



How economically and environmentally viable are multiple dams in the Upper Cauvery basin, India? A hydro-economic analysis using a landscape-based hydrological model

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10 **Abstract.** The construction of dams threatens the health of watershed ecosystems. The purpose of the study is to
illustrate how multiple dams in a basin can impact hydrological flow regimes and subsequently aquatic ecosystems
that depend on river flows. The approach assesses the ecosystem services, including the tradeoffs between economic
and ecological services, due to altered the flow regimes. It uses a previously developed model that integrates a
15 landscape-based hydrological model with a reservoir operations model at basin scale and at daily time scale. The
approach is unique not only because it offers the analysis of alterations in ecosystem services at daily scale but also
because dams can be synthetically placed anywhere in the river network and the corresponding alterations in flow
regimes simulated in a flexible manner. As a proof of concept, we analyse the economic and ecological performances
of different spatial configuration of existing reservoirs in the Upper Cauvery River basin in India. Such a study is
timely and being conducted for the first time, especially in the light of the calls to assess cascade of reservoirs in India
20 and regions elsewhere where pre-dam data is unavailable. The hydrological impact of different configurations of
reservoirs is quantified using Indicators of Hydrologic Alteration (IHA). Additionally, the production of two major
ecosystem services that depend on the flow regime of the river, as indicated by irrigated agricultural production and
fish species richness, is estimated, and a trade-off curve, *i.e.* a production possibility frontier, for the two services is
established. Results show that smaller reservoirs on lower-order streams that maximize the economic value of water
25 stored are better for the basin economy and the environment than larger reservoirs. Cultivating irrigated crops of higher
value can maximize the value of stored water and, with lower storage, generate similar economic value than with
lower value crops while reducing hydrological alterations. The proposed novel approach, especially when simulating
synthetic spatial configurations of reservoirs, can help water and river basin managers to understand the provision of
ecosystem services in hydrologically altered basins, optimize dam operations, or even prioritize dam removals with a
30 balanced provision of ecosystem services.

1. Introduction

Population growth, economic development, and climate change have necessitated the construction of water storage
projects such as dams and reservoirs to meet the societal needs for water, food, and energy, among others (Suwal et
35 al., 2020; Vanham et al., 2011). A large number of cascade reservoirs, *i.e.* multiple dams constructed along a river
network, have already been built and many more are in the process of construction (Suwal et al., 2020). The
establishment of such reservoirs and dams alter basin hydrological conditions, particularly river flows downstream of
the dams, by storing and releasing river water that can affect aquatic ecosystems in the basin.



40 Understanding the impact of multiple dams is important for the sustainable development of river basins. The flow regime of rivers is considered a key factor that is affected by dams while determining river ecosystem health (Richter et al., 1996; Brauman et al., 2007). Many scholars have used the degree of hydrological alteration to measure the hydrological impact of dams on aquatic ecosystems (Gierszewski et al., 2020; Lu et al., 2018, Mittal et al., 2016; Song et al., 2020). While hydrological alterations by dams have basin-wide implications, impact assessment typically
45 concentrates on river segments, assessing the impact upstream or downstream of single dam projects (Nilsson and Berggren, 2000). The assessment becomes more challenging when critical ecosystems are affected by multiple dams, or a cascade of dams, here referred to as a configuration of the dams (Arias et al., 2014; Berga et al., 2006).

A viable configuration of dams considers factors such as stakeholder preferences and ecosystem preservation to ensure
50 a sustainable functioning of a dam system. From a stakeholder perspective, it takes into account the preferences and needs of different parties involved, including local communities, government bodies, environmental organizations, and industries. The aim is to strike a balance among diverse interests, incorporating stakeholder preferences into the design and operation of a dam system (Kemmler & Spreng, 2007). From a phenomenological perspective, a viable configuration respects the boundaries within the ecosystem that, if exceeded, could disrupt the functioning of key
55 components such as fish biodiversity, aquatic habitats, and downstream water quality (Kumar and Katoch, 2014). Overall, achieving a sustainable balance between societal needs and environmental protection requires careful planning, scientific analysis, and transparent decision-making processes in dam development (Kemmler & Spreng, 2007; Kumar and Katoch, 2014).

60 There are ecological-economic models that analyse tradeoffs between economic development and ecological conservation or among ecosystem services, but they usually consider the effect of a single reservoir (Lu et al., 2018; Rodríguez et al., 2006; Fanaian et al., 2015) or quantify tradeoffs between energy production and environmental degradation (Null, et al., 2020, Song et al., 2019, Wild et al., 2019, Schmitt et al., 2018). Few studies have targeted multiple dams (Ouyang et al., 2011; Wang et al., 2019; Zhang et al., 2020). For example, Ouyang et al (2011) studied
65 the impact of cascade dams on streamflow, sand concentration, and nutrient pollutant discharge in the upper reaches of the Yellow river. Similarly, Zhang et al., (2020) focused on understanding the hydrological impact of cascade dams



in a small headwater watershed under climate variability. However, there are no studies that assess the impact of multiple dams on the provision of ecosystem services at macro basin scales and at daily time step when pre-dams data is unavailable. This paper aims to fill this gap by proposing a flexible approach that can simulate the effect of multiple
70 dams on ecosystems services and assess tradeoff between different ecosystem services competing over river flow under different spatial configurations of dams.

In this study, we have chosen economic value of crop agriculture production and fish species richness as the indicators of ecosystem services to represent economic development and environmental sustainability respectively. The study
75 area is the Upper Cauvery River basin in India where these ecosystem services dominate. The paper aims to assess how different configurations of existing reservoirs of varying sizes in the basin perform in terms of these ecosystem services so that desirable configurations of reservoirs could be identified. Here a desirable configuration of existing reservoirs is the one that efficiently meets agricultural water demand while considering ecological sustainability better than other less desirable configurations.

80 The novelty of the approach is that it can simulate not just the effects of various configurations of existing reservoirs but also the effects of synthetically placed configurations of reservoirs, though the current study focuses only on existing reservoirs as a proof of concept. The approach is based on Ekka et al. (2022) who presented a landscape-based hydrological model coupled with a model of reservoir operations at daily scale, to primarily analyse the
85 hydrological effects of single reservoirs. In the present study, the existing reservoirs of the Upper Cauvery river basin are integrated to examine their overall effects on dominant ecosystem services at the basin level. For the first time such an assessment of flow alterations due to a cascade of multiple reservoirs is being conducted at daily time scale for a major river basin in India where pre-intervention data were not available but where there are increasing calls for such assessments (Erlewein, 2013; Lele, 2023). We will show that this approach can measure the impact of cascade
90 dams on the provision of ecosystem services in basins at a fine temporal resolution and can analyze and optimize dam development that balances the provision of multiple ecosystem services.

The paper is structured as follows. The methodology is discussed in section 2 which includes the integration of reservoirs and the analysis of tradeoff between fish species richness and agricultural production. The results are



95 subsequently presented in section 3, and discussed in section 4. In section 5, the paper concludes with possible future implications of the study for sustainable reservoir management incorporating ecosystem services-based assessments that balance environmental with socio-economic needs.

2. Methodology

100 The aim of the paper is to assess the hydrological, ecological, and economic consequences of multiple dams within the study area. To achieve this objective, a landscape based hydrological model (FLEX-Topo) was integrated with a reservoir operations model. The setup of this model was explained in detail, including its inputs, parameters calibrated and calibration results, in Ekka et al. (2022). This integration involves modeling the operations of the reservoirs, as well as the hydrology of the upstream and downstream areas of the reservoirs (Figure 1). By integrating these models,
105 the impact of reservoirs on the flow regimes downstream and the delivery of ecosystem services can be evaluated (see Figure 4). A detailed description is given below.

2.1 Description of the study area

The Cauvery River is the fourth largest river in peninsular India that originates from Talakaveri in the Kodagu district
110 of Karnataka state of India. The river has a drainage area of 81,155 km², which is nearly 2.7% of the total geographical area of the country (India, WRIS, 2015). The Cauvery basin extends over the Indian states of Karnataka (42%), Kerala (4%), and Tamil Nadu (54%) including the Karaikal region of Puducherry before draining into the Bay of Bengal. The states of Karnataka, Tamil Nadu, and Kerala, along with the union territory of Puducherry, all claim a share of water from the Cauvery River (see supplementary materials, Figure S.1).

115 Agricultural land is dominant in the basin, with an area of 53,700 km² (or 66 %), which is followed by forest area of 16,600 km² (or 21 %) (Sreelash et al., 2014). Along certain stretches of the Cauvery River, extensive abstraction of water is carried out for intensive agriculture (Vedula, 1985; Bhawe et al. 2018). Paddy is the most significant crop in this region, although Ragi, Jawar, and other millets are also grown in rainfed circumstances. More than 60 % of the
120 total population in the Cauvery basin lives in rural areas with crop-based agriculture as the main occupation (Singh, 2013).

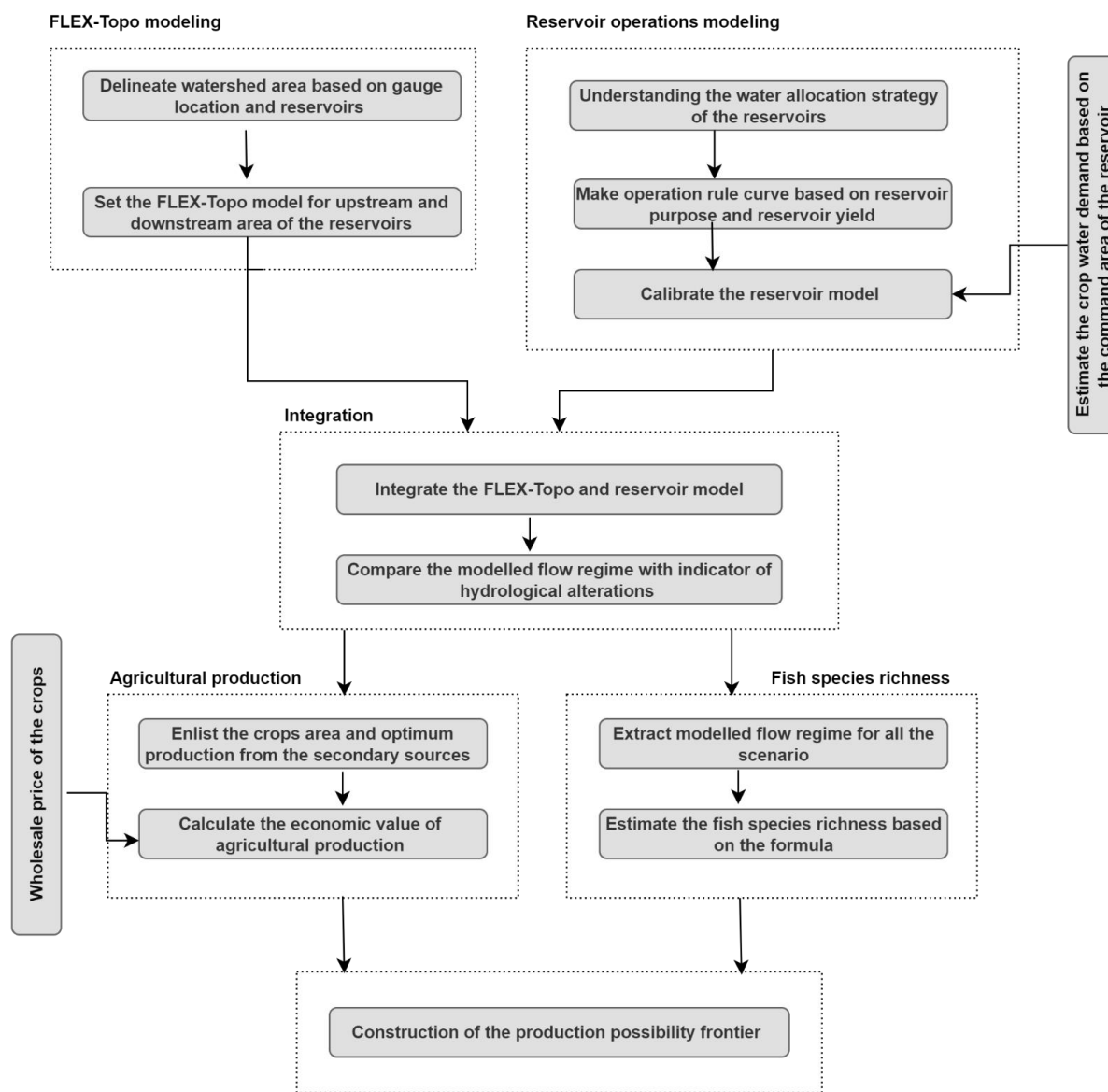
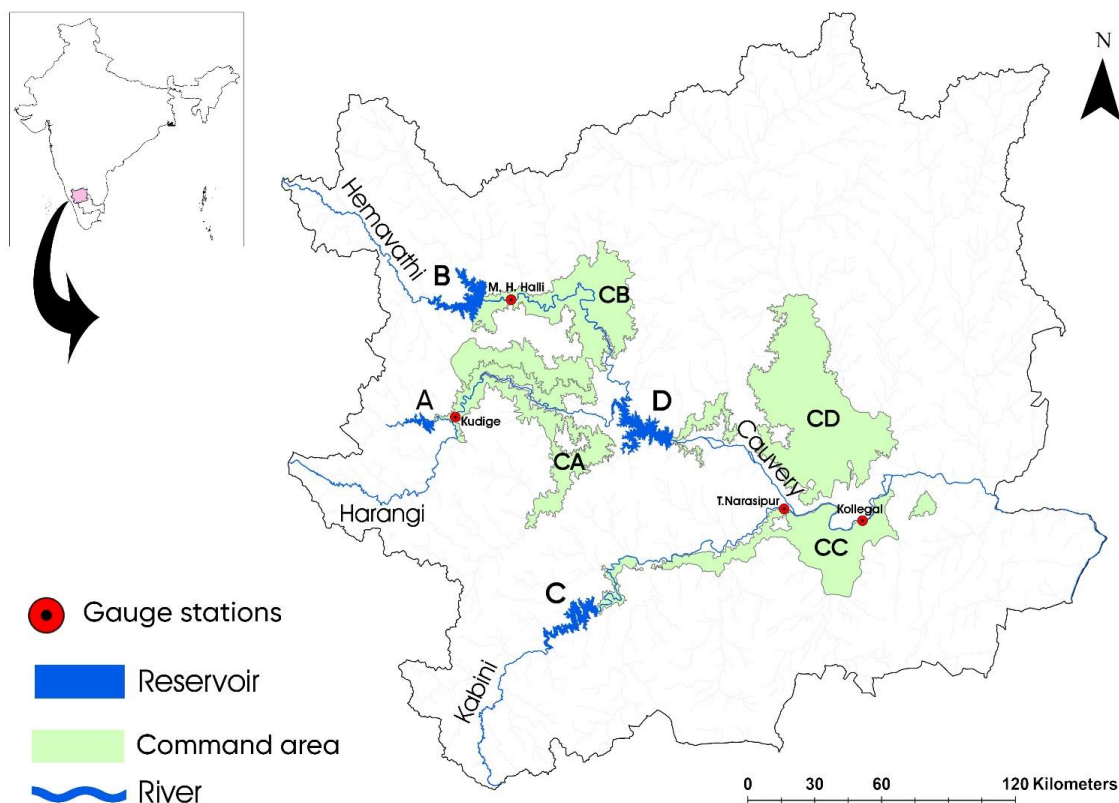


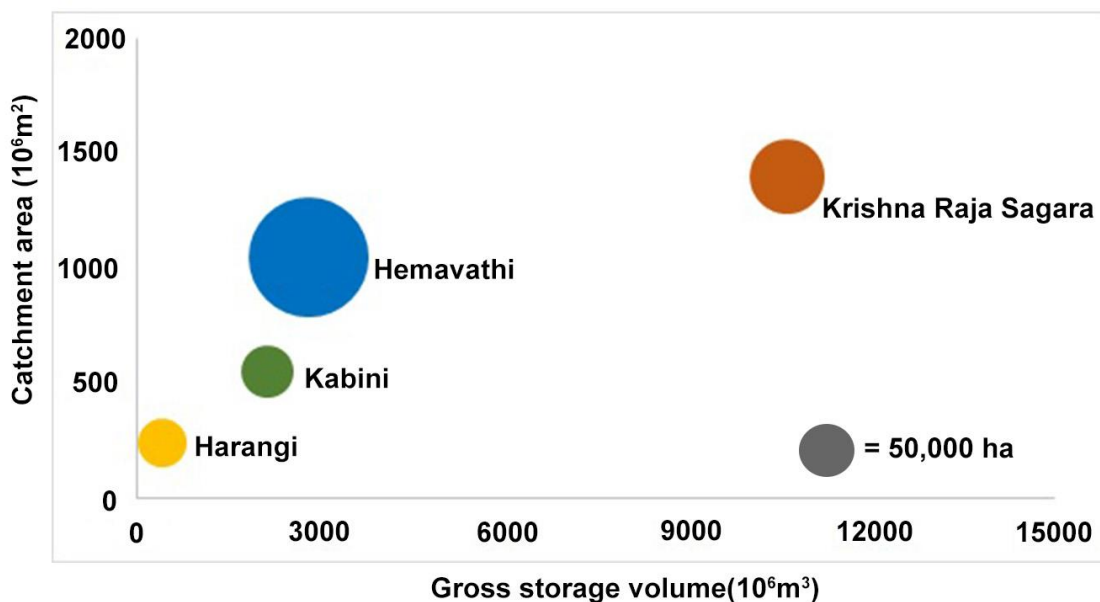
Figure 1. Overview of the methodological structure of the study



130 Figure 2. An overview of the Upper Cauvery River Basin. The reservoirs in the study area are labelled as A, B, C, and D, representing Harangi, Hemavathi, Kabini, and Krishna Raja Sagara (KRS) reservoirs respectively. The labels CA, CB, CC, and CD are used to denote the respective command areas¹ associated with these reservoirs

135 Based on the availability of the data needed for the study and the location of large reservoirs in the basin, four largest reservoirs in the Upper Cauvery by gross storage capacity are selected for investigation, including Harangi, Hemavathi, Kabini, and Krishna Raja Sagara (KRS) (Figure 2). Among the selected reservoirs, Harangi is the smallest reservoir and KRS is the largest reservoir in terms of gross storage capacity and contributing catchment area (Figure 3).

¹ A command area is the area which can be physically irrigated from a reservoir and is fit for cultivation.



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Figure 3. Overview of selected reservoirs by contributing catchment area and gross storage volume. The size of the bubbles is proportional to the size of the command areas. The grey circle indicates the size of the bubbles which is equivalent to 50,000 ha.

145 2.2 Hydrological model (The FLEX-Topo Model)

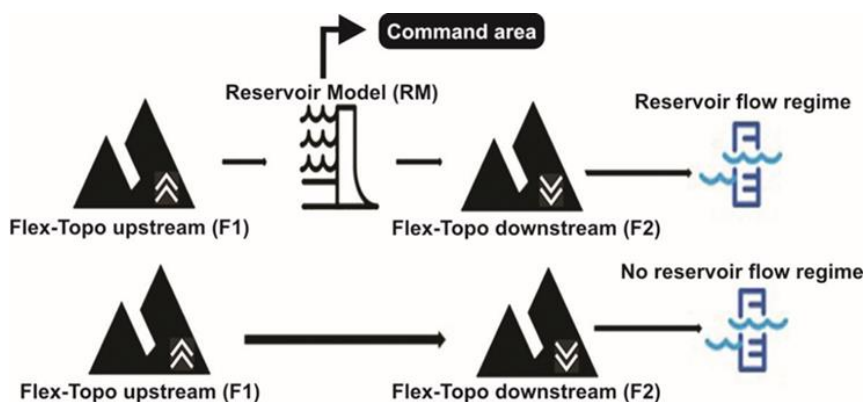
The present study utilizes a hydrological model called FLEX-Topo (see Supplementary materials section 1 and Figure S.2; Gharari et al., 2014). This parsimonious modeling approach has demonstrated its ability to simulate streamflows in data-scarce basins, as its structure is constrained by topography, requiring fewer calibration parameters, and yielding reliable flow simulations even under changing land-cover conditions (Gao et al., 2014; Savenije, 2010). The FLEX-Topo model classifies the landscape of a basin into various Hydrological Response Units (HRUs) based on elevation (Digital Elevation Model - DEM), slope, and Height Above Nearest Drainage (HAND), see section 2.2.1, and HRU specific processes are modelled to simulate river flows. FLEX-Topo is then integrated with a reservoir operations model, which then simulates altered flows at daily time steps (see Figure 4).

155 2.2.1 Creation of Hydrological Response Units (HRU)



A Hydrological Response Unit or HRU represents a distinct landscape element assumed to exhibit specific hydrological responses and is accordingly modelled by FLEX-Topo. Its characteristics are influenced by both topography and land use. The topographical aspects, such as plateau, hillslope, and wetland, determine the HRU's streamflow responses to rainfall. Additionally, the land use, whether forests or agriculture impacts the HRU's surface conditions, water infiltration rates, and evapotranspiration, further shaping its hydrological response.

For the present study, the classification of landscape into HRUs involves utilizing Digital Elevation Model (DEM), slope, and Height Above the Nearest Drainage (HAND), into three distinct classes, namely hillslopes, plateaus and wetlands. The slope and HAND data are processed using an 80-meter resolution DEM. The delineation of a sub-basin with a reservoir within is determined based on the location of a streamflow gauge downstream of the reservoir. As Figure 4 shows, the area upstream of the reservoir that is contributing flow to it (known as F1) is delineated by the location of the corresponding dam. Subsequently, the area downstream of the dam directly contributing flow to the gauge (known as F2 in Figure 4) is obtained by clipping F1 from the entire sub-basin delineated with respect to the gauge. The HRUs are identified for both F1 and F2 contributing areas and subsequently used to execute the FLEX-Topo model for the sub-basin.



Source: Ekka et al., 2022

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Figure 4. Modelling concept for individual FLEX-Topo-reservoir model: Upstream and downstream areas of the reservoir contributing to a streamflow gauging location downstream of the reservoir (where flow regime is being



observed) are modelled as upstream (F1) and downstream (F2) models respectively. The top row shows that the reservoir operations model (RM) that contributes to irrigating a certain command area is integrated with F1 and F2
180 and calibrated. The bottom row shows how the pre-dam situation is simulated, which is by removing RM from the calibrated model, along with its contribution to irrigate the command area and simulating flow at the gauge location.

2.2.2 Forcing data

Rainfall and potential evapotranspiration data are utilized as the forcing data. Daily gridded rainfall data with a
185 resolution of $0.25^\circ \times 0.25^\circ$ and temperature data with a resolution of $1^\circ \times 1^\circ$ are obtained from the Indian Meteorological Department, Government of India (Pai et al., 2014; Shrivastava et al., 2009). Runoff data is obtained from the Central Water Commission, Government of India. The information on reservoirs, including inflows, outflows, and storage levels, is accessed from the Karnataka State Natural Disaster Monitoring Centre, Government of Karnataka, India, through their official website (https://www.ksndmc.org/Reservoir_Details.aspx). For reservoir
190 model calibrations, time series of six years of daily inflows, storage and outflows was only accessible. However, extended periods of streamflow data for the corresponding downstream gauges, rainfall and temperature data for the sub-basins were available. Thus, the six-year reservoir data was used to calibrate the reservoir operations models and the other streamflow and input forcing data were utilized to calibrate the integrated FLEX-Topo and reservoir operations models.

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To analyse agricultural production, the data on the acreage and average production of crops at the district level in the study area are sourced from the Directorate of Economics and Statistics, Government of Karnataka ([https://des.karnataka.gov.in/info-2/Agricultural+Statistics+\(AGS\)/Reports/en](https://des.karnataka.gov.in/info-2/Agricultural+Statistics+(AGS)/Reports/en)). Additionally, price information for crops in each district is obtained from the website <https://agmarknet.gov.in/>.

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2.3 Reservoir operations model

The operation of multi-purpose reservoirs is governed by the objective of meeting the demands of end-users based on certain allocation priorities. The conservation of mass equation (eq. 1) governs each time step:

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$$\frac{S_{t+1} - S_t}{\Delta t} = I_t + O_t - E_t + P_t - (L_t * D_t) \quad (1)$$



where S_t = storage, I_t = Inflow, O_t = outflow, E_t = evaporation on reservoir surface, P_t = precipitation on reservoir surface, L_t = fraction supply of the demand for the reservoir on day t , D_t = demand for river water on day t , and $\Delta t = 1$ day. The reservoir model is embedded in the FLEX-Topo model by using the modelled outflow from the upstream area as inflow into the reservoir and using the modelled reservoir outflow as inflow to the downstream contributing area in order to model the runoff at a gauge station.

The reservoir operation is based on shortage rule curves that define zones within which specified proportions of the demand are covered (Basson et al., 1994). The reservoir operating rules determine L_t . D_t is determined based on water demand calculation for irrigating crops in command areas or for generating hydropower (see Ekka et al., 2022 for further details).

2.4 Hydrological-reservoir model simulation (calibration and validation)

The reservoir models were first calibrated using the dataset composed of inflow, outflow, storage, rainfall, and potential evapotranspiration, for the four reservoirs covering the period from January 2011 to December 2016. These were embedded into the FLEX-Topo models of the corresponding sub-basins as mentioned above and the FLEX-Topo parameters were then calibrated. To calibrate the FLEX-Topo parameters, the dataset of rainfall and potential evapotranspiration for the period January 1991 to December 2010 was used. The performances of the integrated model in different sub-basins were then validated using the dataset from 2010 to 2016.

The Elitist Non-Dominated Sorting Genetic (NSGA-II) algorithm was used to calibrate the model parameters (Deb et al., 2000). Two objective functions are defined and minimized simultaneously. The first objective (f_1) is the negative of Nash-Sutcliffe Efficiency (-NSE) and the second objective (f_2) is the Mean Absolute Error (MAE). Note here that when -NSE is being minimized, NSE is being maximized.

$$f_1 = -NSE = -1 + \frac{\sum_{i=1}^n (Q_i^m - Q_i^o)^2}{\sum_{i=1}^n (Q_i^o - \bar{Q}_o)^2} \quad (2)$$

$$f_2 = MAE = \frac{1}{n} \sum_{i=1}^n |Q_i^o - Q_i^m| \quad (3)$$



235 Here, Q_i^m is the i^{th} observation for the observed discharge being evaluated. Q_i^o is the i^{th} value of the modelled
discharge. \bar{Q}_o is the mean of observed discharge and n being the total number of observations. The details of the
parameters calibrated for the FLEX-Topo model and the reservoir operation model are provided in Supplementary
materials. Also, the NSGA-II parameter setting are detailed in the Supplementary materials. See Tables S.1, S.2 and
S.3 of the supplementary materials.

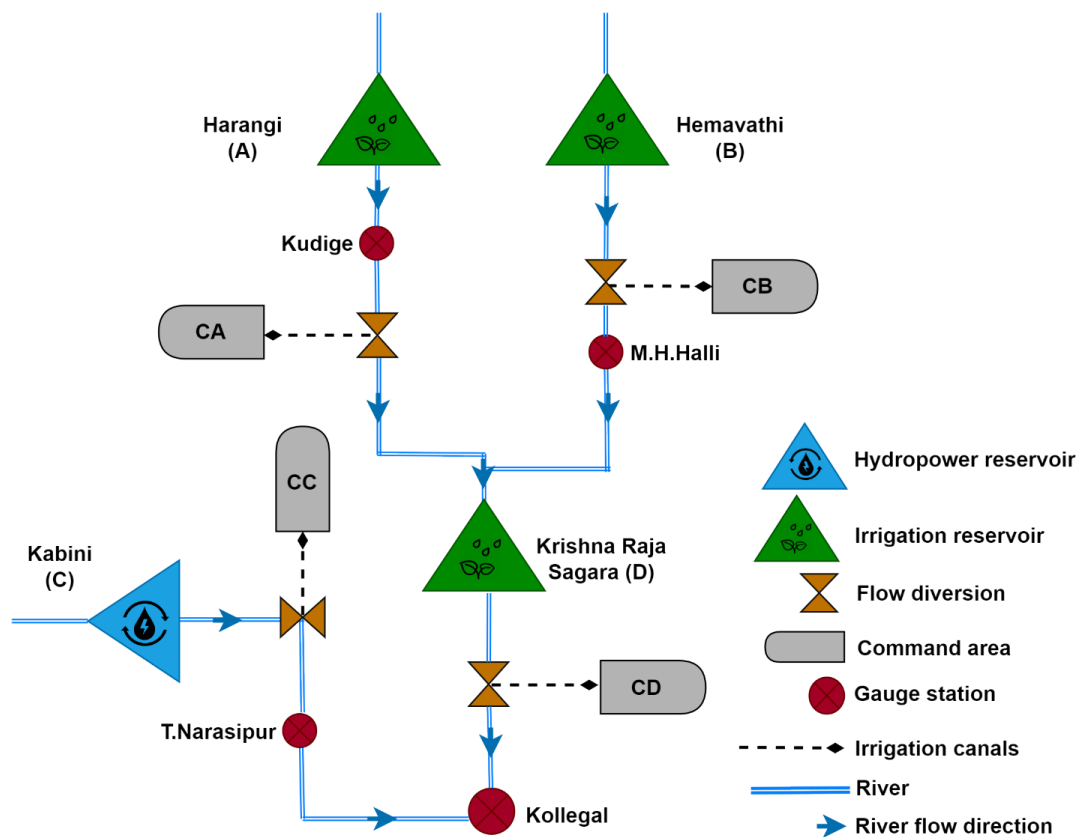
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2.5 Simulating the effects of different spatial configurations of the reservoirs

Figure 5 shows one specific example of how the effect of various spatial configurations of reservoirs on flow regimes
are simulated at the most downstream gauging station. This specific example considered the spatial configuration that
contains all the reservoirs in the basin. The outflows from reservoirs Harangi and Hemavathi flow through the gauge
245 stations of Kudige and M.H. Halli, respectively, and then into the KRS reservoir. Similarly, the outflow from the
reservoir Kabini flows through the gauge station T. Narasipur and then joins the outflow from the reservoir KRS at
the gauge station Kollegal, which is the most downstream gauging station. The integrated models corresponding to
the sub-basins delineated by each of the gauge stations simulate the 'altered' flows reaching at their respective stations.

250 For example, the sub-basin corresponding to KRS is delineated by the gauging station Kollegal. Hence the flows
modelled at this station are considered, including the flows generated by contributing areas corresponding to gauge
stations Kudige, M.H. Halli and T. Narasipur where corresponding modelled flows are considered. Such models of
flows (with or without respective reservoirs) at the gauge stations downstream of each of the four reservoirs, instead
of observed flows, are used for simulating flow regimes at the gauging station Kollegal for various possible
255 configurations of reservoirs upstream. The modelled flows are simulated at daily time steps.

A total of 16 different configurations were generated by removing one or more reservoirs from the schematic graph
presented in Figure 5, and corresponding flows were modelled to simulate flow at the gauge station Kollegal (see
Table 1 for an overview of the different configurations). The modelled flows were then compared to understand the
260 impacts of reservoirs of varying configuration on the flow regime and, subsequently, on the production of the
considered ecosystem services that are dominant in the basin (see Table 1).



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Figure 5. Showing a spatial configuration that contains all the four reservoirs of the basin. A reservoir or a combination of reservoirs can be removed from this configuration to simulate correspondingly altered flow regime at Kollegal, the most downstream gauging station location. In this way the reservoirs in different spatial configurations are integrated together to assess the effect of the configuration on the flows most downstream at Kollegal. All possible configurations of the reservoirs were considered to create a total of 16 different scenarios.

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Table 1. Comparison of different configurations of reservoirs by storage volume, purpose, sub-basin area and spatial configurations.

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Scenarios	Reservoir configurations	Reservoir characteristics		
		Storage volume (10 ⁶ m ³)	Purpose of the reservoir & Net Command Area (NCA) (ha)	Spatial configuration
Scenario with four reservoirs (Base scenario)				
S_{abcd}	A+B+C+D	A: 240.69 B: 1050 C: 552.74 D: 1400.31	Irrigation - A, B, D Irrigation & Hydropower-C For individual reservoir NCA : 499215	A, B: upstream & on a tributary C: downstream & on a tributary D: downstream & on main channel
Scenario with three reservoirs				
S_{bcd}	B+C+D	B: 1050 C: 552.74 D: 1400.31	Irrigation - B, D Irrigation & Hydropower-C NCA: 445677	B: upstream & on a tributary C: downstream & on a tributary D: downstream & on main channel
S_{abd}	A+B+D	A: 240.69 B: 1050 D: 1400.31	Irrigation - A, B, D NCA: 453485	A, B: upstream & on a tributary D: downstream & on main channel
S_{aed}	A+C+D	A: 240.69 C: 552.74 D: 1400.31	Irrigation - A, D Irrigation & Hydropower-C NCA: 207350	A: upstream & on a tributary C: downstream & on a tributary D: downstream & on main channel
S_{abc}	A+B+C	A: 240.69 B: 1050 C: 552.74	Irrigation - A, B Irrigation & Hydropower-C NCA: 391133	A, B: upstream & on a tributary C: downstream & on a tributary
Scenario with two reservoirs				
S_{bd}	B+D	B: 1050 D: 1400.31	Irrigation - B, D NCA: 399947	B: upstream & on a tributary D: downstream & on main channel
S_{ed}	C+D	C: 552.74 D: 1400.31	Irrigation - D Irrigation & Hydropower-C NCA:	C: downstream & on a tributary D: downstream & on main channel
S_{ad}	A+D	A: 240.69 D: 1400.31	Irrigation - A, D NCA: 161620	A: upstream & on a tributary D: downstream & on main channel
S_{cb}	C+B	C: 552.74 B: 1050	Irrigation - B Irrigation & Hydropower-C NCA: 153812	C: downstream & on a tributary B: upstream & on a tributary
S_{ab}	A+B	A: 240.69 B: 1050	Irrigation - A, B NCA: 345403	A, B: upstream & on a tributary
S_{ac}	A+C	A: 240.69 C: 552.74	Irrigation - A Irrigation & Hydropower-C NCA: 99268	A: upstream & on a tributary C: downstream & on a tributary
Scenario with one reservoir				
S_d	D	D: 1400.31	Irrigation - D NCA: 108082	D: downstream & on the main channel
S_b	B	B: 1050.00	Irrigation - B NCA: 291865	B: upstream & on a tributary
S_c	C	C: 552.74	Irrigation & Hydropower-C NCA: 45730	C: downstream & on a tributary
S_a	A	A: 240.69	Irrigation - A NCA: 53538	A: upstream & on a tributary
Scenario with no reservoir				
S₀	NO	--	--	--



The set of Indicators of Hydrological Alteration (IHA) initially proposed by Richter et al. (1996) is used to measure the effects of different reservoir configurations on the flow regime in the Upper Cauvery basin. The parameters considered in IHA have strong relationships with river ecosystems, and therefore can be used to assess the impacts of dams on the flow regime. The IHA are classified into five groups based on magnitude of monthly flows, magnitude and duration of annual extreme flow conditions, and frequency and duration of high and low flow rates. Major indicators used in the study include mean annual discharge, low flows, high flows, low pulse rate, high pulse rate (detailed definition is provided in Supplementary materials S.4). High frequencies of flows, and alterations of it, can be considered within the IHA given that modeled flow regimes are at daily time scale. Although earlier methods of assessing the impact of impoundments on river channels have involved field surveys, statistical analyses (Yan, 2010), and geomorphic change detection tools (Wheaton, 2015), the IHA framework provides a more systematic assessment of changes in flows. Its application has also been relatively limited in the studies of Indian rivers (Mittal et al., 2014, Kumar and Jayakumar, 2020, Borgohain et al., 2019), often due to lack of pre-dam data availability. The simulations of pre-interventions flows presented here makes this possible, especially when considering cascade of reservoirs.

2.7 Tradeoff between ecosystem services: construction of the Production Possibility Frontier

The production possibility frontier (PPF), also known as the production possibility curve or boundary, is a graphical representation of the different combinations of goods or services that an economy can efficiently produce given its limited resources and technology (Martinez-Harms et al., 2015, King et al., 2015; Cavender -Bares et al., 2015). It can be described as the outward boundary of the convex hull of the production set of the economy. It shows the maximum level of one good or service that can be produced in relation to the production of another good or service, given the existing resources and technology.

In the Cauvery basin, approximately 48 percent of the land is used for crop cultivation (Singh, 2013). In certain stretches of the Cauvery River, there is extensive water abstraction for intensive agriculture (Vedula, 1985; Bhave et al., 2018). This water extraction has resulted in notable changes in the composition of aquatic species, primarily due to the construction of reservoirs, and in the overall biodiversity of the river ecosystem. This tradeoff between the corresponding dominant ecosystem services that are provided by the bioeconomy of the basin is represented by a tradeoff between indicators of agricultural production value and fish species richness respectively, and conveniently



represented by the PPF. The value of crop production that dominates the agricultural production value is used for the
310 former, and a specific empirical formula for fish species richness is used for the latter.

Different spatial configurations of the reservoirs correspond to different partitioning of flows for irrigation and for
aquatic ecosystems. Therefore, different pairs of crop production values and fish species richness are generated for
different reservoir configurations. Since only existing reservoirs are considered, a production set is determined based
315 on the production outputs of all possible spatial configurations of existing reservoirs. Specifically, it is defined by the
convex-hull of the 16 pairs of agricultural production and fish species richness values, corresponding to the 16 possible
spatial configurations of the reservoirs. The production possibility frontier is then the outward boundary of the
production set. However, note that this production set can be exhaustively populated by simulating synthetic
configurations of artificial reservoirs on the river network. This is left for future work.

320

2.7.1 Agricultural production

The available information on agricultural crops and their distribution is organized at the district level (lowest
administrative level within the boundaries of the states that fall in the basin where such information is available). All
the calculations related to these crops are performed at this level, where a total of nine districts are considered in the
325 analysis. The districts falling within each sub-basin of the Upper Cauvery basin are identified and their areas are
determined. Subsequently, using the available data, the areas of irrigated and unirrigated land within and outside the
sub-basins are calculated (see Supplementary materials, Table S.7). Based on the known cropping patterns for each
district, the crops grown are categorized into four growing seasons: kharif (June-September), rabi (October-January),
summer (February-May), and annual crops. The area dedicated to each crop within a sub-basin is determined
330 proportionally by the acreage of different crops in each district within the sub-basin. The maximum yield under
irrigated condition and crop prices are obtained from agricultural census sources. Additionally, information on crop
coefficients and crop yield response factors is gathered from published literature (see Supplementary materials, Table
S.6). An average yearly real price is estimated for each crop in all the districts within the studied basin (see
Supplementary materials, Table S.8). For irrigated areas, the maximum (optimum) yield values from the literature are
335 used to calculate crop production. However, for unirrigated areas the reduction in yields are estimated based on the
actual evapotranspiration estimates of the integrated model.



For agricultural production, the relationship between crop yield and water depends on the corresponding relative reduction in evapotranspiration (ET). The actual yield is calculated based on the following formula by Smith & Steduto
340 (2012)

$$1 - \frac{Y_a}{Y_o} = K_y \left(1 - \frac{ET_a}{ET_p}\right) \quad (4)$$

where Y_a = actual Yield (kg ha^{-1}), Y_o = optimum Yield (kg ha^{-1}), ET_a = Actual Evapotranspiration (mm day^{-1}), ET_p =
345 Potential Evapotranspiration (mm day^{-1}), and K_y = yield response parameter (-).

Yields when multiplied by the area under corresponding crops provides output, if irrigated provides irrigated output else provides rainfed output. Total agricultural production is equal to agricultural output from both rainfed and irrigated areas, with irrigated areas depending on water withdrawn for irrigation. The crop specific prices are
350 multiplied by the corresponding production level to indicate the economic value of the ecosystem service supported by the basin.

2.7.2 Fish Species Richness

Aquatic ecosystem health serves as a comprehensive reflection of the physical, chemical, and biological integrity of
355 river ecosystems (Chen et al., 2019; Aazami et al., 2019). Previous studies have investigated various factors to identify the key determinants of river ecological health, including benthic macroinvertebrates, river habitat conditions, and water quality parameters (Chen et al., 2019). However, when considering biological indicators, fish health becomes crucial as it directly links to the provisioning services like food and human health. Fish species richness refers to the number of different fish species present in a particular area or ecosystem. It is one of the indicators of biodiversity and
360 represents the diversity of fish species within a given habitat or geographical region. Species richness is commonly used to assess the ecological health and complexity of aquatic ecosystems (Xu et al., 1999). Therefore, fish species richness is chosen as the indicator of river health, reflecting the overall health of the aquatic ecosystem. No particular specific fish species is targeted in this study. Also, fish migration patterns have not been included due to many limitations which includes tracking efficiency, sample bias, limited spatial coverage, as well as species-specific
365 challenges (Planque et al., 2011; Elsdon et al., 2008).



Species-discharge models, based on mean river discharge, are often used to quantify the impact of anthropogenic modification of rivers on species richness (Xenopoulos and Lodge, 2006). However, the flow regime of a river is composed of several ecologically relevant flow characteristics such as magnitude, frequency, duration, timing, and rate of change of flow events that impact species richness. In other words, flow characteristics other than mean river discharge also play a vital role in sustaining aquatic ecosystems. In this study, we adopted an empirical function (equation 5) by Iwasaki et al. (2012) to quantify fish species richness. In this method, basin characteristics such as area and latitude are used to predict fish species richness. The flow characteristics such as coefficient of variation of mean frequency of low flow in a year, coefficient of variation in the Julian date of annual minimum flow and maximum proportion of the year in which floods have occurred are also used. Here floods are defined as events when flows are greater than or equal to flows with a 60 % exceedance probability (Olden and Poff, 2003).

$$FSR = \exp (3.950 - 0.034 * LAT + 0.273 * Area + 0.373 * MAD - 1.570 * FL2 + 0.832 * TH3 - 0.116 * TL2) \quad (5)$$

Where, LAT = Absolute value of the latitude of the gauge station where flow is measured

Area = \log_{10} transformed basin area (km^2)

MAD = \log_{10} transformed mean annual discharge (m^3s^{-1})

FL2 = Coefficient of variation of mean frequency of low flow per year (-)

TH3 = Maximum proportion of the year (number of days /365) during which floods have occurred (-)

TL2 = Coefficient of variation in the Julian date of the annual minimum flow (-).

3. Results

This section first reports on the quality of the model developed for the study area. The developed model is then used to simulate flow regimes for the 16 scenarios of different spatial configurations of existing reservoirs as shown in Table 1, and the degree of hydrological alterations are assessed. The production of considered ecosystem services is then quantified, and a production possibility frontier for the considered ecosystem services is derived and discussed.

3.1 Calibration and validation performance of the integrated model



Table S.3 reports on the calibration and validation performance of the model developed for the study area in Ekka et al. (2022). The calibration results were obtained using the NSGA II multi-objective optimization algorithm, and the Pareto front ranges for both -NSE and MAE are shown within the parentheses. For the reservoir operations models, the MAE values, which indicate the accuracy of predictions, range from 0.71 to 2.92 ($10^6 \text{ m}^3 \text{ day}^{-1}$), falling within an acceptable range. Lower MAE values indicate better performance. Similarly, the Nash-Sutcliffe Efficiency (NSE) values, which assess the model's goodness of fit, range from 0.51 to 0.73 (note the removal of negative sign), all above the acceptable threshold of 0.50.

For the calibration of FLEX-Topo parameters in the integrated model, during the calibration phase, the NSE values ranged from 0.53 to 0.80, and during the validation phase, it was between 0.50 to 0.65 for all the sub-basins. NSE values above 0.50 are considered acceptable, indicating a satisfactory level of model performance. Additionally, the Mean Absolute Error (MAE) values during calibration ranged from 0.92 to 1.36 mm day^{-1} , and during validation, they ranged between 0.86 to 2.05 mm day^{-1} , also deemed acceptable.

3.2 Impact on flow regimes generated by different spatial configurations of reservoirs

The flow regimes corresponding to different spatial configurations (also referred to as scenarios, see Table 1) of the existing reservoirs are analysed to understand the impact of the latter on the former, utilizing major hydrological indicators like mean annual flow and annual extreme flow conditions. Additionally, the analysis involves classifying the flow regimes based on the storage volumes of the reservoirs and its uses. All the hydrological indicators are calculated based on the discharges that are simulated at the Kollegal gauge station.

3.2.1 Flow regimes characterized by storage volumes under different scenarios

The highest mean annual flow was estimated for S_0 ($1,548 \text{ m}^3\text{s}^{-1}$) with no reservoir, followed by S_c ($1,460 \text{ m}^3\text{s}^{-1}$) and S_b ($1,377 \text{ m}^3\text{s}^{-1}$) that are configurations containing only one reservoir (Figure 6). In terms of storage volume, KRS (D) is the biggest reservoir followed by Hemavathi reservoir (B) and Kabini reservoir (C). KRS (D) in the spatial configurations with one other reservoir (S_{bd} , S_{cd} , S_{ad}) and two other reservoirs (S_{bcd} , S_{abd} , S_{acd}) yielded mean annual flows of less than $500 \text{ m}^3\text{s}^{-1}$.

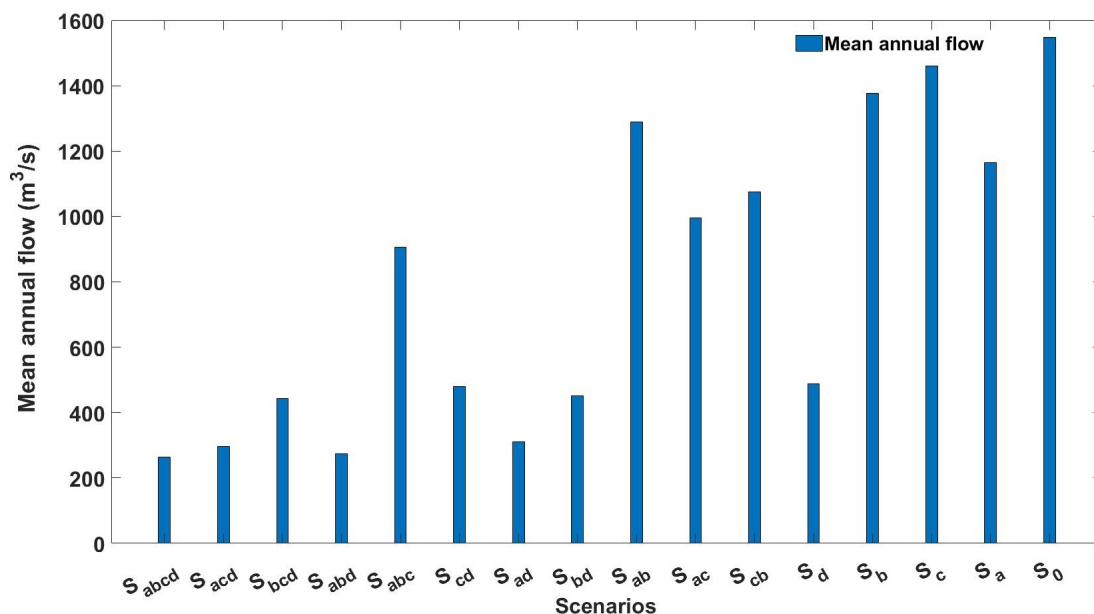


Figure 6. The mean annual flows resulting from different configurations of reservoirs

425 Figure 7 shows that the magnitude of annual extreme conditions, the 1-3-7-30 day flow minimum and base flow indices were greatly affected by the construction of reservoirs having bigger storage volumes. However, in scenarios of configurations with three reservoirs, S_{abd} has less impact compared to S_{acd} despite Kabini (C) having less storage capacity compared to the Hemavathi reservoir (B).

430 The extreme low peak flow for scenario S_D appears to be the lowest of the configurations with only one reservoir (Table 2) as KRS (D) reservoir has the largest storage capacity. Similarly, the KRS (D) generated flows with lowest values of extreme low peak conditions in spatial configurations with two (S_{bcd}, S_{abd}) and three (S_{abcd}) reservoirs. However, in scenarios involving one or two reservoirs despite having varying storage capacities, the extreme low peaks of flows generated by S_a, S_b, S_{ac}, and S_{bc} appear to be similar (Table 2).

435

3.2.2 Flow regimes characterised by the use of reservoirs

Kabini (C) is the only reservoir used for hydropower. Figure 7 shows that scenario S_c generates a mean annual flow that is the second highest, after that of S₀ with no reservoir in the basin. The mean annual flows of combined irrigation



and hydropower reservoirs (S_{ac} and S_{bc}) are higher ($1,076$ - $1,289 \text{ m}^3\text{s}^{-1}$) when compared with that of two irrigation
 440 reservoirs (S_{ab}). Similarly, the mean annual flow of scenario S_{abc} with three reservoirs is around $906 \text{ m}^3\text{s}^{-1}$, which is
 more than those of the scenarios S_{bd} , S_{cd} , S_{ad} but less than those of S_{bc} , S_{ab} and S_{ac} with two reservoirs. This is because
 Kibini (C) is a hydropower reservoir that does not divert water from the river, but releases water frequently and
 ensures flow above a certain threshold resulting in a higher mean. The comparison of a scenario of configuration with
 two irrigation reservoirs and one hydropower reservoir (S_{abc}) to a scenario with two irrigation reservoirs (S_{bd}) indicates
 445 that the former has less impact on mean annual extreme flow conditions such as 1, 2 and 7-day minimum than the
 latter (Figure 7).

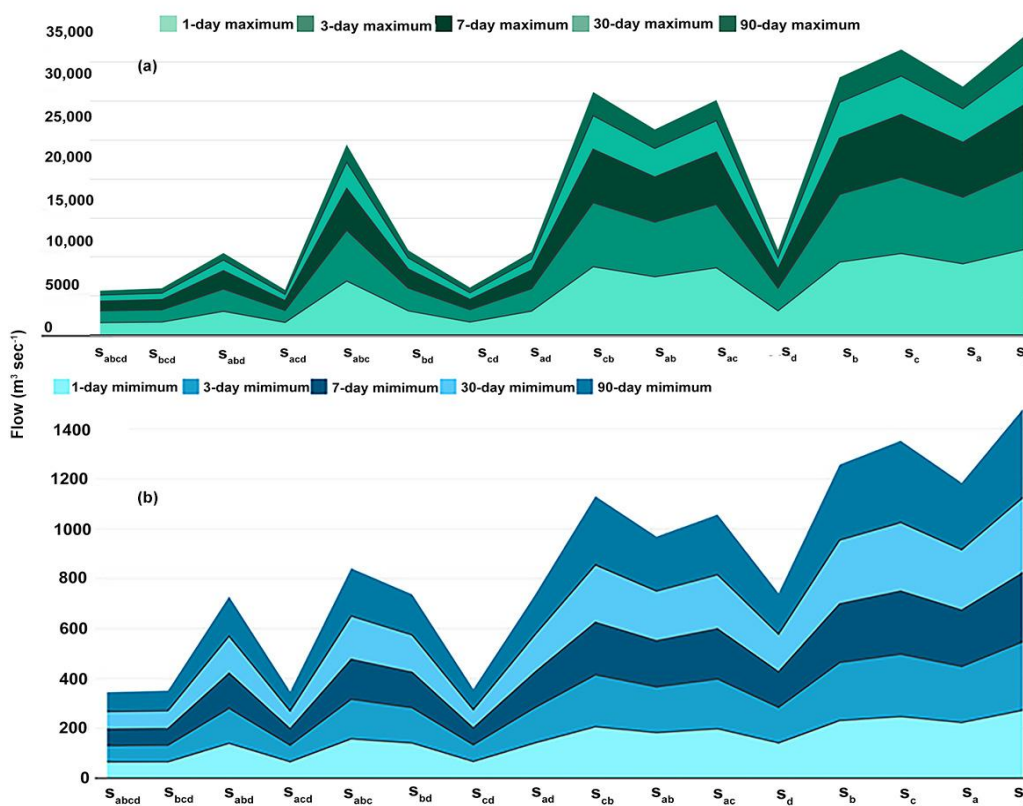


Figure 7. The magnitude of annual extreme flow conditions of flow regimes generated by different configurations of reservoirs

450



Comparing similar configurations in Figure 7 of two reservoirs only for irrigation (S_{ad} and S_{bd}) versus those that contain the hydropower reservoir (S_{cd}) indicates that the hydropower reservoir decreases the low pulse count and low pulse duration compared to irrigation reservoir.

455 Table 2. Overview of duration and environmental flow parameters of IHA for different scenarios of reservoir configurations.

Scenarios	Duration parameters				Environmental flow Parameters (m^3/s)	
	Low pulse count (days)	High pulse count (days)	Low pulse duration (days)	High pulse duration (days)	Extreme low peak	Extreme low frequency
S_{abcd}	2.2	3.4	52.5	-16.6	44.9	1.0
S_{bcd}	2.4	3.6	44.1	-73.3	44.9	0.9
S_{abd}	1.4	3.9	90.5	-16.8	66.9	0.9
S_{acd}	2.6	3.6	46.3	-29.1	44.9	1.0
S_{abc}	2.3	3.8	57.7	-17.1	117.0	1.4
S_{bd}	1.8	3.9	75.6	-88.3	61.0	0.6
S_{cd}	2.2	3.5	55.9	-79.7	48.7	1.1
S_{ad}	1.4	4.2	90.2	-89.8	67.1	1.0
S_{bc}	2.6	3.8	46.4	-29.4	181.1	1.6
S_{ab}	1.6	3.1	86.9	-17.1	119.1	1.4
S_{ac}	2.9	3.6	46.8	-29.9	181.0	1.7
S_d	1.9	4.0	74.2	-91.9	60.8	0.6
S_b	2.6	3.6	42.5	-103.7	181.9	1.6
S_c	2.4	3.5	42.0	-95.7	242.9	2.1
S_a	2.6	3.4	48.0	-29.9	182.8	1.4
S_0	2.4	4.0	45.0	-109.7	242.9	2.1



460 **3.2.3 Flow regimes characterised by varying the configuration of reservoirs**

Harangi (A) and Hemavathi (B) reservoirs are located in the upstream areas of the basin, on one of the tributaries of the Upper Cauvery. Harangi (A) reservoir is the smallest in terms of volume, followed by Kabini (C), Hemavathi (B), and KRS (D). When comparing the flow altered by configurations with only one reservoir, S_a produces regimes with lower mean annual flows than S_b . Generally, reservoirs with longer residence times tend to have larger impact on the flow regimes compared to
465 reservoirs with smaller residence times (see Supplementary materials, Table S.5 for residence times). However, S_a (with Harangi reservoir) has higher impact on the flow regime than S_b (with Hemavathi reservoir). One reason could be that M.H. Halli sub-basin (with Hemavathi reservoir with a large residence time) receives the highest rainfall compared to other regions in the Upper Cauvery (Reddy et al., 2023), which contributes towards a lower impact of S_b compared to S_a .

470 Furthermore, in the absence of its reservoirs, the mean annual flow in M.H. Halli sub-basin is lower ($75 \text{ m}^3 \text{ s}^{-1}$) when compared to Kudige ($139 \text{ m}^3 \text{ s}^{-1}$), T. Narasipur ($349 \text{ m}^3 \text{ s}^{-1}$) and Kollegal sub-basins ($630 \text{ m}^3 \text{ s}^{-1}$). This shows that M.H. Halli sub-basin contributes little to the overall flow. As a result, the S_a scenario generates a lower mean annual flow than the S_b scenario. Similarly, for two reservoirs configurations, the M.H. Halli sub-basin has a lower no-reservoir mean flow than the Kudige sub-basin. As a result, S_{ac} performs worse than S_{cb} . Among the configurations with three reservoirs, the mean annual flow and
475 other indicators of hydrological alterations of the S_{bcd} and S_{acd} scenarios were as undesirable as the four-reservoir scenario. It is acknowledged that S_0 , being the unregulated scenario without any reservoir, exhibits the highest flow due to the absence of flow regulation and water diversion. In contrast, S_c , which is a configuration with only a hydropower reservoir, needs to release water regularly for electricity generation purposes. As a result, S_0 is estimated to have the highest mean annual flow, followed by S_c and S_b .

480

Since the configuration S_{abd} has Hemavathi reservoir which falls in the M.H. Halli sub-basin that receives highest rainfall, thereby contributing significantly to the overall flow, S_{abd} has less impact compared to S_{acd} despite Kabini (C) having less storage capacity compared to the Hemavathi reservoir (B).



3.3 Agricultural production

485 The agricultural production in the sub-basins is calculated based on the assumption that irrigated area becomes
unirrigated (*i.e.* rainfed) when the corresponding reservoir is removed in a spatial configuration scenario, without
changing the crops that are being cultivated. The proportion of cultivated and irrigated land is given in Figure 8. Figure
9 shows the economic values of various crops grown in each of the four sub-basins, based on the flow regimes
simulated by the integrated model with and without its respective reservoirs. In Figure 9, each sub-basin is studied
490 one at a time to demonstrate the economic value of irrigated crop cultivation.

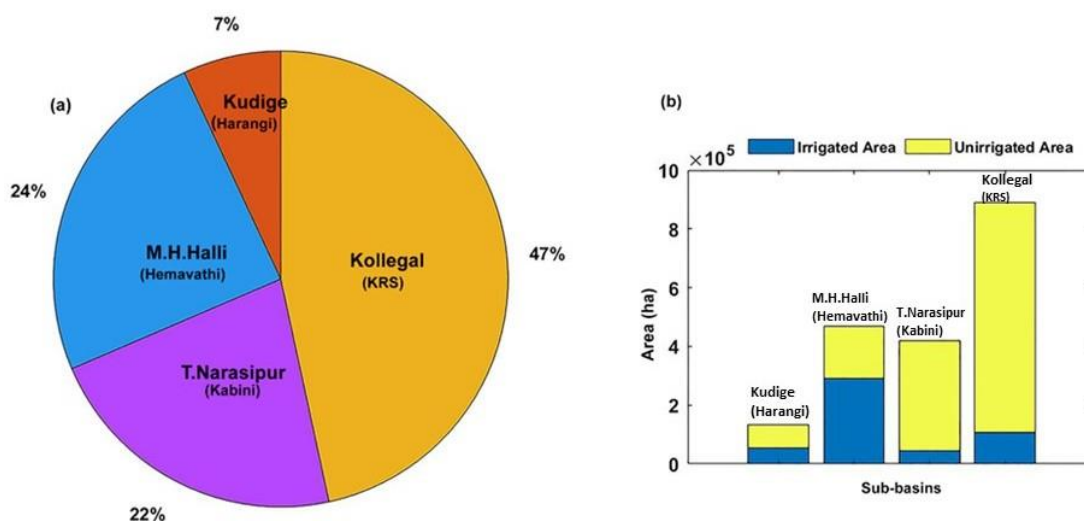


Figure 8. Overview of cultivated areas in different sub-basins. (a) the contribution of sub-basins to the total cultivated area of the Upper Cauvery basin, and (b) the irrigated and unirrigated (or rainfed) areas in each sub-basin

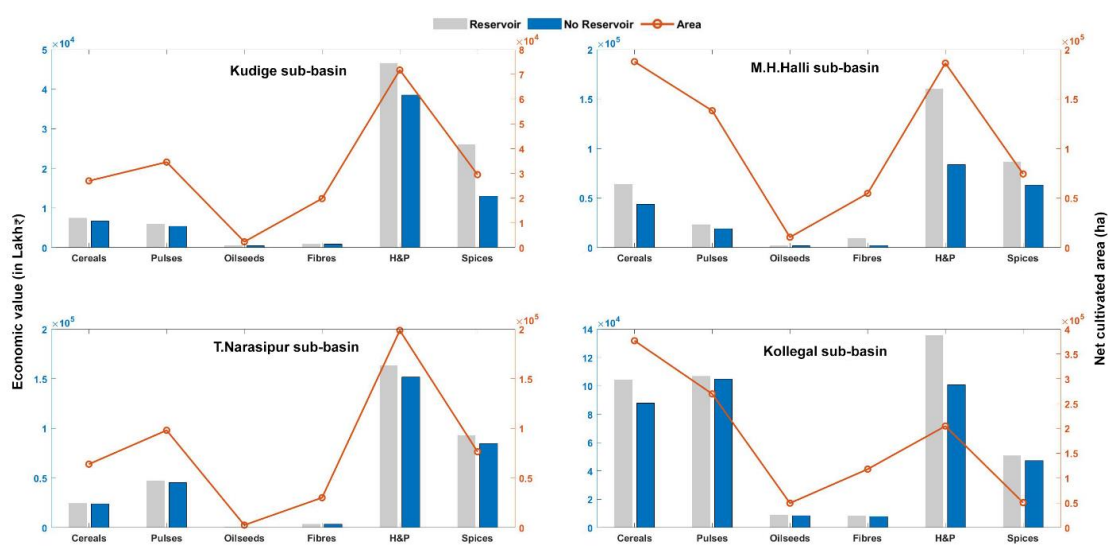
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Five categories of crops were distinguished, namely, cereals, pulses, oilseeds, horticultural & plantation (H&P) crops, and spices. Among horticultural & plantation crops, coffee, coconut and cashew nut contributed 65 percent of the total H&P cultivated area (Figure 9, author's estimation). According to current estimates, the contribution of plantation crops accounts for 58 percent of the economic value of the H&P crops (see Figure 9, author's estimation).

500



Figure 9 shows that the horticultural crops and spices contributed most to the economic value in all sub-basins. In M.H. Halli and Kollegal sub-basins, where the area under cereals is high, the economic value of cereal production is low compared to that of the horticultural crops and spices.



505

Figure 9. The economic value (Lakh ₹ per year; 1 Lakh = 100,000) of different crop groups in individual sub-basins, with and without its respective reservoirs.

510 When comparing the economic value of crops within a sub-basin with and without its reservoir, not much difference was observed in the economic values of pulses, oilseeds, and fibres in all the sub-basins. The differences in economic values with and without its reservoir are significant among horticultural crops and spices in three sub-basins, *i.e.* Kudige (Harangi), M.H. Halli (Hemavathi) and T. Narasipur (Kabini) sub-basins. In Kollegal (KRS) sub-basin, the majority of crops are rainfed and only 10 percent is irrigated, which explains the small difference in the economic value with and without its reservoir.

515

The values generated by alternative dam planning and design scenarios in comparison to the existing reservoirs as the baseline can be studied by varying the spatial configurations of the reservoirs. This is what Figure 10 shows. It



demonstrates how economic value from agricultural production varies across the various scenarios of reservoir configurations. In general, increasing the number of dams does raise the economic value of agricultural production as compared to scenario S_0 (without any dams). The presence of all four dams in the basin generates the highest economic value from the agricultural production. Note that the agricultural value of S_0 (no dams and therefore also no irrigation) is approximately 67% of the present situation, S_{abcd} , with irrigation in command areas of the four reservoirs.

The scenario of four dams S_{abcd} does not show a dramatic increase in value as compared to the scenarios of the configurations with three dams. Among the scenarios with two dams, there are three configurations, *i.e.* S_{bd} , S_{bc} , and S_{ab} , that show much higher value generation than other scenarios of configurations with two dams and are comparable to the scenarios with three and four dams. In the case of scenarios with one dam, scenario S_b shows a much higher economic value generation. This is because the Hemavathi reservoir (B) has a well-developed command area growing mainly horticultural crops that fetch high prices.

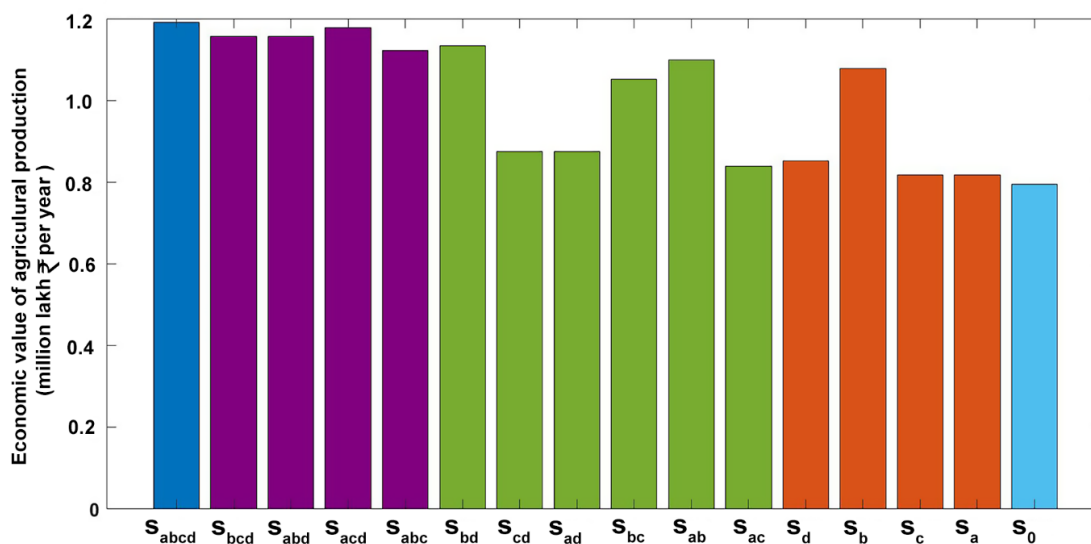


Figure 10. The economic value of agricultural production under different scenarios of spatial configurations of the reservoirs

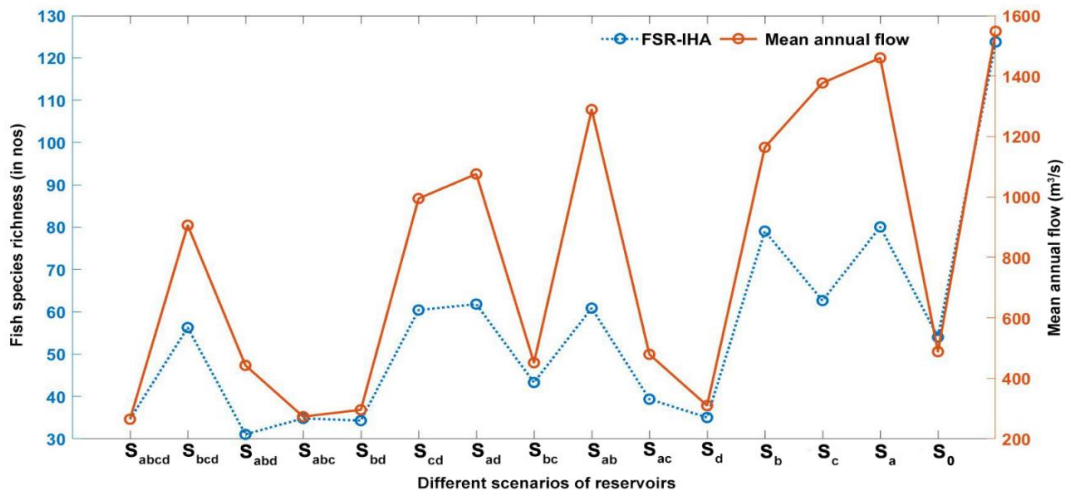
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3.4 Fish Species Richness across sub-basins



The Fish Species Richness (FSR) value is derived based on a global statistical model developed by Iwasaki et al. (2012) and validated in 84 major basins worldwide by Yoshikawa et al. (2014). The results of FSR calculations for different spatial configurations of the reservoirs are shown in Figure 11, which ranges from 35 to 123 species. The values obtained by Iwasaki et al. (2012) are in the range of 20 to 250 species. Other field studies have confirmed that the FSR in the Cauvery River Basin tends to be around 146 species (Koushlesh et al., 2021). Figure 11 also shows the mean annual flows for the various configurations.

The FSR is greatly impacted by the configurations that contain a large reservoir (such as KRS) due to significant decrease in mean annual flow and in the coefficient of variations of low flow frequencies. This can be seen in the configurations containing one (S_d), two (S_{bd} , S_{cd} , S_{ad}) and three (S_{bcd} , S_{abd} , S_{acd}) reservoirs where lower FSR values are observed. Among the scenarios of configurations with two reservoirs, S_{ad} has better FSR than S_{bd} despite having lower mean annual discharge, demonstrating the effect of other hydrological flow regime parameters on FSR. Among the configurations containing three reservoirs, not much difference in FSR values is observed except in S_{abc} , which scores higher than other configurations containing three reservoirs (S_{bcd} , S_{sbd} and S_{acd}). These latter configurations contain KRS, which is the most downstream and the largest reservoir and include two smaller reservoirs out of three in various spatial configurations upstream of the KRS reservoir. This shows that a very large reservoir can dominate the effect of reservoirs on the flow regime characteristics and consequently on FSR.



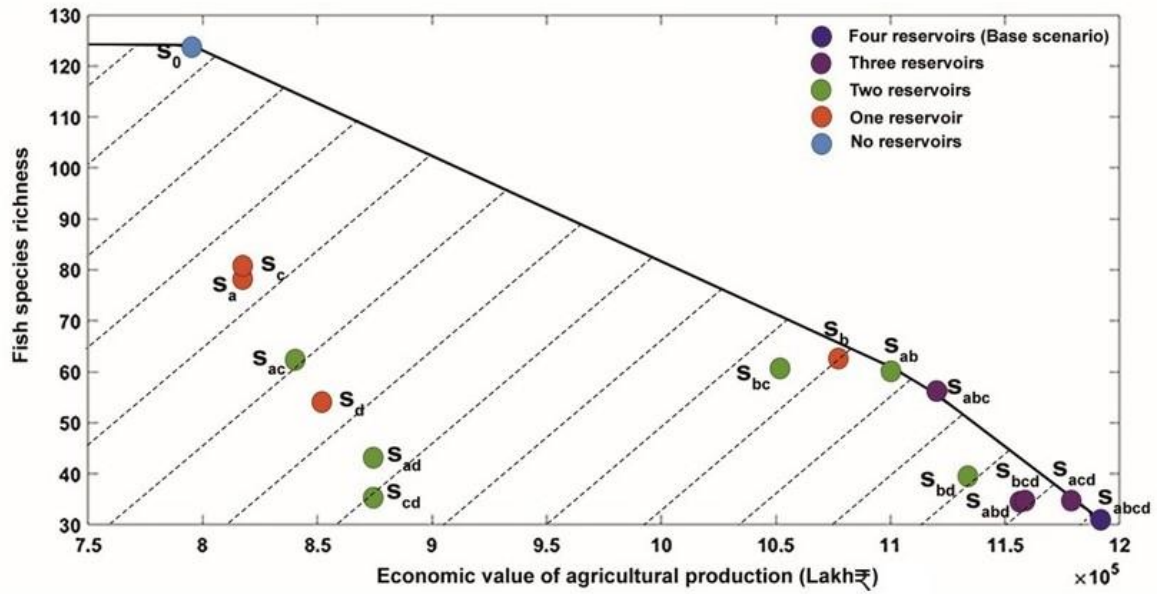


555 Figure 11. The fish species richness (FSR-IHA) of the different configurations of reservoirs was calculated based on
mean discharge and flow regime characteristics.

3.5 The production possibility frontier (PPF)

The production possibility frontier (PPF) between agricultural production and fish species richness for different spatial
560 configurations of the reservoirs is shown in Figure 12. As can be seen from the figure, value of agricultural production
– FSR pairs (points) corresponding to the spatial configurations are used to define the convex hull of the production
set. The PPF is then defined as the outward boundary of the production set. The points and the corresponding
configurations lying on this boundary are deemed to be more desirable than the points lying inside because the
ecosystem services linked to agricultural production and FSR are provided less efficiently by the bioeconomy of the
565 basin in the case of latter than the former.

The findings show that the scenario without any reservoir (S_0) is advantageous for the diversity of fish species. Due
to lower values from agricultural production, scenarios of configurations with one reservoir (S_d , S_a and S_c) and two
reservoirs (S_{cd} , S_{ad} , and S_{ac}) perform poorly with respect to the frontier. However, due to lower fish species richness,
570 scenarios of configurations with four reservoirs (S_{abcd}), three reservoirs (S_{bcd} , S_{abd} , S_{acd}) and two reservoirs (S_{bd} and
 S_{bc}) are also considered inferior with respect to the frontier. The scenario S_{bc} is however slightly worse off in terms of
species richness and agricultural production, relative to the PPF.



575 Figure 12. Illustration of production set and production possibility frontier (PPF). The PPF is the outer edge of the set, between value of agricultural production and fish species richness.

Five scenarios of configurations S_0 , S_b , S_{ab} , S_{abc} , S_{acd} , and S_{abcd} define the frontier. The scenario of the configuration with all reservoirs (S_{abcd}) produces the highest value of agricultural output but has the least diversity of fish species.

580 The scenario S_b is the only one with a single reservoir (Hemavathi reservoir B) that serves irrigated crops with a relatively high value. The scenarios S_b , S_{ab} , and S_{abc} do not include the KRS (D) reservoir with the largest storage capacity, and thus the flow regime was not significantly altered as compared to the cases of S_{abcd} and S_{acd} . This resulted in better diversity of fish species and a better ‘balance’ between agricultural production value and FSR. Finally, both S_{abc} and S_{acd} are on the frontier because the KRS (D) reservoir in the scenario S_{acd} adversely altered the flow regime

585 by diverting more water for agriculture, thereby boosting agricultural production but simultaneously limiting the diversity of fish species.

4. Discussion

4.1 Hydrological impacts of reservoirs on flow regime



590 The analysis of different combinations of reservoirs shows that the storage volumes of reservoirs have a significant
impact on mean annual flows. For instance, a configuration adding a reservoir with high storage capacity and a large
command area for irrigated crops, such as KRS, leads to a notable decline in mean annual flow. Comparing scenarios
with different combinations of irrigation and hydropower reservoirs it is observed that including a hydropower
reservoir can mitigate mean annual extreme flow conditions by maintaining higher minimum flow levels during
595 critical periods. However, it also highlights that the presence of a hydropower reservoir situated upstream of an
irrigation reservoir may impact the frequency and duration of low flow pulses more than scenarios without hydropower
reservoirs. These findings emphasize the importance of considering the specific characteristics and objectives of
different types of reservoirs when evaluating their impacts on the flow dynamics. The findings are consistent with a
study conducted in the Lancang river in China where dams with storage capacities greater than 100 million m³ had
600 stronger impacts on streamflow regimes than smaller ones (Han et al., 2019).

Previous studies have indicated that hydropower dams cause monthly mean water levels to rise during the dry season
and fall during the wet season (e.g. Hecht et al., 2019). Even though the dry and wet seasons were not compared in
the current study, we find that combining irrigation reservoirs with a hydropower dam has less impact on river flow
605 regimes compared to combining reservoirs for irrigation purposes only. This is due to the regular water releases for
energy production that maintain river flows year-round. The study also highlights that the reservoir induced flow
alterations can be compensated by tributary flow regimes. For example, the flow regime of a tributary can offset the
low flow impact caused by a reservoir, resulting in a lower overall impact on the flow regime downstream. Similar
findings have been observed in other studies, where tributaries significantly contributed to controlling flooding in
610 downstream areas (Pattison et al., 2014).

4.2 Social and ecological impacts

In the present study, the average contribution of a reservoir to agriculture production was estimated to be ₹0.40 billion
per year (\$ 0.005 billion per year²). It not only supports food security but also contributes to economic development
615 and growth. Most of the horticultural crops and spices that are grown in the Upper Cauvery basin are exported to earn
foreign currencies. Fishing is another important ecosystem service supported by the river flow. The economic value

² 1 dollar equaled 81.66 rupees (₹) on 6 October, 2022.



of both commercial and subsistence fishing of the Cauvery River is estimated to be ₹35.93 billion per year (\$ 0.44 billion per year) (Pownkumar et al., 2022). While direct economic contribution of fisheries to human wellbeing is significantly lower than that of crop production, fish populations and species richness have a significant role in sustaining the river environment such as population dynamics down the food web (Carpenter et al., 1985). But the ecological importance of fisheries in maintaining ecosystem services and functioning, which is indirectly supported by fish species richness, is often ignored in river basin management decisions.

The primary objective of using FSR is therefore not to predict FSR values, but rather to demonstrate how different configurations of existing reservoirs can lead to different (fish) biodiversity conditions in the long run (since we are using averages of these two variables over 16 years). By assessing these relationships, it becomes possible to identify the potential impacts of reservoir configurations on the long-run biodiversity and ecological stability of the river systems. The scenarios containing the largest reservoir (KRS; D) had significant negative impacts on FSR due to declines in mean annual flows and the coefficient of variation of the low flow frequencies. When comparing scenarios that contained the hydropower reservoir with scenarios containing only irrigation reservoirs, the FSR values were higher in the former indicating that irrigation reservoirs more adversely alter the flow regimes with respect to FSR. Further, in contrast to configurations with two reservoirs, there was a significant difference in the FSR values amongst the scenarios of configurations containing three reservoirs due to greater alterations in flow characteristics.

In contrast, no significant difference in the economic value of agricultural production for different scenarios of configurations were observed based on storage volumes, the purpose of the reservoirs, and the orders of the streams on which the reservoirs are constructed. The economic value of agricultural production appears to be largely influenced by the area irrigated per unit volume of stored water in the reservoir. This means that if water is being stored for irrigation, then it should be used as efficiently as possible, *i.e.* by producing high value agricultural products, to maximize its value.

4.3 The role of PPFs in decision making

The production set in Figure 12 shows the different configuration of two ecosystem services that can be produced using available water resources. The levels of ecosystem services that lie on the production possibility frontier (the



645 outward boundary of the production set) represent the desirable production levels of the services. We limited our
analysis to the existing set of reservoirs and did not synthetically include new reservoirs. The latter might have
provided us with a more exhaustive set of points, but this would have been more difficult if not impossible to validate.

The analysis based on the configurations lying on the PPF revealed that large dams that do not maximize the value of
650 water stored, *i.e.* by growing low value crops in smaller command areas, affect both FSR and the economic value of
agricultural production adversely. Such reservoirs are least favourable, as they are Pareto inferior to other
configurations. In contrast, smaller reservoirs on tributaries (away from the main river stem) that grow high-value
crops and maximize the value of water stored are Pareto superior and most preferred. Small reservoirs then
significantly increase the value of the water while have a lower detrimental effect on areas upstream and downstream
655 (Van der Zaag and Gupta, 2008). For decision-making, this means that large reservoirs that do not maximize the value
of water stored should be discouraged and smaller more effective reservoirs should be encouraged if faced with a
choice between the two types of reservoirs. However, larger reservoirs are substantially less expensive (per m³ of
water storage capacity) than smaller reservoirs due to economies of scale, and as a result, the ecological costs must be
included during the cost-benefit analysis (Van der Zaag and Gupta, 2008).

660

4.4 Limitations of the study and future work

The limitations of the presented work and areas of further research are now briefly discussed.

4.4.1 Model assumptions and uncertainty

665 Since a standardized trigonometric operating rule curve was applied to all reservoirs (its parameters calibrated for
each reservoir), it is acknowledged that specific water releases from certain dams might not have been accurately
captured by the reservoir operations model. The results also indicate a bias in the modelled flows of two reservoirs
(Ekka et al., 2022). Therefore, enhancing the model calibration process may involve incorporating operating rule
curves that also consider specific reservoir functions and flow requirements.

670

Though it is acknowledged that the current analysis does not directly provide a practical solution, it highlights an
important consideration for reservoir planning and management. The paper presents a proof of concept of the trade-



off between the economic benefits of existing reservoirs for agricultural production and the potential negative impacts on fish diversity. However, to address this issue effectively, further investigation and field information are required.

675 To determine an appropriate threshold level of fish reduction, a comprehensive assessment of specific requirements of fish habitats, their migration patterns, and population dynamics in presence of reservoirs is needed. This involves studying factors such as water temperature, dissolved oxygen levels, substrate composition, and availability of food sources. Additionally, assessing the migration patterns of fish can help identify potential barriers created by reservoirs and develop mitigation measures to facilitate their movement. Furthermore, studying population dynamics will

680 provide insights into how the presence of reservoirs affects fish reproduction, growth, and overall population size.

4.4.2 On dominant ecosystem services in the construction of PPF

The current analysis of the Production Possibility Frontier (PPF) does not include the consideration of riverine and culture fisheries in reservoirs. These fisheries are estimated to have an economic value of approximately \$0.59 million

685 per year, representing around 12 percent of the economic value of agricultural production (\$5 million per year). Also, the economic value generated by hydropower was not considered because only one of the four existing reservoirs supported it. Moreover, the study assumed that when an irrigated area is associated with a reservoir that is withdrawn, it becomes unirrigated (rainfed). This assumption may have influenced the economic value of different scenarios, as farmers might adjust their production practices in response to the change in irrigation. Future research can also

690 consider synthetic reservoirs to more exhaustively explore alternative production sets and include values generated from multiple uses and changing cropping patterns.

5 Conclusion

The main objective of the paper was to evaluate the hydrologic, ecological, and economic impacts of multiple existing

695 dams in the Upper Cauvery River basin, India. To do so, a novel approach was presented that estimated the production of river ecosystem services using a landscape based hydrological model integrated with the modelling of the operations of multiple existing reservoirs at daily scale. The high resolution and robust simulation of pre-dam flow regimes offered the unique opportunity to assess the effects that cascades of existing reservoirs have on the river flow regimes downstream in a virtual experiment setting. Such a study has been conducted for the first time, especially for the case



700 of Indian river basins where pre-dam data is unavailable but there are increasing calls for environmental impact
assessment of large multiple dams (Erlewein, 2013; Lele, 2023).

The hydrological impacts of different configurations of reservoirs were assessed using Indicators of Hydrological
Alterations. The biophysical quantification of major ecosystem services, indicated by the economic value of crop
705 production and fish species richness, supported by the river were estimated and a production possibility frontier,
representing the tradeoff between the two, was quantified. The main findings that can enhance our understanding of
the effects of multiple existing dams on the provision of dominant ecosystem services and help optimize river
management plans are summarized below.

- the mean annual flow and annual extreme conditions of minimum and maximum flows are adversely affected by
710 the largest dam in terms of storage. In comparison to reservoirs used just for irrigation, scenarios of reservoirs used
for hydropower and irrigation have less impact on low flow pulses and low flow duration.
- the large dam in the sample did not maximize the value of water stored. We found that low value irrigated crops
were cultivated, which adversely affected both FSR and the economic value from agricultural production. Such a
reservoir is the least favourable and should be discouraged by policy makers.
- 715 • growing high value irrigated crops with a highly established command area served by small and medium reservoirs
can strike a favourable balance between agricultural production and fish species diversity.
- heavily altering the river landscape with reservoirs (e.g., by maximizing the number of reservoirs) provides a
superior result in the sense that it maximizes agricultural income. However, it may not be preferred by diverse
stakeholders such as fishers and environmentalists due to dismal biodiversity that it leads to, as indicated by fish
720 species richness (FSR). Such an option produces lowest FSR. This perhaps should be favoured less than a
configuration of reservoirs that strikes a favourable balance between agricultural production and fish species
diversity while still efficiently producing both. This goal could also be achieved by prioritizing the enhancement
of rainfed agricultural production. By doing so, we can potentially minimize the tradeoffs with other critical
ecological services compared to irrigated agricultural production. By reducing the tradeoffs with other ecological
725 services and enhancing water management practices, we can strive for a more sustainable and balanced approach
to water resource management in the basin.



Competing interests: One of the co-authors is a member of the editorial board of the journal Hydrology and Earth System Sciences.

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