

1 **Investigation of the functional relationship between antecedent rainfall and the probability**
2 **of debris flow occurrence in Jiangjia Gully, China**

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11 **Abstract**

12 A larger antecedent effective precipitation (AEP) indicates a higher probability of a debris flow
13 (P_{df}) being triggered by subsequent rainfall. ~~There are a number of s~~Scientific topics surrounding
14 this qualitative conclusion that can be raised, including what kinds of variation rules do they follow,
15 and whether there is a boundary limit. To answer these questions, Jiangjia Gully in Dongchuan,
16 Yunnan province, China, ~~was~~is chosen as the study area, and a numerical calculation, rainfall
17 scenario simulation, and Monte Carlo integration method ~~were~~have been used to calculate the
18 occurrence probability of debris flow under different AEP conditions and derive the functional
19 relationship between P_{df} and AEP. The relationship between P_{df} and AEP can be quantified by a
20 piecewise function, ~~and~~ P_{df} is equal to 15.88% even AEP reaches 85 mm; indicating that debris
21 flow in nature has an extremely small probability compared to the rainfall frequency. Data from

22 1094 rainfall events and 37 historical debris flow events ~~were~~are collected to verify the
23 reasonability of the functional relationship. The results indicate that the piecewise function are
24 highly correlated with the observation results. Our study confirms the correctness of the qualitative
25 description of the relationship between AEP and P_{df} , clarifies that debris flow is a small probability
26 event compared to rainfall frequency, and quantitatively reveals the evolution law of debris flow
27 occurrence probability with AEP, which can provide a clear reference for the early warning of debris
28 flows.

29 **Keywords:** Debris flow, antecedent effective rainfall, Dens-ID, Monte Carlo method

30

31 1 Introductions

32 The antecedent effective precipitation (AEP) ~~is similar to~~likes a Trojan horse lurking inside a
33 loose soil mass, which can cooperate with subsequent rainfall at any time to trigger debris flow in a
34 debris-flow gully. The AEP is equivalent to the ~~preservation of~~ precipitation preserved in ~~the~~ soil
35 mass before the triggering rainfall process; it represents the saturation degree of ~~the~~ loose soil mass
36 (Segoni et al., 2018a; Leonarduzz and Molnar, 2020). Therefore, the soil moisture that has
37 accumulated from antecedent rainfall since the beginning of a rainfall season has a significant
38 influence on how new storm rainfall interacts with the loose soil mass within a gully (Fiorillo and
39 Wilson, 2004; Long et al., 2020). ~~If~~The increase in AEP can decrease the shear strength of a loose
40 solid material ~~is~~ provided by shallow landslides or channel erosion, ~~its shear strength is decreased~~
41 by an increase in AEP (Papa, et al., 2013; Senthilkumar et al., 2017; Liu et al., 2020), and as a
42 consequence, in the subsequent rainfall process, the supply rate of solid material resources can be
43 significantly enhanced in the subsequent rainfall process (Wei et al., 2008; Bennett et al., 2014;

44 Zhang et al., 2020). Additionally, increased AEP and moisture content have been shown to enhance
45 ~~surface~~-rainfall-induced surface runoff in a variety of environments (Tisdall, 1951; Luk, 1985; Le
46 Bissonnais et al., 1995; Castillo et al., 2003; Jones et al., 2017; Hirschberg et al., 2021). Thus, AEP
47 plays an important role in the formation of debris flows (Hong et al., 2018).

48 ~~The~~ Rainfall thresholds represents ~~the degree of the~~ difficulty degree of debris flow triggered
49 by rainfall (Marra et al., 2017). Investigations, ~~such as including~~ the influence of AEP on the rainfall
50 threshold, can be helpful ~~in to~~ examining the relationship between AEP and debris flow occurrence.
51 Currently, ~~conclusions drawn from the analysis of~~ the relationship between the AEP and rainfall
52 threshold ~~are relatively consistent, and indicates that~~ there is a negative correlation between the AEP
53 and rainfall conditions (~~such as daily rainfall~~) that trigger debris flows (Huang, 2013). AEP also
54 represents the ~~degree of~~ saturation degree of ~~the~~ loose soil mass (Zhao et al., 2019a; Abraham et al.,
55 2021), and integrating soil moisture with rainfall thresholds has been proven effective in improving
56 ~~these prediction performance thresholds~~ (Segoni et al., 2018a; Zhao et al., 2019b; Abraham et al.,
57 2020), ~~as the antecedent moisture content plays a key role in the soil shear strength~~. Scholars also
58 have attempted to analyze the influence of antecedent soil moisture on the rainfall threshold
59 triggering debris flow (Cui et al., 2007; Hu et al., 2015), and ~~Similar to the relationship between~~
60 ~~AEP and rainfall threshold~~, there is still a negative correlation between antecedent soil moisture and
61 triggering rainfall conditions (Chen et al., 2017) just like the relationship between AEP and rainfall
62 threshold. The above investigations ~~on the AEP and antecedent soil moisture~~ show that ~~the~~
63 increasing in AEP can significantly decrease the rainfall conditions for that triggering a debris flow,
64 which in turn means that debris flow is more likely to occur. ~~Therefore~~ Generally, ~~there is~~ the
65 qualitative description of following consensus in the field of debris flow: 'the greater the AEP, the

66 higher the probability (P_{df}) of subsequent rainfall triggering the debris flow (De Vita et al., 2000;
67 Bel et al., 2017)' has gradually become a consensus. Therefore, discovering a specific function to
68 describe this qualitative description is helpful ~~in-to~~ further demonstrating the above consensus,
69 revealing a certain evolutionary law of debris flow with rainfall in nature. ~~Long-term observational~~
70 ~~data may be used to achieve this purpose; however, the number of debris flow gullies with long-~~
71 ~~term observational data worldwide is less than 10 (Hürlimann et al., 2019). Even at a field site, such~~
72 ~~as Jiangjia Gully, it has been difficult to provide sufficient observational data to accomplish this~~
73 ~~goal for more than 60 years.~~

74 To quantify the evolution law of P_{df} with the changing AEP ~~variation~~, a numerical model
75 denoted as the Dens-ID ~~that~~ can correlate the rainfall parameters (I and D) with the debris flow
76 density (Zhang et al., 2020; Long et al., 2020; Zhang et al., 2023), ~~and it has been was denoted as~~
77 ~~the Dens-ID model and was~~ used to construct the rainfall intensity-duration (ID) threshold curves
78 ~~database for different AEP~~ under different AEP conditions. The ID threshold curves with upper and
79 lower bounds can delineate the closed region in the ID coordinate system, which represents the set
80 of all rainfall conditions that can trigger debris flow at a certain AEP. Consequently, the probability
81 of natural rainfall falling into a closed region is equivalent to P_{df} , which can then be calculated based
82 on Monte Carlo integration. The next section introduces the basic information of study area
83 including the rainfall and debris flow event data collected from the study area. The third section
84 addresses how to establish the functional relationship between the AEP and Pdf using the Dens-ID
85 and Monte Carlo integration method. Section 4 ~~and~~, 5 and 6 discuss the results and state the
86 conclusions of this study, respectively.

87 2 Study areas

88 The Jiangjia Gully (JJG) ~~is~~ a primary tributary of the Xiaojiang River, which is located in the
89 Dongchuan District of Kunming City, Yunnan Province, China (Fig.1). As shown in Fig.1, JJG has
90 a drainage area of 48.6 km² with elevations ranging from 1040 ~~to~~ 3260 m. In this gully, the relative
91 relief from the ridge to the valley reaches 500 m, and most of the slope gradient is greater than 25°.
92 Slopes within JJG are covered by abundant loose soil with a thickness of more than ten meters.
93 Shallow landslides are frequently triggered by intense rainfall processes in JJG, providing a large
94 ~~amount~~ number of solid materials for debris flow (Yang et al., 2022). ~~Before 1979,~~ the Menqian
95 and Duo Zhao gullies, ~~shown in Fig.1,~~ are the two main tributaries of JJG, accounting for 64.7% of
96 the entire drainage area. The upstream areas of the two main tributaries are the initiation zones of
97 the debris flows, and the channels of the upstream tributaries are narrow and V-shaped (Zhang et al.,
98 2020). However, several check dams have been constructed in the Duo Zhao gully since 1979, which
99 have significantly reduced debris flow activity in this sub-gully (Zeng et al., 2009). Currently,
100 Menqian Gully with the area of 13.2 km² is the primary source area. The slope gradient of its both
101 sides is very steep, e.g., the mean slope in Menqian Gully is 32° and the maximum slope can reach
102 70°. Bedrock that mainly consists of slates formed in lower Proterozoic crops out in the unvegetated
103 or sparsely vegetated lower part. The bedrock is fragmented and mostly disintegrates into clasts
104 with the size more than 20 mm. The upper part of the bedrock is lain by soil mantles with thicknesses
105 of 0.5–20 m, which are covered by grasses and shrubs, or are used for terrace farming. The soil
106 mantle is poorly sorted and composed of particles from clay to boulder. The translational zone from
107 the upper to the lower parts of the slope is prone to shallow landslides. Some landslides directly
108 evolve into debris flows, while the others release sediment to the channel, which is mobilized by
109 runoff in debris flow events (Yang et al., 2022).

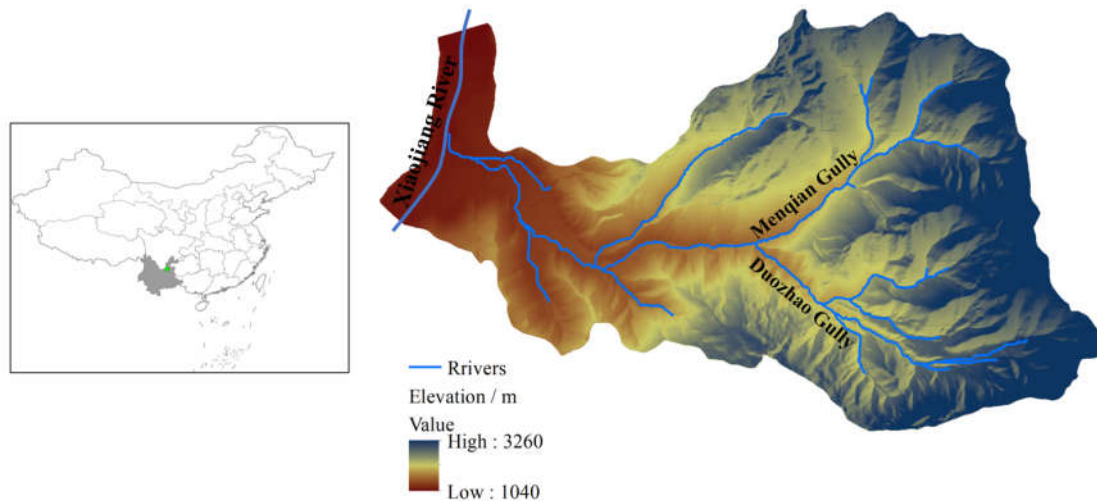


Fig.1 Location of JIG

110
 111
 112 Steep terrain provides a beneficial potential energy condition for transporting a large amount
 113 of loose solid materials from JIG to Xiaojiang River. Consequently, debris flows in JIG can be easily
 114 triggered by rainfall. ~~Based on the collected rainfall data,~~ high-intensity rainstorm or long-duration
 115 rainfall processes ~~can cause debris flow occurrence~~ (Zhang et al., 2020). The solid material
 116 necessary for a debris flow in a gully may be ~~sourced~~ from shallow landslides (Iverson et al., 1997;
 117 Gabet and Mudd, 2006; Zhang et al., 2020; Long et al., 2020) or runoff-induced bed erosions (Berti
 118 and Simoni, 2005; Coe et al., 2008; Tang et al., 2020; Bernard and Gregoretti, 2021). In JIG, shallow
 119 landslides are the main sources for the ~~the~~ solid material supply is sourced primarily from shallow
 120 landslides (Zhang et al., 2014; Liu et al., 2016; Yang et al., 2022), which is consistent with the
 121 assumptions of Dens-ID (Zhang et al., 2020). Thus, JIG is used as the study zone for deriving the
 122 function that describes the relationship between AEP and P_{df} .

123 3 Methods and data

124 3.1 Dens-ID

125 Debris flow gullies, characterized by a solid source supply from landslides, are widely

126 distributed in southwest China (Zhang et al., 2014). For this type of debris flow gully, our previous
127 study proposed ~~a numerical model (denoted as Dens-ID) based on the evolution law of~~ aiming at
128 correlating debris-flow density to rainfall parameters - fluid density-based on water-soil coupling
129 mechanism (Zhang et al., 2020; Long et al., 2020). Den-ID assumes debris flow to be a water-soil
130 mixture, it contains three core simulating contents including hydrological ~~Den-ID assumes the~~
131 ~~debris flow to be a water-soil mixture. Based~~ simulation, water-soil coupling to calculate the water-
132 soil-mixture density, and correlating density to rainfall parameters.

133 (1) Simulating hydrological process: the purpose is to provide parameters for estimating
134 rainfall-induced runoff and the supply volume of rainfall-induced loose solid materials. Based on
135 the digital elevation model (DEM) of a gully, Den-ID, ~~which uses a grid cell as a basic mapping~~
136 ~~unit,~~ can simulate the surface-rainfall-induced runoff and water diffusion in the vertical direction
137 within the soil mass. The rainfall infiltration border is controlled by Eq.1.

$$138 \quad -D(\theta) \frac{\partial \theta}{\partial z} + K(\theta) = I(t) \quad (1)$$

139 where θ is the soil water content; $D(\theta) = K(\theta)/(d\theta/d\psi)$, which represents the soil water
140 diffusivity; z is the soil depth, which is positive downwards along the soil depth as the topsoil is
141 taken as the origin point; $K(\theta)$ is the hydraulic conductivity; $I(t)$ is the rainfall intensity; and ψ is
142 the soil matrix suction. When the rainfall intensity ~~was is~~ less than the surface infiltration capacity,
143 Eq. 1 ~~was is~~ used to represent this physical process.; whereas ~~t~~ The case of precipitation intensity
144 exceeding the infiltration capacity of topsoil means that the surface is saturated, and the excess
145 precipitation from the topsoil is typically converted into runoff.; Therefore, the pressure infiltration
146 of each grid cell is not considered. ~~As the topsoil is saturated by rainfall, Eq. 1, which controls the~~
147 ~~infiltration border, uses $\theta = \theta_s$, where θ_s is the saturated water content of a soil type within a debris~~

148 flow gully.

$$149 \quad \frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[D(\theta) \frac{\partial \theta}{\partial z} \right] - \frac{\partial K(\theta)}{\partial z \theta} \quad (2)$$

150 Eq. 2 is the Richard differential infiltration equation (Richards, 1931), which is used to describe the
151 water movement ~~law~~ along the vertical direction within ~~the~~ soil mass after precipitation infiltrates
152 into the topsoil. Dens-ID uses the finite-difference method to solve Eqs. 1 and 2 and can provide the
153 runoff depth (denoted as $dw(i, t)$), soil water content, and soil matrix suction for each grid cell.
154 Dens-ID then calculates the runoff volume using runoff depth $dw(i, t)$ in Eq. 3.

$$155 \quad V_w(t) = \sum_{t=1}^T \sum_{i=1}^n S_g * dw(i, t) \quad (3)$$

156 where n represents the total number of grid cells that can generate runoff at time ~~t and~~, $V_w(t)$
157 represents the total volume of runoff within a gully at time t , S_g represents the area of the grid cell
158 generating runoff, and T represents the total duration of a rainfall process.

159 (2) Calculating supply amount of loose solid materials and density of the water-soil mixture:

160 ~~t~~Faking hydrological parameters such as soil water content and soil matrix suction as inputs, Dens-
161 ID uses Eqs. 4 and 5 to estimate the supply ~~volume~~ amount of rainfall-induced loose solid materials
162 within a gully. Eq. 4 calculates safety factor F_s of each grid cell as a function of the matrix suction
163 and soil moisture. $F_s > 1$ indicates that the grid cell is stable and cannot supply solid material to the
164 gully, whereas a grid with $F_s < 1$ can provide solid material in the form of a shallow landslide.

$$165 \quad F_s = \frac{\tan \varphi}{\tan \beta} + \frac{c + \psi \tan(\varphi^b)}{\gamma_t d_s \cos \beta \sin \beta} \quad (4)$$

166 where F_s represents the safety factor of each grid cell, c is the soil cohesion force, φ is the internal
167 friction angle, φ^b is related to the matrix suction and is approximately equal to φ as the low matrix
168 suction is small, d_s is the soil depth, and ψ is the matrix suction ~~of the soil,~~ which is a function of
169 soil water content, ~~and~~ can be described by the Van Genuchten model (Van Genuchten, 1980).

170 Using d_s derived from Eq. 3 as input, Eq. 4 is used to estimate the total volume of solid
171 materials ~~provided~~ from all the instable grid ~~cells in the gully from the beginning to the end of cells~~
172 ~~during the a~~ rainfall process.

$$173 \quad V_s(t) = \sum_{t=1}^T \sum_{j=1}^m S_g * ds(j, t) \quad (5)$$

174 where m represents the number of grid cells that can provide solid material at time t and $V_s(t)$ is the
175 total volume of solid material within a gully at time t . At time t , the density of the water-soil mixture
176 after full coupling between runoff and solid material can be calculated using Eq. 6.

$$177 \quad \rho_{mix}(t) = \frac{\rho_w V_w(t) + \rho_s V_s(t)}{V_{mix}(t)} \quad (6)$$

178 where $\rho_{mix}(t)$ is the density of the water-soil mixture, ρ_w is the water density, ρ_s is the density of
179 the soil particles, and $V_{mix}(t)$ is the volume of the water-soil mixture, which is the sum of $V_w(t)$
180 and $V_s(t)$. $V_w(t)$ and $V_s(t)$ are the key variables that can be derived using Eqs. 3 and 5.

181 (3) Correlating density to rainfall parameters including rainfall intensity and duration: Dens-
182 ID firstly presets the density of the water-soil mixture as ρ_{mix} . ~~By, it needs to simulating-simulate~~
183 many rainfall scenarios, including long durations with low-intensity rainfall and short durations with
184 high-intensity rainfall. ~~Dens-ID can in order to~~ obtain adequate a sufficient number of combinations
185 ~~of~~ $[D_i, I_i]$. Using each $[D_i, I_i]$ as input, Dens-ID then can derivescalculate the density value via
186 hydrology simulation and estimate the solid material and runoff volumes using Eq.6. ~~When-If~~ the
187 calculated density is equal to ρ_{mix} , the $[D_i, I_i]$ combination is saved by Dens-ID. After Dens-ID
188 completes the trial calculations, all combination data of $[D_i, I_i]$ that satisfy the constraints of the
189 preset density (ρ_{mix}) can be collected, forming as a dataset. Each collected $[D_i, I_i]$ within the dataset
190 corresponds to the preset ρ_{mix} ; therefore, accordingly, Dens-ID can map-correlate rainfall parameters
191 (D and I) and-to debris flow density (Long et al., 2020). Dens-ID can derive ~~the~~ ID threshold curves

192 by fitting the selected $[D_i, I_i]$ data, ~~and~~; each ID curve corresponds to a debris flow density value
193 (Zhang et al., 2020). As the density of debris flow in JJG varies in a specific interval of $1.2\text{--}2.3\text{g/cm}^3$
194 (Zhang et al., 2014; Zhuang et al., 2015; Long et al., 2020), the threshold curve that corresponds to
195 the boundary value can form a closed area with the I- and D-axes in the ID coordinate system. The
196 case of monitoring or forecasting rainfall falling into this closed area ~~in the I-D coordinate system~~
197 indicates that the rainfall condition may trigger debris flow. The verification results ~~for in~~ JJG show
198 that Dens-ID ~~can~~ effectively describes the mechanism and process of debris flow formation ~~using~~
199 ~~shallow landslides as a solid source supply~~, and its prediction accuracy is approximately 80.5%,
200 which is 27.7% higher than that of statistical models (Zhang et al., 2020). Such a high prediction
201 accuracy can further indicate that the closed area formed by the derived ID curves has a very
202 reasonable location and coverage in the ID coordinate system, providing extremely reliable
203 analytical data in this study.

204 3.2 JJG data for model Dens-ID

205 The JJG datasets for Dens-ID are terrain data, hydrological parameters, and soil mechanical
206 parameters. The DEM is the basal data for deriving other terrain data, including slope length,
207 gradient, and river channels; the spatial resolution of the DEM is 0.5 m, and a DEM with a grid size
208 of 10 m was generated using the resampling technology in ArcGIS. The hydrological parameters
209 are related to the soil types within JJG; the five key parameters are the saturated soil water content,
210 residual soil water content, the two parameters of soil water characteristic curve including n and m ,
211 and the infiltration rate of topsoil. The soil mechanical parameters are the soil cohesion force and
212 internal friction angle, ~~which were~~ obtained through direct shear tests on the soil samples. Detailed
213 data are available in Zhang et al. (2020) and Long et al. (2020).

214 3.3 Historical rainfall and debris flow data

215 Rainfall data for the rainy seasons between 2006 and 2020 ~~were~~ have been collected from the
216 JJG observation station, and it ~~was~~ is necessary to identify each rainfall process from the long-term
217 rainfall sequences. Inter-event time (IET) ~~was~~ is defined as the minimum time interval between two
218 consecutive rainfall pulses (Adams et al., 1986). IET has a strong influence on the rainfall event
219 starting and ending times (Bel et al., 2017), and Peres et al. (2018) has identified that IET depends
220 on whether the mean daily potential evapotranspiration (MDPE) is larger than precipitation within
221 the IET. The long observation of evaporation within JJG showed that MDPE is about 4 mm;
222 precipitation during IET >0.5 mm is considered the end of a rainfall process. Under this standard,
223 1094 rainfall events and 37 debris flow events ~~were~~ have been identified during the sampling period.
224 Detailed rainfall data information can be found in “appendix 1-1094 rainfall and 37 debris flow
225 data.xlsx”. The AEP listed in this appendix ~~was~~ is considered the weighted sum of the rainfall
226 periods before the occurrence of debris flow (Long et al., 2020) and it can be calculated using Eq.
227 7.

$$228 \quad AEP = \sum_{i=1}^n K^n R_i \quad (7)$$

229 where AEP is the antecedent effective rainfall; K is the attenuation coefficient, which is equal to
230 0.78 based on the field test in JJG (Zhang et al., 2020); and n is the number of days preceding the
231 debris flow occurrence.

232 Based on the observed rainfall data, the 1094 AEPs ~~were~~ are calculated using Eq. 7 and ~~are~~
233 listed in Appendix 1. The AEP corresponding to each rainfall event varies from 0–88 mm. Taking
234 this variation range as a reference, the variation range of the AEP input in the Dens-ID model ~~was~~
235 is set between 10 and ~~130~~85 mm. ~~When the AEP was less than 90 mm, it was gradually increased~~

236 ~~by 5 mm; after the AEP was larger than 90 mm, its increment was set to 10 mm.~~ Dens-ID presets
 237 several AEP values including 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, ~~100, 110.~~
 238 P_{df} can be calculated under different AEP conditions. ~~The preset AEP values exceeded the observed~~
 239 ~~maximum value of 88 mm because we wanted to observe whether P_{df} tended to stabilize and~~
 240 ~~determine its boundary value.~~

241 3.4 Monte Carlo method for calculating the definite integral

242 Because of the boundary of the debris-flow density in JJG (1.2–2.3g/cm³), Dens-ID produces
 243 the corresponding upper and lower boundary curves under a specific AEP condition. The two
 244 boundary curves can be described using the power function.

$$245 \begin{cases} f(D)_{up} = I_{up} = \alpha_1 D^{\beta_1} & D \in [a_1, b_1] \\ f(D)_{low} = I_{low} = \alpha_2 D^{\beta_2} & D \in [a_2, b_2] \end{cases} \quad (8)$$

246 These two threshold curves can ~~form~~ delineate an enclosed ~~warning~~-area in the ID coordinate
 247 system, denoted as W_{ID} . The independent variable (D) and dependent variable (I) in Eq. 8 also form
 248 a closed rectangular region in the ID coordinate system, denoted as R_{ID} . In the ID coordinate system,
 249 the coverage of R_{ID} is larger than that of W_{ID} , as will be shown in detail in Section 4.1. Limited
 250 ~~Within~~ within R_{ID} , ~~if certain any~~ rainfall processes ~~are~~-located in W_{ID} , ~~this rainfall condition~~ can
 251 trigger debris flow. ~~As long as~~ If the probability of rainfall process falling into the range of W_{ID} under
 252 random conditions ~~can be~~ is determined, the occurrence probability of debris flow can be estimated
 253 ~~for a specific AEP.~~ Many physical phenomena are stochastic in nature and governed by stochastic
 254 partial differential equations with nondeterministic initial/boundary conditions or integral equations
 255 (Peres and Cancelliere, 2014; Yan and Hong, 2014). Albert (1956) proposed the Monte Carlo
 256 method for solving integral equations. This method ~~was~~ is subsequently used to estimate the peak

257 flow and volume of debris flow (Donovan and Santi, 2017; Paola et al., 2017), entrainment of the
 258 underlying bed sediment (Han et al., 2015), and risk assessment (Calvo and Savi, 2009; Li et al.,
 259 2021). ~~Based on the Monte Carlo principle (Peres and Cancelliere, 2014), The rainfall process is~~
 260 ~~randomly selected within the R_{ID} , and the probability of the rainfall condition the chosen one within~~
 261 ~~the R_{ID} range~~ falling into the W_{ID} range can be determined using W_{ID}/R_{ID} . The physical meaning
 262 of the Monte Carlo solving definite integral ~~is the lies on estimation calculating of~~ the area enclosed
 263 by the function curve and horizontal axis. Therefore, the area of W_{ID} can be calculated by the
 264 difference in the definite integral formula of the two equations in Eq. 7.

$$265 \quad W_{ID} = S_{up} - S_{low} = \int_{a_1}^{b_1} f(D)_{up} dD - \int_{a_2}^{b_2} f(D)_{low} dD \quad (9)$$

266 where S_{up} and S_{low} represent the area enclosed by the two threshold curves and the horizontal axis,
 267 respectively, and a_1 , b_1 , a_2 , and b_2 are the boundary values of D in the two curves. For the upper
 268 boundary line (or lower boundary), if the probability distribution function of D between $[a_1, b_1]$ is
 269 $p(D)$, Eq. 9 can be derived by substituting $p(D)$ into Eq. 8, ~~which is used to calculate S_{up} and S_{low} .~~

$$270 \quad \begin{cases} S_{up} = \int_{a_1}^{b_1} f(D)_{up} dD = \int_{a_1}^{b_1} \frac{f(D)_{up}}{p(D)} p(D) dD \approx \frac{1}{n} \sum_{k=1}^n \frac{f(D_i)_{up}}{p(D_i)} \\ S_{low} = \int_{a_2}^{b_2} f(D)_{low} dD = \int_{a_2}^{b_2} \frac{f(D)_{low}}{p(D)} p(D) dD \approx \frac{1}{n} \sum_{k=1}^n \frac{f(D_i)_{low}}{p(D_i)} \end{cases} \quad (10)$$

$$271 \quad W_{ID} = \frac{1}{n} \sum_{k=1}^n \frac{f(D_i)_{up}}{p(D_i)} - \frac{1}{n} \sum_{k=1}^n \frac{f(D_i)_{low}}{p(D_i)} \quad (11)$$

272 where n represents the number of random samples drawn from the variation range of D , and $p(D_i)$
 273 is the probability density distribution function of D in the interval $[a_1, b_1]$ or $[a_2, b_2]$. The key to
 274 solving Eq. 10 ~~is depends on~~ sampling from $p(D)$. The following steps ~~were are~~ used to explain how
 275 samples were taken using $p(D_i)$.

276 Step 1: Based on the probability density distribution function $p(D)$, the cumulative probability
 277 distribution function can be derived by $cdf(D) = \int_{-\infty}^D p(D) dD$;

278 Step 2: Assume that $U^{(i)}$ obeys a uniform distribution within $[0, 1]$, which can be randomly collected

279 from this interval and denoted as $U^{(i)} \sim U(0,1)$.

280 Step 3: Substitute $U^{(i)}$ into the inverse function of the cumulative probability distribution $cdf(D)$ to
281 obtain random sample $D^{(i)}$, denoted by $D^{(i)} = cdf^{-1}(U^{(i)})$. Then, a dataset composed of n data
282 points of $D^{(i)}$ ~~was-is~~ obtained.

283 Step 4: W_{ID} can be calculated by substituting n data points of $D^{(i)}$ into Eq. 10, and the P_{df} ($P_{df} =$
284 $\frac{R_{ID}}{W_{ID}}$) corresponding to a specific AEP is determined. P_{df} represents the probability that the
285 subsequent precipitation process may trigger debris flow for a certain AEP. Thus, the influence of
286 the AEP on the occurrence probability of debris flows can be quantified.

287 3.5 Correlation analysis between numerical and observation results

288 The relationship between the AEP- P_{df} ~~fitted~~ through the observational data ~~was-is~~ used as a
289 reference standard, and the correlation analysis method ~~was-is~~ used to verify the function of the
290 AEP- P_{df} derived by Dens-ID. Correlation analysis ~~was-is~~ used to study the degree of linear
291 correlation between variables, which is represented by correlation coefficient r :

$$292 \quad r = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2 \sum_{i=1}^n (y_i - \bar{y})^2}} \quad (12)$$

293 where x represents the P_{df} derived from the observed data, y represents the P_{df} derived from Dens-
294 ID, \bar{x} and \bar{y} represent the averages, r represents the correlation coefficient, and n represents the
295 number of samples. $|r| \geq 0.8$ can be regarded as a high correlation between two variables; $0.5 \leq |r| < 0.8$
296 represents a moderate correlation; $0.3 \leq |r| < 0.5$ represents a low correlation; and $|r| < 0.3$ indicates the
297 degree of correlation between the two variables is weak and can be regarded as uncorrelated.

298 4 Results ~~and discussion~~

299 4.1 ID threshold curves and warning zone closed by the derived curves

300 ~~The ID threshold curves corresponding to the different AEPs derived from Dens ID are listed~~

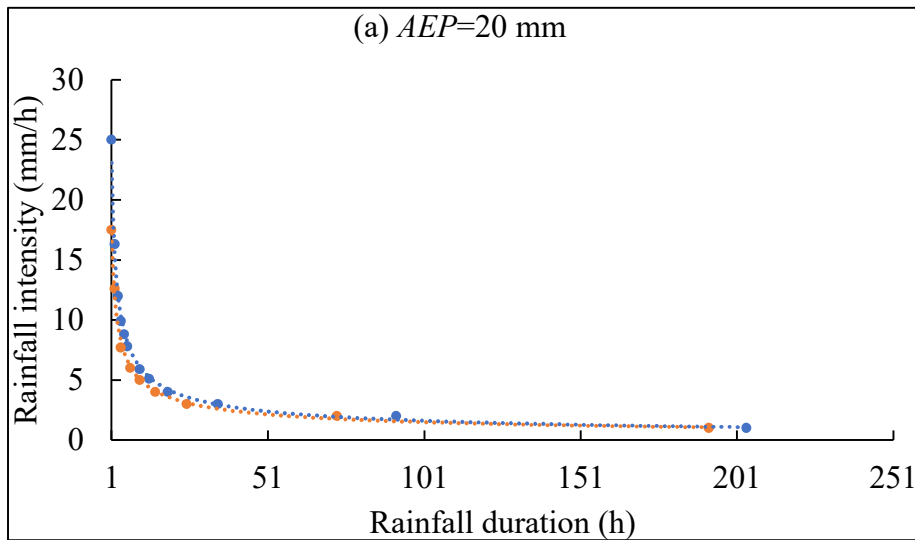
301 ~~in Table 1. Dens-ID yields Each AEP corresponded to the~~ upper and lower boundary lines of the
302 ID threshold ~~in each condition of a preset AEP~~, and these two boundary lines ~~corresponded to are~~
303 ~~characterized by~~ different debris flow density ~~and listed in Table 1-values. In Table 1, It can be seen~~
304 ~~from Table 1 that when AEP ≤ 15 mm~~, the maximum density corresponding to the ID threshold curve
305 cannot reach 2.2, ~~which are equal to 1.8 and 2.0 when AEP = 10 and 15 mm~~ ~~when AEP is less than 15~~
306 ~~mm. A small AEP indicates the supply rate of solid resources in JJG is far less than the runoff~~
307 ~~generation rate during a subsequent rainfall process. In this situation, runoff is dominated in the~~
308 ~~water-soil coupling process yielding a water-soil mixture with low density value~~ ~~This is because a~~
309 ~~lower AEP makes the supply rate of solid resources in JJG far less than the runoff rate during rainfall~~
310 ~~(Long et al., 2020). At this time, Dens-ID determines that it is easier to form a low-density water-~~
311 ~~soil mixture in JJG.~~

312
313
314 Table 1 ID threshold curve database under different AEP

AEP (mm)	ID threshold curve function for JJG	
	1.2 g/cm ³	2.2 g/cm ³
10	$I_{1.2} = 19.85D^{-0.54} D \in [1, 269] (R^2 = 0.991)$	$I_{1.8} = 15.85D^{-0.48} D \in [1, 263] (R^2 = 0.990)$
15	$I_{1.2} = 21.69D^{-0.55} D \in [1, 236] (R^2 = 0.993)$	$I_{2.0} = 16.10D^{-0.50} D \in [1, 229] (R^2 = 0.995)$
20	$I_{1.2} = 23.22D^{-0.58} D \in [1, 203] (R^2 = 0.996)$	$I_{2.2} = 17.20D^{-0.53} D \in [1, 192] (R^2 = 0.995)$
25	$I_{1.2} = 24.47D^{-0.60} D \in [1, 171] (R^2 = 0.997)$	$I_{2.2} = 16.92D^{-0.53} D \in [1, 160] (R^2 = 0.998)$
30	$I_{1.2} = 26.24D^{-0.64} D \in [1, 143] (R^2 = 0.996)$	$I_{2.2} = 18.09D^{-0.57} D \in [1, 132] (R^2 = 0.995)$
35	$I_{1.2} = 35.47D^{-0.65} D \in [1, 123] (R^2 = 0.958)$	$I_{2.2} = 19.55D^{-0.58} D \in [1, 112] (R^2 = 0.985)$
40	$I_{1.2} = 40.59D^{-0.78} D \in [1, 103] (R^2 = 0.966)$	$I_{2.2} = 22.15D^{-0.64} D \in [1, 92] (R^2 = 0.984)$
45	$I_{1.2} = 41.12D^{-0.78} D \in [1, 83] (R^2 = 0.932)$	$I_{2.2} = 23.19D^{-0.69} D \in [1, 72] (R^2 = 0.981)$
50	$I_{1.2} = 41.26D^{-0.86} D \in [1, 65] (R^2 = 0.981)$	$I_{2.2} = 23.50D^{-0.74} D \in [1, 55] (R^2 = 0.980)$
55	$I_{1.2} = 38.63D^{-0.88} D \in [1, 53] (R^2 = 0.950)$	$I_{2.2} = 23.31D^{-0.70} D \in [1, 42] (R^2 = 0.932)$

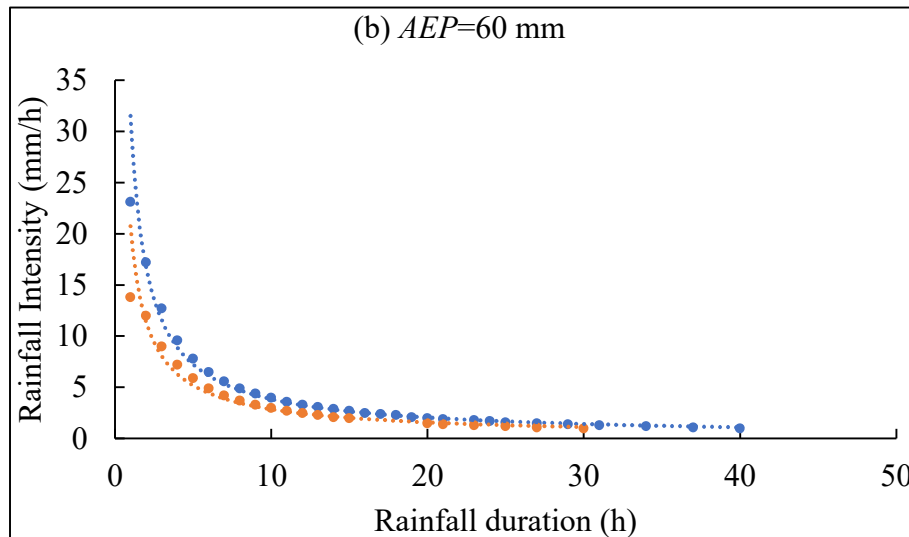
60	$I_{1.2} = 31.49D^{-0.92} D \in [1, 40] (R^2=0.992)$	$I_{2.2} = 20.73D^{-0.86} D \in [1, 30] (R^2=0.977)$
65	$I_{1.2} = 29.14D^{-0.95} D \in [1, 32] (R^2=0.957)$	$I_{2.2} = 18.10D^{-0.91} D \in [1, 22] (R^2=0.893)$
70	$I_{1.2} = 23.05D^{-0.96} D \in [1, 25] (R^2=0.998)$	$I_{2.2} = 13.04D^{-0.93} D \in [1, 15] (R^2=0.995)$
75	$I_{1.2} = 21.13D^{-0.97} D \in [1, 22] (R^2=0.994)$	$I_{2.2} = 10.90D^{-0.95} D \in [1, 12] (R^2=0.995)$
80	$I_{1.2} = 18.72D^{-0.98} D \in [1, 20] (R^2=0.997)$	$I_{2.2} = 9.96D^{-0.95} D \in [1, 11] (R^2=0.999)$
85	$I_{1.2} = 18.47D^{-0.99} D \in [1, 18] (R^2=0.999)$	$I_{2.2} = 8.17D^{-0.95} D \in [1, 9] (R^2=0.999)$

315 ~~When~~ Under the condition of $APE-AEP < 10$ mm, Dens-ID cannot derive the threshold curve
316 corresponding to even the minimum density value of 1.2 g/cm^3 , which indicates that the subsequent
317 rainfall can hardly trigger debris flow JJG. Table 1 also shows that the AEP ranging from 10 to 85
318 mm can significantly affect the debris flow formation in JJGID threshold curve, because the
319 parameters including α and β regularly respond to the change in AEP.



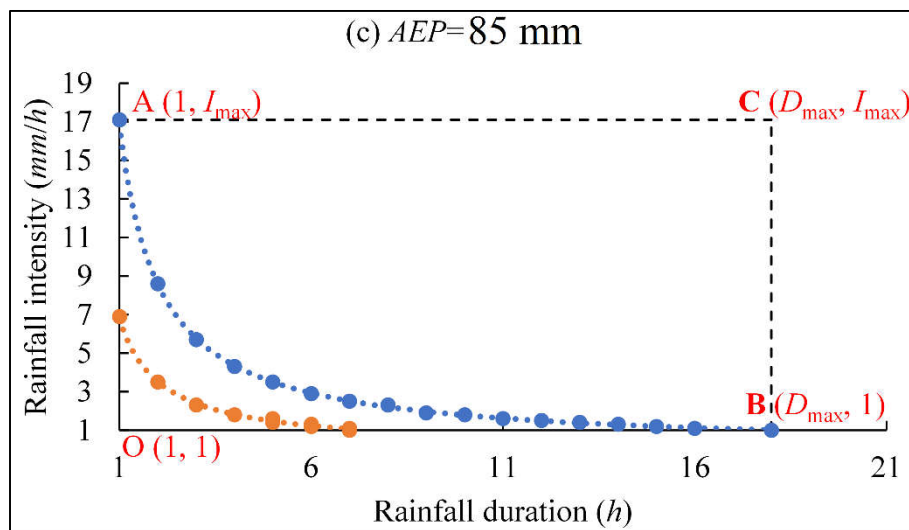
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324

325 Fig.2 ID threshold curves derived by Dens-ID (the blue dotted line corresponds to 1.2 g/cm^3 , and

326 the orange dotted line corresponds to 2.2 g/cm^3)

327 There are two ID threshold curves in each subplot of Fig. 2, which correspond to 1.2 g/cm^3 and

328 2.2 g/cm^3 , respectively. Because the debris flow density in JJG varies within a certain range from

329 $1.2\text{--}2.3 \text{ g/cm}^3$, the two ID threshold curves shown in each subplot can be regarded as the upper and

330 lower boundary lines for determining the occurrence of debris flow (Zhang et al., 2020). ~~As shown~~

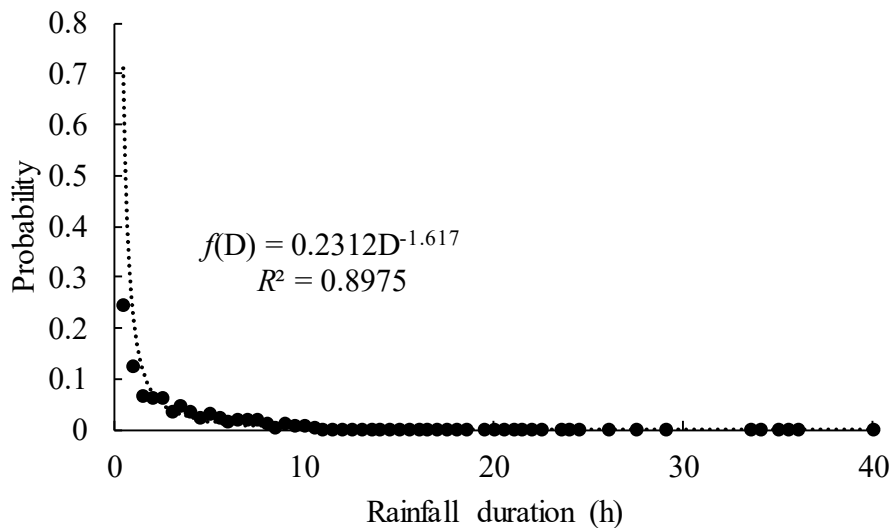
331 ~~in Fig.2e~~ Within the ID coordinate system, the two derived curves together with the I- and D-axes

332 ~~form delineate~~ a closed area shown in Fig.2c in the ID coordinate system; this area is denoted as

333 ~~W_{ID}. If the monitored~~Any subsequence rainfall, represented by the combination of I and D, can
334 ~~enterfalling into~~ W_{ID}, rainfall may trigger a debris flows. As shown in each subplot, the threshold
335 curve can be represented by the power function $I=\alpha D^\beta$. The variation intervals of the independent
336 (D) and dependent (I) variables of the power function are $[1, D_{\max}]$ and $[1, I_{\max}]$, respectively, where
337 D_{\max} represents the rainfall duration required to trigger debris flow when $I= 1$ mm/h, and I_{\max}
338 represents the rainfall intensity required for debris flow formation for $D=1$ h. As shown in Fig.2c,
339 independent variable D and dependent variable I can ~~form-delineate~~ a larger rectangular area (AOBC)
340 in the ID plane than W_{ID}, which is denoted as R_{ID}. The coverage area of R_{ID} is much larger than that
341 of W_{ID}, indicating that the proportion of rainfall conditions that can trigger debris flows is low.
342 Therefore, even for AEP=85 mm, the occurrence probability of debris flows remains low. As shown
343 in each subplot, each AEP corresponds to a different W_{ID} and R_{ID}, which provides basic data for the
344 quantitative evaluation of the effect of different AEPs on the occurrence probability of debris flows.

345 4.2 Occurrence probability of debris flow under different AEP

346 Based on the Monte Carlo method of calculating the definite integral, it is necessary to explore
347 the probability density function of rainfall duration (D) to calculate the occurrence probability of
348 debris flow under different AEP conditions. For the 1094 rainfall events listed in Appendix 1, we
349 found that the probability distribution of rainfall duration D in JJG can be described by a power
350 function (Fig. 3). As shown in Fig.3, the number of samples with $D<1$ accounted for 37.7%, $1<D<3$
351 for 23.5%, $3<D<5$ for 14.7%, and $5<D<10$ for 16.9%; the number of rainfall events with D
352 exceeding 10 h accounted for only 6.7%.



353

Fig. 3 Probability density function of $f(D)$

354

355 Based on the probability density distribution function $f(D)=0.2312D^{-1.617}$, the cumulative
 356 probability function $cdf(D)$ can be obtained through integration. In $cdf(D)$, denoted as Eq. ~~44~~13, the
 357 integration constant C needs to be determined.

$$cdf(D) = \int_{-\infty}^D f(D) dD = -0.3747 * D^{-0.617} + C \quad (\text{44}13)$$

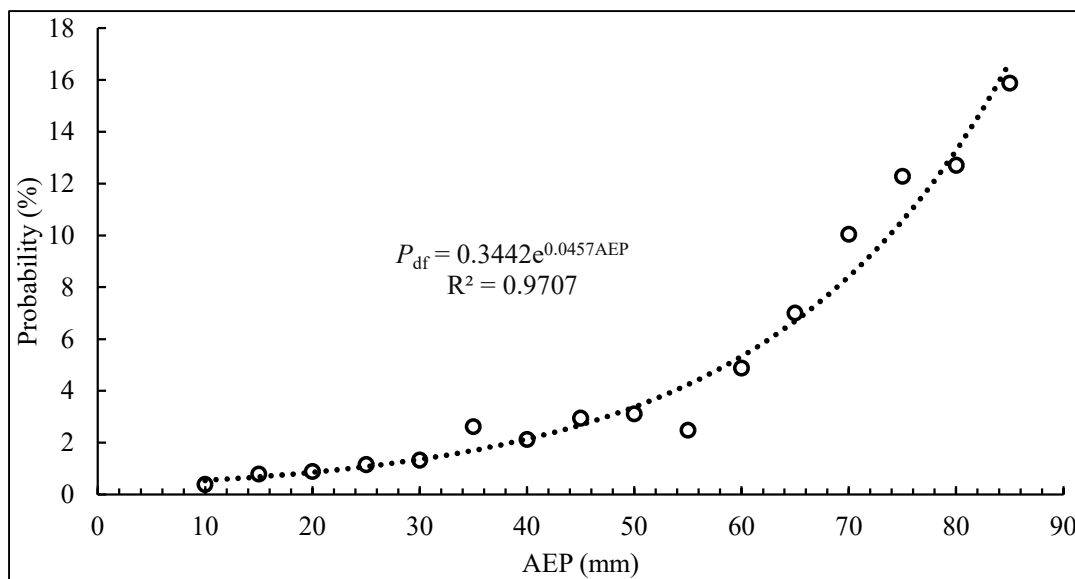
358
 359 The ~~interval range~~ of 0–40 h ~~was is~~ evenly divided into 56 statistical intervals (the second
 360 column in Appendix 2, titled “appendix 2-f(D)and CFD(D).xlsx”), and each statistical interval ~~was~~
 361 ~~is~~ separated by 0.5 h. The proportion of the sample size in each interval among the 1094 samples
 362 can be calculated ~~and listed in the~~ (second column in Appendix 2), ~~and~~ the cumulative proportion
 363 that increases with D is ~~obtained also derived and listed in the~~ (third column in Appendix 2). The
 364 data in the first and third columns of Appendix 2 are substituted into Eq. ~~44~~13 to calculate C . ~~The~~
 365 ~~results show that~~ C increases with D but gradually stabilizes at approximately 1.04 (the fifth column
 366 in Appendix 2). ~~Therefore, and~~ C is set to 1.04.

367 Based on the process of calculating P_{df} under different AEP conditions in Section 3.4, the P_{df}
 368 corresponding to each AEP in Table 1 ~~was is~~ obtained, and the function $P_{df} = f(AEP)$ for
 369 describing their relationship ~~was has been~~ fitted using the AEP and P_{df} data.

$$\begin{cases}
P_{df} = 0 & 0 < AEP < 10 \\
P_{df} = 0.34e^{0.04 AEP} & 10 \leq AEP < 85 \\
P_{df} = 0.1AEP + 7.6 & 85 \leq AEP < 110 \\
P_{df} = 18.96 & 110 \leq AEP \leq 130
\end{cases} \quad (12)$$

$$\begin{cases}
P_{df} = 0 & 0 < AEP < 10 \\
P_{df} = 0.3442e^{0.045 AEP} & 10 \leq AEP \leq 85
\end{cases} \quad (14)$$

370 As shown in Eq. 12, $P_{df} = f(AEP)$ is a piecewise function. The evolution of P_{df} with AEP
371 variation can be divided into ~~four~~ two stages (Fig. 4). Two key issues must be stated before
372 discussing ~~these four~~ the two stages in depth: (1) Based on the calculation results of the Dens-ID
373 model, an upper limit volume of the rainfall-induced solid material supply is derived in JJG, which
374 is the basic condition for determining the scale of debris flow in JJG (Zhang et al., 2020). (2) Based
375 on the principle of water balance, AEP is defined as the rainfall that is preserved in the soil before
376 the triggering rainfall process (Kohler and Linsley, 1951); field observations in JJG show that the
377 AEP is positively correlated with the soil water content (Cui et al., 2007), and the field observations
378 of the Liudaogou catchment in the northern Loess Plateau of China have the same result (Zhu and
379 Shao, 2008); therefore, the AEP is typically used to estimate soil water content (Crozier, 1986; Chen
380 et al., 2018; Zhao et al., 2019b). The water soil content before the triggering rainfall process can be
381 characterized by AEP (Thomas et al., 2019; Schoener and Stone, 2020).
382
383



384

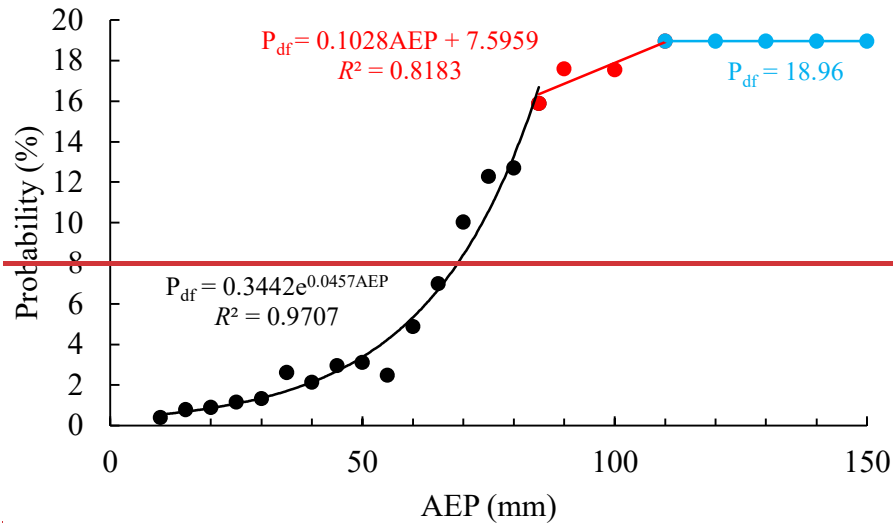


Fig.4 Relationship of P_{df} and AEP derived from Dens-ID

Stage 1: The probability of debris flow occurrence in JJG is equal to 0 when the AEP is < 10 mm. Dens-ID estimates the solid material volume by simulating rainfall-induced shallow landslides. According to Eq. 4, the key hydrological process that triggers shallow landslides is the continuous increase in soil water content caused by rainfall infiltration. The increase in soil moisture content reduces soil matrix suction and eventually contributes to shallow landslides. The soil water content of the loose soil mass in JJG ~~was-is~~ low when the AEP ~~was-<~~ 10 mm (Long et al., 2020), and a long duration of rainfall infiltration ~~was-is~~ needed to increase the soil water content. However, based on the infiltration border of Dens-ID (Eq. 1), limited by the infiltration capacity of the topsoil in JJG, the portion of precipitation that exceeds the infiltration capacity is be converted into runoff; therefore, when the water content of the soil layer in JJG is low, the surface runoff ~~has-already been~~ can be rapidly generated. ~~Accordingly~~Therefore, the runoff generation rate can be much higher than the supply rate of solid material in the ~~Dens-ID simulation~~condition of AEP < 10 mm. In this hydrological scenario, Dens-ID determines that even a soil-water mixture with a density of 1.2 g/cm³ is difficult to generate in JJG; thus, the probability of debris flow is 0.

Stage 2: When AEP varies within the interval of 10 mm-85mm, the subsequent rainfall is

402 ~~capable of triggering debris flow in JYG. Compared to AEP < 10 mm in Stage 1, the soil water~~
403 ~~content within JYG increased significantly. Therefore, the solid material from shallow landslides can~~
404 ~~be immediately ready without a long rainfall infiltration duration, and a large water content of~~
405 ~~topsoil is beneficial to the rapid generation of runoff (Jones et al., 2017; Hirschberg et al., 2021).~~
406 ~~When there is a sufficient supply of solid material and runoff, the probability of debris flow~~
407 ~~occurrence in Stage 2 is significantly increased by the increasing AEP. The relationship between~~
408 ~~$P_{df} \sim AEP$ can be described by an an_exponential-exponential function of $P_{df} = 0.3442e^{0.0457AEP}$,~~
409 ~~indicating that the probability of debris flow occurrence is enhanced by gradually increasing AEP.~~
410 ~~The exponential function and its boundary show that the increasing tendency of P_{df} is a little~~
411 ~~sluggish before AEP is equal to 50 mm. The occurrence probability of debris flow in JYG is only~~
412 ~~15.88% even when AEP is equal to 85 mm. This trend obeys the following function:~~
413 ~~$P_{df} = 0.3442e^{0.0457AEP}$, which can be further divided into two subprocesses using AEP = 50 mm as the~~
414 ~~demarcation point, where the slope of the curve changes significantly. Stage 2-1: When 10~~
415 ~~mm ≤ AEP ≤ 50 mm, the soil water content increased significantly compared to AEP < 10 mm, but a~~
416 ~~necessary infiltration time to increase it to the critical state for triggering shallow landslides is still~~
417 ~~required. Therefore, limited by the supply rate of the solid material, the rate of increase of P_{df} was~~
418 ~~relatively low, and the maximum P_{df} was 3.11%. Stage 2-2: When 50 mm < AEP ≤ 85 mm, the soil~~
419 ~~water content is relatively large compared to Stage 1; the solid material from shallow landslides can~~
420 ~~be immediately ready without a long rainfall infiltration duration, and a large soil water content of~~
421 ~~topsoil is beneficial to the rapid generation of runoff (Jones et al., 2017; Hirschberg et al., 2021).~~
422 ~~When there is a sufficient supply of provenance and runoff, the probability of debris flow occurrence~~
423 ~~in this subprocess is significantly enhanced by the increasing AEP.~~

424 ~~Stage 3: After the AEP exceeded 85 mm, the rate of increase of P_{df} decreased, exhibiting a~~
425 ~~moderate linear increasing trend with AEP. Because of the very high soil water content, most of the~~
426 ~~loose soil layer in JJG is close to the saturated state (Long et al., 2020). Then, the total volume of~~
427 ~~solid material reaches the maximum level, and the increased AEP can hardly contribute to the runoff~~
428 ~~generation rate. Consequently, the increasing trend of P_{df} slows compared with that in Stage 2-2.~~

429 ~~Stage 4 (AEP \geq 110 mm): According to the ID threshold curves in Table 1, the two key~~
430 ~~parameters α and β of the threshold curve at this stage are already in a constant state, which means~~
431 ~~that there is no longer any change in R_{ID} and W_{ID} in Fig. 2c. Therefore, the P_{df} no longer changed~~
432 ~~with increasing AEP and remained unchanged at 18.96%.~~

433 4.35 Discussions

434 5.1 Correlation analysis of the two curves derived from Dens-ID and observation data

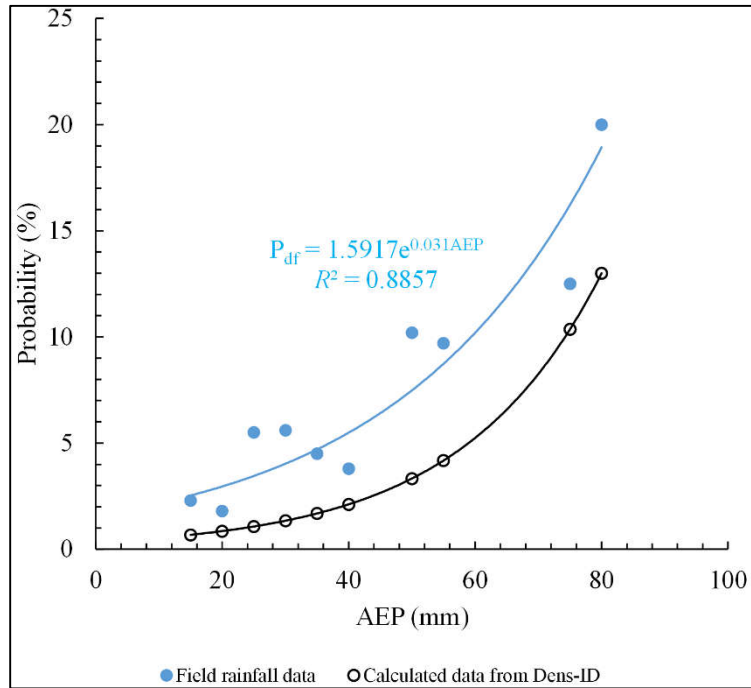
435 The AEP in Appendix 1 varied from 0–87.9 mm, according to this range, we can ~~only~~ test the
436 reasonability of the ~~first and second stages~~ relationship between $P_{df} \sim AEP$, as shown in Fig. 4. We
437 introduce how to use the rainfall and debris flow data recorded in Appendix 1 to calculate P_{df} : (1)
438 The original AEP value is rounded to one decimal place, and the rounded AEP are listed in the 8th
439 column of Appendix 1, which were sorted from largest to smallest; (2) the maximum AEP_i was set
440 to 85 mm, and $[AEP_i, AEP_i - 5.5]$ was used as the search window to collect the rainfall events and
441 debris flow events; and (3) we count the number of debris flow events N_{df} and the number of rainfall
442 events N_{rain} in each search window and then calculate $P_{df} = N_{df} / N_{rain}$. Based on the above steps, the
443 collected data and calculated P_{df} are listed in Table 2. As shown in Table 2, a positive correlation
444 between the probability of debris flow occurrence and AEP in JJG was determined. When AEP <

445 10 mm, a total of 205 rainfall processes were recorded; however, no debris flow events were
 446 observed, and the debris flow occurrence probability was 0, which is consistent with the results of
 447 Stage 1 derived from Dens-ID.

448 Table 2 Collected and calculated P_{df} in each search window

	Field observation data and calculated P_{df}										
AEP	10	15	20	25	30	35	40	45	50	75	80
N_{df}	0	3	2	7	7	4	4	5	3	1	1
N_{rain}	205	133	111	127	124	106	106	49	31	8	5
$P_{df}(\%)$	0	2.3	1.8	5.5	5.6	3.8	3.8	10.2	9.7	12.5	20

449 Based on P_{df} and AEP listed in Table 2, their relationship can be described by the exponential
 450 function denoted as $P_{df} = 1.5917e^{0.03 AEP}$, which is similar to ~~that of Stage 2 Eq.14 drawn~~ in Fig.4.
 451 ~~Therefore, two P_{df} -AEP curves derived from field observation data and the Dens-ID model were~~
 452 ~~obtained for further analysis, as shown in Fig.5.~~ The two curves were nearly parallel. Eq. 12 ~~is was~~
 453 used to analyze the correlation of the two curves, and r is equal to 0.93, suggesting they have a very
 454 high correlation. Therefore, the function of $P_{df} = f(AEP)$ derived from Dens-ID, which is used to
 455 describe the evolution trend of debris flow occurrence probability with AEP variation, is reasonable.



456

457 Fig.5 Relationship of AEP and P_{df} obtained from field observation data and Dens-ID model (the blue line is

458 derived from field observation data, and the black line is derived from Dens-ID)

459 We can also see from Fig.5 that although the variation tendencies of the two curves are

460 consistent, there is a significant bias is existed between them. Basically, the probability value

461 derived from the field observation data is larger than that from the Dens-ID model in the condition

462 of a given AEP. As shown in Fig.5, the blue line fitted through the observation data is above the

463 black line derived from Dens-ID, indicating that Dens-ID underestimated the probability of debris

464 flow occurrence if the observation data were used as the reference. Taking the probability value in

465 the 6th row of Table 2 as references, the error of the Eq.14 was calculated using the AEP in Table 2

466 as inputs and listed in Table 3.

467 Table 3 Error estimation on the Eq. 14

<u>AEP</u>	<u>15</u>	<u>20</u>	<u>25</u>	<u>30</u>	<u>35</u>	<u>40</u>	<u>45</u>	<u>50</u>	<u>75</u>	<u>80</u>
<u>Error</u>	<u>0.70</u>	<u>0.53</u>	<u>0.81</u>	<u>0.76</u>	<u>0.63</u>	<u>0.44</u>	<u>0.67</u>	<u>0.57</u>	<u>0.17</u>	<u>0.35</u>

468 It can be seen that very large bias of Eq.12 is listed in Table 2. However, we cannot conclude

469 that there is a precision problem in the calculation results of the Dens-ID. Because (1) Although
470 1094 rainfall processes and 37 debris flow events are the field observation data, there are many
471 uncertain factors in Eq. 7 for calculating AEP using these rainfall data (Kim et al., 2021), such as
472 the subjectivity existing in K and n of Eq. 7, which render uncertainty in the calculated AEP. In this
473 case, if the data in Appendix 1 are used as the real value for evaluating the precision of Dens-ID,
474 the error evaluation result may be unfair to Dens-ID. In this case, it is unfair to evaluate the Dens-
475 ID error by using the calculated AEP in Appendix 1 as the true value. However, this uncertainty can
476 show consistent directional deviations because of the fixed values of K and n in Eq.7; therefore, the
477 uncertainty has no effect on the correlation analysis. (2) To establish the functional relationship
478 between P_{df} -AEP, ~~a large number of many~~ rainfall scenarios were simulated using the Dens-ID model.
479 Dens-ID simulated 3376, 3182, 2677, and 2677 rainfall processes with AEP = 20, 40, 45, and 50
480 mm, respectively. The total number of simulated rainfall processes was significantly larger than that
481 of the 1094 observed rainfall events. The collected 1094 rainfall events still cannot fully reflect all
482 rainfall conditions in nature; that is, the amount of the observed 1094 rainfall data is still inadequate
483 when used as the denominator for calculating the probability of debris flow occurrence in JJG.
484 Therefore, the P_{df} calculated using the field observation data may be generally higher than that
485 calculated using Dens-ID. With the accumulation of rainfall observation data of JJG, it is believed
486 that the Pdf derived from field observation data will gradually decrease until it is close to the
487 calculated value of Dens-ID model.-(3) Dens-ID cannot fully and accurately describe the formation
488 process of the debris flow in JJG because of the simplification in theory and boundaries. Dens-ID
489 is also affected by the accuracy of the input parameters (Zhang et al., 2020), which may eventually
490 lead to deviations between the simulation results and field observations.

491 5.2 Potential application and limitation

492 Deriving a quantified functional relationship of P_{df} and AEP would be more conducive to
493 examining the correspondence between these two parameters. Using mathematical physics method,
494 the function of $P_{df} = f(AEP)$ was firstly derived which can help us to learn more from the derived
495 $P_{df} = f(AEP)$.

496 Firstly, AEP is indeed an important factor affecting debris flow. Generally, there is the
497 following consensus in the field of debris flow: the greater the AEP, the higher the probability (P_{df})
498 of subsequent rainfall triggering the debris flow (De Vita et al., 2000; Bel et al., 2017). However,
499 this fuzzy qualitative description cannot explain the influence degree of AEP on the probability of
500 debris flow induced by subsequent rainfall. It can be seen from $P_{df} = f(AEP)$ that there are two
501 key value nodes of AEP affecting P_{df} : (1) point 10 mm: the case of $AEP < 10$ mm indicates that
502 any subsequent rainfall cannot trigger debris flow in JJG. Because the supply rate of solid material
503 is much lower than the runoff generation rate during subsequent rainfall in JJG, the water-soil
504 mixture within tends to be a hyperconcentrated flow rather than a debris flow (Long et al., 2020);
505 (2) Point 50 mm: the case of $10 \text{ mm} \leq AEP \leq 50$ mm means that the soil water content increases
506 significantly compared to $AEP < 10$ mm, but a necessary infiltration time to increase it to the critical
507 state for triggering shallow landslides is still required. Therefore, limited by the supply rate of the
508 solid material, the increasing rate of P_{df} is sluggish. The case of $50 \text{ mm} < AEP \leq 85$ mm represents
509 the soil water content is relatively larger, the solid material from shallow landslides can be
510 immediately ready without a long rainfall infiltration duration, and a large soil water content of
511 topsoil is beneficial to the rapid generation of runoff (Jones et al., 2017; Hirschberg et al., 2021).
512 When there is a sufficient supply of provenance and runoff, the probability of debris flow occurrence

513 in this subprocess is significantly enhanced by the increasing AEP.

514 Secondly, Rainfall-induced debris flow is a small probability event compared with the rainfall
515 frequency in nature. JJG is well-known due to its high-frequency debris flow event. However, the
516 formation probability of debris flow in JJG induced by subsequence rainfall is only 15.88% even
517 the AEP reaches to 85 mm. Therefore, debris flow induced by rainfall in JJG is a small probability
518 event compared with the rainfall frequency. The figure of 15.88% means that the efficiency of rain-
519 induced debris flow is extremely low, which also indicates that the formation of debris flow is an
520 extremely complex physical process, in which rainfall is only one of the motivating factors, and
521 there are other more important internal factors affecting the formation of debris flow, such as
522 topography, source recharge and fluid characteristics of debris flow (Zhang et al., 2020). Thirdly, in
523 practical application, when the AEP in JJG is calculated according to Eq.7, the derived exponential
524 function can help us to assess the probability of debris flow in JJG triggered by subsequent rainfall,
525 according to which debris flow warning information can be issued in advance to provide technical
526 support for disaster prevention and reduction.-

527 Our study also has its own limitations and needs to be listed for providing directions for
528 subsequent investigation. (1) Long-term observation data should be used to deduce the functions of
529 $P_{df} = f(AEP)$, however, the number of debris flow gullies with long-term observational data
530 worldwide is less than 10 (Hürlimann et al., 2019).-(1), accordingly, the function of $P_{df} = f(AEP)$
531 cannot yet be derived in other debris-flow gullies. (2) Dens-ID model assumes that the solid
532 material mainly comes from shallow landslides. However, the formation mechanism and solid
533 source supply mode of runoff-induced debris flow are different. Therefore, the functional of $P_{df} =$
534 $f(AEP)$ for runoff-induced debris flow still needs to be studied with the help of other physical

535 models. (3) The calculation result of $P_{df} = f(AEP)$ derived from Dens-ID model has a large bias
536 from the observation data, the authors think that the main reason is insufficient field observation
537 data especially inadequate rainfall data. Basically, even for high-frequency debris flow gullies like
538 JJG, the success rate of debris flow induced by rainfall is still very low. Continuous increase of
539 rainfall and debris flow observation data will make the growth rate of Nrain in Table 2 much higher
540 than that of Ndf. Therefore, with the accumulation of rainfall observation data of JJG, it is believed
541 that the P_{df} derived from field observation data will gradually decrease until it is close to the
542 calculated result of Dens-ID model. Therefore, the authors will continue to collect field observation
543 data of JJG in the later period, and constantly verify the accuracy of Eq.14 derived from Dens-ID.

544

545 4.4

546 5 Conclusions

547 The Dens-ID model ~~was~~ and Monte Carlo integral equation is used to derive ~~the ID threshold~~
548 ~~curves corresponding to different AEP in the JJG. Thus, the Monte Carlo integral equation was used~~
549 ~~to construct the function of $P_{df} = f(AEP)P_{df,AEP}$ for a probability density distribution of field~~
550 ~~observation rainfall data.~~ The functional relationship ~~was~~ is verified using a large amount of field
551 observation data from JJG. The following conclusions ~~were~~ are drawn as follows.

552 The positive relationship between P_{df} and AEP is now~~The qualitative conclusion recognized~~
553 ~~by scholars that "the greater the AEP, the higher the probability of subsequent rainfall triggering~~
554 ~~debris flow" is~~ described by a clear mathematical equation in this study. ~~For the probability of debris~~
555 ~~flow occurrence in JJG, t~~he effective range of AEP that can affect debris flow formation ~~was~~
556 ~~verified as~~ verifies within 10–~~110~~ 85 mm. Based on the simulation results, the probability of debris

557 flow occurrence in JJG is 0 when-in the condition of $AEP < 10$ mm, and the relationship between
558 P_{df} and AEP can be described by an exponential function when $10 \text{ mm} \leq AEP \leq 85 \text{ mm}$. The
559 plausibility of the first two evolution stages of the P_{df} -AEP piecewise function is effectively
560 confirmed by the field observation data because the P_{df} -AEP relationship obtained from field
561 observation data is highly correlated with the simulation results of Dens-ID. However, the
562 reasonability of the last two stages of the P_{df} -AEP piecewise function cannot be tested because of
563 the lack of field observation data, and the errors of the P_{df} -AEP piecewise function cannot be verified
564 because of the uncertainty of the AEP derived from the observation rainfall data.

565 This study mathematically confirms that "the greater the AEP, the higher the probability of
566 subsequent rainfall triggering debris flow" and quantifies this qualitative conclusion using piecewise
567 functions. This can effectively reveal the essential relationship between the two natural events of
568 rainfall and debris flow, quantitatively describe the impact of different AEPs on the probability of
569 debris flow occurrence, and provide key technical support for the early warning of debris flows.

570 **Acknowledgement:**

571 This work was supported by the National Key Research and Development Program of China
572 (2023YFC3007205), the West Light Foundation of The Chinese Academy of Science, National
573 Natural Science Foundation of China (No. 42271013, No. 42001100), Project of the Department of
574 Science and Technology of Sichuan Province (No. 2023ZHCG0012).

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