Inertia and seasonal climate prediction as sSources of skill in lake temperature, discharge and ice-off <u>seasonal</u> forecasting tools

François Clayer¹, Leah Jackson-Blake¹, Daniel Mercado^{2,3}, Muhammed Shikhani⁴, Andrew French⁵, Tadhg Moore^{6‡}, James Sample¹, Magnus Norling¹, Maria-Dolores Frias⁷, Sixto Herrera⁷, Elvira de Eyto⁵, Eleanor Jennings⁶, Karsten Rinke⁴, Leon van der Linden⁸, Rafael Marcé^{2,3}.

¹Norwegian Institute for Water Research (NIVA), Oslo, Norway ²Catalan Institute for Water Research (ICRA), Girona, Spain ³Universitat de Girona, Girona, Spain

⁴Department of Lake Research, Helmholtz Centre for Environmental Research, Magdeburg, Germany ⁵Foras na Mara - Marine Institute, Furnace, Newport, Co. Mayo, Ireland ⁶Dundalk Institute of Technology, Dundalk, Co. Louth, Ireland

⁷Grupo de Meteorología. Dpto. de Matemática Aplicada y Ciencias de la Computación. Universidad de Cantabria, Santander, Spain

⁸SA Water, Adelaide SA 5000, Australia

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15 Correspondence to: François Clayer (francois.clayer@niva.no)

[‡] Present address: Department of Biological Sciences, Virginia Tech, Blacksburg, VA, USA

Abstract. Despite high potential benefits, the development of seasonal forecasting tools in the water sector has been slower than in other sectors. Here we assess the skill of seasonal forecasting tools for lake and reservoir set up at four sites in Australia and Europe. These tools, as previously presented, consist of coupled hydrological catchment and lake models forced with 20 seasonal elimate meteorological forecast ensembles to provide probabilistic predictions of seasonal anomalies in water discharge, temperature and ice-off. Successful implementation requires a rigorous assessment of the tools' predictive skill and an apportionment of the predictability between legacy effects and input forcing data. To this end, models were forced with two meteorological datasets from the European Centre for Medium Range Weather Forecasts (ECMWF), the seasonal forecasts SEAS5 with three-month lead times and the ERA5 reanalysis. Historical skill was assessed by comparing both model outputs, 25 i.e., seasonal lake hindcasts (forced with SEAS5) and pseudo-observations (forced with ERA5). The skill of the seasonal lake hindcasts was generally low although higher than the reference hindcasts, i.e., pseudo-observations. In addition, but higher than-the SEAS5 climate hindcasts meteorological predictions showed less skill than the lake hindcasts. NeverthelessIn fact, skilful lake and SEAS5hindcasts -windows of opportunity were identified for selected seasons and variables, although they were not always synchronous with skilful SEAS5 meteorological hindcasts, raising questions on the source of the 30 predictability. A set of sensitivity analyses showed that most of the forecasting skill originates from legacy effects, although during winter and spring in Norway some skill was coming from SEAS5 over the three-month target season. When SEAS5 hindcasts were skillful, additional predictability-predictive skill_originates from the interaction between legacy and SEAS5 skill. We conclude that a climatology driven forecast is currently likely to yield higher quality forecasts.

1. Introduction

- 35 Freshwater provides essential services for food and energy production, manufacturing, cultural heritage, and natural habitats. However, it is threatened by more frequent extreme events (Jeppesen et al., 2021), climate change (Labrousse et al., 2020), anthropogenic water depletion (Yi et al., 2016) and agricultural pressures (Wuijts et al., 2021). Implementation of mitigation measures can help preserve freshwater resources, although they come with trade-offs between production from economic sectors with related social benefits, and availability of good quality freshwater. Hence, successful implementation of measures
- 40 requires capacity at the local-regional level for cross-sectoral decision-making (Wuijts et al., 2021). Seasonal forecasting tools for water quality can help facilitate the decision-making process by refining optimal actions over the next season, e.g., magnitude and timing of reservoir drawdowns. Indeed, they can supply knowledge on the impacts of future climatic conditions on freshwater over a realistic time frame enabling implementation with reduced negative effects on economic activities. Nevertheless, the use and access to forecasting tools is still very limited for water managers (Lopez & Haines, 2017; Soares et
- al., 2018). The probabilistic nature of seasonal forecasts can be a key barrier coupled with the lack of reliability and credibility 45 of these predictions in most regions out of tropics. Hence, a better access to seasonal forecasting tools as well as increased comprehension and description of these tools are required prior to their successful implementation in the decision-making process within the water sector.
- Seasonal climate meteorological predictions provide a probabilistic description of the weather over the next few months, e.g., an 80% chance of the weather being wetter than normal. Seasonal climate predictability mainly originates from ocean-50 atmosphere interactions (Troccoli, 2010). In fact, the ocean inertia, given its volume and the heat capacity of liquid water, exerts an influence on the atmosphere on the scale of months which allows us to estimate its future effect on weather. Given that ocean-atmosphere interactions are relatively strong in the equatorial region (Troccoli, 2010), seasonal meteorologicalelimate predictions typically show stronger predictive skill, or prediction performance, around the tropics 55 (Johnson et al., 2019; Manzanas et al., 2014). Under higher latitudes, skills from seasonal meteorologicalclimate predictions
- are patchy and less consistent among variables and seasons. Hence, seasonal climate the boundary conditions, e.g., seasonal air temperature forecasts used to force a hydrological model, forecasts are is usually not the main source of predictability outside the tropics, at least for stream flow (Greuell et al., 2019; Harrigan et al., 2018; Wood et al., 2016). Nevertheless, climate models producing seasonal meteorologicalelimate forecasts are constantly improving and it is reasonable to expect that forecast opportunities will expand in the future (Mariotti et al., 2020). Developing seasonal forecasting workflows, quantifying the skill 60

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and investigating the source of predictability represent a necessary and essential step towards reliable water quality seasonal forecasting. While some of the first forecasting tools were originally developed for flood warnings (e.g., Pagano et al., 2014; Werner et al.,

2009), applications to other sectors are becoming more frequent. In the agricultural sector, for example, a recent study shows that flowering time can be reliably predicted from seasonal meteorologicalelimate forecasts in central and eastern Europe, enabling early variety selection and planning of farm management (Ceglar & Toreti, 2021). Seasonal meteorologicalelimate forecasts were also shown to provide useful information for the wind energy sector (Lledó et al., 2019), and to avoid significant economic losses from hydropower generation during droughts (Portele et al., 2021). Nevertheless, the use of seasonal meteorologicalelimate forecasts for water quality temperature in lakes and reservoirs has been limited so far, where the focus has been on water quantity (Arnal et al., 2018; Giuliani et al., 2020; Greuell et al., 2019; Pechlivanidis et al., 2020). Studies forecasting water temperature, a fundamental water quality variable, are rare in the literature (Mercado-Bettin et al., 2021; Zhu et al., 2020; Baracchini et al., 2020), despite the diverse influence of this variable on lake ecosystem structure and functioning (Dokulil et al., 2021). Nevertheless, a simple lumped model (air2water; Piccolroaz et al., 2013), previously developed to estimate surface lake water temperature as a function of air temperature, has been applied to predict water temperature in thousands of lakes (Zhu et al., 2021). While this hybrid approach yielded skillful surface lake water temperature predictions

- and forecasts (Piccolroaz et al., 2018; Toffolon et al., 2014), it doesn't take seasonal climate forecast ensembles as inputs, i.e., elimate data products specifically designed for seasonal forecasting, and it doesn't allow forecasting any other lake variable, such as bottom temperature or ice-off, i.e., the first ice-free day after a ice-covered period.
- Research on seasonal forecasting in hydrology has started more than a decade ago (Troin et al., 2021) and now represents a 80 source of knowledge for other research fields. When forecasting river flow, for example, predictability can originate from two main sources: (i) initial conditions such as catchment water stores of initial soil moisture, groundwater, and snowpack, which are directly linked to the water residence time; and (ii) boundary conditions, i.e., seasonal climate predictionmeteorological forecasts used to force the hydrological model (Greuell et al., 2019). Throughout the many studies of river flow seasonal forecasting in Europe, it appears that initial conditions form the dominant source of skill in run-off (Greuell et al., 2019;
- 85 Harrigan et al., 2018; Wood et al., 2016) and predictability can be extended up to a year ahead in case of very low flow (Staudinger & Seibert, 2014). When dealing with standing water bodies, antecedent conditions are also likely to provide significant predictability, given that the water storage in lakes and reservoirs is large compared to river channels, providing higher inertia. Water residence time is thus expected to exert a strong influence on water flowdischarge predictability. Water temperature, on the other hand, is influenced by multiple meteorological variables, e.g., wind, air temperature and radiation, 90 in addition to water stores which can affect the source of its predictability.
- Here, we further investigate the performance and in particular the source of this prediction performance, also referred to as predictive or forecasting skill, of lake water quality seasonal forecasting tools first described by Mercado-Bettin et al. (2021) and Jackson-Blake et al. (2022). These tools integrate seasonal climate predictions and water impact hydrological catchment and physical lake models forced with seasonal meteorological forecasts with three-month lead times at four case study sites in
- Europe and Australia (Fig. 1). In fact, the model forcing meteorological variables as well as output catchment and lake variables 95 are a set of retrospective seasonal forecasts for past dates, hereafter referred to as hindcasts, that can be compared to historical records. The objective of this study is to assess whether seasonal elimate meteorological forecast hindcast ensembles with three-month lead time used as inputs to catchment and lake process-based models provide some predictive skill to seasonal lake forehindcasts. To this end, the forecasting skill of the tools was assessed for combinations of season and freshwater variables, i.e., discharge, water temperature or ice-off, and for each tercile. In parallel, we quantified the forecasting skill of
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each meteorological variable of the seasonal meteorologicalelimate prediction at each site. Both assessments were carried out following aggregation of model outputs from daily to seasonal temporal resolution, i.e., seasonal means or sums. When a hindcast was found to perform significantly better than a reference hindcast, e.g., climatology from pseudo-observations as defined in the Methods, for a combination of a given season, variable and tercile, this latter combination was defined as a "window of opportunity". This terminology is introduced to emphasize the fact that these forecasts can be used in the decision-making processes by water managers but only for specific variable and season. A set of sensitivity analyses was performed to identify input-output relationships and to partition the source of the predictability-prediction skill for each window of opportunity among warm-up, (transition)first lead-month and seasonal meteorologicalelimate predictions. The comparison in

hindcasts with the aim of isolating the contributions of different sources to skill has been applied before on streamflow

110 hindcasts (e.g., Arnal et al., 2018; Greuell et al., 2019), but this is, to our knowledge, the first study investigating the origin of seasonal hindcast ensemble skills on water discharge, temperature and ice-off in lakes and reservoirs. The implications for water qualitylake forecasting tools are discussed.



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Figure 1: Location of the four case studies in Europe and Australia along with climate type and coordinates. Map is modified from Jackson-Blake et al. (2022). Detailed catchment maps are given in Jackson-Blake et al. (2022)

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2. Methods

2.1 Description of the forecasting tools

The forecasting tools consist of a coupled catchment runoff model to a one-dimensional water column lake model, forced by seasonal <u>meteorologicalelimate</u> predictions, to simulate three <u>impact_output</u> variables at daily resolution: inflow discharge, and lake surface and bottom temperature. For Lake Vansjø in Norway, the timing of ice melt (ice-off) was also included in the <u>impact_output</u> variables in spring. <u>The workflow consisted in running the catchment models first, providing inflow water</u> discharge and water temperature to the lake models.

2.1.1 Case study sites

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Water qualityLake forecasting tools were developed for four regulated water lakes/reservoirs in Europe and Australia which have been described earlier (Mercado-Bettin et al., 2021;Table 1; Fig. 1). Briefly, Sau (Spain) and Mount Bold (Australia) reservoirs large water supplies for the cities of Barcelona and Adelaide, respectively. Lake Vansjø (Norway), is a drinking water source for three municipalities and the Wupper reservoir (Germany) is used for flood control, environmental flows, and recreation.

Table 1: Characteristics of the study sites. Mixing timing refers to boreal seasons only.

Case study (Country)	Catchment area (km ²)	Surface area (ha)	Volume (hm3)	Water retention time (yrs)	Max. ^e Depth (m)	Mixing regime	Mixing timing
Sau (Spain)	1680	575	165	0.2	60	monomictic	Winter
Mt Bold (Australia)	357	254	46.4	0.2-0.6	44.5	monomictic	Summer
Vansjø (Norway)	690	3600 252		1.1	19	dimictic	Spring Fall
Wupper (Germany)	215	211	26	0.2	31	dimictic	Spring Fall

130 2.1.2 Climate Meteorological input data

We used two different <u>climate meteorological</u> datasets to force the <u>catchment hydrological</u> and <u>lake physicalimpact</u> models (<u>catchment and lake models</u>) in our tools: a climate reanalysis (ERA5) and a seasonal <u>climate</u> forecasting product (SEAS5) which both offer <u>a global spatial and continuous a relatively homogeneous spatial</u> and temporal coverages to ensure future transferability of our workflows and easy comparison between our case-studies (Johnson et al., 2019). ERA5 is the latest reanalysis at 0.25° spatial resolution (Hersbach et al., 2020) produced by the European Centre for Medium Range Weather Forecasts (ECMWF; <u>https://www.ecmwf.int</u>) within the Copernicus Climate Change Service (C3S,

https://climate.copernicus.eu/). ERA5 data (1988-2016) were used (i) to correct for bias in the SEAS5 data using the quantile mapping technique as described-belowby Mercado-Bettin et al. (2021); (ii) to provide elimate meteorological pseudo-

observations for retrospective skill evaluation of SEAS5 hindcasts, (iii) to force impact catchment hydrological and lake 140 physical models to produce pseudo-observations of the impact-output variables, (iv) to force our impact-catchment and lake models to produce antecedent/warm-up period data preceding seasonal forecast-hindcast periods (i.e., combined one leadmonth and three-month target season)-. SEAS5 is the latest seasonal forecasting system from the ECMWF at 1° spatial resolution and provides operational seasonal forecasts and retrospective seasonal forecasts for past years (hindcasts). We used hindcasts (1994-2016) in this study. A hindcast with 25 members was considered for the period 19943-2016 for the three-145 month boreal seasons (spring: March through May; summer: June through August; autumn: September through November; winter: December through February), with one month as lead time. Climate data were downloaded, down scaled and biascorrected with aA dedicated R package (climate4R; Iturbide et al., 2019) was used for ERA5 and SEAS5 meteorological data pre-processing. SEAS5 members were pre-processed using the quantile mapping technique (Gutiérrez et al., 2019) to correct for systematic bias relative to climate (pseudo-)observations (ERA5 reanalysis). We used the empirical quantile mapping approach (EQM) due to its ability to deal with multivariate problems (Wilcke et al., 2013). More details about bias correction 150 are given in Mercado-Bettin et al. (2021). EQM adjusts 99 percentiles and linearly interpolates inside this range every two

- consecutive percentiles; outside this range, a constant extrapolation (using the correction obtained for the 1st or 99th percentile) is applied (Déqué, 2007). In the case of precipitation, we applied the wet-day frequency adaptation proposed by Themeßl et al. (2011). The resulting bias-corrected data were used for hydrologic and lake models meteorological forcing, noting that we
- 155 implemented bias-correction using leave-one-(year)-out cross-validation. Therefore, for each year, seasonal climate hindcast member predictions were adjusted with the bias correction parameters derived from training with all other years; after which all bias-corrected data were appended to obtain a corrected (i.e., locally calibrated) time series of seasonal meteorological hindcasts for the full period for each case study. Finally, to use the bias-corrected data as meteorological forcing for hydrologic and lake models, we used bilinear interpolation (akima method), whereby we specified lake/reservoir coordinates from which
- 160 seasonal meteorological hindcast data from surrounding pixels were interpolated. Climate-Meteorological datasets include daily <u>average 2-meter</u> air temperature (tas), wind speed (u and v components <u>of wind</u>; uas and vas), <u>surface</u> air pressure (psl), relative humidity (or dew-point temperature) (tdps), cloud cover (tee), solarshort-wave radiation (rsds), downwelling long-wave radiation and daily sum of precipitation(tp).

2.1.3 Observations

- 165 Daily inflow discharge and daily to monthly lake water temperature observations (Table S1) were used for catchment and lake model calibration and validation, as well as quantification of forecasting skills. For Lake Vansjø, daily measurements of discharge over 1994–2016 were taken from the gauging station at Høgfoss (Station 3.22.0.1000.1; Norwegian Water Resources and Energy Directorate). Lake temperature data were gathered from the Vansjø-Hobøl monitoring program dataset, conducted by the Norwegian Institute for Bioeconomy Research and by the Norwegian Institute for Water Research (Haande et al., 2016).
- 170 These data are available freely on the Norwegian national database (https://vannmiljo.miljodirektoratet.no). For Sau reservoir, daily measurements of discharge into Sau Reservoir were provided by the Catalan water agency (Agència Catalana de l'Aigua,

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ACA) while lake temperature and weather data are part of a long-term monitoring program (Marce et al., 2010). Discharge, water temperature and weather observations at the two other reservoir sites were collected from the water reservoir operators (Wupperverband for Wupper and SA Water for Mt Bold). Lake water temperature data are discontinuous and covered only part of the modelled time-period (1994–2016) because of limited funding for monitoring programs. In addition, precipitation, temperature, short-wave radiation, humidity, and wind daily records at nearby meteorological stations were obtained for each case study from the local meteorological institutes. For Lake Vansjø, this included ice-off dates from the Norwegian

2.1.4 Catchment-lake process-based model setup and calibration

Meteorological Institute station 1,715 (Rygge) located on the lake shore (59° 38'N, 10° 79' E).

- 180 A catchment-lake process-based model chain was setup at each site to predict daily inflow discharge into the lake/reservoir and daily lake water temperature. Given the specificity of each catchment regarding flow dynamics and water management, different models were used at each site (Fig. 42). While this disparity prevents us from an in-depth comparison among casestudies, the common methods and code established to manipulate input and output data enable us to quantify forecast performance and the source of the predictability at each site in a consistent and comparable way.
- 185 Inflow water temperature and discharge for Sau and Vansjø was modelled with the mesoscale Hydrologic Model (mHM v5.9: http://www.ufz.de/mhm) and SimplyQ (hydrological module of SimplyP; Jackson-Blake et al. (2017), respectively. Inflow water temperature and discharge for Wupper and Mt Bold was modelled with the *Génie Rural* (GR) suite of models implemented within the R package airGR (Coron et al., 2017), GR6J and GR4J, respectively. mHM and SimplyQ hydrologic models were forced with ERA5 daily precipitationtp and tasdaily average surface air temperature, and the GR models were
- 190 forced with <u>daily precipitation</u> and <u>daily potential evapotranspiration</u> (Hargreaves-Samani potential evapotranspiration, derived from tmin-daily minimum and maximum temperature, and tmax and implemented in drought4R; Iturbide et al., 2019). All hydrological models were calibrated and validated against <u>local</u> observations using the Nash–Sutcliffe efficiency coefficient (NSE) as the objective function.²⁷





195 Figure 21: Description of the forecasting workflow—Calibrated hydrologic and lake models are used to produce seasonal lake hindforecasts of water quality with 25 climate seasonal members

The General Ocean Turbulence Model (GOTM, <u>http://gotm.net</u>) was used to simulate the water temperature profile of Sau Reservoir and Lake Vansjø. The General Lake Model (GLM, Hipsey et al., 2019) was used to simulate water temperature in the Mt. Bold and Wupper reservoirs. Lake models were forced with ERA5 tassurface air temperature, uas, vasu and v wind
 components, surface air pressurepsl, relative humidity (or dew-point temperature), cloud cover, short-wave radiation, precipitation and, in some cases, also downwelling long-wave radiationtdps tee, rsds and tp, and calibrated and validated

against observations using the Root-Mean-Square Error (RMSE) and NSE as objective functions.

For Lake Vansjø, the water level was set to constant given that observed fluctuations are < 1 m which are not critical for the lake heat and water budgets. The three reservoirs, on the other hand, experience much larger water level fluctuations because

- 205 of complex water pumping patterns and/or water scarcity. It was thus critical to allow for water level fluctuations and parametrize the outflows to avoid dry outs. We opted for a simple linear regression between observed inflow and outflow to predict the outflow in the absence of observations. For Wupper Reservoir, a statistical model was developed to calculate the reservoir's outflow based on the inflow using the timeseries over the warm-up period for each discharge simulation of the catchment model. Such an approach allowed mimicking the outflow decision and approximately resembling the observed
- 210 water-level to avoid the cases of dry-outs or exceedingly low volumes of water due to inflow/outflow misestimation. More details on the performance of the linear regression are given in the supplementary information. For Sau, historical observations of outflow and pumping volumes were used to force the model. For Mt. Bold Reservoir, an average annual cycle was calculated from historical observations and then replicated throughout the entire timeseries. While this assumption does not allow for inter-annual variation, it allowed for simulation of water level fluctuation each year that represented the seasonal cycle apparent within Mt. Bold and avoided dry outs.
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The lake energy budget includes exchanges through the air-water interface, i.e., downward short-wave radiation, downward and upward long-wave radiation, latent and sensible heat fluxes, and by lateral fluxes of water, i.e., inflow and outflow of water (Schmid and Read 2021). The energy fluxes at the air-water interface are accounted for in the GLM or GOTM lake model, however, the lateral fluxes caused by throughflow (inflow-outflow balance) needs to be parametrized through the

- 220 addition of water temperature to the inflow provided by the catchment model. Inflow temperature was estimated based on the assumption that water temperatures follow the air temperatures closely with some time lag (Stefan & Preud'homme 1993; Ducharne 2008). Hence, water temperature was predicted with a linear model of the form A + B*AirTemperature where A and B were optimized against local observations when available. At Sau Reservoir, the values of A and B were 5.12 and 0.799, respectively, while for Mt Bold reservoir and Lake Vansjø, the values of A and B were 5 and 0.75, respectively. The validation
- 225 of this model for Wupper Reservoir, as an example, is described in the supplementary material. Most common <u>verification</u> statistical goodness of fit parameters, e.g., Kling-Gupta efficiency (KGE), NSE and RMSE, for hydrological and lake modeling were calculated. <u>Details on calibration and validation periods as well as statistics are shown</u> in Table 4 and Table S2.

2.1.5 Pseudo-observations (Lake_PO)

Following calibration, lake and hydrologic models were forced with ERA5 over 19934-2016 to produce daily pseudo-observations of river discharge, daily surface and bottom temperature, as well as presence or absence of ice (for Lake Vansjø only). The output of this simulation is hereafter referred to as lake pseudo-observations (Lake_PO). Theoretical prediction skill of seasonal forecasts is commonly evaluated against pseudo-observations (Greuell et al., 2019; Harrigan et al., 2018; Wood et al., 2016). In contrast to lake_real observations, Lake_PO have the advantages of being complete and allow to disregard changes in skill related to model errors or biases (Harrigan et al., 2018), and to focus on skill originating from initial and boundary conditions. In contrast to the theoretical prediction skill, Tthe total prediction skill includes any error or bias introduced by the model. Here, the total prediction skill of seasonal lake forecasts/hindcasts (discharge, water temperature and ice-off) was also evaluated against real observations, when those were available and covering a representative time period.

2.1.6 Seasonal forecasts (Lake_F)

- 240 For each of the 92 three-month hindcast seasons (11/1993 to 11/2016), we simulated ensemble predictions of daily river discharge, daily surface and bottom water temperature as well as presence or absence of ice (for Lake Vansjø only; Fig. 23). Impact_Catchment and lake_models were forced with ERA5 data over the 1-year warm-up period followed by a set of 25 members of SEAS5 data covering an initialization_first lead month (M0) and the 3-month long target season (M1–M3). The first lead month is defined in agreement with Greuell et al. (2019) as the month following the date on which the forecast would
- 245 <u>have been issued</u>. Over the initialization-first lead month, the 25 members of SEAS5 progressively diverge from ERA5 to their respective SEAS5 member. Model outputs for the final 3 months, i.e., the target season, were selected and used to calculate the probabilistic forecasts of seasonal summary statisticsaggregated into three month (M1–M3) seasonal averages or sums (i.e., mean-average surface and bottom water temperature and cumulative seasonal inflow discharge). The output of this simulation is hereafter referred to as lake forecasts (Lake_F).



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Figure 23: Time series of the air temperature (a), precipitation (b), discharge (c), surface (d) and bottom (e) water temperature over the warm-up, transition monthfirst lead month (M0) and target season (M1-M3) for Autumn 2000. The black lines indicate ERAS (a and b) and Lake_P (c-e) data, the light and dark blue lines are, respectively, the 25 members and the mean of SEAS5 (a and b) and Lake_F (c-e).

255 2.2 Assessment of modeling performance and source of forecasting skills

2.2.1 Assessment of model performanceModel and forecast verification

A complete assessment of the modelling and forecasting performance of our workflow was performed through several verifications (Table 2). The first verification (Verification 1 in Table 2) consisted in evaluating the performance of the models forced with ERA5 by comparing model outputs (Lake PO) to observations a daily temporal resolution, as described in section
 260 2.1.4. This verification step included the reporting of traditional verification statistics for modelling, i.e., NSE; KGE and RMSE. The second and third verifications (Verifications 2 and 3 in Table 2) consisted in quantifying the lake forecast (Lake_F) performance compared to climatology from pseudo-observations (Lake_PO) or from observations, respectively. These steps

allowed to quantify the forecasting skill of a perfect model and the total forecasting skill, respectively. Forecast verification 2 and 3 were performed using model output data at seasonal temporal resolution, i.e., daily model outputs over the target season (M1–M3), were aggregated in seasonal averages or sums. and Lake_F were compared to one another and to observations,

when available, to evaluate the performance of different components of the model chain (Table 2).
 Forecast performance was quantified with two skill scores: the Ranked Probability Skill Score (RPSS) and the Relative
 Operating Characteristic Skill Score (ROCSS). Skill scores are a measure of the relative improvement of the forecast compared to a reference forecast which here is the climatology based on either Lake_PO or observations. When possible, ROCSS values

were calculated against climatology from real observations (ROCSS_{obs}), in addition to pseudo-observations (ROCSS_{original}), only when observations covered the whole season. Indeed, ROCSS_{original} was calculated only if there was at least one observation point in each month of the season and observations for at least 70% of the seasons. Observations that met these criteria only included inflow discharge at Vansjø, Sau and Wupper for all seasons, surface and bottom temperature at Vansjø in summer only, surface and bottom temperature at Wupper for all seasons, surface temperature at Sau for all seasons and ice-off at Vansjø.

RPSS and ROCSS are commonly used as evaluation measures of probabilistic forecasting skill (Jolliffe & Stephenson, 2012; Müller et al., 2005). The visualizeR package (Frías et al., 2018) was used to compute the RPSS and ROCSS for Lake_PO and Lake_F. Briefly, the RPSS provides a relative performance measure on how well the probabilistic ensemble is distributed over the lower, middle and upper terciles, while the ROCSS provides a relative measure of discriminative skill for each category.

- 280 Both skill scores are expressed as relative to a reference forecast, i.e., climatology. A RPSS > 0 is associated with a better forecast than the reference (1 being a perfect score), while RPSS ≤ 0 indicates no improvement compared to the reference. The ROCSS value ranges from -1 (perfectly bad forecast) to 1 (perfect forecast) and a zero value indicates no skill compared to the reference. The RPSS has been shown to be sensitive to the ensemble size, but this effect can be corrected for using the Fair (or unbiased) RPSS (Ferro et al., 2014). To allow for comparison with other forecasting systems, we have used the fair RPSS
- 285 (FRPSS) forecast verification. In this study, the FRPSS is calculated for tercile events. The statistical significance of the FRPSS and ROCSS is computed based on the 95% confidence level from a one-tailed Z test. Threshold RPSS and When a forecast for a given season, variable and tercile was associated with a ROCSS value that was statistically significant, we referred to it as a s above which RPSS and ROCSS are significant at 95% confidence are calculated by built in VisualizeR functions and were used to identify windows of opportunity (i.e., <u>a</u> combinations of seasons, variables and terciles for which forecast performance was significantly better than the reference). In our case, these thresholds values above which a ROCSS was considered significant typically range between 0.47 and 0.55. When possible, ROCSS values were calculated against climatology from

Table 2: Comparison carried out to evaluate model and forecast performance

real observations (ROCSS_{ILINE}), in addition to pseudo-observations (ROCSS_{ILINE}).

Expt <u>Verification</u> Outputs used		Evaluation data <u>Reference</u> forecast data	Purpose	Statistics		
ŧ	Lake_F	Lake_PO	Assess the transfer of climate model forecast skill through process based models — Perfect model forecasting skill	ROCSS _{original}		
<u>1</u>	Lake_PO	Observations	Assess lake model skill	KGE NSE RMSE		
2	<u>Lake FLake_PO</u>	Lake POObservations	Assess the transfer of meteorological forecast skill through process-based models – Perfect model forecasting skillAssess lake model skill	ROCSS _{original} KGE NSE RMSE		
3	Lake_F	Observations	Assess total forecasting skill	ROCSSobs		

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2.2.2 Sensitivity analyses to initial conditions and meteorological forcingto explore inheritance of forecasting skill

A set of <u>Several</u> sensitivity analyses (SA), <u>summarized in</u>; Table 3.) were performed to identify the origin of the forecasting skill for a given window of opportunity, i.e., a combination of season, variable and tercile for which forecast performance was significantly better than the reference. Results of the SA are only reported for sites having a substantial number of windows of

300 <u>opportunity for conciseness</u>. These SA allowed quantifying the sensitivity of hindcasts<u>performance</u> to forcing data over specific periods<u>: the target season (M1–M3; SEAS5), the first lead month (M0) and the warm-up period (ERA5)</u>. It was thus possible to quantify the proportion of skills originating from <u>each of these periods</u><u>the forcing data over the 3-month target</u> <u>season (SEAS5 data), the transition lead month, or the warm-up period (ERA5 data)</u>.

The SA consisted of replacing the forcing data of interest, i.e., over the target season, the first lead month or the warm-up

- 305 period, by data from an equivalent season/period but from a randomly selected year. For example, for the target season SA (S-SA), the SEAS5 forcing data covering the 3-month target season was replaced by SEAS5 data from a randomly selected equivalent season. Furthermore, Fthe SA for the warm-up period (W-SA) consisted in replacing the ERA5 data covering the warm-up period by ERA5 data from a randomly selected equivalent time-period. The last SA covered warm-up and transition first lead month (W+M0T-SA) and consisted in replacing ERA5 data over the warm-up, as in W-SA, but also SEAS5 data
- 310 over the transition-first lead month. To ensure that the randomly sampled forcing data are representative of the whole SEAS5 or ERA5 datasets, we introduce two levels of repetitions for all experiments. First, we randomly selected a year for each of the 25 members of SEAS5, meaning that the data selected to replace the original SEAS5 forcing data is extremely likely to be from a different year for each SEAS5 member. Second, we repeated the analysis 25 times, for each season. Sensitivity analyses were only carried out for Spain and Norway because of the low number of windows of opportunity at the two other sites and
- 315 considering the resources needed to execute these hindcast experiments.

The outputs of <u>each of the sensitivity analysis</u> SA, W SA, and W+T SA were used to produce tercile plots and calculate ROCSS values against the climatology based on Lake_PO, as for Lake_F in the Verification 2 described above (Table 2). The

ROCSS values obtained through this procedure were, respectively, $ROCSS_s$, $ROCSS_w$ and $ROCSS_{w+M0}$ for S-SA, W-SA, and <u>W+M0-SA</u>. The comparison of the ROCSS values ($ROCSS_t$) obtained for the various SAs were compared to the original Lake_F ROCSS values ($ROCSS_{original}$) to investigate the sources of predictability prediction skill. An estimation of the

proportion of prediction skillpredictability originating from the SEAS5 data over the target season (P_{season}) was expressed as follows:

 $P_{season} = ROCSS_{original} - ROCSS_{S}$

320

(1)

(3)

Similarly, the proportions of predictability prediction skill originating from the ERA5 data over the warm-up ($P_{warm-up}$) and from the SEAS5 data over the transition-first lead month ($P_{M0transition}$) can be respectively estimated as: $P_{warm-up} = ROCSS_{original} - ROCSS_W$ (2)

 $P_{M0transition} = ROCSS_W - ROCSS_{W+M0T}$

In Eq. 1–3, predictability-prediction skill was assumed to linearly scale with ROCSS values and predictability skill from any interaction effect was neglected. While we admit that Eq. 1–3 are not necessarily statistically correct, they are useful to quantify the relative importance of the sources of skill. Hence, the values of P_{season} , $P_{warm-up}$ and $P_{transition}$ should be interpreted

330 the relative importance of the sources of skill. Hence, the values of P_{season} , $P_{warm-up}$ and $P_{transition}$ should be with care.

2.1.1 <u>2.2.3</u> Sensitivity analyses to trace forecasting skill from input to impactindividual input variables

To further investigate through which process forecasting skill is transferred from input to <u>impact-output</u> variables, a one-at-atime sensitivity analysis (OAT-SA) was performed for Lake_PO and the Pearson partial correlation coefficients (PPCC)

- 335 between each variable of Lake_PO, i.e., surface temperature, bottom temperature, discharge, ice-off, variables- and a set of relevant input variables were determined (Table 3). The OAT-SA consisted in replacing the data for a specific input meteorologicalelimate variable by data from an equivalent target season but from a randomly selected year. The seasonal means of OAT-SA outputs were compared to default outputs (Lake_PO) with R². Higher (1 R²) values indicate more influence of input variables on Lake_PO.
- 340 PPCC allowed quantifying the sensitivity of model outputs to a given input variable while removing the effect of the remaining input variables. Note that PPCC were calculated on seasonally aggregated variables. To ensure that PPCC were statistically appropriate, i.e., only when a linear relationship exists between the seasonal means of input factors and those of the output (Pianosi et al., 2016), the linearity assumption was checked through visual inspection of scatter plots between each input and output variables. Partial correlation coefficients are a good alternative to 'All-At-a-Time' (or global) SA when the latter is not
- 345 possible because of the lack of computing resources (Pianosi et al., 2016). To avoid misleading conclusions, correlation between input variables should be minimized (Marino et al., 2008). Hence, only the most relevant input variables were included. Precipitation and air temperature were retained for discharge, while air temperature, precipitation, wind speed (net wind speed calculated from uas and vas) and solar-short-wave radiation were retained for surface and bottom temperature. In fact, solar-short-wave radiation was retained over relative humidity, cloud cover and air pressure because it was responsible

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350 for over 50% most of all air-water heat fluxes (see SI). Wind was retained because of its impact on thermal stability (Blottiere, 2015).

Table 3: List of sensitivity analyses (SA) performed

	Forcing data to be replace	ed	Model output	_	Sensitivity	
SA	Period	Variable		Purpose	index	
S-SA	Target season (SEAS5)	All	Lake_F	Quantifying the proportion of forecasting skill originating from SEAS5 data over the target season	ROCSS _s	
W-SA	Warm-up period (ERA5)	All	Lake_F	Quantifying the proportion of forecasting skill originating from ERA5 data over the warm-up season – initial conditions	ROCSS _W	
W+ <u>M0</u> T- SA	Warm-up period (ERA5) and transition first lead month (SEAS5)	All	Lake_F	Quantifying the proportion of forecasting skill originating from SEAS5 data over the transition first lead month	ROCSS _{W+ŦM0}	
OAT-SA	Target season (ERA5)	One <u>at a time</u>	Lake_PO	Quantifying the sensitivity of Lake_PO to a specific forcing variable	$1-R^2$	
PPCC	None	None	Lake_PO	Quantifying the sensitivity of Lake_PO to a specific forcing variable while removing the effect of the remaining variables	PPCC	

3 Results

3.1 Performance of the calibrated *impact_catchment and lake_models* (Lake_PO)

355 Catchment and lake models calibrated against local observations performed reasonably well (Table 4). For river discharge, NSE and KGE both ranged between 0.51 and 0.85 over the calibration and validation periods. For surface water temperature, RMSE ranged from 1.10 to 1.63 and NSE from 0.78 to 0.94 over the calibration and validation periods. Over each season, however, Lake_PO showed more heterogeneous performance (Table S2). Discharge simulations were usually worse in summer, except in Australia where performance was poor for most seasons. Surface water temperature modeling typically showed better performance during spring and fall than during summer or winter. There is no clear pattern for bottom water temperature, but overall, it seems more difficult to be accurately simulated compared to surface temperature.

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	<u>Output</u>		Calibrat	tion			Validat	ion	
	variable	Time	NSE	KGE	<u>RMSE</u>	Time	NSE	KGE	RMSE
Norway	Discharge	2005-2010	0.51	0.56		2011-2015	0.57	0.57	
	Temperature	2005-2010	<u>0.92</u>		<u>1.12</u>	<u>2011–2015</u>	<u>0.93</u>		<u>1.10</u>
<u>Spain</u>	Discharge.	<u>1997–2007</u>	<u>0.60</u>	<u>0.66</u>		<u>2008–2018</u>	<u>0.54</u>	<u>0.63</u>	
	Temperature	<u>1997–2007</u>	<u>0.93</u>		1.63	<u>2008–2018</u>	<u>0.94</u>		1.45
Germany	Discharge	1991-2011	0.71	0.85		2012-2016	0.63	0.81	
	Temperature	<u>1993–2010</u>	<u>0.93</u>		<u>1.31</u>	2011-2016	<u>0.91</u>		1.53
Australia	Discharge	2003-2007	<u>0.64–0.80</u>	<u>0.70–0.84</u>		2008-2013	<u>0.65–0.80</u>	<u>0.54–0.75</u>	

365 **3.2** Skill of the seasonal meteorological climate (SEAS5) and water qualityLake (Lake_F) hindcasts

Table 5 displays the ROCSS values for each combination of Lake_F output variable, season and tercile while Table 6 summarizes the windows of opportunity, i.e., a combination of season, variable and tercile for which forecast performance, or predictive skill, was significantly better than the reference, for SEAS seasonal meteorological hindcasts as well as for Lake_F hindcasts. These windows of opportunity typically had ROCSS values larger than 0.47 to 0.55 (see Methods section for details).
 For SEAS5 seasonal elimate-meteorological hindcasts, only 3 to 10 windows of opportunity were observed for each case study out of the 96 possibilities, i.e., 3 terciles of 8 variables over 4 seasons (Table 46). Regarding Lake_F, larger proportions of the

- 36–39 possible variable-tercile-season combinations were associated with <u>statistically</u> significant ROCSS values <u>(Table 6)</u>. Winter and Spring in Norway, as well as Summer and Autumn in Spain were the seasons associated with the most skillful Lake_F hindcasts. Lake Vansjø in Norway was the only case study where windows of opportunity for SEAS5 and Lake_F
- 375 were consistently concentrated within the same seasons, i.e., mostly in Spring and to a lesser extent in Winter. For the other case studies, there were fewer windows of opportunity for SEAS5 and those were more randomly distributed over the year.
 Significant fair FRPSS values were typically reported for surface water temperature in spring and autumn, except for autumn in Spain. Norway and Germany also showed significant fair RPSS for bottom water temperature in spring and autumn, and summer and autumn, respectively. Note that neither river discharge nor any of the SEAS5 variables had significant fair FRPSS
- 380 values in any case study. Windows of opportunity for bottom temperature represented more than half of the total for all casestudies and variables while those for surface temperature and discharge were more sporadic.

385

The comparison of SEAS5 and Lake_F skillful hindcasts in Table 4<u>6</u> is already useful for identifying possible transfer of forecasting skill from the SEAS5 seasonal <u>meteorologicalelimate</u> hindcasts to the <u>impact_catchment and lake</u> models. Only 0 to 10% of the SEAS5 elimate meteorological hindcasts are skillful, on average over only a very limited number of seasons, variables and terciles (Table 6). However, for Norway, there is a higher number of skillful elimate meteorological and water

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qualitylake hindcasts in spring than in the other seasons. For the other case-studies, such a clear connection between SEAS5 elimate-meteorological hindcasts and impact-catchment/lake model outputs is not as apparent. We can thus hypothesize that the skill of impact-catchment and lake model hindcasts in Norway is more inherited from the SEAS5 data than at other case studies. In contrast, skill of the impact-catchment and lake model hindcasts at the other case-studies is hypothesized to originate from the legacy of the warm-up period or from the parametrization of the inflow-outflow water balance.

- Goodness of fitVerification statistics for Lake_PO seasonal means compared to observations (Table 75) show that the impact catchment and lake models performed well at the Norwegian and Spanish sites in capturing interannual variability. In Germany and Australia, performance was lower. Note that when observation coverage was below 50%, no statistics were calculated given the low number of seasons represented and the risk of bias when computing seasonal averages. The difference between *ROCSS_{original}*.(comparing Lake_F and Lake_PO) and *ROCSS_{obs}* (comparing Lake_F and lake observations) did not
- necessarily scale inversely with the goodness of fitverification statistics (Table 75). In fact, the ROCSS_{obs} reported for the German site were slightly lower or even larger than their respective ROCSS_{original} with differences lower than 0.23. Whereas, for the Spanish site, three ROCSS_{obs} values out of 4 were significantly lower than the ROCSS_{original} with a difference larger than 0.33. These results highlight the point that the goodness of fit statistics apply to the full data distribution while ROCSS are specific to a tercile. Hence, even if the model captures the interannual variability over the whole data distribution relatively well, predictions within a given tercile may be poor, and vice versa. Nevertheless, several impact-output variables, e.g., bottom temperature in Germany and ice-off in Norway, are associated with significant ROCSS_{original}.and ROCSS_{obs}, which provides further confidence in model calibration and low model error. In contrast, even if the goodness of fit verification statistics for discharge were not worse than for the other variables, ROCSS_{obs} values are all below the significance threshold pointing
- 405 towards some limitations in predicting hydrology.

Table 5: ROCSS_{original} for each combination of season, variable and tercile of lake hindcasts (Lake_F). Color scale range from dark < blue (ROCSS = -1, perfectly bad forecast) to dark red (ROCSS = 1, perfect forecast) with white in the middle (ROCSS = 0, no change compared to reference forecast). Windows of opportunity are highlighted by bold, black numbers, i.e., combination of season, variable and tercile associated with a statistically significant ROCSS value_a

			Norway			Spain			Germany	<i>,</i>		Australia	
		Lower	Middle	Upper	Lower	Middle	Upper	Lower	Middle	Upper	Lower	Middle	Upper
Discharge	Spring	0.58	0.18	0.54	0.37	-0.11	0.33	-0.34	-0.03	-0.47	0.01	-0.33	-0.64
	Summer	-0.13	0.23	-0.41	0.73	-0.02	0.23	-0.59	-0.13	-0.34	-0.05	0.28	0.36
	Autumn	0.32	-0.24	0.27	0.17	0.46	0.47	-0.29	-0.33	0.03	0.48	-0.02	0.18
	Winter	0.21	-0.08	-0.1	0.4	0.52	0.08	-0.28	0.17	-0.34	0.16	-0.02	-0.22
Surface Temperature	Spring	0.75	0.14	0.53	0.2	0.18	0.19	0.2	-0.14	0.38	0.19	-0.27	0.26
	Summer	0.33	0.39	-0.13	0.42	-0.13	0.57	-0.25	-0.18	-0.22	0.16	-0.48	0.23
	Autumn	-0.52	-0.24	-0.12	0.22	0.11	0.47	0.23	0.42	0.3	0.13	-0.34	-0.04
	Winter	0.48	-0.12	-0.17	0.32	-0.66	-0.15	-0.22	0.04	-0.09	0.13	-0.14	-0.1
Bottom Temperature	Spring	0.56	0.05	0.68	0.44	0.12	0.86	0.59	0.28	0.6	0.37	0.31	-0.16
	Summer	-0.12	0.14	-0.35	0.53	0.36	0.72	0.48	0.21	0.71	0.6	-0.45	0.18
	Autumn	-0.53	0.03	-0.26	0.5	0.55	0.64	0.27	0.26	0.15	0.28	0.4	0.38
	Winter	0.48	0.01	0.53	-0.02	0.54	0.27	-0.16	-0.3	0.27	0.63	-0.1	0.29
Ice-off	Spring	0.69	0.29	0.75									

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e	xes			Num	bers of skil	lful hindca variable	sts <u>: window</u> e (tercile)	s of oppor	<u>tunity</u>			
Sit	Index	Win	nter	Spi	ing	Sun	nmer	Aut	umn	то	TAL	
	-	SEAS5	Lake_F	SEAS5	Lake_F	SEAS5	Lake_F	SEAS5	Lake_F	SEAS5	Lake_F	
١y	FRPSS				ST; BT				ST; BT	0/32	4/12	
Norw	ROCSS	3 tcc (-) rsds sw (+) rlds-lw (=)	3 ST (-) BT (-,+)	7 <u>pslairP(</u> =, +) <u>airTtas</u> (+) tcc (=) tdpshum (-) <u>Uuas</u> (+) <u>Vvas</u> (-)	8 Q (-,+) ST (-,+) BT (-,+) Ice-off (-,+)	0	0	0	0	10/96	11/39	
.e	FRPSS			()	ST				ST	0/32	2/12	
Austral	ROCSS	2 psl-airP (+) tdps-hum (+)	1 BT (-)	1 rlds-lw (+)		1 ŧcc (=)	1 BT (-)	4 <u>airPpsl</u> (+) tcc (+) <u>airTtas</u> (=) <u>swrsds</u> (+)	1 Q (-)	8/96	3/36	
.5	FRPSS				ST					0/32	1/12	
Spa	ROCSS	0	1 Q (=)	2 tcecc (+) <u>airPpsl</u> (+)	1 BT (+)	2 tcc (+) tdps <u>hum</u> (+)	5 Q (-) ST (+) BT (-,+)	1 tcc (+)	3 Q (+) BT (-,+)	5/96	9/36	
'n	FRPSS				ST		BT		ST; BT	0/32	4/12	
Germai	ROCSS	$\begin{array}{c} 2 \\ \frac{\text{rlds-lw}}{(=)} \\ \end{array}$	0	1 t dps-<u>hum</u> (+)	2 BT (-,+)	0	2 BT (-,+)	0	0	3/96	4/36	

 Table 64:
 SEAS5
 meteorologicalelimate
 and Lake_F
 water qualitylake
 hindcasts associated with statistically significant

 FRPSS or ROCSS at each case-study.
 ST, BT and Q stand for surface , bottom-temperature and discharge, respectively. -, + and = stands for lower, upper and middle terciles, respectively.

415 Lake F variable abbreviations: ST, BT and Q stand for surface-, bottom-temperature and discharge, respectively. SEAS5 meteorological variable abbreviations: airT, airP, cc, hum, sw, lw, U, V stand for surface air temperature, air pressure, cloud cover, relative humidity (or dew-point temperature), short-wave radiation, downwelling long-wave radiation, and u and v components of wind, respectively. -, + and = stands for lower, upper and middle terciles, respectively.

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		_	Obs	s cove	rage						R	OCSS _{origi}	nal		ROCSSobs	;		
Site	Variable	Season	s	М	D	NSE	\mathbb{R}^2	RMSE	RMSE/ sd	bias	lower	middle	upper	lower	middle	upper		Formatted Table
vay	Discharge	S P pring	100	96	93	0.72	0.80	2.0	0.52	-1.0	0.58*		0.54*	0.36		0.34		Formatted: Left
Vorv	Surface	₩1 <u>Wint</u>	0	0	0						0.48*			n.a		-		Formatted: Left
~	Temperature	Spring ^P	48	58	5						0.75*		0.53*	n.a		n.a		
	Bottom	WinterI	0	0	0						0.48*		0.53*	n.a		n.a		Formatted: Left
	Temperature	SPpring	43	52	4						0.56*		0.68*	n.a		n.a		
	Ice-on		100	-	-	0.97	0.99	2.2	0.16	1.8				a		4		Formatted: Left
	Ice-off		100	-	-	0.36	0.76	19.3	1.09	-14.7	0.69*		0.75*	0.55*		0.68		Formatted: Right
. <u>5</u>	Discharge	Winter	100	100	99	0.88	0.89	3.9	0.34	-0.6		0.52*			0.18	•	\sim	Formatted: Left
Sp_{ϵ}	÷	S <u>ummer</u>	100	100	98	0.51	0.62	3.5	0.69	-1.6	0.73*			0.40			$\langle \rangle$	Formatted: No underline
		A <u>utumn</u>	100	100	98	0.73	0.74	4.0	0.51	-0.8			0.47*			0.40		Formatted: Left
	Surf. Temp.	S <u>ummer</u>	78	78	3	0.12	0.40	1.1	0.87	-0.6			0.57*			-0.08		Formatted: Left
	Bottom	Spring ^P	48	70	3								0.86*			n.a		Formatted: Left
	Temperature	S <u>ummer</u>	48	67	2						0.53*		0.72*	n.a		n.a		
		A <u>utumn</u>	35	58	3						0.50*		0.64*	n.a		n.a		Formatted: Right
4	Bottom	SpringD	100	96	6	5.01	0.40	1.2	2.40	1.0	0.50*		0.60*	0.41		0.46*		
g	Tomporatura	Spring+	100	100	7	-5.01	0.49	1.2	2.40	1.0	0.39		0.00*	0.41		0.40*		Formatted: Left
	remperature	S <u>ummer</u>	100	100	/	-8.03	0.26	3.8	3.04	3.0	0.48*		0.71*	0.51*		0.49*		Formatted: Right
alia	Discharge	A <u>utumn</u>	43	100	100						0.48*			n.a		-		Formatted: Left
ustı		U				-0.67	0.41	1.61	1.23	-1.27								
A	Bottom	W <u>inter</u> I	23	100	82	-0.70	0.32	1.98	1.17	1.51	0.63*			n.a		•		Formatted: Right
	Temperature	S <u>ummer</u>	17	75	46						0.60*			n.a				Formatted: Left

 Table 57:
 Goodness of fitVerification
 statistics (NSE, R², RMSE, RMSE/sd, bias) for Lake_PO seasonal means, as well as

 420
 comparison of the ROCSS_{original}.(comparing Lake_F and Lake_PO) and ROCSS_{obs} (comparing Lake_F and lake observations).

 Only impact variables associated with significant ROCSS_{original} are included. Significant ROCSS are highlighted with an asterisk.

 "Obs coverage" is the percentage of seasons (S), months (M) and days (D) covered by observations.

"Obs coverage" is the percentage of seasons (S), months (M) and days (D) covered by observations. Spring is March to May, Summer is June to August,

425 Autumn is September to November, and Winter is December to February

"Ice-on typically occurs between November and December which is the autumn and winter boundary. Therefore, ROCSS values could not be calculated for ice-on.

3.3 Sensitivity analyses to initial conditions and meteorological forcing

The *ROCSS_S*. *ROCSS_W* and *ROCSS_{W+M0}* ROCSS values obtained for each run of S-SA, W-SA and W+TM0-SA, respectively,
 are summarized in boxplots in Figure <u>43</u> together with the original ROCSS value for each window of opportunity at the Norwegian and Spanish sites. <u>This set of sensitivity analyses (SA) were performed to identify the origin of the forecasting skill
</u>

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for a given window of opportunity and allowed quantifying the sensitivity of hindcasts performance to forcing data over specific periods: the target season (M1–M3; SEAS5), the first lead month (M0) and the warm-up period (ERA5). In general, impact output variable sensitivity to SEAS5 data over the target season (S-SA) is small relative to sensitivity to ERA5 data over the warm-up season and/or SEAS5 data over the transition-first lead month. In fact, at Sau, replacing SEAS5 data over the target season with random data (S-SA) does not yield any significant change in the ROCSS values, except for the surface temperature upper tercile (Fig. 43 panel 1). However, significant changes in ROCSS values are seen for W-SA compared to $ROCSS_{original}$ indicating high sensitivity to warm-up. The similar ranges in $ROCSS_W$ and $ROCSS_{W+M0T}$ values suggest limited or no impact of the SEAS5 data over the transition first lead month on impact-output variable forecasts.



Figure 43: Box plots (n = 25) of *ROCSS_S*, *ROCSS_W* and *ROCSS_{W+±M0}* from sensitivity analysis runs S-SA (replacing target season SEAS5 data with random data), W-SA (replacing warm-up ERA5 data with random data) and W+<u>M0</u>T-SA (replacing warm-

up period – ERA5 and transition first lead month – SEAS5 data with random data) for each window of opportunity at the Norwegian (a–k) and Spanish (l–q) sites. ROCSS_{original} is given by the red line, so ROCSS_x-ROCSS_x-ROCSS_x-ROCSS_w-and ROCSS_{w+M0}-below the red line indicate a loss of skill and values above the line indicate higher skill than the original forecast, ***, ** and * indicate significant difference between a given group of ROCSS_s-ROCSS_w-and_ROCSS_{w+M0}-ROCSS_x values and ROCSS_{original} following Mann–Whitney Rank Sum test at a significance level of 0.001, 0.01 and 0.05, respectively. Note that the S-SA, W-SA and W+M0-SA were only performed for Sau Reservoir in Spain and Lake Vansjø in Norway because of the significant resources needed to performed this hindcast experiments.

- 450 At Vansjø in Norway, on the other hand, 8 out of 11 windows of opportunity show significant changes in *ROCSS_S* values, indicating higher sensitivity to SEAS5 data over the target season than at Sau. Furthermore, 3 windows of opportunity are associated with *ROCSS_s* that are lower than *ROCSS_{original}* (Fig. 3b4b, f and g), i.e., suggesting SEAS5 is providing some skill, while 5 have *ROCSS_s* that are higher than *ROCSS_{original}* (Fig. 3a4a, h–k), suggesting the use of SEAS5 is in fact reducing forecasting skill compared to a random forecast. Then, a progressive decrease in ROCSS values is typically observed
 455 for all windows of opportunity following W-SA and W+TM0-SA, indicating a progressive loss of forecasting skill related to
- ERA5 data over the warm-up and SEAS5 data over the transition first lead month.

3.4 Tracing of forecasting skillSensitivity analyses to specific input variables

Figure 5 and 6 summarizes the results from the two sensitivity analyses to specific input variables: OAT-SA and PPCC. Seasonal means of Lake_PO at Vansjø also showed higher sensitivity to specific input variables than Lake_PO at Sau (Fig.

- 460 45). In fact, surface temperature is highly sensitive to tas surface air temperature over the year while some other input variables have more specific influence. Bottom temperature is also highly sensitive to surface air temperaturetas but wind also plays a large role, especially in summer which is consistent with its expected impact on lake thermal stability (Blottiere, 2015). Finally, as expected, discharge at Vansjø is highly sensitive to precipitationtp, and to a lesser degree to tassurface air temperature, except in winter where surface air temperaturetas has a larger influence on discharge.
- 465 The PPCC also show similar patterns regarding sensitivity (Fig. 56) where discharge is highly correlated with precipitationts at the four sites and tas-surface air temperature plays a secondary role for specific seasons. Once again, surface and bottom temperature at Sau stand out due to their limited sensitivity to input variables while at the three other sites, surface temperature, and to a lesser degree bottom temperature, are generally strongly positively correlated with tassurface air temperature. Others, like precipitationts and resistort-wave radiation have more of an anecdotal influence on lake temperature, while wind shows
- a more consistent negative impact on surface temperature at Vansjø, Wupper and Mt Bold. Wind also shows some impact on bottom temperature, although less consistent. At Vansjø and Mt Bold following the coldest season, wind is positively correlated with bottom temperature, while at Wupper during the two coldest seasons, wind is negatively correlated with bottom temperature. Finally, ice-off date in Vansjø shows a strong negative correlation with <u>surface air temperaturetas</u> (Fig. <u>65</u>m) that can be linked back to the snow content and the intensity of snow melt in the catchment (Fig. <u>65</u>n and o).
- 475 Next, we use SA outputs to better describe the origin of the <u>prediction skillpredictability</u>, considering inertia, time integration as well as variable interactions. Assuming that climate signals in the ERA5 and SEAS5 input data over the warm-up, transition first lead month and target periods are additive sources of <u>prediction skillpredictability</u>, we can use Eqs 1–3 to partition the

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predictability prediction skill originating from those time periods, i.e., $P_{warm-up}$, $P_{Motransition}$ and P_{season} , respectively. For Sau reservoir, this calculation yields $P_{warm-up}$ of 0.94 to 1.0 leaving only an unsignificant fraction of prediction skillpredictability to the forcing data over the target season and the transition-first lead month, as illustrated in Fig. 34. At this site, the impact-output variables show in parallel very low sensitivity to input variables (Fig. 54 and 56) which supports a strong role of inertia or long-term time integration in hindcast predictabilitypredictive skill. The fact that 5 out of the 6 windows of opportunity are for bottom water is also consistent with inertia as the main source of skill given the low circulation rate and inertia of hypolimnions. For Lake Vansjø, Eqs 1–3 yielded P_{season} of 0.003 (range: -0.19 to 0.18), $P_{Motransition}$ of 0.19 (0.04

- 485 to 0.37) and P_{warm-up} of 0.29 (0.09 to 0.60). Hence, a significant fraction of prediction skillpredictability is originating from the SEAS5 boundary conditions dataset although the largest source remains initial conditions through ERA5 data over the warm-up. Interestingly, the SEAS5 data over the transition first lead month is also a significant source of prediction skillability. In fact, in decreasing order of importance, prediction skillpredictability originates from the warm-up, the first leadtransition month and the target season. This progressive decrease in prediction skillpredictability is only observed at Lake Vansjø and
- 490 suggests that across-variable integration of climate signals persists through the <u>first leadtransition</u> month and, in some cases, the target season, but is progressively deteriorating as we move <u>in</u>to the target season. Indeed, there is additional consistency between the SEAS5 input variables showing some forecasting skill and the <u>impact output</u> variables. In fact, surface, and bottom temperature in spring at Vansjø are sensitive to <u>surface air temperature</u> tas and wind (Fig. <u>5-6</u> b and c), and <u>tassurface aur temperature</u>, <u>uas and vaswind u and v components</u> are associated with some windows of opportunity in spring (Table 4<u>6</u>).
- 495 Similarly, ice-off is sensitive to <u>surface air temperaturetas</u>, as are snow quantities and melt intensities in the catchment (Fig. <u>5m6m</u>-o). Hence, in contrast to Sau reservoir where most of the <u>predictability-prediction skill</u> seems to originate from inertia, at Lake Vansjø, across-variable integration contributes to predictive skills.



Figure 54: Relative sensitivity (see methods for details)expressed as 1 – R² of Lake_PO seasonal means to specific input variables estimated following the OAT-SA (see section 2.2.3 and Table 3 in the Methods section for details). Circle color and size both represent relative sensitivity on a scale from 0 to 1. Meteorological variable abbreviations: airT, p, wind, cc, hum, and sw stand for surface air temperature, precipitation, wind speed, cloud cover, relative humidity (or dew-point temperature) and short-wave radiation, respectively. Note that the relatively larger sensitivity of Lake_PO to specific input variables over the whole year can be larger compared to over a given season because of the strong seasonal cyclicity. Note that the OAT-SA was only performed for Sau Reservoir in Spain and Lake Vansjø in Norway.

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Figure <u>65</u>: Pearson partial correlation coefficients (PPCC) between Lake_PO seasonal means and seasonal means of selected input variables. Circle color and size represent PPCC value (from -1 to 1) and significance, respectively. <u>Meteorological variable</u> abbreviations: airT, P, wind, cc, hum, and sw stand for surface air temperature, precipitation, wind speed, cloud cover, relative humidity (or dew-point temperature) and short-wave radiation, respectively. <u>Only significance level at 0.1 or below were considered in the interpretation</u>.

4 Discussion

4.1 Sources of skill

Our investigation into relationships between input and output variables and the sensitivity of predictive skill to meteorological 515 data inputs over different time periods have yielded important insights into the sources of seasonal water quality lake forecasting skill in our case study sites.

A key finding is that predictive skill is mostly sensitive to meteorological inputs over the warm-up and <u>first leadtransition</u> months (Fig. <u>34</u>, Section 3.4), although some specific windows of opportunity are also somewhat sensitive to the meteorological data over the target season. Hence, integration of the climate signal over time or across variables by catchment

- 520 hydrologic and physical processes, e.g., snow accumulation (Harrigan et al., 2018) or heat accumulation in lakes, is likely a key source of predictive skill. In fact, Mercado-Bettin et al. (2021) already noted an increase in predictability prediction skill when moving from elimate weather to discharge to lake temperature, i.e., in an increasing order of time and across variable integration of climate signals. Strong inertia is also a potential source of predictabilityprediction skill.
- After accounting for forecasting skill from the forcing data over various periods (Section 3.4), a large proportion of the skill still remains unexplained, especially for some selected windows of opportunity at Lake Vansjø in Norway. Bottom water temperature at Lake Vansjø in spring shows the highest residual skill after removal of skill from warm-up and <u>first lead</u> transition-month (Fig. <u>43</u>e-f). Surface and bottom temperature show a different degree of coupling with air temperature. In fact, while surface temperature responds tightly to changes in air temperature (Butcher et al., 2015; Schmid et al., 2014), bottom temperature responds to a variety of complex interactions influenced by lake characteristics (e.g., fetch, surface area,
- 530 depth, light penetration; Butcher et al., 2015). Indeed, bottom temperature in spring depends on preceding winter conditions but also on the intensity and length of the spring mixing event. To fully capture the intensity of this event, the model requires good initial water temperature inherited from previous winter but also skillful weather forcings, especially for <u>surface air temperaturetas</u> and wind (Fig. <u>65</u>c). In fact, for bottom temperature in spring to be higher than normal, it requires surface water to be heated up more than normal, mainly through heat exchange with air temperature, but also the lake to remain mixed for a
- 535 longer time period than normal. The interaction between skill from legacy and from weather forcing might thus be another source of predictabilitypredictive skill. The fact that the proportion of forecasting skill progressively decreases from warm-up, through the first lead transition-month and the target season at Vansjø suggests that the interactions between input variables, which are incorporated in the process representation within the models, provide some skill but progressively deteriorates as we move forward in time. At Sau reservoir in Spain, on the other hand, all skill is lost at the sharp boundary between the warm-
- 540 up and the <u>first lead transition</u> month. This difference might be related to the presence of skill from the SEAS5 data at Vansjø (Table 46) and not at Sau. In other words, in the absence of skill in SEAS5 data, no additional skill can originate from interaction effects.

Literature on streamflow hindcasts broadly shows that beyond the <u>first lead</u>transition month, <u>climatology driven</u>-hindcasts <u>forced with an ensemble of boundary conditions resampled from historical meteorology</u> are typically more skillful than Formatted: Outline numbered + Level: 1 + Numbering Style: 1, 2, 3, ... + Start at: 2 + Alignment: Left + Aligned at: 0 cm + Indent at: 0.79 cm

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545 hindcasts driven by seasonal <u>climate meteorological</u> predictions (Arnal et al., 2018; Bazile et al., 2017; Greuell et al., 2019). Hence, better <u>water qualitylake</u> forecasting skills could likely be achieved by simply forcing our models with climatology. Our results partly fit with these findings, as the skill of S-SA hindcasts for selected windows of opportunity were higher than the original hindcasts (Fig. <u>43a</u>, h–k). These S-SA hindcasts are similar to climatology-driven hindcasts, although they are associated with higher uncertainty since they are driven by random SEAS5 data and should therefore be regarded as a minimum forecasting potential. For some windows of opportunity, however, SEAS5 was a significant source of <u>predictability-predictive skill</u> (Fig. <u>43b</u>, f, g and l). In those cases, only an improvement in SEAS5 forecasting skill is likely to improve <u>water qualitylake</u> forecasting skill since most of the output variables presented here showed sensitivity to one or two input variables (Fig. <u>65</u>).

555 4.2 Limitations and implications for seasonal water qualitylake forecasts

One apparent limitation of our study is the use of reanalysis weather data and pseudo-observations as inputs and benchmark <u>impact-output</u> variables. Using pseudo-observations for skill assessment is a common methodology in streamflow forecasting studies (Alfieri et al., 2014; Wood et al., 2016) and it offers the opportunity to investigate the relationship between forecasting skills, initial and boundary conditions, while putting less emphasis on model errors and biases (Harrigan et al., 2018). Working

- 560 with reanalysis weather data generates a less site-specific workflow and removes difficulties associated with dealing with temporal and spatial heterogeneity in observed data. Nevertheless, here we also evaluate the forecasting skill against catchment and lake observations when possible (Table <u>75</u>) and show that most of the windows of opportunity reported for water temperature held while those for discharge are no longer significant compared to observations. This discrepancy between discharge and water temperature can be related to the fact that discharge tends to be more variable than water temperature,
- 565 with short-lived high peaks which are difficult to model. The catchment models therefore performed less well than the lake models. This further suggests that evaluation against observations is likely more important for discharge than for water temperature.

Given that inertia and integration over time were the dominant sources of predictability-predictive skill at Sau reservoir and Lake Vansjø, useful hindcasts could already be issued without the use of SEAS5 data. In fact, our workflows show limited

sensitivity to boundary conditions over the target season. Hence, future workflows should use selected climatology as forcing data over the target season, in addition to (or instead of) seasonal meteorologicalelimate prediction. This benchmark forecasting workflow with climatology will likely yield similar or more skillful forecasts, as well as being less time-consuming to set up. Indeed, even with randomly years from the selected SEAS5 data, which can be seen as a highly uncertain climatology, some windows of opportunity are more skillful than with the correct SEAS5 data (Fig. <u>43</u>). Nevertheless, if seasonal meteorologicalelimate prediction products become more skillful, they will likely be a real asset for water qualitylake seasonal forecasting enabling additional skills through interactions over time.

Formatted: Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.63 cm + Indent at: 1.9 cm State-of-the-art modeling practices typically involve calibrating hydrologic and lake models against daily observations. Nevertheless, daily observations of water quality are often not available or only cover a fraction of the time of interest. Table <u>7</u>5 illustrates the challenges related to data coverage and model evaluation where many calibration and validation statistics could not be estimated because of the lack of observations. In addition, calibrating to daily data prioritizes model parameterizations which are able to capture daily variability, but not necessarily seasonal or interannual variability, which are more relevant for seasonal forecasting. Calibrating the hydrologic and lake models using seasonal means or medians, in combination with daily data, could solve the observation coverage issue while improving seasonal predictive skill. Nevertheless, ones need to ensure that the seasonal averages are calculated from representative and well-distributed datasets.

For Lake Vansjø, this would not have solved the lack of observations in Spring for example, because observations only cover April and May. For Sau and Wupper reservoirs, on the other hand, this would have been possible and potentially improve predictive skills. In any case, having access to more complete, long-term and systematic observations on water temperature, inflow and outflow discharge, including abstraction and over- flows for reservoirs, would facilitate robust model calibration and validation, and likely model predictive skills. The skill of water quality forecasting tools heavily depends on observation source availability. Hence, continued efforts should be put on ensuring that observational programs are suited to providing the

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5 Conclusion

information needed by our models (Robson, 2014).

Water qualityLake seasonal forecasts could provide valuable knowledge for water managers to preserve drinking water reserves, as well as ecological and recreational services under increasing pressures from water demand and climate change.
 Nevertheless, their use is still limited in the water sector. Here we unravel the source of predictability predictive skill of water qualitylake seasonal hindcasts at four case-studies across Europe and in Australia, including inflow discharge, surface and bottom water temperature as well as ice-off dates. Through sensitivity analyses, we contribute to the demystification of water qualitylake forecasting tools with the long-term objective of facilitating their utilization in the water sector. In Spain, where the seasonal climate-meteorological predictions have negligible skill, the source of predictability-predictive skill is mainly
 catchment and lake inertia. In Norway, where some seasonal meteorologicalelimate predictions are skillful, the predictability predictive skill is partitioned-coming from, in decreasing order of importance, between inertia, time- and across-variable integration of climate signals through catchment processes, and seasonal elimate-meteorological predictions over the target season (SEAS5). In Norway, skillful SEAS5_meteorological forecasts -hindcasts over some targetspecific seasons likely contribute to sustaining the predictability-predictive skill from interaction effects from antecedent conditions through to the

Despite its central role in the probabilistic nature of the forecasting workflow, SEAS5 <u>meteorological forcing</u> data contributes to a limited extent to the <u>predictabilitypredictive skill</u>, and often reduces the performance of the hindcasts. Hence, our findings suggest that using a <u>climatology probabilistic ensemble catchment-lake driven</u> forecast <u>without SEAS5 forcing data</u> is currently

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⁶⁰⁵ target season.

likely to yield higher quality forecasts, as demonstrated by hindcasts driven with randomly selected SEAS5 data. Nevertheless, upon skill improvement of the seasonal climate meteorological forecasts, a small step would be needed to provide more skillful 610 water qualitylake forecasts for better water management. Index of abbreviations (in order of appearance) SEAS5: seasonal meteorological forecast dataset from the European Centre for Medium Range Weather Forecasts. ERA5: meteorological reanalysis dataset from the European Centre for Medium Range Weather Forecasts. 615 NSE: Nash–Sutcliffe efficiency coefficient KGE: Kling-Gupta efficiency coefficient RMSE: Root-mean squared error Lake_PO: Lake pseudo-observations of water temperature, inflow discharge and ice-off produced with coupled catchment and lake models forced with ERA5 meteorological data. 620 Lake_F: Seasonal lake hindcasts of water temperature, inflow discharge and ice-off produced with coupled catchment and lake models forced with SEAS5 meteorological data (25 members). M0: First lead month M1-M3: Month 1 to month 3 of the lake forecast, i.e., target season of the lake forecasts. ROCSS: Relative Operating Characteristic Skill Score 625 RPSS: Ranked Probability Skill Score FRPSS: Fair (or unbiased) RPSS ROCSS_{original}: ROCSS for Lake_F as compared to reference forecast based on climatology from Lake_PO. ROCSS_{Obs}: ROCSS for Lake_F as compared to reference forecast based on local observations. SA: Sensitivity analysis, Formatted: English (United States) Formatted: English (United States) 630 S-SA: Sensitivity analysis of Lake_F to boundary conditions over the target season (M1-M3). Formatted: English (United States) W-SA: Sensitivity analysis of Lake_F to boundary conditions over the warm-up period W+M0-SA: Sensitivity analysis of Lake F to boundary conditions over the period covering the warm-up and first lead month ROCSS_S: ROCSS for Lake_F following S-SA as compared to reference forecast based on climatology from Lake_PO ROCSS_W: ROCSS for Lake_F following W-SA as compared to reference forecast based on climatology from Lake_PO 635 $ROCSS_{W+M0}$: ROCSS for Lake_F following W+M0-SA as compared to reference forecast based on climatology from Lake PO OAT-SA: One at a time sensitivity analysis PPCC: Partial correlation coefficient airT: Surface air temperature

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airP: Surface air pressure

 cc: Cloud cover

 hum: Relative humidity (or dew-point temperature)

 sw: short-wave radiation

 lw: downwelling long-wave radiation

 645
 U: U-component of wind speed

 V: V-component of wind speed

 p: Precipitation

Computer code and models

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650 Computer models used in this study are open-source and links to original resources are described here: https://nivanorge.github.io/seasonal_forecasting_watexr/. All codes for running the models, processing input and output data, as well as the input and output data files are available here: https://github.com/NIVANorge/seasonal_forecasting_watexr.

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Author contributions

RM, LJ-B, EdE, EJ, KR, LdvL, M-DF, and SH designed the study and provided guidance on modelling and forecasting approaches. FC, LJ-B, MNO, JS, DM, MS, AF, and TM contributed to the modelling, forcing data pre-processing and forecasting. FC, DM, MS, AF performed the sensitivity analyses. FC drafted the manuscript. All authors edited the manuscript.

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