



5 **Effects of passive storage on modelling hydrological function and isotope
 dynamics in a karst flow system in southwest China**

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20 **Abstract**

Representing passive storage in coupled flow-isotope models can facilitate simulation of mixing and retardation effects on tracer transport in many natural systems, such as catchments or rivers. However, the effectiveness of incorporating passive storages in models of complex karst flow systems remains poorly understood. In this study, we developed a coupled flow-isotope model that conceptually represents both “fast” and “slow” flow processes in heterogeneous aquifers to represent hydrological connections between hillslopes and low-lying depression units in cockpit karst landscapes. As this model originally included a varying number of passive storages at different positions of the flow system (e.g. fast/slow flow reservoirs combined with different hillslope/depression units), the model structure and relevant parameters were optimized using a multi-objective optimization algorithm. This was used to match detailed observational data of hydrological processes and isotope concentration in the Chenqi catchment in southwest China. Results show that the optimal structure for a coupled flow-isotope model incorporated only two passive storages in fast flow and slow flow paths of the hillslope unit. Using fewer or greater numbers of passive stores in the model could lead to under- or over-mixing of isotope signatures. This optimized model structure could effectively improve simulation accuracies for outlet discharge and isotope signatures, with >0.65 of the modified Kling-Gupta efficiency. Additionally, the optimal tracer-aided model yields reasonable parameter values and estimations of hydrological components (e.g. more than 80% of fast flow in the total discharge). Furthermore, results imply that the solute transport is primarily controlled by advection



and hydrodynamic dispersion in steep hillslope unit, which is a remarkable phenomenon in the karst flow system. The study resulted in new insights, more realistic catchment conceptualizations and improved model formulation.

45 **Keywords:** Flow-isotope model; Passive storage; Karst flow systems; Chenqi catchment; Hillslope and depression units



1 Introduction

The southwest China karst region is one of the world's largest continuous karst areas, covering $\sim 540 \times 10^3 \text{ km}^2$ over eight provinces and providing water resources for more than 100 million people (Chen et al., 2018). Strong dissolution of carbonate rocks in the humid tropics and subtropics of southwest China creates unique cockpit karst landscapes, and complex surface and subsurface flow paths (e.g. sinkholes, caves and conduit networks) (Chen et al., 2018). The permeability is driven by fractures and varies over a large range depending on fracture aperture, density, orientation, and interconnectivity (Streltsova, 1976). This leads to great variability of hydrological and solute transport processes in space and time. Consequently, modeling hydrological and solute transport processes in this kind of karst systems is extremely challenging.

A wide range of hydrological models have been developed for karst areas, ranging from lumped models at the catchment scale to (semi-) distributed models with hydrological function parameterized for the grid-scales or landscape unit scales (Martínez - Santos and Andreu, 2010; Hartmann et al., 2013). A key function of karst hydrological models is to capture the dual or multi-phase flows in a complex porous medium, capturing low velocities in the matrix and small fractures, as well as very high velocities in large fractures and conduits (White, 2007; Worthington, 2009). Model structures endowed with process-based conceptualization of the complex flow systems often lead to over-parameterization and large uncertainties for resulting simulation (Perrin et al., 2001; Beven, 2006). Incorporating additional field data from study catchments can provide information to better understand and improve model structures,



70 constrain parameter ranges and efficiently reduce simulation uncertainties. In this
regard, stable isotopes of water ($\delta^{18}\text{O}$ and $\delta^2\text{H}$) have been widely used to provide insight
into the functioning of karst systems (Qin et al, 2017; Min et al, 2018; Elghawi et al,
2021), the mixing of water from different sources (Aquilina et al., 2006; Plummer et
al., 1998), and the residence times of these sources (Batiot et al., 2003; Long and
75 Putnam, 2004). In recent years, isotope-aided hydrological models have been developed
to fully couple hydrological processes with stable isotope dynamics (Birkel and Soulsby,
2015). These coupled models are effective in understanding hydrological functions,
such as water storage, flux, and age (Carey and Quinton, 2004; Chacha et al., 2018),
which are useful metrics to characterize the karst critical zone.

80 In the vast majority of hydrological models, flow routing is driven by pressure
gradients, creating a dynamic (active) water storage which are influenced by water
balance considerations (Fenicia et al., 2010; Soulsby et al., 2011), while isotopes (or
any conservative solute) are subject to advection at much slower velocities along the
actual flow paths of water where mixes occur through dispersion and diffusion
85 (Hrachowitz et al., 2013). The tracer mixing and transport can potentially create an
additional volume (the passive storage) for storage components below field capacity
(Birkel et al., 2011) in the unsaturated zone and at depths far below stream or water
table in the saturated zone. Hence, the storage volume for the isotope mixing and
transport consists of the active storage and the passive storage. The conceptual



90 combination of active storage with passive storage in isotope-aided hydrological
models enhances solute mixing and resultant tracer retardation.

In the southwest karst region of China, a few studies have recently incorporated
passive storage into coupled flow-isotope models for simulating hydrological and
solute transport processes. For example, Zhang et al. (2019) developed a semi-
95 distributed conceptual model for capturing discharge and isotope dynamics in the
Chenqi catchment. The model has a function for passive storage to affect isotope mixing
only within the conceptual hillslope unit, but it did not incorporate any passive storages
in the fast and slow reservoirs for the depression unit. Chang et al. (2020) compared the
lumped model structures with different connections of epikarst and the underlying slow
100 and fast reservoirs according to observations of the spring discharge and electrical
conductivity (EC) at Yaji catchment of southwest China. They set a passive storage for
the fast flow reservoir and neglected passive storage in the slow flow system based on
assumption that EC in the slow system can always reach its maximum value or
equilibrium state at each time step.

105 Nevertheless, these studies have not systematically analyzed how the configuration
of passive storage functions in the model structure constrains simulations of
hydrological processes and isotope dynamics for karst flow systems. Particularly, the
effects of passive storage structures are underexplored in terms of the location and
number of passive storages needed for fast and/or slow flow reservoirs in hillslope
110 and/or depression units, respectively. Consequently, it remains unclear what is the most



efficient way of incorporating passive storage into coupled flow-tracer simulations. Moreover, there is no clear consensus on how to conceptualise mixing mechanisms within and between active and passive storages. For example, Ala-Aho et al. (2017) used complete mixing to represent the water and tracer exchange flux between passive storage and active storage in model cells for three experimental catchments, though this gave partial mixing at the catchment-scale. In contrast, Page et al. (2007) used a static partial mixing mechanism in the hydrochemistry module of a conceptual model for simulating stream chloride concentrations in two subcatchments at Plynlimon, Wales. Hrachowitz et al. (2013) introduced a dynamic partial mixing mechanism to better quantify water, tracer fluxes and associated water age distributions of a catchment in Scotland. In southwest China karst catchments, Zhang et al. (2019) assumed static partial mixing between passive storage and active storage in hillslope response units. Meanwhile, Chang et al. (2020) used complete mixing between passive storage and active storage in the fast flow reservoir. In short, adding passive storage for the isotope (solute) mixing calculation often seems arbitrary in previous studies. Meanwhile, additional functions of passive storage in the models can further complicate the model structure and add parameters (Capell et al., 2012; Hrachowitz et al., 2013; Rodriguez et al., 2017), which might eventually increase uncertainty over modelled water flux and isotope dynamics.

The aim of this study is to evaluate the effectiveness of alternative ways of incorporating passive storage into fast/slow flow reservoirs in hillslope/depression units



for improving stream discharge and stable isotope simulations. The study focuses on the Chenqi catchment, in the karst of southwest China using high resolution observations of hydrological and stable isotope data. We developed a coupled flow-
135 isotope model that can quantify and capture fast and slow flow responses to rainfall as well as hydrological connections between hillslope and depression units. The functions of passive storage on stream flow and stable isotope simulations were comprehensively evaluated; by comparing numerical results from models with a varying number of passive stores located in hillslope and/or depression units. Ultimately, an appropriate
140 model structure is suggested that can most efficiently describe hydrological functioning in the study catchment.

2 Study area and data descriptions

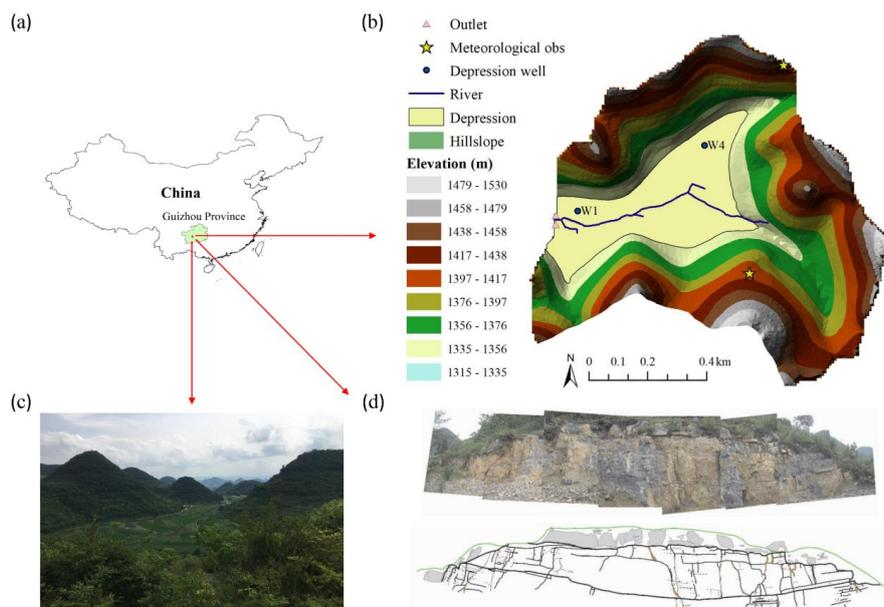
2.1 Study area

The small karst catchment of Chenqi is located in the Puding Karst Ecohydrological
145 Observation Station, Guizhou Province of southwest China (Fig. 1). Chenqi is characterized by a subtropical monsoon humid climate with a mean annual temperature of 14.2°C, mean annual rainfall of 1140 mm, and mean annual humidity of 78%. Precipitation mainly occurs in the rainfall season (May-August), accounting for more than 80% of the annual amount. The catchment is a typical karst peak cluster landform
150 where a central depression is surrounded by hillslopes. Considering the distinct features of hillslope and depressions, the catchment is divided into two geomorphic units: hillslope and depression, with an area of 0.73 and 0.17 km², respectively (Table 1).



Specifically, the hillslopes of the Chenqi catchment are steep with elevations ranging from 1340m to 1530m. The soil layer in the hillslope unit is thin (<50 cm) and
155 irregularly distributed. Outcrops of carbonate rocks cover 10~30% of the catchment. The soluble bedrock is mainly composed of marl, thick limestone, thin limestone, and dolomite. Field investigations have shown a rich fracture zone (epikarst) on hillslopes (Fig. 1d) which has a thickness of 7.5~12.6 m, generally becoming shallower in an
160 upslope direction (Zhang et al., 2011). Deciduous broadleaved forests and shrubs are mostly grown on the upper and middle parts of hillslopes, and corn is grown at the low of the gentle hillslopes (Chen et al., 2018). In contrast, in the flat depression, the accumulated soils are thick (~200 cm) and cultivated for crops of corn and rice paddy.

The drainage system includes an intermittent surface water channel and a perennially flowing underground conduit connecting the hillslopes to the catchment outlet. The
165 flow discharge response to rainfall is fast, characterized by a sharp rise and decline of hydrographs. Zhang et al. (2013) found that the response time lag of fast (quick) flow to precipitation is very short (4~9 h) by studying the hydrological process of two epikarst springs in the upper catchment.



170 **Figure 1.** The location of Chenqi catchment (a), topography (b), photo (c) and a
 typical fracture profile (d).

Table 1. The catchment characteristics of two landscape units at Chenqi

| | Hillslope | Depression |
|-------------------------|---|------------|
| Area (km ²) | 0.73 | 0.17 |
| Elevation (m.a.s.l.) | 1340~1530 | 1315~1340 |
| Soil thickness | <0.5 m | >2 m |
| Land cover and use | Forest (13.67%), shrub (30.38%), grass (12.26%) and crops (40.1%) | |

175 **2.2 Observational dataset**

In the Chenqi catchment, an automatic meteorological station (Fig. 1b) was installed to record rainfall, temperature, air pressure, wind speed, humidity, and solar radiation. These data were used to calculate the potential evaporation via the Penman formula. Discharge at hillslope springs and the catchment outlet were measured by v-notch weirs



180 with a time interval of 15 min. All observational datasets were collected from October
8th 2016 to June 12th 2018.

In particular, hillslope springs, flows at the catchment outlet, and rainfall were
regularly sampled at daily intervals. They were intensively sampled during the wet
season (May-August) using an autosampler set at an hourly interval. In total, we
185 collected 253 rainfall samples, 1095 hillslope spring samples and 1096 water samples
at the catchment outlet of underground channel (Table 2). Groundwater was also
sampled from two depression wells (Fig. 1b) at depths varying between 13 ~ 35 m
below the ground surface during four rainfall events. The water samples were tested
and analyzed by the MAT 253 laser isotope analyser (instrument precision was $\pm 0.5 \%$
190 for δD and $\pm 0.1 \%$ for $\delta^{18}O$) at the State Key Laboratory of Hydrology and Water
Resources of Hohai University.

Our measurements clearly show that the mean values of δD and $\delta^{18}O$ (Table 2 and
Fig. 2) change to be enrichment in the following order: the hillslope spring, the
depression groundwater and the catchment outlet discharge. This implies mixing with
195 “old” water over the course of water flow paths from the hillslopes towards the outlet
(Zhang et al., 2019; Zhang et al., 2020). In the depression, the mixing with “old” water
is enhanced from the hillslope foot to the outlet as the isotope values of groundwater
W4 close to the hillslope spring are more negative than those of groundwater W1 near
the catchment outlet (Fig. 2 and Table 2).



200 As shown in Fig. 2, when plotted in dual isotope space, the data points of the $\delta D \sim$
 $\delta^{18}O$ regression line for the hillslope spring and the outlet discharge approach to the two
regression lines controlled by the new water of rainwater and old groundwater of
depression W1, respectively. This underlines previous insights that the catchment flow
system is be composed of flows with varying response times (or groundwater age),
205 namely the new fast flow and old slow flow (Zhang et al., 2019). The contribution of
the fast and slow flow components to the catchment outlet enlarges the isotope
variability of the outlet discharge (e.g., larger coefficient of variation (CVs) in Table 2).
This enlarged isotope variability of the outlet discharge is mostly attributed to that of
the hillslope spring since their temporal variations of δD and $\delta^{18}O$ are consistent (Fig
210 3), and their ranges of δD and $\delta^{18}O$ are close (Table 2 and Fig 2).

The mean lc-excess value ($lc\text{-excess} = \delta D - a \cdot \delta^{18}O - \beta$ in Table 2) in the study period
shows that the evaporative effect on the hillslope spring is strong. The strong
evaporative effect results in continuous enrichment in heavy isotopes of the hillslope
spring in the dry season from November to April (Fig 3). In the wet season from May
215 to October, however, the lc-excess values do not represent any trends while the δD of
the hillslope spring is gradually depleted. This phenomenon indicates that with the
increase of precipitation input, the hillslope spring receives fresh water from the rainfall
recharge. The variations of the δD and lc-excess values of the catchment outlet
discharge generally agree with those of the hillslope spring, which again indicate that
220 the outlet discharge is mainly dependent on the hillslope spring.

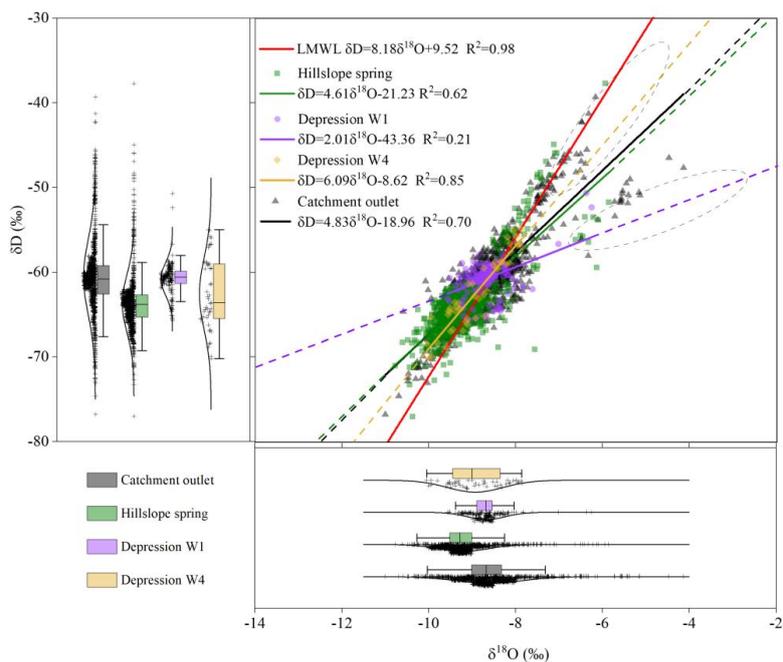
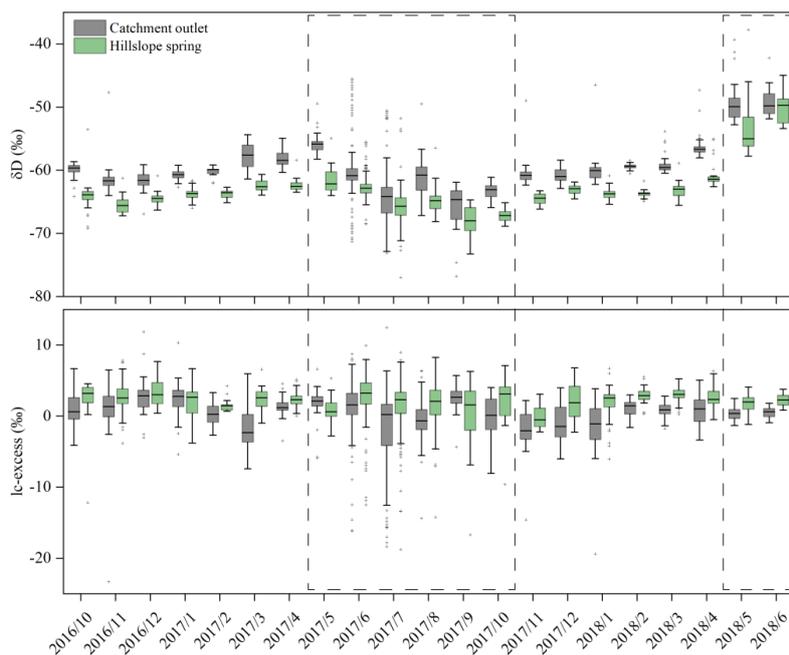


Figure 2. Plots of $\delta^{18}O$ - δD for rainwater, catchment outlet discharge, hillslope spring and depression groundwater at wells W1 and W4



225 **Figure 3.** Monthly observed δD and lc-excess of outlet discharge and hillslope spring during the study period.



Table 2. Characteristics of isotope data for rainfall, hillslope spring, catchment outlet discharge and depression groundwater

| Obs | Numbers | δD (‰) | | | $\delta^{18}O$ (‰) | | | lc-excess | |
|------------------|---------|----------------|-------|------|--------------------|------|------|--------------|-------|
| | | Range | Mean | CV | Range | Mean | CV | Range | Mean |
| Rainfall | 253 | -120.2~29 | -64.9 | 0.49 | -16.6~1.0 | -9.1 | 0.42 | -16.71~17.37 | -0.04 |
| Catchment | | | | | | | | | |
| outlet | 1096 | -76.8~-39.3 | -60.6 | 0.07 | -11~-4.1 | -8.6 | 0.09 | -23.31~12.45 | 0.33 |
| discharge | | | | | | | | | |
| Hillslope spring | 1095 | -77~-37.8 | -63.7 | 0.05 | -10.8~-5.9 | -9.2 | 0.06 | -18.77~9.92 | 2.06 |
| Groundwater | | | | | | | | | |
| W1 | 175 | -65.7~-50.7 | -60.8 | 0.03 | -9.6~-6.3 | -8.7 | 0.05 | -10.75~7.6 | 0.65 |
| Groundwater | | | | | | | | | |
| W4 | 47 | -70.2~-55 | -62.5 | 0.07 | -10.1~-7.9 | -8.9 | 0.07 | -3.56~6.51 | 0.96 |

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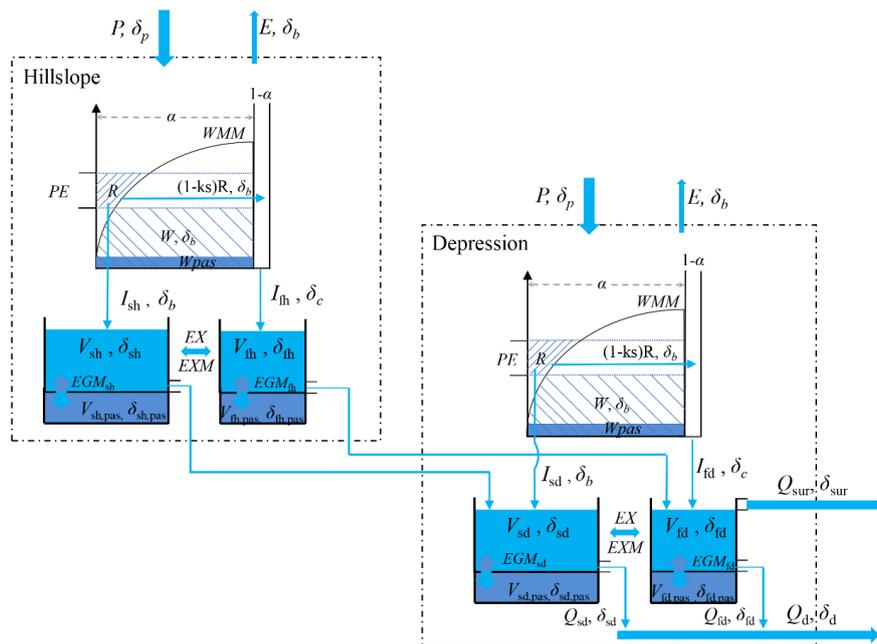
3 Model development

3.1 Conceptual model structure

Considering the contrasting features of catchment landscapes, the model structure can be conceptualized by focusing on the hydrologic connectivity of the “hillslope-
 235 depression-stream” continuum (Fig. 4) (Zhang et al., 2020). That is, the catchment area is divided into hillslope and depression units, each unit can be vertically separated into an unsaturated zone in the upper soil and epikarst layers and a saturated zone representing the deep aquifer (Fig. 4). To quantify diffusive and concentrated allogenic and autogenic recharge in each unit, the unsaturated zone is partitioned into two media:
 240 the low permeability zone of soil matrix and small fractures (α), and high permeability zone of large fractures and swallow holes ($1-\alpha$). Rainfall falling on the low permeability area (α) replenishes any moisture deficits first and then generates runoff (free water) when moisture reaches field capacity. This then recharges into deep groundwater



through diffusive and concentrated allogenic and autogenic recharge. Rain falling on
 245 the high permeability area $(1-\alpha)$ directly enters underground channels through upper
 sinkholes commonly found in carbonate aquifers (Worthington, 2009). The
 groundwater aquifer is separated into a fast flow reservoir and slow flow reservoir that
 is interconnected by flow exchange driven by the prevailing hydraulic gradient between
 two reservoirs (Hartmann et al., 2013; Zhang et al., 2019).



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Figure 4. Conceptualized structure for the coupled flow-isotope model. The light blue shades indicate active storage, the dark blue shades indicate passive storage. The detailed descriptions of the model parameters are shown in Table 4.

255 **3.1.1 Hydrological routing**

In the matrix or small fracture area (α) , which is considered as the recharge pathway
 to the slow flow reservoir, the spatial heterogeneity of storage volumes can be described
 by a set of compartments like the VarKarst model (Hartmann et al., 2013) and a



distribution curve of the storage capacity like the Xinanjiang model in Fig. 4 (Zhao,

260 1992) following:

$$\frac{f}{F} = 1 - \left(1 - \frac{wm'}{WMM}\right)^b \quad (1)$$

where f represents free water yield area, F represents the total of the area (α), wm' is the areal mean tension water storage at f , WMM is the maximum value of wm' , and b is a parameter.

265 Based on Eq. (1), the initial areal average storage W is an integration of wm' within $0 \sim A$ in the area $(1-f/F)$:

$$W = \int_0^A \left(1 - \frac{wm'}{WMM}\right)^b dwm' = \frac{WMM}{1+b} \left[\left(1 - \frac{A}{WMM}\right)^{1+b} \right] \quad (2)$$

when $A=WMM$, the storage in the entire area reaches the storage capacity. Thus, the mean storage capacity wm is equal to $\frac{WMM}{1+b}$ (Zhao, 1992).

270 When the net precipitation PE ($PE=P-E$) >0 and if $P-E+A < WMM$, the water yield R is:

$$R = P - E - wm + W + wm \left(1 - \frac{P-E+A}{WMM}\right)^{1+b} \quad (3)$$

Note that P is precipitation and E is actual evaporation estimated by $E = kc \cdot Ep \cdot \frac{W}{wm}$,

in which kc is a coefficient for evapotranspiration, and Ep is potential
 275 evapotranspiration.

If $P-E+A \geq WMM$, the water yield R is:

$$R = P - E - wm + W \quad (4)$$

Most of the water yield R in the matrix or small fracture area (α) recharges into the underlying slow flow reservoir (i.e., $I_s = ks \cdot R \cdot \alpha$, where ks is a scaling coefficient



280 less than 1). The remaining runoff $((1-ks)I_s)$ together with rainfall P falling on the
swallow holes $(1-\alpha)$ directly recharges into fast flow reservoir (i.e., $I_f=P(1-\alpha)+R(1-$
 $ks)\alpha$).

Consequently, the water balance in the fast and slow reservoirs is:

$$\frac{dV_s}{dt} = I_s - EX - Q_s \quad (5)$$

285

$$\frac{dV_f}{dt} = I_f + EX - Q_f \quad (6)$$

where V_s and V_f are storages of slow and fast flow reservoirs, respectively; Q_s and Q_f
are discharges from slow and fast reservoirs, respectively; EX is flux between fast flow
and slow flow reservoirs.

EX is estimated by difference of the saturated storages (or water heads) between the
290 fast flow and slow flow reservoirs (i.e., $EX=ke(V_s-V_f)$), where ke is a coefficient of
exchange flux between the slow and fast flow reservoirs). Q_s and Q_f are estimated
according to the linear relationship between storage V and discharge (i.e., $Q_s=\eta_s \cdot V_s$,
and $Q_f=\eta_f \cdot V_f$, where η_s and η_f are outflow coefficients of slow and fast flow reservoirs,
respectively).

295 3.1.2 Isotopic concentration routing

The mass balance in the unsaturated zone storage can be expressed as:

$$\frac{d(WU\delta_b)}{dt} = P\delta_p - R\delta_b - E\delta_b \quad (7)$$

where WU ($WU=W+W_{pas}$) is the moisture storage consisting of active storage W or
mobile water (Sprenger et al., 2017; Sprenger et al., 2018) and passive storage W_{pas} ,
300 δ_p and δ_b are the stable isotope concentrations of rainwater (P) and moisture (and water
yield R), respectively. Eq. (7) assumes instantaneous mixing of rainwater (P), water



yield (R) and soil moisture (W), and complete mixing of the active storage (W) with passive storage (W_{pas}) in the area (α) since soils are very thin.

As a portion of the water yield (I_s) recharges into the deeper aquifer, the mass balance
 305 for the slow flow reservoir is

$$\frac{d(V_s \delta_s)}{dt} = I_s \delta_b - EXM - EGM_s - Q_s \delta_s \quad (8)$$

where EXM is the exchange mass between the slow flow and fast flow reservoirs (estimated by $ke(V_s - V_f)\delta_s$ for $EXM > 0$, and $ke(V_s - V_f)\delta_f$ for $EXM \leq 0$), and EGM_s represents the mixing of the solute between the active (V_s) and passive ($V_{s,pas}$) storages
 310 for the slow flow reservoir ($=\phi_s V_s(\delta_s - \delta_{s,pas})$), where ϕ_s is the exchange coefficient between the active and passive storages for slow flow; $V_{s,pas}$ and $V_{f,pas}$ are the passive storage of slow flow and fast flow reservoirs, respectively; $\delta_{s,pas}$ and $\delta_{f,pas}$ are the stable isotope δ of passive storage for the slow flow and fast flow reservoirs, respectively.

Similarly, the mass balance for the fast reservoir is

$$315 \quad \frac{d(V_f \delta_f)}{dt} = I_f \delta_c + EXM - EGM_f - Q_f \delta_f \quad (9)$$

where $EGM_f (= \phi_f V_f(\delta_f - \delta_{f,pas}))$ is the mixing of solute between active (V_f) and passive ($V_{f,pas}$) storages for the fast flow reservoir, ϕ_f is exchange coefficient between active and passive storages for fast flow, and $I_f \delta_c$ is the recharge water mass, equal to

$$I_f \delta_c = P \delta_p (1 - \alpha) + \delta_b R (1 - ks) \alpha \quad (10)$$

320 The mass balance of the passive storage ($V_{pas} \delta$) for slow and fast flow reservoirs is:

$$\frac{d(V_{s,pas} \delta_{s,pas})}{dt} = EGM_s \quad (11)$$

$$\frac{d(V_{f,pas} \delta_{f,pas})}{dt} = EGM_f \quad (12)$$



The above Eqs. (8) and (11) describe partial mixing between V_s and $V_{s,pas}$ for the slow flow reservoir, and Eqs. (9) and (12) describe partial mixing between V_f and $V_{f,pas}$ for the fast flow reservoir. Moreover, the partial mixing could be static or dynamic depending on whether the exchange coefficients between active and passive storages (φ_s and φ_f) are constant or vary over time, respectively (Hrachowitz et al., 2013).

3.1.3 Hillslope - depression connectivity and schematic model structures incorporating passive storage

The hillslope fast flow is assumed to fully connect with fast pathways in depression while the hillslope slow flow passes through the slow matrix in the depression. Therefore, V_s and V_f in the depression unit receive additional recharge from the hillslope slow flow ($\frac{A_h}{A_d} Q_s$) and fast flow ($\frac{A_h}{A_d} Q_f$), respectively, where A_h and A_d are hillslope and depression areas, respectively. Correspondingly, $V_s \delta_s$ and $V_f \delta_f$ in the depression are influenced by the isotope composition of inputs from the hillslope slow flow ($\frac{A_h}{A_d} Q_s \delta_s$) and fast flow ($\frac{A_h}{A_d} Q_f \delta_f$), respectively.

There is a dual drainage system comprising both a surface stream and underground channel in the depression. Here, we set a critical volume V_m in the depression. The catchment flow drains from surface stream Q_{sur} only when the depression groundwater storage meets: $V_{fd} > V_m$ (i.e., $Q_{sur} = \frac{(V_{fd} - V_m) A_d}{\Delta t}$). As a consequence, the total flow discharge at the catchment outlet Q is composed of fast flow (Q_f) and slow flow (Q_s) in the subsurface, with additional contribution from the surface stream Q_{sur} .



To assess the function of passive storage on simulating flow discharge and isotopic concentrations at the catchment outlet, we set fourteen schemes (scenarios) that incorporates 0~4 passive storages into different positions within the karst flow system, i.e., fast and/or slow flow reservoirs in combination with the hillslope and/or depression units (Table 3). The model parameters and their definitions are listed in Table 4.

Table 3. Different model structures that incorporate passive storages into fast flow and/or slow flow reservoirs at hillslope and/or depression units

| No. of Passive Storage | Model | Hillslope | | Depression | |
|------------------------|----------|-----------|-----------|------------|-----------|
| | | Slow flow | Fast flow | Slow flow | Fast flow |
| 0 | <i>a</i> | - | - | - | - |
| | <i>b</i> | √ | - | - | - |
| 1 | <i>c</i> | - | √ | - | - |
| | <i>d</i> | - | - | √ | - |
| | <i>e</i> | - | - | - | √ |
| 2 | <i>f</i> | √ | √ | - | - |
| | <i>g</i> | - | - | √ | √ |
| | <i>h</i> | √ | - | √ | - |
| | <i>i</i> | - | √ | - | √ |
| | <i>j</i> | √ | √ | √ | - |
| 3 | <i>k</i> | √ | √ | - | √ |
| | <i>l</i> | - | √ | √ | √ |
| | <i>m</i> | √ | - | √ | √ |
| 4 | <i>n</i> | √ | √ | √ | √ |

Note: √ and - represent the fast/slow reservoir with and without an additional passive storage, respectively.

3.2 Model calibration and validation

In order to avoid over-parameterization, some insensitive parameters are fixed according to previous studies and field investigations. The ratio of matrix area to the area of hillslope or depression unit (α) is about 0.95 (Zhang et al., 2019; Zhang et al., 2020). b reflecting the degree of spatial heterogeneity of tension water storage



distribution is about 0.12 for small catchment (Xue et al., 2019). w_m representing the
360 holding capacity of moisture is 50 mm for thin soils over hillslope and 80 mm for thick
soils over depression, respectively (Xue et al., 2019; Zhang et al., 2020). The volumes
of passive storages (W_{pas} , $V_{s,pas}$ and $V_{f,pas}$) are generally one order of magnitude larger
than those of active storage (Dunn et al., 2010, Soulsby et al., 2011, Ala-Aho et al,
2017). According to Eqs. (11) and (12), the passive storage volumes ($V_{s,pas}$ and $V_{f,pas}$)
365 are dependent on the exchange coefficients between active and passive storages (ϕ_s and
 ϕ_f). In this study, these passive storage volumes were set as a constant (i.e., 300 mm)
and the exchange coefficients are calibrated. Considering the rapid hydrological
response of the fast flow system or hillslope unit to precipitation, the initial values of
 V_{th} , V_{fd} and V_{sh} is set as 0 mm (Zhang et al., 2019), while the initial value of V_{sd} is 20
370 mm (Xue et al., 2019). Meanwhile, the initial isotope ratios are all initially set to the
measurement at the catchment outlet (i.e., -61.3‰), this initialisation brings negligible
errors since the isotope transport process is driven by rainfall inputs boundary condition.
The undetermined model parameters (kc , ks , V_m , η_s , η_f , ke and ϕ in Table 4) are
calibrated against observed discharge and isotope concentration.

375 The flow-isotope coupled models with different combinations of the active and
passive storages (Table 3) were run on hourly time steps. The performance objective
functions included the modified Kling-Gupta efficiency (KGE) and the absolute value
of BIAS ($Abias_q$). KGE criterion comprehensively considers the linear correlation and



standard deviation between the numerical and observed values (Kling et al., 2012)

380 following:

$$KGE_i = 1 - \sqrt{(r-1)^2 + (std-1)^2 + (\sigma-1)^2} \quad (13)$$

where r is the linear correlation coefficient between the simulated and observed values,

std is the ratio of the standard deviation of the numerical and observed values, and σ is

the ratio of the average numerical value to the observed value, $i = (q, c)$ representing

385 the goodness of match for flow discharge or isotope concentration, respectively. The

closer KGE is to 1, the better the overall performance of the coupled model.

The $Abias_q$ is

$$Abias_q = \left| \frac{\sum_{i=1}^n (S_i - O_i)}{O_i} \right| \quad (14)$$

where S_i is the simulated discharge, and O_i is the observed discharge. The closer $Abias_q$

390 is to 0, the better performance of model in matching flow discharge at outlet.

In this study, the multi-objective optimization algorithm, i.e., non-dominated sorting

genetic algorithm II (NSGA-II) proposed by Deb et al (2002), was applied for the model

parameter calibration. The NSGA-II (Kollat and Reed, 2006) was based on NSGA

algorithm representing the sets of pareto-optimal solutions. As pareto-optimal sets of

395 solutions are not dominated by any one of factors as a result of trade-off effects, the

“best” solution is achieved by satisfying the demands from all factors (e.g. KGE_q , KGE_c

and $Abias_q$) (Fenicia et al., 2007). After a number of (e.g. 100 in this study) iterations

of the NSGA-II algorithm, 30 final parameter sets were retained. The corresponding



objective function values (average of the optimal solution sets) for both the calibration
 400 and validation periods were also extracted.

The observational data were used separately for the calibration and validation periods.
 That is, the model parameters were calibrated against the observed discharge and
 isotope concentration (δD) from October 8, 2016 to October 30, 2017. Note that since
 D and ^{18}O fluctuated with virtually the same dynamic over time, both driven by the
 405 same hydrological factors, therefore only D was used for calibration. Afterwards, the
 model was validated against observations from November 1, 2017 to June 14, 2018.
 The model parameter sets for the fourteen scenarios were obtained based on the 30
 optimal solution sets for KGE_q , KGE_c and $Abias_q$ in the calibration and validation
 periods (Fig. 5 and Table 5).

410 **Table 4.** The definitions of model parameters with their ranges and calibrated values

| Zone | Parameter and meaning | Range | Calibrated | |
|-------------|--------------------------------|--|------------|-------------|
| Area | α_n/α_d | Ratio of matrix flow area | 0.95 | 0.95 |
| Unsaturated | kc_n/kc_d | Coefficient for evapotranspiration | 0.9~1.2 | 1.15/1.04 |
| | ks_n/ks_d | Ratio of water yield into slow flow reservoir | 0.1~0.5 | 0.24/0.31 |
| | b_n/b_d | Exponential distribution of tension water capacity | 0.12 | 0.12 |
| | wm_n/wm_d | Tension water storage capacity (mm) | 50/80 | 50/80 |
| | #Wpas | passive storage (mm) | 300 | 300 |
| Saturated | \sim/Vm | Maximum storage of fast flow reservoir (mm) | 5~30 | 23 |
| | η_{sn}/η_{sd} | Outflow coefficient of slow flow reservoir | 0.001~0.01 | 0.001/0.001 |
| | η_{fn}/η_{fd} | Outflow coefficient of fast flow reservoir | 0.01~0.15 | 0.13/0.01 |
| | ke_n/ke_d | Exchange coefficient between slow and fast flow reservoirs (10^{-4}) | 0.1~1 | 0.3/0.7 |
| | $\# \varphi_{sn}/\varphi_{sd}$ | Exchange coefficient between active and passive storages for slow flow | 0.1~0.5 | 0.26/NA |



| | | | |
|--------------------------------|--|-----|---------|
| $\# \rho_h / \rho_d$ | Exchange coefficient between active and passive storages for fast flow | | 0.24/NA |
| $\# V_{sh, pas} / V_{sd, pas}$ | Passive storage for slow flow (mm) | 300 | 300/300 |
| $\# f_{h, pas} / V_{fd, pas}$ | Passive storage for fast flow (mm) | | NA/NA |

Note: the upper and lower parameters and values in */* represent those in hillslope and depression, respectively; the parameters indicated by # refer to those used for isotope concentration simulation. NA represents not available.

415 4 Results and Discussion

4.1 Performance of models during calibration and validation periods

Our results (Table 5 and Fig. 5) show that most models obtain a higher KGE_q but a lower KGE_c , though models *c*, *f*, *g* and *j* give both higher KGE_q and KGE_c (>0.5) in the calibration and validation periods. Particularly, the model *f* (adding two passive storages in the fast and slow flow reservoirs in the hillslope unit) performed the best in matching discharge and isotopic concentration. The average KGE_q and KGE_c from model *f* are higher than 0.6 in the calibration and validation periods and $Abias_q$ is relatively small (Table 5). Meanwhile, the model *f* gives a more constrained range of KGE_q , KGE_c and $Abias_q$ from the 30 sets of optimal solutions (Fig. 5), further suggesting a lower uncertainty of the calibrated model parameters. The average isotope value of the outlet discharge simulated by model *f* is -61.5% , with a range of -75.9% ~ 36.3% , which is close to the observed values (-61.3% for the average, and a range of -76.8% ~ -45.5%) in the calibration period. Moreover, model *f* can generally capture the flood peaks (Fig.6) and the isotope (δD) variations (Fig. 7).

430 The calibrated parameter values for the model *f* are listed in Table 4. These parameter values reasonably delineate the hydrological features of karst landforms. For example,



the calibrated k_s are 0.24 and 0.31 respectively for hillslope and depression units, suggesting about 80% of net precipitation recharging into fast flow reservoir through large fracture and sinkhole in terms of $I_f/R=(1-\alpha)P/R+(1-k_s)\alpha$. The 80% of fast flow is
435 consistent with the numerical results by Zhang et al (2011) independently derived using a distributed model that takes account of the role of sinkholes in facilitating fast flow recharge into the aquifer in the studied catchment. Worthington et al. (2000) also revealed that more than 90% of fast flow component in four typical karst aquifers in Kentucky, USA. The outflow coefficient of fast flow reservoir η_f (0.13/0.01 for the
440 hillslope/depression in Table 4) is much greater than that of slow flow reservoir η_s (0.001/0.001), especially for the hillslope unit. This suggests that fast flow discharge is much more sensitive to active storage variability than slow flow discharge since $Q=\eta_s V$. The large proportion of the fast flow component and significant variability in hillslope unit could result in pronounced mixing of isotope (D) signatures in various
445 fractures and conduits due to dispersion and diffusion.

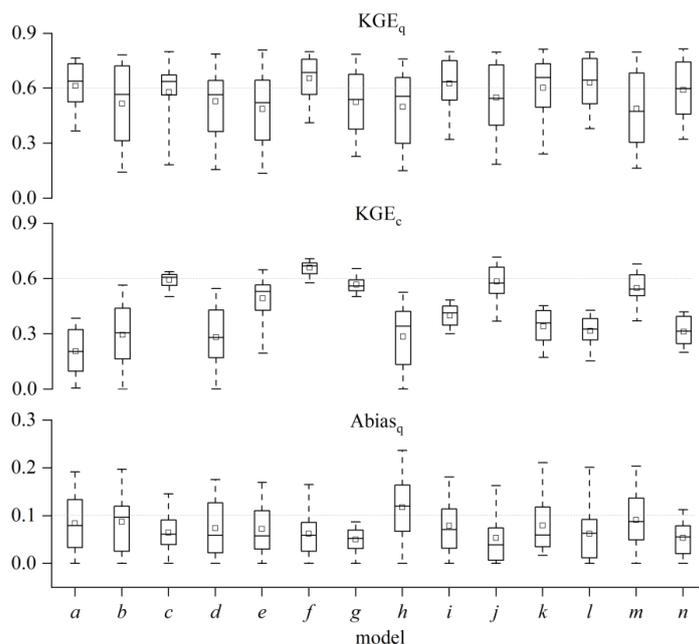
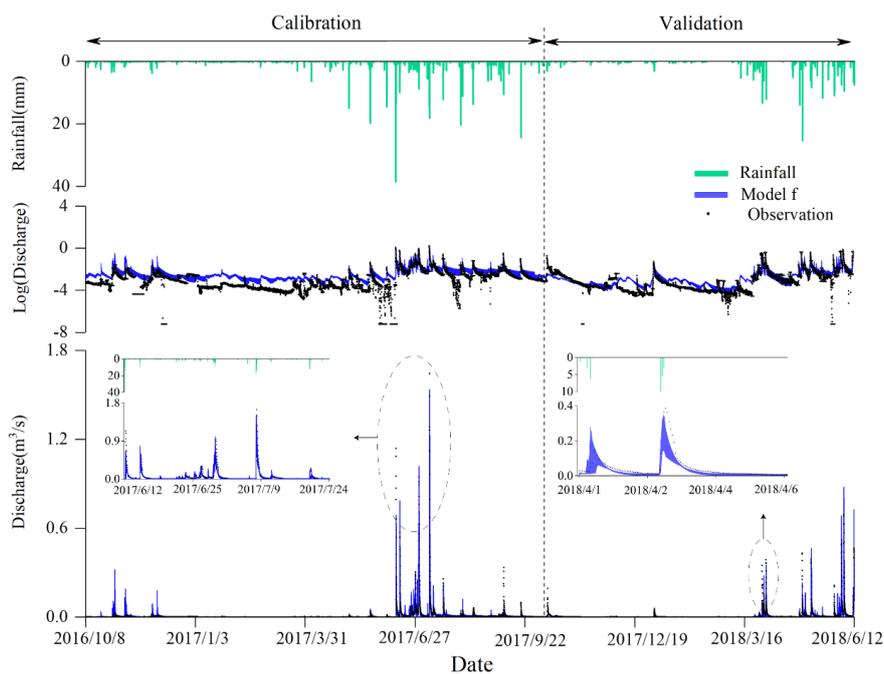


Figure 5. The box-plot of the 30 optimal solutions for the objective functions of KGE_q , KGE_c and $Abias_q$ obtained from parameter calibration of 14 models





450 **Figure 6.** Simulated discharge concentrations of the 30 sets of optimal solutions by model *f* in calibration and validation periods.

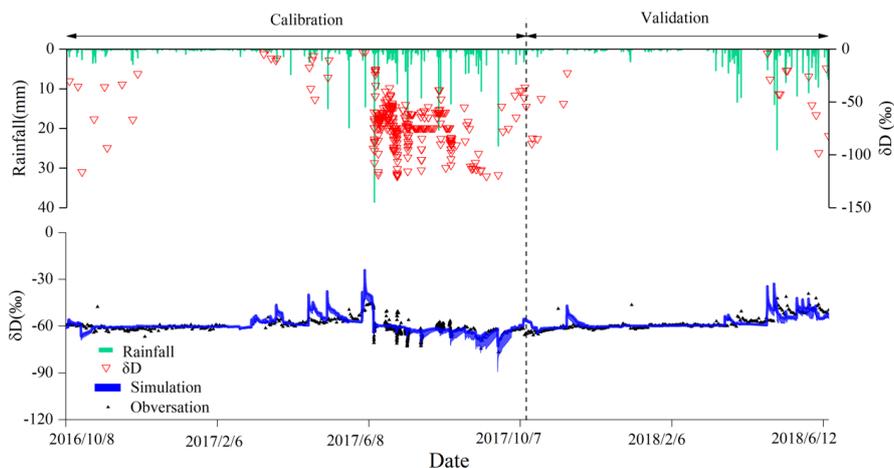


Figure 7. Simulated isotope concentrations of the 30 sets of optimal solutions by model *f* in calibration and validation periods.

455

Table 5. The model performance based on the average of 30 optimal solution sets for individual model structure

| No. of Passive Storage | Model | Calibration | | | Validation | | |
|------------------------|----------|------------------|------------------|--------------------|------------------|------------------|--------------------|
| | | KGE _q | KGE _c | Abias _q | KGE _q | KGE _c | Abias _q |
| 0 | <i>a</i> | 0.61 | 0.21 | 0.08 | 0.65 | 0.53 | 0.18 |
| | <i>b</i> | 0.52 | 0.29 | 0.09 | 0.55 | 0.55 | 0.19 |
| 1 | <i>c</i> | 0.58 | 0.59 | 0.06 | 0.61 | 0.64 | 0.18 |
| | <i>d</i> | 0.53 | 0.28 | 0.07 | 0.56 | 0.56 | 0.21 |
| | <i>e</i> | 0.52 | 0.49 | 0.07 | 0.50 | 0.69 | 0.20 |
| 2 | <i>f</i> | 0.65 | 0.66 | 0.04 | 0.68 | 0.63 | 0.18 |
| | <i>g</i> | 0.52 | 0.57 | 0.05 | 0.53 | 0.60 | 0.21 |
| | <i>h</i> | 0.50 | 0.28 | 0.12 | 0.55 | 0.55 | 0.19 |
| | <i>i</i> | 0.63 | 0.40 | 0.08 | 0.65 | 0.55 | 0.16 |
| 3 | <i>j</i> | 0.55 | 0.58 | 0.05 | 0.55 | 0.52 | 0.23 |
| | <i>k</i> | 0.60 | 0.34 | 0.08 | 0.64 | 0.43 | 0.15 |
| | <i>l</i> | 0.63 | 0.32 | 0.06 | 0.64 | 0.32 | 0.18 |
| | <i>m</i> | 0.49 | 0.55 | 0.09 | 0.53 | 0.57 | 0.17 |
| 4 | <i>n</i> | 0.59 | 0.31 | 0.05 | 0.60 | 0.31 | 0.20 |



4.2 The effects of number of passive storages on flow and transport processes

460 Functionally, the passive stores have negligible effects on water flow but mostly
delay tracer transport through mixing. However, the models incorporating varying
number of passive storages in different flow reservoirs and landscape units produced
highly variable discharge simulations. This is demonstrated by the variations in KGE_q
(Table 5), i.e., KGE_q values vary in the ranges of 0.49 to 0.65, and 0.50 to 0.68 in
465 calibration and validation periods, respectively. The effect of different number of
passive storages on discharge simulations can be attributed to the Pareto-optimal
tradeoffs between the two objectives of KGE_q and KGE_c caused by the multi-objective
calibration. For example, KGE_q is negatively correlated with KGE_c based on the 30
optimal solution sets by the NSGA-II algorithm (Fig. 8). Consequently, the multi-
470 objective calibration gives a trade-off solution pair of 0.65 and 0.66 for KGE_q and KGE_c ,
respectively, for the calibrated model f . As expect, the models with different number of
passive storages affect extent of solute mixing and thus obtain different “best”
simulated outlet isotope concentrations and the “highest” values of KGE_c showed the
tradeoffs between KGE_c and KGE_q through poorer stream flow simulations (Table 5).

475 On the other hand, increasing model parameterization through additional passive
storage (e.g. the exchange coefficients of $\varphi_{sh}/\varphi_{sd}$ and $\varphi_{fh}/\varphi_{fd}$ in Table 4) alters the water
flux exchange and isotope concentration allocations between slow flow and fast flow,
which ultimately changes the relative contributions (proportion) of hydrological runoff
components (i.e., slow flow, fast flow and surface flow) to the catchment outlet
480 discharge. Here, in order to illustrate effects of the increasing model parameters on



KGE_c and KGE_q, we selected five representative models that incorporate 0–4 passive storages, respectively. Among these representative models (i.e., the most accurate model for a given number of passive storages), model *a* has no passive storage, and model *n* has 4 passive stores; the other three models, including model *c* (1 passive store), 485 *f* (2 passive store), and *j* (3 passive stores), give the highest KGE_q and KGE_c for each model group (Tables 3 and 5).

The partitioning of simulated outlet discharges by the five models are listed in Table 6. All models incorporating 0–4 passive storages have a high proportion of discharge from the fast flow system (i.e., fast flow discharge in hillslope, and the total of fast flow 490 and surface flow discharges at catchment outlet in Table 6). In terms of the outlet flow discharge components, model *f* gives 52% of underground channel flow (the total amount of fast and slow flow) and 48% of surface stream flow, which are close to observed values at the underground channel (55%) and surface stream (45%), respectively. This is additional evidence that model *f* can faithfully capture observed 495 flow discharge in the complex karst settings.

Effects of additional passive storages were also assessed by comparing contrasting pairs of numerical results with and without a passive storage in fast/slow flow reservoir. With an additional passive storage in fast flow reservoir, models (*c* and *n*) obtain a lower proportion of slow flow discharge and thus larger proportion of fast flow 500 discharge. For example, model *c* obtains 20% and 18% of slow flow in the hillslope and depression units, respectively, less than the respective 26% and 23% of the



benchmark model *a* (Table 6). Similarly, model *n* incorporates a passive storage in the fast flow reservoir for the depression unit. It gives 20% and 19% of the slow flow discharge in hillslope and depression units, respectively, less than 26% and 22% of the contrasting model *j*. The lower proportion of slow flow discharge and thus larger proportion of fast flow discharge given by model *c* and *n* result from the strengthened isotope mixing with an additional passive storage in fast flow reservoir that eventually leads to the enriched δD of fast flow and outlet discharge. This is supported by the fact that the δD of hillslope fast flow decreases from -61.6‰ for model *c* to -65.2‰ for model *a*, and δD of depression fast flow decreases from -61.5‰ for model *n* to -62.6‰ for model *j* (Table 7). Meanwhile, the δD of outlet discharge decreases correspondingly, while the means δD of slow flow in hillslope or depression unit from the contrasting models are nearly identical. Therefore, the enriched δD of outlet discharge due to the enrichment in fast flow suggests larger fast flow contributions and thus lower slow flow contributions.

In contrast, adding a passive storage in the slow flow reservoir gives a higher proportion of the slow flow discharge and lower fast flow contributions. This is supported by the numerical results for the contrasting pairs of models (e.g. model *j* vs. *f* in Table 6). That is, model *f* obtains 19% and 18% of the slow flow discharge in the hillslope and depression units, respectively, which are smaller than 26% and 22% of the slow flow discharge of model *j* with an additional passive storage in slow flow reservoir.



The large variability of δD can be damped by incorporating passive storage in fast/slow flow reservoir, this is supported by the ranges of δD from the contrasting pairs
525 of models. Adding more passive stores damps variability of δD and strengthens the enrichments of δD in almost all hydrological components. This is shown by the narrower ranges and less negative δD of the mean values at the outlet for models *c, f, j*, and *n* compared to the benchmark model *a* (Table 7). Consequently, the under-mixing with insufficient passive storage (e.g. models *a* and *c* in Table 7) cannot match the
530 damped δD values at the catchment outlet discharge. This is because: (a) the simulated δD variations by models *a* and *c* in Table 7 exceed the range of observed values (-76.8~-45.5‰), and (b) the simulated mean δD of flow discharge (-63.0 and -61.9‰ for models *a* and *c*, respectively, in Table 7) is more negative than the mean δD of observed values (-61.3‰).

535 On the other hand, over-mixing by adding more passive storages (e.g. models *j* and *n* in Table 7) leads to over-dampened δD values at the catchment outlet because the range of the simulated δD variability by models *j* and *n* are narrower than the range of observed values (Table 7). This further supports that model *f* with only two passive storages at hillslope might best capture the nature of the actual transport process in
540 terms of the dispersion and mixing of the isotope inputs.

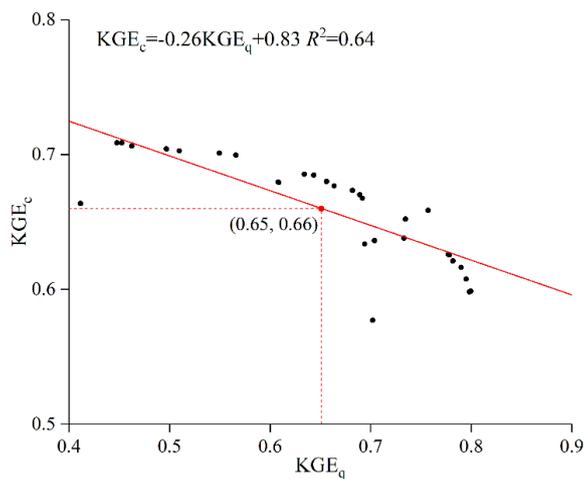


Figure 8. Relationship between KGE_q and KGE_c from the multi-objective calibration of model *f*.

545 **Table 6.** The proportions of flow components in the hillslope-depression-outlet continuum for the 30 optimal solution sets of the selected representative models during the calibration period (%)

| No. of Passive storage | Model | Hillslope | | | | Depression and catchment outlet | | | | | |
|------------------------------|----------|-----------|------|-----------|------|---------------------------------|------|-----------|------|--------------|------|
| | | Slow flow | | Fast flow | | Slow flow | | Fast flow | | Surface flow | |
| | | Range | Mean | Range | Mean | Range | Mean | Range | Mean | Range | Mean |
| 0 | <i>a</i> | 13~41 | 26 | 59~87 | 74 | 11~37 | 23 | 21~31 | 24 | 38~68 | 53 |
| 1 | <i>c</i> | 7~31 | 20 | 69~93 | 80 | 11~27 | 18 | 20~27 | 23 | 49~69 | 58 |
| 2 | <i>f</i> | 8~31 | 19 | 69~92 | 81 | 7~28 | 18 | 16~40 | 34 | 33~67 | 48 |
| 3 | <i>j</i> | 8~38 | 26 | 62~92 | 74 | 8~32 | 22 | 33~68 | 48 | 4~57 | 30 |
| 4 | <i>n</i> | 7~38 | 20 | 62~93 | 80 | 8~29 | 19 | 29~68 | 55 | 9~62 | 26 |

550 Note: the contrasting pairs of models (*c* vs. *a*, and *n* vs. *j*) reflect effect of fast flow reservoir with an additional passive storage on flow components; the contrasting pairs of models (*j* vs. *f*) reflect effects of slow flow reservoir with an additional passive storage on flow components.

555



560 **Table 7.** The simulated isotope values (‰) of flow components in the hillslope-
 depression-outlet continuum for the 30 optimal solution sets from the selected
 representative models during the calibration period

| No. of Passive storage | Model | Hillslope | | | | Depression and catchment outlet | | | | | | | |
|------------------------------|----------|-------------|-------|-------------|-------|---------------------------------|-------|---------------------------|-------|------------------|-------|------------------------|-----------------------|
| | | Slow flow | | Fast flow | | Slow flow | | Fast flow/Surface flow | | Catchment outlet | | Range (Observation) | Mean (Observation) |
| | | Range | Mean | Range | Mean | Range | Mean | Range | Mean | Range | Mean | | |
| 0 | <i>a</i> | -67.7~-55.8 | -62 | -108.2~-4.1 | -65.2 | -65.9~-58.6 | -61.5 | -104.2~-12.4 | -65.2 | -85.7~-22.0 | -63 | | |
| 1 | <i>c</i> | -67.3~-55.7 | -61.9 | -87.1~-6.8 | -61.6 | -66.5~-57.4 | -61.7 | -87.1~-34.5 | -62.4 | -78.4~-36.1 | -61.9 | | |
| 2 | <i>f</i> | -62.2~-57.2 | -59.9 | -87.3~-5.4 | -61.4 | -62.3~-58.4 | -60.6 | -85.3~-34.9 | -62.5 | -75.9~-36.3 | -61.5 | -76.8~-45.5 | -61.3 |
| 3 | <i>j</i> | -62.2~-57.3 | -60 | -87.4~-5.9 | -61.4 | -61.0~-59.2 | -60 | -90.2~-28.7 | -62.6 | -77.8~-33 | -61.6 | | |
| 4 | <i>n</i> | -62.8~-57 | -60 | -91.5~-1.5 | -61.7 | -61.4~-58.7 | -60 | -84~-27.9 | -61.5 | -77.2~-40 | -61.1 | | |

565 Note: the contrasting pairs of models (*c* vs. *a*, and *n* vs. *j*) reflect effects of fast flow reservoir with an
 additional passive storage on δD ; the contrasting pairs of models (*j* vs. *f*) reflect effects of slow flow
 reservoir with an additional passive storage on δD .



4.3 The effects of position of passive storage on flow and transport processes

570 The improved performance of simulations also strongly depends on the location of
passive storages in the flow system. For example, the simulations of models *c* and *e*
(with passive storage located in the hillslope/ depression fast flow reservoir) are better
than those of model *b* and *d* (passive storage set in the hillslope/depression slow flow
reservoir), and the simulations of model *f* (set passive storages in the slow and fast flow
575 reservoirs of hillslope) are better than those of model *g* (with passive storages in the
slow and fast flow reservoirs of depression). The importance of storage distribution has
been reported in previous studies. Capell et al. (2012) initially used a tracer-aided model
with four passive storages in upland and lowland units to simulate flows and tracer
transport in the North Esk catchment in northeast Scotland. Their analysis identified
580 only three passive storages were necessary, as the passive storage in shallow zone for
the upland unit was negligible as sufficient damping was available in the dynamic
(active) storage. Clearly, location of passive storage is an important component of
model structure, while optimizing the number of storage balances minimizing model
complexity and uncertainty, while still improving simulation performance of both flow
585 and tracers.

Here, based on comparison of the 14 model performances, adding passive storage in
the fast flow reservoir and the hillslope unit are more efficient for simulating flow and
isotope dynamics. For instance, the models *c*, *f* and *j* with passive storage in the fast
flow reservoir and/or hillslope unit obtains the highest simulation accuracy (the sum of
590 KGE_q and KGE_c in Table 5) among the models incorporating 1~3 passive storages.



Comparatively, model f incorporating passive storages into hillslope unit gives best overall simulations of outlet flow and isotopes.

4.4 The dominant transport processes: advection, dispersion or molecular diffusion?

595 Generally, the transport process is governed by advection with the tracer travelling with water, as well as molecular diffusion in a slow velocity (or immobile) zone, and by hydrodynamic dispersion in a fast velocity (or mobile) zone (Karadimitriou et al., 2016, Schumer et al., 2003, Wang et al., 2020). The dominance of such transport processes is often assessed by the Peclet number (Pe), a dimensionless metric defined
600 as the ratio of advective flux to the dispersive/diffusive flux (Bear, 1972), which requires information about the dispersion coefficient. Zhao et al. (2019, 2021) used a transient storage model (TSM) to study the tailing of breakthrough curves (BTCs) of tracers in karst conduits, with experimental results suggesting that the dispersion coefficient was positively correlated not only with the flow velocity, but also with the
605 number of storage zones. Fiori et al. (2008) also found that the dynamics of solutes in catchments are strongly influenced by the advective and dispersive processes. Indeed, the dominance of the hydrodynamic dispersion is widely found in the flow-conductive (preferential flow) zones (Roubinet et al., 2012).

Given the dominance of advection and dispersion over diffusion, the mass exchange
610 flux (EGM) between active and passive storages should be dominated by the heterogeneity of the flow system. This is extreme in karst flow systems, where higher and lower flow velocity zones typically co-exist with strong heterogeneity between



micropore, fracture and conduit media with permeability ranging across several orders of magnitude and the conductivity of the rock fractures decreasing with depth (Fig. 1d) (Zhang et al., 2011). In these circumstances, the spatial variations in advection speed are a major mechanism underlying macro-dispersion at catchment scale (Kirchner et al., 2001).

Both the hillslope and depression units represent large-scale heterogeneities in subsurface conductivity, but the depression unit has a higher flow velocity and the longer flow paths to the outlet. Tracers landing farther from the stream at the hillslope unit will undergo more dispersion (Kirchner et al., 2001). In terms of the Peclet number $Pe = vL/2D$ (L is the hillslope/depression length, v is the advective velocity, and D is the diffusion constant), Pe for the hillslope flow could be much larger than that for the depression flow. Therefore, the macro-dispersion at the hillslope unit could dominate subsurface transport. The effect of the dominated dispersion on solute mixing at the hillslope unit is supported by large EGM in Table 8. Adding passive storages in the hillslope unit with mechanical mixing has a major effect on isotope transport, which significantly improves model performance.

Table 8. The simulated $|EGM|$ ($m^3 \%$) of flow components in the hillslope-depression-outlet continuum for the 30 optimal solution sets from the selected representative models during the calibration period

| No. of Passive storage | Model | Hillslope | | | | Depression and catchment outlet | | | |
|------------------------------|----------|-----------|------|-----------|------|---------------------------------|------|-----------|------|
| | | Slow flow | | Fast flow | | Slow flow | | Fast flow | |
| | | Range | Mean | Range | Mean | Range | Mean | Range | Mean |
| 1 | <i>c</i> | NA | NA | 0~72460 | 101 | NA | NA | NA | NA |
| 2 | <i>f</i> | 0~19463 | 25 | 0~60737 | 99 | NA | NA | NA | NA |



| | | | | | | | | | |
|---|----------|---------|----|---------|----|--------|---|--------|----|
| 3 | <i>j</i> | 0~38362 | 35 | 0~61267 | 98 | 0~4799 | 7 | NA | NA |
| 4 | <i>n</i> | 0~31391 | 26 | 0~43947 | 89 | 0~6324 | 7 | 0~8985 | 46 |

Note: NA represents not available.

635 5 Conclusions

In this study, we developed and tested a coupled flow-tracer model for simulating discharge and isotope signatures for the cockpit karst landscapes represented as a “hillslope-depression-outlet” continuum. We tested 14 simulation cases with alternative model structures by varying the number and locations of passive storage in the fast/slow
640 flow reservoirs of hillslope/depression units. The model structures and parameters were optimized by using a multi-objective optimization algorithm to match the observed discharge and isotope dynamics in the Chenqi catchment of southwest China.

We found that models with additional passive storages can improve performance in matching isotope dynamics to some extent. In the study catchment, an optimal model
645 structure was found incorporate only two passive storages in both the fast and slow flow reservoirs in the hillslope unit. Adding only one or more than two passive storages achieves suboptimal results that is supported by the values of KGE_q , KGE_c and $Abias_q$.

The optimal model structure reflects that the outlet discharge and tracer dynamics are mostly controlled by flow and transport processes in the hillslope. Moreover, since
650 the hillslope fast flow system contributes about 82% of the outlet discharge, and the mean δD (-61.5‰) and variation of the catchment outlet discharge is close to that of hillslope fast flow (-61.4‰). Consequently, we propose that transport process in the



Chenqi catchment are dominated by advection and dispersion processes mediated by the heterogeneity of flow systems.

655 Characterizing the dynamics of flow paths in complex geological settings, and considering active and passive zones the hillslopes and depressions of karst landscapes, is central for better understanding fluid flow and solute transport processes. This study provided evidence that the protection of hillslope environments is significant for the prevention of disasters, such as droughts, floods and contamination in karst landscapes.

660

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Data availability: The discharge and isotope data that support the findings of this study can be shared after the ending of our project according to the project executive policy.

665 Anyone who would like to use the data can contact the corresponding author.

Code availability: The code that support the findings of this study is available from the corresponding author upon reasonable request.

Declaration of Competing Interest: I declare that neither I nor my co-authors have any competing interest.

670

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