

1 **Title:** Biocrust reduced soil water retention and soil infiltration in the alpine *Kobresia* meadow

2 **Running title:** Biocrust reduced soil water retention and soil infiltration

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11

12 **Abstract**

13 Biocrust is a key component of ecosystems and plays a vital role in altering hydrological processes  
14 in terrestrial ecosystems. The role of biocrust on [hydrological properties](#)[hydrological processes](#) in  
15 arid and semi-arid ecosystems has been widely documented; however, the effects and mechanisms  
16 of biocrust on soil [hydrological properties](#)[hydrological processes](#) in alpine ecosystems are still  
17 poorly understood. In this study, we selected two meadow types from the northern Qinghai-Tibet  
18 Plateau: normal *Kobresia* meadow (NM) and biocrust meadow (BM). Both the soil hydrological  
19 and physicochemical properties were examined. We found that in the 0–30 cm soil layer, soil water  
20 retention and soil water content in NM were higher than those in BM, whereas the 30–40 cm layer's  
21 soil water retention and soil water content in NM were lower than those in BM. The topsoil  
22 infiltration rate in BM was lower than that in NM. Furthermore, the physicochemical properties

23 were different between NM and BM. The 0–10 cm soil layer’s clay content in BM was 9% higher  
24 than that in NM, whereas the 0–30 cm layer’s soil capillary porosity in NM was higher than that in  
25 BM. In addition, the 0–20 cm layer’s soil total nitrogen (TN) and soil organic matter (SOM) in NM  
26 were higher than those in BM, implying that the presence of biocrust did not favor the formation of  
27 soil nutrients owing to its lower soil microbial biomass carbon and microbial biomass nitrogen.  
28 Overall, soil water retention was determined by SOM by altering soil capillary porosity and bulk  
29 density. Our findings revealed that the establishment of biocrust did not improve soil water retention  
30 and infiltration, [and the soil in biocrust meadows which](#) may be more vulnerable to runoff generation  
31 and consequent soil erosion ~~in biocrust meadows~~. These results provide a systematic and  
32 comprehensive understanding of the role of biocrust in the soil hydrology of alpine ecosystems.

33 **Keywords:** Alpine meadow; biocrust; soil-soil water retention; soil water infiltration;  
34 physicochemical properties

## 35 1 Introduction

36 Biocrusts ~~is the special soil structure in the terrestrial ecosystem, —are composed of living~~  
37 [non-vascular plants \(mosses, lichen and green algae\) and microorganisms \(such as cyanobacteria,](#)  
38 [fungi and bacteria\) associated with their bonding soil particles that occur in the uppermost few](#)  
39 [millimeters or even centimeters of surface soil e-\(Belnap et al., 2016, Xiao-Sun et al., 2022+6\). As](#)  
40 [a crucial part of soil surface, biocrusts which are is](#) widely distributed in arid and semiarid regions  
41 throughout the world, and it plays a vital role in regulating biogeochemical processes, hydrology  
42 processes, and surface energy balance, such as improving soil aggregation and stability, increasing  
43 the soil fertility, reducing soil erosion and thus maintaining water availability (Li et al., 2016), which  
44 can serve as “ecological engineers” in systems. However, to our knowledge, the controlling

45 mechanism of biocrust on soil hydrological processes is still unclear, ~~and~~ Most previous studies  
46 were conducted in arid and semi-arid ecosystems, such as the Tengger Desert, Negev Deserts, and  
47 Loess Plateau, ~~and display a positive effect on soil hydrological properties~~ hydrological processes  
48 where plants are limited by soil moisture. Very few studies have focused on the role of biocrust on  
49 ~~hydrological properties~~ hydrological processes (i.e., soil water content, soil water retention, and soil  
50 infiltration) in ~~high-altitude~~ alpine ecosystems where plants are limited by soil temperature, and the  
51 mechanisms are poorly understood. Thus, examining the impact of biocrust on ~~hydrological~~  
52 ~~properties~~ hydrological processes could have substantial effects on water balance in alpine  
53 ecosystems.

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54 The alpine meadow is an important ecosystem in the Qinghai-Tibet Plateau (QTP), which plays  
55 an important role in water retention (Dai et al., 2019), in preventing soil erosion (Qian et al., 2021)  
56 and in regulating energy exchange (Zhu et al., 2020) by altering soil surface features such as  
57 roughness, soil texture, porosity, and aggregation (Li et al., 2016), thereby modifying evaporation,  
58 soil water retention, and water infiltration processes. However, the formation of biocrust in alpine  
59 meadows is different from that in arid areas, where the biocrust is formed from intensive land use  
60 such as overgrazing, and the biocrust types vary with the succession stage of alpine meadows (Li et  
61 al., 2016b). For instance, as the degree of degradation increases, the moss-dominated crust is  
62 transformed into cyanobacteria-dominated crust, followed by lichen-dominated crust from  
63 *Graminoid*-dominated vegetation degradation to *Kobresia humilis* meadow (light degradation) and  
64 then to *K. pygmaea* meadow (moderate degradation) (Li et al., 2016). Thus, we suggest that the  
65 impact of biocrust on hydrologic processes in alpine meadows may differ from that in arid areas,  
66 and vice versa.

67 To date, ~~the effects of biocrust on plant growth and seed germination in alpine meadows have~~  
68 ~~been reported (Li et al., 2016b; Letendre et al., 2019), whereas the impact of biocrust on soil~~  
69 ~~hydrology processes, such as soil water retention and soil infiltration, remains poorly understood.~~  
70 ~~a~~Although numerous studies have pointed out that biocrust has substantial effects on soil water  
71 retention and soil moisture infiltration processes by altering soil microenvironments, such as soil  
72 roughness, soil porosity, and aggregation, no consensus has been reached. For instance, some studies  
73 have found that biocrust could increase soil water infiltration and reduce runoff by increasing soil  
74 porosity and aggregate stability compared with bare soil in cool desert ecosystems (Kidron and  
75 Benenson, 2014; Wei et al., 2015). In contrast, other studies found that soil water infiltration was  
76 significantly reduced in crusted areas compared with non-crusted areas in arid ecosystems (Li et al.,  
77 2010; Xiao and Hu, 2017). These discrepancies highlight the necessity to further explore the effects  
78 of biocrust on hydrological processes, such as exploring the specific hydrological  
79 processeshydraulic properties by conducting soil infiltration experiments and soil water retention  
80 curve measurements. Furthermore, most previous studies were mainly conducted in arid and semi-  
81 arid ecosystems, and very few studies have focused on the effects of biocrust on the soil's  
82 hydrological propertieshydrological processes in high-altitude alpine ecosystems. Therefore, it is  
83 crucial to assess the role of biocrust in soil water retention and infiltration in alpine meadows.

84 To address these knowledge gaps, both soil and hydrological features were measured with the  
85 aim of exploring the role of biocrust in hydrological processes in alpine ecosystems. Specifically,  
86 the objectives of this study were to explore the effect of biocrust on soil-hydrological features in  
87 alpine ecosystems, to reveal how biocrust affects soil water retention by altering soil and vegetation  
88 properties, and provide insights into the management of biocrust in alpine meadows.

## 89 2 Materials and methods

### 90 2.1 Site description

91 The field test sites were located in the northeastern Qinghai-Tibet Plateau (101° 19' E, 37° 37'  
92 N), in Qinghai Province, China (Fig.1a). The area has a continental plateau climate with a mean air  
93 temperature of -1.7°C and a mean annual precipitation of approximately 562 mm (Dai et al., 2020).  
94 It should be noted that approximately 80% of the precipitation occurs during the growing season  
95 (between May and September), and the other 20% occurs during the non-growing season. The main  
96 vegetation type in this region is the *Kobresia* meadow, which is dominated by *Kobresia humilis*  
97 (Fig.1b). The soil type in the study area is silt loam according to the in the USDA soil taxonomy  
98 system of classification (Cusack and others 2018), with a soil thickness of approximately 60–80 cm.  
99 The pH and EC is 7.5  $\text{m s}^{-1}$  and 6.7 in the study area, respectively. (Li et al., 2016).

### 100 2.2 Experimental design and soil sampling

101 In August 2020, we choose two study sites on the northeastern Qinghai-Tibet Plateau to avoid  
102 pseudoreplication, and two types of soil surfaces were selected in each study site, i.e. ~~a~~-normal  
103 *Kobresia* meadow (NM, Fig. 1b) and ~~a~~-biocrust meadow (BM, Fig. 1c). To reduce the differences  
104 caused by spatial heterogeneity, the BM was selected adjacent to the NM. The vegetation cover in  
105 BMs is usually less than 20% with a thick turf but no litter layer in topsoil, and the BM type is  
106 dominated by cyanobacteria crust (ca. 80%) (Li et al., 2016). In contrast, NM has a dense vegetation  
107 cover and is mainly dominated by *Kobresia pygmaea*, with average plant heights of 1–3 cm.  
108 Furthermore, a clear typical turf horizon and litter layer was observed within the topsoil in NM, that  
109 is, the Afe horizon. BM had a higher root biomass than that of NM, owing to its thick turf (Table 1).

110 We obtained the disturbed soil samples ([i.e. non-ring knife soil sample](#)) in NM and BM and

111 four quadrats (1 × 1 m) were randomly selected for soil sampling with a depth of 10 cm in each  
112 treatment using an earth boring auger, and then brought back to the laboratory to measure and  
113 analyze soil organic matter (SOM), soil microbial biomass carbon (MBC), microbial biomass  
114 nitrogen (MBN), total carbon (TC), total nitrogen (TN), and soil particle size distribution (PSD).  
115 Undisturbed cylindrical ring samples ([i.e. ring knife soil sample](#)) were also obtained in each  
116 treatment to determine the soil bulk density (BD), soil porosity, and soil hydraulic properties (i.e.,  
117 soil water retention and soil water supply capacity). The soil infiltration rates were measured using  
118 a double-ring infiltrometer for each treatment.

### 119 2.3 Laboratory measurements and analyses

120 First, the disturbed soil samples were sieved through 0.25 mm and 2-mm soil sieves to remove  
121 debris and roots for the analysis of soil properties; SOM was measured based on the Walkley &  
122 Black procedure (Nelson and Sommers, 1982), MBC and MBN were measured by the chloroform  
123 fumigation-direct extraction method (Vance et al., 1987), and TC and TN were measured using an  
124 element analyzer (Elementar Vario EL III, Hanau, Germany). PSD was determined using a  
125 Mastersizer 2000 (Malvern Instruments, UK). BD was measured as the ratio of the oven-dry soil  
126 mass to the core volume (100 cm<sup>3</sup>). The soil total porosity, soil capillary porosity, and soil non-  
127 capillary were measured using the following equation (Dai et al., 2020):

$$128 \quad TP = \left(1 - \frac{BD}{d_s}\right) \times 100\% \quad (1),$$

$$129 \quad CP = CWC \times BD \quad (2),$$

$$130 \quad NCP = TP - CP \quad (3),$$

131 where TP, CP, and NCP represent soil total porosity (%), soil capillary porosity (%), and soil non-  
132 capillary porosity (%), respectively; [CWC](#) represents soil capillary water capacity;  $d_s$  is the  
6

133 soil particle density, which was assumed to be 2.65 (g cm<sup>-3</sup>).

134 The soil water retention curves (SWRCs) were measured using a pressure plate apparatus (1500  
135 F1, Soil Moisture Equipment Corp., SEC, USA), and the relationship between soil water content  
136 and matric potential was fitted by the Gardner model. The formula of the Gardner model is as  
137 follows (Gardner et al., 1970):

$$138 \quad h = A\theta^B ,$$

139 where h is the soil water content (%),  $\theta$  is the matric potential (kPa), and A and B are the fitting  
140 parameters. Higher values of A\*B and A indicate a higher soil water supply capacity and soil water  
141 retention capacity, respectively.

142

#### 143 **2.4 Statistical analysis**

144 In this study, to compare the differences between BM and NM on soil water retention and soil  
145 properties, we conducted one-way analysis of variance (ANOVA) statistical tests to determine  
146 differences in plant and soil properties for the same soil layers between the ~~erust~~-BM and NM, and  
147 a least-significant-difference test ( $P < 0.05$ ) was conducted when significant differences were  
148 detected by ANOVA. To explore the relationship between soil properties and soil-soil water  
149 retention, and quantitative evaluation of the effects of soil properties on soil-soil water retention,  
150 Pearson's correlation and variance partition in the analysis were used by R software version 3.4.3  
151 (R Development Core Team, 2006) with the "hier.part" and "corrplot" packages. Furthermore,  
152 structural equation modeling was used to examine the soil properties' direct and indirect effects on  
153 soil water retention.

154

### 155 3 Results

#### 156 3.1 Soil particle size distribution among two surface soil types

157 Silt content dominated the soil particle size distribution in the 0–40 cm soil layer across the  
158 two surface soil types (mean 61.69%), followed by sand (mean 30.13%), and clay (mean 8.18%)  
159 (Fig. 2). Specifically, the 0–10 cm soil layer's clay content in BM was 9% higher than that in NM,  
160 whereas the 10–40 cm soil layer's clay content in BM was 16% lower than that in NM, especially  
161 for the 10–20 cm soil layer ( $P<0.001$ ). In contrast, the 0–40 cm soil layer's silt content in BM was  
162 higher than that in NM, especially for the 20–30 cm soil layer ( $P<0.05$ ). However, no clear pattern  
163 was observed for the sand content between BM and NM. Overall, in the 0–40 cm soil layer, clay  
164 content (8.62%) in NM was 11% higher than that in BM (7.69%), whereas in the 0–40 cm soil layer,  
165 silt content (61.24%) in NM was nearly equal to that in BM (62.13%).

#### 166 3.2 Soil physicochemical properties among two surface soil types

167 There were no significant differences for 0–40 cm BD, 0–40 cm TP, 0–40 cm CP and 0–40 cm  
168 NCP ( $P>0.05$ ) (Fig.3), but the 0–20 cm BD in NM was 13% lower than that of BM, and the TP and  
169 CP in NM were 7% and 5% higher than that of BM. No clear pattern was observed for NCP in NM  
170 and BM (Fig.3). Furthermore, the 0–20 cm TN and SOM in NM were much higher than those in  
171 BM and reached a significant level at 0–10 cm ( $P<0.05$ ), whereas the 30–40 cm TN and SOM in  
172 NM were lower than those in BM (Fig.3). Similarly, the 0–10 cm soil layer's TC and C: N ratio in  
173 NM were significantly higher than those in BM, whereas the 30–40 cm soil layer's TC and C: N  
174 ratio in NM were lower than those in BM (Fig.3). Additionally, the 0–40 cm soil layer's MBC and  
175 MBN in NM were higher than those in BM and reached a significant level at 0–10 cm ( $P<0.05$ )  
176 (Fig. 4).

177 **3.3 Soil ~~hydrological properties~~hydrological processes among two surface soil types**

178 The soil ~~hydrological properties~~hydrological processes varied between crust BM and NM  
179 (Fig.5 and Table 1). Given that parameter A fitted by the Gardner model represents the soil water  
180 retention (a higher A value indicates higher soil water retention), the soil water content was reduced  
181 with decreasing matric potential and reduced sharply at high matric potential but remained stable at  
182 low matric potential (Fig. 5), the 0–30 cm layer's soil water content and soil water retention in NM  
183 were higher than those in BM, whereas the 30–40 cm layer's soil water content and soil water  
184 retention in NM were lower than those in BM (Table 1 and Fig. 6b). Similarly, the 0–10 and 20–30  
185 cm layers' soil water supply capacity (i.e.,  $A*B$  fitted by the Gardner model) in NM was higher than  
186 that in BM, while the 10–20 and 30–40 cm layers' soil water supply capacity in NM was lower than  
187 that in BM (Fig. 6a). Furthermore, the surface infiltration rate in the BM was significantly lower  
188 than that in the NM (Table 1).

189 **3.4 Dominated factors affecting soil-soil water retention**

190 Pearson correlation analysis showed that soil water retention was significantly negatively  
191 related to BD, but significantly positively related to TP, CP, and SOM (Fig.7a), whereas soil particle  
192 size distribution exerted weak soil water retention (Fig.7a). Furthermore, the variance partition  
193 showed that SOM explained the greatest variability in soil-soil water retention (24.40%), followed  
194 by CP (21.24%), BD (18.22), and TP (18.22%) (Fig. 8b), and structural equation modeling showed  
195 that the effect of SOM on soil water retention was achieved by altering CP and BD (Fig. 8).

196 **4 Discussion**

197 **4.1 Effect of biocrust on soil properties**

198 The effects of biocrust on soil properties have been widely explored in previous studies (Guo

199 et al., 2008; Liu et al., 2019). Compared with non-biocrust, and most studies conducted in arid  
200 regions have found that the presence of biocrust could improve soil aggregation and stability (Wu  
201 et al., 2020), increase soil fertility (Zhou et al., 2020), and reduce soil erosion (Chamizo et al., 2017).  
202 In this study, however, we found that the presence of cyanobacteria crust biocrust could improve  
203 topsoil texture compared with normal meadow, but not that of deep soil. The 0–10 cm soil layer's  
204 clay content in cyanobacteria -crust meadowBM was higher than that for NMnormal meadow,  
205 whereas the 10–40 cm soil layer's clay content in cyanobacteria crust meadowBM was lower than  
206 that for normal meadowNM, which is in line with previous studies conducted in arid and semi-arid  
207 regions (Liu et al., 2016; Wu et al., 2020). The higher clay content in cyanobacteria crust  
208 meadowBN was attributed to the exudation and cohesiveness of the biocrust, which promoted clay  
209 and silt formation and reduced sand content (Wang et al., 2021). Furthermore, we found that the 0–  
210 20 cm soil layer's soil bulk density in normal meadowNM was higher than that in cyanobacteria  
211 crust meadowBM, thereby leading to higher soil porosity and total capillary porosity in normal  
212 meadowNM. The higher soil capillary porosity in normal meadowNM was mainly attributed to its  
213 higher soil organic matter content, which was also confirmed by the significant positive relationship  
214 between soil organic matter and soil capillary porosity (Fig. 7). It has been well documented that a  
215 higher soil organic matter could improve soil aggregation and stability and subsequently increase  
216 soil capillary porosity (Cui et al., 2021).

217 Moreover, an increasing number of studies have found that the presence of cyanobacteria  
218 crustbiocrust can also improve soil nutrient conditions in the process of mobile sand fixation  
219 (Belnap et al., 2004; Guo et al., 2008; Li et al., 2005a). In comparison, we found that the presence  
220 of cyanobacteria crust-biocrust reduces the 0–10 cm layer's soil total carbon, total nitrogen, and C:

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221 N ratio [compared with normal meadow](#), which is in contrast to most previous studies conducted in  
222 arid and semi-arid regions, where soil nutrient conditions were improved under biocrust (Chamizo  
223 et al., 2012b; Zhao et al., 2010). A probable reason for these differences may be environmental  
224 differences. Considering that the formation of biocrust is a changing process from simple to complex  
225 in its morphology, the early cyanobacteria crust was formed only under favorable hydrothermal  
226 conditions such as temperature, soil water, solar radiation, and nutrient content (Belnap et al., 2004;  
227 Li et al., 2005b). For instance, biocrust is metabolically active when the external environment is wet,  
228 and its metabolically active environment is sensitive to temperature (Belnap et al., 2004; Li et al.,  
229 2005b), otherwise the biocrust may choose to enter the dormant stage when the external  
230 environment is under unfavorable conditions. Therefore, compared to the higher temperatures in  
231 arid and semi-arid lands, the biocrust in alpine ecosystems may be in a dormant stage owing to its  
232 lower temperature and less available nutrients. Moreover, the biocrust in our study was mostly  
233 dominated by cyanobacteria crust, which was vulnerable to external disturbances such as grazing  
234 activity; thus, the biocrust may choose dormancy when it is subjected to grazing pressure, which  
235 was confirmed by the significantly lower microbial soil carbon and microbial soil nitrogen content  
236 (Fig. 4).

#### 237 **4.2 –Effect of biocrust on soil hydrology and their underlying mechanisms**

238 In this study, we found that soil water infiltration was greatly reduced in [cyanobacteria crust](#)  
239 [meadowBM](#) compared with that in [normal meadowNM](#), which was consistent with the results of a  
240 previous study conducted in alpine meadows (Li et al., 2016b). However, it is in contrast to other  
241 studies conducted in cool desert ecosystems where biocrust increased soil water infiltration and  
242 reduced runoff by increasing soil porosity and aggregate stability [compared with physical crusts and](#)

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243 [non-crusted bare soils](#) (Kidron and Benenson, 2014; Wei et al., 2015). These discrepancies were  
244 associated with soil texture and biocrust developmental stage. In general, soil water infiltration in  
245 coarse-textured soils is higher than that in fine-textured soils owing to its large pores compared with  
246 the narrow pores in fine-textured soils, which reduces the movement of water into the soil (Belnap,  
247 2006). However, we found that the establishment of biocrust increased clay content and  
248 subsequently reduced soil macropores, which hindered soil water infiltration. Therefore, we can  
249 conclude that the soil in the [cyanobacteria crust meadow](#) may be more vulnerable to runoff  
250 generation and consequent soil erosion, owing to its lower soil water infiltration and soil water  
251 retention capacity. On the other hand, biocrust can reduce available pore spaces for water to infiltrate  
252 by clogging the soil surface conductive pores owing to its higher water absorption and swelling of  
253 biocrust (Fischer et al., 2010), and consequently reduce soil infiltration. In addition, soil water  
254 infiltration was altered by the developmental stage of the biocrust in homogeneous soil. A previous  
255 study indicated that soil hydraulic parameters differed significantly between cyanobacterial biocrust  
256 and moss biocrust (Wang et al., 2017). For instance, Chamizo et al. (2012a) reported that the  
257 incipient-cyanobacterial crust had a lower soil infiltration rate than that of the cyanobacterial crust,  
258 whereas the dark-colored mosses' crust had higher surface soil infiltration capacity by increasing  
259 macroporosity and unsaturated hydraulic conductivity in the grasslands dominated by *A. splendens*  
260 (Jiang et al., 2018). In our study, the biocrust was dominated by incipient-cyanobacterial crust,  
261 which had low biological activity and low porosity owing to the predominance of vesicle pores,  
262 thereby leading to a lower soil infiltration rate.

263 Furthermore, the soil-soil water retention and soil water supply capacity varied significantly  
264 between the biocrust and normal meadows. We found that in the 0–10 cm soil layer, soil water

265 retention and soil water supply capacity in [normal meadow<sup>NM</sup>](#) was higher than that in  
266 [cyanobacteria crust meadow<sup>BM</sup>](#), which was in contrast to the results of previous studies conducted  
267 in drylands in which biocrusts enhanced surface soil water retention capacity and water availability  
268 (Sun et al., 2022). We speculate that the lower soil water retention in the [cyanobacteria crust](#)  
269 [meadow<sup>BM</sup>](#) was due to lower soil organic matter; this was verified by the presence of lower  
270 microbial biomass carbon (Fig. 4a). The structural equation model indicated that the effect of soil  
271 organic matter on water retention was mainly achieved by altering soil bulk density and soil porosity  
272 (Fig. 8) because higher soil organic matter could reduce soil bulk density and increase soil porosity  
273 (Liu et al., 2019), leading to higher soil water retention, which also confirmed a significant positive  
274 relationship between soil organic matter and soil water retention (Fig. 7). Soil organic matter was  
275 derived from vegetation litter and root biomass, whereas the vegetation litter in [cyanobacteria crust](#)  
276 [meadow<sup>BM</sup>](#) was lower than that in [normal meadow<sup>NM</sup>](#) owing to its lower aboveground biomass  
277 and vegetation coverage, ultimately resulting in lower soil organic matter in [cyanobacteria crust](#)  
278 [meadow<sup>BM</sup>](#).

#### 279 **4.3 Implications for the role of biocrust in alpine meadows**

280 Grassland ecosystems cover more than 60% of the QTP and provide important ecosystem  
281 services, such as biodiversity conservation, carbon storage, and water conservation (Dong et al.  
282 2020). However, in recent decades, grasslands in the QTP have suffered from serious degradation  
283 due to increasing human activity (Cao et al. 2019). Biocrust is an important surface feature of the  
284 degraded alpine meadows. It is acknowledged that biocrust has a positive effect on soil nutrient and  
285 soil water content retention in arid regions. In contrast, we found that the presence of [cyanobacteria](#)  
286 [crust bioerust](#) decreased soil water retention and soil infiltration rate, which did not improve water

287 conservation in alpine meadows. Therefore, the soil in the biocrust region may be more vulnerable  
288 to runoff generation and consequent soil erosion. Moreover, soil nutrients, such as SOM, TC, and  
289 TN, were reduced significantly in the ~~cyanobacteria crust meadow~~ ~~biocrust meadow~~, suggesting that  
290 the growth of vegetation in the ~~cyanobacteria crust meadow~~ ~~biocrust region~~ may be limited by soil  
291 nutrients. Considering the negative effects of biocrust on alpine meadows, some steps should be  
292 taken to reduce the formation of biocrust in degraded alpine meadows, such as reducing grazing  
293 intensity.

## 294 **5 Conclusions**

295 Soil ~~hydrological properties~~ ~~hydrological processes~~ were significantly affected by the  
296 establishment of biocrust, and we found that the biocrust could retain topsoil water and infiltrate  
297 topsoil, which suggested that the establishment of biocrust did not favor soil ~~hydrological~~  
298 ~~properties~~ ~~hydrological processes~~ in alpine meadows, ~~and the soil in the BMBM might be more~~  
299 ~~vulnerable to runoff generation when a heavy rainfall event occurs~~. Furthermore, the presence of  
300 biocrust increased topsoil clay content, while the 0–30 cm layer's soil capillary porosity in ~~NM~~  
301 was higher than that in ~~BMBM~~, indicating that the presence of biocrust reduced soil porosity and  
302 thereby reduced topsoil water infiltration. ~~We thus concluded~~ ~~This suggested~~ –that the discrepancies  
303 in soil water retention and topsoil infiltration were close to physicochemical properties, and that  
304 SOM plays a role in soil water retention by affecting CP and BD. Our study provides insight into  
305 the role of biocrust in soil hydrological processes in alpine ecosystems.

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309 **Author contribution**

310 Licong Dai: Investigation, Data curation, Writing – original draft, Formal analysis. Ruiyu Fu :  
311 Investigation, Data curation, Writing – original draft, Formal analysis, Visualization. Xiaowei Guo  
312 and Zhongmin Hu: Investigation, Data curation, Project administration, Supervision. Yangong Du:  
313 Writing – original draft, review & editing. Guangmin Cao: Conceptualization, Methodology,  
314 Funding acquisition, Supervision.

315 **Declaration of competing interest**

316 The authors declare that they have no known competing financial interests or personal  
317 relationships that could have appeared to influence the work reported in this paper

318 **Data availability statement**

319 The data that support the findings of this study are available from the corresponding author  
320 upon reasonable request.

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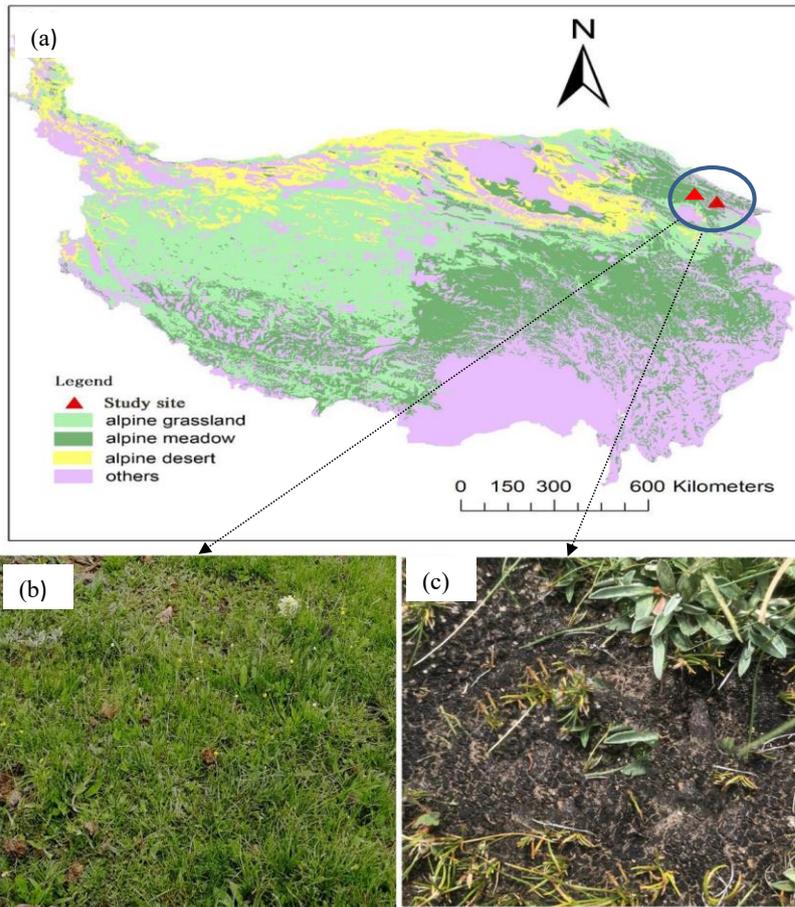
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431 Fig.1 The study site (a) and two type meadows in this study: normal *Kobresia* meadow (b) and

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biocrust meadow (c)

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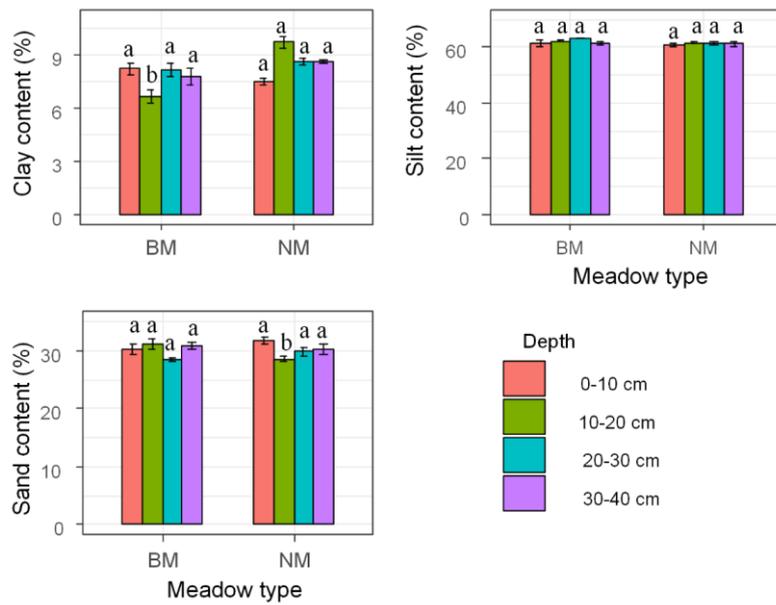
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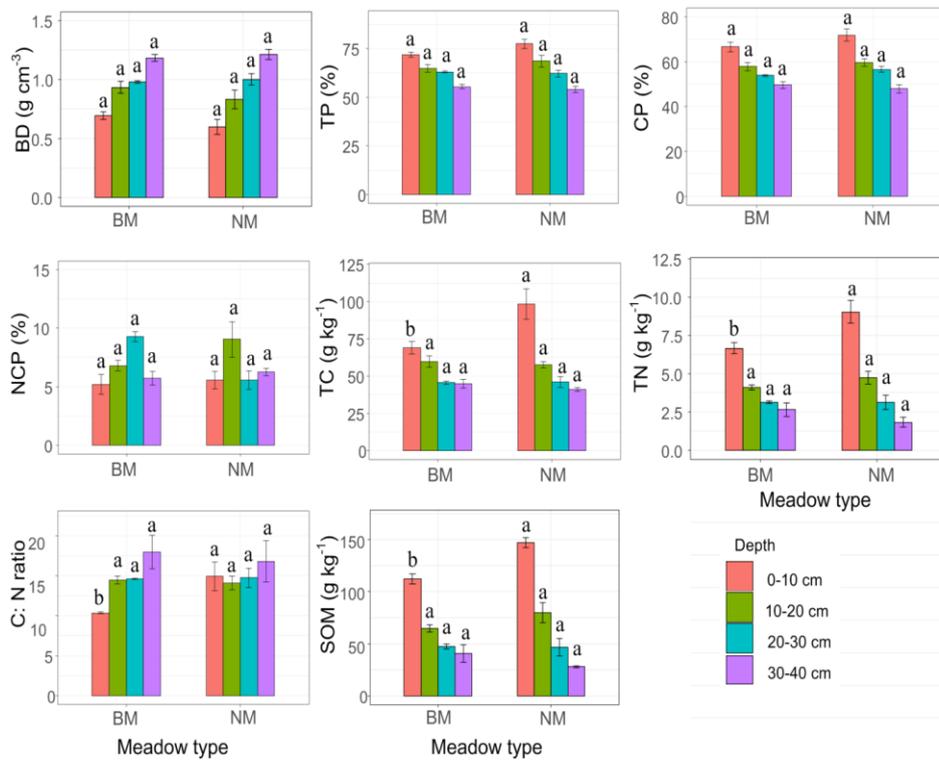
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441 Fig.2 Soil particle size distribution among two surface soil types. Note: NM, normal *Kobresia*  
 442 meadow; BM, biocrusts meadow, the different letters mean significant differences ( $P < 0.05$ ) between  
 443 normal *Kobresia* meadow and crust meadow at the same soil layer



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445 Fig.3 The soil physicochemical among two surface soil types, BD: soil bulk density, TP: soil total

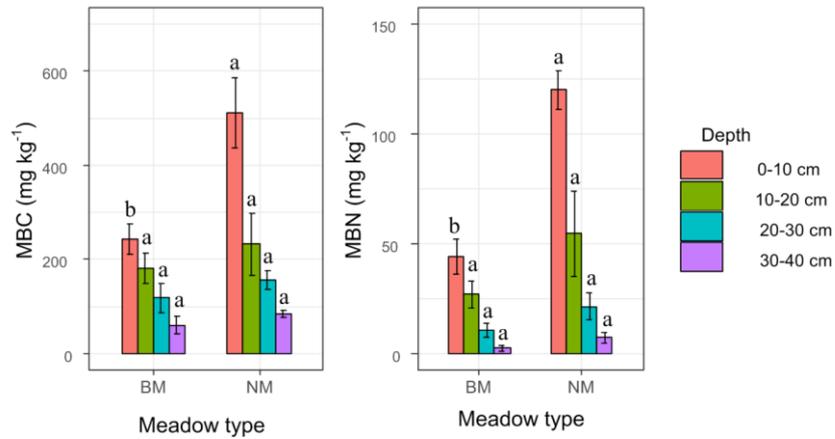
446 porosity, CP: soil capillary porosity, NCP: non-capillary porosity, TN: soil total nitrogen, TC: soil

447 total carbon, C:N: soil C: N ratio, SOM: soil organic matter, the different letters mean significant

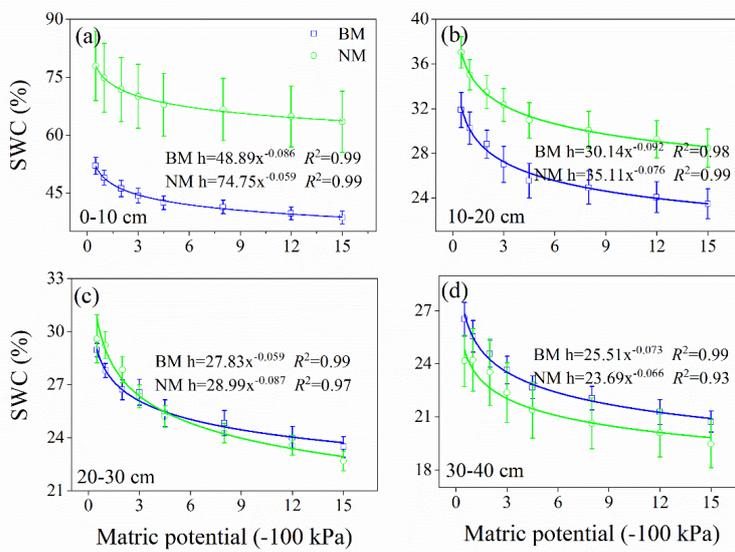
448 differences ( $P < 0.05$ ) between normal *Kobresia* meadow and crust meadow at the same soil layer

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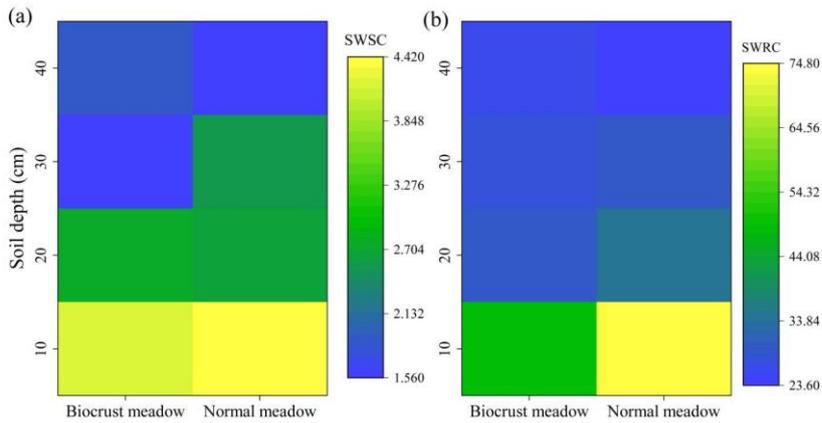


451  
 452 Fig. 4 Soil microbial biomass carbon (MBC) and microbial biomass nitrogen (MBN) among two  
 453 surface soil types, the different letters mean significant differences ( $P < 0.05$ ) between normal  
 454 *Kobresia* meadow and crust meadow at the same soil layer  
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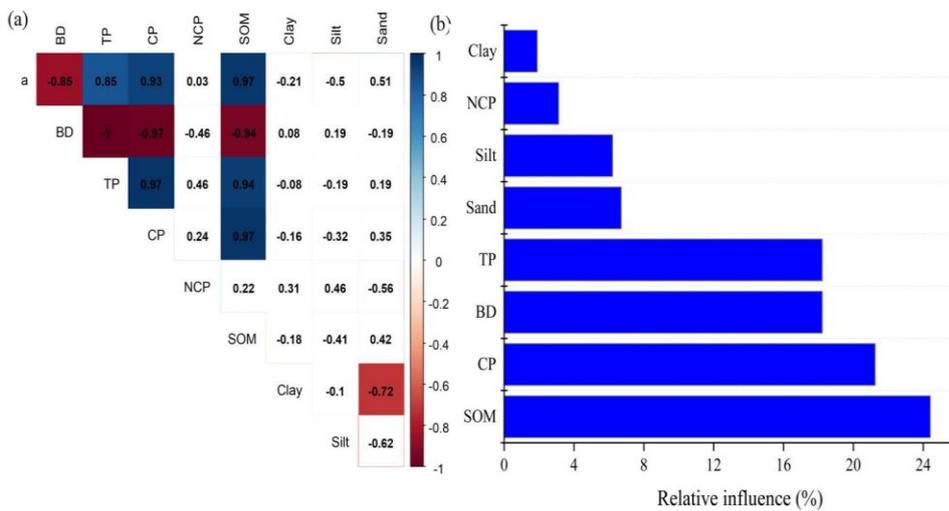


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 457 Fig.5 Soil water retention curve of different soil layer (a: 0-10 cm, b: 10-20 cm, c: 20-30 cm, d: 30-  
 458 40 cm) among two surface soil types between soil water content (SWC) and matric potential. Note:  
 459 NM, normal *Kobresia* meadow; BM, biocrusts meadow, the soil water retention curve was fitted by  
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460 Gardner model (i.e.  $h = A\theta^B$ ), A and B are the fitting parameters; a higher value of A indicated a  
 461 higher soil water-holding capacity.  
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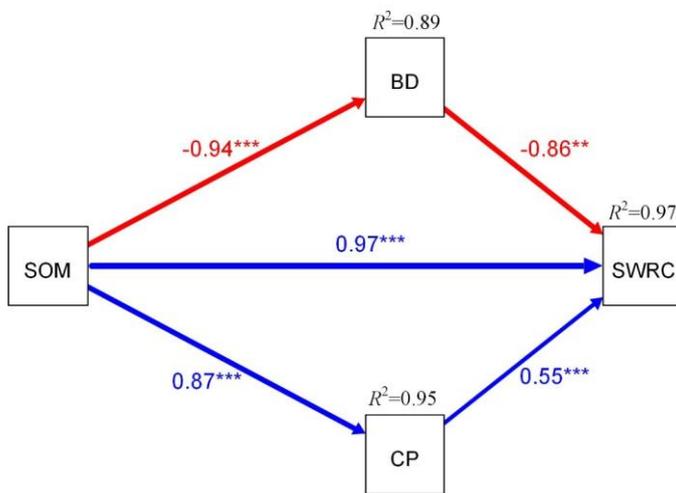
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 464 Fig.6 Soil water supply capacity (SWSC) (a) and soil water retention capacity (SWRC) (b) of  
 465 different soil layer among two surface soil types, the SWSC was represent the A\*B from Gardner  
 466 model, the SWRC represent the A from Gardner model, a higher value of A\*B and A indicated a  
 467 higher soil water supply capacity and soil water retention capacity, respectively.  
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 470 Fig. 7 Pearson correlation between soil water retention and soil properties (a) among two surface  
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471 soil types, and the relative influence of soil properties on soil water retention (b). Note: the “\*”,  
 472 “\*\*\*” and “\*\*\*\*” indicated significant at 0.05, 0.01 and 0.001 level, respectively. Note: a: the  
 473 parameter fitted by Gardner model, BD: soil bulk density, TP: soil total porosity, CP: capillary  
 474 porosity, NCP: non-capillary porosity, SOM: soil organic matter.

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 478 Fig. 8 Structural equation modeling of the direct and indirect effects of soil properties on soil water  
 479 retention capacity (SWRC) among two surface soil types. Standardized path coefficients, adjacent  
 480 to arrows, are analogous to partial correlation coefficients, and indicative of the effect size of the  
 481 relationship. Continuous blue and red lines represent positive and negative correlations, respectively.  
 482 Model fit: Fisher.C=5.48,  $df=2$ ,  $P$ -value=0.065.

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491 Table 1 The soil saturated hydraulic conductivity, soil water content and root dentisy across two  
 492 type meadow  
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	NM	BM
$K_s$ (mm min <sup>-1</sup> )	1.36	0.80
Soil water content (%)		
0-10 cm	41.58	18.77
10-20 cm	41.88	27.70
20-30 cm	35.93	29.45
30-40 cm	29.34	29.59
Root density (g m <sup>-2</sup> )		
0-10 cm	3012.62	4917.89
10-20 cm	622.63	1431.53
20-30 cm	154.18	194.25
30-40 cm	93.01	142.02

494 Note: NM, normal Kobresia meadow; BM, biocrusts meadow

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