

# 1 Advance prediction of coastal groundwater levels with temporal 2 convolutional and long short-term memory networks

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## 11 Highlights

- 12 • TCN- and LSTM-based models were proposed to predict groundwater levels in a  
13 coastal aquifer
- 14 • Tidal, precipitation, and groundwater levels were utilized as input data in the  
15 networks
- 16 • In advance 1- day, 3-, 7- and 15-days groundwater levels were predicted with the  
17 highest accuracy of 1-day-lead prediction
- 18 • The TCN-based model slightly outperformed the LSTM-based model in accuracy  
19 but less efficiency

20

## 21 Abstract

22 Prediction of groundwater level is of immense importance and challenges for ~~the~~  
23 coastal aquifer management with rapidly increasing climatic change. With the  
24 development of artificial intelligence, ~~the~~-data-driven models have been widely  
25 adopted in hydrological processes management. However, due to the limitation of  
26 network framework and construction, they are mostly adopted to produce only a one-  
27 time step in advance. Here, the temporal convolutional network (TCN) and long short-

28 term memory (LSTM) based models were developed to predict groundwater levels with  
29 different leading periods in a coastal aquifer. The beginning hourly-monitored ten-  
30 month data in two monitoring wells were used for model training and testing, and the  
31 data of the following three months were used as prediction with 24, 72, 180, and 360  
32 time-steps (1-day, 3-, 7- and 15-days) in advance. The historical precipitation and tidal  
33 level data were incorporated as input data. For the one-step prediction of the two wells,  
34 the calculated  $R^2$  of the TCN-based ~~models~~ models' values were higher and the RMSE  
35 values were lower than that of the LSTM-based model in the prediction stage with  
36 shorter running times. For the advanced prediction, the model accuracy decreased with  
37 the increase of the advancing period from 1-day to 3-, 7- and 15-days. By comparing  
38 the simulation accuracy and efficiency, the TCN-based model slightly outperformed the  
39 LSTM-based model but was less efficient in training time. Both models showed great  
40 ability to learn complex patterns in advance using historical data with different leading  
41 periods, and had been ~~proved~~ proven to be valid localized groundwater level prediction  
42 tools in the subsurface environment.

43

44 **Keywords:** ~~prediction~~; Groundwater level prediction; Coastal aquifer; Temporal  
45 convolutional networks; Long Short-Term Memory

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## 50 **1 Introduction**

51 As the economic development and population escalate in the coastal area, the fresh  
52 groundwater needs continue to mount, and seawater intrusion has ~~post-posed a~~ great  
53 threat to the availability of portable water resources globally (Baena-Ruiz et al., 2018).  
54 In the United States, Mexico, Canada, Australia, China, India, South Korea, Italy, and  
55 Greece, with dense population, many coastal aquifers have experienced salinization  
56 caused by seawater intrusion (Barlow and Reichard, 2009; Park et al., 2011; Pratheepa  
57 et al., 2015; Zhang et al., 2017; Lu et al., 2013). Protection projects such as aquifer  
58 replenishment can be constructed to alleviate seawater intrusion by artificially  
59 increasing groundwater recharge in ~~the~~the aquifer than what occurs naturally (Abdalla  
60 and Al-Rawahi, 2012; Lu et al., 2019). The replenishment programs have been operated  
61 in the developed areas such as Perth, Western Australia, and California, USA (Garza-  
62 Díaz et al., 2019). The infrastructures tend to be costly and out of reach for many  
63 developing countries. A reliable seawater intrusion monitoring and the predicting  
64 system is still essential and is recognized as the most effective way of keeping  
65 ~~freshwater~~ water from seawater contamination ~~of seawater~~ (Xu and Hu, 2017).

66 In the past several decades, conventional numerical models have been widely  
67 utilized to simulate and predict ~~the~~ groundwater fluctuation dynamics and chemical  
68 variations (Batelaan et al., 2003; Dai et al., 2020; Huang et al., 2015; Li et al., 2002).  
69 However, the difficulty of acquiring extensive hydrological and geological data and  
70 setting reasonable boundaries limits its application ~~on~~to seawater intrusion  
71 management. Meanwhile, the method is not suitable ~~to~~for simultaneously adopting

72 updated monitoring data and ~~produce~~producing real-time prediction. Under such  
73 circumstances, where the data source is scarce, artificial intelligence technology has  
74 been proposed in groundwater dynamic predictions. Artificial neural network (ANN)  
75 has been greatly improved and has ~~became~~become a robust tool for dealing with  
76 groundwater problems, where the flow is nonlinear and highly dynamic in nature  
77 (Maier and Dandy, 2000). The conventional network model generally has defects such  
78 as high computational complexity, slow training speed, and failure in retaining  
79 historical information, thus is hardly to be enrolled in the long-term time-series  
80 prediction (Cannas et al., 2006; Mei et al., 2017). To solve this problem, researchers  
81 upgraded the conventional networks by integrating them with methods like a genetic  
82 algorithm (Danandeh Mehr and Nourani, 2017; Ketabchi and Ataie-Ashtiani, 2015),  
83 singular spectrum (Sahoo et al., 2017), and wavelet transform (Gorgij et al., 2017; Seo  
84 et al., 2015; Zhang et al., 2019). Singular spectrum analysis and wavelet transform can  
85 help to preprocess the time-series data before they are put into the neural networks to  
86 improve prediction accuracy and efficiency.

87 With ~~the~~ computing capacity development, deep learning (DL) has emerged as a  
88 very powerful time-series prediction method. DL models are particularly suitable for  
89 big data time-series, because they can automatically extract complex patterns without  
90 feature extraction preprocessing steps (Torres et al., 2019). However, the generally fully  
91 connected networks are not effective ~~to~~in capturing the temporal dependence of time  
92 -series (Senthil Kumar et al., 2005). Therefore, more specialized DL models, such as  
93 recurrent neural networks (RNN) (Rumelhart et al., 1986) and convolutional neural

94 networks (CNN) (Lecun et al., 1998) have been adopted in the field of time-series  
95 prediction (Feng et al., 2020). Different from the back-propagation (BP) neural network  
96 that the information flows from the input to the output layer in one direction, the RNN  
97 preserves the information from the previous step as input to the current step with loops  
98 (Coulibaly et al., 2001). This allows the RNN to handle time-series and other sequential  
99 data but generally is not straightforward for a long-term calculation in practice (Bengio  
100 et al., 1994). Therefore, the enhanced RNN model, long short-term memory (LSTM) is  
101 proposed and capable ~~to~~ of processing high variable-length sequences even with  
102 millions of data points (Fischer and Krauss, 2018; Kratzert et al., 2019) . As one of the  
103 best deep neural network model in time-series predicting, the LSTM has been widely  
104 used in the prediction of temporal variations such as stock market predictions (Fischer  
105 and Krauss, 2018), rainfall-runoff (Kumar Dubey et al., 2021), and groundwater level  
106 (Solgi et al., 2021). Despite ~~of~~ substantial progresses in hydrology ~~predicting~~ prediction,  
107 these networks still have issues of low training efficiency and low accuracy (Zhan et  
108 al., 2022).

109 More recently, a variant of the CNN architecture known as temporal convolutional  
110 networks (TCN) has acquired popularity (Bai et al., 2018). The prominent characteristic  
111 of TCN is its ability to capture long-term dependencies without information loss (Cao  
112 et al., 2021). Meanwhile, it joints a residual block structure to fix the disappearance of  
113 the gradient in the deep network structure (Chen et al., 2020). With proper  
114 modifications, the TCN is quite genetic and easily to be used to build a very deep and  
115 extensive network in sequence modeling. In earth science, the TCN has been

116 successfully applied to time-series prediction tasks, including multivariate time-series  
117 predicting for meteorological data (Wan et al., 2019), probabilistic predicting (Chen et  
118 al., 2020) and wind speed predicting (Gan et al., 2021). Researcher~~s~~ suggest that the  
119 TCN convincingly has the advantage in popular ~~deep-deep~~-learning models across a  
120 broad range of sequence modeling tasks (Borovykh et al., 2019; Chen et al., 2020; Wan  
121 et al., 2019). Another important subject is that these networks are mostly used to predict  
122 variables in only one step, which is not enough for the prediction of hydrology  
123 information in management. Researches have ~~been~~-adopted the method to predict the  
124 trends of ENSO and sea temperature (Yan et al., 2020; Jian et al., 2021). However, the  
125 potential of TCN has not been investigated in the sequencing model of the  
126 hydrogeology field. Therefore, it is worthy to explore their prediction abilities in  
127 leading periods.

128 The objective of this study is to develop real-time advance prediction climate-  
129 ~~hydro~~-hydro hybrid data-driven models of groundwater level in the coastal aquifer  
130 based on TCN and LSTM. The hourly processed tidal, precipitation with groundwater  
131 level data in monitoring wells of Laizhou Bay are utilized to train the model and predict  
132 the groundwater level in a period of 1-day, 3-, 7- and 15-days. The two models were  
133 further compared in ~~the~~-view of accuracy and efficiency. The rest of the paper is  
134 organized as follows. Sect. 2 introduces the study area and observational data. Sect. 3  
135 illustrates the detailed concepts of TCN and LSTM, the experimental model settings  
136 and model evaluation criteria. Sect. 4 presents the ~~predicting~~-predicted results and  
137 discussions. Finally, the paper is concluded in Sect. 5.

## 138 2 Study area and data processing

### 139 2.1 Site description

140 The study area is located ~~in~~on the south coast of Laizhou Bay, along the Yangzi  
141 to Weifang section in the Shandong province of China (Fig. 1). ~~The~~The Laizhou Bay  
142 ~~is~~has been one of the earliest and most seriously affected areas by seawater intrusion  
143 since the 1970s in China (Han et al., 2014; Zeng et al., 2016). The area is a coastal plain,  
144 which contains a series of Cretaceous to modern sediments that ~~covering~~cover the Paleozoic  
145 basement. The sedimentary facies of coastal aquifer are alluvium, ~~pre~~terrestrial, and marine  
146 sediments from south to north (Han et al., 2011). According to the research of (Xue et  
147 al., 2000), there ~~were~~have been three seawater intrusion and regression events in the  
148 sea area of Laizhou Bay since the upper Pleistocene. The transgression in the early  
149 upper Pleistocene formed the third marine aquifer containing sedimentary water. ~~These~~  
150 ~~brine~~This brine ~~were~~was formed by evaporation and concentration of ancient seawater  
151 and re-dissolution and mixing of salt (Dai and Samper, 2006; Zhang et al., 2017). The  
152 monitoring wells BH01-BH05 are distributed in the study area along a ~~cross~~cross-  
153 section perpendicular to the coastline. Among the wells, ~~the~~wells BH01 and BH05 have  
154 relatively integrated data in time and are distributed ~~in~~on the two sides of the cross  
155 profile with distinguished annual variation patterns, which are selected as examples for  
156 the developed models.



Figure 1. Schematic figure of the study area with monitoring wells BH01-BH05.

## 2.2 Data collection and pre-processing

The precipitation and tidal level are selected as the primary factors to affect the groundwater dynamics in the coastal area. The data in the period of 2011 to 2012 with groundwater level observations of two wells are combined as the input of the deep learning models. A total of 28,836 data items are collected for monitoring wells. ~~and~~ The variations of groundwater level, and tidal level with precipitation are shown in Figure 2. The rainfall is concentrated from June to September and in shortage from December to April. The tide in the study area is irregular, mixed with a semi-diurnal variation. In the experiments, ten months of data from October 2011 to July 2012 is first extracted for model training and testing. The rest of the data from August 2012 to October 2012 is used to test model prediction accuracy.

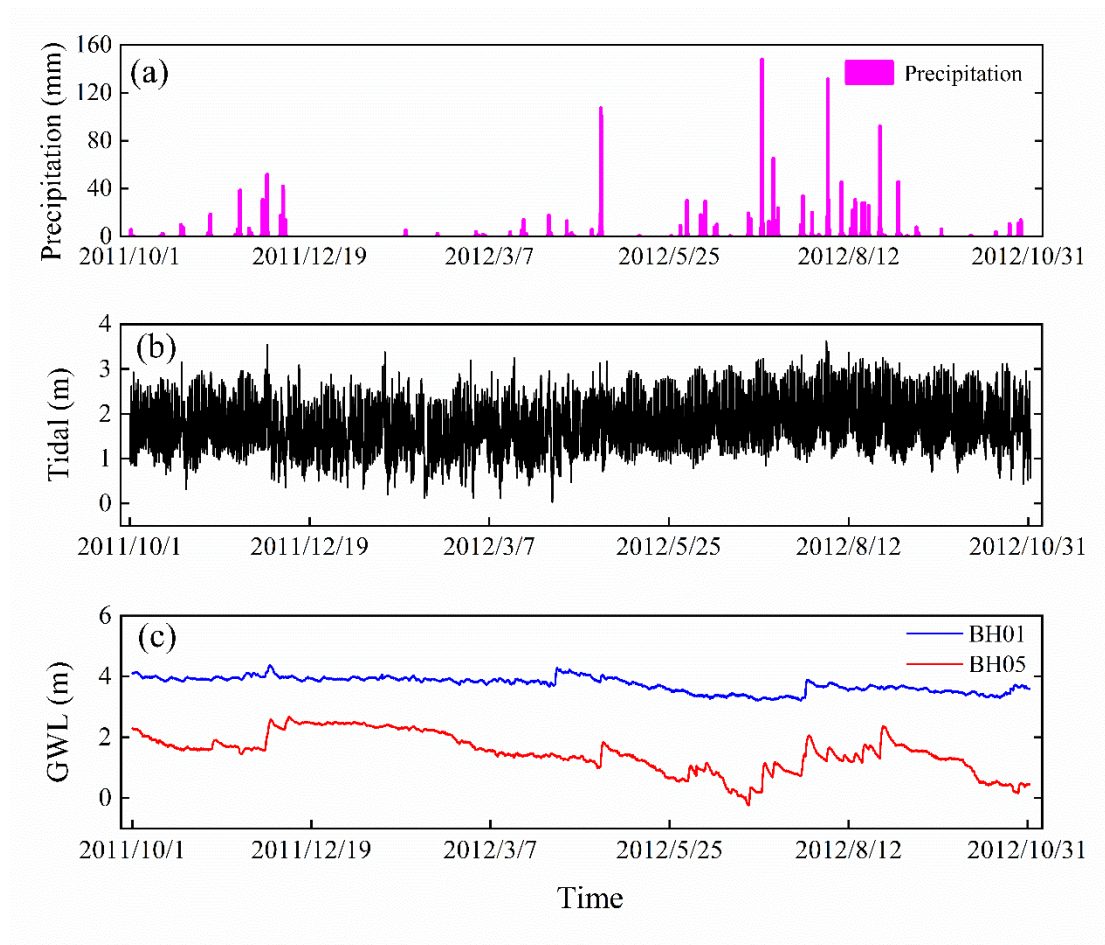
In addition, the magnitudes of meteorological and hydrological variables have



171 obvious temporal variations. To reduce the negative impact on the model learning  
172 ability, especially on the speed of gradient descent, all variables are normalized to  
173 ensure that they remain at the same scale (Kratzert et al., 2019). This pre-processing  
174 method ensures the stable convergence of parameters in the developed TCN- and  
175 LSTM-based models and improve the simulation accuracy of the model. The  
176 normalization formula is as follows:

$$177 \quad x'_{i\dot{i}} = \frac{x_i - x_{min}}{x_{max} - x_{min}} \quad (1)$$

178 where  $x_i$  represents the original data in time  $i$  ~~and  $x_i$  represents the original data in time~~  
179  ~~$i$~~   $x_{max}$  and  $x_{min}$  are the maximum and minimum variable values. The output of the  
180 network is retransformed to obtain the final groundwater level prediction, which is an  
181 inverse data scaling process.



182

183 Figure 2. Time-series of the variables in the study, including (a) precipitation, (b) tide,  
 184 (c) groundwater level (GWL).

### 185 3 Methodology

#### 186 3.1 Temporal Convolutional Network

187 The TCN is first proposed for video action segmentation and detection by  
 188 hierarchically capturing intermediate feature presentations. Then the term is extended  
 189 for sequential data for a wide family of architectures with generic convolution (Bai et  
 190 al., 2018; Lea et al., 2017). Suppose that we have an input hydro-climate sequence at  
 191 different times  $x_0, \dots, x_T$ , the goal of the modeling is to predict the corresponding  
 192 groundwater level as outputs  $y_0, \dots, y_T$  at each time. The problem could transfer to build  
 193 a network  $f$  that minimizes the function loss between observations and actual network

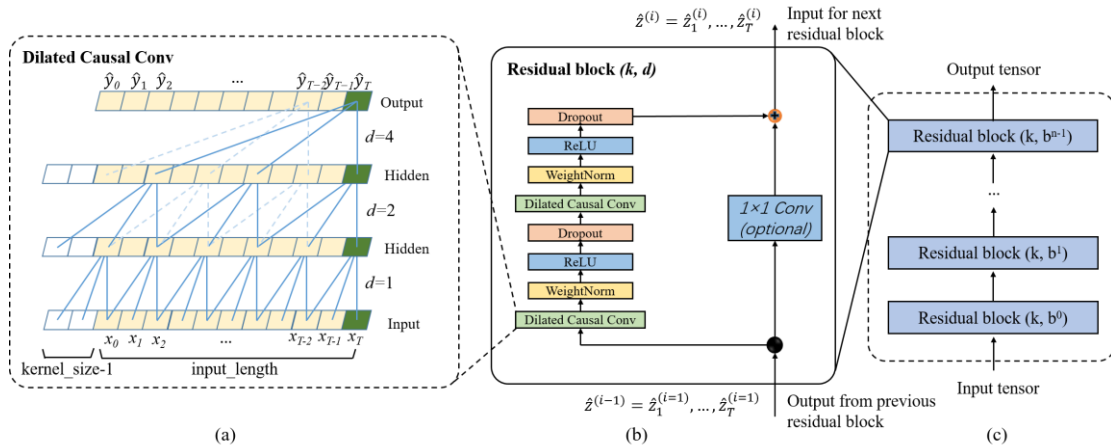
194 outputs  $L[(y_0, \dots, y_T), (\hat{y}_0, \dots, \hat{y}_T)]$ , where  $\hat{y}_0, \dots, \hat{y}_T = f(x_0, \dots, x_T)$ . Currently, a typical  
195 TCN consists of dilated, causal 1D full-convolutional layers with the same input and  
196 output lengths. With TCN, the prediction  $y_t$  depends only on the data from  $x_0$  and  $x_t$  and  
197 does not include the future data from  $x_t$  and  $x_T$  (Yan et al., 2020). With the three key  
198 components of TCN, it has two distinguishing characteristics: (1) the TCN is able to  
199 map the same length of output as the input sequence as in RNN; (2) the convolution  
200 involved in TCN is causal, eliminating the influence of future information on the output.

### 201 3.1.1 Causal Dilated Convolutions

202 In the TCN, the first advantage is accomplished by a 1D full-convolutional network  
203 (FCN) architecture. Different from the traditional CNN, the FCN transforms the fully  
204 connected layers into the convolutional layers for the last layers, preserving the same  
205 length of output as that of the input (Long et al., 2015). As shown in Fig. 3a, the lengths  
206 of the input, the hidden and the output layers are the same in the FCN. Some zero  
207 padding is needed in this step by adding additional zero-valued entries with a length of  
208 kernel size-1 in each layer. The kernel size is the number of successive elements that  
209 are used to produce one element in the next layer.

210 To avoid ~~the~~ information leakage from the future (after time  $t$ ), the TCN uses  
211 causal convolution instead of standard convolution, where only the elements at or  
212 before time  $t$  in the previous layer are adopted into the mapping of the output at time  $t$ .  
213 Further, the dilated convolution is employed to capture long-term historical information  
214 by skipping a given step size (dilation factor  $d$ ) in each layer. For example, the dilation  
215 factor  $d$  increases from 1 to 4 with the evolution of the network depth ( $n$ ) in an

216 exponentially increasing pattern. In this way, a very large receiving domain is created  
 217 and all the historical records in the input can be involved in the prediction model with  
 218 a deep network.



219 (a) (b) (c)

220 Figure 3. Architectural elements in the proposed TCN. (a) the structure of causal dilated  
 221 convolution; (b) the TCN residual block. A  $1 \times 1$  convolution is added when residual  
 222 input and output have different dimensions; (c) framework of residual connection in the  
 223 TCN.

### 224 3.2.2 Residual Connections

225 In a high dimensional and long-term sequence, the network structure could be very  
 226 deep with increasing complicity and cause a vanishing gradient. To solve this issue, a  
 227 residual block structure is introduced to replace the simple 1D causal convolution layer,  
 228 so that the designed TCN structure is more generic (He et al., 2016). The residual block  
 229 in a TCN is represented in Fig. 3b. It has two convolutional layers with the same kernel  
 230 size and dilation factor and non-linearity. To solve non-linear models, the rectified  
 231 linear unit (ReLU) is added to the top of the convolutional layer (Nair and Hinton, 2010).  
 232 The weight normalization is applied between the input of hidden layers (Salimans and

233 Kingma, 2016). Meanwhile, a dropout is added after each dilated convolution for  
234 regularization (Srivastava et al., 2014). For all connected inner residual blocks, the  
235 channel widths of input and output are consistent. But the width may be different  
236 between the input of the first convolutional layer of the first residual block and the  
237 output of the second convolutional layer of the last residual block. Therefore, a  $1 \times 1$   
238 convolution is added in the first and last residual block to adjust the dimensions of the  
239 residual tensor ~~into~~ to the same. The output of the residual block is represented by  $\hat{Z}^{(i)}$  for  
240 the  $i^{\text{th}}$  block.

### 241 3.2.3 Structure of TCN

242 A complete structure of TCN is illustrated in Fig.3c. It contains a series of  
243 proceeding residual blocks. The structural characteristics make TCN a deep learning  
244 network model very suitable for complex time-series prediction problems (Lara-  
245 Benítez et al., 2020). The main advantage of TCN is that, similar to RNN, they have  
246 flexible receptive fields and can deal with various length inputs by sliding one-  
247 dimensional causal convolution kernel. Furthermore, because TCN shares a  
248 convolution kernel and has parallelism, it can process long sequences in parallel instead  
249 of sequential processing like RNN, so it has lower memory usage and shorter  
250 computing time than a cyclic network. Moreover, RNN often has the problems of  
251 gradient disappearance and gradient explosion, which are mainly caused by sharing  
252 parameters in different periods, while TCN uses a standard backpropagation-through-  
253 time algorithm (BPTT) for training, so there is little gradient disappearance and  
254 explosion problem (Pascanu et al., 2012). The detailed mathematical calculation and

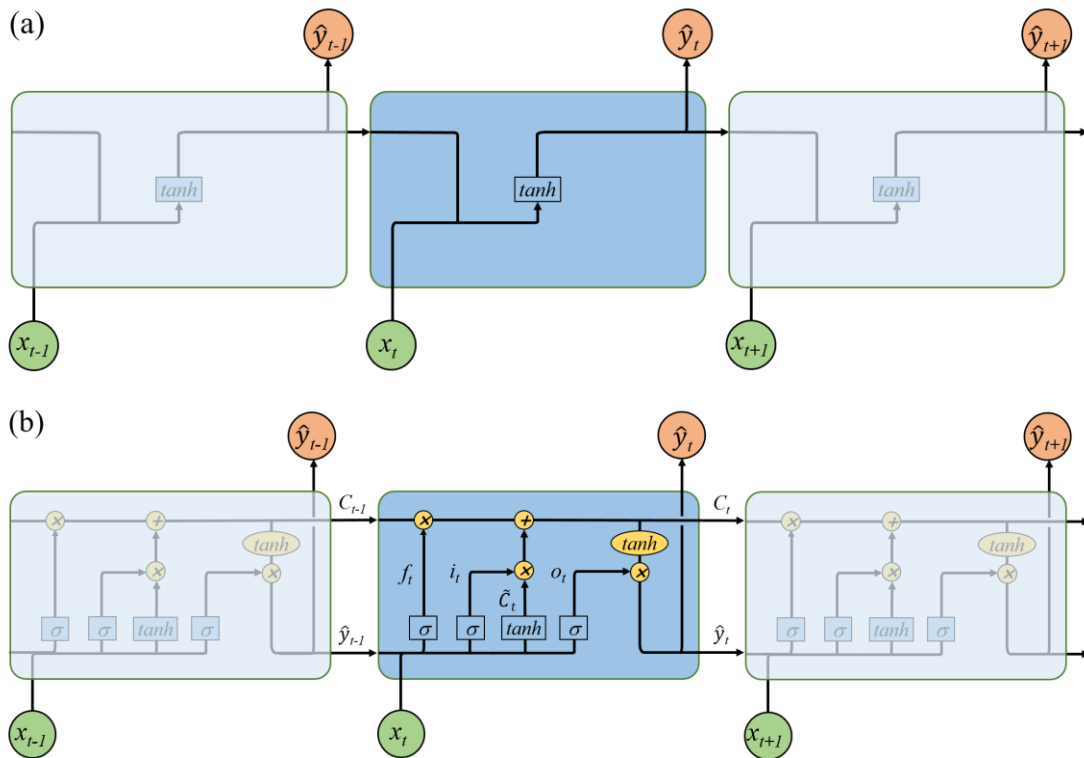
255 associated information of the TCN architecture are referred to (Bai et al., 2018).

### 256 **3.2 Long Short-Term Memory network**

257 LSTM is a special RNN model explicitly designed for long-term dependence  
258 problems. As shown in Fig. 4a, the RNN has a series of repeating modules that are  
259 recursively connected in the evolution direction of the sequence. The chain-like  
260 structure permits the RNN to retain important information in a “tanh” layer and produce  
261 the same length of output  $\hat{y}_t$  as input  $x_t$ . However, the short-length “remember time” is  
262 not enough for ~~the~~ groundwater prediction. Especially for our hourly recorded data, a  
263 maximum step of about ten reported by Bengio et al. (1994) is unable to count the effect  
264 of ~~annual~~ly, ~~seasonal~~ly, and even daily groundwater variation. Different from the simple  
265 layer in the RNN, the LSTM has a more complicated repeating module with four  
266 interacting layers.

267 The core idea of LSTM is the special structure to control the cell state in the  
268 module as shown in Fig. 4b. It includes a cell and an input gate  $i_t$ , a forget gate  $f_t$ , and  
269 an output gate  $o_t$ . The information can directly flow down along cells  $C$  without critical  
270 changes, therefore, preserving long-term history messages (Zhang et al., 2018b). The  
271 three gates control which data in a sequence is important to keep or throw away, and  
272 protect the relevant information passed down in the cell to make predictions. The forget  
273 gate  $f_t$  has a sigmoid layer to determine which information is discarded with a value  
274 between 0 and 1. The lower the value, the less ~~the~~ information is added to the cell state  
275 (Ergen and Kozat, 2018). Opposite the forget gate, the input gate  $i_t$  decides what  
276 information to retain in the cell state. It is composed of two parts: a sigmoid layer and

277 a tanh layer. The two layers are combined to govern which values will be updated by  
 278 generating a new candidate value  $\tilde{C}_t$ . The old cell state  $C_{t-1}$  can then ~~can~~ be updated  
 279 into the new cell state  $C_t$  with a weighted function. Finally, the output gate  $o_t$  determines  
 280 what parts of the cell state should be passed on to the next hidden state. The detailed  
 281 calculation of the LSTM can be referenced to (Lea et al., 2016).



284 Figure 4. Graphical representation for (a) ~~chain-chain~~-like structure of the RNN by

285 assigning  $x_t$  and  $\hat{y}_t$  as input and output. The self-connected hidden units allow

286 information to be passed from one step to the next; (b) LSTM's memory block based

287 on RNN. The hidden block includes three gates (input  $i_t$ , forget  $f_t$ , output  $o_t$ ) and a cell

288 state to select and pass the historical information.

### 289 3.3 Experimental study

290 The TCN- and LSTM-based models were developed separately for monitoring

291 wells BH01 and BH05. Due to the high complexity of the DL models, setting

292 appropriate hyper-parameters for the developed networks is very important. Here, the  
293 impact of the size of the input window, the epoch number, and the batch size ~~were~~was  
294 tested with different convolutional architectures over the monitoring data (Lara-Benítez  
295 et al., 2020). The learning dataset is first divided into two parts: 80% of the time-series  
296 data is used as the training set, and 20% of the data is utilized as the testing set. The  
297 effect of different splitting strategies is further tested in section 4. With the increase of  
298 the epoch numbers, the curve gradually approaches ~~to~~ the optimal fitting state from the  
299 initial non-fitting state, but too many epochs frequently lead to over-fitting of the neural  
300 network (Afaq and Rao, 2020). Meanwhile, the number of iterations generally increases  
301 for updating weights in the neural network. Therefore, the number of epochs s from 0 to  
302 300 is evaluated. Batch size represents the number of samples between model weight  
303 updates (Kreyenberg et al., 2019). The value of the batch size often is set between 1  
304 and hundreds. ~~A Larger~~larger batch size often leads to faster convergence of the model,  
305 but may lead to less ideal of the final weight set. To find the best balance between  
306 memory efficiency and capacity, the batch size should be carefully set to optimize the  
307 performance of the network model. Besides these parameters, the number of filters in  
308 the TCN-based and the hidden nodes in the LSTM-based model ~~were~~was as well tested  
309 within reasonable ranges.

310 The 1-day, 3-, 7-, and 15-days leading prediction experiments were further  
311 conducted to test the capacity of DL methods in predicting long-term groundwater  
312 levels s in the coastal aquifer. To eliminate the randomness of model training, all  
313 experiments were repeated 5 times and the average values of each index were compared.



314 In all experiments, the average absolute error (MAE) has been used as the loss function  
315 of networks (Lara-Benítez et al., 2020). The Adam optimizer has an adaptive learning  
316 rate, which can improve the convergence speed of deep networks, which has been used  
317 to train the models (Kingma and Ba, 2015).

### 318 **3.4 Evaluation of model performance**

319 Two evaluation metrics, coefficient of determination ( $R^2$ ) and root mean square  
320 error (RMSE) are selected to quantify the goodness-of-fit between model outputs and  
321 observations (Zhang et al., 2020). The two criteria are calculated using the following  
322 equations:

$$323 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (h_i - y_i)^2} \quad (1)$$

$$324 \quad R^2 = \frac{\sum_{i=1}^N (h_i - \bar{h})^2 - \sum_{i=1}^N (h_i - y_i)^2}{\sum_{i=1}^N (h_i - \bar{h})^2} \quad (2)$$

325 where  $h_i$  is the observed groundwater level at time  $i$ ,  $y_i$  is the network prediction values  
326 at time  $i$ ,  $\bar{h}$  is the mean of the observed groundwater levels, and  $n$  is the number of  
327 observations. RMSE measures the prediction precision which creates a positive value  
328 by squaring the errors. The RMSE score is between  $[0, \infty]$ . If the RMSE approaches ~~to~~  
329 0, the model prediction is ideal.  $R^2$  measures the degree of model replication results,  
330 ranging between  $[-\infty, 1]$ . For the optimal model prediction, the score of  $R^2$  is close to  
331 1.

## 332 **4 Results and discussions**

### 333 **4.1 Hyper-parameter trial experiments**

#### 334 4.2.1 Experiments of the TCN-based model

335 ~~The~~ TCN-based model was built on the Keras platform using TensorFlow of

336 python as the backend. ~~Taken~~ Taking the groundwater level prediction data set in well  
 337 BH01 as an example, the trials were set up with a ~~variety combination of different~~  
 338 ~~hyper-parameters~~ variety combination of different hyper-parameters in the TCN-based  
 339 model as illustrated in Table 1. With the fixed number of ~~epoch~~ epochs, the simulation  
 340 results of 32 filters were better than that of 16 and 64 filters. Meanwhile, under the  
 341 condition of 32 filters, the accuracy of the model decreased with the increasing ~~of~~ batch  
 342 size. The results of the 16 batch size were ~~-~~ better than that of 32 and 64 batches. Based  
 343 on the above experimental results, the influence of different numbers of epochs on the  
 344 simulation was further explored with the filters was 32 and the batch size was 16, as  
 345 shown in Fig. 5. The overall results of the model were improved when the number of  
 346 ~~epoch~~ epochs increased from 100 to 190 though the variation was not strictly linear, and  
 347 the variations became stable with minor fluctuations when the number of epoch  
 348 exceeded 200.

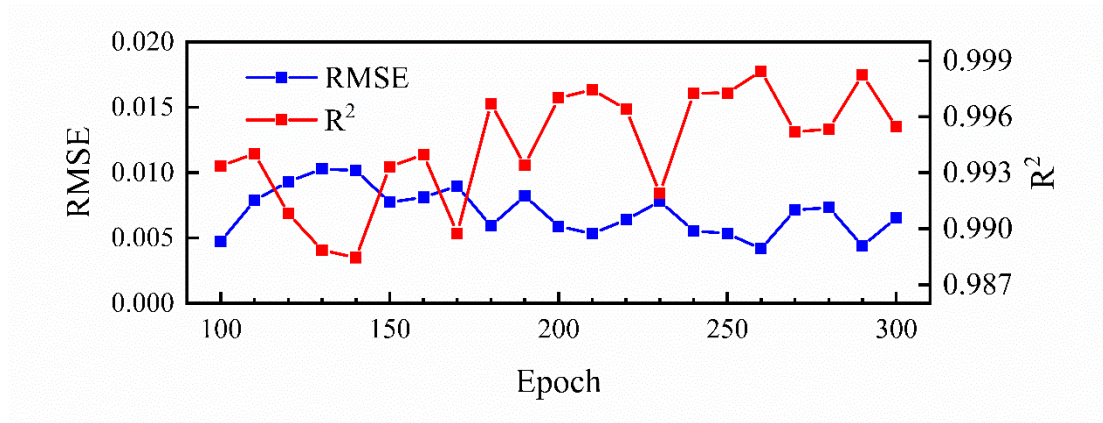
349 Table 1. The RMSE and  $R^2$  values between the observed and predicted groundwater  
 350 levels in well BH01 with different numbers of epochs, different numbers of filters, and  
 351 different batch sizes. The bold values represent the optimal hyper-parameters with the  
 352 smallest RMSE and the highest  $R^2$  scores in the TCN-based model.

353

Epoch	Filters	Batch size	RMSE(m)	$R^2$	Time(min)
100	32	16	0.0182	0.9904	1.29
		32	0.0117	0.9876	1.05
		64	0.0117	0.9875	0.78
200	16	16	0.0078	0.9946	2.41
		32	0.0068	0.9959	1.75

		64	0.0090	0.9942	1.19
200	32	16	0.0059	0.9970	2.58
		32	0.0075	0.9948	2.01
		64	0.0082	0.9938	1.51
200	64	16	0.0125	0.9906	3.68
		32	0.0101	0.9907	3.21
		64	0.0157	0.9775	2.76
300	32	16	0.0065	0.9955	3.8
		32	0.0076	0.9946	3.01
		64	0.0099	0.9904	2.22

354



355

356 Figure 5. The variation of RMSE and  $R^2$  values between the observed and predicted  
357 groundwater levels of well BH01 with the increasing number of the epoch when the  
358 number of filters is 32 and the batch size is 16.

#### 359 4.2.2 Experiments of the LSTM-based model

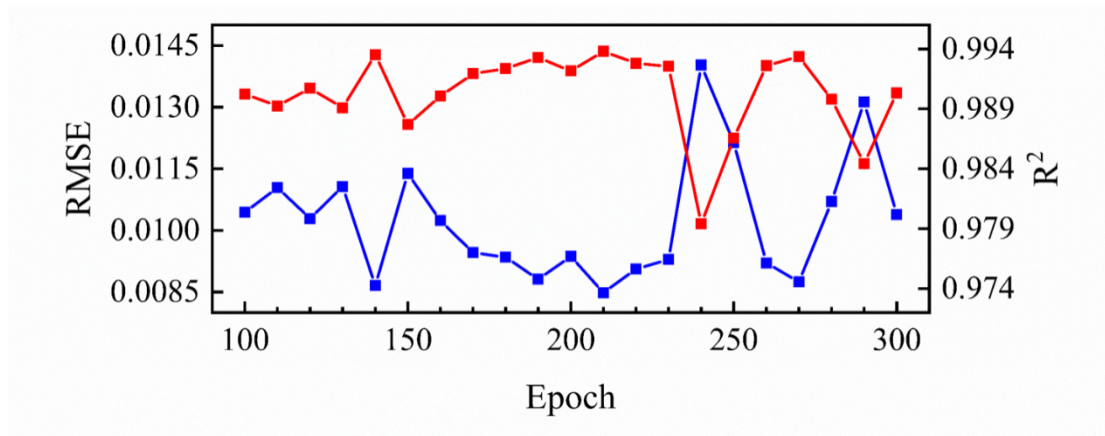
360 The number of epochs and hidden nodes are two key parameters affecting the  
361 simulation accuracy of LSTMs (Zhang et al., 2018a). Different hyper-parameter  
362 combinations were tested as well as in the proposed TCN-based model with  
363 groundwater levels in well BH01. The RMSE,  $R^2$  and running time are shown in Table  
364 2. With a fixed number of hidden nodes, the results of 100 and 200 epochs were better

365 than that in the 300 epochs experiment. A detailed variation of RMSE and  $R^2$  values  
366 with the increasing number of hidden nodes and epochs is further illustrated in Fig. 6.  
367 The figure shows that the RMSE and  $R^2$  have a decreasing and increasing trend  
368 separately when the number of epochs is greater than 150, but is reversed when it is  
369 larger than 240. The variations of RMSE and  $R^2$  with increasing hidden nodes have  
370 similar changes as shown in Table 2. Though an insufficient number of neurons may  
371 decrease the learning ability of the network, the results indicate that ~~an~~-increasing  
372 training hyper-parameters may not be necessary to ensure better prediction.

373 Table 2. The RMSE and  $R^2$  values between the observed and predicted groundwater  
374 levels in well BH01 with different numbers of epochs and hidden nodes. The bold  
375 values represent the optimal hyper-parameters used in the proposed LSTM-based  
376 model.

Epoch	Hidden nodes	RMSE	$R^2$	Time(min)
100	50	0.0104	0.9902	1.01
	60	0.0098	0.9916	1.38
	70	0.0095	0.9922	1.53
	80	0.01	0.9913	1.75
<b>200</b>	50	0.0094	0.9922	1.91
	60	0.0089	0.9931	2.59
	<b>70</b>	<b>0.0088</b>	<b>0.9932</b>	<b>2.96</b>
	80	0.0092	0.9925	3.28
300	50	0.0101	0.9903	2.86
	60	0.0105	0.9901	3.85
	70	0.0103	0.9907	4.29
	80	0.0120	0.9872	4.92

377



378

379 Figure 6. The variation of RMSE and  $R^2$  values between the observed and predicted  
 380 groundwater levels of well BH01 with the increasing of the number of epochs when the  
 381 hidden node is 50.

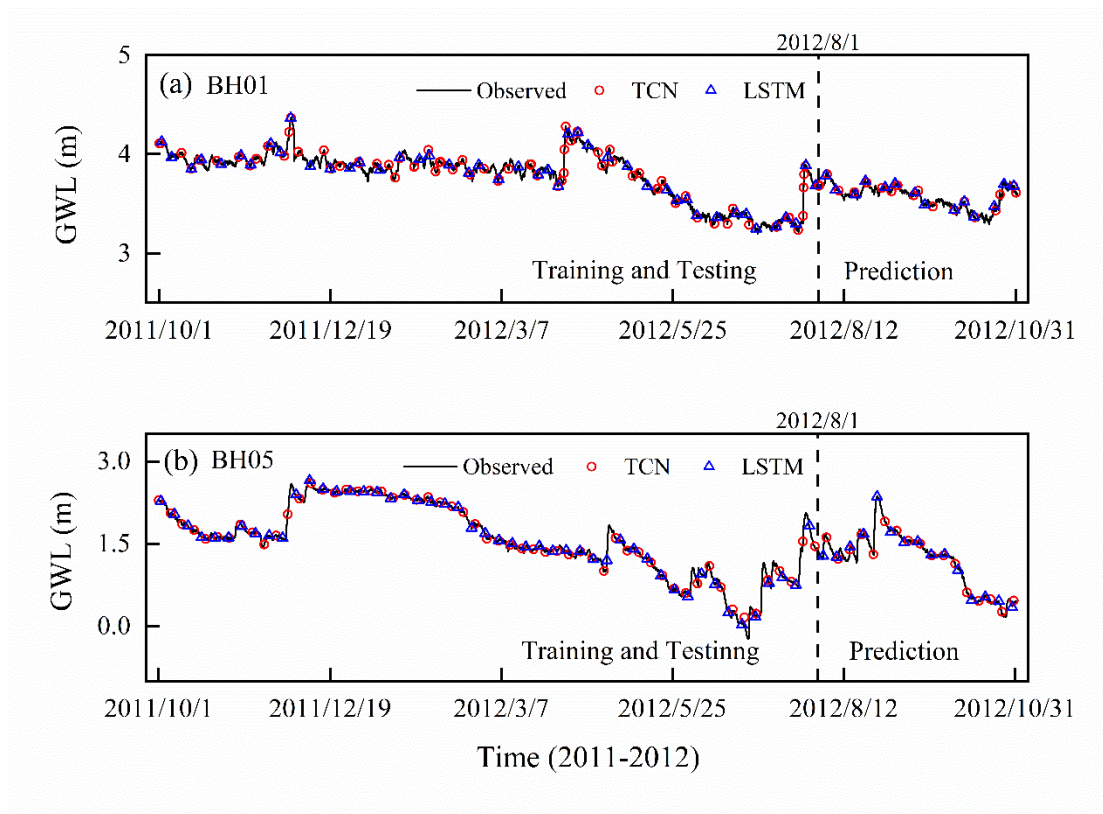
382 The trial experimental results present a similar fitting pattern shared by the two  
 383 kinds of networks. The growing value of parameters dramatically increases the  
 384 computational cost in the network. For example, the time cost from 50 to 80 hidden  
 385 nodes has increased about 1.7 times in each iteration trial in the LSTM-based model.  
 386 Finally, 200 epochs, 32 filters, and ~~the~~ 16 batch size were chosen as the optimal  
 387 parameters in the TCN network. For the LSTM network, the number of epochs s and  
 388 hidden nodes were chosen as 200 and 70.

### 389 4.3 Model performance and evaluation

390 The optimal hyper-parameters of the proposed TCN-based model for  
 391 groundwater level predicting are shown in Table 1 (epoch = 200, filters = 32 and batch  
 392 size = 16). Besides that, the kernel size in each convolutional layer is set as 6, and the  
 393 dilations are [1,2,4,8]. For the LSTM-based model, the batch size is set to 148 with  
 394 epoch=200 and nodes=70. The same hyper-parameters are then utilized to construct  
 395 TCN and LSTM architectures for the prediction of groundwater level in different

396 monitoring wells.

397 The ~~one-one-step-step~~-ahead simulated groundwater level in the training and testing,  
398 and prediction stages by the two models are shown in Fig. 7. For both models, the  
399 simulated values completely capture the variation of groundwater levels in monitoring  
400 wells with the overlapped plots. The  $R^2$  and RMSE values of simulation results are  
401 listed in Table 3. In the prediction stage, the values of RMSE are 0.0019 and 0.0166 for  
402 BH01 and BH05, and the values of  $R^2$  are larger than 0.999 in the prediction for the  
403 TCN-based model. For the LSTM-based model, the RMSE values are 0.0074 and  
404 0.0588, and the  $R^2$  values are 0.9957 and 0.9980. These metrics indicate that both  
405 models can “remember” the historical records and produce true observations. The  
406 simulation accuracy of TCN-based models is slightly higher than the LSTM-based  
407 models. In addition, the running time of the TCN-based model is 2.6 minutes, which is  
408 faster than that of the LSTM-based model.



409

410 Figure 7. The simulation results of groundwater level of monitoring wells BH01 and  
 411 BH05 by TCN-based model. The black dash line divides the data into two groups: the  
 412 training and testing dataset, and the prediction dataset.

413

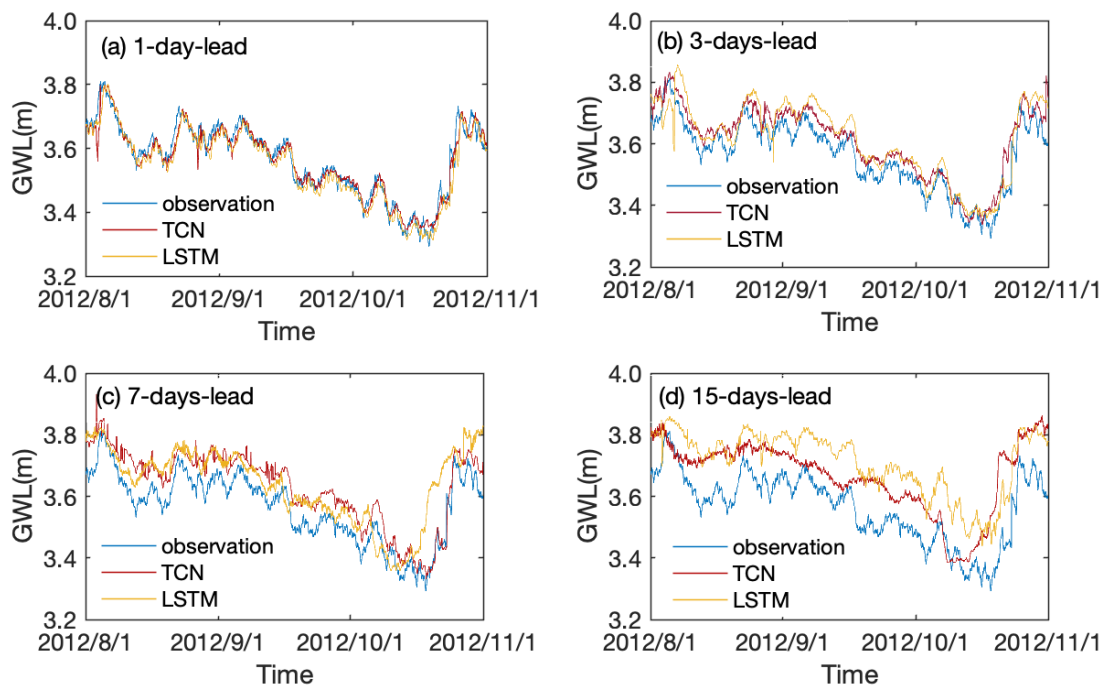
414 Table 3. The model results for groundwater level in the training and testing and  
 415 prediction stage

Well	Model	Training and Testing			Prediction		
		MAE	RMSE	R <sup>2</sup>	MAE	RMSE	R <sup>2</sup>
BH01	TCN	0.0017	0.0068	0.9992	0.0009	0.0019	0.9997
	LSTM	0.0053	0.0077	0.9990	0.0050	0.0074	0.9957
BH05	TCN	0.0070	0.0279	0.9981	0.0061	0.0166	0.9990
	LSTM	0.0082	0.0116	0.9997	0.0168	0.0558	0.9980

416

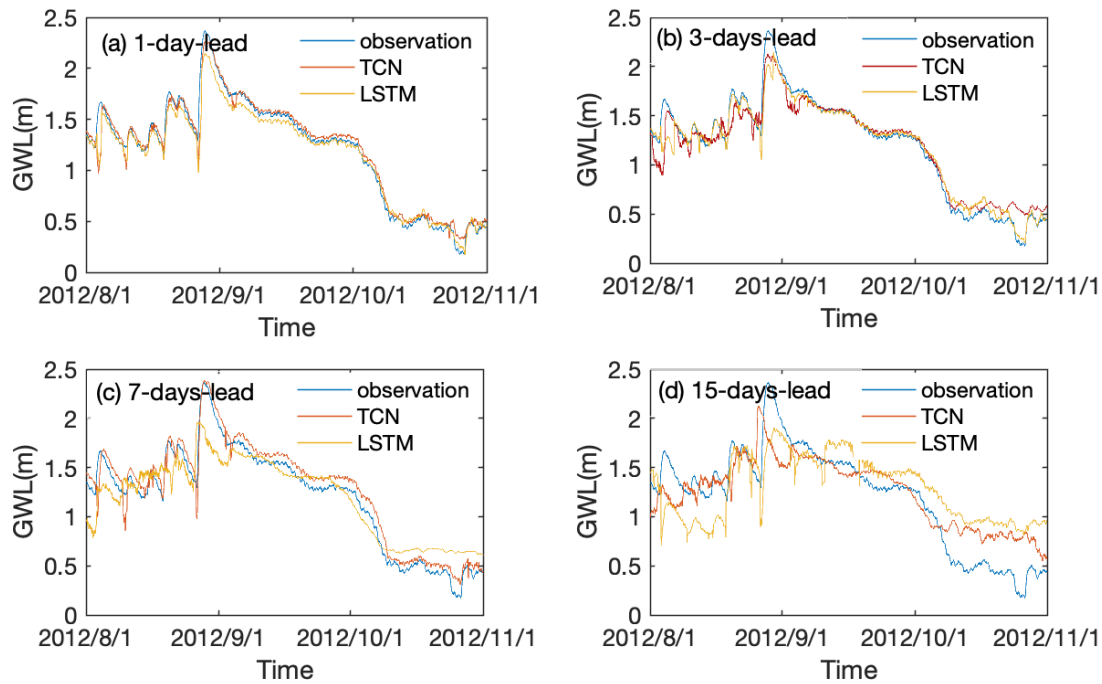
417 **4.4 Long term leading time prediction**

418 The TCN- and LSTM-based models were further adjusted to predict the  
419 groundwater levels over three months ahead with different leading periods. Prediction  
420 results with 1-day, 3-, 7-, and 15-days leading time with TCN- and LSTM-based models  
421 are illustrated in Fig. 8 and Fig. 9 for wells BH01 and BH05, respectively. The results  
422 show that the predicted groundwater values have the same change trend as the actual  
423 groundwater level in monitoring wells. Both of the models are able to capture the  
424 variation trend of groundwater levels with longer leading periods of more than ~~one~~  
425 timeone-time step in the two monitoring wells.



426  
427 Figure 8. The observed and predicted values of the groundwater level with TCN- and  
428 LSTM-based models for 1-day, 3-, 7- and 15-days lead period in monitoring well BH01.  
429





430

431 Figure 9. The observed and predicted values of the groundwater level with TCN- and  
 432 LSTM-based models for 1-day, 3-, 7- and 15-days leading period in monitoring well  
 433 BH05.

434 To quantitatively compare the prediction accuracy of the proposed TCN- and  
 435 LSTM-based models, the results of two evaluation metrics with the model running time  
 436 are summarized in Table 4. It can be learned that the  $R^2$  value of TCN-based models  
 437 decreased from 0.9386 to -0.1407 for well BH01 and from 0.9670 to 0.7271 for well  
 438 BH05. Correspondingly, an increase of RMSE values from 0.028 to 0.1209 and 0.0934  
 439 to 0.206 are observed for BH01 and BH05, separately. A similar variation pattern is  
 440 recognized for the LSTM-based model with smaller  $R^2$  and higher RMSE than that of  
 441 the TCN-based model. Notably, the running time of advance prediction is much longer  
 442 than that of single-single-step prediction. Meanwhile, with the increasing of the leading  
 443 period, the time had been raised nonlinearly. Further, in this process, the TCN-based  
 444 model costs s longer time than that of the LSTM-based model.

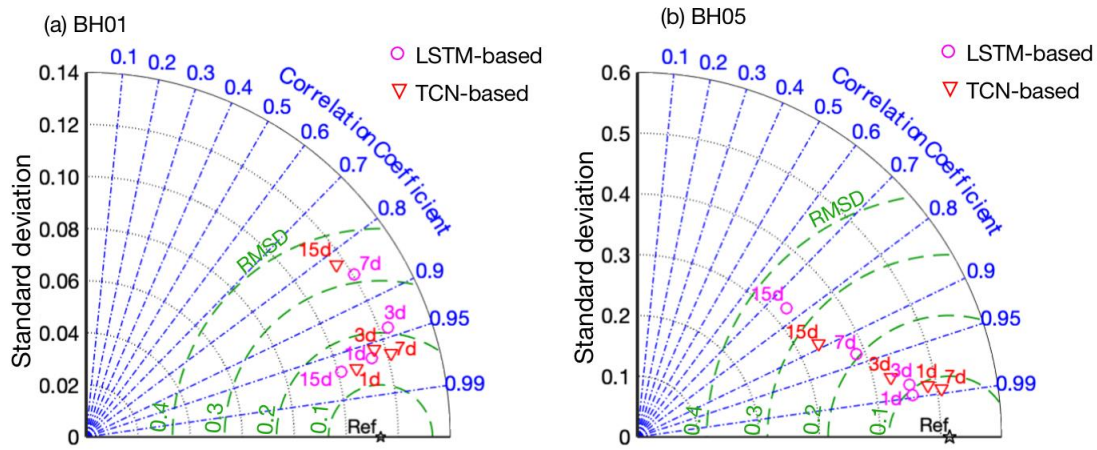
445

Table 4. The model results for groundwater level in the ~~long-long~~-term prediction

Well	Model	Prediction		Mins	Model	Prediction		Mins
		RMSE	R <sup>2</sup>			RMSE	R <sup>2</sup>	
BH01	TCN-1	0.0280	0.9386	5.38	LSTM-1	0.0349	0.9047	3.76
	TCN-3	0.0550	0.7638	16.1	LSTM-3	0.0640	0.6802	11.01
	TCN-7	0.0741	0.5713	34.3	LSTM-7	0.0956	0.2874	26.27
	TCN-15	0.1209	-0.1407	94.95	LSTM-15	0.1486	-0.7227	85.13
BH05	TCN-1	0.0934	0.9670	5.19	LSTM-1	0.1012	0.9613	3.78
	TCN-3	0.1375	0.9285	16.18	LSTM-3	0.1086	0.9554	11.4
	TCN-7	0.1084	0.9296	35.44	LSTM-7	0.2050	0.8406	26.2
	TCN-15	0.2060	0.7271	80.46	LSTM-15	0.3515	0.5330	73.45

446

447 The performance of the two networks was further evaluated with Taylor diagrams  
448 by taking different criteria aspects, ~~which~~ including standard deviation (SD),  
449 correlation coefficient (COR), ~~and~~ root mean square deviation (RMSD) into account  
450 (Taylor, 2001). The comparisons of ~~the~~ TCN- and LSTM-based models are shown in  
451 Fig. 10. As the metrics ~~are~~ distributed away from the reference point (Ref), the deviation  
452 of prediction from observation is generally increased with extending of ~~the~~ leading  
453 period. ~~Taken-Taking~~ well BH05 for example, the prediction with 1-day (24 hours  
454 prediction window) in advance ~~are-is~~ the highest in agreement with the actual situation  
455 in the two models. The 1-day leading prediction results have the lowest RMSD values  
456 and highest R<sup>2</sup> values for both models. The prediction precision gradually decreases  
457 with the ~~extending-extension~~ of leading time to 3-days, 7-days, and 15-days. For well  
458 BH01, an ~~out-out-of-of~~-trending point is observed. The 15-days prediction results of ~~the~~  
459 LSTM-based model ~~is-are~~ closer to the Ref point compared with the TCN-based model.  
460 The reason is that the simulation data is highly correlated with observations as shown  
461 in Fig. 8.



462

463 Figure 10. Taylor diagrams with statistical (SD, COR, RMSD) comparison results of  
 464 the TCN-based and LSTM-based models for well (a) BH01, (b) BH05.

465 Overall, the TCN- and LSTM-based models both have strong prediction ~~ability~~  
 466 abilities in ~~long-long~~ term hydrological time series data. Both models are able to  
 467 provide accurate predictions once they are trained. The simulation accuracy of the  
 468 TCN-based model is slightly better than that of the LSTM-based model in the three  
 469 months prediction but the difference is not significant, with  $p > 0.05$  in the t-test. The  
 470 causal dilated convolutions used by TCNs are ~~proved-proven~~ to be good at capturing  
 471 long-term dependencies of time series data. Meanwhile, the model precision decreases  
 472 and the running time increases with the raising leading period. The processing speed of  
 473 parallel convolution TCN-based models for long input sequences is slower than that of  
 474 recurrent networks. This seems to be a shortage in real-time monitoring and early  
 475 warning. A leading period shorter than 7 days is recommended to ensure both ~~of~~ the  
 476 accuracy and efficiency of the models in real-time monitoring and early warning.

477 From the groundwater level variation, significant groundwater decreasing trends  
 478 can be observed in the irrigation season from March to June. Therefore, ~~the~~ human

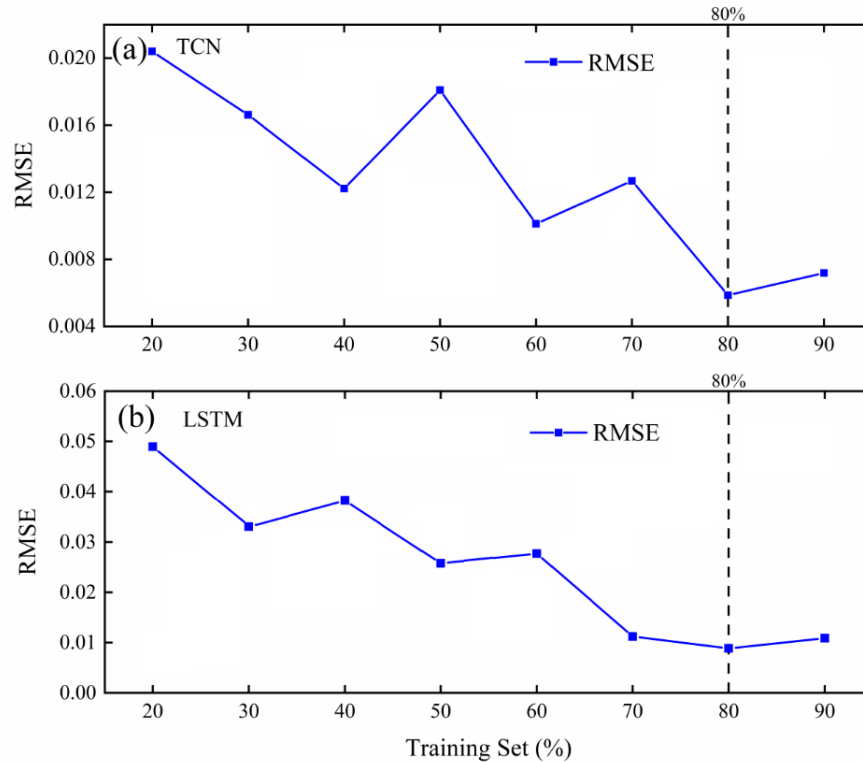
479 activities, such as groundwater pumping are potential reasons for groundwater level  
480 change in the study area. Here, the groundwater levels were predicted based on the  
481 available data of precipitation and tide. If the pumping data is available and  
482 considered in the models, the prediction precision would be enhanced in the models.

#### 483 **4.5 Influence of training set percentage**

484 The data-driven methods are supported by data; however, how much data is needed  
485 to build an effective model is still a challenging problem (Reichstein et al., 2019). This  
486 is because specific problems depend on application cases, data features, and model  
487 features (Wunsch et al., 2021). Here we discuss the effect of the training set percentage  
488 on the TCN- and LSTM-based models. In our study, the data is the hourly-monitored  
489 data from 2011 to 2012. From 2011, we set 20%, 30% to 90% training sets in turn, so  
490 as to gradually expand the length of the training set.

491 Fig. 11 shows the effect of the increased percentage of the training set on the  
492 performance of the model. All experiments were repeated five times, and the average  
493 values of each index were compared. It can be seen that the performance of the TCN-  
494 based model improved with the increase of the percentage of the training set. When the  
495 training set reached 80%, the performance was relatively optimal, and then the  
496 performance began to deteriorate with the increase of the percentage of the training set.  
497 At the same time, it can be seen that the performance of the LSTM-based model tends  
498 to be stable when the training set reaches 70%, and then decreases slightly with the  
499 increase of the training set. Therefore, a training set evaluation is recommended before  
500 the training and testing. We should carefully evaluate and shorten the training data set

501 as much as possible when necessary. Finally, we set 80% of the training set length to  
502 simulate the coastal aquifer time-series data.



503

504 Figure 11. Influence of training set percentage on the performance of the model for (a)  
505 BH01 and (b) BH05.

## 506 5 Conclusions

507 The TCN- and LSTM-based deep learning models were proposed in this paper to  
508 predict groundwater levels in a coastal aquifer. Hyper-parameter searches ~~was~~were first  
509 conducted to obtain good architecture configurations. The results indicated that a deeper,  
510 broader model does not necessarily guarantee better predictions. The optimal  
511 configurations were then ~~were~~ adopted for the networks of all monitoring data. Both ~~of~~  
512 the TCN- and LSTM- based models s well captured the fluctuation of groundwater levels  
513 and achieved satisfactory performance on the prediction. Meanwhile, a decreasing

514 precision is revealed when the leading time increases in advance prediction. In view of  
515 accuracy, the TCN-based model outperforms the LSTM-based model but is less  
516 ~~efficiency~~ efficient in long-term simulation. Thus, both models can ~~bu~~ be used as a  
517 promising method for time-series prediction of hydrogeological data, especially when  
518 the regional data is difficult to collect in a complex system.

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### 523 **Code availability**

524 The pieces of code that were used for all analyses are available from the authors  
525 upon request.

### 526 **Data availability**

527 The data sets that have been analyzed in this paper are available from the  
528 authors upon request.

### 529 **Author contribution**

530 XZ drafted the manuscript and revised the manuscript. GC designed the  
531 experiments and collected all the data. DF developed the model code and performed  
532 the simulations. ZD was responsible for the project design, oversaw the analysis, and  
533 conducted manuscript revision as the project leader and the senior scientist.

### 534 **Competing interests**

535 The authors declare that they have no conflict of interest.

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