# The moisture and depth limitations of deep soil desiccation and its impact on xylem hydraulic conductivity in dryland tree plantations

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Abstract. In water-limited areas, planted trees can abstract extract substantial amounts of soil water from deep layers (>200 15 cm) to meet their high-water demand, resulting in deep soil desiccation, which influences not only regional water cycling but also the sustainability of trees per se in drylands. However, the specific limitation to root water uptake both in relation to soil moisture content and deep-layer soil desiccation depth are still not well determined the limitations in relation to both moisture and depth of deep-layer soil desiccation (DSD) beyond which root water uptake ceases remains unclear. Whether limitation depends on tree species and how it will affect tree's xylem hydraulic conductivity are also unclear, restricting our ability to 20 predict the fate of dryland tree plantations. Therefore We, we-therefore, studied the spatiotemporal distribution of deep soil moisture deficit (DSMD) for two typical planted trees, apple (Malus pumila Mill.) and black locust (Robinia pseudoacacia L.), based on-the- published data and multiple field samplings on China's Loess Plateau. The results indicated that the lowest deep soil moisture (DSM) occurred under the planted trees aged 24-28 years at all sites. The lowest DSMD fluctuated around -0.6, which is close to the DSMD at the permanent wilting point (PWP) irrespective of tree species and site, although shallow 25 (<200 cm) soil moisture was not reduced to the point of limitation. This suggests that PWP is a good indicator of the moisture limitation of deep-layer soil desiccation DSD for the tree species examined. The corresponding maximum depth of soil moisture use reached 18.0-22.0 m for these old planted trees at different sites while it was more than 25 m for black locust in the drier site of Mizhi. Furthermore, when the moisture and depth limitations were reached, the native percentage loss of xylem hydraulic conductivity of planted trees' branches reached 74.9-96.5%, indicating that tree mortality may occur. The findings 30 will help to predict the sustainability of planted trees in semiarid regions with thick vadose zone.

#### 1 Introduction

Worldwide, tree planting is extensively advocated as a nature-based solution-(NbS) to facilitate the realization of Sustainable

Development Goals (Griscom et al., 2020). China's Loess Plateau (LOP), the greatest loess deposits in the world with a thickness of 30-200 m loess (Shao et al., 2018), has been one of the most active regions for tree planting during the last four

- decades, with the aim of controlling severe soil erosion and improving rural lives (Fu et al., 2016). Many trees of a variety of species (mostly exotic) have been planted during implementation of two large ecological projects: the Three-North Shelter Forest Program and the Grain-For-Green Program (Cao et al., 2021). So far, the area of tree plantations on the LOP has reached 7.47 million ha; the vegetation cover for this region increased from 31.6% in 1999 to 65.0% in 2017 (official data from the National Forestry and Grassland Administration, <u>http://www.forestry.gov.cn</u>). As a result, a number of ecosystem services,
- 40 including soil and water conservation, have been greatly improved on the LOP (Fu et al., 2016; Gao et al., 2021). In fact, the success of ecological restoration through tree planting on the LOP greatly depends on the presence of an abundant "soil reservoir" deposited in deep loess deposits (200-2000 cm) (Zhu, 2006).
- However, inappropriate tree planting in drylands can incur other ecological issues, such as soil desiccation due to excessive water consumption (Wang et al., 2010; Jia et al., 2017; Wu et al., 2021), preventing the sustainable development of revegetation 45 and may even result in tree mortality (Breshears et al., 2013; Choat et al., 2018; Stovall et al., 2019). Considerable researches studies have shown that planted trees abstract substantial deep-layer soil moisture (DSM, grav-%) by developing deep roots to maintain its their normal growth on the LOP (e.g., Gao et al., 2018; Su and Shangguan, 2019; Shi et al., 2021; Wang et al., 2023). However, continuous use of DSM over a number of years leads to severe soil desiccation (Wang et al., 2011; Gao et al., 2018; Tao et al., 2021), because DSM below 200 cm is hardly recharged by precipitation under tree plantations. In fact, deep-50 layer soil desiccation (DSD) under tree plantations can occur at multiple degrees depending on tree age and local climate (Fang et al., 2016; Jia et al., 2017). Although soil desiccation of different degrees has been widely reported in the above citations, the knowledge remains restricted about variations in the lowest moisture of deep-layer soil desiccation DSD-that limits growth (hereafter "moisture limitation") and/or the maximum depth from which trees are able to extract water (hereafter "depth limitation") for different species and in different climate zones remains restricted, which This is critical to estimate the amount 55 of available soil water storage in deep soil and to predict the fate of planted trees based on soil water availability. Currently, permanent wilting point (PWP) is often used to represent the lowest limitation of soil moisture available to plants according to the definition of available water capacity (Tormena et al., 2017). However, the PWP determined in the lab and/or field is often related to annual crops rather than perennial mature trees (Lucia et al., 2020). In the literature it is mainly estimated on the basis of overall soil properties and uses soil moisture at -15 bar as the surrogate without considering differences between 60 plant species (Torres et al., 2021). However, a recent synthesis indicated that the lowest soil moisture (moisture limitation) under tree plantations can be lower than the average PWP on the LOP (Li et al., 2021a). Therefore, it remains unclear whether the *PWP* is a good indicator of the moisture limitation of <u>deep-layer soil desiccation</u> for planted trees.

The occurrence of soil desiccation in deep layers can greatly affect the growth and eco-physiological traits of the aboveground

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parts of \_\_planted trees. It has been widely observed that the aboveground growth of planted trees is greatly constrained due to deep soil drying, resulting in so-called "dwarf aged trees" on the LOP (Hou et al., 1991; Zhu, 2000; Fang et al., 2016). Recently, Li et al. (2021) found that when deep soil below 200 cm is dry, the canopy transpiration of apple trees (*M. pumila*) with a rooting depth beyond 2000 cm is reduced by around 40% relative to that without deep soil desiccation on the LOP. Furthermore, Yang et al. (2022) conducted a deep-soil-and-root-partitioning experiment to quantify the effect of deep-layer (>200 cm) root uptake on the transpiration and physiological features of *M. pumila*apple tree. They found that the canopy transpiration and the net photosynthetic rate of *M. pumila*apple trees without deep-layer root water uptake was reduced, respectively, by 36% and 20% on average, compared with the trees with deep roots in a semiarid site on the LOP. Moreover, resistance of the xylem against embolism resistance increases with a decrease in-soil\_\_water availability, leading to a reduction in xylem hydraulic conductivity and even hydraulic failure of European beechthe plantation (Schuldt et al., 2016; Stojnic et al., 2018Liw et al., 2020; Fuchs et al., 2021). The effect of deep-layer soil desiccationDSD on xylem hydraulic conductivity of planted trees, however, is still unclear, when moisture-limitation- and depth limitations of deep-layer soil desiccationDSD are reached.

To address the gaps, we built a dataset, including 11980 observations of 258 soil profiles from 34 peer-reviewed publications and 4200 <u>own</u> observations of 72 soil profiles in-situ sampling across the LOP. The main objectives of this study were (i) to identify the moisture and depth limitations of <u>deep-layer soil desiccationDSD</u> for different tree species and the influencing factors, and (ii) to explore their impact on xylem hydraulic conductivity of planted trees in different climatic zones on the LOP. Our hypotheses were that the moisture and depth limitations of <u>deep-layer soil desiccationDSD</u> depends on tree species, as they differ in drought-resistance-capacity, which relies on <u>is based on themselves their water</u> use strategy under drought stress (Gessler et al., 2020)<del>; and</del>. Because drought stress causes the formation of gas emboli and blockage of xylem conduits in woody plants, leading to a sharp decrease in xylem hydraulic conductivity (Gauthey et al., 2021), therefore, we also assume that the xylem hydraulic conductivity of planted trees is greatly reduced when moisture and depth limitations are reached due to low soil water availability in deep layers.

### 2 Materials and methods

#### 2.1 Data compilation

#### 2.1.1 Data sources

Peer-reviewed research papers, published between 1999 and 2021 were extracted from the Web of Science (United States) and CNKI (China Knowledge Resource Integrated Database, China; 2000-2021) using the search terms ("Loess plateau" OR "Loess hilly region") AND ("artificial forest" OR "afforestation" OR "plantation") AND ("soil moisture" OR "soil water content" OR "soil water deficit"). To avoid bias in the literature selection, the following criteria were set to filtrate 718 articles obtained:

- (1) Soil profiles for moisture extraction under deep-rooted artificial forests must exceed a depth of 500 cm at dense sampling intervals ( $\leq 100$  cm). The reason for the criteria is that 0-200 cm soil layer is greatly affected by rainfall, while the phenomenon of 200-500 cm dry soil layer is common (Jia et al., 2020), thus, data from a sampling depth greater than 500 cm were considered in this study in order to explore the state of DSM.
  - (2) Soil moisture was the gravimetric or volumetric moisture content measured by drying methods or moisture sensors. The volumetric moisture content was uniformly converted to gravimetric moisture content by means of the average soil bulk density in each study area.
  - (3) Experiments with only shrub and grass communities or experiments with irrigation, mulching, pruning and/or other measures were excluded.
  - (4) Experiments yielded paired data for DSM in <u>M. pumilaapple</u> orchards or black locust (<u>R. pseudoacacia</u>) forests and that in cropland or grassland (control).
- (5) Location, mean annual precipitation (<u>MAP</u>, mm), forest age, and vegetation types were clearly presented.

#### 2.1.2 Data extraction and classification

<u>34 peer-reviewed publications were selected for analysis based on Using</u>-the aforementioned criteria, we retrieved a total of 11980 observations from 258 soil profiles, covering 83 sites across four provinces or municipalities (Fig. 1). These data were extracted from 34 peer reviewed publications, and the specific information is shown in Table 1. The original data were either 110 clearly obtained from tables in the selected papers or directly extracted from the intuitive figures in those papers using GetData Graph Digitizer (version 2.24, http://getdata-graph-digitizer.com). In all, we retrieved a total of 11980 observations from 258 soil profiles, covering 83 sites across four provinces or municipalities (Fig. 1), and the specific information is shown in Table 1. Soil moisture data were collected at 200 cm intervals, with values averaged when multiple samples were obtained within a 200 cm interval (e.g., with an interval of 20 cm or 10 cm). Soil moisture data for the same stand age collected in different 115 sampling years were analyzed independently to assess the influence of forest age on soil moisture. To avoid random errors, data extracted for the moisture limitation of deep-layer soil desiccation DSD under M. pumila apple tree in Changwu and Luochuan were divided into tree age range for analysis. Simultaneously, we also collected basic data from each publication, including data source, geographic coordinates (longitude, latitude), mean annual precipitation (MAP), tree species, and soil texture. Given the limited published information on DSM in *R. pseudoacaciablack locust* forests, we also conducted supplementary experiments to obtain relevant data, as described in Section 2.2.

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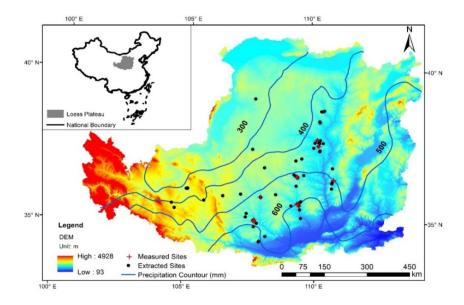


Figure 1: Distribution map of sampling sites on the Loess Plateau. The black dots represent the sampling sites extracted from the article, and the red small crosses represent the sampling sites supplemented in the field.

Sites	Species	NEP (pair)	NSP (pair)	MAP (mm)	2021-P (mm)	Climate type zone	
Luochuan	Apple	24	10	608	957	Semi-humid	
Enforce	Apple	1	-	(0)	-	C	
Fufeng	Black locust	4	-	606	-	Semi-humid	
Yongshou	Black locust	4	-	600	-	Semi-humid	
Changer	Apple	44	8	579	910	C	
Changwu	Black locust	6	10	578		Semi-humid	
Baishui	Apple	2	-	577	-	Semi-humid	
Jingchuan	Apple	1	-	571	-	Semi-humid	
Qingcheng	Apple	6	-	561	-	Semi-humid	
V	Apple	7	-	520	-	C	
Yan'an	Black locust	15	10	530	704	Semi-humid	
Daning	Apple	2	-	528	-	Semi-humid	
7.1	Apple	1	-	400	-	G · · · 1	
Zichang	Black locust	1	-	480	-	Semi-arid	
Zizhou	Apple	1	-	424	-	Semi-arid	
	Apple	9	10	401	441	a · · · 1	
Mizhi	Black locust	1	10	421	458	Semi-arid	
Total	-	129	58	-	-	-	

 Table 1. Sample information used in this study.

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Note: NEP and NSP indicate the number of data pairs from the literature and field sampling, respectively. A data pair refers to the moisture content of a soil profile from plantation and that of a soil profile from cropland/grassland. *MAP* is the mean annual precipitation, <u>mm</u>; based on *MAP*, these sites are classified as the semi-arid (250 mm<*MAP*<500 mm) and semi-humid (500 mm<*MAP*<800 mm) climate zone, respectively. The <u>blue\_bold</u> text indicates the supplementary sampling in this study with reference to the existing data, and these are representative plots for the different climatic regions upon which we focused.

### 130 2.2 Data measurement

### 2.2.1 Study area and sampling sites

The LOP covers a total area of 640,000 km<sup>2</sup>, with an elevation range of 200-3000 m. It belongs to the continental monsoon

region where annual precipitation ranges from 150 mm in the northwest to 800 mm in the southeast, 55-78% of which falls from June to September (Wang et al., 2011). The location of sites from which we collected field data across the entire LOP (34°43′-41°16′ N, 100°54′-114°33′ E) are indicated by the red small crosses in Fig. 1 and the blue text in Table 1. Geographic coordinates (altitude, longitude, and latitude) for each site were obtained using a Global Positioning System (Zhuolin A8, with an accuracy of 1 m).

#### 2.2.2 Soil moisture content

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The sampling events for soil moisture was done from April 9 to May 6 2022 (the early growing season of trees on the LOP) 140 under the plantations of <u>M. pumila</u>apple tree and <u>R. pseudoacacia</u>black locust at the ages of 20-30 years old as well as under the cropland/grassland as the control due to the intact DSM below 200 cm. At first, the sampling depth was set as 0-10 m under different tree plantations; however, it was extended down to 25 m when the DSM reached the moisture limitation under one given aged plantation as well as under the paired control plots (sampling process is shown in the Fig. S1). The information of the tree plantations reaching the moisture limitation was is indicated in Table 2. The maximum sampling depth was set as 25 145 m because previous studies reported the maximum rooting depth less than 25 m on the LOP (Wang et al., 2009; Li et al., 2019). In order to minimize the spatial variability in soil moisture caused by soil properties, the straight-line distance between the planted trees and their controls was controlled at less than 500 m, and the average straight-line distance of the three replicates was shown in Table 2. All soil samples were collected by a hand auger ( $\Phi=6$  cm) at depth interval of 20 cm and 1.5 m horizontal to the trunk of trees; and three trees with similar growth status were selected for each age as repeats. Collecting soil samples 150 in the due north direction of the first tree, a clockwise rotation of 120° around the second tree from the due north direction was used as the second sampling point, and a clockwise rotation of 240° around the third tree from the due north direction was used as the third sampling point. Soil samples without roots were put into an aluminum specimen box and used to measure the gravimetric moisture content (g-g<sup>+</sup>grav-%), which was determined by drying method at 105°C for constant weight. A total of 4200 soil moisture data were collected at 72 soil profiles. Three repeats of soil moisture data under one given tree plantation 155 were averaged when analyzing the moisture and depth limitations of deep-layer soil desiccation DSD under plantations to eliminate the effect of different sampling directions. Moreover, the soil sampling under all tree plantations was done once because the temporal variation of DSM below 200 cm under tree plantations was negligible in one growing season (Fig. S2; Gao et al., 2018; Li et al., 2019).

Table 2. Basic information for the trees reaching the moisture limitation (lowest deep soil moisture deficit) of each site sampled in the field.

Sites	Plant species	Longitude	Latitude	Elevation (m)	SD (m)	Stand age (yr)	PH (m)	BD (cm)	DBH (cm)	CD (m)
	Apple	110°10′22″	37°52′13″	1135.1	430	27	3.5±0.25	5.8±0.53	4.9±0.21	5.73±0.35
Mizhi	Cropland	110°11′09″	37°52′21″	1139.6	430	-	-	-	-	-
	Black locust	110°13′58″	37°40′08″	1086.2	433	24	9.3±0.40	8.9±0.43	7.3±0.17	$5.65 \pm 0.75$

	Grassland	110°13′46″	37°40′16″	1076.4		-			_
Luochuan	Apple	109°21′59″	35°46′44″	1086.9	182	28	2.85±0.35 8.8±0.82	8.1±0.52 4.51±16.	.7
Luochuan	Cropland	109°21′58″	35°46′38″	1083.9	162	-			
V	Black locust	109°23′22″	36°40′02″	1325.1	412	25	14.35±0.25 9.3±0.19	7.9±0.86 5.81±0.7	7
Yan'an	Grassland	109°22′29″	36°40′31″	1333.2	412	-			
	Apple	107°41′01″	35°14′12″	1231.7	200	24	4.42±0.25 6.1±0.29	5.4±0.73 4.77±0.1	3
Chara	Cropland	107°40′43″	35°14′20″	1232.6	390	-			
Changwu	Black locust	107°41′45″	35°12′50″	1215.2	212	28	13.35±0.25 9.4±0.66	8.1±0.51 5.96±0.0	1
	Grassland	107°42′12″	35°12′36″	1219.4	212	-			

160 Note: A±B, A represents the average of the three replicates of each parameter, and B represents the standard deviation. <u>SD-Average of straight-line distance between three trees and their controls (cropland or grassland); PH-Average plant height; CD-Average crown diameter; PH-Average plant height; BD-Average basal diameter; DBH-Average diameter at breast height; <u>CD-Average crown diameter</u><u>SD-Average of straight-line distance between three trees and their controls (cropland or grassland)</u>.</u>

#### 2.2.3 <u>Native</u> Ppercentage loss of hydraulic conductivity

165 In order to explore the influence of deep-layer soil desiccation to the native percentage loss of hydraulic conductivity (NPLC, %) of planted trees across different sites, While while collecting soil moisture, six current-year branches with 18-20 cm in length and 2-5 mm in diameter were cut off in the morning from southern crown of M. pumilaapple and R. pseudoacaciablack locust trees, <u>at the tree age of which when the plantations</u> –reached the moisture limitation of DSD; and three trees with similar growth status were selected as repeats for each site. Sampled branches were sprayed with water and enclosed in humidified 170 black plastic bags before excising to minimize water loss. After brought bringing these branches to laboratory, we quickly cut 3-5 cm from the middle of the branch in-under water and immediately measured xylem hydraulic conductivity of it by using the xylem embolism measurement system (Xylem embolism meter, Bronkhorst, Mon-tigny-les-Cormeilles, France). To prevent water spilt from the branch, the initial xylem hydraulic conductivity ( $K_{ini}$ , kg s<sup>-1</sup> MPa<sup>-1</sup>) was measured with 1 mmol·L<sup>-</sup> <sup>1</sup> CaCl<sub>2</sub> solution (pH = 6) through a filter with a filter aperture of 0.22  $\mu$ m at a low pressure of 0.5-1.0 kPa. And at a high 175 pressure of 0.2 MPa, the branch was flushed with 1 mmol· $L^{-1}$  CaCl<sub>2</sub> solution until there was no air bubble, then the xylem hydraulic conductivity was determined again at a low pressure of 0.5-1.0 kPa and the flushing was repeated until attaining a maximum conductivity ( $K_{\text{max}}$ , kg s<sup>-1</sup> MPa<sup>-1</sup>). The percentage loss of hydraulic conductivity (*PLC*, %)*NPLC* was calculated by,

$$NPLC = \left(1 - \frac{K_{ini}}{K_{max}}\right) \times 100 \tag{1}$$

#### 2.2.4 Basic parameters of plantation

In order to accurately target the sample plantation, tree age was determined by using the plant growth cones (Haglof, CO300-52, Sweden) before sampling, and the crown diameter, basal diameter and diameter at breast height were measured with a tape (Table 2). The collection of plantation root samples was carried out simultaneously with the collection of soil moisture samples, and soil samples with roots was stored in a sealed sample bag to minimize the loss of fine roots ( $\leq 2$  mm), and then gently rinsed in a 100 meshes sieve, removing residual roots from the sieve with tweezers. Each fine root sample was dried at 65°C

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to constant weight and weighed using an electronic balance with a precision of 0.0001 g to determine root dry weight. Finally, the fine root dry weight density ( $g m^{-3}$ FRDWD) was calculated using the formula given by Zhao et al. (2022).

#### 2.2.5 Soil texture and permanent wilting point

The sampling of soil properties was done simultaneously as soil moisture was sampled, and the data was showed in Table 3. Soil samples without root were air-dried, ground, and then passed through a 16 meshes sieve for measuring soil particle composition (i.e., clay, silt and sand contents) and soil pH, and through a 100 meshes sieve for determining soil organic carbon (SOC), respectively. Soil particle composition was determined by laser diffraction using a Mastersizer-2000 Laser Granularity Analyzer (Malvern Instruments, Malvern, England) (Wang et al., 2008). Soil pH was determined by the DELTA 320 pH meter. SOC was measured by using the potassium dichromate volumetric method (Fu et al., 2010), and soil organic matter (SOM) was converted from SOC (Eq. 4). Due to the challenges of collecting undisturbed soil cores within the 10 m profile, soil bulk density (BD)-\_and the *PWP* of each region were estimated using the pedo-transfer functions (PTFs)-\_developed by Wang et al. (2012) and Balland et al. (2008) based on the above indicators, as follows,

$$PWP_{i} = FC_{i} \left( 1 - \exp\left(\frac{-0.511 \times clay_{i} - 0.865 \times SOM_{i}}{FC_{i}}\right) \right)$$

$$(2)$$

$$FC_{i} = 46.481 - 4.757 \times SOC_{i} - 14.028 \times \log_{10}(clay_{i}) - 13.991 \times \log_{10}(sand_{i}) + 42.261 \times \log_{10}(SOC_{i}) - 11.763 \times sand_{i}^{-1} + 19.198 \times SOC_{i}^{-1} - 5.448 \times BD_{i} + 0.044 \times SOC_{i}^{-2} + 1.975 \times BD_{i} \times SOC_{i}$$
(3)

$$SOM_i = SOC_i \times 1.724 \tag{4}$$

$$BD_{i} = 1.8284 + 0.0429 \times \log_{10}(clay_{i}) + 0.0205 \times clay_{i}^{0.5} - 0.0125 \times \cos(clay_{i}) - 0.0061 \times silt_{i} + 0.0001 \times silt_{i} \times SG - 0.0098 \times SG - 0.0071 \times SOC_{i} - 0.0505 \times SOC_{i}^{0.5} + 0.0002 \times SOC_{i}^{2}$$
(5)

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where *FC* is field capacity, <u>grav-</u>%; *SOC* and *SOM* are soil carbon content and soil organic matter, g kg<sup>-1</sup>; *BD* is the soil bulk density, g cm<sup>-3</sup>; clay, silt and sand are clay, silt and sand content, %, respectively; *SG* is slope gradient at each sample location, °; and *i* represents the  $i_{\rm th}$  soil depth.

Table 3. Basic s	soil properties	s of field sampling	g sites.
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Sites	Plant species	Soil type	Clay	Silt	Sand	Soil organic metter (g kg-1)	Bulk density (g cm <sup>-3</sup> )	pН
	Apple	Cultivated loessial soils	15.5	24.3	60.2	2.94	1.28	7.64
Mizhi	Cropland	Cultivated loessial soils	14.9	25.1	60.0	2.33	1.29	8.44
MIZHI	Black locust	Cultivated loessial soils	13.6	25.0	61.4	3.09	1.28	8.03
	Grassland	Cultivated loessial soils	13.8	25.4	60.8	2.35	1.29	8.36
T	Apple	Dark loessial soils	21.5	37.1	41.4	4.62	1.31	7.54
Luochua	<sup>1</sup> Cropland	Dark loessial soils	22.2	38.5	39.3	4.38	1.33	8.17
Yan'an	Black locust	Cultivated loessial soils	18.1	33.1	48.8	4.87	1.29	8.07

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	Grassland	Cultivated loessial soils	19.6	31.5	48.9	4.43	1.30	8.53
Cro	Apple	Dark loessial soils	24.6	38.7	36.7	4.76	1.31	7.35
	Cropland	Dark loessial soils	25.8	38.7	35.5	4.35	1.32	8.21
Changwu	Black locust	Dark loessial soils	22.9	38.2	38.9	5.01	1.29	7.96
	Grassland	Dark loessial soils	22.7	38.4	38.9	4.26	1.31	8.23

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Note: Sampling depth of basic soil properties, including soil particles (which was divided into clay (<0.002 mm, %), silt (0.002~0.02 mm, %) and sand (0.02~2 mm, %) according to the international soil particle classification standard), soil organic carbon (g kg<sup>-1</sup>), and pH was 10 m for different plant species, and the average values of these parameters on different soil layers are presented here. The soil bulk density presents the average value of 1 m soil profile.

### 2.2.6 Meteorological parameters

210 The precipitation, air temperature, and relative humidity of different regions were from the European Centre for Medium-Range Weather Forecasts ERA5-Land reanalysis (hereafter ERA5-Land) data, and the accuracy of which has been verified by Araújo et al. (2022). Based on the above meteorological data, vapor pressure deficit (*VPD*, kPa) was calculated by using Eq.6.

$$VPD = 0.61078 \times e^{\frac{17.27 \times T_a}{T_a + 237.3}} \times (1 - RH)$$
(6)

where  $T_a$  is air temperature, °C; and *RH* is relative humidity, %.

## 215 2.3 Data analysis

#### 2.3.1 Meta-analysis

In a traditional meta-analysis, the mean values of the treatment and control with their standard deviations or standard errors are extracted from the publications. However, most published papers selected for this study reported their mean values without standard deviations. To maximize the number of observations, the following unweighted meta-analysis approach was adopted (Li et al., 2021b).

$$RR = Ln(X_{e} / X_{C}) \tag{7}$$

where response ratio (*RR*) is a unit-free index with positive and negative values indicating either increasing or decreasing soil moisture in response to planted trees' growth.  $X_e$  and  $X_c$  are values of soil moisture under trees and controls for each study, respectively. The soil depth interval analyzed was 200 cm as mentioned in Section 2.1.2. We use a 95% confidence interval (95% CI) to test the statistical difference between the *RR* of soil moisture and zero (Deng et al., 2016), the calculation method of 95%-CI showed as Eqs. 8 and 9,

$$SE_{total} = \sqrt{\frac{V_s}{N}}$$
(8)

$$95\% CI = 1.96 \times SE_{total} \tag{9}$$

where  $SE_{total}$  is the standard error of RR, and  $V_s$  and N are the variances of RR and the number of observations, respectively. In this study, the statistical difference between the RR of soil moisture and zero was considered significant if the 95%-CI did not include zero, and the differences were not considered significant if the 95%-CI include zero.

#### 2.3.2 Quantitative index for moisture limitation of soil desiccation

The effect of different deep-rooted planted trees' root water uptake (RWU)RWU—on soil moisture was expressed as soil moisture deficit (SMD), which is the relative difference in soil moisture for given trees and adjacent cropland/grassland. Due
to root distribution of the latter is too shallow (<2 m) to influence the change of DSM (Gao et al., 2014; Zhu et al., 2016; Gao et al., 2022), thus, the soil moisture of grassland/farmland measured simultaneously with that of plantation can be used as background value to eliminate the influence of climate difference, and the soil moisture deficit in the *k*th layer of the *j*th plantations SMD<sub>j,k</sub>-calculation method as shown in Eq. 10. Among them, the soil moisture deficitSMD of the soil layer below 2 m is the deep soil moisture deficit (DSMD). In order to compare the difference between the DSMD of plantations and their PWP, the soil moisture deficitSMD corresponding to PWP in the *k*th layer of the *j*th plantations (SMD\_PWP<sub>j,k</sub>) along the soil profile was calculated by Eq. 11. When the SMD<sub>j,k</sub>soil moisture deficit corresponding to DSM is very close to SMD\_PWP<sub>j,k</sub>soil moisture deficit corresponding to PWP in the *k*th layer of the *j*th plantations suggests that the consumption of DSM by trees has reached moisture limitation.

$$SMD_{j,k} = \frac{SMC_{j,k} - SMC_{0,k}}{SMC_{0,k}}$$
(10)

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$$SMD_PWP_{j,k} = \frac{PWP_{j,k} - SMC_{0,k}}{SMC_{0,k}}$$
 (11)

where  $SMC_{j,k}$  and  $PWP_{j,k}$  represent soil moisture content and permanent wilting point in the *k*th layer of the *j*th plantations, <u>grav-</u>%, respectively;  $SMC_{0,k}$  indicates soil moisture content in the *k*th layer of the control (cropland or grassland), <u>grav-</u>%; <u>SMD\_{j,k} and SMD\_PWP\_{j,k} represent the soil moisture deficit corresponding to  $SMC_{j,k}$  and  $PWP_{j,k}$ , respectively.</u>

#### 2.3.3 Quantitative index for depth limitation of soil desiccation

- The paired sample *t*-test in SPSS was used to analyze the significant difference of soil moisture between plantations and their controls. Three duplicate values were taken for each plantation and its control *per* site, and the straight-line distance between them was less than 500 m. If the difference of soil moisture content in the plantation and its' control becomes not statistically significant (*p*>0.05) with the soil layer deepeningat a given layer, it is defined that the maximum RWU depth is reached the consumption of DSM by plantations will be considered to have reached the depth limitation. Conversely, it indicates that the maximum root water uptake depthhas not been reached On the other hand, if it is always statistically significant (*p*<0.05) along the whole measured profile, this indicates the maximum RWU depth exceeds the measurement depthwhen the difference is always significant (*p*<0.05). A list of all acronyms, the corresponding definitions and units is given in Table 4.</li>
  - 2.3.4 Statistical analysis

Redundancy analysis (RDA) was performed to evaluate the contributions of environmental factors to <u>soil moisture deficit</u><u>SMD</u> and soil moisture using Canoco 5 (Microcomputer Power Ithaca, New York, USA). Least significant difference (LSD)–\_*posthoc* tests in SPSS 22.0 software package (SPSS 22.0, SPSS Institute Ltd., USA) was used to analyze the significant differences in <u>NPLC</u> of current-year branches <u>in-of</u> planted trees <u>in different sites (takes the average of six branches as the NPLC for per</u> tree, and three duplicate trees was treated as independent in statistical analysis),  $\alpha = 0.05$  was considered statistically significant. Origin 2021 software (OriginLab Corporation, USA) was used to draw the figures.

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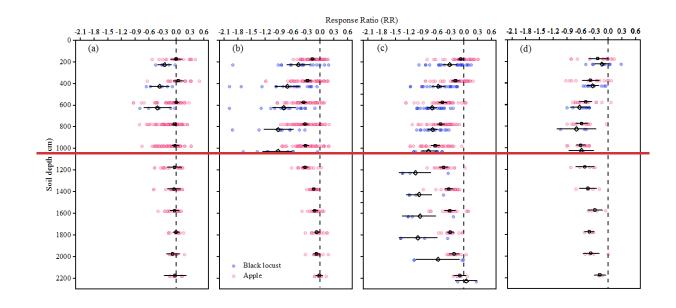
Abbreviation	definition	<u>Units</u>
Soil properties		
<u>DSMD</u>	Deep soil moisture deficit	<u> </u>
<u>DSM</u>	Deep soil moisture	grav-%
PWP	permanent wilting point	grav-%
SOC	Soil organic content	<u>g kg<sup>-1</sup></u>
Research objects		
LOP	China's Loess Plateau	<u>_</u>
<u>M. pumila</u>	Apple trees (Malus pumila Mill.)	±
<u>R. pseudoacacia</u>	Black locust (Robinia pseudoacacia L.)	<u>_</u>
20	Average of straight-line distance between three trees and their	
<u>SD</u>	<u>controls</u>	<u>m</u>
Plant properties		
<u>PH</u>	Average plant height	<u>m</u>
BD	Average basal diameter	<u>cm</u>
DBH	Average diameter at breast height	<u>cm</u>
<u>CD</u>	Average crown diameter	<u>m</u>
<u>NPLC</u>	Native percentage loss of hydraulic conductivity	<u>%</u>
<u>Kini</u>	The initial branch xylem hydraulic conductivity	kg s <sup>-1</sup> MPa <sup>-1</sup>
<u>K</u> max	The maximum branch xylem hydraulic conductivity	kg s <sup>-1</sup> MPa <sup>-1</sup>
<u>RWU</u>	Root water uptake	mm
Environmental conditions		
VPD	Vapour pressure deficit	<u>kPa</u>
MAP	mean annual precipitation	mm
Data analysis		
<u>RDA</u>	Redundancy analysis	±
<u>95%CI</u>	95% confidence interval	<u>_</u>

### **3** Results

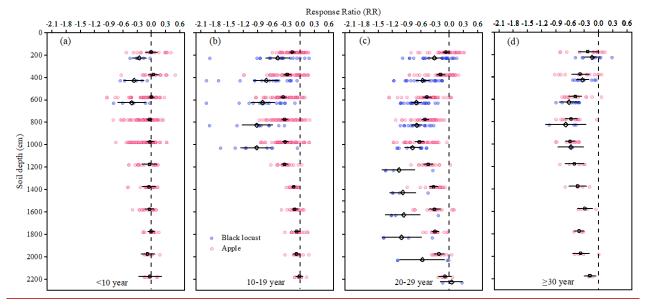
### 3.1 Soil moisture under planted trees of the different ages

The soil moisture under apple<u>M. pumila</u> orchards (APO) and black locust forest (<u>R. pseudoacacia forestsBLF</u>) varied greatly with tree age across the entire soil layer (Fig. 2). With an increase in tree age, the negative effect of <u>M. pumila</u>APO (0-21 m soil layer) and <u>R. pseudoacaciaBLF</u> (0-10 m soil layer) growth on-deep soil moisture (\_DSM) increased first and then tended

to become stable. Specifically, the negative effect value of DSM (4-10 m) under *R. pseudoacacia* forestsBLF older 30 years decreased by 17.4% compared with 20-30 years. Thus, the tree age at which the two plantations had a biggest effect on DSM appears to be between 20 and 30 years. And then, plantations between this age range were regarded as the main subjects when analyzed the moisture and depth limitations of <u>deep-layer soil desiccationDSD</u> for planted trees in Sections 3.2 and 3.3.



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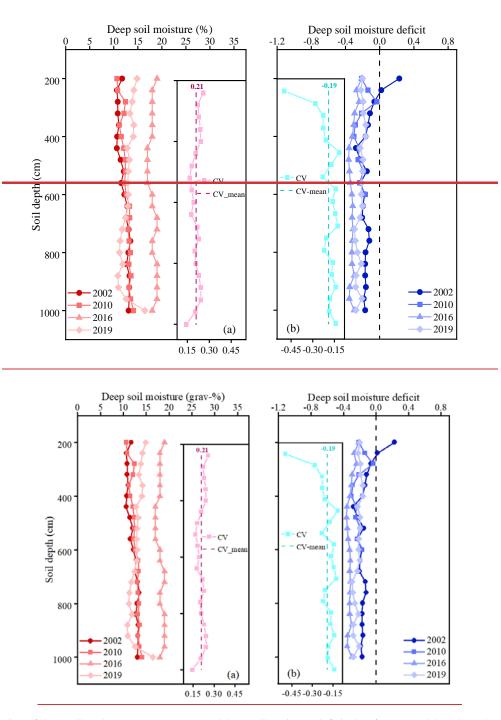
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**Figure 2:** Mean effect sizes indicating the mean value of response ratio (*RR*, a unit-free index with positive or negative value means soil moisture increases or decreases with the older of plantations) of soil moisture response to planted trees' growthwith the age of plantation on the Loess Plateau are divided into: (a) tree age <10 year, (b) 10 year  $\leq$  tree age<20 year, (c) 20 year  $\leq$  tree age<30 year, and (d) tree age  $\geq$  30 year. The vertical black dotted lines indicate *RR*=0. Blue and red dots, which involves 11980 literature extraction data and 4200 field sampling data, represent black locust (*R. pseudoacacia*) forests and apple orchard *RR*s, respectively; diamonds and circles represent the mean *RR* of *R. pseudoacacia* and apple (*M. pumila*) orchard, respectively; and the error bars represent 95%-CI.

### **3.2 Limitations of <u>deep-layer soil desiccation</u> DSD for planted trees**

The DSM under a given tree species can vary largely among different years, even at the same age, due to varying annual precipitation. For example, the DSM of 15-year-old <u>M. pumila</u>APO in Changwu varied greatly across different sampling years

(Fig. 3a). To account for climate differences between sampling years, we use soil moisture deficit (SMD), which is defined as the relative difference in DSM between the given trees and nearby controls. The DSM of control adjacent to the plantation regarded as the background soil moisture to reflect local annual precipitation due to it is not influenced by root water extraction from annual crops with the shallow (<2 m) roots. We found that variation coefficient (CV)-\_of-deep soil moisture deficit (\_DSMD) (Fig. 3b) was lower than that of DSM, thus, DSMD was used for the following in-depth analyses.



**Figure 3:** Distribution of deep soil moisture (grav-%, (a)a) and deep soil moisture deficit (b) of 15-year-old apple orchards in Changwu in 2002, 2010, 2016 and 2019, which is all based on literature extraction data. The variation coefficient (CV) of deep soil moisture and deep soil moisture deficit below 2 m are shown in the small figures, the dashed lines of which represent the mean values of the CV for deep soil moisture and deep soil moisture and deep soil moisture deficit, respectively.

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#### 3.2.1 Moisture limitation of deep-layer soil desiccation DSD

We combined the extracted (Fig. 4a and b) and measured (Fig. 4c-f) data to explore the moisture limitation of deep-layer soil desiccationCombined with literature extraction (Fig. 4a and b) and measured (Fig. 4c-f) data to reveal the moisture limitation 300 of the DSD. The DSMD fluctuation in M. pumilaAPO over 19 years was relatively stable in Changwu, Luochuan and Mizhi (Fig. 4a-c). Interestingly, with the increase in tree age, there was the lowest DSMD of <u>M. pumilaAPO</u> in different climate zones, and tree age between 24 and 28 years was associated with the lowest DSMD in different regions. After reaching the lowest value, M. pumilaapple trees can no longer extract water from the deep soil. Notably, although there were differences in soil moisture among different regions and tree species (Fig. S3), the lowest value of DSMD in three regions fluctuated around 305 -0.6, which was very close to the DSMD value corresponding to the local PWP. In addition, DSMD of the R. pseudoacacia BLF in the Changwu, Yan'an and Mizhi regions was similar to that in M. pumila APO (Fig. 4d-f). Namely, the lowest values of DSM consumption were same as that of <u>M. pumila</u>APO in different regions. This suggests that PWP is a good indicator of the moisture limitation of <u>deep-layer soil desiccation</u>, irrespective of tree species and site. It is worth noting that, compared with M. pumilaAPO, the variation scope of DSMD in Changwu and Yan'an decreased significantly with the age of the R. 310 pseudoacaciaBLF, which may be due to the DSM in the R. pseudoacacia forests was recharged by extreme rainfall in 2021 (Table 1)

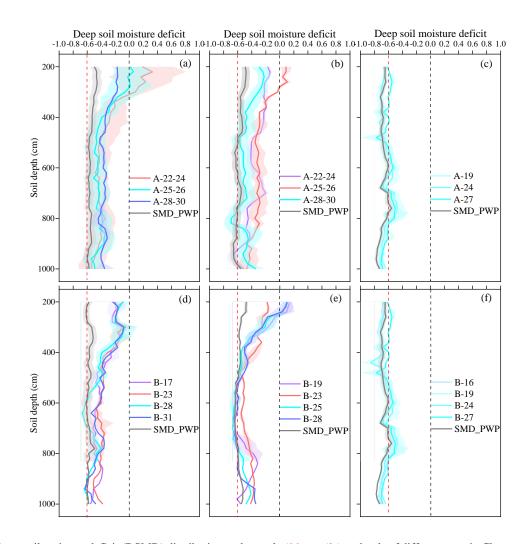


Figure 4: Deep soil moisture deficit (DSMD) distribution under apple (<u>M. pumila</u>) orchards of different ages in Changwu (a), Luochuan (b) and Mizhi (c); and <u>SMD-soil moisture deficit</u> under black locust (<u>R. pseudoacacia</u>) forests of different ages in Changwu (d), Yan'an (e) and Mizhi (f). The capital letters A and B in the legend represent <u>M. pumila</u> and <u>R. pseudoacacia</u> apple and black locust, respectively, and the numbers after the letters represent tree age, for example, A-22 represents 22-year-old <u>M. pumila</u> apple. (a) and (b) come from data extracted from literature, while (c)-(f) are both field measured data. In order to reduce the randomness caused by different sampling years and locations between the extracted data, the data for <u>M. pumila</u> apple trees with similar ages in Changwu (a) and Luochuan (b) were combined. The gray line indicates <u>soil moisture deficitSMD</u> at permanent wilting point (SMD\_PWP) in each sampling site, and the red dashed line indicates the <u>soil moisture deficitSMD</u> equal to -0.6. The shaded portion corresponding to each line represents the positive and negative values of the standard deviation.

#### 3.2.2 Depth limitation of <u>deep-layer soil desiccation</u>DSD

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regions after the moisture limitation of <u>deep-layer soil desiccation</u> DSD was reached (Fig. 5). With an increase in depth, the soil moisture of plantations was close to or even higher than that of the control, except for the *R. pseudoacacia* forestsBLF in Mizhi. Thus, we calculated the difference significance of soil moisture between the plantations and control using the paired sample *t*-test, treating the points with no significant (p>0.05) soil moisture difference as the maximum water uptakeRWU depth. And it reached 18.0-22.0 m for <u>*M. pumila*APO orchards</u> at different sites; and 18.4-18.8 m for <u>*R. pseudoacacia*</u> forestsBLF in Changwu and Yan'an while in Mizhi it was deeper than 25 m.

Based on the measured and literature data, we analyzed the water consumption depth limitation of plantations in different

Soil moisture (%) 10 15 20 25 Soil moisture (%) 15 20 25 Soil moisture (%) 10 15 20 25 30 10 30 5 30 5 0 ş ns ns 200 \*\* ns 400 ns \*\*: 600 \*\*: \*\*\* 800 \*\* (iii) 1000 1200 1400 1400 \*\*1 \*\*\* \*\*\* \*\*: \*\*\* \*\* ns \*\*: \*\* \* \* 1 ns 1600 \*\* \*\* 1800 ND A-25 ND ns ns ns ND -ND 2000 A-28 A-24 ns ns ns 2200 A-22 ns ns ns (a) 2400 (b) (c) 0 200 400 \* \*\* \*\*: \*\*\* 600 \*\*\* 800 \*\* \*\*: Soil depth 1000 1400 1600 \*\*\* \*\* \*\*\* \*\*: \*\* \*\*\* \*\*\* \*\* \*\* 1800 ns ns \*\*\* 2000 CD CD CD ns ns \*\*\* ns 2200

(e)

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B-25

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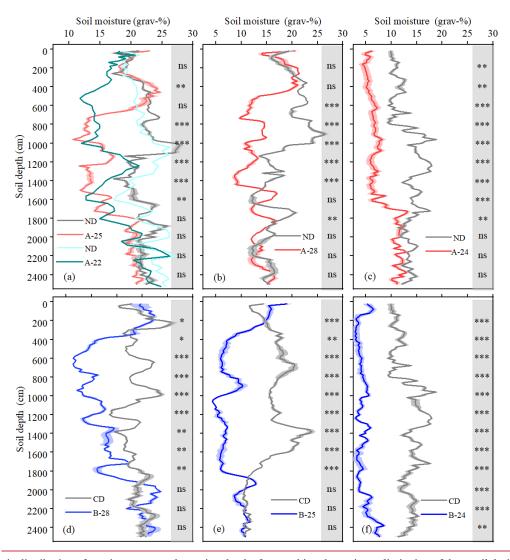
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2400

(d)



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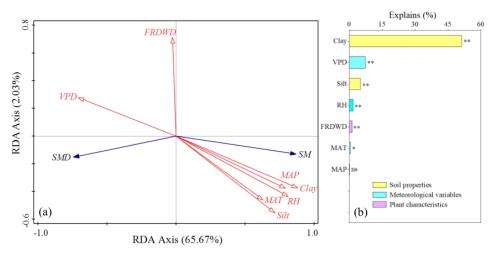
**Figure 5:** Dynamic distribution of maximum water absorption depth after reaching the moisture limitation of deep soil desiccation. The data of 22-year-old apple (*M. punila*) and cropland (dark green) in (a) belong to literature extraction data, and other data are field measured data. The capital letters A and B in the legend represent *M. punila* apple and black locust (*R. pseudoacacia*), respectively, and the numbers after the letters represent tree age, for example, A-22 represents 22-year-old *M. punila* apple. (a) and (d) indicate Changwu; (b) and (e) indicate Luochuan and Yan'an, respectively; (c) and (f) indicate Mizhi. Gray line represents soil moisture (grav-%) of grassland (CD) or farmland (ND). \*\*\*, \*\*, \* and ns in the gray bands indicate the significance level of measured data obtained by the paired sample *t*-test for *p*<0.001, *p*<0.05 and no significant differences, respectively. The shaded portion corresponding to each line represents the positive and negative values of the standard deviation.

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### **3.3 Effect of factors on the limitations**

Based on all extracted and measured data, including <u>soil moisture deficit</u>SMD, soil moisture, soil texture (clay, silt), and <u>fine</u> <u>root dry weight densityroot biomass (FRDWD)</u> along with ERA5-Land meteorological data (*MAP*, mean annual temperature (MAT), relative humidity-(RH), vapor pressure deficit (*VPD*)), the redundancy analysis (RDA) was used to analyze the key factors that determine the moisture and depth limitations of <u>deep-layer soil desiccationDSD</u> (Fig. 6). The RDA result showed that the explanatory variables (soil properties, meteorological variables and plant characteristic) account for 67.7% of the total variation of soil moisture and <u>soil moisture deficitSMD</u>. <u>soil moisture deficitSMD</u> was significantly (*p*<0.01) positively correlated with *VPD*, and negatively correlated with clay, silt, <u>relative humidityRH</u>, <u>mean annual temperatureMAT</u>, and *MAP*  (Fig. 6a). The clay, *VPD*, silt, <u>relative humidity</u>RH, and <u>fine root dry weight density</u>FRDWD provided statistically significant (p<0.01) explanations for the distribution of <u>soil moisture deficit</u>SMD and soil moisture (Fig. 6b), the clay content determines the lower limit of water that plants can uptake through its adsorption of water molecules. Thus, soil texture and climatic conditions were the main factors leading to the limitations of <u>deep-layer soil desiccation</u>DSD in different regions. However, the relationship between *MAP* and <u>soil moisture deficit</u>SMD was not significant, which may be due to the *MAP* of each region weakened the differences of precipitation in each sampling year.



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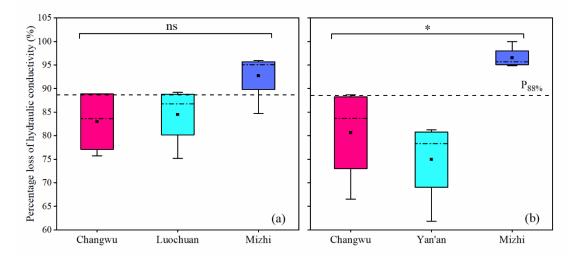
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**Figure 6:** (a) the redundancy analysis (RDA) of "response variable (soil moisture deficit (SMD), soil moisture (SM, grav-%)) and environmental factors" is based on literature and field measured data. Descriptors (red arrows) are soil properties (clay and silt), meteorological variables (vapor pressure deficit (*VPD*, <u>kPa</u>), mean annual precipitation (MAP, <u>mm</u>), relative humidity (RH), and mean annual temperature (MAT,  $^{\circ}$ C)), and plant characteristic (fine root dry weight density (FRDWD, <u>g m</u><sup>-3</sup>)). Axis 1 (65.67%, *p*<0.01) and Axis 2 (2.03%, *p*<0.01) are shown. (b) explanation provided by of each environmental variable for the response variables. \*\*, \*, and ns following the bar chart indicate that *p*<0.01, *p*<0.05, and no significant, respectively.

### 3.4 Effect of the deep-layer soil desiccation DSD limitations on hydraulic conductivity

To further explore how the moisture and depth limitations of <u>deep-layer soil desiccationDSD</u> affects the hydraulic conductivity in plants, we compared hydraulic conductance of branches from plantation trees at different sites in relation to the moisture and depth limitations of <u>deep-layer soil desiccationDSD</u> (Fig. 7). The <u>NPLC</u> was higher than 50% for all tree species and regions. Additionally, the <u>NPLC</u> of <u>R. pseudoacacia treesblack locust</u> in Mizhi was significantly higher than that in other places (p<0.05), indicating that the risk of mortality for <u>R. pseudoacacia black locust</u> in Mizhi is greatest when <u>deep-layer soil</u> <u>desiccationDSD</u> reaches the moisture and depth limitation thresholds.



370 Figure 7: Differences in percentage loss of hydraulic conductivity of annual branches of apple (a) and black locust (b) measured in different regions (Changwu, Luochuan, Yan'an, and Mizhi). \* and ns indicate that the significance level is p<0.05 and there is no statistically significant difference, respectively. The nsample in Figures (a) and (b) are 54, respectively.

#### Discussion 4

### 4.1 The mechanism underlying for the moisture and depth limitations

- 375 Although DSM (>200 cm) decreased with an increase of planted trees age, and even reached the lowest limitation, shallow soil moisture (<200 cm) did not change significantly (Fig. 2 and 4). This is ascribed to surface or shallow layer soil moisture, which is usually greatly influenced by rainfall infiltration and evapotranspiration. However, DSM is often in a negative balance, being consumed by deep-rooted trees and unable to be replenished for a long time (Fang et al., 2016; Gao et al., 2020). As the critical water resource for plants to cope with extreme droughts, DSM has reached its lowest limitations in the study area, 380 seriously threatening the sustainability of tree growth under future climate conditions.
- In our study, the lowest DSMD fluctuated around -0.6 irrespective of tree species and site (Fig. 4). This contradicts the assumption that moisture limitation of deep-layer soil desiccation DSD is dependent on tree species, which is mainly because the climate conditions and soil texture differ between regions (Fig. 6). The precipitation in the LOP showed a decreasing trend from southeast to northwest, the clay content also follows this trend (Wang et al., 2011). When the soil texture is coarser, it has 385 a larger higher (less negative) matric potential or smaller suction under the same soil moisture conditions (Dexter, 2004), resulting in a larger higher matric potential gradient around fine roots in areas with less rainfall-(LRAs), thus effectively enhance soil water availability. Based on the results of this study and opinions from literature, Fig. 8 shows the mechanism by which plants regulate the moisture and depth limitations of deep-layer soil desiccation DSD. Soil water potential, when the soil hydraulic conductivity ( $K_s$ ) drops to lower values than the root hydraulic conductivity ( $K_r$ ), can be regarded as the critical soil 390 matric potential, at which the soil begins to limit the water flux in the soil-plant continuum (Fig. 8; Cai et al., 2022). Thus, the soil with coarser texture has higher  $K_s$  when soil starts limiting trees' RWU. Correspondingly, the  $K_r$  value is higher in areas

with less rainfall, which may be maintained by allocating more biomass to fine roots (Fig. 8), than that in areas with more <u>rainfall</u>, causing the corresponding  $K_r$  in LRAs to be higher than that in areas with more rainfall (MRAs) by allocating more biomass to fine root (Fig. 8). In addition, VPD in the areas with less rainfallLRAs is relatively high, and the transpiration

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traction force increases (Will et al., 2013; Hayat et al., 2019), causing a larger water potential gradient between roots and leaves under the same soil moisture, and thus driving roots to absorb more moisture. Thus, although the physiological and growth characteristics of <u>M. pumilaapple</u> and <u>R. pseudoacaciablack locust</u> in different regions were obviously different (Table 2; Fig. 7), the lowest DSMD of all tree species at each site both fluctuated around-0.6. This indicates that the lowest-amount of soil moisture absorbed by plants is determined by VPD and soil water availability, which is mainly affected by the precipitation 400 and soil texture structure (Wu et al., 2015). Notably, the lowest DSMD was very close to the DSMD value corresponding to the local PWP (Fig. 4). The reason may be that different plant species wilted at similar moisture content in soils with low clay content, although the physiological wilting of plants is both plant species and soil dependent (Torres et al., 2021). In addition, the texture down the loss profile in the same area is relatively uniform, especially in the deep (>2 m) soil profile, which is less affected by surface biochemical processes. Therefore, the temporal and spatial variability of PWP of deep soil is small, 405 which is one of the reasons why the lowest DSMD was close to DSMD at the local PWP. In conclusion, our study confirms that *PWP* is a good indicator of the moisture limitation of deep-layer soil desiccation DSD for the tree species examined. These findings provide important information for the sustainable development of plantations on the LOP.

After soil water use in the profile reaches the moisture limitation, plants extend their roots into the deep soil to expand their water-hunting range during the dry season (Fig. 8; Ivanov et al., 2012). Our results show that the maximum RWU depth reached 410 18.0-22.0 m for <u>M. pumilaAPO</u> at different sites, and 18.4-18.8 m for <u>R. pseudoacacia forestsBLF</u> in Changwu and Yan'an, while in Mizhi it was more than 25 m (Fig. 5). The above and under ground biomass allocation mechanism of plantations determines their root to shoot ratios (Mokany et al., 2005). Thus, the FRDWD of the plantation indicates that their water absorption range can only reach 18.0 22.0 m. Furthermore, rRoots can no longer extract moisture after reaching a certain depth, probably because the hydraulic path of water transport in the soil-plant-atmosphere continuum is too long and the water 415 potential gradient is insufficient to drive water transport upwards (Ahmed et al., 2014). However, the water consumption depth of *R. pseudoacacia* forestsBLF in Mizhi was more than 25 m. Wang et al. (2009) also found that the maximum water consumption depth of the artificial Caragana microphylla forest in the semi-arid region was 22.5 m, indicating that chronic water shortages encourage planted trees to absorb water by growing roots downwards in the semi-arid region. Thus, the RWU depth of plantations in other regions has reached its maximum depth, even though *R. pseudoacacia* forestsBLF in areas with 420 less rainfall<del>LRAs</del> can obtain water through root extension. The above- and under-ground biomass allocation mechanism of plantations determines their root-to-shoot ratios (Mokany et al., 2005). Thus, the fine root dry weight density of the plantations in Changwu and Yan'an indicates that their water absorption range can only reach 18.0-22.0 m.

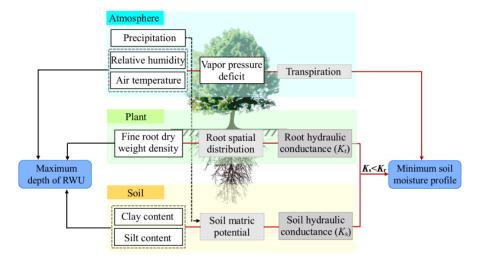


Figure 8: Regulatory mechanism of the extreme influence of root water uptake (RWU) on deep soil moisture based on literature and field 425 measured data. The parameters of soil-plant-atmosphere continuum (SPAC) in the white rectangle are quantized, the gray rectangle represents the intermediate variables that the parameters of SPAC cause the result of minimum soil moisture profile (moisture limitation), the blue rounded rectangle represents the limitation of moisture and maximum depth of RWU (depth limitation). The red arrow, the black solid arrow and the black dotted arrow indicate that the parameters of SPAC have a significant (p<0.05) effect on the moisture limitation, have an influence on the depth limitation, and have an indirect effect on two results, respectively.

### 430 **4.2 Effects of the limitations on the hydraulic conductivity of plantations**

The result showed that branches xylem of similar aged trees suffered embolism to different degrees when the moisture and depth limitations of deep-layer soil desiccation DSD was reached (Fig. 7). This is consistent with the hypothesis that the hydraulic conductivity of planted trees can be greatly reduced by severe <u>deep-layer soil desiccationDSD</u>. The reason for this result is that dried soil seriously restricts the process of root water uptake<u>RWU</u>, and water absorption of trees is difficult to 435 meet the needs of aboveground metabolism transpiration, resulting in the leaf stomata close to prevent excessive reduction of water potential (Yang et al., 2022)interruption of the water column in the xylem vessels during prolonged dry periods, and thus PLC increases (McDowell et al., 2018; Yang et al., 2022). Subsequently, the water column in the xylem vessels is interrupted as DSM approaches PWP, and thus NPLC increases during prolonged dry periods (McDowell et al., 2018) the leaf stomata close to prevent hydraulic failure as DSM approaches *PWP*. How long can\_ the planted trees can\_survive after this depends 440 solely mainly on the water pools of themselves and their capacitance within their tissues' tolerance for drought stress and water loss (McDowell et al., 2022). And then finally rely on whether the NPLC reaches the point of no return or the non-structural carbohydrates stored within the trees are depleted. Nevertheless, eEven though DSM of all regions both have been close to the local PWP, NPLC varied greatly between different climate zones (Fig. 7), mainly caused by the difference of precipitation as well as about time and amount for restoring shallow soil moisture. This suggests that the decrease in xylem hydraulic 445 conductivity caused by the limitations of deep-layer soil desiccation DSD may be repaired after entering the rainy season for the studied species plantations in Changwu and Yan'an. The <u>NPLC</u> of <u>R. pseudoacaciablack locust</u> plantation in Mizhi, however, was significantly (p < 0.05) higher than that of other regions, and restoration of embolismIt was more too difficult to restore due to limited rainfall supply and extreme dry deep soil. Thus, the embolism in the conduit accumulated continuously as the water pool became exhaustible causing the top tip of the distal water supply began to diesevere and large-scale canopy

450 <u>die-back-due to hydraulic failure of tissues</u> (Fig. S4; Arend et al., 2021). Even though the *NPLC* value of *R. pseudoacacia* plantation may be higher due to the sample length (3-5 cm) in the XYL'EM measurement is shorter than the maximum vessel length, the result is consistent with trees with most, or all, of their foliage dead exhibited high rates of native embolism (78– 100%) (Nolan et al., 2021). This indicates that mature (>24 year) plantations on the LOP are already facing a great risk of dieback and even death in semi-arid regions similar to Mizhi.

### 455 **4.3 Limitations and practical implications**

Sampling the same tree species in different regions cannot guarantee the same tree age due to objective factors such as differences in the time of planting and vegetation succession. Additionally, collecting large amounts of DSM\_data requires a great deal of resources, and the number of samples available in this study is limited. Thus, the results of this study need to be verified in-depth across different tree ages and regions to guide vegetation management under local conditions.

460 Large numbers of tree deaths caused by prolonged drought are of great concern because trees play a critical ecological role and have the largest biomass and carbon storage (Feng et al., 2016; Bai et al., 2021). Thus, it is essential to understand the moisture and depth limitations of deep-layer soil desiccation DSD to maintain the sustainability of vegetation restoration under future climatic drying. Our study shows that 24-28-year-old plantations, both *M. pumila*APO and *R. pseudoacacia* forestsBLF, have reached the moisture limitation of deep-layer soil desiccation DSD; and the maximum DSMD is about -0.6, which 465 corresponds to the DSMD at local PWP, irrespective of tree species and site. This confirms that PWP is a good indicator of the moisture limitation of deep-layer soil desiccation DSD for the tree species examined. When the precise wilting point of deep soil in different regions is difficult to determine, the lowest DSMD (0.6) can be used as an index to judge whether DSM can sustain the survival of the typical plantations on the LOP during prolonged drought. Furthermore, except for the <u>R</u>. pseudoacacia forestsBLF in Mizhi, all plantations have reached the depth limitation of deep-layer soil desiccationDSD. After 470 reaching the moisture and depth limitation thresholds, plantations of different sites suffer varying degrees of embolism. Therefore, plantations of 24 years and above face the greatest risk of dieback and death in drought years or prolonged dry periods, especially in semi-arid regions like Mizhi. This study provides practical insights into the sustainable development of vegetation restoration on the LOP under future extreme climate conditions.

### 5 Conclusions

475 Deep soil moisture (DSM) plays an important role in maintaining the survival of deep-rooted plantations during prolonged dry periods on the water-limited Loess Plateau. Our results show that the lowest deep soil moisture deficit (DSMD) was close to the SMD at the permanent wilting point<u>PWP (SMD\_PWP)</u>, irrespective of tree species and site. This confirms that PWP is a

good indicator of the moisture limitation of deep soil desiccation for <u>M. pumilaapple</u> and <u>R. pseudoacacia black locust</u> trees. The corresponding maximum water consumption depth reached 18.0-22.0 m for <u>M. pumilaapple trees orchards</u> at different sites, and 18.4-18.8 m for <u>R. pseudoacacia forestblack locust</u> in Changwu and Yan'an, while it was more than 25 m in the drier site of Mizhi, thus relying on the availability of DSM\_and the ability of deep roots to transport water. Furthermore, when the moisture and depth limitation thresholds were reached, the <u>native</u> percentage loss of hydraulic conductivity of planted trees' branches was between 74.9% and 96.5%, indicating that dieback and tree death may occur. Our study provides insights into the management and sustainable development of revegetated sites on the water-limited regions including the Loess Plateau.

### 485 Data availability

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The basic data, including extracted and measured set of soil moisture, environmental factors, and percentage loss of hydraulic conductivity of trees' branches, are available for download at https://doi.org/10.5281/zenodo.7412472.

#### **Author contributions**

XG and XZ conceived the study; NH, DG, YW and DG performed field experiments and collected the data; NH performed the analysis and prepared the first draft of the manuscript with the help of LT and LZ; XG edited and commented on the manuscript.

### **Competing interests**

The authors declare that they have no conflict of interest.

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