

RC1: ['Comment on hess-2023-233'](#)

General comment:

The paper has its value and represents a fair contribution to the scientific community mostly towards the discussion of the needed for adopting dynamic parameter optimisation in hydrological models by answering a so-far not completely answered scientific question. However there are many aspects of the manuscripts that ought to be corrected/included before the paper may pass through a peer-review process. After the completion of these corrections I will consider the paper for peer-review in HESS and personally I believe it would fit the journal.

General Reply:

Dear Reviewer, thank you very much for your efforts to review our paper, and gave us a positive comment. We have revised our paper based on your and another reviewer's comments, and a point-to-point response to your comments have been presented below. Please also note that the revised manuscript is attached in this end.

R1-C1. The paper requires substantial gramatical revisions. The structure is therefore confusing to the readers and need a proper revision in terms of the appropriate use of the English language.

AC1: We are very sorry about the English, please forgive us as we are not native English speakers. We have made substantial gramatical revisions, and have it proofreaded by a native speaker before it was submited. We made further improvement once again, and hope the revised version is better to your satisfaction.

R1-C2. 1. INTRODUCTION: The paper aims mainly to answer an interesting research question regarding the need or not of optimising hydrological parameters after significant LUC changes at catchment level. I miss a proper cover of the current literature about the subject in the introduction. Remember that this part is needed to prepare the reader to what is gonna be mainly discussed in the paper. Therefore, the authors need to cover recent publications in the subject. Other studies where they considered the optimisation and got similar results? or not? Unless there is no literature about this topic (which I doubt) the authors should cover this more properly.

AC2: Thanks. During our study, we made a full literature review, and did not find literature covering the issue about parameter dynamics, the parameter updating, and

parameter stationary. Most of the literatures derive model parameters from the terrain properties they obtained directly, and no parameter optimization in most cases. This is the main reason we have made this study. Thank you for your reminder, we reviewed the literatures again, and did not find new literature on this topic. So we are not able to expand the introduction.

We think this is partly because this kind of studies needs employing distributed hydrological model and huge hydrological data to simulate hydrological processes under different LUCs conditions, it is always not easy and very time-consuming. We spent a huge amount of time in more than 3 years to finish this study, it is really not easy. We fully understand that why there is not so much study in this topic.

R1-C3. 2.2. HYDROLOGICAL DATA: Remember to always cite the sources of the dataset used. Where is the source of the streamflow used? Is there an official government website? Where readers can obtain the same dataset in order to reproduce the present work?

AC3: Thank you for your reminder, I fully agree with you. But as the hydrological data used in this study is not from a public source, and we have promised to use this data only for this study (Hydrological data, like in many other countries, is not public data in China), so we are not able to publicize the data. But we add the data source in the paper: [the administration of Songmushan Reservoir](#), and hope you understand our situation.

R1-C4. The methodology is confusing. You need to provide a proper and enjoyable workflow guiding the readers to what you did. What were your hypothesis and what they might expect. Think that your readers might want to reproduce this work in a coherent work and then write the most direct, and at the same time sufficient, as possible. Consider the use of flowcharts or framework figures to help the reader to understand the work-flow.

AC4: Thank you for your suggestion, very good suggestion. We rewrite the whole section 3, add a sub-section "3.1 Overview of the methodology", and a technical roadmap figure is presented to illustrate the methodology concisely, followed by detailed description to the important methods. As it is not allowed to submit the revised manuscript at this moment, so we attach the whole section 3 in the end with revision marks.

R1-C5 3.3. Dynamic parameter updating and parameter stationary: This entire section is very confusing, The authors do not make clear what they actually did here. Please consider restructuring this section since it is one of the most important of the manuscript.

AC5: Thank you very much. We rewrite the whole section 3. Please see the revised one in this end.

R1-C6. 5 Discussions: This part should still be part of the results and not of the discussion.

AC6: Thank you very much for your comment. We think this part can be partly results, and partly discussion also, so we merged the two parts as one part called results and discussions. As it is not allowed to submit the revised manuscript at this moment, so we attach the whole section 4 in the end with revision marks.

R1-C7. The authors need to include a proper discussion in the paper. The current discussion part is actually part of the results and cannot be considered a discussion. For the discussion the authors need to explore the current literature and how close/different are the results found by other authors to the results presented here. This paper conclusions have a big potential for the subject, but to be properly effective they need to be as much as possible discussed in view of what has been done/ is being done by others.

AC7: Thanks. As mentioned above, there is no this kind of study, so we are not able to compare something as you suggested. We think this part can be partly results, and partly discussion also, so we merged the two parts as one part called results and discussions.

**Attachment: revised section 3 and section 4**

203 type data in Fig. 3(b) needs to be adjusted based on this definition. Besides, the urban  
204 land data in Fig. 3(b) was prepared by FAO in 1990, ~~so~~ it is out of date, and ~~have~~  
205 ~~been~~ was updated with the results of Fig. 2 in this study. The final soil types of SRW,  
206 inclusive of the newly introduced urban land soil type, are illustrated in Fig. 4. Notably,  
207 the soil types for the years 2018, 2011, 2013, and 2015 exhibit variations~~adding the~~  
208 ~~urban land soil type, is produced and shown in Fig. 4, the soil types in 2018, 2011, 2013~~  
209 ~~and 2015 are different.~~

210 Fig. 4 is here

### 211 3 Methodology

#### 212 3.1 Overview of the methodology

213 The methodology proposed in this study has three major steps, including preparation,  
214 model set up, and flood simulation, which are briefly introduced below, and a technical  
215 roadmap depicting the whole procedure is presented in Figure 5. For some important  
216 methods, they are explained in more details in the following sub-sections.

217  
218 Figure 5 is here

#### 219 1. Preparation

220 In the preparation step, two things need to be done. Firstly, a physically based,  
221 distributed hydrologic model needs to be selected as the flood processes simulation tool,  
222 and the one selected for this study needs to be able to simulate the flood processes as  
223 accurate as possible in the urbanizing watersheds, and to be able to relate its parameters  
224 with the LUCs changes. As mentioned in the introduction section, there are already  
225 many PBDHMs, and Liuxihe model is selected as the PBDHM in this study, which will  
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227 be introduced in more detail in the following sub-section. But some other PBDHMs  
228 may also be employed as the hydrological model if they satisfy the above requirements.

229

230 Secondly, a watershed needs to be selected as the study case which should be an  
231 urbanizing watershed, i.e., significant LUC changes should be observed in the study  
232 period. There should be hydrological data observation during this period, data for model  
233 set up and flood processes simulation should also be available. As introduced in section  
234 2, the Songmushan Watershed has been selected as the study watershed, which has  
235 appropriate data for this study, it is an ideal study case.

236

## 237 2. Model set up

238 The second step is to set up the selected hydrological model in the selected watershed,  
239 which includes several jobs. Firstly, to set up the model structure with available terrain  
240 property data. Different from lumped hydrological model, which has the same model  
241 structure in different watersheds, PBDHMs have different model structures in different  
242 watershed, which could be set up by using terrain property data, including DEM, soil  
243 types, LUCs, different PBDHMs have different model structures also.

244

245 Secondly, the initial model parameters need to be determined, usually PBDHMs derive  
246 their initial model parameters from the terrain properties, different model usually has  
247 its own ways to do this job. For Liuxihe model, the parameterization method is  
248 introduced in the following sub-section.

249

250 Thirdly, to optimize model parameters. As mentioned in the introduction section, initial  
251 model parameters usually have high uncertainty, and parameter optimization is an

252 effective way to control this uncertainty. For Liuxihe model, it has proposed effective  
253 parameter optimization methodology, which will be introduced in more details in the  
254 following sub-section.

255

### 256 3.Flood simulation

257 The last step is to simulate the flood events observed in the study watershed during the  
258 LUCs changing period, and based on these simulation results with different LUCs and  
259 parameter combination scenarios, conclusions could be proposed. In this study, three  
260 kinds of simulations need to be done. Firstly, the flood simulation with optimized model  
261 parameters, so to prove the model employed in this study is rational, and can simulate  
262 the urbanizing watershed flood processes effective. While at the same time, to prove  
263 that parameter optimization is needed even for PBDHMs, which could improve the  
264 model performance, and is feasible computationally.

265

266 The second simulation is about the parameter's dynamics and parameter updating  
267 method proposed in this study. This could be done by simulating flood events with and  
268 without parameter updating, then comparing the two simulation results to find the  
269 conclusion on parameter dynamics and parameter updating. This simulation is  
270 described in more details in the following sub-section.

271

272 The third simulation is about parameters LUCs stationary. These simulations simulate  
273 flood processes with dynamic parameter optimization and updating, and with only  
274 parameter updating. By comparing these results, if parameters are LUCs stationary can  
275 be proposed. These simulations are described in more details in the following sub-  
276 section.

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277 **3.1.2 Liuxihe model and structures**

278 The PBDHM employed in this study is the Liuxihe model, which is a physically based,  
279 distributed hydrological model proposed for watershed flood forecasting (Chen, 2009;

280 Chen et al., 2011; Chen, 2017). ~~But any PBDHMs which could relate its parameters  
281 with LUCs could be employed.~~

282  
283 Liuxihe model divides the watershed surface into grid cells, which are categorized as  
284 hill slope cells, river channel cells and reservoir cells. For river channel cells and  
285 reservoir cells, the watershed surface is water, runoff produced in these cells are equal  
286 to the net precipitation. The surfaces of hill slope cells are covered with different land  
287 use/cover (LUC) types, so each hill slope cell has its unique LUC. Currently in Liuxihe  
288 model, there is no urban land LUC type, only vegetated LUCs. Each hill slope cell also  
289 has its own soil type and elevation. LUC type, soil type and elevation are called  
290 watershed terrain properties in Liuxihe model. Runoff is produced first on cells, and  
291 then routed to the watershed outlet via a routing network. Runoff production is  
292 governed by the infiltration, and the soil type is the controlling terrain property for  
293 runoff production. Runoff routing is categorized as hill slope routing, river channel  
294 routing and reservoir routing. The kinematical wave approximation is employed for hill  
295 slope routing, while the diffusive wave approximation for river channel routing.

296  
297 For Liuxihe model, there is no way to make runoff production and routing calculation  
298 for the urban land grid cells, so in this study, a module that can make this calculation is  
299 added. The urban land surface is impervious, the precipitation falls to this ground  
300 surface is regarded as completely converted into surface runoff, and no precipitation is  
301 infiltrated to the soil beneath it. Runoff produced on cells with urban land surface is

302 equal to precipitation fallen to the surface. The approach used to calculate runoff  
303 production is as below.

$$304 \quad R_{i,t} = P_{i,t} - E_{i,t} \quad (1)$$

305 Where  $R_{i,t}$ ,  $P_{i,t}$  and  $E_{i,t}$  are surface runoff, precipitation and actual evaporation produced  
306 on cell  $i$  at time  $t$  respectively, and the evaporation could be regarded as water surface  
307 evaporation if there is surface runoff, otherwise it is zero.

308

309 As only the hill slope cell may have urban land surface, so the runoff routing on urban  
310 land cell is hill slope routing. In Liuxihe model, hill slope routing is solved by using  
311 kinematic wave approximation. For hill slope routing, the governing factors are the  
312 slope of the cell and the roughness coefficient of the surface. For the hill slope routing  
313 on urban land surface, the same approach is used but using different roughness  
314 coefficient. The above approaches for runoff production and routing on urban land cell  
315 has been developed and embedded into the currently used Liuxihe model software tool.

316

317 Liuxihe model structure includes dividing the whole watershed terrain into grids,  
318 classifying grid types, i.e., hill slope cell, river channel cell and reservoir cell, then to  
319 divide the river channel into virtual channel, and measuring the virtual channel's sizes,  
320 which could be done based on the satellite remote sensing images. The detailed method  
321 could be referred to the Liuxihe model references (Chen, 2009; Chen et al., 2011; Chen,  
322 2017).

### 323 **3.2.3 Liuxihe model parameter look-up table and parameter determination**

324 Liuxihe model is a distributed hydrological model, so each grid cell has its own  
325 parameters, i.e., 13 parameters (Chen et. al, 2011). The parameters in each grid cell are  
326 divided into 4 categories, including climate-based parameters, topography-based

327 parameters, vegetation-based parameters and soil-based parameters (Chen et. al, 2016).  
328 The parameters' values are related to only one category terrain property of its grid cell,  
329 i. e., climate-based parameters are only related to the climate condition, the topography-  
330 based parameters are only related to the topography, vegetation-based parameters are  
331 only related to the land use/cover types, and the soil-based parameters are only related  
332 to the soil types. There is only one climate-related parameter, i.e., the reference  
333 evaporation which is regarded as the same for all grid cells. There are two topography-  
334 based parameters, including flow directions and slopes for hill slope cells and river  
335 channel cells. There are also two vegetation-based parameters, the evaporation  
336 coefficient and roughness. There are 8 soil-based parameters, including soil  
337 porosity coefficient, soil thickness, hydraulic conductivity under saturated  
338 condition, soil water contents under saturated condition, field condition, and wilting  
339 condition. There is one parameter for underground water routing which is regarded as  
340 the same for all grid cells, and is also a soil-based parameter.

341  
342 Liuxihe model takes two steps to determine model parameters, firstly deriving initial  
343 parameter look-up tables from the watershed terrain property data, and then optimizing  
344 them. For a specific watershed studied, Liuxihe model first proposes parameter look-  
345 up tables, which are two-dimensional tables referring the values of parameters with the  
346 terrain properties, for example, with soil type Ferric Acrisols, the parameter value of  
347 soil water content under saturated conditions is referred to as 46.1%. Based on these  
348 parameter look-up tables, the parameters of each grid cell could be determined  
349 according to the grid cell's terrain properties, including DEM, LUCs and soil types. As  
350 climate-based parameters take the same value for all grid cell, so there is no need for a  
351 look-up table for the climate-based parameters. While the topography-based parameters

352 are calculated directly based on the DEM using the D8 method (O'Callaghan et al.,  
353 1984; Jensen et al., 1988), so there is no need for a look-up table for the topography-  
354 based parameters also. Therefor there are two parameter look-up tables, one is for  
355 vegetation-based parameters, and another is for soil-based parameters.

356

357 Liuxihe model proposed ways for determining the two parameter look-up tables ([Chen](#)  
358 [et al., 2011](#); [Chen et al., 2016](#)). For the vegetation-based parameters look-up table, the  
359 referring values are decided from laboratory experiments and local experiences, or even  
360 from references or results from other watersheds. There are two vegetation-based  
361 parameters, the evaporation coefficient and roughness. For the soil-based parameters  
362 look-up table, Liuxihe model employs the Soil Water Characteristics Hydraulic  
363 Properties Calculator (Arya et al., 1981) to calculate the referring values based on the  
364 soil texture, organic matter, gravel content, salinity and compaction. With these  
365 parameters look-up table, based on its terrain properties, the initial parameters for each  
366 grid cell could be derived. With this way, if the terrain properties of each grid cell are  
367 available, then the initial parameters could be proposed.

368

369 As the initial parameters derived with the above method are highly experience-based,  
370 and current parameterization experiences are very limited, so the initial parameters have  
371 uncertainty, thus model performance could not be secured. To improve model  
372 performance, Liuxihe model optimizes the initial parameters by using optimization  
373 algorithm, this is the second step of Liuxihe model parameters determination. From  
374 past experiences of Liuxihe model parameterization, it has been found that parameter  
375 optimization could largely improve the model's performance. Besides, in optimizing  
376 model parameters, hydrological data from only one flood events is enough, not like

377 lumped hydrological mode, series of hydrological data is required. This is very  
378 important for an urbanizing watershed as it usually has limited hydrological data, no  
379 long series of hydrological data.

380

381 Currently two algorithms have been proposed for Liuxihe model parameter  
382 optimization, one is SCE-UA algorithm (Xu et al., 2012), another is Particle Swarm  
383 Optimization (PSO) algorithm (Chen et al., 2016). In optimizing parameters, Liuxihe  
384 model does not optimize all parameters of each grid cells, but optimizes the parameters  
385 look-up tables. I.e., an adjusting coefficient for each terrain property is proposed, so the  
386 optimized variables are limited, which makes the calculation practical, otherwise, even  
387 with the fastest computers in the world, the optimization is not feasible.

### 388 ~~3.3.4 Dynamic parameter dynamics and parameter updating and parameter-~~ 389 ~~stationary~~

390 In this study, parameter dynamics is defined as when LUCs change, the model  
391 parameters should change also with the LUCs changing. This is an assumption  
392 proposed by the authors. Further, if the parameter dynamics assumption is correct, then  
393 the model parameters should be updated with the changing LUCs. As for an  
394 urbanizing watershed, the terrain properties, particularly the LUCs are in constant  
395 changes, so after parameter look-up table is optimized based on hydrological data from  
396 one specific flood event and terrain properties at a specific date, model parameters  
397 should be updated if the terrain properties changes based on the parameter dynamics  
398 assumption. I, it is called in this study, dynamic parameter updating in this study, this  
399 is also the core concept of this study, ~~that the model parameters are dynamically~~  
400 ~~changing with terrain property changes~~. Only with ~~this~~ dynamic parameter updating,  
401 can the model performance ~~can~~ be secured.–

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It seems the parameter dynamics assumption is obviously correct based on human's direct response or experiences, but we must prove this assumption scientifically. In this study, we use the simulation results to validate this assumption. We first simulate flood processes of observed flood events with the optimized hydrological model parameters, and also simulate the same flood events with updated model parameters, then compare these simulated flood processes. If both the simulated flood processes are the same, it implies that the model parameters do not change with the LUCs change. If there is significant difference between these two results, it implies that the model parameters changed due to LUCs change. Further, if the simulated flood processes with updated parameters fits the observation better, then it can be concluded that parameter updating is necessity, and model performance can be improved with parameter updating. In this study, the above assumption will be validated in the case study.

~~But this parameter updating is based on the assumption that the parameter look-up tables are LUCs stationary, i.e., the look-up tables are not changed with the terrain property changing. Otherwise, the updated parameters could not improve the model performance, or the parameter look-up table needs to be optimized again with the changed LUCs and new observed hydrological data.~~

### **3.5 Parameter stationary**

~~But this~~The parameter updating method proposed in this study is based on the assumption that the parameter look-up tables are LUCs stationary, i.e., the look-up tables are do not changed with the terrain property changing, including LUCs change, otherwise, the parameter look-up tables need to be optimized again after there is significant LUCs change.~~So, if parameters are LUCs stationary, then there is no need to optimize the parameter look-up tables very often, Otherwise, they need to be~~

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427 ~~optimized again and again. The authors assume that the updated parameters are~~  
428 ~~LUCs stationary, which will be proved in this study. could not improve the model~~  
429 ~~performance, or the parameter look up table needs to be optimized again with the~~  
430 ~~changed LUCs and new observed hydrological data.~~

431

432 Parameters LUCs stationary is a science question that has not been answered and  
433 seldom studied by the world scientific communities. In this study, our approach to  
434 address this question involves simulating flood processes and conducting comparisons.  
435 We introduce a methodology termed "dynamic parameter optimization and updating."  
436 This process entails optimizing the parameter look-up tables when significant LUCs  
437 changes occur and subsequently updating model parameters with the newly optimized  
438 parameter look-up tables. Then flood processes are simulated under two conditions:  
439 dynamic parameter optimization and updating, and solely parameter updating. In the  
440 latter condition, the parameter look-up tables remain unaltered post-initial optimization  
441 and persist unchanged despite substantial land use/cover (LUC) modifications.  
442 Comparing these two results, if the simulation results are almost the same or very close,  
443 it can be inferred that the parameter look-up tables remain consistent despite significant  
444 LUC changes. This inference supports the assumption that the model parameters are  
445 LUC stationarity

446 ~~Do the parameter look up tables change with the watershed terrain property changing,~~  
447 ~~it is a science question that has not been answered and fully studied by the scientific~~  
448 ~~communities. The authors assume that the parameter look up tables of Liuxihe model~~  
449 ~~are LUC stationary, otherwise, we can not validate this assumption, and the simulation~~  
450 ~~results in the case study will validate this assumption.~~

451

## 452 **4 Results and discussions**

### 453 **4.1 Liuxihe model set up**

454 The DEM produced in this study with spatial resolution of 30 m is used to divide the  
455 studied watershed into 62942 grid cells, which are further divided into 658 river cells,  
456 53435 hill slope cells and 8849 reservoir cells, based on the method employed in  
457 Liuxihe model. A 3-order river network is derived using the D8 method (O'Callaghan  
458 et al., 1984; Jensen et al., 1988) and Strahler river ordering method (Strahler, 1957)  
459 based on the DEM. The river network is further divided into 24 virtual sections based  
460 on 4 virtual nodes. In the Liuxihe model, the virtual river cross section shape is  
461 assumed trapezoidal, and the river size is estimated based on satellite remote sensing  
462 images. The structure of the Liuxihe model for SRW set up in this study is shown in  
463 Fig. [5-6](#). The time resolution of the Liuxihe model set up in SRW is 1 hour, the same  
464 with that of the observed hydrological data. Precipitation from rain gauges is  
465 interpolated to the grid cells by using the Thiessen Polygon method (Thiessen, 1911).

466

467 Fig. [5-6](#) is here

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469 Flow directions and slopes are derived using the D8 method (O'Callaghan et al., 1984,  
470 Jensen et al., 1988) based on the DEM. The climate-based parameter, i.e., the potential  
471 evaporation is estimated as 5 mm/day for each grid cell according to daily evaporation  
472 observations in this region. According to previous studies of Liuxihe model  
473 parameterization and references (Chen et al., 1995; Zhang et al., 2006, 2007; Guo et al.,  
474 2010; Li et al., 2013), the initial look-up table for vegetation-based parameters is  
475 proposed and listed in Table 2.

476

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Table 2 is here

478

479 Based on past modeling studies (Zaradny, 1993; Anderson et al., 1996; Shen et al., 2007;  
480 Zhang et al., 2015), the soil water content under wilting conditions takes 30% of the  
481 soil water content under field conditions, and the soil porosity coefficient takes the  
482 value of 2.5. Based on local experiences, the estimated soil layer thickness is listed in  
483 Table 3. The Soil Water Characteristics Hydraulic Properties Calculator proposed by  
484 Arya et al. (1981) is employed to calculate the soil water contents under saturation  
485 condition and field condition, and the hydraulic conductivity under saturation  
486 conditions, as listed in Table 3.

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Table 3 is here

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490 For grid cells with urban land soil type, all the soil-based parameters are set to zero.  
491 This reflects the hydrological response of urban land soil type, i.e., the precipitation  
492 falling onto urban land will be converted into surface runoff completely, no  
493 precipitation will be infiltrated to the soil or stored on the surface.

494

495 For Liuxihe model, hydrological data from only one flood event is needed for parameter  
496 optimization, and Particle Swarm Optimization(~~PSO~~) is the official optimization  
497 algorithm, which has been tested and proven to be effective. In this study, hydrological  
498 data from flood event 20080625 is used for parameter optimization, and PSO algorithm  
499 is employed to optimize the parameters, while LUCs in 2008 is used. The optimized  
500 parameter look-up tables are called parameter-20080625-2008 to distinguish  
501 parameters optimized with different hydrological data and LUCs in different year. In

502 parameter-20080625-2008, the first number is the flood event number with its  
503 hydrological data being used for parameter optimization, while the second one is the  
504 year of the LUCs which is used in the parameter optimization. I.e., Parameter-  
505 20080625-2008 is the optimized parameters by using hydrological data from flood  
506 event 20080625 and LUCs in 2008. Fig. 6-7 shows the evolution results of parameters,  
507 adaptive values and evaluation indices during the parameter optimization process.

508

509 Fig. 6-7 is here

510

511 With 9 evolution, the model parameters approached their optimal values, and the  
512 simulated hydrograph with optimized parameters fits the observed flood event well as  
513 shown in Fig 6-7(d), this means the PSO algorithm has good performance for Liuxihe  
514 model parameter optimization.

515

516 From the result of Fig 6-7(a), it has been found that the initial value of soil porosity  
517 coefficient is quite different from its optimized value, but with the optimization of PSO  
518 algorithm, its optimized value is obtained, this implies that the PSO algorithm has good  
519 convergence even the initial values is far from its optimal one, and well suits Liuxihe  
520 model parameter optimization. From these results, it also found that Liuxihe model well  
521 suits the flood simulation of urbanized watershed, and could relate its parameters with  
522 the LUCs, and the parameters could be optimized with the initial values.

#### 523 **4.2 Flood simulation for parameter dynamics and dynamic updating**

##### 524 **1. Flood simulation with Parameter-20080625-2008**

525 Using the above optimized parameter-20080625-2008, simulations were conducted for  
526 the remaining 12 flood events. Notably, in this simulation, the LUCs data for the year

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527 2018 was employed consistently across all 12 flood events. This approach assumes that  
528 the parameters remain constant throughout the watershed's urbanization process,  
529 indicating that the model parameters are not dynamically updated in response to  
530 changing LUC conditions.~~With the above optimized Parameter 20080625-2008, the~~  
531 ~~other 12 flood events were simulated, while in this simulation, the LUCs in 2018 are~~  
532 ~~used for all the 12 flood events, that means the parameters are regarded not changed~~  
533 ~~during the watershed urbanization, and the model parameters are not updated~~  
534 ~~dynamically with the LUC changing.~~ Four evaluation indices, including Nash-Sutcliffe  
535 coefficient, mean relative error, peak flow error and peak flow timing error, has been  
536 calculated and listed in Table 4, the simulated hydrographs are shown in Fig. 78.

537

538 Table 4 is here

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540 Fig. 78 is here

541

542 From the results shown in Table 4 and Fig. 78, it has been found that for all the 12 flood  
543 events, the simulated hydrographs are similar with the observations in shape. In average  
544 for all the 12 flood events, the Nash-Sutcliffe coefficient is 0.79, the mean relative error  
545 is 63.91%, the peak flow error is 19.47%, while the peak flow timing error is -0.58 hour.

546 From these results, the flood processes of SRW have been simulated

547 ~~reasonable~~reasonably by Liuxihe model set up in this study.

548

549 From the above results, we also find that the four evaluation indices get worse with  
550 time goes. For example, the average peak flow error for flood events in 2008 and 2009  
551 is 6.7%, 35.88% in 2011, 17.15% in 2013 and 2014, and 27.87% in 2015, in general,

552 the average peak flow error gets bigger as time goes. For the Nash-Sutcliffe coefficient,  
553 those in 2008 and 2009, in 2011, in 2013 and 2014, and in 2015 are 0.835, 0.775, 0.753  
554 and 0.76, a similar trend with peak flow error. Based on these results, it can be proposed  
555 that the model parameters should have changed with time going, i.e., with LUCs  
556 changes, and the model parameters need to be adjusted with the changing LUCs. To  
557 verify this opinion, the dynamical parameter updating is tested in the follow-up  
558 sectioning paragraph.

559

#### 560 4.2.3 Flood simulation with dynamic updating to parameter-20080625-2008

561 Based on the dynamic parameter updating method proposed in this study, parameters  
562 used for simulating flood events in 2011, in 2013 and 2014, in 2015 are updated with  
563 the LUCs in 2011, 2013 and 2015 respectively based on parameter-20080625-2008.  
564 The dynamically updated model parameters in 2011, 2013 and 2015 are different from  
565 each other, so are from parameter-20080625-2008, which is called parameter-  
566 20080625-2008-updated. With these parameters, 8 flood events (parameters for the  
567 flood events in 2008 and 2009 are not updated) are simulated again, the four evaluation  
568 indices have been calculated and listed in Table 5, the simulated hydrographs are shown  
569 in Fig. 7-8 also to make comparison with those results simulated with no parameter  
570 updating.

571

572 Table 5 is here

573

574 From the results shown in Fig. 7-8 and Tabel 5, it has been found that the model  
575 performance has been improved with dynamic parameter updating. For example, for all  
576 the 8 flood events, the simulated hydrographs fit those of the observations better than

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577 those simulated with no parameter updating. The Nash-Sutcliffe coefficients of all the  
578 simulated flood events with updated parameters gets higher, except those of flood event  
579 no. 20150520 and 20150720. The average Nash-Sutcliffe coefficient increasing is 0.764,  
580 a 0.3% increasing. While for the peak flow error, all flood events have observed  
581 decreasing, the average decreasing is 66.81%, a very significant model performance  
582 improvement.

583  
584 The ~~above se~~ results imply that with dynamical parameter updating, Liuxihe model has  
585 a much better performance in simulating the flood events of SRW, i.e., model  
586 parameters are in dynamic changing with ~~the~~ LUC changing, and dynamical parameter  
587 updating with the LUC changing is needed. This confirms the parameter dynamics  
588 assumption proposed in this study, and prove that the parameter updating method  
589 proposed in this study is effective. ~~characteristics of model parameters.~~

## 591 **5 Discussions**

### 592 **5.1.3 Effect of parameter optimization on model performance**

593 To assess the efficacy of parameter optimization, simulations for the 12 flood events  
594 were conducted using the initial parameters, and the corresponding results are presented  
595 in Fig. 9. For comparative purposes, hydrographs obtained through simulations with  
596 dynamic parameter updating are also included. ~~To test the effectiveness of parameter~~  
597 ~~optimization, the 12 flood events are simulated with the initial parameters, and the~~  
598 ~~results are shown in Fig 8, to make comparision, the simulated hydrographs with~~  
599 ~~dynamically updated parameters are also shown.~~ From the results, it could be found  
600 that the simulation results with initial and dynamic parameter updating dynamically  
601 updated parameters are quite different. Though both the simulated hydrographs have

602 similar patterns, but the flows simulated with the initial parameters are generally much  
603 lower than the observations, and those simulated with dynamic parameter updating the  
604 dynamically updated parameters well fit the observation well.

605

606 Fig. 8-9 is here

607

608 The four evaluation indices of the 12 flood events is calculated and listed in Table 6.

609 Compared with the simulation with initial parameter, the simulation with dynamic

610 parameter updating dynamically updated parameters has been improved much based on

611 these evaluation indices. Among them, the average Nash-Sutcliffe coefficient increased

612 68.2%, correlation coefficient increased 3.2%, peak flow error reduced 86.4%, water

613 balance coefficient increased 45.8%. These results show that parameter optimization is

614 needed and feasible even for distributed hydrological model.

615

616 Table 6 is here

617

#### 618 **54.2-4 Flood simulation for Pparameter stationary**

619 In above sections, the dynamic parameter updating was based on the optimized

620 parameter look-up tables with LUCs in 20182008. There appears a question, should the

621 look-up tables be optimized with the latest LUCs, not the LUCs at a specific time? I.e.,

622 is-are the look-up tables no-stationary to LUCs during urbanization. If yes, then the

623 look-up tables needs to be optimized with the latest LUCs, and done again when there

624 is significant LUCs change. Otherwise, the look-up tables can be optimized with LUCs

625 at any given time, alleviating the need for frequent re-optimizationtime, and there is no

626 need to optimize it very often. To answer this question, in this study, the parameters

627 were optimized with LUCs in 2011, 2013 and 2015 ~~also~~, and the hydrological data used  
628 for parameter optimization were from flood events 20110516, 20130815 and 20150520  
629 respectively. ~~T~~ these parameters are called parameter-20110516-2011, parameter-  
630 20130815-2013, and parameter-20150520-2015 respectively. Then the parameters are  
631 dynamically updated with latest LUCs, and are called parameter-20110516-2011-  
632 updated and parameter-20130815-2013-updated respectively. Notable, there is not  
633 parameter-20150520-2015-updated. In other words, dynamic parameter updating  
634 process in a forward temporal manner and not backward. ~~I. e., dynamical parameter~~  
635 ~~updating is time forward, not time backward~~. For example, parameter-20110516-2011-  
636 updated only update parameters with LUCs in 2013 and 2015, not in 2008 and 2011;  
637 parameter-20130815-2013-updated only update parameters with LUCs in 2015, not in  
638 2008, 2011 and 2013, so there is not parameter-20150520-2015-updated. The  
639 dynamically optimized and updated parameters are then employed to simulate the flood  
640 events, and the results are shown in Fig. 9-10. The four evaluation indices are calculated  
641 and listed in table 7.

642

643 Table 7 is here

644

645 Fig. 9-10 is here

646

647 The simulated flood hydrographs, both with and without dynamic parameter  
648 optimization and updating, exhibit no significant differences. Drawing from the  
649 methods and results presented above, it can be concluded that the parameters  
650 demonstrate LUC stationarity during urbanization progress. ~~Both the simulated flood~~  
651 ~~hydrographs with and without dynamic parameters optimizing and updating have no~~  
652 ~~obvious differences, so based on the above methods and results, it can be concluded~~

653 ~~that the parameters are stationary during the urbanization, i.e., during the LUC changing~~  
654 ~~period.~~ There is no need to optimize the look-up tables ~~frequently~~~~very often~~ with rapid  
655 LUC changing. Instead, the focus should be on optimizing and updating of parameters,  
656 which emerges as the crucial aspect in response to dynamic LUCs conditions,  
657 ~~parameter optimization and updating is most important.~~

#### 658 **5.4.3.5 Impact of LUC changes on flood responses**

659 Based on the above results, it could be found that ~~with due to~~ the LUCs changes, the  
660 flood response changes also. To quantitatively analysis this effect, the peak flow and  
661 urban land area rate of flood events from 2011 to 2015 are extracted from the above  
662 results and listed in Table 8. The values with no-update are the simulated values with  
663 parameter-20080625-2008, while the ones with update are the simulated values with  
664 parameter-20080625-2008-update.

665

666 Table 8 is here

667

668 From the results, it could be found that from 2008 to 2011, the SRW observed an urban  
669 land rate change from 18.62% to 23.4%, a 25.67% increasing. For flood event  
670 20110516, with the same precipitation, the peak flow will change from 87.08 m<sup>3</sup>/s to  
671 99.42 m<sup>3</sup>/s, ~~having with~~ a 14.2% increasing. While for flood event 201100808, the peak  
672 flow ~~change~~ is from 103.68 m<sup>3</sup>/s to 117.21 m<sup>3</sup>/s, ~~having a~~ 13.1% increasing. Both  
673 these events are light flood, the peak flow increasing has similar magnitude.

674

675 But from 2008 to 2013, the SRW observed an urban land rate increasing of 40.92%.  
676 For flood event 20130815, which is regarded as a heavy flood event, the peak flow  
677 increasing is 9.0%, while for flood event 20140511, the peak flow increasing is 12.8%,

678 for flood event 20140819, this is 14.6%. The latter two flood events are regarded as  
679 medium. With these results, it can be concluded that the much heavier of the flood  
680 magnitude, the ~~more~~-less increasing of peak flow.

681

682 From 2008 to 2015, the SRW observed an urban land rate increasing of 63.10%. For  
683 flood event 20150520, which is regarded as a light flood event, and flood events  
684 20150523 and 20150720, which are regarded as a medium flood event, the peak flow  
685 increasing are 56.3%, 18.5%, and 12.2% respectively. This implies that for the light  
686 flood event, the peak flow increases much more. Based on this analysis, with the  
687 increasing of the urban land area rate, the peak flow of a flood event will increase, and  
688 the light flood event has the most peak flow increasing, while the heavy one has the  
689 least peak flow increasing.

690

## 691 **6.5 Conclusions**

692 In this study, a method is proposed for accurately simulating flood processes of  
693 urbanizing watersheds that appear during the world urbanization process, which  
694 employs the Liuxihe model, a physically based distributed hydrological model as the  
695 flood simulation tool. This method first derives initial parameter look-up tables, and  
696 then optimizes ~~it~~them, and dynamically updates the parameters with the changing LUCs  
697 to improve the model performance. A case study has been carried out in the  
698 Songmushan Reservoir Watershed, a highly urbanizing watershed in the Pearl River  
699 Delta Area in southern China which experienced rapid urbanization in the past decade.  
700 Based on the results, following conclusions have been proposed.

701

702 1. The methodology proposed in this study could be used for simulating and forecasting  
703 urbanizing watershed flood processes with good model performance.

704

705 2. For an urbanizing watershed, terrain properties are in changing, and model  
706 parameters are in changing also due to terrain properties changing, this is called  
707 model parameter dynamics. Model parameters should be updated with the LUC  
708 changes.

709

710 3. Parameter look-up tables of physically-based distributed hydrological model ~~is~~  
711 are LUCs stationary, i.e., the parameter look-up tables only needs to be determined  
712 once during the watershed urbanization.

713

714 4. With same precipitation, flood peak flow will increase due to urban land rate  
715 increases. The much heavier the precipitation, the less increasing of the peak flow.

716

717 5. This study provides more evidence to prove that Pparameter optimization is  
718 effective and needed in controlling parameter uncertainty for physically based  
719 distributed hydrological model.

720

721 **Competing interests:** The contact author has declared that none of the authors  
722 has any competing interests.

723