RESOPNE TO REVIEWER2

Thank you very much for taking the time to provide valuable feedback on our manuscript. Your input is highly significant in improving the quality of our manuscript.

To demonstrate our appreciation for your feedback, we have diligently addressed each of your comments and made significant revisions, particularly in the following areas. We rearranged the logic of the manuscript and added data, especially for the xylem water of Qinghai spruce. The water vapor recirculation part is clearly expounded, and each parameter is described in detail. We haved carried out uncertainty analysis.We have re-landscaped all the images throughout the article and improved their clarity.We have changed the language to make it easier for native English speakers to make valuable suggestions.We have placed the revised manuscript PDF at the end of the document. The red bolded words, sentences, and subsections in the manuscript represent our editing changes.

We sincerely hope that you will recognize the genuine effort we have put into this manuscript and give us another opportunity to review and incorporate your suggested modifications.

General comment

The authors of this manuscript aimed to assess the role of transpiration, compared to other water fluxes, in a spruce forest in the Qilian Mountains, China. In their analyses, the authors exploited the usefulness of stable isotopes of hydrogen and oxygen to investigate water fluxes in the soil-plant-atmosphere continuum.

Respone:Thank you for your affirmation of our work. It serves as an ongoing motivation for us to continue our research in the current direction. We greatly appreciate your support and encouragement.

Although this manuscript may be interesting for the readers of Hydrology and Earth System Sciences, the current version presents a poor description of the sampling approaches and laboratory methodologies, and the dataset does not seem sufficient to support the main findings (only a growing season was considered, and the authors collected only 7 xylem samples). Furthermore, the authors should have determined the uncertainty in their estimates, in order to assess the impacts on the main results.

Respone:This issue indeed serves as a critical weakness in our manuscript. Therefore, in this revised version, we have made several adjustments. Firstly, we have adjusted the research timeframe to cover two growing seasons from 2018 to 2019. Additionally, we have increased the number of sampling points to four at different vertical heights and expanded the number of wood water samples to 404. Furthermore, we have conducted an uncertainty analysis (Section 5.2) to assess the uncertainties associated with our methodology.

In terms of presentation quality, the manuscript would benefit from a thorough revision of the English by a native speaker, and the authors should upload high-resolution figures with labels that are readable.

Respone:To underscore our determination to enhance the quality of the manuscript, we have undertaken a complete revamp of all the visuals in the article. Furthermore, we have made language revisions throughout the manuscript to ensure it is better suited for native English speakers to read and comprehend.

Specific comments

Section 1: I think the introduction lacks some paragraphs describing the usefulness of stable isotopes of hydrogen and oxygen to investigate water fluxes in the soil-plant-atmosphere continuum. Furthermore, the novelty of this work is not clear (it seems a case study, but there are many like this one), and the specific objectives and/or the research questions should be clearly addressed.

Respone:Thank you for your feedback. We have inserted the following sentence into the introduction:

The interaction between soil and vegetation controls rainfall input and water

transfer within ecosystem components. It is an important player in climate change mitigation in terms of climate benefits (Rohatyn et al., 2022). In the face of complex climate changes, unpredictable weather variations, and continual alterations in surface coverage, the subsystems comprising the atmospheric, soil, and vegetation components in ecohydrological systems undergo corresponding changes in resilience, fragility, and sensitivity. Water moves from the soil through plant roots and stems, eventually reaching the leaves. During photosynthesis, water vapor is released into the atmosphere through open stomata. Stable isotopes of hydrogen and oxygen serve as natural tracers, enabling monitoring of the vertical migration and transformation of water in the ecosystem(Goodwell et al., 2018).

The semi-arid natural environment influences the hydrological processes in the soil-plant-atmosphere continuum. However, the contribution of vegetation transpiration (T) to water fluxes in these mountainous regions, which rely on rainfall and snowmelt as water sources, remains unclear. Furthermore, most studies have focused solely on partitioning evapotranspiration within the ecosystem, which obscures the fate of water vapor. In our research, we analyze the water vapor fluxes from soil evaporation and vegetation transpiration into the atmosphere, which is crucial for understanding water loss dynamics and ensuring appropriate allocation of water resources in the upstream and downstream regions of mountainous areas.

Section 2: The authors should add the area of the catchment and of the study site

(it is unclear whether it is a subcatchment, a hillslope or a plot), and more specific topographic characteristics (e.g., elevation range, slope, aspect etc.). Lithology, soil type and texture should be described as well.

Respone:We have made revisions to Section 2 based on your feedback, as follows:

2. Study area

The Oilian Mountains are located in the central part of the Eurasian continent, on the northeastern edge of the Qinghai-Tibet Plateau. The eastern region is dominated by water erosion, with large variations in mountainous terrain and an average elevation of over 4,000 meters. Permafrost is developed at elevations of 3,500 to 3,700 meters, and areas above 4,500 meters are characterized by modern glacier development. The region has a plateau continental climate, with hot summers and cold winters, strong solar radiation, and large temperature differences between day and night. The average annual temperature is below 4°C, with extreme highs of 37.6°C and extreme lows of -35.8°C. The annual sunshine hours range from 2,500 to 3,300 hours, with a total solar radiation of 5,916 to 15,000 megajoules per square meter. The average annual precipitation is 400 millimeters, and the annual evaporation ranges from 1,137 to 2,581 millimeters. The average wind speed is around 2 meters per second, and the frost-free period lasts from 23.6 to 193 days. The Shiyang River originates from the Daxueshan on the northern side of the Lenglong Ridge in the eastern section of the Qilian Mountains, serving as a major water source for the city of Wuwei. The soil types in the eastern section are diverse, but with low organic matter content. The distribution of vegetation shows distinct zonal characteristics, with mountainous forest-grassland zones (2,600 to 3,400 meters), subalpine shrub-meadow zones (3,200 to 3,500 meters), and high mountain sub-ice-snow sparse vegetation zones (>3,500 meters) at elevations above 2,700 meters. The main types of natural forest vegetation include Qinghai spruce forest, Qilian juniper forest, and Chinese pine forest, with Qinghai spruce being the dominant tree species (Zhu et al., 2022).



Figure 1 Location of the study area and changes in meteorological conditions

Section 3.1: In this section, the authors should provide clear and detailed information about meteorological data and water sample collection. About meteorological data, the authors should clarify whether the station is located in the study site (is it representative of the local meteorological conditions?) and the temporal resolution of the measurements. About water samples, details should include temporal (monthly, weekly, daily or event timescale?) and spatial resolution (how many locations for each water source? At which elevation?), as well as depth for soil water samples (and how many locations?) and xylem samples (how many trees and locations were considered?). Furthermore, in this section the authors should describe the methodological approaches used for precipitation collection (what kind of collector was used?) and extraction of water samples from soil and plants (what kind of plant tissue was collected?)

Respone:Thank you very much for your meticulous feedback on this section. We have taken your comments seriously and made the following revisions:

1.1 Materials Sources

1.1.1 Sampling network

Table 1 Sampling Point Locations and Sample Quantity Information

| Parameter | Station | Qixiang | Hulin | Ninchan | Suidao |
|-----------|---------|---------|-------|---------|--------|
| | | | | | |

| | Altitude(m) | 2543 | 2721 | 3068 | 3448 |
|---------------------|----------------------------|------|--------|------|------|
| T 1 | Temperature(°C/a) | 3 | 3.2 | 3.3 | -0.9 |
| Local | Precipitation(mm/a) | 510 | 469.44 | 394 | 475 |
| climate | Relative humidity $(\%/a)$ | 52.9 | 56.1 | 66.6 | 69.2 |
| | Precipitation | 53 | 108 | 91 | 135 |
| Samplings number | Soil water | 220 | 560 | 560 | 560 |
| | Xylem water | 236 | 56 | 56 | 56 |

3.1.2 Sample collection

We use an automatic weather station in 2018 and 2019 to record meteorological data, in which a rain gauge is used to collect precipitation and transfer samples to 100ml containers after each rain. Drill holes $0 \sim 5 \text{ cm}$, $5 \sim 10 \text{ cm}$, $10 \sim 20 \text{ cm}$, $20 \sim 30 \text{ cm}$, $30 \sim 40 \text{ cm}$, $30 \sim 50 \text{ cm}$, $50 \sim 60 \text{ cm}$, $60 \sim 70 \text{ cm}$, $70 \sim 80 \text{ cm}$, $80 \sim 90 \text{ cm}$, 90 in the sample plot by using soil drill $\sim 100 \text{ cm}$. The soil samples were divided into two parts, one of which was placed in a 50 ml glass bottle. The bottle was sealed with a subfilm and transported to the observation station within 10 hours after the sampling date was marked for cryopreservation to detect stable isotope data. The other part of the sample was placed in a 50ml aluminum box and the soil moisture content was determined by drying method. When collecting plant samples, we used scissors to collect vegetation xylem stems, peeled off the bark, and put them in 50ml glass bottles sealed and frozen for experimental analysis.

We utilized the monthly potential evapotranspiration dataset of China, with a spatial resolution of 0.0083333° (approximately 1 km), covering the period from January 1990 to December 2021. The data is measured in units of 0.1 mm. This dataset is derived from the China 1 km monthly mean temperature, minimum temperature, and maximum temperature dataset(Peng et al.,2022;Ding et al.,2020;Ding et al.,2021), using the Hargreaves equation for estimating potential evapotranspiration (Peng et al., 2017). The formula is as follows: $P_{ET} = 0.0023 \times S0 \times (MaxT - MinT)0.5 \times (MeanT + 17.8)$, where P_{ET} represents potential evapotranspiration in mm/month, MaxT, MinT, and MeanT are the monthly maximum temperature,

minimum temperature, and mean temperature, respectively. S0 represents the theoretical solar radiation reaching the top of the Earth's atmosphere, calculated based on solar constant, distance between Earth and the sun, Julian day, and latitude. For data storage convenience, the values are stored as int16 type in NETCDF (nc) files. The surface evapotranspiration data were obtained from the MODIS-based daily surface evapotranspiration data of the Qilian Mountains (2019), with a spatial resolution of 0.01° (Yao et al., 2017;Yao et al., 2020).

Section 3: This section also lacks a paragraph reporting how water samples were transported and stored before isotopic analyses, and details about laboratory analyses. These details should include the methodology used for isotopic analyses of the different water samples, the uncertainty in the isotopic measurements, any information about protocols used to ensure the quality of the analyses.

Respone: Thank you for your suggestions. We have rewritten this section based on your feedback. Here is the revised version:

3.2 Experimental Analysis

The isotopic data used in this study mainly include stable isotopes of precipitation, soil water, and xylem water. All isotopic samples were analyzed at the Stable Isotope Laboratory of Northwest Normal University. The precipitation samples were analyzed for hydrogen and oxygen stable isotopes using a liquid water isotope analyzer (DLT-100, Los Gatos Research, USA). After thawing the soil and vegetation samples, they were extracted using a low-temperature vacuum condensation device (LI-2100, LICA United Technology Limited, China), and the extracted water was subjected to isotopic analysis. Each water sample was tested six times to ensure accuracy, with the first two tests considered as interference and only the results of the subsequent four tests were averaged (Zhu et al., 2022). The isotopic measurements are represented by δ , which represents the deviation in parts per thousand of the ratio of two stable isotopes in the sample relative to the ratio in a standard sample. The International Atomic Energy Agency (IAEA) defined the Vienna Standard Mean

Ocean Water (VSMOW) in 1968 as the standard for isotopic composition, which is derived from distilled seawater and has a similar isotopic composition to Standard Mean Ocean Water (SMOW).

$$\delta = \left(\frac{\delta_{Sampling}}{\delta_{Standard}} - 1\right) \times 1000\% \tag{1}$$

In Section 3.3.5 and 3.3.6, the authors should clarify if they have assessed the uncertainty in their estimations, for instance, by error propagation and based on the uncertainty in the isotopic analyses and isotopic variability among various samples.

Respone: This is a great question, and we have made modifications to the content based on your feedback. We have addressed the uncertainties related to sections 3.3.5 and 3.3.6 separately in section 5.2 Uncertainty Analysis. Additionally, we have presented how we avoided isotopic variations among samples in section 3.2 Experimental Analysis.

Table 1: The number of xylem water samples (only 7) used for this study is too low. I think these few samples alone cannot support findings and the conclusions

Respone:Your concern is entirely justified, and in the revised manuscript, we have addressed it by adding more sampling points and extending the sampling duration, thus avoiding any potential unreliability in the analysis results. The additional information on the sampling points is provided below:

| Parameter | Station | Qixiang | Hulin | Ninchan | Suidao |
|-----------|-------------------------|---------|--------|---------|--------|
| | Altitude(m) | 2543 | 2721 | 3068 | 3448 |
| T 1 | Temperature(°C/a) | 3 | 3.2 | 3.3 | -0.9 |
| Local | Precipitation(mm/a) | 510 | 469.44 | 394 | 475 |
| climate | Relative humidity (%/a) | 52.9 | 56.1 | 66.6 | 69.2 |

Table 1 Sampling Point Locations and Sample Quantity Information

| G 1' | Precipitation | 53 | 108 | 91 | 135 |
|-----------|---------------|-----|-----|-----|-----|
| Samplings | Soil water | 220 | 560 | 560 | 560 |
| number | Xylem water | 236 | 56 | 56 | 56 |

We have revised the original Table 1 in Section 4.1 and renamed it as Table 2. Furthermore, we have made adjustments to the content of the table.**As follows:**

During the growth season of Qinghai spruce, the stable isotopes of precipitation exhibit specific patterns of fluctuation (Table 2). In the early stages of growth, the hydrogen and oxygen isotope values are generally low. As the temperature gradually increases, the extent of water evaporation and loss intensifies, leading to an enrichment of stable isotopes. The average δ^2 H value of precipitation throughout the growth season is -45.52‰, fluctuating roughly between -238.62‰ and 63.43‰. The average δ 18O value is -7.75‰, fluctuating roughly between -31.49‰ and 14.79‰. There is not a significant depletion or enrichment of stable isotopes in the wood tissues, with a fluctuation range of -76.95‰ to 23.87‰ for δ^2 H and -11.92‰ to 24.77‰ for δ^{18} O. Shallow soil water shows a less pronounced enrichment of heavy isotopes compared to precipitation and wood tissues, with a lower degree of fluctuation observed during late spring and the beginning of summer.

| Average | $\delta^2 H/\%$ | | | $\delta^{18}O/\%$ | | |
|---------|-----------------|-------------|------------|-------------------|-------------|------------|
| | Precipitation | Xylem water | Soil water | Precipitation | Xylem water | Soil water |
| Period | | | (0~10cm) | | | (0~10c |
| | | | | | | m) |
| 4 | -69.15 | -39.02 | -53.10 | -10.25 | 2.56 | -7.10 |
| 5 | -39.09 | -29.78 | -45.38 | -7.61 | 4.44 | -6.42 |
| 6 | -31.29 | -45.83 | -46.08 | -5.74 | -2.83 | -6.12 |
| 7 | -32.39 | -47.63 | -47.71 | -5.33 | -0.97 | -7.06 |
| 8 | -48.88 | -44.55 | -68.85 | -7.79 | -2.06 | -9.07 |
| 9 | -29.38 | -42.62 | -49.20 | -6.46 | -1.83 | -6.79 |

| T 11 A C 11 ' | 0 1'00 | 1 1 1 | • .1 | • |
|-----------------------|-----------------------|--------------|-----------|----------------|
| Table 7 Stable (solo) | nes of different wate | er hodies di | iring the | orowing season |
| | | n boules at | | growing season |

Figure 3a: The authors report soil depths here, but they should have described those sampling depths in a previous section. Furthermore, the authors should clearly explain why soil depth varies for various sampling times.

Respone:Thank you for your feedback. What I intended to convey here is to utilize soil moisture content at different depths to reflect the information of unbalanced fractionation in soil evaporation. However, it seems that our original figures and sampling points deviated from this intention. Therefore, we have reorganized this section accordingly, as follows:

Unsaturated water vapor leads to non-equilibrium fractionation during the process of precipitation, with an average d-excess value of 16.58‰ throughout the growing season (Figure 3, a). In May and September, due to higher relative humidity compared to other periods, the evaporation rate of water vapor is faster. The deuterium values show slow fluctuations from June to August, with significant fluctuations starting from mid-August, indicating that local evaporation is gradually enhanced over time due to the influence of temperature and relative humidity, leading increased non-equilibrium evaporation. The average lc-excess value of to precipitation in the lower layer of forest distribution is -8.18‰, while the average lc-excess value of precipitation in the middle, upper-middle, and canopy layers is close to 0. This is because the fractionation effect of evaporation is more pronounced at lower elevations. At higher elevations, influenced by rainfall and snowmelt, the soil moisture content in all soil layers is above 30% (Figure 3, b). Towards the end of the growing season, as temperatures decrease, tree leaves fall to the forest floor, forming a litter layer that retains moisture in the soil.



Figure 3 (a) Variation in soil water content, (b) Comparison between atmospheric water vapour oxygen isotopes and d-excess

Figure 7: The authors should indicate the uncertainty in their estimates, clearly in the text and by error bars in this figure.

Respone:Thank you for your feedback. We have restructured section 5.1.2 "Contribution to recirculating water vapor in precipitation" and conducted an analysis of the associated uncertainties. We have also redesigned the tables and figures accordingly. In Figure 7, we have compared the contribution rates of each component only for the growing season. As there are only values available for 7 months for each component, I have not included error bars for visual clarity. I hope you understand

this approach. As follows:

5.1.2 Contribution to recirculating water vapour in precipitation

Our previous study in the eastern section of the Qilian Mountains (Zhang et al., 2021) indicated that above an altitude of 2100m, air masses gather from the northern foothills and move along the valley from low to high elevations. From June to August, the atmospheric circulation is influenced by westerlies, southeast monsoons, and plateau monsoons, while from September to October, the westerlies are the dominant factor. Therefore, we selected the Xiying station at an altitude of 2097m as our upwind site. The δ_{pv} values showed depletion in April and October, gradually enriching from June to August. The maximum δ^2 H value was -76.02‰ (Table 5), and the minimum was -184.93‰, while the maximum δ^{18} O value was -11.89‰, and the minimum was -26.38‰. Above 2700 meters, there is a gradual decrease in precipitation vapor with increasing altitude. The δ_{ev} values exhibited significant fluctuations throughout each month, with different patterns at different locations. At 2721m, the δ_{ev} range varied between -172.3‰ and 96.39‰. From the forest's lower layer to the upper layer, the isotopic composition of the advected moisture from the valley gradually diminished, resulting in decreasing values of δ_{adv} .

Table 5 Isotopic Composition of Precipitation Vapor, Surface Evaporation Vapor, and

| Month | Туре | isotope | April | May | June | July | August | September | October |
|---------|-------|--------------------------|---------|---------|---------|---------|---------|-----------|---------|
| | Servi | $\delta^2 H/\infty$ | -141.95 | -123.83 | -99.87 | -115.99 | -128.34 | -120.9 | -152.43 |
| | орч | $\delta^{18}O/\%_0$ | -19.27 | -16.58 | -14.04 | -15.91 | -18.6 | -17.22 | -22.34 |
| | Sou | $\delta^2 H/\%$ | - | -125.69 | -123.69 | -117.98 | -134.57 | - | - |
| Oixiang | oev | $\delta^{18}O/\text{\%}$ | - | -30.21 | -29.56 | -28.62 | -31.19 | - | - |
| Qixiung | δtr | $\delta^2 H/\infty$ | -39.9 | -29.32 | -46.19 | -49.58 | -45.15 | -42.66 | -44.64 |
| | | $\delta^{18}O/\text{‰}$ | 2.22 | -5.87 | -4.59 | -0.72 | -1.72 | -1.78 | -2.26 |
| | Sady | $\delta^2 H/\infty$ | -145.57 | -83.25 | -81.12 | -92 | -109.62 | -100.53 | -122.62 |
| | oauv | $\delta^{18}O/\text{\%}$ | -20.24 | -11.93 | -10.73 | -12.06 | -15.31 | -13.46 | -18.16 |
| | S | $\delta^2 H/\%$ | -129.93 | -123.29 | -98.68 | -113.98 | -124.16 | -118.52 | -164.82 |
| Hulin | opv | $\delta^{18}O/\%_0$ | -17.54 | -16.62 | -13.18 | -15.48 | -17.33 | -17.88 | -22.46 |
| | δev | $\delta^2 H/\%$ | -114.24 | -117.01 | -107.75 | -123.44 | -106.92 | -96.39 | -172.3 |

Vegetation Transpiration Vapor at Different Months and Altitudes

| | | $\delta^{18}O/\%$ | -14.77 | -16.35 | -15.03 | -16.7 | -14.53 | -12.78 | -24.82 |
|---------|------------|---------------------------|---------|---------|---------|---------|---------|---------|---------|
| | S4 | $\delta^2 H/\%$ | -24.12 | -39.62 | -35.97 | -26.44 | -35.85 | -38.39 | -40.53 |
| | otr | $\delta^{18}O/\%$ | -5.34 | -3.58 | -4.13 | -0.34 | -2.35 | -4.25 | -1.97 |
| | C 1 | $\delta^2 H/\%$ | -112.79 | -115.67 | -106.61 | -122.19 | -106.49 | -95.7 | -170.54 |
| | oadv | $\delta^{18}O/\%$ | -14.59 | -16.15 | -14.88 | -16.54 | -14.46 | -12.69 | -24.57 |
| | | $\delta^2 H/\%$ | - | -76.02 | -139.21 | -135.74 | -129.96 | -113.71 | -184.93 |
| | opv | $\delta^{18}O/\%$ | - | -11.89 | -19.87 | -18.7 | -17.91 | -16.77 | -26.38 |
| | δev | $\delta^2 H/\%$ | - | -83.76 | -81.42 | -92.42 | -110.24 | -101.11 | -123.42 |
| Ninghan | | $\delta^{18}O/ \text{\%}$ | - | -12.01 | -10.76 | -12.09 | -15.37 | -13.49 | -18.27 |
| Ninchan | δtr | $\delta^2 H/\%$ | - | -25.58 | -46.77 | -37.77 | -43.66 | - | - |
| | | $\delta^{18}O/\%$ | - | -3.45 | -1.98 | -1.05 | -6.68 | - | - |
| | Sada | $\delta^2 H/\%$ | -162.36 | -113.49 | -111.45 | -106.16 | -122.12 | -114.67 | -141.33 |
| | oadv | $\delta^{18}O/\text{\%}$ | -22.73 | -15.94 | -15.28 | -14.46 | -16.96 | -16.58 | -20.42 |
| | Sarr | $\delta^2 H/\%$ | -167.86 | -128.08 | -124.95 | -117.32 | -137.73 | -130.44 | -155.52 |
| | ору | $\delta^{18}O/\%$ | -22.9 | -18.02 | -17.28 | -16.04 | -19.09 | -18.64 | -21.59 |
| | c | $\delta^2 H/\%$ | -164.16 | -114.52 | -112.37 | -106.9 | -122.96 | -115.47 | -142.85 |
| a : 1 | oev | $\delta^{18}O/\%$ | -22.98 | -16.07 | -15.41 | -14.56 | -17.08 | -16.69 | -20.64 |
| Suidao | 9 . | $\delta^2 H/\%$ | - | -25.58 | -46.77 | -37.77 | -43.66 | - | - |
| | ðtr | $\delta^{18}O/\%$ | - | -8.45 | -6.98 | -6.05 | -6.68 | - | - |
| | | $\delta^2 H/\%$ | -162.38 | -113.51 | -111.47 | -106.18 | -122.14 | -114.69 | -141.36 |
| | dadv | $\delta^{18}O/\%$ | -22.73 | -15.94 | -15.28 | -14.47 | -16.97 | -16.58 | -20.42 |

In July, the ratio of vegetation transpiration to precipitation vapor is significantly higher compared to other months. The temperatures in the lower layers of the forest are relatively high, and the middle to upper layers are densely populated with spruce, resulting in a higher f_{tr} (transpiration ratio) throughout the entire growing season. Both the early and late stages of the growing season exhibit noticeably higher f_{ev} (evaporation ratio) compared to other months, with the middle and upper parts of the forest having a higher proportion of evaporated vapor. The average f_{adv} (advected vapor ratio) is 72%, with contributions exceeding 70% for all months except June and July (Figure 7).



Figure 7 Comparison of f_{adv} (advective water vapour contribution), f_{ev} (surface evaporation water vapour contribution) and f_{tr} (plant transpiration water vapour contribution) for each of period

I do not understand the purpose of Section 5.2 and the title does not reflect the content of the section.

Respone: I completely agree with your viewpoint, and therefore, I have removed that section from the manuscript. Instead, I have revised section 5.2 to focus on uncertainty analysis. As follows:

5.2 Uncertainty analysis

A higher sample size can reduce the margin of error. Therefore, we utilized isotopic data from four sites over a two-year period to evaluate the model. We used 404 xylem samples to calculate the contribution ratio of transpiration to ecosystem evapotranspiration. We examined the uncertainty of the model evaluation. When analyzing the evaporation characteristics in a semi-arid natural environment using the Craig-Gordon isotopic model, we first eliminated the influence of solar radiation and other meteorological variables on the calculation results. We focused on temperature, relative humidity, water vapor, and the initial isotopic values of water bodies. Particularly in semi-arid environments, the variations in temperature and relative humidity are crucial (Hernández-Pérez et al., 2020). To verify the calculation results, we found a strong correlation between the isotopes of soil evaporation and relative humidity, as demonstrated by the fitting of δ_E against relative humidity and temperature. This also indicates the reliability of the results obtained through the Craig-Gordon isotopic model. We employed the Keeling plot method to calculate δ_{ET} , which is based on isotopic mass balance and a two-endmember mixing model. This method assumes that the isotopic composition of the background atmosphere and source remains constant, with a very low probability of isotopic spatial variation (Good et al., 2012; Kool et al., 2014). Due to the higher reliability of oxygen isotopes compared to hydrogen isotopes (Han et al., 2022; Kale et al., 2022), we solely used oxygen isotopes to calculate the T/ET values. The results indicate that transpiration significantly outweighs evaporation during July and August, which aligns with previous research findings (Zhu et al., 2022). The correlation between T/ET and soil moisture content suggests that soil moisture is a crucial factor driving the variations in transpiration and evaporation ratios. Additionally, the estimation of isotopic composition of advected water vapor from the upwind sites contributes to increased uncertainty. In our study area, the sites are predominantly influenced by valley winds, with water vapor moving from the valley bottom to higher altitudes.

Therefore, we selected lower elevation areas in the valley bottom as the source region for advected water vapor (Zhang et al., 2021).



Figure 8 Correlation analysis of factors affecting uncertainty in impact assessment

Technical corrections

- Figure 1: Labels are difficult to read. I suggest increasing their size and resolution.

- Line 107: "Water isotopes...were observed" is an unusual phrasing; please change the verb.

- Figure 2: Again, labels are difficult to read. I suggest increasing their size and resolution.

- Figure 3, 4, 5 and 7: Please increase the size of the labels.

- Figure 8a: The legend is unreadable; please increase the size and the resolution of the labels.

Respone: We have carefully addressed your feedback regarding the figures. In order to enhance the overall quality of the paper, we have recreated all the figures in our manuscript, removing any inappropriate sentences.

We would like to invite you to review the significant changes we have made in the revised manuscript. Attached below is the PDF of the manuscript:

| 1 | Hydrological effects of evapotranspiration in the Qilian Mountains |
|----|--|
| 2 | forest belt |
| 3 | Yinying Jiao ^{1,2} , Guofeng Zhu ^{1,2*} , Dongdong Qiu ^{1,2} , Yuwei Liu ^{1,2} , Lei Wang ^{1,2} , Siyu |
| 4 | Lu ^{1,2} , Gaojia Meng ^{1,2} , Xinrui Lin ^{1,2} , Rui Li ^{1,2} , Qinqin Wang ^{1,2} , Longhu Chen ^{1,2} , Niu |
| 5 | $\mathrm{Sun}^{1,2}$ |
| 6 | ¹ School of Geography and Environment Science, Northwest Normal University, |
| 7 | Lanzhou 730070, Gansu, China |
| 8 | ² Shiyang River Ecological Environment Observation Station, Northwest Normal |
| 9 | University, Lanzhou 730070, Gansu, China |
| 10 | Abstract: Mountainous regions play a crucial role as primary water sources and |
| 11 | origins of rivers. The changing climate patterns worldwide are altering the distribution |
| 12 | of vegetation and water utilization methods. Hence, gaining a comprehensive |
| 13 | understanding of evapotranspiration in mountainous forest zones is essential for |
| 14 | comprehending the ecohydrological impact of vegetation and its influence on the |
| 15 | water cycle within the watershed. We collected precipitation, soil water from 0 to |
| 16 | 100cm, xylem water from Qinghai Spruce, temperature, relative humidity and rainfall |
| 17 | in the eastern Qilian Mountains from 2018 to 2019. We simulated T/ET |
| 18 | comprehensively, and quantified the contribution of recirculated water vapor in |
| 19 | precipitation. The aim of this study was to clarify the evapotranspiration process and |
| 20 | its effect on production and confluence in the forest belt of Qilian Mountain. The |
| 21 | study revealed that transpiration from Qinghai spruce trees constituted the largest |
| 22 | portion of evapotranspiration within the entire Qinghai spruce forest ecosystem, |
| 23 | averaging 57%. This indicates that transpiration surpasses evaporation during the |
| 24 | growing season. Soil water content and air humidity emerged as the primary factors |
| 25 | influencing evapotranspiration within the Qinghai spruce forest zones. Throughout |
| 26 | each month of the growing season, evapotranspiration from Qinghai spruce was found |
| 27 | to exceed precipitation. Water loss through evapotranspiration is much greater than |

water inputs from precipitation and surface water replenishment. Consequently, the forest zone does not yield flows in the eastern part of the Qilian Mountains. The warming of global temperatures and human activities are likely to trigger shifts in the distribution areas and evapotranspiration regimes of Qinghai spruce, which in turn will lead to a change in water resource patterns in the basin.

33 Keywords: Qinghai spruce; stable isotopes; end-member mixing model;
34 evapotranspiration partitioning

35 **1. Introduction**

Future droughts are likely to be more frequent, more severe, and longer-lasting 36 than in recent decades.Under the influence of climate change, in ecologically fragile 37 38 areas, particularly in arid and semi-arid regions, these changes will be the most rapid and extreme (Ault et al., 2020). As a natural reservoir and purifier, the Qinghai spruce 39 ecosystem has the functions of storing, releasing and purifying water. The Qilian 40 41 Mountains supply the water resources that human beings depend on for survival in the 42 continental river basin in the arid region, regulate the water cycle in the arid region, and interact with the soil and atmosphere to form a vertical spatial continuum, which 43 not only affects the ecological process of the local plant community, but also changes 44 the regional microclimate by means of latent heat (Zhang et al., 2021). As a water 45 46 source for several inland rivers, the Qilian Mountains are an important ecological 47 security barrier and a priority area for biodiversity conservation in central Asia. The spruce forest ecosystem provides various ecological, climatic, and social benefits to 48 the Qilian Mountains but is highly vulnerable to drought and temperature extremes. 49 More to the point, climate drivers put spruce forests at risk from drought and heat 50 stress. As the magnitude of climate change increases, the disturbance to its ecosystem 51 is also expected to be higher. The interaction between soil and vegetation controls 52 rainfall input and water transfer within ecosystem components. It is an important 53 player in climate change mitigation in terms of climate benefits (Rohatyn et al., 2022). 54 55 In the face of complex climate changes, unpredictable weather variations, and continual alterations in surface coverage, the subsystems comprising the atmospheric, 56

soil, and vegetation components in ecohydrological systems undergo corresponding changes in resilience, fragility, and sensitivity. Water moves from the soil through plant roots and stems, eventually reaching the leaves. During photosynthesis, water vapor is released into the atmosphere through open stomata. Stable isotopes of hydrogen and oxygen serve as natural tracers, enabling monitoring of the vertical migration and transformation of water in the ecosystem(Goodwell et al., 2018).

Evapotranspiration (ET) is an indispensable part of the terrestrial water and 63 64 energy cycle. Therefore, exploring the spatio-temporal and component changes of soil evaporation and vegetation transpiration can help us increase the response of 65 vegetation canopy to climatechange (Liu et al., 2022). At the ecosystem scale, many 66 studies have classified evapotranspiration (ET) as transpiration (T) and 67 evapotranspiration (E) (Schlesinger al.,2014). The of 68 et measurement evapotranspiration (ET) in field research is often based on physical evaporation from 69 the soil surface and biological transpiration, which involves the uptake of soil water 70 71 by roots and the loss of water vapor through plant stomata during photosynthesis. 72 Some studies have classified evaporation (E) and transpiration (T) by analyzing the isotopic composition of oxygen in soil and runoff, finding that δ^{18} O enrichment is 73 primarily associated with evaporation rather than transpiration (Wershaw et al., 1966). 74 Differentiating between soil evaporation and stomatal plant evapotranspiration is a 75 challenging yet crucial task for assessing biomass production and allocating scarce 76 water resources effectively. Generally, plant evapotranspiration (T) is the desired 77 78 component of water that enhances plant productivity, while soil evaporation (E) is considered a source of water loss or inefficiency. The magnitude of soil evaporation 79 80 can be significant in sparsely vegetated systems, especially in arid regions or very wet systems such as surface irrigated crops and wetlands (Liu et al., 2015; Zhang et al., 81 2018). Zoning evapotranspiration is essential for accurately monitoring system 82 hydrology and implementing improved water management practices in these specific 83 scenarios (Kool et al., 2014). Quantifying the role of regional evapotranspiration in 84 85 the terrestrial water balance and the global water cycle is therefore critical.

86

On regional and global scales, there are various methods employed to partition

87 evapotranspiration. Firstly, in river basins, researchers investigate the role of lateral groundwater flow in distributing evapotranspiration by utilizing comprehensive 88 continental-scale hydrological models. These models couple vegetation and land 89 90 energy processes with surface and underground hydrology to study the distribution of 91 evapotranspiration at the continental scale (Maxwell et al., 2016). Secondly, remote sensing-based approaches are employed to identify differences in evapotranspiration 92 93 partitioning among different models (Talsma et al., 2018; Chen et al., 2022). Thirdly, 94 eddy covariance methods are utilized to assess multi-year energy fluxes and evapotranspiration in typical alpine meadows, exploring the environmental and 95 biophysical controls on evapotranspiration (Chang et al., 2022). Additionally, studies 96 synthesize available literature data to establish quantitative relationships between 97 98 evapotranspiration allocation and vegetation cover indices (such as leaf area index, or LAI) in agricultural and natural systems. These studies aim to explain observed 99 100 changes in T/ET at global scales (Wang et al., 2014; Wei et al., 2018; Cui et al., 2021). 101 The semi-arid natural environment influences the hydrological processes in the 102 soil-plant-atmosphere continuum. However, the contribution of vegetation transpiration (T) to water fluxes in these mountainous regions, which rely on rainfall 103 and snowmelt as water sources, remains unclear. Furthermore, most studies have 104 focused solely on partitioning evapotranspiration within the ecosystem, which 105 106 obscures the fate of water vapor. In our research, we analyze the water vapor fluxes 107 from soil evaporation and vegetation transpiration into the atmosphere, which is crucial for understanding water loss dynamics and ensuring appropriate allocation of 108 109 water resources in the upstream and downstream regions of mountainous areas.

As a vascular plant species, Qinghai spruce forests are one of the important entry points for energy and materials in the environment into terrestrial ecosystems. Their growth, survival, and reproduction affect other species' ecological functions and forms within and outside their habitats. There is a high degree of responsiveness between the vegetation, drought resilience, and microclimatic conditions of forests and their ecosystems (Eisenhauer et al., 2021). In this study, we observed and analyzed the monthly xylem water, soil water, precipitation stable isotopes and soil

water content of Spruce forest in the eastern Qilian Mountains from April to October 117 2018 and 2019, and used these data to solve the following problems: (1) Quantify the 118 contribution rates of soil evaporation 119 and vegetation transpiration to evapotranspiration of ecosystems; (2) Quantifying the ratio of recirculated water 120 121 vapor in precipitation; (3) To investigate the evapotranspiration process and its influence on production and confluence in the forest belt of Qilian Mountain. This 122 study provides an effective basis for local water resource use and ecological 123 124 protection.

125 2. Study area

The Qilian Mountains are located in the central part of the Eurasian continent, on 126 127 the northeastern edge of the Qinghai-Tibet Plateau. The eastern region is dominated by water erosion, with large variations in mountainous terrain and an average 128 elevation of over 4,000 meters. Permafrost is developed at elevations of 3,500 to 129 3,700 meters, and areas above 4,500 meters are characterized by modern glacier 130 131 development. The region has a plateau continental climate, with hot summers and 132 cold winters, strong solar radiation, and large temperature differences between day and night. The average annual temperature is below 4°C, with extreme highs of 133 37.6°C and extreme lows of -35.8°C. The annual sunshine hours range from 2,500 to 134 3,300 hours, with a total solar radiation of 5,916 to 15,000 megajoules per square 135 136 meter. The average annual precipitation is 400 millimeters, and the annual evaporation ranges from 1,137 to 2,581 millimeters. The average wind speed is 137 around 2 meters per second, and the frost-free period lasts from 23.6 to 193 days. The 138 139 Shiyang River originates from the Daxueshan on the northern side of the Lenglong 140 Ridge in the eastern section of the Qilian Mountains, serving as a major water source for the city of Wuwei. The soil types in the eastern section are diverse, but with low 141 142 organic matter content. The distribution of vegetation shows distinct zonal characteristics, with mountainous forest-grassland zones (2,600 to 3,400 meters), 143 144 subalpine shrub-meadow zones (3,200 to 3,500 meters), and high mountain sub-ice-snow sparse vegetation zones (>3,500 meters) at elevations above 2,700 145

meters. The main types of natural forest vegetation include Qinghai spruce forest,
Qilian juniper forest, and Chinese pine forest, with Qinghai spruce being the dominant
tree species (Zhu et al., 2022).



149

150

Figure 1 Location of the study area and changes in meteorological conditions

151 **3. Materials and methods**

152 **3.1 Materials Sources**

153 3.1.1 Sampling network

154

Table 1 Sampling Point Locations and Sample Quantity Information

| Parameter | Station | Qixiang | Hulin | Ninchan | Suidao |
|-----------|-------------------------|---------|--------|---------|--------|
| | Altitude(m) | 2543 | 2721 | 3068 | 3448 |
| T1 | Temperature(°C/a) | 3 | 3.2 | 3.3 | -0.9 |
| | Precipitation(mm/a) | 510 | 469.44 | 394 | 475 |
| climate | Relative humidity (%/a) | 52.9 | 56.1 | 66.6 | 69.2 |
| a 11 | Precipitation | 53 | 108 | 91 | 135 |
| Samplings | Soil water | 220 | 560 | 560 | 560 |
| number | Xylem water | 236 | 56 | 56 | 56 |

155 3.1.2 Sample collection

We use an automatic weather station in 2018 and 2019 to record meteorological 156 data, in which a rain gauge is used to collect precipitation and transfer samples to 157 100ml containers after each rain. Drill holes $0 \sim 5$ cm, $5 \sim 10$ cm, $10 \sim 20$ cm, $20 \sim 30$ 158 cm, $30 \sim 40$ cm, $30 \sim 50$ cm, $50 \sim 60$ cm, $60 \sim 70$ cm, $70 \sim 80$ cm, $80 \sim 90$ cm, 90 in 159 the sample plot by using soil drill ~ 100 cm. The soil samples were divided into two 160 161 parts, one of which was placed in a 50 ml glass bottle. The bottle was sealed with a 162 subfilm and transported to the observation station within 10 hours after the sampling date was marked for cryopreservation to detect stable isotope data. The other part of 163 the sample was placed in a 50ml aluminum box and the soil moisture content was 164 determined by drying method. When collecting plant samples, we used scissors to 165 166 collect vegetation xylem stems, peeled off the bark, and put them in 50ml glass bottles sealed and frozen for experimental analysis. 167

We utilized the monthly potential evapotranspiration dataset of China, with a 168 spatial resolution of 0.0083333° (approximately 1 km), covering the period from 169 170 January 1990 to December 2021. The data is measured in units of 0.1 mm. This dataset is derived from the China 1 km monthly mean temperature, minimum 171 temperature, and maximum temperature dataset(Peng et al.,2022;Dinget al.,2020;Ding 172 et al.,2021), using the Hargreaves equation for estimating potential evapotranspiration 173 174 (Peng et al., 2017). The formula is as follows: $P_{ET} = 0.0023 \times S0 \times (MaxT - C_{ex})$ MinT)0.5 \times (MeanT + 17.8), where P_{ET} represents potential evapotranspiration in 175 mm/month, MaxT, MinT, and MeanT are the monthly maximum temperature, 176 minimum temperature, and mean temperature, respectively. S0 represents the 177 178 theoretical solar radiation reaching the top of the Earth's atmosphere, calculated based on solar constant, distance between Earth and the sun, Julian day, and latitude. For 179 data storage convenience, the values are stored as int16 type in NETCDF (nc) files. 180 The surface evapotranspiration data were obtained from the MODIS-based daily 181 surface evapotranspiration data of the Qilian Mountains (2019), with a spatial 182 183 resolution of 0.01° (Yao et al., 2017; Yao et al., 2020).

184 **3.2 Experimental Analysis**

The isotopic data used in this study mainly include stable isotopes of 185 precipitation, soil water, and xylem water. All isotopic samples were analyzed at the 186 Stable Isotope Laboratory of Northwest Normal University. The precipitation samples 187 were analyzed for hydrogen and oxygen stable isotopes using a liquid water isotope 188 analyzer (DLT-100, Los Gatos Research, USA). After thawing the soil and vegetation 189 190 samples, they were extracted using a low-temperature vacuum condensation device (LI-2100, LICA United Technology Limited, China), and the extracted water was 191 subjected to isotopic analysis. Each water sample was tested six times to ensure 192 accuracy, with the first two tests considered as interference and only the results of the 193 194 subsequent four tests were averaged (Zhu et al., 2022). The isotopic measurements are 195 represented by δ , which represents the deviation in parts per thousand of the ratio of two stable isotopes in the sample relative to the ratio in a standard sample. The 196 International Atomic Energy Agency (IAEA) defined the Vienna Standard Mean 197 198 Ocean Water (VSMOW) in 1968 as the standard for isotopic composition, which is 199 derived from distilled seawater and has a similar isotopic composition to Standard 200 Mean Ocean Water (SMOW).

206

$$\delta = \left(\frac{\delta_{Sampling}}{\delta_{Standard}} - 1\right) \times 1000\% \tag{1}$$

(2)

202 **3.3 Research methods**

203 **3.3.1 Isotopic composition of atmospheric water vapour**

The stable isotope composition of moisture in ambient air is calculated as follows(Gibson and Reid, 2014;Skrzypek et al., 2015):

$$\delta_{A} = \frac{\delta_{rain} - k \varepsilon^{+}}{1 + k \alpha^{+} \times 10^{-3}}$$

where k=1, or by fitting k to some fraction of 1 as the best fit to the local evaporation line, is the isotopic fractionation factor. Defined by $\varepsilon^{+} = (\alpha^{+} - 1) \times 1000$. α^{+} about ²H and ¹⁸O are calculated as follows(Horita and Wesolowski, 1994):

211
$$10^{3}\ln^{2} \alpha^{+} = 1158.8T^{3}/10^{9} - 1620.1T^{2}/10^{6} + 794.84T/10^{3} - 161.04 +$$

(3)

212

$$2.9992 \times 10^9 / T^3$$

213

214
$$10^{3}\ln^{18} \alpha^{+} = -7.685 + 6.7123 \times 10^{3} / T - 1.6664 \times 10^{6} / T^{2} + 0.35041 \times 10^{5} / T^{3}$$
 (4)

216 **3.3.2 Isotopic composition of soil evaporation**

The Craig-Gordon model was used to calculate the stable isotopic composition of soil evaporation water vapour, δ_E , using the following equation(Craig and Gordon, 1965;Yepez et al., 2005).

220
$$\delta_{\rm E} = \frac{\alpha_{\rm e}^{-1} \delta_{\rm s} - h \ \delta_{\rm A} - \varepsilon_{\rm eq} - (1-h) \varepsilon_{\rm k}}{(1-h) + 10^{-3} (1-h) \varepsilon_{\rm k}}$$
(5)

221 where $\alpha_{e}(>1)$ is the equilibrium factor calculated as a function of water surface 222 temperature, δs is the stable isotopic composition of liquid water at the evaporating surface of the soil (0 ~ 10 cm average stable isotopic composition of soil water), δ_A is 223 the stable isotopic composition of atmospheric water vapour near the surface, Eeq 224 225 represents the equilibrium fractionation corresponding to $\text{Eeq} = (1-1/\alpha e) \times 1000$, Ek is the kinetic fractionation factor of O^2 is approximately 18.9‰ and h is the atmospheric 226 relative humidity(Gibson and Reid, 2010). For $\delta^{18}O$, α_e is calculated as 227 228 follows(Raz-Yaseef et al., 2010):

229

$$\alpha_{e} = \frac{1.137 \times 10^{6} / T^{2} - 0.4156 \times 10^{3} / T - 2.0667}{1000} + 1$$
(6)

230

231

3.3.3 Isotopic composition of plant transpiration

When transpiration is strong, leaf water is in "isotopic stable state", that is, the isotopic composition of leaf transpiration water is equivalent to that of water absorbed by the roots of rain plants at noon. Therefore, the stable isotopic composition of water in plant xylem can be used to represent the stable isotopic composition of water vapor in plant transpiration. The expression is as follows(Aron et al., 2020):

Where T is the soil Kelvin temperature (K) at a depth of 5 cm.

 $\delta_{\rm T} = \delta_{\rm X} \tag{7}$

238 where δ_x is the isotopic ratio of xylem water and δ_T is the isotopic ratio of

239 transpiration.

240 **3.3.4 Evapotranspiration isotope assessment**

The Keeling Plot model describes the linear relationship between the oxygen isotope composition of atmospheric water vapour and its reciprocal concentration . The intercept of the curve on the Y-axis represents the oxygen isotopic composition of evapotranspiration (δ_{ET}) and is expressed as(Keeling, 1958;Wang et al., 2015):

$$\delta_{a} = \frac{C_{b}(\delta_{b} - \delta_{ET})}{C_{a}} + \delta_{ET}$$
(8)

Where δ_a and C_a represent the atmospheric water vapour oxygen isotopic composition (‰) and water vapour concentration in the ecosystem boundary layer, δ_b and C_b represent the background atmospheric water vapour oxygen isotopic composition and background atmospheric water vapour concentration, and δ_{ET} is the ecosystem evapotranspiration oxygen isotopic composition.

251 **3.3.5 Ecosystem evapotranspiration partitioning**

The determination of evapotranspiration by means of biotic and abiotic isotopic composition can be used to improve the understanding of community structure and ecosystem function in Qinghai spruce forests in the Qilian Mountains. Based on the isotope mass balance approach to consider the distribution of major and minor isotopes, the partitioning of evapotranspiration can be achieved using two end-member mixing models (E and T) with the following expression(Kool et al.,2014;Wei et al., 2018):

259

$$\frac{\mathrm{T}}{\mathrm{ET}} = \frac{\delta_{\mathrm{ET}} - \delta_{\mathrm{E}}}{\delta_{\mathrm{T}} - \delta_{\mathrm{E}}} \tag{9}$$

where δ_{ET} , δ_E and δ_T are the isotopic compositions of evapotranspiration (ET), soil evapotranspiration (E) and plant evapotranspiration (T), respectively, and the isotopic values of the three can be obtained by both direct observation and model estimation.

264 **3.3.6 Three-component mixing model**

Assuming that precipitation vapor is a mixture of advective water vapour and recirculating water vapour, it is understood that the proportion of both precipitation and precipitation water vapour has the same nature. The proportion of precipitation occupied by advective vapour is calculated as follow(Kong et al., 2013; Wang et al.,
2022):

270

$$f_{re} = \frac{P_{tr} + P_{ev}}{P_{tr} + P_{ev} + P_{adv}} \tag{10}$$

where P_{tr}, P_{ev} and P_{adv} are precipitation produced by transpiration, surface
 evaporation and advection, respectively.

This can be calculated using the following formula(Brubaker et al., 1993; Sang etal., 2023):

275
$$\delta_{pv} = \delta_{tr} f_{tr} + \delta_{ev} f_{ev} + \delta_{adv} f_{adv}$$
(11)

276
$$f_{ev} + f_{tv} + f_{adv} = 1$$
 (12)

where f_{tr} , f_{ev} and f_{adv} are the proportional contributions of transpiration, surface evaporation and advection to precipitation, respectively, and δ_{pv} , δ_{tr} , δ_{ev} and δ_{adv} values are the stable isotopes in precipitating transpiration, transpiration, surface evaporation and advective vapour, respectively. f_{tr} , f_{ev} and f_{adv} are calculated by Isoerror software, based on dual isotopes and three sources(Ver. 1.3.1, https://www.epa.gov/)(Phillips and Gregg, 2001). δ_{pv} is calculated using the following formula:

283
$$\delta_{\rm pv} = \frac{\delta_{\rm p} - k\epsilon^+}{1 + k\epsilon^+} \tag{13}$$

284 Using the C-G model to calculate δ_{ev} , the formula is as follows:

285
$$\delta_{\rm e} = \frac{\delta_{\rm s}/\alpha^+ - h\delta_{\rm adv} - \epsilon}{1 - h + \epsilon_{\rm k}} \tag{14}$$

Including the δs is the isotopic composition of liquid water evaporation front, δ_{adv} is advection steam, h is relative humidity, α^+ is equilibrium fractionation factor, \mathcal{E}_k is kinetic fractionation factor, \mathcal{E} is total fractionation factor.

- 289 $\varepsilon = \varepsilon^+ / \alpha^+ + \varepsilon_k \tag{15}$
- 290

$$\varepsilon_{\rm k} = (1-h)\theta_{\rm n}C_{\rm k} \tag{16}$$

h is the relative humidity, C_k is the kinetic fractionation constant, δ^2 H is 25.1‰, δ^{18} O is 28.5‰. The weight coefficient θ of small water body is 1, and θ of large water body is 0.5. n ranges from 0.5 (fully turbulent transport, with reduced kinetic fractionation, suitable for lake or saturated soil conditions) to 1 (fully diffused transport, suitable for very dry soil conditions), with a kinetic fractionation coefficient of about 12.2-24.5‰ for \mathcal{E}_k (²H) in a dry atmosphere (h=0). The kinetic separation coefficient of \mathcal{E}_k (¹⁸O) is about 13.8-27.7‰.

298 The advection water vapor isotope δ_{adv} in the three-component mixing model needs to be determined by the water vapor isotopic composition at the upwind 299 300 position. Based on the HYSPLIT model, we found that the eastern Qilian Mountains was controlled by westerly winds, southeast monsoon and plateau monsoon in June, 301 July and August, and by prevailing westerly winds in September and October. The 302 303 clustering analysis of air masses in different months shows that air masses accumulate at the northern foot of Qilian Mountains and move from low altitude to high altitude 304 along the valley. Xiying, at 2097 m above sea level, is therefore used as a headwind 305 station from April to October. When steam isotopes show a depletion trend along the 306 307 transport path, isotopic fractionation is assumed to be due to Rayleigh distillation, and the expression is as follow: 308

309

$$\delta_{adv} = \delta_{pv-adv} + (\alpha^+ - 1)\ln F \tag{17}$$

310 Where δ_{pv-adv} is the isotopic composition in the vapor of the winds tation, and F is 311 the ratio between the final vapor and the initial vapor. Since rainfall is positively 312 correlated with the surface vapor pressure of the whole study area (c=1.657e, where c is the water vapor content in mm, e is the surface vapor pressure in hPa, $R^2=0.94$), we 313 used the surface vapor pressure of each site to calculate the value of F. The 314 recirculated water entering the air mass is not considered here, because the 315 contribution of recirculated water to the total air column is very limited, and most of 316 the available precipitation does not result in rainfall but escapes to other areas. If there 317 is no depletion of isotope ratios along the transmission track, the vapor isotope ratio 318 319 from the upwind station is applied directly, and the Rayleigh distillation equation is not applied. 320

321 The δp is corrected by the local evaporation line (LEL), and the LEL slope
322 (SLEL) can be calculated as (Skrzypek et al., 2015):

323
$$S_{\text{LEL}} = \frac{(h - 10^{-318} \epsilon)[h(^{2} \delta_{\text{pv}} - ^{2} \delta_{\text{p}}) + ^{2} \epsilon (1 + 10^{-3} \, ^{2} \delta_{\text{pv}})}{(h - 10^{-3} \, ^{2} \epsilon)[h(^{18} \delta_{\text{pv}} - ^{18} \delta_{\text{p}}) + ^{18} \epsilon (1 + 10^{-318} \delta_{\text{p}})]}$$
(18)

Where h is the relative humidity, \mathcal{E} is the total fractionation factor, and δ_{PV} and δ_{P} are the stable isotopic components of water vapor and precipitation. According to our research results, the LEL equation for the study area is $\delta^2 H=3.86\delta^{18}O-19.88$ (R²=0.994, P < 0.0001, n=19).

329 **4. Results and analysis**

4.1 Hydrogen and oxygen isotope variations in different water

331 **bodies**

During the growth season of Qinghai spruce, the stable isotopes of precipitation 332 exhibit specific patterns of fluctuation (Table 2). In the early stages of growth, the 333 hydrogen and oxygen isotope values are generally low. As the temperature gradually 334 increases, the extent of water evaporation and loss intensifies, leading to an 335 enrichment of stable isotopes. The average $\delta^2 H$ value of precipitation throughout the 336 growth season is -45.52‰, fluctuating roughly between -238.62‰ and 63.43‰. The 337 average $\delta 180$ value is -7.75%, fluctuating roughly between -31.49% and 14.79%. 338 339 There is not a significant depletion or enrichment of stable isotopes in the wood tissues, with a fluctuation range of -76.95‰ to 23.87‰ for δ^2 H and -11.92‰ to 340 24.77‰ for δ^{18} O. Shallow soil water shows a less pronounced enrichment of heavy 341 isotopes compared to precipitation and wood tissues, with a lower degree of 342 fluctuation observed during late spring and the beginning of summer. 343

Table 2 Stable isotopes of different water bodies during the growing season

| Average | | $\delta^2 H/\infty$ | | δ ¹⁸ Ο/‰ | | |
|---------|---------------------------|---------------------|------------|---------------------|----------------------|--------|
| | Precipitation Xylem water | | Soil water | Precipitation | vitation Xylem water | |
| Period | | | (0~10cm) | | | (0~10c |
| | | | | | | m) |
| 4 | -69.15 | -39.02 | -53.10 | -10.25 | 2.56 | -7.10 |
| 5 | -39.09 | -29.78 | -45.38 | -7.61 | 4.44 | -6.42 |
| 6 | -31.29 | -45.83 | -46.08 | -5.74 | -2.83 | -6.12 |
| 7 | -32.39 | -47.63 | -47.71 | -5.33 | -0.97 | -7.06 |

| 8 | -48.88 | -44.55 | -68.85 | -7.79 | -2.06 | -9.07 |
|----|--------|--------|--------|--------|-------|-------|
| 9 | -29.38 | -42.62 | -49.20 | -6.46 | -1.83 | -6.79 |
| 10 | -68.43 | -44.57 | -54.88 | -11.06 | -2.25 | -7.96 |

LMWL exhibits variations across different vertical gradients, primarily 346 influenced by temperature and humidity. It is noteworthy that relative humidity is 347 348 generally low at all four heights within the forest, resulting in local meteoric water 349 lines (LMWL) being lower than the global meteoric water line (GMWL). At an elevation of 2543m, which represents the lowest layer of tree growth, the temperature 350 351 can reach up to 20°C in July, and the slope of the local meteoric water line (LMWL) is 6.74. At 2721m, the average temperature throughout the growing season is 10.4°C, 352 with a maximum temperature of 16.45°C in July and an average relative humidity of 353 354 64.38%. The slope of LMWL is 7.02 (Figure 2, d). At the Suidao station located at an elevation of 3448m, the slope of the precipitation regression line is 7.75, which is 355 close to the slope of the GMWL but exhibits the largest deviation from the local 356 357 evaporation line (Figure 2, a). The soil water line (SWL) in the forest's lower layer is smaller and closer to the local evaporation line, indicating stronger evaporative 358 359 fractionation and dynamic fractionation compared to the other three sampling zones. 360 The slopes of the SWL are smaller than the slopes of LMWL, indicating that precipitation is the main source of soil moisture replenishment. 361





363

365

Figure 2 (a) Hydrogen and oxygen stable isotope linkages, (b) Precipitation and oxygen isotope changes in different water bodies.

Unsaturated water vapor leads to non-equilibrium fractionation during the 366 process of precipitation, with an average d-excess value of 16.58‰ throughout the 367 growing season (Figure 3, a). In May and September, due to higher relative humidity 368 compared to other periods, the evaporation rate of water vapor is faster. The 369 deuterium values show slow fluctuations from June to August, with significant 370 371 fluctuations starting from mid-August, indicating that local evaporation is gradually enhanced over time due to the influence of temperature and relative humidity, leading 372 to increased non-equilibrium evaporation. The average lc-excess value of 373 precipitation in the lower layer of forest distribution is -8.18‰, while the average 374 lc-excess value of precipitation in the middle, upper-middle, and canopy layers is 375 close to 0. This is because the fractionation effect of evaporation is more pronounced 376 at lower elevations. At higher elevations, influenced by rainfall and snowmelt, the soil 377 moisture content in all soil layers is above 30% (Figure 3, b). Towards the end of the 378 379 growing season, as temperatures decrease, tree leaves fall to the forest floor, forming a litter layer that retains moisture in the soil. 380



385

Figure 3 (a) Variation in soil water content, (b) Comparison between atmospheric 384

water vapour oxygen isotopes and d-excess

4.2 Soil evaporation, plant transpiration and ecosystem 386

evapotranspiration 387

| Site | Туре | April | May | June | July | August | September | October |
|---------|-------------------|--------|--------|--------|--------|--------|-----------|---------|
| | δ_{T} | 2.22 | -5.87 | -4.59 | -0.72 | -1.72 | -1.78 | -2.26 |
| Qixiang | $\delta_{\rm E}$ | -30.32 | -28.68 | -27.33 | -29.12 | -28.68 | -26.32 | -27.27 |
| | $\delta_{\rm ET}$ | -20.19 | -20.05 | -11.63 | -9.87 | -13.56 | -15.85 | -21.56 |
| Hulin | δ_{T} | -5.34 | -3.58 | -4.13 | -0.34 | -2.35 | -4.25 | -1.97 |
| | $\delta_{\rm E}$ | -29.68 | -27.28 | -25.8 | -27.75 | -24.56 | -25.21 | -27.88 |
| | $\delta_{\rm ET}$ | -21.59 | -22.36 | -8.93 | -10.17 | -11.57 | -18.8 | / |

388 Table 3 Evaporation and transpiration at different altitudes during the growing season

| Ninchan | δ_{T} | / | -3.45 | -1.98 | -1.05 | -6.68 | / | / |
|---------|-------------------|--------|--------|--------|--------|--------|--------|--------|
| | $\delta_{\rm E}$ | / | -20.57 | -26.31 | -29.08 | -18.22 | -18.15 | -18.22 |
| | $\delta_{\rm ET}$ | / | / | -12.46 | -7.57 | / | / | / |
| Suidao | δ_{T} | / | -8.45 | -6.98 | -6.05 | -6.68 | / | / |
| | $\delta_{\rm E}$ | -29.79 | -27.32 | -27.91 | -23.83 | -28.78 | -25.8 | -28.06 |
| | $\delta_{\rm ET}$ | -24.31 | -16.14 | -15.19 | -10.07 | -18.05 | -23.02 | -18.65 |

389 The Keeling plot method was used to analyze the stable isotope composition of 390 ecosystem evapotranspiration (Figure 4). Its principle involves linearly fitting the 391 water vapor concentration in the ecosystem boundary layer against the oxygen isotope 392 composition, with the intercept on the y-axis representing the stable isotope value of δ_{ET} . The results indicate that at different heights within the distribution of deciduous 393 394 trees, the average δ_{ET} value is -22.59‰. Throughout the entire growing season, δ_{ET} 395 does not consistently decrease with increasing elevation. Specifically, near the treeline, 396 there are higher stable isotope values, but in the middle and upper layers of the forest, 397 there is a minimal value, indicating lower and less stable isotopic fractionation in that layer. At an elevation of 3448m, as the number of deciduous trees decreases and 398 399 shrubs become dominant, the δ_{ET} value is -21.81‰(Table 3). We found that the stable isotope δ_E of soil evaporation at depths of 0-10cm is more enriched at lower 400 elevations, particularly in April and May when the isotopic enrichment is more 401 pronounced. From June to August, due to a significant increase in vegetation coverage, 402 403 soil evaporation intensity decreases. In the early stage of the growing season, when 404 leaves have not fully developed, the stable isotope composition of the xylem exhibits a relatively depleted characteristic. In July and August, when leaves are fully 405 expanded, temperatures rise, and the rainy season in mountainous areas commences, 406 407 transpiration becomes more intense.



Figure 4 Each sampling point is fitted with a trend line based on the Keeling plot
method

412 4.3 T/ET assessment of Qinghai spruce forest ecosystem in different

413 months

414 We found that the canopy closure of deciduous trees significantly influences the evapotranspiration of the entire ecosystem (Figure 5). In April and May, as 415 temperatures rise, surface vegetation exhibits weaker growth, resulting in a higher 416 417 proportion of soil evaporation within the ecosystem, while transpiration by vegetation remains relatively low. During the rainy season in June to August, vegetation 418 experiences vigorous growth, and transpiration reaches its peak in July. In September 419 420 and October, soil evaporation becomes more dominant as temperatures, relative humidity, and rainfall gradually decrease, and deciduous tree leaves become wilted. 421 422 At lower elevations, the T/ET ratio fluctuates between 0.20 and 0.70 in a distinct pattern, while above the treeline, transpiration ratios fluctuate between 0.20 and 0.80 423



in a similar pattern. Overall, summer is characterized as the peak season fortranspiration, with a minimal contribution from soil evaporation.

| 427 | Figure 5 The proportion of soil evaporation and vegetation transpiration in |
|-----|---|
| 428 | evapotranspiration of ecosystem(0 represents missing data) |

429 **5. Discussions**

430 **5.1 Hydrological effects of changes in evapotranspiration**

431 **5.1.1 Impact on surface runoff**

Comparing the differences of monthly potential evapotranspiration, surface evapotranspiration and precipitation in spruce forests (Table 4), the results clearly showed that rainfall fluctuated between 0-16mm, and the maximum rainfall was 15.7 mm in April, while the minimum value of surface evapotranspiration is 41.8 mm and the minimum value of potential evapotranspiration is 44.1mm. The difference 437 between ET_P and ET shows that there is no effective water accumulation in all months. 438 From this, an important conclusion can be drawn: due to the late arrival of the rainy 439 season in mountainous areas and the uneven distribution of rainfall across different 440 altitudinal gradients, surface runoff can not be collected in this area, which also 441 proves that afforestation in this area will further enhance evapotranspiration, posing a 442 threat to water distribution and utilisation.

443 444

Table 4 Month-by-month comparison of potential evapotranspiration, surface

| evapotranspiration and rainfall | | | | | | | | |
|---------------------------------|------|------|-------|-------|-------|------|------|--|
| Month Variable | 4 | 5 | 6 | 7 | 8 | 9 | 10 | |
| ET _p /mm | 76.6 | 87.6 | 106.5 | 128.3 | 118.1 | 80.0 | 44.1 | |
| ET/mm | 51.5 | 66.3 | 93.3 | 108.9 | 110.7 | 81.2 | 41.8 | |
| P/mm | 15.7 | 8.8 | 0 | 13.2 | 13.3 | 11.2 | 13.6 | |







450 Some studies suggested that reducing forest density will result in less ET in seasonally dry forests. That reduced ET can be converted into increased groundwater 451 and runoff to supply downstream social water (Wyatt, O'Donnell, & Springer, 2015). 452 It has also been claimed that in some cases, the transient increase in water availability 453 through reduced forest density can actually contribute to subsequent increases in 454 vegetation cover and ultimately reduce runoff (Tague et al., 2019). By assessing the 455 hydrological effects of afforestation through the water cycle in the Asia-Pacific region, 456 457 it was found that in 7 of the 15 water-deficient areas, positive effects such as increased yield, precipitation, soil moisture and reduced drought risk were achieved 458 through afforestation, and it was confirmed that the water-water cycle had a strong 459 impact and evapotranspiration was increased (Teo et al., 2021). The water vapour 460 461 content produced by forest transpiration is much higher than that lost by soil surface evaporation, most of the precipitation is intercepted and infiltrated by surface 462 vegetation, and part of the soil water involved in infiltration is absorbed by the root 463 zone of vegetation(Figure 6). Because of plants' high interception and evaporation 464 465 ability and the absorption of groundwater by root zone, the proportion of transpiration was significantly higher than that of evaporation(Su et al., 2014). In this case, the 466 groundwater amount decreases gradually with the T value increase. Under the 467 influence of precipitation loss mainly due to plant transpiration, groundwater yield in 468 469 this region decreases greatly, and has no significant contribution to the downstream water revenue. 470

471 **5.1.2 Contribution to recirculating water vapour in precipitation**

Our previous study in the eastern section of the Qilian Mountains (Zhang et al., 2021) indicated that above an altitude of 2100m, air masses gather from the northern foothills and move along the valley from low to high elevations. From June to August, the atmospheric circulation is influenced by westerlies, southeast monsoons, and plateau monsoons, while from September to October, the westerlies are the dominant factor. Therefore, we selected the Xiying station at an altitude of 2097m as our upwind site. The δ_{pv} values showed depletion in April and October, gradually

enriching from June to August. The maximum δ^2 H value was -76.02‰ (Table 5), and 479 the minimum was -184.93‰, while the maximum δ^{18} O value was -11.89‰, and the 480 minimum was -26.38‰. Above 2700 meters, there is a gradual decrease in 481 482 precipitation vapor with increasing altitude. The δ_{ev} values exhibited significant fluctuations throughout each month, with different patterns at different locations. At 483 2721m, the δ_{ev} range varied between -172.3‰ and 96.39‰. From the forest's lower 484 layer to the upper layer, the isotopic composition of the advected moisture from the 485 486 valley gradually diminished, resulting in decreasing values of δ_{adv} .

488

 Table 5 Isotopic Composition of Precipitation Vapor, Surface Evaporation Vapor, and

 Vegetation Transpiration Vapor at Different Months and Altitudes

| Month | Туре | isotope | April | May | June | July | August | September | October |
|---------|-------|--------------------------|---------|---------|---------|---------|---------|-----------|---------|
| | 5 | $\delta^2 H/\%$ | -141.95 | -123.83 | -99.87 | -115.99 | -128.34 | -120.9 | -152.43 |
| | ðpv | $\delta^{18}O/\%$ | -19.27 | -16.58 | -14.04 | -15.91 | -18.6 | -17.22 | -22.34 |
| | | $\delta^2 H/\%$ | - | -125.69 | -123.69 | -117.98 | -134.57 | - | - |
| 0 | dev | $\delta^{18}O/\%$ | - | -30.21 | -29.56 | -28.62 | -31.19 | - | - |
| Qixiang | S. | $\delta^2 H/\%$ | -39.9 | -29.32 | -46.19 | -49.58 | -45.15 | -42.66 | -44.64 |
| | ðtr | $\delta^{18}O/\%$ | 2.22 | -5.87 | -4.59 | -0.72 | -1.72 | -1.78 | -2.26 |
| | 2.1 | $\delta^2 H/\%$ | -145.57 | -83.25 | -81.12 | -92 | -109.62 | -100.53 | -122.62 |
| | ðadv | $\delta^{18}O/\%_0$ | -20.24 | -11.93 | -10.73 | -12.06 | -15.31 | -13.46 | -18.16 |
| | 5 | $\delta^2 H/\%$ | -129.93 | -123.29 | -98.68 | -113.98 | -124.16 | -118.52 | -164.82 |
| | ðpv | $\delta^{18}O/\%$ | -17.54 | -16.62 | -13.18 | -15.48 | -17.33 | -17.88 | -22.46 |
| | 9 | $\delta^2 H/\%$ | -114.24 | -117.01 | -107.75 | -123.44 | -106.92 | -96.39 | -172.3 |
| Hulin | ðev | $\delta^{18}O/\text{‰}$ | -14.77 | -16.35 | -15.03 | -16.7 | -14.53 | -12.78 | -24.82 |
| munn | 54 | $\delta^2 H/\%$ | -24.12 | -39.62 | -35.97 | -26.44 | -35.85 | -38.39 | -40.53 |
| | ðtr | $\delta^{18}O/\%$ | -5.34 | -3.58 | -4.13 | -0.34 | -2.35 | -4.25 | -1.97 |
| | Sada | $\delta^2 H/\infty$ | -112.79 | -115.67 | -106.61 | -122.19 | -106.49 | -95.7 | -170.54 |
| | oadv | $\delta^{18}O/\%$ | -14.59 | -16.15 | -14.88 | -16.54 | -14.46 | -12.69 | -24.57 |
| | Smu | $\delta^2 H/\%$ | - | -76.02 | -139.21 | -135.74 | -129.96 | -113.71 | -184.93 |
| | opv | $\delta^{18}O/\text{\%}$ | - | -11.89 | -19.87 | -18.7 | -17.91 | -16.77 | -26.38 |
| | Sau | $\delta^2 H/\%$ | - | -83.76 | -81.42 | -92.42 | -110.24 | -101.11 | -123.42 |
| Ninchen | 0ev | $\delta^{18}O$ /‰ | - | -12.01 | -10.76 | -12.09 | -15.37 | -13.49 | -18.27 |
| Ninchan | S4 | $\delta^2 H/\%$ | - | -25.58 | -46.77 | -37.77 | -43.66 | - | - |
| | our | $\delta^{18}O$ /‰ | - | -3.45 | -1.98 | -1.05 | -6.68 | - | - |
| | S - 1 | $\delta^2 H/\%$ | -162.36 | -113.49 | -111.45 | -106.16 | -122.12 | -114.67 | -141.33 |
| | ðadv | $\delta^{18}O/\%$ | -22.73 | -15.94 | -15.28 | -14.46 | -16.96 | -16.58 | -20.42 |
| Suidao | δpv | $\delta^2 H/\%$ | -167.86 | -128.08 | -124.95 | -117.32 | -137.73 | -130.44 | -155.52 |

| | $\delta^{18}O/\%$ | -22.9 | -18.02 | -17.28 | -16.04 | -19.09 | -18.64 | -21.59 |
|------|-------------------|---------|---------|---------|---------|---------|---------|---------|
| S | $\delta^2 H/\%$ | -164.16 | -114.52 | -112.37 | -106.9 | -122.96 | -115.47 | -142.85 |
| dev | $\delta^{18}O/\%$ | -22.98 | -16.07 | -15.41 | -14.56 | -17.08 | -16.69 | -20.64 |
| S4 | $\delta^2 H/\%$ | - | -25.58 | -46.77 | -37.77 | -43.66 | - | - |
| ðtr | $\delta^{18}O/\%$ | - | -8.45 | -6.98 | -6.05 | -6.68 | - | - |
| | $\delta^2 H/\%$ | -162.38 | -113.51 | -111.47 | -106.18 | -122.14 | -114.69 | -141.36 |
| ðadv | $\delta^{18}O/\%$ | -22.73 | -15.94 | -15.28 | -14.47 | -16.97 | -16.58 | -20.42 |

In July, the ratio of vegetation transpiration to precipitation vapor is significantly 489 higher compared to other months. The temperatures in the lower layers of the forest 490 are relatively high, and the middle to upper layers are densely populated with spruce, 491 492 resulting in a higher f_{tr} (transpiration ratio) throughout the entire growing season. Both the early and late stages of the growing season exhibit noticeably higher f_{ev} 493 (evaporation ratio) compared to other months, with the middle and upper parts of the 494 forest having a higher proportion of evaporated vapor. The average f_{adv} (advected 495 vapor ratio) is 72%, with contributions exceeding 70% for all months except June and 496 497 July (Figure 7).



499 Figure 7 Comparison of f_{adv} (advective water vapour contribution), f_{ev} (surface 500 evaporation water vapour contribution) and f_{tr} (plant transpiration water vapour 501 contribution) for each of period

502 **5.2 Uncertainty analysis**

A higher sample size can reduce the margin of error. Therefore, we utilized 503 isotopic data from four sites over a two-year period to evaluate the model. We 504 505 used 404 xylem samples to calculate the contribution ratio of transpiration to ecosystem evapotranspiration. We examined the uncertainty of the model 506 evaluation. When analyzing the evaporation characteristics in a semi-arid natural 507 environment using the Craig-Gordon isotopic model, we first eliminated the 508 influence of solar radiation and other meteorological variables on the calculation 509 results. We focused on temperature, relative humidity, water vapor, and the initial 510 isotopic values of water bodies. Particularly in semi-arid environments, the 511

512 variations in temperature and relative humidity are crucial (Hernández-Pérez et al., 513 2020). To verify the calculation results, we found a strong correlation between the isotopes of soil evaporation and relative humidity, as demonstrated by the fitting 514 of δ_E against relative humidity and temperature. This also indicates the reliability 515 of the results obtained through the Craig-Gordon isotopic model. We employed 516 the Keeling plot method to calculate δ_{ET} , which is based on isotopic mass balance 517 and a two-endmember mixing model. This method assumes that the isotopic 518 519 composition of the background atmosphere and source remains constant, with a very low probability of isotopic spatial variation (Good et al., 2012; Kool et al., 520 2014). Due to the higher reliability of oxygen isotopes compared to hydrogen 521 isotopes (Han et al., 2022; Kale et al., 2022), we solely used oxygen isotopes to 522 523 calculate the T/ET values. The results indicate that transpiration significantly outweighs evaporation during July and August, which aligns with previous 524 research findings (Zhu et al., 2022). The correlation between T/ET and soil 525 moisture content suggests that soil moisture is a crucial factor driving the 526 527 variations in transpiration and evaporation ratios. Additionally, the estimation of isotopic composition of advected water vapor from the upwind sites contributes to 528 increased uncertainty. In our study area, the sites are predominantly influenced by 529 valley winds, with water vapor moving from the valley bottom to higher altitudes. 530 Therefore, we selected lower elevation areas in the valley bottom as the source 531 region for advected water vapor (Zhang et al., 2021). 532







535 6 Conclusions

536 This study utilizes isotopic data obtained from field observations during 2018-2019, combined with model simulations, to elucidate the mechanisms of 537 evapotranspiration in the Qilian Mountains' spruce forests. The aim is to clarify 538 the connection between evapotranspiration and local water cycling, as well as its 539 540 hydrological effects. Isotopic methods were employed to assess T/ET (transpiration over evapotranspiration). The results indicate that July and August 541 542 are the peak transpiration seasons for spruce, as they rely on favorable rainfall and 543 thermal conditions. Both evaporation and transpiration are more intense during these months compared to other months, suggesting that transpiration by spruce 544 trees is more significant than soil evaporation. Further quantification of the 545 respective contributions of plant transpiration and soil evaporation to 546 evapotranspiration reveals an average T/ET value of 0.57 during the study period, 547 with a maximum value of 0.77 in July. Thus, it can be concluded that transpiration 548

by forest trees constitutes the main component of evapotranspiration in the 549 Qinghai spruce forest ecosystem. Analyzing the hydrological effects of spruce 550 forest evapotranspiration, it is found that the evapotranspiration amount in each 551 month is at least three times greater than the precipitation. Therefore, surface 552 runoff formation in this area is challenging to rely on due to the significant 553 influence of transpiration and evaporation. Comparing the contribution ratios of 554 atmospheric water vapor from precipitation during spring, summer, and autumn, 555 556 the results demonstrate that the period from June to August serves as the peak transpiration season for spruce forests, with plant transpiration accounting for as 557 much as 25% of the total atmospheric water vapor, while surface evaporation 558 contributes only 18%. In the context of global warming, drought and water 559 560 scarcity, as well as climate changes dominated by relative humidity, have altered the ecological communities, ecosystem functions, ecosystem services, and 561 land-climate interactions of spruce forests. Therefore, it is crucial to understand 562 that the depletion of rainfall in this forest belt through evapotranspiration is vital 563 564 for local water resource allocation and ecological conservation.

565

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570 Data availability statement

The data that support the findings of this study are available on request from the corresponding author, stable isotope data are not publicly available due to privacy or ethical restrictions. Potential evapotranspiration and surface evapotranspiration data are available from the National Tibetan Plateau Scientific Data Centre(TPDC).

575 **Competing Interests**

We undersigned declare that this manuscript entitled "Hydrological effects of evapotranspiration in the Qilian Mountains forest belt" is original, has not been published before and is not currently being considered for publication elsewhere.

579 The authors declare that they have no known competing financial interests or 580 personal relationships that could have appeared to influence the work reported in this 581 paper.

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