



# Technical Note: Resolution Enhancement of Flood Inundation Grids

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## Abstract.

High-resolution flood maps are needed for more effective flood risk assessment and management. Producing these directly with hydrodynamic models is slow and computationally prohibitive at large scales. Here we demonstrate a new algorithm for post-processing low-resolution inundation layers by using high-resolution terrain models to disaggregate or downscale. The new algorithm is roughly eight times faster than current state-of-the-art algorithms, shows a slight improvement in accuracy when evaluated against observations of a recent flood, and is open source. The algorithm developed here can be applied in conjunction with a low-resolution hydrodynamic model and a high-resolution DEM to rapidly produce high-resolution inundation maps. For example, in our case study with a river reach of 20 km, the proposed algorithm generated a 4 m resolution inundation map from 32 m hydrodynamic model outputs in 33 seconds, compared to a 4 m hydrodynamic model runtime of 34 minutes. This 60-fold improvement in runtime is associated with a 25% increase in RMSE when compared against the 4 m hydrodynamic model results and observations of a recent flood. Substituting downscaling into flood risk model chains for high-resolution modelling has the potential to drastically improve the efficiency of inundation map production and increase the lead time of impact-based forecasts, helping more at-risk communities prepare for and mitigate flood damages.

## 1 Introduction

Over the past decade, there has been significant progress in the development and implementation of flood models for large continental river basins and at global scale. This is due to several factors including the rise in flood-related disaster damages, advancements in computing, and the availability and quality of global datasets (Ward et al., 2020; Nones and Caviedes-Voullième, 2020). As these models underpin risk management activities, ranging from early warning to land-use planning to disaster response, their accuracy and efficiency are important considerations for improving disaster resilience (De Moel et al., 2009).

Because of the computational demands of hydrodynamic models, resolution has been extensively studied and found to be one of the parameters of most importance for accuracy (Horritt and Bates, 2001; Fewtrell et al., 2008; Savage et al., 2016; Papaioannou et al., 2016; Alipour et al., 2022) with most finding a positive inundation area and positive flood depth bias at coarser resolutions (Saksena and Merwade, 2015; Mohanty et al., 2020; Ghimire and Sharma, 2021; Muthusamy et al., 2021; Banks et al., 2015). In a study comparing fine and coarse models with resolution ranging from 1 m to 50 m and identical



roughness, Muthusamy et al. (2021) used separate resolutions for the channel and floodplain. They found a positive bias in water depths and attributed it to the poorly defined coarse river channel and a subsequent reduction in conveyance. Focusing on the underlying terrain model grids or digital elevation models (DEM), various resampling methods have been evaluated and found to have similar accuracy when upscaling (Muthusamy et al., 2021; Saksena and Merwade, 2015) except at fine  
30 resolutions where buildings or structures introduce challenging discontinuities (Fewtrell et al., 2008).

There are three primary hazard grids included in most flood risk models: Water Depth ( $WSH$ ), Water Surface Elevation ( $WSE$ ), and the Ground Elevations ( $DEM$ ) which can be related by  $WSE = DEM + WSH$ . Often,  $WSE$  or  $WSH$  grids are produced from a hydraulic analysis or some model structured on the  $DEM$ , leading to a natural pairing of resolution, datum, and domain (i.e., the real-world region associated with the model). For large scale studies, the resolution ( $s$ ) of the  
35  $DEM$  is generally coarse (30-100  $m$ ), resulting from the process and data used to construct the terrain model, or from some post-process upscaling introduced to obtain the resolution desired by the hydraulic analysis (i.e., coarsening model resolution to reduce complexity and runtime). For this latter case, or any case where supplementary fine resolution ( $s_1$ ) DEM grids are available, applications like flood damage modelling or impact-based forecasting may benefit from enhancing  $WSE$  grids through downscaling or disaggregation to obtain a finer resolution without the need for expensive or unstable hydrodynamic  
40 modelling.

While many flood risk model studies maintain a single resolution throughout the analysis (Hall et al., 2005; Sairam et al., 2021), examples of both upscaling and downscaling hazard grids are common. Upscaling, where hazard model output grids are post-processed to coarsen resolution, is generally undertaken to facilitate intersection with some exposure data, which is generally the most coarse data grid in flood risk model chains. This upscaling is achieved either through simple averaging (Seifert  
45 et al., 2010; Sieg and Thielen, 2022) or some unspecified method (Thielen et al., 2016; Jongman et al., 2012). Examples of downscaling in the literature may employ it to reverse some earlier coarsening which was applied to improve hydrodynamic model stability or efficiency (Schumann et al., 2014; Sampson et al., 2015) or enhance some remote-sensing derived inundation product (Fluet-Chouinard et al., 2015; Aires et al., 2017).

In the first and only study (we are aware of) to investigate downscaling 2D calibrated hydrodynamic models, Schumann  
50 et al. (2014) developed a method using a nearest-neighbour search with  $N_4(P)$  adjacency (querying values from only the N, S, E, and W adjacent or neighbouring cells, rather than  $N_8(P)$  which queries all eight neighbouring cells) and a search radius of half the coarse resolution. The researchers tested their hypothesis using two models: a fine model with a 30  $m$  resolution and a coarse model with a 600  $m$  resolution, each calibrated separately. When comparing the downscaled grid to the results of the fine hydrodynamic model, they discovered a positive bias in water levels and negligible differences in volume. This  
55 method substantially improved computation times (compared to hydrodynamic modelling) and provided the basis for some large-scale flood models (Sampson et al., 2015; Bates et al., 2021). The CaMa-Flood project (Yamazaki et al., 2011) has developed a fortran script with a similar algorithm to downscale results of their global river model; however, this script has not been described in any publication we are aware of.

As part of their work to enhance the VIIRS (Visible Infrared Imaging Radiometer Suite) 375  $m$  resolution near real-time  
60 global flood inundation product, Li et al. (2022) developed a seven-stage downscaling and correction pipeline. Leveraging



global datasets for tree cover, land cover, permanent water bodies, and river networks, VIIRS water fractions were converted to water levels then corrected using simple hydraulic assumptions. These 375 m resolution water levels were downscaled and converted to depths by intersecting with a global 30 m DEM using a two stage algorithm. In the first stage, a nearest-neighbour search is employed with  $N_4(P)$  adjacency and a search radius of one fine pixel starting with the lowest elevation pixel and a similar process is applied to the dry cells in the second stage. This work demonstrates a useful application of downscaling to generate finer resolution flood-related earth observation data; however, the method is not directly applicable to enhancing coarse resolution water grids produced through hydrodynamic modelling because of the resolution of the underlying global datasets.

While downscaling flood grids is used in practice, to our knowledge few studies have evaluated the implications of this step and no studies have compared alternative algorithms. Addressing this, our objectives are two-fold: 1) present our newly developed downscaling approach; and 2) evaluate and compare our new approach to the state-of-the-art downscaling approach of Schumann et al. (2014) and two simple algorithms using a data-rich case study.

## 2 Resample Case

To better evaluate  $WSE$  downscaling algorithms, we adapt the *Resample Case* framework from Bryant et al. (2023) to classify each cell in the  $s2$  domain into one of four cases with similar disaggregation behaviour. Each case is defined by comparing the local coarse water depth value ( $WSH_{s2,j}$ ) to the corresponding fine values ( $WSH_{s1,i}$ ) where cell  $j$  is composed of a block of  $i$  cells as shown graphically in Fig. S1 and defined explicitly as:

$$case_j = \begin{cases} \text{dry-dry (DD)} & \text{if } \max(WSH_{s1,i}) = 0 \\ \text{dry-partial (DP)} & \text{if } WSH_{s2,j} = 0 \text{ and } \max(WSH_{s1,i}) > 0 \\ \text{wet-partial (WP)} & \text{if } WSH_{s2,j} > 0 \text{ and } \max(WSH_{s1,i}) > 0 \\ \text{wet-wet (WW)} & \text{if } \min(WSH_{s1,i}) > 0 \end{cases} \quad (1)$$

where the first part of the  $case_j$  label code is determined by the coarse cell ( $WSH_{s2}$ ), and the second letter by the extremes of the fine cells ( $WSH_{s1}$ ). The quadrants in Fig. S1b provide a simple example of four such groups whose corresponding case labels are shown on Fig. S1a. Because domain resample case classification is dependent on both input and output grids, classification is not directly used in any downscaling algorithms – instead, we use the framework to evaluate and communicate the behaviour of the algorithms. For example, downscaling wet-wet ( $WW$ ) cells is trivial for downscaling algorithms ( $WSE_i$  values are roughly equivalent to their corresponding  $WSE_j$  value), explaining why different algorithms employ similar sub-routines to treat these zones. Wet-partial ( $WP$ ) zones are similarly obtained, but with the additional step of removing dry cells with an exceedance mask (i.e., cells where  $WSE_{s1} < DEM_{s1}$  are removed). More difficult is the treatment of dry-partial ( $DP$ ) cells which require some propagation or searching beyond the original coarse ( $s2$ ) inundation footprint.



### 3 Methods

To validate and compare the novel resolution enhancement or downscaling algorithm, we first compute the requisite coarse  
90 resolution ( $s_2 = 32m$ ) input grid ( $WSE_{s_2}$ ) using a calibrated hydrodynamic model. Using this input grid ( $WSE_{s_2}$ ) and a  
fine-resolution terrain layer ( $DEM_{s_1}$ ) we apply the novel downscaling algorithm to compute a fine-resolution enhanced grid  
( $WSE_{s_1}$ ). Using the same inputs, we then compute similar enhanced grids for the state-of-the-art downscaling algorithm  
from Schumann et al. (2014) and two simple algorithms representing solutions of minimum complexity. These enhanced grids  
( $WSE_{s_1}$ ), along with results from a calibrated fine resolution hydrodynamic model ( $s_1 = 4m$ ), are then evaluated against the  
95 maximum inundation extents and high water marks observed during a 2021 flood in Germany to validate and demonstrate the  
performance improvements of the novel algorithm.

#### 3.1 Novel CostGrow Algorithm

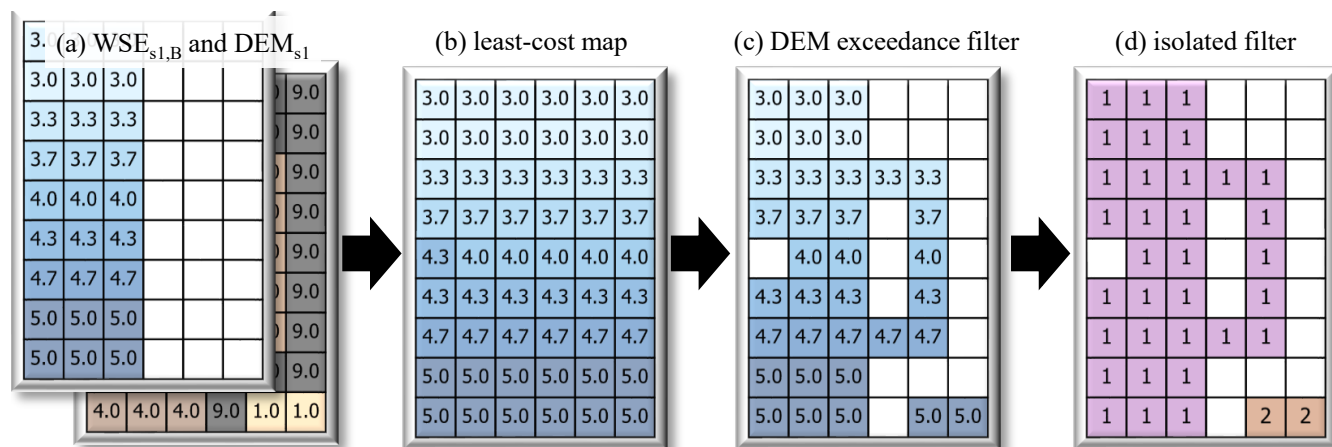
The novel *CostGrow* algorithm employs the four phases summarized in Fig. 1: 1) grid resampling; 2) least-cost mapping; 3)  
filtering high-and-dry sub-grid cells; and finally 4) an isolated-cell filter. In the first grid resampling phase, various techniques  
100 have been developed by others for applications in image analysis and spatial analysis (Bierkens et al., 2000) with bilinear  
being the most common for terrain manipulations in hydraulic applications (Heritage et al., 2009; Muthusamy et al., 2021) as  
it provides a smooth result while preserving centroid values. For downscaling, bilinear resampling computes the  $s_1$  value from  
the four adjacent  $s_2$  centroid values weighted by distance. *CostGrow* implements bilinear resampling from the popular spatial  
analysis package GDAL (GDAL/OGR contributors, 2022). In the second phase, the resampled grid is extrapolated using a  
105 cost-distance analysis to map each cell in the domain to the nearest grid value. This employs  $N_8(P)$  adjacency and a neutral  
cost surface (Lindsay, 2014, CostAllocation). In the third phase, high-and-dry cells are filtered from this cost-distance map by  
comparing cell-by-cell to the terrain values ( $DEM_{s_1}$ ). In the final phase, the filtered grid is converted to a binary inundation  
grid, from which each contiguous clump is identified and ranked according to size (Lindsay, 2014, Clump). From the largest  
clump, an inverted mask is generated and applied to the water level grid to remove isolated flooding cells from the result.

#### 110 3.2 Validation and Comparison

##### 3.2.1 Case Study, Data, and Hydrodynamic Modelling

To evaluate the aforementioned downscaling algorithms, data obtained from the July 2021 flooding of the Ahr River in Germany  
is used. This was the most extreme flood event to hit the region in living memory, with precipitation exceeding a 500-year return  
period (Dietze et al., 2022), peak discharge estimated between a 2,600- and 58,700-year return period (Vorogushyn et al., 2022),  
115 and 134 casualties in the Ahr valley (Szönyi M. and Roezer V., 2022). The data used for this study is summarized in Table S1  
and Fig. S2.

To construct the coarse water grid for use as an input in downscaling ( $WSE_{s_2}$ ) and a second grid for validation and com-  
parison ( $WSE_{s_1}$ ), coarse ( $s_2 = 32m$ ) and fine ( $s_1 = 4m$ ) resolution twin hydrodynamic models are calibrated to the observed



**Figure 1.** Toy example of the novel *CostGrow* downscaling algorithm showing: a) input fine resolution terrain grid (see Fig. 2a) and *Resample* water level grid ( $WSE_{s1,B}$ ; see Fig. 2c); b) domain-wide water level values with the least-cost distance to  $WSE_{s1,B}$  values; c) application of DEM exceedance mask to filter high-and-dry cells; and d) results of clump analysis, from which the isolated mask is generated to filter all but the largest group (1 in this case). See text for details.

inundation. The hydrodynamic models are constructed in the 2D raster-based *RIM2D* framework (Apel, 2023) and run on  
 120 a Tesla P100 GPU. *RIM2D* implements a simplified version of the shallow water equations after Bates et al. (2010). A re-  
 constructed hydrograph is used for the upstream boundary condition and other model parameters are described Apel et al.  
 (2022). The model terrain is generated through bilinear resampling of the bare earth DEM described in Table S1 to the target  
 resolution. For the treatment of urban areas, blocking-out buildings has been shown to be a more accurate way to represent  
 buildings (Bellos and Tsakiris, 2015); however, this effect is sensitive to resolution and difficult to implement consistently at  
 125 coarse resolutions; we therefore opted to increase roughness to avoid any confounding effects blocking-out may introduce into  
 our comparison of grids at different resolutions.

To obtain accurate maximum *WSE* grids from the twin hydrodynamic models, a calibration routine is used to optimize  
 model roughness using the Critical Success Index (CSI) (see Table S3 for definition) of the maximum simulated inundation  
 calculated against the observed inundation from Table S1. Two unique Manning's roughness values (built-up and channel/flood-  
 130 plain) are treated as free parameters for each model and optimized while a third roughness value for forested areas is held fixed  
 ( $n = 0.2$ ) as Apel et al. (2022) showed this third region to have negligible influence. The three roughness values were spatially  
 allocated according to land cover (Table S1) as described in Apel et al. (2022). Optimal parameters are obtained using a mix  
 of brute force and scipy's implementation of the Newton-Conjugate Gradient algorithm (Nocedal and Wright, 2006; Virtanen  
 et al., 2020).

135 The best performing roughness values for the twin hydrodynamic models  $s2 = 32m$  and  $s1 = 4m$  is 0.867 and 0.175 for  
 urban areas and 0.089 and 0.133 for channel areas with a CSI of 0.885 and 0.914 respectively as shown on Fig. S3 and S4.  
 These roughness values are substantially higher than those used in Apel et al. (2022)'s 10 m model, which is unsurprising

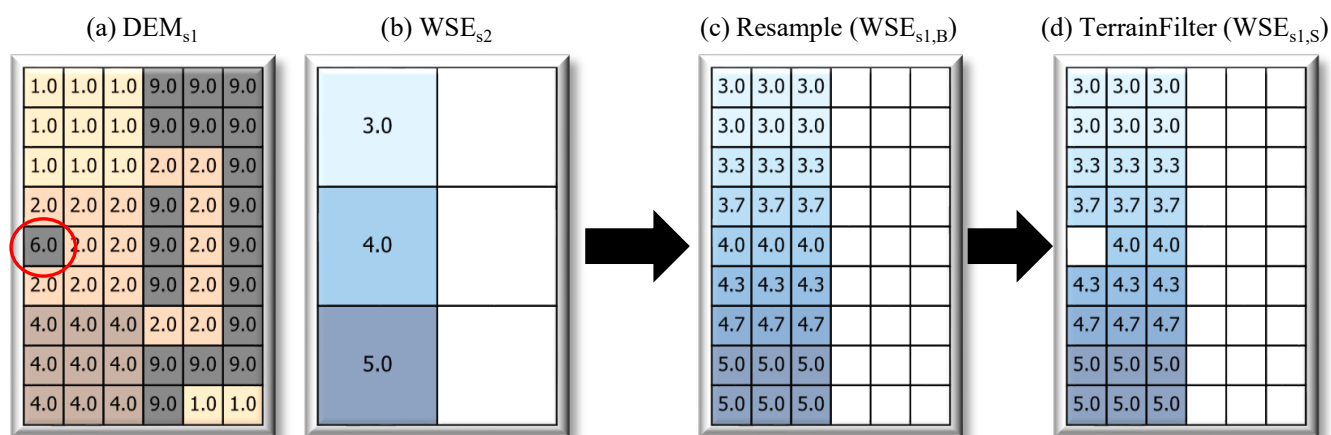


considering they blocked-out buildings which has the effect of increasing resistance in these regions without the need to increase roughness. Figures and additional performance measures for the optimization trials are provided in the supplement.  
 140 To remove any boundary effects, the hydrodynamic model results are cropped to a smaller domain for the downscaling analysis (13.4 x 6.6 km to 8.9 x 3.5 km; see Fig. S5).

### 3.2.2 Downscaling Algorithms for Comparison

To demonstrate the performance of the novel *CostGrow* algorithm relative to similar algorithms, the state-of-the-art from Schumann et al. (2014) and two simple algorithms representing solutions of minimum complexity are described below and  
 145 included in the comparison.

The first simple algorithm considered here is a bilinear grid resampling (see *Resample* in Fig. 2c) which is identical to the above described first phase of the novel *CostGrow* algorithm. This algorithm is the only one considered that does not make use of the fine resolution terrain values ( $DEM_{s1}$ ) and therefore carries an obvious limitation in wet-partial (*WP*) regions, where sub-grid high-and-dry ground elevations may be present within a wet coarse cell (see red circle in Fig. 2a). To address  
 150 this, the second simple algorithm we consider (see *TerrainFilter* in Fig. 2d) builds and applies a terrain exceedance mask ( $WSE_{s2} < DEM_{s2}$ ) which removes those cells where depths are negative from the resulting  $WSE_{s1}$ . Neither of these simple algorithms treat cells outside the wet coarse domain (i.e., the dry-partial (*DP*) zone shown in Fig. S1 remains dry).



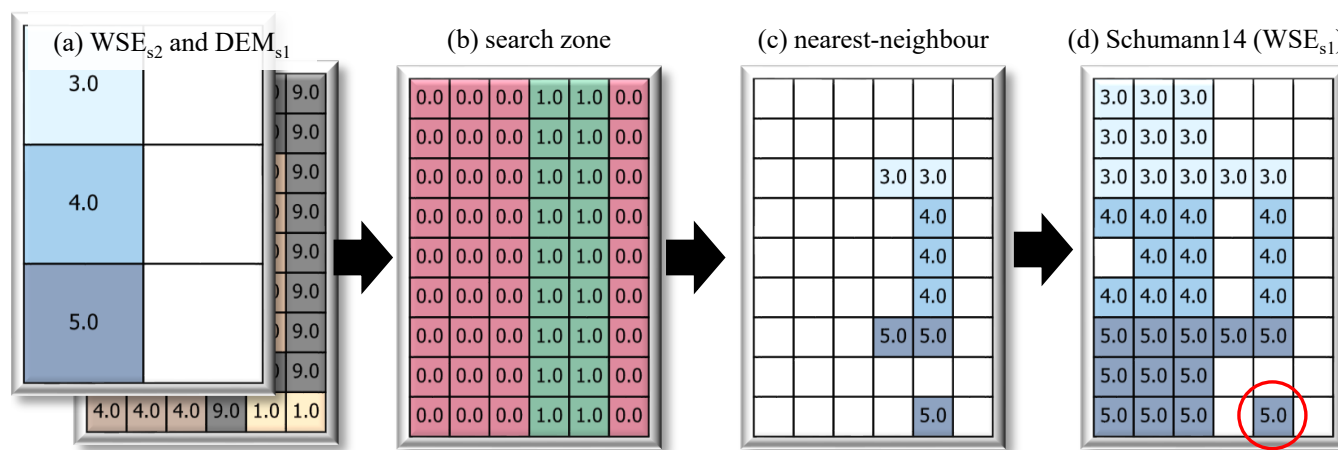
**Figure 2.** Toy example of simple flood downscaling inputs and algorithms showing: a) input fine resolution terrain grid; b) input coarse resolution water level grid; c) *Resample* downscaling result; and d) *TerrainFilter* downscaling result described in the text.

To the best of our knowledge, Schumann et al. (2014)'s method (*Schumann14*) is the state-of-the-art in 2D flood grid downscaling algorithms. This algorithm was developed to downscale 1D/2D hybrid inundation model results from 600 m to  
 155 30 m by employing a two tier approach: first, the 1D channel regions are downscaled assuming a horizontal plane; second, 2D floodplain regions are downscaled using a nearest-neighbour search. For our study, we focus on the floodplain portion of the algorithm which has roughly the three steps shown in Fig. 3. First, a search zone is built using a buffer of width one half





the coarse resolution around all wet cells in the coarse domain (i.e., wet-partial (WP) and wet-wet (WW) regions). Second, within the search zone, each fine ( $s_1$ ) cell searches for the nearest coarse ( $s_2$ ) cell using a nearest neighbour *city-block* (also called *Manhattan*) search algorithm which replicates the same  $N_4(P)$  adjacency used by their inundation model. Finally, the  $WSE_{s_1}$  nearest-neighbour search result is combined with a simple grid resample (also using nearest-neighbour) and a terrain exceedance mask is applied. While this algorithm improves upon the simple approaches, the blocky  $WSE_{s_2}$  values remain in the fine  $WSE_{s_1}$  result and isolated flooding artifacts are introduced (red circle in Fig. 3d). Finally, the algorithm was originally written in the *MATLAB* programming language and was not made public or widely shared.



**Figure 3.** Toy example of Schumann et al. (2014)'s floodplain downscaling algorithm showing: a) input fine resolution terrain grid and coarse resolution water level grid (see Fig. 2a); b) search zone; c) nearest-neighbour search result; and d)  $WSE_{s_1}$  downscaling result. See text for details.

165 Compared to the above *Schumann14* algorithm, we expect the novel *CostGrow* to be substantially more computationally efficient by avoiding the cell-by-cell nearest neighbour search. Further, we expect *CostGrow* to be slightly more accurate in reproducing fine resolution inundation extents by avoiding the fixed search radius and adding the isolated filter. Finally, we expect that the accuracy of water levels will improve due to the incorporation of the aforementioned inundation extent mechanisms in dry-partial regions and the replacement of the initial nearest-neighbour resampling with bilinear resampling.

170 These expectations are tested below using a case study. Table 1 provides a brief summary of all the downscaling algorithms considered by this study and the two hydrodynamic models used.

#### 4 Analysis and Results

Water level grids obtained from the twin calibrated hydrodynamic models and the four downscaling algorithms and their corresponding inundation performance are shown in Fig. 4. For the models, Fig. 4a4 and b4 show the fine ( $s_1$ ) parameterization while the results for the *Resample* downscaling algorithm (Fig. 4a0 and b0) show the performance of the coarse ( $s_2$ ) parameter-



**Table 1.** Downscaling algorithms and hydrodynamic models included in this evaluation showing resample  $case_j$  applicability (see Fig. S1) and case study runtime to achieve output grids ( $WSE_{s1=4m}$  for all except *Hydro. (s2)* which outputs  $WSE_{s2=32m}$ ).

name	$case_j$	runtime (secs)	method desc.
Hydro. (s1)	n/a	1917.0	fine ( $s1 = 4m$ ) hydrodynamic model
Hydro. (s2)	n/a	32.0	coarse ( $s2 = 32m$ ) hydrodynamic model
CostGrow	WW, WP, DP	1.0	<i>TerrainFilter</i> plus cost-distance mapping and isolated-cell filtering
Resample	WW	0.1	simple bilinear grid resampling
TerrainFilter	WW,WP	0.2	<i>Resample</i> plus filtering of high-and-dry cells
Schumann14	WW, WP, DP	8.7	nearest-neighbour search and grid resampling from Schumann et al. (2014)

ization (because this algorithm does not alter the coarse extents). This suggests both parametrizations reproduce the observed inundation well, with the fine ( $s1$ ) obtaining a slightly better CSI as expected considering the complex topography. The coarse ( $s2$ ) model converged on lower water levels and extents to obtain the optimal CSI, as shown by the lower Error Bias (0.205 vs. 1.029) and the calibration contour plots (Fig. S3 and S4).

180 Fig. 4 suggests there are some discrepancies between the observed inundation, which has a single contiguous inundation extents without holes, and the DEM which contains some micro-topography that likely would have remained dry during the flood (see Fig. 6 point A), suggesting the observed inundation is slightly conservative (i.e., over-estimates the true flood extents). These discrepancies may be attributable to the methods used by Landesamt für Umwelt Rheinland-Pfalz (2022) to map the extents or the earthworks undertaken between the inundation mapping surveys (late July) and the LiDAR survey  
 185 (October). Regardless, these discrepancies are relatively minor and we consider them negligible for our research objective of evaluating the *CostGrow* algorithm.

Comparing the inundation performance of the downscaling algorithms in general, the more complex algorithms performed better, with the novel *CostGrow* and *Schumann14* algorithms performing similarly. Specifically, the four common inundation performance metrics in Fig. 4 panels b0-b3 show that *CostGrow* and *Schumann14* have nearly identical performance, with  
 190 all metrics (with one exception) out performing the simple algorithms *Resample* and *TerrainFilter*. The exception being that *TerrainFilter* has the lowest False Alarm rate (0.014), an artifact which can be explained by two concurrent hypothesis: 1) the *TerrainFilter* algorithm does not address dry-partial (*DP*) regions but does filter wet-partial (*WP*) regions, giving it the smallest inundation area and making it the least likely to over-estimate inundation; and 2) the over-estimation bias in the observed inundation discussed earlier favours methods that under-estimate.

195 The inundation metrics reported in Fig. 4 are sensitive to both the hydraulic character of the study region (e.g., a broad-flat floodplain or different boundary conditions we expect to yield different metric values) and the particular domain selected for analysis. For the results reported here, we selected the domain (8.9 x 3.5 km) by balancing hydraulic continuity, controlling for boundary effects, and computational cost; however, other similar domains were tested during study preparation and the relative ranking of performance between the downscaling algorithms was found to be consistent, with *CostGrow* outperforming  
 200 *Schumann14* slightly for some domains. For example, the CSI of the detail area (Fig. 4 blue box) is 0.813 for *CostGrow* and





0.811 for *Schumann14*. This aligns with our expectation that *CostGrow*'s inundation results would slightly outperform that of *Schumann14* given the absence of a fixed search radius and use of an isolated filter in the *CostGrow* algorithm. Regardless, this evaluation suggests that, while gains in computation performance are substantial, gains in inundation performance over *Schumann14* are negligible.

205 Examining the water level performance of the hydrodynamic models, Fig. 5 shows that the coarse ( $s_2 = 32m$ ; panel a0) and fine ( $s_1 = 4m$ ; panel c1) reproduce the observations well. This is remarkable considering the models were calibrated on inundation extents (using CSI), not water levels, and that the water levels are reported by residents. Similar to inundation performance, Fig. 5 also shows the fine model ( $s_1 = 4m$ ) performs best while the coarse ( $s_2 = 32m$ ) carries a slight negative bias (see Fig. S5 for a map of *WSE* differences between  $s_2 = 32m$  and  $s_1 = 4m$ ). Fig. 5 also shows that, like for inundation  
210 performance, *CostGrow* and *Schumann14* have better performance than the simple algorithms and the coarse hydrodynamic model; however, the performance of *CostGrow* slightly surpasses that of *Schumann14*. Given the more comparable inundation performance, we conclude the advantage seen here emerges from *CostGrow*'s application of bilinear resampling as opposed to *Schumann14*'s nearest-neighbour resampling; however, owing to the relatively small scale ratios (4:32), this advantage is minor. Comparing the simple algorithms in Fig. 5 (panel c0 and a1) shows that the treatment of wet-partial regions provides no  
215 improvement in reproducing high water marks, unlike the advantages seen for inundation performance. We hypothesize this owes to the absence of any high water mark observations on dry cells in wet-partial regions. In other words, *TerrainFilter* only improves the filtering of False Positives when compared to the *Resample* result and False Positive regions can not be evaluated by high water mark observations (as these regions are dry in reality).

Focusing on a small region, Figure 6 shows a portion of the domain where floodwaters likely flowed behind a highway  
220 embankment along a small frontage road travelling underneath an overpass (point E). Because the observed inundation layer was mapped primarily by air (Landesamt für Umwelt Rheinland-Pfalz, 2022), this observation data shows the area underneath the overpass as dry; therefore any simulated inundation in this area is marked False Positive (FP) — supporting our hypothesis that the observed inundation is slightly conservative. Other performance and behavioural differences between the algorithms can also be seen in this area: the lack of treatment for wet-partials (point A) and dry-partials (point B) in the simple algorithms;  
225 how *CostGrow* is not limited to a search radius like *Schumann14* for dry-partial treatment (point C); the isolated inundation artifacts in *Schumann14* (point D); and the blocky result of *Schumann14*'s nearest-neighbour resampling in wet-wet (WW) and wet-partial (WP) regions (panel b1).

Runtimes for the twin hydrodynamic models and the four downscaling algorithms are shown in Table 1. As expected, the algorithms of higher complexity also have higher runtimes; however, all downscaling algorithms are substantially faster than  
230 the hydrodynamic models. Similarly, the novel *CostGrow* algorithm is substantially faster than the state-of-the-art algorithm from Schumann et al. (2014). However, minimal effort has been invested in optimization and these runtimes may be improved through parallelization and other code improvements. Regardless, because Schumann et al. (2014)'s algorithm employs a cell-by-cell nearest-neighbour search, this algorithm is fundamentally less efficient than those like *CostGrow* which employ least-cost mapping.



235 Comparing alternate approaches to obtain fine resolution flood grids (4 *m* in this case), the total runtime of a pipeline  
implementing the *CostGrow* downscaling algorithm (on top of the coarse hydrodynamic model) was roughly 33 seconds  
versus the 34 minutes necessary for our 4 *m* native hydrodynamic model, a 60-fold improvement. This reduced runtime has  
a corresponding loss in inundation accuracy of 0.03 CSI and high water mark accuracy of 0.14 RMSE when comparing the  
*CostGrow* downscaling algorithm pipeline to the 4 *m* native hydrodynamic model for our study. Were a slower hydrodynamic  
240 model used (e.g., a non GPU-parallelized platform) or a larger downscaling ratio (32:4 in our case) the efficiency gain of  
downscaling over fine hydrodynamic modelling would increase. For example, during study development we implemented  
similar twin hydrodynamic models in the LISFLOOD-FP 8.1 framework using a second-order discontinuous Galerkin solver  
which implements the full shallow water equations (Shaw et al., 2021). Executed on 8 CPU cores the runtime for these models  
was 7100 mins and 0.43 mins for the 4 *m* and 32 *m* discretizations — were a *CostGrow* downscaling algorithm pipeline  
245 implemented with this setup we estimate a 16,000-fold improvement in runtime to obtain a comparable  $WSE_{s1=4m}$  grid.

Future work should explore evaluation techniques for flood-related algorithms, where the techniques are less sensitive to  
study area, domain size, hardware, and software of a particular study. For example, a collection of fully-open data-rich flood  
events with a wide range of hydraulic character would facilitate more meaningful comparisons of model or algorithm perfor-  
mance across platforms and between researchers. Further, such additional case studies with varied hydraulic character would  
250 help to quantify and communicate the benefits and limitations of downscaling in different hydraulic regimes. To better sup-  
port the emerging needs of impact forecasting, where 2D-velocity grids are sometimes desired, pursuing a method that is also  
capable of downscaling velocity could be of use; however, this would require blocking-out buildings in the hydrodynamic  
model. Further performance enhancements of downscaling methods may be found by incorporating machine learning tech-  
niques originally developed for image enhancement which have recently been applied to enhance terrain models (Demiray  
255 et al., 2021).

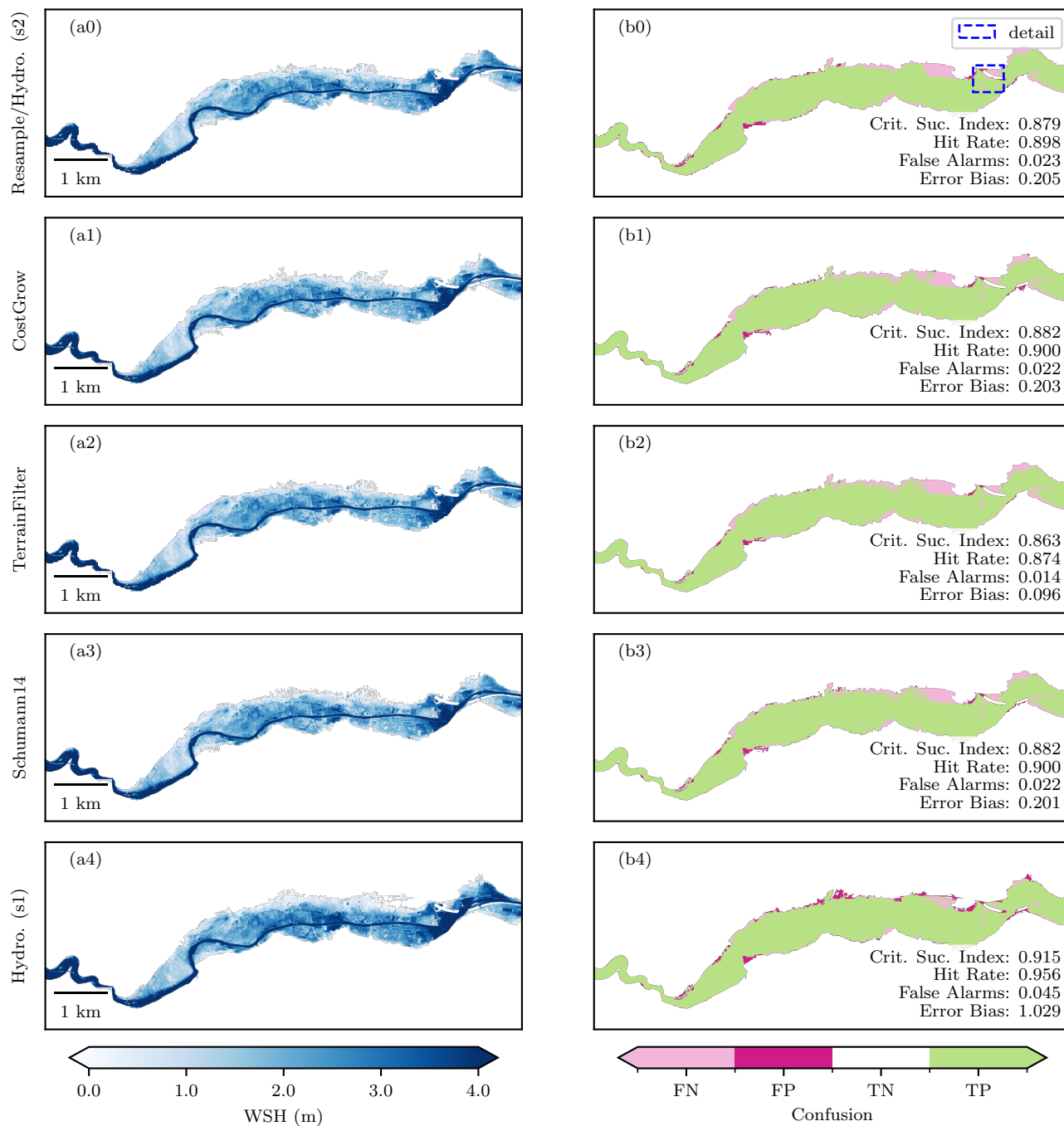
## 5 Conclusions

This study has developed, demonstrated, and evaluated the novel *CostGrow* algorithm for resolution enhancement or down-  
scaling of flood water surface grids. This algorithm outperforms the state-of-the-art, with a six-fold improvement in runtime  
for our case study, and a slight improvement in accuracy. When compared to results obtained through fine resolution hydro-  
260 dynamic modelling, the proposed downscaling algorithm (in conjunction with coarse resolution modelling) showed a 60-fold  
improvement in runtimes with a slight loss of accuracy.

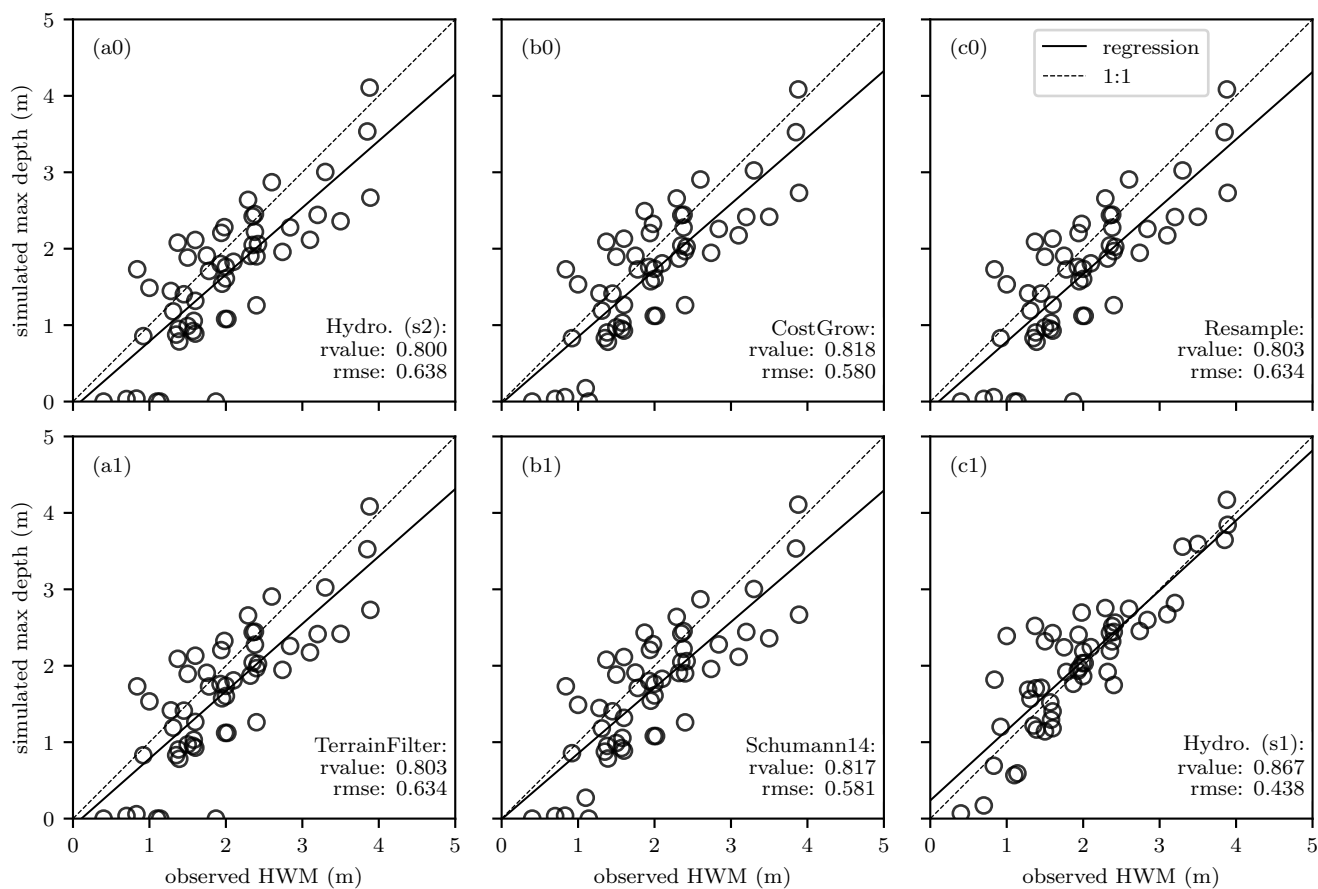
In general, coarse modelling in conjunction with downscaling is shown to be an effective means of obtaining fine resolution  
inundation grids at a fraction of the computational cost. However, the utility of employing downscaling to obtain fine resolution  
grids is limited by the availability and quality of fine resolution DEMs. A potential application of downscaling is to facilitate  
265 the post-processing of fine resolution inundation results layers from global models for data-rich regions where a local fine  
resolution DEM is available. This could be a cost effective way to deliver fine resolution inundation maps to any region on  
the globe without the need for specialized modelling expertise or resources. Regardless, the lack of attention to the subject



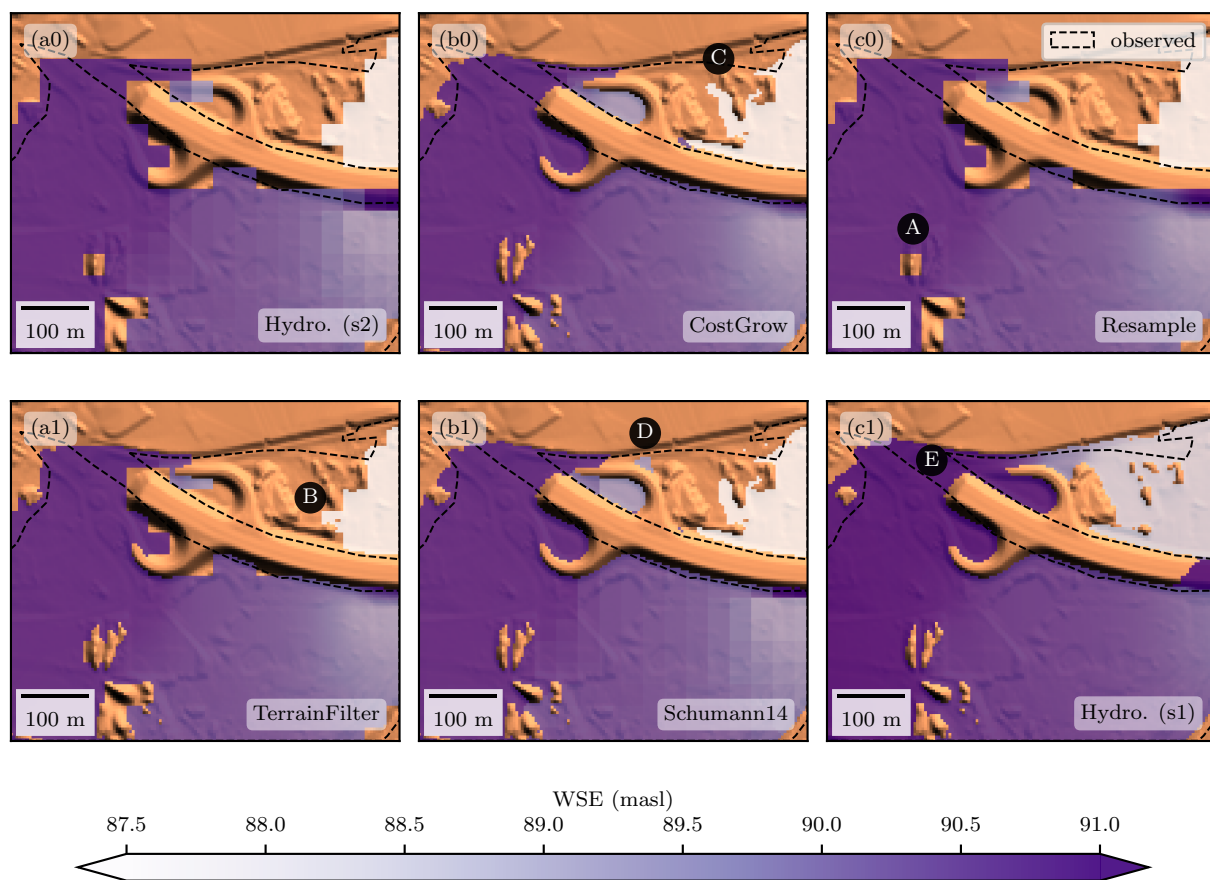
of downscaling in the academic literature suggests some space remains for downscaling to improve the efficiency of model chains. Towards this, the downscaling algorithms developed in this study have been made open source and are available as  
270 QGIS processing scripts (<https://github.com/cefect/FloodRescaler>).



**Figure 4.** Downscaled and hydrodynamic model  $WSH_{s1}$  results and corresponding inundation performance. Left-side panels (a) show the water depth grids ( $WSH_{s1}$ ) obtained from the coarse and fine hydrodynamic model (a0 and a4) and the four downscaling algorithms (a0-a3; see Table 1 and text for description). Similarly, the right-side panels (b) show the domain inundation classification confusion map (False Negative (FN), False Positive (FP), True Negative (TN), and True Positive (TP) cells – see Table S2) and the common inundation performance metrics defined in Table S3. The *Resample* algorithm and coarse hydrodynamic model results (*s2*) are identical and therefore shown as one panel. See Fig. 6 for detail area.



**Figure 5.** Linear correlation between July 2021 resident-reported high water marks (see Table S1) and maximum simulated depth of twin hydrodynamic models (a0, c1) and downscaling algorithms (b0, c0, a1, b1).



**Figure 6.** Downscale and hydrodynamic model *WSE* detail results. Observed inundation is shown in black for reference. See Fig. 4b0 for location.





*Code availability.* Scripts used in downscaling analysis and figure production are available on GitHub (<https://github.com/cefect/FloodDownscaler/releases/tag/v0.1>). QGIS Processing scripts for downscaling are provided in the FloodRescaler project (<https://github.com/cefect/FloodRescaler>).

*Data availability.* Case study data used to construct the hydrodynamic models and perform the validation are summarized in Table 1 and the corresponding references (all data except the high water marks are public). Grids used in the downscaling analysis are available upon  
275 request.

*Author contributions.* SB developed the concept, analysis, and writing with contributions from other co-authors. SB developed the software, formal analysis, and investigation. GS provided the MATLAB source code for the *Schumann14* algorithm. HA requested and managed the calibration data and provided early versions of the hydrodynamic models. BM and HK provided supervision.

*Competing interests.* The authors declare that they have no conflict of interest.



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