



1                   **Statistical characteristics of raindrop size**  
2                   **distribution during rainy seasons in Complicated**  
3                   **Mountain Terrain**

4                   Wenqian Mao<sup>1,2,3</sup>, Wenyu Zhang<sup>1,2,3\*</sup>, Menggang Kou<sup>1</sup>

5                   1. School of Geoscience and Technology, Zhengzhou University, Zhengzhou, 450001, China

6                   2. Key Laboratory for Cloud Physics, Chinese Academy of Meteorological Sciences, Beijing

7                   100081, China

8                   3. College of Atmospheric Sciences, Lanzhou University, Lanzhou, 730000, China

9                   Correspondence to: Wenyu Zhang (zhangwy@zzu.edu.cn)

10                  **Abstract:** In order to understand the differences of raindrop size distribution (DSD) in  
11                  complex mountainous terrain, the characteristics of DSD were analyzed by using the  
12                  six-months observation data at the southern slopes, northern slopes and inside in Qilian  
13                  Mountains. For all rainfall events, the number concentration of small and large  
14                  raindrops on the inside and south slope are greater than that on the north slope, but  
15                  midsize raindrops are less. The DSD spectrum of inside mountains are more variable  
16                  and significantly differ from the north slopes. The differences in normalized intercept  
17                  parameters of DSD for stratiform and convective rainfall are 8.3% and 10.4%,  
18                  respectively, and mass-weighted diameters are 10.0% and 23.4%, respectively, which  
19                  the standard deviation of DSD parameters on inside sites are larger. The differences in  
20                  coefficient and exponent of Z-R relationship are 2.5% and 10.7%, respectively, with an  
21                  increasing value of coefficient from the south slope to the north slope in stratiform  
22                  rainfall but opposite to convective rainfall. In addition, the DSD characteristics and Z-  
23                  R relationships are more similar at the ipsilateral sites and have smaller differences  
24                  between the south slope and inside mountains.

25

26                  **Keywords:** *Raindrop size distribution; Complicated mountain terrain; characteristic*  
27                  *difference*

28



## 29 1 Introduction

30 Raindrop size distribution (DSD), the number of raindrops per drop size per unit  
31 volume, is an important parameter to statistically describe the microstructure of  
32 precipitation (Bringi et al., 2003; Ma et al., 2019a). The measurement of DSD can  
33 provide some fundamental information such as raindrop size (D), liquid water content  
34 (W), rain rate (R), radar reflectivity factor (Z) and so on, which has an essential  
35 contribution to improving quantitative precipitation estimates (QPE) using weather  
36 radar and satellite observations (Adirosi et al., 2018; Jash et al., 2019). The  
37 parameterization of DSD can obtain the distribution model parameters of DSD in  
38 different rain types, which is significant to advance microphysics parameterization in  
39 numerical weather prediction (NWP) models (Wainwright et al., 2014; McFarquhar  
40 et al., 2015; Zhao et al., 2019). In addition, understanding the DSD is crucial in many  
41 application fields concerning hydrology, agriculture, soil erosion and microwave  
42 communication (Rincon et al., 2002; Smith et al., 2009; Angulo-Martínez et al., 2015;  
43 Lim et al., 2015; Yang et al., 2016).

44 Numerous studies have been carried out the statistical characteristics of DSD in  
45 different regions (Campos et al., 2006; Seela et al., 2017; Dolan et al., 2018; Protat  
46 et al., 2019; Loh et al., 2019; Jash et al., 2019). It is shown that the number concentration  
47 and size of raindrops increase with rain rate and so DSD becomes higher and wider.  
48 The characteristics in different rain types display that the mass-weighted mean diameter  
49 (i.e.,  $D_m$ ) and normalized intercept parameter (i.e.,  $N_w$ ) of convective rainfall (CR)  
50 are larger than those of stratiform rainfall (SR). Furthermore, these studies also reveal that  
51 there are more differences in characteristics of DSD. Dolan et al. (2018) divided global  
52 DSD characteristics into 6 types by using 12 datasets across three latitudes and found  
53 centralized regions and DSD parameters of 6 types varied in location. The average  
54 number of raindrops in central Korea were usually more numerous than that in southeast  
55 under three rainfall systems, especially drops on 0.31-0.81mm diameter range (Loh et  
56 al., 2019). According to the DSD results from Tibetan Plateau (TP), it showed the  
57 eastern regions had higher number concentration of raindrops on 0.437-1.625mm  
58 diameters and more variation on different diameters than that in central regions (Wang  
59 et al., 2020). Compared to eastern China and northern China, the DSD in southern China  
60 demonstrated a higher number concentration of relatively small-sized drops,  
61 respectively (Zhang et al., 2019). The comparison of Z-R relationship (defined as  
62  $Z=AR^b$ ) indicated that coefficient decreased with increasing R in southern TP, which is  
63 opposite in south China (Wu et al., 2017). For the DSD parameters of SR and CR, there  
64 are various changes between the lower reaches and middle reaches of Yangtze River  
65 (Fu et al., 2020).

66 As reported in above studies, DSD characteristics significantly vary with factors  
67 such as geographical location, climatic region and rain types. Pu et al. (2020) analyzed  
68 the DSD characteristics of five sites in Najing city and found  $N_w$  of DSD was largest at  
69 site near industrial areas but  $D_m$  of DSD was largest at site near city's centre. In other  
70 words, even at urban scale, there are still differences to microphysical characteristics  
71 reflected by the DSD which is due to the influence of the surrounding environment.  
72 Then how do the characteristics of DSD vary from location for the complicated



73 mountain terrain? Rao et al. (2006) suggested that the obvious variation in DSD with  
74 altitude were related to evaporation and breakup by comparing the DSD parameters at  
75 different altitudes. Geoffroy et al. (2014) concluded that the total concentration of  
76 raindrops decreased while the average drop size increased as decreasing altitude, which  
77 used aircraft observations. Then how large would be the differences in DSD at different  
78 altitudes in mountainous region? And then how significant would be the effects of these  
79 differences?

80 Qilian mountains, a series of marginal mountains in the northeastern part of TP,  
81 are the vitally important ecological protection barrier in northwest arid areas, which  
82 block the connection of deserts and wilderness in the northwest (shown as Figure 1).  
83 The mountains form several inland rivers that are important water source for the  
84 northwest arid areas and have made a considerable contribution to regional economic  
85 development (Gou et al., 2005; Tian et al., 2014; Qin et al., 2016). In this paper, we  
86 choose Qilian mountains as the research object and select 6 sites with different  
87 backgrounds representing the southern slopes, northern slopes and inside of Qilian  
88 mountains. To thoroughly investigate the discrepancies in the complicated mountain  
89 terrain, the DSD characteristics and Z-R relationships are comprehensively analyzed  
90 according to different rain types based on continuous disdrometer observations in rainy  
91 season. The primary goal is to obtain the finer precipitation of Qilian mountains and  
92 improve the accuracy of QPE, which would be as research foundation for developing  
93 cloud water resources in mountainous areas.

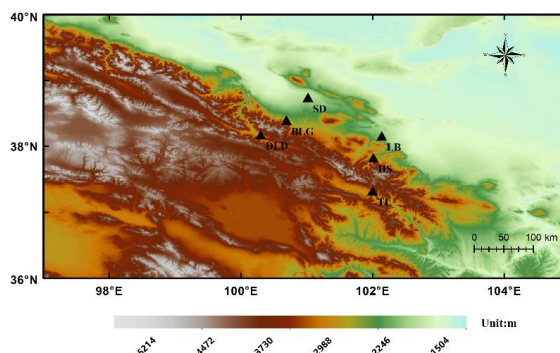
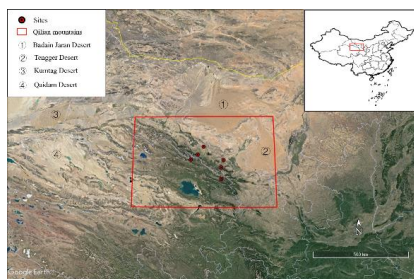
## 94 **2 Data and method**

### 95 **2.1 Sites and instruments**

96 The eastern and middle sections of the Qilian Mountains were chosen as the main  
97 study area, taking into account that several important inland rivers originating from  
98 these areas of Qilian Mountains (Li et al., 2019). Six disdrometers were deployed on  
99 the southern slopes, northern slopes and inside (close to the ridge) of Qilian mountains,  
100 with three sites in the eastern section which called Taola (TL), Huangchengshuiguan  
101 (HS), and Liuba (LB) from south to north, and with another three sites in the middle  
102 section which called Daladong (DLD), Boligou (BLG), Shandan (SD) from south to  
103 north. The background of Qilian Mountains is shown on the satellite map, and the six  
104 sites are marked on the topographical map, as Figure 1. The distances between six sites  
105 are listed in Table 1. The sites on the south, north and inside are basically parallel to the  
106 trend of the mountain, and the sections formed by the sites in the east and middle are  
107 basically perpendicular to the trend of the mountain. Through the historical weather  
108 review and rain gauge observation results, the rainy season at the six sites is  
109 concentrated in May to October, with more precipitation in July, August and September.



110



111

112 Fig.1 The Geographical overview of Qian mountains and the disdrometer sites; the  
 113 circles or triangles represent the location of the sites. The map above is from Google  
 114 Earth © Google Earth

115 Table 1 Location between every two sites (latitude, longitude, sea level height and  
 116 distance information).

| Six sites distance (km)       | LB | HS   | TL   | SD    | BLG   | DLD   |
|-------------------------------|----|------|------|-------|-------|-------|
| LB (38.16°N, 102.14°E, 1926m) | -  | 39.6 | 94.3 | 116.0 | 129.6 | 161.1 |
| HS (37.83°N, 102.01°E, 2342m) | -  | -    | 55.6 | 135.1 | 132.8 | 154.9 |
| TL (37.33°N, 102.00°E, 2910m) | -  | -    | -    | 182.4 | 167.3 | 177.0 |
| SD (38.80°N, 101.08°E, 1765m) | -  | -    | -    | -     | 54.2  | 96.8  |
| BLG (38.4°N, 100.69°E, 2455m) | -  | -    | -    | -     | -     | 43.3  |
| DLD (38.18°N, 100.3°E, 2957m) | -  | -    | -    | -     | -     | -     |

117 This experiment used an optical, laser-based device to measure DSD, called DSG4  
 118 disdrometer, which met the assessment of Functional Specification Requirements For  
 119 Disdrometer issued by the China Meteorological Administration. The disdrometer has  
 120 the HSC-OTT Parsivel2 sensor as observation part manufactured by OTT Messtechnik  
 121 (Germany) and Huatron (China). When raindrops pass through the horizontal flat laser  
 122 beam generated by the transmitting part of the instrument, it causes the signal  
 123 attenuation in the laser observation area. The raindrop size is determined by the degree  
 124 of signal attenuation and the falling speed is recorded by the transit time. The sampling  
 125 time is 60s and the velocity and drop sizes are divided into 32 non- equally spaced bins,  
 126 varying from 0.05 to 20.8 m s<sup>-1</sup> for velocity and 0.062 to 24.5 mm for drop diameter.



## 127 2.2 Quality control of the data

128 It is necessary to carry out quality control on the data due to potential instrument  
 129 error. Every minute of DSD has been carefully processed, which collected by the six  
 130 DSG4 disdrometers from May to October 2020. The following criteria have been  
 131 employed in choosing data for analysis (Zhang et al., 2019). (1) The first two size bins  
 132 were ignored because of low signal-to-noise ratio; (2) samples with 1-min total number  
 133 of raindrops less than 10 or rain rate at moment of discontinuous observation less than  
 134  $0.1 \text{ mmh}^{-1}$  were regarded as noise; (3) raindrops at the diameter of more than 8 mm  
 135 were eliminated; (4) raindrop with a falling terminal velocity  $V(D_i)$  that deviates from  
 136 the empirical terminal velocity  $V_{emp}(D_i)$  more than 40% were removed (Kruger and  
 137 Krajewski, 2002); and (5) samples with less than 5 bins after the correction of falling  
 138 terminal velocity were deleted because its DSD can't be determined with too few bins.

$$139 \quad |V(D_i) - V_{emp}(D_i)| < 0.4V_{emp}(D_i) \quad (1)$$

140 where  $V_{emp}(D_i) = 9.65 - 10.3\exp(-0.6D_i)$  ( $D_i$  is the mean volume-equivalent  
 141 diameter of the  $i$ th size category), as derived from the formula given in Atlas et al.  
 142 (1973).

## 143 2.3 Integral parameters of rainfall

144 The basic observations obtained by disdrometer is counts of raindrops at each  
 145 diameter and velocity. And the diameters given by disdrometer are the mid value of two  
 146 adjacent bins, which we take the diameters as the corresponding endpoint bin values.  
 147 The velocities are weighted average velocity class over the corresponding disdrometer.  
 148 The raindrop number concentration  $N(D_i)$  ( $\text{m}^{-3}\text{mm}^{-1}$ ) in the  $i$ th size bin per unit volume  
 149 per unit size interval for diameter is calculated the following equation:

$$150 \quad N(D_i) = \sum_{i,j=1}^{32} \frac{n_{i,j}}{A \cdot \Delta t \cdot V_j \cdot \Delta D_i} \quad (2)$$

151 Where  $n_{i,j}$  is the counts of raindrops measured by disdrometer within the size bin  $i$  and  
 152 velocity bin  $j$  during sampling time  $\Delta t$ ;  $A$  and  $\Delta t$  are the sampling area ( $0.0054 \text{ m}^2$ ) and  
 153 sampling time (60 s), respectively;  $V_j$  ( $\text{m s}^{-1}$ ) is the mid-value falling speed for velocity  
 154 bin  $j$ ;  $\Delta D_i$  is the diameter spread for the  $i$ th diameter bin.

155 Some integral rainfall parameters, such as total number concentration  $N_t$  ( $\text{m}^{-3}$ ), rain  
 156 rate  $R$  ( $\text{mm h}^{-1}$ ), radar reflectivity factor  $Z$  ( $\text{mm}^6 \text{ m}^{-3}$ ) and liquid water content  $W$  ( $\text{g}$   
 157  $\text{cm}^{-3}$ ), can be derived by the following equation:

$$158 \quad N_t = \sum_{i=1}^{32} N(D_i) \Delta D_i \quad (3)$$

$$159 \quad R = \frac{6\pi}{10^4 \rho_w} \sum_{i=1}^{32} V(D_i) D_i^3 N(D_i) \Delta D_i \quad (4)$$

$$160 \quad Z = \sum_{i=1}^{32} N(D_i) D_i^6 \Delta D_i \quad (5)$$



$$161 \quad W = \frac{\pi \rho_w}{6 \times 10^3} \sum_{i=1}^{32} D_i^3 N(D_i) \Delta D_i \quad (6)$$

162 where  $\rho_w$  is water density ( $1.0 \text{ gcm}^{-3}$ );  $V(D_i)$  is the falling speed measurements from  
 163 disdrometer. In this study, when calculating rain rate we use  $V_{\text{emp}}(D_i)$  to replace  $V(D_i)$   
 164 because of measurement error, particularly at larger bins and faster falling speeds.

165 The DSD characteristics can be described by three-parameter gamma distribution  
 166 in following form. And it has better capability than M-P distribution to describe the  
 167 broader variation of DSD fluctuations, which has been proven to be well fitted the main  
 168 part of spectra and reduce the fitting error on small and large scale.

$$169 \quad N(D) = N_0 D^\mu \exp(-\Lambda D) \quad (7)$$

170 where  $N(D)$  is the raindrop number concentration;  $D$  is the raindrop bins with unit mm;  
 171  $N_0$ ,  $\mu$  and  $\Lambda$  are intercept, shape and slope parameter from three parameters of gamma  
 172 model which can be derived from gamma moments or least square method, respectively.  
 173 When  $\mu=0$ , it degenerates into M-P DSD model.

174 Although, three-parameter gamma distribution is commonly accepted model, the  
 175 normalized gamma model has been widely adopted with its independent parameters  
 176 and clear physical meaning as follows:

$$177 \quad N(D) = \frac{3}{128} N_w \left[ \frac{(4 + \mu)^{(4+\mu)}}{\Gamma(4 + \mu)} \right] \left( \frac{D}{D_m} \right)^\mu \exp \left( -\frac{(4 + \mu)D}{D_m} \right) \quad (8)$$

178 Where  $\mu$  is the shape parameter in dimensionless;  $D_m$  (mm) is the mass-weighted mean  
 179 diameter and  $N_w$  ( $\text{m}^{-3} \text{ mm}^{-1}$ ) is the normalized intercept parameter computed from  $D_m$ .  
 180 The form is as follows:

$$181 \quad D_m = \frac{\sum_{i=1}^{32} N(D_i) D_i^4 \Delta D_i}{\sum_{i=1}^{32} N(D_i) D_i^3 \Delta D_i} \quad (9)$$

$$182 \quad N_w = \frac{4^4}{\pi \rho_w} \left( \frac{10^3 W}{D_m^4} \right) \quad (10)$$

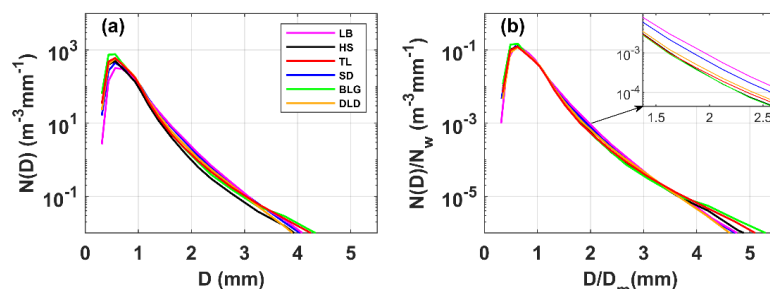
### 183 3 DSD parameter characteristics

#### 184 3.1 Characteristics of DSD

185 The number of 1 min DSD spectra from six sites have been selected after data  
 186 quality control covering the rainy season (May-October) in the Qilian Mountains region  
 187 in 2020, which are accounted for 87.9%, 85.8%, 84.5%, 91.2%, 80.6%, 86.5% of the  
 188 total number of samples to LB, HS, TL, SD, BLG, DLD, respectively. Figure 2a shows  
 189 the mean DSDs for the six districts in Qilian mountains. The maximum concentration  
 190 of raindrops is around on 0.562mm diameter and the maximum number concentration  
 191 values of sites are BLG>TL>DLD>HS>SD>LB. As the increasing diameter, the  
 192 number concentration values decrease and the concentration values are  
 193 LB>SD>DLD>TL>BLG>HS at around 2 mm diameter. When the diameter is larger  
 194 than 4 mm, the concentration of TL, BLG and HS are relatively high. In this study, it is  
 195 roughly divided into small raindrops (less than 1 mm in diameter), midsize raindrops



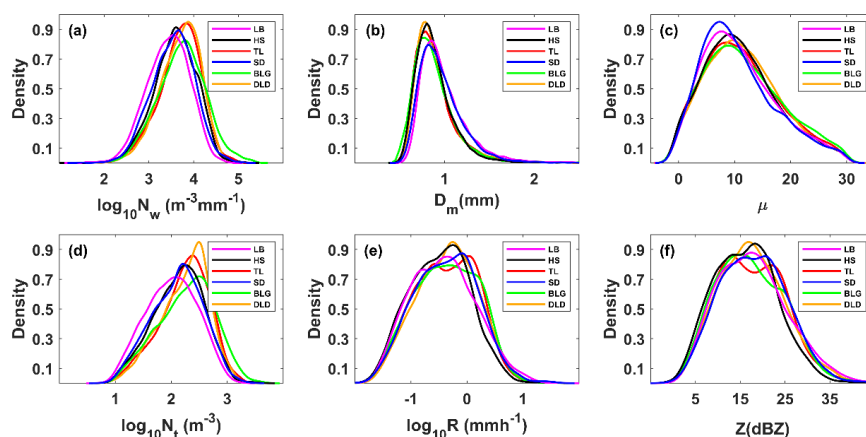
196 (1-3 mm) and large raindrops (greater than 3 mm) to easily describe the difference of  
197 DSDs (Ma et al., 2019b; Pu et al., 2020). To highlight the DSD differences caused by  
198 background environment, Figure 2b shows the mean DSDs normalized with  $N_w$  and  $D_m$   
199 results for sites. Compared with Figure 2a, the characteristics of the raindrops are more  
200 consistent across sizes, while the differences between the above sites are more  
201 pronounced, especially in the medium and large raindrops, which truly reflects the DSD  
202 differences caused by location variability. Combining the characteristics of the  
203 geographical environment of the six sites, we can analyze some differences in DSD  
204 characteristics in Qilian Mountains. For small raindrops, the number concentrations on  
205 the inside and southern slopes districts are greater than that on the northern slopes; for  
206 midsize raindrops, the number concentrations decrease sequentially on the northern slopes,  
207 southern slopes and inside districts; for large raindrops, the number  
208 concentrations on the inside districts are larger. In addition, the number concentrations  
209 of raindrops in the middle section of the mountainous area is slightly greater than that  
210 in the eastern section.



211  
212 Fig.2 (a) Mean measured DSDs; (b) Normalized mean DSDs at six sites of Qilian  
213 mountains region in rainy season

### 214 3.2 Distribution of DSD parameters

215 In order to study the differences in DSDs, we selected 6 integral rainfall parameters  
216 for discussion, which are normalized intercept parameter ( $N_w$ ), mass-weighted mean  
217 diameter ( $D_m$ ), shape parameter ( $\mu$ ), total number concentration ( $N_t$ ), rain rate ( $R$ ) and  
218 radar reflectivity factor ( $Z$ ). Figure 3 and Table 2 show the distribution and statistics of  
219 6 DSD parameters (the distribution of each parameter is normalized using the uniform  
220 method). Averagely,  $D_m$  is more concentrated on smaller values at HS and BLG, which  
221 shows smaller mean values than TL and DLD, while significantly more values greater  
222 than 1mm at LB and SD;  $\log_{10}N_w$  is more centralized on larger values at TL and DLD,  
223 with relatively smaller values at LB and SD; the distribution patterns for  $\mu$  and  $\log_{10}N_t$   
224 are similar to those for  $\log_{10}N_w$ . The density curves of  $R$  and  $Z$  are similar, but there  
225 are differences at the 6 sites, which would be analyzed in detail in subsequent content.  
226 It is noteworthy that the frequency of samples with  $R$  around 0.6-1.0 mmh<sup>-1</sup> is highest,  
227 and samples with  $R$  less than 1mmh<sup>-1</sup> account for more than half of the total rainfall.



228

229 Fig.3 Probability density distribution of integral DSD parameters at six sites (LB, HS,  
 230 TL, SD, BLG, DLD): (a) normalized intercept parameter  $\log_{10}N_w$  ( $m^{-3}mm^{-1}$ ); (b)  
 231 mass-weighted mean diameter  $D_m$  (mm); (c) shape parameter  $\mu$ ; (d) total number  
 232 concentration  $\log_{10}N_t$  ( $m^{-3}$ ); (e) rain rate  $R$  ( $mmh^{-1}$ ); (f) radar reflectivity factor  $Z$   
 233 ( $mm^6mm^{-3}$ )

234 Table 2 Statistical of several integral DSD parameters for all observations at six sites  
 235 (LB, HS, TL, SD, BLG, DLD).

| Sites | $\log_{10}N_w$ ( $m^{-3}mm^{-1}$ ) |      |       | $D_m$ (mm) |      |      | $\mu$ |      |      | $\log_{10}N_t$ ( $m^{-3}$ ) |      |       | $R$ ( $mmh^{-1}$ ) |      |       | $Z$ dBZ |      |      |
|-------|------------------------------------|------|-------|------------|------|------|-------|------|------|-----------------------------|------|-------|--------------------|------|-------|---------|------|------|
|       | ME                                 | SD   | SK    | ME         | SD   | SK   | ME    | SD   | SK   | ME                          | SD   | SK    | ME                 | SD   | SK    | ME      | SD   | SK   |
| LB    | 3.43                               | 0.47 | -0.25 | 0.99       | 0.29 | 2.68 | 10.92 | 6.63 | 0.61 | 2.01                        | 0.46 | -0.07 | 0.94               | 1.90 | 0.23  | 17.79   | 7.82 | 0.44 |
| HS    | 3.59                               | 0.48 | -0.29 | 0.89       | 0.25 | 3.35 | 11.12 | 6.64 | 0.53 | 2.13                        | 0.45 | -0.22 | 0.69               | 1.60 | 0.05  | 16.24   | 7.08 | 0.34 |
| TL    | 3.69                               | 0.48 | -0.55 | 0.90       | 0.29 | 4.49 | 11.37 | 6.84 | 0.48 | 2.23                        | 0.44 | -0.43 | 0.89               | 1.48 | -0.05 | 17.47   | 7.55 | 0.35 |
| SD    | 3.54                               | 0.48 | -0.17 | 0.96       | 0.26 | 2.12 | 10.62 | 6.61 | 0.71 | 2.11                        | 0.46 | -0.17 | 0.97               | 2.01 | 0.06  | 17.95   | 7.47 | 0.28 |
| BLG   | 3.72                               | 0.54 | -0.15 | 0.89       | 0.29 | 5.17 | 11.71 | 7.06 | 0.46 | 2.26                        | 0.50 | -0.25 | 0.94               | 2.13 | -0.04 | 17.34   | 7.66 | 0.41 |
| DLD   | 3.69                               | 0.45 | -0.50 | 0.90       | 0.25 | 2.66 | 11.52 | 6.66 | 0.43 | 2.24                        | 0.43 | -0.46 | 0.95               | 1.62 | -0.01 | 17.70   | 7.43 | 0.37 |

236 Note: ME is mean; SD is standard deviation; SK is skewness.

### 237 3.3 Characteristics of DSD in different rain rate classes

238 To further understand the characteristics of DSDs at the six sites, the samples are  
 239 divided into six classes according to the associated rain rate ( $R$ ): C1,  $R < 0.5$ ; C2,  
 240  $0.5 \leq R < 2$ ; C3,  $2 \leq R < 4$ ; C4,  $4 \leq R < 6$ ; C5,  $6 \leq R < 10$ ; C6,  $R \geq 10 mmh^{-1}$ . Such classification is  
 241 based on two considerations: firstly, the number of observation samples in different  
 242 rainfall rates roughly conformed to a normal distribution; and secondly, the mean  
 243 maximum diameter interval of different rainfall rates gradually increases (Li et al.,  
 244 2019). Of course, other studies about classification are referenced and the fact that the  
 245 rain rate in this area is smaller than that in the southern China is taken into account (Ma  
 246 et al., 2019b; Zeng et al., 2021). Figure 4 shows the mean DSDs at each rain rate class  
 247 for six sites. Table 3 contains the number of samples and statistical values of the DSD





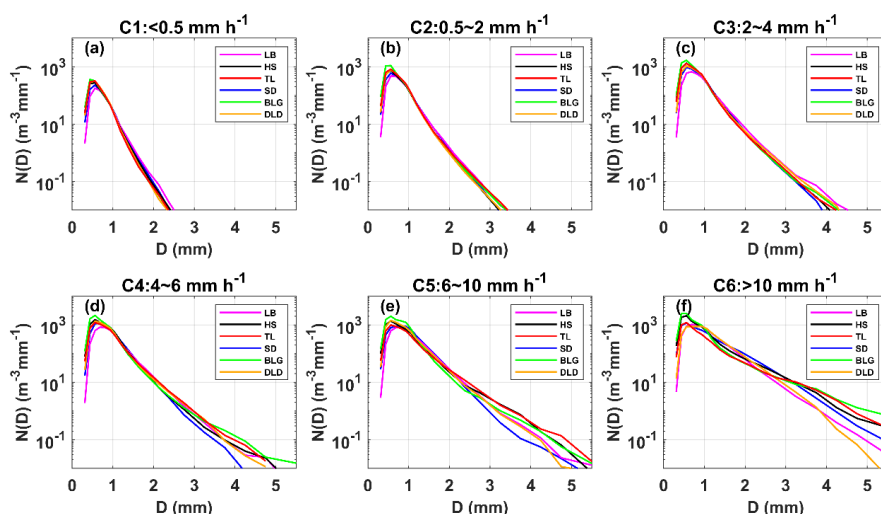
248 parameters for six classes. Obviously, with the rain rate class increasing, the number  
 249 concentration of almost all raindrop sizes and the width of DSD shapes increase, thus  
 250 the tail of DSD shape gradually moves towards a larger diameter, which are similar to  
 251 the previous studies such as Ma et al. (2019b) and Pu et al. (2020). Taking a number  
 252 concentration of  $0.01 \text{ m}^{-3}\text{mm}^{-1}$ , the mean maximum diameter of DSD in each class is in  
 253 order: 2.3-2.5, 3.2-3.4, 3.9-4.5, 4.3-5.0, 5.0-5.6 and 6.0-7.0 mm (The sixth-class  
 254 diameter range is not fully shown in the figure). In class C1, the number concentrations  
 255 are relatively similar in different sites; starting from class C2, the differences of number  
 256 concentration increase when the diameter is greater than 2mm for 6 sites; and the  
 257 differences of number concentration are gradually reflected on each raindrop size bin  
 258 as rain rate class increasing. Observingly, the DSDs of BLG, HS and TL have larger  
 259 number concentrations in different rain rate class, and the DSD parameters and standard  
 260 deviations (SD) are larger, especially for BLG.

261 Table 3 Statistical of several integral DSD parameters for six rain rate classes at 6 sites.

| Class                         | Sites | Samples | Log <sub>10</sub> N <sub>w</sub><br>(m <sup>-3</sup> mm <sup>-1</sup> ) |      | D <sub>m</sub><br>(mm) |      | μ     |      | Log <sub>10</sub> N <sub>t</sub><br>(m <sup>-3</sup> ) |      | R<br>(mmh <sup>-1</sup> ) |      | Z<br>dBZ |      |
|-------------------------------|-------|---------|---|------|------------------------|------|-------|------|--|------|---------------------------|------|----------|------|
|                               |       |         | ME  | SD   | ME                     | SD   | ME    | SD   | ME   | SD   | ME                        | SD   | ME       | SD   |
| C1(<0.5 mm h <sup>-1</sup> )  | LB    | 6520    | 3.25  | 0.41 | 0.88                   | 0.18 | 12.36 | 7.09 | 1.74   | 0.34 | 0.20                      | 0.13 | 12.68    | 4.52 |
|                               | HS    | 10753   | 3.43  | 0.44 | 0.81                   | 0.17 | 12.01 | 7.03 | 1.89   | 0.37 | 0.20                      | 0.13 | 11.90    | 4.54 |
|                               | TL    | 7858    | 3.52  | 0.44 | 0.79                   | 0.16 | 12.91 | 7.12 | 1.96   | 0.37 | 0.20                      | 0.13 | 11.78    | 4.16 |
|                               | SD    | 5772    | 3.34  | 0.43 | 0.85                   | 0.18 | 11.72 | 6.99 | 1.82   | 0.36 | 0.20                      | 0.13 | 12.51    | 4.40 |
|                               | BLG   | 10073   | 3.50  | 0.48 | 0.79                   | 0.17 | 12.94 | 7.28 | 1.94   | 0.40 | 0.20                      | 0.13 | 11.73    | 4.26 |
|                               | DLD   | 6891    | 3.51  | 0.43 | 0.79                   | 0.15 | 13.04 | 6.92 | 1.96   | 0.36 | 0.21                      | 0.13 | 12.14    | 4.15 |
| C2(0.5~2 mm h <sup>-1</sup> ) | LB    | 3318    | 3.66  | 0.41 | 1.06                   | 0.24 | 9.93  | 5.75 | 2.30   | 0.28 | 1.00                      | 0.41 | 22.55    | 3.27 |
|                               | HS    | 5700    | 3.82  | 0.39 | 0.97                   | 0.21 | 10.21 | 5.88 | 2.44   | 0.26 | 0.96                      | 0.37 | 21.67    | 3.09 |
|                               | TL    | 5368    | 3.87  | 0.42 | 0.98                   | 0.23 | 10.35 | 6.15 | 2.49   | 0.26 | 1.07                      | 0.41 | 22.18    | 3.33 |
|                               | SD    | 3778    | 3.73  | 0.41 | 1.03                   | 0.23 | 9.94  | 6.14 | 2.36   | 0.28 | 1.02                      | 0.40 | 22.40    | 3.15 |
|                               | BLG   | 6411    | 3.97  | 0.47 | 0.94                   | 0.25 | 11.24 | 6.72 | 2.56   | 0.30 | 1.07                      | 0.43 | 21.69    | 3.69 |
|                               | DLD   | 4778    | 3.88  | 0.37 | 0.95                   | 0.20 | 10.91 | 6.02 | 2.47   | 0.24 | 1.01                      | 0.40 | 21.60    | 3.19 |
| C3(2~4 mm h <sup>-1</sup> )   | LB    | 782     | 3.71  | 0.47 | 1.31                   | 0.37 | 7.33  | 4.28 | 2.52   | 0.29 | 2.77                      | 0.56 | 29.54    | 2.87 |
|                               | HS    | 884     | 3.96  | 0.50 | 1.16                   | 0.34 | 8.42  | 5.22 | 2.73   | 0.27 | 2.76                      | 0.54 | 28.33    | 3.06 |
|                               | TL    | 1232    | 4.00  | 0.47 | 1.13                   | 0.33 | 8.70  | 5.93 | 2.75   | 0.23 | 2.68                      | 0.53 | 28.07    | 3.16 |
|                               | SD    | 812     | 3.89  | 0.44 | 1.19                   | 0.27 | 8.57  | 5.53 | 2.63   | 0.26 | 2.71                      | 0.53 | 28.41    | 2.68 |
|                               | BLG   | 1865    | 4.05  | 0.49 | 1.11                   | 0.30 | 8.62  | 5.75 | 2.81   | 0.25 | 2.70                      | 0.53 | 27.99    | 3.29 |
|                               | DLD   | 1111    | 3.91  | 0.44 | 1.18                   | 0.29 | 7.81  | 5.45 | 2.70   | 0.23 | 2.74                      | 0.54 | 28.73    | 3.09 |
| C4(4~6 mm h <sup>-1</sup> )   | LB    | 229     | 3.80  | 0.47 | 1.41                   | 0.40 | 7.33  | 3.94 | 2.65   | 0.31 | 4.76                      | 0.57 | 32.69    | 2.63 |
|                               | HS    | 191     | 4.03  | 0.54 | 1.28                   | 0.47 | 7.54  | 4.42 | 2.86   | 0.27 | 4.80                      | 0.56 | 31.70    | 3.34 |
|                               | TL    | 213     | 3.84  | 0.56 | 1.41                   | 0.51 | 6.23  | 4.64 | 2.77   | 0.28 | 4.77                      | 0.54 | 32.82    | 3.54 |
|                               | SD    | 187     | 4.03  | 0.41 | 1.24                   | 0.27 | 8.35  | 5.02 | 2.80   | 0.22 | 4.76                      | 0.54 | 31.32    | 2.52 |
|                               | BLG   | 321     | 3.99  | 0.66 | 1.33                   | 0.53 | 7.97  | 6.10 | 2.93   | 0.27 | 4.78                      | 0.54 | 32.44    | 4.40 |
|                               | DLD   | 270     | 3.92  | 0.53 | 1.35                   | 0.47 | 6.50  | 4.80 | 2.83   | 0.25 | 4.83                      | 0.56 | 32.55    | 3.47 |
| C5(6~10 mm h <sup>-1</sup> )  | LB    | 167     | 3.81  | 0.46 | 1.55                   | 0.44 | 6.46  | 3.38 | 2.72   | 0.27 | 7.66                      | 1.22 | 35.74    | 2.85 |
|                               | HS    | 49      | 3.69  | 0.74 | 1.70                   | 0.68 | 6.89  | 4.82 | 2.75   | 0.38 | 7.42                      | 1.09 | 36.14    | 4.29 |



|                             |     |     |      |      |      |      |      |      |      |      |       |      |       |      |
|-----------------------------|-----|-----|------|------|------|------|------|------|------|------|-------|------|-------|------|
|                             | TL  | 103 | 3.57 | 0.62 | 1.78 | 0.66 | 5.20 | 4.62 | 2.71 | 0.32 | 7.32  | 1.02 | 37.03 | 3.76 |
|                             | SD  | 128 | 3.96 | 0.39 | 1.42 | 0.35 | 7.10 | 3.96 | 2.82 | 0.21 | 7.68  | 1.17 | 34.76 | 2.42 |
|                             | BLG | 138 | 3.97 | 0.76 | 1.51 | 0.80 | 8.34 | 6.35 | 2.99 | 0.27 | 7.37  | 1.02 | 35.09 | 4.96 |
|                             | DLD | 122 | 3.90 | 0.46 | 1.46 | 0.34 | 6.13 | 4.20 | 2.86 | 0.26 | 7.29  | 1.11 | 35.32 | 2.88 |
| C6(>10 mm h <sup>-1</sup> ) | LB  | 87  | 3.85 | 0.44 | 1.73 | 0.53 | 5.08 | 3.05 | 2.87 | 0.32 | 14.81 | 7.57 | 39.58 | 3.57 |
|                             | HS  | 42  | 3.60 | 0.65 | 2.19 | 0.92 | 6.74 | 5.27 | 3.00 | 0.28 | 21.69 | 9.91 | 42.93 | 6.11 |
|                             | TL  | 40  | 3.16 | 0.69 | 2.69 | 1.19 | 4.34 | 5.20 | 2.74 | 0.32 | 18.25 | 9.69 | 44.70 | 5.41 |
|                             | SD  | 59  | 3.66 | 0.29 | 2.04 | 0.46 | 3.30 | 2.48 | 2.91 | 0.16 | 21.07 | 8.34 | 42.85 | 4.10 |
|                             | BLG | 53  | 3.38 | 0.93 | 2.58 | 1.52 | 5.58 | 6.19 | 3.00 | 0.37 | 21.95 | 9.05 | 44.08 | 7.50 |
|                             | DLD | 58  | 3.82 | 0.47 | 1.80 | 0.46 | 6.64 | 4.12 | 2.84 | 0.28 | 16.58 | 7.21 | 40.13 | 3.53 |



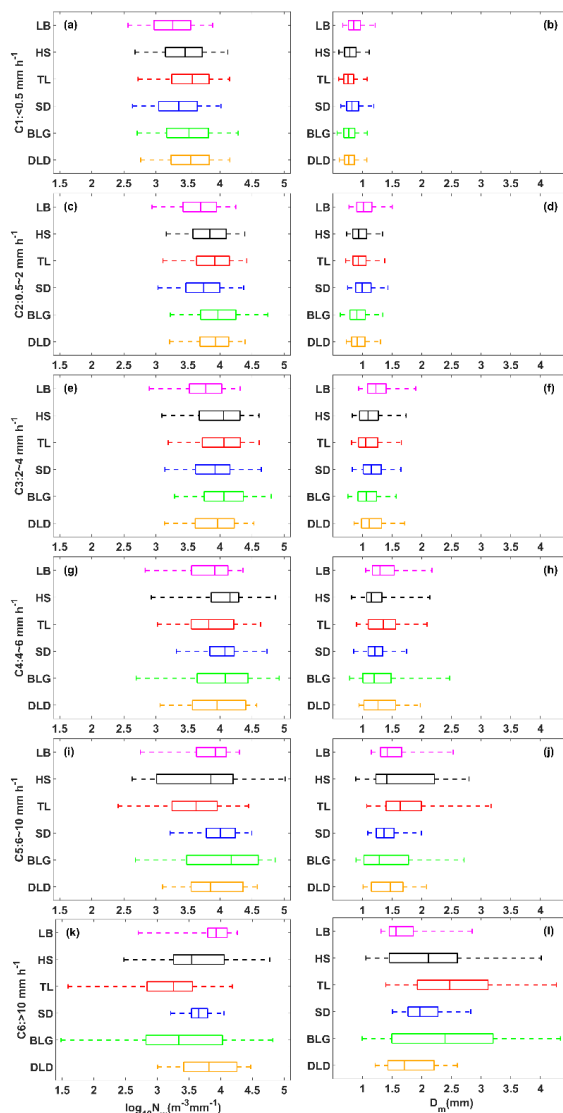
262

263 Fig.4 Distribution of mean measured DSD for different rain rate classes at 6 sites.

264 Fig. 5 shows box-whisker plots of the normalized intercept parameter  $\log_{10}N_w$  and  
 265 mass-weighted mean diameter  $D_m$  for 6 sites at each rain rate class. The middle line in  
 266 the box indicates the median. The left and right lines in the box indicate the 25th and  
 267 75th. The left and right ends of whiskers indicate the most extreme data points between  
 268 5th and 95th, except outliers. The median of  $D_m$  gradually increases with a larger value  
 269 range when the rain rate class increases, particularly for HS and BLG at class C5 and  
 270 C6. The median of  $\log_{10}N_w$  increases at class C1 to C3 and then tends to decrease at  
 271 class C5 to C6, which the reduction is obvious at sites with a larger value range, such  
 272 as HS and BLG. Ma et al. (2019b) also obtains similar conclusions about  $D_m$  and  
 273  $\log_{10}N_w$ . It is indicated that the increase of rain rate is mainly due to the growth in  
 274 raindrop size. And the change of number concentration may be caused by the imbalance  
 275 between the loss of number concentration at small raindrop size and the addition at  
 276 large raindrop size, which implies in a sense that the relation of collision-coalescence  
 277 and break-up of raindrops. It is worth noting that the microphysical processes are quite  
 278 different among the sites, which are greatly influenced by the surrounding environment.  
 279 Because HS and BLG are located on the inside mountains and close to ridge, thus their  
 280 dynamics and thermodynamics as well underlying surface are different from other



281 districts.



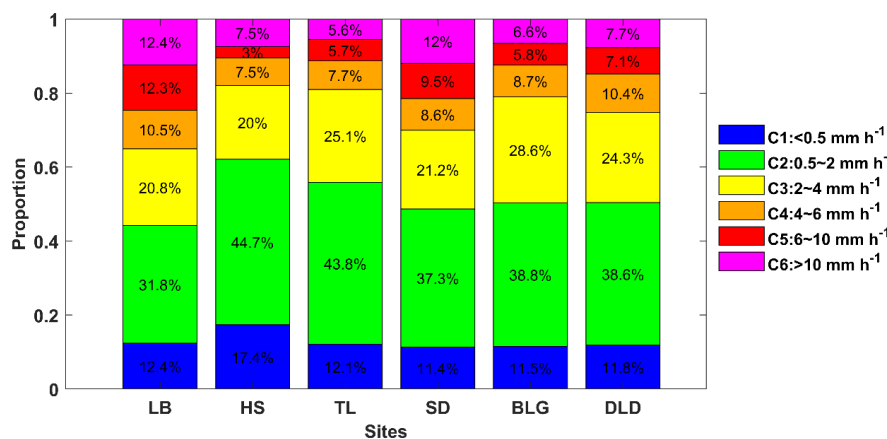
282

283 Fig.5 Variation of normalized intercept parameter  $\log_{10}N_w$  (a) and the mass-weighted  
 284 mean diameter  $D_m$  (b) for different rain rate classes at 6 sites. The three lines in box are  
 285 25th, 50th and 75th percentiles from left to right, respectively. The whiskers on the left  
 286 end and right end are 5th and 95th percentiles, respectively. The colors represent 6 sites  
 287 same as other figures.

288 Figure 6 displays the contribution of different rain rate classes to the total rainfall  
 289 at different sites. It is clear that C2 contributes the most to the total rainfall of all sites,  
 290 followed by C3, and the sum of two classes of contribution could reach 60% to the total  
 291 rainfall. Compared with the districts on the inside and southern slopes, C2 and C3



292 contribute slightly less to LB and SD sites (i.e. the northern slopes), while C5 and C6  
 293 contribute relatively more to LB and SD sites, indicating that there is a greater  
 294 probability of heavy precipitation events on the northern slopes. The DSD parameters  
 295 in Table 3 provide a more detailed representation of the rainfall differences between the  
 296 three geographic locations of the Qilian Mountains, namely the inside, southern slopes  
 297 and northern slopes. Meanwhile, it also reflects the characteristics of rainfall on the  
 298 eastern and middle sections, such as the eastern section has larger  $Z$  and  $D_m$  and smaller  
 299  $\log_{10}N_w$  and  $\log_{10}N_t$  compared to the middle section. It is possible that there is a certain  
 300 spatial connection between precipitation at the sites, which is related to the factors like  
 301 the source of precipitation vapor, weather system and so on.



302

303 Fig.6 Proportion of rainfall with different rain rate classes to rain amount at 6 sites.

### 304 3.4 DSD properties for different rain types

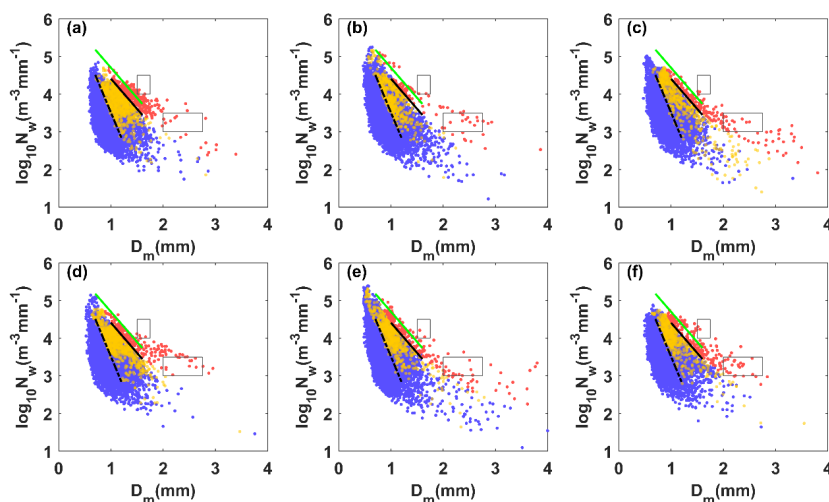
305 Previous studies on DSD have shown that there are significant differences in the  
 306 DSD of convective and stratiform rainfall in the same climatic region, which has a great  
 307 impact on the parameterization of NWP and remote sensing observations (Bringi et al.,  
 308 2003; Penide et al., 2013). Due to the different physical mechanisms of convective and  
 309 stratiform rainfall, it can be allowed to discuss the differences of microphysical  
 310 structures for rainfall types through their DSD. In some studies, there have been many  
 311 classification methods for rainfall types, like Testud et al. (2001) used rain rate; Chen  
 312 et al. (2013) combined rain rate and its standard deviation (SD); and Das et al. (2018)  
 313 were based on rain rate and radar reflectivity factor. The method from Chen et al. (2013)  
 314 was always used to establish samples of convective and stratiform rainfall, in which the  
 315 studies' area were concentrated in semi-humid or humid regions with relatively high  
 316 rain rate and rainfall. However, Qilian Mountains are located in the semi-arid regions  
 317 of China and far from the sea, which the average rainfall rain and rainfall are quite  
 318 different from the semi-humid regions. The paper therefore proposes a new  
 319 classification method for precipitation applicable to the arid and semi-arid regions of  
 320 northwest China based on the classification ideas of Chen and Saurabh.

321 Firstly, the sequences of DSD with continuous 1-min samples more than 10



322 minutes are determined, and  $R_t$  is defined to denote the rain rate at time  $t$ . The first case:  
323 the  $R$  of samples from  $R_{t-5}$  to  $R_{t+5}$  are all less than  $5\text{mmh}^{-1}$  and their standard deviation  
324 (SD) is less than  $1.5\text{mmh}^{-1}$ ; the second case: the  $R$  of samples from  $R_{t-5}$  to  $R_{t+5}$  are  
325 greater than or equal to  $5\text{mmh}^{-1}$  with more than 9 samples and their SD is greater than  
326  $1.5\text{mmh}^{-1}$ ; the third case: same as the second case but their SD is less  $1.5\text{mmh}^{-1}$ .  
327 Secondly, samples satisfying  $Z < 20$  and  $W < 0.08$  in the second case are removed (Thurai  
328 et al., 2016; Das et al., 2018). And then, samples with  $R_t$  great than or equal to  $5\text{mmh}^{-1}$   
329  $^{-1}$  in the second case are regarded as convective rainfall and samples with  $R_t$  less than  $5$   
330  $\text{mmh}^{-1}$  in the second case are regarded as transition rainfall (the rainfall stage in which  
331 convective precipitation develops and declines). Samples in the first case are regarded  
332 as stratiform rainfall. Through experiments, the third case does not exist.

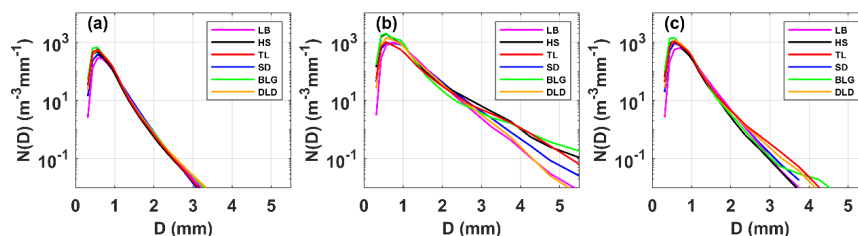
333 The  $\log_{10}N_w$  and  $D_m$  of different rainfall types are different, which make as the  
334 main research objects. Figure 7 shows the variation of  $\log_{10}N_w$  with the  $D_m$  at different  
335 sites. The blue, red, and yellow scattered points represent stratiform, convective and  
336 transition rainfall, respectively. Obviously, there are fairly clear boundaries between the  
337 scatter points for different precipitation type events and the same dividing line can be  
338 used to distinguish different rainfall types at different sites. The black solid lines were  
339 drawn based on visual examination of the data with a slope of approximately  $-1.60$  and  
340 intercept of  $6.008$  to represent the split between stratiform, transition and convective  
341 rainfall in all subplots. The black dashed line can distinguish transition rainfall  
342 (transition and stratiform rainfall have overlap area) with a slope of approximately  $-$   
343  $3.338$  and intercept of  $6.847$ . Note that the dividing line between stratiform and  
344 convective rainfall has the same slope obtained by Bringi et al. (2003) (solid green line  
345 with a slope of  $-1.6$  and intercept of  $6.3$ ) who fitted the composite results based on  
346 disdrometer data and from radar retrievals covering many climate conditions from near  
347 equator to plateau. The  $\log_{10}N_w$  and  $D_m$  from the figures to stratiform, convective and  
348 transition rainfall are respectively concentrated in  $3.1\text{-}3.9\text{m}^{-3}\text{mm}^{-1}$ ,  $0.75\text{-}1.1$   $\text{mm}$ ;  $3.8\text{-}$   
349  $4.2\text{m}^{-3}\text{mm}^{-1}$ ,  $1.4\text{-}1.6\text{mm}$ ;  $3.6\text{-}4.0\text{m}^{-3}\text{mm}^{-1}$ ,  $1.05\text{-}1.2\text{mm}$ . Compared to the maritime-  
350 like cluster and continental-like cluster of convective rainfall proposed by Bringi et al.  
351 (2003), the convective events in Qilian Mountains are more consistent with the  
352 continental-like cluster (the gray rectangle with smaller  $\log_{10}N_w$  and larger  $D_m$  in Figure  
353 7). There are isolated convective events in the maritime-like cluster, but it is difficult to  
354 have more events from the trend between  $\log_{10}N_w$  and  $D_m$ . This is also consistent with  
355 features of geographical location in Qilian Mountains.



356

357 Fig.7 Scatter plot of  $\log_{10}N_w$  versus  $D_m$  for different rain types at (a) LB, (b) HS, (c)  
358 TL, (d)SD, (e)BLG, (f)DLD. The stratiform cases, convective cases and transition cases  
359 are represented by blue, red and yellow circle dots, respectively. The black dashed lines  
360 are the  $\log_{10}N_w$ - $D_m$  relationship for stratiform versus convective cases and stratiform  
361 versus transition case.

362 Figure 8 shows the mean DSDs for stratiform, convective and transition rainfall at  
363 six sites. The range of number concentrations and corresponding raindrop diameters for  
364 the three types are significantly different, matching the basic characteristics of DSD.  
365 The mean DSDs of stratiform rainfall differ slightly among sites; convective rainfall  
366 has big differences at sites; and transition rainfall appears more differences beginning  
367 at larger than 2.2 mm diameter, which are the expected results. Stratiform rainfall  
368 usually has a large horizontal extent and a homogeneous cloud distribution, which  
369 makes the DSD characteristics basically same under the influence of same cloud system  
370 in the mountainous areas. But convective rainfall is related to the local thermal and  
371 dynamical factors, which could lead to differences in the DSD at different sites adding  
372 the complex topography and diverse underlying in mountainous areas. For example, in  
373 convective rainfall, there is a significant increase in the number concentration of  
374 raindrops larger than 2.2 mm diameter at BLG, HS and TL, indicating that these districts  
375 are conducive to the development of convective precipitation. And the number  
376 concentration of small raindrops in BLG and HS is higher than that in TL (the southern  
377 slope), which may be due to the higher altitude of the inside sites reducing the falling  
378 distance of raindrops after exiting the cloud and decreasing the impact of collision on  
379 the raindrop evolution. In other words, even in the same rainfall type, the microphysical  
380 process of rainfall at different sites is still different, depending on the topography and  
381 position of the observation point relative to the cloud base.



382  
 383 Fig.8 Distribution of mean measured DSD for (a) stratiform rainfall, (b) convective  
 384 rainfall and (c) transition rainfall at 6 sites.

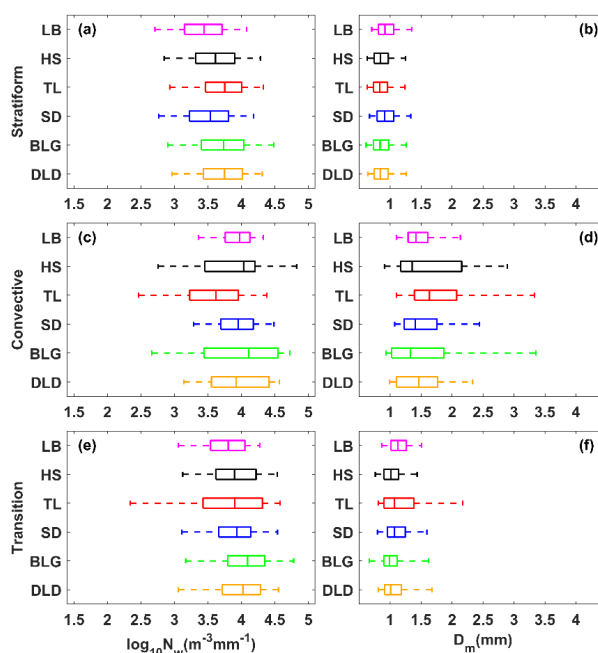
385 Figure 9 is the box-whisker plots of  $\log_{10}N_w$  and  $D_m$  for different rain types. The  
 386  $\log_{10}N_w$  and  $D_m$  of stratiform rainfall are smaller than that of convective rainfall but  
 387 larger than that of transition rainfall. Sites with a large  $\log_{10}N_w$  value range have a larger  
 388 values range for  $D_m$ ; and sites with a large median for  $\log_{10}N_w$  have a smaller median  
 389 for  $D_m$ , especially at HS and BLG sites in convective rainfall. Based on the mean value  
 390 of six sites in Table 4, the DSD characteristic in Qilian Mountains consists of a larger  
 391  $N_w$  and a smaller  $D_m$  due to melting of tiny, compact graupel, and rimed ice particles  
 392 (relative to large, low-density snowflakes). Compared with transition rainfall, the  $D_m$   
 393 of convective rainfall is obviously larger, indicating that the increase in rain rate in this  
 394 area is mainly due to the growth in raindrop size. Moreover, the northern slopes should  
 395 consider the increase of number concentration, because the  $\log_{10}N_w$  of convective  
 396 rainfall also have increased. Note that the number of convective samples on the northern  
 397 slope is higher than that of other sites, which correspond to the speculation in the  
 398 contribution of different rain rate classes. On average of stratiform rainfall, the  
 399 dispersion degree of  $\log_{10}N_w$  and  $D_m$  in different sites is 8.3% and 10.0%, respectively;  
 400 and convective rainfall is 10.4%、23.4%, respectively. The standard deviations of DSD  
 401 parameters at HS and BLG sites are relatively large.

402 Table 4 Statistical of several integral DSD parameters for six sites with stratiform  
 403 rainfall, convective rainfall and transition rainfall

| Type | Sites | Sample | Log <sub>10</sub> N <sub>w</sub>    |      | D <sub>m</sub> |      | μ                  |                      | Log <sub>10</sub> N <sub>t</sub> |       | R     |       | Z     |      |
|------|-------|--------|-------------------------------------|------|----------------|------|--------------------|----------------------|----------------------------------|-------|-------|-------|-------|------|
|      |       |        | (m <sup>-3</sup> mm <sup>-1</sup> ) | (mm) | (mm)           | (mm) | (m <sup>-3</sup> ) | (mmh <sup>-1</sup> ) | (dBZ)                            | (dBZ) |       |       |       |      |
|      |       |        | ME                                  | SD   | ME             | SD   | ME                 | SD                   | ME                               | SD    | ME    | SD    | ME    | SD   |
| S    | LB    | 7123   | 3.42                                | 0.42 | 0.96           | 0.21 | 11.48              | 7.98                 | 1.98                             | 0.38  | 0.54  | 0.60  | 16.93 | 5.93 |
|      | HS    | 12694  | 3.60                                | 0.44 | 0.88           | 0.21 | 11.24              | 7.89                 | 2.14                             | 0.40  | 0.54  | 0.58  | 16.17 | 6.06 |
|      | TL    | 10091  | 3.71                                | 0.43 | 0.87           | 0.20 | 11.90              | 8.01                 | 2.23                             | 0.39  | 0.65  | 0.67  | 16.85 | 6.15 |
|      | SD    | 7175   | 3.51                                | 0.44 | 0.95           | 0.22 | 11.15              | 8.03                 | 2.07                             | 0.39  | 0.62  | 0.64  | 17.36 | 6.10 |
|      | BLG   | 12467  | 3.72                                | 0.49 | 0.88           | 0.23 | 12.24              | 8.50                 | 2.25                             | 0.44  | 0.70  | 0.74  | 17.11 | 6.33 |
|      | DLD   | 9685   | 3.70                                | 0.42 | 0.88           | 0.21 | 11.91              | 7.91                 | 2.23                             | 0.38  | 0.67  | 0.69  | 17.18 | 6.13 |
| C    | LB    | 292    | 3.91                                | 0.35 | 1.49           | 0.35 | 6.50               | 3.30                 | 2.81                             | 0.23  | 9.28  | 5.56  | 35.88 | 3.59 |
|      | HS    | 100    | 3.85                                | 0.67 | 1.71           | 0.84 | 6.33               | 4.33                 | 2.95                             | 0.30  | 12.55 | 13.75 | 37.32 | 6.64 |
|      | TL    | 159    | 3.54                                | 0.59 | 1.87           | 0.74 | 5.21               | 4.97                 | 2.72                             | 0.30  | 9.48  | 6.91  | 37.96 | 5.21 |
|      | SD    | 219    | 3.91                                | 0.37 | 1.54           | 0.47 | 6.61               | 4.68                 | 2.85                             | 0.19  | 10.75 | 7.68  | 36.24 | 5.02 |



|   |     |     |      |      |      |      |       |      |      |      |       |       |       |      |
|---|-----|-----|------|------|------|------|-------|------|------|------|-------|-------|-------|------|
|   | BLG | 198 | 3.91 | 0.74 | 1.64 | 0.97 | 8.00  | 7.37 | 3.00 | 0.27 | 10.57 | 15.49 | 36.29 | 6.75 |
|   | DLD | 203 | 3.94 | 0.48 | 1.50 | 0.43 | 6.96  | 5.24 | 2.87 | 0.27 | 9.41  | 6.04  | 35.89 | 4.27 |
| T | LB  | 787 | 3.76 | 0.39 | 1.15 | 0.21 | 8.37  | 4.35 | 2.47 | 0.31 | 2.16  | 1.25  | 26.42 | 3.89 |
|   | HS  | 541 | 3.89 | 0.49 | 1.05 | 0.29 | 8.98  | 6.74 | 2.59 | 0.33 | 1.81  | 1.15  | 24.79 | 3.89 |
|   | TL  | 465 | 3.77 | 0.70 | 1.22 | 0.49 | 8.81  | 6.91 | 2.56 | 0.44 | 2.30  | 1.21  | 27.10 | 4.39 |
|   | SD  | 819 | 3.87 | 0.41 | 1.12 | 0.26 | 8.23  | 5.46 | 2.59 | 0.28 | 2.28  | 1.18  | 26.59 | 4.04 |
|   | BLG | 665 | 4.04 | 0.51 | 1.04 | 0.31 | 10.33 | 7.31 | 2.72 | 0.33 | 2.19  | 1.13  | 25.66 | 4.44 |
|   | DLD | 503 | 3.95 | 0.46 | 1.10 | 0.30 | 8.69  | 6.16 | 2.67 | 0.31 | 2.35  | 1.17  | 26.60 | 4.20 |



404

405

Fig.9 Same as Fig. 5 but for different rain types at 6 sites.

### 406 3.5 Implications for radar rainfall estimation with DSD

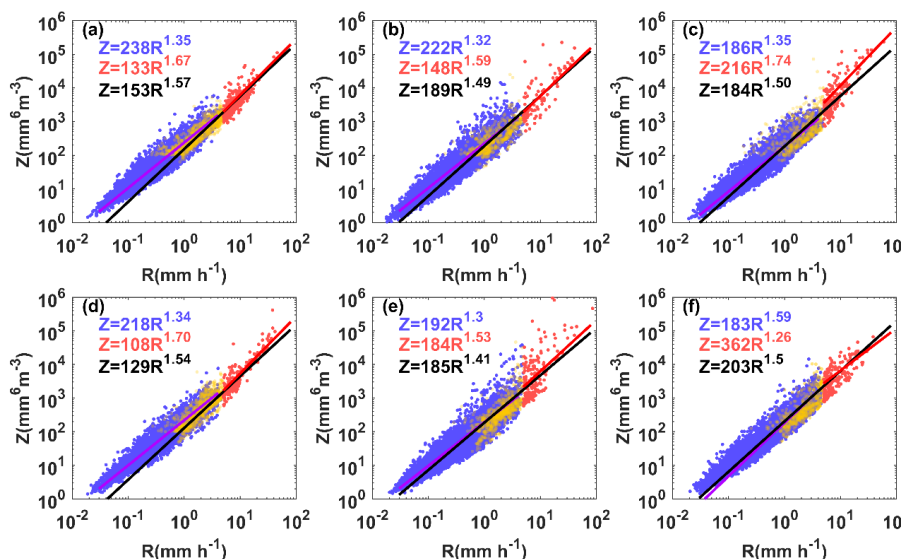
407 The sixth moment of raindrop diameter is proportional to the radar reflectivity  
 408 factor and the 3.76 moment is approximately rain rate (they can be calculated by  
 409 Equations 4 and 5). Generally, the theoretical basis of the QPE for single polarization  
 410 radar (ground based or space based) is the power relationship between radar reflectivity  
 411 and rainfall rate ( $Z=AR^b$ ). This makes the coefficients A and exponents b of the power  
 412 relationship heavily dependent on the variation of the DSD. Therefore, it is necessary  
 413 to obtain the A and b of different sites according to different rainfall types.

414 Figure 10 shows the Z-R scatter plots for different sites and the fitted power-law  
 415 relationships for different rainfall types. The blue and red scatters represent stratiform  
 416 and convective rainfall, respectively. The purple, red and black solid lines indicate Z-R  
 417 relationships for stratiform, convective and total rainfall, respectively. It shows that Z-  
 418 R scatters for HS and BLG are relatively scattered around 5mmh-1 rain rate. Besides,  
 419 the Z-R relationship of total rainfall underestimates stratiform rainfall at low R values



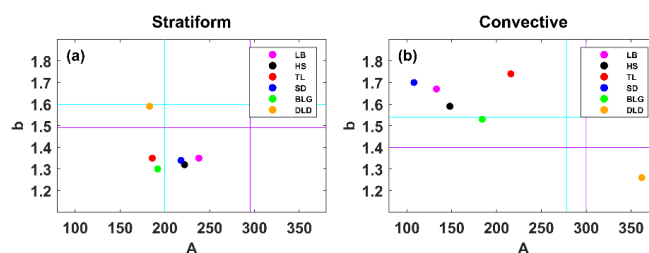


420 and underestimates convective rainfall at high R values. On the average of Z-R  
 421 relationship using a least-squares method, the dispersion degree of A and b in different  
 422 sites is 42.5% and 10.7%, respectively, which reveal the large differences in mountains.



423  
 424 Fig.10 Scatter plot of Z ( $\text{mm}^6\text{m}^{-3}$ ) versus R ( $\text{mmh}^{-1}$ ) for three rain types at (a) LB, (b)  
 425 HS, (c) TL, (d)SD, (e)BLG, (f)DLD. The blue, red and yellow circle dots, respectively,  
 426 stand for stratiform, convective and transition cases. The purple, red and black lines  
 427 denote the Z-R relation. The blue, red and black formula denote stratiform, convective  
 428 and total Z-R relationships.

429 In order to compare the six sites Z-R relationship with some standard Z-R  
 430 relationships,  $Z=300R^{1.4}$  for convective rainfall commonly used on radar and  $Z=200R^{1.6}$   
 431 (i.e. M48) for stratiform rainfall commonly used on midlatitude areas are provided in  
 432 figure 11. Overall, convective rainfall has smaller values of A and larger values of b  
 433 than that of stratiform rainfall (excluding DLD). The A values of convective rainfall are  
 434 smaller than the commonly used Z-R relationship with large differences, but the b  
 435 values are greater. The distribution of A and b for stratiform rainfall is relatively  
 436 concentrated with A and b ranging from 186-238 and 1.3-1.35, respectively. The A  
 437 values of SR are close to the M48, and the b values are close to and smaller than the Z-  
 438 R of global SR. The DLD station has a similar Z-R in stratiform rainfall with M48,  
 439 while its convective rainfall is different from other sites with a larger A value (twice as  
 440 large as other sites) and smaller b value. In addition, it can make it clear that the A value  
 441 of stratiform rainfall increases from the southern slopes to northern slopes, while the  
 442 convective rainfall is opposite. And the Z-R relationships of the same side are more  
 443 consistent, such as both on inside or the northern slopes, which have geographic  
 444 characteristics.



445  
446 Fig.11 A and b values of the Z-R relationship for (a) stratiform rainfall and (b)  
447 convective rainfall at 6 sites. The purple lines in Fig. 12a and 12b correspond to the  
448 global Z-R model ( $Z = 295R^{1.49}$  for continental stratiform rainfall and  $Z = 278R^{1.54}$  for  
449 convective rainfall, respectively) (Ghada et al., 2018). The cyan line in Fig. 12a  
450 represents midlatitude stratiform rainfall Z-R model ( $Z = 200R^{1.60}$ , Marshall, 1948); the  
451 cyan line in Fig. 12b represents the convective rainfall Z-R model ( $Z = 300R^{1.40}$ ) applied  
452 to the operational weather radar (Fulton et al., 1998).

#### 453 4 Discussion

454 The paper analyses the statistical characteristics of DSD at different sites in the  
455 Qilian Mountains during the rainy season, which not only contain rainfall classes and  
456 rainfall types but more importantly reflect the differences between different sites. The  
457 results from different aspects can be mutually confirmed and have a good representation  
458 of the spatial distribution, making as a great factual basis for the discussion of the  
459 microphysical structure for precipitation. For example, with the rain rate class rising,  
460 the number concentration of all size bins is increased and the width of DSDs become  
461 wider, which as a feature are manifested in rain types that convective rainfall has a  
462 larger rain rate. In terms of spatiality, the characteristics of precipitation on the inside  
463 and southern slope are closer, whether the overall DSD or the DSD parameter  
464 distribution. But there are some obvious variabilities in the inside mountains for DSD  
465 parameters due to the influences of its local dynamics and thermal. On the other hand,  
466 these characteristics also exhibit some differences between the middle and eastern  
467 sections in Qilian Mountains, especially in the discussion of DSD parameters for  
468 rainfall classes and rainfall types (shown as Figures 5 and 9). This spatial variation in  
469 DSD suggests that microphysical processes in DSD are influenced by complex  
470 topography (altitude, mountain alignment) and potentially related to the source of water  
471 vapor, development of precipitation process and anthropogenic factors.

472 Compared to the precious studies that are focused on eastern, southern and  
473 northern China as well Tibetan Plateau, the Qilian Mountains have its own unique DSD  
474 characteristics and Z-R relationship during the rainy season, which include the smaller  
475 raindrop diameter with higher number concentration. Moreover, the division of rain rate  
476 classes in Qilian Mountains more adequately reflects the DSD characteristics at each  
477 class, unlike using the classification method of other sites with larger rain rates. Above  
478 all, it is Qilian Mountains that the proposed classification of stratiform and convective  
479 rainfall is applicable to, which is located on the arid and semi-arid regions.

480 As aforementioned, the characteristics of DSD mainly describe on the diameters



481 larger than 0.2 mm, which are limited by the observation instruments that cannot detect  
482 the small drops on diameter less than 0.2 mm. So, it is not a complete DSD and  
483 underestimates the number concentration of small drops on diameter less than 0.5 mm.  
484 Recent studies have been devoted to improving DSD observations in order to overcome  
485 the limitations of disdrometer. A study by Thurai et al. (2017) have obtained a more  
486 complete DSD by splicing the 2DVD and MPS (Meteorological Particle Spectrometer)  
487 to observe DSD and developed a technology to reconstruct the drizzle mode DSD  
488 (Raupach et al., 2019), which has a good presentation to the DSD of small raindrops  
489 and more important applications.

## 490 **5 Summary and conclusion**

491 Based on the six-months DSD data observed in the southern slopes, northern  
492 slopes and inside of Qilian Mountains, the characteristics and their differences of DSD  
493 are studied, and Z-R relationships of six districts are discussed. The main conclusions  
494 are as follows.

495 For small raindrops, the number concentrations on the inside and southern slopes  
496 districts are greater than that on the northern slopes; for midsize raindrops, the number  
497 concentrations decrease sequentially on the northern slopes, southern slopes and inside  
498 districts; for large raindrops, the number concentrations on the inside districts are larger.  
499 In addition, the number concentrations of raindrops in the middle section of the  
500 mountainous area is slightly greater than that in the eastern section.

- 501 1. For all rainfall events, the number concentration of small and large raindrops on  
502 the inside and southern slopes are greater than that on the northern slope, while  
503 midsize raindrops are less. The DSD of inside mountains has a great variability,  
504 which is quite different from the northern slope.
- 505 2. The DSDs are divided into six categories based on rainfall rate: C1,  $R < 0.5$ ; C2,  
506  $0.5 \leq R < 2$ ; C3,  $2 \leq R < 4$ ; C4,  $4 \leq R < 6$ ; C5,  $6 \leq R < 10$ ; C6,  $> 10$  mm h<sup>-1</sup>. As the rain  
507 rate increases, the median of  $D_m$  for each station is gradually larger and the median  
508 of  $N_w$  rises on C1-C3 and then decreases on C4-C6, as well the differences of  
509 number concentration on each drop size increases. Especially in the inside  
510 mountains. The most contribution to the total rainfall at different sites is C2 class  
511 and C3 class next, with the sum of contribution reaching 60%. Besides, the C5 and  
512 C6 class have a relatively large contribution to the north slope with a greater  
513 probability of heavy precipitation events.
- 514 3. There is a rather clear boundary in the distribution of  $\log_{10}N_w$  versus  $D_m$  between  
515 the rainfall types, which the split line between stratiform and convective rainfall  
516 has the same slope with the line given by Bringi et al. The dispersion degree of  
517  $\log_{10}N_w$  and  $D_m$  at sites are 8.3% and 10.0% for stratiform rainfall and 10.4% and  
518 23.4% for convective rainfall, respectively. The standard deviations of DSD  
519 parameters on inside sites are larger, making it easier to increase the number  
520 concentration of large raindrops in convective rainfall.
- 521 4. The Z-R relationships of different sites in stratiform rainfall are similar and  
522 generally underestimated by the  $Z=200R^{1.6}$  model used to the midlatitude  
523 stratiform rainfall; the Z-R relationships for convective precipitation vary greatly



524 at different station, which are overestimated by  $Z=300R^{1.4}$  at lower rain rates  
525 values and underestimated at higher rain rates values. The dispersion degree of  
526 coefficient A and exponent b in Z-R relationship for sites are 42.5% and 10.7%,  
527 respectively. Overall, the A value of stratiform rainfall increases from the southern  
528 slopes to northern slopes, while the convective rainfall is opposite. And the Z-R  
529 relationships of the ipsilateral sites are more consistent.

530 5. The analysis of DSD and DSD parameters can reflect the characteristics of the  
531 southern slope, northern slope and inside sites, as well as the differences between  
532 the eastern and middle sections of Qilian Mountains.

533 This study reveals the microphysical variability of precipitation in the complex  
534 topography of the arid and semi-arid regions of Northwest China, which can not only  
535 improve local numerical simulations, but also provides a basis for further understanding  
536 of the differences in DSD characteristics formed at mesoscale due to topographic  
537 factors and water vapor distribution, etc. It is important to note that this should be one  
538 of the fundamental studies for the future implementation of weather modification,  
539 which is of great significance to solving the shortage of water resources in the arid and  
540 semi-arid regions.

541 *Data availability.* Disdrometer data used in this study are available by contacting the  
542 authors.

543 *Author contributions.* WM conducted the detailed analysis; WZ provided financial  
544 support and conceived the idea; MK collated the observation data; all the authors  
545 contributed to the writing and revisions.

546 *Competing interests.* The authors declare that they have no conflict of interest.

#### 547 **Acknowledgments**

548 The work was supported by Weather modification ability construction project of  
549 Northwest China under grant No. ZQC-R18208 and The Second Tibetan Plateau  
550 Comprehensive Scientific Expedition Grant No. 2019QZKK0104.



551 **References**

- 552 Adirosi, E., N. Roberto, M. Montopoli, E. Gorgucci, and L. Baldini, 2018: Influence of  
553 disdrometer type on weather radar algorithms from measured DSD: Application  
554 to Italian climatology. *Atmosphere*, 9, 360.
- 555 Angulo-Martínez, M., and A. Barros, 2015: Measurement uncertainty in rainfall kinetic  
556 energy and intensity relationships for soil erosion studies: An evaluation using  
557 PARSIVEL disdrometers in the Southern Appalachian Mountains.  
558 *Geomorphology*, 228, 28-40.
- 559 Atlas, D., R. Srivastava, and R. S. Sekhon, 1973: Doppler radar characteristics of  
560 precipitation at vertical incidence. *Reviews of Geophysics*, 11, 1-35.
- 561 Bringi, V., V. Chandrasekar, J. Hubbert, E. Gorgucci, W. Randeu, and M. Schoenhuber,  
562 2003: Raindrop size distribution in different climatic regimes from disdrometer  
563 and dual-polarized radar analysis. *Journal of the atmospheric sciences*, 60, 354-  
564 365.
- 565 Campos, E., I. Zawadzki, M. Petitdidier, and W. Fernandez, 2006: Measurement of  
566 raindrop size distributions in tropical rain at Costa Rica. *Journal of Hydrology*,  
567 328, 98-109.
- 568 Chen, B., J. Yang, and J. Pu, 2013: Statistical characteristics of raindrop size  
569 distribution in the Meiyu season observed in eastern China. *Journal of the*  
570 *Meteorological Society of Japan. Ser. II*, 91, 215-227.
- 571 Dolan, B., B. Fuchs, S. Rutledge, E. Barnes, and E. Thompson, 2018: Primary modes  
572 of global drop size distributions. *Journal of the Atmospheric Sciences*, 75, 1453-  
573 1476.
- 574 Das, S., and A. Maitra, 2018: Characterization of tropical precipitation using drop size  
575 distribution and rain rate-radar reflectivity relation. *Theoretical and applied*  
576 *climatology*, 132, 275-286.
- 577 Fu, Z., and Coauthors, 2020: Statistical characteristics of raindrop size distributions and  
578 parameters in Central China during the Meiyu seasons. *Journal of Geophysical*  
579 *Research: Atmospheres*, 125, e2019JD031954.
- 580 Fulton, R. A., J. P. Breidenbach, D.-J. Seo, D. A. Miller, and T. O'Bannon, 1998: The  
581 WSR-88D rainfall algorithm. *Weather and forecasting*, 13, 377-395.
- 582 Geoffroy, O., A. Siebesma, and F. Burnet, 2014: Characteristics of the raindrop  
583 distributions in RICO shallow cumulus. *Atmospheric Chemistry and Physics*, 14,  
584 10897-10909.
- 585 Ghada, W., A. Buras, M. Lüpke, C. Schunk, and A. Menzel, 2018: Rain microstructure  
586 parameters vary with large-scale weather conditions in Lausanne, Switzerland.  
587 *Remote Sensing*, 10, 811.
- 588 Giannetti, F., and Coauthors, 2017: Real-time rain rate evaluation via satellite downlink  
589 signal attenuation measurement. *Sensors*, 17, 1864.
- 590 Gou, X., F. Chen, M. Yang, J. Li, J. Peng, and L. Jin, 2005: Climatic response of thick  
591 leaf spruce (*Picea crassifolia*) tree-ring width at different elevations over Qilian  
592 Mountains, northwestern China. *Journal of Arid Environments*, 61, 513-524.



- 593 Jash, D., E. Resmi, C. Unnikrishnan, R. Sumesh, T. Sreekanth, N. Sukumar, and K.  
594 Ramachandran, 2019: Variation in rain drop size distribution and rain integral  
595 parameters during southwest monsoon over a tropical station: An inter-comparison  
596 of disdrometer and Micro Rain Radar. *Atmospheric Research*, 217, 24-36.
- 597 Kruger, A., and W. F. Krajewski, 2002: Two-dimensional video disdrometer: A  
598 description. *Journal of Atmospheric and Oceanic Technology*, 19, 602-617.
- 599 Le Loh, J., D.-I. Lee, and C.-H. You, 2019: Inter-comparison of DSDs between  
600 Jincheon and Miryang at South Korea. *Atmospheric Research*, 227, 52-65.
- 601 Li, Z., and Coauthors, 2019: Climate background, relative rate, and runoff effect of  
602 multiphase water transformation in Qilian Mountains, the third pole region.  
603 *Science of The Total Environment*, 663, 315-328.
- 604 Lim, Y. S., J. K. Kim, J. W. Kim, B. I. Park, and M. S. Kim, 2015: Analysis of the  
605 relationship between the kinetic energy and intensity of rainfall in Daejeon, Korea.  
606 *Quaternary International*, 384, 107-117.
- 607 Ma, L., L. Zhao, D. Yang, Y. Xiao, L. Zhang, and Y. Qiao, 2019a: Analysis of Raindrop  
608 Size Distribution Characteristics in Permafrost Regions of the Qinghai–Tibet  
609 Plateau Based on New Quality Control Scheme. *Water*, 11, 2265.
- 610 Ma, Y., G. Ni, C. V. Chandra, F. Tian, and H. Chen, 2019b: Statistical characteristics of  
611 raindrop size distribution during rainy seasons in the Beijing urban area and  
612 implications for radar rainfall estimation. *Hydrology and Earth System Sciences*,  
613 23, 4153-4170.
- 614 Marshall, J. S., 1948: The distribution of raindrops with size. *J. meteor.*, 5, 165-166.
- 615 McFarquhar, G. M., T.-L. Hsieh, M. Freer, J. Mascio, and B. F. Jewett, 2015: The  
616 characterization of ice hydrometeor gamma size distributions as volumes in  $N_0$ –  
617  $\lambda$ – $\mu$  phase space: Implications for microphysical process modeling. *Journal of*  
618 *Atmospheric Sciences*, 72, 892-909.
- 619 Narayana Rao, T., N. Kirankumar, B. Radhakrishna, and D. Narayana Rao, 2006: On  
620 the variability of the shape-slope parameter relations of the gamma raindrop size  
621 distribution model. *Geophysical research letters*, 33.
- 622 Protat, A., and Coauthors, 2019: The latitudinal variability of oceanic rainfall properties  
623 and its implication for satellite retrievals: 1. Drop size distribution properties.  
624 *Journal of Geophysical Research: Atmospheres*, 124, 13291-13311.
- 625 Pu, K., X. Liu, Y. Wu, S. Hu, L. Liu, and T. Gao, 2020: A comparison study  
626 of raindrop size distribution among five sites at the urban scale during the  
627 East Asian rainy season. *Journal of Hydrology*, 590, 125500, <https://doi.org/10.1016/j.jhydrol.2020.125500>.
- 629 Penide, G., A. Protat, V. V. Kumar, and P. T. May, 2013: Comparison of two  
630 convective/stratiform precipitation classification techniques: Radar reflectivity  
631 texture versus drop size distribution–based approach. *Journal of Atmospheric and*  
632 *Oceanic Technology*, 30, 2788-2797.
- 633 Qin, Y., H. Lei, D. Yang, B. Gao, Y. Wang, Z. Cong, and W. Fan, 2016: Long-term  
634 change in the depth of seasonally frozen ground and its ecohydrological impacts



- 635           in the Qilian Mountains, northeastern Tibetan Plateau. *Journal of Hydrology*, 542,  
636           204-221.
- 637 Rincon, R. F., and R. H. Lang, 2002: Microwave link dual-wavelength measurements  
638           of path-average attenuation for the estimation of drop size distributions and rainfall.  
639           *IEEE Transactions on geoscience and remote sensing*, 40, 760-770.
- 640 Raupach, T. H., M. Thurai, V. Bringi, and A. Berne, 2019: Reconstructing the drizzle  
641           mode of the raindrop size distribution using double-moment normalization.  
642           *Journal of Applied Meteorology and Climatology*, 58, 145-164.
- 643 Seela, B. K., J. Janapati, P. L. Lin, K. K. Reddy, R. Shirooka, and P. K. Wang, 2017: A  
644           comparison study of summer season raindrop size distribution between Palau and  
645           Taiwan, two islands in western Pacific. *Journal of Geophysical Research:*  
646           *Atmospheres*, 122, 11,787-711,805.
- 647 Smith, J. A., E. Hui, M. Steiner, M. L. Baeck, W. F. Krajewski, and A. A. Ntelekos,  
648           2009: Variability of rainfall rate and raindrop size distributions in heavy rain.  
649           *Water Resources Research*, 45.
- 650 Thurai, M., P. Gatlin, and V. Bringi, 2016: Separating stratiform and convective rain  
651           types based on the drop size distribution characteristics using 2D video  
652           disdrometer data. *Atmospheric Research*, 169, 416-423.
- 653 Thurai, M., P. Gatlin, V. Bringi, W. Petersen, P. Kennedy, B. Notaroš, and L. Carey,  
654           2017: Toward completing the raindrop size spectrum: Case studies involving 2D-  
655           video disdrometer, droplet spectrometer, and polarimetric radar measurements.  
656           *Journal of Applied Meteorology and Climatology*, 56, 877-896.
- 657 Testud, J., S. Oury, R. A. Black, P. Amayenc, and X. Dou, 2001: The concept of  
658           “normalized” distribution to describe raindrop spectra: A tool for cloud physics  
659           and cloud remote sensing. *Journal of Applied Meteorology*, 40, 1118-1140.
- 660 Tian, H., T. Yang, and Q. Liu, 2014: Climate change and glacier area shrinkage in the  
661           Qilian mountains, China, from 1956 to 2010. *Annals of Glaciology*, 55, 187-197.
- 662 Wainwright, C. E., D. T. Dawson, M. Xue, and G. Zhang, 2014: Diagnosing the  
663           intercept parameters of the exponential drop size distributions in a single-moment  
664           microphysics scheme and impact on supercell storm simulations. *Journal of*  
665           *Applied Meteorology and Climatology*, 53, 2072-2090.
- 666 Wang, Y., J. Zheng, Z. Cheng, and B. Wang, 2020: Characteristics of Raindrop Size  
667           Distribution on the Eastern Slope of the Tibetan Plateau in Summer. *Atmosphere*,  
668           11, 562.
- 669 Wu, Y., and L. Liu, 2017: Statistical characteristics of raindrop size distribution in the  
670           Tibetan Plateau and southern China. *Advances in Atmospheric Sciences*, 34, 727-  
671           736.
- 672 Yang, L., J. Smith, M. L. Baeck, B. Smith, F. Tian, and D. Niyogi, 2016: Structure and  
673           evolution of flash flood producing storms in a small urban watershed. *Journal of*  
674           *Geophysical Research: Atmospheres*, 121, 3139-3152.
- 675 Zhang, A., and Coauthors, 2019: Statistical characteristics of raindrop size distribution  
676           in the monsoon season observed in southern China. *Remote Sensing*, 11, 432.



677 Zhao, P., and Coauthors, 2019: The Tibetan Plateau surface-atmosphere coupling  
678 system and its weather and climate effects: The Third Tibetan Plateau Atmospheric  
679 Science Experiment. *Journal of Meteorological Research*, 33, 375-399.  
680 Zeng, Y., and Coauthors, 2021: Statistical Characteristics of Raindrop Size Distribution  
681 during Rainy Seasons in Northwest China. *Advances in Meteorology*, 2021.  
682