

# Evaluation of reanalysis soil moisture products using Cosmic Ray Neutron Sensor observations across the globe

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**Abstract.** ~~Reanalysis soil moisture products are valuable for diverse applications but their quality assessment is limited due to scale discrepancies when compared to commonly used traditional in-situ point-scale measurements. The emergence of Cosmic Ray Neutron Sensors (CRNS) with field-scale soil moisture estimates (~250m radius, up to 0.7m deep) is more suitable for the product evaluation owing to its larger footprint. Accurate soil moisture information is vital for flood and drought predictions, crop growth and agricultural water management. Reanalysis soil moisture products with multi-decadal temporal coverage are gradually becoming a good alternative for providing global soil moisture data in various applications compared to in-situ measurements and satellite products. Much effort has been devoted to evaluating the performance of soil moisture products, yet the scale discrepancy between point measurements and grid cell soil moisture products limits the assessment quality. As the land surface and hydrological modelling community evolve towards the next generation of (sub)kilometer resolution models, Cosmic Ray Neutron Sensors (CRNS) that provide estimates of root-zone soil moisture at the field scale (~250m radius from the sensor and up to 0.7m deep), may consequently be more suitable for soil moisture product evaluation as they cover a relatively larger footprint, when compared to traditional methods.~~ In this study, we perform a comprehensive evaluation of ~~seven~~ **eight** widely-used reanalysis soil moisture products (ERA5-Land, CFSv2, MERRA2, JRA55, GLDAS-Noah, CRA40, ~~and~~ GLEAM ~~and~~ **SMAP L4** datasets) against 135 CRNS sites ~~from the COSMOS-UK, COSMOS-Europe, COSMOS USA and CosmOz Australia networks~~. We evaluate the products using six metrics capturing different aspects of soil moisture dynamics. Results show that all reanalysis products ~~generally~~ exhibit good temporal correlation with the measurements, with the median of temporal correlation coefficient ( $R$ ) values spanning from 0.69 to 0.79, though large deviations are found at sites with seasonally varying vegetation cover. Poor performance is observed across products for soil moisture anomalies timeseries, with  $R$  values varying from 0.469 to 0.6670. The performance of reanalysis products differs greatly across regions, climate, land covers and topographic conditions. In general, all products tend to overestimate in arid climates and underestimate in humid regions as well as grassland. Most reanalysis products perform poorly in steep terrain. Relatively low temporal correlation and high *Bias* are detected in some sites from west of the UK, which might be associated with relatively low bulk density and high soil organic carbon. Overall, ~~ERA5-Land, CRA40, CFSv2, SMAP L4 and GLEAM~~ **ERA5-Land, CFSv2, CRA40, GLEAM** exhibit superior performance compared to MERRA2, GLDAS-Noah and JRA55. We recommend ERA5-Land and CFSv2 ~~should~~ **could** be used in humid climates, whereas ~~SMAP L4 and CRA40 and GLEAM~~ **SMAP L4 and CRA40** perform better in arid regions. ~~SMAP L4 has good performance for cropland, while GLEAM is more effective in shrubland regions.~~ Our findings also provide insights on directions for improvement of soil moisture products for product developers.

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## 1 Introduction

Soil moisture plays a key role in water and energy interactions between the atmosphere and land surface (Zeng et al., 2015; Kim et al., 2018; Ling et al., 2021), which controls many physical processes in hydrology, meteorology and agriculture, such as, evapotranspiration, infiltration, runoff generation, drought development, crop growth, among others. Accurate and timely soil moisture information is critical for a wide range of environmental analyses such as hydrological and climate modelling (Yee et al., 2017; Al-Yaari et al., 2014; Brocca et al., 2012; Zheng et al., 2021), flood and drought predictions (Martínez-Fernández et al., 2016; Massari et al., 2018; Ford and Quiring, 2019; Massari et al., 2014), water resources and agriculture management (Chawla et al., 2020; Karthikeyan et al., 2020).

To date, soil moisture data are available from a variety of sources. Reanalysis products provide soil moisture data over long time periods ~~and~~ (Li et al., 2005; Baatz et al., 2021) and typically merge soil moisture observations and land surface model output by adopting data assimilation techniques, which often results in better soil moisture estimation than satellite products (Naz et al., 2020; Beck et al., 2021; Mahto and Mishra, 2019). At present, reanalysis products have been employed in a wide range of fields such as hydrological model initialization (Zheng et al., 2020), flood modelling (McClellan et al., 2023; El Khalki et al., 2020; Zheng et al., 2023), drought monitoring (Chen et al., 2019; El Khalki et al., 2020) and climatology research (Miralles et al., 2014). Currently, many reanalysis products exist including ERA5-Land (Muñoz-Sabater 2019, Muñoz-Sabater et al. 2021), CFSv2 (Saha et al. 2011, Saha et al. 2014), MERRA2 (GMAO 2015, Gelaro et al. 2017), JRA55 (JMA 2013, Kobayashi et al. 2015), GLDAS-Noah (Rodell et al. 2004, Beaudoin 2020), CRA40 (Liu et al. 2017, Li et al. 2021) ~~and~~ GLEAM (Miralles et al. 2011, Martens et al. 2017) datasets ~~and~~ SMAP Level 4 datasets (Reichle et al., 2019; Reichle et al., 2017a; Reichle et al., 2017b) etc (one should note that technically speaking GLDAS-Noah and GLEAM datasets are global land model-based products, we termed them as ‘reanalysis products’ in this paper for consistency). The quality of these reanalysis products is of significant interest to researchers and their performance against point soil moisture observations has provided valuable guidance on potential applications and further improvement (Li et al., 2020; Xu et al., 2021; Zheng et al., 2022; Beck et al., 2021; Chen and Yuan, 2020; Ling et al., 2021). However, due to the heterogeneity in soil properties, topography and climate condition, low-density point measurements are not entirely representative of larger scale soil moisture information (Gruber et al., 2013). Previous works have extensively reported the limited evaluation reliability between point measurements and soil moisture products, because the observed discrepancies can be attributed to the spatial sampling error rather than the intrinsic error of soil moisture products (Dorigo et al., 2015; Crow et al., 2012; Gruber et al., 2013; Stillman et al., 2016; Miralles et al., 2010).

Cosmic-ray neutron sensors (CRNS) are a more recent soil moisture measurement technique, compared to other traditional methods, that can measure area-average soil moisture at the field scale by capturing the variations in water content in the soil profile by fast neutron detection (Zreda et al., 2008). The neutron counting rates data from the CRNS can be converted into soil moisture via conversion equations from Desilets et al. (2010) ~~Desilets et al. (2010)~~, Dong et al. (2014) ~~Dong et al. (2014)~~ and Hawdon et al. (2014) ~~Hawdon et al. (2014)~~. Additional influences on the neutron counting rate, besides soil moisture, need to be accounted for including; atmospheric pressure (Zreda et al., 2012; Hawdon et al., 2014), incoming high energy neutron intensity (Desilets et al., 2006), atmospheric water vapour (Rosolem et al., 2013), and above ground biomass (Rivera Villarreyes et al., 2011; Baatz et al., 2015). CRNS sensor calibration is also a crucial step, which requires multiple soil samples taken from within the sensor footprint, oven-dried then weighted and averaged to give field-scale accurate soil moisture estimates (Köhli et al. 2015; Schrön et al. 2017; Power et al., 2021). The horizontal footprint of the CRNS varies approximately between 400m and 600m in diameter (Zreda et al., 2008; Zreda et al., 2012; Evans et al., 2016; Desilets and Zreda, 2013; Schrön et al., 2017), while the vertical measurement depths depend strongly on soil moisture content ranging from 0.1 m

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(under wet conditions) to 0.7 m (under dry conditions) (Franz et al., 2012; Rosolem et al., 2014). Given that the spatial variations in soil moisture and other factors such as micro-topography and land cover can be considered within the footprint area, the CRNS measurements are better suited for the evaluation of satellite and reanalysis products compared to point measurements whose signal tends to be more strongly associated with soil properties (Montzka et al., 2017; Dong et al., 2014; Peng et al., 2021; Kim et al., 2015; Desilets et al., 2010). Soil moisture estimated by CRNS presents a more compatible spatial scale with recent efforts to promote hyper-resolution large-scale hydrological and land surface models (Iwema et al., 2017; Wood et al., 2011; Bierkens et al., 2015).

The establishment of CRNS networks across the globe is ongoing. Following the development of a first national scale CRNS sensors network in the United States, called Cosmic-Ray Soil Moisture Observing System (COSMOS) (Zreda et al., 2012), other countries, such as Australia (Hawdon et al., 2014), Germany (Zacharias et al., 2011; Bogen, 2016), UK (Evans et al., 2016; Cooper et al., 2021) and India (Upadhyaya et al., 2021), have also started to establish their national networks. Several studies have evaluated a variety of soil moisture data products against CRNS measurements over different regions such as United States (Kim et al., 2015), UK (Peng et al., 2021), Australia (Renzullo et al., 2014), Germany (Schmidt et al., 2022) and India (Upadhyaya et al., 2021). Yet, most studies only use a few individual CRNS sites (Renzullo et al., 2014; Kędzior and Zawadzki, 2016; Montzka et al., 2017; Mwangi et al., 2020), which hampers a comprehensive assessment at large scale. While some studies have evaluated soil moisture products against numerous CRNS sites (Kim et al., 2015; Montzka et al., 2017; Duygu and Akyürek, 2019), these studies did not consider the deviations across multiple CRNS networks caused by different calibration and neutron correction methods. That is because despite having now more than 200 CRNS sites across global operation providing soil moisture data (Andreassen et al., 2017), there has not been a community-wide consensus on best practices for sensor calibration and signal correction methods shared across the different networks. This has resulted in a non-harmonized dataset among networks to support large or global scale soil hydrology analysis (Rosolem et al., 2013; Hawdon et al., 2014; Power et al., 2021).

This work provides, for the first time, a systematic evaluation of frequently used reanalysis soil moisture products against a global dataset of harmonized CRNS measurements. We analyse the reanalysis products with contrasting climate, soil properties, land cover and topography to provide insights on explaining the differences in performance. Finally, we provide recommendations to researchers for selecting suitable reanalysis soil moisture products.

## 2 Data description

### 2.1 CRNS measurements

In this paper, we collected CRNS data from numerous networks globally and ensured the data were processed in a harmonized way to serve as the reference for evaluating reanalysis products. The geographical locations of CRNS sites collected in this study are shown in Figure 1. A total of 180 CRNS data were collected from COSMOS-UK (51 sites) (Stanley et al., 2021), COSMOS-Europe (66 sites) (Bogen et al., 2022), COSMOS USA (45 sites) (Zreda et al., 2012) and Australian CosmOz network (18 sites) (Hawdon et al., 2014). Details of each CRNS network are summarized in Table 1.

#### 2.1.1 CRNS sensor calibration

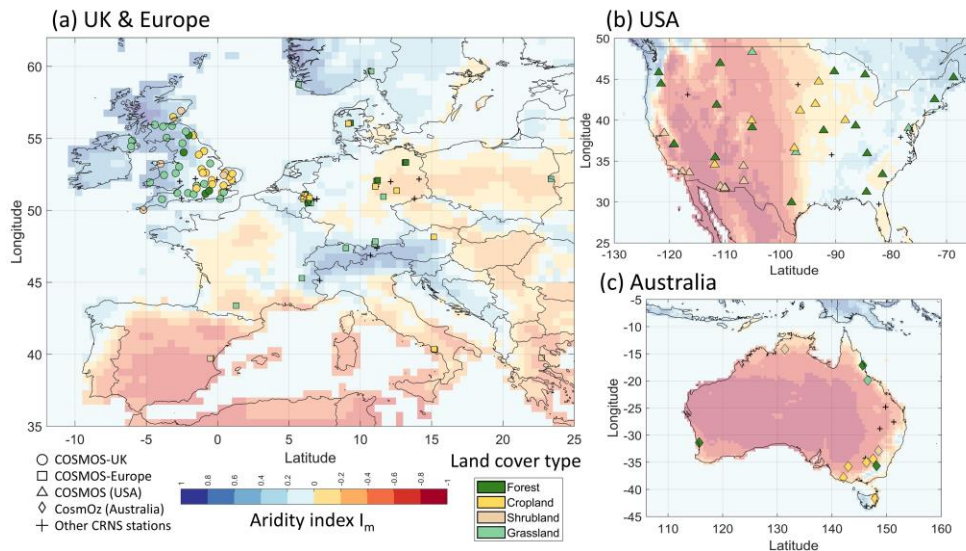
The inverse relationship between fast neutrons and hydrogen atoms means that as neutron counts rise (fall), we know that the moisture content of the soil is decreasing (increasing). However, in order to convert this signal into volumetric soil moisture values, calibration of each sensor is required. This involves obtaining multiple samples of soil moisture profiles within the sensor footprint that are together combined to provide an average moisture content (Zreda et al., 2012). Each sample is

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subjected to oven-drying, providing us with gravimetric soil moisture values, which can be converted to volumetric soil moisture when multiplied by the dry soil bulk density of the soil sample. As our understanding of the sensor signal has grown, improvements to this calibration step have been developed which have been shown to provide more accurate results. In particular, revised weighting schemes have been derived that consider the increased sensitivity of the signal to soil moisture nearer the sensor (Köhli et al., 2015; Schrön et al., 2017)(!!! INVALID CITATION !!! (Köhli et al., 2015; Schrön et al., 2017)), as well as research showing the benefit in conducting multiple calibration campaigns across different seasons (Iwema et al., 2015). Ultimately this calibration step will provide us with the so called N0 number (i.e., the theoretical neutron count found in absolutely dry conditions), which is calculated by comparing the averaged field scale soil moisture value derived through the sampling campaign with the count rate at the time of sampling. This N0 number is used to derive the ratio between the actual counting rate (N) and the theorised maximum counting rate (N0) in the Desilets et al (2010) equation for converting neutrons to soil moisture values. It's important to note therefore, that changes in this number, or differences in how this number is derived, can lead to biases in soil moisture values.

The COSMOS-UK and COSMOS-EUROPE datasets each use the aforementioned revised weighting schemes to calibrate the sensors (Bogena et al., 2022; Cooper et al., 2021). To ensure comparability the COSMOS-USA and CosmOz sites were updated to utilise the revised scheme using crspy (Power et al., 2021), which was possible thanks to the openly available calibration data provided by each of the networks (Zreda et al., 2012, Hawdon et al., 2014). When multiple calibration days where available calibration would be taken on more than one day, with the N0 number being the value that reduced the error across all calibration days. It should be noted that recently the CosmOz network updated their data to utilise the revised weighting scheme, however there is still a difference in incoming neutron intensity correction, necessitating harmonization through crspy (<https://cosmoz.csiro.au/about>, last accessed 12/01/2024). More detailed of CRNS data reprocessing can be found in section 2.1.2. The calibrated N0 values, along with information on how many calibration days where used are given in the supporting information (see 'CRNSsiteDataNEWRI.xlsx' file).



140 **Figure 1: Locations of CRNS sites collected in this study. The aridity index ( $I_m$ ) global map derived from (Knoben et al., 2018) is used as a reference. The shape of the dots represents the CRNS sites from different networks, while the colour of the dots denotes the land cover type of each site.**

**Table 1: Details of four CRNS network used in this study.**

Network name	Number of sites collected	Period of data collection	Key references
COSMOS-UK	51 sites	2013-2019	(Cooper et al., 2021; Evans et al., 2016; Stanley et al., 2021)
COSMOS-Europe	66 sites	2010-present	(Bogena and Ney, 2021; Bogena et al., 2022)
COSMOS USA	45 sites	2009-present	(Zreda et al., 2012)
CosmOz Australia	18 sites	2010-present	(Hawdon et al., 2014; Mcjannet et al., 2021)

145 Calibrating the CRNS sensor is a critical process that involves obtaining multiple soil samples from within the sensor footprint. These samples are then subjected to oven-drying, followed by weighing and averaging to derive accurate field-scale estimates of soil moisture (Köhli et al., 2015; Schrön et al., 2017). In the equation from Desilets et al (2010) for converting neutron counting rates into gravimetric soil moisture, the in situ soil properties data and  $N_0$  parameter (i.e., the theoretical neutron count found in absolutely dry conditions) is important and unique to each CRNS site, which is needed to be derived through the calibration process. The description of in situ soil properties data used for the CRNS soil moisture calibration are presented in section 2.3. The sensor calibration process and the computation of  $N_0$  parameter for each CRNS network are detailed summarized in the related paper (Hawdon et al., 2014; Bogena et al., 2022; Cooper et al., 2021; Power et al., 2021; Zreda et al., 2012; Mcjannet et al., 2021). The calibrated  $N_0$  values are openly available from the websites of some CRNS networks, including COSMOS Europe, COSMOS USA and CosmOz Australia. Note that we have limited access to the  $N_0$  calibration data from COSMOS-UK datasets, this is part of reason we reprocessed the CRNS data from other networks to keep consistent with COSMOS-UK. More detailed of CRNS data reprocessing can be found in section 2.1.2. The calibrated  $N_0$  values we currently have are given in the supporting information.

### 2.1.2 Reprocessing of CRNS data

160 To remove the possible influence of different CRNS processing methodologies, CRNS data were processed using the Cosmic-Ray Sensor PYthon tool (crspy) to ensure a harmonized methodology (Power et al., 2021). The correction of the aforementioned influences on the neutron counts (i.e., atmosphere pressure, incoming neutron intensity, atmospheric water vapour and above ground biomass) are all included in crspy tool. Given that COSMOS-Europe and COSMOS-UK each follow the same steps for correcting neutron counts (Cooper et al., 2021; Bogena et al., 2022), reprocessing was undertaken for the COSMOS (USA) sites and the CosmOz (Australia) sites for consistency. More details about reprocessing COSMOS (USA) and CosmOz (Australia) data using crspy are provided in the Text S2 of the supporting information. In addition, it should be noted that there are 8 UK sites in COSMOS-Europe datasets, including 4 sites which are the same sites listed in the COSMOS-UK network. The differences in hourly and daily soil moisture data from these two networks for the 4 sites are shown in Figure S1, S2 and Table S1 of the supporting information. This difference is most likely due to COSMOS-Europe applying a 24-hour rolling average to hourly values to reduce the inherent noise of neutron counts (Bogena et al., 2022), whereas COSMOS-UK does not apply any rolling average. The notable deviation in two networks indeed highlights the importance of **harmonized processing the harmonized of CRNS datasets**. Thus, for the selection of the UK sites, only the soil moisture data provided by the COSMOS-UK network are used in this study to keep consistent with the remaining UK sites. In particular, these 4 UK sites use the data from COSMOS-UK instead of COSMOS-Europe.

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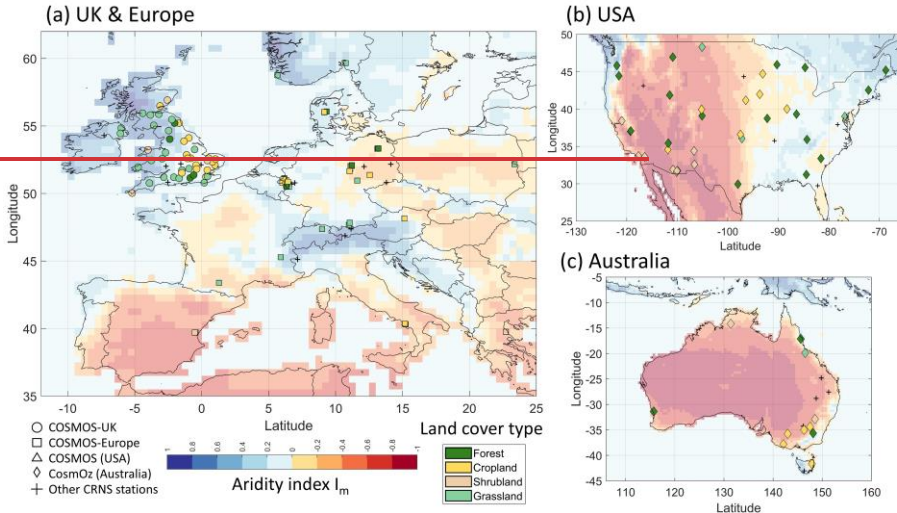


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COSMOS-USA	45 sites	2009-present	(Zreda et al., 2012)
CosmOz-Australia	18 sites	2010-present	(Hawdon et al., 2014; Mejjannet et al., 2021)

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## 2.2 Reanalysis soil moisture products

EightSeven widely used reanalysis products that provide soil moisture data are evaluated in this study. The reanalysis products include ERA5-Land (Muñoz-Sabater, 2019; Muñoz-Sabater et al., 2021), CFSv2 (Saha et al., 2011; Saha et al., 2014), MERRA2 (Gmao, 2015; Gelaro et al., 2017), JRA55 (Jma, 2013; Kobayashi et al., 2015), GLDAS-Noah (Rodell et al., 2004; Beaudoin, 2020), CRA40 (Liu et al., 2017; Li et al., 2021), and GLEAM (Miralles et al., 2011; Martens et al., 2017) and SMAP Level 4 (Reichle et al., 2019). These products cover a large range of temporal resolution (spanning from hourly to daily), temporal coverage, spatial resolution and different vertical soil layers. The temporal coverage of these products is 402 years on average, ranging from 944 years (CFSv2SMAP L4) to 742 years (ERA5-Land). Among them, ERA5-Land ( $0.1^\circ \times 0.1^\circ$ ) and SMAP L4 (9 km) have the finest spatial resolution ( $0.1^\circ \times 0.1^\circ$ ), whereas MERRA2 ( $0.5^\circ \times 0.625^\circ$ ) and JRA55 ( $0.563^\circ \times 0.562^\circ$ ) have a relatively coarser resolution. Table 2 presents the main characteristics of all these products. More descriptions of each reanalysis product can be found in Text S3 of supporting information.

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Table 2: Overview of **eightseven** reanalysis soil moisture products used in this study.

Product name	Spatial resolution	Temporal resolution	Temporal coverage	Vertical soil layers	Unit	Reference
ERA5-Land	0.1°	Hourly	1950-present	0-7cm, 7-28cm, 28-100cm, 100-289 cm	m <sup>3</sup> /m <sup>3</sup>	(Muñoz-Sabater et al., 2021; Muñoz-Sabater, 2019)
CFSv2	0.5°	Hourly	2011-present	0-10cm, 10-40cm, 40-100cm, 100-200cm	%	(Saha et al., 2014; Saha et al., 2011)
MERRA-2	0.5°×0.625°	Hourly	1980-present	0-5cm, 0-100cm, 0-bedrock (~1.3m)	m <sup>3</sup> /m <sup>3</sup>	(Gelaro et al., 2017; Gmao, 2015)
JRA-55	0.563°×0.562°	3-hourly	1958-present	total of 3 soil layers, varying depths	kg/m <sup>3</sup>	(Jma, 2013; Kobayashi et al., 2015)
GLDAS-Noah v2.1	0.25°	3-hourly	2000-present	0-10cm, 10-40cm, 40-100cm, 100-200cm	kg/m <sup>2</sup>	(Rodell et al., 2004; Beaudoin, 2020)
CRA40	0.5°	Daily	1979-2020	0-10cm, 10-40cm, 40-100cm, 100-200cm	m <sup>3</sup> /m <sup>3</sup>	(Li et al., 2021; Liu et al., 2017)
GLEAM-3.5a	0.25°	Daily	1980-2020	surface (0-10cm), root-zone (varying depths)	m <sup>3</sup> /m <sup>3</sup>	(Martens et al., 2017; Miralles et al., 2011)
<b>SMAP L4</b>	<b>9km (~0.1°)</b>	<b>3-hourly</b>	<b>2015.03-present</b>	<b>surface (0-5cm), root-zone (0-100cm)</b>	<b>m<sup>3</sup>/m<sup>3</sup></b>	(Reichle et al., 2022; Reichle et al., 2019)

### 2.3 Ancillary data preparation

To provide insights into the possible reasons for differences in reanalysis products performance, we collated data on **10-11** possible factors representing climate (i.e., aridity, seasonality, snow, mean annual temperature and mean annual precipitation), soil properties (i.e., bulk density, soil organic carbon **and lattice water**), vegetation (land cover) and topography conditions (i.e., altitude and slope).

Three climate indices (i.e., aridity, aridity seasonality and fraction of precipitation as snow) derived by Knoben et al. (2018) were adopted, which have been proved to be more effective than Köppen-Geiger classification for revealing the climatic influence especially on streamflow signatures. In their methods, aridity *Im* is calculated **based on Thornthwaite's moisture index MI** (Willmott and Feddema, 1992) by using equations (1)-(2), in which P(t) and E<sub>p</sub>(t) are mean monthly precipitation and potential evapotranspiration values from the CRU TS v3.23 dataset (Harris et al., 2014). The range of aridity index is [-1, 1], where -1 indicates the most arid conditions and 1 denotes the most humid conditions. More details and equations for other two climate indices can be found in Knoben et al. (2018). Additionally, mean annual temperature (MAT) and mean annual precipitation (MAP) for each CRNS site are retrieved from ERA5-Land product as it has the highest spatial resolution.

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$$MI(t) = \begin{cases} 1 - \frac{E_p(t)}{P(t)} & , P(t) > E_p(t) \\ 0 & , P(t) = E_p(t) \\ \frac{P(t)}{E_p(t)} - 1 & , P(t) < E_p(t) \end{cases} \quad (1)$$

$$I_m = \frac{1}{12} \sum_{t=1}^{t=12} MI(t) \quad (2)$$

Soil properties data, i.e., bulk density, and soil organic carbon content and lattice water, are provided in metadata from Power et al. (2021) Power et al. (2021) and Bogena et al. (2022) Bogena et al. (2022). Soil organic carbon represents the total organic carbon in the soil at the site, while lattice water represents the hydrogen contained in the mineral structures of the soil. In their studies from Power et al. (2021) and Bogena et al. (2022), local measurements of soil properties data are collected for the majority of CRNS sites (bulk density: 98% sites; soil organic carbon content: 94% sites, lattice water: 98% sites), while the global raster-based SoilGrids soil dataset (Hengl et al., 2017) was used to provide data for the sites with missing measurements.

For the land cover attributes at each CRNS site, we reclassify the different land cover classes from Power et al. (2021) and Bogena et al. (2022) into a harmonised land cover classification including four land cover types: forest, cropland, shrubland and grassland (see Table A1 in Appendix A). The land cover data collected in crspy is obtained from the ESA CCI Land Cover dataset (Esa Land Cover Cci Project Team and Defourny, 2019). A small proportion of COSMOS-Europe sites with unclear land cover classes (e.g., plantation, reforestation, orchard and heathland) that are hard to reclassify were checked in the high-resolution Sentinel-2 10m land use and land cover map (Karra et al., 2021).

Finally, metadata from Power et al. (2021) and Bogena et al. (2022) offer altitude information for each CRNS site. We used the 90 m MERIT DEM data (Yamazaki et al., 2017) to provide the topographic slope. To reduce the spatial scale mismatch of the topographic slope between CRNS site point location and its horizontal footprints, we calculated the average slope of the area with a radius of 250m centered on the CRNS site.

### 3 Methods

#### 3.1 Data processing

##### 3.1.1 Temporal resolution and coverage

Due to the nature of the CRNS technology, the hourly measurements might will contain higher uncertainty compared to daily measurements (Zreda et al., 2008; Desilets et al., 2010; Iwema et al., 2021)-(!!! INVALID CITATION !!! (Zreda et al., 2008; Desilets et al., 2010; Iwema et al., 2021; Davies et al., 2022)). In general, high measurement uncertainty can be compensated by applying simple daily averaging (Davies et al., 2022). Additionally, some reanalysis products only provide daily data, thus we aggregate sub-daily reanalysis products data over the beginning of the day (00:00 hours UTC) and the proceeding 24 hour period, then perform the evaluation with the CRNS measurements at daily scale. Since CRA40 and GLEAM data provide data until the end of 2020, all available soil moisture data for each product from the start date of CRNS measurements to 2020 are used for the evaluation. To ensure the reliability of evaluation with enough soil moisture data, only the CRNS sites available with at least two years (730 days) of observations are selected for the analysis to avoid deviations under short-term extreme weather conditions. Therefore, a total of 135 (i.e., UK: 45 sites; mainland Europe: 41 sites; USA: 38 sites; Australia: 11 sites) out of 180 CRNS sites are used in the study. Note that since the evaluation against COSMOS-UK network has been specifically compared, the analysis for Europe only compares the COSMOS-Europe sites from mainland European regions, omitting the UK. The basic information of these selected 135 CRNS sites is listed in Table S2.

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### 3.1.2 Spatial scale ~~matching~~mismatch solution

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The selected reanalysis products in this study exhibit a great variety of spatial resolutions (Table 2). The measurements from each CRNS site are compared with the reanalysis product grid cells in which the CRNS site is situated. In some cases, more than one CRNS site is located within one reanalysis product grid cell. Many studies take the average of multiple CRNS sites data first before the comparison with the grid cell data (Kim et al., 2015; Miralles et al., 2014). Yet, when many CRNS sites are located densely within the same grid cell (e.g., 13 CRNS German sites are located within one grid cell of CRA40 reanalysis product), different overlapping time periods across sites make it difficult to take an average. In this study, to maximize the use of CRNS data, we individually compare and calculate the statistical metric for the multiple CRNS sites data that are located in the same grid cell with the corresponding grid cell multiple times. Then, the Brunke ranking method (details introduced below in section 3.3) is used to comprehensively compare the product performance based on these statistic metrics.

### 3.1.3 Vertical footprint ~~mismatch solution~~matching

For CRNS measurements, the vertical sensing depth has a strong dependency on actual soil moisture. The wetter the soil, the shallower the signal. The neutron signal exhibits the highest sensitivity to the uppermost layers and decays nearly exponentially from the surface downwards (Köhli et al., 2015; Zreda et al., 2008). The effective vertical sensing depth  $D_{86}$ , defined as the depth within which 86% of neutrons probed the soil (Köhli et al., 2015), ranging from 10 to 70 cm deep varies at each time step. By contrast, reanalysis products normally provide data for multiple soil layers.

To solve the inconsistency of vertical footprint between CRNS measurements and reanalysis products, the revised vertical weighting function, which is initially proposed to calculate vertical weighted averages of point measurements for sensor calibration (Schrön et al., 2017), is used to determine the weights for each soil layer of the reanalysis product. This revised vertical weighting function, assigning weights to soil layers, outperformed on better temporal correlation with CRNS measurements than that of other vertical processing methods (Figure S3). Following the same procedure, we assign weights for each soil layer of the reanalysis product at different depths  $d$  and calculate the weighted average to compare with CRNS measurements. The formula for the revised vertical weighting function  $w_i$  is given in equation (3)-(4). The function to calculate the vertical average of soil layers  $i$  with values  $\theta_i$  and weights  $w_i$  is shown in equation (5).

$$w_i = e^{-2d/D} \quad (3)$$

$$D \equiv D_{86}(r^*, \theta, \rho_{bulk}) \quad (4)$$

$$wt(\theta, w) = \frac{\sum_{i=1}^n w_i \theta_i}{\sum_{i=1}^n w_i} \quad (5)$$

Where  $D$  represents the effective penetration depth  $D_{86}$ . The variation of  $D_{86}$  is related to the adjusted distance  $r^*$  from the sensor centre (which is influenced by atmospheric pressure, (Schrön et al., 2017)), soil moisture wetness  $\theta$  and soil bulk density  $\rho_{bulk}$ . Since  $D_{86}$  is provided along with CRNS measurements at each time step, the weights  $w_i$  at different depths  $d$  can be obtained with the exponential function.  $n$  denotes the total number of reanalysis product soil layers up to  $D_{86}$ . The units of all the soil moisture values  $\theta_i$  from reanalysis products and measurements are transferred into  $\text{m}^3/\text{m}^3$  for comparison in this paper.

### 3.1.4 Data post-processing

Neutron signals can be substantially affected by snow cover, resulting in unreliable soil moisture measurements. Yet, at most of the CRNS sites, there is a lack of measured snow data. Consequently, we follow the same procedure adopted in COSMOS-Europe (Bogena et al., 2022) to discard the soil moisture data affected by the presence of snow for other CRNS networks.

Snow water equivalent data from ERA5-Land product is used to detect the snow events. CRNS data are excluded from the analysis when the 24 h moving average of the snow water equivalent data exceeds 1 mm.

### 3.2 Statistical metrics

The statistical metrics we used in this study include the Pearson correlation coefficient ( $R$ ), Pearson correlation coefficient for seasonal ( $R_{sea}$ ) and anomaly ( $R_{ano}$ ) soil moisture timeseries, the mean square error ( $MSE$ ), the unbiased root mean square error ( $ubRMSE$ ), and the  $Bias$ . These statistical metrics are widely used in soil moisture data evaluation and capture different aspects of soil moisture dynamics (Peng et al., 2021; Cui et al., 2018; González-Zamora et al., 2019; Peng et al., 2015; Albergel et al., 2012; Yee et al., 2017; Zheng et al., 2022; Xu et al., 2021; Al-Yaari et al., 2014).

$$R = \frac{cov(SM_{product}, SM_{CRNS})}{\sigma_{product}\sigma_{CRNS}} \quad (6)$$

$$MSE = \overline{(SM_{product} - SM_{CRNS})^2} \quad (7)$$

$$ubRMSE = \sqrt{(\overline{(SM_{product} - SM_{product})} - \overline{(SM_{CRNS} - SM_{CRNS})})^2} \quad (8)$$

$$Bias = \overline{SM_{product}} - \overline{SM_{CRNS}} \quad (9)$$

Where  $cov$  denotes the covariance of both variables.  $SM_{product}$  is the reanalysis soil moisture product, and  $SM_{CRNS}$  is the soil moisture derived from CRNS measurements.  $\sigma$  is the standard deviation of soil moisture values. The overbar represents the mean operator.

The  $R$  metric measures how well the soil moisture derived from CRNS measurements and reanalysis products correspond in terms of temporal correlation. Since the spatial scale mismatch differences between site measurements and soil moisture reanalysis data are inevitable (Beck et al., 2021; Miralles et al., 2010; Gruber et al., 2020), the comparisons in  $R$  metrics are considered to be the most reliable (Kim et al., 2015). To quantify the temporal dynamic performance of the soil moisture timeseries at different time scales, the original soil moisture timeseries data are decomposed into the seasonal signals and anomalies (Zheng et al., 2022; Beck et al., 2021; Kim et al., 2015; Peng et al., 2015; Al-Yaari et al., 2014; Li et al., 2020). The seasonal cycle data are derived by taking a moving average with a window size of 31-day over the soil moisture data time period coverage. Then, the anomaly timeseries are calculated by removing the seasonal signals from the original soil moisture data. The moving mean is extracted only if [more than](#) 16 days with available soil moisture values are present in the 31-day window. The Pearson correlation coefficients calculated for seasonal and anomaly soil moisture timeseries data are denoted as  $R_{sea}$  and  $R_{ano}$ , respectively.

### 3.3 Brunke ranking method

This study aims to provide recommendations for researchers in choosing suitable reanalysis soil moisture products. To comprehensively quantify the performances for [7-8](#) reanalysis products in terms of all 6 statistical metrics, the Brunke ranking scheme (Brunke et al., 2003) is adopted, which is a frequently used soil moisture products ranking method (Deng et al., 2021; Yang et al., 2020; Wang and Zeng, 2012; Deng et al., 2020; Decker et al., 2012).

For each statistical metric at each site, the [7-8](#) reanalysis products are ranked and assigned a score from 1 to [7-8](#), with 1 given to the products with the best performance (e.g., the lowest value of  $MSE$ ,  $ubRMSE$  and  $Bias$  or highest correlation) and [7-8](#) given to the lowest performance (e.g., the largest value of  $MSE$ ,  $ubRMSE$  and  $Bias$  or lowest correlation). It should be noted that if the metric values are missing for some reanalysis products at one site due to insufficient timeseries or missing values in

300 a specific grid cell, the ranking score is given from 1 to the number of available products metric values (Wang and Zeng, 2012).  
To obtain the overall ranking score of each product, the ranking scores are further averaged across all 6 metrics for all sites.

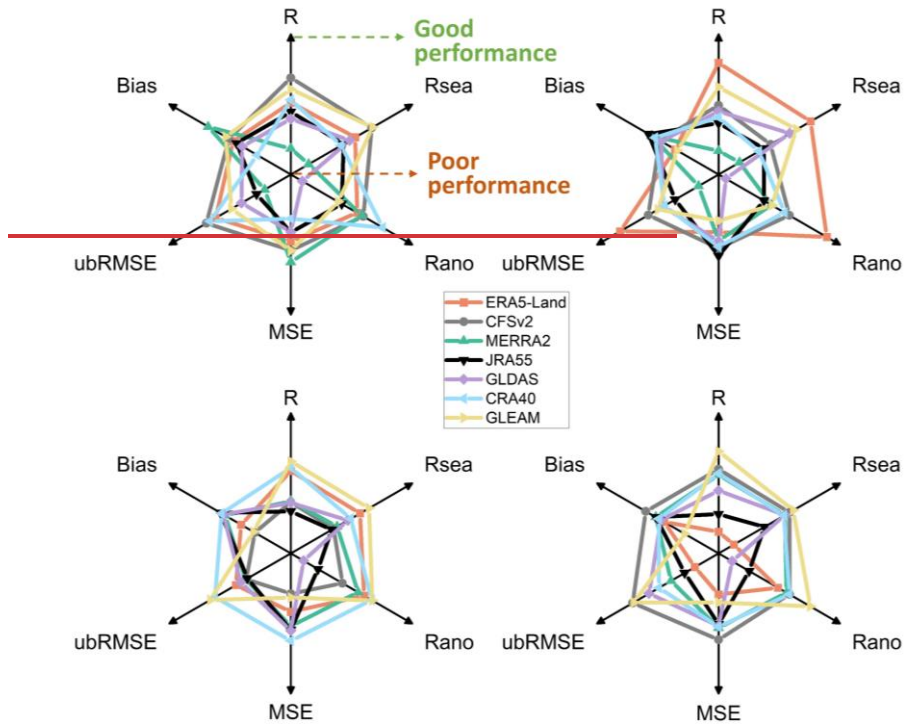
## 4 Results

### 4.1 Rank of reanalysis products for different regions

305 Figure 2 displays the Brunke ranking results for ~~7-reanalysis-8 reanalysis~~ products against CRNS measurements in terms of 6  
statistical metrics (Table S3). More details for each metric can be found in the supporting information (Figure S4, S5). Overall,  
the performance of reanalysis products varies across different regions. In the UK, CFSv2 exhibits good performance in terms  
of  $R$ ,  $R_{sea}$  and  $ubRMSE$ . GLEAM also ranks *relatively* high in multiple metrics, especially  $R_{sea}$ ,  $MSE$  and  $Bias$ . As for  
310 *mainland* Europe, ERA5-Land performs well in terms of  $R$ ,  $R_{sea}$ ,  $R_{ano}$  and  $ubRMSE$ . JRA55 shows minimum  $Bias$  and better  
MSE relative to other datasets in Europe. In the USA, CRA40 shows superior performance in terms of  $Bias$  ~~and~~  $MSE$  ~~and~~,  
while GLEAM provides better  $R$ ,  $R_{sea}$  and  $ubRMSE$ . CFSv2 performs relatively *worse-poor* in the USA, ~~yet it is more~~  
~~promising in Australia~~. As for Australia, ~~GLEAM-SMAP L4~~ exhibits good temporal correlation with both original ~~and~~  
seasonal ~~and anomaly~~ measured soil moisture timeseries ~~and also performs well in~~  $MSE$ ,  $ubRMSE$ . ERA5-Land and JRA55 presents  
the lowest rank in terms of  $R$ ,  $R_{sea}$ , ~~MSE~~ and  $ubRMSE$ .

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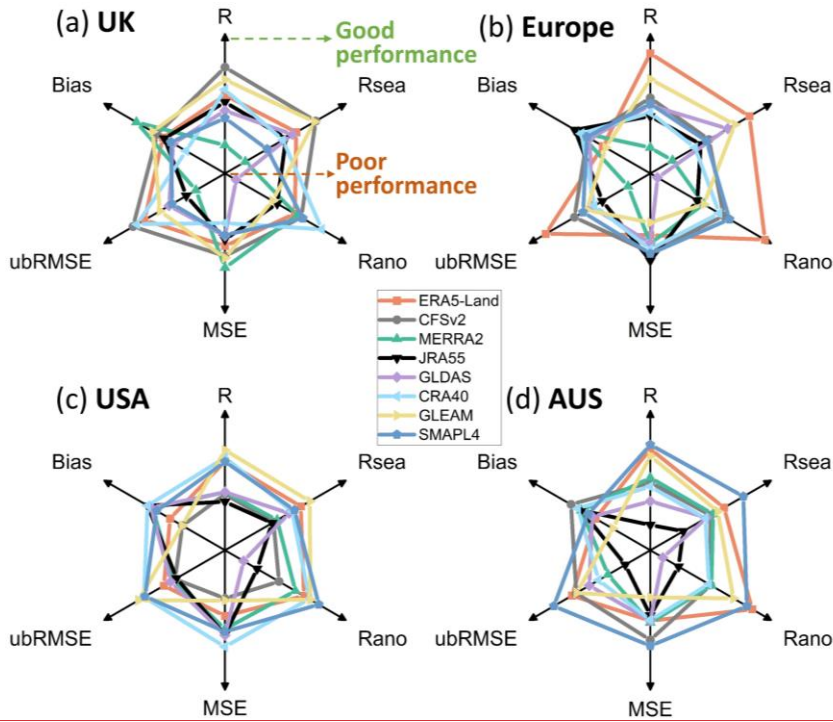


Figure 2: Brunke ranking results for a total of 87 products performance in terms of 6 statistical metrics across different regions, i.e., (a) UK, (b) mainland Europe (EU), (c) USA and (d) Australia (AUS). Each coloured line represents a reanalysis product. The rankings of Bias, ubRMSE and MSE are reversed so that for all large values in this plot indicate good performance. The farther away of the line (large values) on the radar plot from the centre, the better the performance.

Figure 3 summarizes the spatial distribution of the average performance for all 7-8 soil moisture reanalysis products in terms of  $R$  and  $Bias$ . The spatial map of the rest of the metrics can be found in Figure S6 and Figure S7. Over-Around 60-70% of the sites exhibit good temporal correlation with CRNS measurements, with  $R$  average values of 7-8 soil moisture products larger than 0.7, and the median of  $R$  value across all sites reaches 0.74. Few sites in the west of the UK, southwest and north middle of the USA show worse performance with  $R < 0.5$ . In terms of  $Bias$ , all reanalysis soil moisture products tend to underestimate in the west of the UK, central Europe, northeastern USA and southeastern Australia, while overestimation is observed in northern Europe, northern Australia and most sites in western USA. The spatial distribution of  $R_{sea}$  is similar to that of  $R$  (Figure S6a, b and c). The performance of  $R_{ano}$  is generally worse lower than the correlation of original and seasonal soil moisture time series. No clear spatial pattern is observed in the USA and Australia in terms of  $MSE$  and  $ubRMSE$ , while several sites with high  $MSE$  and  $ubRMSE$  values are notable in the UK (Figure S7).

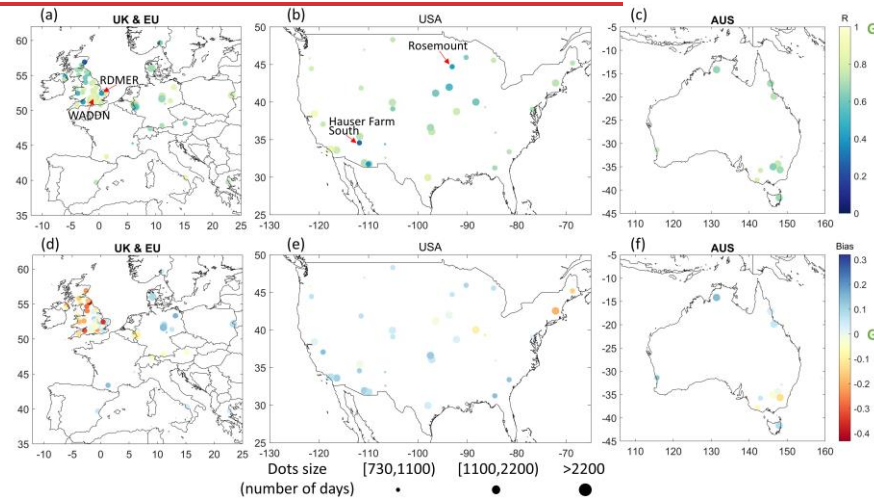
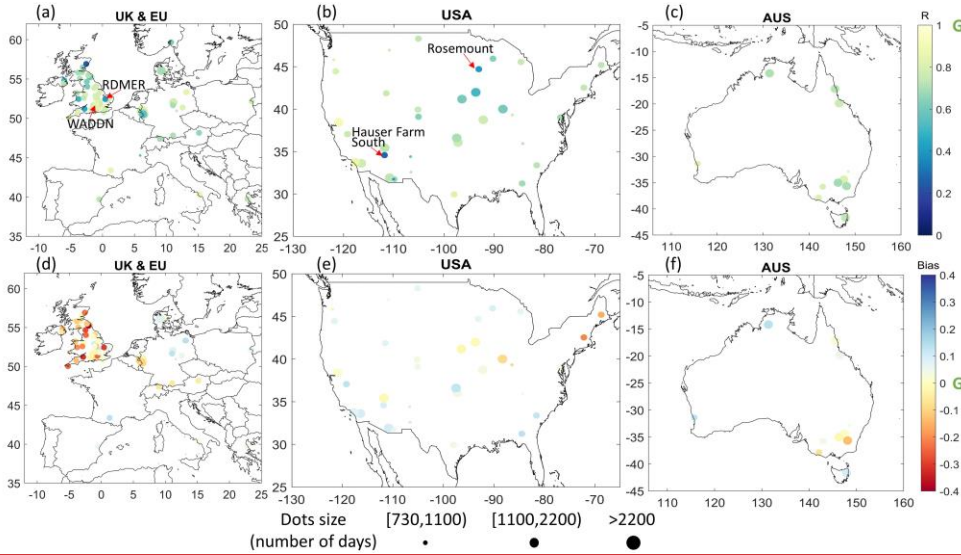


Figure 3: Spatial distribution of all 87 products average performance in terms of statistical metrics  $R$  and  $Bias$ . The green letter G denotes the statistical metric values with good performance. The size of the dots in the map indicates the length of the measurements (i.e., number of days).

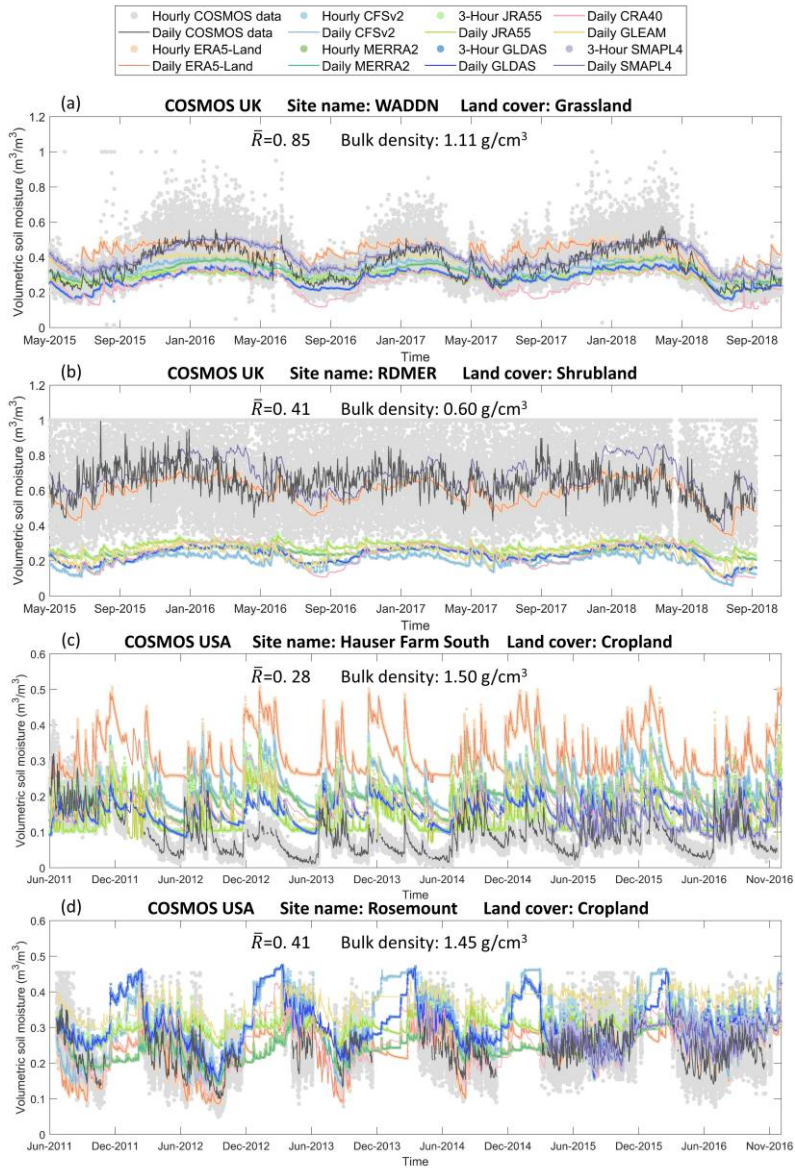
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335 Soil moisture timeseries comparison between reanalysis soil moisture products and CRNS measurements for 4 representative sites are presented in Figure 4. Site WADDN in the UK is a grassland site from the COSMOS-UK network. The soil moisture values from reanalysis products for this site closely follow the temporal trend of the CRNS measurements, exhibiting high temporal correlation with the  $\bar{R} = 0.854$  (Figure 4a). In contrast, the UK site RDMER is selected to demonstrate the variations in timeseries data for sites with low bulk density and high organic soils (Figure 4b). All reanalysis soil moisture products show less variability of temporal dynamics and exhibit low performance in describing soil moisture anomalies.

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Moreover, the large deviation in *Bias* between reanalysis soil moisture products and CRNS measurements is notable except for ERA5-Land [and SMAP L4](#). Even though the reanalysis soil moisture products and CRNS measurements both provide accurate soil moisture information in an ideal situation, the mismatch in spatial resolution is still inevitable, which might also result in large bias (Montzka et al., 2017; Kim et al., 2015; Peng et al., 2021). Higher spatial resolution might reduce the bias, thus the [smallest](#) bias of ERA5-Land [and SMAP L4](#) is likely attributed to the [finest](#) spatial resolution. In addition, a great variability of soil moisture data at hourly time step is observed in RDMER site (grey scatters in Figure 4b), while the hourly data in WADDN exhibits reasonable temporal dynamic. This also indicates that it is challenging to capture the temporal soil moisture variations at hourly scale for sites with low bulk density and [high](#) organic soils. This might be related to the fact that organic soils contain hydrogen and also the variations of organic soil content could lead to uncertainties in soil moisture calibration (Dimitrova-Petrova et al., 2021; Peng et al., 2021; Bogena et al., 2013).



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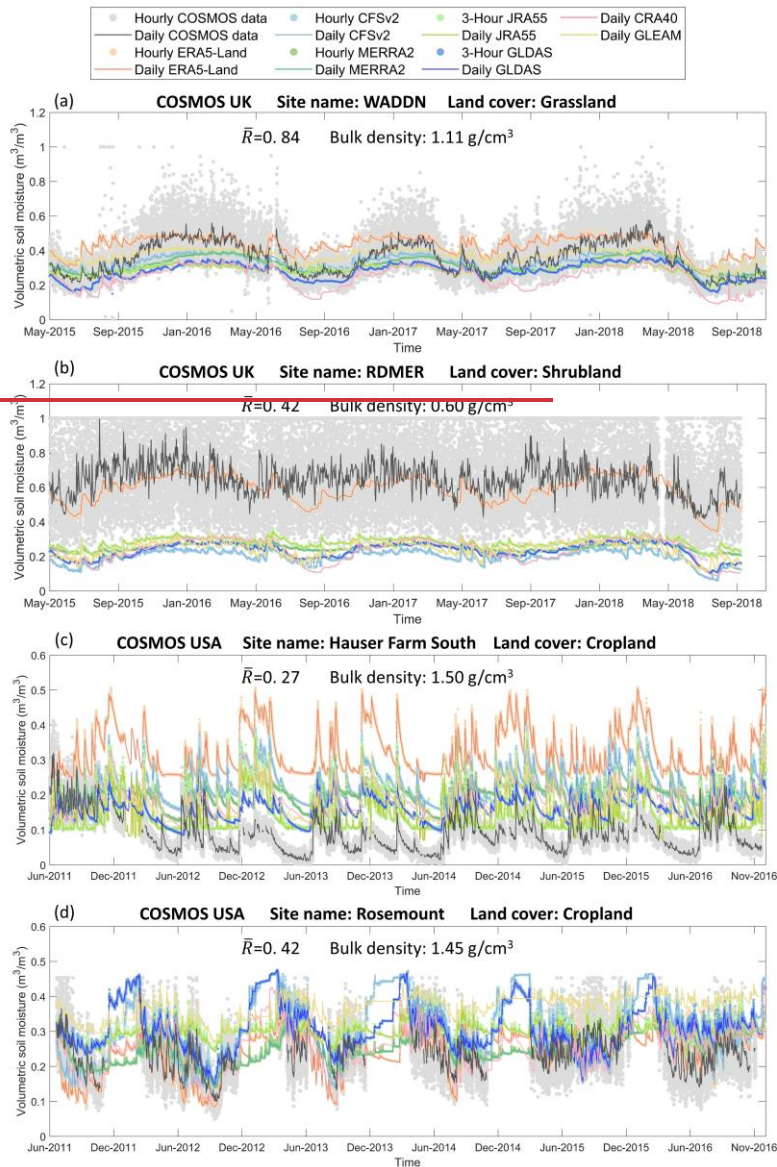


Figure 4: Time series comparison between CRNS measurements and reanalysis products over 4 sites (i.e., (a) site WADDN from the UK; (b) site RDMER from the UK; (c) site Hauser Farm South from the USA; (d) site Rosemount from the USA). The locations of these 4 sites are listed in Figure 3.

Figure 4(c) and (d) shows the timeseries comparison of two USA sites with low average  $R$  values. The timeseries from reanalysis soil moisture products for site Hauser Farm South generally capture the temporal variations against CRNS observations. Yet, the reanalysis soil moisture products do not dry out as much as CRNS measurements during the dry periods.

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360 Accordingly, the reanalysis soil moisture products fail to represent the accurate soil moisture condition in the sites located in the dry regions.

365 Site Rosemount from the USA is affected by snow cover in winter, thus the CRNS measurements during the snow events are discarded. Since the metrics are calculated only when CRNS observations and reanalysis soil moisture products are both available, the low average  $R$  value indicates poor temporal correlation during the growing season rather than the effect of snow. It is clear that the sites in USA with low temporal dynamic are normally from the cropland or shrubland land cover type (with the comparison of Figure 3b and Figure 1b). The seasonal variations of the biomass signals in cropland and shrubland might be the reasons that affect the deviations and low temporal correlation between CRNS measurements and reanalysis soil moisture products, as currently the harmonization of all sites does not explicitly account for changes in biomass.

#### 4.2 Possible reasons for the differences in performance

370 All selected CRNS sites are adopted to investigate the different performances of reanalysis products under various conditions. The boxplots in Figure 5 show the distribution of site performance for each reanalysis product with the influential factors filled in colour. In particular, bulk density, soil organic carbon, aridity and mean annual precipitation are four informative variables in explaining the reanalysis performance. The distribution of some other possible factors (i.e., seasonality, snow), which shows insignificant influence, is not presented in this main paper. Yet, data of all 10-11 possible factors for each site is provided in the supplementary information for those seeking further investigation. From Figure 5, the sites in the dry regions exhibit better performance in terms of  $R_{ano}$  than that of humid regions. These boxplots also demonstrate the performance for each reanalysis soil moisture product by adopting all CRNS sites data. For direct comparison, the median value of a given statistical metric across all available sites is often used to reflect the product performance (Beck et al., 2021; Deng et al., 2020). It is notable that GLDAS performs worse in terms of  $R_{ano}$  (Figure 5c).

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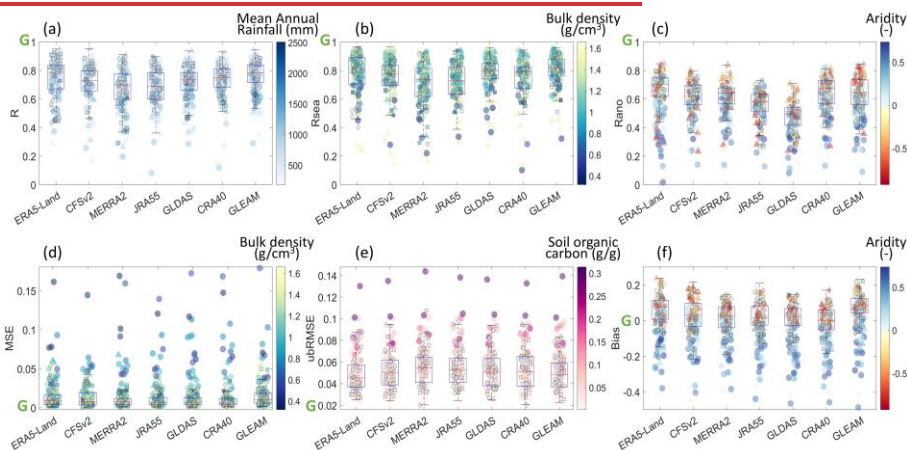
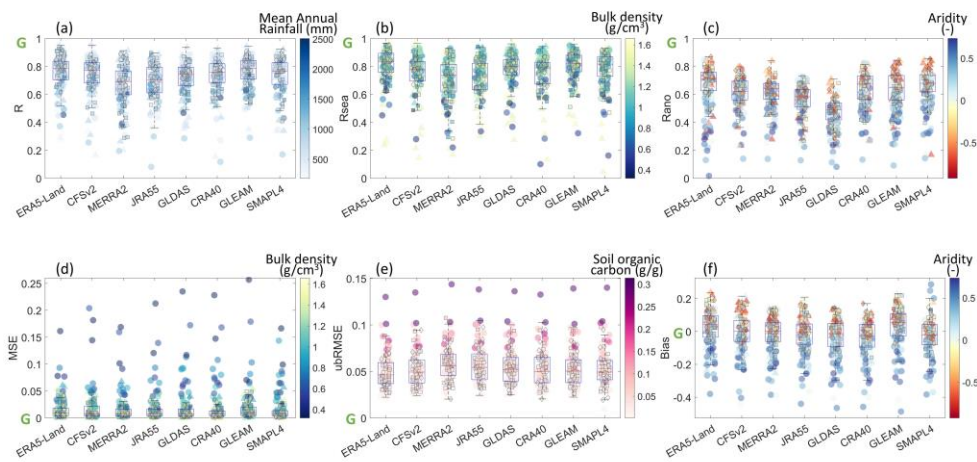


Figure 5: Influential factors in explaining the reanalysis product performance. The shape of the dots denotes the CRNS sites from different networks (UK: circle; mainland Europe: square; USA: triangle; Australia: diamond), while the colour of the dots represents the values of possible factors for each site. The green letter G denotes the good performance of the statistical metric values.

#### 4.2.1 Soil properties

With the comparison of the statistical metrics average performance map (Figure 3) and the spatial map of bulk density and soil organic carbon for all sites used in this study (Figure S8), it is clear that all reanalysis products exhibit low temporal correlation and high bias in the sites with low bulk density and high soil organic carbon. Almost all statistical metrics have worse-lower performance on these sites (Figure 5b, 5d, 5e and Figure S9). These sites with low bulk density and high soil organic carbon exhibiting negative *Bias* are mainly from the humid region, especially the UK (Figure 5f).

390 **4.2.2 Land cover**

All reanalysis products tend to have worse-lower performance in terms of  $R$ ,  $R_{sea}$  and  $ubRMSE$  metrics at shrubland and several sites at cropland, indicating that the reanalysis products exhibit poor performance in regions characterized by high mean annual temperature, low mean annual precipitation, and high altitude. The sites with high mean annual temperature, low mean annual precipitation and high altitude might be the reasons for low performance (Figure 6). The average Brunke ranking scores show that GLEAM performs best at shrubland (Table S3). The performance for each reanalysis product under four land cover types is presented in Figure 7. As for the forest land cover type, reanalysis products show small errors in terms of  $ubRMSE$ , but perform worse in  $MSE$  (Figure 7e, d). The  $Bias$  in grassland from a total of 5-6 reanalysis products is primarily negative, which means that the reanalysis products tend to underestimate the soil moisture observations in grassland (Figure 7f). CFSv2 performs best in grassland in terms of  $R$  and  $ubRMSE$  all statistical metrics except for  $Bias$ . For cropland land cover type, -whereas-ERA5-Land relatively captures well the temporal dynamic of soil moisture timeseries (i.e.,  $R$ ,  $R_{sea}$  and  $R_{ano}$ ) and  $ubRMSE$ , whereas SMAP L4 also shows good performance in terms of  $R_{ano}$  in cropland land cover type.

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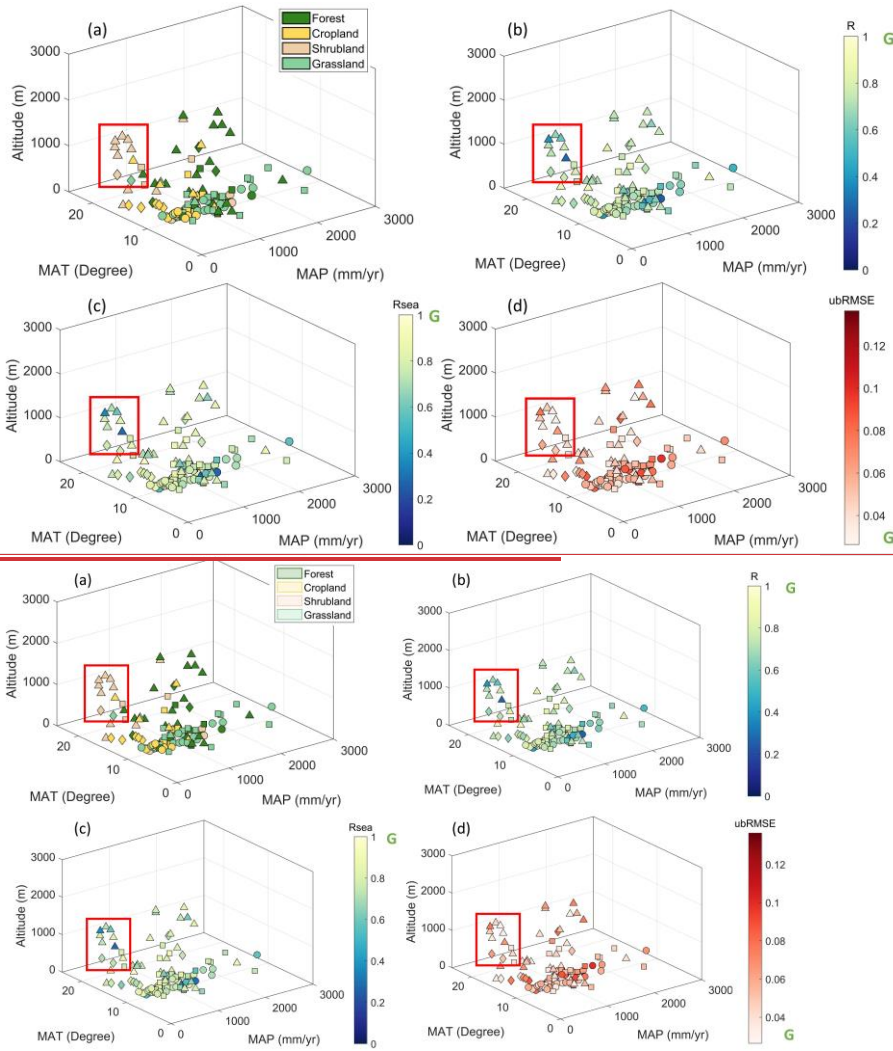


Figure 6: Average statistical metrics  $R$ ,  $R_{sea}$  and  $ubRMSE$  performance of all 87 products under different land cover types, altitude, mean annual precipitation and mean annual temperature. The shape of the dots denotes the CRNS sites from different networks (UK: circle; mainland Europe: square; USA: triangle; Australia: diamond). The colour of dots in (a) denotes different land cover types, while the colour in other subplots represents the statistical metric values. MAT stands for mean annual temperature, and MAP is mean annual precipitation.

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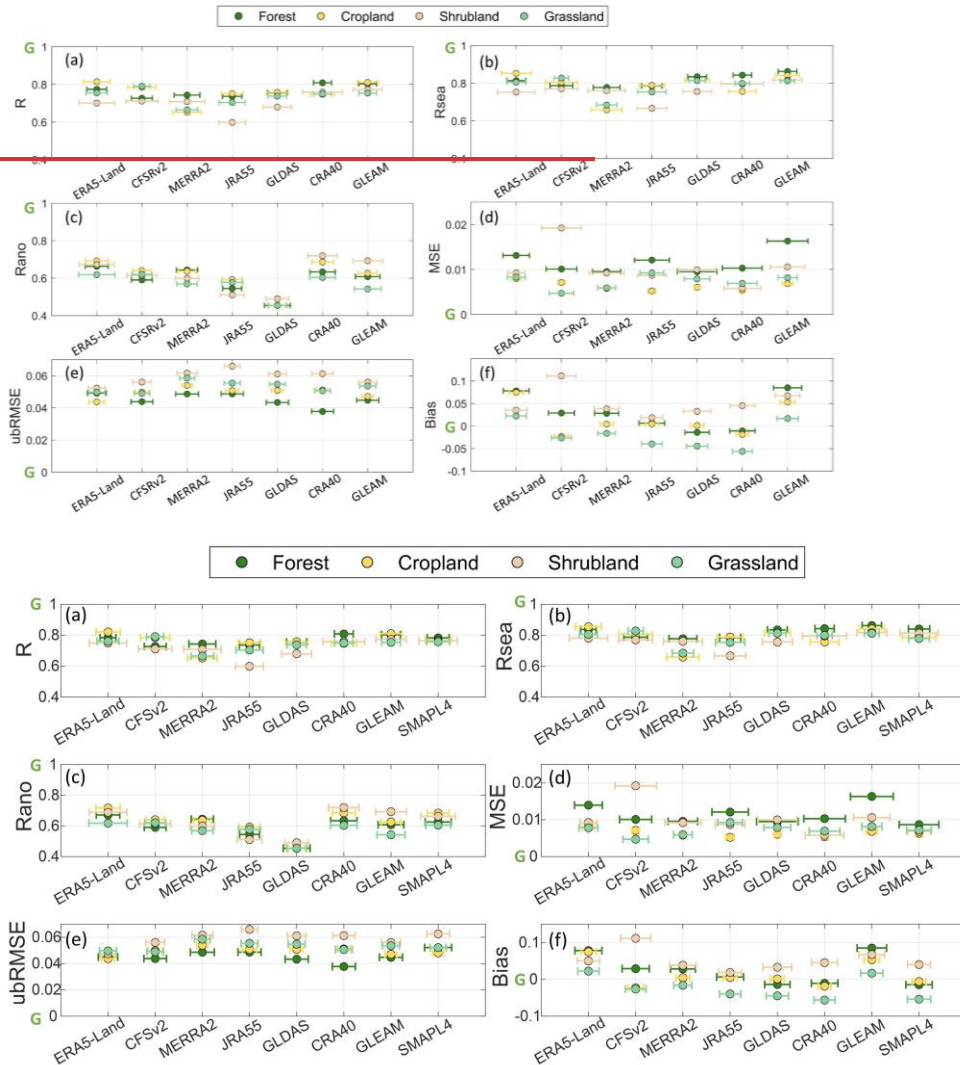


Figure 7: Statistical metric performance for all products under four land cover types (Forest: 33 sites; Cropland: 41 sites; Shrubland: 20 sites; Grassland: 41 sites). The values of the dots represent the median metric values of the sites in a given land cover type, the error bar of each dot denotes the variability of the metric values. The green letter G stands for the good performance of the statistical metric values.

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#### 4.2.3 Climate

Figure 8 displays the performance of each reanalysis product under three climate conditions (humid, balanced/temperate, and arid). In general, compared to the humid and temperate climate, all reanalysis products perform noticeably better in terms of  $R_{ano}$  in arid climates but overestimate the CRNS measurements in terms of  $Bias$  (Figure 5c and 5f). In contrast, the  $Bias$  of

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reanalysis products for humid regions is primarily negative, indicating underestimation in humid regions. Moreover, large errors are observed in terms of  $R_{ano}$  and  $ubRMSE$  from all reanalysis products in humid climates, highlighting that the soil moisture anomalies information is difficult to capture accurately by reanalysis products. In temperate regions, reanalysis products exhibit good performance in terms of  $ubRMSE$  and  $Bias$ . Specifically, MERRA2 performs worse in humid and temperate climates particular in terms of  $R$ ,  $R_{sea}$  and  $ubRMSE$ . CRA40 and GLEAM-SMAP L4 show better performance in arid regions, especially for the metrics  $R_{ano}$  and  $R$ , and  $ubRMSE$ , whereas JRA55 exhibit large errors in terms of  $R$ ,  $R_{sea}$  and  $ubRMSE$  in arid regions.

#### 4.2.4 Slope

Most reanalysis soil moisture products perform worse in areas of steep terrain, especially the metrics including  $R$ ,  $R_{ano}$ ,  $MSE$ ,  $ubRMSE$  and  $Bias$  (Figure 9). The values in  $R_{sea}$  under different topographic slopes from several reanalysis products (i.e., ERA5-Land, CFSv2, GLDAS, and JRA55, and SMAP L4) are close to each other, which indicates the performance in describing the temporal dynamic of seasonal soil moisture pattern does not depend on the terrain slope (Figure 9b).

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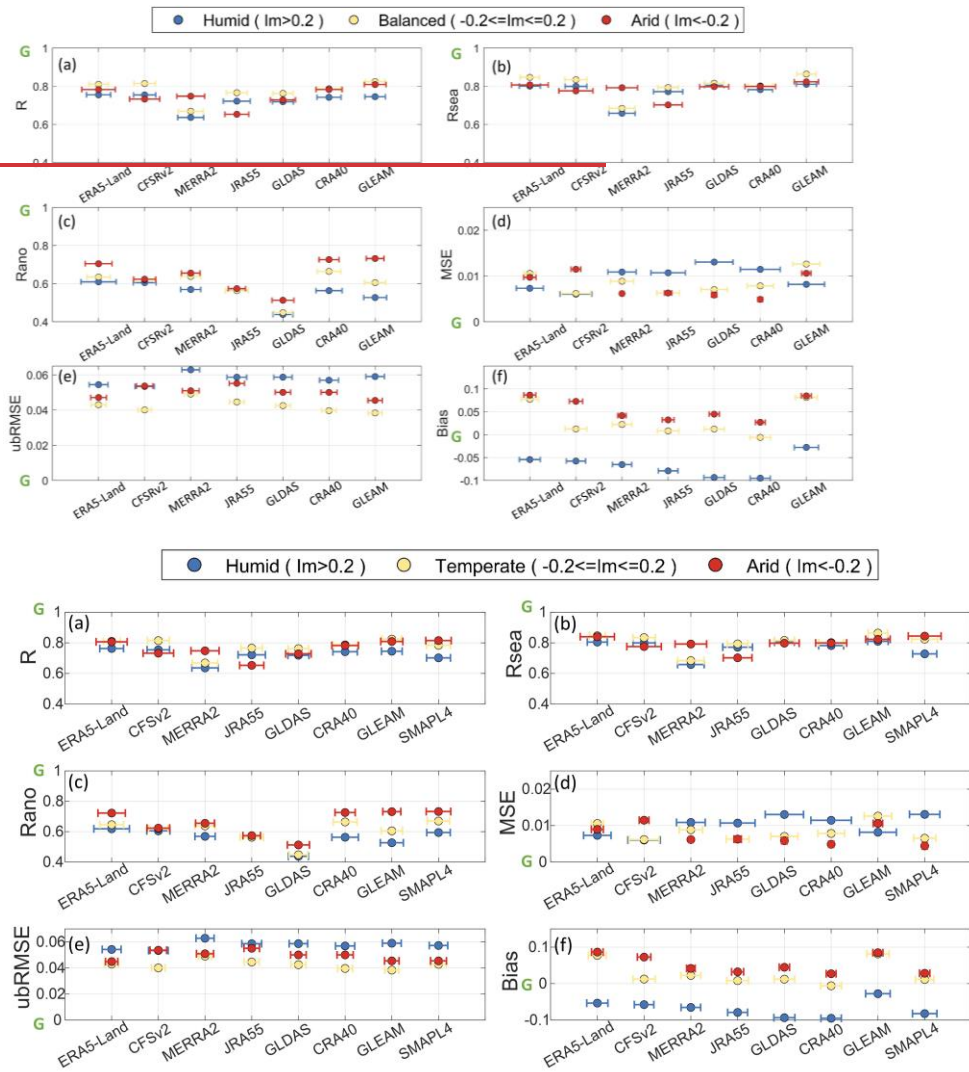


Figure 8: Statistical metric performance for all products under three climate conditions (Humid: 53 sites; **Temperate/Balanced**: 42 sites; Arid: 40 sites). The values of the dots represent the median metric values of the sites in a given climate zone, while the error bar of each dot denotes the variability of the metric values. The green letter G stands for the good performance of the statistical metric values. Im denotes the aridity index, which is described and shown in Figure 1.

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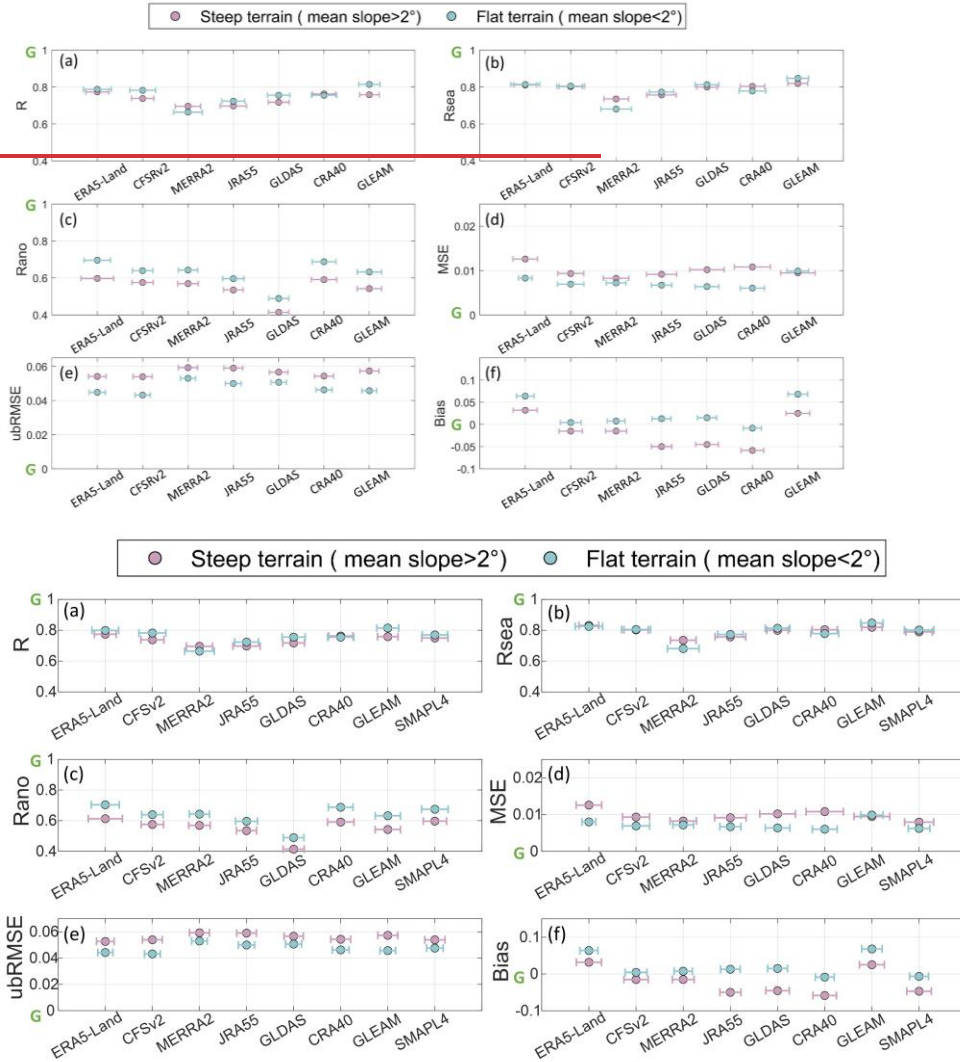


Figure 9: Statistical metric performance for all products under different topographic slopes (steep terrain: 55 sites; flat terrain: 80 sites). The values of the dots represent the median metric values of the sites in a given terrain slope, the error bar of each dot denotes the variability of the metric values. The green letter G indicates the good performance of the statistical metric values.

## 5 Discussion

### 5.1 Reanalysis products performance

This study has selected 135 CRNS sites across numerous networks globally and ensured the data were processed in a harmonized way to perform the assessment. We found that the performance of reanalysis soil moisture products varies in

different regions, and this can be explained by climate, soil properties, land cover and topography conditions. Our findings provide recommendations in choosing reanalysis soil moisture products for use and insights on how to improve the accuracy of the evaluated datasets.

We find that while all reanalysis soil moisture products generally exhibit good agreement in the temporal correlation of soil moisture original timeseries (Figure 5a), large deviations in temporal correlation during the growing season are observed in cropland and shrubland (Figure 4d and Figure 6). Low performance in cropland and shrubland might be attributed to strong biomass signal seasonal variations, which affects the accuracy of CRNS measurements. Seasonally varying vegetation cover or high amounts of vegetation biomass are found to be key sources of uncertainty in CRNS measurements (Andreasen et al., 2017; Zreda et al., 2012; Franz et al., 2013b; Bogen et al., 2013; Iwema et al., 2021). Montzka et al. (2017) Montzka et al. (2017) also identified the challenges in evaluating satellite soil moisture products against CRNS data at sites with seasonally changing vegetation cover. Besides, the lower correlation of reanalysis or satellite soil moisture products over densely vegetated regions are reported in studies from (Hagan et al., 2019; Beck et al., 2021; Kim et al., 2015; Kim et al., 2020), indicating the need for improving the vegetation parameters in land surface model or soil moisture retrieving algorithms (Baatz et al., 2015).

Furthermore, the low skill in capturing the temporal correlation of soil moisture anomalies timeseries is detected (Figure 5c), which means that reanalysis soil moisture products generally show poor response to precipitation events. Similar findings are reported in previous work (Hagan et al., 2019; Ling et al., 2021; Naz et al., 2020). Hagan et al. (2019) evaluated 7 reanalysis products (i.e., ERA5, ERA-Interim, MERRA1, MERRA2, MERRA-Land, Noah 1.0, Noah 2.5) against ground measurements and showed the  $R_{ano}$  of all soil moisture products are below 0.6. It is found that GLDAS has a weak ability in capturing the soil moisture anomalies (Deng et al., 2020; Naz et al., 2020), which is consistent with the notable  $R_{ano}$  performance of GLDAS in our results. Moreover, Beck et al. (2017) evaluated 22 reanalysis and satellite precipitation datasets. Their study revealed that these precipitation products also tend to capture the monthly variation well but have lower performance in shorter timescales (i.e., Pearson correlation coefficient calculated for 3-day means,  $R_{3d}$ ). This aligns with our findings that the reanalysis products tend to reproduce the seasonal pattern of the variables well but that it is hard to capture the anomalies.

Similar to previous studies, our results identify that climate, topographic slope, soil properties and land cover types are all influential factors in explaining the performance of the reanalysis products (Deng et al., 2020; Beck et al., 2021; Li et al., 2020; Hagan et al., 2019; Decker et al., 2012). In particular, our results show that all reanalysis soil moisture<sub>SM</sub> products tend to underestimate the soil moisture<sub>SM</sub> values in the sites located in humid regions, while overestimation is observed in arid climate (observed in comparison of Figure 1 and Figure 3d, e, f). Direct timeseries comparison over representative sites also confirms that reanalysis products generally exhibit negative bias and less variation in humid climate (Figure 4b), whereas all products are rarely will not dry out below  $0.1 \text{ m}^3/\text{m}^3$  during the dry periods in arid climate (Figure 4c). This might be related to the fact that the soil moisture values from reanalysis products behave as an indicator of the soil wetness with a minimum threshold for soil moisture, for example, acting as residual or limiting soil wetness fraction (Koster et al., 2009). Currently, most of the reanalysis soil moisture product evaluation studies are mainly regional scale analysis, especially for China (Wu et al., 2021; Zheng et al., 2022; Xing et al., 2021; Qin et al., 2017; Yang et al., 2020; Cheng et al., 2019). Several studies have found that reanalysis products tend to overestimate soil moisture conditions in Qinghai-Tibet Plateau, northern China and Mongolia (regions with arid/semi-arid climate dominated) (Ling et al., 2021; Wen et al., 2014; Zheng et al., 2022; Xing et al., 2021; Yang et al., 2020), or reanalysis products show worse performance in arid areas (Ling et al., 2021; Yang et al., 2021). Moreover, we also observed that most reanalysis products perform poorly in steep terrain, which is supported by previous studies (Beck et al., 2021; Kim et al., 2015; Ma et al., 2019; Yang et al., 2021; Nicolai-Shaw et al., 2015; Li et al., 2020).

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Our results reveal that all reanalysis products show **worse-lower** performance in terms of all statistical metrics at the sites with low bulk density and high soil organic carbon (Figure S9), which are particularly from the humid regions in the UK. Similar findings are reported in the evaluation of soil moisture products against COSMOS-UK data by Peng et al. (2021). This suggests that the inaccurate soil properties parameters in land surface models might be the cause of the large errors, as the soil organic carbon could exert a great impact on soil thermal as well as hydraulic properties, leading to deviations in soil moisture (Zhu et al., 2019; Chen et al., 2012; Lawrence and Slater, 2008; Hagan et al., 2019; Ling et al., 2021). The influence of soil organic carbon on the performance of satellite and reanalysis soil moisture products is frequently reported (Yang et al., 2020; Jonard et al., 2018; Qin et al., 2017; Xing et al., 2021). Moreover, relatively high uncertainties of CRNS hourly measurements over organic soils or in humid region (observed in Figure 4b) might also be the reason for explaining the lower performance for these UK sites. Although the impact of soil organic carbon on CRNS observations has been taken into account according to the *crspy* tool (Power et al., 2021) used in this study, as mentioned in previous studies, it is more difficult to obtain the accurate soil moisture estimation and quantify the uncertainties of CRNS observations at sites with high soil organic carbon or in humid climate (Iwema et al., 2021; Bogena et al., 2013; Peng et al., 2021; Sigouin et al., 2016; Zhu et al., 2014; Franz et al., 2013a; Dimitrova-Petrova et al., 2021).

## 5.2 Recommendations for selecting suitable reanalysis products

To provide recommendations for the users, we classified the reanalysis products into three categories according to the Brunke ranking scores (Table S3, Figure 10), **which are calculated based on all 6 statistic metrics. We provide recommendations based on these scores regarding which product demonstrates better overall performance under various regions, climate, land cover and topographic slopes. A lower Brunke ranking score indicates the superior overall product performance, suggesting users to prioritize its selection for their analysis.** The soil moisture products with **top two lowest** Brunke ranking scores **for each category**  $<3.5$  are **recommended** labelled with "Ok", indicating relatively good performance, whereas the soil moisture reanalysis products ranked last **are labelled with "Lower performance"** **not recommended under various regions, climate, land cover and topographic slopes.** In particular, the soil moisture products **with** Brunke ranking scores less than 3.2 (i.e. **the product with this threshold score indicates its average ranking is within or around the top 3 in terms of all 6 metrics across most of the sites in this category**) **are highly recommended** labelled with "Higher performance". The recommendations only highlight the notable performance of products (Brunke ranking scores  $<3.5$  or Brunke ranking scores ranked last i.e., "Higher performance" or "Lower performance") across regions, climate, land covers and topographic conditions. Yet, it should be noted that the differences in the median value of statistical metrics across all reanalysis products are relatively small, especially for the metric *MSE* and *ubRMSE* (Figure 5). Figure 10 presents the intercomparison across different reanalysis soil moisture products, which does not mean that **the product labelled with "Lower performance"** **performance of the not recommended products** is not acceptable.

Overall, **ERA5-Land, CRA40, CFSv2, SMAP L4 and GLEAM, ERA5-Land, CFSv2** could be good choices for global analysis, which generally exhibit better performance in most circumstances than MERRA2, GLDAS-Noah and JRA55 (Figure 10). Performances of reanalysis products vary across different regions. ERA5-Land ranks best in terms of  $R$ ,  $R_{sea}$ ,  $R_{amo}$  and *ubRMSE* in **mainland** Europe (Figure 2), **yet this dataset shows worse performance in Australia.** CFSv2 **and** GLEAM **are good alternatives for UK, while SMAP L4 and ERA5-Land performs better in datasets are good alternatives for UK and Australia, especially in describing the temporal correlation against the observations.** CRA40 and **GLEAM-SMAP L4** are the top two datasets that are suitable for the USA compared to other products.

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		Higher performance	Ok	Lower performance					
Category	Type	ERA5-Land	CFSv2	MERRA2	JRA55	GLDAS-Noah	CRA40	GLEAM	SMAP L4
Region	UK								
	Europe								
	USA								
	Australia								
Climate	Humid								
	Temperate								
	Arid								
Land cover	Forest								
	Cropland								
	Shrubland								
	Grassland								
Slope	Steep								
	Flat								

		Highly recommended	Recommended	Not recommended				
Category	Type	ERA5-Land	CFSv2	MERRA2	JRA55	GLDAS-Noah	CRA40	GLEAM
Region	UK							
	Europe							
	USA							
	Australia							
Climate	Humid							
	Balanced							
Land cover	Arid							
	Forest							
	Cropland							
Slope	Shrubland							
	Grassland							
	Steep							
	Flat							

Figure 10: Recommendations for choosing 7-8 reanalysis soil moisture products under various regions, climate, land cover and topographic slope conditions based on the average Brunke ranking scores.

Some products show excellent performance under specific climate, land cover or topographic conditions. For instance, CFSv2 can be an alternative for use in humid climate, temperate climate or regions in grassland, while SMAP L4 is suitable for arid climate. SMAP L4 also shows the good performance in cropland, which is consistent with the finding from Tavakol et al. (2019). Similar performance is observed in CRA40 and GLEAM, which both exhibit superior performance under arid climate or in shrubland. (Tavakol et al., 2019) Although all reanalysis products show large bias in humid region, ERA5-Land and CFSv2 are the optimal choice for humid climate is recommended to be used for representing the soil moisture condition in regions with humid climate, cropland or grassland dominated. ERA5-Land also performs well in forest, cropland, grassland

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and steep or flat terrain. High spatial resolution might be the reason for explaining the superior performance of ERA5-Land. In contrast, products, i.e., MERRA2 and JRA55, with coarser spatial resolution ( $>0.5^\circ$ ), i.e., MERRA2 and JRA55, exhibit relatively poor performance, which is also observed by Li et al. (2020) Li et al. (2020), Mahto and Mishra (2019) Mahto and Mishra (2019). GLDAS-Noah is not recommended ranks last to-for representing soil moisture conditions under forest, shrubland or steep terrain. Deng et al. (2020) Deng et al. (2019) also observed worse performance of GLDAS in many land cover types, especially the underestimation of soil moisture in forest. Reasons for low performance between reanalysis products and observations are diverse and complex. The performance of GLDAS-Noah and MERRA2 in predicting soil moisture could be related with the quality of meteorological forcing data and the soil property database (Zheng et al., 2022; Beck et al., 2021). Many other factors that are not included in this study might also contribute to the influence, e.g., land surface model structures and parameterization schemes (Deng et al., 2020; Yang et al., 2020; Xu et al., 2021). Future studies are encouraged to investigate the impact of these factors.

### 5.3 Limitations

The proposed method for resolving spatial scale and vertical footprint mismatch-matching currently represents the most reasonable solution after comparing several available approaches. Further studies are encouraged to find the ideal solution especially for grids of multiple CRNS sites with different overlapping time periods and how to process reanalysis products data at various depths while considering the CRNS sites effective depth. In addition, the sites used in this study are from COSMOS-UK, COSMOS-Europe, COSMOS USA and CosmOz Australia networks in the UK, Europe, USA and Australia. Accordingly, the evaluation results are more applicable in these regions and regions with similar climates, soil properties, land cover and topographic conditions. It should be noted that the number of reanalysis product grid cells for evaluating the performance in Europe is limited (Table 3) as the majority of CRNS sites in the COSMOS-Europe network are concentrated in a small area of Germany. For some reanalysis products with large grid cells (e.g., CRA40), a total of 13 CRNS German sites are located within one grid cell, whereas the density of sites is relatively sparse for the rest of Europe; e.g., 19 CRA40 cells are evaluated against 41 CRNS sites, giving a ratio of 0.46. The ratio of the corresponding reanalysis product grid cells used for evaluation in Europe is relatively low ranging from 0.46 to 0.78, while the lowest ratio of reanalysis product grid cells used for evaluation for the UK, USA and Australia is 0.73, 0.89 and 0.91, respectively. Additionally, the evaluation over Australia is also not very reliable due to lack of representativeness, because huge parts of Australia are not sampled by CRNS. Thus, the evaluation results for mainland Europe and Australia are less reliable than that of the other regions. Furthermore, it is observed that the ratio also varies across different reanalysis products. The finer the spatial resolution, the lower the possibility that multiple CRNS sites located within the same grid cell. Consequently, a large proportion of grid cells is used in ERA5-Land over different regions, while that ratio for CRA40, CFSv2, MERRA2 and JRA55 is normally the smallest.

Table 3: The ratio of the reanalysis product grid cells and used CRNS sites for each region.

Regions	ERA5-Land	CFSv2	MERRA2	JRA55	GLDAS	CRA40	GLEAM	SMAP L4
UK (45 sites)	1.00	0.78	0.82	0.78	0.98	0.73	0.98	1.00
Europe (41 sites)	0.78	0.49	0.49	0.54	0.63	0.46	0.63	0.76
USA (38 sites)	0.97	0.92	0.89	0.92	0.95	0.89	0.95	0.97
AUS (11 sites)	1.00	0.91	1.00	1.00	1.00	0.91	1.00	1.00

Regarding temporal coverage, our assessment of SMAP L4 product is limited by the period of record which begins in 2015. Since 70% of our CRNS sites were established before 2015, the evaluation period for SMAP L4 is shorter than that for other reanalysis products, with 10 CRNS sites exhibiting temporal overlaps of less than 2 years. SMAP L4 is included in this analysis

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570 because it is a state-of-the-art data assimilation soil moisture reanalysis product in recent years, yet it should be noted that it is  
575 evaluated over a shorter time period compared to the other reanalysis products.

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In addition, the statistical metrics describing *Bias* might not be as reliable as the ones quantifying the temporal correlation. Metrics such as  $R$ ,  $R_{sea}$  and  $R_{ano}$ , which measures how well the reanalysis product soil moisture timeseries data consistent with the soil moisture timeseries temporal dynamic, are the most reliable statistical metrics and also of interest for the majority of soil moisture products applications (Beck et al., 2021; Kim et al., 2015; Gruber et al., 2020). By contrast, the reliability of *Bias* metric might be affected, as the inherent scale discrepancy in soil depth and footprint between CRNS and reanalysis product grid cells still remains a limit (Crow et al., 2012; Miralles et al., 2010; Albergel et al., 2012; Kim et al., 2015; Montzka et al., 2017; Peng et al., 2021). The timeseries comparison in RDMER UK site indicates that the finer spatial resolution might relieve the *Bias* caused by the scale mismatch (Figure 4b).

## 6 Conclusion

580 To assist researchers in choosing suitable reanalysis soil moisture products, this study systematically evaluates ~~7 reanalysis-8 reanalysis~~ soil moisture products against soil moisture field measurements from 135 CRNS sites across numerous networks globally, which are processed in a harmonized way. The performance of reanalysis products under diverse soil properties, climates, land cover and topographic conditions are also investigated.

585 ~~In general, a~~All reanalysis products ~~generally~~ exhibit good agreement in terms of temporal correlation with the median of  $R$  values over 0.7, whereas the ~~worse-lower~~ performance with  $R_{ano}$  values are detected, indicating the weaker ability of capturing the soil moisture anomalies. In particular, GLDAS has the lowest  $R_{ano}$  values (0.469) across all sites. Low correlations of reanalysis products are observed in cropland or shrubland with seasonally varying vegetation cover. As for the *Bias*, reanalysis soil moisture products tend to overestimate in arid regions and underestimate in humid regions as well as grassland. It is also notable that reanalysis products exhibit worse performance in steep terrain.

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590 Performances of reanalysis soil moisture products differ among regions, climate, soil properties, land cover and topography conditions. ~~GLEAM-CFSv2~~ exhibits good performance across the UK ~~and USA-Europe and Australia~~. ERA5-Land performs ~~best well~~ in Europe ~~and -yet this product is not recommended in~~ Australia. ~~CFSv2-SMAP L4~~ is suitable to be used in ~~Australia and USAK and Australia~~. ~~GLEAM is a viable choice for the UK,~~ -whereas CRA40 can be an alternative in representing soil moisture conditions for the USA. Generally, ERA5-Land and CFSv2 show superior performance in humid climate, while ~~SMAP L4 CRA40 and CRA40 GLEAM~~ are recommended for arid regions. MERRA2 is less effective in humid and ~~temperate~~ ~~balanced~~ climate, whereas JRA55 performs poorly in arid climate. Besides, GLEAM performs best over shrubland, followed by CRA40. ERA5-Land and CRA40 are suitable for steep terrain. For users seeking one product for global analysis, ~~ERA5-Land, CRA40, CFSv2, SMAP L4 and GLEAM CRA40, GLEAM, ERA5-Land, CFSv2~~ are viable options, as these products generally show better performance. Yet, it is important to acknowledge that due to the availability of CRNS observations, the findings of this study are more applicable in UK, Europe, USA, Australia and regions with similar conditions.

600 We also find that all reanalysis products fail to provide good performance in all statistical metrics at the sites with low bulk density and high soil organic carbon. These sites are mainly from the humid regions, i.e., the UK. This might be attributed to the limitation in representing the process over low bulk density and ~~high~~ organic soils in the land surface model. It is also possible that CRNS technology is challenging to provide accurate soil moisture information over these soil properties in humid regions.

## Appendix A

In this paper, we used the following classification (Table A1) to reclassify the land cover data from two works to four land cover types.

**Table A1: Land cover classes from Power et al. (2021) and Bogena et al. (2022) along with the reclassified land cover types used in this work.**

No.	Land cover types used in this study	Land cover classes in metadata from Power et al. (2021)	Land cover classes in COSMOS-Europe Bogena et al. (2022)
1	Forest	tree_needleleaved_evergreen_closed_to_open tree_broadleaved_deciduous_open tree_broadleaved_deciduous_closed_to_open tree_mixed tree_cover_flooded_fresh_or_brakish_water mosaic_tree_and_shrub tree_broadleaved_evergreen_closed_to_open	Forest Reforestation Plantation
2	Cropland	mosaic_cropland cropland_rainfed_herbaceous_cover cropland_irrigated	Cropland Orchard
3	Shrubland	shrubland mosaic_herbaceous shrubland_deciduous	Shrubland Heathland
4	Grassland	grassland	Grassland Sparse Vegetation

### Code/Data availability

The code of Cosmic-Ray Sensor Python tool (crspy) is available at <https://github.com/danpower101/crspsy>. COSMOS-UK is freely available at <https://catalogue.ceh.ac.uk/documents/b5c190e4-e35d-40ea-8fbe-598da03a1185>. COSMOS-Europe is available at <https://doi.org/10.34731/x9s3-kr48>. COSMOS USA is available via <http://cosmos.hwr.arizona.edu/>. CosmOz Australia is available via <https://doi.org/10.25901/5e7ab81af0394>. ERA5-Land data is accessible at <https://www.ecmwf.int/en/era5-land>. CFSv2 data can be downloaded from <https://rda.ucar.edu/datasets/ds094.1/>. MERRA-2 data can be found from <https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/>. JRA55 data is given at <https://rda.ucar.edu>. GLDAS-Noah v2.1 data is available from <https://disc.gsfc.nasa.gov/>. CRA40 can be obtained from <http://data.cma.cn/>. GLEAM v3.5a is available at <https://www.gleam.eu/>. SMAP L4 can be downloaded from <https://nsidc.org/data/spl4smau/versions/7>. The detailed information ('CRNSsiteDataNEWRI.xlsx') and calculated statistical metrics ('StatisticMetricNEWRI.xlsx') for each site can be found in the supplementary files.

### Author contribution

Y Zheng conceptualized the work, Y Zheng, G Coxon and R Woods designed the study. D Power, R Rosolem, M Rico-Ramirez and D McJannet contributed to process CRNS observations. Y Zheng processed the reanalysis soil moisture products and performed the analysis. J Li and P Feng provided resources and funding. All authors contributed to the investigation and discussion of the results and writing, editing of the manuscript.

### Competing interests

The authors declare that they have no conflict of interest.

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