Impact of Spatial Distribution Information of Rainfall in Runoff Simulation Using Deep-Learning Methods

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Abstract. Rainfall-runoff modelling is of great importance for flood forecast and water management. Hydrological modelling is the traditional and commonly used approach for rainfall-runoff modelling. In recent years, with the development of artificial

- 10 intelligence technology, deep learning models, such as the long short-term memory (LSTM) model, are increasingly applied to rainfall-runoff modelling, However, current works do not consider the effect of rainfall spatial distribution information on the results. Focusing on ten catchments from the CAMELS dataset, this study first compared the performance of LSTM with different look-back windows (7, 15, 30, 180, 365 days) for future 1-day flows and for future multi-day simulations (7,15 days). Secondly, the differences between LSTMs as individual models trained independently in each catchment and LSTMs as
- 15 regional models were also compared across ten catchments. All models are driven by catchment mean rainfall data and spatially distributed rainfall data, respectively. The results demonstrate that regardless of whether LSTMs are trained independently in each catchment or trained as regional models, rainfall data with spatial information improves the performance of LSTMs compared to models driven by average rainfall data; that the LSTM as a region model did not obtain better results than LSTM as individual model in our study. However, we found that using spatially distributed rainfall data can reduce the difference
- 20 between LSTM as a regional model and LSTM as an individual model. In summary (a) adding information about the spatial distribution of the data is another way to improve the performance of LSTM where long-term rainfall records are absent and (b) understanding and utilizing the spatial distribution information can help improve the performance of deep learning models in runoff simulations.

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Deleted: . However, current works do not consider the effect of rainfall spatial distribution information on the results, and the same look-back window is applied to all the inputs. Focusing on two catchments from the CAMELS dataset, this study first analyzed and compared the effects of basin mean rainfall and spatially distributed rainfall data on the LSTM models under different look-back windows (7, 15, 30, 180, 365 days). Then the LSTM+1D CNN model was proposed to simulate the situation of short-term lookback windows (3, 10 days) for rainfall combined with the long-term look-back windows (30, 180, 365 days) for other input features. The models were evaluated using the Nash Sutcliffe efficiency coefficient, root mean square error, and error of peak discharge. The results demonstrate the great potential of deep learning models for rainfall runoff simulation. Adding the spatial distribution information of rainfall can improve the simulation results of the LSTM models, and this improvement is more evident under the condition of short look-back windows. The results of the proposed LSTM+1D CNN are comparable to those of the LSTM model driven by basin mean rainfall data and slightly worse than those of spatially distributed rainfall data for corresponding look-back windows. The proposed LSTM+1D CNN provides new insights for runoff simulation by combining short-term spatial distributed rainfall data with long-term runoff data, especially for catchments where longterm rainfall records are absent

1 Introduction

- 55 Rainfall-runoff simulations are vital for watershed water resources management and risk analysis (Montanari, 2005; Neitsch et al., 2011). In addition, rainfall-runoff simulation plays an increasingly important role as a technical basis for hydrological forecasting due to the frequent occurrence of extreme hydrological events caused by climate change(Grayman, 2011; Panagoulia and Dimou, 1997). As the most widespread and essential tool for water science research, hydrological model plays a pivotal role in the rainfall-runoff simulation (Krause et al., 2005; Sood and Smakhtin, 2015). The development of
- 60 hydrological models cannot be separated from the continuous research on hydrological processes. It is on the basis of the continuous understanding of hydrological processes that hydrological researchers have enough theoretical basis for building models that describe the interrelationship between the various hydrological elements and can simulate the overall hydrological cycle. The development of hydrological models has gone through two main stages, namely, lumped hydrological models and distributed hydrological models (Devia et al., 2015). For example, the Stanford model is the first lumped hydrological model
- 65 with a solid theoretical basis (CRAWFORD and H., 1966). In 1977, British, Danish and French researchers jointly proposed the SHE hydrological model, which is the first generation of distributed hydrological models (Sahoo et al., 2006). The Variable Infiltration Capacity (VIC) is a large-scale distributed hydrological model developed by the University of Washington, the University of California at Berkeley, and Princeton University (Liang et al., 1996). The distributed VIC model is based on the idea of gridding to achieve distributed simulation of watersheds.
- 70 However, the fact that we cannot accurately describe every process of the hydrologic cycle leads to the necessary simplifications in the hydrologic model calculation process, which is one of the contributing factors to simulation errors. Since models based on physical mechanisms cannot fully describe the physical processes of the hydrologic cycle, researchers started to explore data-driven models for hydrologic modelling (Solomatine and Ostfeld, 2008). For example, Support Vector Machines (SVMs) are often used to manage the processing of hydrological model input data or to perform hydrological
- 75 simulations directly due to their advantages in processing nonlinear problems (Ahmad et al., 2010; Sivapragasam et al., 2001). Artificial neural networks (ANNs) are a type of machine learning method that have been used for hydrological modelling since the 1990s. In the following years, more research has demonstrated that ANN models can achieve comparable results to physical models while requiring less data (Chang et al., 2015; Ömer Faruk, 2010). Although the robustness of ANN models needs to be further investigated, the ability of ANNs to capture the nonlinearity associated with hydrologic applications has led to its widespread use (Ghumman et al., 2011).

In recent years, due to the development of deep learning techniques, such as LSTM in natural language processing and time series data, these techniques have also been widely used in simulation of rainfall-runoff. Among these, LSTM has garnered more attention of researchers due to its suitability for processing and predicting events with very long intervals and delays in time series. For example, (Hu et al., 2018) compared the difference between ANN and LSTM in simulation of flood events,

- 85 and the results show that LSTM models perform significantly better than ANN models. (Kratzert et al., 2018) trained LSTM models with rainfall-runoff data from several watersheds, demonstrating the potential of LSTM as a regional hydrological
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model, one of which can predict flows in various watersheds. A LSTM model was also used in combination with Sequenceto-Sequence to simulate the discharge for the next few hours (Xiang et al., 2020). (Gauch et al., 2020) 's study illustrated that LSTM can process different input variables at different time scales, (Gauch et al., 2021) used LSTM as a regional model and

90 studied the relationship between LSTM and training period length, number of training basins. (Gao et al., 2020) compared RNN, LSTM, and TheGated Recurrent Unit (GRU) network. Their results show that accuracy of LSTM and GRU models gradually improves and stabilizes with the increase of time step.

In summary, the goal of this study way: Firstly, the deep learning model represented by LSTM for rainfall-runoff simulation does not focus on the spatial distribution information of rainfall. It is known that rainfall is the most direct and influential factor

95 on the formation of runoff. Current research mostly uses surface-mean rainfall to drive LSTM models, which to some extent loses the spatial distribution information of rainfall, which in a physical sense has a very important impact on the formation of runoff, especially the formation of peak discharge.

Second, there are two main ways to use the LSTM for runoff simulation. First, the LSTM is trained separately using data from each catchment. Secondly, the LSTM is used as a regional model, combining data from the catchments in the region while

100 training the regional model. The latter has received a lot of attention because it can increase the amount of training data. However, current studies do not compare LSTMs as individual models trained independently and LSTMs as regional models driven by different types of rainfall data.

Third, there are different types of LSTM models such as 'many to one', which is to predict the value of the next time step using the data of the past *n* time steps and 'many to many', which is to predict the value of the future multiple steps using the

105 data of the past *n* time steps. The current research on rainfall-runoff simulation by LSTM mainly uses the 'many to one' type. Analysing the performances of 'many to many' type of LSTM can help better apply the LSTM model to rainfall-runoff simulation

The main objective of this study is to explore the difference between the results obtained using the LSTM model driven by rainfall data with spatial distribution information and the LSTM model driven by basin mean rainfall data. We focus not only

110 on the simulation of the next one-time step, but also on the simulation of multiple future time steps. We also compare the differences between LSTM as an individual model and as a regional model.

The paper is structured is as follows. Section 2 describes the data, the model structure, and the experimental design. Section 3 analyses and discusses results. Section 4 provides concluding remarks and discusses future research.

2 Methods and Dataset

115 2.1 The CAMELS Dataset

In this study, we use the CAMELS HYDROMETEOROLOGICAL TIME SERIES from the National Center for Atmospheric Research (NCAR) (Addor et al., 2017; Newman et al., 2015). The dataset contains lumped meteorological forcing data and observed <u>discharges</u> on a daily time scale starting in 1980 for most basins. Lumped meteorological forcing data were mainly

Deleted: . CNNs are another deep learning approach that have received increasing attention in recent years (Shen, 2018) . CNNs are often used to process data with spatial distribution information, such as images. Some studies have combined CNN and LSTM in the hope of better processing spatio-temporal data mining, such as rainfall-runoff simulation. (Wang et al., 2019). For example, (Liu et al., 2021) first used CNN to process the input data at each time step, and then fed the resulting vectors into LSTM, and the results showed that the model performed better in simulating the peak flood

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Deleted: the length of sequence of different input data for hydrological modelling by LSTM is consistent. The advantage of LSTM is that it can perform better in longer sequences than a normal Recurrent Neural Network (RNN). However, if this problem is looked at from the point of view of the physical mechanism of rainfall-runoff formation, for example, only the rainfall that occurred in the previous few days usually has an impact on the current moment of discharge. Rainfall that occurred many days prior may not have an impact on the current runoff, and this length of time varies with the characteristics of the watershed. If we use LSTM to process a long series of discharge data, combined with rainfall data from the previous few days, we may get a better simulation result

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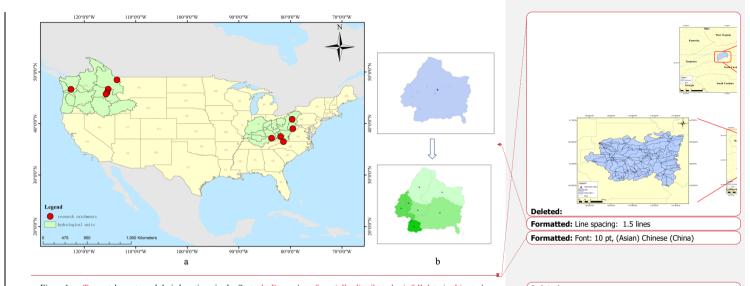
- 155 calculated from three grid data sources, namely Daymet (Thornton et al., 2014), Maurer (Livneh et al., 2013), and NLDAS (Xia et al., 2012). We used the Daymet data in this study since it has a resolution of 1 km, which is better than the other two. CAMELS contains a total of 671 catchments with minimal anthropogenic disturbance in the contiguous United States (CONUS). All catchments are divided into 18 hydrologic units (HUCs) according to the U.S. Geological Survey's HUC map. In this study, we selected 10 catchments, 5 from the Ohio region and 5 from the Pacific Northwest. The Ohio region is located
- 160 in the east and the Pacific Northwest is located in the west, which can better describe the different hydrological conditions. For each catchment, CAMELS has the basin mean forcing (lump) dataset, which includes the driving data when using the lumped hydrologic model. These are: (i) daily cumulative rainfall, (ii) daily minimum air temperature, (iii) daily maximum air temperature, (iv) mean short-wave radiation, and (v) vapor pressure. Here the daily cumulative rainfall is treated as the basin mean rainfall data without spatial distribution information. For each catchment, CAMELS also includes the hydrologic
- 165 response units it contains. As can be seen in Figure 1, instead of using the catchment mean rainfall data (see the top of Figure 1b), we extract the rainfall of all hydrologic response units in the catchment to form a vector. The bottom of Figure 1b shows that the catchment has 8 hydrologic response units from which we extract the corresponding 8 rainfall data to form a vector of size 8. Since the values in this vector represent rainfall information at different locations in the catchment, our assumption is that the vector is rainfall data with spatial distribution information. We extracted the rainfall data of each hydrologic response
- 170 unit and created a dataset for the corresponding catchment, and regarded it as rainfall with spatial distribution information. The locations of the <u>ten catchments</u> are shown in Fig. <u>1a</u>. <u>Table 1 shows the basic information on each catchment and the size</u> of the corresponding spatially distributed rainfall data.

ID	Region name	Code	<u>Area</u> (km ²)	<u>Mean</u> precipitation (mm day-1)	Daily minimum air temperature (C)	No. of HRU
<u>1</u>	Ohio	03164000	46.15	<u>3.66±8.07</u>	<u>4.20±8.52</u>	<u>64</u>
<u>2</u>		03069500	<u>58.41</u>	<u>4.00±6.69</u>	<u>2.07±0.24</u>	<u>32</u>
<u>3</u>		03070500	<u>64.72</u>	<u>3.67±6.58</u>	<u>3.84±9.30</u>	<u>8</u>
<u>4</u>		03213700	<u>59.10</u>	<u>3.47±6.43</u>	<u>5.54±8.91</u>	<u>41</u>
<u>5</u>		03281500	<u>69.22</u>	<u>3.76±7.52</u>	<u>6.26+9.15</u>	<u>27</u>
<u>6</u>	Pacific	<u>13340000</u>	73.87	<u>2.96±4.32</u>	<u>-1.44±6.88</u>	<u>193</u>
<u>7</u>	Northwest	12025000	33.43	<u>4.58±7.21</u>	<u>4.82±4.86</u>	12
<u>8</u>		<u>12358500</u>	<u>81.11</u>	<u>3.36±5.18</u>	<u>-2.38±8.14</u>	<u>36</u>
<u>9</u>		<u>13337000</u>	<u>89.69</u>	<u>3.61±5.54</u>	<u>-1.56±6.99</u>	<u>34</u>
<u>10</u>		<u>13338500</u>	<u>73.75</u>	<u>2.37+3.75</u>	<u>-1.34±6.95</u>	<u>41</u>

Table 1. Overview of the selected catchments; for precipitation and temperature, mean and standard deviation is reported.

Deleted: In this study we selected two of these catchments and the hydrologic response units they contain. The basin ID for Catchment 1 is 03164000 (NEW RIVER NEAR GALAX, VA) and the ID for Catchment 2 is 13340000 (CLEARWATER RIVER AT OROFINO, ID)

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	In this study, Catchment 1 had a total of 64 hydrologic nits, while Catchment 2 had a total of 194 hydrologic nits.
represent th	. For Catchment 1, we used a vector of size 64 to e rainfall data with spatial distribution information, and ent 2, the vector has a size of 194
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Figure 1. a: Ten catchments and their locations in the State; b: Examples of spatially distributed rainfall data in this study,

In addition, CAMELS data include simulation results from the hydrologic model, which is the Snow-17 models coupled with the Sacramento Soil Moisture Accounting Model. For each catchment, 10 models were calibrated by root mean squared error (RMSE) as the objective function, and the model with the lowest RMSE was selected for validation. In this study we use the results of this model as benchmark to compare with the results of LSTM in Experiment 1,

210 2.2 Long-short term memory network

RNN is one of the most frequently used models when using deep learning to deal with temporal problems, and the reason why RNN performs well on temporal data is that the input to RNN is the hidden node at time t - 1 as the current time and the previous information at time t. The main problem with RNN models is the occurrence of long-term dependencies, which arises when the nodes of a neural network have gone through many time steps of computation and the features from a relatively long

215 time ago have been covered by the latest features (Sherstinsky, 2020). The motivation for a LSTM model is to solve the problem mentioned above. As the name implies, Long Short Term Memory is a neural network with the ability to remember both long and short-term information. LSTM was first proposed by (Hochreiter and Schmidhuber, 1997) in 1997, and due to the rise of deep learning in 2012, LSTM has gone through several generations, resulting in a more systematic and complete LSTM framework that has been widely used in many fields. The reason why LSTM can solve the long-term dependency

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problem of RNN is that LSTM introduces the gate mechanism for controlling the delivery and loss of features. The basic structure of LSTM is shown in Fig. 2.

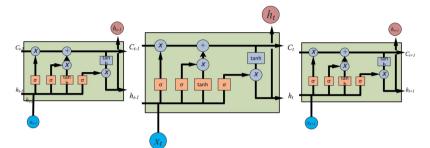


Figure 2. Basic LSTM layer structure with a detailed calculation illustration shown in the LSTM cell at time step t

Whenever a flow passes through a LSTM cell, there are actions that determine what old information is discarded and what 230 new information is added. The structure that controls the addition and subtraction of information to and from the cell state is called gates. There are three such gates in a LSTM cell, namely forget gate, input gate, and output gate.

The forget gate determines which information needs to be noted and which can be ignored. The information from the current input x_t and the hidden state h_{t-1} is passed through the sigmoid function. Sigmoid generates a value between 0 and 1, which can be used to describe whether a part of the old output is necessary (by bringing the output closer to 1). This value of f(t) is the output of forget gate.

$$f_t = \sigma \cdot (W_f \cdot [h_{t-1}, x_t] + b_f) \tag{1}$$

The input gate performs two steps to update the cell state. First, the current state x_t and the previously hidden state h_{t-1} are passed to a second sigmoid function. Next, the same information about the hidden state and the current state is passed through the tanh function. To regulate the network, the tanh operator creates a vector c_t where all possible values are between -1 and 1.

$$i_t = \sigma \cdot (W_i \cdot [h_{t-1}, x_t] + b_i) \tag{2}$$

$$e_{\overline{t}} = tanh \cdot (W_c \cdot [h_{t-1}, x_t] + b_c)$$
(3)

240 The next step is to decide and store the information from the new state in the cell state c_t . The previous cell state c_{t-1} is multiplied by the forget vector f_t . If the result is 0, the information is removed from the cell state. Next, the network takes the output value of the input vector i_t , which updates the cell state and thus provides the network with a new cell state c_t .

$$c_t = c_{t-1} \odot f_t + c_{\overline{t}} \odot i_t \tag{4}$$

The output gate will determine the value of the next hidden state, which contains information about the previous input. First, the model passes the current state and the value of the previous hidden state to a third sigmoid function. The resulting new cell

245 state is then passed through the tanh function. Based on this output value, the network decides what information the hidden

state should have. This hidden state is used for output. The new cell state and the new hidden state are transferred to the next time step.

$$o_t = \sigma \cdot (W_o \cdot [h_{t-1}, x_t] + b_o) \tag{5}$$

$$h_t = o_t \odot \tanh(c_t) \tag{6}$$

In summary, the forget gate determines what relevant information from previous steps is needed. The input gate determines what relevant information can be added to the current step, and the output gate ultimately determines the next hidden state. In the formula above, Ws are the weight vectors for different gates (W_f for forget gate, W_i for input gate, W_c for output gate, and W_o for gate unit). bs are the bias vectors for different gates (b_f for forget gate, b_i for input gate, b_c for output gate, and b_o for gate unit). tanh is hyperbolic tangent activation function, and σ is sigmoid activation function.

2.3 Performance Evaluation Criteria

260 In this study, the performance of each model is evaluated by statistical error measurements and characteristics of discharge process error including Nash-Sutcliffe efficiency coefficient and root mean square error.

The Nash-Sutcliffe efficiency coefficient (NSE) is generally used to verify the goodness of the hydrological model simulation results. NSE is calculated as follows:

$$NSE = 1 - \frac{\sum_{1}^{n} (f^{t} - q^{t})^{2}}{\sum_{1}^{n} (q^{t} - \bar{q}^{t})^{2}}$$
(12)

where f^t is the model simulation discharge at time t, q^t is the observed discharge at time t, and \bar{q}^t is the mean of observed discharge. NSE takes the value of negative infinity to 1. NSE close to 1 means that the model quality is good and credible; NSE close to 0 means that the simulation results are close to the mean level of the observed values, i.e., the overall results are credible, but the simulation error is large; if NSE is much less than 0, the model is not credible.

The RMSE assesses how well the predictions match the observations. Depending on the relative range of the data, values can range from 0 (perfect fit) to $+\infty$ (no fit). RMSE is calculated as follows:

$$RMSE = \int \frac{\sum_{i=1}^{n} (f^{t} - q^{t})^{2}}{n}$$
(13)

270 where f^t is the model's simulation discharge at time t, q^t is the observed discharge at time t. n is the length of the sequence.

We also used the error of peak discharge (EPD) to measure the ability of the model to simulate peak discharge. Since there are multiple peak discharges in the sequence, we use the mean of all peak discharge EPDs as an indicator. EPD can be calculated as follows:

$$EPD = \frac{1}{n} \cdot \sum_{j=1}^{n} \frac{\left| f_{p}^{t} - q_{p}^{t} \right|}{q_{p}^{t}} \cdot 100\%$$
(14)

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Deleted: ¶ 2.3 Hybrid Model (LSTM + 1D CNN)¶

As described above, we aim to process long look-back windows of meteorological data (excluding rainfall) and discharge by LSTM, while we intend to consider the spatial distribution information of rainfall with shorter look-back windows, and finally combine spatial feature and temporal feature to realize rainfall runoff simulation. The general idea can be expressed as follow:

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where q_p^t is the observed peak discharge at time *t*, f_p^t is the modelled peak discharge at time *t*. *n* is the number of peak discharges in the dataset.

2.5 Experimental Setup

Considering the start and end times of rainfall data for all stations in the two catchments, for each catchment, we have a total of 11680 daily data, which is from Jan. 1, 1980 to Dec. 23, 2011. These data are divided into three parts, 70% of the data are used for model training, 20% for model validation, and 10% for model testing. All data are normalized before being imported 290 into the model. In this study, we set up three experiments. Experiment 1 and Experiment 2 are used to study the performance of LSTM for 'one time step output'. In Experiment 1, LSTM was trained as an individual model in each catchment separately. For each catchment, we used catchment mean rainfall data and spatially distributed rainfall data as input rainfall data, respectively. We considered different lengths of the input sequence, mainly 7 days, 15 days, 30 days, 180 days, and 365 days. In Experiment 2, LSTM is used as regional model. Specifically, training data from catchment 1-5 are combined to train regional 295 model 1, and training data from catchment 6-10 are combined to train regional model 2. The trained regional model is used for the corresponding catchment in order to test the effect of the model. For each regional model, we use different types of rainfall data, separately. Experiment 3 was designed to examine the performance of LSTM for 'n time step output'. In Experiment 3, the look-back window of the LSTM is set to 365 days based on the results of the first two experiments. We examined the model for the next 7 and 15 days and considered the difference between LSTM as an individual model for each 300 catchment and a regional model. Each model is also driven separately using different rainfall data. We use M for meteorological data including daily minimum air temperature, daily maximum air temperature, mean short-wave radiation, and vapor pressure; D for discharge data, and P for rainfall data. The input data and output data for the three experiments (Experiments 1-3) are shown in Table 1. In all experiments, we used a two-layer LSTM structure with a cell/hidden state of 128 for each layer. The dropout rate is set at 0.2 in the experiment, and the batch size is 128. For each training procedure in 305 the three experiments, the number of epochs is 200. We repeated each training procedure 10 times and selected the best

performing model parameters by validation data for the future test.

		Table 2. Input data, output data, a	nd <u>training process</u> for <u>three</u> expe	riments
ID	Input data	Type of rainfall	Training process	Output
<u>Exp. 1</u>	М, Р	1. basin mean rainfall data 2. spatially distributed rainfall	1. LSTM as individual model for each catchment	D for the next one day
<u>Exp. 2</u>	М, Р	<u>1. basin mean rainfall data</u> <u>2. spatially distributed rainfall</u>	2. LSTM as regional model	D for the next one day
<u>Exp. 3</u>	М, Р	1. basin mean rainfall data 2. spatially distributed rainfall	<u>1. LSTM as individual model</u> for each catchment/ 2. LSTM as regional model	D for the next few days (7/15 days)

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M for meteorological data including daily minimum air temperature, daily maximum air temperature, mean short-wave radiation, and vapor pressure; *D* for discharge data; and *P* for rainfall data. We trained and tested four experiments (Experiments 1-4) as shown in Table 1.5

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	3 Results and Discussion	Deleted: Experiment 1 and Experiment 3 are 'one time step output'
335	3.1 Comparison of the results from different types of rainfall driven data for 'one time step output' simulation using LSTM as individual model (Experiment 1),	simulations, which means that these two experiments are used to simulate the next day discharges. In Experiment 1, we consider 5 different look- back windows, 7 days, 15 days, 30 days, 180 days, and 365 days. We used basin mean rainfall data and spatially distributed rainfall driven LSTM models, respectively, with the aim
	In Experiment 1, each catchment is trained separately. We compared the model results for different look-back windows driven	of investigating whether rainfall data with spatial distribution information would improve the simulation accuracy of the model.
	by different types of rainfall data. The simulation results of the hydrological model are also placed in each table for comparison.	In Experiment 3, we consider 2 different look-back windows for
	Table 3 shows the performance of Experiment 1 driven by catchment mean rainfall data. From the table, we can see that there	rainfall, which are 10 days and 3 days, and 3 different look-back windows for other input, which are 30 days, 180 days and 365 days.
340	is a gradual improvement in the performance of the simulation as the length of look-back windows increase. Except for	We used the proposed LSTM+1D CNN model to investigate whether processing short-term rainfall data by 1D CNN, combined
	catchment 7 where the best model occurs at look-back windows of 30 and 180, the best results for all other catchments take	with other driving data by LSTM, would improve the simulation accuracy of the model.
	place at look-back windows of 180 and 365 days. The catchment with the largest improvement in RMSE is catchment 3, where	Experiments 2 and 4 are 'n time step output' simulations, which means that these two experiments are used to simulate future multi-
	the RMSE is 1.92 with a look-back window of 7 days and 1.45 with a look-back window of 365 days. The catchment with the	day discharges. In Experiment 2 we use two different types of data for simulation. We consider 5 different look-back windows, 7 days,
	largest improvement in NSE is catchment 4, where the NSE is 0.56 with a look-back window of 7 days and 0.81 with a look-	15 days, 30 days, 180 days, and 365 days and two-look forward windows, which are 3 days and 5 days. Experiment 4 is to test
345	back window of 365 days. Comparing the results of the LSTM model with the benchmark, we can see that the results of the	whether the proposed LSTM+1D CNN model can improve the
	LSTM model are overall better than the benchmark. When the look-back window is 7 days and 15 days, the results of some	simulation accuracy of the 'n time step output' simulation. We consider 2 different look-back windows for rainfall, which are 10
	catchments are slightly worse than the benchmark, such as catchment 4 and catchment 1. However, when the look-back	days and 3 days, and 3 different look-back windows for other input, which are 30 days, 180 days and 365 days.
	window is larger than 15 days, the results of LSTM outperform the benchmark.	Deleted: Comparison of the results from different types of
	Table 4 shows the performance of Experiment 1 driven by spatially distributed rainfall data. We can see that for the LSTM	rainfall driven data for 'one time step output' simulation (Experiment 1)
350	driven by spatially distributed rainfall data, the results are better than the shorter look-back windows when the look-back	Deleted: e
	window is 180 or 365 days. For example, for catchment 2, the RMSE for Jook-back windows of 7 days and 365 days are 1.78	Deleted: When we
	and 1.30, respectively, with an improvement of 0.48. The largest improvement in NSE is with catchment 4, with an NSE of	Deleted: c
	0.56 when the Jook-back window is 7 and 0.81 when the Jook-back window is 365. We also compared the results of LSTM	Deleted: e
	with the benchmark. The results are similar to those driven by catchment mean rainfall data. The results of the LSTM model	Deleted: those of Deleted: e
355	are generally better than the benchmark. Based on the results in Table 3 and Table 4, we can conclude that for runoff simulation,	Deleted: and
335	increasing the look-back window can improve the simulation performance of the LSTM. In our experiments, regardless of the	Deleted: a
		Deleted: is
	type of rainfall data used to drive the LSTM, the simulations with look-back windows of 180 and 365 days outperform the	Deleted:
	models with 7, 15 and 30 days. Compared with RNN, LSTM can learn long-term dependence. The long look-back window	Deleted: raise
	can provide more information for establishing the relationship between the input and output data, which can improve the	Deleted: for
360	performance of the model.	Deleted: where
	Table 3 Performance of Experiment 1 driven by catchment mean rainfall data	Deleted: where
	<u>7 days 15 days 30 days 180 days 365 days Benchmark</u>	Deleted: those of
	I <u>D</u> <u>RMSE NSE RMSE NSE RMSE NSE RMSE NSE RMSE NSE RMSE NSE</u> 🔨	Deleted: t Deleted: t
	<u>1</u> <u>0.71</u> <u>0.74</u> <u>0.61</u> <u>0.80</u> <u>0.60</u> <u>0.81</u> <u>0.62</u> <u>0.80</u> <u>0.59</u> <u>0.82</u> <u>0.67</u> <u>0.65</u>	Deleted: e
	<u>2</u> <u>1.82</u> <u>0.70</u> <u>1.52</u> <u>0.79</u> <u>1.42</u> <u>0.81</u> <u>1.29</u> <u>0.85</u> <u>1.40</u> <u>0.82</u> <u>1.97</u> <u>0.78</u>	Formatted: Centered

<u>3</u>	<u>1.92</u>	0.59	<u>1.77</u>	0.65	<u>1.76</u>	0.65	<u>1.44</u>	<u>0.77</u>	1.45	<u>0.76</u>	<u>2.08</u>	0.64
<u>4</u>	<u>1.14</u>	0.56	1.03	0.65	<u>0.87</u>	0.74	<u>0.73</u>	<u>0.82</u>	0.76	0.81	0.92	0.60
<u>5</u>	<u>1.60</u>	0.71	1.53	<u>0.74</u>	<u>1.60</u>	<u>0.71</u>	<u>1.16</u>	<u>0.85</u>	<u>1.21</u>	0.83	1.77	<u>0.78</u>
<u>6</u>	<u>0.96</u>	<u>0.80</u>	<u>0.98</u>	<u>0.79</u>	<u>0.89</u>	<u>0.83</u>	<u>0.59</u>	<u>0.92</u>	<u>0.58</u>	<u>0.92</u>	<u>0.91</u>	<u>0.84</u>
<u>7</u>	<u>1.70</u>	<u>0.86</u>	<u>1.75</u>	<u>0.85</u>	<u>1.51</u>	<u>0.89</u>	<u>1.51</u>	<u>0.89</u>	1.65	<u>0.87</u>	<u>1.92</u>	<u>0.84</u>
<u>8</u>	<u>1.25</u>	<u>0.80</u>	<u>1.30</u>	<u>0.86</u>	<u>1.24</u>	<u>0.87</u>	<u>0.97</u>	<u>0.84</u>	<u>1.17</u>	<u>0.83</u>	1.71	0.82
<u>9</u>	<u>1.39</u>	<u>0.76</u>	1.38	<u>0.80</u>	<u>1.34</u>	0.81	<u>1.12</u>	0.87	<u>1.06</u>	0.85	1.75	0.81
<u>10</u>	<u>0.60</u>	<u>0.75</u>	<u>0.61</u>	<u>0.78</u>	<u>0.57</u>	<u>0.80</u>	<u>0.37</u>	<u>0.89</u>	<u>0.37</u>	<u>0.90</u>	<u>0.65</u>	<u>0.73</u>

Table 4 Performance of Experiment 1 driven by spatially distributed rainfall data

	<u>7 da</u>	<u>ys</u>	<u>15 da</u>	iys	<u>30 da</u>	<u>ays</u>	<u>180 d</u>	<u>ays</u>	<u>365 d</u>	<u>ays</u>	Bench	<u>nark</u>
ID	RMSE	NSE	<u>RMSE</u>	<u>NSE</u>	RMSE	NSE	RMSE	NSE	RMSE	NSE	<u>RMSE</u>	NSE
	<u>(mm/d)</u>	INDE	<u>(mm/d)</u>	<u>INDE</u>	<u>(mm/d)</u>	INDE	<u>(mm/d)</u>	INDE	<u>(mm/d)</u>	<u>INDE</u>	<u>(mm/d)</u>	INDE
<u>1</u>	<u>0.67</u>	<u>0.76</u>	<u>0.61</u>	<u>0.81</u>	<u>0.54</u>	<u>0.85</u>	<u>0.54</u>	<u>0.85</u>	<u>0.50</u>	<u>0.87</u>	<u>0.67</u>	<u>0.65</u>
<u>2</u>	<u>1.78</u>	0.71	<u>1.56</u>	<u>0.78</u>	1.22	<u>0.86</u>	<u>1.21</u>	<u>0.87</u>	<u>1.30</u>	0.85	<u>1.97</u>	<u>0.78</u>
<u>3</u>	<u>1.94</u>	0.58	<u>1.66</u>	<u>0.69</u>	1.56	<u>0.72</u>	<u>1.43</u>	<u>0.77</u>	<u>1.43</u>	<u>0.77</u>	2.08	<u>0.64</u>
<u>4</u>	1.08	0.61	<u>1.04</u>	<u>0.63</u>	<u>0.86</u>	<u>0.75</u>	<u>0.69</u>	<u>0.84</u>	<u>0.70</u>	0.83	<u>0.92</u>	<u>0.60</u>
<u>5</u>	<u>1.56</u>	<u>0.72</u>	<u>1.39</u>	<u>0.78</u>	1.37	<u>0.79</u>	<u>1.04</u>	<u>0.88</u>	<u>1.02</u>	<u>0.88</u>	<u>1.77</u>	<u>0.78</u>
<u>6</u>	<u>0.87</u>	<u>0.84</u>	<u>0.85</u>	0.85	<u>0.80</u>	<u>0.86</u>	<u>0.53</u>	<u>0.94</u>	<u>0.53</u>	<u>0.94</u>	<u>0.91</u>	<u>0.84</u>
<u>7</u>	<u>1.73</u>	0.85	<u>1.68</u>	<u>0.86</u>	<u>1.42</u>	<u>0.90</u>	<u>1.53</u>	<u>0.88</u>	<u>1.51</u>	<u>0.89</u>	<u>1.92</u>	<u>0.84</u>
<u>8</u>	<u>1.34</u>	0.85	<u>1.32</u>	0.85	<u>1.31</u>	<u>0.85</u>	<u>1.47</u>	<u>0.82</u>	<u>1.30</u>	<u>0.86</u>	<u>1.71</u>	0.82
<u>9</u>	1.63	0.72	<u>1.47</u>	<u>0.78</u>	1.36	0.81	<u>1.08</u>	<u>0.88</u>	1.08	<u>0.88</u>	<u>1.75</u>	0.81
<u>10</u>	<u>0.64</u>	<u>0.75</u>	<u>0.62</u>	<u>0.77</u>	<u>0.51</u>	<u>0.84</u>	<u>0.38</u>	<u>0.91</u>	<u>0.39</u>	<u>0.91</u>	<u>0.65</u>	<u>0.73</u>

Figure 3 shows how we compare the differences in the results obtained by driving LSTM with different types of rainfall data. The comparison for RMSE is shown in the left panel. Positive values indicate that the results driven by spatially distributed rainfall data are better than those driven by mean rainfall data. The right panel shows the comparison of NSE. Negative values

415 indicate that the results driven by spatially distributed rainfall data outperform mean rainfall data-driven results. We find that the results driven by spatially distributed rainfall data are better than those driven by mean rainfall data. In particular, when the look-back windows are 180 and 365 days, which represent the better models for each catchment, the results driven by spatially distributed rainfall data are generally better than the results driven by mean rainfall data. For example, for NSE, when the look-back window is 365 days, the results obtained from spatially distributed rainfall data are better than those obtained 420 from mean rainfall data. However, we find that for catchment 8, the RMSE obtained for the mean rainfall data with a lookDeleted: In

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back window of 180 is half smaller than that the one obtained for the corresponding spatially distributed rainfall data, which 425 is the largest difference.

Table 5 compares the error of peak discharge obtained from different types of driver data. As can be seen from the table, the simulation of peak discharge is better in the results obtained using spatially distributed rainfall data. Except for catchment 4 where the best simulation results occur in the mean rainfall data, the best results for the other nine catchments occur in the results of spatially distributed rainfall data. Figure 4 compares the catchment 10 discharge process using different types of

- 430 rainfall data. We can see that the discharge process obtained by spatially distributed rainfall is closer to the actual one. The results obtained by spatially distributed rainfall are also better in the simulation of flood peaks. Coupling NSE with RMSE we can see that good performance can be achieved by using LSTM for runoff simulation. The results of LSTM using longer look-back windows are generally better than those of the benchmark and shorter look-back windows. The spatially distributed rainfall data can provide more information to the input data, which helps the LSTM to better identify the relationship between
- 435 the input and output data, thus builda more accurate model.

Table 5 Comparison of EPD of Experiment 1 using different types of rainfall data

		<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>	<u>9</u>	<u>10</u>
<u>180 days</u>	(1)	<u>0.24</u>	<u>0.24</u>	<u>0.25</u>	<u>0.27</u>	<u>0.26</u>	<u>0.16</u>	<u>0.16</u>	<u>0.17</u>	<u>0.22</u>	<u>0.22</u>
	<u>(2)</u>	<u>0.17</u>	<u>0.25</u>	<u>0.25</u>	<u>0.28</u>	<u>0.21</u>	<u>0.14</u>	<u>0.16</u>	<u>0.15</u>	<u>0.23</u>	<u>0.22</u>
<u>360 days</u>	(1)	<u>0.20</u>	<u>0.28</u>	<u>0.25</u>	<u>0.31</u>	<u>0.24</u>	<u>0.17</u>	<u>0.18</u>	<u>0.20</u>	<u>0.22</u>	<u>0.21</u>
	<u>(2)</u>	<u>0.15</u>	<u>0.23</u>	<u>0.26</u>	<u>0.29</u>	<u>0.22</u>	<u>0.14</u>	<u>0.18</u>	<u>0.20</u>	<u>0.21</u>	<u>0.20</u>

MSE

Gat

-0.02

-0.01

-0.05

-0.01

-0.04

-0.05

0

7 days

-0.01

0.01

-0.04

-0.04

-0.01

15 days

Driven by mean data - Driven by spatial distributed data

-0.04

-0.01

-0.03

-0.01

30 days Look-back windows

-0.02

-0.02

-0.02

-0.02

180 days

-0.03

-0.02

-0.05

-0.02

-0.02

-0.03

+0.03

-0.01

365 days

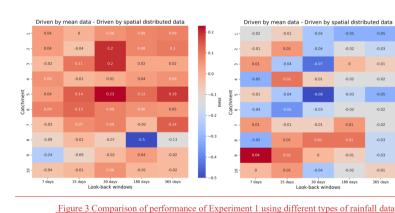
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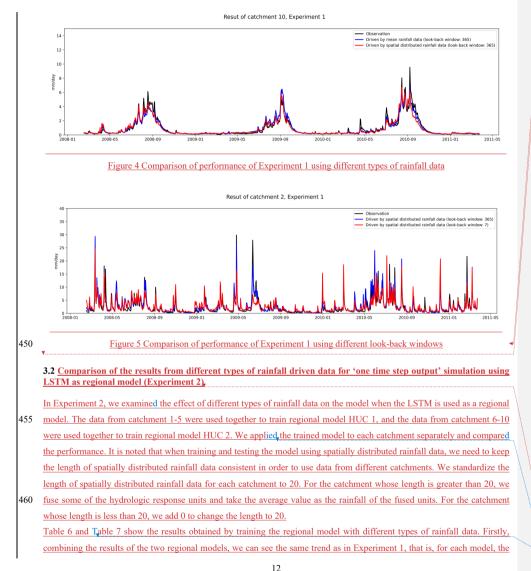
(1) driven by catchment mean rainfall data; (2) driven by spatially distributed rainfall data



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Deleted: We first compared the model results for different lookback windows driven by different types of rainfall data. Figure 4 and Figure 5 show the simulated discharge process for the two catchments. The simulation results of the hydrological model are also placed in each figure for comparison. As can be seen from the figures, for both catchments, the simulation processes of the deep learning model are closer to the measured processes when compared to the hydrological model. In particular, for the second catchment, the simulation results of the deep learning model are significantly better than the simulation results of the hydrological model. Table 2 shows the performance of Experiment 1 using different types of rainfall and different look-back windows. As can be seen from the table, for Catchment 1, the smallest error is obtained for 7 days as the look-back window when driven by basin mean rainfall data, where the RMSE is 0.309542 and the NSE is 0.947387. By increasing the length of the look-back window, the change of error does not show a gradual decrease trend. The maximum error of the model is obtained when the length of the look-back window is 180 days, where the RMSE is 0.351222 and the corresponding NSE is 0.932265. For Catchment 2, the simulation results of the model tend to get better gradually when the length of the look-back window is increased. The best result is obtained when the look-back window is 365 days, where the RMSE is 0.200881 and the NSE is 0.992691. The worst results are obtained when the look-back window is 7 days, and the results for the look-back window of 30 days are slightly worse than those for the look-back window of 15 days. If we consider the simulation results driven by spatially distributed rainfall data, for Catchment 1, when the look-back windows are 7, 15, 30, and 180, spatially distributed rainfall data are better than those driven by the basin mean rainfall data model. Among them, the model simulates best when the look-back window is 30 days. where the RMSE is 0.278799 and the NSE is 0.957319. For Catchment 2, the simulation results driven by spatially distributed rainfall data are better than those driven by the basin mean rainfall data model when the look-back windows are 7, 15, and 30. Among them, the model simulates best when the look-back window is 7 days, where the RMSE is 0.204561 and the NSE is 0.992421. With the simulation results of the two different types of driving data, we cannot conclude about which look-back window can achieve the best simulation results for both catchments. This means that when we use LSTM for rainfall-runoff simulation, we need to compare different look-back windows to obtain the best simulation results. However, the results driven by spatially distributed rainfall data from both basins show that when the look-back windows are small (7 days, 15 days, 30 days), the results obtained by spatially distributed rainfall data are better than those when the look-back windows are large.

We also compared all the results from the deep learning model with the results from the traditional hydrological model. For Catchment 1, the hydrological model simulation result has an RMSE of 0.618972 and an NSE of 0.789625. For Catchment 2, the hydrological model simulation result has an RMSE of 0.97845 and an NSE of 0.826605. For both catchments, the deep learning models can achieve better results regardless of which look-back window and drive data are used. The NSE of all of them exceeds 0.9, which means that [...[2]]

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optimal performance occurs when the look-back windows are 180 and 365 days. This also proves that increasing the lookback windows can improve the model's performance. For HUC 1, we found that spatially distributed rainfall data in all catchments achieved better results except for catchment 2 where mean rainfall data achieved slightly better simulation results. Similarly, we found that in HUC 2, except for catchment 8 where the mean rainfall data obtained slightly better results than the spatially distributed rainfall data, the spatially distributed rainfall data also obtained better results in the other catchments. Figure 6 shows the EPDs of HUC1 and HUC2, where we only count the results for the look-back windows of 180 and 365
where the models are more effective. From the figure, we can see that for both HUCs, the EPDs obtained by training the models with spatially distributed rainfall data are generally smaller than those obtained by training with catchment mean rainfall data. This illustrates that adding information on the spatial distribution of rainfall can also improve the simulation of the model when the LSTM is used as the regional model.

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Table 6 Comparison of performance of regional model (HUC 1) using different types of rainfall data

				Driven by	catchment m	nean rainfa	ll data			
.	<u>7 days</u>		15 days		<u>30 days</u>		<u>180 days</u>		<u>365 days</u>	
ID	<u>RMSE</u>	<u>NSE</u>	<u>RMSE</u>	<u>NSE</u>	<u>RMSE</u>	<u>NSE</u>	<u>RMSE</u>	<u>NSE</u>	<u>RMSE</u>	<u>NSE</u>
	<u>(mm/d)</u>		<u>(mm/d)</u>		<u>(mm/d)</u>		<u>(mm/d)</u>		<u>(mm/d)</u>	
1	<u>1.40</u>	<u>0.27</u>	<u>1.79</u>	<u>0.22</u>	<u>1.38</u>	0.21	<u>1.00</u>	<u>0.48</u>	<u>0.99</u>	<u>0.48</u>
2	<u>1.90</u>	<u>0.67</u>	<u>1.74</u>	<u>0.72</u>	<u>1.40</u>	0.82	<u>1.27</u>	<u>0.85</u>	<u>1.30</u>	<u>0.84</u>
3	<u>1.90</u>	<u>0.59</u>	<u>1.69</u>	<u>0.68</u>	<u>1.54</u>	<u>0.73</u>	<u>1.41</u>	<u>0.77</u>	<u>1.54</u>	<u>0.73</u>
<u>4</u>	<u>1.13</u>	<u>0.57</u>	<u>1.35</u>	<u>0.38</u>	<u>1.19</u>	0.52	<u>1.31</u>	<u>0.43</u>	<u>1.04</u>	<u>0.64</u>
5	<u>1.68</u>	<u>0.68</u>	<u>1.59</u>	<u>0.71</u>	<u>1.70</u>	<u>0.67</u>	<u>1.36</u>	<u>0.79</u>	<u>1.43</u>	<u>0.77</u>
			Γ	Driven by s	patially distri	buted raint	fall data			
1	<u>1.14</u>	<u>0.42</u>	<u>1.26</u>	<u>0.32</u>	<u>1.37</u>	<u>0.21</u>	<u>0.91</u>	<u>0.57</u>	<u>0.82</u>	<u>0.65</u>
2	1.94	0.65	1.62	0.76	1.43	0.81	1.43	0.81	<u>1.30</u>	<u>0.84</u>
3	<u>1.79</u>	<u>0.64</u>	<u>1.63</u>	<u>0.70</u>	<u>1.48</u>	<u>0.75</u>	<u>1.36</u>	<u>0.79</u>	<u>1.38</u>	<u>0.79</u>
4	<u>1.08</u>	<u>0.61</u>	<u>1.31</u>	<u>0.42</u>	<u>1.33</u>	<u>0.40</u>	<u>0.87</u>	<u>0.75</u>	<u>1.00</u>	<u>0.66</u>
5	<u>1.72</u>	<u>0.67</u>	<u>1.59</u>	<u>0.71</u>	<u>1.60</u>	<u>0.71</u>	<u>1.27</u>	<u>0.82</u>	<u>1.11</u>	<u>0.86</u>

				Driven by	catchment n	nean rainfa	ll data			4
	<u>7 days</u>		15 days		<u>30 days</u>		<u>180 days</u>		<u>365 days</u>	
ID	RMSE	<u>NSE</u>	<u>RMSE</u>	<u>NSE</u>	<u>RMSE</u>	<u>NSE</u>	RMSE	<u>NSE</u>	<u>RMSE</u>	NSE
	<u>(mm/d)</u>		<u>(mm/d)</u>		<u>(mm/d)</u>		<u>(mm/d)</u>		<u>(mm/d)</u>	
<u>6</u>	<u>0.83</u>	<u>0.85</u>	<u>0.92</u>	<u>0.82</u>	<u>0.91</u>	<u>0.82</u>	<u>1.05</u>	<u>0.75</u>	<u>0.93</u>	<u>0.77</u>

Deleted: In Experiment 2 we tested the simulation ability of LSTM for n time steps output. Figure 6 and Figure 7 show the simulation ability of 3-time steps output and Figure 8 and Figure 9 show the simulation ability of 5- time steps output. By comparing the model results with those of Experiment 1, which is the 1-time step output, we find that the results become worse whether driven by the basin mean rainfall data or by spatially distributed rainfall data. For 3-day look-forward windows simulation of Catchment 1, the model driven by spatially distributed rainfall data outperforms the model driven by basin mean rainfall data for each look-back window. The results (Table 3) show that the model driven by the basin mean rainfall data with 30 days look-back window has the highest NSE of 0.927501 and the lowest RMSE of 0.363362. The model driven by the spatially distributed rainfall data with 7 days look-back window has the highest NSE of 0.943605 and the lowest RMSE of 0.320477. For Catchment 2, the RMSE of the model driven by the spatially distributed rainfall data is 0.336385 and 0.321342 when the look-back windows are 7 and 15 days. respectively, both of which are better than the results obtained by the basin mean rainfall data. However, when the look-back window continues to increase, the results driven by the basin mean rainfall data are better than those driven by the spatially distributed rainfall data. For example, when the look-back window is 365, the RMSE obtained with the input of basic mean rainfall data is 0.309905 and the corresponding NSE is 0.982605. The RMSE obtained with the input of spatially distributed rainfall data is 0.384379 and the NSE is 0.973240. However, when we focus on the results with the lo ... [3]

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<u>7</u>	1.80	<u>0.84</u>	1.82	<u>0.84</u>	<u>1.83</u>	<u>0.84</u>	<u>1.80</u>	<u>0.84</u>	<u>1.59</u>	<u>0.88</u>	
<u>8</u>	1.35	0.84	1.37	0.84	1.50	0.81	<u>0.95</u>	<u>0.92</u>	1.02	<u>0.91</u>	
<u>9</u>	1.36	0.81	<u>1.40</u>	0.80	1.37	0.81	0.87	0.92	<u>0.82</u>	<u>0.93</u>	
<u>10</u>	<u>0.96</u>	<u>0.44</u>	<u>0.83</u>	<u>0.58</u>	<u>0.88</u>	<u>0.53</u>	<u>0.55</u>	<u>0.82</u>	<u>0.57</u>	<u>0.80</u>	
				Driven by s	patially dis	tributed rain	fall data				Formatted Table
<u>6</u>	1.07	<u>0.75</u>	<u>1.05</u>	<u>0.76</u>	1.03	<u>0.77</u>	<u>0.77</u>	<u>0.87</u>	<u>0.78</u>	<u>0.87</u>	-
<u>7</u>	1.60	0.87	<u>1.46</u>	<u>0.90</u>	1.56	0.88	<u>1.32</u>	<u>0.91</u>	1.33	<u>0.91</u>	
<u>8</u>	1.63	0.77	1.60	0.78	1.54	0.80	1.17	0.88	<u>0.97</u>	<u>0.92</u>	
<u>9</u>	1.59	0.74	1.56	0.75	1.37	0.80	<u>0.90</u>	0.92	<u>0.79</u>	<u>0.92</u>	
<u>10</u>	0.77	0.64	<u>0.80</u>	0.61	<u>0.99</u>	<u>0.40</u>	<u>0.51</u>	0.84	<u>0.38</u>	<u>0.91</u>	
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The LSTM as a regional model is a widely used method for runoff simulation, One of the main reasons is that sufficient training data is a prerequisite for a deep learning model to achieve good results, and using data from different catchments of

680 training data is a prerequisite for a deep learning model to achieve good results, and using data from different catchments of the same hydrological unit can increase the amount of training data. Here we compare the results obtained by using LSTM as a regional model with those obtained by using LSTM as an individual model for each catchment. Figure 8 shows the differences between the three metrics. In the figure, a positive value of RMSE means that the regional model is worse than the individual model; a positive value of NSE means that the regional model; a positive value of SE means that the regional model is better than the individual model; a positive value of EPD means that the regional model is worse than the individual model. From the figure, we did not observe the general phenomenon that LSTM as a region model achieves, better results than an individual model. For example, for catchments 1, 4, 5, 6, and 10, the RMSE and NSE using the LSTM as an individual model for each catchment are better than the LSTM as a regional model. This result is consistent for two different types of drived data. One possible reason is that although LSTM as a regional model

can be learned with more training data, data from different catchments increase the possibility of inconsistency in the data.
 Similar discharges may correspond to different input data in different catchments, and similar inputs may correspond to different discharges in different catchments. This may have a negative impact on the learning process of LSTM. However, when comparing the difference of LSTM as a regional model and LSTM as an individual model from different types of data, we find that using spatially distributed rainfall data can reduce the difference between LSTM as a regional model and LSTM as an individual model. We counted the absolute values of different metrics in Figure 8. The RMSE, NSE and EPD between

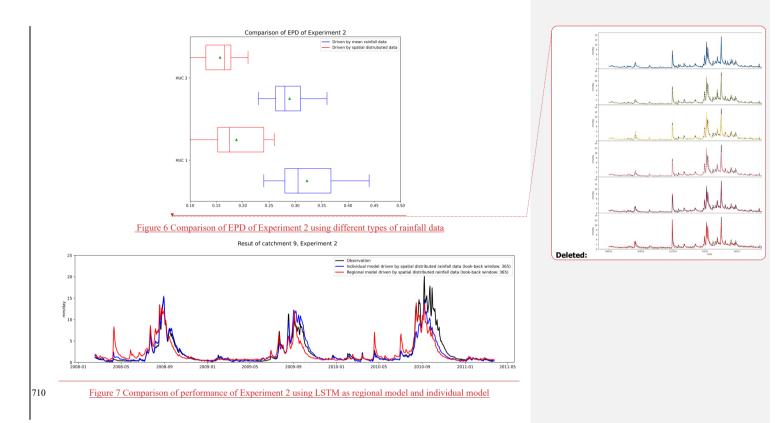
695 LSTM as a regional model and LSTM as individual model are 0.2±0.15, 0.11±0.11, and 0.06±0.05, respectively when using mean rainfall data to drive the model. When a spatially distributed rainfall data-driven model is used, the RMSE, NSE and EPD between LSTM as a regional model and LSTM as an individual model are 0.19±0.10, 0.07±0.07, and 0.04±0.03, respectively.

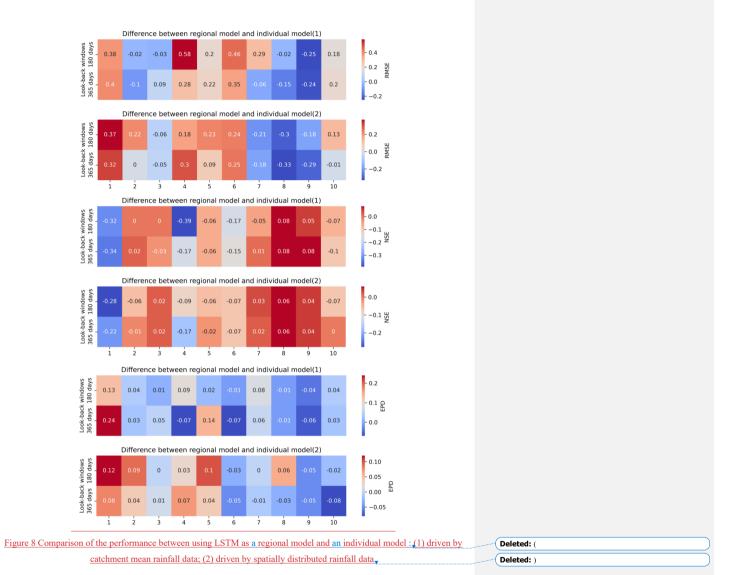
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	(Experiment 3), In Experiment 3 we tested the simulation ability of LSTM for n time steps output. Based on the results of Experiment 1 and													
		ent 2, we found that longe					· ·							
		n, we used a look-back wind		· ·										
_		LSTM as an individual mod												
5		ext 7 days is smaller than th												
		e future is a much more diff												
		ata, we find that the results of			-									
		ata. For the next 7 days of s												
	For the ne	ext 15 days of simulations, t	he results obtain	ed for sp	patially o	listribute	ed rainfa	ll data i	n all cate	chments	are sign	nificantl		
0	better than those obtained for mean rainfall data.													
	Table 8 Comparison of performance using LSTM as individual model for 'n time step output'													
			<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>	<u>9</u>	<u>10</u>		
	<u>7day</u>	RMSE (mm/d)	<u>0.62</u>	<u>1.2</u>	<u>1.5</u>	<u>0.68</u>	<u>1.28</u>	<u>0.61</u>	<u>1.49</u>	<u>1.28</u>	<u>1.14</u>	<u>0.39</u>		
	<u>(1)</u>	<u>NSE</u>	<u>0.80</u>	<u>0.87</u>	<u>0.75</u>	<u>0.85</u>	<u>0.81</u>	<u>0.92</u>	<u>0.88</u>	<u>0.86</u>	<u>0.87</u>	<u>0.91</u>		
		EPD	<u>0.23</u>	<u>0.28</u>	<u>0.23</u>	<u>0.29</u>	<u>0.28</u>	<u>0.14</u>	<u>0.18</u>	<u>0.24</u>	<u>0.21</u>	<u>0.21</u>		
	<u>7day</u>	RMSE (mm/d)	<u>0.57</u>	<u>1.14</u>	<u>1.49</u>	<u>0.66</u>	<u>1.05</u>	<u>0.42</u>	<u>1.47</u>	<u>1.28</u>	<u>1.03</u>	<u>0.39</u>		
	<u>(2)</u>	NSE	0.83	0.88	0.75	<u>0.85</u>	0.88	<u>0.96</u>	0.88	0.86	0.89	0.91		
		EPD	0.13	0.25	0.30	<u>0.27</u>	<u>0.24</u>	<u>0.09</u>	0.20	<u>0.24</u>	<u>0.18</u>	<u>0.21</u>		
					1									
	15day	RMSE (mm/d)	<u>0.67</u>	<u>1.39</u>	<u>1.64</u>	<u>0.73</u>	<u>1.28</u>	0.58	<u>1.74</u>	<u>1.36</u>	<u>1.19</u>	<u>0.43</u>		
		NSE	<u>0.77</u>	0.82	<u>0.70</u>	0.82	0.81	<u>0.93</u>	0.85	<u>0.84</u>	0.85	<u>0.89</u>		
	<u>(1)</u>		0.21	0.24	<u>0.29</u>	<u>0.25</u>	<u>0.26</u>	<u>0.16</u>	<u>0.20</u>	<u>0.26</u>	0.29	<u>0.27</u>		
	<u>(1)</u>	EPD	0.21				4.40	0.51	1.0	1.24	1.1.1			
	(<u>1</u>) <u>15day</u>	EPD RMSE (mm/d)	<u>0.57</u>	<u>1.23</u>	<u>1.51</u>	<u>0.58</u>	<u>1.10</u>	<u>0.51</u>	<u>1.60</u>	<u>1.34</u>	<u>1.11</u>	<u>0.34</u>		
				<u>1.23</u> <u>0.86</u>	<u>1.51</u> <u>0.74</u>	0.58 0.89	<u>1.10</u> <u>0.86</u>	<u>0.51</u> <u>0.94</u>	<u>1.60</u> <u>0.88</u>	<u>1.34</u> <u>0.85</u>	<u>1.11</u> <u>0.87</u>	<u>0.34</u> <u>0.93</u>		

Deleted: Figure 6. the results of Experiment 2 (3 days lookforward windows) using different type of rainfall data for Catchment 1(left: Driven by the basin mean rainfall data; right: Driven by spatially distributed rainfall data)^{*}

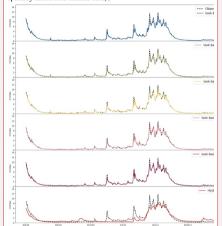


Figure 7. the results of Experiment 2 (3 days look-forward windows) using different type of rainfall data for Catchment 2(left: Driven by the basin mean rainfall data; right: Driven by spatially distributed rainfall data)

(... [4])
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time step output' simulation (Experiment 3)

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735 We also examined the simulation results for future multiple days when LSTM is used as a regional model. From Table 9, we can see that, in general, the regional model obtained using spatially distributed rainfall data has better simulation results. Except for catchment 6, the best models for the next 7 days are spatially distributed rainfall data driven models. The spatially

distributed rainfall data-driven model has better results for all catchments for the next 15 days. The results for multi-day simulations are the same as those of Experiment 1 and Experiment 2. We can conclude that the rainfall data with spatial distribution information can improve the rainfall simulation results of LSTM. In particular, for the future multi-day 790 simulations, the addition of rainfall data with spatial analysis information gives a significant advantage over the LSTM driven by mean rainfall data. By comparing different types of regional models, we also find that rainfall data with spatial analysis

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		<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>	<u>9</u>	<u>10</u>	
<u>7day</u>	RMSE (mm/d)	<u>1.79</u>	<u>1.51</u>	<u>1.59</u>	<u>1.25</u>	<u>1.36</u>	<u>0.58</u>	<u>1.33</u>	<u>1.24</u>	<u>1.14</u>	<u>0.76</u>	l
<u>(1)</u>	NSE	<u>0.66</u>	<u>0.79</u>	<u>0.71</u>	<u>0.48</u>	<u>0.79</u>	<u>0.93</u>	<u>0.91</u>	<u>0.87</u>	<u>0.87</u>	<u>0.65</u>	l
	EPD	<u>0.89</u>	<u>0.35</u>	<u>0.30</u>	<u>0.40</u>	<u>0.29</u>	<u>0.13</u>	<u>0.19</u>	<u>0.23</u>	<u>0.23</u>	<u>0.40</u>	l
<u>7day</u>	RMSE (mm/d)	<u>0.73</u>	<u>1.43</u>	<u>1.57</u>	<u>0.93</u>	<u>1.04</u>	<u>0.77</u>	<u>1.32</u>	<u>1.01</u>	<u>0.87</u>	<u>0.37</u>	l
<u>(2)</u>	NSE	<u>0.73</u>	<u>0.81</u>	<u>0.72</u>	<u>0.71</u>	<u>0.88</u>	<u>0.87</u>	<u>0.92</u>	<u>0.91</u>	<u>0.92</u>	<u>0.92</u>	l
	EPD	<u>0.30</u>	<u>0.30</u>	<u>0.33</u>	<u>0.27</u>	<u>0.24</u>	<u>0.10</u>	<u>0.16</u>	<u>0.15</u>	<u>0.14</u>	<u>0.15</u>	Ì
	I											•
15day	RMSE (mm/d)	1.34	1.60	1.88	1.22	1.36	0.68	1.65	1.07		1	1
				1100	1.22	1.50	0.00	<u>1.65</u>	<u>1.27</u>	<u>0.90</u>	<u>0.76</u>	
<u>(1)</u>	<u>NSE</u>	0.70	0.77	<u>0.60</u>	0.50	<u>0.79</u>	<u>0.00</u>	<u>1.65</u> <u>0.87</u>	<u>1.27</u> <u>0.88</u>	<u>0.90</u> <u>0.92</u>	<u>0.76</u> <u>0.65</u>	-
<u>(1)</u>												
<u>(1)</u> <u>15day</u>	<u>NSE</u>	0.70	0.77	0.60	0.50	0.79	0.90	0.87	0.88	0.92	0.65	
	NSE EPD	<u>0.70</u> <u>0.58</u>	<u>0.77</u> <u>0.32</u>	<u>0.60</u> <u>0.29</u>	<u>0.50</u> <u>0.37</u>	<u>0.79</u> <u>0.30</u>	<u>0.90</u> <u>0.14</u>	0.87 0.28	<u>0.88</u> <u>0.18</u>	<u>0.92</u> <u>0.15</u>	<u>0.65</u> <u>0.38</u>	•

Table 9. Comparison of performance using LSTM as regional model for 'n time step output'

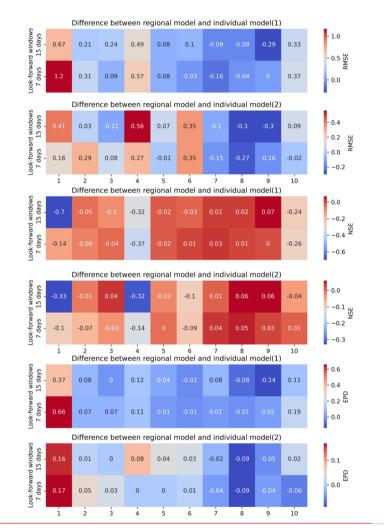
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(1) driven by catchment mean rainfall data; (2) driven by spatially distributed rainfall data

information can also improve the simulation results of LSTM as a regional model.

795 Figure 6 shows the comparison of LSTM as an individual model for each catchment and as a regional model for future multiday simulations. As in Experiment 2, we did not observe a significant advantage of LSTM as a regional model, In general, the regional model is better than the individual model for catchments 7, 8, and 9, which means that the regional model is slightly better than the results of LSTM as individual model for HUC 2. For catchments 1, 2, 3, 4, 5, the results of the individual model are generally better than those of the regional model. When comparing the effect of different types of driving data on the differences, we also find that the differences between individual and regional models driven by spatially distributed rainfall data are relatively small.

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Deleted: For Catchment 1, the RMSEs obtained by the proposed LSTM+1D CNN are 0.335501 and 0.365095 when the look-back window for other inputs is 30 days, corresponding to 3 and 10 days of look-back windows. We know from previous experiments that when the look-back window is 30 days, the result driven by spatially distributed rainfall data is 0.212798. LSTM+1DCNN is close to the result obtained by basin mean rainfall data. Similarly, we find that for other combinations of look-back windows, the simulation results of the proposed LSTM+1D CNN are worse than those in Experiment 1.¶



4 Conclusions and Future Research

Deep learning models, especially LSTM, have received increasing attention in rainfall-runoff simulation studies. The current LSTM-based studies are still mainly from a data-driven perspective and few studies have investigated the different simulation results from different types of meteorological data or construction of models based on the physical relationships of rainfall and runoff. In this study, rainfall, which has the greatest influence on runoff, is used as the object of study. The basin mean rainfall data is used as the rainfall data without spatial distribution information, and the vector composed of rainfall on hydrologic response units in the <u>catchment</u> is used as the rainfall data with spatial distribution information. The impact of the two types of rainfall data on the performance of the deep learning model is compared and <u>analysed</u>.

- Based on the results of Experiment1 and Experiment 3, we conclude that the LSTM has a good performance compared to the benchmark when performing runoff simulations. In our experiments, the model performs better with look-back windows of 180 days and 365 days than with look-back windows of 7 days, 15 days and 30 days. The trend holds for both one-day and multi-day simulations. The long look-back window can provide more information for establishing the relationship between
- 830 the input and output data, which can improve the performance of the model. The trend is not affected by the type of rainfall data.

We used two approaches to train the LSTM model. One is to treat the LSTM as an individual model and train it independently in each catchment. The second way is to use the LSTM as a regional model, using data from all catchments in the region for training. Based on the results of Experiment 2 and Experiment 3, we found that regardless of the approach, the corresponding

- 835 models trained with different types of rainfall data, rainfall data with spatial information can improve the model's performance when compared with the model driven by mean rainfall data. In particular, the spatially distributed rainfall data improves the simulation results more significantly when simulating the future multi-day rainfall. The spatially distributed rainfall data can provide more information to the input data, which helps the LSTM to better identify the relationship between input and output and thus build a more robust model. Our findings show that increasing the spatial distribution information of the input data 840 can improve the performance of the model, whether the LSTM is used as an individual model or as a regional model for runoff
- simulation.

We also compared the difference between LSTM as an individual model and as a regional model. According to the results of our experiments, we did not observe that LSTM as a a regional model achieved better results than LSTM as an individual model. In some catchments the regional model gives better results, while in others the individual model gives better results.

845 This conclusion applies to both one-day and multi-day simulations. However, we found that using spatially distributed rainfall data can reduce the difference between LSTM as a regional model and LSTM as an individual model. Although LSTM as a regional model can be learned with more training data, data from different catchments increase the possibility of inconsistency. Deleted: For Catchment 2, the RMSEs obtained by the proposed LSTM+1D CNN are 0.235687and 0.216041 when the look-back window for other inputs is 30 days, corresponding to 3 and 10 days of look-back windows. Both results are worse than those driven by spatially distributed rainfall data in Experiment 1, but slightly better than those driven by the basin mean rainfall data. The results obtained by the proposed LSTM+1D CNN when the look-back window for other inputs is 180 days are also between the results obtained for the different driving data in Experiment 1. The same results were also found when the look-back window is 365 days.¹

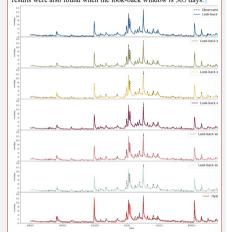


Figure 10. the results of Experiment 3 using LSTM+1DCNN for two catchments (left: Catchment1; right: Catchment 2)[[] 3.4 Results of simulation with LSTM+1D CNN for 'n time step output' simulation (Experiment 4)[[]

We also performed the 'n time step output' simulation using the proposed LSTM+1D CNN. In order to compare the results in Experiment 2, the look-forward windows chosen were 3 and 5 days. Look-back windows for spatial rainfall were 3 and 10 days, and look-back windows for other inputs were set to 30, 180 and 365 days.

For Catchment 1, the results are worse than those of the traditional LSTM model for both the future 3 days and the future 5 days simulations by the proposed LSTM+1D CNN. When the results are driven by the basin mean rainfall data, they are not much different from those obtained by the conventional LSTM. For Catchment 2, the proposed LSTM+1D CNN gives better results for the next 3 days than LSTM's. For the 5-day future simulation, the proposed LSTM+1D CNN performs better than when the results are driven by the basin mean rainfall data, but when the look-back windows are 30 and 365 days, the results of the proposed LSTM+1DCNN are slightly worse than those driven by spatially distributed rainfall data. Comparing the results of different rainfall look-back windows for the sam

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in the data. Similar discharges may correspond to different input data in different catchments, and similar inputs may correspond to different discharges in different catchments. This may have a negative impact on the learning process of LSTM_{*}. When we compared the results of spatially distributed rainfall data in Experiment 1, which mean increasing the spatial

- 935 distribution information of the input data, with the results of mean rainfall data in Experiment 2, which mean increasing the size of the training data, we found that the results of the two are comparable. The variables related to runoff generation are characterized by uneven spatial distribution, such as rainfall, temperature, humidity, etc. Understanding and utilizing the spatial distribution information of these variables can help improve the performance of deep learning models in runoff simulations. This is especially true for those regions where data are scarce, since raster rainfall data with spatial distribution information
- 940 are currently available from many sources. Adding information about the spatial distribution of the data is another way to improve the performance of deep learning models.

There are some gaps that can be continued to be investigated in the future. For example, in this study, the rainfall of the hydrological response unit of catchment is used to represent the spatial distribution of rainfall information. We can obtain raster-type rainfall data from satellite data, climate models, and other sources, which may be able to better represent the spatial

945 distribution of rainfall. We only consider comparing the basin mean rainfall and spatially distributed rainfall, other driving data, such as temperature and a pressure, also have spatial distribution characteristics. How to increase the spatial distribution information of all features on the basis of the uniform resolution of different features and compare the influence of the input conditions on the model results is also a research direction worth conducting in the future.

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According to the results of Experiment 1, when using LSTM for the simulation of 'one time step output' adding the spatial distribution information of rainfall, which means that driven by spatially distributed rainfall data, can slightly improve the performance of the LSTM model. When the look-back windows are 7 and 15 days, the results obtained using spatially distributed rainfall data are significantly better than those obtained using basin mean rainfall data. For the simulation of peak discharge, adding the spatially distributed rainfall data can significantly improve the simulation results of the LSTM model.⁶

The same conclusion can also be obtained from Experiment 2, which is for 'n time step output' simulation, adding the spatial distribution information of rainfall can improve the LSTM model for lookforward windows of 3 and 5 days. As in Experiment 1, the results driven by spatially distributed rainfall data are significantly better than those driven by basin mean rainfall data when the look-back windows are 7 and 15 days. For the simulation of 'n time step output', adding the spatially distributed rainfall information can still improve the model's simulation of peak discharge. Considering that the spatial distribution information of increased rainfall performs better in shorter look-back windows, the study proposes the LSTM+1D CNN model. In the model, the meteorological data and discharge of longer time series are processed by the LSTM model, and the rainfall data of shorter time series are processed by 1D CNN. The output of the final model is a combination of the results of the LSTM model, the results of the 1D CNN model, and the rainfall of the day. The simulation results of the model for 'n time step output' and 'one time step output' are examined in Experiment 3 and Experiment 4, respectively. Although the simulation results of the proposed model are not completely better than the LSTM driven by spatially distributed rainfall data, the results of the model are comparable to those driven by basin mean rainfall data. Since the simulation effect of the proposed LSTM+1D CNN in Catchment 2 is better than that of Catchment 1, and the spatial distribution information of rainfall in Catchment 2 is more abundant, we guess that increasing the spatial distribution information of rainfall can improve the simulation results of the proposed LSTM+1D CNN model.

For different experiments, the results of the deep learning model are better than the physical model. Since the study only compares the simulation results of the lumped hydrological model in the data, we cannot conclude that the simulation results of the deep learning model are better than the physical model in both catchments. However, the experimental results demonstrate the great potential of deep learning models for rainfall runoff simulation.⁴ In summary, we did not find a certain look-back window which is optimal for different watersheds and different types of simulations. This means that different look-back windows should be expl(...[7]).

Deleted: In addition, the use of raster type rainfall data can help us use 2D CNN instead of 1D CNN, which can better characterize the spatial distribution of rainfall.

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Deleted: Appendix A: Hyperparameter tuning The values of the main parameters for different experiments are shown in the following table. Table Setting of main parameters for different experiments

... [8]

1095 Code and data availability. The CAMELS input data are freely available at the homepage of the NCAR (https://ral.ucar.edu/solutions/products/camels). Model outputs as well as code may be made available by request to the corresponding author.

Author contributions. YW and HK jointly developed the project idea and performed research. YW proposed the LSTM+1D CNN architecture and conducted all the experiments and analyzed the results. HK supervised the manuscript from the machineli00 learning perspective. All authors read and approved the final manuscript.

Competing interests. The authors declare that they have no conflict of interest. *Acknowledgements.* Not applicable.

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