



1                   **Exploring the provenance of information across**  
2                   **Canadian hydrometric stations: Implications for**  
3                   **discharge estimation and uncertainty quantification**

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11                  **Key Points:**

- 12                  • The Water Survey of Canada's standard operating procedures in estimating dis-  
13                  charge from stage values are explored and explained.  
14                  • Given standard operating procedures, four major discharge and uncertainty es-  
15                  timation categories were identified using a standalone Python workflow.  
16                  • 67% of the reported discharge values in the operational database could be explained  
17                  following the concept of rating curves and temporary shifts.  
18                  • Users of hydrometric datasets are encouraged to understand the provenance of that  
19                  data, and its fitness for purpose, alongside spatial and temporal differences in un-  
20                  certainty.



21 **Abstract**

22 Accurate discharge values play a critical role in water resource planning and manage-  
23 ment. However, it is common for users, modelers, and decision-makers to consider these  
24 values as true and deterministic, despite the subjective and uncertain nature of the es-  
25 timation process. To address the issue, this study was conducted to identify the discharge  
26 estimation methods and associated uncertainties of hydrometric measurements in Canada.  
27 The study involved an exploration of multiple operating procedures for rating curve con-  
28 struction and discharge estimation across 1800 active Water Survey of Canada (WSC)  
29 hydrometric stations using an independent workflow. The first step involved understand-  
30 ing the discharge estimation process used by the WSC and the standard operating pro-  
31 cedures (SOP) for inferring discharge from stage measurements. During the implemen-  
32 tation of the workflow, it was observed that manual intervention and interpretation by  
33 hydrographers were required for time-series sequences labeled as "override" and/or "tem-  
34 porary shift". The workflow demonstrated that 67 % of existing records could be ade-  
35 quately recreated following the rating curve and temporary shift concept, while 33 % fol-  
36 lowed the other discharge estimation methods (override). Novel methods for discharge  
37 uncertainty estimation should be sought given the practices of override and temporary  
38 shift by the WSC. This study attempts to reconcile the significant issue of estimating  
39 uncertainty in published discharge values, particularly in the context of open science and  
40 Earth System modeling. By collaborating with the WSC, this research aims to improve  
41 the understanding of the processes used for discharge estimation and promote wider ac-  
42 cess to metadata and measurements for more accurate uncertainty quantification.



43 **Plain Language Summary**

44 This study provides insight into the practices that are incorporated into discharge  
45 estimation across the national Canadian hydrometric network operated by the Water Sur-  
46 vey of Canada, WSC. The procedures used to estimate and correct discharge values are  
47 not always understood by end-users. Factors such as ice cover, and sedimentation limit  
48 the ability of accurate discharge estimation. Highlighting these challenges sheds light on  
49 difficulties in discharge estimation and associated uncertainty.



## 50 1 Introduction

51 River discharge or streamflow has significant importance for planning, impact and  
52 sustainability assessment, and Earth System modeling (McMillan et al., 2017; Shafei et  
53 al., 2022). River discharge is the integration of other fluxes such as precipitation, evap-  
54 oration, and soil moisture level at catchment- and basin-scale and hence carries impor-  
55 tant information about the natural and anthropogenic processes. Given this importance,  
56 the national gathering of river discharge data is typically a data product that govern-  
57 ments provide as basic national infrastructure to support decision-making, planning, and  
58 water management objectives of governments, industry, and private sectors.

59 River discharge values are typically obtained by using a relationship called rating  
60 curve (Rantz, 1982) to convert measurements of stage values (water level) to estimates  
61 of discharge (water volume over time). The direct discharge measurements are made us-  
62 ing velocity measurement techniques such as velocity/flow meters, Acoustic Doppler sys-  
63 tems, or other techniques. Each measurement technique, device, frequency, and rule re-  
64 sult in various error magnitudes (Pelletier, 1989). Rating curves are developed through  
65 occasional discharge measurement activities in the field, where hydrographers relate those  
66 direct measurements to river stages. The structure of the residuals model for rating curves  
67 can then be characterized by comparing measurements to rating curves. The residuals  
68 model can then be used, often in a straightforward way, to estimate discharge uncertainty  
69 from continuous stage measurement (Whalley et al., 2001; Cohn et al., 2013; Coxon et  
70 al., 2015; Huang, 2018; Kiang et al., 2018).

71 In addition, errors in discharge values also stem from the (limited) capability of rat-  
72 ing curves to represent time-dependent changes in stage-discharge relationships. Such  
73 time-dependent changes in river conditions come from local hydrodynamics and envi-  
74 ronmental conditions. This includes time-dependent changes in river conditions that in-  
75 troduce backwater effects due to sedimentation, and vegetation growth or ice formation,  
76 amongst others. The stage-discharge relationships defined by rating curves are gener-  
77 ally functional forms (single curve) while in reality, they may be hysteretic due to the  
78 dynamic nature of water movement in the channel (Tawfik et al., 1997; Wolfs & Willems,  
79 2014; Lloyd et al., 2016; Gharari & Razavi, 2018). For example, the rising limb and falling  
80 limb of a flood hydrograph may exhibit different discharge values for the same stage. This  
81 difference between the assumed stage-discharge relationship and the dynamic nature of  
82 the stage-discharge relationship is a source of uncertainty (among many other sources  
83 of discharge uncertainty).

84 Lastly, *standard operating procedures* or SOPs that are developed and used by hy-  
85 drometric agencies for translating water level to discharge are often established for con-  
86 stant re-assessment. In many instances, the stage-discharge relationship can be subject  
87 to the hydrographers' intervention. As an example, the process of creating a rating curve  
88 from observational discharge measurement may need to follow agreed-upon institutional  
89 or organizational procedures. In addition, updating rating curves over time, to try to main-  
90 tain the accuracy of relationships, may result in more challenges in uncertainty quan-  
91 tification associated with the rating curve.

92 Given the differences in operating procedures, separating the above sources of un-  
93 certainty quantitatively is challenging and needs an extensive understanding of the oper-  
94 ating procedures to determine the magnitude of each of the sources of uncertainty. De-  
95 spite this difficulty, the communication of the discharge uncertainty is becoming increas-  
96 ingly important as hydrological, water quality, and water management models, which  
97 are often used for decision-making, are based on these published and approved estimates  
98 of river discharge.

99 The study's ultimate goal is to assist with the quantification of uncertainty in the  
100 discharge measurements taken at Canadian hydrometric stations. The study seeks to iden-  
101 tify critical decisions at the WSC's quality assurance and management system (QMS)  
102 to aid in this process. The study is a necessary step in diagnosing the issue of discharge  
103 uncertainty estimation in Canadian hydrometric stations. The study seeks to answer the  
104 following questions:



- 105 • What are the standard operating procedures followed by hydrographers at the WSC  
106 for discharge estimation?
- 107 • What are the critical decisions at the WSC that affect discharge estimation and  
108 associated uncertainties and how they can be categorized?
- 109 • How can access to metadata and measurements be improved to aid in the estima-  
110 tion of discharge uncertainty for Canadian hydrometric stations?

111 This paper is organized as follows. First, the terminologies are introduced to fa-  
112 miliarize readers with the institutions, SOPs, concepts used in this study, and the work-  
113 flow from data acquisition to river discharge estimation. This is followed by the results  
114 section where examples of rating curves and their relationship to observations of stage-  
115 discharge values are discussed. The estimated discharge values by WSC are reproduced  
116 using the available stage values and information in the production system. The paper  
117 concludes by discussing the findings and suggestions for essential data acquisition and  
118 archiving that will allow for better uncertainty estimation for Canadian hydrometric sta-  
119 tions.

## 120 2 Data, Terminologies, and Methodologies

### 121 2.1 Canada's hydrometric monitoring program

122 Canada like many other nations has invested heavily in its national hydrometric  
123 monitoring program through the Water Survey of Canada, WSC, and in the publicly avail-  
124 able national service and historic discharge records (refer to Table-1 for terminologies  
125 that are used in this work). WSC is a unit of the National Hydrological Service for Canada  
126 which is housed within the Canadian Government and is part of the Federal Department  
127 of Environment, known as Environment and Climate Change Canada (ECCC). WSC,  
128 an ISO 9001-certified organization, oversees the collection, harmonization, and standard-  
129 ization of discharge information in a cost-shared partnership with provincial and terri-  
130 torial governments across Canada. WSC divides its data into 5 regional entities: (1) Pa-  
131 cific and Yukon Region (British Columbia and Yukon), (2) Prairie and Northern Region  
132 (Alberta, Manitoba, Saskatchewan, Northwest Territories, and Nunavut) (3) Ontario Re-  
133 gion, (4) Québec Region, (5) Atlantic Region (New Brunswick, Newfoundland, and Labrador  
134 Nova Scotia, and Prince Edward Island). The Ministère de l'Environnement et de la Lutte  
135 contre les changements climatiques operates the majority of the Quebec hydrometric sta-  
136 tions and contributes these data to the national database under the cost-share agreements  
137 and partnerships. Other provinces, also operate their stations and contribute to the net-  
138 work. WSC monitoring stations include measurements in real-time of water levels in lakes  
139 and rivers and real-time river discharge estimation for the majority of its active stations.  
140 WSC, currently, operates approximately 1800 active stations across Canada with its part-  
141 ner for discharge estimation. The number of active stations has changed over time while  
142 some historical stations are discontinued (not active currently). Detailed descriptions of  
143 the history of the WSC, its partnership, and technical evolution are documented (Halliday,  
144 2008; Kimmett, 2022).

### 145 2.2 Overview of Current Production System

146 WSC uses the Aquarius™ operation system maintained and operated by Aquatics  
147 Informatics. Aquarius™ is used for interaction with the operational database and ma-  
148 nipulation of values for discharge estimation. This system was tailored to the WSC SOPs  
149 and QMS, and has been in use since 2010. The Aquarius™ system allows for real-time  
150 water level reporting and flow data estimations for most WSC stations equipped with  
151 telemetry systems. These stage values go through automated checks to account for faulty  
152 readings. Meanwhile, WSC hydrographers may perform discharge activity and enter the  
153 measured discharge values into the system. The estimated discharge may then be used



154 to correct based on discharge measurements, depending on conditions. The hydrographer  
155 might decide to apply or change previously estimated discharge values based on discharge  
156 measurements and other environmental factors or move on with testing a new rating  
157 curve. Aquarius™ including its graphical user interface or GUI, provides many options  
158 to hydrographers to revise the discharge values, smooth discontinuities, and fill gaps  
159 among others. These provisional data are later quality assured and approved using a rigorous  
160 approval process. The aggregated discharge values at daily temporal resolution are  
161 disseminated publicly through the National Water Data Archive of Canada called HY-  
162 DAT.

163 The most important and easily measured variable in hydrometry is *stage* or *water level*.  
164 The accurate measurement of stage values is crucial as it is the main variable used  
165 in combination with the rating curve to estimate discharge. The recorded stage values  
166 are at temporal resolutions programmed into the field-based logger system and are  
167 typically in the order of minutes. It is noteworthy to mention that the stage logger time  
168 steps are currently set at 5 minutes, in the past, the observation of the stage values would  
169 vary between sites and be recorded as daily, half-daily, hourly, or quarter-hourly depending  
170 on the station. Therefore the stage time series might have various temporal resolutions  
171 over the long-term historical record.

172 Discharge values are also reported at temporal logger resolution in the production  
173 database. The reported discharge values are accompanied by quality assurance flags that  
174 identify the condition under which the river discharge is estimated (explained in Table-  
175 1). There is information in the production database regarding *field visits* which include  
176 checking of the instruments or *stage-discharge measurements* that includes the direct measurement  
177 of river discharge using techniques such as *mid-section*, using standard flowmeters,  
178 or *Acoustic Doppler* equipment. In practice, multiple discharge measurements are made  
179 to determine a consistent flow estimate, particularly when the measured discharge deviates  
180 substantially from the expected discharge estimate derived from the rating curve (stage-  
181 discharge relationship). The discharge measurement activities are essential to confirm or  
182 adjust rating curves.

183 The earliest records of stage values, in the current WSC operational database, are  
184 from the mid-1990s. These data were transferred from the previous newleaf production  
185 system when Aquarius™ was first introduced. The reader should note what is contained  
186 in the operational database is only a fraction of the existing historical time series that  
187 exists in various forms at WSC regional offices or earlier database systems. For example,  
188 for the Bow River at Banff station located in the province of Alberta, the stage and  
189 associated estimated discharge records start from 1995 in the operational database while  
190 the reported discharge in the HYDAT dataset goes back to 1909. Similarly, the earliest  
191 records of observational field discharge measurements and the earliest rating curve  
192 recorded for each station in the operational database extend mostly to the 1970s and 1980s.  
193 For the same station, the existing rating curves in the operational database system begin  
194 in 1990, despite over 100 years of record. Earlier rating curves cannot be accessed  
195 from the operational database as they have not been transferred into this system, however,  
196 all records are available, many in hard copies in the WSC regional offices. This is  
197 a similar story for historical field discharge measurements; not all the earlier historical  
198 observations have been carried over to the current operational database. Again, for the  
199 Bow River at Banff station, the earliest observational discharge in the operational database  
200 is from 1986. The difference between the period of the digital operational database accessible  
201 by Aquarius™ and records that exist at WSC regional offices needs to be emphasized  
202 since the present analysis is limited to data that is contained in the current operational  
203 database.

204 The focus of this study is only on active stations. Each station is defined by a *station ID*.  
205 The station ID is a unique identifier for each hydrometric station and its approximate  
206 location using a standard WSC naming convention. In this convention, the first two digits  
207 define the major drainage basin in which the station is located (01-11, see Figure-1),  
208 The two digits are followed by two letters that define the location of sub-

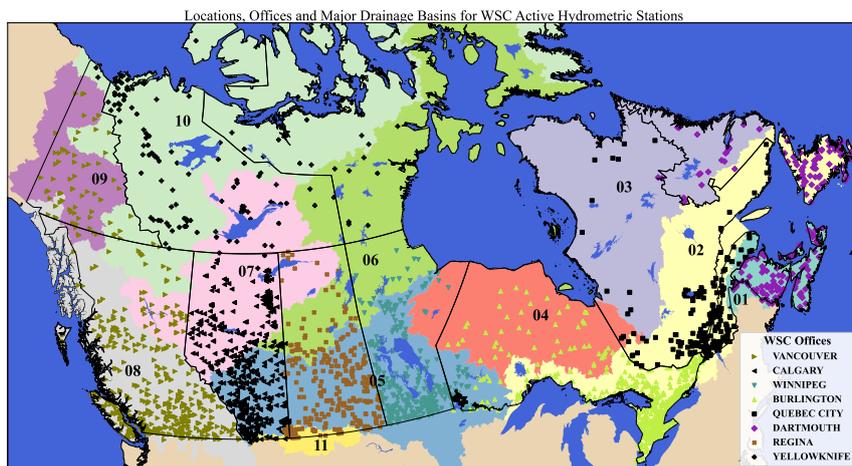


Figure 1: Location of active hydrometric stations across Canada. The eleven major drainage basins are (01) Maritime Provinces, (02) St. Lawrence, (03) Northern Quebec and Labrador, (04) Southwestern Hudson Bay, (05) Nelson River, (06) Western and Northern Hudson Bay, (07) Great Slave Lake, (08) Pacific, (09) Yukon River, (10) Arctic, and (11) Mississippi River. These digits are the first two characters in station IDs. The province of Quebec stations that are operated by Ministère de l'Environnement et de la Lutte contre les changements climatiques of the Province of Québec are not included in the WSC production database, nor are stations operated by other government agencies, crown or private corporations.

209 basins ordered from headwaters to the mouth in each major drainage basin (AA, BA,  
210 BB, BC, etc). The ID ends with a three-digit sequential number of the station in sub-  
211 basins. As an example, the station ID of Bow River at Banff, 05BB001, indicates it was  
212 the first station in sub-basin BB that is located in Saskatchewan/Nelson River basin iden-  
213 tified by the leading code of 05.

### 214 2.3 Rating Curves

215 Rating Curves are perhaps the most commonly used method for river discharge es-  
216 timation derived from stage observations. Rating curves are functional hydraulic rela-  
217 tionships that relate river stage values to discharge values. In the WSC operational database,  
218 each rating curve is tied to an effective period, from a start to an end date, where the  
219 rating curve is considered the valid expression to estimate discharge values from stage  
220 records. Rating points are pairs of stage and discharge values that define the form of the  
221 rating curve functions (red points on Figure-2a,b). For the interpolation between the two  
222 consecutive rating curve points, the Water Survey of Canada uses two major approaches:  
223 (1) *linear table* (2) *logarithmic table*. In a linear table, a linear relationship is assumed  
224 between the rating points (Figure-2a), while in a logarithmic table, a logarithmic rela-  
225 tionship is used instead (Figure-2b). The logarithmic relationship is defined by the form  
226 of  $Q_t = a(H_t - O)^b$  with parameters  $a$  and  $b$  and an offset value of  $O$ . The offset val-  
227 ues are archived alongside the rating points in the production system database while  $a$   
228 and  $b$  can be inferred using the position, read stage, and discharge, of the consecutive  
229 rating curve points.  $H_t$  is the measured stage and  $Q_t$  is estimated discharge at time  $t$ .  
230 The logarithmic expression of rating curved resembles the hydraulic equations relating



Table 1: General definitions

Item	Description	Unit
ECCC	Environment and Climate Change Canada is the department of the Government of Canada responsible for coordinating environmental policies and programs.	[-]
WSC	The Water Survey of Canada, part of ECCC, is responsible for maintaining hydrometric stations across Canada and reporting the discharge values for each hydrometric station.	[-]
Regions	The Water Survey of Canada is divided into five regions (1) Pacific and Yukon Region (British Columbia and Yukon), (2) Prairie and Northern Region (Alberta, Manitoba, Saskatchewan, Northwest Territories, and Nunavut) (3) Ontario Region, (4) Québec Region, (5) Atlantic Region (New Brunswick, Newfoundland, and Labrador Nova Scotia, and Prince Edward Island).	[-]
WSC [re- gional] offices	Offices of the Water Survey of Canada, also known as regional offices, are responsible for nearby stations and house hydrographers and equipment	[-]
Major drainage basins	Major drainage basins are described by a code from 01 to 11; these basins are (01) Maritime Provinces, (02) St. Lawrence, (03) Northern Quebec and Labrador, (04) Southwestern Hudson Bay, (05) Nelson River, (06) Western and Northern Hudson Bay, (07) Great Slave Lake, (08) Pacific, (09) Yukon River, (10) Arctic, and (11) Mississippi River.	[-]
Standard operation procedures or SOPs	The agreed-upon procedures followed at WSC for discharge estimation.	[-]
Operational or produc- tion database	The database that includes the time series of various variables and their metadata.	[-]
Aquarius™	The system that facilitates the interactions with operational databases such as collection and archiving of data for hydrometric stations and associated workflows and standard operating procedures, SOPs, for discharge estimation.	[-]
API or ap- plication programming interface	The system which allows reading and interrogation of the operational database, outside of Aquarius™, using requests and responses from the server where the operational database is located.	[-]
HYDAT	Publicly available dataset that includes historical daily discharge values for Canadian hydrometric stations.	[-]
Station ID	The Station ID is encoded based on the major drainage basins in which it is located (01 to 11) and the basins and sub-basins (e.g. AA - AZ approximately from head to mouth) and a sequential number (001 - 999) resulting in a Station ID such as 01AA001.	[-]
Stage	Stage is the measured water level height of the free surface of a river. Stage values are reported at the given time based on the frequency such as daily, hourly, or quarter-hourly, etc.	[m]
River dis- charge or streamflow	The flow of water at a cross-section of a river. Normally reported in cubic meters per second which is the product of a velocity ( $\text{m s}^{-1}$ ) and a cross-sectional area ( $\text{m}^2$ ).	$[\text{m}^3 \text{ s}^{-1}]$
Flags	Flags (SYM or symbol in HYDAT dataset, grade code in operational database) that define the condition of inferred reported discharge. The flags are E - Estimate, A - Partial Day, B - Backwater conditions including ice condition, D - Dry, and R - Revised	[-]
Field visits	Any type of field activity that involves a visit to the station by operators or hydrographers. This may include reporting the current technical parameters such as equipment, batteries, and power, or observation of the condition of the river section such as the presence of ice, backwater, etc (while excluding stage-discharge measurements).	[-]
Discharge activities or field discharge measurement	Refer to an activity in which hydrographers measure discharge and its associated stage.	[-]
Active sta- tions	The stations that are currently in operation and collect data (in contrast to discontinued stations).	[-]

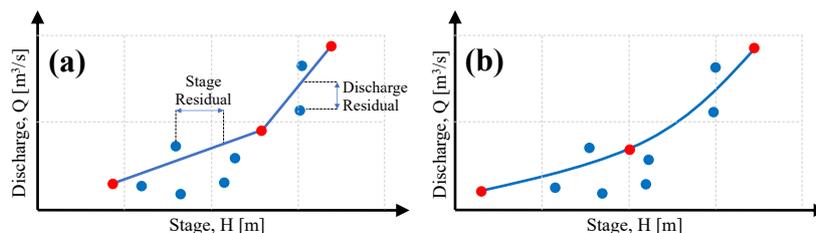


Figure 2: Examples for (a) linear table, and (b) logarithmic table rating curves. The blue points are the observation points of the measured stage and discharge during discharge activities; the rating points that define the rating curve are shown in red. In practice, these are not equations describing curves but lookup tables that record stage and discharge values.

231 water elevation to discharge. The offset,  $O$ , can also be referred to as reference elevation  
 232 or  $H_0$  and alongside parameter  $a$  and  $b$  can reflect "hydraulic" characteristics (Reitan  
 233 & Petersen-Øverleir, 2011).

## 234 2.4 Managing Rating Curves Changes

235 The process of managing changes that affect a rating curve can be broken down  
 236 into three major practices, which are defined in the Water Survey of Canada (WSC) Stan-  
 237 dard Operating Procedures (SOPs). These changes can include non-functional relation-  
 238 ships such as hysteresis, or non-stationary relationships over time due to physical and  
 239 environmental factors. The processes are itemized below.

- 240 • **[Re]construction of rating curves:** New observations that indicate a change  
 241 to the local hydraulic realities may require an establishment of a new rating curve.  
 242 A new rating curve is required when part or all of the historic stage-discharge ob-  
 243 servations does not fit new discharge measurements and cannot easily be accom-  
 244 modated by historical rating curve manipulations. Large changes to a water body  
 245 or structural influences on local hydraulics may warrant this reconstruction. An-  
 246 other example would be the construction of a rating curve beyond the maximum  
 247 observed stage-discharge using various types of modeling techniques or a change  
 248 of rating curve from linear table to logarithmic table.
- 249 • **Shift:** The shift of a rating curve happens when the entire or part of the rating  
 250 curve needs to be adjusted based on new discharge measurements (but not entirely  
 251 reconstructed). These shifts can have various forms; the simplest form is a con-  
 252 stant or single point shift in which the new observational points show a single value  
 253 shift in comparison to earlier observations and the rating curve (constant over the  
 254 range of the rating curve). The other types of shift can be used to accommodate  
 255 part of the rating curve shift, called knee bend, or more local accommodation of  
 256 changes in the rating curve by truss shift (Figure-3). Readers are encouraged to  
 257 refer to earlier works to read a more extensive elaboration of rating curve shift (Rainville  
 258 et al., 2002; Mansanarez et al., 2019; Reitan & Petersen-Øverleir, 2011).
- 259 • **Temporary shift:** The concept of the temporary shift of rating curves is not widely  
 260 known or explored in the literature. The temporary shift is the movement of a rat-  
 261 ing curve along its stage axis to adjust for the short-term presence of environmen-  
 262 tal disturbances such as backwater and ice conditions. Figure-4a-c shows an ex-  
 263 ample of how the temporary shift is applied over time and how the application of



Table 2: Rating curve and discharge estimation definitions

Definition	Description
Rating curve	Rating curve is a function that relates an observed stage expressed in the unit of meters [or length] to discharge in volume per time such as cubic meter per second [or volume per time]. A rating curve and its rating curve points are decided by hydrographers based on various factors and past discharge activities (refer to Figure-2).
Rating curve points	Rating curve points are the points that define the rating curve functions. The function between the rating points is defined in two ways based on rating curve types.
Observational or gauging points	Stage and discharge pair of values that are collected/measured during discharge activity and are used for rating curve creation or temporary shift and override estimation.
Rating curve tables or types	The type of functions between the rating curve points. Water Survey of Canada uses either linear or logarithmic tables to define the form of function between consecutive rating curve points
Linear Table	Linear relationship is assumed between the two consecutive rating curve points
Logarithmic Table	Logarithmic relationship is assumed between the consecutive curve points that follow formulation in form of $Q_t = a(H_t - O)^b$ in which $O$ is the offset (similar to intercept) and is archived in the operational database while $a$ , $b$ must be inferred based on the provided starting and ending points of the logarithmic rating curve segment. $H_t$ is the measured stage and $Q_t$ is estimated discharge for time $t$
Offset	Offset identifies the logarithmic function between the two consecutive rating points and accompanies the rating points information in the operational database. The two consecutive rating points and offset are needed to calculate $a$ and $b$ parameters for logarithmic tables.
Rating curve shift	Rating curve shifts are permanent shifts of entire or parts of the rating curve to accommodate the systematic changes of observational or gauging points over time
Rating curve temporary shift	Rating curve temporary shifts are the time-dependent values in units of length such as meters that the rating curve is shifted for (hence an identical stage value and rating curve result in different discharge given different shift values). Temporary shift values are assigned on a specified date. The temporary shift is then assumed to linearly change between the temporary shift values at two consecutive dates of temporary shift application.
Override	Override is a process of correcting the discharge values. Override will result in discharge values being different from what is calculated using stage values, rating curves, and temporary shift values.

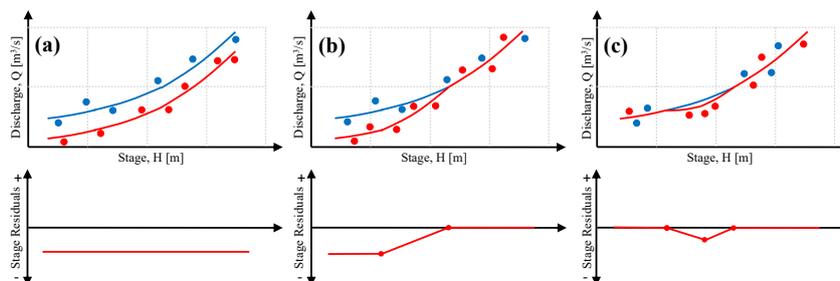


Figure 3: The shift of rating curve segments to accommodate new observation points based on stage residuals for various types from a base [original] rating curve: (a) constant or single point shift in which the rating curve is shifted with a constant value over its entire range, (b) knee bend in which part of rating curve is shifted with a constant value, and (c) truss in which more local shift is applied on a rating curve.

264 temporary shift affects the inferred discharge compared to the case when no temporary  
265 shift is used for ice cover condition. Figure-5 illustrated the effect of applied  
266 temporary shift on the rating curve. Initially, the temporary shift is set to  
267 zero before the time  $t_1$  meaning that the stage-discharge relationship follows the  
268 original rating curve. There is a field measurement during this period. The newly  
269 obtained stage and discharge values during the field measurement do not conform  
270 with the rating curve (residuals are not zero). In the next discharge activity during  
271 the freeze-up period, the hydrographer, based on environmental conditions and  
272 discharge activity at  $t_2$ , will apply a negative shift. The negative shift can be either  
273 summed with stage values or can be represented by a rating curve temporary  
274 shift to the positive stage direction (and another way around for positive temporary  
275 shift values). In this example, the rating curve is shifted to the right along  
276 the stage axis, which implies that during the freezing-up period, identical stage  
277 values will result in a smaller discharge estimation in comparison to the original  
278 rating curve (when the temporary shift of zero - open water). The magnitude of  
279 this negative shift is applied as such so that the observed stage and discharge at  
280 time  $t_2$  coincides with the temporarily shifted rating curve (observation is given  
281 more weight which results in zero residuals). The temporary shift magnitude is  
282 increased at time  $t_3$  based on the development of ice cover over the river. At the  
283 time  $t_4$  another discharge activity is performed. The hydrographer decides to adjust  
284 the temporary shift value at this time,  $t_4$ , to match the observational stage  
285 and discharge (again giving more weight to observation and setting the residuals  
286 to be minimum). And finally, during a field visit after the ice breaks up, the hydrographer  
287 reduces the shift magnitude to be set to zero at  $t_6$  after which the original rating  
288 curve is used. The temporary shift changes linearly between the date  
289 and time of application of each temporary shift value. This linear change over time  
290 essentially means that between times of  $t_1$  and  $t_6$  there is effectively a new rating  
291 curve for every logger reading of stage values. The temporary shift values and  
292 their time and date of application are recorded in the operational database.

## 293 2.5 Overrides

294 In addition to the temporary shift of the rating curve, WSC uses other methods  
295 outside the manipulation of rating curves to report an updated discharge estimation. These

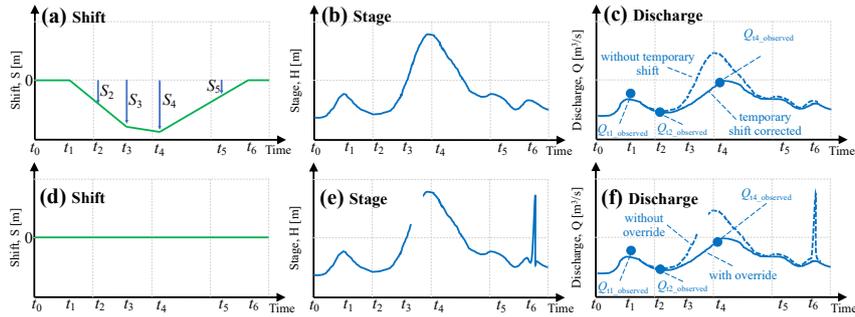


Figure 4: Above panels provide an example of discharge estimation using the concept of temporary shift. The bottom panels provide an example of discharge estimation using the concept of override (while temporary shift is set to zero). (a) The evolution of temporary shifts over time, (b) measured stage time series, (c) estimated discharge time series with and without temporary shift, (d) temporary shift time series, set to zero, (e) stage values record that has a gap and faulty reading, and (f) the estimated discharge values using override techniques that are corrected for the gap, discharge activity, and faulty reading. The effect of temporary shift time series on the rating curve is illustrated in Figure-5

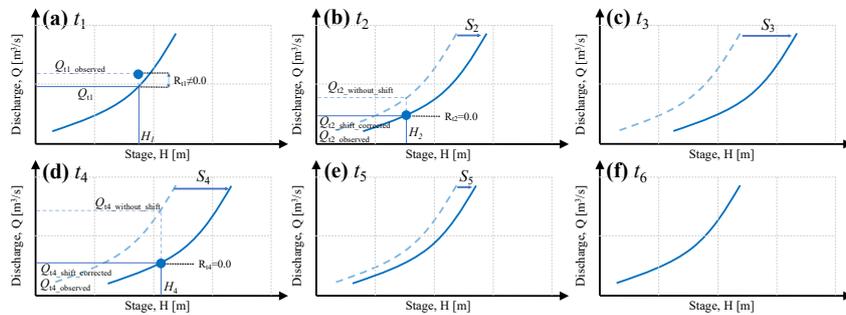


Figure 5: Temporary shifted rating curves at (a)  $t_1$ , (b)  $t_2$ , (c)  $t_3$ , (d)  $t_4$ , (e)  $t_5$ , (f)  $t_6$  from shift time series illustrated in Figure-4-a applied based on the environmental condition during ice over, hydrographer experience and discharge activities.



296 updates follow WSC SOP rules and are based on a multitude of factors such as discharge  
297 measurements, and the hydrographer's judgment as to the state of changes in the river.  
298 The collective title of these efforts is *override* in which WSC hydrographers use various  
299 techniques and sources of information to manually correct discharge values. Overrides  
300 may include adjustments based on upstream or downstream station readings, linear in-  
301 terpolation of missing values, reconstruction of peak discharge by [hydraulic] modeling,  
302 falling limb using decay functions, or under-ice discharge variations among others. The  
303 override practices can sometimes vary between the WSC offices. Although the hydro-  
304 graphers at WSC follow SOP guidelines and their experience for this estimation, given  
305 that our efforts were limited to data available from the API, it is challenging to easily  
306 recreate estimated discharge values reported in the operational database. Figure-4d-f il-  
307 lustrates a very simplified example of an override in which the temporary shift is not used  
308 (and hence zero). The discharge values are manipulated to fill the gap between time  $t_3$   
309 and  $t_4$  in the stage record for the rising limb of a flood event. The discharge values are  
310 also changed to reduce the estimated peak flow to better match the observational dis-  
311 charge at time  $t_4$ . Finally, the hydrographer decides that the stage reading values at  $t_6$   
312 are faulty and should not be used for discharge estimation. The discharge values for this  
313 faulty reading are then interpolated using the past and future readings of this station  
314 and possible existing upstream and/or downstream stations.

## 315 2.6 Developing an independent Workflow

316 An independent Python workflow is designed to evaluate the reported discharge  
317 values in the operational WSC database. The designed workflow uses the application pro-  
318 gramming interface or API to extract data directly from the database. The main aim  
319 of the workflow is to replicate the reported discharge in the operational database, *Dis-*  
320 *charge.Historical.Working*, using the recorder stage values, identified by *Stage.Historical.Working*,  
321 and other available information, such as rating curves, and temporary shift from the op-  
322 erational database. The workflow is designed into five steps: step-1 is the interrogation  
323 of the metadata from the production database. This includes downloading the metadata  
324 for available time series at logger resolution such as stage, and other parameters such  
325 as pressure, voltage, or any parameter that reflect on the functionality of instruments  
326 or environmental factors. Information about the rating curves (their IDs) and the dates  
327 of their applications are also extracted. In the second step, step-2, rating curves, and time  
328 series are downloaded from the production database. These data are the rating curve  
329 tables, including the offset for the logarithmic table, and the effective shift at a given date  
330 and time (specified in the shift metadata, from step-1). Step-3 is the adjustment of the  
331 variables to common scales. This includes refining the rating curves to increments of 1  
332 millimeter for finer interpolation along the stage axis and also re-sampling, interpolat-  
333 ing continuous or discrete information such as temporary shift values, and rating curves  
334 ID to temporal stage resolutions. This step provides the needed information for estimat-  
335 ing the discharge from stage values. Step-4 mainly focuses on estimating discharge from  
336 the stage based on the files created from the adjustment step and the time series of stage  
337 values used to recreate discharge within the production system. Finally, step-5 of the work-  
338 flow focuses on evaluating and interpreting the reproduced discharge and comparison with  
339 reported values from the production database. The difference between the reported dis-  
340 charge values in the production database, which includes override practices and values,  
341 and reconstructed discharge based on the above-mentioned workflow can shed light on  
342 the level of possible intervention by override or other methods on reported discharge.



### 343 3 Results

#### 344 3.1 Rating Curves Construction and Characteristics

345 Rating curves are characterized by rating points, and in the case of a logarithmic  
346 table, they are accompanied by offset values ( $O$ , refer to Table-2 and Figure-2). Our find-  
347 ings, contrasting the rating curves and observational points, indicate that the creation  
348 of rating curves from observational points does not always follow a unified statistical ap-  
349 proach. Rather, it is sometimes based on hydrographers' judgment and field observations.  
350 Additionally, it is not apparent, when extracting data from the API system, which stage-  
351 discharge measurement points are used to update the current rating. A few of the lim-  
352 itations in reproducing rating curves are described below. (Figure-6):

- 353 • **Rating curve extrapolation/extension beyond the largest stage-discharge**  
354 **in the operational database record:** The rating curves might be extended be-  
355 yond the largest stage and discharge observed values in the operational database.  
356 The method for the extension of the rating curves is not provided through the API  
357 in the operational database. Very old observational points that are not recorded  
358 in the operational database may be used in creating more recent rating curves or  
359 the extrapolation is done using hydraulic modeling or other procedures. For ex-  
360 ample, the difference in the rating curves for station 02YR004 is perhaps due to  
361 extrapolation outside the range of maximum observation using SOPs. For earlier  
362 rating curves that use linear tables this extrapolation is linear while for more re-  
363 cent rating curves expressed in the logarithmic table, the extrapolation is done in  
364 logarithmic space. (Figure-6a).
- 365 • **Extrapolation of rating curve for out-of-bank conditions:** one of the dif-  
366 ficulties is to construct the rating curve for the out-of-bank condition with lim-  
367 ited observational points at high water conditions (Figure-6b).
- 368 • **Removal of ice-conditioned stage-discharge points:** The formation of an  
369 ice cover causes increased friction and generates a backwater effect where the wa-  
370 ter level has a different relationship to discharge than in open water conditions.  
371 Under ice observational points have much lower river discharge in comparison to  
372 open water flow for the same stage values and therefore are not used in the con-  
373 struction of rating curves, instead are used to adjust the estimated discharge us-  
374 ing override values or temporary shifts during the ice condition (Figure-6c). This,  
375 in turn, results in fewer observational points being available for the construction  
376 of rating curves.
- 377 • **Emphasis on one observational point:** A rating curve is often created or changed  
378 based on one gauging measurement. Observational points with very high discharge  
379 values can affect the higher end of the rating curve. This can be due to high dis-  
380 charge values only occurring for brief periods resulting in one observation in the  
381 high discharge period being the only observation. In the example provided for sta-  
382 tion 01FF001, an observational point with stage and discharge of approximately  
383 1.75 m and 40 m<sup>3</sup>/s is given very high weight in creating the immediate rating curve  
384 update after the aforementioned field activity while in later rating curves, this high  
385 emphasis is not followed (Figure-6d).
- 386 • **Event-based erosion, flood, or long-term channel erosion:** River section  
387 may change over time and therefore observational stage and discharge points fol-  
388 low these changes accordingly. Sediment transport occurs gradually and over longer  
389 periods than a flood event, but can result in complex changes in the measurement  
390 section as sediment is deposited or removed or as dunes proceed through the sec-  
391 tion. These changes require a new rating curve or shifts in the existing rating curve  
392 (Figure-6e). Similarly, floods or high water levels can also result in a substantial  
393 change in river section or removal of stations. In these cases, a new rating curve  
394 is needed.



- 395 • **Changes in rating curve benchmark stage or instrument stage reading**  
396 **change:** A benchmark is a fixed point that is used to link the observed water level  
397 to an actual elevation. The local benchmark that is used as a datum may change  
398 over time with the landscape or administrative change. Alternately instrument  
399 replacement, after a flood event for example, in a new location can also change  
400 the reading in comparison to historical readings compared to the benchmark (Figure-  
401 6f).

402 Given the above, it is important to emphasize that the use of rating curves within  
403 the Water Survey of Canada does not allow for a more classic statistical approach for  
404 uncertainty analysis where the curve would be the best fit through the series of observed  
405 points (as it is for other institutions such as UK environmental agency Coxon et al., 2015).  
406 The actual process used is deterministic and much effort is invested in making the rating  
407 curve pass through or close to each measurement, or stage and discharge point, which  
408 has been a long-standing practical approach (Rantz, 1982). This, however, means that  
409 the residual structure may not follow a known statistical model, may change from location  
410 to location, and is subjected to hydrographers' experience and judgment. This is  
411 elaborated further in the following subsection about the structure of residuals. Observed  
412 stage-discharge records are not random samples since they have a time sequence and a  
413 measurement bias. For example, high discharges only occur for brief periods and are less  
414 frequent than lower discharges. Conducting discharge activities might be dangerous and  
415 challenging during high water, and many rivers in a region peak simultaneously in time,  
416 so there is a systematic under-representation of high discharge values. This lack of stage-  
417 discharge observations might be particularly important for the stations that are located  
418 on sections that are not stable (Whitfield & Pomeroy, 2017).

419 Seasonality and ice condition are other factors that can complicate the use of ex-  
420 isting stage-discharge observations. When there is ice cover, the stage-discharge relation-  
421 ship will vary substantially from the expected open-water rating curves. Figure-7 indi-  
422 cated that the stage-discharge measurements during cold months of the year were identi-  
423 fied by flag B, or backwater due to ice, in contrast to those without any or other flags.  
424 As it is clear from panels of Figure-7, the winter period often has smaller discharge val-  
425 ues for a similar stage to those in summer, therefore, resulting in a smaller pool of stage-  
426 discharge observation that could be used for rating curve creation.

427 Additionally, Figure-8 provides fractions of discharge activities, discharge values,  
428 and ice flags for each specific month of the year for the entire hydrometric network and  
429 11 major drainage basins in Canada. The red dashed line indicates the change over the  
430 year for the percent of each month's field discharge measurements from total discharge  
431 measurements while the blue line provides an understanding of the magnitude of the dis-  
432 charge values over the month of a year. The shaded blue for each month provides the  
433 comparison between the fraction of time that the stations times series for that month  
434 are identified by flag B (which is used to identify backwaters due to ice conditions). The  
435 number of discharge field measurement activities during the summer months is larger  
436 than in the winter months. This is due to the spring and summer variability in discharge  
437 being much greater than in winter and because ice discharge measurements are expen-  
438 sive and labor-intensive in comparison to open-water measurements.

439 Evaluating the recorded stage greater than the maximum observed stage in the op-  
440 erational database provides an understanding of how often discharge estimates are in the  
441 portions of extrapolated rating curves beyond the observed stage-discharge points that  
442 are archived in the operational database. Figure-9 indicates that there are stations in  
443 which the stage higher than the maximum observed stage during discharge activity can  
444 occur in any month of the year. One example of this is 02YR004; Triton Brook above  
445 Gambo Pond in the province of Newfoundland and Labrador (Figure-6a). This could hap-  
446 pen because the operational database might not include earlier stage-discharge measure-  
447 ments with the highest stage values or systematic backwater from increased water level  
448 in Gambo pond. In general, Figure-9 highlights the existence of numerous events when

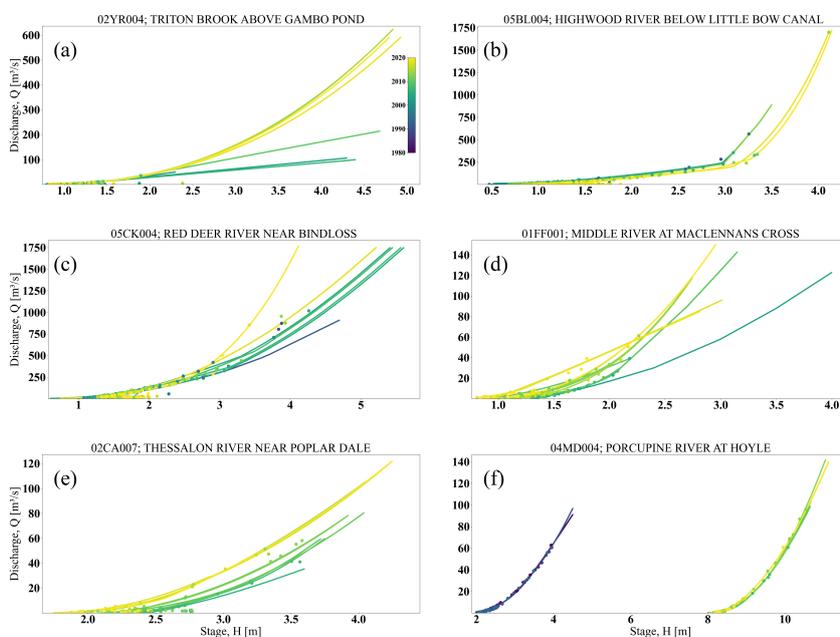


Figure 6: Example of rating curves and observations available in Aquarius illustrating rating curves over time where (a) curves are extended outside of the highest discharge observation extrapolation (b) sharp breaks in rating curves when the river flows out of bank (c) under ice stage-discharge observations are not used in rating curve creation, (d) emphasis on one point of observation results in a change to the rating curve, (e) long or short term river bed erosion, and (f) change in rating curve benchmark for reporting stage values.

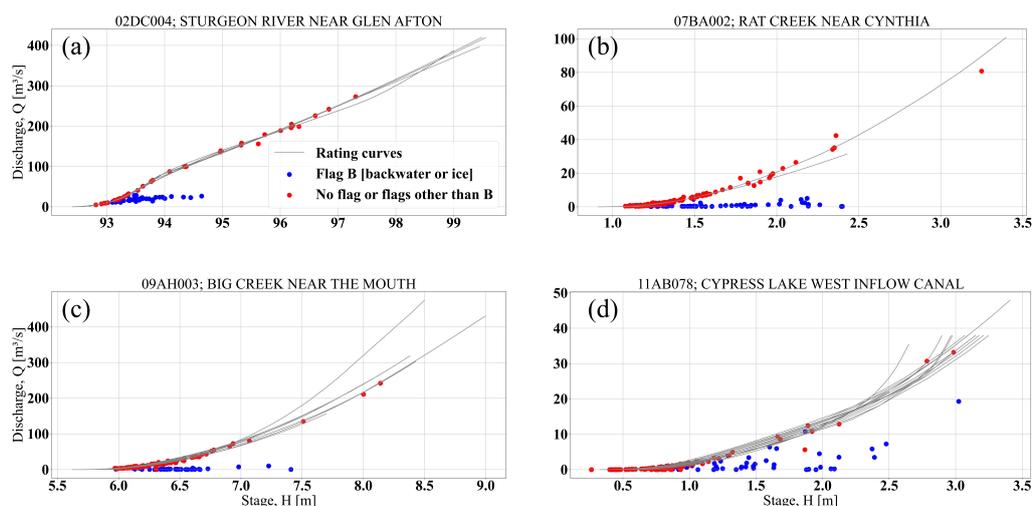


Figure 7: The contrast between the stage-discharge measurements with and without the B flag for stations (a) 2DC004, Sturgeon River Near Glen Afton, (b) 07BA002, Rat Creek Near Cynthia, (c) 09AH003, Big Creek Near The Mouth, and (d) 11AB078, Cypress Lake West Inflow Canal. The red points do not have flags while the blue points are stage-discharge measurements that have the B flag, ice or backwater, in the operational database.

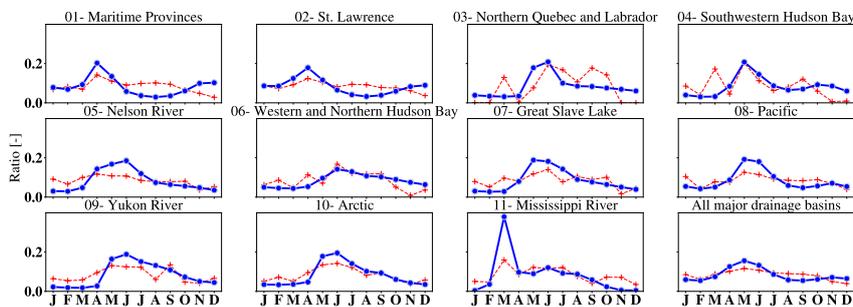


Figure 8: The blue and red dotted lines indicate the fraction of annual discharge and of annual discharge activity respectively, for each major drainage basin and for all drainage basins (the total of existing stations in the WSC operational database). The blue shading identifies the fraction of time series that are identified by flag B or backwater that is used to identify ice conditions. The darker the shade the more dominant flag B or ice cover is for the major drainage basin.

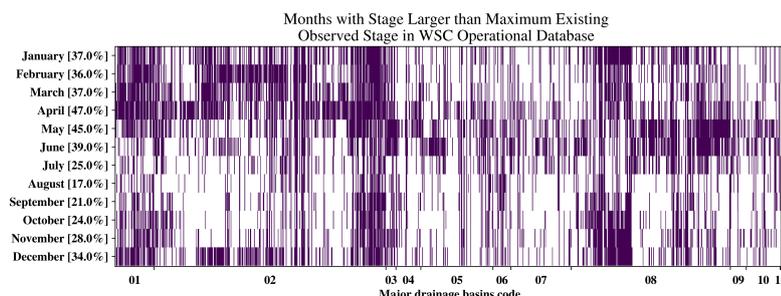


Figure 9: Months where the recorded stage values exceed the maximum observed stage during any discharge activities archived in the operational database. A solid bar in the month in the figure indicates, for a station and during its available record, there is at least one event in that month across all years, with recorded stage values exceeding the maximum observed stage value. The percentage for each month indicates the fraction of stations where the recorded stage is exceeding the maximum observed stage and discharge.

449 discharge values are estimated using extrapolated segments which can have significant  
450 impacts on estimates of discharge and its uncertainty in flood modeling and flood fore-  
451 casting.

452 The temporary shift of rating curves to account for environmental conditions is a  
453 common practice at the regional offices of WSC. Figure-10 identified three major char-  
454 acteristics of temporary shift application across the Canadian hydrometric stations. First  
455 is the average number of days per year in which temporary shift is applied (Figure-10a).  
456 For the prairie regions, especially stations operated by the Calgary office in the province  
457 of Alberta, the temporary shift can be applied all year long (length of temporary shift  
458 application larger than 300 days per year). As presented in Figure-10, using the tem-  
459 porary shift to adjust for environmental conditions is most common in Prairie and North-  
460 ern regions. The use of temporary shifts is less common in Eastern and Western Canada.  
461 In those regions, direct manipulation of discharge values rather than the rating curves  
462 is more common (following override). The second panel, Figure-10b, indicates the mag-  
463 nitude of temporary shift applied in meters. There are stations with temporary shift mag-  
464 nitude of more than 1 meter; this means during various environmental conditions such  
465 as the presence of thick ice cover, stage values that are as different as one meter or more,  
466 under the temporary shift application, may result in similar discharge estimation. Lastly,  
467 Figure-10c, identified the range of applied temporary shift to the range of stage values.  
468 This comparison indicates how relative intervention by temporary shift is compared to  
469 the changes in recorded stage values. Interestingly, there are stations over the Canadian  
470 domain in which the range of temporary shift surpass the range of recorded stage val-  
471 ues (ratio of more than one).

### 472 3.2 Time series reconstruction

473 In steps 3 & 4 of the independent workflow, river discharge values are reconstructed  
474 and compared with the reported discharge values from the WSC operational database.  
475 This comparison of discharge values indicates four categories for discharge estimation:

- 476 1. **Rating curve:** in which the estimated discharge values strictly follow the stage-  
477 discharge relationship or rating curves and can be reconstructed using stage val-  
478 ues.

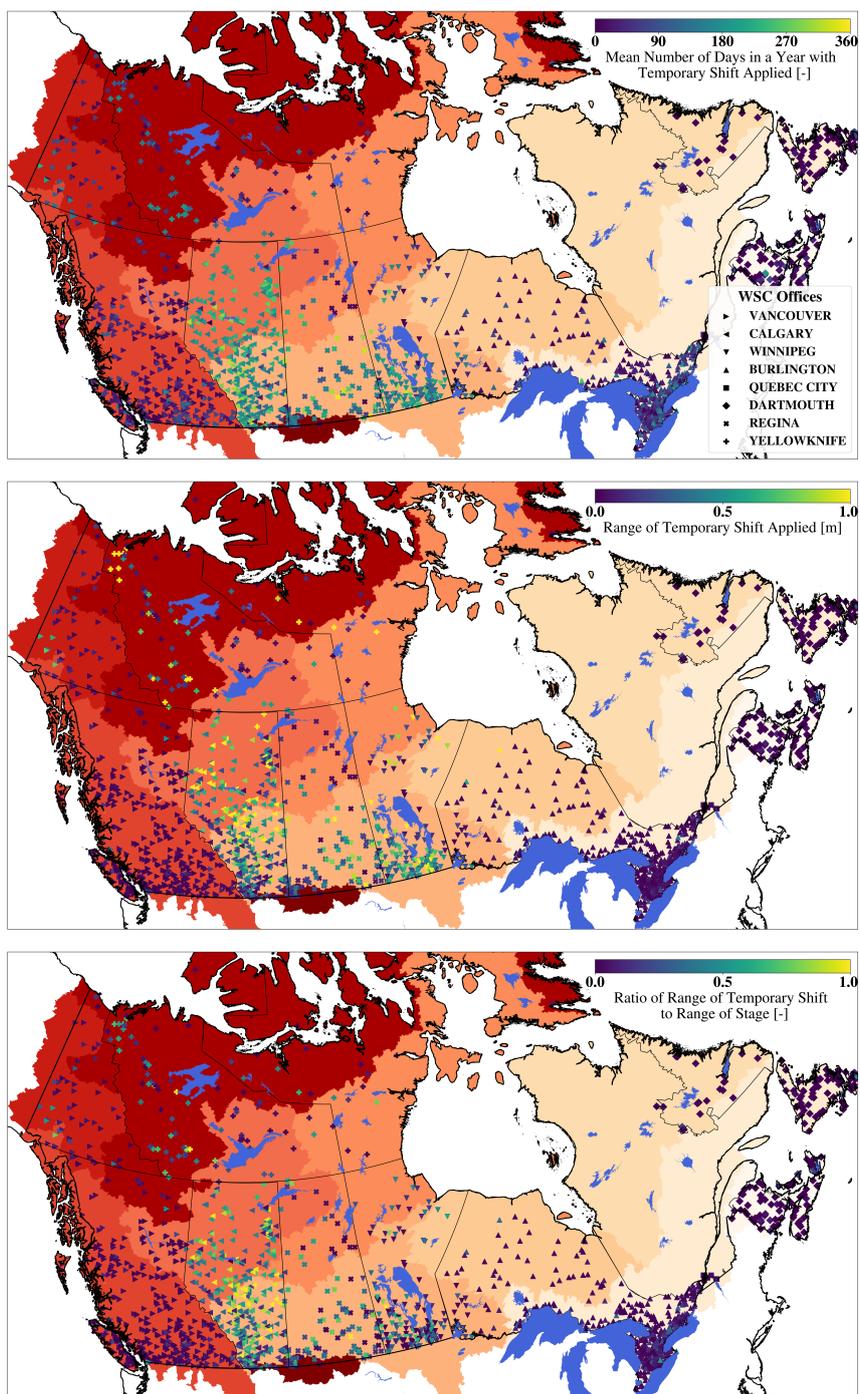


Figure 10: (a) Temporal application of temporary shift, (b) range of applied temporary shift, and (c) the ratio of temporary shift range to stage range across hydrometric stations of Water Survey of Canada. The orange and red colors in the background indicate the major drainage basins (refer to Figure-1). -19-



- 479 2. **Temporary shift:** in which the discharge follows the temporarily shifted rating  
480 curves and can be reconstructed using stage values.
- 481 3. **Override:** The period in which the discharge is estimated using override meth-  
482 ods and techniques (not following rating curve and temporary shift).
- 483 4. **Temporary shift and override:** in which both temporary shift of rating curve  
484 and override methods are applied at the same time.

485 Table-3 indicates the four categories of discharge estimation, and their reproducibil-  
486 ity using the independent Python workflow, given the data that was retrievable from the  
487 API system.

488 To provide clear examples of each of the categories, four stations are examined. Figure-  
489 11 illustrates the recorded stage for 01AF009, Iroquois River at Moulin Morneauult lo-  
490 cated in the province of New Brunswick, in the top panel, the applied shift, and the date  
491 of field or discharge activities shown in the second panel from the top. The third panel  
492 from the top compares the recreated discharge, using the workflow described in this study,  
493 and the reported discharge from the operational database. The shaded areas in this panel  
494 indicate the quality assessment symbol (flag) from the operational dataset. The tempo-  
495 rary shift values applied for the year 2003 are zero. However, the under-ice condition in  
496 the reported discharge values from the operational database is significantly lower than  
497 the reconstructed discharge values from the stage using the rating curves and temporary  
498 shift of zero values. The under-ice discharge estimate is an override applied using var-  
499 ious methods at the regional offices. It can be seen that override discharge values pass  
500 through the observational points under ice conditions, these observations of discharge  
501 are the basis for the winter flow record and not the recorded stage and the rating curve,  
502 while the variation is also recreated following established logic at the regional office such  
503 as under ice peak flows (in this example, late March and early April). This is reflected  
504 in the bottom panel in which two major discharge estimation categories are depicted:  
505 the green is when rating curves are followed without temporary shift and the gold is when  
506 the override methods are applied.

507 Discharge values for station 05BL004; Highwood River Below Little Bow Canal is  
508 provided in Figure-12. The hydrographers have applied negative temporary shifts for this  
509 station. For the year 2012, the temporary shift was applied during winter with larger  
510 shifts (-0.25 to -0.50) and during summer with rather small shifts (<-0.20). The winter  
511 shift is presumed to be correcting for ice conditions and the summer shift, in June, is likely  
512 for the backwater correction over the high discharge period (while there is no associated  
513 flag with this event). Temporary shifts are sometimes applied on dates that coincide with  
514 discharge activities or site visits, presumably to match the observed discharge with the  
515 rating curve with temporary shifts. Shift values can be changed on other dates that might  
516 correspond with temperature changes or video recordings from on-site monitoring cam-  
517 eras or upstream and downstream station field visits and observations. The bottom panel  
518 indicated that for this station and the year of interest, there are two major discharge es-  
519 timation categories: the blue is the rating curve and temporary shift and the magenta  
520 is rating curve and temporary shift which is corrected by override (slightly in this case).

521 Discharge values for station 08GA079; Seymour River Above Lakehead is given in  
522 Figure-13. There is no application of temporary shift and override for this station in the  
523 year 2002 and therefore estimated discharge follows the rating curve concept (presented  
524 by green in the bottom panel).

525 The last example focuses on station 09CB001; White River at Kilometer 1881.6 Alaska  
526 Highway in Yukon Territory (Figure-14). This is an example of a station in which a vari-  
527 ety of discharge estimation methods are used. In part of summer, the discharge can be  
528 fully reproduced by rating curves. There are also periods that the temporary shift is ap-  
529 plied over summer and discharge estimation follows the rating curve and temporary shift.  
530 In part of the summer, in addition to the temporary shift concept, the override is also  
531 applied to correct the estimated discharge. For the winter period, there is no applica-



Table 3: Types of discharge estimation

Discharge estimation categories	Condition of application	Reproducibility	Uncertainty
Rating curve	Open water condition. Environmental conditions are not significant enough to result in deviation from the stage-discharge relationship or rating curve.	Fully reproducible discharge values following the stage and rating curve.	The discharge uncertainty estimation can be attributed to rating curve uncertainty (type A).
Temporary shift	Backwater, under ice conditions, temporarily changes to the channel. The rating curve is temporarily adjusted to accommodate environmental conditions affecting the stage-discharge relationship.	Fully reproducible discharge values following the stage, temporary shift, and rating curve. However, the magnitude of shift values and their time of applications are based on hydrographer judgment and may not be easily reproducible.	Often a magnitude of the temporary shift is applied, resulting in the highest agreement between observed discharge and estimated discharge (using temporary shift). The residuals are therefore suppressed to small values. Uncertainty estimation methods should be sought to handle the uncertainty estimation of temporary shift practice, type B, in addition to the rating curve uncertainty, type A, resulting in a composite uncertainty model (type A+B)
Override	Stable backwater or under ice conditions, correction of the erroneous values, gap filling of missing data, estimation of freeze up or ice break up transition or ice jams.	Not reproducible following the stage and rating-curve concept; Greatly reproducible using the Aquarius™ and available techniques, trained WSC hydrographers.	Estimation of discharge using override gives higher weight to discharge observation that suppresses the residuals (similar to temporary shift). The various methods that are used for override may have various levels of uncertainties which are also dependent on the hydrographers' skills. New uncertainty methods are needed to account for these complexities (type C).
Temporary shift and override (mixed)	All the conditions for temporary shift and override. In this case, the discharge is estimated using a temporary shift and override simultaneously to correct the discharge values further.	Not reproducible following the stage and rating-curve concept. Greatly reproducible using the Aquarius™ and available techniques, trained WSC hydrographers.	The challenges of uncertainty estimation under temporary shift and override can be addressed by developing uncertainty methods for override and temporary shift (type A+B+C).

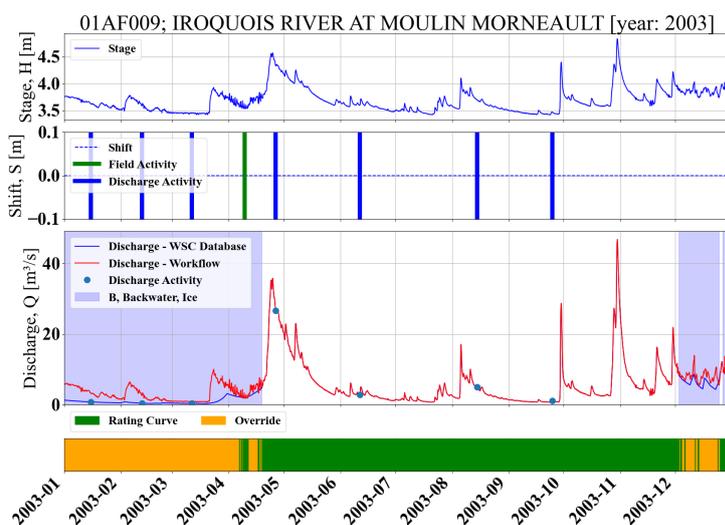


Figure 11: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge activities, and (bottom panel) dominated method of discharge estimation for 01AF009; Iroquois River at Moulin Morneault located in the province of New Brunswick. The colors in the lower bar link to the descriptions in Table-3: rating curve (green), and override (gold).

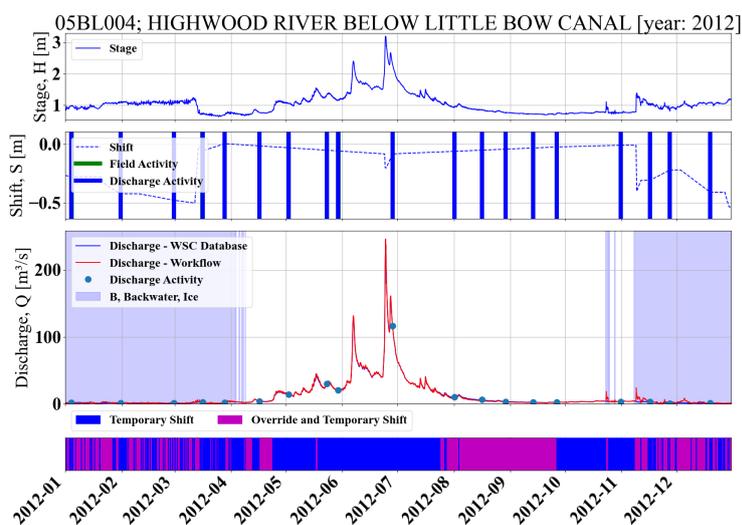


Figure 12: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge activities, and (bottom panel) dominated method of discharge estimation for 05BL004; Highwood River Below Little Bow Canal located in the province of Alberta. The colors in the lower bar link to the descriptions in Table-3: temporary shift (blue), override with temporary shift, and override (magenta).

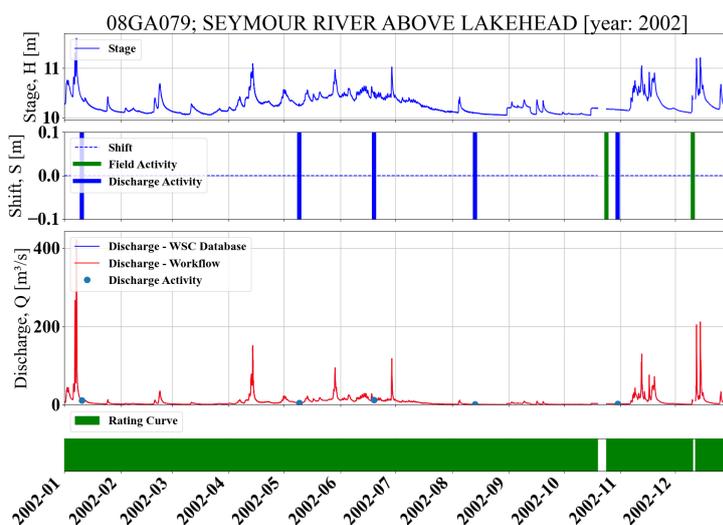


Figure 13: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge activities, and (bottom panel) dominated method of discharge estimation for 08GA079; Seymour River Above Lakehead in the province of British Columbia. The colors in the lower bar link to the descriptions in Table-3: rating curve (green), infilled or missing data (white).

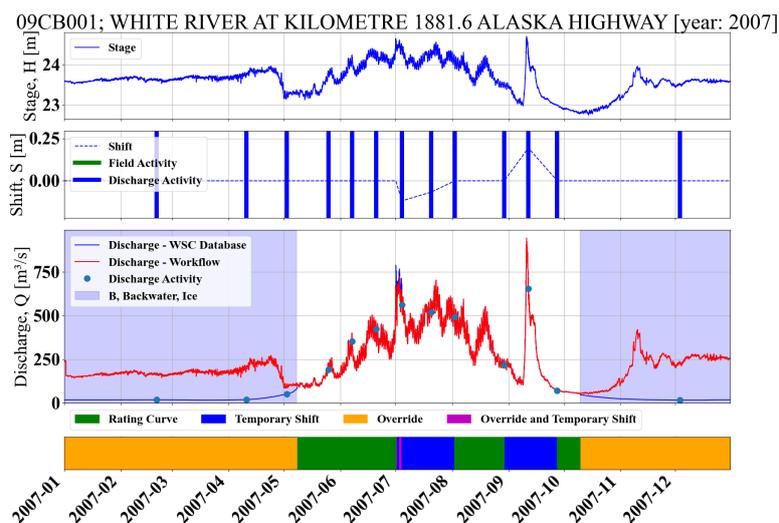


Figure 14: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge activities, and (bottom panel) dominated method of discharge estimation for 09CB001; White River at Kilometer 1881.6 Alaska Highway in Yukon Territory. The colors in the lower bar link to the descriptions in Table-3: rating curve (green), override (gold), temporary shift (blue), and, override with temporary shift and override (magenta).

532 tion of temporary shift, however, the override is used by emphasizing the observation,  
 533 perhaps under ice observation, to estimate discharge (similar to Figure-12).  
 534 Given the difference between the reproduced and reported discharge values in the  
 535 operational database, similar to stations 01AF009, in the following, the agreement be-  
 536 tween the reported discharge in the operational database was evaluated using the inde-  
 537 pendent workflow for all the hydrometric stations that have a complete yearly record (not  
 538 seasonal). Figure-15 depicts this agreement in a fraction of the period in which recon-  
 539 structed discharge is within 5% of the discharge reported in the operational database.  
 540 The overall overlap is around 0.67. This level of agreement from the independent work-  
 541 flow can be attributed to discharge estimation from rating curves and rating curves com-  
 542 bined with the temporary shift. On the other hand, the lack of agreement can be heav-  
 543 ily attributed to the override values which are more pronounced during the winter pe-  
 544 riod. This lack of agreement can be also partly attributed to the types of data that are  
 545 not available from the WSC operational database via the API (that is used for the work-  
 546 flow). Trained and experienced WSC hydrographers can reproduce discharge values, with  
 547 great similarities if not identical, using the Aquarius™, documented comments in the op-  
 548 erational database. This is also checked and confirmed during the approval process. There-  
 549 fore the reproducibility, in practice, will be much higher than the general agreement which  
 550 is stated here. As an example, if the discharge values under ice are given higher prior-

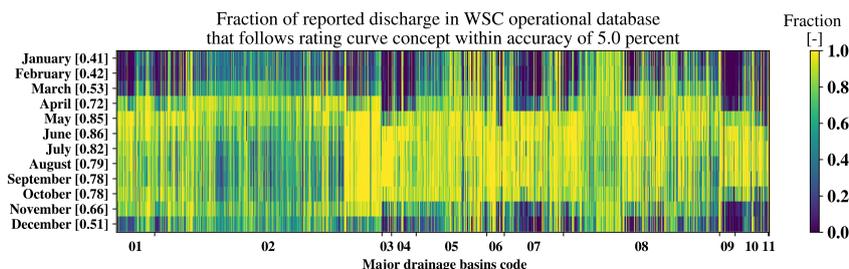


Figure 15: The fraction of agreement for estimated discharge values from the proposed workflow described in this study (within 5% of reported discharge values from the WSC operational database). The agreement fraction is not always to its maximum, 1.00, and varies seasonally and geographically. The overall average agreement between the recreated discharge values and what is reported in the operational database is 0.67, with winter months having lower agreement than the summer months.

551 ity and the discharge for the ice cover period is interpolated using a linear interpolation  
552 technique the overall reported agreement from the workflow to reported discharge val-  
553 ues of the operational database increases to 74% (from 67%).

### 554 3.2.1 Implication for Uncertainty Estimation

555 The procedures and practices at WSC, namely override and temporary shift, will  
556 result in different residual structures than those often expected to represent the struc-  
557 ture of residuals in the literature. Figure-11 to 14, indicate that observational stage-discharge  
558 measurements are weighted heavily in discharge estimation. To investigate, the reported  
559 discharge values from the WSC operational database, which includes override and shift,  
560 in pair with observational discharge are compared with the case of Gaussian distribu-  
561 tion with heteroscedastic errors. Figure-16 illustrates this contrast for four stations (01AJ004,  
562 04AB001, 05AA008, and 07AH003). The reported discharge in the operational database  
563 matches the measured discharge (very close to the line of perfect agreement) while the  
564 structure of the expected residuals, represented as grey points, is far more scattered. This  
565 hints at deficiencies of existing models for residual estimation, assuming that the obser-  
566 vations are without error, across the Canadian hydrometric stations due to override and  
567 temporary shift among other SOPs.

568 A closer examination of the interaction of the stage and reported discharge values  
569 to observational points depicts two relationships for each of the stations mentioned in  
570 Figure-16. In Figure-17, the right panels indicate the rating curves while the left panels  
571 depict the time-series relationship between all reported stage and discharge values  
572 from the WSC operational database, which include temporary shifts and overrides, in  
573 contrast to observational stage-discharge points. Comparing the right and left panels in-  
574 dicates that the stage-discharge relationships or rating curves may not incorporate stage-  
575 discharge observation points while the stage-discharge space, left panels, conform with  
576 observational stage-discharge. This highlights to some degree why shifts and overrides  
577 need to be applied since the classical curve fitting technique to all available observational  
578 stage-discharge points would not reflect the local hydraulic realities at the time of mea-  
579 surement. The observational points have a much more complicated relationship with the  
580 rating curves than standard curve fitting practice (Figure-17).

581 High Flows are critical data points in annual maxima time-series analysis. The flood  
582 of June 2013 for station 05AA035, Oldman River at Range Road No. 13A, Alberta, is  
583 selected to assess both discharge estimation practices and implications for uncertainty

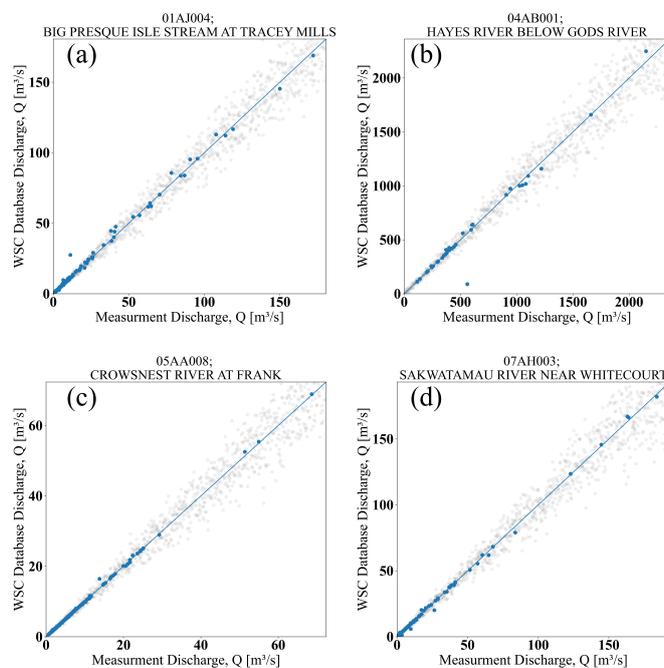


Figure 16: The comparison between discharge values reported in the WSC operational database at logger resolution and measured discharge during discharge activity in blue dots, for stations (a) 01AJ004; Big Presque Isle Stream at Tracey Mills, New Brunswick, (b) 04AB001; Hayes River Below Gods River, Manitoba, (c) 05AA008; Crowsnest River at Frank, Alberta, and (d) 07AH003; Sakwatamau River Near Whitecourt, Alberta. In contrast, the gray dots are the hypothetical case of the normal distribution with a heteroscedastic standard deviation of 10% of discharge magnitude. The blue line, 1:1, is the best-expected fit for these two series.

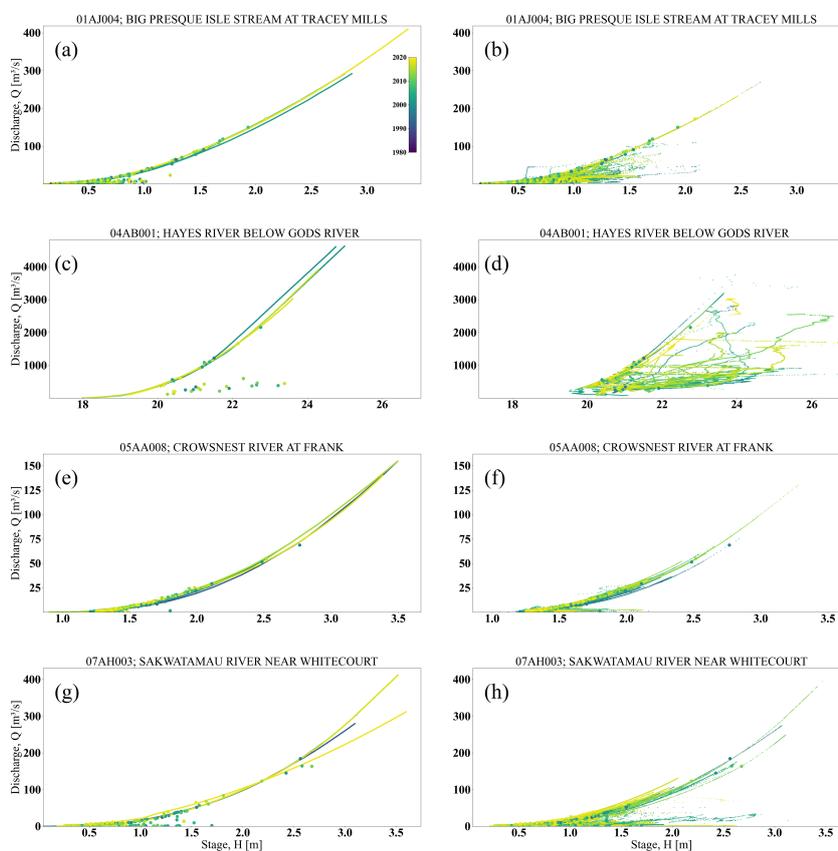


Figure 17: The comparison of stage-discharge rating curves (left panels) and observed stage and reported discharge and stage values from the WSC operational database (right panels) contrasting observational stage-discharge points obtained during discharge activities for stations (a,b) 01AJ004; Big Presque Isle Stream at Tracey Mills, New Brunswick, (c,d) 04AB001; Hayes River Below Gods River, Manitoba, (e,f) 05AA008; Crownsnest River at Frank, Alberta, and (g,h) 07AH003; Sakwatamau River Near Whitecourt, Alberta.

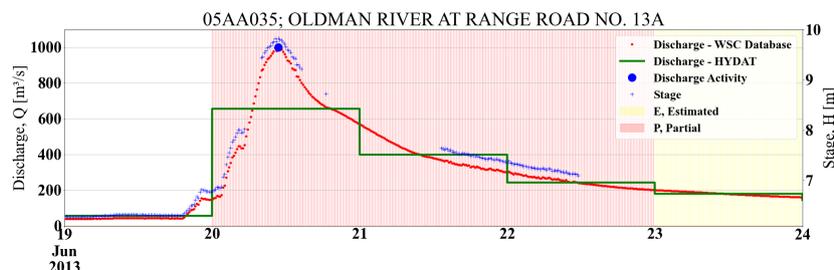


Figure 18: The comparison between the reported discharge and stage values at logger temporal resolution from the operational database, measured discharge at the flood peak, and HYDAT reported daily discharge and flags for Station 05AA035, Oldman River at Range Road No. 13A, Alberta.

584 analysis. The comparison presented in Figure-18 indicates that the reported discharge  
 585 values from the operational database are as high as 1000 cubic meters per second and  
 586 conform with the stage-discharge measurement at approximately 10:00 AM local time  
 587 (residual of zero). Stage values are not continuously measured at 5-minute intervals dur-  
 588 ing the flood period (Figure-18). This result in the flag "P" *partial* being applied; there  
 589 is only a partial stage available for days for 20<sup>th</sup>, 21<sup>th</sup>, and 22<sup>th</sup> June. The estimated/filled  
 590 discharge values at logger resolution are smoothed, and there is less variation, while for  
 591 the time when the stage is available, discharge exhibits more variation given the vari-  
 592 ability in the stage. The stage values are fully missing for 23<sup>th</sup> June and therefore the  
 593 entire discharge values for that day are identified with the flag "E" *estimated*. The over-  
 594 ride metadata file, extracted from the operational database, reports that the gap filling  
 595 during this period is performed using meteorological information, comparison with other  
 596 stations, and linear approximation under the general procedure of *multi-points drift cor-*  
 597 *rection* at the regional office (but does not provide quantitative values for this approx-  
 598 imation). In general, it should be noted that the sub-daily variability which can be sig-  
 599 nificantly important is lost due to this temporal aggregation, and the instantaneous max-  
 600 imum yearly flow communicated in the HYDAT dataset may not be sufficient to recon-  
 601 struct sub-daily variability or residuals. The reported daily values for 20<sup>th</sup> of June 2013  
 602 is 655A m<sup>3</sup>/s which is 345 m<sup>3</sup>/s lower than the measured discharge in the field and also  
 603 what the operational database reports. Care should be taken when using daily discharge  
 604 values for modeling and decision-making, and residual evaluation for uncertainty esti-  
 605 mation.

606 Given the WSC SOPs on residuals, each discharge estimation category mentioned  
 607 in Table-3 should have its suitable discharge uncertainty models. For example, when the  
 608 rating curve is used for discharge estimation, rating curve uncertainty, which has been  
 609 heavily studied in the literature, can be used (type A from Table-3). However, WSC hy-  
 610 drometric stations do require a more tailored method than what is often suggested in  
 611 the literature due to temporary shift and override as part of SOPs. When the tempo-  
 612 rary shift concept is followed, a new method, in which both the rating curve and tempo-  
 613 rary shift uncertainty are estimated is needed and an uncertainty model to account  
 614 for temporary shifts needs to be formulated, type B, in addition to rating curve uncer-  
 615 tainty, type A. The discharge uncertainty would then be the interaction of the two mod-  
 616 els (type A+B). This becomes even more challenging when the override is used for dis-  
 617 charge estimation; more sophisticated uncertainty estimation techniques may be essen-  
 618 tial to be developed (type C). Additionally, the fact that the discharge estimation tech-  
 619 nique may change throughout each season adds to this complexity as well (translation



620 between uncertainty models across time). Furthermore, reproducibility can be seen as  
621 the cornerstone of the uncertainty models. For example, to be able to create a model for  
622 uncertainty type C, perhaps a discharge estimation model with associated parameters  
623 should be formulated during override periods. The discharge estimation model then can  
624 be used for perturbation and uncertainty analysis (similar to uncertainty estimation of  
625 rating curves, type A).

626 Finally, a simple experiment is designed to generate an ensemble of discharge esti-  
627 mations for evaluating the impact of decisions such as rating curve creations, tempo-  
628 rary shift application, and override, on estimated discharge. For this analysis, stations  
629 are selected for which changes in rating curves over time cannot be differentiated from  
630 observational stage-discharge points. Two stations, 05BA002; Pipestone River Near Lake  
631 Louise, Alberta, and 03OA012; Luce Brook Below Tinto Pond, Newfoundland and Labrador  
632 are considered for this analysis. The workflow is slightly changed to generate ensemble  
633 discharge values: (1) the rating curves are given equal probability and replace each other  
634 in their effective period of applicability and (2) the discharge estimation is done consid-  
635 ering temporary shift and without temporary shift (or temporary shift set to zero). The  
636 ensemble members are then compared to the reported discharge values by commonly used  
637 performance metrics in Earth System modeling (runoff ratio,  $E_{RR}$ , Root Mean Square  
638 Error,  $E_{RMSE}$ , Nash-Sutcliffe Efficiency,  $E_{NSE}$ , and Kling-Gupta Efficiency,  $E_{KGE}$  (for  
639 further explanation refer to Appendix A).

640 The dark blue area in Figure-19a indicates the impact of lack of temporary shift  
641 while reshuffling the rating curves (the effect of choice of rating curve construction and  
642 lack of rating curve manipulation by temporary shift). The dark red area indicates the  
643 effect of temporary shift on inferred discharge time series while reshuffling the rating curves  
644 (the effect of choice of rating curve construction and presence of temporary shift). Figure-  
645 19b illustrates these effects for station 03OA012. Due to the absence of shift values (zero  
646 shift), the dark red and blue areas are coinciding and exhibit similar performance met-  
647 rics compared to the reported database discharge values (no effect of temporary shift for  
648 this station). The comparison between Figure-19a and b indicate that the impact of rat-  
649 ing curve construction is more pronounced for station 05BA002 in comparison to sta-  
650 tion 03OA012 due to the spread of ensemble members.

651 The mean performance metrics for the ensembles and also discharge values from  
652 the WSC operational database in comparison to HYDAT values are presented in Table-  
653 4. For the station that temporary shift is not used, 03OA012, the difference between the  
654 shift corrected and not shifted rating curves are identical (as expected). However, the  
655 impact of override, in this case, is much more pronounced, and performance increases  
656 from negative or closer to zero values up to the perfect agreement with HYDAT discharge  
657 values for this station. This drastic change in performance metrics is done by choice of  
658 rating curves and override. In contrast, and for the station where temporary shift prac-  
659 tice is applied, such as 05BA002, the inclusion of temporary shift can improve the per-  
660 formance in the scale of  $E_{NSE}$  or  $E_{KGE}$  while the impact of the choice of rating curve  
661 seems to be more pronounced than the case for station 03OA012 (based on comparison  
662 of Figure-19a and b).

#### 663 4 Discussion and Conclusions

664 This work presents discharge estimation methods used by the Water Survey of Canada  
665 (WSC) following an independent Python workflow. The study explores the Standard Op-  
666 eration Procedures (SOPs) for creating rating curves, manipulating them over time, and  
667 estimating discharge. The study focuses on two major discharge estimation SOPs, namely  
668 temporary shift, and override. The impact of these SOPs on discharge estimation and  
669 uncertainty evaluation, specifically in terms of residuals, is discussed. By examining the  
670 SOPs and their possible impact on discharge estimation and associated uncertainties,  
671 the study aims to highlight the need for new discharge uncertainty methods.

672 The relationship between the rating curves and observational stage-discharge mea-  
673 surements is explored. The WSC SOPs differ from more commonly used practices in other

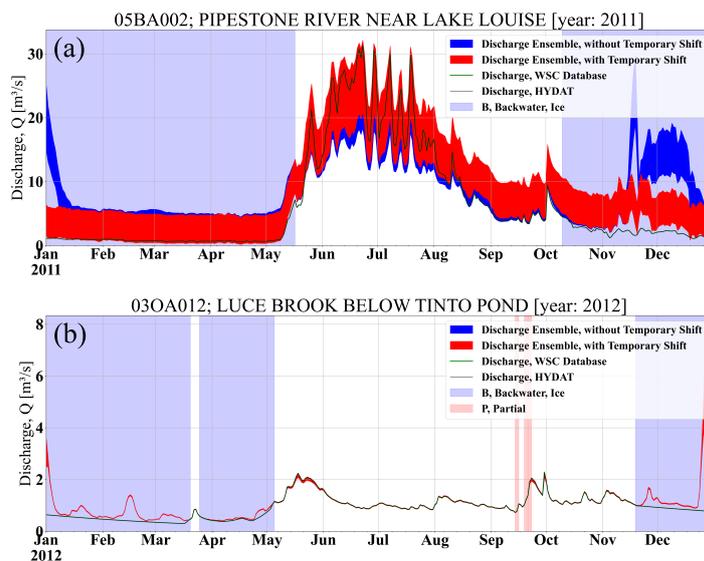


Figure 19: The comparison for the effect of decisions on discharge estimation without shift value, with shift values, reported Aquarius discharge value, and reported HYDAT discharge alongside the flags for (a) 05BA002; Pipestone River Near Lake Louise, Alberta, and (b) 03OA012; Luce Brook Below Tinto Pond, Newfoundland and Labrador.

Table 4: The mean performance of ensemble members with and without shift and discharge values reported in WSC operational database in comparison to HYDAT discharge values.

	05BA002 [year: 2011]				03OA012 [year: 2012]			
	$E_{RMSE}$	$E_{KGE}$	$E_{NSE}$	$E_{RR}$	$E_{RMSE}$	$E_{KGE}$	$E_{NSE}$	$E_{RR}$
without temporary shift	4.890	0.535	0.589	1.048	0.548	0.336	-0.702	0.747
with temporary shift	2.516	0.672	0.862	0.974	0.548	0.336	-0.702	0.747
WSC operational database	0.016	0.999	0.999	0.784	0.002	0.999	0.999	0.642
HYDAT dataset	0.000	1.000	1.000	0.785	0.000	1.000	1.000	0.642



674 parts of the world (McMillan et al., 2010; Coxon et al., 2015), largely due to the hydro-  
675 logical regimes and conditions faced by the Survey in Canada. Temporary shifts and over-  
676 ride processes, while giving the observational stage-discharge a high weight in discharge  
677 estimation, resulting in a more complex relationship between the rating curve and obser-  
678 vations than a standard curve fitting exercise (Figure-17). This complexity does not  
679 lend itself well to more traditional uncertainty approaches. New methods must be ex-  
680 plored to evaluate the rating curve uncertainties over and above the already existing meth-  
681 ods that rely on the specific nature of residuals, such as heteroscedastic Gaussian, in lit-  
682 erature (e.g. methods suggested by Clarke, 1999; Jalbert et al., 2011; Le Coz et al., 2014;  
683 Kiang et al., 2018, are not readily applicable for Canadian hydrometric realities).

684 Following the available information in the WSC operational database accessible by  
685 the API and independent Python workflow the agreement level between the two discharge  
686 estimations, from the workflow and operational database, is explored. This agreement  
687 is significantly lower during the colder months which in turn indicates the complication  
688 of the discharge estimation under ice conditions and their backwater effect. To account  
689 for this environmental factor, different regional offices may follow different procedures  
690 rather than rating curves. In parts of Canada, the override procedure is used, while the  
691 Prairie and Northern regions rely heavily on the temporary shift of rating curves (Figure-  
692 10).

693 This study, given the complexity of the production system and updating of rating  
694 curve information, encourages the community to consider the provenance of discharge  
695 data and evaluate its fitness for its intended use. The discharge values are more than just  
696 a true or deterministic value disseminated from the HYDAT dataset. This dataset is of-  
697 ten used in large sample hydrology, Gupta et al. (2014), and carried over to the larger  
698 datasets without its error and uncertainties being communicated (as an example, Ad-  
699 dor et al., 2017; Arsenault et al., 2020; Kratzert et al., 2022, do not carry discharge un-  
700 certainty values). These discharge values are then used for scientific purposes, model de-  
701 velopment, and model inter-comparison alongside recently used machine learning tech-  
702 niques. If uncertainty and errors in discharge are ignored, the use of large sample datasets  
703 may result in misleading or strong conclusions. For example, it has been communicated  
704 that machine learning can predict the discharge values with 99% percent accuracy or can  
705 predict discharge superior to traditionally used mechanistic Earth System models (in lit-  
706 erature or blog posts). These comments and conclusions should be taken with care as  
707 the hydrographers' decisions in estimating discharge can significantly change a hydro-  
708 graph (refer to Figure-19 and Table-4). Instead, the efforts should be focused on re-assessing  
709 those claims with an ensemble of discharge values. Using an ensemble of discharge time-  
710 series alongside an ensemble of forcing variables of precipitation and temperature can  
711 provide a much more robust analysis of scientific methods, decisions, and claims for Earth  
712 System models (Cornes et al., 2018; Wong et al., 2021; Tang et al., 2022).

713 This work provides the basis for future uncertainty analysis of discharge values re-  
714 ported by the Water Survey of Canada. For better estimation of discharge values as an  
715 outside user and associated uncertainties, however, more information is needed to be added  
716 to the WSC operational database and more capabilities are needed to be developed for  
717 Aquarius™ system. This information does exist in WSC offices on paper, field notes, and  
718 local computer systems but is not fully transferable to the operational database. As an  
719 example, during the preparation of this work and from the API system, it was not pos-  
720 sible to find out which observational stage-discharge points are used for rating curve cre-  
721 ation. Additionally, the information that might help on observational stage-discharge un-  
722 certainty was not available through API to the best of the authors' knowledge. The in-  
723 clusion of rationale behind the magnitude and date of application of temporary shift or  
724 override methods can be a great asset for the operational database. The recommenda-  
725 tions transcend the WSC operational procedures and agencies that follow similar approaches  
726 to WSC. As an example, The Water Survey of Canada, WSC, and the United State Ge-  
727 ological Survey, USGS, have a long history of collaboration going back to the beginning  
728 of the WSC mandate in 1908. The chief hydrographer for Canada spent his early years



729 training with USGS staff in Montana and since then both organizations have developed  
730 shared common practices. Both the USGS and WSC use Aquarius™ as their primary data  
731 production platform and the practices of overrides and temporary shifts are used by the  
732 two organizations. Additional effort is still needed to better access the similarities and  
733 implications of procedural practices on discharge estimation and uncertainty quantifi-  
734 cation between the two countries.

735 We summarize our major finding as follow:

- 736 • The Water Survey of Canada’s standard operating procedures in estimating dis-  
737 charge from stage values, particularly temporary shift, and override are explored  
738 and explained by an independent Pytho workflow.
- 739 • There is no single approach for estimating the rating curve from past observational  
740 (stage and discharge) points at the Water Survey of Canada. This is perhaps due  
741 to the complex relationship between the stage-discharge relationships accounting  
742 for the complexity and diversity of discharge values over the range of environmen-  
743 tal conditions for Canadian hydrometric stations. Additionally, given SOPs such  
744 as override and temporary shift, relationships between rating curves and obser-  
745 vational stage-discharge points are more complex than just a curve-fitting exer-  
746 cise.
- 747 • Given the knowledge of discharge estimation processes, the reported discharge val-  
748 ues in Aquarius can be reproduced for a fraction of 0.67 (within 5% accuracy). The  
749 other 0.33 non-reproducible fraction can be heavily attributed to the override.
- 750 • The standard operating procedures, or SOPs, of temporary shift and override re-  
751 sult in the residuals being suppressed to minimal values. These will not follow the  
752 often assumed statistical distributions for residuals or fundamental basis for rat-  
753 ing curve uncertainty estimation methods. Additional uncertainty models for rat-  
754 ing curves that do not have structured residuals in comparison to stage and dis-  
755 charge measurements, temporary shift, and override techniques should be constructed  
756 and evaluated for Canadian hydrometric stations (uncertainty models of type A,  
757 B, and C from Tabel-3).
- 758 • Additionally, the impact of SOPs on discharge estimation for often used perfor-  
759 mance metrics in Earth System modeling, refer to Appendix A, is significant. Hence  
760 scientific and decision-making choices based on those metrics for reported discharge  
761 should be evaluated with care.

762 Finally, we encourage knowledge mobilization and further collaboration between  
763 the Water Survey of Canada, WSC, the private sector, and universities and research in-  
764 stitutes, similar to this work, which will open opportunities for the evaluation of orga-  
765 nizational processes and constant improvement and stimulate the need for science im-  
766 provement.

#### 767 **Code and data availability**

768 Data is in the possession of the Water Survey of Canada, WSC, and any access should  
769 be arranged by the WSC. Codes can be shared accordingly based on the arrangement  
770 and agreement with WSC.

#### 771 **Author contribution**

772 SG: Manuscript, coding for data extraction and processing and figure preparation,  
773 and conceptualization. PHW: Significant help in writing the manuscript, improvement  
774 of figures, and conceptualization. AP: Significant contribution to the manuscript, con-  
775 ceptualization. JF: Initial idea of exploring Canadian hydrometric stations, conceptu-  
776 alization, data and code review, and team management. HL: Contribution to the manuscript



777 and figures and code review. MPC: Contribution to the manuscript and team manage-  
778 ment.

### 779 **Competing interests**

780 At least one of the (co-)authors is a member of the editorial board of Hydrology  
781 and Earth System Sciences.

### 782 **Appendix A Description of Performance Metrics**

783 The performance metrics used in this study to evaluate the difference between re-  
784 constructed discharge values using the proposed standalone Python workflow in this study  
785 and reported discharge values in the WSC operational database are:

786 1. Runoff ratio,  $E_{RR}$ , is calculated based on the amount of precipitation that falls  
787 over the period of interest.

$$E_{RR} = \frac{V_Q}{V_P} \quad (\text{A1})$$

788 in which  $V_Q$  and  $V_P$  are the volume of the discharge for the station of interest and  
789 precipitation for the upstream area of the station of interest in cubic meters [ $m^3$ ].  
790 The precipitation volume is based on the ERA5 dataset (Hersbach et al., 2020)  
791 and the upstream area is based on the basin shapefile provided by WSC for ac-  
792 tive hydrometric stations. The remapping of the precipitation to the basin is done  
793 using the EARYMORE python package (Gharari & Knoben, 2021).

794 2. Nash-Sutcliffe Efficiency,  $E_{NSE}$  is calculated based on:

$$E_{NSE} = 1 - \frac{\sum_{t=1}^N (Q_{d,t} - Q_{w,t})^2}{\sum_{t=1}^N Q_{d,t} - \bar{Q}_d} \quad (\text{A2})$$

795 3. Root mean square error,  $E_{RMSE}$ , is calculated based on:

$$E_{RMSE} = \sqrt{\frac{\sum_{t=1}^N (Q_{d,t} - Q_{w,t})^2}{N}} \quad (\text{A3})$$

796 in which the subscript  $d$  represents the discharge from the WSC operational database  
797 and the subscript  $w$  represents the discharge that is reconstructed based on the  
798 proposed workflow in this study.

799 4. Kling-Gupta Efficiency,  $E_{KGE}$  is calculated based on:

$$E_{KGE} = 1 - \sqrt{O_1 + O_2 + O_3} \quad (\text{A4})$$

800 in which the components are:

$$O_1 = (1 - \beta)^2 \quad (\text{A5})$$

$$O_2 = (1 - \alpha)^2 \quad (\text{A6})$$

$$O_3 = (1 - r)^2 \quad (\text{A7})$$

801 where  $\beta$  is the ratio of the mean values ( $\beta = \mu_w / \mu_d$ ),  $\alpha$  is the ratio of standard  
802 deviation values ( $\alpha = \sigma_w / \sigma_d$ ), and  $r$  is the cross-correlation coefficient value of  
803 discharge from WSC operational database to reconstructed discharge from the work-  
804 flow respectively.



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