	37.03	2028	556	0.27	21	406	1622	54
Thulagi	GCL2	0.94	35.37	36.19	2541	430	0.17	27	508	2033	54

Tsho Rolpa	GCL2	1.54	85.94	68.58	3304	566	0.17	23	661	2643	60
Thulagi	GCL2	0.92	36.10	37.75	2504	439	0.18	27	501	2003	56
Lower Barun	GCL2	2.14	103.60	111.38	3297	730	0.22	23	659	2638	76
Lower Barun	GCL2	1.77	112.30	97.45	3091	717	0.23	22	618	2473	72
Imja Tsho	GCL2	1.15	78.40	59.12	2208	610	0.24	25	442	1767	72
Amphulapche	GUL1	0.12	3.20	3.79	404	369	0.99	19	0	0	32
Chamlang Tsho	GCL2	0.76	35.00	26.53	1627	588	0.32	18	488	1139	47
Imja Tsho	GCL2	0.75	33.48	24.13	1557	550	0.32	19	467	1090	48

335 Our assessment (Table 6) involves the relative error (RE, absolute value), bias, root mean square error (RMSE), mean absolute percentage error (MAPE) and mean absolute error (MAE) to 336 337 quantify the uncertainty of new model. We use the coefficient of determination R^2 to describe the 338 goodness of fit between the model-derived data series and the measured data. Accordingly, our model had an R² value of approximately 0.98, indicating a strong correlation between observed and 339 predicted lake water storages (Figure 8). Moreover, our model has the lowest variance, according 340 341 to a bias (-0.0031 km³), MAE (0.0059 km³), RMSE (0.0096 km³), and MAPE(25%). Also, our 342 model has the lowest average relative error, at around 14%. The average relative error of EqS2, EqS3, EqS5, EqS7, EqS9, EqS11, EqS15 and EqS16 ranged from 44% to 50%, while the remaining 343 formulas display average relative errors exceeding 50%. Although all equations achieved R² >0.93, 344 the predicted values have a high variance and tend to either overestimate or underestimate the water 345 346 storage of glacial lakes. Compared with our method, their bias, MAE, RMSE, and MAPE were all 347 55%, 64%, 52% and 64%, respectively, and thus higher than ours. EqS7 had a better prediction accuracy. However, its bias, MAE and RMSE values are 82%, 64% and 52% higher than those of 348 349 our model, respectively. This indicates a significant estimation error for specific glacial lakes, and 350 both RMSE and MAE are sensitive to outliers. Overall, most of the equations tend to underestimate glacial lake water storages, with the underestimation becoming more pronounced for larger water 351 352 storages. Nevertheless, we consider the accuracy level of our method to be acceptable due to the 353 lower uncertainty compared to other models, providing an alternative for predicting the water 354 storage of MDLs.



Figure 8. Comparison of the overall performance in glacial lake water storage estimation between our and

357 previous models (a) and comparison of measured and estimated water storage by our model (b).

Table 6 Comparison of all empirical scaling relationships (EqS1-EqS20) in terms of bias, mean absolute error (MAE)

360	and root mean square error	(RMSE) are me	asured in cubic	kilometers. S	See Appendix B	for details
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Equation	RE	BIAS	MAE	MAPE	R ²	RMSE
Our model	14%	-0.0031	0.0059	25%	0.9793	0.0096
Zhou et al., 2021	53%	0.0097	0.0142	95%	0.9289	0.0485
Eq1	63%	-0.0060	0.0104	49%	0.9654	0.0174
Eq2	49%	-0.0185	0.0192	130%	0.9521	0.0299
Eq3	50%	-0.0074	0.0100	44%	0.9556	0.0150
Eq4	164%	0.0448	0.0448	120%	0.9494	0.1035
Eq5	45%	-0.0056	0.0112	51%	0.9418	0.0182
Eq6	219%	0.0609	0.0609	130%	0.9509	0.1331
Eq7	48%	-0.0056	0.0097	41%	0.9516	0.0146
Eq8	52%	-0.0162	0.0177	117%	0.9621	0.0295
Eq9	49%	-0.0126	0.0143	74%	0.9556	0.0213
Eq10	50%	-0.0149	0.0164	98%	0.9596	0.0262
Eq11	49%	-0.0112	0.0131	63%	0.9551	0.0192
Eq12	94%	0.0089	0.0118	37%	0.9642	0.0186
Eq13	853%	0.2362	0.2362	159%	0.9590	0.4404
Eq14	51%	0.0022	0.0113	61%	0.9438	0.0268
Eq15	46%	-0.0048	0.0110	50%	0.9430	0.0182
Eq16	44%	-0.0153	0.0160	88%	0.9288	0.0230
Eq17	316%	0.2088	0.2089	292%	0.8736	0.7300
Eq18	77%	0.0178	0.0207	98%	0.9418	0.0582
Eq19	50%	0.0036	0.0124	74%	0.9379	0.0336

132/0 0.000250 0.0152 $57/0$ 0.0501	Eq	20	132%	0.000238	0.0132	59%	0.9501	0.0245
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361 4.3. Application of the new model

Considering the frequent occurrence of GLOF events in High Mountain Asia, posing threats to downstream infrastructure and the safety of the lives and properties of the local communities, assessing the water storage of glacial lakes is crucial for management potentially hazardous ones (Nie et al., 2023). Therefore, this study employs our model to provide preliminary estimates of glacial lake water storages in the study area.

367 A glacial lake inventory data (Wang et al., 2020) reveals that in 2018, there were a total of 13,166 glacial lakes (≥0.01 km²) distributed in High Mountain Asia. The dataset highlights a 368 significant increase in both the number and area of GCLs from 1990 to 2018, experiencing a 369 370 remarkable growth of 52% and 54%, respectively. Model estimation results indicate that the total 371 glacial lake water storage in the study area was 37.18 km³ in 2018. Over the past three decades, the 372 overall MDL'swater storage increased by 8.94 km³ from 28.24 km³ in 1990, representing a growth of approximately 32%. The expansion rates of glacial lakes varied significantly across different 373 regions (Figure 9). Notably, the Hindu Kush-Karakoram and the central and eastern of the 374 Himalayas to the Hengduan Mountains witnessed the fastest increases in both glacial lake area and 375 376 water storage.



³⁷⁷

378 Figure 9 Changes in the area and water storage of glacial lakes from 1990 to 2018 in High Mountain Asia. The base

379 map is a Google Earth image (©Google Earth).

380 The Eastern Himalayas had the largest gain in both the area and water storage of glacial lakes, 381 concurrently establishing it as a hotspot for frequent GLOFs (Figure 9). The results indicate that the water storage of 1,410 MDLs (≥ 0.01 km²) within the study area was 9,337 ± 990×10⁶ m³ in 2022. 382 Among these, GCLs and GULs account for 70% and 30% of the total water storage, respectively. 383 384 Between 1990 and 2022, the total water storage in glacial lakes representing a substantial growth of 162%. Notably, GCLs contributed 134% with an average annual growth rate of 8.8% a⁻¹, indicating 385 386 an overall increase of 280%. In contrast, the change in the water storage of unconnected lakes remained relatively stable, experiencing a modest growth of 52% over the past 32 years, 387 considerably lower than that of GCLs. 388

389 **5. Discussion**

390 5.1 Justification and uncertainty of model assumptions

391 In this study, we discuss the rationality and uncertainty of the model from three aspects. We 392 first assumed that the MDL features a parabolic longitudinal bottom profile and a uniformly 393 distributed sediment layer. The basin morphology of glacial lakes is a result of glacial erosion during 394 the glacier retreat process. Glacier erosion involves certain lateral shear stress, leading to the 395 formation of U-shaped valleys. Glacial lakes develop on these U-shaped valley terrains (Seddik et 396 al., 2009). Therefore, based on the lake bathymetry and the longitudinal bottom profile of the MDLs (Figure 10), the variations in the underwater morphology of MDLs can be fitted with a parabolic 397 398 curve. However, when observing trends in underwater topography, it is evident that some large and 399 deep lakes (depth >100 m), such as Jialong Co and Bienong Co, exhibit relatively flat underwater 400 terrain, while others do not (Figure 7). This finding aligns with the research conducted by Carrivick 401 and Tweed (2013), who proposed that most proglacial lake basins have flat landforms resulting from 402 extensive sedimentation. These flat terrains, which were previously subdued and smoothed by 403 glaciation, can become covered and obscured by thin layers of silts and clays. Furthermore, it has 404 been suggested by some scholars that in large and deep proglacial lakes, the instability of the glacier 405 margin and the increased likelihood of wave erosion can lead to the erosion of moraine ridges at the 406 lake bottom (Murton et al., 2012).

407 The underwater landforms of some MDLs are not always a smooth parabolic shape. As408 depicted in Figure 11, the bottom topography of most glacial lakes exhibits a fluctuating parabolic

409 trend. Golledge (2008) and Bennett et al. (2000) revealed that subaqueous moraines in glacial lakes 410 often have linear or sinuous crests, and their ridges frequently exhibit heavily glacitectonized 411 sediment structures indicative of compression. Although the presence of subaqueous moraines is uncertain, this perspective offers a plausible explanation for the fluctuations in underwater 412 413 topography. In conclusion, concerning the formation process of subglacial geomorphology in MDLs 414 and lake bathymetry, both aspects substantiate our postulation that the MDL features a parabolic 415 longitudinal bottom profile. Furthermore, we hypothesize the presence of uniform sediment surface 416 to keep $h_1 = h_2$, although sediment distribution may be non-uniform due to factors such as the position of the ice margin and water density (Carrivick and Tweed, 2013). As a result, the uneven 417 418 terrain at the bottom of some glacial lakes or the non-uniform distribution of sediments therein 419 constitutes one of the sources of uncertainty in the model.



420

421 Figure 10. The longitudinal bottom profile underwater topography of the MDLs obtained by bathymetry and the

422 fitting lines of terrain change trend (The white dotted line is the longitudinal profile line of the lake).

423 The second source of uncertainty in the model arises from the assumption regarding the lake 424 surface of the MDL. Here, we assumed MDL's surface shape is characterized by an ellipse at both 425 ends and a rectangle in between. MDLs develop on parabolic or U-shaped glacial troughs. A mature 426 MDL, characterized by a relatively stable surface morphology, tends to exhibit an elliptical shape due to its geological characteristics (e.g., GUL lake type in Figure 5). Similar trends in the 427 428 boundaries of MDLs are observed in different lake catalog datasets. Furthermore, in this study, 429 MDLs are classified into four types based on their geometric shapes (see Table 1). Treating the 430 complete geometric shape of an MDL as an ellipse allows the model to automatically partition the lake basin structure (e.g., V1, V2, V3 in Figure 2) based on the lake's shape coefficient, facilitating 431 432 the calculation of the water storage for MDLs with different morphologies. However, in reality, as 433 suggested by Teller (1987) and Rubensdotter et al. (2009), factors such as the position of the glacier 434 margin, surrounding landscape elevation and topography, and the location and elevation of lake overflow channels can affect the basin morphology of MDLs. For instance, Bencoguo Co and 435 436 Raphstreng in Figure 10 do not exhibit the characteristic elliptical shape on the lake surface. This 437 uncertainty in the geometric shape of the lakes may lead to an overestimation of lake water storage 438 in the model, as the maximum width of the lake significantly influences the model results.

Finally, assuming the slope angle near the lake remains constant $(a=\beta)$ is another aspect contributing to the uncertainty in the model. In actuality, the slopes surrounding the lake exhibit variations influenced by factors like the glacier tongue's position, the surrounding topography, and the presence of moraine ridges. This variability in slope angles can further contribute to the uncertainty when estimating the model's maximum water depth and water storage.

444 5.2 Sensitivity of model input parameters

Additionally, our model requires key parameters, namely, *w*, *l*, *a*, *m*, *n*, and *r*, with the relationship between *m*, *n*, *r*, and *l* defined as l = m + n + r. Thus, we only investigated the sensitivity of our model to *l*, *w*, and *a*. Since water depth is closely related to *w* and *a* (see equation (13)), we also conducted parameter sensitivity tests on the estimated water depth using our model. In this study, we employed Jialong Co and Bienong Co as representatives of GUL and GCL of MDLs, respectively, to assess the sensitivity of the model to various parameters across different types of glacial lakes. Figure 11 (a-f) demonstrates the sensitivity of volume (*v*) and water depth (*h*) in our 452 model to variations in the maximum length (l), maximum width (w), and slope (a) of glacial lakes. Overall, there was a linear increase in glacial lake volume with changes in length (Figures11 a and 453 454 d). As shown in Figures 11b and e, variations in maximum width exhibited a consistent power-law relationship with volume, where volume increased exponentially with width. The water depth of 455 glacial lakes demonstrated a linear increase with changes in width. The slope of the lake's edge 456 457 showed a power-law relationship with both estimated water depth and volume (Figures 11e and f). 458 In summary, when estimating volume using our model, glacial lake width and slope were found to 459 be the most sensitive parameters, followed by the lake's length. Regarding water depth, the model 460 was most sensitive to the slope, followed by the width.



461

462 Figure 11. Parameter sensitivity analysis for glacial lake volume estimation using new model (note: the shaded463 part represents the confidence interval, and definition of parameters in the figure as shown in Table 2).

464 **6.** Conclusion

Water storage plays a crucial role in predicting peak discharge of GLOFs. This study proposed a mathematically robust and cost-effective approach for estimating lake water storage in regions where field measurements of bathymetry are limited. The new model utilized lake geometry and DEMs to estimate lake water storage. By parameterizing the model based on assumptions such as a parabolic longitudinal bottom profile and consistent slope angles, it offers a reliable estimation of lake water storage.

We validated our parameterization using bathymetry measurements from four representative
glacial lakes, namely, Bienong Co, Maqiong Co, Tanong Co, and Jialong Co, located in the Qinghai-

473 Tibet Plateau. Additionally, we applied the new model to 10 glacial lakes with depth measurements 474 conducted during 2020-2021, and we included bathymetry data from 34 other glacial lakes sourced 475 from published literature. Our model overcomes the autocorrelation issue inherent in earlier 476 area/depth-water storage relationships and incorporates an automated calculation process based on the topography and geometrical parameters specific to MDLs. Compared to other models, our model 477 478 achieved the lowest average relative error of approximately 14% when analyzing 44 observed data, surpassing the >44% average relative error from alternative models. This study model will allow 479 480 researchers and practitioners to better predict potential outburst water storages and peak discharge 481 of MDLs.

482 **Competing interests**

- 483 The contact author has declared that none of the authors has any competing interests.
- 484 **Data availability**
- All data used in this study can be found in Table 5 and supplementary files.
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