High resolution (1 km) satellite rainfall estimation from SM2RAIN applied to Sentinel-1: Po River Basin as case study

Paolo Filippucci[1,2,3], Luca Brocca[1], Raphael Quast[2], Luca Ciabatta[1], Carla Saltalippi[3], Wolfgang Wagner[2], Angelica Tarpanelli[1]

[1]National Research Council, Research Institute for Geo-Hydrological Protection, Perugia, Italy
[2]TUWien (Technische Universität Wien), Department of Geodesy and Geoinformation, Wien, Austria
[3]Università degli Studi di Perugia, Department of Civil and Environmental Engineering, Perugia, Italy

Correspondence to: Paolo Filippucci (paolo.filippucci@irpi.cnr.it)

Abstract. The use of satellite sensors to infer rainfall measurements has become a widely used practise in recent years, but their spatial resolution usually exceeds 10 kilometres, due to technological limitations. This poses an important constraint on its use for applications such as water resource management, index insurance evaluation or hydrological models, which require more and more detailed information.

In this work, the algorithm SM2RAIN (Soil Moisture to Rain) for rainfall estimation is applied to 2 soil moisture products over the Po River basin: a high resolution soil moisture product derived from Sentinel-1, named S1-RT1, characterized by 1 km spatial resolution (500 m spacing), and a 25 km (12.5 km spacing) product derived from ASCAT, resampled to the same grid as S1-RT1. In order to overcome the need for calibration and to allow its global application, a parameterized version of SM2RAIN algorithm was adopted along with the standard one. The capabilities in estimating rainfall of each obtained product were then compared, to assess both the parameterized SM2RAIN performances and the added value of Sentinel-1 high spatial resolution.

The results show that good estimates of rainfall are obtainable from Sentinel-1 when considering aggregation time steps greater than 1 day, since the low temporal resolution of this sensor (from 1.5 to 4 days over Europe) prevents its application to for infer daily rainfall. On average, the ASCAT derived rainfall product performs better than S1-RT1, even if the performances are equally good when 30 days accumulated rainfall is considered(resulting in a mean Pearson's correlation for the parameterized SM2RAIN product of 0.74 and 0.73, respectively). Notwithstanding this, the products obtained from Sentinel-1 outperform those from ASCAT in specific areas, like in valleys inside mountain regions and most of the plains, confirming the added value of the high spatial resolution information in obtaining spatially detailed rainfall. Finally, the performances of the parameterized products are similar to those obtained with the calibrated SM2RAIN algorithm, confirming the reliability of the parameterized algorithm for rainfall estimation in this area and fostering the possibility to apply SM2RAIN worldwide even without the availability of a rainfall benchmark product.

1 Introduction

Water supplies are not endless. Water consumption has steadily increased in the last century (Kummu et al., 2016) and the current climatic crisis is expected to further increase water intake: water availability is expected to reduce, while irrigation demand increases. Many areas will experience water scarcity due to this phenomenon, as it is already happening (Rockström et al., 2012). In this framework, water resource management is extremely important to increase conservation and use efficiency of this precious resource. Spatially detailed measurements of various water cycle components are therefore needed by stakeholders and companies involved in water management, in order to increase intervention capacities and to reduce wastage. To improve the performance of hydrological models, high quality input data is needed whose resolution characteristics satisfy the demand set by increasingly complex modelling approaches (Silberstein, 2006; Ragettli et al., 2013). Insurance companies are demanding high spatial resolution data, even at monthly temporal scale, with the purpose to develop index-based insurances for small-scale agricultures (Enenkel et al., 2019; Black et al., 2016). One of the most important variables for these objectives is precipitation, indicated by the Global Observing Systems Information Center (GCOS) as an Essential Climate Variables (ECV), i.e., a variable whose knowledge is needed in order to understand the evolution of the climate, to assess the related risks and to develop mitigation and adaptation strategies. Measurements of rainfall, the liquid fraction of precipitation, are traditionally obtained from raingauge sensors, which are characterized by a high degree of precision (La Barbera et al., 2002). Notwithstanding this, the spatial variability of rainfall makes the current raingauge network inappropriate to describe in detail its distribution over the full globe. The number of gauges is too scarce with respect to Earth surface and they are unequally distributed, since the majority of them is located in the most developed countries (Villarini et al., 2008; Kidd et al., 2017; Dinku, 2019).

In this framework, rainfall estimates derived from satellite-based remote sensing measurements have demonstrated their potential to support, integrate and in some cases substitute ground-based networks (Barret and Beaumont, 1994; Kidd and Levizzani, 2011). Historically, two main approaches are adopted to estimate rainfall from space: the traditional "top-down" approach, where the instantaneous precipitation rate is estimated either from upwelling radiation emitted by clouds or from the scattering properties of rain drops sensed by radar and/or radiometers, and the more recent "bottom-up" approach, where the rainfall rate over land is inferred from Soil Moisture (SM) observations. The peculiarity of the "bottom-up" approach lies in its capacity to estimate the accumulated (not instantaneous) rate by using the soil as a "natural raingauge" (Brocca et al., 2014). Among the algorithms that use this approach (Crow et al., 2009; 2011; Pellarin et al., 2013; Wanders et al., 2015), SM2RAIN has distinguished itself for its versatility and simplicity. By inverting the soil water balance equation, this algorithm allows to estimate the amount of rainfall that occurred between two SM measurements. It has already been applied worldwide to both regional (Tarpanelli et al., 2017) and global (Ciabatta et al., 2018; Brocca et al., 2019) satellite SM products, obtaining satisfactory results, in particular over regions characterized by scarce raingauge density (Massari et al., 2020).

Nevertheless, the major limitation of satellite observations, regardless of the adopted approach, is the inherent "technological" compromise between temporal and spatial coverage: satellite-based SM and rainfall products are usually characterized by a

frequent revisit time (<1 day) and a coarse spatial resolution (~10-50 km). It is of primary importance to obtain data with high temporal and spatial resolution, in order to enhance the prediction capability of hydrological models requiring high resolution
65   input data (Merlin et al., 2008) and to increase the spatial accuracy of the information related to water resource. The first attempt to accomplish the objective of high spatial resolution was the use of downscaling procedures. Many different approaches, from geo-statistical analysis to data fusion, have been developed in the last years in order to obtain sub-pixel information from coarse resolution products (Peng et al., 2017) to be used in different applications (e.g. Dari et al., 2020). However, their results were often unsatisfactory, because of the limitations of the auxiliary data (e.g., cloud cover for optical
70   data and model errors when using model data) and the uncertainties of downscaling algorithms (Peng et al., 2017).

Recently, the newly launched Sentinel Missions of the European Earth Observation program Copernicus, has opened new possibilities to overcome these issues. Specifically, the Sentinel-1 (S1) mission is composed of two satellites that share the same orbit 180° apart and follow a strict acquisition scenario with a 12-days repeat cycle (6-days by considering both satellites), each carrying an identical C-band Synthetic-Aperture Radar (SAR) sensor capable to sense high resolution microwave
75   backscatter (down to 5 meters). This setup leads to a revisit frequency of 1.5-4 days over Europe, thanks to the overlap of the orbits. The condition of high spatial and medium temporal resolution is, for the first time, met by the two S1 satellites currently in orbit. SM measurements with 1 km spatial resolution can be obtained from this mission (Bauer-Marschallinger et al., 2018). The application of SM2RAIN to such data could therefore provide high resolution (1 km) rainfall estimates over land. This approach, however, is limited by the need to calibrate the SM2RAIN algorithm against a rainfall product with spatio-temporal
80   characteristics similar to those of the input SM. Datasets with such spatio-temporal characteristics are rarely available, due to the already mentioned scarce density of ground-based networks, thus limiting the calibration and validation of high resolution rainfall from SM2RAIN only over few selected areas. This issue can be overcome by exploiting the parameterized version of SM2RAIN which allows an estimation of SM2RAIN parameters by accepting a limited reduction in performance, using only knowledge on SM noise, topographic complexity and the rainfall climatology, without the need of a calibration procedure
85   (Filippucci et al., 2021).

In this work, both the parameterized and calibrated versions of SM2RAIN algorithm were applied to a SM product derived from Sentinel-1 over the Po River basin in northern Italy, with the scope of evaluating their capabilities in reproducing high resolution rainfall (1 km). The product, from here on named S1-RT1, was obtained by using a retrieval algorithm based on a first order solution of the Radiative Transfer equation (RT1, Quast et al., 2019). It is characterized by 500 m spatial sampling
90   and 1.5-4 days temporal resolution. The Po River basin was selected as study area because a ground-based rainfall dataset with 1 km spatial resolution and 1 hour temporal resolution is available, thanks to the fusion of raingauges and weather radar measurements through the Modified Conditional Merging (MCM) algorithm (Bruno at al., 2021). Furthermore, the Po River basin comprehends many geographical features, such as mountains, hills, lakes, rivers and plains, which make it a good test area for this analysis. Both SM2RAIN versions were applied also to ASCAT-derived SM, after it was regridded to S1-RT1
95   coordinates, in order to assess the benefits derived from the use of high resolution SM by comparing the performances of the resulting rainfall products.

The paper is structured as follows: the study area and the data collected for this study are presented in Section 2, the two SM2RAIN versions and the selected performance scores are described in Section 3. The obtained results and the spatial distribution analysis are shown in Section 4. Finally, the conclusions of the analysis are summarized in Section 5.

2 Study area & Data

2.1 Study area

The analysis was conducted over the Po River Basin, located in Northern Italy (Fig. 1). The basin extends from the Western Alps to the Adriatic Sea, including Italian and Swiss territories. The region covers an area of around 71000 km2: the Alps outline the boundaries of the basin to the North and West, with altitudes up to 4809 m, while the Apennines mark the South borders. The Po Plain extends to the central part of the basin, broadly divided into a northern and a southern section: the former is generally unsuitable for agriculture, while the latter is more fertile and well irrigated. The average annual precipitation ranges from ~700 to ~1500 mm/year in the analyzed period, 2016-2019, equally distributed during the year, with maximums occurring during autumn and spring seasons. The Po basin area is classified as Cfa (Temperate climate, without dry season and with hot summer) by the Köppen-Geiger climate classification (Peel et al., 2007). In this study, the fraction of the Po River basin external from the Italian boundaries (black line in Fig. 1) was excluded from the analysis due to the unavailability of raingauge data.

2.2 Data

Several datasets were collected in this study to analyze the feasibility of high resolution rainfall estimations from SM2RAIN. Specifically, SM products from ASCAT and S1 sensors were analyzed, alongside the selected benchmark rainfall dataset MCM and the data needed for the parameter estimations within the parameterized SM2RAIN algorithm, i.e., SM noise from ASCAT, topography and rainfall climatology.

SM measurements

SM data at 25 km spatial resolution (12.5 km spacing) were obtained from ASCAT, while the high resolution 1 km estimates (500 m spacing) were derived from the application of S1-RT1 algorithm to Sentinel-1 data (Quast et al., 2019). The spatial sampling was fixed at one-half of the spatial resolution, according to the Nyquist-Shannon sampling theorem, to maximize the details of each SM datum (Wagner et al., 2013).

ASCAT is an active microwave sensor that measures backscatter radiation at 5.255 GHz (C-band) mounted on MetOp-A (launched 19/10/2006), MetOp-B (launched 17/09/2012) and MetOp-C (launched 07/11/2018) satellites. The combined use of multiple satellites allows to achieve sub-daily estimates of relative SM, i.e., the soil moisture saturation fraction, over most of Earth (Wagner et al., 2013). The SM data, together with the associated noise, were downloaded from the EUropean organisation for the exploitation of METeorological SATellites (EUMETSAT) Satellite Application Facility on Support to Operational Hydrology and Water Management (H SAF) H115 and H116 products, comprehending data from both MetOp-A

and MetOp-B, within the period 2016-2019. Surface state information is available with the dataset, therefore data marked as "frozen" were discarded from the analysis.

130 Sentinel-1 mission is composed by a constellation of two polar-orbiting satellites, Sentinel-1A (launched 03/04/2014) and Sentinel-1B (launched 25/04/2016), sharing the same orbital plane 180° apart, each carrying a single C-band Synthetic Aperture Radar (SAR) instrument operating at a center frequency of 5.405 GHz. S1 sensors can operate in four exclusive imaging modes with different spatial resolution (down to 5 m) and swath width (up to 400 km). Particularly, the Interferometric Wide (IW) swath mode, the main sensing mode over land, offers a 20 m x 22 m spatial resolution with a 250-km swath. The

135 revisit time of a single satellite is 12 days, which reduces down to 6 days when considering both sensors. However, since the acquisition strategy prioritizes European landmasses over other regions, the effective temporal resolution over Europe is between 1.5 and 4 days by taking advantage of the overlapping ascending and descending orbits.

SM retrievals at 1 km spatial resolution were obtained by applying a first-order radiative transfer model (RT1) (Quast et al., 2019) to a 1 km Sentinel-1 backscatter ($\sigma0$) dataset sampled at 500 m pixel spacing (Bauer-Marschallinger et al., 2021). RT1

140 is based on a parametric (first-order) solution to the radiative transfer equation (Quast and Wagner, 2016) in conjunction with a timeseries-based non-linear least squares regression to optimize the difference between (incidence-angle dependent) measured and modelled $\sigma0$. The scattering characteristics of soil- and vegetation are modelled via parametric distribution functions, and the relative SM content (scaled between 0 and 1) is found to be proportional to the nadir hemispherical reflectance (N) of the bidirectional reflectance distribution function used to describe bare-soil scattering characteristics.

145 To correct ~~for~~ effects induced by seasonal vegetation dynamics, scaled Leaf Area Index (LAI) timeseries provided by ECMWFs ERA5-Land reanalysis dataset have been used to mimic the temporal variability of the vegetation optical depth, accounting for the attenuation of the radiation during propagation through the vegetation layer. Remaining spatial variabilities in soil and vegetation characteristics are accounted for by the model-parameters "single scattering albedo" (ω) and soil-scattering directionality (ts). Within the retrieval-procedure, a unique value for N is obtained for each timestamp, alongside a

150 temporally constant estimate for ts and an orbit-specific estimate for ω for each pixel individually. A comparison of the obtained RT1 soil-moisture retrievals to ERA5-Land top-layer volumetric water content (swvl1) for a set of ~138 000 pixels over a 4 year time-period from 2016 to 2019 achieves an overall (median) Pearson correlation of 0.55 for areas classified as croplands and 0.65 for areas primarily covered by natural vegetation. A detailed description and performance-analysis of the used soil-moisture dataset will be given in Quast et al., in preparation.

155 Due to the presence of systematic differences between Sentinel-1 acquisitions from different orbits, the obtained soil-moisture timeseries exhibits a periodic disturbance, attributable to unaccounted differences in soil- and vegetation characteristics with respect to the different viewing-geometries. To correct these systematic effects, the timeseries are split with respect to the Sentinel-1 orbit ID and normalized individually to a range of (0, 1) prior to the incorporation into the SM2RAIN algorithm. In order to obtain data with the same time spacing, SM data were linearly interpolated at midday and midnight for both datasets.

160 If no data were found within 5 days, each datum in the interval was set to Not a Number (NaN). ASCAT data were resampled on S1-RT1 grid using a weighted average of the four nearest pixels, to allow the inter-comparison of the data. Finally, all the

SM products were masked for frozen soil and snow cover conditions, by downloading the Soil Temperature (Tsoil) of the first soil layer (0-7 cm) and Snow Depth data from ERA5-Land (see description below), and excluding the SM estimates obtained over pixels showing a Tsoil < 2 °C or a snow depth > 0.01 m.

165 *Rainfall measurements*

Two rainfall datasets were considered, to be used as benchmark for the performance assessment and as input for the parameterized version of SM2RAIN, respectively. The first one is a product derived from the integration of ground radar and raingauge measurements over the Italian territory through the MCM algorithm (Bruno et al., 2021). A dense network of raingauges and weather radars is available over the Italian territory, making it possible to obtain hourly rainfall measurements
170 in real-time. While raingauges allow a good estimation of point rainfall, radar measurements give a good estimation of the general covariance structure of rainfall. MCM uses radar data to condition the spatially limited information of raingauges, generating a rainfall field with a realistic spatial structure constrained by raingauge values. The resulting rainfall product is characterized by high spatial (1 km) and temporal (1 h) resolution. These attributes make it a suitable choice for the purpose of comparison with SM2RAIN estimates from high resolution SM. In this work, the MCM hourly information was resampled
175 to S1 data coordinates. MCM data were temporally accumulated at 12 hours, obtaining two cumulated rainfall measurements per day, respectively at midday and midnight. Rainfall measurements greater than a threshold of 800 mm/day were considered not valid and discarded from the analysis. Even if MCM data were available for the full Po River basin, the territories outside the Italian boundaries were excluded from the analysis due to the absence of raingauges data.

In order to apply the parameterized version of SM2RAIN (see section 3.2), the mean daily rainfall of each pixel in the study
180 area is needed. It was obtained by downloading Total Precipitation and Snowfall daily measurements from the European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis 5th Generation Land product (ERA5-Land) for the period 1981-2021. ERA5-Land provides estimation of various climate components combining models with observations (Hersbach et al., 2020). The original ERA5 spatial resolution is around 30 km, resampled on a regular 25 km grid. ERA5-Land was produced by regridding the land component of the ECMWF ERA5 climate reanalysis to a finer spatial resolution (0.1-degree). Daily
185 rainfall data were obtained by subtracting the Snowfall component from ERA5-Land Total Precipitation. The obtained rainfall data were then regridded on S1 grid using a weighted average of the four nearest pixels, as done with ASCAT SM data. The 30-year averaged mean daily rainfall was then calculated for each pixel. This product was selected due to the high temporal coverage, its worldwide availability and its accuracy.

Topography measurements

190 Elevation data from Terra Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) global Digital Elevation Model (DEM) Version 3 (ASTGTM) were downloaded. The product provides altitude land data at a spatial resolution of 1 arc second (~30 meters resolution at equator). In order to obtain the topographic complexity of each S1 pixel, the standard deviation of the DEM values within each 500m pixel was calculated.

Data interpolation and regridding are expected to introduce small-scale noise in the datasets. Notwithstanding this, the
195 interpolation is unavoidable in order to analyze all the products with the same spatial and temporal sampling.

3 Methods

3.1 SM2RAIN

The algorithm adopted to estimate the rainfall accumulated between two consecutive SM measurements was SM2RAIN, developed by Brocca et al. (2013; 2014) by inverting the soil water balance equation, which is given by:

$$Zn\frac{dSM(t)}{dt} = p(t) - r(t) - e(t) - g(t) \tag{1}$$

where Z [mm] is the depth of the considered layer, n [m^3/m^3] is the soil porosity, $SM(t)$ is the relative SM [-], $p(t)$ is the rainfall rate [mm/d], $r(t)$ is the surface runoff rate [mm/d], $e(t)$ the evaporation rate [mm/d] and $g(t)$ the drainage rate [mm/d]. During rainfall events evaporation and surface runoff can be considered negligible (Brocca et al., 2015) and Eq. (1) can be simplified as:

$$p(t) = Z^*\frac{dSM(t)}{dt} + g(t) \tag{2}$$

with $Z^* = Zn$. Finally, by expressing the drainage rate according to Famiglietti and Wood (1994) relationship, SM2RAIN equation can be obtained:

$$p(t) = Z^*\frac{dSM(t)}{dt} + a\,SM(t)^b \tag{3}$$

where a [mm/d] is the saturated hydraulic conductivity and b [-] is the exponent of the Famiglietti and Wood equation. In order to take the low depth sensitivity of satellite SM (few centimetres) as well as the inherent signal noise into account, an exponential filter (Wagner et al., 1999; Albergel et al., 2008) is applied to the data before the application of SM2RAIN algorithm. In this study, we adopted a modified exponential filter in which the characteristic time length, T is decreasing with increasing SM according to a 2-parameter power law (Brocca et al., 2019). These 2 parameters are therefore needed along with Z^*, a and b to obtain an estimation of the rainfall between two consecutive SM measurements. In the standard SM2RAIN application, the 5 parameters are obtained through calibration against a reference rainfall dataset with similar spatial and temporal resolution by minimizing the Root Mean Square Error (RMSE) between the estimated and reference data. The calibrated SM2RAIN has already been applied to different satellite and in situ SM datasets (Ciabatta et al., 2018; Brocca et al., 2019; Filippucci et al., 2020), showing good performance worldwide, particularly over poorly gauged regions in comparison with other rainfall datasets (Massari et al., 2020).

3.2 Parameterized SM2RAIN

Filippucci et al. (2021) developed four parametric relationships that allow to obtain the SM2RAIN parameters along with the T parameter of the original exponential filter (not the modified version above adopted), without calibration. It is therefore possible to deduce T, Z^*, a and b from the knowledge of SM timeseries and its noise, the topographic complexity and the mean daily rainfall of the standard year (obtained by averaging the rainfall in the same Day of Year (DOY)). In particular:

225 $$T = 0.8351 + 1.2585 \, \overline{SMnoise} \, std(|SM_d|) + 0.2777 \, \frac{std(|SM_d|)}{\bar{P}} \, topC \qquad (4)$$

where $\overline{SMnoise}$ is the average SM noise in the considered pixel, $(|SM_d|)$ is the standard deviation of the absolute values of the SM temporal variations, $\bar{P}$ is the pixel mean daily rainfall and $topC$ is the topographic complexity.

After the calculation of T and the application of the exponential filter to the SM timeseries, it is possible to calculate the remaining SM2RAIN parameters according to:

230 $$Z^* = 10.0678 + 0.5350 \, \frac{\bar{P}}{|SM_{fd}|} \qquad (5)$$

$$a = -1.3177 + 13.3579 \, Z^* \, \overline{|SM_{fd}|} \qquad (6)$$

$$b = 3 + \frac{2}{0.4118 + 0.324 * \log a} \qquad (7)$$

where $\overline{|SM_{fd}|}$ is the average of the absolute values of the filtered SM temporal variations. The coefficients of the equations above are slightly different from those published on Filippucci et al. (2021), in which the DEM adopted to obtain the pixels 235 $topC$ had a spatial resolution of 5 arc minutes, unsuitable for the current analysis. Therefore, the parametric relationships were recalculated by substituting the previous ETOPO5 DEM information with ASTGTM DEM, repeating the same steps of Filippucci et al. (2021).

3.3 Performance scores

In order to assess the performance of the rainfall estimates obtained from SM2RAIN, different metrics were calculated in 240 comparison with the reference dataset, MCM. Specifically:

- Linear Pearson's Correlation (R), that is an index to express the linear relationship between two sets of data. Its value ranges between -1 and +1, where -1 indicate perfect negative linear relationship, +1 means perfect positive linear relationship and 0 means no statistical dependency. By considering the estimated and observed rainfall P_{est} and P_{obs}, the Pearson Correlation can be obtained by

245 $$R = \frac{\sum(P_{est}-\overline{P_{est}})(P_{obs}-\overline{P_{obs}})}{\sqrt{\sum(P_{est}-\overline{P_{est}})^2 \sum(P_{obs}-\overline{P_{obs}})^2}} \qquad (8)$$

where $\overline{P_{est}}$ and $\overline{P_{obs}}$ are the average values of the estimated and observed rainfall, respectively.

- *BIAS*, index that measures the systematic over- or under-estimation of one dataset with respect to the reference data. In this paper, it is calculated as the difference between the estimated and the observed rainfall: therefore, negative BIAS values indicate a systematic rainfall underestimation, while positive BIAS values mean the opposite:

250 $$BIAS = \sum(P_{est} - P_{obs}) \qquad (9)$$

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- Root Mean Square Error (*RMSE*), that is widely used to measure the differences between two population values because it takes into account three different sources of error together: decorrelation, BIAS and random error. It can be obtained by calculating the square root of the mean quadratic difference between two datasets:

$$RMSE = \sqrt{(P_{est} - P_{obs})^2} \qquad\qquad (10)$$

4 Results

4.1 Rainfall validation

In order to obtain rainfall measurements from the SM datasets, SM2RAIN algorithm was applied to both ASCAT and S1-RT1 SM products by using both the calibrated and parameterized versions. In the calibrated SM2RAIN, the algorithm parameters were estimated by minimizing the RMSE with respect to MCM rainfall product at daily time scale for both ASCAT and S1-RT1 SM. For the parameterized SM2RAIN version, the algorithm parameters were obtained through the parametric relationships developed by Filippucci et al. (2021), as mentioned above. Since no information regarding S1-RT1 SM noise was available, ASCAT SM noise characteristics were used to calculate S1-RT1 SM2RAIN parameters, assuming that since both ASCAT and S1 sensors operate in C-band, the noises affecting the two SM products are similar. Indeed, the noise level of S1-RT1 is expected to be higher than ASCAT one. This sub-optimal configuration can be therefore considered as a first step to test the data: better results should be obtained when more accurate noise information will be available.

The obtained rainfall can then be accumulated at the desired time step. In order to consider the different temporal resolution of the selected SM products (sub-daily for ASCAT and from 1.5 to 4 days for S1), three accumulation time steps were chosen: 1 day, 10 days and 30 days. The daily rainfall was calculated only for ASCAT product, since the low temporal resolution of S1 prevents to obtain significant results at daily intervals.

Figure 2 shows the average 30 days rainfall obtained by the application of the parameterized SM2RAIN to ASCAT and S1-RT1 SM products. By comparing the two figures, the improved resolution of the rainfall obtained from S1-RT1 SM with respect to ASCAT SM is evident: the higher spatial resolution of S1-RT1 allows the generation of detailed features, even if with a granular effect likely due to the uncertainties of the measurements, and with patterns related to the spatial variation of S1 temporal resolution (compare with Fig. 4e).

The results of R, RMSE and BIAS with respect to the selected time-steps are shown in Fig. 3. In order to maximize the reliability of the obtained performances, the rainfall accumulation was carried out by summing up only timestamps available in both the SM2RAIN estimations and the benchmark, for each SM2RAIN product separately. In this way S1-RT1 performances can be better assessed, since a direct accumulation would penalize this product due to the long period of no-data caused by S1 low temporal resolution.

The SM2RAIN product obtained from ASCAT allows to well reproduce the rainfall of the Po River basin at daily time scale thanks to the high temporal resolution of ASCAT (sub-daily frequency), with a median R of 0.61 for the parameterized product

and 0.64 for the calibrated product, confirming the good quality of the data and the importance of its temporal resolution. At higher aggregation time steps, the median R of the parameterized (calibrated) ASCAT-derived rainfall products improve to 0.71 (0.75) for the 10 days accumulation period and to 0.74 (0.77) when 30 days accumulation is considered. Good results are also obtained from the application of SM2RAIN to S1-RT1, with a median R of 0.61 (0.65) and 0.73 (0.75) at 10 and 30 days accumulation time, respectively. Albeit ASCAT-derived rainfall performs better than the one from S1-RT1 at 10 days, they are equally good for the 30 days accumulated rainfall. The results also confirm the good capabilities of the parameterized SM2RAIN algorithm in rainfall estimation, considering the small differences between the performances obtained by the two algorithm versions. The only exception is the BIAS index, which, as expected, is significantly larger in the parameterized products compared to the calibrated ones. The increased BIAS is due to the ERA5-Land data used to obtain the climatology of the area since its spatial resolution is much lower than the one adopted for this study (i.e., 1 km) and the average spatial pattern of rainfall is quite different from the one measured by MCM.

4.2 Spatial validation of rainfall products

Even if the ASCAT product (with lower spatial resolution) is on average the best performing, the spatial comparison of the performances is important to understand the added value of high resolution SM. In order to better evaluate the differences between the rainfall estimated from ASCAT and S1-RT1, the Pearson's correlation performances of the 30 days accumulated rainfall derived from the two SM products are analyzed in this section. This temporal step was selected since it is suited for a quality comparison of the two products, being less influenced by the different temporal resolution of the sensors, and because it is optimal for agricultural application.

Generally good performances are obtained from both rainfall products, as shown in Fig. 4a and 4b. Some areas with low R values are shared by both ASCAT and S1-RT1 derived rainfall products. Over mountain areas the errors are mostly related to the lower accuracy of C-band SM data, due to shadowing effects and layover (a distortion that occurs in radar imaging when the signal reflected from the top of a tall feature is received by the emitter before the one of the base, Ulaby et al., 1981). The presence of water bodies at the river outlet and over the paddy fields in the western part of the Po basin is also affecting SM, and hence rainfall retrieval accuracy. Finally, the yellow "holes" in the correlation maps resemble the errors caused by low quality gauge data, which affect the rainfall estimation surrounding the gauge sensor. It should also be noticed that many low performing areas are located close to urban centers, which may affect both the SM retrieval quality and the raingauge measurements, as discussed in the following section. Notwithstanding this, it is impossible to remove the alleged "bad" gauge stations from the benchmark product, as MCM is an operative product and the clear identification of these stations is often challenging.

The spatial comparison between the performances of the ASCAT and S1-RT1 derived rainfall is shown on Fig. 4c, displaying the difference between the correlation values of the two products. Red area means that the S1-RT1 product is performing better, whereas blue areas highlight where ASCAT is providing more accurate rainfall estimates. First of all, it should be noted that while ASCAT derived rainfall product shows average correlation values over the mountainous region in the North and

315   West of the map (see Fig.1 for comparison with the DEM map), S1-RT1 correlation are either extremely low or extremely high. This important difference is caused by the high spatial resolution of S1-RT1 product: the improved resolution permits to clearly distinguish the "good" signal originating from the valleys and the "bad" signal coming from the mountain slopes, affected by the noise generated from the aforementioned shadowing and layover effects. This distinction results in areas with respectively very good (valleys) and very bad (mountains) rainfall estimations. The spatial resolution of ASCAT on the other

320   hand does not permit to distinguish the signals of the two geographical features, causing lower performances over the valleys and higher performances over the slopes in comparison with S1-RT1. The low performances of the pixels located over the mountain slopes are also responsible for the long violin plot tails of S1-RT1 performances that can be noticed in Fig. 3. S1-RT1 results are particularly lower than those from ASCAT due to the fact that S1-RT1 product calibration was carried out without considering any snow masking, thus reducing the quality of the solution in the pixels affected by snow cover.

325   A smaller difference in performance can be noticed over the plain, in particular in the north-eastern section, where S1-RT1 rainfall performs overall better than ASCAT. Conversely, in the southern section and specifically over the areas surrounding the Po River and its tributaries, ASCAT derived rainfall is better than S1. An explanation of this behaviour can be found in the intensive irrigation practice over this area. Irrigation events cause an increase of the fields SM (Filippucci et al., 2020) that should be sensed by satellites sensors. However, the area surrounding the Po River is composed by many small fields (few

330   hectares each) managed by different farmers, where the irrigation timing is not concurrent. The ASCAT sensor is not able to distinguish the resulting irrigation signal (Brocca et al., 2018) because of its low spatial resolution (25 km) that cause the signals of each field to overlap and average with each other. S1, instead, is more sensitive to the irrigation signal, thanks to its higher spatial resolution.

Considering that the rainfall benchmark product does not contain irrigation information, the drop in Pearson's correlation of

335   the S1-RT1 derived rainfall with respect to ASCAT could be related to the sensitiveness of the former to the aforementioned irrigation events, and not to the SM signal quality. It could be an additional information of great scientific interest but, unfortunately, the absence of detailed irrigation data for the Po Valley makes difficult to verify this hypothesis.

Finally, it should also be noted that this analysis could be biased in the areas characterized by a high presence of missing values (NaN) for one product with respect to the other, which hampers the statistical significance of the performance indices.

340   Notwithstanding this, the absence of patterns in the maps that resemble the NaN distribution percentage shown in Fig. 4d and 4e, fosters the validity of the analysis, even if S1 temporal resolution still affects the average rainfall pattern (compare Fig. 4e with Fig. 2b).

The performance comparison with respect to RMSE and BIAS and a comparison of the calibrated SM2RAIN products is omitted for the sake of brevity, because no relevant additional information can be obtained from it.

345   In Fig. 5 and 6, rainfall and SM timeseries of two pixels selected in the north-west of the Po basin are shown, as an example of the increased capacity of S1-RT1 for rainfall retrieval in the mountainous area. Since these pixels are selected in a topographic complex area, they should not be considered representatives of the overall performance and availability of the satellite rainfall products, rather an example of the improved performance derived from the use of S1-RT1 high resolution SM.

Winter and early-spring measurements are masked in both pixels, due to frozen condition or snow cover, according to ERA5-Land information. The pixel in Fig. 5 is selected over one of the mountain valleys of the Italian territory (7.152°E, 45.710°N), inside the Italian region Valle d'Aosta, in order to show how S1 spatial resolution increases the capabilities in rainfall estimation over such a region. By observing the rainfall timeseries in Fig. 5a and the standard month distribution in Fig. 5b, it can be noted how S1-RT1 derived rainfall is in better accordance with the observed one, in particular during autumn months. During late spring and summer, S1-RT1 and ASCAT estimates are more similar, while S1-RT1 often underestimates the observed rainfall, also with respect to ASCAT. In Fig. 5d, the same behaviour can be noted on the averaged SM trends, with the SM sensed by S1-RT1 being on average less than the one from ASCAT during late spring-summer and greater during the autumn season, probably due to the additional vegetation correction operated within S1-RT1.

Figure 6 shows the timeseries of a pixel selected over the mountain slopes, in the vicinity of the previous one (7.410°E, 45.824°N). While ASCAT SM estimates (Fig. 6c and 6d) show patterns that are similar to those in Fig. 5, S1-RT1 signal is completely different. The SM saturates in the summer period and goes down in autumn, with a strong seasonality that is poorly affected by the rainfall events. This is most probably an issue of the vegetation-correction, since it adds a strong seasonality to pixels that realistically exhibit little vegetation coverage, also due to the low spatial resolution (with respect to S1-RT1) of the LAI product used for correcting vegetation-seasonalities. This erroneous vegetation-seasonality is then counteracted by an erroneous SM seasonality. As expected, the poor quality of SM estimations, greatly affects SM2RAIN capabilities in calculating rainfall in these areas, resulting in very high rainfall rate perceived during summer and very low one during winter, in contrast with the observed data.

Finally, Fig. 7 shows the timeseries of a pixel selected over the plain (10.684°E, 44.805°N). As can be noted, the period of unavailability of the rainfall datum is greatly reduced in comparison with Fig.5 and Fig.6, since this area is characterized by higher temperature during the winter and by minor snow cover probability. Overall, S1-RT1 SM shows a greater variability during the summer season with respect to ASCAT (Fig. 7c-7d), thanks to both the vegetation correction and the higher spatial resolution. This leads to a greater accuracy in the peak rainfall detection of summer 2018 and 2019 (Fig. 7a). On the other hand, an overestimation of 2017 summer rainfall (potentially due to an error in SM estimation or to an irrigation event) and an underestimation of winter 2019 (probably due to SM saturation) is found. Overall, the rainfall estimate from S1-RT1 is in good accordance with the observed one (Fig. 7b), proving both the validity of the derived rainfall product and its usefulness for hydrologic modelling.

5 Discussion

The obtained results show that the high resolution information from S1 sensors allows to increase the accuracy of SM (and thus of rainfall) in areas where coarse resolution data are not able to obtain reliable estimates. Conversely, over some region the rainfall obtained from the application of SM2RAIN to S1-RT1 SM shows worse performance with respect to the one obtained when the algorithm is applied to ASCAT data, as it happens over many mountainous areas. Finally, the analysis highlighted– some areas in which the accuracy of the rainfall obtained from the application of both the calibrated and parameterized SM2RAIN to ASCAT or S1-RT1 SM products is stably low, as discussed in section 4.2. This issue can depend by multiple factors, as SM signal quality, failure of the SM2RAIN algorithm hypothesis or accuracy of the benchmark rainfall product. An attempt to identify those area is here made, by highlighting the pixels in which the Pearson's correlation between the 30 days accumulated rainfall from MCM and the four SM2RAIN derived products is always less than a threshold, fixed at 0.65, as shown in Fig. 8. Multiple areas of stable low performances can be distinguished in the figure, highlighted in blue. Two main reasons of this behaviour can be identified: issues with the SM sensing and issues with the benchmark product.

In particular, the blue areas located in mountainous region in Fig. 8, in the North and the West of the map, should be affected by both the source of error, since on topographically complex areas SM retrieval is difficult and weather radar accuracy drops. Notwithstanding this, ASCAT performance are still higher than those of S1-RT1 in these areas (compare with Fig. 4). This fact has a threefold explanation: first, S1-RT1 SM estimations are obtained without considering any snow masking, thus their accuracy over mountain region regularly affected by snow cover is limited; second the low quality of ASCAT SM retrieval over topographically complex area is mitigated by the presence in each ASCAT pixel of valleys and/or plateau in which SM accuracy is higher; third, SM2RAIN algorithm hypothesis could be not valid over these areas since the runoff rate should be not negligible. Indeed, SM2RAIN conditions states that the runoff rate is negligible during the rainfall event, but the low temporal resolution of S1 overcomes the duration of most of the events, questioning the condition's validity.

Instead, the areas in Fig.8 within the light blue rectangles, are characterized by the presence of paddies and water bodies: here the low performance should be caused by low SM quality, due to the impossibility of retrieve SM information over flooded areas with active microwave sensors. Finally, the remaining blue regions should be affected by low quality of the benchmark product. This can be related either to "bad" performing gauge stations, recognizable through the central position of a gauge with respect to the low performing area (e.g. the two regions in the Center-East black rectangles), or to issues with weather radar and raingauges measurements, where the blue patterns are concentrated between two or more raingauges (e.g. the region within the black rectangles on the South-West).

In order to better analyze this aspect, three stations located in within the three black rectangles in Fig. 8 were selected, together with the nearest neighbour stations. The MCM timeseries of the pixels that includes the stations were extracted, in order to compare them and assess the quality of the selected raingauges. The qualitative comparison of the stations is shown in Fig. 9, where the scatter plots for each pair of raingauges is shown together with their position in the map (Fig. 9a). In particular, a clear issue with the raingauge named A1 can be appreciated in Fig. 9b, with this sensor measuring rainfall peaks up to 300

mm/day, absent from the nearest gauges. The issue can be confirmed by the low Pearson's correlation between its timeseries and the one of the nearest raingauge, equal to 0.53, that is significantly lower than the mean Pearson's correlation calculated between each couple of nearest stations within the study area, equal to 0.87 (standard deviation equal to 0.1). Also Fig. 9c shows strange patterns of rainfall: even if there are no large peaks, several rainfall events are sensed with different magnitude by the two stations named B1 and B2, as can be noticed by looking at the number of points that tends to the x and y axis which indicate severe over- or underestimation. Also in this case, the measured Pearson's correlation is lower than the average, equal to 0.71. Finally, the station C1 (Fig. 9d) measures several peaks of rainfall that are higher than those recorded by the nearest raingauge, C2. Notwithstanding this, in this case the variation between the two sensors seems to be caused by the natural rainfall spatial variability, as demonstrated by the high Pearson's correlation between the two timeseries, equal to 0.88. This was expected since the low performing region is not located around one of the stations, but in between them, over a hilly area that could affect the weather radar measurements.

Errors in the selected benchmark product are a known limitation of the direct validation of rainfall datasets. This fact is also the proof of the need of further research in the rainfall measurement fields, since the merging of different rainfall products, each with its limitation often complementary, can be beneficial, allowing to obtain a more reliable estimate.

6 Conclusion

Rainfall measurements from space are more and more used to increase the rainfall distribution knowledge and to improve water resource management capabilities, but their spatial resolution is limited due to technological limitations. In this work, the SM2RAIN algorithm was applied to a 1 km spatial resolution SM product from S1 obtained through an algorithm based on a first order solution of the Radiative Transfer equation, RT1, over the Italian fraction of the Po River Basin (Fig. 1), to obtain a high resolution rainfall product from satellite remote sensing. This region was selected due to the availability of a benchmark dataset from radar and raingauge data, obtained through the MCM algorithm. Two versions of SM2RAIN were applied in this analysis to compare the resulting performances: one uncalibrated, to foster the high resolution rainfall estimation in other regions where benchmark data are unavailable, and one calibrated against the observed data. In order to assess the improvements related to the high spatial resolution of S1, SM2RAIN was also applied to ASCAT SM, resampled to S1-RT1 grid for comparison. The analysis was carried out at different temporal accumulation steps (1 day, 10 days and 30 days) to take the different temporal resolutions of the two SM products, 1.5 to 4 days for S1-RT1 and sub-daily for ASCAT, into account.

The results (Fig. 3) show that it is indeed possible to obtain high resolution rainfall data from S1, even if the low temporal resolution of the data does not allow to calculate daily rainfall. It is instead possible to calculate it with ASCAT data due to the higher temporal resolution, with good results (median R of 0.61 and 0.64 for the parameterized and calibrated SM2RAIN). When 10 days accumulated rainfall is considered, S1-RT1 derived rainfall from the parameterized (calibrated) SM2RAIN performs quite well, with a median R of 0.61 (0.65), but ASCAT performances are higher, with a median R of 0.71 (0.75). At

higher temporal accumulation steps, the performance differences reduce, until ASCAT and S1-RT1 derived rainfall reach almost equal R for the 30 days accumulated rainfall (around 0.75). Similar conclusion can be deduced by analyzing RMSE index, while for BIAS index the differences between the calibrated and the parameterized SM2RAIN results are larger, probably due to the low spatial resolution of the product used to obtain the Po River Basin climatology (ERA5-Land).

445   Even if on average the rainfall from ASCAT seems to be slightly better performing than the one from S1, the analysis of the spatial distribution of R shows instead the true benefits of the high resolution SM (Fig. 4). In the complex mountain regions, S1 obtains extremely good performance over the valleys and bad performance over the ridges, unsuited for SM remote sensing, whereas ASCAT R always represents an average of the two signals due to the lower spatial resolution. S1 derived rainfall is generally better performing than the one from ASCAT also in the northern section of the Po Valley plain, while the latter is

450   better in the southern section, where irrigation is widely practiced. The fragmentary nature of the irrigation in this area could be the cause of this phenomena: S1-RT1 should be more sensitive than ASCAT to the signal generated by various small fields, where irrigation in not concurrent, thanks to its higher spatial resolution, but since irrigation is not considered in the benchmark product, the resulting R is reduced.

  Some areas with stable low performance of rainfall estimation were also identified (Fig. 8), caused by the limitations of

455   SM2RAIN algorithm (e.g., areas in which runoff rate is not negligible), of the SM remote sensing (areas in which SM estimation is impossible, e.g., flooded or snow covered areas) and of the benchmark product (e.g., topographically complex areas).

  Summing up, high resolution rainfall from satellite remote sensing is possible and is able to observe features that are averaged in products with lower spatial resolution, like the precipitation within mountain valleys and potentially the fields' irrigation.

460   Notwithstanding this, the low temporal resolution is currently a limitation for its application in many fields, even if high spatial resolution rainfall at monthly temporal resolution is still useful for agriculture, water resource management and index-based insurances. Future research steps should try to address this issue, e.g., by exploiting the integration of high spatial resolution products (characterized by low frequency) with high temporal resolution products (characterized by low spatial resolution).

465   **Appendix**

  In this paper, the performance indexes were calculated at three different temporal steps: 1 day, 10 days and 30 days. In order to obtain them, the timeseries of each estimated product and the observed one were accumulated according to the selected period by considering only the intervals in which the data was available in both the datasets. This choice was made to obtain the best accurate assessment of each product, by calculating its potential in estimating rainfall against a concurrent dataset.

470   Notwithstanding this, the comparison of ASCAT and S1-RT1 based on such performances could be biased, because in this way the analyzed indexes are calculated against two different benchmark datasets, each representing only the selected

overlapping timestamps. In this section, we decided therefore to calculate again the performance indexes by accumulating the rainfall of the observed and estimated datasets only over the periods in which the three datasets (i.e., MCM, ASCAT and S1-RT1) are available together, and to insert in this appendix the corresponding figures with respect to the newly calculated indexes: Fig. 4 (Fig. A-1) and 5 (Fig. A-2). To further increase the comparison quality and to avoid the period in which just one Sentinel-1 sensor was in orbit and thus the associated drop in performance, only the data subsequent to 01/10/2016 were considered for the new indexes calculation.

In comparison with the paper's results, here ASCAT performances increase, evidently due to the removal of some low performing data, as confirmed by the appearance of some patterns within the ASCAT correlation maps in Fig. A-2a that resemble the invalid pixel percentage distributions map of Fig. A-2d. Notwithstanding this, the areas in which S1-RT1 outperforms ASCAT are almost identical, although shrinked, confirming the paper's results.

Author contribution

Paolo Filippucci: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Project administration, Software, Validation, Visualization, Writing – original draft preparation;

Luca Brocca: Conceptualization, Funding acquisition, Supervision, Writing – review & editing;

Raphael Quast: Data curation, Resources, Writing – review & editing;

Luca Ciabatta: Data curation, Resources, Writing – review & editing;

Carla Saltalippi: Supervision, Writing – review & editing;

Wolfgang Wagner: Supervision, Writing – review & editing;

Angelica Tarpanelli: Supervision, Writing – review & editing.

Competing interests

The authors declare that they have no conflict of interest.

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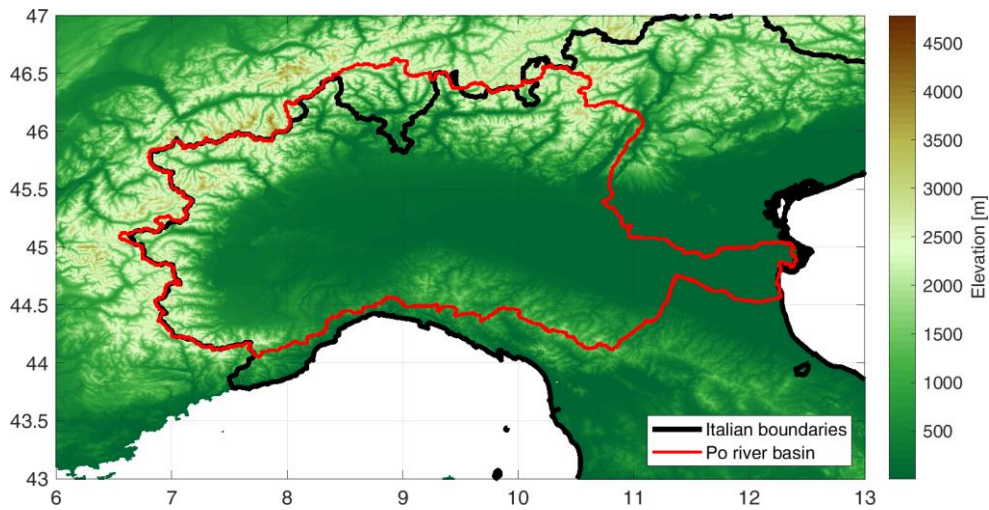
Figure 1: Po River Basin elevation map from ASTGTM. The black line indicates the Italian boundaries, while the red line the Po river basin boundaries.

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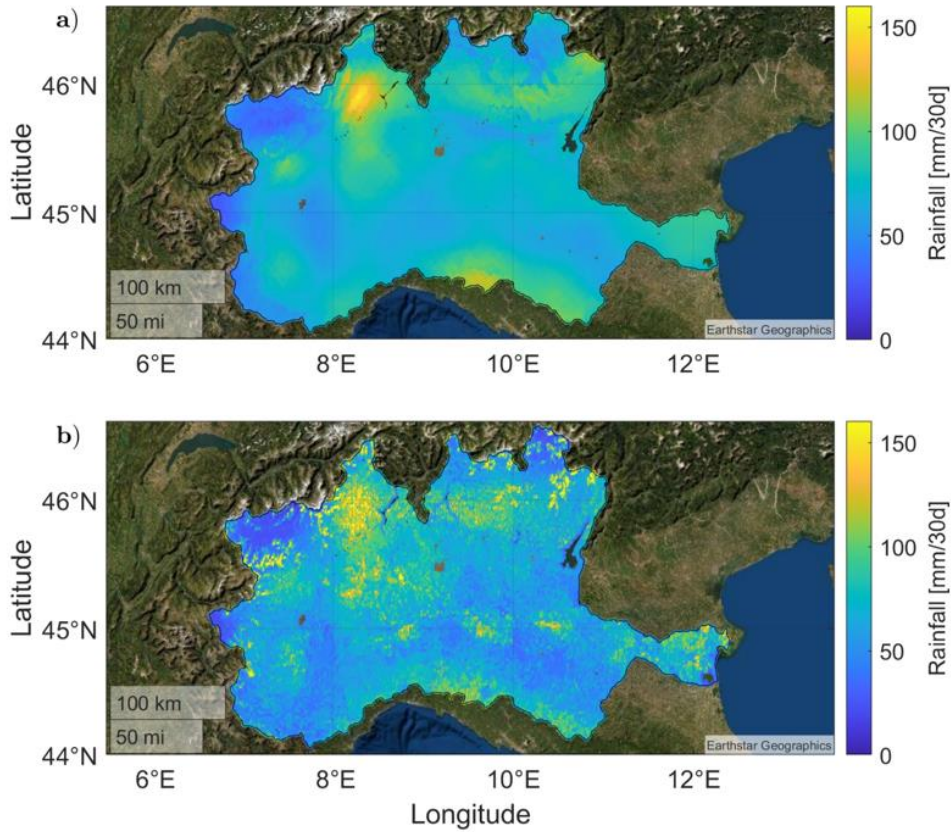
Figure 2: Estimated average 30 days accumulated rainfall from the parameterized SM2RAIN applied to ASCAT (Panel a) and S1-RT1 (Panel b) SM product for the period 2016-2019. Map copyright ©2021 GeoBasis-De/BKG (©2009), Google, Inst. Geogr. Nacional Immagini ©2021 TerraMetrics.
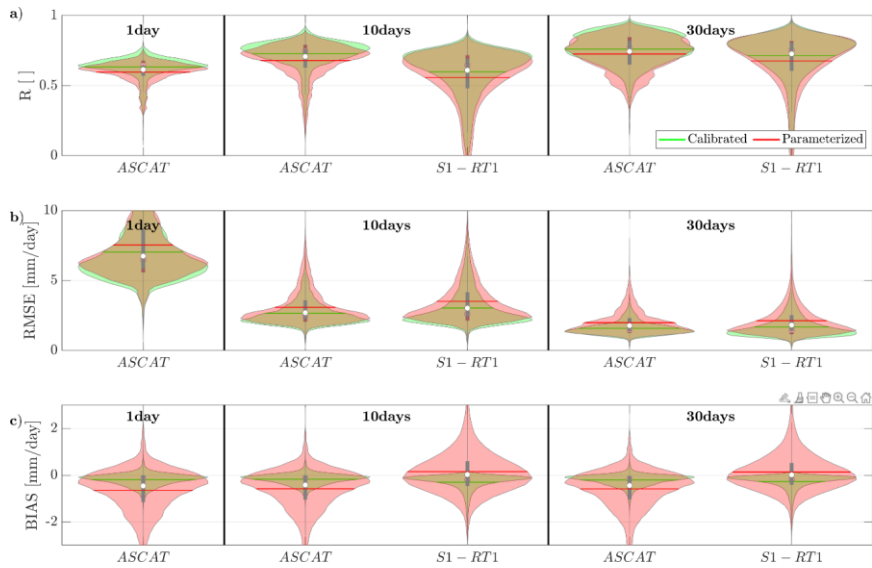
Figure 3: Violin plots of Pearson Correlation (R, panel a), Root Mean Square Error (RMSE, panel b) and BIAS (panel c) between the rainfall from MCM and from SM2RAIN applied to ASCAT and S1-RT1. ASCAT-derived rainfall was accumulated at 1, 10 and 30 days, while the rainfall from S1-RT1 was accumulated at 10 and 30 days. Each violin shape is obtained by rotating a smoothed kernel density estimator. The green violins are obtained by calibrating SM2RAIN against MCM, while the red violins derived from the parameterized SM2RAIN procedure.

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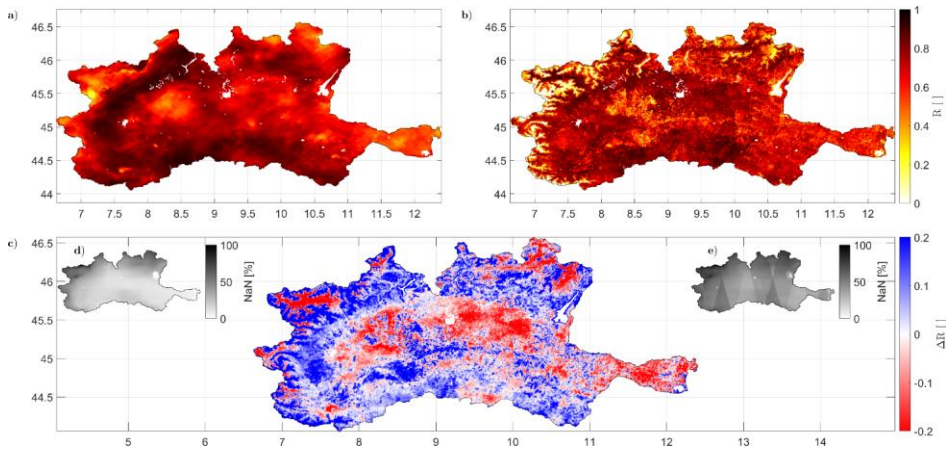
Figure 4: Spatial Pearson's correlation (R) between the 30 days accumulated rainfall derived from MCM and the application of the parameterized SM2RAIN to ASCAT (panel a) and to S1-RT1 (panel b) SM products. Panel c shows the difference between ASCAT and S1-RT1 correlation maps, while panel d) and e) show the percentage of not valid images per pixel respectively for ASCAT and S1-RT1.
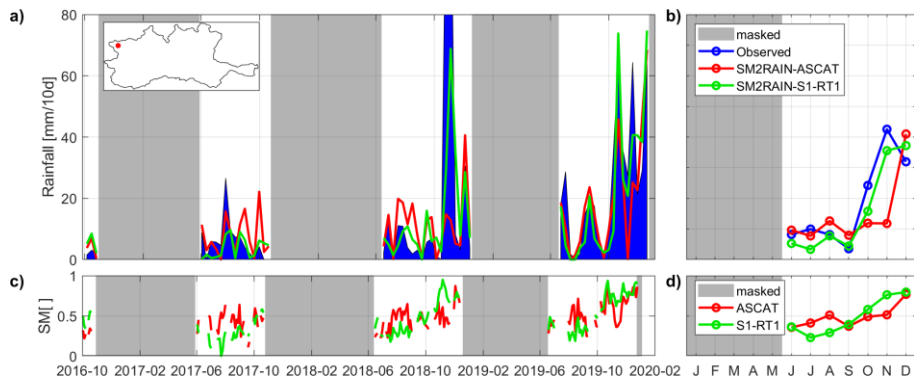
Figure 5: Example of SM and rainfall timeseries over a pixel (7.152°E, 45.710°N) where the parameterized SM2RAIN applied to S1-RT1 outperforms SM2RAIN-ASCAT. In panel a, the timeseries of the observed (blue) and estimated (red SM2RAIN-ASCAT, green SM2RAIN-S1-RT1) 10-days accumulated rainfall products are shown, while panel c displays SM timeseries averaged with a 3 days window. Finally, panel b and d contain the standard month average of the rainfall and SM products, respectively. The periods masked for frozen soil condition or snow cover are highlighted in grey.

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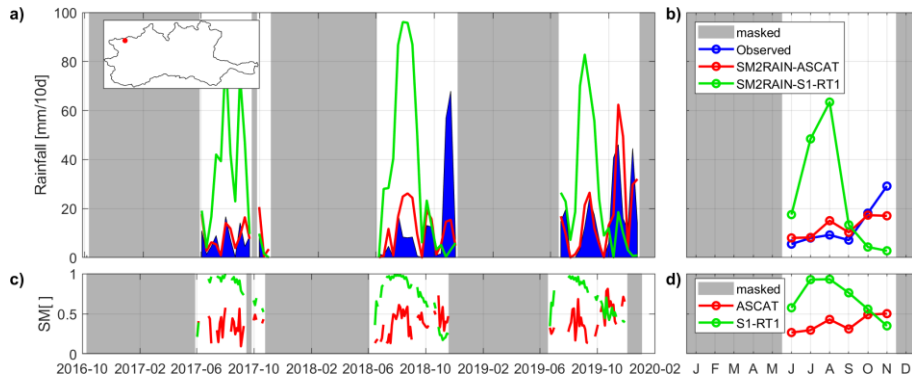
Figure 6: Example of SM and rainfall timeseries over a pixel (7.410°E, 45.824°N) where the parameterized SM2RAIN-ASCAT outperforms SM2RAIN applied to S1-RT1. In panel a, the timeseries of the observed (blue) and estimated (red SM2RAIN-ASCAT, green SM2RAIN-S1-RT1) 10-days accumulated rainfall products are shown, while panel c displays SM timeseries averaged with a 3 days window. Finally, panel b and d contain the standard month average of the rainfall and SM products, respectively. The periods masked for frozen soil condition or snow cover are highlighted in grey.

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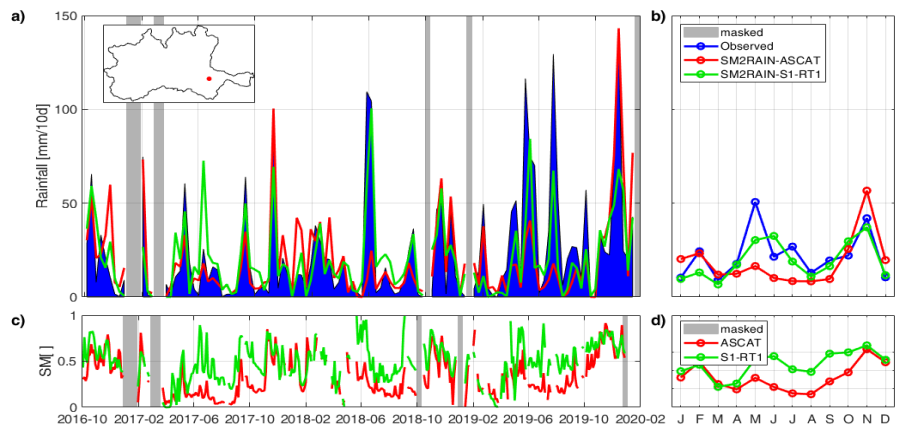
Figure 7: Example of SM and rainfall timeseries over a pixel (10.684 E° 44.805 N°) selected in the plain. In panel a, the timeseries of the observed (blue) and estimated (red SM2RAIN-ASCAT, green SM2RAIN-S1-RT1) 10-days accumulated rainfall products are shown, while panel c displays SM timeseries averaged with a 3 days window. Finally, panel b and d contain the standard month average of the rainfall and SM products, respectively. The periods masked for frozen soil condition or snow cover are highlighted in grey.

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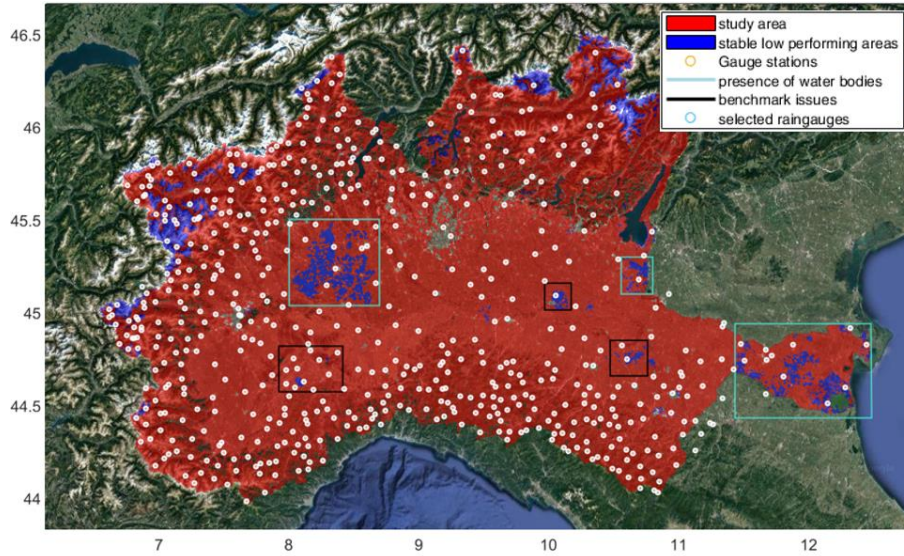
Figure 8: Map of Po River basin. The blue pixels indicate the areas where Pearson's correlation between the 30 days accumulated rainfall from MCM and the calibrated and parameterized SM2RAIN applied to ASCAT or S1-RT1 is stably less than a threshold of 0.65. The light blue rectangles surround the areas with paddy areas or abundant water bodies, while black rectangles outline areas with alleged "bad" performing gauge station. Finally, the white dots show the gauge stations location and the green dots the raingauge selected to be further analyzed. Map copyright ©2021 GeoBasis-De/BKG (©2009), Google, Inst. Geogr. Nacional Immagini ©2021 TerraMetrics.

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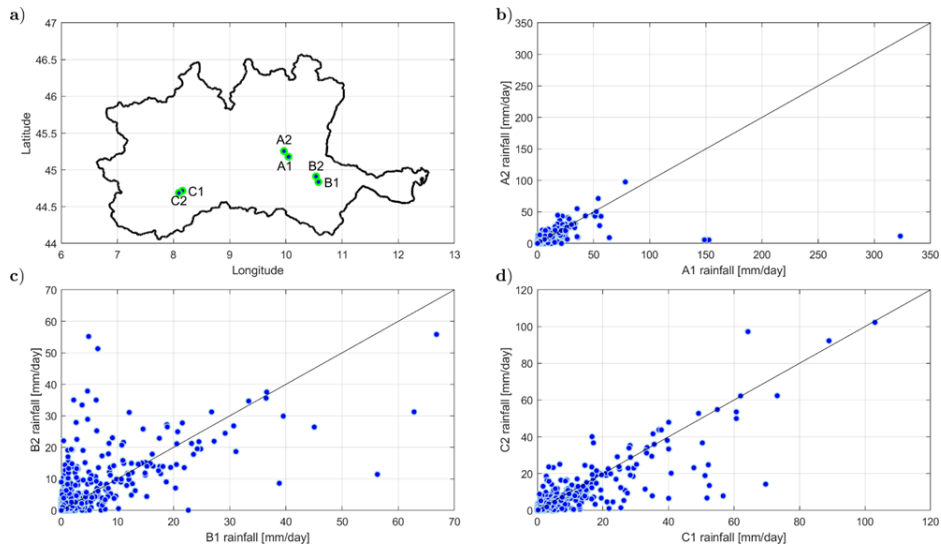
Figure 9: Panel a shows the boundary of the Po River basin, together with the position of three couple of stations (A1-A2, B1-B2 and C1-C2) with alleged "bad" MCM performance. The scatter plots of the daily rainfall measured by each couple of stations is then shown in Panel b, c and d.
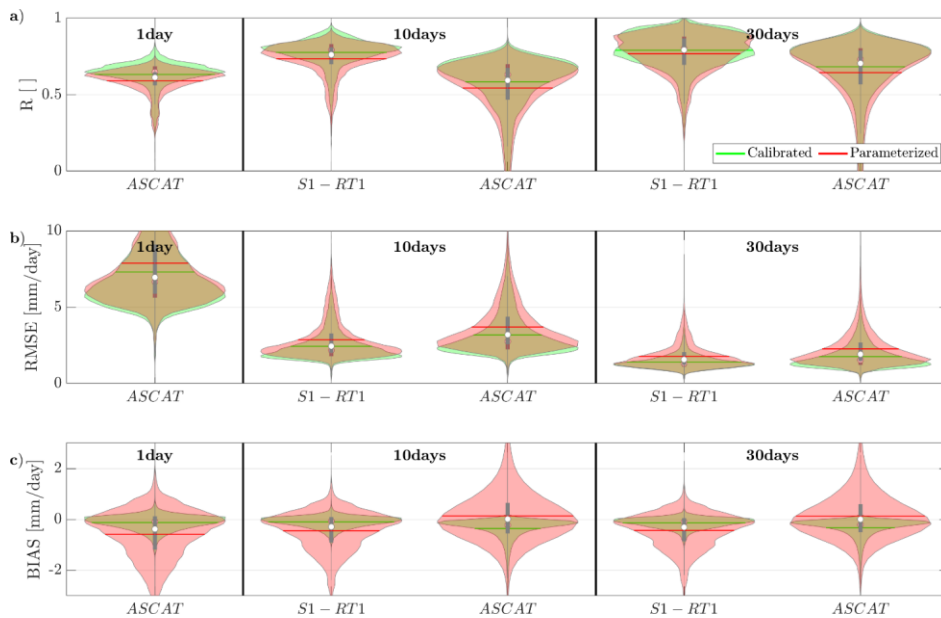
Figure A-1: Violin plots of Pearson Correlation (R, panel a), Root Mean Square Error (RMSE, panel b) and BIAS (panel c) between the rainfall from MCM and from SM2RAIN applied to ASCAT and S1-RT1. ASCAT-derived rainfall was accumulated at 1, 10 and 30 days, while the rainfall from S1-RT1 was accumulated at 10 and 30 days. Only the periods in which all three products are available are considered in the accumulation. Each violin shape is obtained by rotating a smoothed kernel density estimator. The green violins are obtained by calibrating SM2RAIN against MCM, while the red violins derived from the parameterized SM2RAIN procedure.

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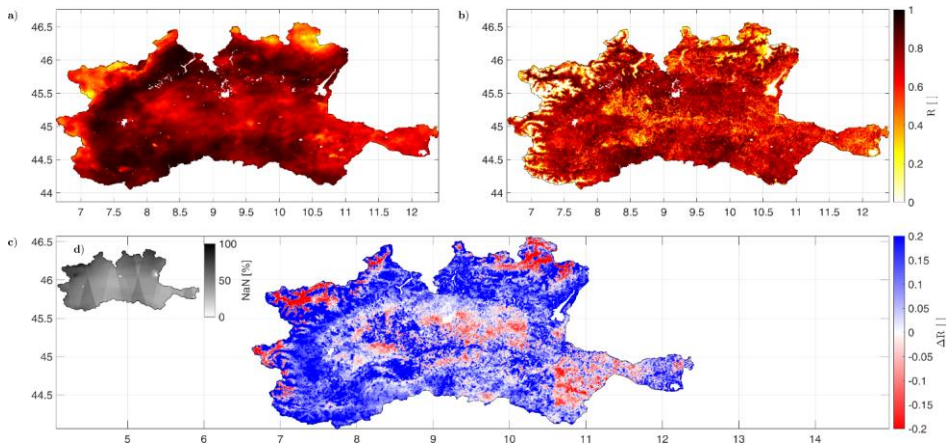
Figure A-2: Spatial Pearson correlation (R) between the 30 days accumulated rainfall derived from MCM and the application of the
parameterized SM2RAIN to ASCAT (panel a) and to S1-RT1 (panel b) SM products, considering only for the periods in which all
three products are available. Panel c shows the difference between ASCAT and S1-RT1 correlation maps, while panel d shows the
percentage of not valid images per pixel.