

# Climate and ~~Cryosphere Cause Water Yield Regime Shifts~~ cryosphere cause water yield regime shifts in the Upper Brahmaputra River basin

Hao Li<sup>1,2</sup>, Baoying Shan<sup>3,2</sup>, Liu Liu<sup>1</sup>, Lei Wang<sup>4</sup>, Akash Koppa<sup>2</sup>, Feng Zhong<sup>5,2</sup>, Dongfeng Li<sup>6</sup>,  
Xuanxuan Wang<sup>1</sup>, Wenfeng Liu<sup>1</sup>, Xiuping Li<sup>4</sup>, and Zongxue Xu<sup>7</sup>

<sup>1</sup>Center for Agricultural Water Research in China, China Agricultural University, Beijing, China

<sup>2</sup>Hydro-Climate Extremes Lab, Ghent University, Ghent, Belgium

<sup>3</sup>Research Unit Knowledge-based Systems, Ghent University, Ghent, Belgium

<sup>4</sup>Institute of Tibetan Plateau Research, Chinese Academy of Science, Beijing, China

<sup>5</sup>College of Hydrology and Water Resources, Hohai University, Nanjing, China

<sup>6</sup>Department of Geography, National University of Singapore, Singapore

<sup>7</sup>College of Water Sciences, Beijing Normal University, Beijing, China

**Correspondence:** Liu Liu (liuliu@cau.edu.cn)

**Abstract.** Although evidence of hydrological responses to climate is abundant, the reliable assessments of water yield (WY) over mountainous regions, such as the Upper Brahmaputra River (UBR) basin, remain unclear due to intensified cryospheric changes. Based on multi-station runoff observations, we examine long-term WY changes during 1982–2013 in the UBR basin, and find that there are in general hydrological regime shifts in the late 1990s; magnitude increases in WY range from ~10% to ~80%, while its directions reverse from ~~upward to downward after the late 1990s~~the increase to decrease. Then, the double mass curve (DMC) technique is used to assess the effects of climate, vegetation, and cryosphere on WY changes. Results show that climate and cryosphere together contribute to over 80% of magnitude increases of WY in the entire UBR basin, in which the role of vegetation is nearly negligible. The combined effects, however, are either offsetting or additive, leading to slight or substantial magnitude increases, respectively. Climate change, ~~particularly precipitation decrease~~mainly decreased precipitation, leads to the downward WY trend in recent years, while melt waters under global warming may alleviate the water shortage in some basins. Therefore, the combined effects of climate and cryosphere on WY should be considered in future water resources management over mountainous basins, particularly involving co-benefits between upstream and downstream regions.

## 1 Introduction

Water yield (or runoff depth, WY) in mountainous watersheds is crucial for sustaining fragile ecosystems in headwaters, supplying valuable freshwater resources to downstream lowlands, and balancing co-benefits between upstream and downstream areas, especially for large transboundary river systems (Viviroli et al., 2011). In mountainous watersheds, WY changes have been commonly, but separately, attributed to climate variability (Dierauer et al., 2018; Song et al., 2021), vegetation greening or browning (Goulden and Bales, 2014; Zhou et al., 2021), and glaciers and snow melting (Huss and Hock, 2018; Biemans

20 et al., 2019). These changes are expected to alter the spatial and temporal distribution of water resources (Tang et al., 2019) and further threaten water supply and food security downstream (Biemans et al., 2019). The Qinghai-Tibet Plateau (QTP, see Figure 1a), which is also known as “Asian Water Tower”, supplies water resources for major rivers in Asia, such as Brahmaputra, Salween, Mekong, Yangtze, Yellow, and Indus Rivers (Kang et al., 2010; Yao et al., 2010, 2019). As such, WY changes over this region significantly affect the use of water resources, prevention of natural disasters, and protection of  
25 aquatic functions for the livelihoods of approximately two billion people (Immerzeel et al., 2010). While, total river runoff has never been reliably assessed, and its responses to global warming remain unclear, despite some in-situ observations and runoff estimates from state-of-the-art remote sensing technology (Wang et al., 2021). Therefore, comprehensively assessing ~~the impacts of climate, vegetation, and cryosphere on~~ long-term ~~WY changes~~ changes of WY, particularly magnitude and direction, is of great importance for the sustainable development of water resources in the QTP (Yao et al., 2019).

30 In recent years, ~~changes in climate, vegetation, and cryosphere have affected WY~~ WY has been significantly affected by multiply factors in the QTP. For example, Fan and He (2015) highlighted the important role of precipitation (P) in WY increases in the Salween and Mekong River basins. Li et al. (2020) found that elevated precipitation and ~~cryosphere changes~~ melt waters both contributed to substantial WY increases in the Tuotuo River basin. Similarly, Lutz et al. (2014) projected that increased precipitation near the Salween and Mekong Rivers and accelerated meltwater near the Indus River caused significant WY  
35 changes. Vegetation ~~has~~ changes have also been proven to be vital for mountainous water resources; Li et al. (2017) showed that evaporation (E), mostly due to grassland restoration, decreased WY in the Yangtze River basin, while Li et al. (2021) suggested that vegetation greening may change the seasonality of water resources and increase WY during the dry season in the UBR basin.

Although a growing body of evidence has shown that WY is ~~affected by climate, vegetation, and cryosphere~~ experiencing  
40 significant changes in the QTP, most previous studies focus on individual basins, ~~and also do not consider these three aspects together. As such, previous results which~~ may not fully reveal the spatial variabilities in WY. Of specific interest is the Upper Brahmaputra River (UBR, see Figure 1ab) basin, which covers an area of over 198,636 km<sup>2</sup> and has large gradients in elevation, ~~climate, and vegetation~~ (Li et al., 2019b) thus leading to the elevation-dependent climate and vegetation pattern (Li et al., 2019b; Gao et al., 2018). Hence, it is imperative to provide a comprehensive, spatially differentiated study  
45 ~~considering the effects of climate, vegetation, and cryosphere on~~ on long-term WY changes in this region. ~~However, studies~~ Studies of WY changes in the UBR basin, ~~however,~~ are significantly hindered by the sparse network of hydrological observation stations (Li et al., 2019b; Wang et al., 2021; Yao et al., 2019), which leads to large uncertainties in river flow forecasts, and thus water resources assessments. Also, current precipitation estimates are highly uncertain owing to the complex topography of this region, which limits the ability to accurately model the relationships between precipitation and runoff (Sun and Su, 2020).  
50 Lastly, the present inadequate understanding of hydrological responses to complex interactions among ~~climate, vegetation, and cryosphere~~ multi-spheres limits the application of hydrological models in these mountainous watersheds (Pellicciotti et al., 2012). While, long-term observed runoff records and recent high-resolution precipitation ~~datasets give a pathway for using statistical methods~~ data in the UBR basin provide a valuable opportunity to estimate runoff responses to warming ~~in the UBR basin~~ by statistical methods.

55 HenceTherefore, this study ~~here jointly assesses~~ use the Double Mass Curve (DMC) technique to jointly assess historical WY responses to climate warming and associated environmental changes in the UBR basin. To do this, we collect multi-station runoff observations and detect long-term WY changes during 1982–2013 ~~in the UBR basin~~. And then, we use ~~the double mass curve (DMC)~~ DMC method to estimate the effects of climate ~~;~~ vegetation that is indicated by effective precipitation (P-E, eP), vegetation (represented by Leaf Area Index, LAI), and cryosphere (e.g. melt waters from glaciers and snow) on magnitude and  
60 direction changes in WY (See Data and Methods). This study is expected to provide essential information for water resources management in the UBR basin and other mountainous watersheds.

## 2 Data and Methods

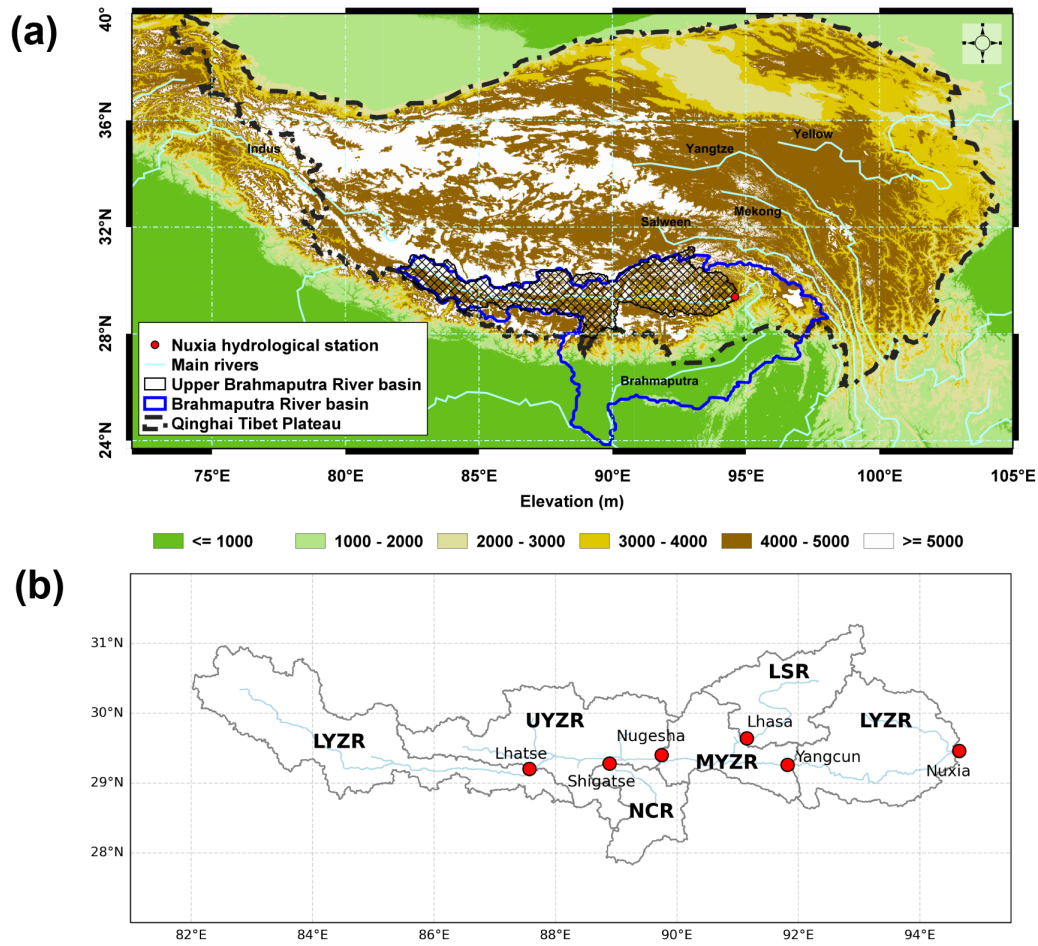
### 2.1 Study area

The Brahmaputra River (known as the Yarlung Zangbo River, or YZR, in China), a transboundary river in the southern QTP,  
65 originates in the Gyama Langdzom Glacier and flows across China, India, and Bangladesh, before emptying into the Indian Ocean. The UBR basin is located above the Nuxia hydrological station (Figure 1a), and its river flow has significant implications on freshwater resources of South Asia. Here, we divide the UBR basin into the headstream (HYZR), upstream (UYZR), midstream (MYZR), downstream (LYZR), Nianchu River (NCR), and Lhasa River (LSR) basins by the locations of hydrological stations (Table ~~??~~ 1 and Figure 1b).

70 The elevation gradient and the distance to the ocean in the UBR basin together contribute to a large spatial variability in climate (Sang et al., 2016). The annual precipitation in the HYZR basin is less than 400 mm, while that in the LYZR basin is nearly 1000 mm, and similarly, the annual ~~actual~~ evaporation increases gradually from upstream to downstream areas (Figure S1a+b). Meanwhile, water and energy availability modulate vegetation conditions (Li et al., 2019a); vegetation cover increases dramatically from the HYZR to the LYZR basin (Figure S1c). Additionally, cryospheric meltwater due to atmospheric warming  
75 has substantially altered hydrology conditions in this region (Cuo et al., 2019; Yao et al., 2010; Wang et al., 2021).

**Table 1.** Information of six basins divided by the locations of hydrological stations. The column "Tp" indicates the turning point using the Pettitt method, in which a significant turning point is labeled with \*. Glaciers and snow is acquired from the land use and cover in 2000 (see Data). The unit of area is km<sup>2</sup>, and the unit of elevation is m.

Abbreviation	Full names	Station	Total area	Mean elevation	Glaciers and snow		Tp
					area	percent	
HYZR	Headstream	Lhatse	49,739	5,061	853	1.71	1995
UYZR	Upstream	Nugesha	43,916	4,985	175	0.40	1998*
NCR	Nianchu River	Shigatse	14,359	4,733	282	1.96	1997*
MYZR	Midstream	Yangcun	20,004	4,681	360	1.80	1997*
LSR	Lhasa River	Lhasa	25,601	4,879	185	0.72	1996
LYZR	Downstream	Nuxia	38,419	4,586	963	2.51	1997



**Figure 1.** Location of (a) the Upper Brahmaputra River (UBR) basin in the Qinghai Tibet Plateau (QTP), which is from Li et al. (2021), and (b) six basins divided by Lhatse, Nugesha, Shigatse, Yangcun, Lhasa, and Nuxia hydrological stations.

## 2.2 Dataset Data

Here we collect [multi-station](#) annual runoff observations from 1982 to 2013 and convert the river flow ( $m^3/s$ ) into runoff depth ( $mm$ ). Also, we acquire high-resolution climate and vegetation data in the same time range, and further aggregate these gridded data into regional annual values by considering area-weighted effects (their temporal changes are shown in Figure

80 S2).

### 2.2.1 Runoff data

Annual runoff ~~data~~observations between 1982 and 2013 used here come from six hydrological stations along the mainstream and major branches in the UBR basin. WY in the HYZR is equal to runoff depth at Lhatse station, while WY in other basins is calculated by the difference between runoff depth from the downstream station and that from the upstream and branch stations.

85 For example, WY in the MYZR basin is equal to the difference between runoff depth in Yangcun station and that in Lhasa and Nugesha stations (Figure 1b).

### 2.2.2 Climate data

The most recent ~~10×10~~10 km gridded daily precipitation data, combining topographic and linear correction approaches based on 262 rain-gauge observations, is developed for the UBR basin by Sun and Su (2020) and here used to estimate regional annual precipitation (P). The maximum 2 m air temperature is obtained from China Meteorological Forcing Dataset (He et al., 2020). ~~Regional-actual-evaporation (AET)~~The evaporation (E) with a 0.25° spatial resolution is acquired from Global Land Evaporation Amsterdam Model (GLEAM) (~~Martens et al., 2017~~). ~~The evaporation product version 3.5a (Martens et al., 2017)~~. GLEAM evaporation has been validated in different biome types in China and ~~shown~~showed high correlations with in-situ eddy covariance ~~AET~~E (Yang et al., 2017).

### 95 2.2.3 Vegetation data

~~The leaf area index~~Leaf Area Index (LAI) used in this study is obtained from Global Inventory Monitoring and Modelling System (GIMMS) LAI3g with a spatial resolution of 8 ~~×8~~8 km (Zhu et al., 2013). The product is generated using an artificial neural network trained on the Collection Terra Moderate Resolution Imaging Spectroradiometer (MODIS) LAI product and the latest version of GIMMS NDVI3g data for the same period, which has been proven to have an improved multi-sensor record harmonization scheme compared to other global LAI products (~~Forzieri et al., 2020; Gonsamo et al., 2021~~) (Forzieri et al., 2020; Gonsamo et al., 2021; Zhu et al., 2016).

### 2.2.4 Land use and cover

The land use and cover in 2000 with a spatial resolution of ~~1×1~~1 km is used to represent the land cover types in the UBR basin. The data is acquired from Resource and Environmental Science Data Center, and is here divided into seven primary land use types, including cultivated land, forestland, grassland, water body, urban land, unused land, and glaciers and snow (Figure S3).

## 2.3 Methodology

### 2.3.1 Trend and abrupt analysis

In this study, we use the non-parametric Mann–Kendall test (Kendall, 1938; Mann, 1945) to identify the trend in WY, and Pettitt abrupt detection (Pettitt, 1979) to identify the turning point (~~TP~~Tp) in WY, where the level of significance is set at 0.05.

110 [The Pettitt method has the advantages of the non-parametric, rank-based and distribution-free for finding the abrupt variation in a time series.](#) We then compare the averages of WY before and after [the TP-Tp](#) to reflect the magnitude changes, and compare the trends in two periods to reflect the direction changes.

### 2.3.2 Double mass curve technique

The DMC used here is a plot of the cumulative data of one variable versus the cumulative data of another related variable in a concurrent period. It has previously been used to assess the individual effect of climate (Gao et al., 2011), forest disturbance (Wei and Zhang, 2010), wildfire (Hallema et al., 2018), and cryosphere (Brahney et al., 2017) on water resources. For the large and pristine UBR and other mountainous basins, climate, vegetation, and cryosphere (melt waters from glaciers and snow under warming, see Biemans et al. 2019; Huss and Hock 2018) play important roles in hydrology, and these three parts must be together considered to accurately estimate hydrological responses to warming. It is considerably hard to directly calculate the supply of melt waters to WY due to the lack of long-term glacier monitoring, while runoff observations and high-resolution climate and vegetation data make it possible to use the DMC technique, a data-driven statistical method, to estimate cryospheric contributions to WY.

The selection of climate and vegetation indices used in the DMC technique is an important issue. Previous studies have shown that effective precipitation ([eP, P-AETP-E, eP](#)) can reflect more information of climate on WY compared with individual P or [AETE](#), and be regarded as a reliable proxy to climate (Wei and Zhang, 2010; Zhang et al., 2019). LAI quantifies the amount of leaf area in an ecosystem and becomes an important variable reflecting vegetation structures and biophysical processes ([Fang et al., 2019; Forzieri et al., 2020](#))([Forzieri et al., 2020](#)), and Li et al. (2021) has used LAI to investigate vegetation effects on seasonal hydrology in the UBR basin. Hence, we consider eP and LAI as the indices of climate and vegetation respectively, and use their time series as the inputs in the DMC model.

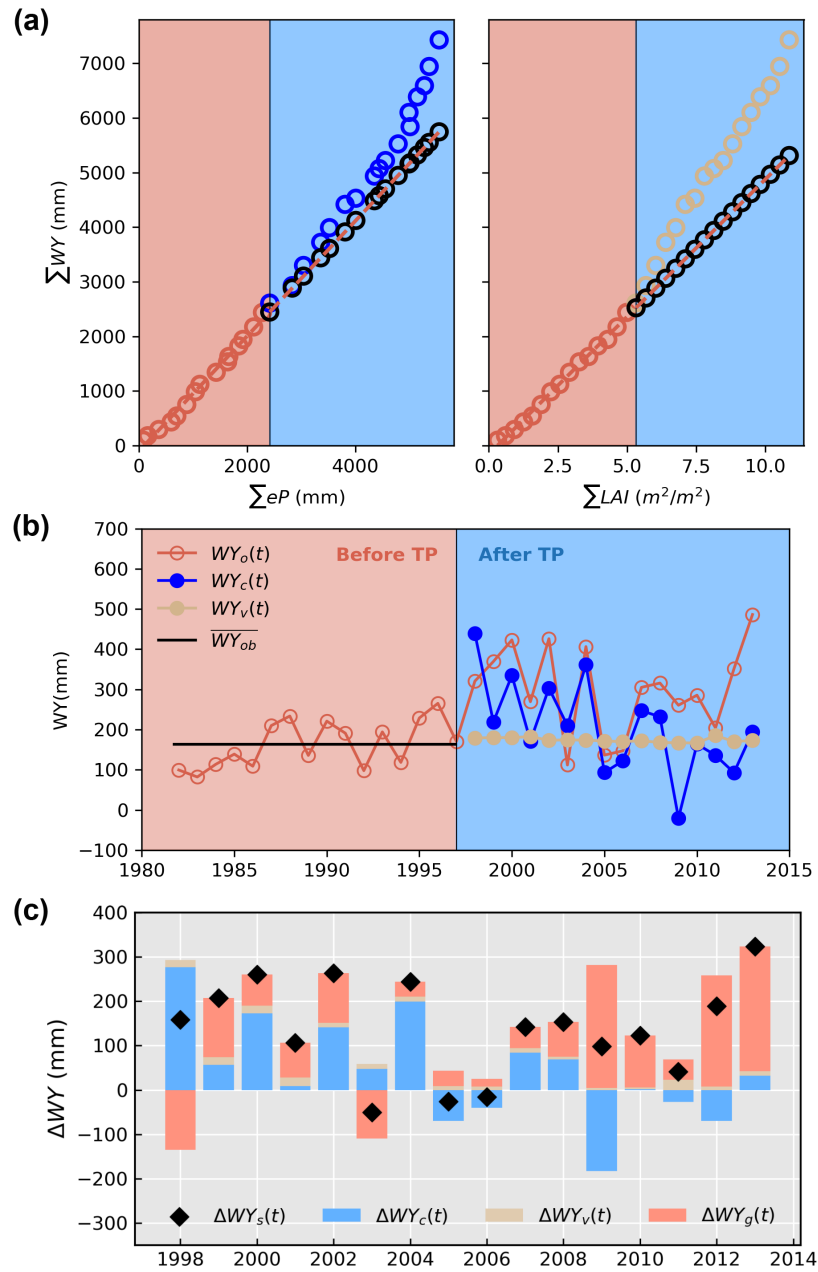
130 To obtain cryospheric contributions to WY, we firstly build two types of DMC plots (see Figure S4) to assess the contributions of climate (eP) and vegetation (LAI), and then subtract the sum of estimated contributions from total WY deviations as cryospheric effects (results are shown in Figure S5). The [schematic diagram Figure 2 and associated mathematical formulas](#) [mathematical formulas, relevant descriptions and an example \(Figure 2\)](#) are shown as follows:

First, the [averaged WY before Tp \( \$\overline{WY\_{ob}}\$ , horizontal black line in Figure 2b\)](#) is defined as:

$$135 \quad \overline{WY_{ob}} = \frac{\sum_{t=1982}^{t=Tp} WY_o(t)}{Tp - 1982 + 1} \quad (1)$$

[Next, the total WY deviation \( \$\Delta WY\_s\(t\)\$ , black diamond in Figure 2c\)](#) can be calculated as the difference between WY [after a TP observations after Tp \( \$WY\_o\(t\)\$ , red point in Figure 2b\)](#) and the [average WY before that TP \( \$\sum\_{t=1}^{t=tp} WY\_o\(t\)\$ , horizontal black line in Figure 2b\)](#) [averaged WY before Tp \( \$\overline{WY\_{ob}}\$ \)](#), as follows:

$$\Delta WY_s(t) = WY_o(t) - \frac{\sum_{t=1}^{t=tp} WY_o(t)}{tp} \overline{WY_{ob}}, \quad t = \underline{tpTp} + 1, \underline{tpTp} + 2, \dots, \underline{322013} \quad (2)$$



**Figure 2.** The example showing how to estimate the effects of climate, vegetation, and cryosphere on water yield in the MYZR basin (see details in Methodology), where  $Tp$  is 1997.

140 Second, the regression equation (left panel in Figure 2a) between the cumulative  $eP$  ( $\sum eP$ ) and cumulative  $WY$  ( $\sum WY$ ) before **a-TP-Tp** can be constructed as follows:

$$\sum WY = a_1 \sum eP + b_1 \quad (3)$$



Similarly, the regression equation (right panel in Figure 2a) between the cumulative LAI ( $\sum LAI$ ) and cumulative water yield ( $\sum WY$ ) before a ~~TP~~TP can be constructed as follows:

$$145 \quad \sum WY = a_2 \sum LAI + b_2 \quad (4)$$

Third, WY driven by climate ( $WY_c(t)$ , blue line in Figure 2b) can be calculated by inputting the cumulative eP after a ~~TP~~TP into Equation 3 that is built based on the cumulative data of WY and eP before TP. Therefore, WY ~~deviation~~deviations caused by climate change ( $\Delta WY_c(t)$ , blue bar in Figure 2c) can be calculated as follows:

$$\Delta WY_c(t) = WY_c(t) - \frac{\sum_{t=1}^{t=tp} WY_o(t)}{tp} \overline{WY_{ob}}, \quad t = \underline{tpTP} + 1, \underline{tpTP} + 2, \dots, \underline{322013} \quad (5)$$

150 Similarly, WY driven by vegetation ( $WY_v(t)$ , tan line in Figure 2b) can be calculated via Equation 4, and WY deviation caused by vegetation ( $\Delta WY_v$ , tan bar in Figure 2c) can be calculated as follows:

$$\Delta WY_v(t) = WY_v(t) - \frac{\sum_{t=1}^{t=tp} WY_o(t)}{tp} \overline{WY_{ob}}, \quad t = \underline{tpTP} + 1, \underline{tpTP} + 2, \dots, \underline{322013} \quad (6)$$

Finally, WY deviation caused by cryosphere ( $\Delta WY_g$ , red bar in Figure 2c) can be calculated as:

$$\Delta WY_g(t) = \Delta WY_s(t) - \Delta WY_c(t) - \Delta WY_v(t) \quad (7)$$

155 ~~The schematic diagram showing how to estimate the effects of climate, vegetation, and cryosphere on water yield in the MYZR basin (see details in Methodology).~~

### 2.3.3 Attribution analysis on changes in water yield

The average contributions of climate, vegetation, and cryosphere to WY magnitude changes are calculated as follows:

$$\begin{aligned} \overline{\Delta WY_c} &= \frac{\sum_{t=TP+1}^{t=2013} \Delta WY_c(t)}{2013 - TP} \\ \overline{\Delta WY_v} &= \frac{\sum_{t=TP+1}^{t=2013} \Delta WY_v(t)}{2013 - TP} \\ \overline{\Delta WY_g} &= \frac{\sum_{t=TP+1}^{t=2013} \Delta WY_g(t)}{2013 - TP} \end{aligned} \quad (8)$$

160 The relative contribution ( $RC$ ), ranging from 0 to 1, of climate, vegetation, and cryosphere can be calculated as follows:

$$\begin{aligned} RC_c &= \frac{|\overline{\Delta WY_c}|}{|\overline{\Delta WY_c}| + |\overline{\Delta WY_v}| + |\overline{\Delta WY_g}|} \\ RC_v &= \frac{|\overline{\Delta WY_v}|}{|\overline{\Delta WY_c}| + |\overline{\Delta WY_v}| + |\overline{\Delta WY_g}|} \\ RC_g &= \frac{|\overline{\Delta WY_g}|}{|\overline{\Delta WY_c}| + |\overline{\Delta WY_v}| + |\overline{\Delta WY_g}|} \end{aligned} \quad (9)$$



165 Additionally, we use the Pearson correlation coefficient ( $r$ ) to quantify the relationships between total WY deviation ( $\Delta WY_s(t)$ ) and its components: WY deviation caused by climate ( $\Delta WY_c(t)$ ), vegetation ( $\Delta WY_v(t)$ ) and cryosphere ( $\Delta WY_g(t)$ ). The Student's t-test with the Bonferroni correction (Bonferroni, 1935) is used to detect the statistical significance of the correlation coefficient at the level of 0.05.

### 3 Results

#### 3.1 Long-term changes in historical water yield

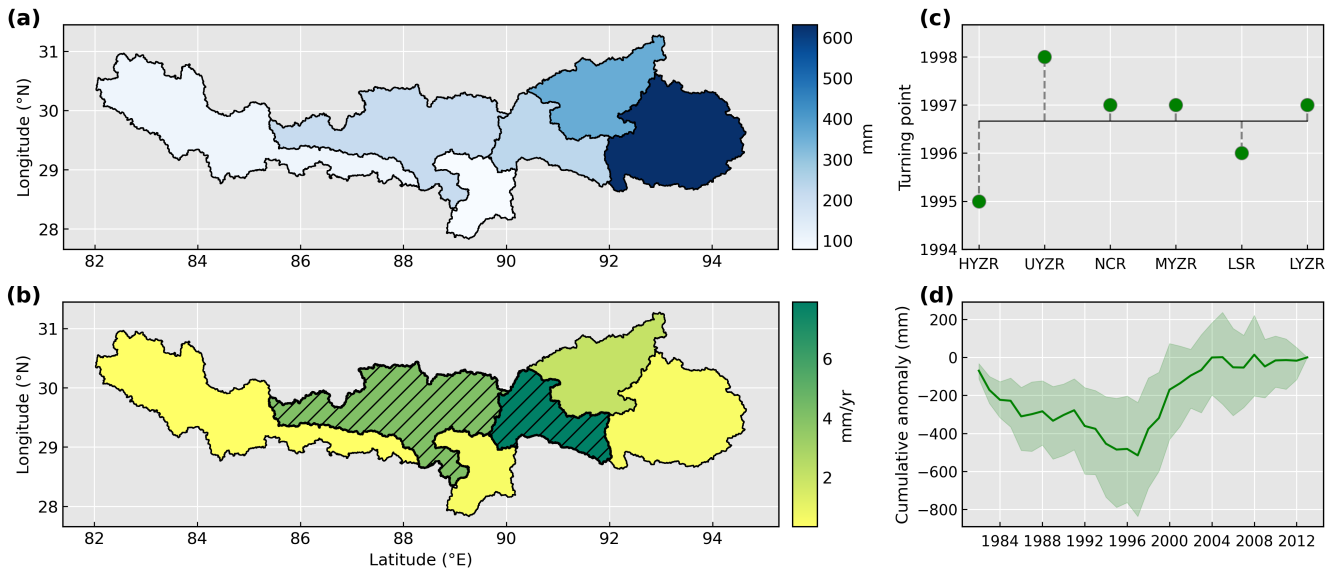
170 The detection of long-term WY changes from 1982 to 2013 in the entire UBR basin is illustrated in Figure 3. There is a great spatial variability in WY (Figure 3a). The mean WY is the highest in the LYZR basin (over 600 mm), followed by that in the LSR basin (nearly 400 mm). However, the mean WY in the HYZR and NCR basins is less than 100 mm. This spatial variability is consistent with that of precipitation (Figure S6), which is mainly determined by elevation and distance to the ocean (Sang et al., 2016). In addition, WY generally increases during the period, as shown by the positive slope in Figure 3b, although the significant trend is only detected in the UYZR and MYZR basins (hatched areas in Figure 3b).

175 ~~We then use the Pettitt method to identify the TP in WY. The TP-Tp~~ mainly occurs during the late 1990s (Figure 3c), but the abrupt change detected in some basins is not statistically significant (Table ??1). Similarly, the cumulative anomaly curve (Figure 3d) shows that WY decreases prior to the late 1990s and then increases in the entire UBR basin, which further supports the results obtained from the Pettitt method.

#### 3.2 Regime shifts in historical water yield

180 Based on the TP results of the abrupt detection, we divide the period from 1982 to 2013 into before and after ~~TP periods~~ Tp period, and analyze the magnitude and direction changes in WY in the entire UBR basin. Figure 4a shows that WY increases from 9.5 to 130.9 mm, with a high spatial variability. The slight increase observed in the HYZR and LYZR basins accounts for less than 10% of the mean WY before ~~the TP-Tp~~, while a substantial WY increase of 61.6% and 80.5% is found in the UYZR and MYZR basins, respectively. In addition, a higher variation is detected after ~~TP-Tp~~, suggesting a more dramatic variability in recent years.

185 For the direction changes in WY, we find that the trend is positive before ~~the TP, but become~~ Tp, but becomes negative afterward in most basins (Figure 4b and S2). The significantly decreasing trend is detected in the UYZR, NCR, and LSR basins. In contrast, although the WY in the MYZR basin increases during two periods, the rate has slowed, as the positive trend after ~~the TP-Tp~~ (3.64 mm yr<sup>-1</sup>,  $p > 0.05$ ) is less than that before ~~the TP-Tp~~ (8.95 mm yr<sup>-1</sup>,  $p < 0.05$ ). Overall, WY regime shifts occur in the late 1990s in the entire UBR basin; the magnitude generally increases, but the direction of WY has reversed  
190 or slowed.

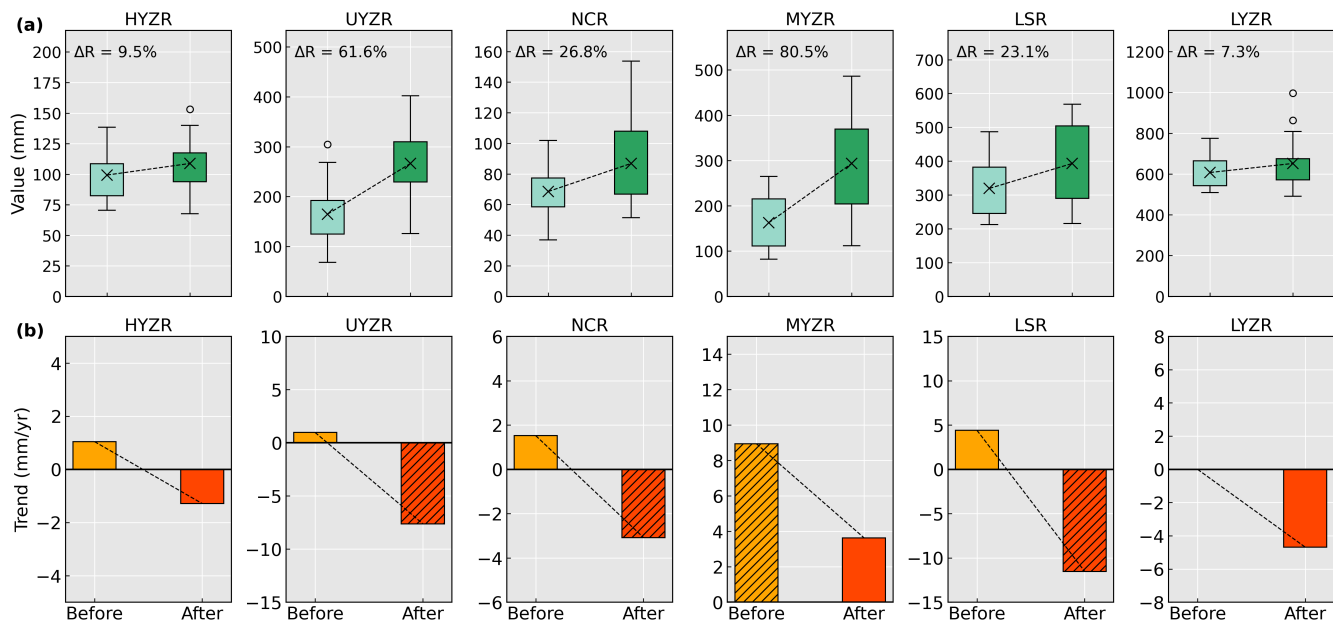


**Figure 3.** Long-term water yield changes in the ~~six basins, covering the~~ entire UBR basin. (a) The mean annual values by averaging water yield from 1982 to 2013. (b) The temporal trends detected by the Mann-Kendall Sen's slope method. The black hatching represents statistically significant ( $p < 0.05$ ) trends. (c) The turning points (FP) detected by the Pettitt method. (d) The cumulative anomaly curve of water yield. The solid green line represents the ensemble expectation of cumulative anomaly curves of water yield for the entire UBR basin (green shading).

### 3.3 Attribution analysis on magnitude increases in water yield

As shown in Figure 5, we quantify the contributions from climate (eP), vegetation (LAI), and cryosphere on WY magnitude increases in the entire UBR basin. We find that cryosphere changes, on average, contribute to over half of WY increases in the HYZR, UYZR, NCR, and MYZR basins. However, climate plays a more important role in WY magnitude increases in the LSR and LYZR basins, with average relative contributions of 55.4% and 46.0%, respectively. In contrast to the dominant roles of climate and cryosphere, vegetation has a consistently positive contribution to WY increases, although its relative contribution is much less than those from climate and cryosphere.

Climate and cryosphere – two important factors affecting WY – together contribute to over 80% average magnitude increases of WY in the entire UBR basin; however, they play both additive or offsetting roles in different basins (Figure 5) ~~,-resulting~~ and thus result in slight or substantial WY increases (Figure 4a). For example, although cryospheric ~~loss contributes changes~~ contribute to average increases of 28.3 mm and 30.3 mm in the HYZR and NCR basins (black "x" ~~signals-signal~~ in Figure 5a+c), the negative contributions from climate offset a considerable part of these increases, leading to slight increases after ~~the~~ FP-Tp in these regions. Similarly, the positive contribution from climate offsets the negative contribution from cryosphere in the LSR and LYZR basins, which also results in a slight ~~mean-WY~~ increase. In addition, the additive effects from climate and



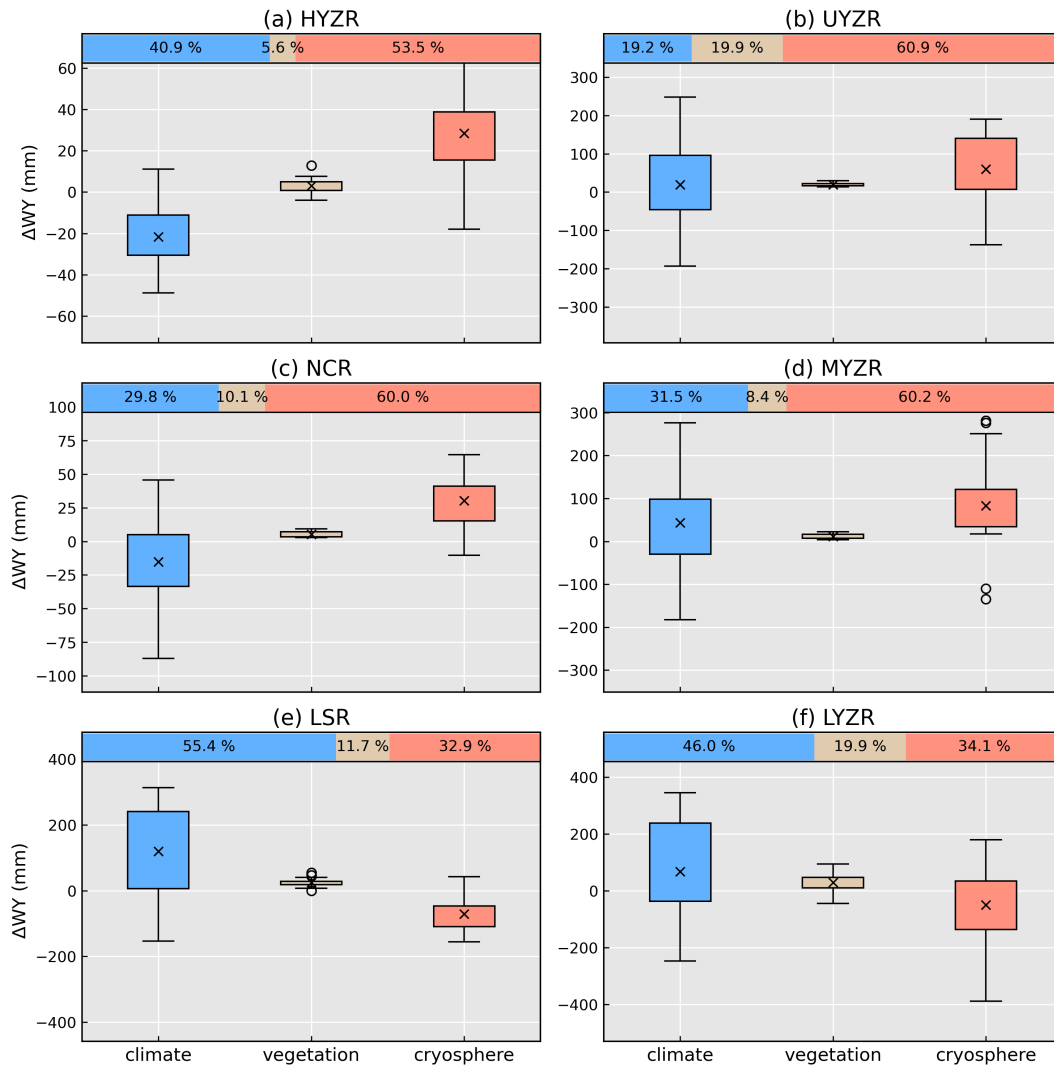
**Figure 4.** Water yield regime shifts in the entire UBR basin. (a) Magnitude of water yield changes. Black "x" ~~signals show~~ signal shows the mean of water yield in each boxplot. (b) Direction of water yield changes. The black hatching represents the statistically significant trend ( $p < 0.05$ ). The color of boxes represents ~~the period~~ before (light color) and after (dark color) ~~the turning point (TP)~~ TP period.

205 cryosphere lead to substantial increases in WY from 162.6 mm to 293.5 mm in the MYZR basin and from 164.9 mm to 266.5 mm in the UYZR basin (Figure 4a).

### 3.4 Attribution analysis on direction shifts in water yield

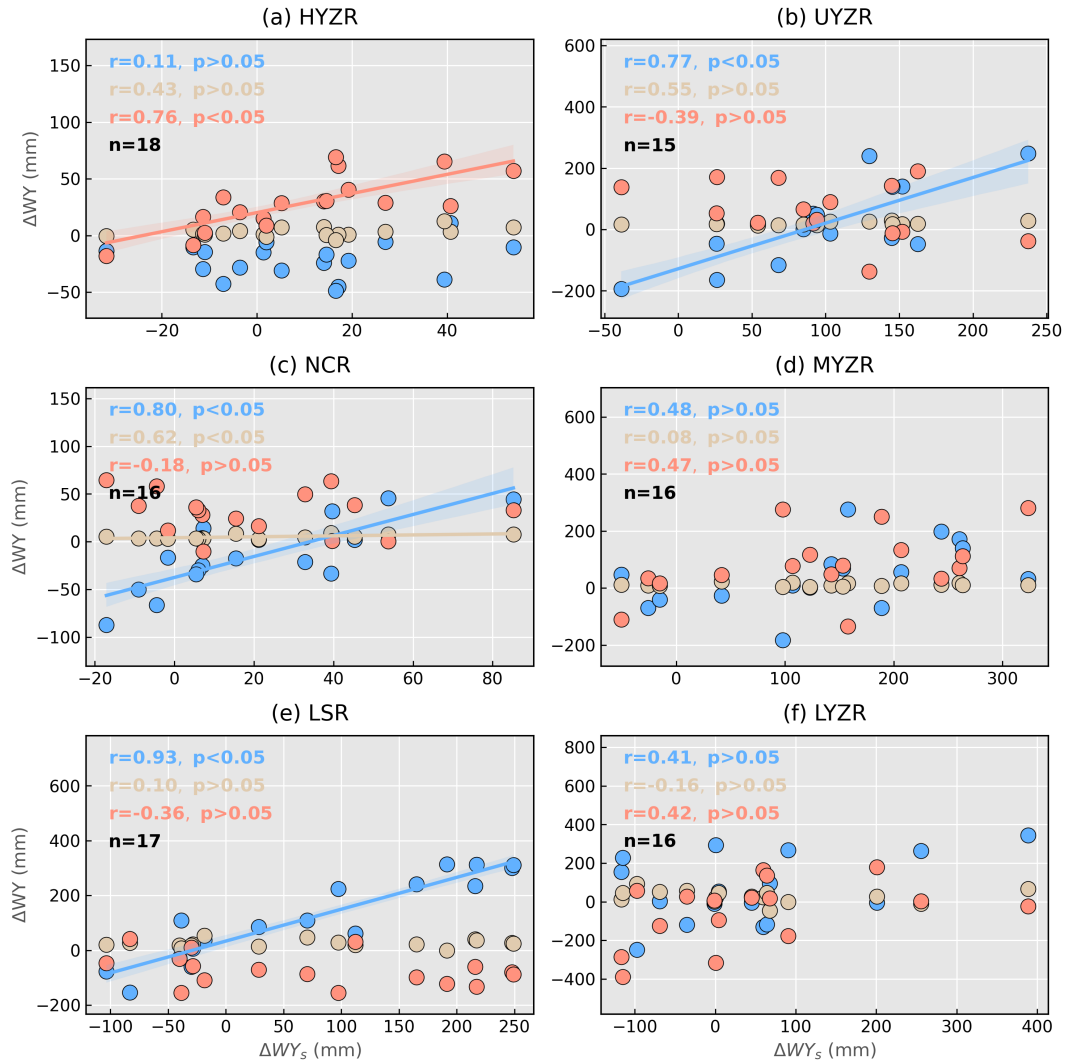
Pearson ~~'s~~ correlation coefficient is applied to determine the role of climate, vegetation, and cryosphere in the reversed or slowed WY ~~trend after the TP~~ trends after Tp, as shown in Figure 4b. Results in Figure 6 show that, although the correlation varies greatly across basins ranging from 0.11 to 0.93 after ~~the TP~~ Tp, climate typically is positively associated with total WY, in which the correlation is significant in half of basins ( $p < 0.05$ ), again revealing the major role of climate in the hydrological trends in the entire UBR basin. Further analysis shows that, precipitation is much more important, because it exhibits the stronger reverse in trend compared with that in ~~actual~~-evaporation (Figure S7), which is also similar with direction changes in WY (Figure 4b). Additionally, despite the weak contribution of vegetation (Figure 5), its positive role in WY changes is more  
 215 apparent in the drier basins (such as the HYZR, UYZR and NCR basins), while the correlation is negative in the relatively humid LYZR basin.

In contrast to positive contributions of climate, we find that WY caused by cryosphere exhibits a negative association with reduced total WY deviations in recent years in the UYZR ( $r = -0.39$ ,  $p > 0.05$ ) and LSR ( $r = -0.36$ ,  $p > 0.05$ ) basins. The negative but weak relationship indicates that melt waters from cryospheric loss may compensate for low flow, and even mitigate



**Figure 5.** Attribution analysis of magnitude increases in water yield due to climate ( $\Delta WY_c$ , blue box), vegetation ( $\Delta WY_v$ , tan box), and cryosphere ( $\Delta WY_g$ , red box), and their relative contributions (the bar with colors on the top) in each basin. Black "x" signals signal show the mean of water yield deviations (see Figure S5) in each boxplot.

220 water shortage risks. Also, the compensating effect from cryosphere is much stronger in the MYZR ( $r = 0.47$ ,  $p > 0.05$ ), and  
 together with climate contributions, contributes to the increasing WY trend (Figure 4). Different from other regions, however,  
 the HYZR basin shows a significantly positive relationship between cryospheric contributions and total WY deviations ( $r =$   
 $0.76$ ,  $p < 0.05$ ), indicating that cryosphere instead of climate leads to the downward trend in headwaters. This signifies that in  
 this region, cryospheric contributions may have already passed a maximum supplying to river flow, due to decreased glaciers  
 225 and snow under continuous warming. ~~The~~ This is further verified by the relationship of cryospheric contributions to total



**Figure 6.** The correlation between time series of total water yield deviation ( $\Delta WY_s(t)$ , x-axis) and its components (y-axis) caused by climate ( $\Delta WY_c(t)$ , blue point), vegetation ( $\Delta WY_v(t)$ , tan point), and cryosphere ( $\Delta WY_g(t)$ , red point), respectively. The fitting line and its 95% confidence interval are shown only when p value  $< 0.05$ .  $n$  indicates the number of years after the TPTp, which is determined by the Pettitt method (See Table ??-1 and Figure 3c).

WY ( $RC_g$ ) with temperature (Figure S8). In the HYZR basin, WY resulting from the cryosphere continues to increase with temperature a); cryospheric contribution to WY increases with temperature firstly until a maximum is reached, beyond which cryospheric contribution to total WY and then begins to decrease. In addition, the compensating effect of melt waters can be seen clearly in the UYZR, MYZR and LSR basins, i.e., WY caused by cryospheric loss changes keeps a positive relationship with the increase of temperature, further supporting the higher correlation in these basins (Figure 6).

## 4 Discussion

### 4.1 Climate and cryosphere cause water yield regime shifts

Previous studies have indicated the increasing WY trend in the LSR (Lin et al., 2020), LYZR (Zhang et al., 2011), and UBR basins (Li et al., 2021). Here, we not only provide further evidence on the long-term trend of WY changes in the above regions, but also conduct trend analysis in other regions that received less attention in the present. As such, the study comprehensively indicates a general increase in WY (Figure 3a) in the entire UBR basin. Further, we find that WY regime shifts occur during the late 1990s in the entire UBR basin – the magnitude in WY increases (Figure 4a), but the direction has reversed or slowed after ~~the-TP~~TP (Figure 4b). The result agrees with climate shifts (Figure S2), drought changes (Li et al., 2019b) in the UBR basin, and lake area changes in the Tibetan Plateau (Zhang et al., 2017).

Attribution analysis on WY magnitude shifts gives an emphasis on the dependency of spatial gradients of climate and cryosphere accounting for WY changes. That is, climate explains a greater increase in WY in downstream regions, while melt water is more important in upstream regions (Figure 5). This may be attributed to divergent water supply sources; Biemans et al. (2019) indicates that melt waters supply over 40% of river flow in the upper regions, but the contribution is less than 30% in the downstream in the UBR basin (Figure S9). Vegetation here does not significantly affect total river flow in the UBR (Figure 5), despite its remarkable role in seasonal runoff detected by Li et al. (2021).

Climate, especially precipitation, still ~~control~~controls the declining WY trend after ~~the-TP~~TP in most regions (Figure 6 and S7), and may become an important factor in occurrence of turning points (Figure 3c+d). This suggests the importance of precipitation and its projections on future hydrological process in mountainous watersheds (Lutz et al., 2014). Cryospheric contribution is also important for water yield regime shifts – melt waters from glaciers and snow ~~melting~~ can alleviate water resources shortages, mainly caused by decreased precipitation in recent years (Figure 6+S7). This finding is also supported by observed glacier runoff data (Yao et al., 2010) and several modeling studies (Lutz et al., 2014; Zhang et al., 2020; Wang et al., 2021). However, after glacier runoff reaches a maximum, defined as "peak water" (Gleick and Palaniappan, 2010), cryospheric mass loss cannot sustain the rising melt waters with atmospheric warming (e.g. the HYZR basin in Figure S8), which is in agreement with Huss and Hock (2018).

### 4.2 Uncertainties and limitations

This study has some limitations regarding the DMC model to partition the effects of climatic and cryospheric changes on the hydrological regime shifts in the UBR basin. The DMC method is a useful alternative statistical method to physical modeling approaches, especially in alpine river basins (e.g. UBR basin) where there is less knowledge on the complex hydrological mechanisms. While the method is still dependent on our prior understanding of hydrological responses to warming and related environmental changes, such as glaciers melting and vegetation ~~greening~~changes. For the UBR basin, besides climate change, cryosphere (Biemans et al., 2019; Yao et al., 2019) and vegetation (Li et al., 2021, 2019a) are two major factors for hydrological changes, and the cryospheric contributions can be regarded as the deviations between total water yield and climate and vegetation contributions estimated by the DMC method. While, in some other mountainous basins, human activities, such as

urbanization, dam regulation and irrigation, may consume severely water ~~resource~~ resources or change seasonal runoff patterns, ~~and thus we have~~; thus it is necessary to consider anthropogenic impacts ~~into the DMC statistical model for river flow attribution. On the other hand~~ when assess river flow changes via the statistical models in these regions. In addition, the DMC method applies the linear assumption between two variables, and thus it may fail to capture some nonlinear process among ~~the interactions among wateryield~~ water, vegetation and cryospheric melting ~~in the study~~. For example, the role of vegetation in hydrological process is expected to be complex due to biophysical (e.g., via transpiration and albedo changes) and biochemical (e.g., via CO<sub>2</sub> uptake and release) feedbacks (Bonan 2008; Krich et al. 2022). Thus, with the availability of long-term in-situ observations and high-resolution remote sensing datasets in the UBR basin (Wang et al., 2022), other powerful statistical models considering nonlinear and casual structures should be applied to identify the causes of water yield changes (Runge et al., 2019).

The data used in the study may also give rise to some uncertainties for our results. The ~~10x10 km~~ precipitation product used here is generated by topographical and linear corrections based on observations. As Sun and Su (2020) pointed, ~~while~~, the results of the linear correction approach highly vary with the station density. For example, the increased numbers from 4 to 10 stations in the basin will decrease the mean annual precipitation by about 20 mm. Hence, the reconstruction of precipitation dataset will rely on the density of the observed stations. Besides the topographic correction, the effects of the basin size and climate seasonality should be considered in the work of precipitation reconstruction in the UBR basin due to the complex climate and environment (Sun et al., 2019). Compared with precipitation, the estimation of evaporation may be much more challenging in high mountains. Although GLEAM ~~actual~~ evaporation shows the good agreement with in-situ eddy covariance records (Yang et al., 2017), its model structure does not include wind speed and solar radiation, which may affect the estimation of sublimation, and thus total evaporation (Li et al., 2019c). In addition, the coarse spatial resolution with a 0.25° spatial resolution in GLEAM may be insufficient to estimate regional evaporation in the UBR basin. ~~However, with~~ GIMMS LAI has the advantage of capturing ecosystem structure, and thus is widely used to assess vegetation conditions and their effects on hydrological changes (Zhu et al., 2016; Forzieri et al., 2020; Gonsamo et al., 2021). While, LAI ignores vegetation's physiology process (Fang et al., 2019); Hu et al. (2022) indicates that LAI can cope with hydro-climatic fluctuations in arid environments, while the tradeoff between ecosystem structure (LAI) and physiology (photosynthesis per unit leaf area) becomes stronger in humid climates. Thus, using LAI products in energy-limited regions may result in some biased assessments of vegetation effects on water yield. Although uncertainties in terms of the method and data used here, this study provides the essential information for water resource management in the UBR basin and the downstream based on the multi-station runoff observations. With the help of the Second Tibetan Plateau Scientific Expedition and Research, the observation networks in meteorology, cryosphere and hydrology will be built, which is expected to ~~benefit reliable precipitation and evaporation estimation~~ better understand hydrological process in this region, and make developing physically-based cryosphere-hydrological modeling possible (Wang et al., 2022).



### 4.3 Broad Implications for mountainous water management

Understanding the hydrological regime shifts and their causes in ~~the~~ high mountains are especially important in managing water resources, especially balancing the co-benefits between mountains and downstream lowlands (Viviroli et al., 2011). In the study, the combined (offsetting or additive) effects from climate and cryosphere are detected (Figure 5), and further lead to either slight or substantial increases in WY in the entire UBR basin (Figure 4a). The combined effects often hinder the roles of each driver in hydrological changes (Wei et al., 2018; Zhang and Wei, 2021), which should be considered when designing water management strategies in the large transboundary river system. For example, the additive effect may be beneficial for mitigating droughts and water shortage during droughts, but it may exacerbate the flood risks due to increased precipitation and accelerated melting of the cryosphere in the future (Immerzeel et al., 2013). In addition, ~~our results clearly in the headwaters, WY resulting from the cryosphere continues to increase with temperature until a maximum is reached, beyond which cryospheric contribution to total WY begins to decrease (Figure S8a), which may~~ show that the melt waters from glaciers ~~might~~ have already surpassed the "peak water" (Figure S8), ~~and the associated~~. The hydrological changes will substantially affect future water resources management. ~~Thus, , and thus~~ the projections of the occurrence time of "peak water" will be important in managing mountainous water resources.

## 5 Conclusions

In this study, regime shifts in WY are detected during the late 1990s in the UBR basin. The WY magnitude generally increases, but its direction has reversed or slowed in recent years. Attribution analysis based on the DMC method shows that, the combined effects of climate and cryosphere are either offsetting or additive, leading to slight or substantial WY increases, while the role of vegetation is much weaker. The declining or slowing WY trend after ~~the TP-Tp~~ is mainly driven by climate in most regions, while melt waters may alleviate drought and water shortage. In headwaters, however, cryospheric contributions to WY have declined due to reduced glaciers under warming. These findings suggest that the combined effects of climate and cryosphere should be considered in the sustainable development of water resources, especially involving ~~with~~ co-benefits in upstream and downstream regions.

*Code availability.* Python scripts used to extract data, analyze data, and create figures are available via <https://github.ugent.be/haohaoli/HESS-2022-Water-Yield.git>.

*Data availability.* Annual runoff data during 1982 and 2013 from six hydrological stations are provided by the Hydrology and Water Resources Survey Bureau of the Tibet Autonomous Region. The 10 km gridded daily precipitation dataset is accessed throughout <https://www.tpdac.ac.cn/>. The GLEAM evaporation is accessed from <https://www.gleam.eu/>. The maximum 2 m air temperature is acquired via <https://www.tpdac.ac.cn/>. The map of land use types in 2000 is accessed from <https://www.resdc.cn/>.

325 *Author contributions.* H.L. led the effort to design, conduct, analyze and write this manuscript. Other authors analyzed and commented on the results.

*Competing interests.* All authors have declared that neither they have any competing interests.

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