

May 1, 2022

Memorandum

To: Pro. Lixin Wang, Editor of *Hydrology and Earth System Sciences*

Subject: **Revision of hess-2022-4**

Dear Pro. Wang:

Upon your request, we have carefully addressed all the comments made by David Dunkerley and the two anonymous reviewers on our manuscript (hess-2022-4) entitled "Inter- and intra-event rainfall partitioning dynamics of two typical xerophytic shrubs in the Loess Plateau of China" and revised the manuscript accordingly. The comments have helped us greatly improve the overall quality of the manuscript. The page and line numbers in the following response refer to the revised manuscript with changes marked.

**Response to Referee #1 (Pro. David Dunkerley):**

1. Comment:

This paper explores a little further data from the same field observations as were discussed previously by some of the same authors in their 2019 HESS paper *Temporally dependent effects of rainfall characteristics on inter- and intra-event branch-scale stemflow variability in two xerophytic shrubs* (Yuan et al. 2019).

[Yuan, C., Gao, G., Fu, B., He, D., Duan, X., & Wei, X. (2019). *Temporally dependent effects of rainfall characteristics on inter- and intra-event branch-scale stemflow variability in two xerophytic shrubs*. *Hydrol. Earth Syst. Sci.*, 23(10), 4077-4095. doi:10.5194/hess-23-4077-2019].

The same two species of shrubs are studied, and the field observations analysed here come from the same 2014-2015 data collection as were analysed by Yuan et al. (2019). The field data collection appears to have been one and the same for both papers.

Reply: *We acknowledge that this work and Yuan et al. (2019) did analyze the same two species of shrubs in the same area during 2014-2015, but our dataset was more complete, including data collection on throughfall and stemflow, as well as interception loss based on water balance. Yuan et al. (2019) only studied the branch-scale stemflow (only one of the rainfall partitioning processes), which can be seen from the title of Yuan et al. (2019) (see P.5, Lines 109-116). The research object of this work was individual shrub rather than branches, and the aim was to investigate in-depth the holistic processes of rainfall partitioning (i.e., throughfall, stemflow, and interception loss) at both inter-event and intra-event scales, and to add some new insights into rainfall partitioning (see P.6, Lines 130-131). To our best knowledge, this study is the first time to investigate the intra-event variations of the whole rainfall partitioning components for shrubs. This is the major novelty and advancement of this study. We have also obtained the quantitative relationships between the rainfall partitioning variables and rainfall characteristics, and further elaborated the influences of vegetation structure characteristics (leaf,*

*canopy structure, and biomass, etc..) on rainfall partitioning. This study obtained new insights to understand the fine characterization of shrub-dominated eco- hydrological processes, and improve the accuracy of water balance estimation in dryland ecosystem (see P.24, Line 556 to P.25, Line 578).*

## 2. Comment:

Both Yuan et al. (2019) and the present ms. (An et al.) seek to explore the role of rainfall variability and plant architecture on stemflow, throughfall, and interception, paying attention to how these work at intra-event timescales. Their ability to do this is however hampered by their reliance on rainfall observations that were aggregated and logged only every 10 minutes. This is hardly sufficient temporal resolution to permit analysis of time lags before the commencement of stemflow, and various other analyses that the authors seek to make.

Reply: *Yuan et al. (2019) only explored the variations of branch stemflow, and this study investigate the inter- and intra-event variations of the whole rainfall partitioning components on the shrub scale (including throughfall, stemflow, and interception loss). (see P.5, Lines 109-116; P.6, Lines 130-131)*

*In terms of temporal resolution, the rainfall partitioning data at intra-event scale was recorded every 0.2 mm using tipping bucket rain gauge (TBRG). The data of stemflow and throughfall volume and timing were automatically recorded at dynamic intervals between neighboring TBRG tips. The observations data were aggregated every 10 minutes to better reflect fluctuations in rainfall partitioning components (see P.12, Lines 275-278). A series of indices reflecting the dynamic processes of rainfall partitioning were obtained (see P.13, Lines 295-304).*

## 3. Comment:

Given that the two papers explore the same shrub taxa in the same field area during the same two years (2014-2015), and that both explore the intra-event workings of stemflow and throughfall, I think that a key requirement is for the Introduction to make it clear and explicit how the present paper differs in scope and results from the earlier paper of Yuan et al. (2019). The earlier paper appears to have focussed more strongly on branch-scale mechanisms, but a clear distinction requires very careful reading and differences in data processing make it very difficult indeed to see what is new in the current ms.

Reply: *Yuan et al. (2019) only explored the branch stemflow variations at intra-event timescale, and this study investigate the intra-event variations of the whole rainfall partitioning components on the shrub scale (including throughfall, stemflow, and interception loss). In the Introduction section, we have made clear the differences between the present paper and the earlier paper by Yuan et al. (2019). (see P.5, Lines 109-116; P.6, Lines 130-131)*

*The innovation of this study was to investigate in-deep the holistic processes of whole rainfall partitioning components (throughfall, stemflow, and interception loss) of shrub plant at inter- and intra-event scales. We have also obtained the quantitative relationships between the rainfall partitioning variables and rainfall characteristics, and further elaborated the influences of vegetation structure characteristics (leaf, canopy structure, and biomass, etc..) on rainfall partitioning. (see P.24, Line 556 to P.25, Line 578).*

## 4. Comment:

In particular, in their Introduction (and perhaps also in a covering letter to accompany their submission) the authors should highlight what can be learned about stemflow and throughfall in the two shrub taxa that was not already demonstrated by Yuan et al. (2019). I think that it would be helpful for the authors to compare and contrast what was learned by Yuan et al. (2019) and what similarities or differences emerge in the present study (An et al.).

Reply: *Yuan et al. (2019) described the stemflow variability at the branch scale, and quantified its relationship with rainfall characteristics on inter- and intra-event scales. However, they did not study the variability of throughfall (the largest component of rainfall partitioning) and ignored interception loss. This work further provided the dynamics of the whole rainfall partitioning including stemflow, throughfall, and interception loss at the individual plant scale. In the Introduction section, we have highlighted the differences between this study and Yuan et al. (2019). (see P.5, Lines 109-116; P.6, Lines 130-131) The novelty and implications of this study have been discussed in detail in the Discussion section (see P.24, Line 556 to P.25, Line 578). For the results of stemflow, the two papers were largely similar, both in describing stemflow processes and in quantifying the relationships with the rainfall characteristics (see P.22, Lines 508-510; P.23, Lines 522-531; P.24, Lines 543-545).*

#### 5. Comment:

In other respects the paper seems entirely routine, containing nothing new in method, theory, or argument. I do think that the authors should evaluate the adequacy of their data and field sampling, however. Do the 38 rainfall events in two years form a sufficiently large sample of events? Does sampling of just four branches (line 202) represent a sufficiently large sample? What is the evidence for this being the case? And only a single individual plant of each species was used to measure throughfall and stemflow dynamics (line 198). How was the single individual selected? Is a sample of one really sufficient to draw meaningful conclusions concerning the entire species, as the authors do? These matters and similar considerations should be discussed and critically evaluated. As the paper stands, the authors merely report that they studied only a single shrub of each species but provide no evaluation of whether this is a sufficient and representative sample. (In the same vein, the use of aggregated, 10-minute rainfall amounts warrants critical comment by the authors. The authors should also report fully and properly the characteristics of the rainfall events that they monitored. To judge from the data in Yuan et al. (2019) these were mostly rather brief - from one to a few hours. But the present ms. (An et al.) does not even mention the event duration (nor, for instance, whether the rain was during daylight hours or at night - which is surely relevant to evaporative losses and hence to interception amounts). All of this must be corrected in a revision to the current ms. Some evidence of the nature of just four rainfall events can be found in Figure 5 but this is hardly sufficient.

Reply: *First, this is the first comprehensive study to investigate the intra-event dynamics of all rainfall partitioning components (i.e., throughfall, stemflow and interception loss) in shrubs. This is the main novelty and advance of this study. We have also obtained the quantitative relationship between rainfall partitioning variables and rainfall characteristics, and further elaborated the influence of vegetation structure characteristics (leaf, canopy structure, and biomass, etc.) on rainfall partitioning (see P.24, Line 556 to P.25, Line 578).*

*Second, in terms of the number of rainfall events, most of previous studies such as Magliano et al., 2019b, Whitworth-Hulse et al., 2020a, and Yang et al., 2019, only studied 22-40 rainfall events. So that we think 38 rainfall events in two years constitute a relatively sufficiently large event sample. We have*

*added the characteristics of the rainfall events in the revision version (see P.14, Line 321 to P.15, Line 337). The start and end time and duration of rainfall events were added in Fig. 5. We have also pointed out the need for long-term observational studies in the Discussion section (see P.25, Lines 581-583).*

*Third, we measured branch diameter (BD, mm), length (BL, cm), and angle (BA, °) of all 143 and 218 branches of four *C. korshinskii* and *S. psammophila* shrubs. Four BD categories were defined as 0-10, 10-15, 15-20, and >20 mm to ensure an appropriate number of branches within the category. For each species, we selected six representative branches to characterize intra-event stemflow dynamics through strict selection conditions, including those branches be distributed across the four BD categories and that there was no crossover between the experimental branch and adjacent branches, no inflection point from the tip of the branch to the base. Due to missing or incomplete data, four branches were finally identified for each species, located in each of the four BD categories to measure stemflow (see P.10, Line 235 to P.11, Line 246). The single individual shrub was selected from the three representative shrub plants in each shrub species to measure the dynamics of intra-event rainfall partitioning. Due to the high cost of equipment, it is difficult to place a lot of TBRGs. In the future experiment, we will add more branches and individual shrubs to expand the sample size (see P.25, Lines 581-583).*

*Finally, we have explained that the rainfall partitioning data at intra-event scale was recorded every 0.2 mm using tipping bucket rain gauge (TBRG), and they were aggregated every 10 minutes to better reflect fluctuations in rainfall partitioning (see P.12, Lines 275-278).*

## **Response to Anonymous Referee #2:**

### **General comments:**

Rainfall partitioning (interception loss, throughfall, and stemflow) is an old theme in the field of forest hydrology, and numerous studies have been done on quantified rainfall partitioning and their influencing factors at event scale. Nevertheless, the relevant studies are lacking for shrubs of drylands, and the intra-event dynamics have been less explored. An et al. characterized and quantified the rainfall partitioning of two xerophytic shrubs at both inter-event and intra-event scale in the Loess Plateau of China. What's really interesting to me is their concurrent finer investigation (10 min) on the intertwined rainfall partitioning processes. It seems that another paper by some of the same authors has been published in HESS (Yuan et al., 2019, 23(10): 4077-4095) in digging into the branch- scale dynamics of stemflow (only one of the rainfall partitioning processes). In my view, this study steps further and provides a full view of the reciprocal dynamics among interception loss, throughfall, and stemflow at the shrub-scale and thereby discussed the underlying mechanisms. In this sense, this study adds some new insights into rainfall partitioning and has the potential for a better understanding of the shrub-dominated eco- hydrological processes in drylands. Of course, the authors should explicitly explain the difference between two papers. Moreover, this paper is in general well-written; the experimental design and data analysis are normal and acceptable; results and discussion are informative. I have some moderate/minor comments that are required before considering the manuscript for publication.

*Reply: Thank you for nice comments and insightful suggestions. Yes, Yuan et al. (2019) described the branch-scale stemflow (only one of the rainfall partitioning processes), and they did not examine*

*throughfall (the largest component of rainfall), and ignored interception loss. This study combined the inter-event and intra-event variabilities of stemflow, throughfall, and interception loss at the individual plant scale to provide a further integrated analysis of overall rainfall partitioning dynamics. To our best knowledge, this study is the first time to investigate the intra-event variations of the whole rainfall partitioning components for shrubs. This is the major novelty and advancement of this study. In the Introduction and Discussion sections, we have clearly pointed out the differences and innovations of this study with respect to previous studies (see P.5, Lines 109-116; P.6, Lines 130-131; P.24, Line 556 to P.25, Line 578).*

Specific comments:

1. Comment:

L49: Water loss due to interception evaporates but not transpires back to atmosphere. "transpiration" here is NOT a correct term for interception loss.

Reply: *We have replaced "transpiration" with "evaporation" (see P.3, Line 49).*

2. Comment:

L133: A citation is missed for Flora of China.

Reply: *We have added citation for Flora of China (see P.7, Lines 160-161).*

3. Comment:

L271: What does values such as "11.1 ± 8 mm"? Mean ± SD or Mean ± SE? Better explain for the first time as they appear.

Reply: *It means Mean ± SD (see P.14, Line 325).*

4. Comment:

L305-311: Are the thresholds for the generation of TF and SF derived from regression equations comparable to or in the range of that measured using tipping bucket rain gage? A brief discussion somewhere in the Discussion section is desirable.

Reply: *We have compared the thresholds for the generation of TF and SF, derived from regression equations and measured using tipping bucket rain gauges, and discussed the reason. We found that TF and SF thresholds measured using the TBRGs were both smaller than the thresholds derived from the regression equation. This is a closer indication of the importance of high-resolution intra-event data in rainfall partitioning studies (see P.17, Line 392 to P.18, Line 401).*

5. Comment:

L370: significantly → significant?

Reply: *we have replaced "significantly" with "significant" (see P.19, Line 441).*

#### 6. Comment:

L404-414: The authors contributed the significant higher IC % in *C. korshinskii* than in *S. psammophila* to the higher water storage of *S. psammophila*. However, they used the absolute values (4.9 L versus 6.0 L) but not the normalized ones. Surely, a large canopy tends to absorb more rainwater than a small canopy, but that does not necessarily mean that the large canopy has a higher capacity in retaining rainwater. Actually, the intercepts (0.92 for *C. korshinskii* and 1.15 for *S. psammophila*) in the fitted formulas between interception loss (mm) and rainfall amount (mm) in Figure 4e are indicative that *C. korshinskii* has a lower canopy water storage, hence a potential lower interception loss.

Reply: *The IC% of S. psammophila is significantly higher than that of C. korshinskii. This study was done at the shrub-scale, so we compared the total canopy water capacity of individual plant (Cm). While comparing Cm of C. korshinskii (3.9 L) and S. psammophila (6.0 L), we also discussed the intercepts (0.92 mm for C. korshinskii and 1.15 mm for S. psammophila) in the fitted formulas between interception loss (mm) and rainfall amount (mm) in Figure 4e to further confirm the lower canopy water storage of C. korshinskii (see P.21, Line 482 to P.22, Line 495).*

#### 7. Comment:

L443-447: I would like to argue with the authors that the dynamic characteristics for TF such as TFI, TFI<sub>10</sub>, LE<sub>TF</sub>, TFD, LG<sub>TF</sub> and LM<sub>TF</sub> are just different variables indicating the behaviors of TF but not the reasons for the generation of TF. Those variables are results but not the reasons. That means, according to those variables, it is reasonable to say which variables are indicative of an earlier or later generation of TF, but they are not the reasons that a shrub species is beneficial to the generation of TF. This is also the case for SF.

Reply: *Yes, this is a good comment. The dynamic characteristics for TF such as TFI, TFI<sub>10</sub>, LE<sub>TF</sub>, TFD, LG<sub>TF</sub> and LM<sub>TF</sub> indicated the behaviors of TF production rather than the reasons for the generation of TF production. This is also the case for SF. We have deleted the confusing statement ("beneficial to the generation of TF and SF") in this sentence. (see P.24, Lines 537-541)*

#### 8. Comment:

The authors made a detailed description of intra-event rainfall partitioning dynamics. I suggest that they elaborate more on the potential ecological implications.

Reply: *We have elaborated more on the potential ecological implications of intra-event rainfall partitioning dynamics in the Discussion section (see P.22, Line 510 to P.23, Line 518; P.25, Lines 576-578).*

### Response to Anonymous Referee #3:

#### General comments:

The present work collected very detailed data to conduct a concurrent and in-depth investigation of throughfall (TF), stemflow (SF), and interception loss (IC) at both inter- and intra-event scales for two typical xerophytic shrubs on the dry region in the Chinese Loess Plateau, and the effects of bio-/abiotic factors were investigated. Previous publications from some of the same authors (Yuan et al., 2019, HESS) and the other researchers (Zhang et al., 2018, Science of The Total Environment; Yang et al., 2019, Journal of Hydrology etc.) only focused on TF or SF in shrubs, and the most of rainfall partitioning investigations are limited at inter-event scales. The intra-event rainfall partitioning dynamics which could help have a better understanding of soil water replenishment and its distribution in soil and the key ecohydrological cycle in arid regions have been rarely explored. As far as I know, this study is the first time to investigate the intra-event variations of all the rainfall partitioning components (i.e., TF, SF and IC) for shrubs. This is the main novelty and a step forward compared with the previous related studies (Yuan et al., 2019, HESS; Yuan et al., 2017, HESS; Yang et al., 2019, JH). This study obtained new insights to understand the fine characterization of shrub-dominated eco- hydrological processes, and improve the accuracy of water balance estimation in dryland ecosystem. The paper is well written and interesting to the general readers of HESS, and I think it can be published in HESS. I have the following comments to further improve it.

Reply: *Thank you for the nice comments on the novelty of this study. This study combined the inter-event and intra-event variabilities of stemflow, throughfall, and interception loss to provide a full view of rainfall partitioning dynamics at the shrub-scale, and discussed the effects of rainfall and vegetation characteristics on rainfall partitioning dynamics.*

#### Specific comments:

##### 1. Comment:

The authors should explain explicitly the novelty of this study, especially how it advances from Yuan et al. (2019) and Zhang et al. (2018).

Reply: *This study combined the inter-event and intra-event variabilities of stemflow, throughfall, and interception loss to provide a full view of rainfall partitioning dynamics at the shrub-scale. Most of previous studies on rainfall partitioning investigations of shrubs were limited at inter-event scale, or only focused on the single process (TF or SF) at the intra-event scale. In the Introduction section, we have clarified the differences between this paper and earlier papers by Yuan et al. (2019) and Zhang et al. (2018) (see P.5, Lines 109-116; P.6, Lines 130-131). The novelty and implications of this study have been discussed in detail in the Discussion section (see P.24, Line 556 to P.25, Line 578).*

##### 2. Comment:

Compare your stemflow data with that reported by Yang et al. (2019) in Journal of Hydrology for the same shrub species.

Reply: *We have compared our stemflow data with those for the same shrub species reported by Yang et al. (2019). (see P.20, Lines 448-450)*

##### 3. Comment:

The authors selected three representative shrub plants to investigate inter-event rainfall partitioning. Eight TF manual gauges were placed under each *korshinskii* plant, and for *S. psammophila*, twenty TF gauges were placed under each plant. For SF yield, a total of 53 branches of *C. korshinskii* and 98 branches of *S. psammophila* were used. Compared to the thorough measurements at inter-event scale, the measurements at intra-event scale were somewhat limited (four TBRGs (tipping bucket rain gauges) for intra-event TF, and four TBRGs for intra-event SF). I know it is mainly due to high cost of equipment, and it is difficult to place a lot of TBRGs to measure intra-event rainfall partitioning. The authors should discuss this issue.

Reply: *We measured characteristics of all branches of the selected C. korshinskii and S. psammophila shrubs, including branch diameter (BD, mm), branch length (BL, cm) and branch angle (BA, °). Based on the measured BD, branches were divided into four categories (BD: 0-10, 10-15, 15-20, and >20 mm), and one branch per each category was selected to measure intra-event stemflow using TBRGs (BD: 6.7, 13.5, 18.6, and 22.1 mm for C. korshinskii and 7.2, 14.4, 18.2, and 31.3 mm for S. psammophila). Four TBRGs were placed in four directions to measure intra-event throughfall (see P.10, Line 235 to P.11, Line 246). Due to high cost of equipment, measurements at the intra-event scale did have limitations compared to the thorough measurements at the inter-event scale. In the Discussion section, we have also point out that more plants and branches need to be selected for long-term observation studies in the future (see P.25, Lines 581-583).*

#### 4. Comment:

Some newest references are lost from this paper, such as "Yue et al., 2021, Global patterns and drivers of rainfall partitioning by trees and shrubs, Global Change Biology". The authors should check it.

Reply: *We have added some newest references (see P.3, Line 64).*

#### 5. Comment:

Whether the rain ended at daylight hours or at night? How long after the end of rainfall to collect throughfall in TF manual gauges? The effects of relevant evaporative losses in TF manual gauges should be discussed, as they are open to atmosphere as shown in Fig. 1b.

Reply: *If the rainfall ended during the day, we completed the data collection of throughfall (TF manual rain gauge) within two hours after the termination of rainfall. And if the rainfall ended at night, we completed the data collection as early as possible in the following day to reduce the effects of evaporative losses (see P.10, Lines 220-223).*

#### 6. Comment:

Line 49, transpiration should be evaporation.

Reply: *Transpiration has been changed to evaporation (see P.3, Line 49).*

#### 7. Comment



While describing the intra-event rainfall partitioning dynamics, the authors should elaborate more on its potential ecological significance.

Reply: *The potential ecological significance of the intra-event rainfall partitioning dynamics has been described in the Discussion section (see P.22, Line 510 to P.23, Line 518; P.25, Lines 576-578).*

#### 8. Comment

The authors did not express clearly whether the 38 rainfall events were all rainfall events in 2014-2015 rainy seasons or those producing throughfall and stemflow.

Reply: *We have clarified that these 38 rainfall events are the effective rainfall events that produced throughfall and stemflow during the 2014-2015 rainy season, and not all rainfall events (see P.14, Lines 321-324).*

#### 9. Comment

The authors should describe the relationships between intra-event rainfall partitioning variables and meteorological factors such as wind speed and wind direction, even there were no significant relevance.

Reply: *The intra-event rainfall partitioning variables were not significantly correlated with meteorological factors such as wind speed and direction, which has been described in the revised version (see P.19, Lines 431-432).*

#### 10. Comment

The possible limitations of your study and the future research focus are suggested to be included in the final section the Discussion part.

Reply: *This is a good suggestion. In the Discussion section, we have discussed the limitations of the experimental design and further scopes of this study (see P.25, Lines 579-588).*

#### 11. Comment

In some references, the authors' first and last name is incomplete. Please revise.

Reply: *Incomplete authors' first and last name have been revised in the references (see "References", P.27, Line 622 to P.35, Line 789).*