



1	Investigating the effects of herbaceous root types on the soil
2	detachment process at the species level
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8 9	HIGHLIGHTS
10	The effects of plant roots on soil detachment were detected at the species level.
11	The efficiency of a fibrous root system in reducing soil detachment is 25% higher than
12	that of a tap root system.
13	Root length density can effectively reflect the effects of root type on soil detachment.

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## 15 Abstract

16 The changes in soil properties and root traits caused by plant growth might have great effects 17 on the process of soil detachment by overland flow. On this basis, two typical herbaceous plants, 18 Bothriochloa ischcemum (Linn.). Keng (BI; fibrous root system) and Artemisia vestita Wall. ex Bess (AG; tap root system), from the Loess Plateau were studied for one year under six planted densities 19 20 of 5 plants m<sup>-2</sup>, 10 plants m<sup>-2</sup>, 15 plants m<sup>-2</sup>, 20 plants m<sup>-2</sup>, 25 plants m<sup>-2</sup>, and 30 plants m<sup>-2</sup> to 21 determine how the soil detachment rate responds to soil properties and plant root traits. In total, 24 22 steel tanks were planted, and two plots were used as bare soil controls. Their soil detachment rates were tested under a constant overland flow (1.51 s<sup>-1</sup>) on a 26.2% slope. The results showed that the 23 soil detachment rate under the six planted densities ranged from 0.034 kg m<sup>2</sup> s<sup>-1</sup> to 0.112 kg m<sup>2</sup> s<sup>-1</sup> 24 for BI and was ranged from 0.053 m<sup>2</sup> s<sup>-1</sup> to 0.132 m<sup>2</sup> s<sup>-1</sup> for AG, which all greatly reduced soil 25 26 detachment rate and were 68.17% to 92.33% and 69.20% to 87.27% less than that of the control. In 27 general, BI was more effective in reducing the soil detachment rate than AG, achieving a mean soil detachment rate that was 23.75% lower. With increasing plant density, the soil detachment rate 28 29 decreased as a power function ( $R^2 = 0.23$ , p < 0.01). The overland flow hydraulic characteristics, 30 soil properties and root traits influenced by plant density were positively or negatively correlated with the soil detachment rate. Specifically, the soil detachment rate decreased with velocity, bulk 31 32 density, root length density, and increased with shear stress and the Darcy-Weisbach friction factor as power or exponential functions ( $\mathbb{R}^2$  ranged from 0.16 to 0.54, p < 0.01). On this basis, the soil 33 detachment rate  $(D_r)$  can be satisfactorily estimated by the overland flow velocity (v), soil bulk 34 density (BD) and root length density (RLD) as a power function ( $Dr = 5.636\nu^{0.118} \times BD^{-19.917} \times D^{-19.917}$ 35  $RLD^{-0.170}$ ;  $R^2 = 0.58$ ; NSE = 0.78; p < 0.01). 36 Key words: soil detachment rate, root length density, overland flow, tap root system, fibrous root 37

38 system, Loess Plateau





# 39 1 Introduction

40 Soil erosion is a serious threat to land productivity and sustainability in both 41 natural and human-managed ecosystems (Su et al., 2014; Fu et al., 2000; Li et al., 2015). Traditionally, soil erosion is affected by hydrology, soil, and vegetation (Foster, 1982), 42 for which conservation tillage, vegetation and engineering measures are used to control 43 soil loss (Rickson, 2014; García-Ruiz et al., 2015). The process of soil erosion as a 44 45 result of rainfall or overland flow includes soil detachment (Wang and Zhang, 2017), sediment transport, and deposition (Ellison, 1947; Wu et al., 2018). Nearing et al. (1999) 46 defined soil erosion as the dislodging of soil materials from their current place at a given 47 time and area. soil detachment rate is a key parameter for both conceptually and 48 physically based soil erosion models, as changes in soil detachment rate and sediment 49 load determine whether the soil detaches or deposits (Nearing et al., 1989). For instance, 50 51 in the conceptually based Areal Nonpoint Source Watershed Environment Response Simulation model (Beasley et al., 1980), and in the physically based models of Water 52 Erosion Prediction Project model (Nearing et al., 1999) and European Soil Erosion 53 Model (Morgan et al., 1998). In these soil erosion and sediment transport models, the 54 hydrological part of the runoff process is simulated, which is the prerequisite or driving 55 force for the occurrence of soil detachment. 56

The hydraulic characteristics of overland flow, which is affected by the 57 hydrological elements of precipitation, vegetation water holding, soil infiltration, and 58 evaporation, have a significant effect on soil detachment (Jonge L, et al., 2017). In 59 general, the soil detachment rate increases with flow discharge, runoff depth, or flow 60 61 velocity as a linear or power function (Zhang et al., 2002). In addition, the hydraulic 62 parameters of shear stress, stream power, and unit stream power are normally used to 63 simulate soil detachment processes. With increasing shear stress, stream power, and 64 unit stream power, the soil detachment rate decreases (Nearing et al., 1991; Hairsine and Rose, 1992a, b; Zhang et al., 2002; Morgan et al., 2002). The effects of runoff 65 hydraulics characteristics on soil erosion are generally detected under given conditions. 66





67 In fact, runoff hydraulic parameters vary in the process of soil scouring by overland flow, and these variations might be more closely related to soil detachment during soil 68 erosion. However, existing studies have not sufficiently determined these relationships. 69 70 Soil property is an inherent characteristic of soil mass and determines the ability of soil to resist overland flow detaching. The soil texture or type of soil particle distribution, 71 72 soil physical property of bulk density, cohesion, aggregate stability, soil hydrological properties of infiltration capacity, and soil organic matter all affect the soil detachment 73 process (Su et al., 2014; Knapen et al., 2007; Ye et al., 2017). In addition, soil moisture 74 75 is critical for estimating the infiltration rate and quantity of runoff generated during rainfall, which greatly affects the soil detachment process (Lee and Kim; 2021). Overall, 76 with increasing clay content, bulk density, cohesion, water stable aggregates, aggregate 77 median diameter, and organic matter content (Wang et al., 2018a; Vannoppen et al., 78 2017), and decreasing silt content and soil moisture, the soil detachment rate decreases 79 80 (Knapen et al., 2007; Nachtergaele and Poesen, 2002).

81 Vegetation can effectively reduce soil erosion and is often used as a biological 82 measure to control soil and water loss (Labriere et al., 2015; Liu et al., 2020; Burylo et 83 al., 2014). The reduction in soil detachment as a result of vegetation is at least half attributed to the plant root system (Wang et al. 2014). The primary mechanism for plant 84 85 roots to reduce soil detachment is the root system binding to the soil mass and thereby reinforcing the soil mass, which is called a root binding effect (De Baets et al., 2006; 86 Knapen et al., 2007; Herbrich et al., 2018). In general, soil has high compression 87 strength and low tensile strength, whereas plant roots exhibit the opposite properties 88 89 (Simon and Collison., 2001). Thus, when a root interweaves into a soil mass during 90 plant growth, the soil-root matrix has both high compression strength and tensile strength, intensifying the soil's resistance to flowing water (Xin et al., 2016). Roots also 91 exudate secretions to stick to soil mass, which contributes to root bonding effects (Godo 92 et al., 1980). The effects of plant roots on soil erosion are also varied due to the root 93 types. For example, plants with fibrous root systems generally have many fine roots on 94 the topsoil, giving them an erosion-reducing potential that is much more significant 95





than that of tap root systems, which have large roots and fewer fine roots (Mamo and
Bubenzer, 2001a, b). Wang and Zhang (2017) found that the soil detachment rate in
grasslands with mainly tap root systems was as much as 14.7 times higher than that of
grasslands with mainly fibrous root systems.

The effects of root type differences on reducing soil detachment are reflected by 100 the root traits of biomass and root morphology, including root mass density, root 101 diameter, root length density, root surface area density, and root volume density. 102 Previous studies have mostly used root mass density to quantify the effect of roots on 103 soil detachment as it is easy to test. These studies show that the soil detachment rate 104 decreases exponentially with increasing root mass density (Gyssels and Poesen, 2003). 105 Root biomass is often highly correlated with morphological traits, enabling it to 106 represent, to a large extent, certain morphological traits. These indexes have a good 107 quantitative relationship with soil erosion. However, root biomass does not reflect soil 108 109 erosion caused by root morphology well when the plant species vary and their root morphological traits of length, thickness, number, surface area, and volume are 110 significantly different, especially at the species level. Therefore, root morphological 111 112 traits should also be considered when simulating soil erosion, as they better indicate how plant roots affect the process of soil erosion. Previous studies have shown that the 113 114 soil detachment rate decreases exponentially with root length density, root surface area 115 density, and root volume density (De Baets et al. 2006; Zhou and Shang Guan. 2005; Ye et al. 2017). Plant roots also extrude soil masses and increase soil porosity during 116 117 growth, and these effects are more apparent when the root diameter increases (Simon 118 and Collison, 2001). Nevertheless, no significant relationship has been found between root diameter and the soil erosion rate (Vannoppen et al., 2015). According to the 119 effective root density, which is a different expression of root diameter that refers to the 120 number of roots with a diameter less than 1 mm in a certain soil cross-section, a negative 121 correlation was found between root diameter and soil erosion rate (Li et al., 1991). In 122 addition, plant root morphological traits are used in hydraulic root architecture models 123 for plants that uptake water from the soil, indirectly influencing the soil erosion process 124





125 (Gayler et al., 2013). Whether the root system directly affects soil erosion or indirectly affects soil erosion. Their effects of root morphological traits on soil erosion are obvious. 126 Previous studies have examined the efficacy of plant root systems in reducing soil 127 erosion and quantified the relationship between root traits and soil erosion rate based 128 on root type and distribution (including the indirect effects on soil erosion via changing 129 soil properties). However, most of these studies are still staying at vegetation 130 community of different land use type. Thus, the effects of different species on reducing 131 soil erosion remain unclear. To date, some hydraulic root architecture models have 132 considered root water uptake and soil water distribution at the species level, which also 133 affect the runoff process (Quijano et al., 2015). Studying the effects of plant root system 134 on soil erosion at the species level is necessary to determine the mechanism of regional 135 vegetation measures on controlling soil erosion. Although little work has been 136 conducted to specifically study the effects of plant root systems on soil erosion at 137 138 species level, soil samples are usually collected from natural grasslands and inevitably 139 contain surrounding plant roots, which means the sample likely includes both plants 140 with a tap root system and those with a fibrous root system. These studies seem to return to the community level of previous studies. Therefore, further studies about the 141 effectiveness of plant root system in reducing soil erosion at species level was still 142 143 needed, which would have great advantages for clarifying the effects of plant root system on controlling soil erosion and improving the accuracy of soil erosion model. 144

The Loess Plateau is an ecological security barrier of China, and is one of the most 145 severely eroded regions in the world, with mean annual erosion rates ranging from 5000 146 Mg km<sup>-2</sup> yr<sup>-1</sup> to 10000 Mg km<sup>-2</sup> yr<sup>-1</sup> over the past twenty years.(Fu et al., 2000; Zheng, 147 2020). To control soil erosion, the "Grain for Green" plan was implemented in 1999 148 and vegetation began to succeed naturally. Meanwhile, grassland became the primary 149 land use type (Li et al., 2015) and has variety of vegetation community. Among the 150 species present, the zonal species is BI and the dominant species is AG. Therefore, it is 151 necessary to determine the mechanism of a varied plant root system on soil erosion 152 processes at the species level on the Loess Plateau, especially regarding the essential 153





154 vegetation species. This research is also important for studying the response of hydrology processes to soil erosion induced by vegetation recovery. Therefore, the 155 zonal species of BI with a fibrous root system and the dominant species of AG with a 156 tap root system on the Loess Plateau were selected and planted under six densities to 1) 157 illustrate varying soil properties and root traits and the corresponding variation in 158 hydraulic characteristics of overland flow and soil detachment rates; 2) study the effects 159 of hydraulic characteristics, soil properties, and root traits on soil detachment processes; 160 and 3) estimate the soil detachment rate by developing a model based on hydraulic 161 parameters, soil properties, and plant root traits. 162

## 163 2 Materials and methods

### 164 **2.1 Experimental conditions and treatment design**

The experiment was conducted in the Rainfall Hall of the State Key Laboratory of 165 Soil Erosion and Dryland Agriculture on the Loess Plateau, Institute of Soil and Water 166 Conservation, Ministry of Water Resources & Chinese Academy of Sciences at 167 YangLing, Shaanxi Province. Clean water and other relevant facilities were provided 168 for the scour process. To detect the effects of plant root systems on the soil detachment 169 process, two typical herbaceous plants, BI and AG, were planted in steel tanks under 170 six different planted densities of 5 plants m<sup>-2</sup>, 10 plants m<sup>-2</sup>, 15 plants m<sup>-2</sup>, 20 plants m<sup>-</sup> 171 <sup>2</sup>, 25 plants m<sup>-2</sup>, and 30 plants m<sup>-2</sup>. These densities represent differences in root traits 172 173 and their corresponding effects on soil properties. In addition, a bare soil was selected as the control, representing the response of the loessal soil to the soil detachment 174 process without the influence of herbaceous plants. 175

### 176 2.2 Planting herbaceous plants

Herbaceous plants were planted in steel tanks under six different plantingdensities, for which each planting density was repeated twice. In total, twenty-four





179 steel tanks were used for planting. Each steel tank was 2.0 m in length, 0.5 m in width and 0.5 m in depth. Before planting, the steel tanks were filled with loessal soil that 180 was collected from the top soil (0 to 40 cm) of an abandoned farmland in Ansai County 181 of the Shaanxi Province. The soil organic matter content was 3.23 g kg<sup>-1</sup>, pH was 8.4, 182 and the particle size distributions of the sand, silt, and clay contents were 31.16%, 183 59.31%, and 9.53%. Before filling with soil, the slope of the steel tanks was adjusted 184 to zero, and plant roots and other debris were removed from the soil using a 2 mm 185 sieve. For the process of soil filling, 5 cm of sand was laid at the bottom of the steel 186 tanks to ensure that water could penetrate smoothly and evenly. To ensure uniformity 187 in the soil bulk density in the steel tanks, the total soil weight was calculated by the 188 fill volume and the designed bulk density (1.2 g cm<sup>-3</sup>). Then, the prepared soil was 189 divided 4 times to fill the steel tanks. The thickness of the filled soil in each tank was 190 10 cm. For each layer, the soil surface was raked lightly before packing the next layer 191 192 to eliminate discontinuity.

For each steel tank, BI and AG were seeded by digging 3 mm apertures in the 193 surface soil at six plant densities. After planting, the plants were watered every two days 194 and the water amount of each tank was 16 mm. To prevent the surface from forming a 195 physical crust, water was applied using a sprayer. Watering ceased when the plants 196 appeared and were left to grow naturally. Weeds were hoed every 10 days during plant 197 growth. Both types of plants experienced an entire growth period from the beginning 198 of April to the end of September (totaling 153 days). During vegetation growth, the 199 active accumulated temperature in the study area was 2184 °C, and the total rainfall 200 amount was 517 mm. For the bare soil control, all the measures were kept the same 201 202 except no vegetation was planted.

### 203 2.3 Soil detachment rate measurement process

Each planted steel tank was adjusted to a 26.2% slope and scoured by a constant overland flow  $(1.5 \ 1 \ s^{-1})$  to obtain the soil detachment rate. To study the effect of different root systems on the soil detachment rate, the aboveground part of the plants





207 under six plant densities was removed, leaving only the root system for the scouring test. A buffering tank with the length in 0.5 m, width in 0.2 m and height in 0.5 m was 208 fixed on top of each steel tank to dissipate the flow energy, allowing water to overflow 209 210 smoothly and uniformly into the steel tank. The overland flow rate and the slope gradient of the planted steel tank were adjusted to the designed value before scouring. 211 A plastic film was laid on the steel tank to make sure the soil was not scoured by 212 213 overland flow when calibrating the flow rate, and the difference in flow rate between the designed and practice values was controlled to be within 2%. During scouring, 214 clean water enters the buffering tank and then enters the test soil tank. The velocity of 215 the water flow was measured at a position of 1 m in the middle of the test steel tank 216 using a fluorescent dye technique and was modified by a reduction factor according 217 to certain flow regimes (Luk and Merz, 1992). The flow velocity and water 218 temperature were measured every 5 s. When measuring the flow velocity, runoff and 219 220 sediment samples were collected using a plastic bucket at sampling points below the catchment area. To reduce the potential effects of soil sampling on experimental results, 221 testing was generally stopped at a certain scouring depth of 2 cm (Nearing et al., 1991; 222 223 Zhang et al., 2002). Based on the pretest, the experiment lasted for 75 s. After scouring, 224 the collected runoff and sediment were clarified, and the sediment was dried at 105 °C for 24 h and weighed to calculate the soil detachment rate (Dr; kg m<sup>-2</sup> s<sup>-1</sup>). 225

$$Dr = \frac{M}{At},$$
 [1]

where M is dry weight of the sediment (kg), A is scour area (m<sup>2</sup>), and t is time to receive
sediment (s).

The tested mean velocity was used to compute the shear stress (τ, Pa) and Darcy–
Weisbach friction as follows:

 $\tau = \rho ghs, \qquad [2]$ 

$$h = \frac{Q}{VB},$$
 [3]

$$f = \frac{8ghs}{v^2},$$
 [4]

234 where  $\rho$  is the water density (kg m<sup>-3</sup>), g is the gravitational acceleration (m s<sup>-2</sup>), h is





- 235 the overland flow depth (m), s is the tangent of the slope (m  $m^{-1}$ ), Q is the flow
- 236 discharge  $(m^3 s^{-1})$ , v is the mean flow velocity  $(m s^{-1})$ , and B is the steel tank width

237 (0.5 m).

## 238 2.4 Soil properties and root parameter measurements

After scouring for five days, the soil properties were measured via "S" type 239 240 sampling. Specifically, the bulk density was measured using a steel ring 5 cm in height and 5 cm in diameter. Soil cohesion was determined using an Eijkelkamp pocket vane 241 242 tester. Soil aggregation was measured via a series of sieves with bore diameters of 0.25243 mm, 0.5 mm, 1 mm, 2 mm, and 5 mm, and the soil organic matter content was measured using potassium dichromate. Each soil property measurement was repeated in triplicate 244 for each steel tank, and the soil erodibility was calculated based on the soil organic 245 246 matter content as:

247 
$$K = \left\{ 0.2 + 0.3e^{\left[ -0.0256S_1 \left( 1 - \frac{S_2}{100} \right) \right]} \right\} \left[ \frac{S_2}{n + S_2} \right]^{0.3} \left\{ 1 - \frac{0.25C}{C + e^{(3.72 - 2.95C)}} \right\} \left\{ 1 - \frac{0.7S_3}{S_3 + e^{(-5.51 + 22.9S_3)}} \right\},$$
[5]

where S<sub>1</sub> is the sand content (%), S<sub>2</sub> is the silt content (%), n is the clay content (%), C is the soil organic matter content (g kg<sup>-1</sup>), and S<sub>3</sub>= $1 - \frac{S_1}{100}$ .

250 After measuring the soil properties, the plant roots in each steel tank were washed. The root length (RL, cm) was measured using a steel ruler (0.1 cm) and root diameter 251 (RD, mm) was measured using electronic Vernier calipers (0.01 mm). Then, the roots 252 were dried at 65 °C for 24 h and weighed to obtain the root mass (RM, kg). The root 253 diameter mean value was weighted by root length. The root surface area (RSA, m<sup>2</sup>) and 254 volume (RV, m<sup>3</sup>) were also calculated according to root length and diameter. Based on 255 the steel tank volume, the soil detachment capacity (0.5 m<sup>3</sup>), the root length density 256 (RLD, km m<sup>-3</sup>), root surface area density (RSAD, m<sup>2</sup> m<sup>-3</sup>), root volume density (RVR, 257  $m^3 m^{-3}$ ), and root mass density (RMD, kg  $m^{-3}$ ) were calculated as: 258

259

$$RLD = \frac{RL}{V},$$
 [6]

$$RSAD = \frac{RSA}{V},$$
 [7]





$$RVD = \frac{RV}{V},$$
 [8]

$$RMD = \frac{RM}{V}.$$
[9]

### 265 2.5 Statistical analysis

266	Pearson's correlation analyses ( $p < 0.05$ ) and fitted curves were used to analyze
267	and quantify relationships between the soil detachment rate and hydraulic parameters,
268	soil properties, and root traits. In addition, a regression analysis was used to establish
269	a model of soil properties, root traits, and the soil detachment rate. All analyses were
270	conducted using SPSS 22.0 and Origin 2018 software.

## 271 3 Results

### 272 **3.1 Variation in soil properties under two grasslands**

273 The soil properties of bulk density, cohesion, water stable aggregate, soil organic matter, and soil erodibility (calculated based on the EPIC model) varied greatly between 274 the six planting densities (Table 1). In particular, no significant difference was found 275 between bulk density and plant density, maximum water stable aggregate values 276 occurred when the plant density ranged from 10 plants m<sup>-2</sup> to 25 plants m<sup>-2</sup>, and the soil 277 organic matter content increased with increasing plant density. The cohesion and soil 278 erodibility of the BI were high when the plant density ranged from 10 plants m<sup>-2</sup> to 25 279 plants m<sup>-2</sup>. Meanwhile, the cohesion of AG increased with increasing plant density, and 280 no significant relationship was observed between soil erodibility and plant density. In 281 general, BI, which has a fibrous root system, had high bulk density, cohesion, water 282 283 stable aggregate contents, and soil organic matter content, and low soil erodibility. 284 Specifically, these soil properties of BI were, respectively, 1.01, 1.02, 1.11, and 1.73 285 times greater and 7.69% less than those of the AG. Herbaceous plant growth increased 286 soil cohesion, water stable aggregate contents, and soil organic matter, while it





decreased the soil bulk density and soil erodibility. The soil bulk density was 1.23 g cm<sup>-3</sup> for BI and 1.22 g cm<sup>-3</sup> for, which are 3.15% and 3.94%, respectively, less than that of the control. The soil cohesion values of BI and AG were near 4.60 kPa, and were 1.07 and 1.06, respectively, times greater than that of the control. The soil organic matter content was 10.69 g kg<sup>-1</sup> for BI and 6.19 g kg<sup>-1</sup> for AG, which are 3.44 and 1.99 times, respectively, greater than that of the control. Finally, soil erodibility was 0.36 for BI and 0.39 for AG, which are 10% and 2.5%, respectively, less than that of the control.

## 294 **3.2 Differences in root traits between two herbaceous plants**

295 The ratios of the maximum to minimum for the root traits of root diameter, root 296 length density, root surface density, root volume density, and root mass density varied between 4.89 and 110.58, exhibiting significant differences in these root traits under 297 six plant densities (Figure 1). With increasing plant density, changes in the root 298 299 diameter of the two herbaceous plants were very small and the difference between the maximum and minimum was less than 0.1 mm. Other root traits, including root length 300 density, root surface area density, root volume density, and root mass density, had high 301 values when the plant density ranged from 15 plants m<sup>-2</sup> to 25 plants m<sup>-2</sup>. These root 302 traits also showed significant differences between BI and AG (Figure 1). Specifically, 303 BI, which has a fibrous root system, had relatively high mean root length density (19.97 304 km m<sup>-3</sup>), root surface area density (9.68 m<sup>2</sup> m<sup>-3</sup>), and root mass density (1.55 kg m<sup>-3</sup>) 305 values, which were 3.83, 1.25, and 1.31, respectively, times greater than that of AG, 306 which has a tap root system. Meanwhile, the mean root diameter and root volume 307 density values of BI were low, and were 79.56% and 82.09% less than that of AG, 308 respectively. Thus, remarkable relationships were observed among plant root traits. In 309 particular, the root length density, root mass density, and root surface area density were 310 positively correlated (p < 0.01; Table 2). Significant relationships among the root traits 311 312 and soil properties were also detected (Table 2). For example, root mass density and root surface area density were positively correlated to soil cohesion (p < 0.05), and root 313





- length density was positively correlated with soil cohesion and soil bulk density (p < 1
- 315 0.05).

# 3.3 Variation in hydraulic characteristics and soil detachment rate between two herbaceous plants

318 The hydraulic parameters of velocity, shear stress, and Darcy-Weisbach friction 319 factor varied significantly according to plant density (Table 3). The velocity of BI was the smallest when the plant density was 15 plants m<sup>-2</sup>, whereas the smallest velocity for 320 AG occurred at a plant density of 5 plants m<sup>-2</sup>. For both grasslands, minimum shear 321 stress and Darcy-Weisbach friction factor values occurred when the plant density 322 ranged from 15 plants m<sup>-2</sup> to 25 plants m<sup>-2</sup>. In general, BI had a high velocity, and low 323 324 shear stress and Darcy-Weisbach friction factor. The hydraulic parameters of BI ranged 325 from 1.02 to 1.56 times greater, and from 1.51% to 40.31% and 5.81% to 78.15% less 326 than that of those of AG, respectively.

In addition, the soil detachment rates varied significantly according to planting 327 densities for both herbaceous plants (Figure 2). Regarding BI, the soil detachment rates 328 ranged from 0.034 kg m<sup>2</sup> s<sup>-1</sup> to 0.112 kg m<sup>2</sup> s<sup>-1</sup> with a mean value of 0.061 kg m<sup>2</sup> s<sup>-1</sup>, 329 while the soil detachment rate of AG ranged from 0.053 kg m<sup>2</sup> s<sup>-1</sup> to 0.132 kg m<sup>2</sup> s<sup>-1</sup> 330 with a mean value of 0.080 kg m<sup>2</sup> s<sup>-1</sup>. Compared with the control, the soil detachment 331 rates of these grasslands were 68.17% to 92.33% and 69.20% to 87.27% lower, 332 respectively. In general, the effects of BI on reducing the soil detachment rate was much 333 more effective than AG as its mean soil detachment rate was 23.75% lower. With 334 increasing plant density, the soil detachment rate decreased as a power function (Figure 335 3, p < 0.01). Regarding the hydraulic parameters of velocity, shear stress, and Darcy– 336 Weisbach friction factor, the velocity was negatively correlated with the soil 337 detachment rate, exhibiting a power function relationship. Conversely, the shear stress 338 and Darcy-Weisbach friction factor were positively correlated with soil detachment rate. 339 With increasing shear stress and Darcy-Weisbach friction factor, the soil detachment 340 rate increased as a power function (Figure 4,  $R^2$  ranged from 0.16 to 0.26, p < 0.01). 341





342 Regarding soil properties, bulk density was negatively correlated with the soil detachment rate. Specifically, with increasing bulk density, the soil detachment rate 343 increased as a power function (Figure 5,  $R^2 = 0.54$ , p < 0.01). Finally, regarding root 344 traits, root length density was negatively correlated with the soil detachment rate, 345 exhibiting an exponential function relationship (Figure 6,  $R^2 = 0.24$ , p < 0.01). On this 346 basis, the soil detachment rate (D<sub>r</sub>) could be estimated by the velocity (v), soil bulk 347 density (BD), and root length density (RLD) as a power function ( $R^2 = 0.58$ , p < 0.01; 348 Eq. [10]). 349

350 
$$Dr = 5.636\nu^{0.118} \times BD^{-19.917} \times RLD^{-0.170},$$
 [10]

where the standardized coefficients of v, BD, and RLD are 0.049, -0.352, and -0.572, respectively. The performance of Eq. [10] seemed satisfactory as it had a determination coefficient ( $\mathbb{R}^2$ ) of 0.58 and a Nash–Sutcliffe efficiency coefficient (NSE) of 0.78 (Figure 7).

## 355 **4 Discussion**

## 356 4.1 Effects of hydraulic characteristics on soil detachment

Overland flow is the driving force of soil erosion and, in general, its hydraulic 357 characteristics significantly affect soil detachment. Flow velocity is commonly used to 358 reflect the speed of flowing water. A slow velocity refers to a low kinetic energy 359 360 overland flow, which would increase the hydraulic radius, thereby increasing shear stress. Besides, the viscosity and friction increase when flow velocity slows, which 361 increases the Darcy-Weisbach friction factor. Gong (2011) found that under slow flow 362 velocity, large shear stress, and high Darcy-Weisbach friction factor conditions, soil 363 erosion is reduced. Contrary to previous studies, this study found that the soil 364 detachment rate decreased with flow velocity as a power function (Figure 4). This is 365 mainly because the influence of velocity on soil detachment is relatively weak as 366 367 compared with the influence of soil properties and plant root system. Thus, using the





368 flow velocity to determine the soil detachment rate may not be appropriate. As given by Eq. [10], when hydraulic characteristics, soil properties, and root traits are used in 369 combination to estimate the soil detachment rate, the results show that the soil 370 371 detachment rate increases with flow velocity. Further, the standardized velocity coefficients given in Eq. [10] were almost an order of magnitude smaller than the bulk 372 density and root length density. This confirms our theory that soil detachment is 373 primarily affected by soil properties and plant root. Both the flow shear stress and 374 Darcy-Weisbach friction factor are mainly affected by the surface resistance of 375 sediment particles and underlying surface roughness. During the process of soil erosion, 376 especially when erosion rill occurs and soil particles become eroded, soil surface 377 undulation increases, thereby increasing the overland flow form shear stress and form 378 resistance. This concept is consistent with our results, which showed that the soil 379 detachment rate increased via power functions with shear stress and Darcy-Weisbach 380 381 friction factor. In addition, the presence of vegetation increased form resistance, which further increased the Darcy-Weisbach friction factor and the soil detachment rate. 382

383

## 384 **4.2 Effects of soil properties on soil detachment rate**

Differences in soil properties reflect the ability of soil mass to resist soil erosion. 385 In particular, bulk density represents the compatibility of soil mass. As bulk density 386 387 increases, soil mass, in general, becomes more compact and soil cohesion improves, making the soil mass more resistant to detach as a result of flowing water (Chen et al., 388 389 2007). Clumping fine soil particles together into firm stable aggregates, which is known as soil aggregation, is a basic unit of soil structure and reflects the stability of soil. Water 390 391 stable aggregates are often used as indicators of soil susceptibility to flowing water 392 erosion. A high number of water stable aggregates would promote soil stability, increase soil resistance to flowing water erosion, and thus reduce the soil detachment rate (Wang 393 394 et al., 2018b). During the formation of soil aggregates, the soil organic matter content improves. Soil organic matter is commonly used to represent soil nutrients (Geng et al. 395 2015). Previous studies indicate that soil organic matter increases adhesion between 396 soil particles, making the soil mass harder to detach (Knape et al., 2007). Our results 397





398 were consistent with previous research, showing that the soil detachment rate is negatively correlated with soil bulk density, cohesion, water stable aggregates, and soil 399 organic matter, and the soil detachment rate decreased with increasing bulk density as 400 a power function. However, the correlations between the soil detachment rate and 401 cohesion, water stable aggregates, and organic matter were not significant. This is 402 probably because the herbaceous plants were only planted for one year, and the 403 formation of soil aggregates generally requires three to five years of vegetation 404 (Semmel et al., 1990). Low soil cohesion, water stable aggregates, and organic matter 405 content lead to weak effects on soil detachment. Meanwhile, soil erodibility refers to 406 soil erosion resistance to flowing water and is calculated using the soil organic matter 407 content and soil particle composition, based on the EPIC model. A high soil erodibility 408 indicates that the soil mass is easily eroded by flowing water. In this study, soil 409 erodibility was positively correlated with the soil detachment rate. Although the 410 411 correlation between the soil detachment rate and soil erodibility was not significant because of the low organic matter content, overall, the plant roots of the herbaceous 412 plants still reduced soil erodibility, thereby reducing soil detachment. Further, 413 414 differences in soil properties were also observed between BI and AG. Compared with AG, BI had high bulk density, soil cohesion, water stable aggregate contents, and soil 415 organic matter, and low soil erodibility, which led to a low soil detachment rate. 416

## 417 **4.3 Effects of root system on soil detachment**

Plant root systems can significantly reduce the soil detachment rate. IN this study, 418 this effect varied between BI and AG because of differences in their root types. For 419 example, BI, which has a fibrous root system, a large number of roots are distributed 420 on the topsoil, making the root system more effective in preventing soil erosion. results 421 were consistent with the previous research (De Baets et al. 2006; Wang et al. 2018b), 422 423 revealing that the soil detachment rate of BI was 23.751% lower than that of AG, which has a tap root system. Previous studies have attributed this difference in the effect of 424 plant root type on soil erosion to the root biomass (Herbrich et al., 2018). A plant root 425





426 system with high biomass indicates that the root system has a strong ability to reinforce the soil, thereby reducing soil erosion (Wang et al., 2021). In this study, soil detachment 427 did not appear to be sensitive to root biomass and no significant relationship was found 428 429 between the soil detachment rate and root mass density. This indicates that root mass density only reflects root biomass and might not explain the difference in root 430 morphological characteristics very well. Thus, the aforementioned difference in soil 431 detachment rate caused by the different root types in BI and AG are actually the result 432 of differences in root morphological traits, including root diameter, root length density, 433 root surface area density, and root volume density (Zhou and Shangguan. 2005). 434 Regarding root diameter, both significantly positive and negative relationships between 435 root diameter and soil detachment rate have been detected in previous studies, 436 indicating the plant root diameter is an important variable for the soil erosion process 437 (De Baets et al., 2007; Ye et al., 2017). However, some studies found no significant 438 439 correlation between root diameter and the soil detachment rate, indicating that their relationship cannot be directly established. Rather, a relationship can only be observed 440 when the root diameter is expressed as the number of plant roots (diameter less than 1 441 442 mm) within a soil cross-section, which was proposed as the effective root density by Li et al. (2015). In our study, no relationship was observed between the soil detachment 443 rate and root diameter. This is probably because the difference between the maximum 444 445 and minimum root diameters was less than 0.1 mm, and this change is too small to reflect the soil detachment rate well. A long root length signifies that more plant roots 446 are interspersed in the soil mass, increasing resistance to overland flow scouring and 447 448 enhancing the resistance of the soil mass. Thus, the soil detachment rate decreases with root length density as a power function (Figure 5). A large root surface area refers to a 449 large contact area between the plant roots and soil, and root volume represents more 450 plant roots grown in the soil, which all indicate that soil stability is strengthened, 451 making it difficult to detach the soil mass via flowing water. Although some previous 452 studies have shown that the soil erosion rate decreases with root surface area density or 453 root volume density, no significant relationships were found between the soil 454





455 detachment rate and root surface area density or root volume density in this study. Regarding root surface area density, because of the poor correlation between root 456 diameter and soil detachment rate, the root surface area density is generally calculated 457 by both root length and root diameter, as reported by previous studies (Manoli et al., 458 2014). For root volume density, a high value does not indicate that the effects of the 459 plant root system on reducing soil erosion are enhanced. Wang et al. (2021) found that, 460 when there is little difference in root volume, an herbaceous plant with a fibrous root 461 system generally has a long root length, which results in a strong ability to bind and 462 bond with the soil mass, making its effects on reducing soil detachment more effective 463 than that of an herbaceous plant with a fibrous root system. In other words, root volume 464 density may not be a good root parameter for reflecting the relationship between plant 465 466 root type and soil detachment.

## 467 5 Conclusions

In this study, we found that the soil detachment rate significantly decreased as a 468 power function ( $R^2 = 0.23$ , p < 0.01), with increasing plant density for two herbaceous 469 plants, becoming 85.80% and 81.19% lower than that of the control for the BI and AG 470 grasslands, respectively. The soil detachment rate also exhibited different behaviors 471 according to two plant root type. In general, BI, which has a fibrous root system, 472 473 effectively reduced the soil detachment rate, achieving a mean soil detachment rate that was 23.75% less than that of AG, which has a tap root system. The hydraulic 474 characteristics of flow velocity, shear stress, and Darcy-Weisbach friction factor were 475 found to be correlated to the soil detachment rate (p < 0.01), which decreased with 476 increasing velocity and increased with shear stress and the Darcy-Weisbach friction 477 factor as power functions (mean  $R^2$  ranged from 0.16 to 0.26, p < 0.01). The effects of 478 soil properties on the soil detachment rate were also varied greatly as a result of 479 differences in plant density and plant species. Specifically, the soil detachment rate 480 decreased with increasing bulk density as a power function ( $R^2 = 0.54$ , p < 0.01). The 481





482 different soil detachment rates caused by root types can be explained well using root traits, including root length density, root surface area density, root volume density, and 483 the root biomass trait of root mass density, which all affected the soil detachment rate. 484 485 In particular, an exponential function was observed between root length density and the soil detachment rate ( $R^2 = 0.24$ , p < 0.01). In general, the soil detachment rate could be 486 estimated effectively using the overland flow velocity, soil bulk density, and root 487 surface length density (  $Dr = 5.636v^{0.118} \times BD^{-19.917} \times RLD^{-0.170}$  ). The 488 performance of the model developed in this study was satisfactory ( $R^2=0.58$ ; 489 490 NSE=0.78).

## 491 **CRediT authorship contribution statement**

Jian-Fang Wang: Conceptualization, Methodology, Field work, Writing. Bing Wang:
Methodology, Field work, Reviewing and Editing. Yan-Fen Yang: Conceptualization,
Methodology, Reviewing and Editing, Supervision. Guo-Bin Liu: Reviewing and
Editing. Feng-Bao Zhang: Reviewing and Editing. Nu-Fang Fang: Reviewing and
Editing.

497 All authors have read and agreed to the published version of the manuscript.

# 498 **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Figure 2 Soil detachment rate (Dr) in different plant densities.







Figure 3 Soil detachment rate (Dr) as a power function of plant density (PD)







Figure 4 Soil detachment rate (Dr) as a power function of velocity (v), shear stress  $(\tau)$ and darcy-weisbach friction factor(f)







Figure 5 Soil detachment rate (Dr) as power function of soil bulk density (BD)







Figure 6 Soil detachment rate (Dr) as exponential function of root length density

(RLD).







Figure 7 Compared with measured soil detachment rate and soil detachment rate



Plant density									
	Bulk density	Cohe	sion	Water stable	e aggregate	Soil organ	ic matter	Soil ero	dibility
plant m <sup>-2</sup>	(g cm-3)	(KF	a)	6)	( 9	(g kg	c <sup>-1</sup> )		
B	I AG	BI	AG	BI	AG	BI	AG	BI	AG
5 1.2	22 1.22	4.18	4.36	1.6	0.63	6.6	4	0.39	0.4
10 1.2	23 1.22	4.83	4.49	1.91	1.19	7.22	5.09	0.39	0.39
15 1.2	24 1.23	4.95	4.58	2.05	0.97	7.69	5.97	0.38	0.39
20 1.2	24 1.22	4.68	4.68	2.23	6.0	12.75	8.11	0.34	0.38
25 1.2	24 1.22	4.72	4.54	2.06	0.48	13.15	6.35	0.34	0.39
30 1.2	23 1.21	4.1	4.68	1.95	0.09	16.75	7.64	0.31	0.38
Bare control	1.27	4.	3	2.8	38	3.3	1	0.	4



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	٨	ч	f	Coh	BD	SWAC	SOC	К	RD	RMD	RLD	RSAD	RVD
RD	-0.717**	$0.656^{**}$	0.697**	0.014	-0.312	-0.114	-0.626	0.192	1				
RMD	0.377	-0.564**	$-0.472^{*}$	$0.617^{**}$	0.379	0.261	0.000	-0.296	-0.148	1			
RLD	$0.700^{**}$	-0.718**	$-0.721^{**}$	$0.440^{*}$	$0.423^{*}$	0.274	0.467	-0.263	-0.685**	$0.654^{**}$	1		
RSAD	0.390	-0.478	$-0.446^{*}$	$0.624^{*}$	0.351	0.005	-0.034	-0.295	-0.037	$0.872^{**}$	$0.562^{**}$	1	
RVD	-0.246	0.116	0.179	0.318	-0.157	0.012	-0.550	-0.050	$0.673^{**}$	$0.412^{*}$	-0.189	$0.510^{*}$	1
Dr	$-0.441^{*}$	$0.535^{**}$	$0.503^{*}$	-0.244	-0.700**	-0.213	-0.443	0.190	0.280	-0.361	-0.560**	-0.351	0.104
Note: * p	<0.05, **	p<0.01, n=	=24										

Note: \* p<0.05, \*\* p<0.01, n=24 Where v, tand f are velocity, shear stress and Darcy-weisbach friction factor of overland flow. Coh, BD, SWAC, SOC and K are soil properties of cohesion, bulk J and the result of t density, root surface area density and root volume density.









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