



# 1 A Study on the Fully Coupled Atmosphere-Land-Hydrology Process and 2 Streamflow Simulations over the Source Region of the Yellow River

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9 **Abstract.** The Source Region of the Yellow River (SRYR) is known as the "Water Tower of the Yellow River", which is the  
10 most important water conservation area in the upper reaches of the Yellow River. The streamflow of the SRYR makes an  
11 important contribution to the water resources in the Yellow River basin. Based on the Weather Research and Forecasting  
12 Model Hydrological modeling system (WRF-Hydro) model, by using meteorological, hydrological observations and  
13 reanalysis data, the key variables of the coupled atmosphere-land-hydrological processes over the SRYR during the 2013  
14 rainy season (May-August) are analyzed, and the simulation results of the fully coupled WRF-Hydro with those of the  
15 standalone WRF are compared, whose aim is to assess the impact of hydrological coupling on the regional atmospheric  
16 model settings. The results show that the WRF-Hydro model has ability to depict the characteristics of streamflow over the  
17 SRYR with a Nash Efficiency Coefficient (NSE) of 0.44 during the calibration period from June 1<sup>st</sup>, 2012 to September 30<sup>th</sup>,  
18 2012 and a NSE of 0.61 during the validation period from May 1<sup>st</sup>, 2013 to August 31<sup>st</sup>, 2013. Compared with the standalone  
19 WRF model, the fully coupled model tends to show better performance with respect to temperature, downward longwave  
20 radiation, downward shortwave radiation, latent heat, sensible heat and soil temperature and moisture. Although the wet bias  
21 of the coupled simulated precipitation slightly increases (2.51 mm vs. 2.50 mm) due to the consideration of lateral flow of  
22 soil water, the simulation results of the land-atmosphere water-heat exchange fluxes and soil heat fluxes are comparably  
23 improved. Compared with the observations, the mean Root Mean Square Error (RMSE) of latent and sensible heat is reduced  
24 to 32.27 W·m<sup>-2</sup> and 24.91 W·m<sup>-2</sup>, and of surface soil temperature and moisture is reduced to 4.22 K and 0.06 m<sup>3</sup>/m<sup>3</sup>. Besides,  
25 the fully coupled model is able to capture the variation characteristics of streamflow with a NSE of 0.33, which indicates that  
26 the fully coupled WRF-Hydro model has great potential for characterizing coupled atmosphere-land-hydrological processes  
27 and streamflow simulation in the cold climatical and complex topographic regions.

## 28 1 Introduction

29 Water, energy and heat flux and the processes among the atmosphere, land surface and hydrology are closely linked through  
30 highly complex interactions (Arnault et al., 2016; Fersch et al., 2020). In the water cycle of the whole Earth Climate System,  
31 the land surface hydrological processes are the link between atmospheric water (e.g. precipitation, evapotranspiration, water



32 vapor transport), terrestrial surface water (e.g. rivers, lakes, glacial meltwater, snow meltwater, surface runoff, oceans),  
33 groundwater (e.g. baseflow, subsurface runoff, soil water), and ecological water (vegetation water), which is able to provide  
34 feedback to weather and climate by regulating land-atmosphere energy and water cycle processes (Zheng et al., 2020).  
35 Therefore, understanding the hydrological cycle processes between the atmosphere and the land surface in the mesoscale  
36 river basins is of great importance for local ecological protection and macro regulation of water resources (Milly et al., 2005).  
37 The Source Region of the Yellow River (SRYR) is located in the hinterland of the Tibetan Plateau (TP). It belongs to the  
38 continental semi-arid climate zone of the plateau, with complex climatic conditions and the temperature rise rate of  
39  $0.48\text{ }^{\circ}\text{C}/(10\text{a})^{-1}$  and precipitation increase of  $7.6\text{ mm}/(10\text{a})^{-1}$  (Meng et al., 2020). The region is sensitive and fragile to climate  
40 change and ecological environment, with a large number of alpine lakes, wetlands, which is the "sensitive area" and "start-up  
41 area" for East Asia and even global climate change (Wu et al., 2004). The SRYR is known as "Yellow River Water Tower"  
42 and accounts for about 16.2% of the total area of the Yellow River Basin. The streamflow is dominated by precipitation and  
43 glacial meltwater and is the main flow-producing area and water conservation area of the middle and upper reaches of the  
44 Yellow River (Zheng et al., 2007). Historic records prove that the Yellow River civilization has been able to continue for  
45 thousands of years, one of the important reasons is that there is a stable ecological environment and water supply of the  
46 SRYR (Zhang et al., 2017). However, in recent years, with the increasing influence of global climate change and human  
47 activities, as well as the uneven distribution of regional water resources, water conservation units such as glaciers,  
48 permafrost and grasslands have experienced significant changes, extremely meteorological and hydrological events such as  
49 rainstorm, blizzard, droughts and floods have occurred frequently, the spatiotemporal distribution of precipitation and  
50 hydrological uncertainties of watersheds have also increased. The sustainable development of ecological environment and  
51 social economy over the SRYR is confronted with great challenges (Milly et al., 2002; Yuan et al., 2018).  
52 With the rapid development of high-resolution Earth System Models, the role of land surface variability on simulation results  
53 is more and more emphasized (Clark et al., 2015; Tang et al., 2019). Currently, most researches on fully coupled  
54 atmosphere-hydrology process use regional climate models (RCMs) or land surface models (LSMs) combined with  
55 hydrological models to investigate the relationship between climate change and hydrological cycle processes (Kruk et al.,  
56 2012). The main technical tools applied include satellite remote sensing, data assimilation, error correction, downscaling  
57 (statistical downscaling and dynamic downscaling) analysis. The main models employed are the Weather Research and  
58 Forecasting Model (WRF), Community Land Model (CLM), Community Noah Land Surface Model with Multi-  
59 Parameterization Options (Noah-MP), and Soil and Water Assessment Tool (SWAT), with a focus on the impact of climate  
60 change and human activities on hydrological cycle processes (Cuo et al., 2013; Sheng et al., 2017; Zheng et al., 2018).  
61 However, most studies focus on the influence of climate change on the hydrological processes in watersheds, and adopt a  
62 single-directional linkage of "atmospheric circulation change-regional precipitation change-land hydrological change",  
63 which cannot accurately describe the feedback of land surface and hydrological processes on the regional climate and affect  
64 the simulation accuracy of the hydrological processes in watersheds (Wen et al., 2011).



65 The Weather Research and Forecasting Model Hydrological modeling system (WRF-Hydro) is a high-resolution distributed  
66 land-atmosphere coupled model developed by the National Center for Atmospheric Research (NCAR) to improve the  
67 redistribution of surface, subsurface and river water and to facilitate the coupling of atmospheric and hydrological models  
68 (Gochis et al., 2020). The WRF-Hydro model is able to be run either as a standalone land surface hydrological model or  
69 coupled with an atmospheric model (such as WRF) to achieve a two-way feedback process between the atmosphere and land  
70 surface. Compared to traditional land surface hydrological models, the WRF-Hydro model is designed to provide continuous  
71 spatially gridded information on soil temperature and moisture, evapotranspiration, water and heat exchange fluxes, and  
72 runoff (Gharamti et al., 2021; Gu et al., 2021). Presently, the WRF-Hydro model has been successfully applied to many  
73 fully coupled atmosphere-hydrology studies (Zhang et al., 2019; Fersch et al., 2020; Eidhammer et al., 2021). Senatore et al.  
74 (2015) found that the precipitation, surface runoff and surface fluxes simulated by the fully coupled WRF-Hydro model are  
75 better than WRF model in the Crati River Basin. Li et al. (2021) concluded that the coupled WRF-Hydro model improved  
76 the simulation results of soil moisture and precipitation, and has some potential in simulating and projecting of streamflow  
77 over the Source Region of the Three River.

78 The above studies indicate that WRF-Hydro model has a wide range of applications and a strong capability in streamflow  
79 simulation, so it has a great potential in climate and hydrology coupled simulation over the SRYR with complex underlying  
80 surface conditions. In this research, the fully coupled WRF-Hydro model is used to simulate the rainy season (May-August)  
81 of the Yellow River Source basin in 2013, and simulation results are compared to those of the standalone WRF model. The  
82 focus is on the analysis of the differences caused by WRF and fully coupled WRF-Hydro for several variables directly  
83 related to terrestrial water cycle processes, especially the effects of these variables on precipitation. Materials and  
84 methodology are arranged in the section 2 and 3 respectively. The comparison between the simulation performance of the  
85 standalone WRF and the coupled WRF-Hydro are followed. And then, the characteristics of fully coupled streamflow are  
86 analyzed. Finally, the discussion and main conclusions are provided in section 5 and section 6.

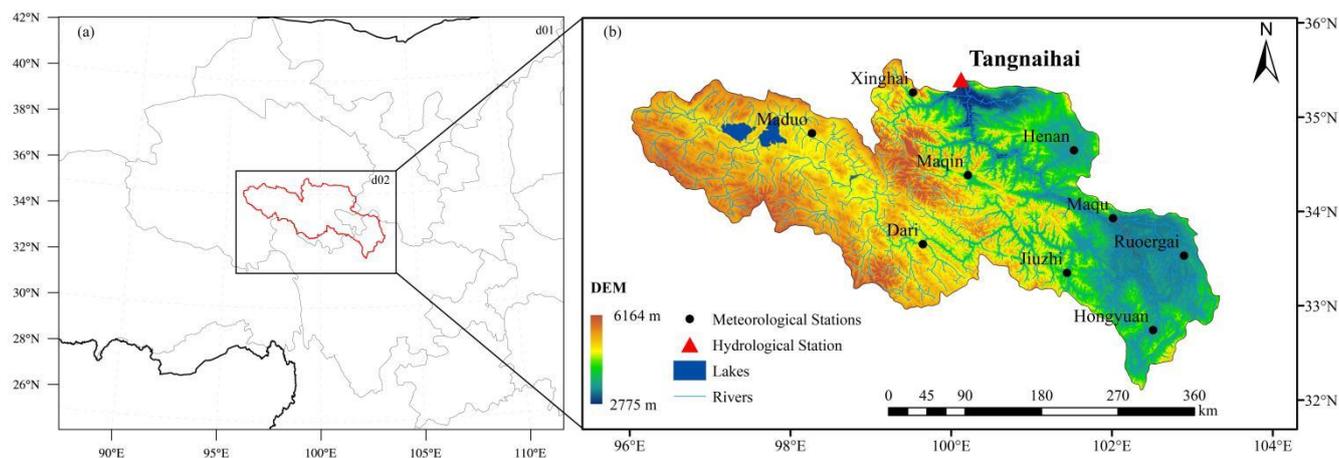
## 87 **2 Study area and data**

### 88 **2.1 Study area**

89 The SRYR ( $32.12^{\circ}$ - $35.48^{\circ}$ N,  $95.50^{\circ}$ - $103.28^{\circ}$ E) lies in the northeast of the TP, with the total catchment area and mean  
90 elevation being  $1.22 \times 10^5$  km<sup>2</sup> and 4000.0 m respectively, as displayed in Fig. 1. This also constitutes the setup of the WRF  
91 and fully coupled WRF-Hydro nested domain. The region is located in the edge area affected by the East Asian monsoon  
92 and belongs to the plateau cold climate zone with an annual-mean temperature close to 0.0 °C and the annual-mean  
93 precipitation between 300.0 and 500.0 mm (Ji et al., 2020). As the main flow-producing area and water-conserving area in  
94 the middle and upper reaches of the Yellow River, the SRYR is known as the "Yellow River Water Tower", taking the  
95 Tangnaihai hydrological station as the outlet of the basin (Zheng et al., 2007). It is mainly composed of high mountains,  
96 plains and hills, and is distributed with vast lakes, including the largest plateau fresh water lakes in China, namely the



97 Zhaling Lake and the Eling Lake (Wen et al., 2011). The terrain is undulating with a relatively poor capacity for water  
98 holding on the surface, and the main land use type is alpine meadow grassland and alpine wetland. Under the influence of  
99 hydrological environment, the soil types are mainly composed of loam and sandy loam with rough texture, and the seasonal  
100 frozen soil is widely distributed.



101  
102 **Figure 1.** The WRF and WRF-Hydro nested domain (a), and the geographic locations of the meteorological and  
103 hydrological stations of the studied catchments (b).

## 104 2.2 Data

105 The daily streamflow data of Tangnaihai hydrological station provided by Yellow River Water Conservancy Bureau during  
106 2012-2013 are employed in this research. Besides, the turbulent heat fluxes and top-layer soil temperature and moisture data  
107 of the Eling Lake station (Meng and Lyu, 2022) and Maqu station (An et al., 2019; 2020) from the National Cryosphere  
108 Desert Data Center (<http://www.ncdc.ac.cn>) and the observations of 9 meteorological stations over the SRYR (Fig. 1b)  
109 collected from the National Meteorological Information Center of China are also applied.

110 WRF-Hydro model requires a lot of input data, including meteorological driving data, underlying surface data and river  
111 network data. The meteorological driving data are mainly composed of seven variables: downward longwave and shortwave  
112 radiation, surface pressure, specific humidity, air temperature, near surface wind speed and precipitation rate. As the SRYR  
113 is located in the hinterland of the TP, the meteorological observation stations are rare and unevenly distributed, which brings  
114 great difficulties in driving model. The Global Land Data Assimilation System (GLDAS) data are jointly developed by  
115 National Aeronautics and Space Administration (NASA) and the National Center of Environmental Prediction (NCEP) with  
116 a temporal resolution of three hours and a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$ , which integrate the ground and satellite  
117 observation data and have great applicability over the SRYR (Li et al., 2014).

118 In addition, the quality of precipitation data is critical to streamflow simulation and is the most sensitive factor affecting the  
119 variation of streamflow, so selecting a high-quality precipitation product as the precipitation driving field of WRF Hydro  
120 model is of great significance. The China Meteorological Forcing Dataset (CMFD) is a high spatial-temporal resolution



121 (0.10°×0.10°) gridded meteorological driving dataset, which is developed for studies of land surface processes in China (He  
122 et al., 2020). The dataset integrates a variety of reanalysis, satellite remote sensing and station observation data, and is  
123 widely used in climate change and numerical simulations. The CMFD precipitation data combined with GLDAS non  
124 precipitation field constitute the final driving data of the model through bilinear interpolation.

125 The initial and boundary conditions for WRF and fully coupled WRF-Hydro model are the Final Operational Global  
126 Analysis (FNL), whose spatial and temporal resolutions are 6 h and 1.00 ° × 1.00 ° respectively  
127 (<https://doi.org/10.5065/D6M043C6>. Accessed 23 Nov 2022). The vegetation type, land use type, soil type and other land  
128 surface information required by the model are all from the WPS system, and the default soil type is replaced by the soil type  
129 dataset of Beijing Normal University, which has higher accuracy in China. The high-resolution river network data are from  
130 the United States Geological Survey (USGS) Hydrological data and maps based on SHuttle Elevation Derivatives at multiple  
131 Scales (HydroSHEDS) and the resolution of 90.0 m is selected to extract accurate river network information. The details of  
132 the above data are shown in Table 1.

133 **Table 1.** The overview of the research data.

Category	Data Type	Spatial/Temporal resolution	Variables
Climate	CMA (V3.0)	1 d	Precipitation, Temperature,
Hydrology	Site	1 d	Streamflow
Eddy Covariance	Site	30 min	Water/Heat flux, Soil temperature/moisture
Driving Data	CMFD	3 h; 0.10°×0.10°	Precipitation
	GLDAS	3 h; 0.25°×0.25°	Temperature, Wind speed, Solar radiation, Pressure, Specific humidity
	FNL	6 h; 1.00°×1.00°	Initial and boundary conditions
Topography	HydroSHEDS	90.0 m×90.0 m	Digital Elevation Model



## 134 **3 Methodology**

### 135 **3.1 Study area**

#### 136 **3.1.1 WRF model**

137 The WRF is an advanced and non-hydrostatic mesoscale numerical weather prediction model, which has ability to meet most  
138 mesoscale and small-scale atmospheric and hydrological processes numerical simulation research (Skamarock et al., 2008).  
139 The WRF-ARW model (version 4.1.2) is used for both the WRF and fully coupled WRF-Hydro model in this research.

#### 140 **3.1.2 Noah-MP model**

141 The Noah-MP model was developed from the Noah LSM with several major improvements (Niu et al., 2011; Yang et al.,  
142 2011). It provides multiple parameterization options for the key biogeophysical processes, including a separate vegetation  
143 canopy, a two-stream radiation transfer approach and a short-term dynamic vegetation scheme. Besides, the frozen soil  
144 scheme for the groundwater model and the snow model was also updated, which have significant impact on streamflow  
145 simulation (Niu and Yang, 2006). Noah-MP model is selected as the land surface process module of the WRF and the WRF-  
146 Hydro model in this research.

#### 147 **3.1.3 WRF-Hydro model**

148 The WRF-Hydro model, developed as a hydrological extension package for WRF, is a new generation of distributed  
149 hydrometeorological forecasting system with physical basis, multi-scale and multi-parameter schemes. It takes the LSM  
150 (Noah/Noah- MP) as a bridge, which connects the large-scale regional climate model with the refined hydrological model.  
151 The model improves the land surface hydrological process related to the spatial redistribution of land surface water,  
152 groundwater and river water, and has capability in quantitatively studying the water-heat exchange process between the  
153 atmosphere and land surface (Gochis et al., 2020). The WRF-Hydro model mainly includes five modules, namely surface  
154 overland flow, saturated subsurface flow, channel, reservoir routing and conceptual baseflow module. The process of  
155 subsurface flow calculates a quasi-3D flow, which takes the vertical and horizontal water exchange into account. The WRF-  
156 Hydro system version 5.1.1 is applied in this research and the complete description of the model is available in Gochis et al.  
157 (2020).

### 158 **3.2 Experimental designs**

#### 159 **3.2.1 The parameterization schemes of the WRF and coupled WRF-Hydro model**

160 The Lambert Projection is adopted in the model with the central longitude and latitude of 99.50°E and 33.75°N and a two-  
161 way nested domains are considered, whose horizontal resolutions of are 25 km and 5 km respectively, as displayed in Fig. 1a.  
162 The vertical structure of both domains consists of 40 levels, up to a 50 hPa pressure top with a time step of 100 s in the outer



163 domain. The initial and lateral atmospheric boundary conditions for continuous runs are given by the FNL data (in table 1)  
164 which are provided by National Centers for Environment Prediction (NCEP). The physics parameterization schemes  
165 employed in this research for the selected WRF domains are listed in the Table 2, in which the cumulus parameterization is  
166 only used in the outer domain (Senatore et al., 2015). It is important to note that the routing processes with a resolution of  
167 500.0 m are only executed on the innermost domain in the fully coupled WRF-Hydro model. The simulation starts from  
168 March 1st, 2013 to September 1st, 2013 UTC with the first two months as the spin-up time and the rest for analysis.

169 **Table 2.** Physical options of WRF and the fully coupled WRF-Hydro model.

Physics process	Parameterization	Reference
Microphysics	Thompson	Thompson et al. (2008)
Cumulus parameterization	Grell-Devenyi (GD)	Grell and Devenyi (2002)
Planetary boundary layer	MYNN2	Nakanishi and Niino (2006)
Land surface	Noah-MP	Niu et al. (2011)
Longwave radiation	RRTMG	Iacono et al. (2008)
Shortwave radiation	RRTMG	Iacono et al. (2008)

### 170 3.2.2 The parameterization schemes of the WRF and coupled WRF-Hydro model

171 Before analyzing the effects of the land-hydrological processes on the atmosphere simulation, WRF-Hydro model is run in  
172 an offline/uncoupled way with the aim of calibrating relevant sensitive parameters and evaluating the performance of the  
173 model in simulating streamflow.

174 The parameters of the hydrological models are able to reflect the underlying surface characteristics of the region and there  
175 are significant discrepancies in the applicability of the default parameters in models over different basins. In terms of the  
176 WRF-Hydro model, most studies have divided the sensitivity parameters for controlling streamflow process into those for  
177 controlling streamflow distribution and water volume and those for controlling flood peak and flood hydrograph (Gu et al.,  
178 2021). A stepwise manual approach is adopted in calibrating the sensitive parameters, following previous WRF-Hydro  
179 studies (Yucel et al., 2015). It is important to note that the slope of the SRYR is steep, which is different from that of the  
180 Daihe River Basin (Wang et al., 2021). Therefore, the surface retention depth (RETDEPRTFAC) is set as 0.0, and only four  
181 parameters listed in Table 3 are to be calibrated.

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184  
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187



188 **Table 3.** The sensitive parameters of streamflow formation in WRF-Hydro model.

Classification	Parameter name	Default	Range
Water volume	SMCMAX	-	0.6~1.2 times
	REFKDT	3.0	0.1~5.0
Hydrograph	MannN	-	0.3~2.0 times
	OVROUGHRT	1.0	0.0~1.0

189

190 Additionally, the water-heat exchange process is of vital importance to the understanding on the atmosphere-land-hydrology  
 191 process, which has ability to affect the land-surface water cycle process by influencing the evapotranspiration process.  
 192 Relevant studies show that the default parameterization schemes of Noah-MP model underestimated latent heat (LE) and  
 193 overestimated sensible heat (H) over the alpine grassland area (Ye et al., 2017). The Chen97 scheme for sensible heat  
 194 transfer coefficient is able to overcome the problem on overestimating H, while the Jarvis canopy stomatal resistance scheme  
 195 effectively increases the transpiration of vegetation, so as to improve the simulated LE, and make the distribution of heat  
 196 flux between LE and H more reasonable. Table 4 shows the parameterization options of Noah-MP used in this research.

197 **Table 4.** Parameterization scheme options of Noah-MP used in this research.

Physical Process	Option
Dynamic vegetation	Use table LAI; use maximum vegetation fraction
Canopy stomatal resistance	Jarvis
Soil moisture factor for stomatal resistance	Noah
Runoff and groundwater	Original surface and subsurface runoff
Surface layer drag coefficient	Chen97
Supercooled liquid water	No iteration
Frozen soil permeability	Linear effects, more permeable
Radiation transfer	Two-stream applied to vegetated fraction
Snow surface albedo	CLASS
Rainfall and snowfall	Jordan
Lower boundary of soil temperature	Original Noah
Snow and soil temperature	Semi-implicit, but FSNO for TS calculation
Surface resistant to evaporation/sublimation	Sakaguchi and Zeng for non-snow, rsurf = rsurf_snow for snow
Glacier treatment	Slab ice



198 **3.3 Evaluation index**

199 In order to evaluate the model performance of the simulations, Nash Efficiency Coefficient (NSE), Root Mean Square Error  
 200 (RMSE), Correlation Coefficient (R) and Relative Deviation (BIAS) are selected in this research. The calculation formula  
 201 and optimal value of each evaluation index are shown in Table 5.

202 **Table 5.** The evaluation indices for simulation performance.

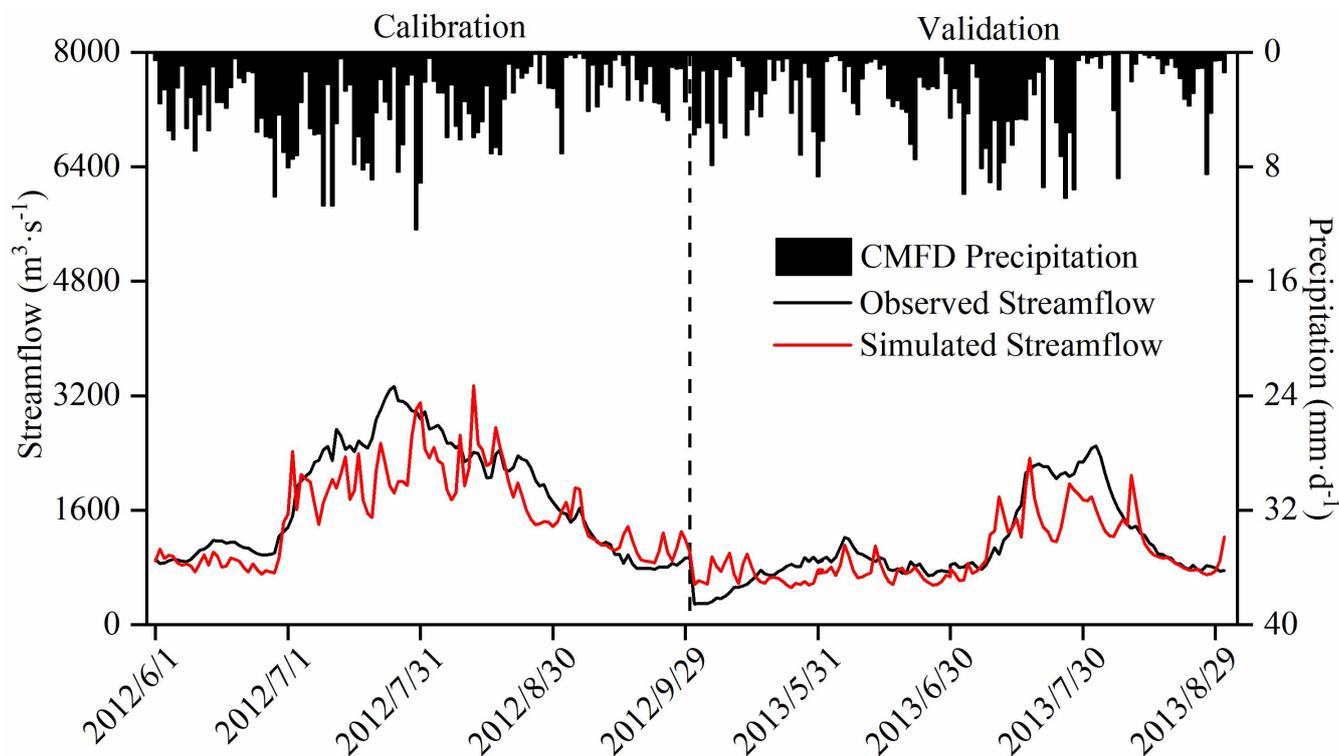
Indices	Calculation formula	Optimal value
Correlation Coefficient	$R = \frac{\sum_{i=1}^N (S_i - \bar{S})(O_i - \bar{O})}{\sqrt{\sum_{i=1}^N (S_i - \bar{S})^2 \sum_{i=1}^N (O_i - \bar{O})^2}}$	1
Root Mean Square Error	$RMSE = \sqrt{\sum_{i=1}^N (S_i - O_i)^2 / N}$	0
Nash Efficiency Coefficient	$NSE = 1 - \frac{\sum_{i=1}^N (S_i - O_i)^2}{\sum_{i=1}^N (O_i - \bar{O})^2}$	1
Relative Deviation	$BIAS = \frac{\sum_{i=1}^N (S_i - O_i)}{\sum_{i=1}^N O_i} \times 100\%$	0

203

204 where  $N$  is the number of samples,  $S_i$  and  $O_i$  represent simulated and observed values respectively.

205 **3.4 Analysis on the applicability of the WRF-Hydro model**

206 After 2 months spin-up time, the uncoupled WRF-Hydro is calibrated for the period from 1st, June to 1st, September in 2012  
 207 based on daily streamflow in Tangnaihahai hydrological station. Fig. 2 exhibits that the simulated streamflow is close to the  
 208 observation, and the flood hydrograph is consistent with the precipitation hydrograph. For the calibration period, the R of  
 209 simulated and measured streamflow is 0.84, the NSE is 0.44, the RMSE is  $465.61 \text{ m}^3 \cdot \text{s}^{-1}$ , and the BIAS is -11.44%. For the  
 210 validation period, The R is 0.81, the NSE is 0.61, the RMSE is  $351.36 \text{ m}^3 \cdot \text{s}^{-1}$ , and the BIAS is -10.21%. However, the  
 211 simulated streamflow underestimates the peak flow in flood season and presents some unrealistic peak flows which suggests  
 212 the oversimplified base flow model and the uncertainty of the forcing precipitation (Senatore et al., 2015). Nevertheless, the  
 213 WRF-Hydro model has ability to produce realistic hydrological regime over the SRYR. Therefore, the calibrated parameters  
 214 are used in the comparison between the WRF and the fully coupled WRF-Hydro simulations.



215

216 **Figure 2.** The variation of simulated and observed daily streamflow (units:  $\text{m}^3 \cdot \text{s}^{-1}$ ) over the Source Region of the Yellow  
217 River during the calibration and validation period. Black dotted line in correspondence of September 30<sup>th</sup>, 2012 splits  
218 calibration and validation periods.

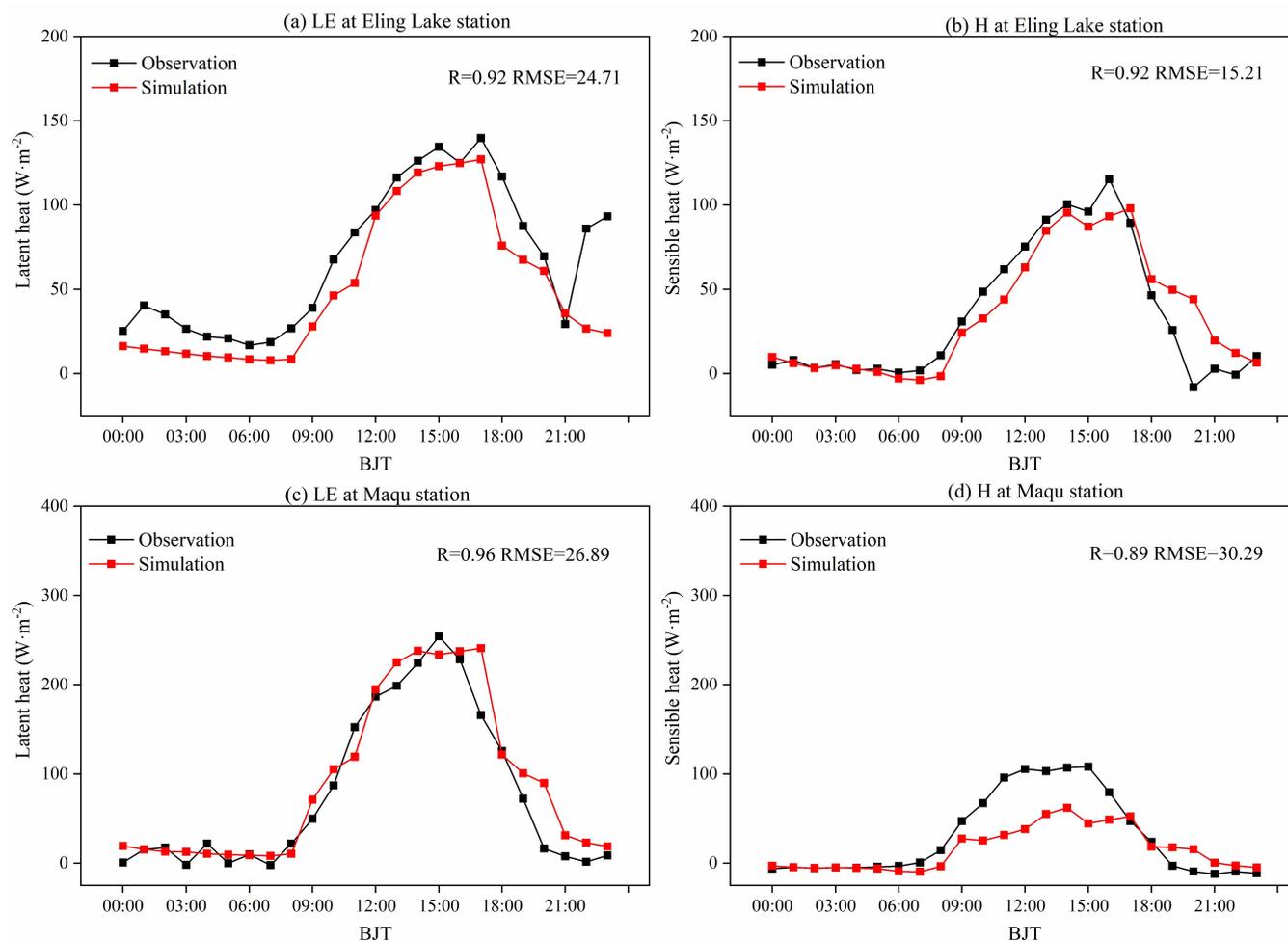
219 Besides, the LE and H fluxes simulated by the uncoupled WRF-Hydro model are also discussed. In order to ensure data  
220 comparability, the typical sunny/cloudy days at Eling Lake (lakeside underlying surface) and Maqu (grass underlying surface)  
221 stations are selected by characteristic downward total radiation patterns from the entire simulation period. The screening  
222 methods of typical sunny/cloudy days are consistent with Zhang et al. (2022).

223 Fig. 3 and Fig. 4 show the diurnal variation characteristics of LE and H fluxes at two typical flux stations over the SRYR on  
224 the typical sunny and cloudy days. On the typical sunny day, the simulated LE is in good agreement with the observation,  
225 with the R of 0.92 and 0.96 respectively, and the RMSE of  $24.71 \text{ W} \cdot \text{m}^{-2}$  and  $26.89 \text{ W} \cdot \text{m}^{-2}$ . However, there are some  
226 differences in the simulation performance of H between the two stations. The WRF-Hydro model has ability to better  
227 simulate the diurnal variation of H at the Ealing Lake station with the R of 0.92 and the RMSE of  $15.21 \text{ W} \cdot \text{m}^{-2}$ , while  
228 underestimates H at Maqu station with the RMSE of  $30.29 \text{ W} \cdot \text{m}^{-2}$ .

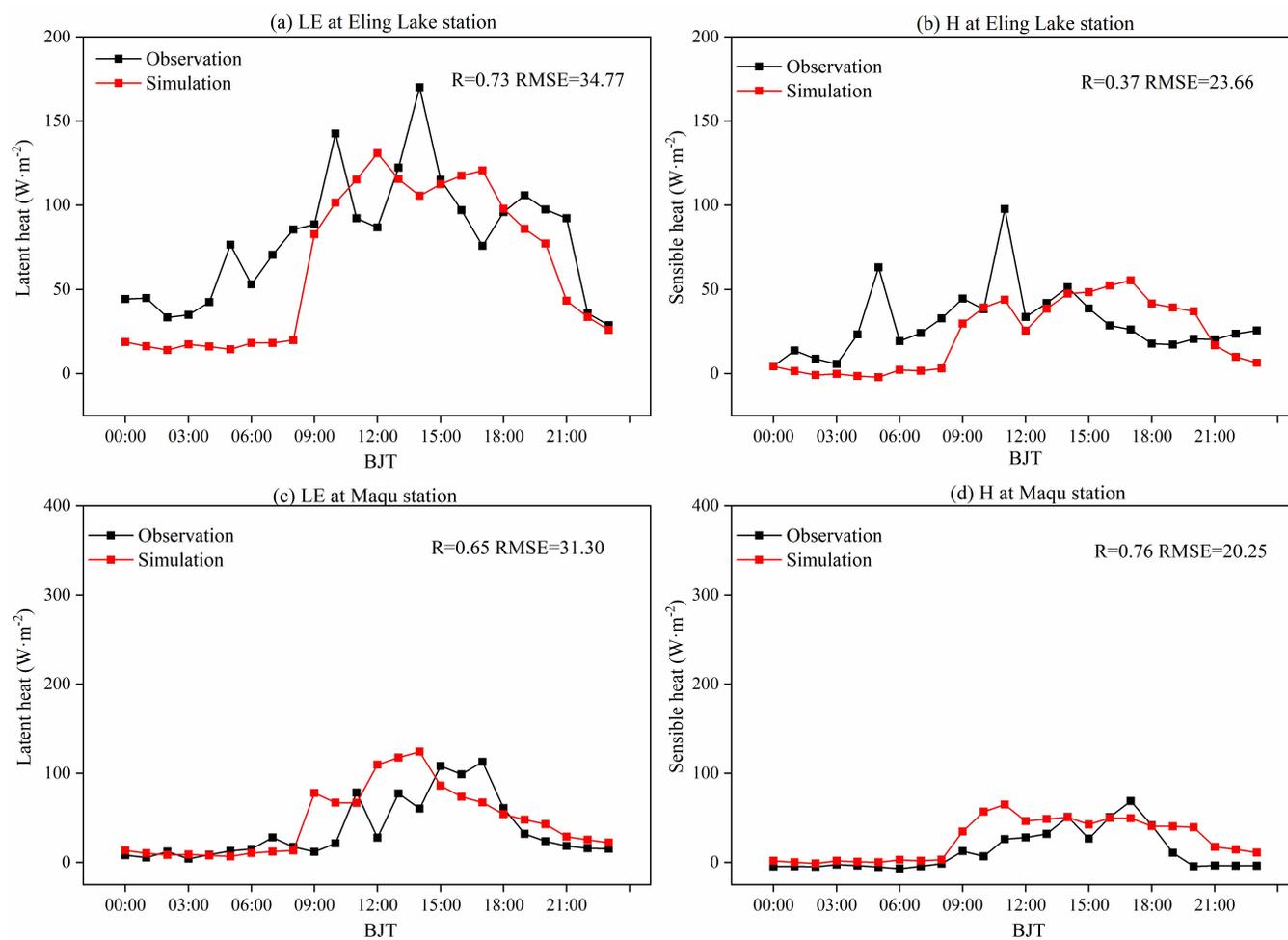
229 Due to the complexity of climate conditions on the typical cloudy day, the consistency of simulations and observations is not  
230 as good as that on the typical sunny day. On the typical cloudy day, the R between the simulated LE and the observation at  
231 two stations is above 0.65 and the RMSE is larger than that of typical sunny days, which is  $34.77 \text{ W} \cdot \text{m}^{-2}$  and  $31.30 \text{ W} \cdot \text{m}^{-2}$ ,  
232 respectively. The simulated H is unable to capture the multi-peak characteristics of H, especially in the Eiling Lake station



233 with the R of 0.37, while the RMSEs are only  $23.66 \text{ W}\cdot\text{m}^{-2}$  and  $20.25 \text{ W}\cdot\text{m}^{-2}$ . Overall, the WRF-Hydro model has ability to  
234 represent the water-heat exchange process between land and atmosphere over the SRYR.



235  
236 **Figure 3.** The turbulent fluxes (units:  $\text{W}\cdot\text{m}^{-2}$ ) simulated by uncoupled WRF-Hydro model on the typical sunny days at Eling  
237 Lake (a-b) and Maqu (c-d) station. Where R represents the correlation coefficient and RMSE is the Root Mean Square Error.



238

239 **Figure 4.** As in Figure 3, but for the typical cloudy days.

#### 240 4 Results

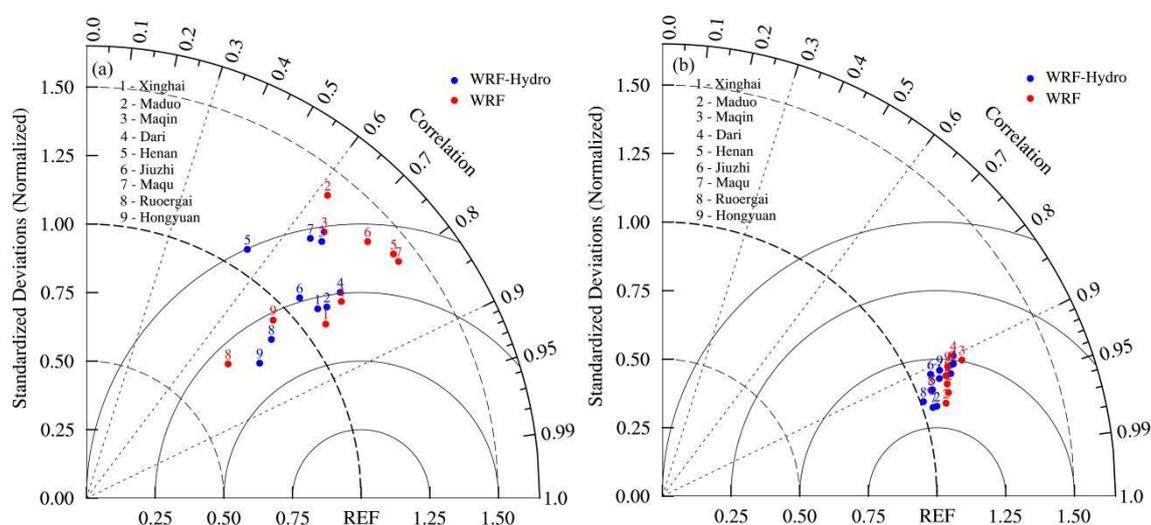
241 Land surface hydrological cycle is one of the important processes in the Earth System. On the one hand, climate change  
242 drives the water cycle process at the global scale and causes different responses at the regional scale. On the other hand, the  
243 variations of land surface process further alter the distribution of water resources and runoff at regional and catchment scales  
244 (Meng et al., 2020). Based on the fully coupled WRF-Hydro model, the impact of climate change on land surface  
245 hydrological processes and the feedback of surface water cycle to precipitation are comprehensively considered, and the  
246 variation characteristics of the coupled process of atmosphere-land-hydrology and streamflow over the SRYR in the rainy  
247 season (May-August) of 2013 are also explored.



#### 248 4.1 The validation of temporal variation of hydrometeorological elements

249 The influence of global climate change on hydrological environment is extremely significant, in which temperature and  
250 precipitation are the key factors to the redistribution of water cycle in time and space (Meng et al., 2020). Precipitation  
251 directly participates in all aspects of the water cycle, while temperature also indirectly influences the whole process of the  
252 water cycle through evaporation and snowfall. Therefore, the simulation performance is first evaluated by comparing  
253 precipitation and temperature from WRF and coupled WRF-Hydro simulations with observations.

254 Fig. 5 exhibits the Taylor diagrams (Taylor, 2001) for daily precipitation and temperature among simulations with  
255 observations of 9 meteorological stations. The simulated precipitation is in good agreement with the observations, with the  
256 correlation coefficients higher than 0.6. The standardized deviation ratios of the coupled WRF-Hydro to the observations are  
257 between 0.8 and 1.2 with greater RMSE than the standalone WRF model, which means that the coupled process increases  
258 the wet bias of precipitation simulation since considering the terrestrial lateral flow of soil water. In terms of temperature,  
259 both two experiments perform well in simulating temperature, with the average R is 0.93 and a slight cold deviation.



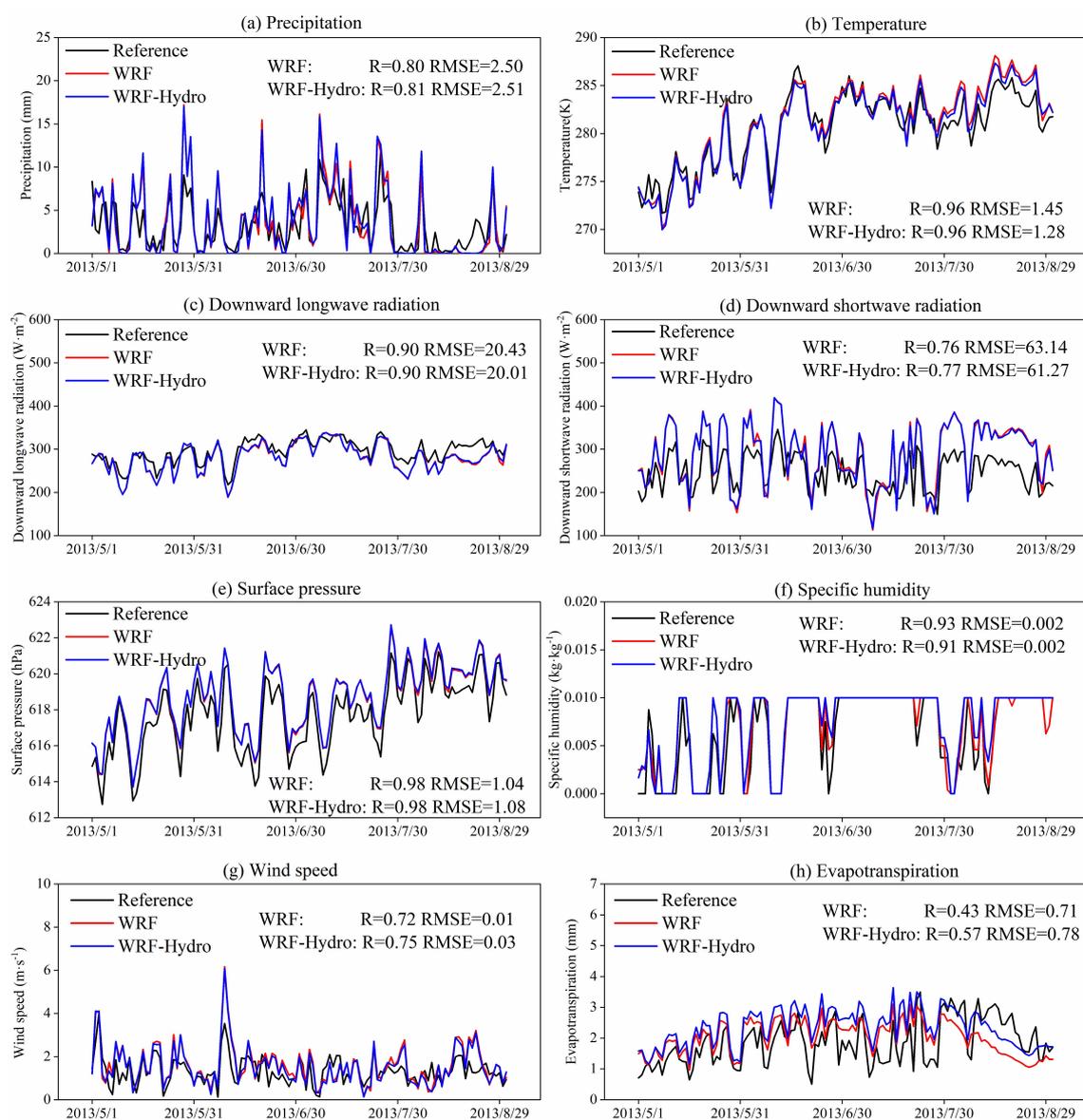
260

261 **Figure 5.** Taylor diagrams of correlation coefficients and standard deviations for daily precipitation and temperature over the  
262 SRYR among simulations with observations from May 1<sup>st</sup>, 2013 to August 31<sup>st</sup>, 2013.

263 Since the sparse and uneven distribution of stations over the SRYR, the reanalysis products are employed to analyze the  
264 spatial variation of the hydrometeorological elements in this research. Relevant researches (Li et al., 2014; Chen et al., 2022)  
265 indicate that CMFD precipitation data have good applicability over the SRYR, and climate and hydrological factors such as  
266 temperature and runoff of GLDAS have ability to better characterize the climate change and water cycle processes over the  
267 SRYR. Therefore, CMFD and GLDAS are taken as reference (denoted as Reference) datasets to compare and analyze the  
268 regional mean simulation results in the following study.



269 Fig. 6 shows that the time series of regional mean meteorological and hydrological elements. It exhibits that both WRF and  
 270 fully coupled WRF-Hydro model are able to characterize the evolution characteristics of meteorological and hydrological  
 271 elements over the SRYR. Compared with standalone WRF model, the coupling process slightly increases the wet deviation  
 272 of precipitation, while it improves the simulation of temperature and downward longwave and shortwave radiation to a  
 273 certain extent. The simulations of surface pressure, specific humidity of 2m and wind speed near the ground have little  
 274 difference in two experiments. However, the coupling process increases soil moisture due to considering of the terrestrial  
 275 vertical and lateral flow of soil water in three-dimensional space, so the simulation results of evapotranspiration are larger.

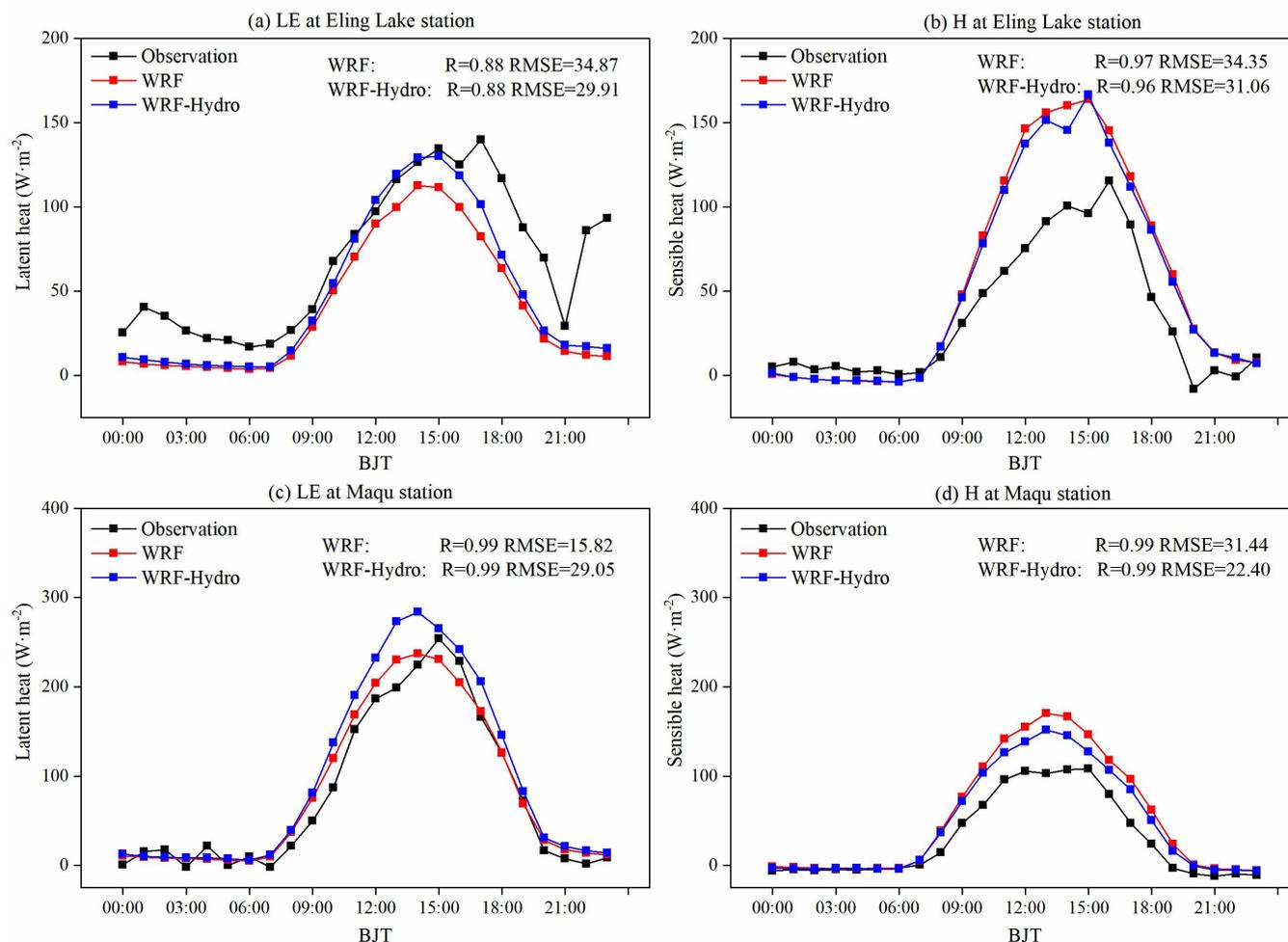


276



277 **Figure 6.** The time series of mean meteorological and hydrological elements simulated by WRF and fully coupled WRF-  
 278 Hydro model from the period of May 1<sup>st</sup>, 2013 to August 31<sup>st</sup>, 2013.

279 The land-atmosphere water and heat exchange processes affect the surface soil moisture by changing evapotranspiration and  
 280 further impacts the surface energy and hydrological cycle process (Jia et al., 2014). The diurnal variation characteristics of  
 281 the LE and H simulated by WRF and coupled WRF-Hydro model on the typical sunny days, when the land-atmosphere  
 282 water and heat exchange process is relatively strong, are analyzed in Fig. 7. The results at Eling Lake station show that the  
 283 LE and H simulated by fully coupled WRF-Hydro model are more consistent with the measured, with the RMSE reducing to  
 284 29.91  $W \cdot m^{-2}$  and 31.06  $W \cdot m^{-2}$  compared with WRF simulations. For the Maqu station, the coupled process increases the  
 285 deviation of LE simulations, which is related to the overestimation of evapotranspiration, while the problem that H are  
 286 overestimated is greatly improved.



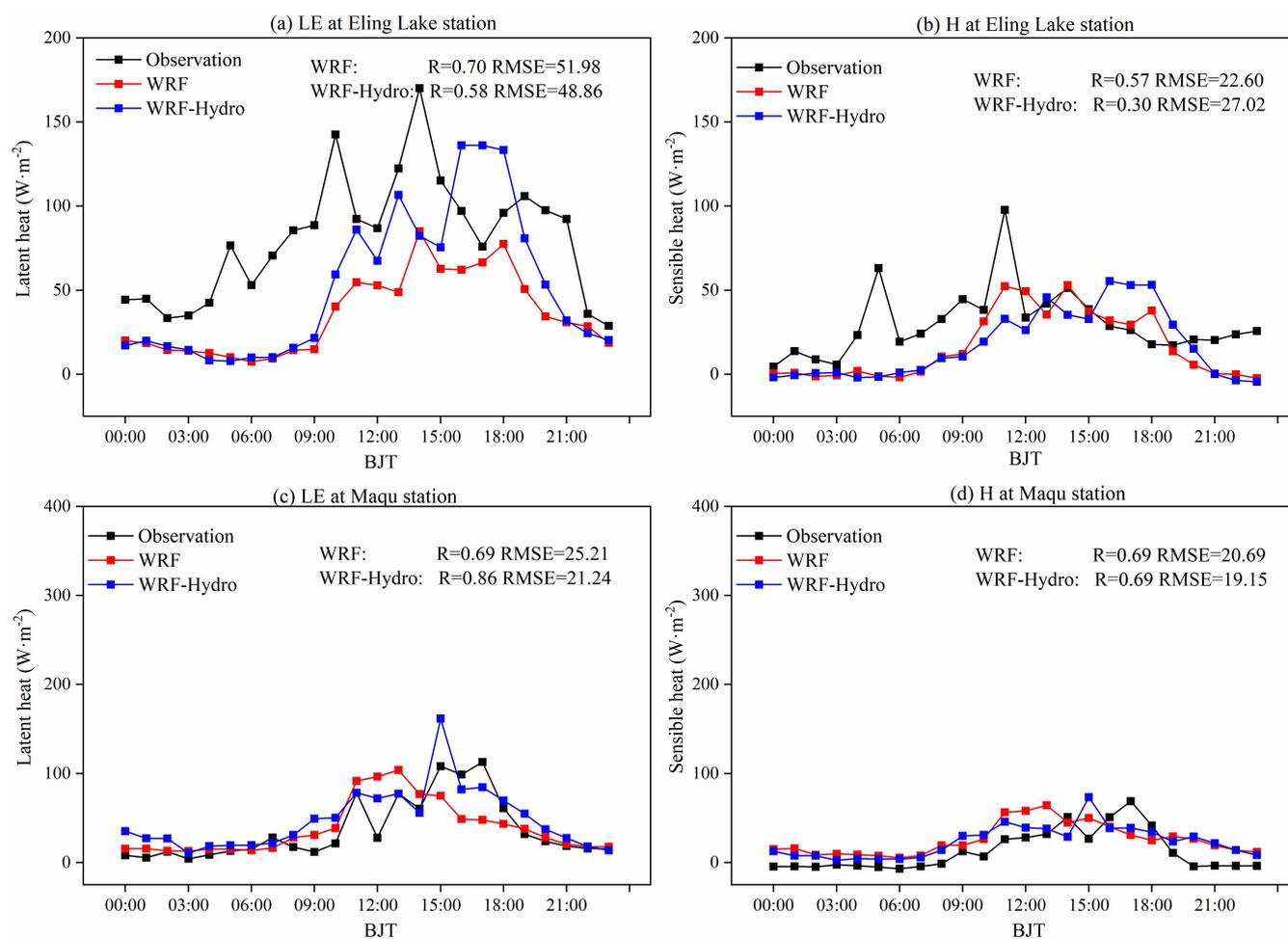
287  
 288 **Figure 7.** Comparison of turbulent fluxes (units:  $W \cdot m^{-2}$ ) between WRF-Hydro/ WRF and observations on the typical sunny  
 289 days at Eling Lake (a-b) and Maqu (c-d) station.



290 Fig. 8 demonstrates the diurnal variation characteristics of the LE and H on the typical cloudy days, when the weather  
291 conditions and physics processes are more complicated. On the typical cloudy days, the agreement of the turbulent fluxes  
292 simulation results with observations is not as good as that on the typical sunny day. The simulations at two stations indicate  
293 that coupled process is able to reduce the RMSE in LE and H with a mean RMSE of  $29.48 \text{ W} \cdot \text{m}^{-2}$  and  $26.55 \text{ W} \cdot \text{m}^{-2}$   
294 respectively.

295 Overall, the coupled WRF-Hydro simulations improve the simulation of surface heat flux variables due to the consideration  
296 of the lateral terrestrial water flow of hydrological process.

297



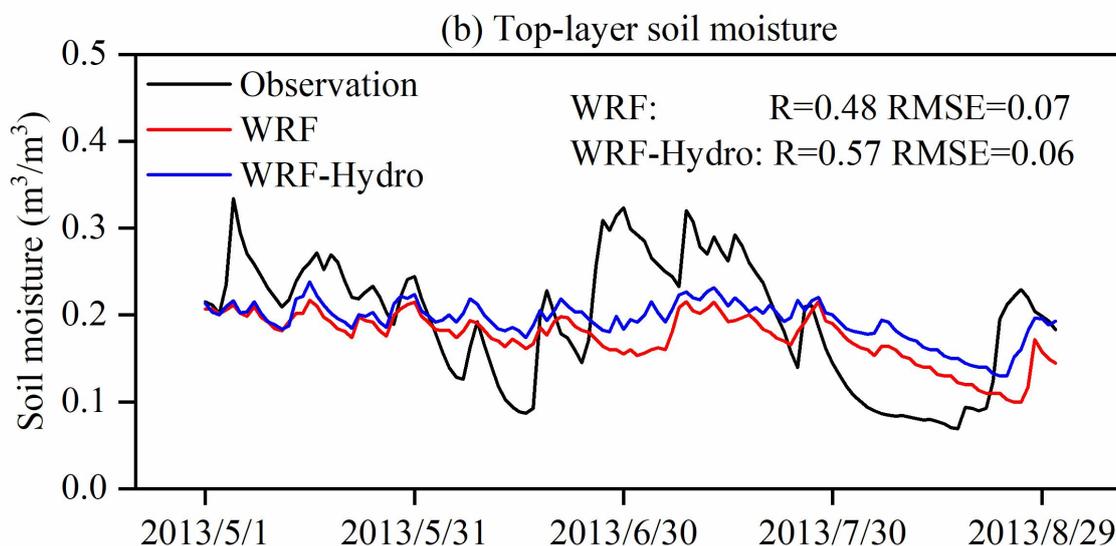
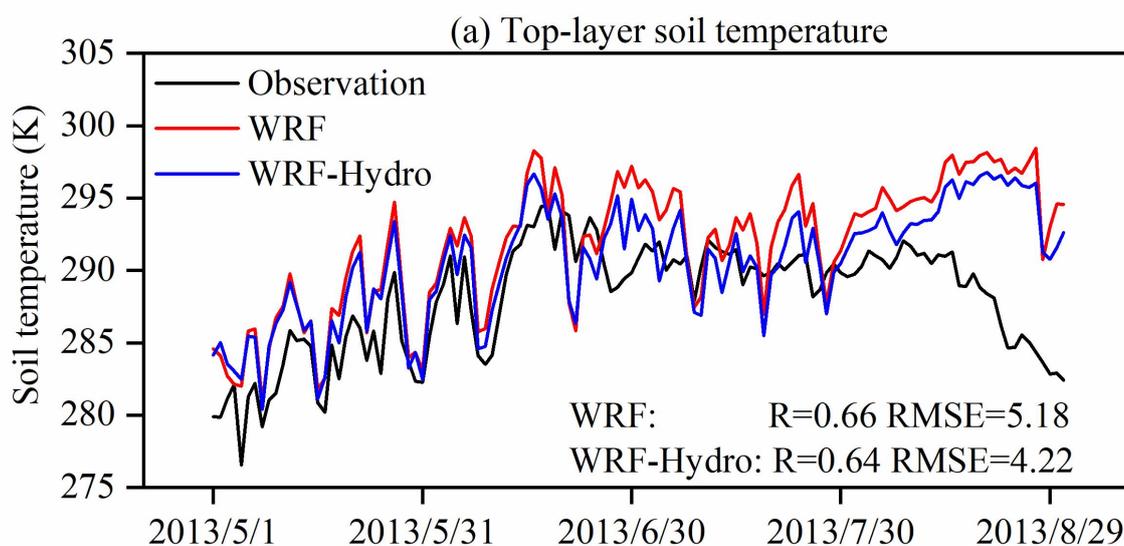
298

299 **Figure 8.** As in Figure 5, but for the typical cloudy days.

300 Soil temperature and moisture are able to affect the land-surface evaporation and groundwater processes, directly or  
301 indirectly affect the land-hydrology process. Therefore, the top-layer soil temperature and moisture at Maqu station are  
302 analyzed in Fig. 9 due to the availability of observed data. The results display that the soil temperature simulated by WRF



303 and coupled WRF-Hydro model is in good agreement with observation. However, the simulated soil temperature is far  
304 greater than the observed in August, which is related to the deviations from the downward shortwave radiation and  
305 temperature (Fig. 6). The coupling process reduces the RMSE of soil temperature simulation (from 5.18 to 4.22 K) for the  
306 comprehensive consideration in the variation of soil water content. Besides, WRF-Hydro maintains a longer soil moisture  
307 memory with respect to the standalone WRF run and the simulated soil moisture values from WRF-Hydro significantly  
308 exceed WRF ones (Fig. 9b), which is due to the subsurface lateral flow considered in WRF-Hydro model. The simulated top-  
309 layer soil moisture of both experiments is not consistent with the observation and has difficulty in reflecting the response of  
310 soil moisture to precipitation. Additionally, the comparison of site results also brings uncertainty to the judgment of  
311 simulation performance which needs to be verified in spatial distribution.



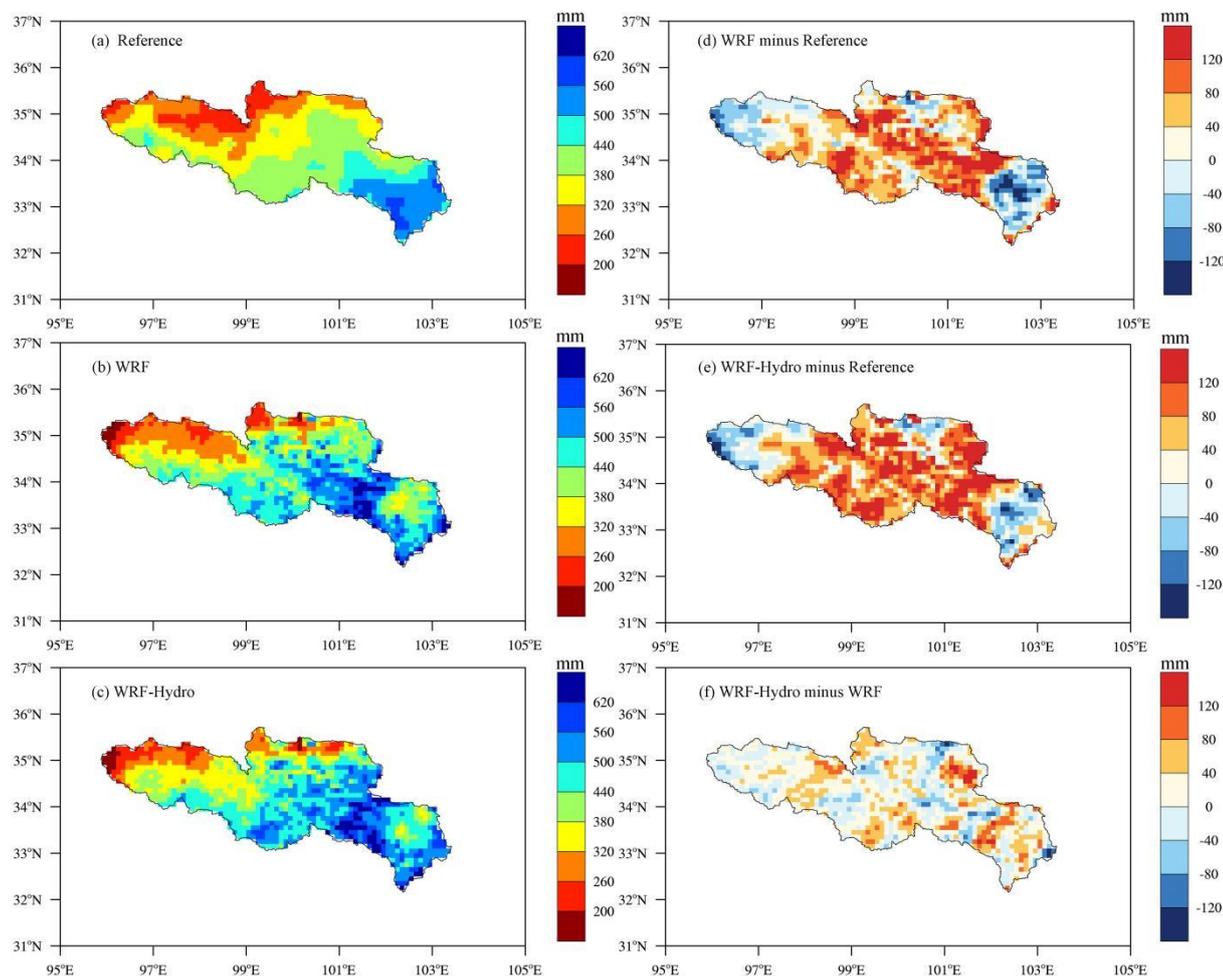
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313 **Figure 9.** The time series of top-layer soil temperature (units: K) and moisture (units:  $\text{m}^3/\text{m}^3$ ) simulated by WRF and fully  
314 coupled WRF-Hydro model from the period of May 1<sup>st</sup>, 2013 to August 31<sup>st</sup>, 2013.

#### 315 4.2 The validation of spatial distribution of hydrometeorological elements

316 Furthermore, the spatial distribution of the accumulated precipitation for CMFD reference data (denoted as Reference), WRF  
317 and coupled WRF-Hydro, as well as their differences are displayed in Fig. 10. The precipitation highlights the strong  
318 dependence of rainfall patterns on topography and shows a decreasing trend from southeast to northwest over the SRYR.  
319 The WRF and fully coupled WRF-Hydro have ability to better capture the distribution characteristics of precipitation. The  
320 simulations of both experiments have a significantly wet bias, especially in the Jiuzhi and Maqu area, while a dry bias in the  
321 southeastern SRYR. Compared with the standalone WRF, the coupled WRF-Hydro takes the subsurface lateral flow into  
322 consideration, which leads to the increase of soil moisture and a more reasonable spatial distribution of soil water, so as to  
323 have a feedback effect on precipitation with a mean wet bias of 16.63 mm than WRF simulations.

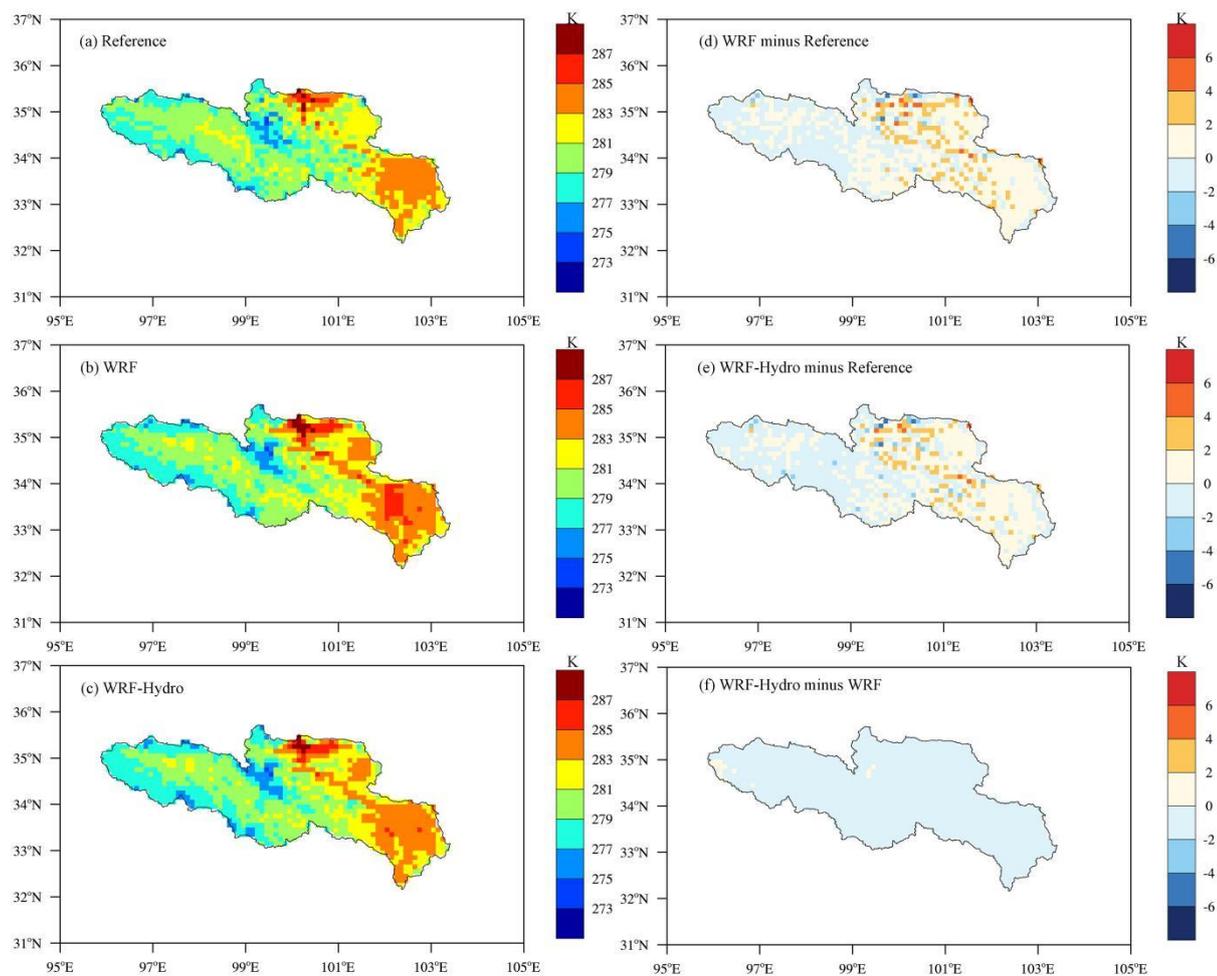


324



325 **Figure 10.** The spatial distribution of the accumulated precipitation (units: mm) in the time interval May 1<sup>st</sup>, 2013 to August  
326 31<sup>st</sup>, 2013 with (a) observation, (b) the standalone WRF simulation, (c) the coupled WRF-Hydro simulation, and difference  
327 map for (d) WRF minus observation, (e) WRF-Hydro minus observation, (e) WRF-Hydro minus WRF.

328 With the respect to temperature, the spatial patterns of the mean 2-m air temperature during the simulated period are showed  
329 in Fig. 9. The spatial distribution of temperature presents gradient characteristics with higher temperatures in the flat regions  
330 and lower temperatures in the alpine areas. Both experiments have ability to capture the distribution characteristics of  
331 temperature well. On the whole, the simulated temperature is relatively higher, especially in the northeast of the SRYR, with  
332 a regional mean bias of 0.44 K for standalone WRF and of 0.15 K for the fully coupled WRF-Hydro respectively, which  
333 means that the coupled simulation slightly reduces the deviation of the mean temperature.

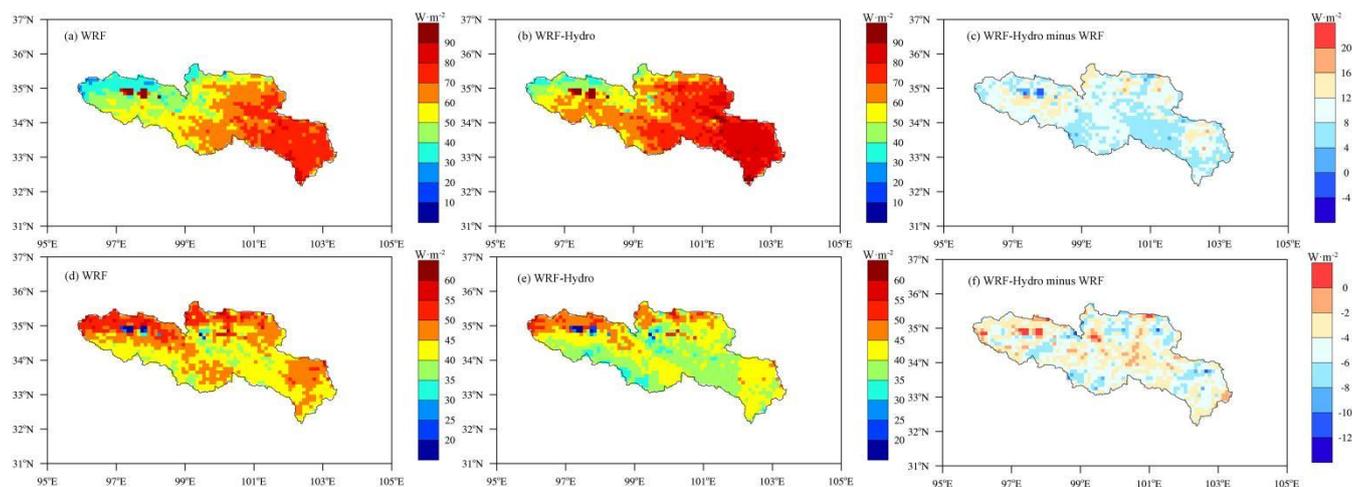


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335 **Figure 11.** As in Figure 8, but for the mean temperature (units: K).



336 The spatial distribution of turbulent fluxes is able to affect the development of the atmospheric boundary layer through  
337 thermal exchanges, and then change the precipitation structure and area (Zhang et al., 2019). Fig. 12 shows the spatial  
338 distribution of the LE and H simulated by WRF and coupled WRF-Hydro models and their differences. As far as LE is  
339 concerned, both experiments demonstrate a southeast-northwest gradient with two maximum value areas of LE in Zhaling  
340 Lake and Eiling Lake, which is related to the evaporation process of lakes. Compared to standalone WRF (mean value 55.89  
341  $W \cdot m^{-2}$ ), the coupled simulation (mean value 68.98  $W \cdot m^{-2}$ ) increases the LE simulation values due to the increase in  
342 evaporable area. In terms of H, its spatial distribution is the opposite of LE, showing decreasing characteristics from  
343 northwestern to southeastern SRYR, with a low value area for simulated H in the two lakes. The coupled simulation  
344 significantly reduces the simulated H and overcomes the problem of large H simulation, with a mean difference of -4.2  
345  $W \cdot m^{-2}$  between the coupled WRF-Hydro and WRF.



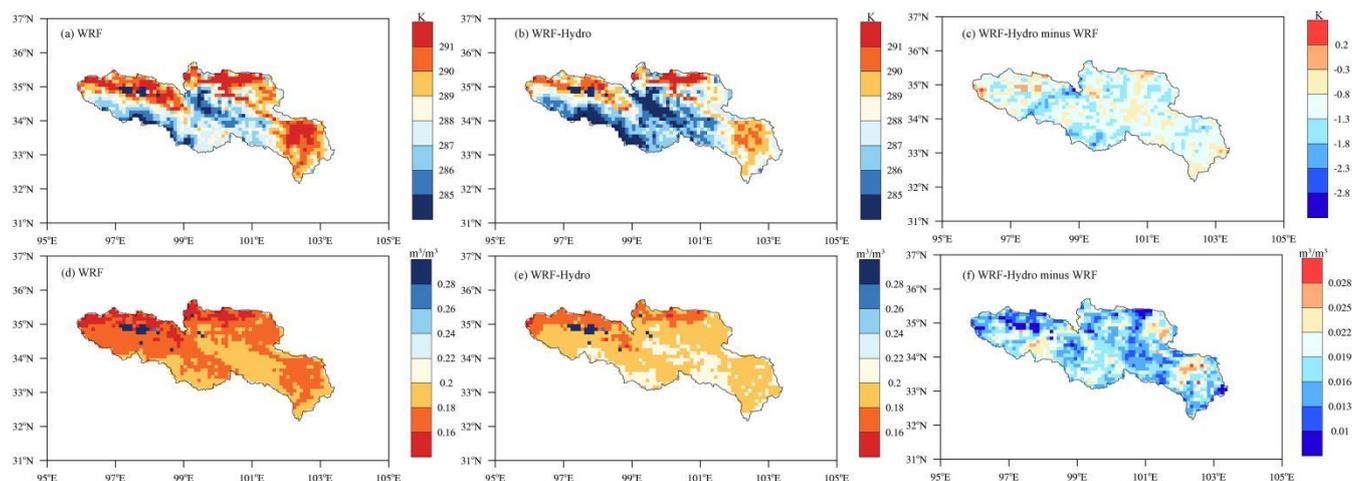
346  
347 **Figure 12.** The spatial distribution of the mean latent heat (units:  $W \cdot m^{-2}$ ) in the time interval May 1<sup>st</sup>, 2013 to August 31<sup>st</sup>,  
348 2013 with (a) the standalone WRF simulation, (b) the coupled WRF-Hydro simulation, and difference map for (c) WRF-  
349 Hydro minus WRF, (d-f) are same as (a)-(c), but for sensible heat (units:  $W \cdot m^{-2}$ ).

350 Soil temperature is an important parameter of land surface process, which directly reflects the land thermal state. Its variation  
351 affects the movement and phase transition of surface soil water, thus affecting the surface hydrological cycle (Zhang et al.,  
352 2021). Soil moisture is a key factor affecting land-atmosphere interaction. As a storage term for heat and moisture, soil  
353 moisture has the ability to remembering from weeks to months, remembering previous atmospheric perturbations and then  
354 influencing the atmosphere through factors such as surface fluxes (Song et al., 2019).

355 The spatial distribution characteristics of top-layer soil temperature (Fig. 13a, b, c) and moisture (Fig. 13d, e, f) during the  
356 2013 rainy season over the SRYR are analyzed in Fig. 13. Due to the high altitude and the large temperature difference



357 between day and night over the TP, its mean temperature is lower than that of the inland areas, so the closer to the depth of  
358 the plateau, the lower the soil temperature. Both experiments are able to better depict the characteristic that the temperature  
359 in the lake area is lower than the surrounding area, and the coupled WRF-Hydro reduces the simulated surface soil  
360 temperature, with a cold deviation of 1.07 K, which is able to affect the atmospheric water vapor convergence through land-  
361 atmosphere interactions. For soil moisture, both experiments show that wet centers in the Zaling Lake and Eling Lake area.  
362 Influenced by the terrestrial lateral water and soil moisture redistribution process of coupled WRF-Hydro, the spatial  
363 distribution of soil water content is more reasonable over the study region, and WRF-Hydro soil moisture values  
364 significantly exceed WRF ones, with a wet deviation of  $0.02 \text{ m}^3/\text{m}^3$ . On the whole, the two lakes and the surrounding areas  
365 over the SRYR are cold and wet centers during the simulation period, and the coupled simulations better capture the  
366 variation characteristics of soil temperature and moisture.



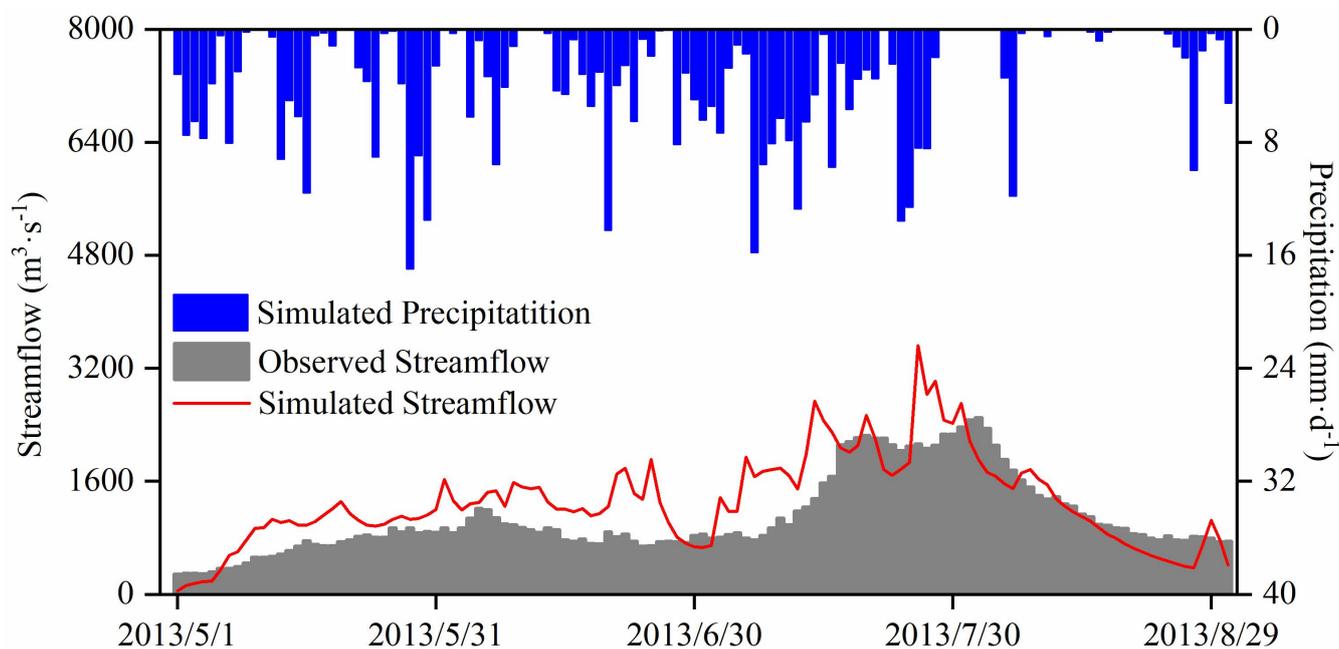
368 **Figure 13.** The spatial distribution of the mean top-layer (0-10 cm) soil temperature (units: K) in the time interval May 1<sup>st</sup>,  
369 2013 to August 31<sup>st</sup>, 2013 with (a) the standalone WRF simulation, (b) the coupled WRF-Hydro simulation, and difference  
370 map for (c) WRF-Hydro minus WRF, (d-f) are same as (a)-(c), but for soil surface temperature (units:  $\text{m}^3/\text{m}^3$ ).

### 371 4.3 The time series of the streamflow simulated by the fully coupled model

372 The time series of fully coupled simulated streamflow for the 2013 rainy season when no direct meteorological station  
373 observations are required are given in Fig. 14. The fully coupled model is able to capture the temporal variation of observed  
374 hydrographs with a R of 0.77. However, reproducing the daily streamflow with the fully coupled model still remains a  
375 challenge with a NES of 0.33 and a RMSE of  $458.85 \text{ m}^3 \cdot \text{s}^{-1}$ . The main reason is that the coupled simulated streamflow is  
376 severely overestimated, especially the reproduction of peak flow is very limited. The performance degradation is mainly due  
377 to the fact that the WRF-Hydro model is extremely sensitive to the quality of precipitation data, where the RMSE of  
378 precipitation is only 2.51 mm, but the RMSE of streamflow reaches  $458.85 \text{ m}^3 \cdot \text{s}^{-1}$ . Besides, this error may also be due to the



379 different frequencies of the Noah-MP LSM called in the uncoupled calibration and fully coupled runs. During the uncoupled  
380 simulations, the Noah-MP LSM is typically invoked at the physical time step of the hydrological model, whereas during  
381 fully coupled simulations, it is invoked at the physical time step of the WRF model, which results in more water passing  
382 farther downslope or into the channel before infiltration occurs again, implying higher streamflow values (Senatore et al.,  
383 2015).



384  
385 **Figure 14.** The observed and fully coupled WRF-Hydro simulated streamflow (units:  $\text{m}^3 \cdot \text{s}^{-1}$ ) for the period May 1<sup>st</sup>, 2013 to  
386 August 31<sup>st</sup>, 2013.

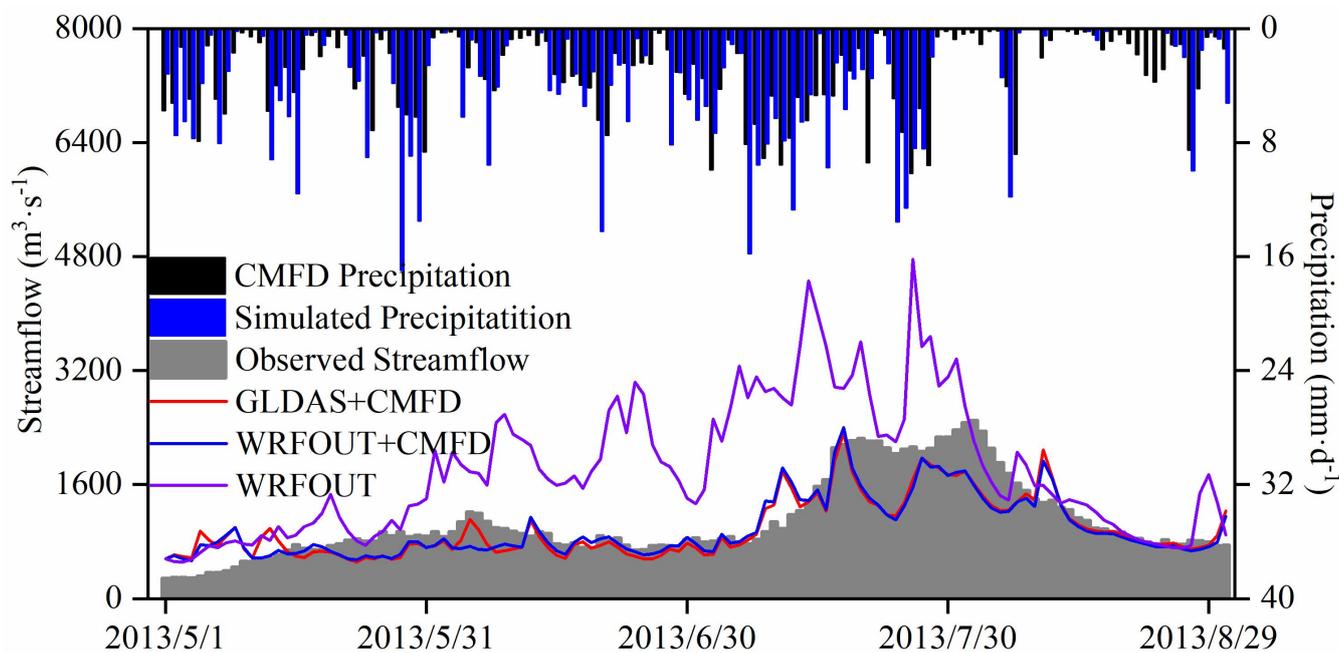
## 387 5 Discussion

388 The SRYR is rich in wetland resources and is the most important water conservation area in the middle and upper reaches of  
389 the Yellow River, and the contribution of groundwater to surface runoff cannot be ignored (Jia et al., 2022). In this research,  
390 the streamflow simulated by the uncoupled WRF-Hydro model is generally in good agreement with the observation.  
391 However, the detailed portrayal of the hydrograph needs to be improved, especially the steep change of streamflow at the  
392 flood peak, which does not well reflect the modulating effect of lateral flow of groundwater on streamflow changes.  
393 Therefore, in order to clarify the mechanisms of groundwater and soil water content on streamflow, a comprehensive  
394 analysis of land surface water cycle processes is supposed to be conducted in further research.

395 In addition, there is a significant overestimation of streamflow simulated by the fully coupled model in this research. To  
396 better investigate the possible reasons for the degradation of the coupled simulation performance, the sensitivity analysis



397 plots of different atmospheric driving data combinations on the simulated streamflow from the uncoupled WRF-Hydro  
398 model are given in Fig. 15. The quality of precipitation data is very sensitive to streamflow simulations (WRFOUT) relative  
399 to the initial combination of driving data (GLDAS+CMFD) in this research, while non-precipitation data do not have a  
400 significant impact on streamflow (WRFOUT+CMFD). When using fully coupled simulated precipitation data, the slight  
401 deviations in precipitation (RMSE of 2.51 mm) cumulatively lead to larger error ( $458.85 \text{ m}^3 \cdot \text{s}^{-1}$ ) in the streamflow simulation.  
402 Therefore, reproducing daily streamflow with the fully coupled model remains a challenge at present (Li et al., 2021).  
403 Studies have shown that data assimilation has ability to improve the effect of precipitation forecasting at small and medium  
404 scales, promote the development of coupled atmosphere-land-hydrology simulation level, and is expected to further improve  
405 the accuracy of flood forecasting on the basis of extending the forecasting period (Gu et al., 2021). Therefore, satellite and  
406 radar data are able to be assimilated into the WRF model in the future research to improve the simulation results of the  
407 precipitation and streamflow. Meanwhile, the work of Senatore et al. (2015) is contribute to addressing the issue of the effect  
408 of different frequencies that the LSMs being called on streamflow simulation by enabling multiple time steps for different  
409 forcing and model components in the WRF-Hydro model.



410

411 **Figure 15.** The uncoupled WRF-Hydro simulated streamflow (units:  $\text{m}^3 \cdot \text{s}^{-1}$ ) driven by different precipitation data for the  
412 period May 1<sup>st</sup>, 2013 to August 31<sup>st</sup>, 2013.



## 413 6 Conclusions

414 Based on WRF-Hydro model, the meteorological, hydrological, eddy covariance stations and multiple reanalysis data over  
415 the SRYR, the key variables of the coupled atmosphere-land-hydrological processes simulated by standalone WRF and  
416 coupled WRF-Hydro model during the 2013 rainy season (May-August) are compared and analyzed to investigate the effects  
417 of climate change on land surface and water cycle processes and the feedback of land surface hydrological cycle to  
418 precipitation over the SRYR in this research. The following conclusions have been drawn:

419 1) The uncoupled WRF-Hydro model is able to characterize the variability of streamflow over the SRYR basin with a R of  
420 0.84 and a NSE of 0.44 for the simulated streamflow and observations during the calibration period, and a R of 0.81 and a  
421 NSE of 0.61 for the validation period.

422 2) In terms of temporal variation, both the results of the standalone WRF and the coupled WRF-Hydro model indicate the  
423 reasonable performance in reproducing variables of atmospheric-land-hydrological processes over the SRYR. Compared  
424 with WRF model, the coupled process improves the simulation results for temperature, downward longwave and shortwave  
425 radiation, but slightly increases the wet bias of the precipitation and evapotranspiration, with a RMSE increased from 2.50 to  
426 2.51 mm for precipitation and from 0.71 to 0.78 mm for evapotranspiration. Due to the consideration of lateral flow of soil  
427 water, the coupling process significantly reduces the bias of the simulation results of water-heat exchange fluxes, soil  
428 temperature and moisture, with a mean RMSE of  $32.27 \text{ W}\cdot\text{m}^{-2}$ ,  $24.91 \text{ W}\cdot\text{m}^{-2}$ , 4.22 K, and  $0.06 \text{ m}^3/\text{m}^3$  respectively.

429 3) In terms of spatial distribution, the coupled simulation increases the wet bias of the precipitation with a mean wet bias of  
430 16.63 mm than WRF simulations, which is caused by the lateral redistribution and infiltration of soil water, but slightly  
431 enhance the simulation results of temperature. The coupled process increases the LE and overcomes the problem of large H  
432 simulation, and also results in a slight wetting and cooling of the near-surface atmosphere, making the spatial distribution of  
433 water-heat exchange flux and soil temperature and moisture more reasonable.

434 4) The fully coupled model is able to capture the variation characteristics of streamflow. However, reproducing daily  
435 streamflow with the fully coupled model remains a challenge, with a NSE of 0.33 and RMSE of  $458.85 \text{ m}^3\cdot\text{s}^{-1}$ , because of  
436 the uncertainty of the simulated precipitation.

437

438 **Code and data availability.** The source code of the WRF-4.1.2 and WRF-Hydro-5.1.1 models are available from  
439 [https://www2.mmm.ucar.edu/wrf/users/download/get\\_source.html](https://www2.mmm.ucar.edu/wrf/users/download/get_source.html) (Skamarock et al., 2008) and  
440 [https://ral.ucar.edu/projects/wrf\\_hydro/model-code](https://ral.ucar.edu/projects/wrf_hydro/model-code) (Gochis et al., 2020) respectively. The daily streamflow data of  
441 Tangnaihai hydrological station can be downloaded at <http://www.yrcc.gov.cn>, the observations of the meteorological  
442 stations collected from the National Meteorological Information Center at  
443 [http://101.200.76.197/data/cdcdetail/dataCode/SURF\\_CLI\\_CHN\\_MUL\\_DAY\\_V3.0.html](http://101.200.76.197/data/cdcdetail/dataCode/SURF_CLI_CHN_MUL_DAY_V3.0.html) and the turbulent heat fluxes and



444 soil temperature and moisture data of the Eling Lake station from the National Cryosphere Desert Data Center  
445 (<http://www.ncdc.ac.cn>, Meng and Lyu, 2022). The CMFD data are provided by the National Tibetan Plateau Data Center  
446 (<https://doi.org/10.11888/AtmosphericPhysics.tpe.249369.fle>, He et al., 2020). The GLDAS data are from  
447 <https://ldas.gsfc.nasa.gov/gldas/> (Beaudoin and Rodell, 2020).

448 **Author contributions.** YC and WJ mainly wrote the manuscript and were responsible for the research design, data  
449 preparation and analysis. XM provided critical insights to the research results. And the other authors discussed and assisted  
450 with interpretation of the results and contributed to the article. All authors have read and agreed to the published version of  
451 the manuscript.

452 **Competing interests.** The authors declare that they have no conflict of interest.

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