Flood frequency analysis using mean daily flows vs. instantaneous peak flows

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Abstract. In many cases, flood frequency analysis (FFA) needs to be carried out on mean daily flow (MDF) instead of instantaneous peak flow (IPF), which can lead to underestimation of design flows. Typically, correction methods are applied on to the MDF data to account for such underestimation. In this study, we first analyse the error distribution of MDF_-derived flood quantiles over 648 catchments in Germany. The results show that using MDF instead of IPF data can lead to underestimation of the mean annual maximum peak flow (MHQ) up to 80% and is mainly dependable depends on the catchment area but appears to be influenced as well from by gauge elevation as well. This relationship is shown to be different for summer vs winter floods. To correct such underestimation, different linear models based on predictors derived from MDF hydrograph and catchment characteristics are investigated. Apart from the catchment area, a key predictor in these models is the event-based ratio of flood peak and to relate to volume (*p*/*V* ratio) obtained by MDF data. The p/V models

- 10 applied to either on MDF_derived events or statistics; seem to outperform other reference correction methods. Moreover, they require a minimum of data input, are easily applied, and are valid for the entire study area. Best results are achieved when the L-moments of the MDF annual maximum annual series are corrected with the proposed model, which reduces the flood quantile errors up to 60%. Thies approach behaves particularly well in smaller catchments (<500km²), where reference methods fall short. However, the limit of the proposed approach is reached for catchment sizes below under 100 km², where
- 15 the hydrograph information from the daily series is no longer capable of approximating instantaneous flood dynamics, and gauge elevation below 100m, where the difference between MDF and IPF floods is very small.

1 Introduction

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Common flood frequency analysis (FFA) is based on samples of maximum flows, e.g. annual maximum flow series (AMS). The magnitude and variability of these maxima <u>pose-form</u> the baseline for the choice of probability distribution, the estimation of its parameters and eventually the deduction of flood quantiles as design criteria for various water works (Maidment, 1993). For FFA to be as accurate as possible, two criteria need to be met; first, a large number of observed peak flows is necessary to ensure an adequate selection and fitting of the probability distribution, and second, by it is important that the peak flows are measured with high precision in order to account for the best description of maximum flood magnitude and dynamics.

However, embracing the true dimension of a peak requires continuous measurement of the flow on at a high temporal resolution (e.g. at 15min time steps). Such data is-are rarely available, or at the best_ease_only available for short periods, which is insufficient for flood frequency analysis. Typically, long observations of floods are available as mean daily flows records and oftentimes FFA needs to be carried out on these records instead. The daily averaging naturally flattens the flood peak; and the true maximum becomes unknowable. Particularly for small basins, there is a considerable underestimation of flood peak by the mean daily flows (Fill and Steiner, 2003). Hence, it becomes essential to develop new methods based on easily accessible data to correct the mean daily flows for a better representation of the flood peaks.

- The degree of the above-mentioned smoothing, i.e. the difference between the true instantaneous peak flow (IPF) and the maximum mean daily flow (MDF) (herein referred to addressed as the peak ratio), depends on the response time of a system, which is controlled by a multitude of factors. The average relationship between MDF and IPF peaks at a site depends greatly on its basin area (Fuller, 1914) and characteristics related to topography₂; like altitude, relief₂ and channel slope (Canuti and
- 35 Moisello, 1982). For instance, there is a visible trend of the peakthat the IPF-MDF ratio to-decreases with the-larger basin areas, which is expected as-because larger basins have higher baseflows (Ellis and Grey, 1966). Furthermore, the internal variability of the peak-IPF-MDF ratio at a site's flow record is largely determined by the type of meteorological input causing the individual flood events (Viglione and Blöschl, 2009; Gaál et al., 2015). This means that the peak ratio of rainfall and snowmelt events are different from one another. A variety of studies make use of the dependencies named above in order to
- 40 estimate IPFs from MDFs, and can be generally <u>be</u> classified as methods based on the catchment characteristics as in Fuller (1914)₁₅ Ellis & Gray (1966)₁₅ Canuti & Moisello (1982)₁₅ Ding et al. (2015), or <u>also</u> including <u>also</u> climate characteristics, as in <u>Muñoz et al. (2012)</u>. Taguas et al. (2008), <u>Muñoz et al. (2012)</u> and Gaal et al. (2015). Mostly, these methods are in the form of linear models based on maximum MDFs and the selected catchment or climate predictors.
- Other IPF estimation methods aim at using the bare minimum of available data, i.e., solely the available mean daily flow record (MDF). In these cases, the shapes of hydrographs are used to estimate the instantaneous peaks of events. The shape of a hydrograph can hold important information regarding an event's or even the entire site's flashiness and thus its peak ratio. Short flood events with steep rising and falling limbs are typical of a quickly reacting system, due to limited storage capacity and/or high_intensity rainfall or due to moderate_intensity rainfall on snow. In such events, the discrepancy between IPF and MDF will be significantly larger greater than for hydrographs with long durations and gentle slopes. For example, Ellis and& 50 Gray (1966) found that the peak ratio distinctively decreases with increasing hydrograph width.
- Several approaches use the maximum mean daily flow and the discharge of the previous and/or successive day (e.g. Langbein, (1944)) to predict IPFs. Chen et al. (2017) compared three of these methods, namely those of Sangal (1983) and, Fill and Steiner (2003) and their own new method (referred to as the slope_-method). These methods are based on the rising and falling slopes of the event hydrograph, estimated from the three consecutive days around the peak, and differ on-in terms of how the
- 55 information is integrated in the formula. They found that their slope-_method and Fill and Steiner's method outperform the other two approaches and Fullers' method (Fuller, 1914) (estimation method based on basin area), and are probably applicable

<u>under for a wide range of climates</u>. However, both methods' performances deteriorates with decreasing catchment size and <u>they</u> work best for areas larger than 500 km².

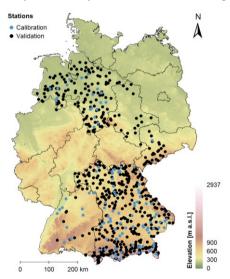
- Of course, there exist more complex means to correct the divergence between MDFs and IPFs. This includes disaggregation of the daily flow series to a finer scale, as done by e.g. Stedinger and Vogel (1984), -Tarboton et al. (1998), Kumar et al. (2000); Tan et al. (2007); and Acharya and Ryu (2014). Also, hydrological modelling may be applied for IPF estimation, e.g. in combination with high-resolution disaggregated rainfall (Ding et al., 2016) or by using regionalized model parameters (Ding and Haberlandt, 2017). Several studies have applied machine_-learning techniques to estimate instantaneous peaks from mean daily flows, including Shabani & and Shabani (2012); Dastorani et al. (2013); and_Jimeno-Sáez et al. (2017). While
- 65 disaggregation, hydrological modeling and machine learning proved to be very effective in their studies, they often require a number of computational steps and/or a variety of data sources. Indeed, the estimation methods based on the catchment or hydrograph characteristics remain still even more desirable due to their simplicity, as they are based on easily accessible data and popular methods (i.e. linear models).
- So far, the two main IPF estimation methods are-have been_developed distinctively from one another separately with no combination of both catchment and hydrograph information. In this study, on the other hand, we propose linear models that facilitate IPF estimation using a combination of daily event hydrographs and functional dependencies with geomorphic catchment descriptors, while keeping the data input to a minimum. <u>A kKey</u> predictor in this approach these linear models is the ratio of direct event peak runoff and to_direct event volume. This ratio is expected to effectually describe the shape of a flood event, which in turn gives us an idea about the expected instantaneous peak: the larger the daily peak and the smaller the event
- 75 volume, the larger the expected difference between IPF and MDF and vice versa. We assume that the peak-volume ratio (p/V) holds important information on the general behavior of flood events (Tan et al., 2006; Gaál et al. 2015; Fischer, 2018) and thus on the expected magnitude of the IPF peaks as well. Moreover, the p/V of individual events can describe the internal variability at a site by reflecting different types of floods caused by different rainfall and/or snowmelt inputs. At the same time, the p/V accounts for the variability between sites caused by local flood_generating processes governed by general physiographic and climatic conditions.
- Another important point to be considered is that most of the studies mentioned before above investigate the performance on IPF maximum series and pay little attention to how these methods estimate the design flows with specific return periods. The general assumption is that, if the IPF maximum series are estimated well enough on average, so are the IPF quantiles. However, a well estimated average IPF maximum may still lead to underestimation of design flows with a high return period (say, 100years). It makes sense to investigate as well <u>if-whether</u> linear models based on MDF- moments, parameters or quantiles are more favorable for the estimation of the IPF quantiles. Accordingly, p/V models are employed here to correct MDF information at different levels<u>ic</u> correction of individual flood events from MDF, correction of MDF annual or seasonal maximum series, and the direct correction of MDF-derived statistics (like mean maximum flow, L-moments, distribution parameters or even flood quantiles).

90 In this study, the linear models based on the *p/V* as key predictor (referred to here as p/V models) are developed and assessed based on flow data from 648 catchments in Germany (as described in Section 2). The description of methods and models used here for the estimation of the IPF from MDF information is given in Section 3.2. We then analyze the performance of the models in two main parts: their ability to estimate the mean maximum flow (MHQ) (see Section 4.1) and their ability to estimate probability distribution and the respective design floods (see Section 4.2). For the best model achieved, an uncertainty 95 estimation is tackled by means of spatio-temporal resampling (see Section 4.3). Finally, the range and limitations of the

proposed methodology and conclusions are given in Sections 4.4-5 and 5-6

2 Study area and data

This study uses flow data from 648 catchments distributed over Germany as shown in Figure 1. For the analyses, continuous average daily flow (MDF) and instantaneous peak flows provided for each month as monthly peaks (IPF) are available. The selected sites represent the data_sets of the federal agencies, which provide online access to both data_sets (Lower Saxony, Saxony-Anhalt, Saxony, Bavaria and Baden-Württemberg; see section Data Availability).



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Figure 1: The spatial distribution of the 648 catchments and their respective discharge gauges employed in this study. The 103 sites used for model calibration are marked in blue. The elevation is shown in the background colors and is provided by Jarvis et al. (2008), while the borders of the German federal states are shown with black lines.

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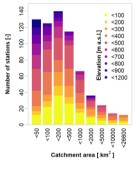
Germany poses-forms a transition zone from an oceanic climate in the northwest Northwest to a humid continental climate in the southeastSoutheast. The northwestern parts are influenced by wet air and have mild winters, while the more southeastern

parts are drier and exhibit larger temperature ranges. The average temperature for the entire country is 8.9 °C, the monthly averages ranging between 0.4°C in January and 18°C in July (reference period 1981-2010 <u>Deutscher Wetterdienst</u>; (DWD)
(2021)). The average annual precipitation is 819 mm, where amounts generally decrease in <u>westWest-east East</u> direction and in strong dependence on the topography. Annual rainfall sums are generally highest over the Alps <u>right</u> at the <u>very Southern</u> southern border and the various secondary mountain ranges. The flat continental <u>east East</u> is the driest. Temporally, the summer months are wettest, with rainfall often occurring in convective events. Snowfall occurs between October and April, where the amount and depth of snow cover increase with decreasing oceanic influence and increasing altitude.

115 Even though not the entire area of Germany is <u>not</u> covered by the available data, the selected sites provide a <u>eross-cross-section</u> through the climatically and topographically distinct regions, from the flat oceanic <u>northwest-Northwest</u> to the mountainous continental <u>southeastSoutheast</u>.

The lengths of the discharge records vary substantially from 11 to 183 years, with a mean of 48.4 years (temporal span from 1831 to 2021). For the general assessment of differences in IPF and MDF floods and final model validation, all 648 sites with

- 120 their variable record lengths are considered. For assessment of flood frequency criteria, only those sites with at least 30 years of observations were are used (486). Model fitting (herein referred to as calibration) was carried out on a subset of 103 sites, whose discharge data were thoroughly checked. Also, their records were cropped to a common period from 1979 to 2012, to eliminate potential non-stationary effects. For the 103 gauges sites used for calibration, a catalogue of catchment descriptors is available. For the remaining gauges sites, only rudimentary information was obtained, i.e. catchment size, geographical
- 125 position, and altitude of the gauges. Figure, 2 shows how the 648 discharge gauges are distributed in terms of catchment size and gauge elevation. It is evident that the majority of the sites have catchment areas below under 500 km² and gauges situated at elevations higher than 100 m a.s.l.



130 Figure 2: Distribution of catchment size and elevation for all the 648 sites employed in this study.

3 Methods

3.1 Flood frequency analysis

Flood frequency analysis (FFA) is applied on the two data sets for the available catchments in Germany: mean daily flows (MDF) and instantaneous monthly peak flows (IPF). First, the maximum series are extracted from each data set either on an

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annual basis (annual maximum series - AMS) or for each season of summer vs-and winter (seasonal maximum series). For extrapolation of the maximum series and estimation of floods with specific return periods, distributions were are fitted to the annual and seasonal samples of both IPF and MDF data sets. This enables the direct comparison of both flood quantiles and distribution parameters. HereFor this study, the General Extreme Value distribution (GEV) of the following form was is used for all samples (Maidment, 1993):

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$$F(x) = \exp\left\{-\left[1-k\cdot\frac{(x-\xi)}{\alpha}\right]^{\frac{1}{k}}\right\},\tag{1}$$

with location parameter ξ , scale parameter α , and shape parameter k. The parameters are estimated using sample L-moments (Hosking and Wallis, 1997). The GEV has been proven before to be a suitable distribution for different catchments in Germany as indicated by Haktanir and Horlacher (1993); Villarini et al. (2011); Ding et al. (2015,2016); Ding and Haberlandt (2017), and therefore has been chosen in our study as well. The goodness of fit of the distributions was is determined with the Cramervon-Mises test.

When extracting annual maximum series (AMS) different flood peaks from of different genesis (i.e. from convective/stratiform rainfall, from snowmelt, and so on) are mixed together and described by a single GEV distribution. However, if a certain flood type is dominating the annual maxima sample but is not typical for extremely large floods, then the fitted GEV distribution becomes misleading. To consider the different genesis in the flood peaks, maximum series are derived here for two seasons; 150 summer (May-October) and winter (November-April). Then, a mixed model is applied, which combines two GEV distributions fitted to each of these sub_samples of the data, like: summer and winter floods. A simple maximum maximum mixing approach is used to combine the individual distributions in order to assess the annual non-exceedance probability of specific flood values:

$$F_{\min}(x) = \prod_{i=1}^{n} f_i(x).$$
 (2)

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with $f_i(x)$ as the annual non-exceedance probability calculated for each sub-sample (summer and winter) and $F_{mix}(x)$ as the mixed-model annual non-exceedance probability for a flood value x. This approach allows the combined estimation of flood quantiles from multiple underlying distributions and thus the assessment of errors in seasonal FFA. The approach is described in detail in Fischer et al. (2016). In their study, they used thresholds to determine whether a seasonal maximum wasis actually a flood event, which may not be the case during dry summers. This threshold was defined as the minimum annual maximum flow. We do not censor our data with thresholds, i.e. for matters of simplicity we assume that every seasonal maximum is 160 indeed a flood event.

3.2 Analysis and estimation of instantaneous peak flows (IPF)

3.2.1 Calculation of the p/V predictor from mean daily flows

Motivated by the recent findings of Fischer et al. $(2016)_{\pm}$ and Fischer (2018) regarding different flood types, here the flood peak-volume ratio (p/V) extracted from mean daily flows (MDF) is considered an important predictor that can help to estimate more accurately the IPF series from the MDF ones. This ratio is computed for each flood event extracted from the MDF data set as shown by Eqn. (3):

$$\mathsf{p}/\mathsf{V}\left[\frac{1}{d}\right] = \frac{\mathsf{Q}_{dir}\left[\mathsf{m}^{3}\mathsf{d}^{-1}\right]}{\mathsf{Vol}_{dir}\left[\mathsf{m}^{3}\right]}.$$
(3)

where p/V is the peak-volume ratio, Q_{dir} is the direct peak flow, and Vol_{dir} the direct flood volume. Both Q_{dir} and Vol_{dir} are calculated on flood events extracted from the MDF series (see below) after subtracting the baseflow.

- 170 For To_separateion of the flood events from MDF, the initial steps of the procedure used by Tarasova et al. (2018) are carried out, which are have been proven effective and convenient for the German catchments. For the initial step of baseflow separation, they selected the simple non-parametric algorithm provided by the Institute of Hydrology (1980), which was is able to identify the starting points of events in daily flow series in a wide range of catchments. The same method is applied to the series of mean daily flows in our study, which involves the following steps. First, 5-day non-overlapping blocks are used
- 175 to find the minima, which are identified as turning points if they are more than 1.1 times smaller than their neighboring minima. The baseflow is then derived by simple linear interpolation between the turning points. Discharge peaks are subsequently determined from the flow series, and for every peak the start and end of the belonging respective flow event is defined by the nearest surrounding turning points. To prevent false identification of events due to natural variability, events are discarded if their peak discharge is not at least 10% larger than the baseflow. Tarasova et al. (2018) suggested a second step of re-defining
- 180 events with multiple peaks in an iterative procedure. This step is not carried out here, as it requires rainfall and snowmelt information, which are not available in our case. It is assumed that most <u>of the</u> events, especially the larger ones relevant for FFA, are separated correctly.

3.2.2 Estimation of instantaneous peak flows

In this study, we propose linear models to estimate IPF from the MDF data, where the peak-volume ratio (as described in Eqn. 3) is one of the main predictors <u>(referred to here as p/V models)</u>. <u>Additionally, other Other</u> predictors that describe the catchment physiology or climate (referred <u>to</u> for simplicity as catchment descriptors) are <u>also</u> integrated and investigated. The combination of hydrograph shape and catchment descriptors as predictors is expected to better reproduce both the <u>aton</u>-site and between-site variability in the IPF-MDF relationship and yield a more universal model. Several catchment descriptors describing land use, soil type, average climate variables, geographic information, and catchment morphology were investigated prior to the study. Two main descriptors, namely basin area and gauge elevation, were found to be more important for the linear model and hence are included in the study as shown here.

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Since the p/V ratio is calculated for each event, the first p/V model investigated aims at to correcting individual flood events from MDF series. All events that contain-a maximum instantaneous monthly peaks are identified. For these events, the daily MDF_{event} and instantaneous-peaks IPF_{event5} peaks as well as the p/V_{event} are computed. Then, a linear model of the following form is fitted:

$$IPF_{event} = \frac{MDF_{event}}{(a+b_1 \cdot *p/V_{event} + b_2 \cdot *CD_1 + \cdots + b_{n+1} \cdot *CD_n)},$$
(4)

where *CD* denotes additional catchment and climate descriptors that may be included in the models, and *a* and *b* are the parameters of the linear model fitted by the calibration procedure. The fitting of the linear model parameters is performed on the calibration set (as indicated in section 2) only for the period 1972-2012.

200 To assess the performance of the new methodology, we <u>also</u> employ here <u>also</u> the slope-_method developed by Chen et al. (2017) as a reference. The slope-_method estimates an instantaneous event peak flow IPF_{event} based on the slopes of the daily peak Q_{peak} to its preceding Q_{pre} and following daily flows Q_{suc} as shown in Eqn. 5:

$$IPF_{event} = Q_{peak} + \frac{(Q_{peak} - Q_{pre}) \cdot (Q_{peak} - Q_{suc})}{2 \cdot Q_{peak} - Q_{pre} - Q_{suc}},$$
(5)

Both event correcting of these estimation methods need information from the MDF hydrograph selected for each extracted 205 flood event observed. Hence, these methods can be applied in two ways: 1) IPFs are estimated for all separated events in the average daily flow (MDF) series, even if these events have small daily peaks. Then, the flood frequency analysis is performed on the estimated event-based IPFs (after selecting maximum events for each year or season). 2) IPFs are estimated for the annual maximum daily peak only. This means that the event hydrograph corresponding to the annual or seasonal daily maximum is considered for the calculation of p/V in Eqn. 4 or the peak discharges in Eqn. 5. The obtained annual/seasonal 210 maximum series is then used as a basis for the flood frequency analysis. In both cases, statistics are derived from the estimated IPF series and compared to the observed IPF ones. Procedure (1) is theoretically more accurate, since maxima in IPF and MDF do not necessarily occur at the same time (no temporal overlap). More precisely, events with maximum instantaneous peaks can have rather small mean daily peaks in some instances. Correcting only the maximum MDFs would lead to underestimation of the IPFs in these cases. On the other hand, procedure (2) may prove more robust in cases where smaller events are not 215 properly separated, i.e. their volumes are over- or underestimated. These events would lead to unrealistic IPF estimates, when using the p/V as a primary predictor. The larger events containing the annual maximum MDF are expected to be more properly separated by the algorithm described above.

Alternatively, to the event-based estimation, the proposed p/V model can <u>also</u> be applied <u>also</u>-directly to the MDF derived statistics with the aim <u>to of</u> reproducinge the IPF statistics. These involve the estimation of flood statistics, i.e. mean annual and seasonal maximum flows (MHQ), sample L-moments, estimated distribution parameters, and derived flood quantiles based on averaged peak-volume ratios p/V_{mean} . These average p/Vs are obtained from all annual/seasonal maximum MDF events at each site. As described before, these maximum events are expected to be properly separated, and although the maximum MDF events may not necessarily be identical to the maximum IPF events, their shape may hold important information on local processes. The model <u>set set-up</u> is analogous to the event correction approach:

225 IPF_{stat} = $\frac{\text{MDF}_{stat}}{(a+b_1 \cdot *p/\text{V}_{mean} + b_2 \cdot *\text{CD}_1 + \cdots + b_{n+1} \cdot *\text{CD}_n)},$

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where *stat* is the desired statistic being estimated, *CD* the selected catchment or climate descriptors, *a* and *b* the parameters of the model as fitted ton the calibration set, and p/V_{mean} is the average p/V_{event} for annual or seasonal series. The model is expected to represent the average conditions that determine the average deviation of MDF from IPF estimates. The p/V_{mean} in itself is expected to be a good predictor that reflects local conditions like spatial scale, climate, geology, and other external factors that 230 control flow variability obtainable from daily flow records. The additional inclusion of catchment descriptors is tested case by case and may contribute to the reproduction of spatial variability of the target variable.

An overview of all the methods employed here together with their descriptions is given in Table 1. All methods consisting of the linear models based on the *p/V* ratio as a main predictor (*p*/V-_methods) have been optimized based on the calibration set only for the period 1972-2012. For the To selection of the best model, the coefficient of determination (R²) and the significance of model parameters (based on the p-value) are considered. For validation, all sites with their respective observed period are used. Through the validation we compare and assess the ability of the proposed models to capture the mean maximum flow (MHQ) and the probability distribution and the respective design floods.

 Table 1: Description of all the methods and their applications employed here for the estimation computation of the IPF and their respective statistics.

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Application	Name	Description
Reference	MDF	IPFs are taken directly without correction from average daily flows MDF
Event-based	Slope-events	eEstimates IPF for all flood events derived from MDF according to Eqn. 5
analysis	<u>p/VLM</u> -events	eEstimates IPF for all flood events derived from MDF according to Eqn. 4
AMS-based	Slope-AMS	eEstimates IPF as per Eqn. 5 only for the flood events that corresponds to MDF-annual/seasonal
analysis		maxima from MDF series
	p/V-AMS	eEstimates IPF as per Eqn. 4 only forfor the flood events that corresponds to MDF-annual/seasonal
		maxima from MDF series
tatistics-based	p/V-Lmoms	Eestimates IPF L-moments as per Eqn. 6 based on the MDF-L-moments derived from the
analysis		annual/seasonal maximum series of MDF data set

(6)

p/V-params	<u>e</u> Estimates the IPF GEV parameters as per Eqn. 6 based on the <u>MEF</u> GEV parameters derived from
	annual/seasonal maximum series of MDF data set
p/V-quants	<u>e</u> Estimates IPF quantiles as per Eqn. 6 based on <u>MDF</u> -quantiles derived from annual/seasonal
	maximum series of MDF data set
p/V-MHQ	IPF mean maxima (MHQ) estimated as per Eqn. 6 based on MHQ extracted from MDF
	annual/seasonal maximum seriesaverage daily MHQ.

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3.2.3 Analysis of the instantaneous peak flows

Since the IPF data series_are not continuous <u>but</u> rather a maximum for each month (see Section 2), a direct comparison for each flood event is not possible. Instead, we focus on the analysis of flood statistics. For this purpose, the <u>percentage</u> deviationgeneral difference between the IPF statistic IPF_{stat} and of the MDF-estimated flood_statistic MDF_{stat} from the IPF statistic IPF_{stat} and of the MDF-estimated flood_statistic MDF_{stat} from the IPF statistic IPF_{stat} and of the MDF-estimated flood.

$$Error(\%) = \frac{\text{MDF}_{\text{stat}} - \text{IPF}_{\text{stat}}}{\text{IPF}_{\text{stat}}} \approx 100\%$$
(7)

where *eError* is computed at each site for any desired statistical quantity *stat*, like the mean annual maximum flow (MHQ), L-moments (Hosking, 1990), distribution parameters and flood quantiles.

Apart from the <u>*Eerror*</u> (%) at each site, two additional performance criteria are calculated over all sites: the normalized root mean square error nRMSE (%) as per Eqn. 8 and the percent pBIAS (%) as per Eqn. 9.

$$nRMSE (\%) = \frac{\sqrt{\frac{\sum_{i=1}^{N} (MDF_{\text{stat},i} - IPF_{\text{stat},i})^2}{N}}}{\frac{N}{\text{sd}(IPF_{\text{stat}})}} * 100 \%,$$
(8)

$$pBIAS (\%) = \frac{\sum_{i=1}^{N} MDF_{\text{stat},i} - IPF_{\text{stat},i}}{\sum_{i=1}^{N} IPF_{\text{stat},i}} * 100 \%,$$
(9)

where N is the number of the validation sites, MDF_{stat,i} and IPF_{stat,i} are the respective statistics at site *i* from MDF and IPF series, and sd(IPF_{stat}) is the standard deviation of IPF statistics over all considered sites. These criteria are computed for each
 of the methods described in Table 1.

3.3 Uncertainty Analysis

Since both the distribution fitting and the IPF estimation via p/V models are approximations and not fully accurate, we eventually assess the overall level of uncertainty in the final IPF flood-flood-quantile estimates. As it will be later shown later in Section 4.2, the best linear modelcorrection approach is chosen to be the p/V-Lmoms - the model directly correcting directly the L-moments of the MDF series. This is done by using simple resampling with replacement procedures; resampling in time

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when selecting the maximum series for FFA, resampling in space when selecting the sites for the p/V model (either for the calibration or validation of the models), and resampling both in space and time. In a first step, the series of annual/seasonal maxima um from both MDF and IPF data sets are analogously resampled 1,000 times with replacement (temporal sample and parameter uncertainty). For each resampling, the desired flood quantiles are estimated using L-moments. The range of these estimates provides the baseline level of uncertainty due to sample and parameter uncertainty. The temporal sample uncertainty is calculated at each site for the original MDF-IPF and IPF-MDF series (respectively-IPMDF-bs and MDIPF-bs, respectively)

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and are considered a benchmark for comparison.

In a second step, p/V models are fitted to each pairing of temporally resampled MDF-IPF and MDIPF series while considering all sites in the study area that have more than 30 years of observations. This means that the temporal sample uncertainty is

- 270 propagated through the p/V model (p/V-full). To assess the uncertainty of the selected p/V model, another resampling is carried out, this time shuffling the set of considered sites, where original MDF-site specific-L-moments are resampled again 1,000 times with replacement before fitting the p/V model (p/V-bs). Lastly, the total uncertainty both in space and time is assessed by combining the temporal sample and parameter uncertainty with the uncertainty of the fitted models:- tThis means that the maximum series are resampled 1,000 times, and for each of these sets, the sites are resampled 1,000 times as well before fitting
- the p/V model. So, the total uncertainty will be derived by 1,000 x 1,000 quantile estimates (p/V-bs-bs). Of course, the 275 uncertainty ranges might range slightly if more realizations are included. For method comparison in terms of their uncertainty range, the number of random resampling will influence all methods similarly. On the other hand, 1,000 realizations are enough to investigate the dominant sources of uncertainty. Finally, to capture the overall uncertainty previous conducted tests showed that 1,000,000 realizations are enough to capture the overall trends of the uncertainty.

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To assess the overall level of uncertainty, several indices are computed at each site. The first one is the relative width of the 280 95% confidence intervals (C195%) calculated for all aforementioned resampling estimates of the desired flood quantile:

$$C195\%_{bs}(-) = \frac{x_{bs;0.975} - x_{bs;0.025}}{x_{bs;0.5}},$$
(10)

where $x_{bs:0.025}$ and $x_{bs:0.975}$ are the 2.5% and 97.5% quantile and $x_{bs:0.5}$ the median of the respective sample.

The second one is the deviation of the IPF estimated samples from the IPF original sample, which allows the assessment of error distributions: 285

$$\operatorname{error}_{bs} = \frac{x_{bs} - \operatorname{IPF}_{bs}}{\operatorname{IPF}_{bs}} \cdot -100\%.$$
(11)

where IPF_{bs} is the temporal resample of the IPF original data and x_{bs} is the resampled estimated either from either the original MDF series or from the modeled IPF series. From the resulting error vector, a variety of statistics can be computed for comparison. Finally, the agreement of the 95% confidence intervals of the MDF and p/V-model samples with the IPF confidence bands are determined as percentage overlap at each site:

overlap = $\frac{\min(x_{bs;0.975}, IPF_{bs;0.975}) - \max(x_{bs;0.025}, IPF_{bs;0.025})}{\max(x_{bs;0.975}, IPF_{bs;0.975}) - \min(x_{bs;0.025}, IPF_{bs;0.025})} - 100\%.$

(12)

where IPF_{bs} is the temporal resample of the IPF original data and x_{bs} is the resampled estimated either from either the original MDF series or from the modelled IPF series.

4 Results and discussion

295 4.1 Mean Maximum Flow (MHQ)

4.1.1 Comparison of mean daily and instantaneous peak flows

In theory, the relative deviation between MDF and IPF peaks depends greatly on catchment size, as shown for instance in Fuller (1914) and Ellis and Gray (1966). <u>The effect of the catchment size is clearly visible in our data set.</u> Small catchments without appreciable buffering capacity react fast to even small rainfall, leading to short and steep flood waves that are hardly

- 300 reproduced on coarsely averaged time scales. Factors like steep slopes, impermeable underground and short but intense rainfall contribute to the flashiness of storm events and make these even less representable through daily flow records. The effect of the catchment size is clearly visible in our data set. Figure. 3 demonstrates the errors of in the mean annual maximum flow (MHQ) estimated by MDF instead of IPF series (as per Eqn. 7). It is clear that the larger the area, the smaller the deviation between MDF and IPF. This agrees well with the findings of Ellis and Gray (1966) and Chen et al. (2017) which state that for
- 305 larger basins the peak-ration between MDF-IPF series converges to 1. <u>Hence lin</u> these cases, the MDFs are a good representation of the IPFs peaks. Moreover, MHQ errors shown in Fig<u>ure</u>. 3 appear to be especially large <u>in at</u> higher altitudes. This is as <u>well-indeed</u> expected, as mountainous catchments have a fast response time and are generally more <u>heavily</u> influenced by the meteorological forcing (by snowmelt processes or convective events) as also shown in Gaal et al. (2015). Overall, the MHQ error in our catchments seems to increase in <u>N</u>north-<u>S</u>south direction, which could be a secondary effect of
- 310 both increasing altitude and decreasing catchment size.

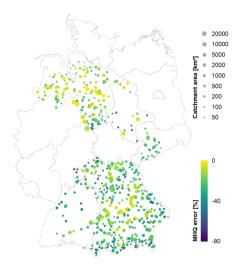
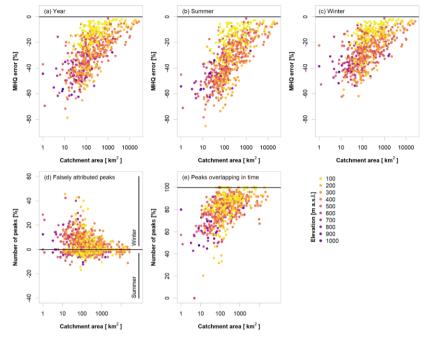


Figure 3: Spatial distribution of the mean annual maximum flow (MHQ) error (%) between mean daily (MDF) and instantaneous peak (IPF) flows obtained from all sites (calculated as per Eqn. 7)

When assessing the differences between mean daily and instantaneous peaks, it is also meaningful to take a closer look at
different types of floods. For our German sites, the two most opposite types are a) flood events induced by short intense rainfall, especially convective events dominant mainly in summer (May-October), and b) extended flood events with significant volume, as caused by snowmelt and/or stratiform rain occurring mainly in winter (November-April). Presumably, the latter flood type is much better represented by mean daily flow than the former. In order to roughly distinguish between the two types, the flow records are divided into summer (May - October) and winter (November - April) half years. Due to the limited data availability, a clear distinction between convective, stratiform, and snow-melt events cannot be achieved here. Some snowmelt events in the high alpine catchments may still occur in May/June but are classified as summer events. However, the coarse division of the data into half years rather than seasons is due to the subsequent analysis of seasonal flood statistics and application of the mixed seasonal model.



325 Figure 4: Upper row – error (%) in the mean maximum flow (MHQ) (as per Eqn. 7) obtained in relation to catchment size and gauge elevation for the entire year (a), summer (b) and winter (c); lower row - percentage of peaks falsely attributed by mean daily flows (MDF) to the winter or summer half_year (d) and percentage of peaks in mean daily (MDF) and instantaneous peak (IPF) flows that overlap in time (within a 5-day buffer) (e). Results are illustrated for all sites.

In the upper row of Fig<u>ure</u>: 4 (a-c) the MHQ error is shown for the entire year and <u>respectively_also_</u>for summer and winter seasons. The relationship <u>with_to the</u>_catchment area is clearly visible in all three cases. Also, the effect of the elevation becomes obvious, <u>namely_as_</u>sites <u>in_at</u> the lowest elevations (yellow points, below 100 m) show very small errors, even for small catchment sizes down to approximately 100 km². This is the clearest stratification in the error due to elevation; the errors at higher altitudes appear less distinguishable.

There is, however, a clear distinction between summer and winter seasons. As expected, the MHQ error is overall smaller in the winter months, where snowmelt and stratiform events prevail, while the convective events in summer are poorly reproduced captured by MDF. The error in the annual peaks is a mixture of the two seasons; which season contributes more to the annual peaks depends on the individual flood regimes. When looking at the IPF data, at 68.8% of the considered sites the winter floods exceed on average their summer counterparts-on average, while 29.2% of sites are dominated by summer floods. When

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considering MDF instead, only 22.1% of the gauges sites are identified as having maximum peaks in summer. This indicates that the mean daily flow significantly smooths significant the summer peaks to a point where they are no longer relevant for the overall flood behavior. Figure: 4 (d) shows the percentage of annual maxima at each site that are attributed to the wrong season when using MDF. Each site is represented by two dots: nNegative values show the percentage of all annual maxima that are falsely attributed to summer, while positive values show the falsely attributed winter peaks. It is obvious that with decreasing catchment size an increasing number of annual maxima are falsely identified in the winter half of the year, while

345 the actual instantaneous maxima occur in summer. Apart from not being able to properly identify flood magnitudes when using mean daily flows, this is a serious issue for classification of flood regimes, identification of dominating flood types and application of heterogeneous flood frequency analysis when daily data is the only available option.

Another general issue highlighted by this analysis, independent of seasonality, is that the peaks of both IPFs and MDFs data sets do not necessarily occur onat the same day (there is no temporal overlap). In their study, Chen et al. (2017) illustrated that only foren 82% of the events investigated did, the peaks of both IPF and MDF series occurred on the same day. This suggests that instantaneous maxima are not always identifiable in the mean daily flows, i.e. the maxima obtained from the daily series are inevitably found in other places. The temporal overlap of MDF-IPF- and IPF-MDF- derived peaks for our catchments are shown in Figure 4 (e). In general, the smaller the catchment, the smaller the temporal overlap between instantaneous and daily peaks. A weak relationship is also visible between high elevation and smaller temporal overlap between the two peaks. This problem needs to be kept in mind when attempting to estimate instantaneous peaks from daily peaks, since the two may belong to significantly different flood events (different genesis) and thus to different populations.

4.1.2 Estimation of mean maximum flow (MHQ)

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So far, the error in the mean maximum flow (MHQ) between MDF and IPF is shown to be influenced by both catchment area and gauge elevation. Both of these predictors may be helpful <u>into</u> correcting MDF for a better agreement with the IPF data. Moreover, there seems to be a significant linear dependence between the peak ratios MDF/IPF, *p/V* ration, and the logarithm of the catchment size. We first test the suitability of various predictors to predict MHQ fromof IPF by fitting the p/V models to the individual events of MDFs (p/V-event), <u>or</u> to the MDF maximum series (p/V-AMS) or lastly directly to the MDF mean maximum flow (p/V-MHQ). Various model combinations with the available predictors (catchment area, elevation, and *p/V* ratio) are tested using the calibration data set, and their respective coefficients of determination are shown in Table

365 2. The selected models are marked in <u>grey-bold</u> in Table 2 and their respective full_-model formulas are given in Table 3. For most models, the majority of variance in the IPF-MDF relationship is explained by the *p/V* ratio and the catchment area. For winter, including gauge elevation appeared to improve the model slightly.

The models show a similar performance for the annual and summer peak ratios <u>in</u> both <u>for the</u> correction of individual events (p/V-events) and mean maximum flow (p/V-MHQ). For winter, the model performance seems to differ, especially when correcting the individual events (p/V-events). It appears that the models using the p/V ratio have more difficulty to estimating

the winter peak ratio. This could be due to improper event separation, which will be discussed in more detail below and which leads to unrealistic p/V ratios. The fact that elevation is a significant predictor in the MHQ model (p/V-MHQ) may also suggest that the peak ratios in winter are more heterogeneous.

375 Table 2: Coefficients of determination forof various linear model combinations (see Table 1 for description of models). Values are obtained by fitting the models only to the calibration set. Grey Bold numberseells indicate the best p/V model for each application and asterisks indicate at least one non-significant predictors in the p/V models.

Application	Event-based (p/V-events)			AMS-based (p/V-AMS)			MHQ-based (p/V-MHQ)		
Predictors	Year	Summ er	Winter	Year	Summ er	Winter	Year	Summ er	Winter
Area	0.14	0.19	0.12	0.30	0.26	0.25	0.42	0.42	0.38
Elevation	0.01	0.01	0.01	0.04	0.01	0.03	0.06	0.02	0.08
p/V	0.13	0.13	0.09	0.21	0.21	0.20	0.55	0.49	0.49
p/V + Area	0.23	0.26	0.17	0.39	0.36	0.35	0.66	0.65	0.63
p/V + Elevation	0.14	0.13	0.10	0.23	0.22	0.23	0.56*	0.51	0.56
p/V + Area + Elevation	0.23	0.26	0.17	0.40	0.36*	0.36	0.67*	0.65*	0.68

 Table 3: Best p/V models (as shown with greyin bold in Table 2) fitted toon the calibration set for correction of individual events (p/V

 380
 events), for the annual/seasonal maximaum (LM-AMS), and the MHQ (LM-MHQ).

Туре		Model
Events	Year	MDF / $(0.59 - 0.43 \cdot p/V_{event} + 0.047 \cdot log(area))$
(57	Summer	MDF / $(0.44 - 0.36 \pm p/V_{event} + 0.063 \pm log(area))$
(p/V-events)	Winter	MDF / $(0.63 - 0.35 \pm p/V_{event} + 0.044 \pm \log(area))$
Maxima	Year	$MAX_{MDF} / (0.53 - 0.42 \pm p/V_{max} + 0.057 \pm \log(area))$
	Summer	$MAX_{MDF} / (0.61 - 0.73 \pm p/V_{max} + 0.061 \pm log(area))$
(p/V-AMS)	Winter	MAX _{MDF} / $(0.70 - 0.68 \pm p/V_{max} + 0.054 \pm \log(area))$
MHQ	Year	$MHQ_{MDF} / (0.74 - 0.94 \pm p/V_{mean} + 0.043 \pm log(area))$
(p/V-MHQ)	Summer	MHQ _{MDF} / $(0.83 - 1.19 \pm p/V_{mean} - 0.054 \pm \log(area))$
(p/v-MHQ)	Winter	MHQ _{MDF} / $(0.99 - 1.31 \pm p/V_{mean} + 0.035 \pm log(area) - 0.00012 \pm elevation)$

Figure: 5 shows the change in mean absolute error in the annual MHQ after correction with the different methods in relation to catchment size and elevation: positive values indicate that the error has increased after correction, while negative values indicate that the error has decreased after correction. The slope method (Figure: 5 - a) applied to the individual events (slope-events) yields a rather constant reduction of the error independent of catchment size. However, there are several outliers produced by this method, which can be attributed to improper separation of smaller events. Applying the slope method only to the annual maximum MDF events (slope-AMS), as done in Figure: 5 (b), shows a much smoother and more constant error reduction. The corrections using the p/V models proposed here (Figure: 5 (c-e)) yield a much larger improvement for the smaller catchments (where the original MDF error was generally larger than in the bigger catchments). Nevertheless, these that werehave been highlighted before in Section 4.1.1, namely the onesose with the lowest elevations in the data set where the original MDF error was quite low.

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The differences between correcting the individual events (p/V-events in Fig. 5 c) and only correcting the annual maxima events (p/V-AMS) (as illustrated respectively in Figure: 5 (c-d)) by means of the linear-p/V models appear rather small. This suggests that even though the annual maximum from the MDF_does in many cases does not occur at the same time as the annual maximum from the IPF, the method still yields an appropriate estimate of the true IPF. On the other hand, directly correcting the MHQ (p/V-MHQ in Figure: 5 (c)) results in slightly lower error reduction for the smaller catchments but also appears to produce fewer outliers and is thus considered more robust.

- It should be noted that working with large data and automatic event separation without manual post-correction leads to 400 problems that could potentially be avoided when considering individual time series more carefully. Several events are identified as too long or too short (or not at all), so their volumes are over- or understated, respectively. This results in false p/V ratios and in some cases to severe over- or understimation of the peaks. The weight of such events is assumed to be significantly lower when correcting flood statistics based on average p/V ratios. In addition, the overall performance can only be assessed for events that contain the monthly instantaneous maximum flow, i.e. primarily larger events. How the event
- 405 correction performs for minor events cannot be analyzed here.

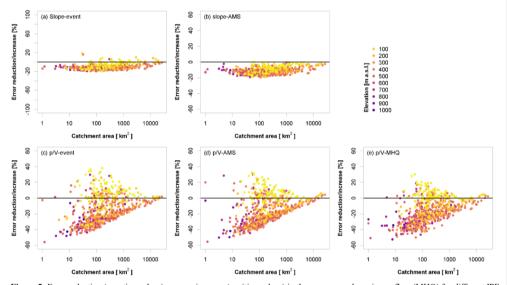


Figure 5: Error reduction (negative values) vs error increase (positive values) in the mean annual maximum flow (MHQ) for different IPF estimation methods when compared to MDF. For an overview of the methods, the reader is directed to Table 1. Values are obtained by applying the selected methods to the validation set.

410 Figure- 6 summarizes the overall model performances for to estimate the the IPF mean annual/seasonal maximum flow (MHQ) at all validation sites and compares the individual methods to the error-in using MDF directly. It is obvious that all methods

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give significantly better IPF estimates than the mere_MDF_alones. The slope correction methods (both slope-event and slope-AMS) have quite a large bias (median error around -10%), which is, as seen above, not only disadvantageous. Still, the overall error is smaller for the p/V models (p/V-events, p/V-AMS and p/V-MHQ), where the median error is at 0-2%, with fewer positive outliers produced by the p/V-MHQ approach.

(c) Winter



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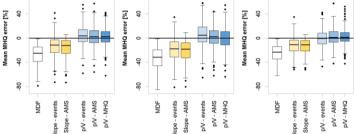


Figure 6: Error (%) comparison of different methods to estimate the mean maximum annual flow (MHQ) for the entire year (a), summer (b) and winter(c). Values are obtained by applying the selected methods to the validation set. For an overview of the methods, the reader is directed to Table 1.

Table 4 summarizes the normalized root mean square error (*nRMSE* (%)) and the percentage bias (*pBIAS* (%)) of the mean annual/seasonal maximum flow (MHQ) estimated via the different model variants. In terms of *nRMSE*, the performances of the slope and p/V-methods are comparable, with the slope methods being more biased. There are a number of outliers produced by the p/V-methods, especially positive ones, that affect the overall *nRMSE*. As seen in Figure: 5, this is primarily concerning the low elevation catchments below 100 m. The values in parentheses in Table 4 indicate the performance criteria for gauges with catchment areas below-under_500 km². Here, the advantage of the p/V_-approaches over the slope methods become apparent, even though a large number of low elevation catchments fall into-this-category, which negatively affect the overall error.

Table 4: Normalized root mean square error (nRMSE (in-%)) and percentage bias (pBIAS (in-%)) of estimated vs. observed instantaneous annual/seasonal mean maximum flow (MHQ) –over all <u>validation</u> sites for different methods–. The values in parentheses show the performances for catchment sizes below 500 km².

	Ye	ar	Sum	mer	Winter		
	nRMSE [%] pBIAS [%]		nRMSE [%]	nRMSE [%] pBIAS [%]		pBIAS [%]	
MDF	17.0 (47.9)	-18.0 (-32.4)	18.1 (49.0)	-20.6 (-38.1)	14.9 (44.1)	-16.4 (-28.7)	
Slope-events	8.4 (25.0)	-6.8 (-15.8)	7.9 (29.0)	-9.2 (-21.8)	9.2 (21.3)	-6.5 (-13.0)	
Slope-AMS	7.4 (31.2)	-8.1 (-19.3)	8.4 (33.6)	-10.5 (-25.0)	7.2 (28.0)	-7.4 (-16.1)	
p/V-events	9.3 (16.7)	-2.8 (-1.0)	8.4 (16.6)	-2.6 (-0.5)	10.6 (17.6)	-5.1 (-4.1)	
p/V-AMS	10.7 (20.4)	-5.4 (-2.9)	11.0 (19.7)	-5.4 (-3.7)	9.4 (21.4)	-4.7 (-2.9)	
p/V-MHQ	7.7 (19.0)	-3.9 (-2.3)	12.5 (20.6)	-6.8 (-5.0)	8.5 (20.8)	-3.8 (-1.7)	

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Table 5 shows the average error between the annual MHQ predicted with by the p/V-MHQ model with the observed instantaneous annual MHQ, distributed for different catchment sizes and elevations. It becomes obvious that for the smallest lowest elevations, the instantaneous annual MHQ is overestimated, especially for smaller catchment sizes. Catchments in the range between of 100 and 200 m of altitude also show quite large errors but these are mostly negative. It is also apparent that the catchments with outlets at higher elevations exhibit large negative errors in most cases.

 Table 5: Average prediction error (%) of the p/V-MHQ model for the annual MHQ calculated over the validation sites and shown here for different ranges of area and elevation. Red shades indicate overestimation, while blue shades underestimation.

Elevation [m a.s.l.] < 100<200 <300 <400 < 500 <600 <700<800 < 900 <1200 <50 9.35 -10.77-8.86 -6.77 1.17 -6.35 0.64 -3.14 -0.31-15.33 $[km^2]$ <100 18.59 0.37 1.83 -1.05 -2.74 -19.45 -16.98-9.75 1.97 4.21 3.27 5.48 <200 13.79 -10.411.04 1 4 5 8.70 -3.61 -9.16 4.96 area < 500 11.43 -0.01 4.19 -4.05 -21.83 -6.57 5.58 3.62 -3.93 <1000 8.12 -5.31 3.80 0.86 3.22 -4.66 3.53 Catchment -4.45 -3.97 <2000 5.05 -1.94 5.29 -3.68 -5.91 -1.66 642 -13.63 < 5000 -0.61 -6.75 -1.96 -1.15 -2.73 -3.53 <10000 -3.47 -6.38 -0.70-4.96 -6.50 <30000 -7.48 -8.37 -5.25 -5.84

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4.2 Probability distributions and derived design flows

So far, the proposed p/V models were-have been analyszed in terms of their ability to better estimate better the mean maximum flow (MHQ) from MDF data. In this sub_section the focus is shifted to the ability of the methods to estimate parameter distribution of the IPF and the derived flood quantiles. The GEV distribution appeared to be a generally suitable distribution for the gauges sites in the data set. A Cramer-von-Mises test was is carried out for the original IPF and MDF samples, as well as for the slope and p/V_-corrected samples at each site, and certified a good fit in all cases (p-value = 5%).

4.2.1 Comparison of mean daily (MDF) with instantaneous peak (IPF) flow distributions

A comparison between the estimated parameters for the IPF and MDF samples for the year and the seasons are shown in Figure. 7. As expected, the location parameters are consistently underestimated by the MDF series, with the largest errors in summer. This naturally leads to an overall downward shift of the "true" distribution when estimated from MDF values. The scales, here normalized by the location, appear to be primarily overestimated in summer, leading to distributions that are steeper for MDF than for IPF samples. For the year and winter, the errors in the scale parameters appear to be balanced in their directions.

The shape parameters differ quite substantially between the seasons. In summer, the vast majority of estimated parameter 455 values areis negative, both in IPF and MDF. This indicates a heavy tail behavior for the summer floods. The fact that these negative values are in many cases smaller in the MDF than in the IPF sample, suggests that the tails are overstated in the former case. For the year and winter season, again, no clear trend is visible. The distribution parameters of the low-elevation gauges appear to be very well estimated by the MDF. For the higher elevations, especially the estimation of the shape parameters seems difficult. For the whole year, the IPF shape is underestimated at a lot of gauges, while it is primarily overestimated in winter. Overall, due to the underestimation of the location parameter leads, underestimation of both the lower and higher flood quantiles by the MDF sample is expected.

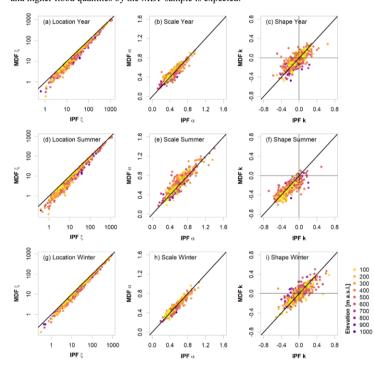


Figure 7: Observed Estimated Generalized Extreme Value (GEV) parameters from the instantaneous peaks (IPF) vs. mean daily (MDF) annual/seasonal maximum series. Here, only validation sites with observations longer than 30 years are shown.

Generally, the heavy tails of the summer distributions in contrast to the flatter tails in winter let the summer floods become 465 dominant at higher quantiles. For a return period of 100 years, the summer floods exceed the winter peaks at 61.9% of the Formatiert: Schriftart: Nicht Fett

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sites. For 50 and 10 years this exceedance occurs at 51.2% and 35.7% of sites, respectively. This behavior is also noticeable in the MDF but for fewer gauges, namely 53.4%, 43.2% and 21.0% for 100₋, 50₋ and 10-year return periods.

4.2.2 Estimation of instantaneous peak flow (IPF) distributions and quantiles

- 470 Three approaches were tested for estimating IPF flood quantiles based on MDF statistics: a) correcting the sample L-moments required for parameter estimation (p/V-Lmoms), b) correcting the parameters of the fitted distribution (p/V-params), and c) directly correcting the desired flood quantiles (p/V-quants). Method (a) is convenient, since a single model for each L-moment facilitates a correction of the complete distribution and hence each desired flood quantile. Estimating the L-moments has the additional advantage of not being restricted to a certain type of probability distribution. A proper distribution can be selected
- 475 and fitted locally using the corrected L-moments. Still, the other methods may prove more robust and are hence tested as well. The final models for each target variable are selected according to the procedure for the MHQ (see Table 2), using the calibration data set. For reasons of conciseness, only the final models are presented here with the coefficients of determination from the calibration (in Table 6). For further comparisons, distributions were also fitted to the annual and seasonal maxima that have beenwere previously corrected using the slope (slope-events) and p/V -methods for events (p/V-events).
- 480 Since the shape parameter is generally difficult to estimate, especially for such a short time period, and the models' estimates are generally close to the observed MDF shape parameter, it will not be estimated using the model variants. Instead, the MDF shape parameter estimate will be used in all instances.
- Table 6: p/V models fitted on the calibration data set for correction of L-Moments (p/V-Lmoms), GEV-parameters (p/V-params), and flood

 485
 quantiles (p/V-quants) derived from the mean daily flow (MDF) annual or seasonal maximum series. For an overview of the methods, the reader is directed to Table 1.

Туре			Model	R ²
L-moments	L1	Year	$L1_{MDF} / (0.74 - 0.94 \pm p/V_{mean} + 0.043 \pm \log(area))$	0.66
(Summer	$L1_{MDF} / (0.83 - 1.19 \pm p/V_{mean} + 0.054 \pm \log(area))$	0.65
(p/V-Lmoms)		Winter	$L1_{MDF} / (0.99 - 1.31 \pm p/V_{mean} + 0.036 \pm \log(area) - 0.00012 \pm elevation)$	0.67
	L2	Year	$L2_{MDF} / (0.64 - 0.65 \underline{*}_{p} / V_{mean} + 0.048 \underline{*}_{log} \log(area))$	0.45
		Summer	$L2_{MDF} / (0.71 - 0.86 \pm p/V_{mean} + 0.062 \pm \log(area))$	0.50
		Winter	$L2_{MDF} / (0.89 - 1.09 \pm p/V_{mean} + 0.043 \pm \log(area) - 0.00016 \pm elevation)$	0.53
GEV	ξ	Year	$\xi_{\text{MDF}} / (0.77 - 1.02 \stackrel{\text{*}}{=} \text{p/V}_{\text{mean}} + 0.042 \stackrel{\text{*}}{=} \log(\text{area}))$	0.67
parameters		Summer	$\xi_{\text{MDF}} / (0.89 - 1.39 $	0.64
(p/V-params)		Winter	$\xi_{\text{MDF}} / (0.96 - 1.36 p/\text{V}_{\text{mean}} + 0.037 \log(\text{area}))$	0.63
u i)	α	Year	$\alpha_{MDF} / (0.67 - 0.77 \pm p/V_{mean} + 0.048 \pm \log(area))$	0.45
		Summer	$\alpha_{MDF} / (0.78 - 1.14 \pm p/V_{mean} + 0.064 \pm \log(area))$	0.42
		Winter	$\alpha_{MDF} / (0.97 - 1.24 \pm p/V_{mean} + 0.037 \pm \log(area) - 0.00015 \pm elevation)$	0.56
Flood	HQ10	Year	$HQ10_{MDF} / (0.72 - 0.87 \pm p/V_{mean} + 0.043 \pm \log(area))$	0.61
quantiles		Summer	$HQ10_{MDF} / (0.79 - 1.09 \stackrel{*}{=} p/V_{mean} + 0.058 \stackrel{*}{=} log(area))$	0.60
(p/V-quants)		Winter	$HQ10_{MDF} / (0.96 - 1.23 \pm p/V_{mean} + 0.038 \pm \log(area) - 0.00014 \pm p/V_{mean} + 0.00014 \pm p/V_{mean} $	0.63
(1] ······)	HQ50	Year	$HQ50_{MDF} / (0.70 - 0.75 \underline{*} p/V_{mean} + 0.044 \underline{*} \log(area))$	0.52
	r.	Summer	$HQ50_{MDF} / (0.73 - 0.83 \stackrel{*}{=} p/V_{mean} + 0.057 \stackrel{*}{=} log(area))$	0.53
		Winter	$HQ50_{MDF} / (0.89 - 1.09 \pm p/V_{mean} + 0.043 \pm \log(area) - 0.00016 \pm p/V_{mean} + 0.043 \pm p/V_{mean} + 0.00016 \pm p/V_{mean} + 0.000016 \pm$	0.54

HQ50	Year	$HQ100_{MDF} / (0.69 - 0.70 \stackrel{*}{-} p/V_{mean} + 0.044 \stackrel{*}{-} log(area))$	0.46
-	Summer	$HQ100_{MDF} / (0.70 - 0.71 \pm p/V_{mean} + 0.057 \pm \log(area))$	0.46
	Winter	$HQ100_{MDF} / (0.87 - 1.03 \pm p/V_{mean} + 0.044 \pm \log(area) - 0.00017 \pm \log(area) - \log(area$	0.49

Figure: 8 shows the errors (%) in GEV-parameter estimates for the different approaches in comparison to the original uncorrected MDF error (%) computed over the 486 validation sites with minimum of 30_-years of observations. Since the method p/V-quants method directly corrects the MDF_quantiles, it cannot be used to estimate the GEV parameters and hence is not illustrated in the Figure: 8. All methods shown; clearly improve the estimation for the location and scale parameters when compared to the original MDF estimates. All-The corrections based on the p/V models proposed here (p/V-events, p/V-Lmoms, and p/V-params) are less biased than the slope method (slope-events) proposed by Chen et al. (2017). Particularly the correction of the MDF sample L-Moments (p/V-Lmoms) shows the smallest error and bias.

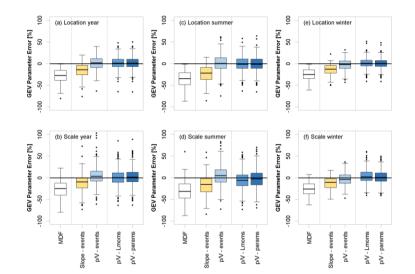


Figure 8: Error (%) comparison from of various IPF-estimation methods (see Table 1 for explanation of methods) regardingin their ability to estimate the Generalized Extreme Value (GEV) distribution parameters based on annual and seasonal maximum series. Only validation sites with more than 30 years of observation are used for the boxplots.

500 Figure- 9 demonstrates the quality of the different correction approaches by means of the 10-, 50-, and 100-year floods at the 486 validation sites. With increasing return period, the performance of all correction methods appears to decline. Differences in the tails of the fitted distributions are more difficult to capture by the analyszed approaches. This turns out to be especially

valid for the low-altitude catchments. The over_correction that was observed for the mean is even more pronounced here, which leads to an average decline in model performance. Also, the general uncertainty in parameter estimation and extrapolation far beyond the time series length need to be kept in mind. Overall, even the estimation of the "true" IPF quantiles is potentially defective in itself, as will be discussed in the next section.

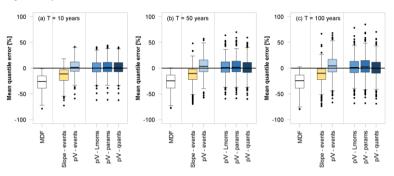


Figure 9: Error (%) comparison of various IPF-estimation methods (see Table 1 for explanation of methods) regarding in their ability to estimate different flood quantiles based on annual maximum series. Only validation sites with more than 30 years of observation are used for the boxplots.

Since the average p/V ratio is used for the direct correction of L-moments, parameters and flood quantiles, it is expected <u>for</u>, that the performance <u>of these methodsto</u> decreases with increasing return period, as the p/V_{mean} ratio-may not relate much to the higher quantiles. Still, even for the 100-year flood, these approaches appear to work just as well as the p/V-event approach, as also indicated by the performance criteria (*nRMSE* (%) and *pBIAS* (%)) given in Table 7. The performance of all three methods is comparable, but due to its previously named advantages, the L-moment method (p/V-Lmoms) is considered the superior approach in this setting.

Between <u>Among</u> the event correction techniques, the slope methods perform similar to the p/V methods in terms of overall error but <u>are is</u> again more biased. When focusing on the catchments with areas below 500 km², the superiority of the p/V-methods becomes apparent.

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Table 7: Performances of different IPF estimation methods in terms of normalized root square mean error (nRMSE (%)) and percentage bias (pBLAS (%)) for different flood quantiles estimated from annual maximum series. The performance is computed over validation sites with more than 30 years of observations, while the values in parentheses show the performances for catchment sizes below 500 km². For a description of the methods shown here, see Table 1.

	T = 10	years	T = 50) years	T = 100 years		
	nRMSE [%]	pBIAS [%]	nRMSE [%]	pBIAS [%]	nRMSE [%]	pBIAS [%]	
MDF	17.8 (50.0)	-18.0 (-32.9)	17.8 (48.1)	-18.2 (-32.3)	17.9 (47.5)	-18.3 (-39.1)	
Slope-events	7.0 (30.3)	-5.8 (-17.5)	8.7 (27.7)	-4.7 (-15.8)	10.3 (27.8)	-4.2 (-15.2)	
p/V-events	7.7 (20.1)	-2.1 (-1.3)	8.5 (19.1)	-0.8 (0.8)	9.8 (21.1)	-0.3 (1.8)	

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p/V-Lmoms	8.2 (21.1)	-4.0 (-3.3)	8.7 (21.1)	-3.8 (-2.8)	9.3 (22.8)	-3.7 (-2.6)
p/V-params	8.1 (20.6)	-3.6 (-2.3)	8.5 (20.7)	-3.3 (-1.6)	9.1 (22.5)	-3.1 (-1.2)
p/V-quants	7.8 (20.9)	-3.6 (-3.1)	8.6 (21.4)	-4.0 (-3.9)	9.3 (23.2)	-4.3 (-4.4)

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The distribution of the prediction error for the correction of L-moments (p/V-Lmoms) over the different catchment areas and elevations can be found in Table 8. The error are exemplar<u>ilyy</u> shown for the 100-year flood (HQ100). The error distribution is comparable to of <u>the best estimation of the</u> MHQ shown in Table 5. Again, especially the overestimation for the lowest elevations is striking, as well as the significant underestimation at higher altitudes.

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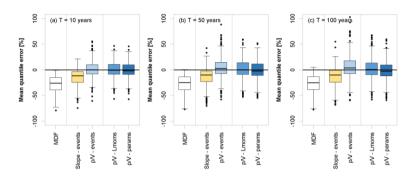
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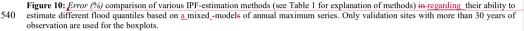
 Table 8: Average prediction error (%) of the p/V-Lmoms model for the 100-year flood (HQ100) calculated over the validation sites and shown here for different ranges of area and gauge elevation. Red shades indicate overestimation, while blue shades underestimation.

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					F	levation	l [m a.s.l	.]			
		<100	<200	<300	<400	<500	<600	<700	<800	<900	<1200
	<50	18.37	-34.61	-14.45	-12.68	2.66	-2.17	17.33	-6.80	-13.73	-15.58
[km ²]	<100	24.81	-7.61	-8.01	6.46	4.91	0.72	3.98	5.26	7.49	-33.61
	<200	30.72	-9.97	-1.26	0.57	4.38	-4.76	3.33	5.37	-5.10	-
area	<500	16.30	2.68	-1.65	2.56	4.34	8.03	5.78	2.56	-20.01	-
ıt a	<1000	7.95	1.56	-2.25	-1.93	6.88	3.36	3.43	-	-	-
Catchment	<2000	5.47	-8.43	-12.11	-2.63	-3.31	1.26	-6.80	1.19	16.97	-1.23
hn	<5000	-0.50	-7.33	-7.80	-3.66	-0.53	5.03	-	-	-	-
ato	<10000	-6.63	-9.86	-6.86	-4.07	-7.26	-	-	-	-	-
0	<30000	-6.40	-17.31	-5.02	-0.92	-	-	-	-	-	-

Finally, the model performances of the mixed models, combining summer and winter floods, <u>isare</u> analyszed for different flood quantiles. Their behavior is generally comparable to the annual maximum series approach, as shown in Fig<u>ure</u>-10. Even though the quantiles obtained with the mixed models may be more extreme and more parameters need to be estimated and corrected, there is no indication that the IPF correction will not function in this case.





The *nRMSE* (%) and *pBIAS* (%) values for the mixed approach models are shown in Table 9. According to these values, the event-based correction methods appear to perform best overall. For the smaller catchments (< 500 km²), the p/V methods

outperform the slope methods.

545 Table 29: Performances of different IPF estimation methods in terms of normalized root mean square error (nRMSE (%)) and percentage bias (pBIAS (%)) for different flood quantiles estimated from mixed models of seasonal maximum series. The performance is computed over validation sites with more than 30 years of observations, while the values in parentheses show the performances for catchment sizes below under_500 km². For a descriptions of the methods shown here, see Table1.

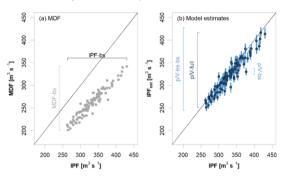
	T = 10	years	T = 50	years	T = 100 years		
	nRMSE [%]	pBIAS [%]	nRMSE [%]	pBIAS [%]	nRMSE [%]	pBIAS [%]	
MDF	17.7 (50.2)	-17.9 (-32.9)	17.5 (48.3)	-18.0 (-32.3)	17.6 (48.0)	-18.1 (-32.1)	
Slope-events	8.1 (31.7)	-6.3 (-18.6)	9.6 (28.6)	-4.7 (-16.6)	10.6 (28.5)	-4.1 (-15.9)	
p/V-events	8.1 (21.2)	-2.5 (-2.7)	8.5 (20.8)	-0.3 (1.2)	9.1 (23.3)	0.7 (3.1)	
p/V-Lmoms	12.3 (23.0)	-5.7 (-3.9)	12.7 (22.1)	-5.8 (-3.0)	13.0 (22.9)	-5.8 (-2.6)	
p/V-params	12.5 (23.5)	-6.2 (-4.7)	13.4 (23.8)	-7.3 (-5.7)	14.0 (25.3)	-7.9 (-6.6)	

550 4.3 Uncertainty Analysis

The results of the resampling procedure used to assess uncertainty <u>in the of IPF</u> estimates are exemplar<u>ilyy</u> shown in Fig<u>ure</u>-11 for the 100-year flood (HQ100) at a single site with a reduced number of 100 realizsations. In panel (a), the IPF and MDF estimates for each temporal resampling of the annual maximum series are plotted against each other (respectively IPF-bs and MDF-bs, respectively). This shows the bandwidths of both the IPF and MDF estimates as a result of sample and parameter uncertainty. Fig<u>ure</u>- 11 (b) shows the resampled IPF flood quantiles (IPF-bs) vs. the quantiles estimated using the p/V-Lmoms

model by considering different sources of uncertainty: p/V-bs illustrates the uncertainty only due to the fitting of the p/V-Lmoms model, p/V-full indicates the sample and parameter uncertainty (MDF-bs) propagated through the p/V-Lmoms model, Formatiert: Schriftart: Nicht Fett

and p/V-bs-bs combines the sample and the parameter uncertainty (MDF-bs) with the p/V-Lmoms model uncertainty (p/V-bs) to tackle the total uncertainty. In this example, it becomes obvious that the uncertainty from the p/V model (p/V-bs) is significantly smaller than the sample and parameter uncertainty (MDF-bs or even IPF-bs). This is valid for the majority of sites and is hardly affected from by the number of realiszations.



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Figure 11: Example of uncertainty ranges with 100 realizations at a single site: (a) HQ100 from IFP-bs vs. MDF-bs illustrating the sample and parameter uncertainty, (b) HQ100 from IPF-bs vs. estimated IPF where p/V-bs illustrates the p/V-Lmoms model uncertainty (shown as 565 dark blue points); p/V-full illustrates the propagation of sample and parameter uncertainty through the p/V-Lmoms model, and the p/V-bs- bs illustrates the total uncertainty that combines both sample and parameter uncertainty with the p/V-Lmoms model uncertainty.

Figure: 12 shows the relative widths of the 95% confidence intervals for all types of uncertainty estimated. The average widths of the IPF-bs, MDF-bs₂ and p/V-full seem to be similar with-to_each other, where the IPF sample and parameter uncertainty shows greatera larger variability. The width of the average range of the p/V-Lmoms model uncertainty (p/V-bs) is very small at all sites and therefore contributes little to the overall level of uncertainty (p/V-bs). Thus₂ the overall uncertainty of the p/V-Lmoms model_estimation_method_is mainly influenced by the sample and parameter uncertainty of the original MDF series.

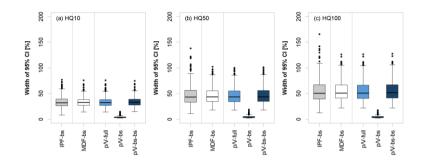


Figure 12: Relative widths of the 95% confidence interval (as per Eqn. 10) of various uncertainty types for different flood quantiles, where-575 IPF-bs and MDF-bs show the sample and parameter uncertainty of the original series, p/V-fullbs shows the sample and parameter uncertainty propagated through the p/V-Lmoms model, p/V-bs shows only the uncertainty of the p/V-Lmoms model, and p/V-bs-bs shows the total uncertainty that combines both sample and parameter uncertainty with the p/V-Lmoms model uncertainty. The boxplots here are obtained for validation sites with more than 30 years of observations.

In order to assess the full bandwidth of the errors in the linear p/V-Lmoms model estimates, they are compared to the range 580 of errors in the MDF estimates. Here, the errors for the uncertainty both in MDF (MDF-bs) and p/V-Lmoms (p/V-bs-bs) estimates are computed according to Eqn.11. Figure. 13 shows the median deviations of the MDF-bs and p/V-bs-bs quantiles from the respective IPF-bs quantiles, as well as the lower and upper limits of the 95% confidence intervals of the errors for the 10-, 50- and 100-year flood quantiles. The median errors from p/VLM-bs-bs are very similar over the three quantiles, but the higher quantiles HQ100 exhibits higher outliers. This is in agreement with the performance of the p/V-Lmoms model illustrated 585 in Figure 9. This means that the median errors obtained over the 1,000,000 realizations are very similar with to the actual model errors at each site. Moreover, it is obvious that the overall uncertainty gets larger with increasing return period, as can be seen by the increasing distance between lower and upper confidence limits. The p/V-Lmoms estimates appear to be slightly positively skewed, which is especially noticeable in the 95% confidence interval for the HQ100. At many sites, there is a significant overestimation of the true IPF quantile when combining the sample and parameter uncertainty with p/V-Lmoms model uncertainty. The MDF estimates, on the other hand, exhibit the expected persistent underestimation.

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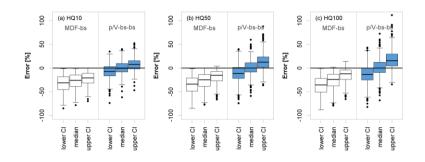


Figure 13: Error distribution obtained as per Eqn. 11 for three flood quantiles (left) from considering the sample and parameter uncertainty of the -mean daily flow (MDF) series (MDF-bs) and (right) total uncertainty of the p/V-Lmoms model (p/V-bs-bs). Shown are the median errors, as well as the lower and upper limits of the 95% confidence intervals obtained at the validation sites with more than 30 years of observation.

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Figure: 14 summarizes the general overlap of the confidence intervals of MDF and estimated IPF with the confidence intervals of the observed IPF for the three flood quantiles (as per Eqn. 12). It becomes obvious that the agreement between IPF and the p/V-Lmoms model estimates is significantly larger greater than with the MDF values. This observation suggests that with high probability the p/V-Lmoms model estimates are in the range of the "true" IPF quantiles. The fact that overlaps in both the MDF and the models increase with increasing return period suggests again the overall level of uncertainty in the higher

IPF quantiles due to sample and parameter uncertainty.

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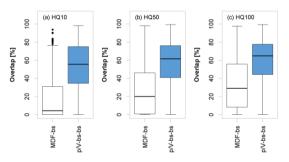


Figure 14: Percentage overlap for the three flood quantiles as per Eqn. 12 computed from the 95% confidence intervals of mean daily flow (MDF) sample and parameter uncertainty (MDF-bs) and p/V-Lmoms total uncertainty (p/V-bs-bs). The boxplots are obtained by considering validation sites with more than 30 years of observations

5 Discussion

5.1 Factors affecting the correction of mean daily (MDF) to instantaneous peak flow (IPF) statistics In theory, the relative deviation between MDF and IPF peaks depends greatly on catchment size, as shown, for instance, in Fuller (1914) and Ellis and Gray (1966). The effect of the catchment size is clearly visible in our data set. The larger the areas, 610 the smaller the error between instantaneous peak and daily flows, and consequently, the respective computed statistics. For catchments smaller than 1,000 km², this error can reach down to -80%. Small catchments without appreciable buffering capacity react quickly to even low rainfall, leading to short and steep flood waves that are hardly reproduced on coarsely averaged time scales. Factors like steep slopes, impermeable underground, and short but intense rainfall contribute to the flashiness of storm events and make these events even less representable through daily flow records. For areas larger than 615 5,000 km², this error becomes very small (< -20%). This agrees well with the findings of Ellis un Gray (1966) and Chen et al. (2017) which state that for basins larger than 10,000 km², the peak ration between MDF and IPF series converges to 1. Larger basins area typically characterized by high base flow and long response times which translates on a good representation of IPF from the MDF. Apart from catchment size, elevation can play also an important role as shown in Canuti and Moisello (1982). Mountainous 620 catchments have a fast response time and are generally more heavily influenced by meteorological forcing, such as snowmelt processes or convective events, as demonstrated by Gaal et al. (2015). Therefore, the error is expected to increase with high elevation. In our case study, errors at high-altitude sites seem to be particularly significant (40% to 60% underestimation). However, this could be a combined effect of small catchments, as mountainous basins are typically smaller in size. On the other hand, sites with elevation lower than 100 m a.s.l. exhibit smaller errors and are stratified according to catchment size. 625 For example, basins larger than 5,000 km² show a lower error at an elevation of 100 m compared to 300 m a.s.l.. The effect of the elevation on the higher altitudes is less visible in our dataset. Apart from the error in the peak magnitude, aA weak relationshiplink is also visible between high elevation and smaller temporal overlap between the twoMDF and IPF peaks. Different flood genesis, considered here by separating the data in two seasons (winter and summer), also exhibit different error behavior. Overall, summer IPF statistics were up to 20% more underestimated than winter IPF statistics. This occurs mainly 630 due to the presence of frequent convective events and moderate rainfall on snow - which enable faster catchment responses. As the summer peaks are underestimated, consequently, when performing a typical annual maximum flow series, summer events will be underrepresented, resulting in a non-representative fitted probability distribution. This is visible in catchments with areas under 5,000 km², where up to 40% of annual peaks are falsely chosen from the winter season. Apart from not being able to properly identify flood magnitudes when using mean daily flows, this is a serious issue for the classification of flood 635 regimes, identification of dominating flood types and application of heterogeneous flood frequency analysis when daily data are the only available option.

Additionally, other factors such as the type and amount of precipitation, soil initial conditions and characteristics or slope and land use, have been shown to influence the on-site variation between instantaneous peak and daily flows. Since their combined

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effect generates a distinctive daily flow hydrograph, the peak-to-volume (p/V) ratio becomes an important predictor to describe
the on-site and intra-site variation when correcting MDF events or statistics. The same principle holds as well for the slope
method correction suggested by Chen et al. (2017). However, in contrast to the slope method, the p/V ratio contains information
about the direct flood volume, which may describe the variability between events and catchments better. This is shown to be
the case mainly for catchments under 500 km², where the proposed linear models based on the p/V ratio outperform the slope
method. However, this can be attributed to the integration of the most important predictors (such as area, elevation or p/V
ration), or to the poor performance of the slope methods for areas under 500 km² as discussed in Chen et al. (2017).

5.24.6 Range of applications and limitations

All the correction methods applied here, either on the MDF peak flow events or MDF statistics, generated a better agreement with IPF statistics than the statistics from the pure MDF sereies. The slope methods exhibited overall a constant underestimation around 10 to 20% of the IPF statistics. This agrees also with the results from Chen et al. (2017), where similar underestimation for catchments in Iowa, USA, was also observed. On the other hand, p/V methods were leass biased than the slope method. Although all the p/V models proposed had similar performance, the L-moment method (p/V-Lmoms) is more preferable due to its convencience. Correcting the MDF L-moments ensures not only a complete correction of the distribution, including both low and high quantiles, but as well is not restricted to a type of probability distribution. The method of correcting the error of in_MDF floods using the p/V ratio performs well and is easily applicable in our study area. However, its great simplification and mere approximation of physical flood_generating processes results in some problems and limitations that will be listed and discussed here.

The first aspect that may influence the performance of the proposed IPF correction method is the event separation technique. The chosen technique determines how flood events and thus the required hydrograph characteristics are defined. The choice of baseflow_-separating algorithm can greatly affect the identification of start and end points of flood events. Strict independence criteria and thresholds for event recognition may lead to rejection of crucial flood events when considering daily time series. Lax criteria, on the other hand, may create unnaturally long multi-peak events and false inclusion of small events, both-leading to unrealistic hydrograph characteristics and IPF estimates. Thus, the additional step of refining multiple peak events, as suggested by Tarasova et al. (2018), should be carried out, when rainfall and snowmelt information is available. In their study, the refinement led to a reduction of multi-peak events from more than 50% to 44.7% of all identified events. In this study, the ratio of multi-peak to single-peak events is 57.9% for the year, 58.2% for summer and 58.4% for winter.

Using the p/V_{event} in order to correct individual events and then using the corrected series for FFA poses in theory represents a more sensible approach than using the p/V_{mean} from the annual MDF maxima. As mentioned before, maximum MDF events do not necessarily coincide with maximum IPF events, which is why correcting all events first and then selecting the annual maxima should yield a more appropriate IPF sample. But again, correcting individual events depends greatly on a very careful event separation, which could not be achieved in this case and which led to some unrealistic IPF estimates. Nonetheless, if a

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Formatiert: Englisch (Vereinigtes Königreich), Rechtschreibung und Grammatik prüfen proper event separation is possible, the event correction method may have the larger greater potential. In such a case, a single model would be sufficient to account for all aspects of IPF estimation, including high flood quantiles.

A problem for IPF correction, which has been exhaustively discussed above, are has to do with gauges that exhibit little difference between MDF and IPF floods, even though their p/V ratio would suggest a much larger error. For our dataset catchments, this applies to the lowest-altitude gauges in the data set. The MDFs at these sites are over-corrected and thus exhibit severe overestimation of the true IPFs. We therefore discourage the application of the suggested correction methods at catchment outlets situated below 100 m a.s.l..

This observation may also suggest that other factors need to be considered for proper error estimation or that the parameters of the correction models need to be adjusted for different subsets of data. This is also relevant for the question of universality

- 680 of the proposed method. Our data set is limited and representative of a temperate humid climate and moderate altitude. Thus, a qualitative sensitivity analysis wasis carried out on the full 648-gauge site data set in order to identify patterns that may could be extrapolatedable to other regions. The subsets are were selected by combinations of geographical location, catchment size, and gauge elevation. Target variable wais the mean annual maximum IPF. Differences in the individual models due to different degrees of freedom are natural, which is why only those subsets that lead to significant deviations from the original model are mentioned here. 685

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Two sets of sites deviate noticably from the original model. The first one includes the low-altitude gauges discussed before. Here, the overall error is so small that no correction yields better results than correction by via the p/V approach. The second group includes the catchments with areas below-under 50 km². The errors for these sites appear very scattered and randomly distributed. Comparing the p/V ratio from the daily series with the p/V ratio-obtained from instantaneous events, it becomes 690 obvious that the difference increases with decreasing catchment size and becomes excessively large and random for catchment sizes below 100 km². The correction using mean daily p/V ratio only functions where unknown instantaneous flood dynamics are roughly approximated by observed daily flow variability. The smaller the temporal scale of an instantaneous flood event, the poorer it is reproduced in the daily records. If instantaneous events manifest themselves primarily on a sub-daily basis, the possibility to describe their dynamics via daily flows becomes ineligible. This observation is also in accordance with the

- 695 observed temporal shifts between MDF and IPF events, which is increasingly pronounced in smaller catchments. In summary, the proposed correction method founders at smaller scales below 100 km². Even though the IPF estimation leads to a general improvement at this scale, the daily flood time scale poses a poor predictor in these catchments. In other cases, when the flood timescale is larger than a day, then the p/V predictor should be able to capture the flood dynamics. Still, attention must be paid to the baseflow separation, to ensure that the calculated p/V predictor is representative of the catchment behavior.
- 700 On the other hand, for catchments between 100 to 500 km², the p/V models showed the best results. This can be attributed to the selected predictors, whose combination is more representative for these catchments. It can also be attributed to the available dataset, as the majority of the sites have a catchment area und 500 km² and the fitted linear models may favor the minimization

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of the errors for these catchments. Although the conducted uncertainty analysis showed that the model uncertainty (due to the selected sites) is very low compared to the local parameter and sample uncertainty.

- 705 Nevertheless, the effect of the data set should not be neglected, when determining which and how many locations should be grouped together for the fitting of the linear model. Even in the optical case that the p/V predictor describes the flood dynamics correctly at each site, the question of how well a single linear model can represent the whole group of sites arises. Although L-moments are considered More robust than parameters or quantiles, they may differ significantly for a particular group. Hence, a more reasonable approach would be to break the group down into subgroups.
- 710 <u>In our case, l</u>-ongitude and latitude do not appear to have any effect on the model fitting. Dividing the study area into quadrants does not result in any differences between the subsets, even when considering similar catchment size and elevation. Also, neither record length nor period of record appear to have an influence.

The distinction between summer and winter for representation of the two most opposite flood types is particularly valid for this study area and should be adjusted where flood types are otherwise distributed. In general, even the rough distinction

715 between different flood types for IPF estimation proved meaningful in our case, as it revealed different dynamics and MDF-IPF relationships. This observation could be further exploited by more carefully defining and distinguishing <u>between</u> flood types, as e.g. proposed by Fischer (2018) or Tarasova et al. (2020).

Finally, one should note that the type of distribution for flood quantile estimation can only be selected based on daily data and may differ from the optimal IPF distribution. For our data, the GEV proved flexible enough to be a good match in both MDF and IPF, but this could differ in other cases.

65 Conclusions and outlook

As in other studies before this one, it could be shown that the IPF-MDF mean daily (MDF) and instantaneous peak flow (IPF) relationship depends primarily on catchment size. It could also be observed that other factors, in this case gauge elevation, play a role in determining the difference between MDF and IPF floods. The relationship also appeared to differ between the

725 two types of floods considered here, namely winter and summer floods. Since summer floods are often caused by short but intense rain events and thus exhibit steep rising and falling limbs, their sub-daily peaks are much larger than and difficult to estimate from the smoothed average daily peaks. Long, voluminous winter floods, on the other hand, show a much smaller IPF-MDF ratio and are easier to model.

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This study has also shown that hydrograph characteristics, like the peak-volume ratio of flood events can be used to estimate instantaneous peak flows when only average daily series are available. The p/V ratio may be used to predict both IPFs of individual events and instantaneous flood statistics, including mean annual and seasonal maximum flows and flood quantiles. Due to improper flood event separation, the event-based correction method produced some outliers in our case but may work significantly better when flood events can be defined more carefully. In general, the p/V method requires a minimum of data and can be applied using <u>mere-only</u> information from the daily series itself. The performance could be marginally improved by including gauge elevation as additional predictor in some of the models.

The general recommendation for estimating IPF flood quantiles is to use the average p/V approach for correction of L-moments. This method is convenient, since L-moments can be globally corrected, while distributions may be locally fitted afterwards. It turned out that the first two L-moments are easily estimated using p/V_{mean} , while higher order L-moments or L-moment ratios are more difficult to model with this approach.

- 740 There are two limitations, where the proposed method should be handled with care: a) at sites with gauge elevations below 100 m, since it overestimates the true difference between IPF and MDF₂ and b) at catchments smaller than 100 km², where it underestimates the error so that the full correction potential cannot be achieved. Still, in comparison to the slope method proposed by Chen et al. 2017, the p/V approach works significantly better for smaller catchment areas, especially below under 500 km². For larger catchments, the slope method appears very robust for all catchment sizes and elevations. The p/V methods perform better in many larger catchments but outliers might be produced where the above-named restrictions are met.
- For future analyses, it will be meaningful to test the universality of the proposed approach in other study regions. Also, the effect of the flood event separation on the IPF estimation performance should be analyszed in more detail, especially in order to improve the event correction technique. Finally, it will be interesting to see <u>whether</u> if explicit consideration of more carefully defined flood types can improve the IFP estimation in mixed models.
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Data availability

Not all of the flow data used in this study are publicly available but have to be requested at the respective state water authorities: The discharge data used in this study is publicly available on the websites of the respective federal agencies.

Lower Saxony: Niedersächsischer Landesbetrieb für Wasserwirtschaft, Küsten- und Naturschutz (NLWKN) 755 http://www.wasserdaten.niedersachsen.de/cadenza/

Saxony-Anhalt: Landesbetrieb für Hochwasserschutz und Wasserwirtschaft Sachsen-Anhalt (LHW) https://gld-sa.dhiwasy.de/GLD-Portal/

Saxony: Sächsisches Landesamt für Umwelt, Landwirtschaft und Geologie (LFULG) https://www.umwelt.sachsen.de/umwelt/infosysteme/ida/

Bavaria: Bayerisches Landesamt für Umwelt (LfU) https://www.gkd.bayern.de/de/
 Baden-Württemberg: Landesanstalt für Umwelt Baden Württemberg (LUBW) https://udo.lubw.baden-wuerttemberg.de/public/

Author contribution

765 UH formulated the research goal. The study was designed by both authors and carried out by AB. AB and BS prepared the manuscript with contributions from UH.

Competing interests

The authors declare that they have no conflict of interest.

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