

Review Report 1

Title: Modelling groundwater recharge, actual evaporation and transpiration in semi-arid sites of the Lake Chad Basin: The role of soil and vegetation on groundwater recharge

Author(s): Christoph Neukum et al.

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General Comments

In this research, the estimation of Evapotranspiration (ET) and groundwater recharge in Chad Lake Basin (CLB) has been done using unsaturated zone studies and modelling approach. In this regards, the authors collected soil samples from six boreholes and measured grain sizes (soil texture), water content and chloride concentrations. In addition they used climatic data (precipitation amount and CI content) and vegetation cover characteristics to calculate the ET by a dual-crop coefficient method ($K_c = K_{cb} + K_e$). Hydrus-1D software was used to model unsaturated flow and transport and then to simulate the groundwater recharge and separated evaporation and transpiration values.

In my opinion, the structure of the manuscript is fairly appropriate as it generally represents a good example of unsaturated zone modelling. Although the results are highly site-specific, the collected data and modelling approach could be interesting for the readers of the HESS Journal.

Specific comments

More explanations are needed about the criteria for selecting the sites (soil profiles) in LCB as they are so close and limited. Regarding the extensive area of the LCB, are the selected sites representative of the region? Is it possible for upscaling the results from these limited sites to the whole LCB? What is the recommended strategy for upscaling results in LCB as a whole?

Selection of sites was limited mainly by accessibility and project's goals. At the time of sampling, the project concentrated in study cases in Waza Logone and Salamat (Line 152-153).

The types of soils we have worked with (sand, loam, clay and their combinations) are the most common in the LCB. However, due to the extension of the LCB, we surely do not cover all existent soils (Lines 157-158).

We do not intend to extrapolate our values to the whole basin (Lines 135-136). We are very much aware that this would be an impossible work. What we want to show is that, using a generalised model, it is possible to determine recharge rates in areas with low accessibility and lack of data.

Why the bulk densities were not measured in the field? (Line 169)

Because of the difficulties handling the samples and sending them to Germany for measurement. We are aware of the limited accuracy of available methods, which increases with sampling depth (Al-Shammary et al. 2018¹). Line 210.

¹ [https://doi.org/10.1016/S1002-0160\(18\)60034-7](https://doi.org/10.1016/S1002-0160(18)60034-7)

Regarding the uncertainties inherited with the modeling approaches especially in unsaturated zone with more limited and unknown data, how do you confirm the modeling results on simulated ET and groundwater recharge values?

Results of evapotranspiration were not confirmed. However, the estimated values of the soil model as well as the calculated results are within plausible ranges. Our recharge values are in accordance with other studies, e.g. Bouchez et al., 2019². Lines 432-433.

We confirm our estimated recharge values with those published for the same area (Lines 473-474). We are not able to confirm them by other methods (groundwater level variation, lysimeter), because they do not exist in our study area

In the case of groundwater recharge you need to verify the modelling results by presenting the groundwater hydrographs and show any consistency between the recharge time series and water table fluctuations and then confirm the reliability of the method and results.

We agree with you, but these data are not available in the LCB, at least not in our study regions. This is the challenge of working in data scarce areas and one important motivation of this study. Lines 470-471.

Please explain in the text, why you used the both flow and transport modelling for estimation of ET and groundwater? Regarding the higher uncertainties in transport models, the basis for implementing transport model needs to be clarified as it was possible to estimate both ET and groundwater recharge by a flow model, only.

Our model was calibrated using measured values of chloride and water content with depth. Thus, transport model was necessary. This is already explained in chapter 3.

Technical corrections

Line 1: In the title “actual evaporation and transpiration” is better to be replaced as “actual evapo-transpiration”.

We prefer to leave as it is since we calculate both physical quantities separately

Line 74: check the English “Pedotransfer functions (PTF) bridge available and needed data and are frequently used to”.

Corrected as: Pedotransfer functions (PTF) bridge available and needed data. They are frequently used to...

Line 108-109: The sentence is redundant, better to be deleted.

Done

Line 121: ST1 has not shown on Fig.1.

² Bouchez, C., Deschamps P., Goncalves J., Hamelin, B., Nour, A.M., Vallet-Coulomb C., and Sylvestre, F: Water transit time and active recharge in the Sahel inferred by bomb-produced ³⁶Cl. Nature, scientific reports, 9: 7465, (2019).

Sorry! ST1, ST2, and ST3 were shown as S1, S2, and S3 in the map. The map has been corrected

Line 331: The figure caption (Fig. S1.) needs more clarification. You need to explain the abbreviations.

Done. Figure caption reads now:

Fig. S1: posterior density distributions of the scaling factors used in the calibration of model ST1. Numbers indicate the individual model layers. Range of x-axes corresponds to prior distribution (parameter alpha of the Mualem-van Genuchten equation: sc_alpha1, sc_alpha2: alpha; sc_Conc: input chloride concentration; sc_ksat1, sc_ksat2: saturated hydraulic conductivity; sc_npart1, sc_npart2: parameter n of the Mualem-van Genuchten equation ; sc_ths1, sc_ths2: saturated water content; sc_transp: transpiration fraction in the evapotranspiration; 1: upper layer; 2: lower layer).

Review Report 2

Title: Modelling groundwater recharge, actual evaporation and transpiration in semi-arid sites of the Lake Chad Basin: The role of soil and vegetation on groundwater recharge

Author(s): Christoph Neukum et al.

MS No.: hess-2022-319

General Comments

This paper aims at evaluating groundwater recharge in a semi-arid area, the Lake Chad Basin, which is an important and difficult task. The authors use soil water contents and chloride concentrations measured in the unsaturated zone and a 1D-model to simulate water flows and chloride contents. The approach is repeated at six locations over the catchment and allows for the estimation of ET and groundwater recharge along 15 years. This work shows that the interannual variability of groundwater recharge is first controlled by soil texture and vegetation, with lower recharge variability in coarse soils with grass cover. It also nicely shows different chloride retention in soils.

Specific comments

The paper is an interesting case study of unsaturated zone flux modelling but some improvements and clarifications in the manuscript are required. Following are my comments :

1. In the abstract, the authors say that it is a generalized approach. However in the example given here, it actually seems very localized and site-specific. The results are different between each soil, which suggest that we would need a large number of soil profiles to estimate recharge over the catchment. Are the results obtained generalizable? Are the soils and vegetation types studied here covering all expected soils and vegetation types of the LCB? How do the authors extrapolate the local recharge estimation to an average recharge rate?

The sentence reads "A simple, generalized approach, which requires only limited data...". We describe a generalized approach; we do not say that the results are generalizable.

The types of soils we have worked with (sand, loam, clay and their combinations) are the most common in the LCB. However, due to the extension of the LCB, we surely do not cover all existent soils (Lines 157-158). Concerning vegetation, acacia and grass are the most widespread natural vegetation throughout the LCB, whereas sorghum is the most commonly planted corn. Cotton, which is also planted, is only locally produced and generally using irrigation. Mango trees can be found along the Chari and Logone rivers, but are not representative for the whole LCB (Lines 163-166).

We do not intend to extrapolate our values to the whole basin. We are very much aware that this would be an impossible work. What we want to show is that, using a generalised model, it is possible to determine recharge rates in areas with low accessibility and lack of data (Lines 135-136).

2. The introduction should be clarified. In particular, a clear presentation of the objective should arrive early in the introduction as a number of different methods are detailed, but their advantages and limits in regards of the objectives of the present study are not clear.

To better organize the introduction, I would recommend to first present recharge estimates and the factor controlling it in semi-arid regions (1.78 to 90) then focus on the case of the LCB (1.30-48) and highlight what is missing and requires further work (objective of the present paper). In a second part of the introduction, I recommend to gather all descriptions of the existing methods to evaluate the unknown variables on the LCB (recharge, evaporation and transpiration), with their potential and limits of application in the case of scarce-data catchments such as the LCB. In particular, the benefit of using both chloride and water contents should be pointed.

We changed the introduction as proposed by the reviewer. Furthermore, we added a short description of applied methods and obtained values for recharge, evaporation, and transpiration in the LCB (Lines 51-96).

3. Extreme precipitation events are very important recharge processes in semi-arid regions, which is not taken into account here. Instead of applying the same precipitation rate all days of a month, how would the result be different if irregular precipitation rates were applied with extreme precipitation events?

We did not investigate this point, due to lack of data. However, it has been repeatedly pointed out in the manuscript, e.g.

Lines 447-449: "It is expected that high soil moisture dynamics, rather homogeneous soils, and the monthly resolution of climate data result in a minor impact of soil structure on MVG parametrization and groundwater recharge". Furthermore, in lines 451-452 we write "However, because time resolution of precipitation and evapotranspiration data is monthly, the models probably underestimate soil moisture dynamics"

Lines 460: "Extreme rain events that cause surface runoff cannot be reflected in the model".

4. What is the depth of the water table at each soil location? Information such as the thickness of the unsaturated zone at each site are missing. It seems to me that the study is restricted to the first meters of the unsaturated zone, while in this area it can reach up to 30m. I am wondering if the depth of the unsaturated zone investigated here is sufficient to get representative estimates of recharge in the unsaturated zone. I guess the underlying assumption is that there is no ET below the a few meters. If I am correct, the assumption should be clearly stated and discussed. Furthermore, even if water contents and chloride concentrations data are not available deeper, simulations could be run at greater depth.

Depth to groundwater is reported in Table 1. Unsaturated zone varies from 4 m in WL1 to 21 m in ST1 and ST3.

Transpiration depth is limited by the root depth, which reaches a maximum depth of 2.5 m in ST1, the whole profile in ST2, 0.4 m in ST3, 0.5 m in WL1, 0.3 in WL2, and 0.6 m in WL3.

Evaporation enriches the chloride concentration in soil. Therefore, evaporation depth can be estimated observing the vertical profiles of chloride concentration. It corresponds to the depth from which the chloride concentration remains constant. Measured chloride profiles are listed in Tables 2 and 3 of supplement material and graphically shown in Figure 5. Except for ST2, where the chloride profiles seems not to have reached a steady state at 2 m depth, all other profiles show variations only in the first 1-2 m.

We explain our assumption concerning recharge below the root zone more strongly in chapter 3. Lines 220-221.

5. Please give possible explanations for the discrepancies between simulated and modeled chloride dynamics for ST1 and ST2.

Mean residence time of chloride at both locations are long (109 years) compared to the data availability (49 years for precipitation and 6 years for chloride concentrations). At ST2 the measured profile can only be plausibly modelled with an additional input via ponding water (see chapter 4.3), which gives additional uncertainties.

We added these explanations in chapter 4.3 (lines 386-387).

6. Results on chloride accumulation and retention in soils are very interesting and additional calculations would be interesting. For each profile, what is the mass and mean residence time of chloride stored in soils? What is the concentration of chloride at the bottom of the unsaturated zone? How does it correlate to concentrations measured in groundwater?

The stored chloride mass depends strongly on locations and is time dependent.

However, it can be estimated from data shown in Fig 8.

Residence time depends on the soil type, thus max. residence times for the profiles can be estimated from the principle used for setting initial values in the model (Lines 281-283). These results in 106 years for ST1 and ST2, 6 years for ST3, 26 years for WL1 and WL2, and finally 46 years for WL3 (Lines 284-285).

Chloride values measured at the bottom of the soil profiles are comparable to those from groundwater. More precisely:

- ST1: Cl concentration of 0.09 mg/l at 5 m depth in unsaturated zone in 2019. A concentration of 0.338 mg/l was measured in groundwater in December 2016, RWL = 11 m

- ST2: Cl concentration of 0.97 mg/l at 5 m depth in unsaturated zone in 2019. A concentration of 1.39 mg/l was measured in groundwater in December 2016, RWL = 17 m

- ST3: Cl concentration of 0.42 mg/l at 5 m depth in unsaturated zone in 2019. A concentration of 4.1 mg/l was measured in groundwater in December 2016, RWL = 21 m

- WL1: Cl concentration of 0.52 mg/l at 4 m depth in unsaturated zone in 2017. A concentration of 0.225 mg/l was measured in groundwater in the same year, RWL = 4 m

- WL2: Cl concentration of 0.25 mg/l at 3 m depth in unsaturated zone in 2017. A concentration of 0.899 mg/l was measured in groundwater in 2014, RWL = 12 m

- WL3: Cl concentration of 1.9 mg/l at 3.8 m depth in unsaturated zone in 2017. A concentration of 1.51 mg/l was measured in groundwater in the same year, RWL = 3.6 m

We added these informations summarized in tables S2 and S3

7. Is there groundwater data (both chloride concentrations and water levels) that could be used to validate the recharge and chloride fluxes estimates?

Yes, see response to question 6

8. The value of chloride wet deposition of 1.8 ± 0.5 kg ha⁻¹ could also be compared with the value of 1 ± 0.5 kg ha⁻¹. estimated in Bouchez et al., 2019. And the recharge estimated in the present study could also be compared to the recharge estimates in Bouchez et al., 2019 at different locations in the catchment (16 to 240 mm/year).

Chloride wet deposition is compared to Bouchez et al. (2019) in Line 194.

Recharges estimates are compared to Bouchez et al. (2019) in Lines 473-474.