

# 1 Ensemble streamflow prediction considering the influence of 2 reservoirs in Narmada River basin, India

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4 Urmin Vegad<sup>1</sup> and Vimal Mishra<sup>1,2\*</sup>

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6 <sup>1</sup>Civil Engineering, Indian Institute of Technology (IIT) Gandhinagar

7 <sup>2</sup>Earth Sciences, Indian Institute of Technology (IIT) Gandhinagar

8 \*Corresponding author: vmishra@iitgn.ac.in

## 9 Abstract

10 Developing an ensemble hydrologic prediction system is essential for reservoir operations and flood early warning.  
11 However, efforts to build hydrologic ensemble prediction systems considering the influence of reservoirs have  
12 been lacking in India. We examine the potential of the Extended Range Forecast System (ERFS, 16 ensemble  
13 members) and Global Ensemble Forecast System (GEFS, 21 ensemble members) forecast for streamflow  
14 prediction in India using the Narmada River basin as a testbed. We use the Variable Infiltration Capacity (VIC)  
15 with reservoir operations (VIC-Res) scheme to simulate the daily river flow at four locations in the Narmada basin.  
16 Streamflow prediction skills of the ERFS forecast were examined for the period 2003-2018 at 1-32 day lead. We  
17 compared the streamflow forecast skills of raw meteorological forecasts from ERFS and GEFS at a 1-10 day lead  
18 for the summer monsoon (June-September) 2019-2020. The ERFS forecast underestimates extreme precipitation  
19 against the observations compared to the GEFS forecast during the summer monsoon of 2019-2020. However,  
20 both the forecast products show better skills for minimum and maximum temperatures than precipitation.  
21 Ensemble streamflow forecast from the GEFS performs better than the ERFS during 2019-2020. The performance  
22 of GEFS based ensemble streamflow forecast declines after five days lead. Overall, the GEFS ensemble  
23 streamflow forecast can provide reliable skills at a 1-5 day lead, which can be utilized in streamflow prediction.  
24 Our findings provide directions for developing a flood early warning system based on ensemble streamflow  
25 prediction considering the influence of reservoirs in India.

## 26 1. Introduction

27 Floods are one of India's most destructive and frequently occurring natural disasters. Floods accounted for about  
28 47% of natural disasters in India during the last 100 years (Tripathi, 2016). Riverine floods occur during the  
29 summer monsoon season affecting approximately five million people annually (Luo et al., 2015). In India, the  
30 frequency of floods has increased in the past (Singh and Kumar, 2013). About 20% of the total flood-prone area  
31 gets affected every year (Ray et al., 2019). Floods in 2018 caused an economic loss of more than twelve billion

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43 dollars (USD) and resulted in the loss of 1808 lives (Joshi, 2020). In addition, climate warming is projected to  
44 increase the frequency and intensity of riverine floods (Field et al., 2011; Luo et al., 2015; Nanditha and Mishra,  
45 2022; Ali et al., 2019).

46  
47 Preparedness for disasters like floods can help in mitigating economic loss and reducing flood mortality (Jain et  
48 al., 2018). While losses due to floods are projected to rise under the warming climate, human mortality can be  
49 reduced with flood early warning systems and effective communication (Dipti, 2017; Nanditha and Mishra, 2021).  
50 Therefore, developing a robust flood prediction system is necessary for early warning and preparedness.

51 Streamflow prediction is an essential component of flood forecasting, which helps in planning and decision-  
52 making (Georgakakos et al., 2012; Alfieri et al., 2013). Most of the streamflow prediction systems in India are  
53 based on the deterministic approach (Harsha, 2020a; Todini, 2017; Nanditha and Mishra, 2021), which do not  
54 account for perturbations in initial conditions to quantify the uncertainty (Bowler et al., 2008). Uncertainty  
55 quantification in streamflow prediction can reduce the risk of false alarms based on deterministic forecast (Todini,  
56 2017). In addition, ensemble streamflow prediction is essential for the probabilistic flood forecast. The  
57 probabilistic approach performs better than the deterministic approach by quantifying uncertainties associated with  
58 flood prediction and early warning system (Krzysztofowicz, 2001). Previous studies used ensemble streamflow  
59 prediction in flood forecasting (Cloke and Pappenberger, 2009; Wu et al., 2020) using ensemble meteorological  
60 forecast and hydrologic models (Zhang et al., 2020). Ensemble weather forecast provides multiple members at the  
61 same location and time that can be used for probabilistic hydrologic prediction. However, several challenges are  
62 associated with the operational ensemble streamflow forecast, including computational limitations, explanation of  
63 ensemble forecasts to non-experts, and up-gradation in the policy to use the forecast for decision making (Demeritt  
64 et al., 2010; Arnal et al., 2020). Despite these challenges, ensemble flood forecasts consider the uncertainty that  
65 can be used for preparedness and planning compared to the deterministic forecast approach. (Pappenberger et al.,  
66 2012; Cloke and Pappenberger, 2009).

67  
68 Indian river basins are considerably affected by human interventions including presence of reservoirs, water  
69 withdrawal for irrigation, and inter/intra basin water transfer (Nanditha and Mishra, 2021; Madhusoodhanan et al.,  
70 2016; Gosain et al., 2006). India has more than 5000 large dams while about 450 are currently under construction  
71 (NRLD, 2017). Reservoirs and irrigation can considerably modulate terrestrial water and energy budgets in India  
72 (Shah et al., 2019). For instance, Shah et al. (2019) showed that evapotranspiration and latent heat flux are  
73 increased under the presence of irrigation and reservoirs in Indian river basins compared to their natural conditions.  
74 Dong et al. (2022) reported that reservoirs can significantly (~ 25%) contribute to the variation of terrestrial water  
75 storage in China. In addition, the presence of reservoirs can considerably affect streamflow variability in the  
76 downstream regions (Zajac et al., 2017; Yun et al., 2020; Chai et al., 2019). Reservoirs in India are multipurpose  
77 as these store water for the dry season, generate hydropower, and attenuate floods in the downstream regions  
78 (Tiwari and Mishra, 2022). Reservoirs store water during the summer monsoon season and release water during

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106 the dry season for irrigation. Similarly, based on the reservoir rule curve, a buffer storage is kept during the wet  
107 season to accommodate high inflow so that flood risk can be minimized in the downstream region. Therefore,  
108 there are several challenges associated with the streamflow forecast in the river basins that are affected by  
109 reservoirs. Most often hydrological model-based flood/streamflow forecast does not consider the influence of  
110 reservoirs that could lead to under or overestimation of flow depending on the season (Nanditha and Mishra, 2021;  
111 Dang et al., 2019). Incorporating reservoir influence in hydrologic models is essential as reservoirs significantly  
112 affect the magnitude and timing of streamflow (Zajac et al., 2017; Yassin et al., 2019; Dang et al., 2019). Several  
113 efforts have been made to incorporate the influence of reservoirs in the hydrologic models (Boulangue Julien and  
114 Hanasaki Naota, 2013; Dang et al., 2019; Hanasaki et al., 2018). However, most of the previous studies on flood  
115 forecasts and early warnings in India did not consider the influence of reservoirs (Goswami et al., 2018; Sikder  
116 and Hossain, 2019).

117  
118 The Central Water Commission (CWC) manages flood forecast systems in India. The flood forecast network  
119 monitors 325 stations across India. CWC observes real-time water level and discharge along the major rivers of  
120 India during the designated flood period. The flood forecast is performed using statistical correlation methods  
121 from gauge to gauge. Moreover, Quantitative Precipitation Forecast (QPF) from the India Meteorological  
122 Department (IMD) is used to forecast floods at a 3-day lead time (Teja and Umamahesh, 2020). The current model-  
123 based flood forecast approach used by CWC is deterministic, which lacks incorporating uncertainties in the  
124 forecast and early warning system. An ensemble forecast system can help in flood early warning and decision-  
125 making (Harsha, 2020b; Nanditha and Mishra, 2021). Various ensemble forecast products are available from the  
126 India Meteorological Department (IMD) and the Indian Institute of Tropical Meteorology (IITM). However, the  
127 utility of these forecast products for streamflow prediction and flood early warning at the river basin scale has not  
128 been examined. In addition, despite the advantages of ensemble hydrologic prediction, India's current hydrologic  
129 forecast systems are mainly deterministic. Given the increasing flood damage in India, the overarching aim of this  
130 work is to explore the utility of ensemble forecast products for streamflow prediction in India. We considered the  
131 Narmada River basin as a testbed to examine the potential of ensemble hydrologic prediction. We used the Variable  
132 Infiltration Capacity (VIC) with reservoir operations (VIC-Res) scheme, which incorporates the effect of  
133 reservoirs (Dang et al., 2019). Extended Range Forecast System (ERFS) and Global Ensemble Forecast System  
134 (GEFS) ensemble forecasts developed by IITM are used to examine the hydrologic prediction skills at the selected  
135 gauge stations in the Narmada basin.

## 136 137 2. Data and methods

### 138 2.1 Study region and datasets

139 Narmada is the fifth biggest and the largest west-flowing river in India. The Narmada river basin falls in two states,  
140 Gujarat and Madhya Pradesh. Many tributaries contribute to the river through its way to the Arabian Sea, with the

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Deleted: the flood early warning and decision making (Harsha, 2020b; Nanditha & Mishra, 2021). Moreover, river basins in India are considerably influenced by reservoirs' presence, and incorporating the influence of reservoirs in streamflow prediction remains a challenge. Incorporating reservoir influence in hydrologic models is essential as reservoirs significantly affect the magnitude and timing of streamflow (Dang et al., 2019a; Yassin et al., 2019; Zajac et al., 2017). However, most of the previous studies on flood forecasts and early warnings in India did not consider the influence of reservoirs (Goswami et al., 2018; Sikder & Hossain, 2019). ¶

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159 Tawa river being its longest tributary. The catchment area of the river basin at the outlet is approximately 98,796  
 160 km<sup>2</sup>. The upper portion of the basin falls in Madhya Pradesh. The mean annual rainfall in the Narmada basin is  
 161 1064 mm. Most of the total annual precipitation occurs during the summer monsoon season (June-September).  
 162 We used observed daily streamflow at four stations: Sandia, Handia, Mandleshwar, and Garudeshwar (Fig. 1).  
 163 There are several ongoing hydropower and irrigation projects in the Narmada basin. Our hydrologic modelling  
 164 framework has considered four dams: Bargi, Tawa, Indira Sagar, and Sardar Sarovar, (Table 1). Bargi and Tawa  
 165 reservoirs were primarily constructed for irrigation purposes, (Table 1). At the same time, Indira Sagar (0.975  
 166 Billion Cubic Meters (BCM)) and Sardar Sarovar (5.8 BCM) are the two largest reservoirs that are used for multi-  
 167 purpose. ▲

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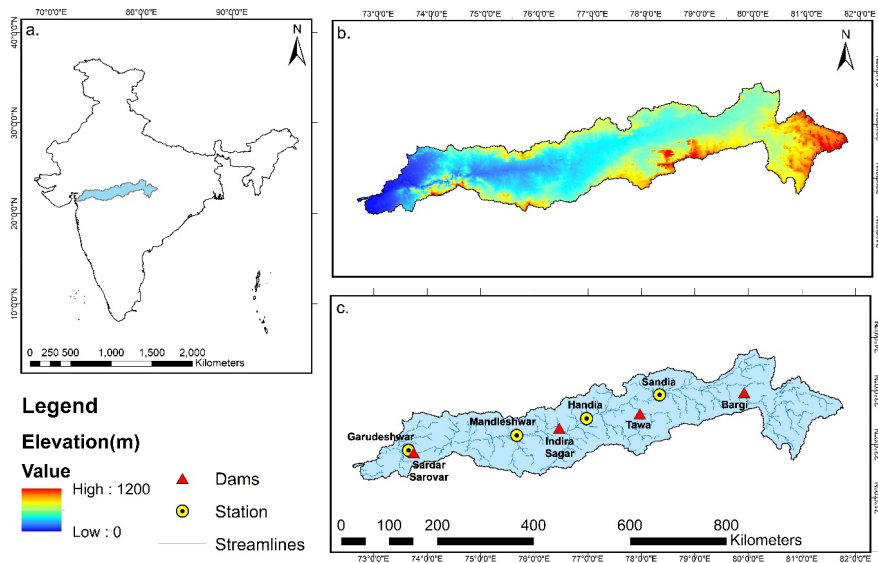
168 **Table 1. Parameters of reservoirs that were considered in hydrologic simulations**

<u>Sr No</u>	<u>Name of dam</u>	<u>Year of completion</u>	<u>Type</u>	<u>Height above lower foundation (m)</u>	<u>Length of dam (m)</u>	<u>Gross storage capacity (BCM)</u>	<u>Effective storage capacity (BCM)</u>
<u>1</u>	<u>Bargi</u>	<u>1988</u>	<u>Other</u>	<u>69.8</u>	<u>5357</u>	<u>3.92</u>	<u>3.18</u>
<u>2</u>	<u>Tawa</u>	<u>1978</u>	<u>Earthfill Embankment</u>	<u>57.92</u>	<u>1944.92</u>	<u>2.312</u>	<u>1.94</u>
<u>3</u>	<u>Indira Sagar</u>	<u>2006</u>	<u>Other</u>	<u>91.4</u>	<u>654</u>	<u>12.22</u>	<u>9.75</u>
<u>4</u>	<u>Sardar Sarovar</u>	<u>2017</u>	<u>Other</u>	<u>163</u>	<u>1210</u>	<u>9.5</u>	<u>5.8</u>

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173 **Figure 1. Basic information about (a) location in India, (b) topography, (c) streamlines, location of streamflow gauge**  
 174 **stations and reservoirs**

175 We used 0.25° (approximate spatial resolution; ~~27.5 x 27.5~~ km) gridded daily precipitation from IMD for the  
 176 1951-2020 period (Pai et al., 2014). The daily gridded precipitation product is developed using observations from  
 177 6955 rain gauge stations (Pai et al., 2015). Pai et al. (2015) examined daily rainfall trends, long-term climatology,  
 178 and variability over the central Indian region. ~~The high resolution (0.25°) gridded precipitation captures spatial~~  
 179 ~~variability in better manner compared to previous coarse-gridded rainfall products.~~ We obtained daily 1° gridded  
 180 maximum and minimum temperatures from IMD (Srivastava et al., 2009). Srivastava et al. (2009) developed the  
 181 gridded temperature dataset using observations from 395 stations. We used bilinear interpolation to convert the 1°  
 182 gridded temperature to 0.25° resolution to make it consistent with the gridded precipitation. The VIC model also  
 183 requires daily wind speed as an input. We obtained the wind speed from the National Centers for Environmental  
 184 Prediction (NCEP)-National Centers for Atmospheric Research (NCAR)  
 185 (<https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.pressure.html>). The wind speed at a coarser (1.875° x  
 186 1.905°) resolution was interpolated using bilinear interpolation to 0.25° to make it consistent with the other  
 187 meteorological datasets. The VIC model's vegetation parameters were obtained from the Advanced Very High-  
 188 Resolution Radiometer (AVHRR) global land cover, ~~which is~~ available at 1-km spatial resolution (Sheffield and  
 189 Wood, 2007). Soil parameters at 0.25° were developed using the Harmonized World Soil Database (HWSD  
 190 version 1.2) (Gao et al., 2009). We used digital elevation model data from Shuttle Radar Topography Mission

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197 (SRTM) at 90 m spatial resolution (Jarvis, 2008). The hydrological model considers sub-grid variability of  
198 topography and vegetation (Gao et al. 2010). Therefore, the high-resolution vegetation and elevation datasets were  
199 used to extract values for different tiles within a grid.

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200 We obtained observed daily streamflow, reservoir water level, and reservoir live storage data from the India -  
201 Water Resources Information System (IWRIS; <http://www.indiawris.gov.in>), which is a joint venture of the  
202 Central Water Commission, the Ministry of Jal Shakti, and the Indian Space Research Organization (ISRO).  
203 Streamflow and reservoir levels are monitored at various locations in the Narmada basin by CWC. We selected  
204 the gauge stations (Sandia, Handia, Mandleshwar, and Garudeshwar) that have observed flow data for at least 15  
205 years. The reservoir storage and water level data were obtained for different periods depending on the data  
206 availability.

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207 We obtained the Extended Range Forecast System (ERFS) meteorological forecast for the 2003-2020 period. In  
208 addition, the Global Ensemble Forecast System (GEFS) meteorological forecast was obtained for the summer  
209 monsoon season (July-September) of 2019-2020 from the IITM. Both the ERFS and GEFS forecast products are  
210 developed at IITM and are currently being used for the operational weather forecast by the IMD. In June 2018,  
211 the high-resolution GEFS forecast was developed and then transferred to the IMD for operational forecasting  
212 (Mukhopadhyay et al., 2018). The GEFS dataset has a horizontal resolution of T1534 (~12.5 km) and consists of  
213 21 ensemble members (one control and twenty perturbed). The dynamic core of the model is based on semi-  
214 Lagrangian framework, which reduces considerable computational requirements. The initial conditions (ICs) for  
215 meteorological forecasts are obtained from Global Data Assimilation System (GDAS). The GEFS is being run  
216 operationally for the ten-day lead forecast using daily Initial Conditions (ICs) during the summer monsoon period.  
217 The GEFS forecast successfully predicted the 2018 Kerala extreme rainfall at 2-3 days lead and showed reasonable  
218 forecast skills at 5-7 days lead (Mukhopadhyay et al., 2018).

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219 The ERFS multi-model system consists of four (CFSv2T382, CFSv2T126, GFSbcT382 and GFSbcT126) suites,  
220 each having four ensemble members (one control and three perturbed). Therefore, sixteen ensemble members are  
221 available for the ERFS forecast. The model is being run operationally for 32 days lead based on the initial  
222 conditions of every Wednesday. Atmospheric and oceanic initial conditions from the National Center for Medium-  
223 Range Weather Forecasting (NCMWRf) and Indian National Centre for Ocean Information Services (INCOSIS)  
224 assimilation system are used by the models in ERFS. We used the sixteen ensemble meteorological forecasts to  
225 simulate the daily streamflow at 1-32 days leads at selected stations in the Narmada river basin. Shah et al. (2017)  
226 reported that ERFS performed better than the Global Ensemble Forecast System v2 (GEFSv2) and Climate  
227 Forecast System v2 (CFSv2) in precipitation forecast during the summer monsoon season over India.

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## 228 2.2 The VIC-Res hydrologic model

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231 We used the VIC-Res hydrologic model (Dang et al., 2019), a novel variant of the VIC model (Liang et al., 1994),  
 232 to simulate streamflow. A combination of the VIC model and the routing model developed by Dang et al. (2019)  
 233 was used to simulate streamflow at the selected locations in the basin. Dang et al. (2019) incorporated the effect  
 234 of reservoirs by considering the reservoir storage dynamics and operating rules within the streamflow routing  
 235 model in the VIC-Res model. The rainfall-runoff model generates water and energy fluxes within each grid using  
 236 climate forcing, soil parameters, land use/land cover, and the digital elevation model. The model uses vegetation  
 237 cover for each tile and three soil layers for each grid cell. The upper two soil layers control runoff, infiltration, and  
 238 evaporation, while the bottom layer governs baseflow. The routing model uses water fluxes (runoff and baseflow)  
 239 from each grid to simulate streamflow at selected gauge stations using the linearized Saint-Venant equations. The  
 240 routing model uses flow direction, fractional area within a grid, and station location as input to generate  
 241 streamflow. In addition, the VIC-Res model requires reservoir parameters and location as inputs. The reservoir  
 242 parameters include full reservoir level (FRL), dead water level, storage capacity, dead storage, rated head, and the  
 243 year when reservoir became operational. The VIC-Res considers a grid as a reservoir and the incoming streamflow  
 244 to that reservoir is considered as the inflow. In addition to the reservoir parameters, observed seasonal cycle is also  
 245 required as input to the routing scheme. The model implements mass balance equation at each time step to calculate  
 246 storage and outflow/release from the reservoir. The VIC-Res model simulates daily reservoir inflow, outflow, live  
 247 storage, and water level. Dang et al. (2019) reported that even the model without a reservoir exhibits almost the  
 248 same level of accuracy. However, as the parametrization is inappropriate when the model is calibrated using the  
 249 observed flow that is affected by reservoirs, hydrological processes simulated by the model can be erroneous.

250 We used observed daily precipitation, maximum and minimum temperatures from IMD, and wind speed from  
 251 NCEP-NCAR reanalysis as meteorological forcing. We used reservoir storage observations to input the seasonal  
 252 cycle for each reservoir into the model. An autocalibration module developed by Dang et al. (2020) was used to  
 253 calibrate soil parameters of the VIC-Res model for the Narmada River basin. The autocalibration module uses the  
 254  $\epsilon$ -NSGAI multi-objective evolutionary algorithm (Reed et al., 2013) to adjust the values of sensitive soil  
 255 parameters. The autocalibration module can be used to calibrate model parameters at the outlet of different sub-  
 256 basins within a river basin. First, we used autocalibration to calibrate parameters of upstream basins, then the  
 257 parameters for the downstream basins were calibrated for the grids that are not part of the upstream basins. We  
 258 used five soil parameters ( $B_{inf}$ ,  $D_s$ ,  $D_{smax}$ ,  $W_s$ , and depth of three soil layers) to calibrate daily streamflow at the  
 259 selected gauge stations in the basin, as described in Mishra et al. (2010).  $B_{inf}$  is the variable infiltration curve  
 260 parameter.  $D_{smax}$  is the maximum velocity of baseflow.  $D_s$  is a fraction of  $D_{smax}$  where non-linear baseflow begins.  
 261  $W_s$  is a fraction of maximum soil moisture non-linear baseflow occurs (Liang et al., 1994). Further details of the  
 262 calibration parameters can be obtained from Mishra et al. (2010). The autocalibration module optimizes the  
 263 model's performance in simulating streamflow at selected stations considering reservoir dynamics. We set our  
 264 objective to maximize Nash-Sutcliffe Efficiency (NSE) (Dawson et al., 2007; Nash and Sutcliffe, 1970). The  
 265 model performance was evaluated for daily streamflow, the water level of reservoirs, and the live storage of  
 266 reservoirs using NSE and coefficient of determination ( $R^2$ ). Daily streamflow was calibrated and evaluated at

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298 Sandia, Handia, Mandleshwar, and Garudeshwar. We selected different periods for the calibration and evaluation  
 299 of the VIC-Res model based on the availability of observed streamflow. For instance, we selected the years 1986-  
 300 2000, 1986-2000, 1998-2005, 1998-2005 as the calibration period, while the years 2001-2018, 2001-2018, 2015-  
 301 2018, 2015-2018 as the evaluation period for stations Sandia, Handia, Mandleshwar, and Garudeshwar,  
 302 respectively. The VIC-Res model performance was also evaluated against water level and live storage for Bargi,  
 303 Tawa, Indira Sagar, and Sardar Sarovar reservoirs.

304 We first generated daily meteorological forcing of both ERFs and GEFS forecasts. The ERFs forecast is available  
 305 for the extended range (1-32 day lead), while the GEFS forecast is available at 1-10 day lead. We developed  
 306 observed initial conditions for each forecast date by forcing the long-term (20 years) observed meteorological  
 307 forcing from IMD into the calibrated VIC-Res model. Therefore, the model spin-up is considered in the observed  
 308 initial state. We simulated a daily streamflow forecast at all the four selected gauge stations using the  
 309 meteorological forcing and initial conditions. The VIC-Res simulations were run for all the ensemble members  
 310 for ERFs and GEFS forecasts. The ensemble streamflow forecasts were simulated for 1-32 days lead and ten days  
 311 lead for ERFs and GEFS datasets. The ERFs forecast simulations were run for 1-32 days lead with the initial  
 312 conditions of every Wednesday generated from VIC-Res model using the observed forcings. Similarly, GEFS  
 313 streamflow forecast simulations were performed for 1-10 days lead with initial conditions one day before the  
 314 forecast.

### 315 2.3 Forecast skill evaluation

316 We evaluated the skills of the streamflow forecast generated using the ERFs and GEFS meteorological forecast  
 317 by comparing the simulated streamflow forecast to the observed daily streamflow at each of the four locations.  
 318 The model simulated streamflow forecast was evaluated against the VIC-Res model simulated daily streamflow  
 319 using the observed forcing due to the unavailability of the observed streamflow for the years 2019-2020. The  
 320 ERFs meteorological forcing was used to run the VIC-Res model for 1-32 days from each forecast date using the  
 321 initial condition generated using the observed forcing from IMD. Similarly, we ran the GEFS ensemble members  
 322 for a 1-10 days lead for each forecast date. We used bias and Normalized Root Mean Square Error (NRMSE) to  
 323 evaluate the performance of individual ensemble forecast members, which can be estimated as follows:

$$Bias = \sum_{i=1}^n (P_{sim,i} - P_{obs,i}) \quad (1)$$

$$NRMSE = \frac{RMSE}{\bar{O}} \quad (2)$$

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where,  $\bar{O}$  = mean of observations,

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (P_{i,i} - P_{obs,i})^2}{n}} \quad (3)$$

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326 where  $P_{obs,i}$  and  $P_{sim,i}$  are observed and simulated streamflow, respectively. Bias provides a measure of  
327 correspondence between the mean of observations and the mean of the VIC-Res model simulations, while NRMSE  
328 represents the relative magnitude of the squared error. We also evaluated the skills of ERFs forecast using  
329 Continuous Ranked Probability Score (CRPS) [Hersbach, 2000], which measures the closeness between the  
330 distributions of forecast and observations. The CPRS can be estimated as follows:

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331 
$$CRPS(F, x) = \int_{-\infty}^{\infty} (F(y) - H(y - x))^2 dy \quad (4)$$

332 where  $F(x)$  is the cumulative distribution function (CDF) associated with probabilistic forecast and  $H(x)$  is the  
333 Heaviside function ( $H(x) = 1$  for  $x \geq 0$  and zero otherwise). The unit of CRPS is the same the of observations.  
334 Gneiting and Raftery (2007) suggested CPRS as a direct measure to compare deterministic and probabilistic  
335 forecasts.

### 336 3 Results

#### 337 3.1 Skill evaluation of ERFs and GEFS meteorological forecasts

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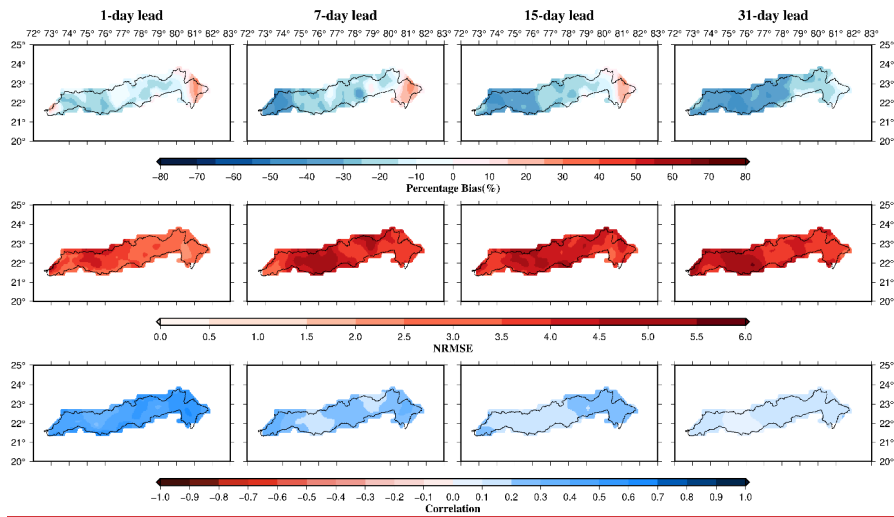
338 First, we evaluated ERFs precipitation and temperature forecast skills for 1-, 7-, 15-, and 31-day leads. We used  
339 bias, NRMSE, and correlation coefficient ( $r$ ) to estimate the forecast skills. The forecast skill was evaluated for  
340 the period 2003-2018. We estimated the forecast skill for each ensemble member and then calculated the median  
341 of the forecast skill of all the sixteen members for each grid in the Narmada river basin. Precipitation forecast from  
342 ERFs shows a negative bias indicating an underestimation compared to observed rainfall. The dry bias in  
343 precipitation forecast increases with the lead time (Fig. 2). For the 1-day lead, precipitation forecast from ERFs  
344 showed a moderate positive correlation (median  $\sim 0.49$ ), which declines with the lead time. Similarly, NRMSE in  
345 precipitation forecast is large ( $>2.0$ ) over the river basin. We also estimated bias in the precipitation forecast  
346 exceeding the 90<sup>th</sup> percentile (Fig. 3). The extreme rainfall in the raw ERFs forecast dataset exhibited a weaker  
347 correlation with the observed extreme precipitation. Moreover, a considerable dry bias in the extreme precipitation  
348 forecast was found. We also evaluated forecast skills for maximum and minimum temperature against the observed  
349 temperatures from IMD for the 2003-2018 period (Fig. S1 and S2). The daily temperature forecast showed a  
350 relatively higher positive correlation with the observed temperatures from IMD. Moreover, lower NRMSE was

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355 noted for the temperature forecast than the observed maximum and minimum temperatures. However, a positive  
356 bias of  $\sim 1.5$  °C (median of all grids in the basin) was found in minimum temperature forecast at all the lead times.

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358 Figure 2. Evaluation of ERES precipitation forecast against observations for the 2003-2018 period. Forecast skills  
359 were evaluated using bias, NRMSE, and correlation for each ensemble member and the median skill is presented.

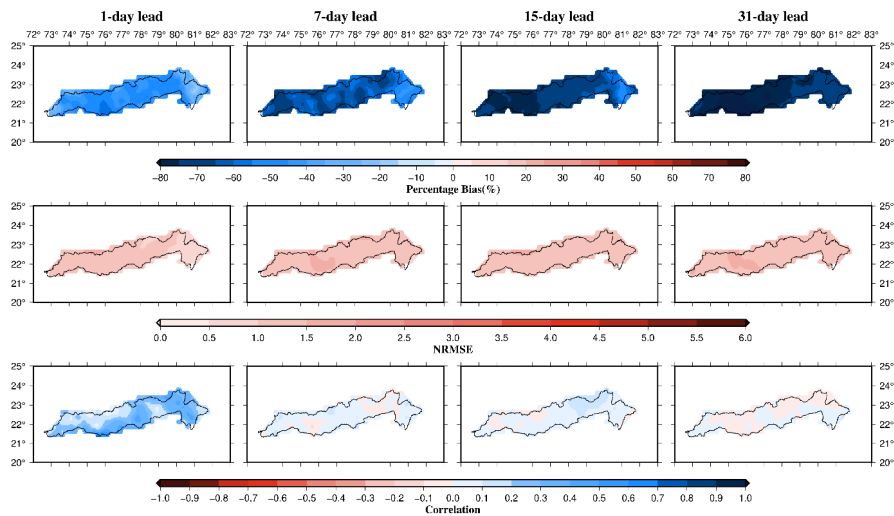
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362 Figure 3, Evaluation of extreme precipitation (>90th percentile) forecast skill from ERFs for the 2003-2018 period.  
 363 Forecast skills were evaluated using bias, NRMSE, and correlation for each ensemble member and the median skill is  
 364 presented.

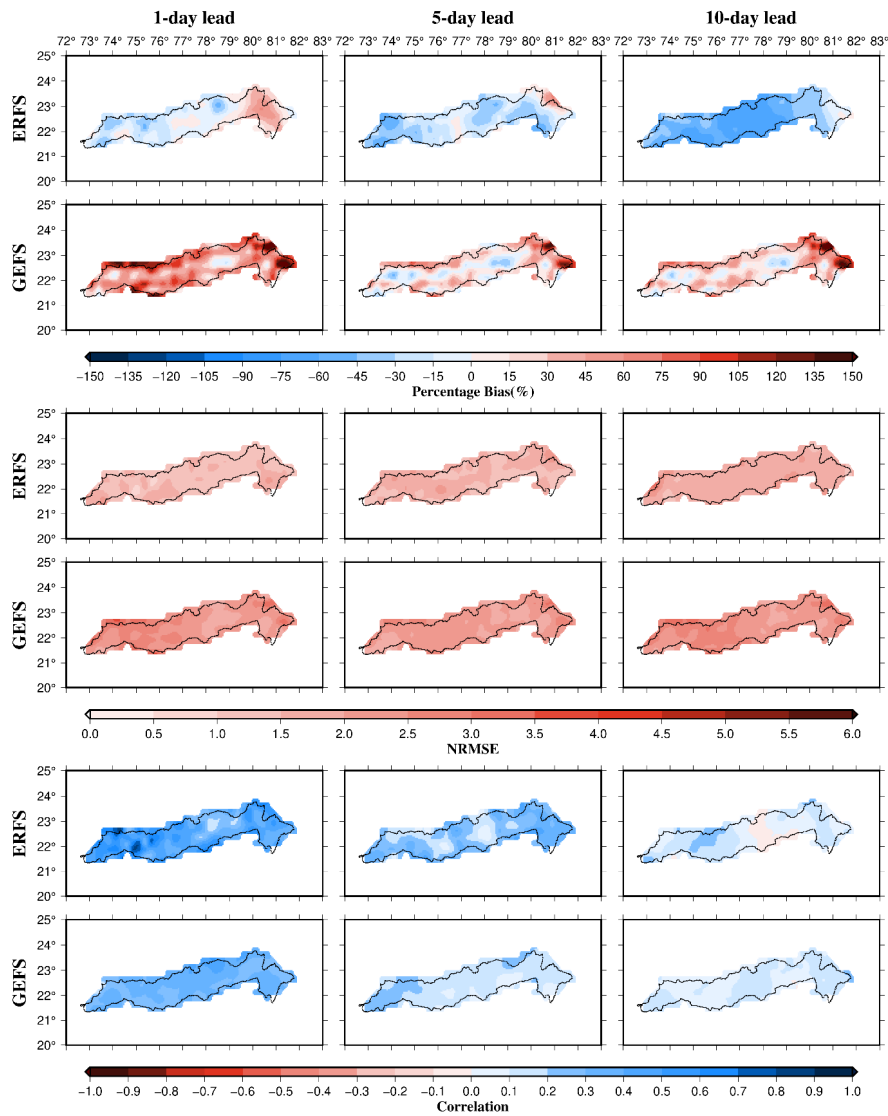
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365 Next, we compared the ERFs and GEFS ensemble forecast skills for the summer monsoon (June-September) of  
 366 the 2019-2020 period. We limit the comparison to the two years as the GEFS ensemble forecast is available only  
 367 for 2019-2020. We evaluated forecast skills for 1-, 5-, and 10-day leads (Fig. 4). Our results show that the ERFs  
 368 precipitation forecast has a dry bias across the river basin and all the leads (Fig 4). The GEFS precipitation forecast  
 369 showed a positive (wet) bias in the majority of the Narmada river basin. The forecast products (ERFS and GEFS)  
 370 underestimate extreme rainfall in the Narmada basin (Fig 5). The dry bias in extreme rainfall increases with lead  
 371 time in the ERFs and GEFS forecasts (Fig. 5). The forecast products showed a poor correlation with the observed  
 372 extreme precipitation in the Narmada river basin (Fig. 5). However, both the forecast products demonstrated  
 373 relatively better skills for maximum and minimum temperatures than precipitation (Fig. S3 and S4).

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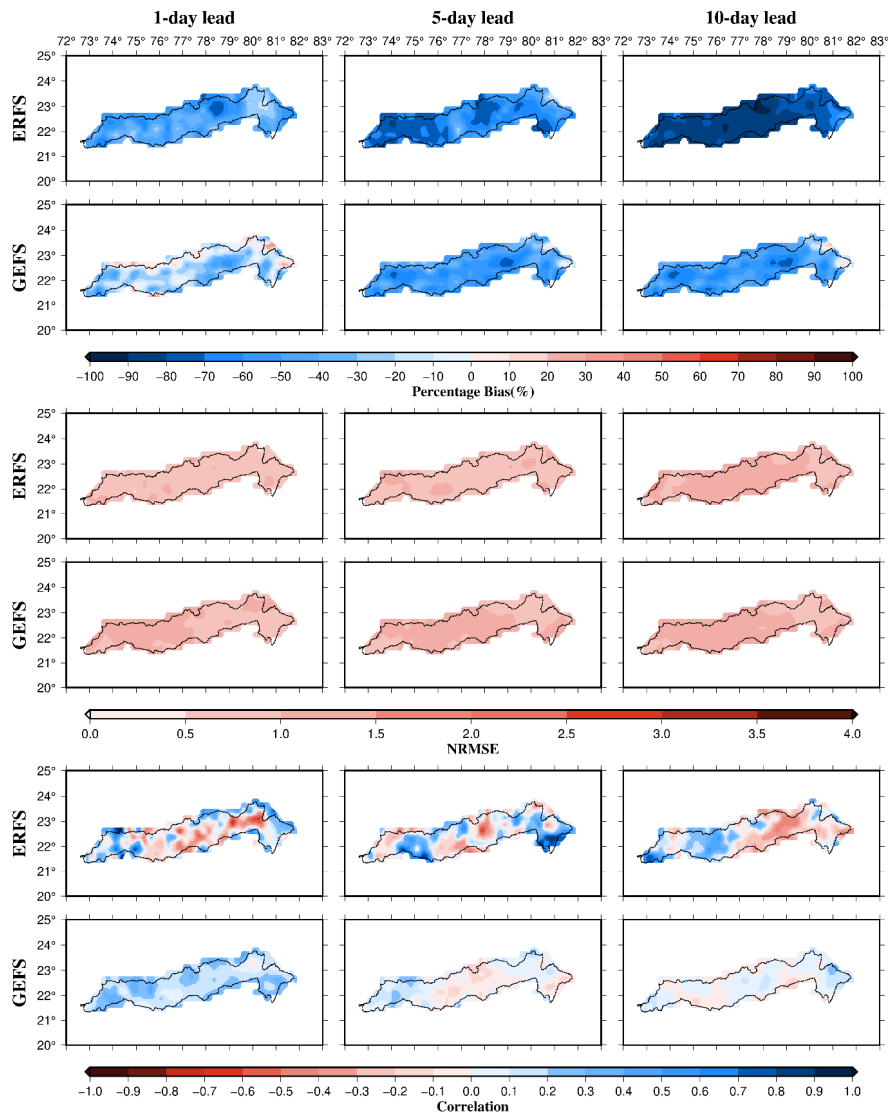
375

376 Figure 4. Comparison of the precipitation forecast skills from ERF5 and GEFS for the summer monsoon period  
 377 during 2019-2020. Forecast skills were evaluated using bias, NRMSE, and correlation for each ensemble member of  
 378 ERF5 and GEFS and the median skill is presented.

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380 Figure 5. Comparison of the extreme precipitation (exceeding 75<sup>th</sup> percentile) forecast skills from ERF5 and GEFS for  
 381 the summer monsoon period during 2019-2020. Forecast skills were evaluated using bias, NRMSE, and correlation  
 382 for each ensemble member of ERF5 and GEFS and the median skill is presented.

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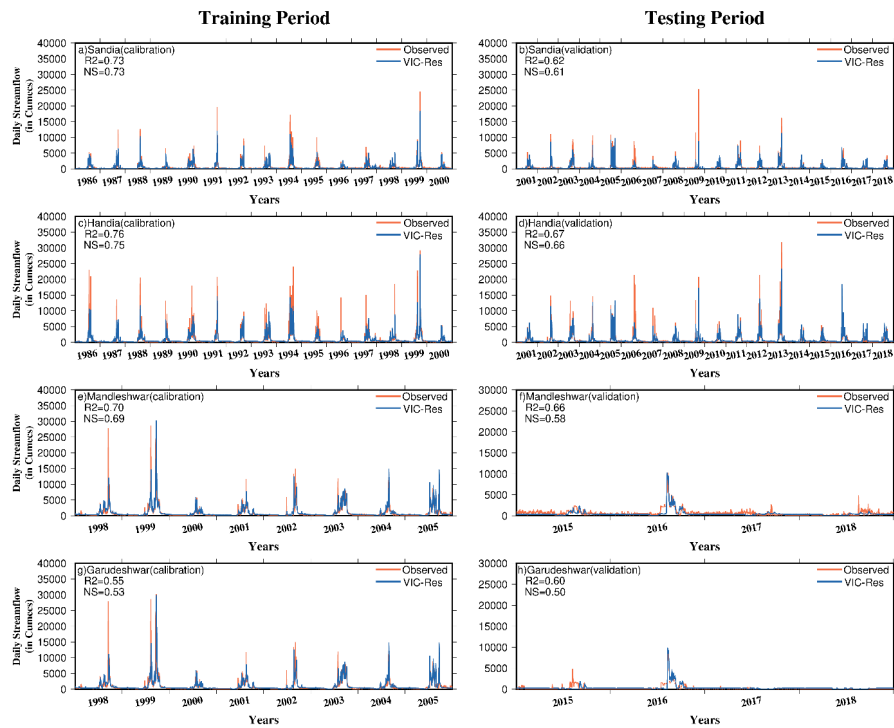
384 **3.2 Calibration and evaluation of the VIC-Res model**

385 We performed calibration of reservoir level and storage and calibration of daily streamflow. Daily storage and  
386 water level calibrated the VIC-Res model for four major reservoirs (Bargi, Tawa, Indira Sagar and Sardar Sarovar)  
387 in the Narmada basin. The upstream catchment area of all the gauge locations and calibration parameters are shown  
388 in supplementary Figure S5. We evaluated the VIC-Res model's performance using the coefficient of  
389 determination ( $R^2$ ) and Nash Sutcliffe Efficiency (NSE) (Fig. 6). The VIC-Res model simulates daily streamflow  
390 at the selected stations in the basin.  $R^2$  and NSE values were above 0.65 at Sandia, Handia, and Mandleshwar  
391 stations for the calibration period. While at Garudeshwar, the VIC-Res model performed comparatively weaker  
392 ( $R^2 = 0.55$  &  $NSE = 0.53$ ) for the calibration period.

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394 **Figure 6. Calibration and evaluation of the VIC-Res model against observed daily streamflow at gauge stations at**  
 395 **Sandia, Handia, Mandleshwar and Garudeshwar. The performance of the VIC-Res model in simulating daily**  
 396 **streamflow was evaluated using the  $R^2$  and NSE.**

397

398 We considered the influence of major reservoirs on the simulated daily streamflow. Therefore, the VIC-Res  
 399 model's performance in simulating daily reservoir storage and the water level was evaluated against the streamflow  
 400 observations. We selected 2000-2016, 2000-2016, 2007-2016, and 2008-2013 as evaluation periods for Bargi,  
 401 Tawa, Indira Sagar, and Sardar Sarovar reservoirs, respectively, based on the availability of observations. We  
 402 estimated  $R^2$  and NSE to evaluate the model's performance (Fig. 7). The model performed well in simulating all  
 403 the reservoirs' water levels and storage ( $R^2 > 0.78$  and  $NSE > 0.62$ ). We also compared the seasonal cycle of the  
 404 observed and simulated reservoir storage for all the four major reservoirs (Fig. 8). The model simulated monthly  
 405 seasonal cycle of reservoir storage compares well with the observed storage for all the dams with  $R^2$  of more than  
 406 0.77. **We find that the model underestimates storage for Bargi reservoir, which can be due to relatively smaller**

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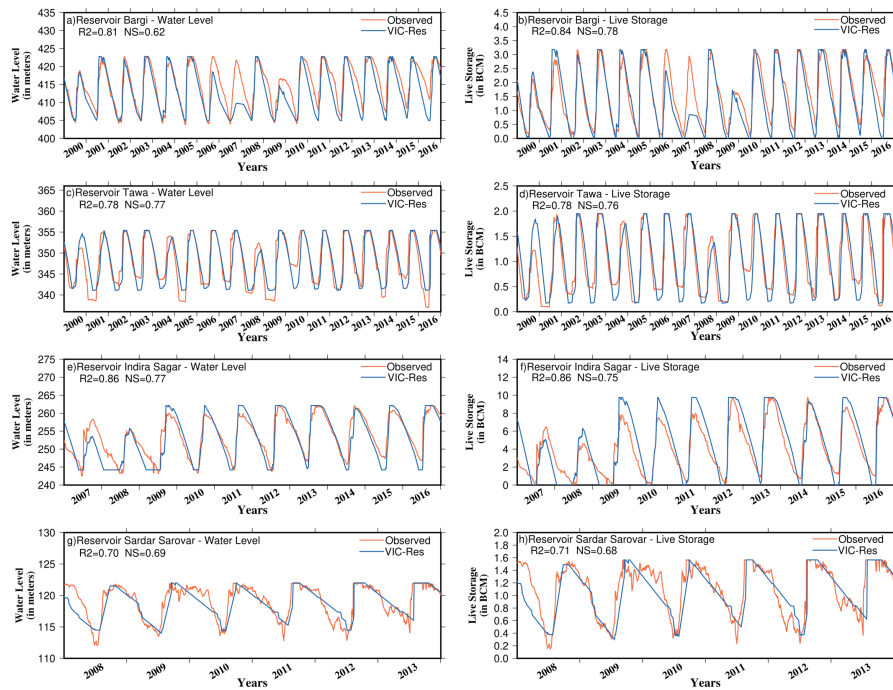
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407 upstream catchment area that may not capture the spatial variability of rainfall. Overall, we find that the VIC-Res  
408 model can evaluate the ensemble streamflow forecast in the Narmada river basin.

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410 Figure 7. Evaluation of the VIC-Res model in simulating daily water level and daily live storage at four major  
411 reservoirs Bargi, Tawa, Indira Sagar and Sardar Sarovar.

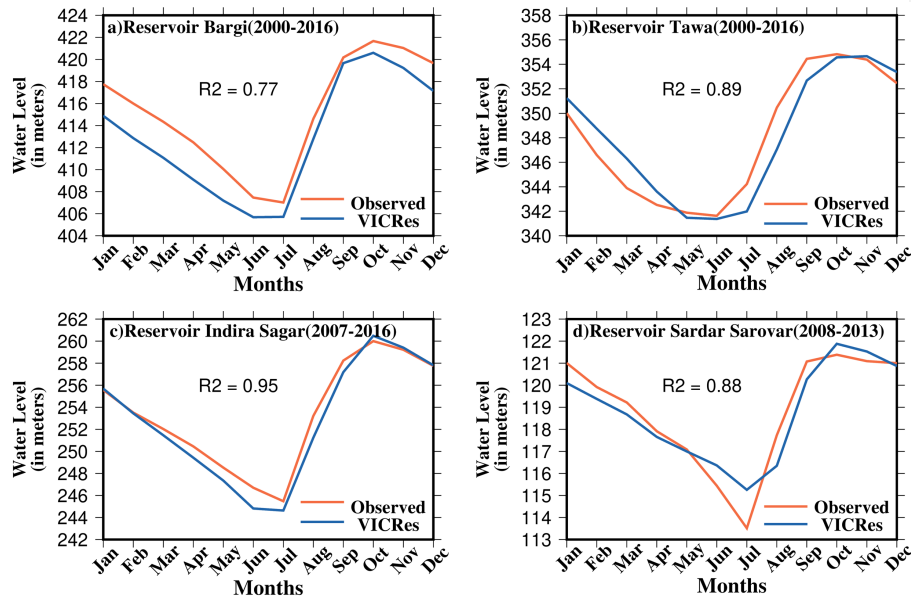
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413 **Figure 8. Comparison of observed and the VIC-Res model simulated reservoir water levels for four reservoirs in**  
 414 **Narmada River basin.**

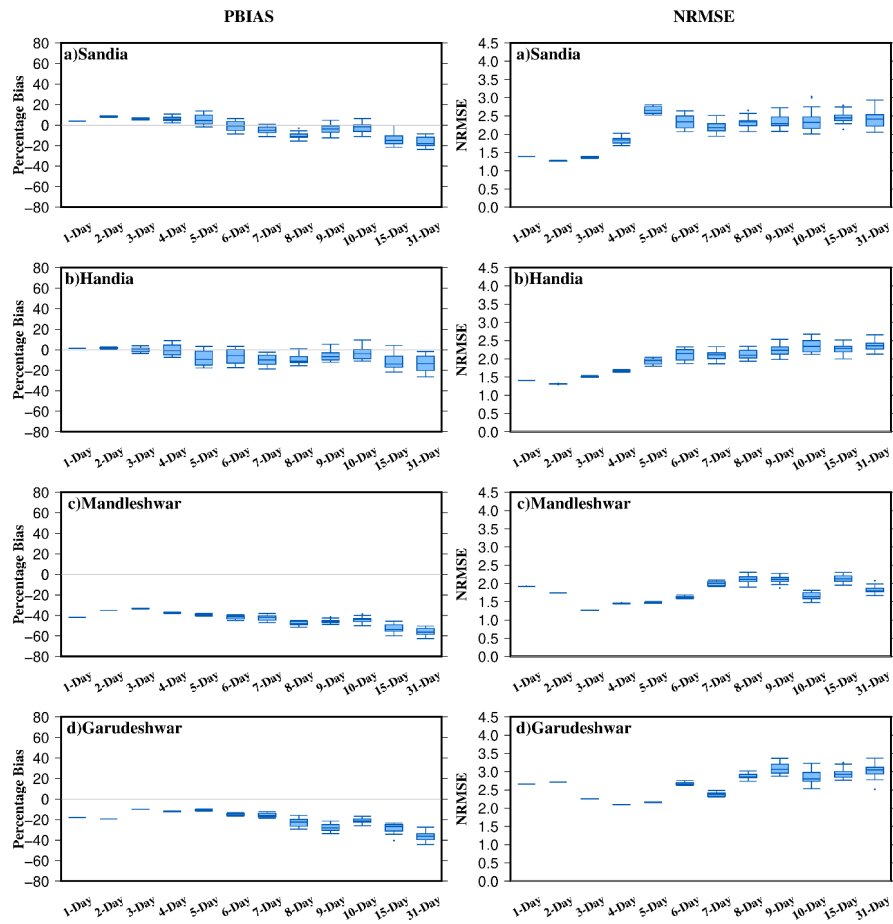
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### 415 3.3 Evaluation of ensemble streamflow forecast skills of ERFs

416 We estimated forecast skills of daily streamflow for 2003-2018 generated from each ensemble member of ERFs  
 417 for the twelve lead times (1-day to 10-day, 15-day, and 31-day). We selected a 1-10 day lead as GEFS forecast is  
 418 also available with the same lead. In addition, two other lead times (15 and 31 days) were selected to evaluate the  
 419 forecast skill of streamflow forecast from all the sixteen members of ERFs (Fig. 9). Both bias and NRMSE showed  
 420 a relatively lesser spread for the shorter lead (1-3 day) streamflow forecast from all the ensemble members of  
 421 ERFs (Fig. 9). However, uncertainty in streamflow forecast due to different ensemble members increases with the  
 422 lead time. NRMSE of streamflow forecast from ERFs also rises with the lead at all the stations. Ensemble  
 423 streamflow forecast from ERFs showed a positive bias for Sandia, Handia, and Garudeshwar, while a negative  
 424 bias was found for Mandleshwar station (Fig. 9). **We estimated the CRPS, which is higher for 1-day lead compared**  
 425 **to 3-day leads and increases with the lead time (Figure S6).**

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427 **Figure 9. Ensemble streamflow forecast skill based on the ERFs forecast for 2003-2018. The forecast was evaluated**  
 428 **using bias (%) and NRMSE. Box and whisker plots show the skill for all 16 ensemble members at lead 1-10 day, 15**  
 429 **day and 31 days at four gauge stations.**

430

431 We estimated the forecast skill in streamflow exceeding certain thresholds (50,70,80,90, and 95<sup>th</sup> percentiles) [Fig.  
 432 10]. We find less spread in bias among different ensemble members for 1-day lead streamflow forecast from ERFs.  
 433 However, the spread of bias in streamflow forecast due to different ensemble members increases with the lead

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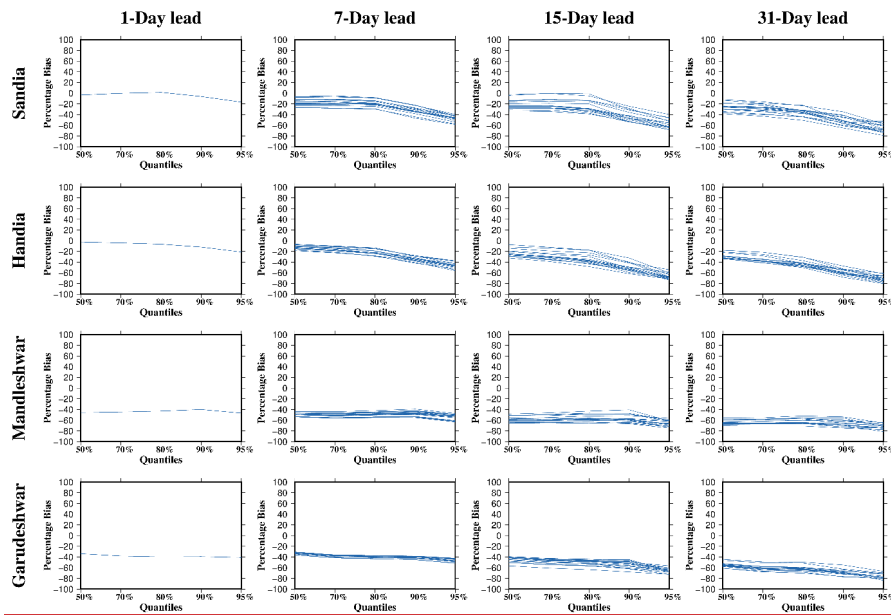
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434 time (Fig. 10). Moreover, bias in streamflow forecast remains stable for all the selected percentile thresholds at a  
 435 1-day lead at all the four-gauge stations. On the other hand, bias in streamflow forecast increases for higher  
 436 percentiles at longer lead times. For instance, dry bias in streamflow forecast in all the ensemble members is higher  
 437 for the 95<sup>th</sup> percentile than for the 50<sup>th</sup> percentile. Therefore, our results show that regardless of the spread among  
 438 the ensemble members from ERFs, almost all the ensemble members underestimate the high flow at all the gauge  
 439 stations in the Narmada river basin (Fig. 10).

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441 **Figure 10. Bias in ensemble streamflow forecast estimated using ERFs for 2003-2018 for streamflow percentiles**  
 442 **exceeding 50<sup>th</sup>, 70<sup>th</sup>, 80<sup>th</sup>, 90<sup>th</sup>, and 95<sup>th</sup> thresholds. Bias in ensemble streamflow forecast was evaluated at 1, 7, 15, and**  
 443 **31 day lead.**

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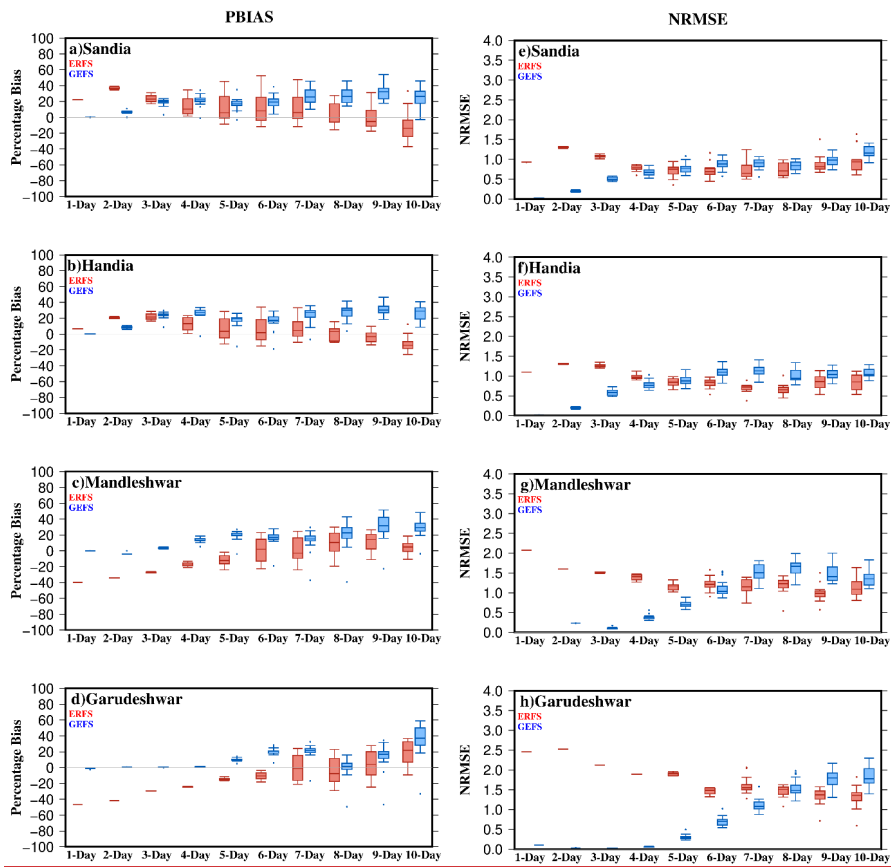
#### 444 3.4 Comparison of ensemble streamflow forecast skills ERFs and GEFS

445 We compared the streamflow forecast skills of 16 ensemble members from ERFs and 21 ensemble members from  
 446 GEFS. Since GEFS meteorological forecast is available only for 2019-2020, we compared the summer monsoon  
 447 season of these two years. ERFs forecast is available weekly for 1-32 days, while the GEFS forecast is generated  
 448 every day. Therefore, we compared the daily streamflow forecast from both the products for the weeks for which  
 449 the ERFs forecast was available for the summer monsoon of the 2019-2020 period. We compared the streamflow  
 450 forecast skills for all the ensemble members at 1 to 10 day leads at Sandia, Handia, Mandleshwar, and Garudeshwar

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451 (Fig. 11). We find that the GEFS forecast has a better skill for the short lead time (~1-5 days) with less bias and  
 452 NRMSE. On the other hand, the ERFs ensemble forecast showed higher bias and NRMSE at shorter leads for  
 453 most of the selected locations in the Narmada basin. Streamflow forecast skill of GEFS declines rapidly after the  
 454 3-4 day lead time for most of the locations in the Narmada basin. The forecast products showed a larger spread  
 455 among the streamflow forecast ensemble members after five days lead. For short to medium range (~1 to 5 days),  
 456 the streamflow forecast from GEFS performed better with low NRMSE and bias for streamflow exceeding the  
 457 75<sup>th</sup> percentile of the summer monsoon period (Fig. S7). Moreover, streamflow forecast skill from the ERFs was  
 458 considerably lower than the GEFS at most of the locations for flow exceeding 75<sup>th</sup> percentiles (Fig. S7).

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462 Figure 11. Comparison of ensemble streamflow forecast skills from ERFs and GEFS for 2019-2020. The forecast skill  
 463 was evaluated considering the VIC-Res simulated streamflow with the observed forcing from IMD due to  
 464 unavailability of observed flow.

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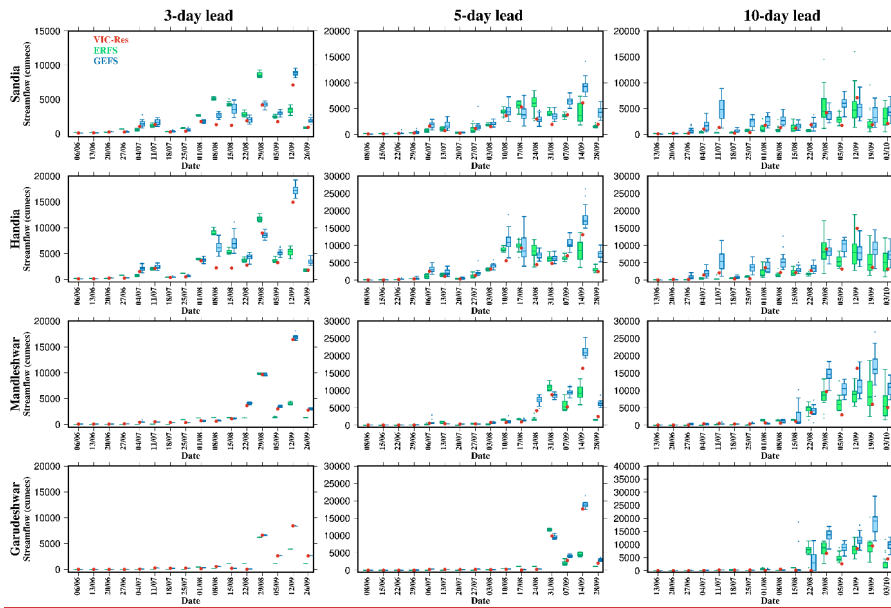
465 We examined the daily streamflow forecast skill at 3-day, 5-day, and 10-leads from ERFs and GEFS forecasts for  
 466 the summer monsoon season of 2019 & 2020 against VIC-Res simulated streamflow using the observed  
 467 meteorological forcing at all the four gauge stations (Fig. 12 and Fig. S8). Since observed daily streamflow was  
 468 unavailable for skill assessment, the comparison was made against the VIC model simulated flow with the  
 469 observed meteorological forcing (Fig. 12 and Fig. S8). The GEFS forecast successfully captured streamflow peaks  
 470 in both 2019 and 2020 at a 3-day lead. In 2019, GEFS forecasts overestimated streamflow peaks at 3-day and 5-  
 471 day leads during the summer monsoon. On the other hand, the ensemble streamflow forecast developed using the  
 472 ERFs meteorological forecast showed a higher spread than GEFS (Fig. 12, Fig. S8). The spread in ensemble  
 473 streamflow forecast increases for both ERFs and GEFS forecast at a 10-day lead. However, the ERFs's streamflow  
 474 forecast showed a better skill at the 10-day lead. Despite having fewer ensemble members than the GEFS, the  
 475 ERFs forecast showed a broader spread in streamflow prediction, highlighting a higher uncertainty in prediction.

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476 We find that GEFS overestimate streamflow the ERFs underestimates most of the locations and lead times.

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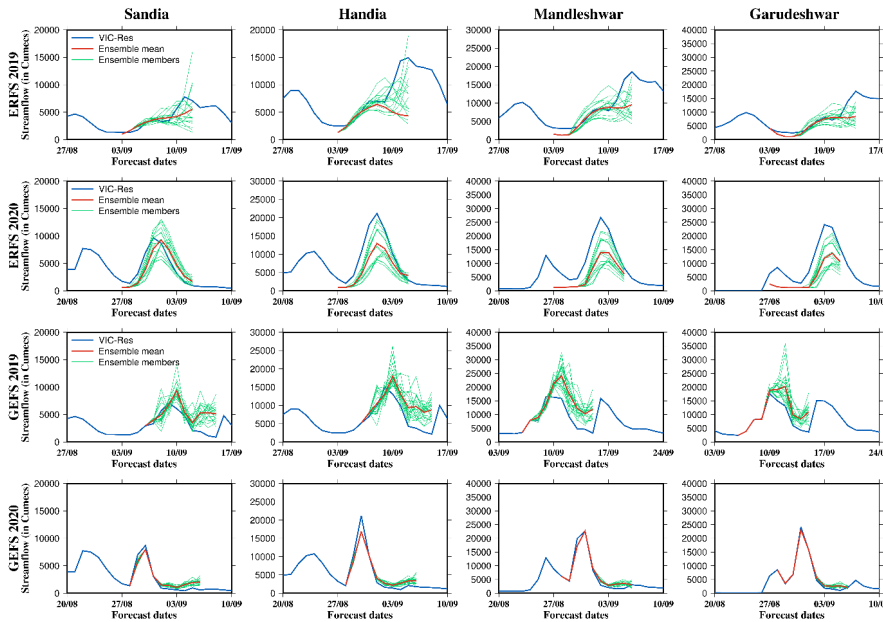
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482 Figure 12. Comparison of ensemble streamflow simulated using the VIC-Res model with ERFs and GEFS forecast  
 483 products during the summer monsoon of 2019. The forecast skill was evaluated considering the VIC-Res simulated  
 484 streamflow with the observed forcing from IMD due to unavailability of observed flow.

485

486 We examined the streamflow forecast generated by all the ensemble members of ERFs and GEFS for a few events  
 487 using the VIC-Res model (Fig. 13). The ensemble streamflow prediction was compared considering the model  
 488 simulated streamflow with the observed forcing from IMD. In 2019, the ensemble mean streamflow from all the  
 489 ensemble members of ERFs considerably underestimated the peak flow (Fig. 13). However, a few ensemble  
 490 members of the ERFs forecast captured the peak flow at the four locations of the Narmada river basin (Fig. 13).  
 491 At Handia station, 1 out of 16 ensemble members exceeds the observed streamflow. Moreover, GEFS forecasts at  
 492 short leads (3-5 days) performed well in capturing peaks (Fig. 13). However, GEFS forecasts showed a smaller  
 493 spread in ensemble streamflow at the short lead time (1-5 days). Overall, we find that ensemble forecasts can be  
 494 used for probabilistic streamflow prediction. ▲

494



495

496 Figure 13. Ensemble streamflow simulations using the ERFs forecast at 5-11 day lead and GEFS forecast at 3-5 day  
 497 lead against the VIC-Res simulated streamflow with the observed meteorological forcing for 2019 and 2020.

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499 **4 Discussion and conclusions**

500 Streamflow forecast plays an essential role in efficient reservoir operations and flood mitigation (Chen et al., 2016;  
 501 Mediero et al., 2007). A reliable streamflow forecast can reduce uncertainty in reservoir operations and enhance  
 502 the development of a flood early warning system. Notwithstanding the considerable progress in an operational  
 503 meteorological forecast from different agencies, efforts to establish an ensemble streamflow forecast system at  
 504 river basin scales have been limited for India. Moreover, it remains unclear if other meteorological forecast  
 505 products have different streamflow forecast skills. We used the two meteorological ensemble forecast products  
 506 from IMD to examine streamflow forecast skills in the Narmada river basin. The presence of reservoirs influence  
 507 the water budget and streamflow (Shah et al., 2019; Zajac et al., 2017; Yun et al., 2020; Chai et al., 2019).  
 508 Hydrological model parameters calibrated without considering the role of reservoirs can be erroneous and leading  
 509 to errors and uncertainty in simulated hydrological processes (Dang et al., 2019). Therefore, we used the ensemble  
 510 streamflow prediction approach to generate the daily streamflow simulations considering the influence of  
 511 reservoirs in the Narmada river basin. We compared the performance of ERFs and GEFS ensembles for the  
 512 summer monsoon period of 2019-20. We also assessed the skills of the ERFs dataset solely for a more extended  
 513 period from 2003 to 2018.

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514 The ERFs ensemble forecast is available once a week at 1-32 days lead time. On the other hand, GEFS ensemble  
 515 forecasts are available daily at 1-10 days lead for the summer monsoon period of 2019-2020. Hagedorn et al.  
 516 (2005) reported that bias-correction of the raw forecast does not necessarily increase the forecast skill. Moreover,  
 517 statistical correction of the raw forecast is inappropriate, which can lose its effect propagating through the  
 518 hydrologic model (Zalachori et al., 2012; Crochemore et al., 2016; Benninga et al., 2017; Hagedorn et al., 2005).  
 519 Therefore, we did not bias-correct the raw meteorological ensemble forecasts from ERFs and GEFS. The skills of  
 520 ERFs and GEFS precipitation and temperature (minimum and maximum) forecasts were estimated for 1-, 5- and  
 521 10-day lead. The GEFS raw forecast showed better skills than the ERFs forecast for mean and extreme  
 522 precipitation. As precipitation plays a vital role in streamflow forecast (Meaurio et al., 2017; Demargne et al.,  
 523 2014; Pappenberger et al., 2005), our results show that GEFS forecast provides better skills for streamflow  
 524 prediction in the Narmada River basin. The post-processing of streamflow data can significantly improve  
 525 performance (Tiwari et al., 2021; Muhammad et al., 2018), which can be used in the future to examine the  
 526 improvements in streamflow prediction. Moreover, a multi-model approach can be used to reduce the errors and  
 527 uncertainty in streamflow forecasts that could arise due to the parameterization of hydrological models (Velázquez  
 528 et al., 2011; Zarzar et al., 2018; Muhammad et al., 2018).

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529 The skills of ERFs and GEFS ensemble forecasts were estimated for 1, 5 and 10-day leads. GEFS raw forecasts  
 530 illustrated better skills than ERFs forecasts for overall rainfall and extreme precipitation. As studies show that rain  
 531 plays a vital role in streamflow forecast (Demargne et al., 2014; Meaurio et al., 2017; Pappenberger et al., 2005),

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546 we also observed the same results. The ensemble forecast with better skills performed well in predicting daily  
547 streamflow. Correcting the bias of the input forecast may shrink the variability range of the result. However,  
548 ensemble forecasts aim to capture uncertainties. Studies suggest that the post-processing of streamflow data can  
549 significantly improve performance (Muhammad et al., 2018; Tiwari et al., 2021). A multi-model approach, where  
550 more than one hydrologic model is used, can generalize the uncertainty introduced by the hydrologic model.

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551 Various studies have reported improved forecast skills using the multi-model approach (Muhammad et al., 2018;  
552 Velázquez et al., 2011; Zarzar et al., 2018). Also, our analysis is based on just for the 2019-2020 as the GEFS  
553 hindcast is available only for this period. Availability of longer hindcast from the GEFS can help to understand  
554 the forecast skills for hydrologic extremes (drought and floods). Moreover, we did not examine the forecast skill  
555 of reservoir storage, which can provide a better understanding of the impacts of storage during the floods.

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556 Flood forecasting using the available meteorological forecast products can help in mitigating the losses through  
557 early warnings. To account for the uncertainty arising from initial state and model parameterization, the individual  
558 members of the ensemble weather forecast can provide better information than their ensemble mean (Saleh et al.,  
559 2019). The probabilistic approach over the deterministic method provides the range of variability, which can help  
560 determine the probability of exceeding a specific threshold of streamflow (Hsiao et al., 2013). The shift from the  
561 existing 'flood forecast system' to the 'ensemble-based probabilistic forecast' requires modifications in the current  
562 flood forecast practice. The transition is expected to change various aspects of the existing decision-making  
563 process. The forecasters need to train the on-duty officers adequately and the authorities on probabilistic forecasts.  
564 We evaluated the streamflow forecast skills at 1-32 day lead in the Narmada river basin. The increased lead time  
565 in streamflow forecast can assist in developing efficient communication methods of information (Arnal et al.,  
566 2020; Ramos et al., 2010). Moreover, ensemble streamflow forecast at longer leads can be effectively used in  
567 optimizing reservoir operations (Alemu et al., 2011). Our results show that, while the mean of the ensemble  
568 members failed to capture the high flows, a few individual ensemble members performed better in capturing peak  
569 flow, which can be used to develop probabilistic early warnings.

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570 Based on our findings, the following conclusions can be made:

571 1) The raw precipitation forecast from both GEFS and ERFS datasets showed moderate skills (bias, NRMSE  
572 and correlation) against observations from IMD at 1-day, 5-day and 10-day lead times. While both (ERFS  
573 and GEFS) forecast products underestimated extreme precipitation, dry bias in the ERFS forecast was  
574 more prominent than the GEFS forecast. For instance, raw precipitation forecast from ERFS showed  
575 negative bias across the Narmada river basin. On the other hand, the raw precipitation forecast from GEFS  
576 exhibited both negative and positive bias. Both the forecast products showed better skills for maximum  
577 and minimum temperatures than precipitation.

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578 2) We calibrated and evaluated the VIC-Res model to simulate streamflow, considering the influence of  
579 reservoirs at four gauge stations in the Narmada River Basin. The model reproduced daily streamflow,  
580 reservoir water level, and storage reasonably well against the observations.

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581 3) Comparing the streamflow forecast skills of both the ensemble forecasts showed that GEFS forecasts  
582 performed better than the ERFS at all the locations in the basin. However, both the forecast products  
583 underestimated the extremes, which can be due to dry bias in extreme precipitation. The spread in  
584 streamflow due to different ensemble members increased with the forecast lead time. Overall, an  
585 ensemble forecast can be used to develop a probabilistic forecast based flood early warning system.

586 **Data availability:** All the datasets used in this study can be obtained from the corresponding author.

587

588 **Competing interest:** Authors declare no competing interest.

589 **Author contributions:** VM designed the study. UV conducted simulations and wrote the first draft. UV and  
590 VM discussed the results and prepared the final version.

591 **Acknowledgement:** The work was supported by the Monsoon Mission, Ministry of Earth Sciences. The authors  
592 acknowledge the data availability from India Meteorological Department (IMD) and India-WRIS. ERFS and  
593 GEFS forecast products were obtained from the Indian Institute of Tropical Meteorology (IITM), Pune.

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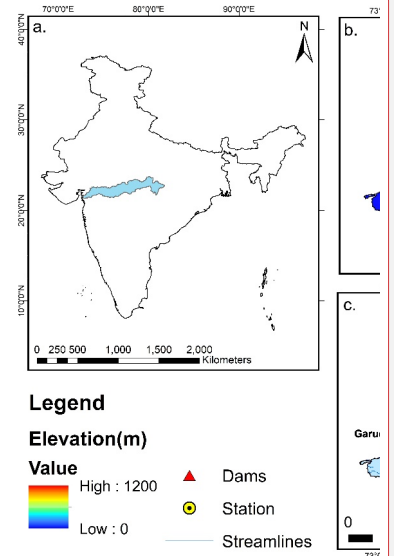
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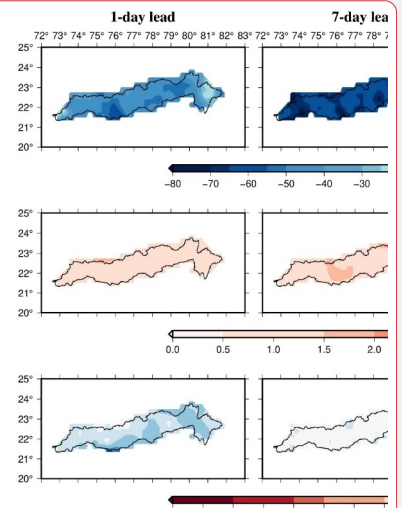


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