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1	Influence of bank slope on sinuosity-driven hyporheic exchange flow and
2	residence time distribution during a dynamic flood event
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15 Abstract. This study uses a reduced-order two-dimensional (2-D) horizontal model to investigate the influence of riverbank slope on the bank storage and sinuosity-driven 16 hyporheic exchange flux (HEF) along sloping alluvial riverbanks during a transient 17 18 flood event. The Deformed Geometry Method (DGM) is applied to quantify the displacement of the sediment-water interface (SWI) along the sloping riverbank 19 during river stage fluctuation. This new model approach serves as the initial step to 20 consider complicated floodplain morphologies in physics-based models for better 21 22 predictions of HEF. Several controlling factors, including sinuosity, alluvial valley slope, and river flow advective forcing and duration of flow are incorporated in the 23 model to investigate the effects of bank slope in aquifers of variable hydraulic 24 transmissivity. Compared to simulations of a vertical riverbank, sloping riverbanks 25 were found to increase the HEF. For sloping riverbanks, the hyporheic zone (HZ) 26 encompassed a larger area and penetrated deeper into the alluvial aquifer, especially 27 in aquifers with smaller transmissivity (i.e., larger aquifer hydraulic conductivity or 28 29 smaller specific yield). Furthermore, consideration of sloping banks as compared to a vertical river bank can lead to both underestimation or overestimation of the pore 30 water residence time. The impact of bank slope on residence time was more 31 pronounced during a flood event for high transmissivity aquifer conditions, while it 32 33 had a long-lasting influence after the flood event in lower transmissivity aquifers. Consequently, this decreases the residence time of HEF relative to the base flow 34 condition. These findings highlight the need for (re)consideration of the importance of 35 more complex riverbank morphology as control of hyporheic exchange in alluvial 36 37 aquifers. The results have potential implications for river management and restoration and the management of river and groundwater pollution. 38

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40 **Key words**: hyporheic exchange, sloping riverbank, transient river stage, peak flow

- 41 event, residence time distribution
- 42





Nomenclature	
$\Delta L$	Nodal spacing [m]
$\bigtriangledown$	Laplace operator
$\alpha_L$	Longitudinal dispersivity [L]
ατ	Transverse dispersivity [L]
D	Dispersion-diffusion tensor [L <sup>2</sup> T <sup>-1</sup> ]
$D_L$	Water diffusivity [L <sup>2</sup> T <sup>-1</sup> ]
$J_x$	Base groundwater gradient [-]
K	Hydraulic conductivity [LT <sup>-1</sup> ]
n	Scaling number [-]
<i>n</i> <sub>0</sub>	Intensity of flood event [-]
n <sub>d</sub>	Skewness of flood event [-]
$S_y$	Specific yield [-]
$t_d$	Duration of flood event [T]
t <sub>p</sub>	Time to peak river stage [T]
α	Amplitude of the river boundary [L]
$\Gamma_d$	Dimensionless aquifer transmissivity [-]
δ	Bank slope angle [°]
$\delta_{ij}$	Kronecker delta function [-]
ε	Tortuosity [-]
η	Degree of flood event asymmetry[T <sup>-1</sup> ]
θ	Effective porosity [-]
λ	River boundary wave length [L]
σ	River boundary sinuosity [-]
τ	Residence time [T]
ω	Flood event frequency[T <sup>-1</sup> ]
$h(\mathbf{x}, t)$	Transient groundwater head [L]
$\Delta h^*$	Dimensionless parameter of ambient groundwater flow [-]





$A^{**}(t)$	Dimensionless variation of HZ area relative to base flow conditions [-]
$C(\mathbf{x}, t)$	Solute concentrations in the aquifer [ML <sup>-3</sup> ]
$C_0(\mathbf{x})$	Solute concentrations in initial condition [ML-3]
$C_{S}(\mathbf{x}, t)$	Solute concentrations in the river [ML <sup>-3</sup> ]
$d^{**}(t)$	Dimensionless variation of HZ penetration distance relative to base flow
	conditions [-]
$H(\mathbf{x}, t)$	Thickness of the saturated aquifer [L]
$H_0(\mathbf{x})$	Initial river stage [L]
$H_p$	Peak river stage during the flood event [L]
$H_r(t)$	River stage at the downstream end [L]
$h_r(x, t)$	Transient river stage [L]
M(t)	Displacement of the sediment-water interface [L]
Pe	Péclet number [-]
q	Specific discharge or Darcy flux [LT <sup>-1</sup> ]
Q	Aquifer-integrated discharge [L <sup>2</sup> T <sup>-1</sup> ]
$Q^{*}_{in, HZ}(t)$	Dimensionless net flux along the river boundary [-]
$Q^{*}_{in, HZ}(t)$	Dimensionless exchange flux from the aquifer to the river [-]
$Q^{*}_{out, HZ}(t)$	Dimensionless exchange flux from the river to the aquifer [-]
Y(x, t)	Location of the sediment-water interface boundary [L]
$z_b(\mathbf{x})$	Elevation of the underlying impermeable layer [L]
$\Gamma_d$	Dimensionless parameter of aquifer transmissivity [-]
$\mu(\mathbf{x}, 0)$	Mean (first order of) residence time distribution [T]
$\mu^*_{out}(x, t)$	Flux-weighted ratio of mean RT to mean RT under baseflow conditions
	[-]
$\mu_n(\mathbf{x},t)$	<i>n</i> -th moment of residence time distribution [T <sup>n</sup> ]
$\mu_r^*(\mathbf{x},t)$	Residence time distribution ratio between slope and vertical river bank
	model [-]
$\mu_{\tau 0-\max}$	Maximum RT in the domain [T]





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$\mu_{\tau-S}(\mathbf{x},t)$	Residence time distribution of slope river bank model [T]
$\mu_{\tau}(\mathbf{x},0)$	Residence time distribution of vertical river bank model [T]
$\rho(\mathbf{x}, t, \tau)$	Residence time distribution [T]
Abbreviati	ons
HZ	Hyporheic zone
HEF	Hyporheic exchange flux
DGM	Deformed Geometry Method
SWI	Sediment-water interface
RTD	Residence time distribution
RT	Residence time
ALE	Arbitrary Lagrangian–Eulerian
2-D	Two-dimensional

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#### 45 **1. Introduction**

The hyporheic zone (HZ) can be described as the region that connects the river 46 channel and adjacent aquifer, and includes riverbed and riverbanks. Mixing of 47 different water types (groundwater, surface water) and ages in the HZ causes spatially 48 49 and temporally varying exchange of water, biogeochemical species, and energy between river channel, riverbed and aquifer (Cardenas, 2009b; Hester and Gooseff, 50 2010; Krause et al., 2011, 2017, 2022; McClain et al., 2013; Boano et al., 2014). 51 Hyporheic exchange flow in vertical (e.g., bedform-driven) and horizontal (e.g., 52 meander-driven) domains can add to general regional groundwater upwelling or 53 downwelling, with HEF representing those surface flow components that penetrate 54 and transport through the hyporheic sediment and back into the stream. The 55 56 distribution of hyporheic flow paths strongly determines the spatial and temporal distribution of biochemical characteristics of water within the riverbed and the wider 57 river corridor as well as the formation of so-called hot zones and hot moments 58 (Krause et al., 2013, 2017; Cardenas, 2015; Pinay et al., 2015). 59

Hyporheic exchange flux (HEF) is controlled by parameters such as stream 60 discharge dynamics, recharge, riverbed and aquifer hydraulic properties, local 61 62 pressure head fluctuations, and river geometry and morphology including sinuosity and riverbank slope (Larkin and Sharp, 1992; Gomez-Velez et al., 2012; 2017; 63 64 Schmadel et al., 2016). For example, Cardenas et al. (2004) demonstrate how riverbed characteristics and especially heterogeneity could increase the hyporheic exchange 65 intensity by 17% to 32%. As such, to be able to better estimate the relative importance 66 of HEF on catchment water fluxes and biogeochemistry requires a good 67 understanding of the interactions of its different drivers and controls. This is 68 imperative as the spatiotemporal evolution of HEF paths, the resulting change in HZ 69 extent (area) and thus also the mean residence time (RT) of the exchanged water in 70 the HZ have significant impact on flow dynamics and transient storage along the river 71





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continuum and in turn control attenuation capacity (Weatherill et al., 2018) and
biogeochemical functions of river corridors (Bertrand et al., 2012; Boulton et al., 2010;
Brunke and Gonser, 1997).

75 Both lateral exchange between river and flood-plain, as well as bedform-induced vertical exchange at the streambed interface have been found to be 76 crucial with regards to HEF and the biogeochemical transformation potential along 77 the river corridor (Boano et al., 2010, 2014; Gomez-Velez and Harvey, 2014; 78 79 Gomez-Velez et al., 2015, 2017; Kiel and Cardenas, 2014; Stonedahl et al., 2013). Considerable progress has been made in our understanding of how river planform 80 geometry (Boano et al., 2006, 2010; Cardenas 2006; 2008; 2009a, 2009b; Stonedahl 81 2013), dynamic flood events (Gomez-Velez et al., 2012; 2017) and evapotranspiration 82 (Kruegler et al., 2020) control HEF. Focusing on lateral exchange flow processes, 83 Cardenas (2008; 2009a, 2009b) developed numerical models to investigate HEF and 84 residence time distribution (RTD) for various river channel morphologies and regional 85 86 groundwater flow conditions. Their simulations indicate that channel morphology, represented by sinuosity, is a dominant factor controlling HEF, the total HZ area, and 87 RTD. In addition, Boano et al. (2010) used a similar modeling framework to study the 88 89 relationship between RTD and biogeochemical transformation by introducing surface 90 water as a major source of dissolved organic matter that triggers a sequence of redox reactions within the HZ. Reactive transport simulations showed a good relationship 91 between RTD and denitrification reaction potential. Based on these studies, 92 Gomez-Velez et al. (2012) conducted numerical simulations to investigate the impact 93 94 of aquifer parameters (water table gradient, hydraulic conductivity, dispersivity) and channel sinuosity on HEF and RTD. By comparing RTD with the timescale of nitrate 95 forming or reducing reactions, a meander can be classified as a source or sink of 96 97 nitrate for (de)nitrification activities. More recent modeling studies have focused predominantly on the effects of dynamic river/groundwater stage fluctuations on 98 lateral (e.g., Schmadel et al., 2016; Gomez-Velez et al., 2017) and vertical (e.g., Singh 99





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et al., 2019, 2020; Wu et al., 2018, 2020, 2021) hyporheic exchange and RTD. For example, Gomez-Velez et al. (2017) explored the HZ response to a dynamic river stage under different parameter values for hydraulic conductivity, river stage during flood events, groundwater gradient and river sinuosity conditions. Their results indicate that the dynamic forcing greatly influences net HEF, the area of HZ and RTD across different scenarios, whereby higher aquifer transmissivity will likely result in a stronger but shorter response of HEF and RTD to a flood event.

107 Although there is a considerable body of numerical research on the lateral hyporheic response to the various geometrical (e.g., geometry of river channel, river 108 109 slope, etc) and dynamic drivers (e.g., fluctuation of river/groundwater, gaining and losing conditions of groundwater, etc), many HZ studies do not specifically consider 110 floodplain-driven processes or they apply vertical riverbanks with straight river 111 112 planimetry in an attempt to reduce model complexity in line with the analytical or numerical solutions used (Cooper and Rorabaugh, 1963; Hunt, 1990; Schmadel et al., 113 114 2016; Gomez-Velez et al., 2017;). However, riverbanks are usually tilted rather than vertical (Liang et al., 2018) as they undergo erosion (Osma and Thorne, 1988). 115 Previous research has proven that bank erosion and bank collapse are globally 116 spreading processes controlled by various factors, such as initial bank slope angle 117 118 (Zingg, 1940; Lindow et al., 2009), surface flow forces (Hagerty et al., 1995; Fox and Wilson, 2010), vegetation cover (Mayor et al., 2008; Gao et al., 2009; Puttock et al., 119 2013) and sediment properties (Millar and Quich, 1993). Neglecting bank slope in 120 analytical and numerical model solutions may therefore have a significant influence 121 122 on the prediction accuracy of HEF (Doble et al. 2012a, 2012b) and RTD (Derx et al., 2014; Siergieiev et al., 2015). Thus, a detailed analysis of the floodplain drivers of 123 HEF should require a more detailed consideration of the floodplain geometry 124 125 including riverbank slope in bank storage conceptual models (Sharp, 1977).

Few previous studies have used numerical modeling where the model is bounded by a sloping riverbank to assess the influence of bank slope on HEF for a





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vertical section of an alluvial aquifer. In such cases, the aquifer was considered variably saturated, homogenous, and isotropic, while flow in the unsaturated zone was calculated using the Richards equation (Li et al., 2008; McCallum et al., 2010; Doble 2012a; b). These studies have confirmed that neglecting bank slope can lead to an underestimation of the bank storage volume as well as the temporal HEF in vertical cross-sectional profiles, especially under relatively small bank angles.

In turn, river sinuosity and ambient groundwater gradient (along the river 134 135 channel) have not been studied as potential drivers of sinuosity-driven lateral HEF and RTD and their biogeochemical implications under complex riverbank 136 morphological conditions and it needs to be determined whether considering both 137 drivers can lead to significantly different findings as compared to previous 138 cross-sectional profile models (Doble et al., 2012; Siergieiev et al., 2015; Derx et al., 139 2014). In this study, we therefore quantify the effect of bank slope on the simulated 140 spatial extent (area) of the HZ in sinuosity-driven river meanders and how it impacts 141 the evolution of HEF and RTD under varying aquifer transmissivity conditions to 142 better understand lateral HEF through the alluvial plain. We build on the numerical 143 model introduced by Gomez-Velez et al. (2017) and consider lateral bank slope by 144 145 using a deformed geometry method (DGM) approach. For this, we couple DGM with 146 the Boussinessq equation, the vertically integrated solute transport equation and residence time distribution equation to study HEF. Our results will help to reveal the 147 importance of bank slope for the prediction of HEF and RTD in sinuosity-driven 148 meandering rivers. 149





## 151 2. Methodology

### 152 2.1 Model setup with deformed geometry method

Our modeling approach builds on the work of Gomez-Velez et al. (2017), who 153 154 developed a comprehensive simulation tool in dimensionless form that can represent most riverbank-aquifer situations and dynamic flood conditions. In our study, we use 155 156 their model as a baseline with the same equations and metrics. Additional information 157 regarding implementation of this baseline model can be found in the SI and Gomez-Velez et al. (2017). However, where Gomez-Velez et al. (2017) assume a 158 vertical riverbank, we consider a sloping riverbank and use the DGM approach to 159 capture the dynamic evolution of the SWI along the river course. A constant sloping 160 angle ( $\delta$  [°]) along the alluvial riverbank of a sinusoidal river was implemented in our 161 162 model (see blue lines of conceptual model in Figure S1 and the corresponding 163 mathematical model in Figure S2a) while the SWI was assumed to be always vertical (vertical solid red and green lines in Figure S2c). As such, the contraction or 164 165 expansion of the simulated domain, i.e., displacement of the SWI can be characterized by the sloping angle (there is no movement of the SWI for the vertical riverbank case) 166 and river stage. As the river stage changes, so does the location of the SWI. 167

When the river stage changes in our model, the sinusoidal boundary will migrate towards or away from the floodplain meaning that the submerged part of the riverbank is considered contracted and our model only considers the alluvial aquifer that is not submerged. The evolution of the SWI during a flood event can be calculated by considering river stage and bank slope via:

173  $Y(x, t) = Y_0(x) + M(t)$ (1)

174 where Y(x, t) [L] is the location of the SWI boundary;  $Y_0(x)$  [L] is the initial location

175 of the SWI. In contrast to Gomez-Velez et al. (2017), the displacement of the SWI





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caused by the deformation of the model domain  $(M(t) = [h(t) - h(0)]/\tan(\delta)$ , where h(t)[L] is transient hydraulic head) is added in Eq. (1), which represents the displacement of the river boundary in *y*-direction due to river stage fluctuation and bank slope angle (see the horizontal distance between the vertical red and green solid line in Figure S2c).

To simulate the model domain deformation and mesh displacement, we use the 181 DGM interface in COMSOL. In this interface, the deforming feature of a specified 182 183 domain can be defined as a boundary condition with a given moving velocity or displacement. DGM is based on the arbitrary Lagrangian-Eulerian (ALE) method, 184 which is a hybrid method that allows both the model domain and mesh to move or 185 deform simultaneously in a predefined manner. More details on ALE can be found in 186 Donea et al. (2014). While it has previously been used for simulating general 187 free-surface problems (e.g., Duarte et al., 2004; Maury, 1996; Pohjoranta and Tenno, 188 2011), to our knowledge, DGM has not yet been implemented to solve moving 189 190 boundary problems in hyporheic exchange studies. Here we used Eq. (1) as an input to the DGM interface to simulate the displacement of the SWI (water flow) during a 191 dynamic flood event. Infiltration and seepage face before and after the peak time of 192 193 the flood event, respectively, were neglected. Additionally, solute transport and RTD 194 were simulated based on the extent of the flow field according to Gomez-Velez et al. (2017), as shown in the SI. 195

#### 196 2.2 Model parameterization, testing and scenarios

197 Model hydraulic conditions used in our numerical modeling study are based on 198 values from Gomez-Velez et al. (2017), who conducted a Monte Carlo analysis. They 199 found that the dynamic variations of HEF and RTD are mainly determined by ambient 200 groundwater flow (referred to as dimensionless parameter  $\Delta h^* = \frac{J_{\lambda 2}}{0.5(1+n_0)H_0}$ , see Table 1) 201 and the ratio of aquifer hydraulic conductivity to the duration of the flood event





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(referred to as dimensionless constant  $\Gamma_d = \frac{S_{y\lambda 2}}{0.5K(1+n0)H_{0td}}$ , see Table 1). After setting up 202 the original model of Gomez-Velez et al. (2017) as a baseline case ( $\delta = 90^\circ$ ), we 203 compared our model results for that case with those obtained by Gomez-Velez et al. 204 (2017) for (a) net HEF represented by  $Q_{net, HZ}^{*}(t)$ ; (b) area of HZ,  $A^{**}(t)$ ; (c) 205 penetration of the HZ,  $d^*(t)$  in  $\Gamma_d = 0.1$ , 1, 10 and 100, and found that our model 206 simulated those cases with high accuracy (Fig. 1). Parameters  $A^{**}(t)$  and  $d^{*}(t)$  are 207 based on modeling the transport of a conservative solute while  $Q_{net, HZ}^{*}(t)$  is based on 208 modeling water flow. Slight differences between our model and that of Gomez-Velez 209 210 et al. (2017) might be due to the use of a much more refined mesh in this study and different length scales. 211

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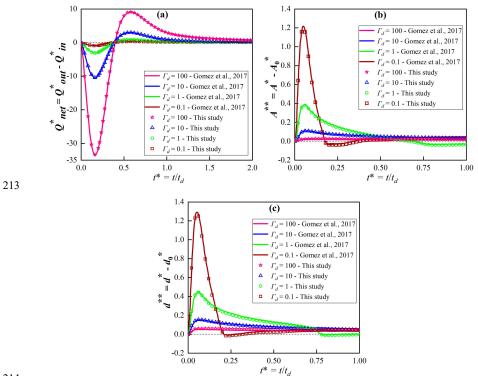


Figure 1. Comparison of results obtained in this study with those of Gomez et al. (2017) for the baseline case with a vertical river bank and variable  $\Gamma_d$ : (a) net





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hyporheic exchange flux represented by  $Q^*_{net, HZ}(t)$ ; (b) extent of the hyporheic zone A<sup>\*\*</sup>(t) and (c) penetration distance  $d^*(t)$  of the hyporheic zone into the alluvial valley. A more refined mesh and different length scales used in this study, can explain occasional slight differences between our model and that of Gomez et al. (2017) might occur. Information regarding model fits can be found in the SI.

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We then considered a series of riverbank scenarios where the bank slope angle 223 ranged from  $\delta = 90^{\circ}$  (vertical riverbank) to 10° (nearly horizontal case) and  $\Gamma_d$  values 224 ranged from 0.1 to 100, (corresponding to aquifer hydraulic conductivity ranging 225 from 480 to 0.048 m/d, indicating high to low transmissivity. Table 1 presents the 226 parameters used in our numerical modeling study. The finite-element models 227 proposed in this study were developed using the COMSOL Multiphysics (COMSOL) 228 software. Eq. (S1), Eq. (S3) and Eq. (S6) were implemented by customizing a PDE 229 interface to include the Boussinessq, vertical integrated solute transport and RTD 230 231 equation, respectively. The model domain was discretized into about 0.5 million variably-sized triangular elements, with refinement imposed near the river boundary. 232 Mesh-independent numerical solutions are achieved by limiting grid size ( $\Delta L$ ) to less 233 than 0.2 m. Thus, the transverse and longitudinal Peclet numbers (calculated by  $P_e =$ 234 235  $\Delta L/\alpha_L$  and  $P_e = \Delta L/\alpha_T$ , respectively) in both advection and diffusion dominated zones are less than 1, which is smaller than the upper limit of  $P_e = 4$  to effectively avoid 236 numerical oscillations and instabilities. 237

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239 Table 1. Parameters and values used in our numerical model simulations (adopted

240 from Gomez-Velez et al. (2017)).

Parameters	Value	Description
Constant mode	l parameters	
$S_y$	0.3	Specific yield [-]
λ	40	River boundary wave length [L]





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α	5	River boundary amplitude [L]
θ	0.3	Efficient porosity [-]
$J_x$	0.0025	Base groundwater gradient [-]
σ	1.14	River boundary sinuosity [-]
$t_d$	10	Duration of flood event [T]
<b>n</b> <sub>d</sub>	0.25	Skewness of flood event [-]
$t_p$	$n_d t_d$	Time to peak river stage [T]
$H_0$	1	Base river stage [L]
$n_0$	1	Intensity of flood event [-]
$\alpha_L$	2	Longitudinal dispersivity [L]
$\alpha_T$	$0.1 \alpha_L$	Transverse dispersivity [L]
Varied mod	el parameters	
$\Gamma_d$	0.1 1 10 100	Dimensionless aquifer transmissivity [-]
δ	90 70 50 20 10	Bank slope angle [°]

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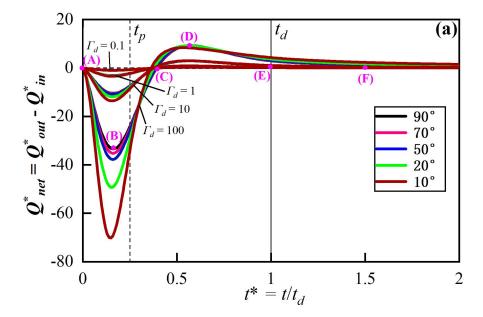
#### 243 **3. Results**

### 244 3.1 Effect of bank slope on hyporheic exchange flow and HZ patterns

### 245 3.1.1 Hyporheic exchange flow

The flow field (velocity magnitude and direction) and net HEF  $(Q^*_{net, HZ}(t))$ 246 247 changed dynamically during and after the simulated flood event. Fig. 2a shows a 248 comparison of  $Q^*_{net, HZ}(t)$  values for different values of  $\delta$  and  $\Gamma_d$ . In order to illustrate the influence of  $\delta$  on  $Q^*_{net, HZ}(t)$  under different  $\Gamma_d$  conditions more clearly, Fig. 2b -249 250 2e highlight the  $Q_{net, HZ}^{*}(t)$  evolution for a given  $\Gamma_d$  at smaller scale. Snapshots of the flow field and the boundary of the HZ area (isolines of  $C(\mathbf{x}, t) = 0.5$  as concentration 251 of a conservative solute) for different  $\delta$  conditions at different times (pink dots in Fig. 252 2a) for  $\Gamma_d = 1$  are shown in Fig. 3a - 3f. 253

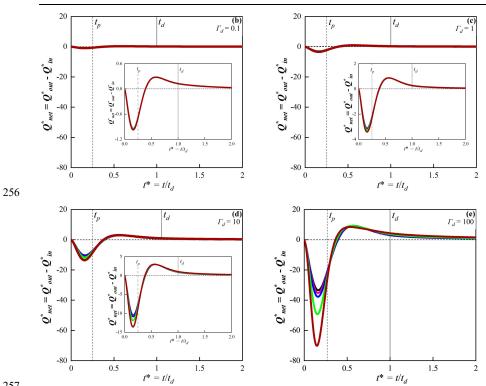
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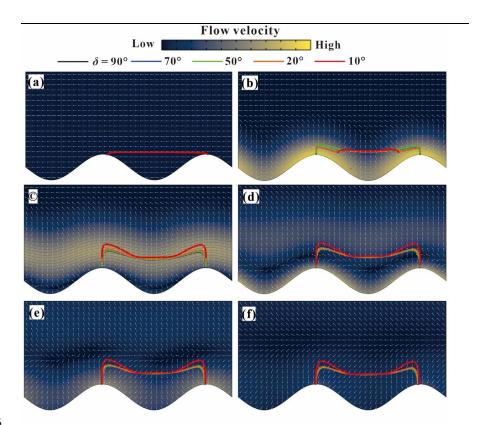
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Figure 2. (a) Temporal evolution of dimensionless net flux for alternative values of  $\Gamma_d$ and  $\delta$  (colored lines). The results for each  $\Gamma_d$  condition from 0.1 to 100 and different slopes are shown again in Fig. 2b - 2e separately, to represent smaller-scales. In each figure, time-to-peak ( $t_p$ ) and flood duration ( $t_d$ ) are marked by vertical dashed lines. Pink dots in (a) marked by (A) - (F) correspond to the snapshots of the flow field shown in Fig. 3. A negative flux value here represents water flow from river to aquifer.





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Figure 3. Temporal evolution of the alluvial flow field and spatial extent of the HZ.
Snapshots of the flow field at different time steps during the simulated event (pink
dots in Fig. 2a). Colored surfaces represent the magnitude of the Darcy flux vector
(blue is low and yellow is high) and white isolines the dimensionless hydraulic head.
Bold colored lines correspond to the HZ extent for different bank slope conditions.

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Before the flood event (t = 0), steady state base flow conditions are assumed, as shown in Fig. 3a. The inflow and outflow (along the upstream and downstream meander bend, respectively) are in balance. The HZ boundaries for different  $\delta$ conditions in Fig. 3a are the same before the flood event because the bank slope has no influence on the flow field and HZ extent. The onset of the flood event is indicated by the rising river stage and forces the river to infiltrate into the aquifer along the SWI (negative values of  $Q^*_{net, HZ}(t)$  in Fig. 2), resulting in the expanded HZ as shown in





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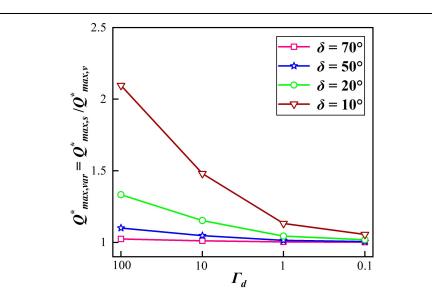
Fig. 3b. The influx of river water into the HZ (- $Q^*_{net, HZ}(t)$ ) reaches its maximum 280 before the time-to-peak river stage ( $t = 0.25t_d$ ) because the pressure wave propagates 281 into the aquifer and decreases the head gradient between the river and the connected 282 283 aquifer. An aquifer with larger  $\Gamma_d$  limits the propagation of the pressure wave due to the low transmissivity, which leads to a larger head gradient near the SWI. This, 284 consequently, leads to larger dimensionless net fluxes under increasing  $\Gamma_d$  conditions. 285 The maximum dimensionless flux ratios  $Q^*_{max, var} = Q^*_{max, s} / Q^*_{max, v}$  of sloping ( $\delta$ 286  $< 90^{\circ}, Q^{*}_{max, s}$  and vertical ( $\delta = 90^{\circ}, Q^{*}_{max, v}$ ) riverbank cases are shown in Fig. 4. The 287 bank slope is found to increase the infiltration flux by up to 120% ( $Q^*_{max, var} \approx 2.2$ ) for 288  $\Gamma_d = 100$  with  $\delta = 10^\circ$  while for larger slope angles or smaller  $\Gamma_d$  the dimensionless 289 infiltration flux gradually decreases. This is because aquifers with smaller  $\Gamma_d$  (higher 290 291 hydraulic transmissivity) are more sensitive to river stage variation and have a strong ability to transmit the pressure wave into the aquifer. In such cases, the influence of  $\delta$ 292 on the net flux becomes less important. On the other hand, a smaller  $\delta$  induces a 293 longer displacement of the SWI (M(t)) away from the river, where the groundwater 294 head adjacent to the SWI is always relatively low (i.e., the head in base flow 295 condition). 296

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Figure 4. Ratio of maximum negative net flux of slope to no-slope (vertical river bank) conditions  $Q^*_{max,var} = Q^*_{max,s}/Q^*_{max}$ , and aquifer transmissivities. The ratios of alternative slope condition are marked by different symbols and colors.

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As the river stage decreases after  $t_p$ , the head gradient near the SWI gradually 304 reverses and the net outflux starts increasing (the river is gaining water). This is 305 306 associated with the river stage declining below the groundwater level (see Fig. 3c - 3f). Fig. 2 shows that the bank slope has little impact on the net outflux. Where  $\Gamma_d = 100$ , 307 bank slope can slightly extend the time required for the system to recover to initial 308 condition after  $t_p$  but in general, the response of the net outflux to bank slope is 309 310 negligible when compared to that of the influx. Eventually, the net flux converges to 311 zero, which indicates the flow field within the aquifer recovers to the initial conditions. 312

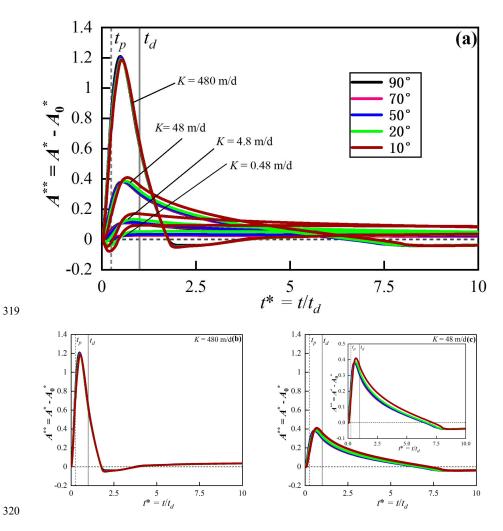
#### 313 **3.1.2 Patterns of hyporheic area and penetration distance**

Fig. 5a and Fig. 6a show the temporal evolution of the HZ area  $(A^{**}(t))$  and penetration distance  $(a^{**}(t))$  into the alluvial valley relative to the initial condition for





- 316 varying  $\Gamma_d$  and slope angles, while Fig. 5b 5e and Fig. 6b 6e illustrate the impact
- 317 of  $\delta$  on  $A^{**}(t)$  and  $d^{**}(t)$  for different values of  $\Gamma_d$  in a close-up.
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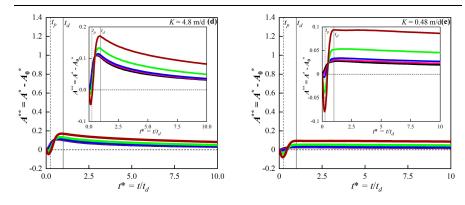
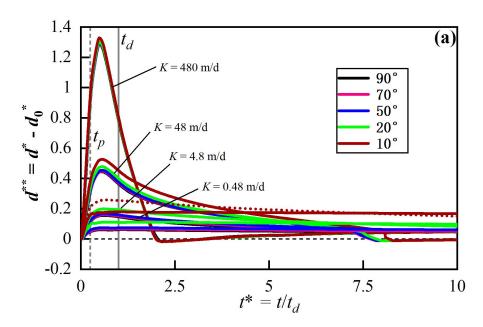


Figure 5. (a) Temporal evolution of HZ area for different values of  $\Gamma_d$  and  $\delta$  (colored lines). For clarity, the results for each  $\Gamma_d$  condition from 0.1 to 100 are shown again separately in (b) to (e), with inserts representing smaller scales.

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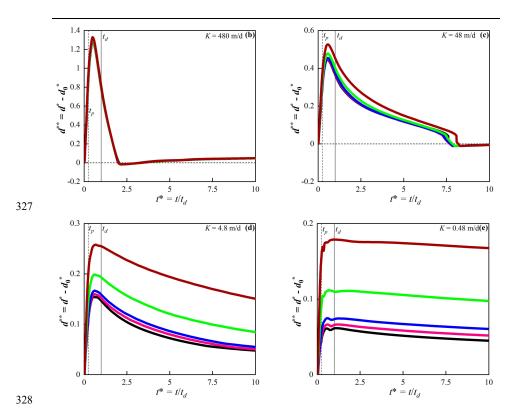


Figure 6. (a) Temporal evolution of HZ penetration distance into the alluvial valley for alternative values of  $\Gamma_d$  and  $\delta$  (color lines). For clarity, the results for each  $\Gamma_d$ condition from 0.1 to 100 are shown again in (b) to (e), with inserts representing smaller scales.

333

For vertical banks ( $\delta = 90^\circ$ , grey lines in Fig. 5),  $A^{**}(t)$  increases synchronously 334 335 with the river stage  $(t < t_p)$ . After the peak time of the flood event  $(t > t_p)$ ,  $A^{**}(t)$ 336 continues to rise due to the water in the river still discharging into the aquifer. Furthermore, the groundwater mound continues to expand, migrating into the aquifer 337 (see the more penetrated groundwater mound from in Fig. 3b vs Fig. 3c). After the 338 339 flood event  $(t > t_d)$ , the river water that was stored in the aquifer  $(C(\mathbf{x}, t) > 0)$  slowly 340 discharges back into the river channel. Thus, the HZ area and penetration distance gradually rebound to initial conditions. 341





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342 Under sloping riverbank conditions, the riverbank will at times be submerged by the rising river stage. Fig. 5b and 6b show that the effects of bank slope on  $A^{**}(t)$ 343 and  $d^{**}(t)$  are almost counteracted by the high transmissivity of the aquifer and the 344 345 influence of bank slope on HZ area and penetration distance is negligible. At the 346 beginning of the flood event, Fig. 5c - 5e show that for conditions with smaller  $\delta$ ,  $A^{**}(t)$  can be less than zero (HZ at these times are smaller than the initial condition). 347 This is due to the fact that the movement of the SWI during a rising river stage 348 349 towards the alluvial valley will submerge parts that were previously unsaturated as the aquifer with low transmissivity will propagate water more slowly. As  $\Gamma_d$  increases 350 from Fig. 5d - 5e, smaller values of  $A^{**}$  were observed that stay negative for a longer 351 time for smaller bank slopes  $\delta$ . This indicates that the bank slope has a more 352 significant effect on HZ area in cases where  $\Gamma_d$  is large as a low-transmissivity aquifer 353 354 takes more time to propagate infiltrating river water.

After about half of the flood duration  $(t > 0.5t_d)$ , all of  $A^{**}(t)$  becomes positive 355 356 due to the re-emergence of the model domain submerged during the flood event. As  $\Gamma_d$ increases from Fig. 5b - 5e and from Fig. 6b - 6e, the impact of  $\delta$  gradually emerges 357 especially in larger  $\Gamma_d$  condition, whereby smaller  $\delta$  can increase the peak values of 358  $A^{**}(t)$  and  $d^{**}(t)$ , and delay the arrival time of the maximum value of  $A^{**}(t)$ . After the 359 360 flood event  $(t > t_d)$ , the effect of bank slope is counteracted by the higher aquifer transmissivity and only for large transmissivities has a significant impact on the HZ 361 resulting in larger  $A^{**}(t)$  and  $d^{**}(t)$  as shown in Fig. 5c - 5e and Fig. 6c - 6e. 362

### 363 **3.2 Spatiotemporal evolution of mean residence time distribution**

The evolution of spatiotemporal patterns of mean RTD is a useful evaluation method for identifying the dynamic variation of aging and rejuvenation of hyporheic water. Here we use the mean RT ratio between a sloping model and a vertical model  $\mu_r^*(\mathbf{x}, t) = \log_{10}(\mu_{r-S}(\mathbf{x}, t)/\mu_{r-V}(\mathbf{x}, 0))$  to evaluate the influence of bank slope on the predicted RTD for a given location and time. Fig. 7 presents RTDs for the initial

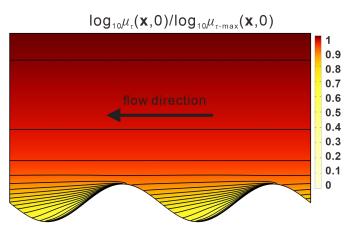




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369 condition, where  $\mu_{r0\text{-max}}$  is the maximum RT in the domain. It can be seen that the 370 isolines representing the RT are almost horizontal in the area extending from the river 371 but RT near the upstream river bend is smaller than downstream because the initial 372 flow direction is towards the negative direction of the *x* axis. Notably,  $\mu(\mathbf{x}, 0)$  grows 373 almost exponentially as *y* increases, and a positive correlation to  $\Gamma_d$  at a given location 374 is observed.

375



376

Figure 7. Relative mean residence time distributions [-] for baseline flow conditions (no slope), which are represented by  $\log_{10}\mu_{\tau}(\mathbf{x}, 0)/\log_{10}\mu_{\tau-\max}(\mathbf{x}, 0)$  to show the distribution pattern. The value of the contour lines grows exponentially with the distance from the river meander.

381

Fig. 8 - 11 present snapshots of  $\mu_r^*$  for different bank slopes for  $\Gamma_d = 0.1, 1, 10$ and 100, respectively, at the rising limb of the flood event  $(t/t_d = 0.1)$ , the peak of flood event  $(t/t_d = 0.25)$ , the falling limb of flood  $(t/t_d = 0.5)$  and after the flood event  $(t/t_d = 1, 2.5 \text{ and } 10)$ . The RT difference between sloping and vertical riverbank models are within 12.2% in the white-colored areas (-0.05 <  $\mu_r^*$  < 0.05) of Fig. 8 - 11, which indicates a minor effect of bank slope on RTD.





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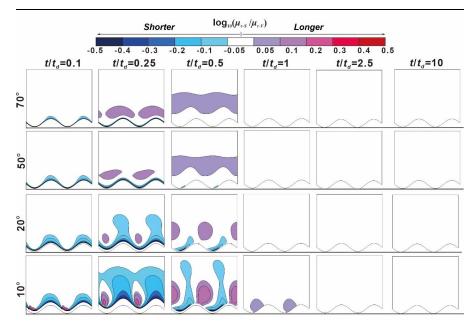
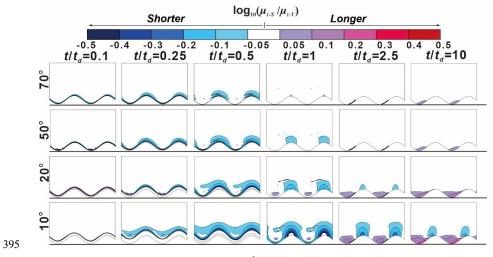


Figure 8. Snapshots for the RTD ratio  $\mu_r^*(\mathbf{x}, t)$  between sloping and vertical riverbank conditions at different times  $t/t_d$  as a function of  $\delta$  for  $\Gamma_d = 0.1$ . The horizontal lines beneath each figure are the reference lines to show the initial location of SWI. The lower sinuous lines at the reference lines are the initial SWIs.

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**Figure 9.** Snapshots for the RTD ratio  $\mu_r^*(\mathbf{x}, t)$  between sloping and vertical riverbank

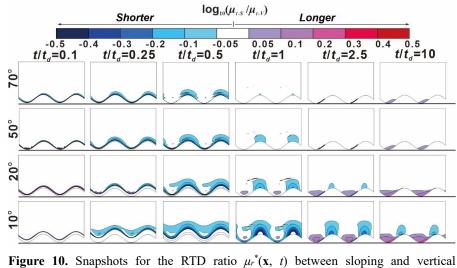
397 conditions at different times  $t/t_d$  as a function of  $\delta$  for  $\Gamma_d = 1$ . The horizontal lines





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- 398 beneath each figure are the reference lines to show the initial location of SWI. The
- 399 lower sinuous lines at the reference lines are the initial SWIs.
- 400



402 Figure 10. Snapshots for the RTD ratio  $\mu_r^*(\mathbf{x}, t)$  between sloping and vertical 403 riverbank conditions at different times  $t/t_d$  as a function of  $\delta$  for  $\Gamma_d = 10$ . The 404 horizontal lines beneath each figure are the reference lines to show the initial location 405 of SWI. The lower sinuous lines at the reference lines are the initial SWIs.

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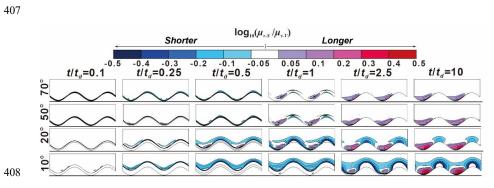


Figure 11. Snapshots for the RTD ratio  $\mu_r^*(\mathbf{x}, t)$  between sloping and vertical riverbank conditions at different times  $t/t_d$  as a function of  $\delta$  for  $\Gamma_d = 100$ . The horizontal lines beneath each figure are the reference lines to show the initial location of SWI. The lower sinuous lines at the reference lines are the initial SWIs.

413

414 At  $t/t_d = 0.1$ , a smaller bank slope can lead to shorter RT (negative values of  $\mu_r^*$ ) near the SWI. The area of shorter RT caused by bank slope was positively related to 415 aquifer transmissivity. The effect of  $\delta$  is small for  $\Gamma_d = 10$  and 100 because the 416 groundwater mound piles up around the river boundary, but that small area extended 417 deeper into the alluvial valley for smaller  $\delta$ . Due to the scattered and nested flow 418 419 paths near the inner bend (cut bank) and outer bend (point bar), respectively, the 420 penetration distance of the negative value of  $\mu_r^*$  area at the cut bank of SWI is larger than that at the point bar. The change of flow direction near the point bar leads to a 421 prolonged flow path for the water in the river as well as to forced groundwater mixing 422 with the slightly older water. This effect was amplified with decreasing bank slope, 423 but it is only statistically significant ( $\mu_r^* < -0.05$  or  $\mu_r^* > 0.05$ ) when  $\delta = 10^\circ$  at  $t/t_d =$ 424 425 0.1.

426 At the time of peak flood ( $t/t_d = 0.25$ ), the river still infiltrates into the aquifer. 427 For  $\Gamma_d = 0.1$ , bank slope can lead to both younger and older water, i.e., water 428 undergoing shorter and longer RT. Both magnitude of  $\mu_r^*$  and associated RT area 429 increase with decreasing slope due to the longer penetration distance of river water





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into the aquifer. As  $\delta$  decreases, the positive values of  $\mu_r^*$  are located closer to the downstream point bar. The impact of bank slope on RTD for  $\Gamma_d = 1$  is rather similar in its pattern compared to  $\Gamma_d = 0.1$ , but  $\mu_r^*$  was significant only for  $\delta = 10^\circ$ . For  $\Gamma_d = 10$ and 100, the effect of bank slope can lead to larger and deeper penetration of the river water into the alluvial valley (Fig. 8 - 11) but this effect is smaller than when looking at smaller  $\Gamma_d$  because of the lower hydraulic transmissivity.

At  $t/t_d = 0.5$ , part of the submerged aquifer at  $t/t_d = 0.25$  reemerges due to the decline in river stage. In most cases, smaller bank slopes can lead to wider reemergence of the aquifer, and therefore result in smaller  $\mu_r^*$  near the river boundary; however, this is not the case for  $\Gamma_d = 0.1$  where bank slope can both increase and decrease the RT of pore water. Furthermore, compared to when  $t/t_d = 0.25$ , the impact of bank slope becomes weaker for  $\Gamma_d = 0.1$ , but more relevant for the larger  $\Gamma_d$  values. After the flood event  $(t/t_d > 1)$ , the influence of bank slope on RT is nearly

eliminated for  $\Gamma_d = 0.1$  and 1 due to the high aquifer transmissivity. However, for aquifers with lower transmissivity ( $\Gamma_d = 10$  and 100), bank slope still has a significant effect on RT at  $t/t_d = 10$  and leads to older water near the point bar, which indicates the bank slope has a more lasting influence on aquifer RT, as more time is required to recover to initial condition.

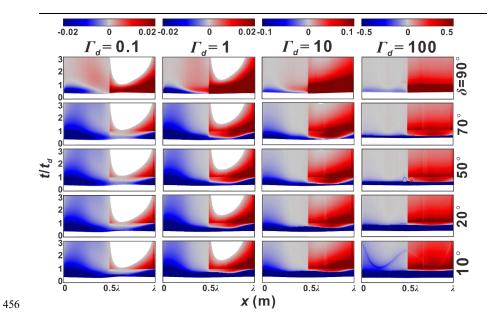
#### 448 **3.3 Relative flux-weighted residence time**

Fig. 12 shows the evolution of the flux-weighted relative RT  $\mu^*_{out}(x, t) = \mathbf{n} \cdot Q^*_{out}(x, t)$ for different slopes and aquifer transmissivities.  $\mu^*_{out}(x, t)$ represents the difference in flux-weighted RT of the water discharged into the river compared to the initial condition. At the start of the flood event, there is no  $\mu^*_{out}$  as river water infiltrates the aquifer. Following the decline in river stage, the aquifer begins to discharge the mixed water with different RT back into the river (see Fig. 3c).









457 **Figure 12.** Temporal evolution of flux-weighted ratios of RT to the RT for base flow 458 condition  $(\mu^*_{out}(x, t) = \mathbf{n} \cdot Q^*_{out}(x, t) \log_{10}(\mu_t(x, t)/\mu_t(x, 0)))$  along the river meander as a 459 function of  $\delta$  and  $\Gamma_d$ .

460

461 For vertical riverbank conditions ( $\delta = 90^\circ$ , top row in Fig. 12), upstream ( $0.5\lambda <$  $x < \lambda$ ) and downstream ( $0 < x < 0.5\lambda$ ) boundaries of the meander bend discharge older 462 and younger water, respectively. The waters with relatively younger or older RT are 463 mostly discharged before the flood event  $(t/t_d < 1)$  due to the greater outflux as shown 464 465 in Fig. 2a. It also can be seen that water is older along the upstream bend compared to the more rejuvenated water along the downstream bend. After the flood event,  $\mu^*_{out}$ 466 gradually disappears along the upstream meander (blank areas) for  $\Gamma_d = 0.1$  and 1, 467 because the flow fields are recovering to base flow conditions. Therefore, the 468 469 upstream meander gradually becomes the inflow boundary.

470 For cases with lower values of  $\Gamma_d$  (left columns in Fig. 12),  $\mu^*_{out}$  reaches 471 equilibrium earlier compared to cases with higher  $\Gamma_d$ . As  $\delta$  decreases from the top 472 row to the bottom row in Fig. 12, the increased impact of bank slope causes  $\mu^*_{out}$  to 473 gradually decrease the RT of the outflux during the flood event. For larger  $\Gamma_d$ ,  $\mu^*_{out}$  is





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totally dominated by younger water during the flood event. Furthermore, the stronger
impact of smaller bank slope angles can both extend the time over which and increase
the magnitude with which younger water is discharging along the downstream
meander.

478 4. Discussion

#### 479 **4.1. Why we should account for bank slope**

480 Tilted riverbanks are common in nature and caused by erosion and bank collapse, as has been observed at multiple scales (Zingg, 1940). Previous studies have shown 481 that bank erosion is stronger where the river planimetry is more sinuous, river stage 482 483 varies more frequently, or where the riverbank has larger sloping angles, ultimately leading to a flatter bank (Zingg. 1940; Hagorty et al., 1995; Mayor et al., 2008; 484 Puttock et al., 2013). Hence, recent studies have recognized the need for a 485 comprehensive analysis of how riverbank topography affects lateral hyporheic 486 exchange along meandering streams (Boano et al., 2014) and the specific importance 487 488 of bank slope on hyporheic exchange has been highlighted by Doble et al. (2012) and Liang et al. (2018). Yet, in most previous studies, the impact of riverbank geometry 489 and in particular bank slope on sinuosity-driven lateral hyporheic exchange was 490 ignored. Flow was usually only considered perpendicular to the river axis, i.e., HEF in 491 492 river flow direction caused by the alluvial valley slope and river sinuosity was not 493 considered. However, as river planimetry can vary significantly along river corridors (Hooke, 2013; Seminara, 2006), and the alluvial valley slope has a potentially 494 non-negligible impact on hyporheic exchange (Gomez-Velez et al., 2017), we 495 considered it important to close this knowledge gap by specifically focusing on the 496 impact of bank slope and the ambient groundwater gradient for various groundwater 497 flow conditions (as manifested through aquifer transmissivity) on HEF. Our results 498





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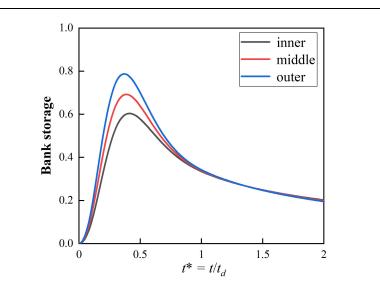
499 clearly indicate that HZ characteristics (flow field, area and penetration distance of
500 HZ into alluvial valley) can significantly vary along a meandering river depending on
501 bank slope conditions.

502 Not accounting for bank slope and river sinuosity can lead to an underestimation of infiltration from the river to the alluvial aquifer. This effect is 503 more pronounced for smaller bank slope angles and losing conditions can be 504 significantly underestimated. Doble et al. (2012), Siergieiev et al. (2015) and Liang et 505 506 al. (2018), assessed the influence of bank slope on HEF using a vertical cross-sectional profile. Siergieiev et al. (2015) found that the impact of bank slope on 507 HEF was proportional to the hydraulic conductivity of the aquifer. However, we argue 508 here that bank slope is more relevant in rivers connected to aquifers with low 509 hydraulic transmissivity. Furthermore, we show (Fig. 13) that using only one 510 cross-sectional river profile perpendicular to the river axis does not capture the effect 511 of river sinuosity on HEF as bank storage decreases from point bar to cut bank. That 512 means the previous vertical cross-sectional profile models could not calculate the 513 bank storage evolution accurately when neglecting the sinuosity of river. In a 514 meandering river with variable bank slope, river geometry thus has a sizable effect on 515 516 bank storage evolution and HEF, and should be included into the future analytical/ 517 numerical models.





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Figure 13. Bank storage versus time for  $\Gamma_d = 1$  and  $\delta = 90^\circ$  condition at: inner bend (x = 0); middle bend (x = 0.25 $\lambda$ ); outer bend (x = 0.25 $\lambda$ ). Dimensionless bank storage was calculated by  $\frac{\int_{Y(x,t)}^{Y(x,t)+4\lambda} [h-z_b-H_0] dy}{\lambda H_n}$ .

523

The impact of bank slope on RT is basically controlled by aquifer transmissivity. 524 When aquifer transmissivity increases, the impact of bank slope appears to be more 525 526 pronounced when river stage rises during a flood event. For decreasing aquifer 527 transmissivity, bank slope seems more relevant for RTD after the flood event and its impact is more long-lasting. Bank slope could result in longer (near the point bar) or 528 shorter (near the cut bank) pore water RT at various times of a flood event. This 529 means that point bars with bank slopes are more conducive for river restoration (e.g., 530 531 removal of dissolved organic carbon) while cut banks with bank slope may have adverse effects on the groundwater quality near rivers. This is important to keep in 532 mind when assessing the influence of bank slope on biogeochemical efficiency. For 533 534 example, previous research indicates that the residence time of river water in the HZ can control, and is often proportional to nutrient cycling (McCallum and Shanafield, 535 536 2016; Wondzell and Swanson, 1999; Zarnetske et al., 2011, 2012). As such, an





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analysis of RTD can provide valuable information on whether and where riverbank slope can induce biogeochemical hotspots and hot moments and help guide choices to be made in biogeochemical field surveys regarding location and sampling time under dynamic river stage conditions, especially when the connected aquifers have low hydraulic transmissivity.

#### 542 **4.2.** Advantages and limitations of using a reduced 2-D model

In this study, we propose a parsimonious reduced-order, idealized horizontal 543 2-D model that simplifies the variation of the river-aquifer interface by using the 544 moving boundary method to depict the displacement of SWI along a sloping 545 546 riverbank. An advantage of this approach is reduced model complexity as compared to a three-dimensional model, which greatly reduces time and data requirements 547 during model building and computational demand during simulation of HEF and 548 especially residence time distributions. Thus, our reduced-order model acts as a first 549 step to gain insight into the patterns of hyporheic exchange, riverbank storage and 550 551 RTD in settings with more complex riverbank morphology and dynamic forcing. Future efforts should be focused on optimizing the computational method applied 552 553 here and on including more detailed morphology and hydrodynamic characteristics.

It is important to note that in our simulations we assume a constant angle of bank slope along the meandering river while natural riverbanks often have non-uniform slopes which could lead to a different behavior. Thus, new conceptualizations that account for the contribution of bank slope on time-varying RTD and HZ extent can be applied to gain better understanding of a hyporheic zone, especially in cases where bank slope is small, or where the system is relatively insensitive to changes during peak flow.

561 In our simulations we tested the model using a range of aquifer hydraulic 562 conductivities. Although hydraulic conductivity (or transmissivity) is a critical 563 parameter in the quantification of exchange fluxes and RTD between the two systems





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564	under varying slope conditions, other parameters such as valley water head fluctuation,
565	peak flood event characteristics or larger scale groundwater head fluctuation, e.g., due
566	to changing groundwater recharge patterns have not been considered here but might
567	also impact HZ extent, RTD and river-aquifer exchange flux.
568	

569 5. Conclusions

The deformed geometry method was applied to characterize the expansion and contraction of hyporheic zones along sloping riverbanks, and to evaluate the impact of bank slope on hyporheic exchange flux, evolution of the HZ area and RTD. To achieve this, various alluvial aquifers with varying slope angles and aquifer transmissivity values were simulated. Our results show that bank slope in a sinuosity-driven river can have significant impact on the evolution of the hyporheic zone during and after a flood event (transient flood forcing).

577 The overall findings of our work underline the need for a detailed analysis of 578 lateral hyporheic exchange flow responses to dynamic forcings (including the assumption of more realistic riverbank morphology conditions). Furthermore, our 579 580 results show that more detailed information on bank slope (e.g., through more measurements) can lead to a better understanding of hyporheic flow patterns and 581 potentially result in improved biogeochemical process understanding for real-world 582 conditions in more complex morphology and depositional environments. Several 583 conclusions can be drawn from our study: 584

Sloping riverbanks can increase HEF, especially when the river is connected to a
 low-transmissivity alluvial aquifer and bank slope angles are small. However,
 bank slope has only a minor impact on the hyporheic outflow flux.

588 2. During a flood event, the bank slope can increase the area and penetration distance
589 of the HZ into the alluvial aquifer. This effect is more pronounced and





590		long-lasting for low-transmissivity aquifers.
591	3.	During a flood event, the impact of bank slope on RTD is more pronounced for
592		high transmissivity aquifers. On the contrary, the impact of bank slope on RTD for
593		lower transmissivity aquifers is minor during the flood event, but can have a
594		significant and long-lasting effect under post-flood conditions.
595	4.	River sinuosity should be considered when assessing the impact of bank slope on
596		RTD. Variable bank slope can lead to both longer and shorter RT compared to
597		vertical riverbank conditions.
598	5.	Bank slope has a greater impact on the residence time of hyporheic water in
599		lower-transmissivity aquifers, thereby delaying the time of younger water
600		discharge downstream of a meander bend, which also delays the outflow of older
601		water upstream of that bend.





# 602 Code and data availability

- 603 Additional information regarding methodology and results is provided in the
- 604 supporting information (SI).

# 605 Author contributions

- 606 YL: Conceptualization, Formal analysis, Investigation
- 607 US: Conceptualization, Methodology, Writing
- 608 ZW: Funding acquisition, Software, Supervision
- 609 SK: Validation, Writing, Supervision
- 610 HL: Project administration, Supervision

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- 615

## 616 **Competing interests**

617 The authors declare that they have no conflict of interest.





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