The role of MCDA in a transdisciplinary process: Co-developing a flood forecasting system in West Africa

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Abstract. Climate change is projected to increase flood risks in West Africa. In the FANFAR project, a pre-operational flood early warning system (FEWS) for West Africa was co-designed in workshops with 50-60 stakeholders from 17 countries, adopting Multi-Criteria Decision Analysis (MCDA). We aimed at: (i) designing a FEWS with West African stakeholders using MCDA; and (ii) evaluating participatory MCDA as a transdisciplinary process. To achieve the first aim (i), we used MCDA methods for problem structuring and preference elicitation in workshops. Problem structuring included stakeholder analysis, creating 10 objectives to be achieved by the FANFAR FEWS, and designing 11 possible FEWS configurations. Experts predicted FEWS configuration performance, which we integrated with stakeholder preferences. We tested MCDA results in sensitivity analyses. Three FEWS showed good performance, despite uncertainty, and were robust across different preferences. For stakeholders it was most important that the FEWS produces accurate, clear, timely, and accessible flood risk information. To achieve the second aim (ii), we clustered common characteristics of collaborative governance frameworks from the sustainability science and transdisciplinary literature. Our framework emphasizes issues crucial to the earth systems sciences such as uncertainty and integrating interdisciplinary knowledge. MCDA can well address both. Other strengths of MCDA are co-producing knowledge with stakeholders, and providing a consistent methodology with unambiguous, shared results. Participatory MCDA including problem structuring can contribute to co-designing a project, but does not well achieve later phases of transdisciplinary processes, such as co-disseminating and evaluating results. We encourage colleagues to use MCDA and the proposed framework for evaluating transdisciplinary hydrology research that engages with stakeholders and society.

1 Introduction

25 1.1 Floods in West Africa

West Africa is vulnerable to projected impacts of climate change, particularly concerning runoff quantities (Aich et al., 2016;Roudier et al., 2014). Climate change projections and mechanisms remain uncertain for West Africa, but there is growing evidence for increased frequency, magnitude, and impact of floods (Nka et al., 2015). West Africa is already heavily impacted by floods. Preliminary United Nations data estimate that 465 people died from floods in West and Central Africa in 2020.

More than 1.7 million people were affected, 94'000 displaced, and 152'000 houses were destroyed (OCHA, 2020). Good flood early warning system (FEWS) help minimizing flood impacts (Perera et al., 2019); good means they give accurate, timely, and understandable information, and are affordable. Several FEWS have been set up in West Africa, some being very useful. However, none sufficiently meet stakeholder needs regarding: i) timeliness (e.g., annual frequency of PRESASS/PRESAGG forecasts; WMO, 2021); ii) coverage (systems propagating streamflow measurements cover small parts of West Africa and no ungauged basins, e.g., SLAPIS, OPIDIN, FEWS-Oti; Massazza et al., 2020); iii) up-to-date operational production without failures (e.g., interrupted production and access to SATH-NBA during the major 2020 floods; NBA, 2020); iv) accuracy (e.g., global modelling systems such as GloFAS; Passerotti et al., 2020); and v) openness and ownership (e.g., proprietary closed-source consultancy systems may limit the independence of West African stakeholders, and hence the FEWS' long-term sustainability). An overview of gaps, needs, and recommendations is provided by WMO (2020). Moreover, feedback from a stakeholder survey, interviews, and literature indicated that the perceived overall effectiveness of FEWS was very low in all but one West African country, receiving the lowest score 1 of 3 possible (Figure 5 in Lumbroso et al., 2016).

1.2 Developing a FEWS with stakeholders in the FANFAR project

The EU Horizon 2020 project FANFAR aimed at co-developing a pre-operational FEWS for West Africa (FANFAR, 2021; Andersson et al., 2020a). This FEWS is currently based on three open-source hydrological HYPE models (Andersson et al., 2017; Arheimer et al., 2020; Santos et al., 2022) in a cloud ICT environment. It includes daily meteorological forecasting, data assimilation, hydrological forecasting, flood alert derivation, and distribution through Email, SMS, API, and an interactive visualization portal (https://fanfar.eu/ivp/). Rather than the technical system (Andersson et al., 2020b), this paper addresses stakeholder engagement in an iterative co-design process, which is needed to address FEWS development (Sultan et al., 2020).

To organize such a transdisciplinary endeavor involving many stakeholders, a comprehensive Multi-Criteria Decision Analysis (MCDA) process can be suitable (Belton and Stewart, 2002; Eisenführ et al., 2010; Keeney, 1982). It should include problem structuring methods (Rosenhead and Mingers, 2001). Participatory MCDA can help focus FEWS development such that it best meets stakeholder expectations. Indeed, MCDA has been used in flood risk management (reviewed by de Brito and Evers, 2016; Abdullah et al., 2021), but rarely as a participatory process. Stakeholders were not even mentioned in a review of 149 papers (Abdullah et al., 2021). de Brito and Evers (2016) concluded that stakeholder participation was fragmented and stakeholders were rarely involved in the entire decision process, despite being reported in 51% of 128 papers.

2 Literature review, research questions

2.1 Sustainability science and transdisciplinary research frameworks

Disaster management increasingly acknowledges that FEWS development should closely involve users to adapt it to their needs, thus increasing usefulness, effectiveness, and uptake (Basher, 2006;Bierens et al., 2020;UNISDR, 2010). Participatory

processes to address global environmental challenges are at the core of transdisciplinary research and the sustainability sciences. However, this literature lacks systematic integration and conceptualization of empiric evidence (e.g., Lang et al., 2012; Caniglia et al., 2021). Mechanisms of sustainability transformations are still not well understood (Schneider et al., 2019; Wuelser et al., 2021). Various frameworks for collaborative governance have been proposed, several using three main phases: (i) problem framing; (ii) collaborative research and co-producing knowledge; and (iii) evaluating and co-disseminating results (Jahn et al., 2012; Lang et al., 2012; Mauser et al., 2013). These elements are shared by other frameworks, but some proposed another structure (e.g., Caniglia et al., 2021; Lemos and Morehouse, 2005). Many authors stress the iterative nature of transdisciplinary processes, where progress is achieved in cycles. From a practical perspective, four guiding principles for evaluating co-production processes have been proposed (Norstrom et al., 2020): (i) situate process in a context, place, or issue; (ii) pluralistic by recognizing multiple ways of knowing and doing; (iii) goal-oriented; and (iv) interactive with ongoing learning of actors, and frequent, active engagement. Recent systematic analyses of transdisciplinary projects revealed seven common characteristics: (i) transdisciplinary principles such as taking practitioners on board; (ii) transdisciplinary approaches such as joint problem identification, or alliances with regional partners; (iii) systematic procedures and specific methodologies; (iv) product formats for communicating and using results in practice, and capacity building; (v) personal learning and skills development; (vi) framings; and (vii) results including insights, data, and information (Wuelser et al., 2021). Moreover, societal impacts can be classified along three generic mechanisms: (i) promote systems, target, and transformation knowledge; (ii) foster social learning for collective action; and (iii) enhance competences for reflective leadership (Schneider et al., 2019).

We clustered shared characteristics from this literature in an own framework (Table 1). We found that some elements received less attention in the social science oriented literature, which are, however, highly relevant to the earth system sciences. These include the explicit consideration of uncertainty and the interdisciplinary effort needed for tackling technically complex problems (Lemos and Morehouse, 2005;Mauser et al., 2013). Our framework follows a stepwise timeline, as proposed by many (Jahn et al., 2012;Lang et al., 2012;Mauser et al., 2013). We used the terminology by Mauser et al. (2013): (i) co-design; (ii) co-production, and (iii) co-dissemination of knowledge, to which we added evaluation, involving academia and stakeholders throughout. We will use the proposed framework for evaluating and discussing the role of MCDA in a transdisciplinary process, specifically, how well the different elements are met by MCDA.

Table 1. Conceptual framework for transdisciplinary research based on literature: (1) co-design, (2) co-production, and (3) co-dissemination of knowledge (terminology from Mauser et al., 2013), used to assess the MCDA process in the FANFAR project.

ID	Step	Explanation	Literature examples
1	Co-design	Joint problem framing	
1a	Build collaborative	Include structures enabling participation from the start, e.g.,	(Lang et al., 2012; Wuelser et al.,
	research team	use stakeholder mapping; aim at legitimacy of team; include	2021;Norstrom et al., 2020)
		bridging organizations or knowledge brokers to increase trust	

1b	Define research questions, methodological framework	Aim for balanced problem ownership from science and practice; define meaningful, shared goals, and measures of success	(Lang et al., 2012; Mauser et al., 2013; Jahn et al., 2012; Wuelser et al., 2021; Lemos and Morehouse, 2005; Norstrom et al., 2020)
1c	Define boundary object	Translate problem into boundary object that allows re- integrating insights into societal implementation and scientific body of knowledge; "transformation knowledge" on how to make change e.g., with measures and tools	(Lang et al., 2012;Jahn et al., 2012;Schneider et al., 2019)
2.	Co-production	Conducting integrated research to produce new knowledge	; continuous exchange among
		scientists from different disciplines, and with stakeholders $% \left(1\right) =\left(1\right) \left(1\right)$	
2a	Apply integrative	Facilitate differentiation of different bodies of knowledge by	(Mauser et al., 2013; Wuelser et
	(scientific) methods	using appropriate systematic procedures that ensure	al., 2021;Lang et al., 2012;Jahn et
		methodological consistency of research process	al., 2012)
2b	Interdisciplinary	Integrate knowledge of scientists from different disciplines;	(Mauser et al., 2013;Lemos and
	collaboration	avoid conflicting methodological standards	Morehouse, 2005; Jahn et al.,
			2012;Norstrom et al., 2020)
2c	Explicitly consider	Especially relevant in natural science problems addressing	(Mauser et al., 2013)
	uncertainty	long time horizons (e.g., climate change)	
2d	Integrate practice	Ensure appropriate roles, range of perspectives and skills, and	(Caniglia et al., 2021;Lang et al.,
	stakeholders in	context-based research; avoid discontinuous participation and	2012;Lemos and Morehouse,
	iterative process	vagueness of results that conceal potential conflicts	2005;Norstrom et al., 2020)
2e	Pluralistic principle/	Create shared understanding across multiple axes (e.g.,	(Norstrom et al., 2020;Schneider
	social learning	disciplines, sectors, countries, gender); recognize values of	et al., 2019;Caniglia et al.,
		people; foster training and capacity building	2021;Wuelser et al., 2021)
3	Co-dissemination	Integrate and disseminate knowledge among research and	societal groups in appropriate,
	and evaluation	relevant way; transparent discussion, critical reflection, and	d consequential actions
3a	Two-dimensional	Review, discuss, and revise outcomes from societal and	(Lang et al., 2012;Caniglia et al.,
	integration	scientific perspective, e.g., prescriptive knowledge	2021;Mauser et al., 2013)
		(recommendations about more desirable options)	
3b	Generate targeted	Translate results for scientific progress (e.g., generalizability),	(Lang et al., 2012;Jahn et al.,
	products	and real-world problem solving (e.g., relevance, scaling up	2012; Wuelser et al.,
		results, alliances, actions in specific contexts, products such as	2021;Caniglia et al., 2021;Lemos

		maps, manuals, information for policy makers); knowledge	and Morehouse, 2005; Mauser et
		transfer by scientists and societal actors	al., 2013)
3c	Evaluate societal	Reference back to success factors (step 1b); impact can be	(Lang et al., 2012;Jahn et al.,
	and scientific	defined in many ways, e.g., research quality, media attention,	2012;Norstrom et al.,
	impact	download rates, communities of practice, social networks,	2020;Schneider et al.,
		capacity building, education, concrete products, changing	2019;Lemos and Morehouse,
		people's lives; longer-term impacts are often not measurable	2005)

2.2 Multi-Criteria Decision Analysis (MCDA) in flood risk research

MCDA is well suited to address the challenge of stakeholder participation in FEWS development, and embraces various methodologies to support complex decisions (e.g., Belton and Stewart, 2002; de Brito and Evers, 2016). We chose Multi-Attribute Value Theory (MAVT; Eisenführ et al., 2010; Keeney, 1982) for reasons well documented in literature; (i) developing a complex FEWS requires many decisions such as identifying hydrological models and data sources to produce forecasts, or appropriate flood hazard thresholds, visualizations, and distribution channels to reach people. MCDA allows addressing such choices. (ii) To adapt the FEWS to stakeholder needs, collaboration with nonacademic partners is required. MCDA allows close stakeholder interaction, offering various methods for each stage of decision making (e.g., Eisenführ et al., 2010; Keeney, 1982; Marttunen and Hamalainen, 2008; Zheng et al., 2016; Marttunen et al., 2017). (iii) MAVT and Value Focused Thinking (Keeney, 1996) base decisions on the objectives that are of fundamental importance to stakeholders. (iv) To evaluate FEWS configurations, MCDA allows integrating different kinds of scientific and technical data from experts such as forecast accuracy or development costs in step 6 of the MCDA process (see Methods; Fig. 1). The stakeholder preferences are elicited separately in step 5: in complex decisions, not all objectives can be fully achieved, and MCDA explicitly asks stakeholders which tradeoffs they are willing to make. Preferences are combined with the prediction data in step 7. Especially in case of conflicting interests, it can be helpful to disentangle stakeholder values from facts (Gregory et al., 2012a; Keeney, 1982; Reichert et al., 2015). (v) MAVT and Multi-Attribute Utility Theory (MAUT) are mathematically very flexible. Usually linear additive aggregation is applied, but many non-compensatory models are possible, which may better represent stakeholder preferences (Haag et al., 2019a; Reichert et al., 2015; Reichert et al., 2019). (vi) MAVT/MAUT allow including various types of uncertainty, e.g., of expert predictions with probability theory, or stakeholder preferences with sensitivity analyses (Reichert et al., 2015; Haag et al., 2019b; Zheng et al., 2016). (vii) MCDA is done stepwise to reduce complexity and increase transparency.

MCDA is increasingly popular in hydrology and flood risk research. Our brief literature search revealed around 50 articles, but only few included stakeholders (Web of Science 25.08.2021; keywords: "MCDA" AND "hydrolog*" AND/OR "flood*"). This corroborates results of two reviews (de Brito and Evers, 2016; Abdullah et al., 2021). Both confirmed a significant growth in MCDA applications, especially for flood mitigation, while flood preparedness, response, or recovery phases were understudied. Most papers lacked uncertainty analysis and stakeholder participation (de Brito and Evers, 2016). We found that

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MCDA was mainly used as technical method to integrate indicators, e.g., for calibrating flood forecasting models (Pang et al., 2019). Recent methodologically interesting papers addressed MCDA coupled with artificial intelligence (Pham et al., 2021), machine learning (Nachappa et al., 2020), or portfolio decision analysis (Convertino et al., 2019). Combining GIS with MCDA is a trend, also in hydrology. Examples include flood risk assessment focusing on uncertainty (Tang et al., 2018), and flood risk analyses producing risk maps (e.g., Ronco et al., 2015;Samanta et al., 2016).

Among the few studies including stakeholders are a MCDA concept to improve urban resilience in flood risk management (Evers et al., 2018), and a participatory case study for flood vulnerability assessment (de Brito et al., 2018). In most cases with participation, stakeholders only assigned weights, but further participatory processes were not documented (de Brito and Evers, 2016;Ronco et al., 2015). However, several papers stated that MCDA results are highly susceptible to model assumptions, especially weights (de Brito and Evers, 2016). For instance, the sensitivity of MCDA results to weight variability was assessed with global sensitivity analysis by Tang et al. (2018). To increase decision making quality and implementation success, MCDA applications require uncertainty analysis and stakeholder participation (de Brito and Evers, 2016).

2.3 Aims and research questions

- The research gaps identified in the literature review lead to two complementary aims: (1) define what constitutes a good FEWS for West Africa using a participatory MCDA process that includes uncertainty, and document empirical evidence from the FANFAR project, hereby contributing to knowledge production, learning, and scientific praxis in hydrology; and (2) evaluate the suitability of participatory MCDA as a transdisciplinary process. Concretely, we address two research questions:
- RQA: What characterizes a good regional FEWS for West Africa? Is it possible to identify a robust FEWS configuration,
 despite uncertainty of expert predictions about FEWS performance and uncertainty of the MCDA model, and despite possibly different preferences of stakeholders regarding what the FEWS should achieve?
 - **RQB:** How suitable is participatory MCDA as a transdisciplinary process in a large, international project? What worked well or less well in FANFAR? Is the proposed framework useful for this type of evaluation?

3 Methods

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3.1 Multi-Criteria Decision Analysis (MCDA) within a transdisciplinary process

A typical transdisciplinary process starts with co-design and joint problem framing in step 1 of our proposed framework (Table 1). This was done at the beginning of the FANFAR project with joint proposal writing and a kick off meeting with European and West African consortium partners (sect. 3.2). Co-design can be further divided into building the collaborative research team (step 1a), defining research questions and methodological framework (1b), and finding the boundary object, which is a FEWS for West Africa in our case (1c). To support participation, legitimacy, inclusion of bridging organizations, and balanced ownership from science and practice (Table 1; steps 1a, 1b), we carried out a stakeholder analysis (e.g., Grimble and Wellard,

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1997;Lienert et al., 2013;Reed et al., 2009). Although often neglected in MCDA, stakeholder analysis can be a suitable early step in the problem structuring phase of MCDA (Fig. 1; step 2). Identifying stakeholders is crucial in any participatory project. In FANFAR, main identified stakeholders that participated in the workshops were representatives from hydrological services, emergency management agencies, river basin organizations, and regional expert agencies. Together with these priority stakeholders, we identified objectives: "What is of fundamental importance to be achieved by a FEWS?" Furthermore, we identified options: "Which FEWS configurations are potentially suitable to achieve objectives?" These early steps of MCDA (Fig. 1; steps 3, 4) can be classified under the co-design step 1 of transdisciplinary processes (Table 1). To support identifying objectives and options, diverse Problem Structuring Methods (PSMs) are available (Rosenhead and Mingers, 2001). It is common to combine MCDA with PSMs (reviewed by Marttunen et al., 2017). Similar PSMs as used in FANFAR, were described in a wastewater infrastructure planning example (Lienert et al., 2015).

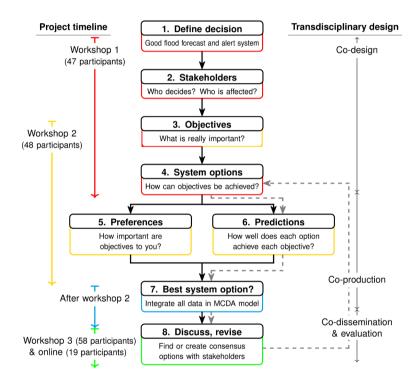


Figure 1: Multi-Criteria Decision Analysis (MCDA) was carried out stepwise in the FANFAR project. Explanations see text.

The next steps 5–7 in MCDA (Fig. 1) belong to the transdisciplinary co-production step 2 (Table 1). Hereby, research produces new knowledge in continuous exchange between scientists from different disciplines and stakeholders. A transdisciplinary process is often iterative (e.g., Jahn et al., 2012; Lang et al., 2012), captured in FANFAR with cycles of stakeholder workshops to test, discuss, and improve the pre-operational FEWS. In the co-dissemination and evaluation step 3 (Table 1), new knowledge is critically reflected, integrated, and disseminated, which is captured in step 8 of MCDA (Fig. 1). In the following, after summarizing the workshops (sect. 3.2), we focus on the MCDA steps (sects. 3.3–3.10). We present MCDA methods such

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that they are easily adaptable to other transdisciplinary projects, e.g., in hydrology research, and provide extensive details as blueprint in the Supplementary Information.

3.2 Co-design workshops in West Africa

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We carried out three workshops in West Africa, and a FANFAR consortium kick off meeting (Norrköping, Sweden, 17–18 January 2018). A fourth workshop was replaced by two half-day online workshops due to COVID-19 (20–21 January 2021), and a final online workshop (1 June 2021). The workshops are documented in reports (FANFAR, 2021; Lienert et al., 2020). At each workshop, West African stakeholders presented the rainy season flood situation in their country and their experience with the FANFAR FEWS. Each workshop hosted extensive technical sessions for experimenting with the latest FEWS configuration, and included structured technical feedback. Between workshops, the FEWS was adapted to meet requests as well as possible (Andersson et al., 2020a). We also conducted sessions with emergency managers, e.g., about their understanding of flood risk representation to improve FEWS visualizations (Kuller et al., 2020). Here, we focus only on interactions at the core of MCDA.

The first workshop (Niamey, Niger, 17–20 September 2018) hosted 47 participants from 21 countries, including European and African consortium members, and representatives from regional and national hydrological service and emergency management agencies from 17 West and Central African countries. Main aim was initiating the co-design process. For MCDA, we used problem structuring (Fig. 1): stakeholder analysis (sect. 3.3); identifying fundamentally important objectives of stakeholders (sect. 3.4), and FEWS configurations that meet objectives; sect. 3.5). The second workshop (Accra, Ghana, 9–12 April 2019) hosted 48 participants from 21 countries. For MCDA, we consolidated objectives and elicited participants' preferences regarding achieving these objectives (sect. 3.7). Additionally, we collected preference data on the importance of objectives from each stakeholder with questionnaires. This provided interesting insights into preference formation over time (Kuller et al., sumitted). For the third workshop (Abuja, Nigeria, 10–14 February 2020), participant numbers increased to 58, including representatives from WMO (World Meteorological Organization; https://public.wmo.int/), ECOWAS (Economic Community of West African States; https://www.ecowas.int/), and 16 West and Central African countries. We discussed main MCDA results. During a last online workshop, which was attended by 10–19 participants (varying numbers due to internet connection problems), stakeholders completed a survey, providing some feedback for MCDA (sect. 3.10).

3.3 Stakeholder analysis

For the stakeholder analysis (Grimble and Wellard, 1997;Reed et al., 2009), we followed Lienert et al. (2013). Workshop participants filled in a pen and paper questionnaire in French or English, assisted by two experts. The survey was completed in 2.5 hours by 31 participants in 18 groups, clustered in countries. After receiving information, the participants completed two tables, one for identifying key West African organizations that produce and operate FEWS, and one for downstream stakeholders. As example, we asked: "Who might play a role because they use information from such systems in society?"

Each table contained eight tasks: (1) listing key organizations or stakeholders; (2) specifications such as names; (3) their presumed main interests; (4) why they might use FEWS; and (5) appropriate distribution channels. We used a 10 point Likert scale, asking participants to (6) rate the importance of considering each listed stakeholder in the FANFAR co-design process; (7) the presumed influence or power of each stakeholder for implementing the FEWS; and (8) how strongly each would be affected by the FEWS performance level. We cleaned the raw data and categorized stakeholders according to: forecast/alert producers or users, decisional level, sector, and perceived main interest (details see Silva Pinto and Lienert, 2018).

3.4 Generating objectives and attributes

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Generating objectives is key to MCDA (Belton and Stewart, 2002; Eisenführ et al., 2010; Keeney, 1982), since this choice can alter results. Value Focused Thinking guides this step by focusing on what is fundamentally important to stakeholders (Keeney, 1996). However, simply asking is insufficient, and often too few (Bond et al., 2008; Haag et al., 2019c) or too many objectives are produced; we refer to the guidelines in Marttunen et al. (2019). Our stepwise procedure started at the FANFAR kick off meeting in Sweden and continued in the first two West African workshops (details see Lienert et al., 2020). In the first workshop, one stakeholder group individually used an interactive online survey to first brainstorm, then select objectives from a master list (Haag et al., 2019c). Individuals in a second group used the same procedure as pen and paper survey, assisted by a moderator. The third group used a means-ends network in a moderated group discussion to find consensus objectives (Eisenführ et al., 2010). Each participant (respectively group) ranked and rated objectives according to importance. Objectives were discussed in the plenary and the most important ones were chosen by majority vote. We post processed objectives to avoid common mistakes such as double counting, overlaps, or means objectives (Eisenführ et al., 2010). MCDA objectives are only useful if they discriminate options; in our case FEWS configurations. We dismissed objectives not fulfilling this requirement. In the second workshop, we presented a revised list of 10 most important objectives, including a clear definition of the best and worst possible case for each (attribute descriptions see sect. SI-2.4.1). For instance, the FEWS being available in Several languages is the best case, and only in English the worst. After discussion, stakeholders agreed on the final objectives as basis for MCDA. To operationalize objectives, attributes (synonym indicators) are required (Eisenführ et al., 2010). These were developed by experts from the FANFAR consortium. In most cases, we constructed attributes from several sub-attributes (sect. 3.6). Sub-attributes or attributes were transformed to a value using marginal value functions (sect. 3.7).

3.5 Generating FEWS configurations

Different plausible FEWS configurations were generated in the first workshop, in three moderated group sessions. Two groups used the "Strategy Generation Table" (Gregory et al., 2012b;Howard, 1988), and one "Brainwriting 635" (Paulus and Yang, 2000) combined with "Cadavre Exquis", where participants wrote words on a paper and gave it to the next person. The Strategy Generation Table allowed pre-structuring FEWS elements such as observed variables, forecast production models, and language. Stakeholders chose elements forming suitable FEWS configurations with help of questions: "The most easy to use FEWS", or the "Most robust FEWS working well given West African boundary conditions such as internet or power supply

problems". Brainwriting 635 allowed for interactive brainstorming, using the same questions. We discussed all FEWS configurations in the plenary. As part of post processing, FANFAR consortium members created technically interesting FEWS configurations. We provide details in the Supplementary Information for readers unfamiliar with the methods (sect. SI-1.1).

3.6 Predicting performance of each FEWS configuration

Part of the MCDA input data are scientific predictions (Fig. 1), based on estimates or models of the performance level for each objective (Eisenführ et al., 2010). We used expert estimates by interviewing FANFAR consortium members in July–August 2019 (O'Hagan, 2019). First, experts developed attributes (sect. 3.4), mostly constructed from sub-attributes. They then estimated the most probable level of each FEWS configuration for each (sub-) attribute and gave uncertainty ranges, as example for *Operation costs*. For constructed attributes, we integrated the predictions of the sub-attributes into one value using a weighted sum, whereby the weights were defined by experts (sect. 3.7). We aggregated the uncertainty of each sub-attribute into a single uncertainty distribution with 1'000 Monte Carlo simulations. To characterize the resulting aggregated uncertainty, we used a normal distribution with the mean of the Monte Carlo simulation, and as standard deviation ½ of the 95% confidence interval from the simulation. This was used as input in the MCDA (sect. 3.8).

Example: The objective 1.1 High accuracy of information consists of three sub-attributes, the KGE index for 1, 3, and 10 day forecasts (Kling-Gupta Efficiency; Gupta et al., 2009). The KGE is one possible accuracy index for hydrological model evaluation, e.g., to estimate the error of predicted vs. observed values. For each FEWS configuration and lead day, the expert estimated the KGE. The KGE index number was transformed to a value, ranging from 0 (worst) to 1 (best), with a nonlinear marginal value function, elicited from the expert. We aggregated the lead day values into a single value [0:1] with a weighted sum, where the accuracy of the 1 day forecast received a weight of 0.5, the 3 day forecast 0.4, and 10 day forecast 0.1. Details for predicting system performance, i.e., the expected attribute level, are given in sect. SI-2.4.

3.7 Eliciting stakeholder or expert preferences

Marginal value functions. Subjective preferences of stakeholders enter the MCDA model on equal footing to expert predictions (Fig. 1). Preference elicitation is an important, sensitive step during which many biases can occur (Montibeller and von Winterfeldt, 2015). Marginal value functions convert the attribute levels for each objective to a common scale, where 0 is the worst possible achievement of this objective, and 1 the best achievement. As example, the KGE index is an attribute for the objective 1.1 High accuracy of information. The conversion allows integrating attributes with different units into one model, e.g., the KGE index with operation costs (€ y¹), and development time (days). As default, a linear marginal value function can be used. However, nonlinear value functions usually better capture preferences. In FANFAR, most attributes are technical, requiring expert knowledge. We thus elicited shapes of value functions from experts (sect. 3.6; details, including figures of value functions, see sect. SI-2.4.1). For each sub-attribute, we mostly created seven evenly spaced levels (worst, very bad, bad, neutral, good, very good, and best). Experts then assigned attribute numbers (e.g., KGE index for 3 day forecasts)

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to each level. We transformed attribute levels to [0:1] values using linear interpolation between levels. As example, the KGE index ranges from minus infinity (worst case, value 0) to 1 (best case, value 1; Table SI-8). For each sub-attribute, we elicited a nonlinear marginal value function (Fig. SI-5), allowing aggregation into one value. Because we already used elicited nonlinear value functions to construct the composite attribute, we used a linear value function for these in MCDA (sect. 3.8).

Weights. In the second FANFAR workshop, we elicited weights from five groups, according to language (French F, English 260 E) and professional background (Emergency managers, Hydrologists). The two French speaking groups used the Swing method (Eisenführ et al., 2010): eight emergency managers (group 1. Emergency-F), and 11 hydrologists (two sub-groups 2A. and 2B. Hydrology-F). The two English speaking groups used an adapted Simos' revised card procedure (Figueira and Roy, 2002:Pictet and Bollinger, 2008), hereafter Simos card: 14 hydrologists (3. Hydrology-E), and three emergency managers (4. Emergency-E). We elicited weights from three AGRHYMET experts with Simos card (5. AGRHYMET-E). Stakeholders can 265 be uncertain about preferences, or groups may disagree. For Swing, we avoided forcing participants to reach group consensus and encouraged discussion of diverging opinions, resulting in a range of weights. We took the mean as main weight and considered strong deviations in sensitivity analyses (sect. 3.9). These were weights that differed by more than 0.2 from the mean. For Simos' card, two additional weight sets resulted from eliciting a range for one variable. The moderator recorded important comments to inform sensitivity analyses (Table SI-3). For French speaking hydrologists, two diverging preference 270 sets emerged from the start, which we analyzed separately (2A, 2B). For interested readers, we give details of standard MCDA weight elicitation (sect. SI-1.2). To check for the validity of the additive aggregation model (sect. 3.8), we shortly discussed implications in the weight sessions using elicitation procedures from our earlier work (Haag et al., 2019a; Zheng et al., 2016).

3.8 MCDA model integrating predictions and preferences

The MCDA model integrates expert predictions with stakeholder preferences, and calculates the total value of each FEWS configuration (= alternatives; Eisenführ et al., 2010). A finite set of FEWS alternatives $A = \{a, b, ...\}$ are evaluated regarding the predicted outcomes on every objective, respectively attribute. We denote predicted outcomes (sect. 3.6) as $x_a = (x_{a,1}, ..., x_{a,n})$, with $x_{a,i}$ the level of an attribute i that measures a predicted consequence of FEWS a (or b, c, ...). The total value $v(x_a)$ of FEWS a is calculated with a multi-attribute value function, $v(x_{a,1}, ..., x_{a,n}, \theta)$. The resulting total value $v(x_a)$ of each FEWS is between 0 (all objectives achieve worst level) and 1 (all objectives achieve best level given the attribute ranges). A rational decision maker chooses the FEWS with the highest value. Commonly, an additive model is used:

$$v(x_1, x_2, \dots, x_n, \boldsymbol{\theta}) = \sum_{i=1}^n w_i \cdot v_i(x_i, \boldsymbol{\theta})$$
 (Eq. 1)

with parameters $\theta = (w_1, ..., w_n, \theta)$, where w_i is the weight of attribute i, with $0 \le w_i \le 1$, and $\sum_{i=1}^n w_i = 1$, (Eq. 2)

and where $v_i(x_i, \theta)$ is the value for the predicted consequence x_i of attribute i of FEWS a. This value is inferred with help of the marginal value function (sect. 3.7).

While easy to understand, the additive model entails strong assumptions, e.g., that objectives are preferentially independent (Eisenführ et al., 2010). Increasing evidence indicates that many stakeholders do not agree with model implications (Haag et al., 2019a;Reichert et al., 2019;Zheng et al., 2016). Additive aggregation implies that good performance on one objective can fully compensate for poor performance on another. In the FANFAR weight elicitation sessions, we asked stakeholders, using some examples, whether they agree with objectives being preferentially independent, and as consequence with the full compensatory effect. In all five groups this was not the case. We therefore used a non-additive model with less strict requirements, the weighted power mean with an additional parameter *γ* that determines the degree of non-compensation:

$$v(x_1, x_2, \dots, x_n, \boldsymbol{\theta}) = \left(\sum_{i=1}^n w_i \cdot v_i(x_i, \boldsymbol{\theta})^{\gamma}\right)^{1/\gamma}$$
 (Eq. 3)

If $\gamma = 1$, we are back to the additive model in Eq. (1). We used $\gamma = 0.2$, based on stakeholder input (sect. 3.7), close to a weighted geometric mean ($\gamma \to 0$). We visualize implications of the power mean in sect. SI-1.3 (details see Haag et al., 2019b).

We calculated MCDA results in our new open source software "ValueDecisions" (Haag et al., 2022), based on R (R Core Team, 2018), earlier R scripts developed in our group (e.g., Haag et al., 2019b), and R "utility" package (Reichert et al., 2013). We rendered R scripts as web application for ValueDecisions with the "shiny" package (Shiny, 2020). We used R for additional analyses: aggregating uncertainty of sub-attributes, weight visualization, and statistical analysis of sensitivity analyses.

3.9 Uncertainty of predictions and preferences

300 *Uncertainty of predictions:* Probability theory is used in MAVT (Reichert et al., 2015). We defined uncertainty distributions from expert predictions for each attribute (sect. 3.6). We calculated aggregated values of each FEWS configuration across all objectives (sect. 3.8), drawing randomly from the attributes' uncertainty distributions in 1'000 Monte Carlo simulation runs. We analyzed rank frequencies: how many times in 1'000 runs each FEWS configuration achieved each rank.

Sensitivity analyses of aggregation model and weights: Local sensitivity analyses are common to check the sensitivity of MCDA results to diverging preferences (e.g., Eisenführ et al., 2010; Haag et al., 2022; Zheng et al., 2016). We checked weights and aggregation models. We used setting S0 as default, comparing it with a separate MCDA for each setting with changed preference input parameters (settings are summarized in results Table 3; details see sect. SI-1.4). For each setting, we compared mean ranks of FEWS configurations from 1'000 Monte Carlo runs with the default MCDA (S0). We used the nonparametric Kendall's τ correlation coefficient (Kendall, 1938) to measure rank reversals (as in Zheng et al., 2016). To test the aggregation model (sect. 3.8), we recalculated the MCDA for other reasonable models (Haag et al., 2019a; settings S11–S14; Table 3). For weights, we changed the weight of one objective, while ratios of all others were kept constant and renormalized. For more method explanations see Eisenführ et al. (2010); details for readers not familiar with MCDA see sect. SI-1.4. Consistency checks during weight elicitation with group 1. Emergency-F revealed an inconsistency and strongly different weights (Fig. SI-3). We tested it in sensitivity analysis S21 (Table 3). For Swing weights, stakeholders stated ranges, which we tested if the difference between the maximum or minimum from the average weight exceeded Δ = 0.02 (S22). For Simos' card, we tested

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alternative weight sets resulting from ranges (S23). It is common to test interesting objectives by doubling the elicited weight. We did this for the objective *Several languages* because its importance might have been underestimated (S31).

Cost-benefit visualizations are an additional way to check the robustness of results (e.g., Liu et al., 2019). We used standard setting S0 without prediction uncertainty (Table 3) for this visual analysis. For reasons of space, we refer to sect. SI-2.9.

320 3.10 Discuss results with stakeholders, feedback

We discussed first MCDA results in the third stakeholder workshop. Workshop four was carried out online due to COVID-19, and we were not able to thoroughly discuss results. We did assess stakeholder perceived satisfaction with FEWS performance during the 2020 rainy season with an online survey, asking following questions for each objective: (a) How much does the FANFAR FEWS currently fulfill this objective? (b) Would you use the FEWS in future if it remains as is? (c) What is the minimum acceptable to you? This means: below which level would you NOT use the FEWS? (details see sect. SI-1.5).

4 Results

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We ordered MCDA results as in the Methods section. ROB is based on MCDA results, and we address it in the Discussion.

4.1 Stakeholder analysis

Of 249 stakeholders listed by workshop participants, 68 distinct types remained after data cleaning (details see Silva Pinto and Lienert, 2018). Stakeholders perceived to have high influence and being highly affected by the FANFAR FEWS were national entities for disaster management, water resources, and infrastructure, who were well represented in FANFAR (details Table SI-4). Specific organizations were also perceived as highly important and affected, e.g., "Autorité du Bassin de la Volta" (ABV), who participated in workshops, and the consortium member AGRHYMET, representing 13 West African states. Other important/affected parties were mainly stakeholders receiving forecasts and alerts such as NGO's, electricity utilities, dam managers, and the agricultural sector. The Red Cross and environmental protection agencies were perceived to have slightly lower importance/affectedness, among others. Civil society such as communities would be strongly affected, but have limited decisional influence on developing the FEWS. In contrast, the media, industry, and commerce were perceived to have more influence, but would not be strongly affected. Such outlier stakeholders could potentially provide a different view to the FEWS.

4.2 Objectives and attributes

Objectives covered issues of fundamental importance to stakeholders in view of a *Good FEWS* for West Africa (Fig. 2). Some objectives concerned quality requirements, grouped as 1. High information accuracy and clarity, and 2. Good information access such as accounting for language diversity. Aspects of 3. Low costs and 4. High sustainability were also important, e.g.,

42. Skilled labor in West Africa, capable of maintaining, operating, and accessing the FEWS. Each objective is characterized by an attribute, for operationalizing the objectives' performance level (Fig. 2; attribute calculations see sect. SI-2.4).

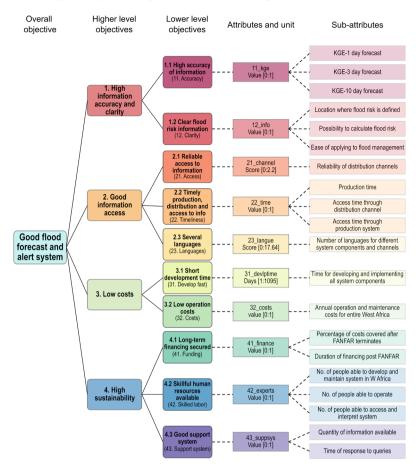


Figure 2. Objectives hierarchy. From left to right: overall objective, four higher level fundamental objectives, 10 lower level fundamental objectives (short names in brackets) and corresponding attributes, attributes' unit (usually a value) and range [square brackets], from worst (usually value = 0) to best (usually value = 1). Most attributes were constructed from sub-attributes (far right).

4.3 FEWS configurations

Stakeholders generated six FEWS configurations in workshop sessions (b to g; Table 2). Experts of the FANFAR consortium developed five configurations (h to k) to cover important technical aspects such as using refined hydrological models, e.g., redelineation and recalibration of the World Wide HYPE model to West Africa (Andersson et al., 2020b), and including earth observations (EO) from satellites. FEWS were constructed in separate sessions with experts from AGRHYMET for the forecast production system, and with stakeholders for the user interface IVP (Interactive Visualization Portal). They were combined to form plausible combinations of various FEWS elements (summary of important features in Table 2; all FEWS elements see Tables SI-6 and SI-7). Configuration *a. Status quo* represents roughly the state of the initial FEWS version, when stakeholders started experimentation and giving feedback in the first workshop.

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Table 2. Overview of 11 FEWS configurations. Selected main characteristics: recent hydrological observation data types (HydObs; WL: water level, Q: river discharge, EO: Earth Observations) & meteorological input/forcing data (MetF; HydroGFD; HydroGFD3 (Berg et al., 2020; improved version); HydroGFD-WA: HydroGFD2 adjusted by West African meteorological observations; Am: American meteorological forecasts (e.g., GFS); Ens: ECMWF ensemble meteorological forecasts); hydrological models (WWH: World-Wide HYPE); forecast output variables (Q: river discharge; WL: water level, P: precipitation; E: evaporation; SM: soil moisture, WQ: water quality); data download (Excel: table for selected station); distribution channels (Web: web visualization; H-TEP: login to H-TEP to download data; FTP: FANFAR and national FTP; API: Application Programming Interface; SoMed: Social Media e.g., WhatsApp; ConMed: conventional media e.g., radio, TV; Tradit: traditional word of mouth) & automatization (Automatic: automatic push of data to distribution channels; Manual: automatic processing with manual control of distribution by operator); flood hazard reference threshold types (RP Sim: return period based on simulations; RP Obs: return periods based on observations at gauged locations; HistY: selected historic year; Local: user defined thresholds for specific location); language of user interface (En: English; Fr: French; Pt: Portuguese; Ar: Arabic).

Name	Configuration	Hydrological	Hydrol	Forecas	Data	Distribution	Flood	Lang
		observations &	ogical	t	downlo	channels &	hazard	uage
		meteorological	models	output	ad	automatization	threshol	
		forcing		variabl			ds	
				es				
a. Status	Least resources for	HydObs: none;	Niger	Q	None	Web; Automatic	RP Sim	En
quo	development: no new	MetF:	HYPE					
	features, status quo	HydroGFD2						
b.	Least resources for users	HydObs: in situ	WWH	Q, WL,	Excel,	Web, H-TEP, SMS,	RP Sim,	En,
Resource	(e.g., skilled personnel,	WL, Q; MetF:		P, E,	maps,	Email, SoMed,	RP Obs,	Fr, Pt,
friendly	stable internet and	HydroGFD3		SM	graphs	ConMed, Tradit;	HistY,	Ar
	power)					Manual	Local	
c. User	Most easy to use for	HydObs: EO	Niger	Q, WL,	Excel,	Web, SMS, SoMed,	RP Sim,	En,
friendly	producing and	WL; MetF:	HYPE	P, E	graphs	ConMed, Tradit;	HistY	Fr, Pt
	interpreting forecasts and	HydroGFD2				Automatic		
	alerts							
d. Fast	Fastest system for	HydObs: EO	Niger	Q	None	Web, SMS, Email,	RP Sim	En
alerts	producing and	WL; MetF:	HYPE			SoMed, ConMed,		
	distributing forecasts and	HydroGFD2				Tradit; Automatic		
	alerts							
e.	Highest consensus:	HydObs: in situ	Niger	Q, WL,	Excel,	Web, H-TEP, SMS,	RP Sim,	En,
Consensus	system elements that	WL, Q, EO WL;	НҮРЕ,	P, E,	maps,	Email, SoMed,	HistY	Fr, Pt
	West African	MetF:	WWH	SM	graphs	ConMed, Tradit;		
	stakeholders mostly	HydroGFD-WA,				Manual		
	agreed on	Am, Ens						

f. Robust	Most robust in West	HydObs: EO	Niger	Q, WL,	Excel,	Web, H-TEP, SMS,	RP Sim,	En,
	Africa: works despite	WL; MetF:	НҮРЕ,	P, E,	maps,	Email, SoMed,	RP Obs,	Fr, Pt,
	problems in e.g., data	HydroGFD2	WWH	SM	graphs	ConMed, Tradit;	HistY,	Ar
	collection					Manual	Local	
g.	Most attractive to West	HydObs: in situ	Niger	Q, WL,	Excel,	Web, H-TEP, SMS,	RP Sim,	En,
Attractive	African stakeholders:	WL, Q, EO WL;	НҮРЕ,	P, E,	maps,	Email, SoMed,	RP Obs,	Fr, Pt,
	includes many desired	MetF:	WWH	SM,	graphs	ConMed, Tradit;	HistY,	Ar
	features, similar to h .	HydroGFD-WA,		WQ		Manual	Local	
	Fully equipped, but	Am, Ens						
	simpler distribution							
h. Fully	Fully equipped: all	HydObs: in situ	Niger	Q, WL,	Excel,	Web, H-TEP, FTP,	RP Sim,	En,
equipped	system elements, except	WL, Q, EO WL;	НҮРЕ,	P, E,	maps,	API, SMS, Email,	RP Obs,	Fr, Pt,
	recalibrated HYPE	MetF:	WWH	SM,	graphs	SoMed, ConMed,	HistY,	Ar
	models	HydroGFD-WA,		WQ		Tradit; choice	Local	
		Am, Ens				(Automatic or		
						Manual)		
i.	Recalibrated HYPE	HydObs: none;	Recalib	Q, WL,	Excel,	Web, H-TEP, SMS,	RP Sim	En,
Calibrated	models	MetF:	rated	P, E,	maps,	Email, SoMed,		Fr, Pt
		HydroGFD2	WWH	SM	graphs	ConMed, Tradit;		
						Manual		
j.	Recalibrated HYPE	HydObs: EO	Recalib	Q, WL,	Excel,	Web, H-TEP, SMS,	RP Sim	En,
Calibrated	models and EO data	WL; MetF:	rated	P, E,	maps,	Email, SoMed,		Fr, Pt
+ EO		HydroGFD2	WWH	SM	graphs	ConMed, Tradit;		
						Manual		
k.	Recalibrated HYPE	HydObs: in situ	Recalib	Q, WL,	Excel,	Web, H-TEP, SMS,	RP Sim	En,
Calibrated	models and EO data and	WL, Q, EO WL;	rated	P, E,	maps,	Email, SoMed,		Fr, Pt
+ EO +	in situ data	MetF:	WWH	SM	graphs	ConMed, Tradit;		
Insitu		HydroGFD2				Manual		

4.4 Predicted performance of each FEWS configuration

Based on expert predictions but excluding stakeholder preferences, no FEWS configuration achieved best levels of all objectives (Fig. 3; details see sect. SI-2.4, raw input data for MCDA modelling Table SI-30). This illustrates the impossibility to design a perfect FEWS, given the inherent trade-offs between achieving objectives. For instance, the status quo preoperational FEWS a. Status quo achieved the highest values for objective 31. Develop fast (short development time), and 32. Costs, but scored low on many others such as 11. Accuracy, 12 Clarity, 21. Access, and 22. Timeliness of information. FEWS achieving high levels for objectives of 1. High information accuracy and clarity cannot well achieve 31. Develop fast at low 32. Costs. Therefore, it is not possible to clearly determine the "best" FEWS based on only the predicted performance (Fig. 3). We require stakeholder input about the importance of objectives (sect. 4.5, sect. 4.6).

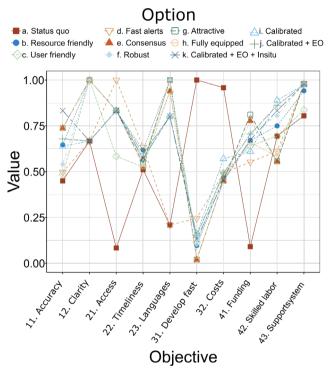


Figure 3. Predicted value (y-axis) of 11 FEWS configurations (options a-k; symbols) for 10 objectives (x-axis), based on expert predictions, but not including stakeholder preferences. Value 1: this FEWS configuration achieved the best level of this objective; 0: FEWS achieved worst level, given the ranges of underlying attributes (i.e., it is a relative scaling from best to worst).

4.5 Stakeholder preferences

The elicited weights (w) for the four higher level objectives were similar for all groups (w = total bar length; Fig. 4), except for the French speaking emergency managers (l. Emergency-F). These gave a high weight (w = 0.25) to s. Low costs, which was least important for the others (0.1–0.12). They reasoned that all four higher level objectives are equally important in emergency situations with a connected chain of events. In contrast, the higher level objectives s. s. s. s. s. s.

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and clarity, and 2. Good information access were usually most important for the other groups. There were some notable differences in importance of lower level objectives. Again, group 1. Emergency-F was exceptional in assigning much lower weights to objectives they considered unimportant (objectives 23, 31, 41, and 43). They argued that the goal in emergencies is to save lives, and FEWS development should focus on achieving fast access to flood alerts (22. Timeliness; w = 0.21) and on personnel that can deal with this information (42. Skilled labor; w = 0.25). Weights in the other groups were more balanced (details sect. SI-2.6). There was varying agreement about weights within a group, reflected in the length of error bars (Fig. 4).

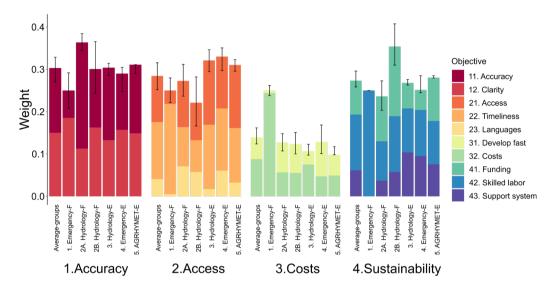


Figure 4. Weights (y-axis) assigned to higher level objectives (blocks, *I. Accuracy*, *2. Access*, etc.) colored by weights of lower level objectives (*11. Accuracy*, *12. Clarity*, etc.), averaged over all six stakeholder groups (*Average-groups*), and for each group (*1. Emergency-F*, *2A. Hydrology-F*, etc; x-axis). Error bars: uncertainty of elicited preferences, i.e., the sum of uncertainties of all lower level objectives within the branch of the respective higher level objective. Per definition all weights of a group sum up to 1.

400 4.6 MCDA model results

No FEWS configuration clearly outperformed the others for all stakeholder groups in the standard MCDA (setting S0; Table 3) that did not consider uncertainty (Fig. 5; details see Table SI-32; Table SI-33). The FEWS at the beginning of the project (a. Status quo) achieved lowest total values (v < 0.46) and last ranks for all stakeholder groups, except group 1. Emergency-F (v = 0.64, rank 5). This was caused by their different weight preferences. All other FEWS generally reached high values for all groups, with small differences between groups. The total value ranged from v = 0.55 in the worst case (d. Fast alerts for group 2A. Hydrology-F) to 0.70 (b. Resource friendly for 3. Hydrology-E). This FEWS b. Resource friendly seemed somewhat better than the others, achieving a high value for all groups (v = 0.65-0.70), thus reaching the first rank for all, again with exception of group 1. Emergency-F, for which it still achieved the second rank. For better understanding [0,1] values can be interpreted as percentages, and b. Resource friendly achieved 65-70% of the ideal case over all objectives in all stakeholder groups. FEWS configurations f. Robust, i. Calibrated, j. Calibrated + EO, and k. Calibrated + EO + Insitu also performed well (v = 0.63-0.70) for all groups, while c. User friendly, and d. Fast alerts achieved the lowest values (v = 0.55-0.64).

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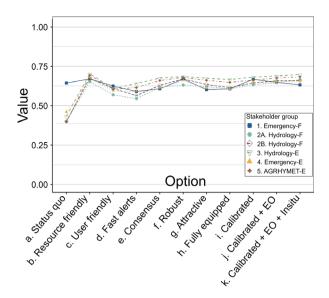


Figure 5. Total aggregated value (y-axis) of 11 FEWS configurations (x-axis) for six stakeholder groups (symbols), without uncertainty. Higher values indicate that they better achieved the objectives, given expert predictions and stakeholders' preferences.

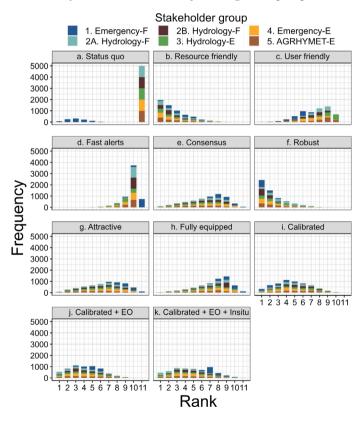


Figure 6. Ranks of 11 FEWS configurations including uncertainty of expert predictions. Frequency (y-axis): how often each FEWS (blocks, a. Status quo, b. Resource friendly, etc.) achieved rank (1: best rank, 11: worst; x-axis) in each model run, for each stakeholder group (stacked bars). 1'000 Monte Carlo simulation runs drawing from uncertainty distributions of attribute predictions.

Including the uncertainty of expert predictions in MCDA with Monte Carlo simulation clarified results. The FEWS *b. Resource* 420 *friendly* and *f. Robust* performed well, achieving highest ranks for all stakeholder groups in 1'000 simulation runs (Fig. 6; details Table SI-34). The FEWS *i. Calibrated*, and *j. Calibrated* + EO, achieved good to medium ranks for most groups in most runs. Poor performance was achieved by *a. Status quo* (except group *1. Emergency-F*), and *d. Fast alerts*, which hit the last ranks in most simulation runs. The remaining FEWS performed somewhere in between.

4.7 Sensitivity analyses of stakeholder preferences

425 FEWS performance was not sensitive to most model changes (Table 3). The least changes in rankings occurred between the standard MCDA (S0) and sensitivity analyses of extreme weight ranges elicited from stakeholders (S22–S232; Table 3): Kendall's τ rank correlations were high, ranging from 0.86–1 (1 = identical ranking of all FEWS). Doubling the weight of 23. Languages (S31) hardly impacted rankings of any stakeholder group. Greater changes occurred using other models. The difference between the standard MCDA (S0) and changed aggregation models increased, the more the aggregation parameter 430 y increased from 0 (geometric mean; S12), over mixture models (S13, S14), to 1 (additive model; S11). Rank correlations were still relatively high between the additive model and S0 (0.53–0.86). Importantly, rankings of the best-performing FEWS, b. Resource friendly and f. Robust did not change (sect. SI-2.8). For other configurations, including i. Calibrated, some differences were greater, depending on groups. The greatest changes occurred for alternative weights (S21) in group 1. Emergency-F. Interestingly, this moved the FEWS rankings and values to those of all other groups. Hence, this group was no 435 longer an outlier, and e.g., a. Status quo clearly performed worst also for 1. Emergency-F (Fig. SI-40). Cost-benefit visualizations confirmed that b. Resource friendly, f. Robust, and i. Calibrated are suitable consensus FEWS (see sect. SI-2.9 for reasons of space).

Table 3. Results sensitivity analyses. Setting S0: default with elicited preferences of stakeholder groups and weighted power mean model, Eq. (3). Setting S11–S14: effect of other aggregation models (varying γ). S21–S22: uncertainty of Swing weights. S231–S232: uncertainty of Simos' card weights. S31: increase (possibly underestimated) weight. S11–S31: all other parameters as S0. Columns group 1–5: Kendall's τ rank correlation coefficient between ranks of FEWS in main MCDA (setting S0) and ranks resulting from MCDA using other settings (S11–S31) for stakeholder groups (e.g., group *1. Emergency-F*). Column mean: correlation between S0 and average rank over all groups for which analysis was done. Note: S21 was only done for group *1. Emergency-F* (i.e., mean = group correlation). Kendall's τ 1: identical ranks; 0: no correlation; –1: inverse relationship; –: not applicable. Kendall's τ from 0.81–1.00: underlined, indicating very good agreement between changed setting and S0; τ from 0.61–0.80: dotted underlined.

			S	takehold	er group)		
Setting	Parameter change definition	1	2A	2B	3	4	5	Mean
S0	S0 Default. MCDA for all six stakeholder groups;							
	$\gamma = 0.2$; see Methods, eq. (3)							
S11	Additive model all groups; $\gamma = 1$	0.86	0.64	0.60	0.64	0.53	0.75	0.67
S12	Weighted geometric mean all groups; $\gamma \to 0$	0.96	0.78	0.93	<u>1.00</u>	0.82	0.93	<u>0.90</u>
S13	Mixture model; $\gamma = 0.5$				0.75	0.77		

S14	Weighted power mean; $\gamma = 0.8$	0.89	0.67	0.64	0.64	0.53	0.75	0.69
S21	Alternative weight set for group 1. Emergency-F	0.31	_	_	_	_	_	0.31
S22_11_min	Weight ranges with $\Delta > 0.02$ from average	0.96	_	0.96	_	_	_	<u>0.96</u>
	weight for 11. Accuracy; minimum weight							
S22_11_max	11. Accuracy; maximum weight	_	_	<u>1.00</u>	_	_	_	<u>1.00</u>
S22_12_min	Weight ranges with $\Delta > 0.02$ from average	_	_	<u>1.00</u>	_	_	_	<u>1.00</u>
	weight for 12. Clarity; minimum weight							
S22_12_max	12. Clarity; maximum weight	0.86	_	0.86	_	_	_	<u>0.86</u>
S231	Alternative weights from ranges of Z min	_	_	_	0.89	0.89	0.89	<u>0.89</u>
S232	Alternative weights from ranges of Z max	_	_	_	<u>0.96</u>	_	_	<u>0.96</u>
S31	Double weight of 23. Languages	<u>0.96</u>	<u>1.00</u>	<u>0.93</u>	<u>0.93</u>	<u>0.89</u>	<u>1.00</u>	<u>0.95</u>

4.8 Stakeholders' perceived satisfaction with current FEWS

Participant numbers in the online workshop varied from 10–19 due to connection problems, which are frequent in West Africa, and related dropouts. The survey was filled out by 12 participants (12/19 = 63%), resulting for 10 objectives in 10 x 12 = 120 responses to each question. Most respondents perceived current performance as sufficient for all objectives, based on the direct question about future use of the FANFAR FEWS (b), and the inferred difference (c minus a) between how much the FEWS fulfills the respective objective (a) and the minimum acceptable level (c). Across all objectives, 79 responses were positive, 16 negative, and 25 did not answer question b. For the most important objective, 11. Accuracy, all respondents would use the current FEWS in future (Fig. 7). However, four (of 12) respondents indicated that the FEWS does not currently meet their minimum acceptable performance requirements. This result is representative of results for all objectives (details sect. SI-2.10).

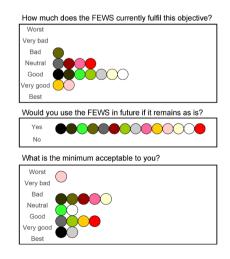


Figure 7. Stakeholder perceived satisfaction with FANFAR FEWS performance in the 2020 rainy season for objective 11. Accuracy. Colored dots represent unique respondents (N = 12; 63% of 19 participants).

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5 Discussion

The discussion follows the two research questions (sect. 2.3). Addressing RQA, it was possible to find robust FEWS configurations despite large uncertainty and different stakeholder preferences (sect. 5.1). Below, we discuss our experience with MCDA regarding uncertainty and eliciting stakeholder preferences. To address RQB, we use the proposed framework (Table 1) to evaluate and discuss participatory MCDA as a transdisciplinary process (sect. 5.2).

5.1 Finding robust FANFAR FEWS configurations (RQA)

5.1.1 Main MCDA results

465 As the most important practical result to ROA, we identified three FEWS with good overall performance (Fig. 5). This would be difficult without MCDA, given the uncertainty of expert estimates and the model (Fig. 6). Moreover, trade-offs between objectives had to be made (Fig. 3), and stakeholders had different preferences concerning the importance of objectives (Fig. 4). One well-performing FEWS, b. Resource friendly, was created by stakeholders in the first workshop. They chose FEWS components requiring the least resources for West Africa such as skilled personnel, good internet connection, or stable power 470 supply (Table 2). Similarly, stakeholders created f. Robust to reliably work under difficult West African conditions related to collecting in situ data and distributing information via various channels. The third FEWS i. Calibrated was created by FANFAR consortium members using refined HYPE models, including e.g., adjusted delineation and parameter calibration (Andersson et al., 2020b), but excluding earth observation and in situ data. The latter were included in the FEWS configurations j and k (Table 2), which, however, were not consistently among the three best-performing configurations. All three best FEWS 475 achieved 63–70% of all objectives in all stakeholder groups. We consider this a very good value, given the existing trade-offs. These FEWS were robust (i) when including the uncertainty of expert predictions with Monte Carlo simulation (Fig. 6); (ii) in sensitivity analyses of the aggregation model and stakeholders' weight preferences (Table 3); and (iii) in dominance checks in cost-benefit visualizations (sect. SI-2.9). Interestingly, these three FEWS did not incorporate more advanced features: a FEWS that meets stakeholder preferences primarily needs to work accurately and reliably under difficult West African conditions.

5.1.2 Dealing with uncertainty of predictions, preferences, and model assumptions

Attributes operationalize objectives (Eisenführ et al., 2010). Seemingly trivial, this is often challenging. We illustrated this for the KGE index for 1, 3, and 10 day forecasts to measure objective 11. Accuracy (sect. 3.6). The uncertainty of expert predictions was relatively large for e.g., the objectives 11. Accuracy, 22. Timeliness, or 42. Skilled labor, but small to inexistent for e.g., 12. Clarity, and 23. Languages (Fig. SI-30). The resulting overall uncertainty affected results less than expected (Fig. SI-35).

The weights indicated that most groups preferred a FEWS producing accurate, clear, and reliable information, reaching recipients well before floods (11. Accuracy, 12. Clarity, 21. Access; 22. Timeliness; Fig. 4), and West African countries need the capability to handle this information (42. Skilled labor). We captured differences within groups with uncertainty ranges or separate preference sets (e.g., subgroups 2A, 2B; sect. SI-1.2.3; sect. SI-2.6). The French speaking emergency managers (1.

Emergency-F) had different preferences compared to all others. All groups regarded several languages as unimportant in weight elicitation, despite discussing in the plenary that language diversity is crucial. When asked to make trade-offs, they were willing to give up language diversity to achieve accuracy. They were also willing to trade-off higher operation and maintenance costs (except group *I. Emergency-F*) and development time in return for receiving a functioning, precise FEWS.

Including the uncertainty of expert estimates and stakeholder preferences in MCDA can blur results. For FANFAR, including the uncertainty of predictions helped to *better* distinguish between FEWS performances (Fig. 6), compared to the standard analysis without uncertainty (Fig. 5). FEWS configurations *b. Resource friendly* and *f. Robust* consistently achieved the first ranks in 1'000 simulation runs, and e.g., *i. Calibrated* good to medium ranks. However, some FEWS such as *k. Calibrated* + *EO* + *Insitu*, ranked last in numerous runs (Fig. 6), despite achieving good values when uncertainty was disregarded (0.63–0.70; Table SI-33). Because they ranked last in most runs, *a. Status quo* and *d. Fast alerts* would be an imprudent choice.

Local sensitivity analyses (e.g., as Zheng et al., 2016) confirmed that *b. Resource friendly, f. Robust*, and *i. Calibrated* are robust choices. Changing stakeholder preferences hardly changed MCDA results compared to our standard model (S0; Table 3). Doubling the weight of 23. Languages (S31) did not affect results in any group, thus avoiding costly translations as priority. Operation and maintenance costs were candidates for doubling the weight, but were already covered by the high weight of group *l. Emergency-F*. In this group, sensitivity analyses on weight ranges given by group participants with a different opinion (S21; Table 3) changed the results in such a way that they aligned with results of the other stakeholder groups. This increases our confidence that the three proposed FEWS are a good consensus. Moreover, the additive MCDA aggregation model (Eq. (1); sect. 3.8) impacted the FEWS rankings (Table 3). As standard, we assumed non-additive aggregation (Eq. 3), close to a weighted geometric mean model, based on feedback in weight elicitation sessions. After discussing examples, all groups stated that poor performance on an important objective should not be compensated by good performance on others, a main implication of additive aggregation. This confirms that the additive model can unintentionally violate stakeholder's preferences (e.g., Haag et al., 2019a;Reichert et al., 2019;Zheng et al., 2016). Thus, additive aggregation may not be the best model, despite its popularity in MCDA applications. For FANFAR, sensitivity analyses sufficed to conclude that additive aggregation has an effect, but does not alter rankings of the best FEWS. We can safely conclude that the three proposed FEWS are suitable. We emphasize that the FEWS was continuously improved throughout the project, also after eliciting stakeholder preferences.

5.2 Evaluating participatory MCDA as a transdisciplinary process (RQB)

We critically evaluate participatory MCDA as a transdisciplinary process in a large project following our proposed framework (Table 1). We focus on important aspects of MCDA in a hydrology context, summarizing main points in Table 4.

5.2.1 Evaluating the co-design step "joint problem framing"

MCDA does not fully meet all requirements of this step. *Building the collaborative research team* cannot be attributed to MCDA, although it was achieved by the FANFAR project (step 1a, Table 1). Two key West African stakeholders were

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520 consortium partners from the start: AGRHYMET, who is mandated by 13 West African states and ECOWAS to provide e.g., operational flood warnings, and NIHSA, the Nigerian Hydrological Services Agency. This follows a decade of collaboration between SMHI and AGRHYMET. Building alliances with regional partners is a transdisciplinary approach identified across projects, and may lead to follow-up partnerships (Wuelser et al., 2021). *Trust building* is crucial, and AGRHYMET is clearly a bridging organization or knowledge broker between research and implementation (Norstrom et al., 2020; Wuelser et al., 525 2021; Lemos and Morehouse, 2005). FANFAR was co-led by West African partners and engaged stakeholders in workshops, thus meeting the principle of creating knowledge tailored to specific contexts (Caniglia et al., 2021:Norstrom et al., 2020). However, this cannot be attributed to MCDA, nor *defining the research questions* (step 1b), or *boundary object* (step 1c, Table 1). The boundary object was to produce an operational FEWS, which allowed stakeholders to commit (Jahn et al., 2012). Scientists and stakeholders both aimed to achieve this goal, which helped overcoming unbalanced ownership (Lang et al., 530 2012). The FANFAR consortium agreed to use MCDA as integrative methodological framework (1b) to achieve this goal and integrate different scientific disciplines (Lemos and Morehouse, 2005; Mauser et al., 2013; Lang et al., 2012). MCDA is one possible useful, stringent, and integrative methodology to produce transferable knowledge (Wuelser et al., 2021).

Narrowing the perspective to the concrete project with West African stakeholders, MCDA emphasizing early problem structuring is helpful (Marttunen et al., 2017;Rosenhead and Mingers, 2001). *Taking practitioners on board* from the start and avoiding insufficient legitimacy or underrepresentation of actors is crucial (Lang et al., 2012;Wuelser et al., 2021). Stakeholder mapping or social network analysis are suitable to identify those to involve (Norstrom et al., 2020;Lang et al., 2012). As first step of MCDA, we carried out *stakeholder analysis* (step 1a, Table 1). This is rarely done, and was documented in only 9% of 333 reviewed MCDA papers (Marttunen et al., 2017). We used relatively simple questionnaires (sect. 3.3) to discover who has influence or is affected by a FEWS (Grimble and Wellard, 1997;Lienert et al., 2013;Reed et al., 2009). We identified 68 distinct stakeholder types (sect. 4.1). In workshops, we included hydrologists from 17 countries, and key supranational organizations such as AGRHYMET who produce flood information (Table SI-4; details see Silva Pinto and Lienert, 2018). Main receivers of FEWS information also participated: emergency managers from every country. Thanks to their experience, we elaborated the alert dissemination chain and elements of effective FEWS (Kuller et al., 2021). We identified missing parties, e.g., agriculture, industry, or humanitarian aid organizations. Some provided informal feedback on the FEWS through social media. We did not invite them because more than 50 participants in workshops is ineffective. Indeed, *pluralistic co-production* while *keeping processes manageable* is a challenge in transdisciplinary projects (Norstrom et al., 2020;Lang et al., 2012).

Problem structuring is decisive because MCDA results critically depend on objectives and options, i.e., FEWS configurations in our case (Marttunen et al., 2017;Rosenhead and Mingers, 2001). These MCDA steps were carried out in the first workshop (Fig. 1; sects. 3.4, 3.5). They helped define **shared goals and a success measure** (e.g., Norstrom et al., 2020; step 1b, Table 1): to find a FEWS that achieves the objectives. Following Value Focused Thinking (Keeney, 1996), we first generated objectives in small groups using different methods (sect. 3.4). This ensured a broad diversity and helped avoid the "group think bias" (Janis, 1972). We are confident that we captured the most important 10 objectives that cover fundamental aims of West

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African stakeholders (Fig. 2). Moreover, many environmental applications of MCDA use too many objectives (Marttunen et al., 2018). This is ineffective and burdens MCDA weight elicitation. We excluded some objectives in plenary discussions.

We could not assume that all participants had sufficient technical knowledge to *create FEWS configurations*, but aimed to avoid "myopic problem representation" (Montibeller and von Winterfeldt, 2015). The Strategy Generation Table is especially suitable (Gregory et al., 2012b; Howard, 1988). It allows pre-structuring of objectives while stimulating creative stakeholder inputs. The *context-based principle* of co-production includes asking for constraining factors (Norstrom et al., 2020): when creating FEWS, the necessity of considering the West African situation became evident, including frequent power cuts and slow internet connection. Moreover, we realized that stakeholders had not created all potentially interesting FEWS configurations. An advantage of Multi-Attribute Value Theory is that options can be included later (Reichert et al., 2015; Eisenführ et al., 2010). The FANFAR consortium created additional FEWS covering technical aspects, e.g., ensemble meteorological forecasts, redelineation and calibration of hydrological models, and assimilation of EO and in situ water levels (FEWS h to k, Table 2; Table SI-6). During post-processing, we also created the FEWS at project start, a. Status quo, as benchmark. Indeed, it performed poorly for most groups (Fig. 5). As summary, the three MCDA steps of stakeholder analysis, creating objectives, and FEWS took up large parts of the first workshop in West Africa. They were very helpful for stakeholders to exchange ideas, express their needs, and develop a common understanding, contributing to co-design step 1 (Table 4).

Table 4. Summary evaluation of MCDA process using conceptual framework for transdisciplinary research (Table 1): (1) co-design, (2) co-production, and (3) co-dissemination of knowledge. Symbols: +++ strength of MCDA; ++ well possible with MCDA; + possible contribution by MCDA; 0 not achievable by MCDA; * remark. PSM: Problem Structuring Methods; VFT: Value Focused Thinking.

ID	Step	MCDA	Remarks and recommendations
1	Co-design	Joint pro	oblem framing
1a	Collaborative	0	• Include local partners in consortium (knowledge brokers, bridging organizations)
	research team	0	• Build alliances with regional partners, also for follow-up projects (trust building)
		+++	• MCDA PSM: stakeholder analysis using simple questionnaires (sect. 3.3)
1b	Research questions,	++	• MCDA can help jointly defining research questions if PSM is used
	methodological	0	• MCDA is less suitable to define project success criteria (but PSM could be used)
	framework	+++	• MCDA provides an integrative methodological framework (sect. 3.1)
		++	• MCDA PSM: use VFT for defining shared objectives at lower level (sect. 3.4)
		++	• MCDA PSM: use creativity techniques to find diverse, locally adapted solutions
			(e.g., Strategy Generation Table; sect. 3.5); increases common understanding
1c	Boundary object	+	MCDA PSM could potentially be used for creating boundary object
2	Co-production	Conduct	ing integrated research to produce new knowledge; continuous exchange
2a	Integrative methods	+++	• MCDA is a methodologically consistent integrative procedure, but there are others

2b	Interdisciplinary	+++	• MCDA can integrate qualitative and quantitative scientific evidence from different
	collaboration		disciplines using predictions (sect. 3.6) and value functions (sect. 3.7)
	conacciation		
			* Not emphasized in reviewed transdisciplinary literature: merits future research
	Uncertainty	+++	• MCDA can explicitly consider various types of uncertainty (sect. 5.1.2)
			* High relevance for projects in the earth systems sciences; merits future research
2d	Integrate practice	++	MCDA can integrate diverse practice stakeholders throughout project
	stakeholders	0	• Iterative process to integrate practice stakeholders should be included in MCDA
		0	• MCDA cannot handle discontinuous participation, or too many participants
		+++	• MCDA provides clear results, avoiding vagueness that conceals potential conflicts
2e	Pluralistic principle/	+++	MCDA explicitly recognizes different stakeholder interests, which are integrated in
	social learning		model, fostering trust and avoiding conflict by finding consensus configurations
		+++	MCDA weight elicitation: allow for uncertainty & different stakeholder preferences
		+++	• MCDA fosters learning about decision, one's own preferences, and those of others
			* To understand growing shared understanding in a group, future research is needed
		0	MCDA does not foster training and capacity building
3	Co-dissemination	Integrat	e and disseminate knowledge among research and societal groups, and evaluation
3a	Two-dimensional	++	• MCDA: allows some discussion and revision of results (to find consensus FEWS)
	integration	+++	• MCDA provides prescriptive knowledge (e.g., suitable FEWS configurations)
		0	• MCDA cannot review and analyze other aspects (e.g., governance mechanisms)
3b	Targeted products	0	MCDA cannot generate target products (e.g., publications, policy briefs, maps)
		0	MCDA cannot implement and scale up knowledge for real-world problem solving
		+	• Scientific integration, generalization, and documentation is not specific to MCDA
3c	Evaluate societal	+	MCDA is usually not used to evaluate societal & scientific impact (but is possible)
	and scientific impact	0	• Mid-term impacts cannot be attributed to MCDA (e.g., uptake, societal effects)
		0	MCDA cannot capture longer-term impacts, which are anyway difficult to measure

5.2.2 Evaluating the co-production step "integrated research to produce new knowledge"

Consistent *integrative methods* and *systematic procedures* for integrating bodies of knowledge are crucial (step 2a, Table 1), but less visible in literature (Wuelser et al., 2021;Lang et al., 2012;Mauser et al., 2013). Recommendations include generating hazard maps, or sensitivity and multi-criteria assessments (i.e., MCDA). Identifying stakeholders' positions and preferred options allows involving people in creating their future (Wuelser et al., 2021). In FANFAR, MCDA clearly helped structuring the co-design process and integrating different knowledge types: expert estimates of how well each FEWS performs (sect. 4.4)

and stakeholder preferences (sect. 4.5). Moreover, West African stakeholders experimented with the FEWS at each workshop, tested it in rainy seasons, and provided feedback (see Wuelser et al., 2021), which cannot be attributed to MCDA.

Transdisciplinary projects rely on *interdisciplinary collaboration* and on integrating evidence from different disciplines (step 2b, Table 1; Jahn et al., 2012;Lemos and Morehouse, 2005;Mauser et al., 2013). Integrating qualitative data for policy and decision making, and quantitative data for models can be challenging (Lang et al., 2012). MCDA handles this by transforming attributes of different measurement units to a common value from 0 (objective not achieved) to 1 (fully achieved), using value functions (sect. 3.7). The attributes can include qualitative scales. In FANFAR, experts provided attribute estimates: West African and European hydrologists, IT specialists, and decision analysts (sect. SI-2.4.1). MCDA integrates very specific data; in our case the predictions about FEWS performance. Other evidence types also need integration in transdisciplinary projects, and other methods are available. This area merits future research, given the lack of emphasis in current literature.

"Questions of the *uncertainty of the results*" (Mauser et al., 2013; p. 428) were emphasized by these earth systems scientists for global sustainability, but scarcely addressed by others (step 2c, Table 1). We included the uncertainty of expert predictions by eliciting probability distributions for each attribute (sect. SI-2.4.1) and Monte Carlo simulation (sect. 3.9). Local sensitivity analyses addressed uncertainty of the model and of stakeholder preferences (sect. 5.1.2; discussed in Reichert et al., 2015). Handling uncertainty in a conceptually valid way is essential for transdisciplinary research in the earth systems science.

The importance of *integrating practice stakeholders in iterative processes* (step 2d, Table 1) was underlined by many (e.g., Lemos and Morehouse, 2005; Norstrom et al., 2020). Our iterative workshop series to test and improve the FEWS cannot be attributed to MCDA. Practical MCDA projects often consist of three stakeholder workshops: for problem structuring, preference elicitation, and discussing results and revising options, i.e., FEWS (Fig. 1). *Discontinuous participation* can be a challenge (Lang et al., 2012), and FANFAR faced changing numbers and composition of participants (sect. 3.2). As Lang et al. (2012), we also encountered the opposite: increasing requests over time and the challenge of *keeping participant numbers manageable*. We integrated new participants, e.g., by presenting the FEWS and MCDA objectives at each workshop. For MCDA, discontinuous participation was unproblematic, as new participants in the second workshop accepted the objectives (Fig. 2) and FEWS (Table 2). Our participant sample was presumably sufficiently large and diverse to cover main aspects. Another challenge can be *vague results* using methods such as sustainability visions, which may *conceal potential conflicts* (Lang et al., 2012). MCDA has the strength of providing clear results, even for uncertain data (sect. 5.1.2).

The *pluralistic principle aims at creating social learning across multiple axes* (step 2e, Table 1). Sustained interaction with stakeholders, jointly searching for solutions, and joint learning foster *trust, mutual understanding*, and *shared perspectives* (e.g., Lemos and Morehouse, 2005;Norstrom et al., 2020;Schneider et al., 2019). It is not always necessary to reach consensus, but *different expertise, perspectives, values, and interests* must be recognized (e.g., Norstrom et al., 2020;Wuelser et al., 2021). Moreover, collaboratively *engaging with conflicts* is needed to rationalize contested situations (Schneider et al., 2019;Caniglia et al., 2021). A strength of MCDA is that opposing stakeholder interests are part of the methodology, hereby

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often avoiding conflict about solutions (Arvai et al., 2001; Gregory et al., 2012a; Gregory et al., 2012b; Marttunen and Hamalainen, 2008). During weight elicitation, we encouraged stakeholders to discuss diverging preferences (sect. 3.7), and we recommend allowing for such uncertainty. Allowing for uncertainty can help participants to *construct own preferences* (Lichtenstein and Slovic, 2006), can enable *learning* and *understanding of alternative perspectives*, and may inform sensitivity analyses (sect. 4.7). In FANFAR, conflicting preferences did not change the rankings of FEWS, and we were able to identify consensus FEWS (sect. 5.1.2). In other cases, sensitivity analyses based on diverging preferences can help construct better FEWS. Moreover, "Assessing the [interactive] principle should also focus on capturing learning, how the perceptions of actors change throughout the process, and the degree to which a *shared perspective emerges*" (Norstrom et al., 2020; p. 188). Such research is rare in MCDA, but was attempted in FANFAR and a Swiss project (Kuller et al., sumitted). Results were ambiguous, but we found shared agreement of FANFAR stakeholders about the most important objectives. More research to better understand individual cognitive and group decision making processes is needed (Kuller et al., sumitted).

Training and capacity building belong to the *pluralistic principle* (step 2e, Table 1). Many of 31 analyzed transdisciplinary projects provided e.g., trainings, or attractive visualizations of recent research (Schneider et al., 2019). Capacity building can be promoted by working in integrated ways discussed above, or with capacity building courses (Wuelser et al., 2021;Caniglia et al., 2021). FANFAR offered many training and capacity building opportunities, which cannot be attributed to MCDA.

5.2.3 Evaluating the co-dissemination and evaluation step "integrating and disseminating knowledge"

- Two-dimensional integration (step 3a, Table 1) implies that outcomes are discussed and revised from scientific and societal perspectives (Mauser et al., 2013;Lang et al., 2012). Discussing transformation knowledge includes measures, tools, or governance mechanisms to create change (Schneider et al., 2019). It can include prescriptive knowledge, by recommending suitable options (Caniglia et al., 2021). This is a strength of MCDA: we provided detailed information about robust FEWS configurations (sect. 5.1). Moreover, MCDA results are discussed with stakeholders and new FEWS could be constructed (Fig. 1). We could not carry out the fourth FANFAR workshop due to COVID-19, but collected online feedback. Stakeholders were quite satisfied with the FANFAR FEWS performance during the 2020 rainy season (Fig. 7). While not meeting requirements of extensive discussions, it was the best available approach. We are currently carrying out a systematic daily reforecasting experiment covering 1991–2020 for five model configurations, and aim to link results to expert satisfaction. Understanding governance mechanisms is out of scope of MCDA; in our case, ways to facilitate uptake of the FEWS in entire West Africa.
- 635 Target products (step 3b, Table 1) should address the original problem, be understandable, and be accessible to users (Lemos and Morehouse, 2005;Schneider et al., 2019;Lang et al., 2012). Products include technical publications, data visualizations, and open access online databases (Schneider et al., 2019). In FANFAR, products cannot be attributed to MCDA. Main product is the FEWS, which includes operational data collection, assimilation, hydrological modelling, interpretation, and distribution through web visualization and API. Hereby, MCDA only supported the design. Additional products are a multilingual knowledge base (https://fanfar.eu/support/), an open source code (https://github.com/hydrology-tep/fanfar-forecast), and video

tutorials (<u>www.youtube.com</u>, search: HYPEweb FANFAR). Assuring *consistent access, maintenance, updates, and improvements* after project termination is challenging (Lemos and Morehouse, 2005). AGRHYMET has the authority to drive the FEWS uptake and already uses it, e.g., in their MSc curriculum, or at PRESASS and PRESAGG forums (WMO, 2021), thus supporting the ECOWAS flood management strategy. Nevertheless, operationalization after EU financing is not secured.

Products should contribute to scientific progress, a major challenge being inadequate generalization of case study solutions (Lang et al., 2012; Jahn et al., 2012). Products are often not reported in scholarly literature (Wuelser et al., 2021), the knowledge thus not advancing scientific progress, and not being adopted in similar projects. We aimed to overcome this with this paper and other outputs (FANFAR, 2021). We document the MCDA process, and provide details in the Supplementary Information. We encourage hydrologists to use this material. We stress that it is not necessary to conduct a full MCDA in every case. The first problem structuring steps can create useful insights, and may be easier to apply (sect. 5.2.1).

The last step 3c (Table 1) is to evaluate societal and scientific impact. Project evaluation is possible with MCDA, but MCDA was not used in FANFAR. Short-term impacts include increased citations or attention of nonacademic actors, e.g., high download rates, or media coverage (Norstrom et al., 2020; Schneider et al., 2019). As example, the FANFAR workshop in Nigeria featured on the national TV news. Building social capacities and establishing stakeholder networks or communities of practice can be very helpful (Lemos and Morehouse, 2005; Schneider et al., 2019). As another example, a FANFAR social media group among West African stakeholders monitored the severe 2020 floods, which in many places were successfully forecasted by the FANFAR FEWS. Mid-term impacts include *uptake of products* and societal effects such as *strategy* implementation, or amended legislation (Jahn et al., 2012; Norstrom et al., 2020). Long-term impacts are very difficult to measure as they are typically realized far beyond project termination (Norstrom et al., 2020; Schneider et al., 2019). Moreover, due to the complexity of problems in transdisciplinary projects, causal relationships are difficult to establish (Lang et al., 2012). To secure future sustainability of the FANFAR FEWS, several dialogues with potential financiers were held, and 12 proposals submitted to date. Four were successful so far, providing funding for some parts of FANFAR, such as for hydrometric stations or additional training. The sustainability strategy focuses on the financing of operations, maintenance, dissemination, technical development, etc. More importantly, it addresses long-term collaboration, capacity development, transfer of responsibilities, and on anchoring FANFAR in the routines of West African institutions. As one example of societal impact, NIHSA, the Nigeria Hydrological Services Agency, reported that an early FEWS warning in September 2020 saved approximately 2'500 lives. The warning helped evacuating five communities before the flood destroyed more than 200 houses.

6 Conclusions

The MCDA process enabled finding three good FANFAR FEWS configurations, which is important to West African stakeholders and people affected by floods. All stakeholder groups preferred a relatively simple FEWS producing accurate, clear, and accessible flood risk information that reaches recipients well before floods. To achieve this, most groups would

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trade off higher operation and maintenance costs, development time, and several languages. MCDA indicated that the three FEWS are robust. They achieved 63–70% of all 10 objectives despite diverging stakeholder preferences, model uncertainty, and uncertain expert predictions. Including uncertainty and stakeholders in MCDA is neglected in flood risk research. We highly recommend both: MCDA including uncertainty allowed better distinguishing between FEWS, and participatory MCDA focusing on stakeholders' objectives (Value Focused Thinking), helped avoid conflicts about FEWS configurations.

MCDA meets many, but not all requirements of sustainability science and transdisciplinary research. Our proposed evaluation framework proved very useful for critically analyzing MCDA, and specifically includes elements crucial to the earth systems sciences. We evaluated MCDA as a transdisciplinary process along the three framework steps. MCDA only partially contributes to co-design (step 1). However, if understood as a process including problem structuring, MCDA supports joint problem framing. Stakeholder analysis helps identifying those to involve. Problem structuring includes creativity techniques for defining shared objectives and designing options, the FEWS configurations in our case. The main benefit of participatory MCDA lies in co-production (step 2). Interdisciplinary knowledge integration and uncertainty were rarely emphasized in literature and could be research contributions of the earth systems sciences. Both are strengths of MCDA. MCDA also provides clear results and consensus FEWS by integrating conflicting stakeholder interests into the model. However, MCDA does not well achieve many aspects of co-dissemination (step 3). MCDA results are discussed with stakeholders, but this focus is narrow. MCDA does not achieve important elements such as analyzing governance mechanisms, and implementing actions and products. In FANFAR, we thus carried out complementary activities.

This paper documents in detail the participatory MCDA process for co-developing a good FEWS for West Africa, together with many stakeholders in the FANFAR project. The MCDA can serve as blueprint for engaging in such transdisciplinary endeavors. Our MCDA emphasized the integration of stakeholders, of interdisciplinary expert knowledge, and of uncertainty, which is rarely done in flood risk research using MCDA. These aspects are certainly of high importance to other projects in the earth systems sciences. Moreover, we analyzed the strengths and limits of using MCDA in a large, international transdisciplinary project with help of a framework based on literature. This framework can generally support colleagues from the earth system sciences when engaging in complex transdisciplinary research with stakeholders and society.

7 Data availability

The data are available on the Eawag Research Data Institutional Collection (ERIC: https://opendata.eawag.ch), DOI: https://opendata.eawag.ch)

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8 Supplement link

In the Supplementary Information (SI), we provide ample material to guide readers unfamiliar with Multi-Criteria Decision Analysis (MCDA) through all steps. This includes a Methods section (generating FEWS configurations, eliciting weights, MCDA model, sensitivity analyses, stakeholder feedback), and a Results section (stakeholder analysis, objectives and attributes, FEWS, predictions, value functions, weights, MCDA results, and stakeholder feedback). LINK

9 Author contribution

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Judit Lienert: Conceptualization, funding acquisition, investigation, methodology, project administration, resources, supervision, validation, writing – original draft preparation, rewriting, revisions. Jafet Andersson: Funding acquisition, investigation, project administration, writing – review & editing. Daniel Hofmann: Data curation, formal analysis, visualization, validation, writing – original draft preparation. Francisco Silva Pinto: Formal analysis, investigation, methodology, project administration, writing – review & editing. Martijn Kuller: Investigation, project administration, supervision, writing – review & editing.

10 Competing interests

715 The authors declare that they have no conflict of interest.

11 Special issue statement

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