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Exploring the provenance of information across Canadian hydrometric stations: Implications for discharge estimation and uncertainty quantification

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Key Points:

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Abstract

- Accurate discharge values form the foundation of effective water resource planning and
- management. Unfortunately, these data are often perceived as absolute and determin-
- istic by users, modelers, and decision-makers, despite the inherent subjectivity and un-
- certainty in the data preparation processes. This study is undertaken to examine the dis-
- charge estimation methods used by the Water Survey of Canada (WSC) and their im-
- pacts on reported discharge values. First, we explain the hydrometric station network,
- essential terminologies, and fundamental concepts of rating curves. Subsequently, we ex-amine WSC's standard operating procedures (SOPs), including shift, temporary shift,
- and override in discharge estimation. Based on WSC's records of 1800 active hydromet-
- ric stations, we evaluated sample rating curves and their correlation to stage and dis-
- charge measurement. We investigate under-ice measurements, ice condition periods and
- frequency, and extreme values in contrast to rating curves. Employing an independent
- workflow, we demonstrate that 69% of existing records align with the rating curve and
- temporary shift concept, while the remaining 31% follow alternative discharge estima-
- tion methods (override). Selected example stations illustrate discharge estimation meth-
- ods over time. We also demonstrate the impact of override and temporary shifts on com-
- monly assumed uncertainty models. Given the practices of override and temporary shifts
- within WSC, there is a need to explore innovative methods for discharge uncertainty es-
- timation. We hope our research helps in the critical challenge of estimating and com-
- municating uncertainty in published discharge values.

Plain Language Summary

 This study provides insight into the practices that are incorporated into discharge estimation across the national Canadian hydrometric network operated by the Water Sur- vey of Canada, WSC. The procedures used to estimate and correct discharge values are not always understood by end-users. Factors such as ice cover, and sedimentation limit ⁴⁷ the ability of accurate discharge estimation. Highlighting these challenges sheds light on difficulties in discharge estimation and associated uncertainty.

1 Introduction

 River discharge or streamflow is the fundamental data upon which hydrology and water management depend (McMillan et al., 2017; Shafiei et al., 2022). River discharge is the integration of other fluxes such as precipitation, evaporation, and soil moisture level at catchment- and basin-scale and hence carries important information about the nat- ural and anthropogenic processes. Given this importance, the national gathering of river discharge data is typically a data product that governments provide as basic national infrastructure to support decision-making, planning, and water management objectives of governments, industry, and private sectors.

 River discharge values are typically obtained by using a relationship called a rat- ing curve (Rantz, 1982) to convert measurements of stage (water level) into estimates of discharge (water volume over time). Direct discharge measurements are made using techniques such as velocity/flow meters, Acoustic Doppler systems, or other methods. Each measurement technique, device, frequency, and protocol results in various error mag- nitudes (Pelletier, 1989), contributing to discharge measurement uncertainties (Whalley et al., 2001; Cohn et al., 2013). Rating curves are developed through occasional field dis- charge measurements, where hydrographers relate these direct measurements to river stages. The structure of the residuals model for rating curves can then be characterized by com- σ paring these measurements to the rating curves. This residuals model can subsequently be used, often following established methods, to estimate discharge uncertainty from con-tinuous stage measurements (Coxon et al., 2015; Kiang et al., 2018).

 In addition, errors in discharge values also stem from the (limited) capability of rat- η ing curves to represent time-dependent changes in stage-discharge relationships. Such ₇₂ time-dependent changes in river conditions come from local hydrodynamics and envi- ronmental conditions. This includes time-dependent changes in river conditions that in- troduce backwater effects due to sedimentation, and vegetation growth or ice formation, amongst others. The stage-discharge relationships defined by rating curves are gener- ally functional forms (single curve) while in reality, they may be hysteretic due to the π dynamic nature of water movement in the channel (Tawfik et al., 1997; Wolfs & Willems, 78×2014 ; Lloyd et al., 2016; Gharari & Razavi, 2018). For example, the rising limb and falling limb of a flood hydrograph may exhibit different discharge values for the same stage. This difference between the assumed stage-discharge relationship and the dynamic nature of the stage-discharge relationship is a source of uncertainty (among many other sources of discharge uncertainty).

 Lastly, standard operating procedures or SOPs that are developed and used by hy- drometric agencies for translating water level to discharge are often established for con- stant re-assessment. In many instances, the stage-discharge relationship can be subject to the hydrographers' intervention. As an example, the process of creating a rating curve ⁸⁷ from observational discharge measurement may need to follow agreed-upon institutional or organizational procedures. In addition, updating rating curves over time, to try to main- tain the accuracy of relationships, may result in more challenges in uncertainty quan-tification associated with the rating curve.

 Given the differences in operating procedures, separating the above sources of un- certainty quantitatively is challenging and needs an extensive understanding of the op- erating procedures to determine the magnitude of each of the sources of uncertainty. De- spite this difficulty, the communication of the discharge uncertainty is becoming increas- ingly important as hydrological, water quality, and water management models, which are often used for decision-making, are based on these published and approved estimates of river discharge.

 This study seeks to identify critical decisions on discharge estimation processes at the Water Survey of Canada (WSC). The study tries to address the following questions:

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- What are the standard operating procedures followed by hydrographers for dis-charge estimation?

 • What are the critical decisions that affect discharge estimation and associated un-certainties and how can they be categorized?

 • How can access to metadata and measurements be improved to aid in the estima-tion of discharge uncertainty for Canadian hydrometric stations?

 The response and investigation of the aforementioned questions serve as the foun- dation for the overarching objectives of standardizing uncertainty quantification and com-munication within the quality assurance and management system, QMS, of WSC.

 This paper is organized as follows. First, the terminologies are introduced to fa- miliarize readers with the institutions, SOPs, concepts used in this study, and the work- flow from data acquisition to river discharge estimation. This is followed by the results section where examples of rating curves and their relationship to observations of stage- discharge values are discussed. The estimated discharge values by WSC are reproduced using the available stage values and information in the production system. The paper concludes by discussing the findings and suggestions for essential data acquisition and archiving that will allow for better uncertainty estimation for Canadian hydrometric sta-tions.

2 Data, Terminologies, and Methodologies

2.1 Canada's hydrometric monitoring program

 Canada like many other nations has invested heavily in its national hydrometric monitoring program through the Water Survey of Canada, WSC, and in the publicly avail- able national service and historic discharge records (refer to Table-A1 for terminologies that are used in this work). WSC is a unit of the National Hydrological Service for Canada which is housed within the Canadian Government and is part of the Federal Department of Environment, known as Environment and Climate Change Canada (ECCC). WSC, an ISO 9001-certified organization, oversees the collection, harmonization, and standard- ization of discharge information in a cost-shared partnership with provincial and terri- torial governments across Canada. WSC divides its data into 5 regional entities: (1) Pa- cific and Yukon Region (British Columbia and Yukon), (2) Prairie and Northern Region (Alberta, Manitoba, Saskatchewan, Northwest Territories, and Nunavut) (3) Ontario Re- gion, (4) Qu´ebec Region, (5) Atlantic Region (New Brunswick, Newfoundland and Labrador, 132 Nova Scotia, and Prince Edward Island). The Ministère de l'Environnement et de la Lutte contre les changements climatiques operates the majority of the Quebec hydrometric sta- tions and contributes these data to the national database under the cost-share agreements and partnerships. Other provinces, also operate their stations and contribute to the net- work. WSC monitoring stations include measurements in real-time of water levels in lakes 137 and rivers and real-time river discharge estimation for the majority of its active stations. WSC, currently, operates approximately 1800 active stations across Canada with its part- ner for discharge estimation. The number of active stations has changed over time while some historical stations are discontinued (not active currently). Detailed descriptions of the history of the WSC, its partnership, and technical evolution are documented (Halliday, 2008; Kimmett, 2022).

2.2 Overview of Current Production System

 WSC uses the Aquarius[™] operation system maintained and operated by Aquatic Informatics. Aquarius[™] is used for interaction with the operational database and ma- nipulation of values for discharge estimation. This system was tailored to the WSC SOPs and QMS, and has been in use since 2010. The Aquarius™ system allows for real-time water level reporting and flow data estimations for most WSC stations equipped with telemetry systems. Aquarius["], including its graphical user interface or GUI, provides many options to hydrographers to revise the discharge values, smooth discontinuities, and fill gaps among others.

 The most important variable in hydrometry is stage or water level. The accurate measurement of stage values is crucial as it is the main variable used in combination with the rating curve to estimate discharge. The recorded stage values are at temporal res- olutions programmed into the field-based logger system and are typically in the order of minutes. It is noteworthy to mention that although in the past the stage observation temporal resolution would vary between sites and span from daily, hourly, half-hourly or quarter-hourly, the stage logger time steps are currently set at 5 minutes. The col- lected stage values go through automated checks to account for faulty readings and are used, with the help of rating curves, to estimate discharge values. These provisional dis- charge data are later quality-assured and approved using a rigorous approval process. The approval process, among others, includes the repeatability of estimated discharge values by other hydrographers. The reported discharge values are accompanied by qual- ity assurance flags that identify the condition under which the river discharge is estimated (explained in Table-A1). The aggregated discharge values at daily temporal resolution are disseminated publicly through the National Water Data Archive of Canada called **HYDAT**.

 There is information in the production database regarding field visits and stage- discharge measurements. Field visits are activities that are designed to ensure the op- erational integrity of instruments at station. Stage-discharge measurements encompass activities using techniques such as *mid-section*, using standard flow-meters, or *Acous-*¹⁷² tic Doppler equipment for river discharge measurement. In practice, multiple discharge measurements are made to determine a consistent flow estimate, particularly when the measured discharge deviates substantially from the expected discharge estimate derived from the rating curve (stage-discharge relationship). The discharge measurement activ- ities are essential to confirm or adjust rating curves. Based on new discharge measure- ments or environmental factors such as the presence of ice, the hydrographer may de- cide to apply or change previously estimated discharge. Additionally, based on new stage-discharge measurements, hydrographers may decide to design and test new rating curves.

 The earliest records of stage values, in the current WSC operational database, are from the mid-1990s. These data were transferred from the previous newleaf production system when Aquarius[™] was first introduced. The reader should note what is contained in the operational database is only a fraction of the existing historical time series that exists in various forms at WSC regional offices or earlier database systems. For exam- ple, for the Bow River at Banff station located in the province of Alberta, the stage and associated estimated discharge records start from 1995 in the operational database while the reported discharge in the HYDAT dataset goes back to 1909. Similarly, the earli- est records of observational field discharge measurements and the earliest rating curve recorded for each station in the operational database extend mostly to the 1970s and 1980s. For the same station, the existing rating curves in the operational database system be- gan in 1990, despite over 100 years of record. Earlier rating curves cannot be accessed from the operational database as they have not been transferred into this system, how- ever, all records are available, many in hard copies in the WSC regional offices. This is a similar story for historical field discharge measurements; not all the earlier historical observations have been carried over to the current operational database. For the Bow River at Banff station, the earliest observational discharge in the operational database is from 1986. The difference between the period of the digital operational database accessible by Aquarius™ and records that exist at WSC regional offices needs to be empha- sized since the present analysis is limited to data that is contained in the current oper-ational database.

 The focus of this study is only on active stations. Each station is defined by a sta-₂₀₂ tion ID. The station ID is a unique identifier for each hydrometric station and its ap- proximate location using a standard WSC naming convention. In this convention, the first two digits define the major drainage basin in which the station is located (01-11,

Figure 1: Location of active hydrometric stations across Canada. The eleven major drainage basins are (01) Maritime Provinces, (02) St. Lawrence, (03) Northern Quebec and Labrador, (04) Southwestern Hudson Bay, (05) Nelson River, (06) Western and Northern Hudson Bay, (07) Great Slave Lake, (08) Pacific, (09) Yukon River, (10) Arctic, and (11) Mississippi River. These digits are the first two characters in station IDs. The province of Quebec stations that are operated by Ministère de l'Environnement et de la Lutte contre les changements climatiques of the Province of Québec are not included in the WSC production database, nor are stations operated by other government agencies, crown or private corporations.

 see Figure-1). The two digits are followed by two letters that define the location of sub- basins ordered from headwaters to the mouth in each major drainage basin (AA, BA, BB, BC, etc). The ID ends with a three-digit sequential number of the station in sub- basins. As an example, the station ID of Bow River at Banff, 05BB001, indicates it was the first station in sub-basin BB that is located in Saskatchewan/Nelson River basin iden-tified by the leading code of 05.

²¹¹ 2.3 Rating Curves

 Rating Curves are perhaps the most commonly used method for river discharge es- timation derived from stage observations. Rating curves are functional hydraulic rela- tionships that relate river stage values to discharge values. In the WSC operational database, each rating curve is tied to an effective period, from a start to an end date, where the rating curve is considered the valid expression to estimate discharge values from stage records. Rating points are pairs of stage and discharge values that define the form of the rating curve functions (red points on Figure-2a,b). For the interpolation between the two consecutive rating curve points, the Water Survey of Canada uses two major approaches: $_{220}$ (1) linear table (2) logarithmic table. In a linear table, a linear relationship is assumed between the rating points (Figure-2a), while in a logarithmic table, a logarithmic rela- tionship is used instead (Figure-2b). The logarithmic relationship is defined by the form 223 of $Q_t = a(H_t - O)^b$ with parameters a and b and an offset value of O. The offset val- ues are archived alongside the rating points in the production system database while a $_{225}$ and b can be inferred using the position, read stage, and discharge, of the consecutive 226 rating curve points. H_t is the measured stage and Q_t is estimated discharge at time t. The logarithmic expression of rating curve resembles the hydraulic equations relating wa-

Figure 2: Examples for (a) linear table, and (b) logarithmic table rating curves. The blue points are the observation points of the measured stage and discharge during discharge measurement; the rating points that define the rating curve are shown in red. In practice, these are not equations describing curves but lookup tables that record stage and discharge values.

 ter elevation to discharge. The offset, O, can also be referred to as reference elevation 229 or H_0 and alongside parameter a and b can reflect "hydraulic" characteristics (Reitan & Petersen-Øverleir, 2011).

2.4 Managing Rating Curves Changes

 The process of managing changes that affect a rating curve can be broken down into three major practices, which are defined in the Water Survey of Canada (WSC) Stan- dard Operating Procedures (SOPs). These changes can include non-functional relation- ships such as hysteresis, or non-stationary relationships over time due to physical and environmental factors. The processes are itemized below.

- ²³⁷ [Re]construction of rating curves: New observations that indicate a change to the local hydraulic realities may require an establishment of a new rating curve. A new rating curve is required when part or all of the historic stage-discharge ob- servations does not fit new discharge measurements and cannot easily be accom- modated by historical rating curve manipulations. Large changes to a water body or structural influences on local hydraulics may warrant this reconstruction. An- other example would be the construction of a rating curve beyond the maximum observed stage-discharge using various types of modeling techniques or a change of rating curve from linear table to logarithmic table.
- ²⁴⁶ **Shift:** The shift of a rating curve happens when the entire or part of the rating curve needs to be adjusted based on new discharge measurements (but not entirely reconstructed). These shifts can have various forms; the simplest form is a con- stant or single point shift in which the new observational points show a single value shift in comparison to earlier observations and the rating curve (constant over the range of the rating curve). The other types of shift can be used to accommodate part of the rating curve shift, called knee bend, or more local accommodation of changes in the rating curve by truss shift (Figure-3). Readers are encouraged to refer to earlier works to read a more extensive elaboration of rating curve shift (Rainville et al., 2002; Mansanarez et al., 2019; Reitan & Petersen-Øverleir, 2011).
- ²⁵⁶ **Temporary shift:** The concept of the temporary shift of rating curves is not widely known or explored in the literature. The temporary shift is the movement of a rat- ing curve along its stage axis to adjust for the short-term presence of environmen- tal disturbances such as backwater and ice conditions. Figure-4a-c shows an ex-ample of how the temporary shift is applied over time and how the application of

Figure 3: The shift of rating curve segments to accommodate new observation points based on stage residuals for various types from a base [original] rating curve: (a) constant or single point shift in which the rating curve is shifted with a constant value over its entire range, (b) knee bend in which part of rating curve is shifted with a constant value, and (c) truss in which more local shift is applied on a rating curve.

²⁹⁰ 2.5 Overrides

²⁹¹ In addition to the temporary shift of the rating curve, WSC uses other methods ²⁹² outside the manipulation of rating curves to report an updated discharge estimation. These

Figure 4: Above panels provide an example of discharge estimation using the concept of temporary shift. The bottom panels provide an example of discharge estimation using the concept of override (while temporary shift is set to zero). (a) The evolution of temporary shifts over time, (b) measured stage time series, (c) estimated discharge time series with and without temporary shift, (d) temporary shift time series, set to zero, (e) stage values record that has a gap and faulty reading, and (f) the estimated discharge values using override techniques that are corrected for the gap, discharge measurement, and faulty reading. The effect of temporary shift time series on the rating curve is illustrated in Figure-5

Figure 5: Temporary shifted rating curves at (a) t_1 , (b) t_2 , (c) t_3 , (d) t_4 , (e) t_5 , (f) t_6 from shift time series illustrated in Figure-4-a applied based on the environmental condition during ice over, hydrographer experience and discharge measurements.

 updates follow WSC SOP rules and are based on a multitude of factors such as discharge measurements, and the hydrographer's judgment as to the state of changes in the river. ₂₉₅ The collective title of these efforts is *override* in which WSC hydrographers use various techniques and sources of information to manually correct discharge values. Overrides may include adjustments based on upstream or downstream station readings, linear in- terpolation of missing values, reconstruction of peak discharge by [hydraulic] modeling, falling limp using decay functions, or under-ice discharge variations among others. The override practices can sometimes vary between the WSC offices. Although the hydro- graphers at WSC follow SOP guidelines and their experience for this estimation, given ³⁰² that our efforts were limited to data available from the API, it is challenging to easily recreate estimated discharge values reported in the operational database. Figure-4d-f il- lustrates a very simplified example of an override in which the temporary shift is not used δ ₃₀₅ (and hence zero). The discharge values are manipulated to fill the gap between time t_3 $\frac{306}{4}$ and t_4 in the stage record for the rising limb of a flood event. The discharge values are also changed to reduce the estimated peak flow to better match the observational dis-³⁰⁸ charge at time t_4 . Finally, the hydrographer decides that the stage reading values at t_6 are faulty and should not be used for discharge estimation. The discharge values for this faulty reading are then interpolated using the past and future readings of this station 311 and possible existing upstream and/or downstream stations.

³¹² 2.6 Developing an independent Workflow

 An independent Python workflow is designed to evaluate the reported discharge values in the operational WSC database. The designed workflow uses the application pro- gramming interface or API to extract data directly from the database. The main aim ³¹⁶ of the workflow is to replicate the reported discharge in the operational database, *Dis-*317 charge. Historical. Working, using the recorder stage values, identified by Stage. Historical. Working, and other available information, such as rating curves, and temporary shift from the op- erational database. The workflow is designed into five steps: step-1 is the interrogation of the metadata from the production database. This includes downloading the metadata ³²¹ for available time series at logger resolution such as stage, and other parameters such as pressure, voltage, or any parameter that reflect on the functionality of instruments or environmental factors. Information about the rating curves (their IDs) and the dates ³²⁴ of their applications are also extracted. In the second step, step-2, rating curves, and time series are downloaded from the production database. These data are the rating curve tables, including the offset for the logarithmic table, and the effective shift at a given date and time (specified in the shift metadata, from step-1). Step-3 is the adjustment of the variables to common scales. This includes refining the rating curves to increments of 1 millimeter for finer interpolation along the stage axis and also re-sampling, interpolat- ing continuous or discrete information such as temporary shift values, and rating curves ³³¹ ID to temporal stage resolutions. This step provides the needed information for estimat- ing the discharge from stage values. Step-4 mainly focuses on estimating discharge from ³³³ the stage based on the files created from the adjustment step and the time series of stage values used to recreate discharge within the production system. Finally, step-5 of the work- flow focuses on evaluating and interpreting the reproduced discharge and comparison with reported values from the production database. The difference between the reported dis- charge values in the production database, which includes override practices and values, and reconstructed discharge based on the above-mentioned workflow can shed light on ₃₃₉ the level of possible intervention by override or other methods on reported discharge.

3 Results

3.1 Rating Curves Construction and Characteristics

 Rating curves are characterized by rating points, and in the case of a logarithmic $_{343}$ table, they are accompanied by offset values $(O,$ refer to Table-?? and Figure-2). Our findings, contrasting the rating curves and observational points, indicate that the cre- ation of rating curves from observational points does not always follow a unified statis- tical approach. Rather, it is sometimes based on hydrographers' judgment and field ob- servations. Additionally, it is not apparent, when extracting data from the API system, which stage-discharge measurement points are used to update the current rating. A few of the limitations in reproducing rating curves are described below. (Figure-6):

³⁵⁰ • Rating curve extrapolation/extension beyond the largest stage-discharge ³⁵¹ in the operational database record: The rating curves might be extended be- yond the largest stage and discharge observed values in the operational database. The method for the extension of the rating curves is not provided through the API in the operational database. Earlier observational discharges that are not recorded in the operational database may be used in creating more recent rating curves or the extrapolation is done using hydraulic modeling or other procedures. For example, the difference in the rating curves for station $02YR004$ is perhaps due to extrapolation outside the range of maximum observation using SOPs. For earlier rating curves that use linear tables this extrapolation is linear while for more re- cent rating curves expressed in the logarithmic table, the extrapolation is done in logarithmic space. (Figure-6a).

- Extrapolation of rating curve for out-of-bank conditions: one of the dif- ficulties is to construct the rating curve for the out-of-bank condition with lim-ited observational points at high water conditions (Figure-6b).
- ³⁶⁵ Removal of ice-conditioned stage-discharge points: The formation of an ice cover causes increased friction and generates a backwater effect where the wa- ter level has a different relationship to discharge than in open water conditions. Under a winter ice cover, discharges are much lower than during open water and measurements often do not fall on the stage-discharge curve. Instead, while ice is present, the observations are used to adjust the estimated discharges using over- rides or temporary shifts (Figure-6c). This, in turn, results in fewer observational points being available for the construction of rating curves.
- ³⁷³ **Emphasis on one observational point:** A rating curve is often created or changed based on one gauging measurement. Observational points with very high discharge values can affect the higher end of the rating curve. This can be due to high dis- charge values only occurring for brief periods resulting in one observation in the high discharge period being the only observation. In the example provided for sta- tion 01FF001, an observational point with stage and discharge of approximately 1.75 m and 40 m3/s is given very high weight in creating the immediate rating curve update after the aforementioned field activity while in later rating curves, this high emphasis is not followed (Figure-6d).
- Event-based erosion, flood, or long-term channel erosion: River section may change over time and therefore observational stage and discharge points fol- low these changes accordingly. Sediment transport occurs gradually and over longer periods than a flood event, but can result in complex changes in the measurement section as sediment is deposited or removed or as dunes proceed through the sec- tion. These changes require a new rating curve or shifts in the existing rating curve (Figure-6e). Similarly, floods or high water levels can also result in a substantial change in river section or removal of stations. In these cases, a new rating curve is needed.
- ³⁹¹ Changes in rating curve benchmark stage or instrument stage reading change: A benchmark is a fixed point that is used to link the observed water level

Figure 6: Example of rating curves and observations available in Aquarius illustrating rating curves over time where (a) curves are extended outside of the highest discharge observation extrapolation (b) sharp breaks in rating curves when the river flows out of bank (c) under ice stage-discharge observations are not used in rating curve creation, (d) emphasis on one point of observation results in a change to the rating curve, (e) long or short term river bed erosion, and (f) change in rating curve benchmark for reporting stage values.

 to an actual elevation. The local benchmark that is used as a datum may change over time with the landscape or administrative change. Alternately instrument replacement, after a flood event for example, in a new location can also change the reading in comparison to historical readings compared to the benchmark (Figure-³⁹⁷ 6f).

 Given the above, it is important to emphasize that the use of rating curves within the Water Survey of Canada does not allow for a more classic statistical approach for uncertainty analysis where the curve would be the best fit through the series of observed points (as it is for other institutions such as UK environmental agency Lamb et al., 2003). The actual process used is deterministic and much effort is invested in making the rat-⁴⁰³ ing curve pass through or close to each measurement, or stage and discharge point, which has been a long-standing practical approach (Rantz, 1982).

 Seasonality and ice conditions are other factors that can complicate the use of ex- isting stage-discharge observations. When there is ice cover, the stage-discharge relation- ship will vary substantially from the expected open-water rating curves. Figure-7 indi- cated that the stage-discharge measurements during cold months of the year were iden-⁴⁰⁹ tified by flag B, or backwater due to ice, in contrast to those with other or no flags. As

Figure 7: The contrast between the stage-discharge measurements with and without the B flag for stations (a) 2DC004, Sturgeon River Near Glen Afton, (b) 07BA002, Rat Creek Near Cynthia, (c) 09AH003, Big Creek Near The Mouth, and (d) 11AB078, Cypress Lake West Inflow Canal. The red points do not have flags while the blue points are stage-discharge measurements that have the B flag, ice or backwater, in the operational database.

 it is clear from panels of Figure-7, the winter period often has smaller discharge values for a similar stage to those in summer, therefore, resulting in a smaller pool of stage-discharge observation that could be used for rating curve creation. Additionally, the presence of ice, similar to sedimentation, can result in the river bank and morphology changing over ⁴¹⁴ time and during an ice jam event which in turn may result in a change of rating curve over time (similar to Figure-6c). This process of shaping the river morphology is hypoth- esized by Smith (1979) to result in less frequent bankfull events which in turn result in less frequent peak flow measurement. The importance of river ice processes and their im- pact on stage and discharge values is reflected in the Canadian River Ice Database (CRID, de Rham et al., 2020)

 Additionally, Figure-8 provides fractions of discharge measurement activities, field inspection activities, and ice flags for each specific month of the year for the entire hy- drometric network and 11 major drainage basins in Canada. The red dashed line indi- cates the change over the year for the percent of each month's in situ discharge measure- ments from total discharge measurements while the blue line provides an understand- ing of the magnitude of the discharge values over the month of a year. The shaded blue for each month provides the comparison between the fraction of time that the stations times series for that month are identified by flag B (which is used to identify backwa- ters due to ice conditions). The number of discharge field measurement activities during the summer months is larger than in the winter months. This is due to the spring and summer variability in discharge being much greater than in winter and because ice discharge measurements are expensive and labor-intensive in comparison to open-water measurements.

Figure 8: The lines indicate the monthly fraction of annual discharge in blue and stagedischarge measurements in red, for each major drainage basin and all the stations in the WSC operational database. The blue shading identifies the fraction of time series that are identified by flag B or backwater that is used to identify ice conditions. The darker the shade the more dominant flag B or ice cover is for the major drainage basin.

Figure 9: Months where the recorded stage values exceed the maximum observed stage during any discharge measurements archived in the operational database. A solid bar in the month in the figure indicates, for a station and during its available record, there is at least one event in that month across all years, with recorded stage values exceeding the maximum observed stage value. The percentage for each month indicates the fraction of stations where the recorded stage exceeds the maximum observed stage and discharge.

 Evaluating the recorded stage greater than the maximum observed stage in the op- erational database provides an understanding of how often discharge estimates are in the portions of extrapolated rating curves beyond the observed stage-discharge points that are archived in the operational database. Figure-9 indicates that there are stations in which the stage higher than the maximum observed stage during discharge measurement can occur in any month of the year. One example of this is 02YR004; Triton Brook above Gambo Pond in the province of Newfoundland and Labrador (Figure-6a). This could hap- pen because the operational database might not include earlier stage-discharge measure- ments with the highest stage values or systematic backwater from increased water level in Gambo pond. In general, Figure-9 highlights the existence of numerous events when discharge values are estimated using extrapolated segments which can have significant impacts on estimates of discharge and its uncertainty in flood modeling and flood fore-⁴⁴⁵ casting.

⁴⁴⁶ The temporary shift of rating curves to account for environmental conditions is a ⁴⁴⁷ common practice at the regional offices of WSC. Figure-10 identified three major char-⁴⁴⁸ acteristics of temporary shift application across the Canadian hydrometric stations. First is the average number of days per year in which temporary shift is applied (Figure-10a). For the prairie regions, especially stations operated by the Calgary office in the province of Alberta, the temporary shift can be applied all year long (length of temporary shift application larger than 300 days per year). As presented in Figure-10, using the tem- porary shift to adjust for environmental conditions is most common in Prairie and North- ern regions. The use of temporary shifts is less common in Eastern and Western Canada. In those regions, direct manipulation of discharge values rather than the rating curves is more common (following override). The second panel, Figure-10b, indicates the mag- nitude of temporary shift applied in meters. There are stations with temporary shift mag- nitude of more than 1 meter; this means during various environmental conditions such as the presence of thick ice cover, stage values that are as different as one meter or more, under the temporary shift application, may result in similar discharge estimation. Lastly, Figure-10c, identified the range of applied temporary shift to the range of stage values. This comparison indicates how relative intervention by temporary shift is compared to the changes in recorded stage values. Interestingly, there are stations over the Canadian domain in which the range of temporary shift surpasses the range of recorded stage val-ues (ratio of close or more than one).

3.2 Time series reconstruction

 $\frac{467}{467}$ In steps 3 & 4 of the independent workflow, river discharge values are reconstructed ⁴⁶⁸ and compared with the reported discharge values from the WSC operational database. This comparison of discharge values indicates four categories for discharge estimation:

- ⁴⁷⁰ 1. **Rating curve:** in which the estimated discharge values strictly follow the stage- discharge relationship or rating curves and can be reconstructed using stage val-**ues.**
- ⁴⁷³ 2. **Temporary shift:** in which the discharge follows the temporarily shifted rating curves and can be reconstructed using stage values.
- ⁴⁷⁵ 3. **Override:** The period in which the discharge is estimated using override methods and techniques (not following rating curve and temporary shift).
- ⁴⁷⁷ 4. **Temporary shift and override:** in which both temporary shift of rating curve and override methods are applied at the same time.

 Table-1 indicates the four categories of discharge estimation, and their reproducibil-⁴⁸⁰ ity using the independent Python workflow, given the data that was retrievable from the API system.

 To provide clear examples of each of the categories, four stations are examined. Figure- 11 illustrates the recorded stage for 08GA079, Seymour River Above Lakehead located in the province of British Columbia, in the top panel. The applied temporary shift and the date of field or discharge measurements are shown in the second panel from the top. The third panel from the top compares the recreated discharge, using the workflow de- scribed in this study, and the reported discharge from the operational database. The shaded areas in this panel indicate the quality assessment symbol (flag) from the operational dataset. There is no application of temporary shift and override for this station in the year 2002 and therefore estimated discharge follows the rating curve concept (presented by green in the bottom panel).

 Figure-12 illustrates the stage, temporary shift, and reported and reconstructed dis- charge values and time series for station 01AF009, Iroquois River at Moulin Morneault located in the province of New Brunswick. The under-ice condition in the reported dis- charge values from the operational database is lower than the reconstructed discharge values from the stage using the rating curves and temporary shift of zero values while ⁴⁹⁷ the applied temporary shift values for the years 2003 are zero. The under-ice discharge estimate is an override applied using various methods at the regional offices. It can be seen that override discharge values pass through the observational points under ice con-

Figure 10: (a) Temporal application of temporary shift, (b) range of applied temporary shift, and (c) the ratio of temporary shift range to stage range across hydrometric stations of Water Survey of Canada. The background colors indicate the major drainage basins (refer to Figure-1). $(refer to Figure-1).$

Table 1: Types of discharge estimation

Figure 11: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge measurements, and (bottom panel) dominated method of discharge estimation for 08GA079; Seymour River Above Lakehead in the province of British Columbia. The colors in the lower bar link to the descriptions in Table-1: rating curve (green).

Figure 12: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge measurements, and (bottom panel) dominated method of discharge estimation for 01AF009; Iroquois River at Moulin Morneault located in the province of New Brunswick. The colors in the lower bar link to the descriptions in Table-1: rating curve (green), and override (gold).

 ditions, these observations of discharge are the basis for the winter flow record and not the recorded stage and the rating curve, while the variation is also recreated following established logic at the regional office such as under ice peak flows (in this example, late March and early April). This is reflected in the bottom panel in which two major dis- charge estimation categories are depicted: the green is when rating curves are followed without temporary shift and the gold is when the override methods are applied.

 Discharge values for station 05BL004; Highwood River Below Little Bow Canal is provided in Figure-13. The hydrographers have applied negative temporary shifts for this station. For the year 2012, the temporary shift was applied during winter with larger $_{509}$ shifts (-0.25 to -0.50) and during summer with rather small shifts (\lt -0.20). The winter shift is presumed to be correcting for ice conditions and the summer shift, in June, is likely ⁵¹¹ for the backwater correction over the high discharge period (while there is no associated flag with this event). Temporary shifts are sometimes applied on dates that coincide with discharge measurements or site visits, presumably to match the observed discharge with the rating curve with temporary shifts. Shift values can be changed on other dates that might correspond with temperature changes or video recordings from on-site monitor- ing cameras or upstream and downstream station field visits and observations. The bot- tom panel indicated that for this station and the year of interest, there are two major discharge estimation categories: the blue is the rating curve and temporary shift and the magenta is rating curve and temporary shift which is corrected by override.

Figure 13: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge measurements, and (bottom panel) dominated method of discharge estimation for 05BL004; Highwood River Below Little Bow Canal located in the province of Alberta. The colors in the lower bar link to the descriptions in Table-1: rating curve (green), temporary shift (blue), override with temporary shift, and override (magenta).

Figure 14: (Top panel) the recorded stage, (second panel from top) the applied temporary shift, (third panel from top) reproduced discharge values based on workflow and comparison to reported discharge values from operational database and discharge measurements, and (bottom panel) dominated method of discharge estimation for 09CB001; White River at Kilometer 1881.6 Alaska Highway in Yukon Territory. The colors in the lower bar link to the descriptions in Table-1: rating curve (green), override (gold), temporary shift (blue), and, override with temporary shift and override (magenta).

 The last example focuses on station 09CB001; White River at Kilometer 1881.6 Alaska $_{521}$ Highway in Yukon Territory (Figure-14). This is an example of a station in which a va- riety of discharge estimation methods are used. In part of summer, the discharge can be fully reproduced by rating curves. There are also periods that the temporary shift is ap- plied over summer and discharge estimation follows the rating curve and temporary shift. In part of the summer, in addition to the temporary shift concept, the override is also applied to correct the estimated discharge. For the winter period, there is no applica- tion of temporary shift, however, the override is used by emphasizing the observation, perhaps under ice observation, to estimate discharge (similar to Figure-13).

 Given the difference between the reproduced and reported discharge values in the operational database, similar to stations 01AF009, in the following, the agreement be- tween the reported discharge in the operational database was evaluated using the inde- pendent workflow for all the hydrometric stations that have a complete yearly record. Figure-15a illustrates the overall categories for discharge estimation for stations with com- plete yearly discharge values (not seasonal). For example, as expected, this panel shows that the rating curve category is more dominant in regions of the Maritime Provinces ₅₃₆ and St. Lawrence basins during the summer period followed by override categories mostly applied in winter. In contrast, for Saskatchewan and Nelson River, the temporary shift is more dominant in winter time together with mixed of temporary shift and override. The estimation of discharge values with independent workflow can be compared with the reported discharge in the operational database. Figure-15b depicts this agreement in a $_{541}$ fraction of the period in which reconstructed discharge is within 5% of the discharge re-

Figure 15: (a) The dominant category of discharge estimation over month of the year; these categories are (1) rating curve in which the discharge estimation fully follows the concept of rating curve, (2) temporary shift, when the discharge estimation conforms with the concept of temporarily shifted rating curve, (3) override when the discharge is altered outside of the concept of [temporarily shifted] rating curve, and (4) mixed categories in which a combination of temporary shift and override are used. (b) The fraction of agreement for estimated discharge values from the proposed workflow described in this study (within 5% of reported discharge values from the WSC operational database). The agreement fraction is not always to its maximum, 1.00, and varies seasonally and geographically. The overall average agreement between the recreated discharge values and what is reported in the operational database is 0.69, with winter months having lower agreement than the summer months.

₅₄₂ ported in the operational database. The overall overlap is around 0.69. This level of agree- ment from the independent workflow can be attributed to discharge estimation from rat-⁵⁴⁴ ing curves and rating curves combined with the temporary shift. On the other hand, the lack of agreement can be heavily attributed to the override values which are more pro- nounced during the winter period. This lack of agreement can be partly attributed to the types of data that are not available from the WSC operational database via the API (that is used for the workflow). Trained and experienced WSC hydrographers can repeat discharge values, with great similarities if not identical, using the Aquarius \degree , doc- umented comments in the operational database. This is also checked and confirmed dur- ing the approval process. Therefore the repeatability, in practice, will be much higher than the reproducibility reported based on the independent workflow stated here.

⁵⁵³ 3.2.1 Implication for Uncertainty Estimation

 The processes of temporary shift and override affect the residual values that are the foundation of uncertainty estimation models. In this section, we examine how dif- ferent discharge estimation methods, such as the rating curve, temporary shift, and over-₅₅₇ ride, alter the stage-discharge relationship and subsequently the residuals.

 Figure-16a depicts the discharge time series based on the rating curve for station 01AJ004, Big Presque Isle Stream at Tracey Mills, New Brunswick, for each day of the year alongside the discharge measurements. Figure-16b illustrates the stage-discharge relationship compared to the discharge measurement values. Due to the strict adherence to the rating curve, the stage-discharge space is confined to rating curves only. Figures-16c depicts the residuals for each discharge measurement compared to the estimated dis-

Figure 16: The comparison between discharge values for estimated discharge for each day of the model, stage-discharge relationships, and residuals for three difference cases respectively for station 01AJ004, Big Presque Isle Stream at Tracey Mills, located in the province of New Brunswick; (a, b, c) when the discharge estimation strictly follows the rating curve, (d, e, f) when the discharge estimation follows both rating curve and temporary discharge and (g, h, i) for WSC operational database that includes rating curve, temporary shift, and override. In contrast, the gray dots are the hypothetical case of the normal distribution with a heteroscedastic standard deviation of 10% of discharge magnitude.

 charge from the workflow following rating curves only (no temporary shift or override). The grey background points represent a hypothetical case of residuals with a normal dis- tribution with 10% of discharge magnitude heteroscedasticity. Station 01AJ004 is in the region where override is more commonly used for discharge estimation than temporary shift, thus, Figure-16d, e, and f, which are based on discharge estimation using the rat- ing curve and temporary shift, closely resemble Figure-16a, b, and c (indicating no ma-₅₇₀ jor temporary shift is applied). The same analysis was repeated using the discharge re- ported by the WSC operation database, which includes override processes. As shown, ₅₇₂ the override results in lower discharge values during the colder months of the year in Figure- 16g compared to Figure-16a and d. This reduction leads to a closer agreement between ₅₇₄ the reported discharge time series and the discharge measurements. Additionally, Figure- 16h indicates that due to the override intervention, the stage-discharge relationship is no longer restricted to the rating curve. The winter streamflow override corrections min- $\frac{577}{100}$ imize the residuals between the discharge measurements and reported values, as seen in Figure-16i, compared to Figures-16c and f.

 As the next example, we examine station 05CK004, Red Deer River near Bindloss, located in Alberta. This station is managed by the Calgary office, where the temporary shift is more prevalent than the override in discharge estimation processes. The contrast between Figures-17a and d highlights the impact of the temporary shift on estimated discharge, especially during the colder months or under ice conditions. This use of the temporary shift causes the stage-discharge space depicted in Figure-17e to extend be-yond the rating curve and pass through the observational points shown as blue dots, in-

Figure 17: The comparison between discharge values for estimated discharge for each day of the model, stage-discharge relationships, and residuals for three difference cases respectively for station 05CK004, Red Deer River near Bindloss, located in the province of Alberta; (a, b, c) when the discharge estimation strictly follows the rating curve, (d, e, d) f) when the discharge estimation follows both rating curve and temporary discharge and (g, h, i) for WSC operational database that includes rating curve, temporary shift, and override. In contrast, the gray dots are the hypothetical case of the normal distribution with a heteroscedastic standard deviation of 10% of discharge magnitude.

 dicating a higher emphasis on discharge measurement values. Similarly, the residuals for low flow or ice conditions are minimized in Figure-17f compared to Figure-17c. In ad- dition to the temporary shift, override processes further reduce the residuals, as shown in Figure-17i, in contrast to Figures-17c and f.

4 Discussions

 This work presents discharge estimation methods used by the Water Survey of Canada (WSC) following an independent Python workflow. The study explores the Standard Op- eration Procedures (SOPs) for creating rating curves, manipulating them over time, and estimating discharge. The study focuses on two major discharge estimation SOPs, namely temporary shift, and override. The impact of these SOPs on discharge estimation and uncertainty evaluation, specifically in terms of residuals, is discussed. By examining the SOPs and their possible impact on discharge estimation and associated uncertainties, the study aims to highlight the need for new discharge uncertainty methods.

 The relationship between the rating curves and observational stage-discharge mea- surements is explored. The WSC SOPs differ from more commonly used practices in other parts of the world (McMillan et al., 2010; Coxon et al., 2015), largely due to the hydro- logical regimes and conditions faced by the Survey in Canada. Temporary shifts and over- ride processes, while giving the observational stage-discharge a high weight in discharge estimation, resulting in a more complex relationship between the rating curve and ob- servations than a standard curve fitting exercise (Figure-16,17). This complexity does not lend itself well to more traditional uncertainty approaches. New methods must be

 explored to evaluate the rating curve uncertainties over and above the already existing methods that rely on the specific nature of residuals, such as heteroscedastic Gaussian, in literature (e.g. methods suggested or applied by Clarke, 1999; Jalbert et al., 2011; Le Coz et al., 2014; Kiang et al., 2018, are not readily applicable for Canadian hydro-metric realities).

 Following the available information in the WSC operational database accessible by the API and independent Python workflow the agreement level between the two discharge estimations, from the workflow and operational database, is explored. This agreement is significantly lower during the colder months which in turn indicates the complication of the discharge estimation under ice conditions and their backwater effect. To account for this environmental factor, different regional offices may follow different procedures rather than rating curves. In parts of Canada, the override procedure is used, while the ⁶¹⁹ Prairie and Northern regions rely heavily on the temporary shift of rating curves (Figure- $_{620}$ 10).

 This work provides the basis for future uncertainty analysis of discharge values re- ported by the Water Survey of Canada. For better estimation of discharge values as an outside user and associated uncertainties, however, more information is needed to be added to the WSC operational database and more capabilities are needed to be developed for δ_{625} Aquarius["] system. This information does exist in WSC offices on paper, field notes, and local computer systems but is not fully transferable to the operational database. As an example, during the preparation of this work and from the API system, it was not pos- sible to find out which observational stage-discharge points are used for rating curve cre- ation by hydrographers. Additionally, the information that might help on observational stage-discharge uncertainty was not available through API to the best of authors' knowl- edge. The inclusion of rationale behind the magnitude and date of application of tem- porary shift or override methods can be a great asset for the operational database. This ₆₃₃ reflects on the concept of *repeatability* and *reproducibility*. A trained hydrographer at the Water Survey of Canada can repeat, based on SOPs, the work and decisions of other col- leagues with a high degree of repeatability. As mentioned earlier, this is a routine prac-₆₃₆ tice for quality assurance. However, a fully reproducible workflow based on an agreed- upon model is missing, which is essential for the uncertainty analysis of discharge val- ues. This is critical in trend analysis to separate the impact of discharge estimation pro- cesses and natural variability over time (refer to Figure-5 and 6 by Hamilton & Moore, 2012). The recommendations transcend the WSC operational procedures and agencies that follow similar approaches to WSC. As an example, The Water Survey of Canada, WSC, and the United States Geological Survey, USGS, have a long history of collabo- ration going back to the beginning of the WSC mandate in 1908. The chief hydrogra-₆₄₄ pher for Canada spent his early years training with USGS staff in Montana and since then both organizations have developed shared common practices. Both the USGS and WSC use Aquarius[™] as their primary data production platform and the practices of over- rides and temporary shifts are used by the two organizations. Additional effort is still needed to assess the similarities and implications of procedural practices on discharge estimation and uncertainty quantification between the two countries.

 In a broader perspective, this study, given the complexity of the production sys- tem and updating of rating curve information, encourages the community to consider the provenance of discharge data and evaluate its fitness for its intended use (Whitfield, 2012). The discharge values are more than just a true or deterministic value disseminated from the HYDAT dataset by WSC. This dataset is often used in large sample hydrol- ogy, Gupta et al. (2014), and carried over to the larger datasets without its error and uncertainties being communicated (as an example, Addor et al., 2017; Arsenault et al., 2020; Kratzert et al., 2023, do not carry discharge uncertainty values). These discharge values are then used for scientific purposes, model development, and model inter-comparison alongside recently used machine learning techniques. If uncertainty and errors in discharge are ignored, the use of large sample datasets may result in misleading or strong conclu-sions. For example, it has been communicated that machine learning can predict the dis charge values with 99% percent accuracy or can predict discharge superior to tradition- ally used mechanistic Earth System models (in literature or blog posts). These comments and conclusions should be taken with care as the hydrographers' decisions in estimat- ing discharge can significantly change a hydrograph (visually shown in Figure-5 and 6 $\frac{666}{1000}$ by Hamilton & Moore, 2012). Instead, the efforts should be focused on re-assessing those claims with an ensemble of discharge values. Using an ensemble of discharge time series alongside an ensemble of forcing variables of precipitation and temperature can provide a much more robust analysis of scientific methods, decisions, and claims for Earth Sys- ϵ_{670} tem models (Cornes et al., 2018; Wong et al., 2021; Tang et al., 2022).

⁶⁷¹ 5 Conclusions

We summarize our major findings as follows:

- The Water Survey of Canada's standard operating procedures in estimating dis- charge from stage values, particularly temporary shift, and override are explored and explained by an independent Python workflow.
- There is no single approach for estimating the rating curve from past observational (stage and discharge) points at the Water Survey of Canada. This is perhaps due to the complex relationship between the stage-discharge relationships accounting ϵ_{679} for the complexity and diversity of discharge values over the range of environmen- tal conditions for Canadian hydrometric stations. Additionally, given SOPs such as override and temporary shift, relationships between rating curves and obser- vational stage-discharge points are more complex than just a curve-fitting exer-cise.
- Given the knowledge of discharge estimation processes, the reported discharge val- ues in Aquarius can be reproduced for a fraction of 0.69 (within 5% accuracy). The other 0.31 non-reproducible fraction can be heavily attributed to the override.
- The standard operating procedures, or SOPs, of temporary shift and override re- sult in the residuals being suppressed to minimal values. These will not follow the often assumed statistical distributions for residuals or fundamental basis for rat- ing curve uncertainty estimation methods. Additional uncertainty models for rat- ing curves that do not have structured residuals in comparison to stage and dis- charge measurements, temporary shift, and override techniques should be constructed and evaluated for Canadian hydrometric stations (uncertainty models of type A, $_{694}$ B, and C from Tabel-1).

 Finally, we encourage knowledge mobilization and further collaboration between the Water Survey of Canada, WSC, the private sector, and universities and research in- stitutes, similar to this work, which will open opportunities for the evaluation of orga- nizational processes and constant improvement and stimulate the need for science im-provement.

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Code and data availability

 Data is in the possession of the Water Survey of Canada, WSC, and any access should be arranged by the WSC. Codes can be shared accordingly based on the arrangement and agreement with WSC.

Author contribution

 SG: Manuscript, coding for data extraction, processing, figure preparation, and con- ceptualization. PHW: Significant help in writing the manuscript, improvement of figures, and conceptualization. AP: Significant contribution to the manuscript, conceptualiza- tion. JF: Initial idea of exploring Canadian hydrometric stations, conceptualization, data review, and team management. HL: Contribution to the manuscript and figures and code review. MPC: Contribution to the manuscript and team management.

Competing interests

 At least one of the (co-)authors is a member of the editorial board of Hydrology and Earth System Sciences.

722 Appendix A Description of Performance Metrics

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Table A1: General terms and their descriptions

