# Combined impacts of climate change and human activities on blue and green water resources in the high-intensity development watershed

Xuejin Tan<sup>1</sup>, Bingjun Liu<sup>2\*</sup>, Xuezhi Tan<sup>2, 3\*</sup>, Zeqin Huang<sup>2</sup>, Jianyu Fu<sup>2</sup>

<sup>1</sup> School of Geography and Planning, Sun Yat-sen University, Guangzhou, 510006, PR China

<sup>2</sup> Center of Water Resources and Environment, School of Civil Engineering, Sun Yat-sen University, Guangzhou, 510275, PR China

<sup>3</sup> Southern Marine Science and Engineering Guangdong Laboratory (Zhuhai), Sun Yat-sen University, Zhuhai, 519082, PR China

\* Corresponding authors: Bingjun Liu (<u>liubj@mail.sysu.edu.cn</u>)

Xuezhi Tan (tanxuezhi@mail.sysu.edu.cn)

#### 1 Abstract

2 Sustainable management of blue and green water resources is vital for the stability and 3 sustainability of watershed ecosystems. Although there has been extensive attention to blue water 4 (BW) which is closely related to human beings, the relevance of green water (GW) for ecosystem 5 security is typically disregarded in water resource evaluations. Specifically, comprehensive studies 6 are scarce there is a scarcity of comprehensive study on the detection and attribution of variations 7 of blue and green water in the Dongjiang River Basin (DRB), an important source for of regional 8 water supply in the Guangdong-Hong Kong-Macao Greater Bay Area (GBA) of China. Here we 9 assess the variations of BW and GW scarcity, and quantify the impacts of climate change and land 10 use change on BW and GW in DRB using a multi-water-flux calibrated Soil and Water Assessment 11 Tool (SWAT). Results show that BW and green water storage (GWS) in DRB increased slowly with a rate of 0.14 and 0.015 mm a<sup>-1</sup>, respectively, while green water flow (GWF) decreased 12 significantly at a rate of -0.21 mm  $a^{-1}$ . The degree of BW and GW scarcity in DRB is low, and the 13 per capita water resources in more than 80% of DRB exceed 1700 m<sup>3</sup> capita<sup>-1</sup> a<sup>-1</sup>. Attribution 14 results show that 88.0%, 88.5%, and 39.4% of changes in BW, GWF, and GWS result from climate 15 change, respectively. Both climate change and land use change have decreased BW, while climate 16 17 change (land use change) have decreased (increased) GWF in DRB. These findings can guide to 18 the optimize optimization of the allocation of blue and green water resources between upper and 19 lower reach areas in DRB and further improve the understanding of blue and green water evolution 20 patterns in humid regions.

21 Key words: Blue and green water; Water scarcity; Climate change, Land use change; Water flow;

22 Dongjiang River Basin

# 23 **1 Introduction**

24 Land use and land cover change (LUCC), and climate variability may alter hydrological 25 processes in watersheds (Berezovskaya et al., 2004; Chagas et al., 2022; Konapala et al., 2020; 26 Xuezhi Tan et al., 2022), which successively affect variations of regional water resources (Hoek van Dijke et al., 2022; Pokhrel et al., 2021; Stocker et al., 2023; Suzuki et al., 2021), potentially 27 28 leading to ecosystem degradation and severe water shortage crises (Aghakhani Afshar et al., 2018; 29 Zuo et al., 2015). With the development of society and the economy, there is an increasing need 30 for more of water resources to accommodation accommodate human needs water utilization, 31 encompassing agricultural, domestic, and industrial water usage. Water scarcity and spatiotemporal mismatch between regional water supply and demand in certain regions are 32 33 becoming increasingly severe, significantly affecting the sustainable development in these regions 34 (Cook et al., 2014). Quantifying water resources under in a changing environment is crucial for 35 guiding efficient and sustainable water use.

Previous studies on water resource assessment have explored the effects of climate change and anthropogenic <u>factors</u> on available water resources, including streamflow (Tan and Gan, 2015; Tan et al., 2023; Xin et al., 2019), baseflow (Ficklin et al., 2016; Tan et al., 2020), lake water (Acero Triana and Ajami, 2022; Tao et al., 2020), and groundwater (Han et al., 2020). Falkenmark and Rockström (2006) introduce a novel perspective on water resource assessment by categorizing water resources into *BW* and *GW*. *BW* is the total of deep aquifers recharge and river streamflow,

42	such as water in lakes, and rivers. Water users such as industries, agriculture, and municipal users
43	can directly utilize $BW$ . On the contrary, $GW$ is the portion of precipitation that is not <u>drained to</u>
44	river for streamflow generation and. GW is temporarily retained in the soil before eventually being
45	released back into the air by evapotranspiration. $GW$ encompasses both green water flow ( $GWF$ )
46	and green water storage (GWS) (Veettil and Mishra, 2018; Zang and Liu, 2013). Traditional water
47	resource assessments concentrating concentrate on available water resources. and Only only
48	consider $BW_{a}$ but neglect $GW$ (Dai et al., 2022), although $GW$ is also essential. $GW$ supplies about
49	80% of total water resources, sustaining crops growth and the sustainable development of forest
50	and grasslands ecosystems in arid regions or during dry seasons (Li et al., 2018; Schuol et al.,
51	2008). The <u>gG</u> reen water scarcity can lead to ecosystem degradation and intensify competition
52	between human needs and ecosystems for water resources (Falkenmark et al., 2003; Veettil and
53	Mishra, 2018). Compared to traditional streamflow assessment methods, water resource scarcity
54	assessment methods based on the framework of $BW$ and $GW$ are more appropriate for maintaining
55	sustainable water resource management (Cooper et al., 2022; Liu et al., 2017). Recently, some
56	researches studies have characterized water scarcity by assessing variations of BW and GW. For
57	example, Veettil and Mishra (2020) assess blue water scarcity and green water scarcity to show
58	the water security status of counties in the United States. Hoekstra et al. (2012) uses use the concept
59	of $BW$ footprint to study water scarcity issues. Schyns et al. (2019) uses the conception of $GW$
60	footprint to investigate green water scarcity and fiound that the increasingly severe shortage of
•	

61 *GW* poses a significant threat to natural ecosystems.

The Himpacts of climate change and anthropogenic on the hydrological cycle processes in 62 63 watersheds have attracted widespread attention (Chouchane et al., 2020; Cooper et al., 2022; 64 Sherwood and Fu, 2014; Tan and Gan, 2015; Xuejin Tan et al., 2022; Veettil and Mishra, 2016). 65 Changes in land use alter the underlying surface conditions. For example, afforestation or deforestation may exacerbate or alleviate global or regional climate change, and thus affect 66 hydrological cycle processes (Bai et al., 2020; Lian et al., 2020; Qiu et al., 2023). Changes in land 67 use often lead to alterations in land-atmosphere interactions, and vegetation cover changes are 68 essential for regulating climate systems and land ecosystems (Foley et al., 2005; Huang et al., 69 70 2020). Large-scale greening could modify geophysical interactions between the atmosphere and 71 the ground, impacting larger or local regional hydrological cycles. Land degradation (Walters and 72 Babbar-Sebens, 2016), deforestation (Lee et al., 2011), and urbanization (Mohan and Kandya, 73 2015; Zhang et al., 2018) also have far-reaching effects on the climate and hydrological cycle. 74 Climate change is also crucial to the variations in BW and GW resources. Precipitation is the 75 source of BW and GW, and factors such as temperature, solar radiation, and potential 76 evapotranspiration significantly influence the changes of BW and GW in the basin watersheds, 77 especially in GWF (Pandey et al., 2019; Schewe et al., 2014). For a single watershed, BW depends 78 directly on precipitation and evapotranspiration (GWF) (Shen et al., 2017; Vano et al., 2012). 79 Furthermore, precipitation intensity can have a significant impact on the redistribution of

80	precipitation and, BW, and GW as well as GW, by altering infiltration and runoff generation
81	processes (Eekhout et al., 2018; Nearing et al., 2005). Therefore, it is crucial to quantify the effects
82	of climate change and LUCC on BW and GW resources in a basin-watershed for effective water
83	resource planning and management.
84	Water resources management is the primary issue to be addressed for water security.
85	Hydrological models are important tools to meet various needs in water resource management.
86	Hydrological model simulation is an effective method to evaluate changes in blue and green water
87	resources. As a widely used semi-distributed parametric hydrological model, the SWAT model,
88	which typically subdivides watershed into smaller subbasins, is increasingly used in water
89	resources management at the watershed scale. Based on the SWAT model, researchers simulated
90	the spatiotemporal changes in blue and green water resources in Iran (Jeyrani et al., 2021), the
91	Yangtze River basin (Nie et al., 2023), the Poyang Lake basin (Liu et al., 2023), India (Sharma et
92	al., 2023). Some studies have also used model simulations to analyze the effects of climate change
93	and human activities on water resource changes in Meki River basin (Hordofa et al., 2023), China
94	(Liu et al., 2022), and Ningxia (Wu et al., 2021), etc. However, most of the hydrological models
95	used in the study were calibrated and validated using only observed streamflow data without
96	checking the accuracy of other simulated water variables, which can lead to uncertainties in
97	modeling soil moisture and evapotranspiration (Nie et al., 2023).
98	The Dongjiang River Basin (DRB) is a crucial water source region for core cities in GBA,

99 such as Shenzhen, Hong Kong, and Huizhou. Given the significant BW demand from agriculture, 100 urban areas domestic utilization, and industry, as well as the GW demand from over 18,000 km<sup>2</sup> of 101 forested land, the water resource stress in DRB is extremely high, although DRB is located in the 102 wet South China (Liu et al., 2018). The growing mismatch between increasing water demand and 103 decreasing water supply, along with seasonal and pollution-induced water scarcity issues, is 104 becoming increasingly prominent (Yang et al., 2018). CurrentlyHowever, the majority of current 105 studies on water resources of DRB focus on changes and scarcity of surface water and groundwater 106 (BW) while overlooking the critical role and spatiotemporal variations of GW (Huang et al., 2022; 107 Jiang et al., 2023; Jiefeng Wu et al., 2021). With the high-intensity urbanization and climate change 108 in DRB, changes of BW and GW resources in DRB remain unknown. 109 This research aims to analyze the influence of climate change and LUCC on BW and GW in 110 DRB. The objectives of this research are (a) to build the SWAT model for DRB hydrological 111 simulation, (b) to quantitatively evaluate the spatial and temporal variation of BW and GW in DRB,

- 112 (c) to assess the status of water scarcity in DRB using the framework of BW and GW resources,
- 113 and (d) to estimate the effects of climate change and LUCC on *BW* and *GW* in DRB.

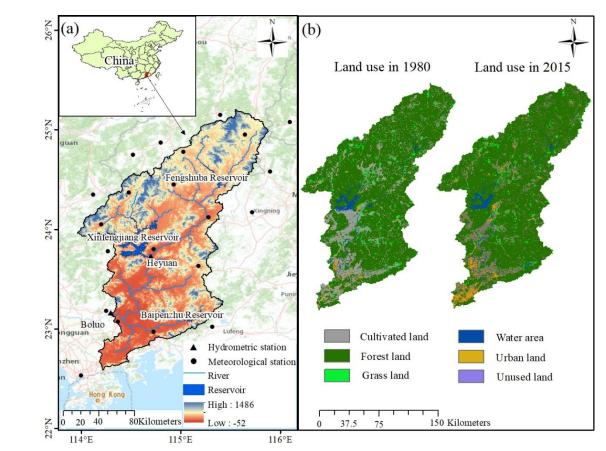
# 114 **2 Materials and methods**

# 115 2.1 Study area

116 The Dongjiang River serves ais an important tributary of the Pearl River, positioned between

longitude 113°25'-115°52'E and latitude 22°26'-25°12'N. It originates in Xunwu County, Jiangxi 117 118 Province, flows through Jiangxi and Guangdong provinces, and goes across major cities including 119 Longchuan, Heyuan, Dongguan, and Shenzhen. The main-trunk stream of the Dongjiang River spans has a total length of 562 km, <u>DRB covering covers</u> a watershed area of 3.5×104 km<sup>2</sup>. DRB 120 121 is situated withinof the subtropical monsoon climate zone with adequate precipitation and high 122 temperatures. The average annual precipitation ranges from 1500-2400 mm, and the average 123 temperature of the basin is approximately 21°C (Jiefeng Wu et al., 2019). The altitude of the basin 124 decreases from the northeast to the southwest. Regions of tThe upper reaches of DRB are 125 dominated by mountains and hills, those of the middle reaches of DRB are dominated by hills and 126 plains, and those of the lower reaches of DRB are dominated by plains.

127 Previous hydrological simulation studies of DRB mainly use the Boluo hydrometric station 128 as the outlet of the watershed (He et al., 2013; Jiefeng Wu et al., 2019), so this research only 129 analyze the area of DRB where water flows to the Boluo station (Fig. 1). The Boluo hydrometric 130 station is the main control station in the lower reaches of the Dongjiang. The Boluo hydrometric 131 station occupy occupies a drainage area of 25,325 km<sup>2</sup>, which is 71.7% of the overall total area of 132 DRB. Since the 1950s, more than 896 reservoirs, ponds, dams, and other water conservancy 133 facilities have been constructed in DRB. Among them, the Baipenzhu Reservoir, Fengshuiba 134 Reservoir, and Xinfengjiang Reservoir are the main-three largest reservoirs in the basin with a 135 cumulative storage capacity of approximately 17,048 million m<sup>3</sup>. The Dongjiang-Shenzhen Water Supply Project constructed in 1964 diverts water from the Dongjiang River to Shenzhen and Hong Kong for providing fresh water resources for municipal use. Over 70% of Hong Kong's freshwater supply comes from the Dongjiang River. Therefore, it is crucial to comprehend the shifts in water resources within DRB for projecting future available water resources for the development of GBA.



141 Figure 1. Location and characteristics of the study area: (a) location of the watershed, spatial distribution of the

- 142 hydrometeorological stations, and digital elevation model (Farr et al., 2007), (b) land use map (Xu et al.,
- 143 2018).

140

# 144 2.2 Methodology

#### 145 2.2.1 SWAT model

146 The SWAT model was adopted to simulate hydrological processes and estimate the volume

147	amount of BW and GW for DRB (Arnold et al., 1998; Neitsch et al., 2002). The SWAT model is
148	widely applied to simulate streamflow and surface runoff (Arshad et al., 2022; Martínez-Salvador
149	and Conesa-García, 2020; Nie et al., 2023). The SWAT model is also widely utilized for exploring
150	the changes of $\underline{in} BW$ and $GW$ (Dai et al., 2022; Liang et al., 2018; Schuol et al., 2008).
151	In SWAT modeling, DRB was divided into 63 sub-basins (Fig. S1), and each sub-basin was

152 then categorized into Hydrologic Response Units (HRUs) depending on land use, soils, and slope.

# 153 2.2.2 Model calibration and validation

In order to reduce the influence of hydraulic engineering, the SWAT model was calibrated 154 155 and validated by utilizing monthly restored natural streamflow at the Boluo and Heyuan hydrometric stations. The optimum hydrological model parameters were are shown in Table 1. All 156 157 the selected parameters are automatically calibrated with 500 simulations via SWAT-CUP. The 158 warm-up period for model simulations is the first two years of the simulation period. Restored 159 Reconstructed natural streamflow in 1970-1979 was used to calibrate the model, and monthly time 160 series of restored reconstructed natural streamflow, ET from GLEAM, and soil moisture data from 161 ERA5 during 1980-1989 were used to validate the model. The calibration period for this research 162 was 1970-1979, and the validation period was 1980-1989. Three metrics, including the determination coefficient ( $R^2$ ), the percentage bias (*PBIAS*), and Nash-Sutcliffe efficiency (*NSE*) 163 164 were applied to evaluate <u>the</u> simulation performance of the SWAT model:

165 
$$NSE = 1 - \frac{\sum_{i=1}^{n} (Q_{nat} - Q_{sim})^{2}}{\sum_{i=1}^{n} (Q_{nat} - Q_{ave})^{2}}$$
(1)

166 
$$PBIAS = \frac{\overline{Q_{sim}} - Q_{ave}}{Q_{ave}} \times 100$$
(2)

$$R^{2} = \left[\frac{\sum_{i=1}^{n} (Q_{nat} - Q_{ave})(Q_{sim} - \overline{Q_{sim}})}{\sqrt{\sum_{i=1}^{n} (Q_{nat} - Q_{ave})^{2} \sum_{i=1}^{n} (Q_{sim} - \overline{Q_{sim}})}}\right]^{2}$$
(3)

168

167

169 where  $Q_{nat}$ ,  $Q_{ave}$ ,  $Q_{sim}$ , and  $\overline{Q_{sim}}$  are monthly natural streamflow, mean monthly natural 170 streamflow, simulated streamflow, and mean monthly simulated streamflow, respectively, and *n* 171 is the total number of time step.

172 Table 1 Range of the main parameters and their optimal values in <u>obtained from</u> the <u>model</u> calibration <u>period</u>

Parameter	Calibration type	Initial range	Best calibrated value
GW_REVAP.gw	V	0.19-0.2	0.199
GWQMN.gw	V	493-1247	916.493
SLSUBBSN.hru	R	2.6-5.7	2.804
ESCO.hru	V	0.89-0.97	0.901
CN2.mgt	R	0.14-0.27	0.209
CH_K2.rte	V	0.38-1.16	0.926
ALPHA_BNK.rte	V	0.12-0.18	0.165
SOL_AWC.sol	R	0.3-0.6	0.598
SOL_K.sol	R	0.32-0.69	0.669
CH_K1.sub	V	0-0.15	0.0295

Note: The symbols of V and R denote a replacement and a relative change to the default parameter value, respectively.

173 This study reconstructed the natural monthly streamflow series of the basin by combining the

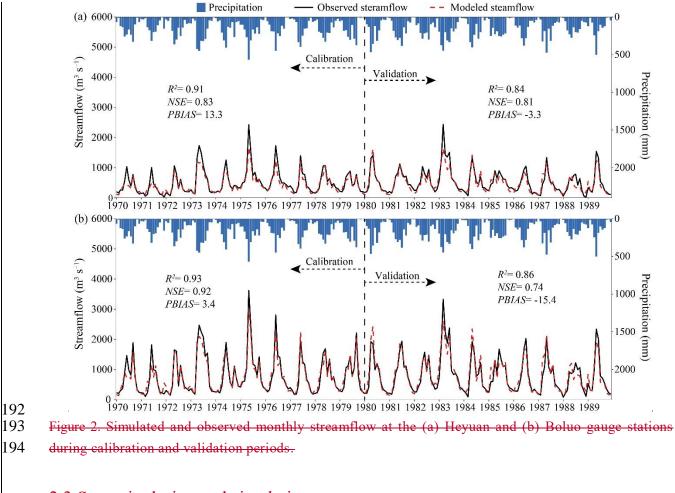
174 inflow and outflow of the three major reservoirs (Xinfengjiang Reservoir, Fengshuba Reservoir,

and Baipenzhu Reservoir) in DRB, based on the watershed water balance (Tu et al., 2018):

$$Q_{nat} = Q_o + \Delta Q = Q_o + Q_{in} - Q_{out} \tag{4}$$

177 where  $\Delta Q$  is the total reduced water volume,  $Q_o$ ,  $Q_{in}$ , and  $Q_{out}$  are the observed streamflow, 178 reservoir inflow, and reservoir outflow, respectively.

179 Overall, the SWAT model shows sufficient accuracies in simulating streamflow, actual 180 evapotranspiration, and soil moisture changes in DRB and can better simulate both seasonal and 181 interannual changes in streamflow. During the calibration period, both stations achieved  $R^2$  above 0.9, NSE exceeding 0.8, and PBIAS less than 14% (Fig. 2). Both stations had simulation streamflow 182  $R^2$  greater than 0.8 during the validation period. The NSE for streamflow simulation at the Heyuan 183 184 station and Boluo station of the validation were 0.81 and 0.74, respectively. The model performed well in simulating the ET and soil moisture. Since the GLEAM ET data and ERA5 soil moisture 185 data are raster data of spatial resolution of 0.25×0.25°, considering the influence of data accuracy 186 on the results, this study uses the watershed scale to validate the simulation results of ET and soil 187 moisture. In the validation period, the  $R^2$  and NSE for the simulation of evapotranspiration were 188 0.92 and 0.8, respectively (Fig. S1), while the  $R^2$  and the NSE for the soil moisture simulation were 189 190 both greater than 0.6. These validation results show that the model can be used to simulate 191 hydrological regimes in DRB.



# 195 2.3 Scenario design and simulation

### 196

#### 197 Table 2 Scenario settings for the simulation of effects of climate change and LUCC on blue and green water

Scenarios	Scenarios Land-use		Combined effects	Land use change effects	<del>Climate change -</del> <del>effects</del>
<del>S1</del>	<del>1980</del>	<del>1970-1993</del>			
<del>\$2</del>	<del>1980</del>	<del>1994-2017</del>			<del>S2-S1</del>
<del>S3</del>	<del>2015</del>	<del>1994-2017</del>	<del>\$3-\$1</del>	<del>S3-S2</del>	

# 198 2.4-<u>3</u> Calculation of blue and green water and water security indicators

## 199 <u>2.3.1 Calculation of blue and green water</u>

200 BW is calculated from the sum of water yield (SWAT output WYLD) and groundwater storage. The former refers to the amount of water that leaves the HRU and enters the channel. The latter 201 202 represents the net amount of water recharged to aquifers (SWAT output GW RCHG) and the 203 amount of aquifer water discharges to the main channel (SWAT output GW W) during a time step 204 (Hordofa et al., 2023). GW can be divided into two components including GWF which is the actual 205 evapotranspiration (SWAT output ET) from the HRU, and GWS which is the soil water moisture 206 (SWAT output SW) (Nie et al., 2023; Veettil and Mishra, 2018). The calculation of the Green Water 207 Index (GWI) involves dividing the quantity of GW by the sum of BW and GW (Ding et al., 2024; 208 Nie et al., 2023).

209 2.4<u>3</u>.1–<u>2</u> Blue and green water scarcity

Blue water scarcity (BWSC) is determined by the quotient of BW withdrawal and availability. 210 211 The estimation of BW withdrawals (BWRBWW) in this study involved the multiplication of the 212 aggregate population in each sub-basin by the combined water consumption per person (Liang et 213 al., 2020). The population of each sub-basin was extracted from the population raster data. BW214 Blue water availability (BWA) represents the quantity of water that can be utilized without 215 negatively impacting the river ecosystems. Exhaustive exploitation of BW in rivers may adversely 216 impacts river ecosystems. Previous studies have generally used environmental flow requirements 217 (*EFQEFR*) as a suitable metric for sustaining robust ecosystems (Honrado et al., 2013). According

218 to the study of Richter (2010) and Richter et al. (2012), extracting more than 20% of the water 219 from rivers may result in ecological degradation. Therefore, 20% of streamflow can be deemed 220 BW and used for water supply (Veettil and Mishra, 2016). The calculation of EFR, BWA, and BWSC 221 are as follows:  $EFR_{(at)} = 0.8 \times Q_{\text{mean}(at)}$ 222 (6) 223 where <u>EFQEFR(a,t)</u> is the <u>EFQ-EFR</u> for sub-basin 'a' during time 't';  $Q_{mean}$  is the long-term 224 monthly average streamflow.  $BWA_{(a,t)} = Q_{(a,t)} - EFQ_{(a,t)}$ 225 (7) BWSC=BWW/BWA 226 (8) 227 Green water scarcity (GWSC) is defined as the ratio between green water footprint (GWFO) 228 and green water availability (GWA). The GWFO denotes the actual evapotranspiration from the 229 watershed. GWA is the soil moisture that is available for evapotranspiration and vegetation 230 transpiration and is equal to the initial soil moisture (Liang et al., 2020). The GWSC can be 231 formulated as:  $GWSC_{(a,t)} = GWFO_{(a,t)}/GWA_{(a,t)}$ 232 (9) where GWSC is green water scarcity;  $GWFO_{(x,t)}$  is the actual evapotranspiration;  $GWA_{(a,t)}$  is initial 233 234 soil moisture. 235 2.43.2-3 Regional water stress 236 The Falkenmark index (FLK) (Falkenmark et al., 1989) is a widely used measures of water 15

237	stress, defined as the proportion of BWA to the overall population. The Falkenmark index is
238	classified into no stress, stress, scarcity, and absolutely scarcity based on per capita water use.
239	Absolute scarcity is regarded to occur in areas where the indicator threshold is less than 500 m <sup>3</sup>
240	capita <sup>-1</sup> a <sup>-1</sup> , and no stress is thought to occur in areas where the threshold is larger than 1700 m <sup>3</sup>
241	capita <sup>-1</sup> a <sup>-1</sup> .

- 242 2.54 Calculation of relative contribution
- 243 <u>2.4.1 Scenario design and simulation</u>

Three scenarios were constructed to assess the impacts of climate change and LUCC on *BW* and *GW* by changing climate conditions (land use) while holding land use (climate conditions) for the three scenarios simulation each (Table 2). The land use map was fixed when simulating the influences of climate change on blue and green water (S2-S1), while climate conditions was fixed when simulating the influences of LUCC on blue and green water (S3-S2). The climate conditions and the land use were altered when assessing the joint influences of climate change and LUCC on blue and green water (S3-S1).

251

Table 2 Scenario settings for the simulation of effects of climate change and LUCC on blue and green water

Scenarios	Land use	<u>Climate</u> <u>period</u>	Combined effects	Land use change <u>effects</u>	Climate change effects
<u>S1</u>	<u>1980</u>	<u>1970-1993</u>			
<u>S2</u>	<u>1980</u>	<u>1994-2017</u>			<u>S2-S1</u>
<u>S3</u>	<u>2015</u>	<u>1994-2017</u>	<u>S3-S1</u>	<u>83-82</u>	

#### 252 <u>2.4.2 Relative contribution rate calculation</u>

The influences of climate change and LUCC on the changes of blue and green water in different periods are evaluated utilizing the relative contribution (*RC*) rate in this research (Li et al., 2021):

256 Climate change contribution to *BW* and *GW* change is estimated by:

257 
$$RC_{c} = \frac{|X_{2} - X_{1}|}{|X_{2} - X_{1}| + |X_{3} - X_{2}|} \times 100\%$$
(10)

 $\frac{258}{259} \qquad \frac{where X_{1}, X_{2}, and X_{3} are the amount of water including BW or GWF and GWS, respectively}{259} \frac{for scenario S1, S2, and S3.}{260} \qquad \frac{The contribution of LUCC to changes in BW and GW are estimated by Equations 11.}{RC_{I}} = \frac{|X_{3} - X_{2}|}{|X_{3} - X_{2}|} \times 100\%$ (11)

1 
$$RC_{L} = \frac{|X_{3} - X_{2}|}{|X_{3} - X_{2}| + |X_{2} - X_{1}|} \times 100\%$$
(11)

#### 262 2.5 Data

The dataset used in this study consists of three parts: (1) hydrometeorological data, (2) geospatial data encompassing DEM, soil type, and land use, and (3) socioeconomic data encompassing per capita water consumption and population data.

266 Observed monthly streamflow data of the two hydrological stations in the study were 267 collected for the years 1970-2000 from Boluo Station and Heyuan Station, and the observed 268 streamflow time series of these two hydrological stations are of no missing data. Monthly inflow

269	and outflow data of the three major reservoirs in DRB were also collected. All hydrologic data
270	were obtained from the Guangdong Provincial Hydrological Bureau. Meteorological data of daily
271	precipitation, temperature, and other meteorological data for 1968-2017 from 21 Meteorological
272	stations in the watershed were obtained from the National Meteorological Information Center of
273	the China Meteorological Administration. Monthly actual ET data for SWAT model validation was
274	obtained from the Amsterdam Evapotranspiration Model dataset with a spatial resolution of $0.25^{\circ}$
275	$\times$ 0.25° (Martens et al., 2017). Monthly soil moisture data for SWAT model validation was obtained
276	from the European Center for Medium-Range Weather Forecasts ERA5-land dataset with a spatial
277	resolution of $0.1^{\circ} \times 0.1^{\circ}$ (Muñoz Sabater, 2019). The actual evapotranspiration and soil moisture
278	of the watershed equals to the average of all grids included in DRB.
278 279	of the watershed equals to the average of all grids included in DRB. The <u>90-90-</u> meter resolution DEM data and <u>30-30-</u> meter resolution land use data at ten-year
279	The $90-90-$ meter resolution DEM data and $30-30-$ meter resolution land use data at ten-year
279 280	The <u>90-90-</u> meter resolution DEM data and <u>30-30-</u> meter resolution land use data at ten-year intervals (i.e., 1980, 1990, 2000, 2010, 2015) are obtained from the Data Center for Resources and
279 280 281	The <u>90-90-</u> meter resolution DEM data and <u>30-30-</u> meter resolution land use data at ten-year intervals (i.e., 1980, 1990, 2000, 2010, 2015) are obtained from the Data Center for Resources and Environmental Sciences of the Chinese Academy of Sciences (Xu et al., 2018). Soil data is
279 280 281 282	The <u>90-90-</u> meter resolution DEM data and <u>30-30-</u> meter resolution land use data at ten-year intervals (i.e., 1980, 1990, 2000, 2010, 2015) are obtained from the Data Center for Resources and Environmental Sciences of the Chinese Academy of Sciences (Xu et al., 2018). Soil data is obtained from the 1-km resolution Harmonized World Soil Database dataset from the Food and
279 280 281 282 283	The <u>90-90-</u> meter resolution DEM data and <u>30-30-</u> meter resolution land use data at ten-year intervals (i.e., 1980, 1990, 2000, 2010, 2015) are obtained from the Data Center for Resources and Environmental Sciences of the Chinese Academy of Sciences (Xu et al., 2018). Soil data is obtained from the 1-km resolution Harmonized World Soil Database dataset from the Food and Agriculture Organization of the United Nations (Fischer et al., 2008).
279 280 281 282 283 283	The 90-90-meter resolution DEM data and 30-30-meter resolution land use data at ten-year intervals (i.e., 1980, 1990, 2000, 2010, 2015) are obtained from the Data Center for Resources and Environmental Sciences of the Chinese Academy of Sciences (Xu et al., 2018). Soil data is obtained from the 1-km resolution Harmonized World Soil Database dataset from the Food and Agriculture Organization of the United Nations (Fischer et al., 2008). The annual per capita integrated water consumption data of DRB from 2000-2017 was

Environment Science and Data Center of the Chinese Academy of Sciences (Xu, 2017). 287

# 288 **3 Results**

# 289 <u>3.1 Model Performance</u>

290 The SWAT model shows sufficient accuracies in simulating streamflow, actual 291 evapotranspiration, and soil moisture changes in DRB and can better simulate both seasonal and 292 interannual changes in streamflow. During the calibration period, both stations achieved  $R^2$  above 0.9, NSE exceeding 0.8, and PBIAS less than 14% (Fig. 2). Both stations had simulated streamflow 293  $R^2$  greater than 0.8 during the validation period. The NSE for streamflow simulation at the Heyuan 294 295 station and Boluo station of the validation were 0.81 and 0.74, respectively. The model performs 296 well in simulating the ET and soil moisture. Since the GLEAM ET data and ERA5 soil moisture 297 data are raster data of spatial resolution of 0.25×0.25°, considering the influence of data accuracy on the results, this study uses the watershed scale to validate the simulation results of ET and soil 298 moisture. In the validation period, the  $R^2$  and NSE for the simulation of evapotranspiration were 299 0.92 and 0.8, respectively (Fig. S2), while the  $R^2$  and the NSE for the soil moisture simulation were 300 both greater than 0.6. These validation results show that the model can be used to simulate 301 302 hydrological regimes in DRB.

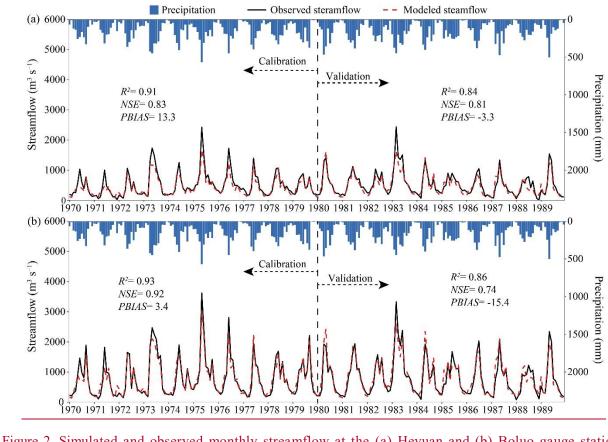


Figure 2. Simulated and observed monthly streamflow at the (a) Heyuan and (b) Boluo gauge stations
 during calibration and validation periods.

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# 307 3.<u>1–2</u>LUCC and Climate variability in DRB

LUCC in DRB is mainly the decrease of cultivated land and the increase of urban land. The land use in DRB primarily consisted of forest land (18,875-18833 km<sup>2</sup>), which is more than 70% of DRB. From 1980 to 2015, the urban land and water areas showed an increase of 469.4 km<sup>2</sup> (137%) and 17.4 km<sup>2</sup> (2.8%), while the grassland, cultivated land, and forest land showed a decrease of 41.3 (4.3%), 487.5 (10.8%), and 42.1 km<sup>2</sup> (0.2%), respectively (Table 3).

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Table 3 Land use transfer matrix in DRB from 1980 to 2015

		2015						1980
Lar	Land use type		Urban land (km <sup>2</sup> )	Cultivated Land (km <sup>2</sup> )	Forest land (km <sup>2</sup> )	Water area (km <sup>2</sup> )	Unused land (km <sup>2</sup> )	total (km <sup>2</sup> )
	Grassland	795.6	29.9	18.3	123.5	2.5	0.0	969.7
	Urban land	0.6	319.6	12.4	7.6	2.3	0.0	342.4
1980	Cultivated land	19.0	269.8	3771.7	427.9	40.4	0.03	4528.8
	Forest land	110.7	183.7	226.2	18278.7	33.1	0.02	18832.5
	Water area	2.5	8.9	12.7	36.8	551.0	0.00	611.9
	Unused land	0.0	0.0	0.02	0.03	0.00	0.45	0.51
2	015 total	928.4	811.9	4041.3	18874.5	629.2	0.51	25285.8

314 DRB exhibited significant regional differences in multi-year average precipitation, 315 temperature, and potential evapotranspiration. The precipitation exhibited an increasing trend from 316 the central to the south and north of DRB. The temperature and potential evapotranspiration 317 showed an overall distribution pattern of greater values in the south and minor values in the north 318 of DRB (Fig. 3). The multi-year average precipitation for the entire of DRB was 1790.1 mm, with 319 annual precipitation ranging from 1236.2-2567.5 mm. The regions with the highest multi-year 320 average annual precipitation are located in the southeast of DRB, where annual precipitation exceeds 2200 mm, while the regions with the lowest precipitation are in the northeastern of the 321 322 watershed. The average annual temperature in DRB ranged from 19.5-21.3 °C, and the average annual potential evapotranspiration ranged from 1101.5-1320.6 mm. The south of DRB is 323 324 predominantly urban, characterized by the urban heat island effect, while the north of DRB is 325 mountainous with higher elevations, leading to the spatial distribution of temperatures.

326 The average temperature and potential evapotranspiration at DRB meteorological stations

exhibited significant variations, while precipitation showed a relatively minor trend (Fig. 3). Overall, basin-averaged precipitation and potential evapotranspiration showed a non-significant decreasing trend, while temperatures showed a significant increasing trend. There was no significant change trend of precipitation for all stations in DRB (Fig. 3a). Twenty out of 21 meteorological stations in the region showed statistically significant increasing trends in average temperature, indicating a warming trend (Fig. 3b). Nine stations showed a significant decreasing trend in potential evapotranspiration, primarily located in northern DRB (Fig. 3c).

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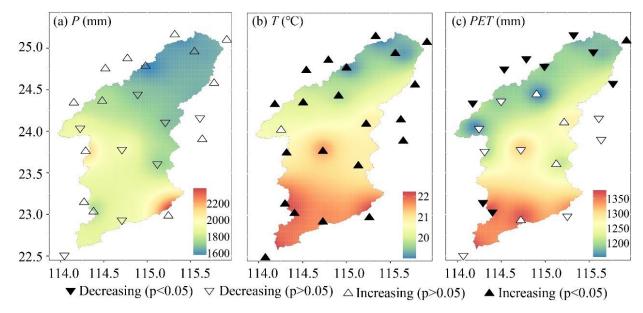


Figure 3. Spatial distribution of annual mean (a) precipitation, (b) temperature, (c) potential
evapotranspiration in DRB from 1960-2017. Each triangle represents the Mann-Kendall test result at a
meteorological station.

The mean precipitation, temperature, and potential evapotranspiration of DRB can be
 obtained from the precipitation, temperature, and potential evapotranspiration of stations using the
 Tyson polygon method. The inter-annual variation of annual precipitation in DRB showed an

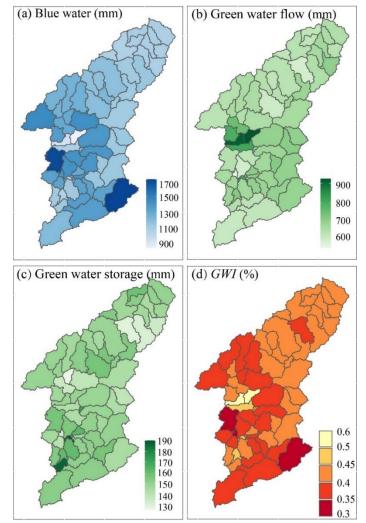
insignificant decreasing trend (-0.51mm a<sup>-1</sup>). The annual mean temperature showed a significant
 increasing trend (0.024°C a<sup>-1</sup>). The annual potential evapotranspiration showed a significant
 decreasing trend (-0.38mm a<sup>-1</sup>) (Fig. S3).

345 3.2-3 Blue and green water resources

The average annual BW and GW were 1240.8 and 840.7 mm, respectively. The DRB water resources were dominated by BW, representing 60.1% of the total water resources, and BW was 1.48 times higher than that of GW resources. The average GWF and GWS were 689.3 and 151.4 mm, respectively.

350 The annual BW resources in the sub-basins of DRB ranged from 893.7-1990 mm, showing 351 an increasing trend from the central to the south and north of DRB, aligning with the spatial 352 distribution of precipitation (Fig. 4a). The regions with abundant BW resources are situated in the 353 central and southeast parts of DRB (>1300 mm), and the BW in the upper reaches is comparatively 354 low (<1100 mm). Differences in the spatial distribution of BW are primarily caused by differences 355 in the spatial distribution of precipitation. Overall, the GWF and GWS are more evenly distributed 356 in the sub-basins than BW. The annual GWF in the sub-basins of DRB ranged from 573.6-923.6 357 mm. The sub-basins with higher GWF are primarily located in the Xinfengijang reservoir area in 358 the middle reaches (>700 mm), while the low *GWF* sub-basins are situated in the southwest of 359 DRB (<600 mm) (Fig. 4b). The land use in the sub-basins where Xinfengjiang Reservoir is located is primarily water areas, with a higher water evaporation rate than other regions, resulting in a 360

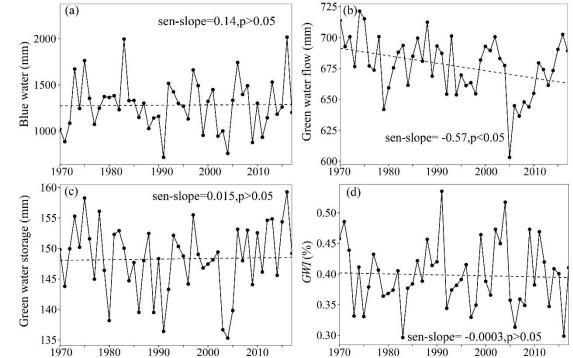
361 greater GWF in this area than in other regions. The annual GWS in the sub-basins of DRB ranged 362 from 126-190.6 mm. The sub-basins with higher GWS are mainly located in the lower part of DRB 363 (>150 mm) (Fig. 4c). The distribution pattern of GWS resources has a great relationship with the 364 soil type of the watershed. The upper reaches and the northwestern part of the watershed are mostly 365 red soil, while the middle and lower reaches are dominated by reddish soil. Reddish soil has a 366 smaller water storage capacity than red soil, loses water faster, and has weaker water conservation and water supply performance than red soil. This is the primary factor for the north-south 367 368 discrepancies in the amount of GWS resources in DRB. In addition, the southern region is mostly 369 of large and medium-sized cities. As urban construction land expands, the land use type in the 370 region has gradually changed to urban land, industrial land, etc., and the solidification of road 371 surfaces has reduced the area of bare soil in the region, resulting in a decrease in *GWS* resources. 372 The annual GWI (Fig. 4d) showed a spatial pattern opposite to BW, decreasing from 0.45 in the upper reaches to 0.3 in the lower reaches. The highest *GWI* is found in the upper reaches, which is 373 374 due to the relatively low rainfall in the upper reaches and the lush vegetation, with significant plant 375 interception and transpiration, resulting in a higher proportion of total evapotranspiration than in 376 the middle and lower reaches. The central part of the basin has the highest precipitation, leading 377 to a lower GWI. The southern part of the watershed has the highest temperature, and 378 evapotranspiration is high. Meanwhile, the lower reaches have a large proportion of agricultural 379 and urban land, and crop irrigation can increase evapotranspiration.



380

381 Figure 4. Spatial distribution of mean (a) BW, (b) GWF, (c) GWS, (d) GWI in DRB over during 1970-2017. 382 In DRB, there was no significant increasing trend in either BW or GWS, while GWF exhibited a significant decreasing trend. The annual trend rate of BW in DRB was 0.14 mm a-1, 383 384 with an annual fluctuation range of 713.6-2017.5 mm during 1970-2017. The minimum BW occurred in 1991, while the maximum was recorded in 2016 (Fig. 5a). The GWF in DRB from 385 386 1970 to 2017 exhibited a significant decreasing trend (-0.57 mm a-1) (Fig. 5b). The minimum 387 GWF occurred in 2005 (603.1 mm), while the maximum was recorded in 1974 (721.3 mm). In 388 contrast, the GWS in DRB from 1970 to 2017 has been slowly increasing at a rate of 0.015 mm a-

1 (Fig. 5c). The annual fluctuation in GWS was smaller than BW and GWF. The GWI in DRB
from 1970 to 2017 showed no significant decreasing trend at a rate of -0.0003 % a-1 (p>0.05) (Fig.



391 5d), implying that the redistribution of precipitation in DRB might change slowly.

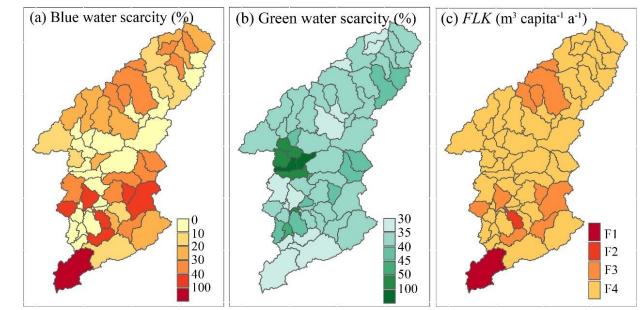
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Figure 5. Interannual variation of (a) *BW*, (b) *GWF*, (c) *GWS*, (d) *GWI* in DRB during 1970-2017.

3.3 - 4 Blue and green water scarcity

The average blue water scarcity level in DRB was low (22.4%) during 1970-2017. The blue water scarcity levels in various sub-basins ranged from 0.1-206%. The multi-year average blue water scarcity, except for one sub-basin in the southwest, was all low (<100%) (Fig. 6a). This indicates that blue water scarcity is not common in DRB at the annual scale. Regions with relatively high blue water scarcity (>20%) are mostly situated in the upper reaches of various tributaries within the watershed, where river streamflow is relatively small. The area with the 401 highest blue water scarcity (206%) is located in the 63rd sub-basin of Shenzhen and Huizhou, 402 reaching a moderate level of blue water scarcity. This region has a large population, with a much 403 higher blue water demand than other areas. Additionally, this sub-basin is situated in the upper 404 reaches of the primary tributary of DRB, resulting in a limited supply of BW resources. Although 405 the northern parts of sub-basins 55, 56, and 61 have large populations, these sub-basins are situated 406 in the downstream of the main Dongjiang River, with a higher streamflow, leading to lower BWSC 407 levels. The average GWSC in the entire basin from 1970-2017 was low (41.4%). The blue water 408 scarcity levels in various sub-basins ranged from 31-104%. The vegetation cover in DRB is high, 409 and DRB is thus of relatively high rates of vegetation transpiration and interception evaporation. 410 The basin experiences a GWSC of nearly 50%, indicating a potential occurrence of GWSC. The 411 areas with higher GWSC are primarily situated in the middle reaches for DRB (Fig. 6b), where 412 water surface evaporation is high, resulting in their GWSC exceeding 100%. The evaporated water 413 in these areas originates from the reservoirs, not the soil, leading to an overestimation of the GWSC 414 in these sub-basins.

Furthermore, the *FLK* index was also used to quantify population-driven water resource scarcity. F1-F4 represent absolute scarcity, scarcity, stress, and no stress, respectively. The results showed that most regions in DRB have no water scarcity pressure (Fig. 6c). However, the 63rd sub-basin experienced absolute water scarcity, and the 52nd sub-basin experienced water scarcity. There were six lower reaches sub-basins and four upper reaches sub-basins facing water stress. 420 DRB receives ample precipitation, resulting in a relatively large river flow, generally leading to a



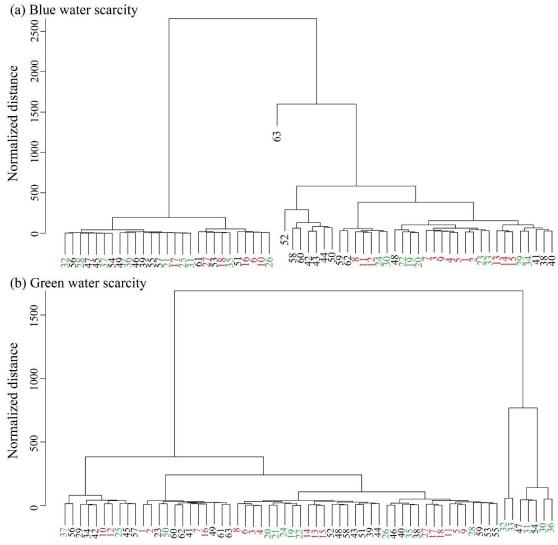
421 higher *FLK* index. As a result, the basin faces lower water resource pressure.

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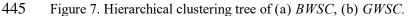
423 Figure 6. Spatial distribution of mean (a) *BWSC*, (b) *GWSC*, and (c) *FLK* index in DRB over during 1970-424 2017.

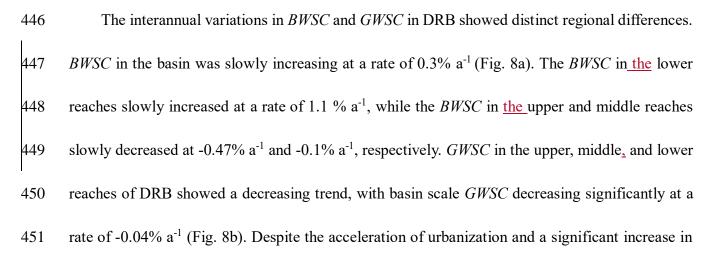
This study also further identified hotspots of BWSC and GWSC in DRB by hierarchical 425 426 clustering of BWSC and GWSC in each sub-basin. Figure 7 shows the clustering tree results for 427 BWSC and GWSC. When the standardized distance was set to 500, all sub-basins could be divided into four categories according to blue water scarcity: (1) The first category consisted of 27 sub-428 429 basins, such as 32, 56, and 28, where the blue water scarcity level was the lowest (<20%). (2) The 430 second category comprised sub-basin 63, which has the most severe blue water scarcity (206%). 431 (3) The third category comprised seven sub-basins, such as 52, 58, and 60, all located in the lower 432 reaches, with relatively high blue water scarcity levels (40%-100%). These sub-basins are mostly 433 located in the tributaries of the lower reaches, with a relatively large population and smaller river

434	streamflow compared to the mainstem of the Dongjiang River. (4) The fourth category consisted
435	of 28 sub-basins, such as 59, 62, and 8, with blue water scarcity levels ranging from 20% to 40%.
436	Similarly, hierarchical clustering was conducted for GWSC. When the standardized distance was
437	set to 500, GWSC in the sub-basins could be divided into three categories: (1) The first category
438	consisted of 56 sub-basins, such as 37, 56, and 29, with relatively low GWSC levels, all below
439	50%, indicating low GWSC. (2) The second category consisted of sub-basins 32 and 33, where the
440	predominant land use type was water areas, leading to higher GWSC due to high water surface
441	evaporation. (3) The third category consisted of sub-basins 47, 31, 54, 30, and 36, where the water
442	area proportion in these sub-basins was larger than in others, leading to significant influences from
443	water surface evaporation.

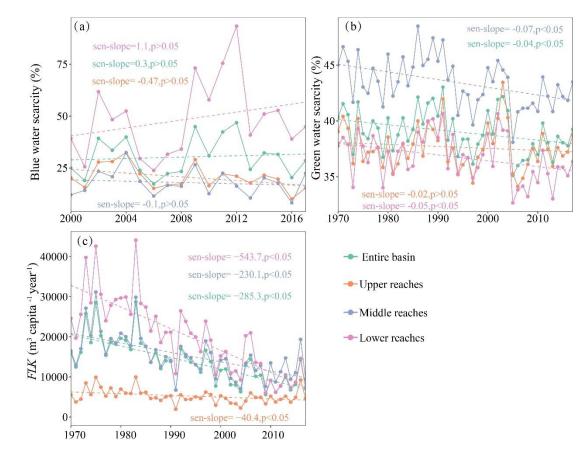








452 population in the middle and lower reaches of the watershed, blue water availability and the 453 amount of obtainable BW have been increasing. Additionally, the annual per capita water consumption in the basin has decreased from 481.0 m<sup>3</sup> in 2000 to 245.0 m<sup>3</sup> in 2020. As a result, 454 455 the rate of increase in BWSC in the watershed has been relatively small. In contrast, the GWF in DRB demonstrated a significant decreasing trend, and the GWS increased slowly. Therefore, the 456 457 GWSC in DRB demonstrated a significant decreasing trend. Meanwhile, the FLK index of the watershed showed a significant decreasing trend (-285.3 m<sup>3</sup> per year), which means that the per 458 capita water resources in the watershed have significantly decreased (Fig. 8c). This is due to the 459 460 rapid population growth in the watershed and the slow increase in available water resources.



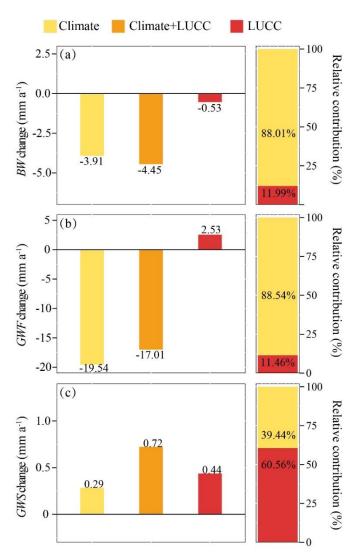
462 Figure 8. Interannual variation of(a) *BWSC*, (b) *GWSC*, and (c) *FLK* index in DRB during 1970-2017.

461

# 463 3.4-<u>5</u> Impacts of LUCC and climate change on blue and green water

To examine the impacts of climate change and LUCC on BW and GW change, this study set 464 three climate conditions and land use scenarios to explore this effect by comparing the scenarios 465 466 (Table 3). The combined impacts of climate change and LUCC on BW and GWS in DRB were superimposed, and the combined effect on GWF was a negatively synergistic effect. Figure 6 467 shows the variations in BW and GW under the impacts of climate change (S2-S1) and LUCC (S3-468 469 S2), as well as their combined effects (S3-S1), along with the relative contribution of climate change and LUCC to the BW and GW changes in DRB during 1970-2017. Under the joint 470 471 influences of climate change and LUCC, BW decreased by 4.5 mm a<sup>-1</sup>. Among this decrease, climate change resulted in a loss in BW of 3.9 mm  $a^{-1}$ , contributing 88.0%, while LUCC led to a 472 loss in BW of 0.5 mm a<sup>-1</sup>, contributing 12.0% (Fig. 9a). The effect of climate change on BW 473 474 variation is much greater than that of LUCC at the basin scale. Under the combined influences of climate change and LUCC, GWF decreased by 17.0 mm a<sup>-1</sup>. Among this decrease, climate change 475 accounted for a decrease in *GWF* of 19.5 mm a<sup>-1</sup>, contributing 88.5% to the decrease, while LUCC 476 led to an increase in *GWF* of 2.5 mm a<sup>-1</sup>, contributing 11.5% (Fig. 9b). Overall, the influence of 477 478 climate change on GWF changes in the watershed is significantly more pronounced than that of 479 LUCC. Under the joint influences of climate change and LUCC, GWS increased by 0.7 mm a<sup>-1</sup>. Among this increase, climate change contributed to an increase in GWS of 0.3 mm a<sup>-1</sup>, accounting 480 for 39.4%, while LUCC contributed to an increase in GWS of 0.4 mm a<sup>-1</sup>, accounting for 60.6% 481

482 (Fig. 9c). DRB is situated in a humid region with high *GWS*, resulting in small fluctuations of *GWS*483 in response to precipitation changes. The fluctuations of *GWS* are primarily influenced by the soil
484 properties and land use. In general, the effect of climate change on the *GWS* change of DRB is
485 smaller than the effect of LUCC.



486

Figure 9. Effects and relative contribution of climate change and LUCC on the changes in (a) *BW*, (b) *GWF*,
and (c) *GWS* in DRB during 1970 to 2017.

489 Under the coupled influences of climate change and LUCC, the *BW* and *GW* resources in

490 DRB have changed. However, there were differences in the joint impacts of climate change and

491	LUCC on $BW$ and $GW$ . Both climate change and LUCC have led to the decrease of $BW$ in the
492	watershed, and the combined effect of climate change and LUCC on BW equals to the sum of their
493	individual effects. Climate change, such as a decrease in potential evapotranspiration, has resulted
494	in a decrease in GWF in DRB, while LUCC has led to an increase in GWF. Therefore, the joint
495	impacts of climate change and LUCC on GWF was partially offset, resulting in the joint impacts
496	of climate change and LUCC on <i>GWF</i> being less than the sum of their absolute individual effects.
497	Both climate change and LUCC have led to an increase in GWS in DRB, and the joint impacts of
498	climate change and LUCC on GWS equals to the sum of their individual effects.

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# 499 **4 Discussion**

500 This study used the SWAT model to simulate the changes in BW and GW resources in DRB 501 over the past five decades and their response to climate change and LUCC. It also assessed the 502 water resource security in the basin. The results indicate that the total water resources showed a 503 decreasing trend in the past five decades in the entire DRB mainly due to decreases in precipitation, 504 which is similar to what Zhu et al. (2022) found. The findings revealed that the GWF exhibited a 505 decreasing trend, and the BW and GWS exhibited an increasing trend. Liu et al. (2010) similarly 506 found an increasing trend in annual surface runoff in DRB. Potential evapotranspiration in DRB 507 showed a decreasing trend, which may be the main cause of the significant decrease in GWF in the basin (Fig. S3), and similar conclusions are obtained in He et al. (2013). 508

509	We show that water resources in DRB are dominated by $BW$ , with a mean annual $GWI$ of 0.4,
510	which is the same as what many studies show in humid areas (Nie et al., 2023). Although the GWI
511	in humid areas is much smaller than that in arid areas, the ratio of $GW$ in DRB still reaches 40%,
512	so it is imperative to incorporate $GW$ in the water resources assessment system. The $GWI$ in the
513	upper and middle reaches of DRB exceeded 0.4, while that in the lower reaches was only about
514	0.3. These results mean that to ensure the appropriate utilization of water resources, effective water
515	management in the upper and middle reaches of DRB should consider GW planning while water
516	management in the lower reaches should mainly consider BW. The assessment results of BWSC
517	and GWSC in DRB similarly illustrates this issue. The GWSC in the upper and middle reaches was
518	bigger than that in the lower reaches of DRB, while the BWSC in the lower reaches of DRB was
519	bigger than in the upper and middle reaches (Fig. 8).

520 There are robust correlations between BW and precipitation, GWF and potential evapotranspiration in DRB. Climate change plays a dominant role in variations of BW and GWF. 521 522 BW is more sensitive to precipitation and potential evapotranspiration. GWF shows sensitivity to 523 changes in potential evapotranspiration and GWS is influenced by both precipitation and potential 524 evapotranspiration (He et al., 2015; Jeyrani et al., 2021). Of course, there are also some studies for 525 in arid regions show that *GWF* is mainly affected by precipitation (Jun Wu et al., 2021), which 526 may be linked to the hydrothermal conditions of the basin. There is sufficient precipitation in DRB, 527 where the GWF changes are mainly energy-limited, and the effect of precipitation on the GWF is 528 smaller.

Although BW and GW are mainly affected by climate change, the influences of LUCC on 529 530 them cannot be ignored. The reaction of water resources to LUCC is exceedingly intricate and 531 involves various hydrological processes, including runoff yield, infiltration, and groundwater (Cuo, 2016; Zhang and Shangguan, 2016). As there is a strong compensatory effect of diverse land use 532 533 in the hydrological system, particularly in expansive watersheds, this could create a strong 534 resistance to GW and BW conversion (Lin et al., 2015). A Decrease decrease in forest land or an 535 increase in cultivated and urban land could lead to a rise in BW and a decline in GW in the 536 watershed. Veettil and Mishra (2018) demonstrate that there is a 10% rise in forest land cover and 537 a 1.4% drop in BW, indicating a negative elasticity between the two. However, the effect of urban 538 land on streamflow in different time-periods showed the opposite effect. On the one hand, the 539 increase in urban land results in increases in impermeable area and thus surface runoff in the basin, 540 but at the same time, the increase in urban land may also reduce groundwater discharge to 541 streamflow. At the same time, LUCC often results in changes in vegetation. Vegetation variations 542 affect the water cycle by altering canopy interception (Shao et al., 2018; Jianping Wu et al., 2019), 543 transpiration (Chen et al., 2023) and canopy evaporation, and ameliorating soil structure (Qiu et 544 al., 2022), Thus increasing vegetation often increases infiltration and soil moisture and reduces 545 surface runoff.

546

There are several limitations and uncertainties in this research. (1) Since the quantity of the

547 BW and GW is derived from the output results of the model simulations, including water yield, ET, 548 soil moisture, and groundwater, the precision of the outcomes depends largely on the precision of 549 the model simulations. Given the absence of observed evapotranspiration and soil moisture data 550 for DRB, this study calibrated and validated the SWAT model using only monthly streamflow, 551 which may weaken these results to some extent. To enhance the credibility of the model, this study 552 also utilized widely used actual evapotranspiration data (GLEAM) and soil moisture (ERA5-land) 553 during model validation at a basin scale. The findings indicated that the simulation performance is 554 relatively good and meets the accuracy requirements for simulation. (2) Climate change, LUCC, 555 and large reservoir operation are the primary factors influencing the changes in hydrological 556 conditions in DRB. The contributions of reservoir regulation, LUCC, water resource utilization, 557 and climate change to the distribution of intra-annual flow are 33.5%, -9%, 4.5%, and 1%, 558 respectively, during 1956-2009 (Tu et al., 2015). The operation of reservoirs, including large 559 reservoirs like the Xinfengijang Reservoir, is one of the important reasons for hydrological changes 560 in DRB (Lin et al., 2014; Zhang et al., 2015). The reservoir module was not established when constructing the SWAT model in this research. To obtain natural BW and GW volumes in the 561 watershed and mitigate the impact of hydraulic engineering, reconstructed natural streamflow 562 563 based on observed flow was utilized for model calibration and validation. However, hydraulic 564 engineering significantly influences the annual allocation of BW. The flow restoration considered 565 the impacts of the three major reservoirs on the Dongjiang River and did not consider the impacts

of other minor hydraulic projects and human water consumption. (3) Both the calculations of 566 567 BWSC and the FLK index include environmental flows. This study represented the proportion of 568 environmental flow in streamflow as 80%. Some studies have suggested that assuming 569 environmental flow to be 80% of the total water resources in a basin may overestimate water 570 scarcity (Liu et al., 2017; Richter et al., 2012). Therefore, we varied the proportion of 571 environmental flow and assessed the degree of BWSC using 60% and 70% proportions. Results 572 show that only the 63rd sub-basin changed from severe BWSC to moderate to high BWSC, while 573 other sub-basins remained with low BWSC. Therefore, the threshold for environmental flow has a 574 minor impact on this paper. The assessment of BWSC and per capita water resources did not take 575 into account the water demand of cities such as Shenzhen and Hong Kong, although the water supply for these cities primarily comes from the Dongjiang River through the Dongjiang-Shenzhen 576 577 Water Supply Project. (4) The hydrological modeling approach utilized in this research is a frequently used method for quantitative analysis of attribution. Nevertheless, it implies 578 579 independence between climate change and LUCC and does not adequately distinguish the impacts 580 of these two components. Such restriction is diffusely recognized to exist (Dev and Mishra, 2017). 581 Despite this recognized limitation, hydrological modeling methods have been widely used in 582 numerous similar researches, yielding credible results (Li et al., 2021; Nie et al., 2023).

## **5 Conclusion**

584	This study analyzed the spatio-temporal evolution of $BW$ and $GW$ , assessed the water security,
585	and evaluated the effects of climate change and LUCC on BW and GW in DRB using the SWAT
586	model. The conclusions can be outlined as follows:
587	(1) During 1970-2017, grassland, cultivated land, and forestland in DRB decreased by 4.3%,
588	10.8%, and 0.2%, respectively, while urban land and water areas increased by 137% and 2.8%,
589	respectively. The annual precipitation and potential evapotranspiration showed a non-significant
590	decreasing trend, while the annual average temperature showed a significantly increasing trend.
591	(2) The annual <i>BW</i> , <i>GWF</i> , and green storage in DRB from 1970-2017 were 1240.8 mm, 840.7
592	mm, and 151.4mm, respectively. $BW$ (0.14 mm a <sup>-1</sup> ) and $GWS$ (0.015 mm a <sup>-1</sup> ) in DRB showed no
593	significant increasing trend, and $GWF$ (-0.57 mm a <sup>-1</sup> ) showed a significant decreasing trend.
594	(3) The level of annual BWSC and GWSC in DRB were low, and per capita water resources
595	exceeded 1,700 m <sup>3</sup> capita <sup>-1</sup> a <sup>-1</sup> . BWSC displayed a non-significant increasing trend, while the
596	GWSC and FLK index displayed a significant decreasing trend, especially in lower reaches.
597	(4) Climate change was the major driving factor of changes in $BW$ and $GWF$ , and LUCC was
598	the major driving factor of GWS change. Climate change contributed to 88.0%, 88.5%, and 39.4%
599	of the changes in BW, GWF, and GWS in DRB, respectively. Both climate change and LUCC
600	decrease <u>(increase)</u> $BW(GWS)$ , while climate change (LUCC) decreases (increases) $GWF$ in DRB.

## 601 Competing interests

602 The contact author has declared that none of the authors has any competing interests.

## 603 Acknowledgments

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- 607 52179030).

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