# 1 Modelling hourly evapotranspiration in urban environments with

## 2 **SCOPE using open remote sensing and meteorological data**

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8 Abstract. Evapotranspiration (ET) is a fundamental variable to assess water balance and the urban heat island (UHI) effect. 9 Terrestrial ET is deeply dependent on the land cover as it derives mainly from soil evaporation and plant transpiration. The 10 majority of well-known process-based models based on the Penman-Monteith equation focus on the atmospheric interfaces 11 (e.g. radiation, temperature and humidity), lacking explicit input parameters to precisely describe vegetation and soil 12 properties. The model Soil-Canopy-Observation of Photosynthesis and Energy fluxes (SCOPE) accounts for a broad range of 13 surface-atmosphere interactions to predict ET. However, like most modelling approaches, SCOPE assumes a homogeneous 14 vegetated landscape to estimate ET. As urban environments are highly fragmented, exhibiting a mix of vegetated and 15 impervious surfaces, we propose a two-stage modelling approach to capture most of the spatiotemporal variability of ET 16 without making the model overly complex. After predicting ET using the SCOPE model, the bias caused by the assumption 17 of homogeneous vegetation is corrected using the vegetation fraction extracted by footprint modelling. Two urban sites 18 equipped with eddy flux towers presenting different levels of vegetation fraction and imperviousness located in Berlin, 19 Germany, were used as study cases. The correction factor for urban environments has increased model accuracy significantly, 20 reducing the relative bias in ET predictions from 0.74 to 0.001 and 2.20 to -0.13 for the two sites considering the SCOPE 21 model with remote sensing-derived inputs. Model errors (RMSE) were considerably reduced in both sites, from 0.061 to 0.026 22 and 0.100 to 0.021, while the coefficient of determination (R2) remained similar after correction, 0.82 and 0.47, respectively. 23 The novelty of this study is to provide hourly ET predictions combining the temporal dynamic of ET in a natural environment 24 with the spatially fragmented land cover in urban environments with low computational cost. All model inputs are open data 25 available globally for most medium and large cities. This approach can provide ET maps in different temporal resolutions to 26 better manage vegetation in cities in order to mitigate the UHI effect and droughts.

#### 28 1 Introduction

29 Evapotranspiration (ET) is essential for understanding the water cycle and energy balance, as it regulates precipitation, 30 temperature and vegetation productivity (Wang et al., 2020; Zheng et al., 2020). The cooling capacity of ET can mitigate the 31 intensity of the urban heat island (UHI), which adversely impacts the health and quality of life of urban residents (Kovats and 32 Hajat, 2008; Scherer et al., 2013). Optimising ET in urban areas could reduce the impact of extreme events such as severe heat 33 waves, drought or flooding (Wang et al., 2020; Ward and Grimmond, 2017). Although ET plays an essential role in planning 34 more sustainable cities, studies in urban environments are rare and very localised due to the challenges of measuring and 35 modelling evaporation in highly heterogeneous landscapes (Nouri et al., 2015). Terrestrial ET is the sum of three primary 36 sources of evaporation from land surfaces to the atmosphere (liquid to vapour): a) evaporation from soil moisture and 37 groundwater; b) evaporation from plant transpiration; and c) evaporation from intercepted precipitation (Miralles et al., 2020; 38 Nouri et al., 2019). The temporal variation of ET is mainly driven by atmospheric conditions such as sunlight intensity (i.e. 39 incoming radiation), air temperature and relative humidity (Foltýnová et al., 2020). In contrast, the quantity of ET is spatially 40 dependent on the vegetation volume and the water availability in the soil (Dwarakish et al., 2015; Wang et al., 2020; Zheng et 41 al., 2020).

42 The most suitable system for measuring ET in the urban environment is the eddy covariance (EC) method, which is based on 43 the turbulence flux and energy balance (Liang and Wang, 2020; Nouri et al., 2013). The EC method measures latent heat flux 44 (LE) from the atmosphere using sensors installed over a tower, which can be converted to ET later (Kotthaus and Grimmond, 45 2014). The observations are continually collected over regular time intervals but represented by an irregular area based on 46 footprints that change shape, size and orientation according to atmospheric conditions (Kljun et al., 2015; Kotthaus and 47 Grimmond, 2014). Therefore, EC measurements are affected by atmospheric stability, wind profile and surface roughness in 48 the surroundings of the flux tower (Foltýnová et al., 2020; Schmid and Oke, 1990; Ward and Grimmond, 2017). Soil 49 evaporation, plant transpiration and interception are not separable when measured by this method (Miralles et al., 2020). In 50 addition, anthropogenic sources of latent heat fluxes such as car combustion or air conditioning are undistinguished from the 51 primary sources of terrestrial ET, plant transpiration and soil evaporation (Nouri et al., 2013). Eddy covariance measurements 52 represent a relatively small and constantly varying land cover area around the flux tower (diameter ~500m), insufficient to 53 map ET in a heterogeneous urban environment (Kotthaus and Grimmond, 2014; Nouri et al., 2013; Vitale et al., 2020). Given 54 the high costs to install and operate, it is also impractical to set up a widespread network of flux towers over the city 55 (Westerhoff, 2015).

As urban ET observations are rare, costly and available only for a few cities in the world, an alternative is to estimate ET using process-based or empirical models. Fitting classical empirical models or machine learning algorithms are relatively common in natural landscapes but relatively scarce in an urban environment (Vulova et al., 2021; Wang et al., 2020). One reason is the necessity to train the model at representative locations and conditions, which is a challenge in urban areas due to the constantly changing land cover captured by the tower's footprint and lack of flux towers at different surfaces in a highly fragmented and 61 heterogeneous environment (Feigenwinter et al., 2018). In addition, most of the widely used empirical models are unsuitable

62 for variables with strong spatiotemporal dependency, such as ET (Rocha et al., 2018, 2020).

The most common types of process-based models to estimate ET (i.e. latent heat flux) are Surface Energy Balance (SEB), hydrological models, Urban Land-Surface Models (ULSM) and Soil-Vegetation-Atmosphere Transfer (SVAT) models. SEB models estimated ET as the residual of the energy balance equation. Some versions, such as Surface Energy Balance Algorithm for Land (SEBAL) and Surface Energy Balance System (SEBS), include variables as land surface temperature, albedo, and net radiation retrieved from remote sensing variables (Nouri et al., 2015; van der Tol and Norberto, 2012). However, SEB models are more suitable for the regional scale and have low performance in the urban environment (Bayat et al., 2018).

69 Hydrological models are focused on streamflow, soil moisture storage and runoff generation processes but often also provide 70 estimations of plant transpiration, soil evaporation and interception loss (Devia et al., 2015; Zhao et al., 2013). Some 71 (eco)hydrological models are designed or adapted for urban environments, such as SWMM-UrbanEVA and Urban Climate 72 and Hydrology (UT&C), including anthropogenic heating and urban canvon design (Hörnschemever et al., 2021; Meili et al., 73 2020). However, several parameters are difficult to supply for applications requiring a high temporal and spatial resolution. 74 For instance, UT&C requires inputs that are possible only for experimental studies, such as the distance of the wall to a tree 75 trunk (m), albedo and emissivity of walls, volumetric heat capacity and thickness for wall and roof layers (Meili et al., 2020). 76 Urban Land-Surface Models (ULSM) such as Surface Urban Energy and Water Balance Scheme (SUEWS) and urban climate 77 models (UCM) such as PALM-4U are specialised in heat fluxes and microclimates in cities (Järvi et al., 2011; Maronga et al., 78 2015). As most urban models for ET estimation, ULSM models also require several input parameters and a demanding 79 calibration process, hampering the model transferability. The accuracy of urban models for LE is often the lowest among all 80 fluxes and model outputs, especially in densely built-up areas, undermining their use to estimate ET (Rafael et al., 2020; Ward 81 et al., 2016; Ward and Grimmond, 2017).

82 Soil-Vegetation-Atmosphere Transfer (SVAT) models are based on energy balance and mass transfer, allowing for a 83 comprehensive parameterisation of soil and vegetation surface properties (Kracher et al., 2009; Petropoulos et al., 2009), 2009). 84 The Soil-Canopy-Observation of Photosynthesis and Energy fluxes (SCOPE) is a SVAT model that accounts for surface-85 atmosphere interactions of both turbulent heat fluxes and radiative transfer (van der Tol et al., 2009). SCOPE has been 86 successfully applied to predict ET in croplands and natural environments (Bayat et al., 2018; Timmermans et al., 2013). 87 However, the effect of surface heterogeneity in the horizontal direction is not addressed by (1D) models and SCOPE was never 88 applied to urban environments (van der Tol et al., 2009; Yang et al., 2020). The ET estimations from most model approaches 89 cited above are based on energy balance and mass transfer methods often derived from the Penman-Monteith equation (Devia 90 et al., 2015; Zhao et al., 2013). The Penman-Monteith equations, which are widely used for agricultural applications (Allen et 91 al., 2005), focus mainly on the atmospheric interface for a specific vegetation cover. Therefore, most ET modelling approaches 92 assume a landscape of homogeneous vegetation without anthropogenic elements to calculate ET from pervious soil and 93 vegetation fractions but cannot capture plant phenology (Nouri et al., 2015; Westerhoff, 2015). However, some sophisticated

- 94 urban models calculate anthropogenic latent heat flux, the effect of building shadows over vegetated areas and interception
  95 loss to provide the total ET (Järvi et al., 2011).
- 96 Urban environments present highly fragmented and heterogeneous land cover in all dimensions (vertically and horizontally) 97 for both pervious and impervious surfaces (Feigenwinter et al., 2012; Ward and Grimmond, 2017; Zheng et al., 2020). The 98 calibration and processing time to obtain ET in high-temporal and -spatial resolution for large areas for all urban variations is 99 very demanding, if not unfeasible (Zheng et al., 2020). It is also complicated to define a spatial and temporal resolution suitable 100 for most of the required model inputs and outputs (Rafael et al., 2019). For instance, impervious areas are mainly static over 101 a one-year interval, while characterising the weather conditions in an hourly resolution is desirable. Thus, a model that 102 embedded all the interactions between atmospheric conditions, vegetation and soil properties, impervious fractions and 103 anthropogenic heating would be mostly redundant in space or time for hourly ET estimation.
- 104 This study aims to develop a robust and transferable method to map urban ET at any location in the city using a high-resolution 105 spatiotemporal model that requires only freely available data inputs. The novelty is to provide a solution that combines the 106 high temporal dynamic of ET in a vegetated environment with the spatial fragmentation in urban environments, producing a 107 less computationally expensive but plausible ET product. We assume that terrestrial ET is mostly derived from plant 108 transpiration and soil evaporation, considering these sources to be essential in mitigating the UHI and droughts by better 109 managing green areas in the cities. We neglected interception loss from precipitation and latent heat fluxes from anthropogenic 110 sources such as car combustion or house heating. These sources are not directly associated with ET's cooling effect and may 111 mislead urban planning as they are likely inversely proportional to UHI and droughts. We propose a process-based SVAT 112 model (i.e. SCOPE) combined with a correction factor for urban environments based on vegetation fraction to derive hourly 113 ET. The factor corrects the model bias due to impervious surfaces using vegetation fraction extracted by hourly footprints. The 114 hourly predictions for an entire year (12 months, 24 hours, 8760 timestamps) were compared to reference ET derived from the 115 Penman-Monteith equation and validated with flux tower measurements from two locations in Berlin, Germany. The study 116 focuses on modelling with open data from standard meteorological stations and remote sensing products available for most 117 medium and large cities of Europe, targeting transferability.

#### 118 **2 Methods**

### 119 2.1 Study area

Two sites in Germany's biggest city and capital, Berlin, were selected for this study because they are equipped with eddy flux towers. Berlin is situated in a temperate climate zone with humid sea air, presenting mild temperatures when air masses come from southerly directions and cooler air from the (Atlantic) north (Senate Department for Urban Planning and the Environment, 2015). Easterly air masses or continental wind directions usually bring extremely dry air and may cause very cold periods in winter and exceptionally hot days in summer. Berlin is mainly flat with an elevation of 34 meters above the sea (from 24 m to 120 m). The maximum annual volume of precipitation occurs in the summer, while winter months present the highest number

- 126 of hours under rainfall. The lowest precipitation (volume and occurrences) is often in the transitional seasons, with the driest
- 127 month usually being April (Fig. 2).
- 128



**Figure 1.** Locations of the two sites with the respective (a) vegetation fraction (%), (b) impervious fraction (%) and (c) vegetation height (m) in the surroundings of the flux towers (d). The red dotted areas represent a buffer of 1000 m around the towers (red dot), while the red ellipses are examples of hourly footprints. The black dots on the Berlin map (c) refer to the DWD weather stations Tegel and Dahlem. The three land surface maps were extracted from the Berlin Digital Environmental Atlas (Senate Department for Urban Development and Housing, 2017; Senate Department for Urban Planning and the Environment, 2014).

135 Despite being equipped with similar eddy covariance instrumentation, the locations present different levels of vegetation cover 136 and imperviousness. Although both sites have a clear urban character, one site is located in a relatively green neighbourhood, 137 while the other is in a central built-up area, with the two sites 6 km apart from each other (Fig.1a). The flux tower, referred to 138 as Rothenburgstraße (ROTH), is located in a research garden southwest of the city. ROTH observations are measured at 139 approximately 40 meters above the ground, a few meters higher than the tree canopies and the one building nearby. The other 140 flux tower, called TUB Campus Charlottenburg (TUCC), is located on top of the university's main building in the city centre 141 (Fig. 1d). The TUCC measurements are taken from a tower 10 meters above the roof and 56 meters above the ground. With 142 72 % imperviousness, the TUCC site is a denser built-up area than the ROTH site with 49 % (Fig 1b).

#### 143 2.2 Data

#### 144 **2.2.1 Eddy covariance flux towers**

- 145 The two eddy covariance (EC) flux towers are operated by the Chair of Climatology at the Technische Universität Berlin 146 (TUB) as part of the Urban Climate Observatory (UCO) Berlin (Scherer et al., 2019; Vulova et al., 2021). The EC measurement 147 system is based on an open-path gas analyser and a three-dimensional sonic anemometer-thermometer (IRGASON, Campbell 148 Scientific). The software EddyPro (Version 6.2.1) was used to derive turbulent fluxes of sensible and latent heat by processing 149 the raw data sampled at 20 Hz. The pre-processing of raw data at 30-min intervals was performed as suggested by Vickers and 150 Mahrt (1997), including physical threshold filtering, statistical screening and spikes elimination. The double rotation method 151 was applied by EddyPro for the calculation of a local streamlined coordinate system as determined by the flow statistics over 152 the 30-min averaging period. Furthermore, EC-data were corrected for air density and sonic temperature for humidity, high-153 and low-frequency spectral corrections (Moncrieff et al., 1997; Webb et al., 1980).
- 154 The 30-minute values of latent heat flux (LE,  $W/m^2$ ) under the following conditions were excluded: (1) observations with flag 155 quality higher than one (Foken, 2008); (2) values outside of the thresholds of  $-100 \text{ W/m}^2$  and 500 W/m2; (3) observations six 156 standard deviations (SD) greater than the average (outliers), and (4) measurements during precipitation or up to 4 hours after 157 rain events. Items one to three were performed using functions from the R package "FREddyPro" (Xenakis, 2016). The wind 158 directions  $17^{\circ}-35^{\circ}$  at TUCC and  $54^{\circ}-72^{\circ}$  at ROTH are susceptible to distortion due to the mounting setup of the instrument 159 (wind coming from behind the tower). However, as we are using a deterministic model that does not require training and the 160 effect on the model accuracy for ET was insignificant, these observations were preserved. Negative ET values (condensation) 161 were set to zero as annual sums in millimetres will be provided and we are only interested in the amount of water released into 162 the atmosphere by soil evaporation and plant transpiration processes. The entire year of 2019, including winter and nighttime, 163 was selected as there are EC observations simultaneously available for both towers in 2019.
- 164 The upward latent heat flux (LE,  $W/m^2$ ) observations were aggregated to hourly resolution and converted to ET by the expression ET = LE/ $\lambda$ , where  $\lambda$  is the latent heat of vaporisation (J kg<sup>-1</sup>). ET was calculated from LE as a function of air 165 166 temperature using the "bigleaf" R package (Knauer et al., 2018) in order to use the same procedure for both observed and 167 modelled LE from SCOPE. After pre-processing, from the 8760 timestamps, 43 % of the ROTH and 42 % of the TUCC data 168 were missing. The remaining values of ET, 4993 and 5104 values respectively, were used to assess the model accuracy. To 169 obtain monthly and yearly estimates from the observed ET, gap-filling is required. Given the strong seasonal and diurnal 170 variation of ET, linear interpolation is not recommended. A standard procedure uses the marginal distribution sampling (MDS) 171 gap-filling algorithm, which considers meteorological variables to account for the daily and annual seasonality (Falge et al., 172 2001; Wutzler et al., 2018). We performed (MDS) gap-filling using the R package "REddyPROC" (Wutzler et al., 2018). 173 Monthly and yearly values of ET from MDS gap-filling will later be compared with the modelled ET predictions.

#### 174 2.2.2 DWD meteorological data

175 In order to use model inputs completely independent from the flux towers, data from the meteorological stations of the German

176 Meteorological Service network (DWD Climate Data Center) were selected based on the distance to the flux towers (DWD,

177 2020). The data from the meteorological stations, Tegel (~5 km from TUCC) and Berlin-Dahlem (~1 km from ROTH), were

used as model inputs (Table 1). The variables shortwave and longwave radiation were collected from the Potsdam station to

represent both sites. Potsdam station is located in the neighbouring city with the same name, ~19 km and ~23 km from the flux

180 tower sites.

#### 181 2.2.3 Remote sensing and GIS data

182 The LAI300m (V1) product generated by the Global Land Service of Copernicus, the Earth Observation program of the 183 European Commission, provides a valuable estimate of an essential biophysical parameter to model ET (Table 1). The 184 Copernicus product provides a grid of LAI values with 300 meters spatial resolution and ten days temporal resolution (Bauer-185 Marschallinger and Paulik, 2019). The product is based on PROBA-V data, and the LAI was estimated by neural network 186 algorithms trained with MODIS and CYCLOPES products. The product was atmospherically corrected, with outlier removal 187 and cloud masking. Smoothing and gap-filling operations were applied based on the land cover type and temporal performance. 188 A time series of 36 LAI maps for 2019 were downloaded and linearly interpolated to match the timestamp of the observed ET. 189 We assumed that in between the 10-days gap, the differences that occurred were relatively minor and irrelevant to this study. 190 Although the GIS data such as vegetation height and vegetation fraction are fixed for a specific time, the corresponding source 191 area of the EC flux measurements (e.g. ET or LE) continually varies in shape, size and orientation. Therefore, areas of influence 192 (footprints) were calculated every hour of 2019 for both towers to capture the spatiotemporal dynamics of the surface 193 properties. The footprint model, according to Kormann and Meixner (2001), was applied using the R package "FREddyPro" 194 (Xenakis, 2016). The input data for footprint modelling were derived from the flux towers measurements, except for the 195 aerodynamic parameter (zd), roughness length (zo) and zero-plane displacement (d). These parameters were calculated from 196 building and vegetation height by seasons (i.e. winter, summer, and intermediate) to incorporate changes in tree foliage. For 197 further information about how the parameters were calculated, see Kent et al. (2017) and (Ouanz, 2018).

198 The footprints were based on a regular grid of 10 m resolution with an extent (x, y) of 1000 m from the tower locations (fetch 199 size). For each grid pixel, the probability that the source area belongs to the flux measurements influence zone was calculated 200 for every hour (Schmid and Oke, 1990). These grids of probabilities, excluding pixels outside of 90 % of the footprint 201 likelihood, were multiplied to the raster of the surface property (e.g. vegetation height) to extract average values for each 202 timestamp of 2019. Surface properties to characterise the two Berlin sites were derived from a publicly available GIS database. 203 Vegetation fraction (%) and vegetation height (m) was obtained from the Green Volume publication (edition 2017) from the 204 Berlin Digital Environmental Atlas (Senate Department for Urban Development and Housing, 2017). All the layers of GIS 205 maps were converted to a raster with 10 meters resolution and resampled to the footprint grid of each tower to extract the

- average surface properties per timestamp. The raster layers of each land surface were then multiplied by a footprint raster, and
- 207 the resulting pixel values were summed to obtain the weighted averages for each site and timestamp.
- 208
- 209 **Table 1.** Datasets and data sources used to model ET in this study

Dataset	Variables	Sources
Meteorological data -DWD stations	Air temperature (Ta, °C), air pressure (p, hPa), relative humidity (rH, %), wind speed (ws, m s <sup>-1</sup> ) and direction (wd, degree), precipitation events (Oc_prec, yes/no), precipitation volume (V_prec, mm/h), incoming shortwave radiation <sup>(a)</sup> (Rin, J/cm <sup>2</sup> ), incoming longwave radiation <sup>(a)</sup> (Rli, J/cm <sup>2</sup> ).	DWD Climate Data Center <u>http://ftp-</u> <u>cdc.dwd.de/climate_environment/CDC/</u> <u>observations_germany/climate/hourly/</u>
Eddy covariance data - EC flux tower	Latent heat flux (LE, W m <sup>-2</sup> ), wind speed (ws, m s <sup>-1</sup> ), wind direction (wd, degree), friction velocity (u*, m s <sup>-1</sup> ), Obukhov length (L, m) and northward wind (v_var, m <sup>2</sup> s <sup>-2</sup> ).	Urban Climate Observatory (UCO); Chair of Climatology - Technische Universität Berlin (TUB)
Remote sensing data - Copernicus	Leaf Area Index - 300m resolution (LAI, unitless)	Global Land Service of Copernicus – Portal Distribution <u>http://land.copernicus.vgt.vito.be/PDF/</u> <u>portal/Application.html</u>
RS hyperspectral data - Soil samples	Soil spectral reflectance (Soil_ref, unitless)	It was collected using a field spectrometer (ASD3) with a probe at the ROTH site.
GIS/RS data - Berlin Environmental Atlas, Green Volume (Edition 2017)	Vegetation fraction (Veg_frac, %), vegetation height (hc, m), roughness length <sup>(b)</sup> (zo, m) and zero-plane displacement <sup>(b)</sup> (d, m)	Berlin Senate Department for Urban Development and Housing <u>https://fbinter.stadt-</u> berlin.de/fb/wfs/data/senstadt/s_05_09 _gruenvol2010

210 (a) Rin and Rli were later transformed to [W m<sup>-2</sup>], and (b) calculated based on the vegetation and building height.

In this study, water bodies were omitted as they represent only 2.7 % of land cover at the TUCC site and 0 % at ROTH on average. The Berlin Environmental Atlas also presents a detailed set of maps from the study "Surface runoff, percolation, total runoff and evaporation from precipitation" (Senate Department for Urban Planning and the Environment, 2019). This study will be used for comparison with our results.



216 217 218

Figure 2. Time series of the main variables used in this study for both sites in 2019, where the green colour represents the data from the ROTH site and blue the TUCC site. (a) Air temperature (Ta), the dotted lines represent the maximum and minimum daily values and solid 219 220 lines represent average daily values; (b) Incoming shortwave radiation (Rin) is common for both sites, where the solid black line represents the average and the dotted the maximum daily values; (c) LAI RS-derived values; (d) the volume of precipitation (mm); and (e) the 221 evapotranspiration observations from the EC towers (ET).

#### 222 2.3 Model approaches

### 223 2.3.1 Penman-Monteith model

A formulation based on the Penman-Monteith equation (the ASCE standardised equation for short crops) was used to calculate reference ET (ETo) (Allen et al., 1998, 2005). Hourly ETo was calculated by providing air temperature, wind speed, relative humidity, and incoming shortwave radiation as model input using the R package "water" (Olmedo et al., 2016). As this formulation of ETo assumes a homogeneous landscape of short crops, no land surface information is required, and the model is exclusively driven by meteorological conditions (table 2). Penman-Monteith ETo is a well-known and established approach which will be used as a benchmarking method to evaluate to what extent including inputs that characterise surface properties can improve ET prediction accuracy.

#### 231 2.3.2 SCOPE model

The Soil-Canopy-Observation of Photosynthesis and Energy fluxes (SCOPE) is a process-based model (i.e. SVAT model), which integrates radiative transfer models (RTM) of soil, leaf and canopy with energy balance models (van der Tol et al., 2009). SCOPE is an ensemble model approach, combining one-dimensional bidirectional turbid medium radiative transfer, micrometeorology and plant physiology (van der Tol et al., 2009). This configuration allows SCOPE to account for a wide range of surface-atmosphere interactions, requiring different model inputs according to the target outputs.

237 Since SCOPE is a 1-D vertical model that assumes horizontal homogeneity, it is not designed for heterogeneous urban areas 238 (Yang et al., 2020). However, as our focus is on soil evaporation and plant transpiration, the SCOPE model provides the 239 necessary framework for our application due to the following reasons: (a) the capacity to integrate both high-resolution 240 climatological and medium-resolution remote sensing data inputs for vegetation and soil such as LAI, vegetation height and 241 soil moisture; (b) Sophisticated approach to estimating energy fluxes: SCOPE calculates the essential elements of the energy 242 fluxes, including LE, H, G, net radiation, soil and canopy temperature, friction velocity, and aerodynamic resistance. It also 243 estimates energy fluxes (LE and H) for soil and vegetation separately and warns when the energy balances cannot be closed 244 for a specific timestamp. There are also options to correct for Monin-Obukhov atmospheric stability and Vcmax for 245 temperature, which is crucial to ET estimation.

The model is divided into different modules, allowing the user to focus on essential inputs for estimating heat flux outputs. SCOPE automatically calculates the effect of solar angles on the fraction of sunlit and shaded leaves, reducing the time lag difference between the spectral data and ET observations across the year driven by the fluctuation in sun zenith angle. The calibration and processing time permit high temporal resolution predictions for many different points in space and time. The most important groups of variables to estimate LE are (1) meteorological inputs such as incoming shortwave radiation (Rin), air temperature (Ta) and atmospheric vapour pressure (ea); (2) biochemical plant traits inputs such as the Ball-Berry stomatal conductance parameter (m) and maximum carboxylation capacity (Vcmax); and (3) biophysical inputs as leaf angle

- distribution (LIDFa, LIDFb) and LAI (Yang et al., 2020). No anthropogenic heat sources contribute to latent heat fluxes in
- 254 SCOPE, nor does building shadow constrain it. Interception loss from precipitation is also not accounted for by the model.
- A list of the model inputs that vary across the timestamp used in this study is provided in Table 2. Since changing all model
- 256 inputs of SCOPE to realistic values for a time series of hourly observations is almost unfeasible, the other parameters were
- 257 kept constant, except for the roughness length (z0) and zero-plane displacement (d), which were set based on the footprints.
- 258 Three scenarios were tested: (1) a SCOPE model with the same input variables as used for reference ETo (Penman-Monteith);
- (2) a SCOPE model with all available inputs from the DWD datasets; and (3) a SCOPE model that combines DWD data with
- 260 RS data. The model output, total LE  $(W/m^2)$ , was converted to ET (mm/hour) using the same procedure used for the EC tower
- 261 data. The modelling was performed in MATLAB R2018b using SCOPE version 2.0 (Yang et al., 2020).
- 262
- 263 Table 2. Input parameters which vary hourly for each SCOPE scenario

Model inputs	SCOPE scenarios				
•	ЕТо	DWD	DWD+RS		
Air temperature [°C] (Ta)	Х	Х	Х		
Relative Humidity [ . ] (RH)	Х	Х	Х		
Wind speed $[m s^{-1}]$ (u)	Х	Х	Х		
Incoming shortwave radiation [W m <sup>-2</sup> ] (Rin)	Х	Х	Х		
Incoming longwave radiation [W m <sup>-2</sup> ] (Rli)		Х	Х		
Air pressure [hpa] (p)		Х	Х		
Solar zenith angle [deg] (tts)		Х	Х		
Leaf Area Index [ . ] (LAI)			Х		
Vegetation height [m] (hc)			Х		
Soil reflectance [ . ] (soil_refl)			X		

264 (tts) was derived from the DWD timestamp. The setting options' soil heat method' and 'applTcorr' to correct vcmax parameter by 265 temperature were used to run the model scenarios DWD and RS.

### 266 2.3.3 Correction factor for urban environments

- Our focus is on the primary sources of terrestrial ET (plant transpiration and soil evaporation). Climatological conditions are
   the main drivers of terrestrial ET, which present a high temporal dynamic. On the other hand, fragmented urban land cover
- and impervious surfaces are the main constraints of ET released into the atmosphere. Therefore, a model to predict urban ET

accurately requires high-temporal and -spatial resolutions. Still, processing all time-space interactions is demanding and currently unfeasible for the resolution needed for our application. However, ET predictions from SCOPE are likely to be biased if the imperviousness areas are not accounted for, as the models assume homogeneous vegetation (horizontally). Based on these assumptions, we propose this two-stage modelling approach to capture most of the spatiotemporal variability of ET without making the model overly complex. First, we predicted ET using the SCOPE model for the described scenarios. Then, we corrected the predictions to represent only vegetated areas extracted from the footprints of each timestamp.

This strategy combines hourly SCOPE predictions with high spatial resolution vegetation fraction maps to correct the assumption of homogeneous vegetation and impervious areas. Impervious areas are mainly static over a one-year interval; therefore, embedding urban features and anthropogenic heat sources in the model would be predominantly redundant and very computationally demanding. This approach allows us to predict ET for different spatial and temporal resolutions, which would be more complicated if using a more specialised urban model for hourly ET predictions. In order to correct the ET predictions according to the surface characteristics of each site, we use the extracted vegetation fraction average from the footprints per timestamp to subtract the ET estimated in impervious areas with a 10 meters resolution product.

The correction factor for urban environments is a relative value that varies from 0 to 1, where zero means completely impervious and one fully vegetated. The factor is multiplied by the total ET predictions from SCOPE and ETo from Penman-Monteith to provide the corrected estimate for each timestamp. This approach assumes zero ET coming from impervious fractions. However, none of the footprint estimations of the correction factor (i.e. vegetation fraction) was entirely impervious. The ROTH site presents an annual average (footprint) of vegetation fraction of 0.55 (0.15-0.77) and canopy height of 7.7 m (2.9-10.0), while the TUCC site presents an average of 0.27 (0.03-0.87) and 7.1 m (2.7-15.1), respectively. Street trees were considered for the calculation of vegetation height and fraction.

#### 290 2.3.4 Model assessment

291 As both models are fully deterministic, no train and test splitting or cross-validation approaches are needed to select and 292 validate the models. The model accuracy was assessed using all available ET values from the flux tower time series. To assess 293 model precision, the metrics Root Mean Square Error (RMSE) and the coefficient of determination  $(R^2)$  between predicted 294 and observed ET were used. Since deterministic or process-based models are more prone to prediction biases than fitted 295 empirical models, the relative bias (rBias) was assessed. In this study, bias relative to the observed ET was also used as an 296 indicator of the correction factor efficiency in providing unbiased predictions in an urban environment. All plots and metrics 297 for model assessment were performed using the "ggplot2" package (Wickham, 2016) and basic functions in R software (R 298 Core Team, 2020).

#### **3. Results**

#### **3.1 ET prediction in urban environments**

As atmospheric conditions are the main drivers for evapotranspiration, we first tested the similarity of the climatological variables measured by the flux towers compared to nearby standard meteorological (DWD) stations. The results (Fig. 3a) show that there is a strong relationship between the ETo calculated using data from flux towers (x-axis) and data from DWD stations (y-axis), but also between the locations using the same data. For any of the six combinations of ETo pairs, the coefficient of correlation is at least 0.96 (not shown). This result indicates that a nearby meteorological station can represent the local atmospheric conditions without losing significant accuracy. Therefore, we use only publicly available meteorological model inputs to predict ET, completely independent from the measurements of the two towers. As meteorological variables and vegetation fractions are available for most medium and large cities of Europe, there is a great potential for the methodology to be transferred for other locations based on the promising results shown for the two EC towers in Berlin.





**Figure 3.** The relationship between ETo calculated using data from the meteorological stations and EC towers data (a), ETo from the DWD data versus observed ET from the EC tower (b), ETo (corrected) versus observed ET (c), corrected SCOPE\_ETo inputs versus observed ET (d), corrected SCOPE\_DWD versus observed ET (e), and corrected SCOPE\_RS versus observed ET (e). The green dots represent the ROTH site, and the blue dots represent the TUCC site.

Although atmospheric conditions and water availability mainly drive the temporal variability of ET, the spatial variability, which determines the volume of ET, depends primarily on the land surface characteristics. The models clearly overestimate ET in highly fragmented landscapes with impervious surfaces, as shown in Figure 3b. The difference between the two towers emphasises the dependence on the vegetation fraction. The ROTH site contains a higher average of vegetation and pervious fractions (55 % and 49 %) than the TUCC site (27 % and 28 %). Therefore, the model bias at ROTH is more than twice as low as when the model is applied at TUCC without the correction factor (Table 3). As presented before, the ETo of the two towers is very similar, while the observed ET is twice as low at TUCC.

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Table 3. Model accuracy for each scenario according to the metrics RMSE,  $R^2$  and relative bias for ETo (Penman-Monteith) and SCOPE, with and without the correction factor for urban environments. The highlighted bold values represent the highest precision and lowest bias based on each metric.

Model	Input scenarios	Correction for urban environments	ROTH			TUCC		
approaches			RMSE	R <sup>2</sup>	rBias	RMSE	R <sup>2</sup>	rBias
ЕТо	ЕТо	uncorrected	0.126	0.80	1.57	0.165	0.53	3.83
	ЕТо	corrected	0.051	0.82	0.48	0.033	0.48	0.32
SCOPE	ЕТо	uncorrected	0.081	0.77	0.71	0.114	0.49	2.22
	ЕТо	corrected	0.033	0.78	-0.007	0.024	0.45	-0.12
	DWD	uncorrected	0.063	0.82	0.64	0.099	0.51	2.09
	DWD	corrected	0.026	0.83	0.05	0.021	0.47	-0.16
	DWD+RS	uncorrected	0.061	0.81	0.74	0.100	0.51	2.20
	DWD+RS	corrected	0.026	0.82	0.001	0.021	0.47	-0.13

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328 The proposed correction factor for urban environments reduces the prediction biases (rBias) and model errors (RMSE) 329 significantly. The corrected ETo prediction from Penman-Monteith, which initially presents a rBias of 1.57 and 3.83 (ROTH 330 and TUCC), is reduced to 0.48 and 0.32, respectively (Table 3). For ROTH, while RMSE has decreased by a factor of more than two after the predictions were corrected, the R<sup>2</sup> value was kept similar to the original. For TUCC, RMSE was reduced 331 332 even further, but also the  $R^2$ , which was caused by a reduction in the range of values after being corrected. Despite the 333 significant improvement using the correction factor, ET prediction based on ETo is still biased, which agrees with other authors 334 that have reported recurrent overestimation from Penman-Monteith models even at fully vegetated areas (Allen et al., 2005; 335 Ortega-Farias et al., 2004).

336 SCOPE model outputs have similar  $R^2$  but drastically reduce the relative bias and model error for the corrected predictions 337 compared to ETo predictions. The SCOPE model using the same input variables as the ETo model is more accurate than the 338 Penman-Monteith model. However, the model accuracy is further improved ( $R^2$  of 0.82 and RMSE of 0.026) by the inclusion 339 of other DWD scenario input parameters such as incoming longwave radiation (Rli) and atmospheric pressure (p). The SCOPE 340 models for the RS and DWD scenarios for ROTH present a similar accuracy but lower bias, 0.1 % (RS) against 5 % (DWD). 341 The reduction in bias in the RS scenario can be explained by the inclusion of LAI, which provides a more precise estimation 342 of the vegetation structure in the early season, improving the ET predictions considerably for April. The SCOPE RS model 343 for TUCC presents an even smaller RMSE (0.021) but a much smaller R<sup>2</sup> and higher bias than ROTH. The ET range partially 344 explains these differences in  $R^2$  between the two towers, varying from 0 to 0.29 mm at ROTH and from 0 to 0.16 mm at TUCC.

#### 345 3.2 ET seasonality

ET varies greatly across the day and seasons according to changes in meteorological conditions (e.g. temperature, radiation), plant phenology (e.g. LAI, stomatal conductance) and water availability (dry and wet seasons). Figure 4 (c) and (d) shows the variability in average hourly ET across the months between the two towers (black line). The differences in scale between the two sites are clear, but they present very similar behaviour across time. The predictions using corrected ETo (orange line) overestimate ET from February to October for ROTH and from April to September for TUCC but fit well otherwise. The corrected SCOPE models exhibit the opposite behaviour, being more accurate around the spring-summer and underestimating otherwise.

353 Observed ET is only higher than predicted ETo in January and December for both sites. The periods when SCOPE models 354 underestimate predictions correspond precisely with the months in which the number of hours of precipitation is higher than 355 the average (Fig. 4a). April was an extremely dry month, and all models overestimated ET for both sites, as ET is limited by 356 underground water. A second condition occurred in April, causing a significant increase in vegetation fraction and a decrease 357 in impervious fraction extracted from the footprints at the TUCC site. Atmospheric conditions have led to overall greener 358 footprints as they were atypically concentrated in a vegetated area (park), reducing the effect of the correction factor without 359 increasing ET values. This phenomenon may occur at TUCC because the tower is located on the top of a building completely 360 sealed with a surrounding wall (Fig. 1) and the effect of dry and wet surfaces are more noticeable there than at ROTH.



361
 Figure 4. Hourly averages per month in 2019 for (a) precipitation events; (b) percentage of vegetation fraction (solid line) and impervious
 363 fraction (dashed lines); (c) predictions for the ROTH site; and (d) predictions for the TUCC site. The observed ET (black line) and corrected
 364 ETo (orange line) for both sites. The corrected SCOPE predictions are represented by green lines for ROTH and blues for the TUCC site.
 365 The light to dark colours represent SCOPE\_ETo, SCOPE\_DWD and SCOPE\_RS, respectively, for both sites.

Analysing model accuracy in the time series, as expected, the error (mm/h) is not randomly distributed around zero across the year. The predictions, in general, are overestimated in summer and underestimated in winter. As both approaches are deterministic, there is no assumption of the independent and identical distribution residuals as in empirical models. However, temporal distribution in the residuals (autocorrelation) can help identify in which environmental conditions the precision and bias in predictions affect the overall accuracy. In our case, Figure 5 clearly shows that model bias is strongly related to the volume of rain over the season.



374 375

Figure 5. Smoothed time series of the volume (mm/h) of precipitation (grey line) and model error (observed-predicted) for the ROTH site 376 (a) and the TUCC site (b). Smoothing function (formula = y~splines::bs(x,20)).

377 The curve of the SCOPE model errors has very similar behaviour compared with the millimetres of rainfall across the year. 378 When the volume of precipitation is over a certain threshold (around 0.5 mm/h), the ET predictions are underestimated, while 379 the model often overestimates ET below the threshold. The predictions based on ETo are most overestimated during the spring 380 and summer seasons. The year 2019 was extremely dry, Germany's third-warmest year since 1881 (German Weather Service 381 - DWD), partially explaining the overestimated ET values, especially in the most vegetated site (ROTH, Fig. 5b).

#### 382 3.3 Monthly and yearly ET estimations

383 As 42 % of the hourly ET observations were missing values, we performed the MDS gap-filling method to estimate monthly 384 or yearly observed ET values. 336 mm/year was estimated for the ROTH site, representing 66 % of the observed annual 385 precipitation (Fig 6). This value is similar to the corrected SCOPE RS model, 330 mm/year or 65 % of the annual precipitation 386 according to the nearby DWD meteorological station. The corrected ETo annual estimate of 477 mm/year (94 %) is most likely 387 overestimated. At the TUCC site, MDS gap-filling estimates 188 mm/year, representing nearly half of the annual precipitation 388 volume (47 %), which is much lower than at ROTH. The ETo estimated at TUCC is 236 mm, representing 59 % of the annual precipitation, while the SCOPE models estimate the lowest values, ranging from 146 to 151 mm/year (36 % to 38 %). The maximum volume of precipitation for ROTH (i.e. Dahlem station) was observed in June (75 mm) and the minimum in April (6 mm). The maximum value of estimated ET was 95 mm for ETo and 67 mm for SCOPE\_RS, also in June, while the minimum was 6 mm and 4 mm respectively in January. The TUCC site (i.e. Tegel station) presents a maximum volume of precipitation in March (62 mm) and the minimum also in April (7.5 mm). The ET estimate reaches the maximum of 49 mm and 32 mm for ETo and SCOPE\_RS models in June and a minimum of 3.2 mm and 2.2 mm in December, respectively.





**Figure 6.** ET by day of the year and hours of the day for the ROTH site. Observed ET after cleaning (a), observed ET gap-filled with MDS (b), Penman-Monteith ETo (c), predicted ET with SCOPE\_ETo model (d), predicted ET with SCOPE\_DWD model (e), and predicted ET with SCOPE\_RS model (f). For TUCC, see Fig. A1 in Appendix A.

#### 400 **4. Discussion**

#### 401 **4.1 Urban environment and ET**

402 As demonstrated in this study, the approach combining a correction factor for urban environments with a SVAT model can 403 provide accurate predictions of ET, similar to the values measured by the eddy covariance method. However, our approach 404 offers a low-cost and less computationally intensive method to estimate ET using data from standard meteorological stations 405 combined with freely available remote sensing data. The reduced number of model inputs optimises calibration time, and 406 parsimony often results in more transferability. Data from stations provide consistent measurements with nearly no missing 407 values, while EC data often present significant gaps. We also showed that similar atmospheric conditions would produce very 408 distinctive ET values as the process is highly dependent on the vegetation fraction of the location under consideration. As a 409 sum of evaporation from the soil, plant transpiration and intercepted precipitation, the volume of water released into the 410 atmosphere by ET varies significantly according to the imperviousness. Our assumption that most terrestrial ET could be 411 attributed to the two primary processes of soil evaporation and plant transpiration seem to be valid for the urban environment. 412 The most vegetated urban site (ROTH) has presented a high accuracy for the ET predictions and no bias after the proposed 413 correction. Furthermore, daytime ET in the summertime is twice as high in ROTH, the highly vegetated site, as in TUCC, 414 demonstrating the dominant contribution of transpiration to urban ET.

415 Despite the predominant role of soil evaporation and plant transpiration, interception loss is also a substantial component of 416 urban ET. According to Ramamurthy and Bou-Zeid (2014), wet impervious surfaces evaporate at higher rates than wet 417 vegetation as they often store more heat. They conclude that evaporation from wet impervious surfaces such as concrete 418 pavements, asphalt and building rooftops accounted for around 18 % of the LE and may last up to ten days, with the highest 419 evaporation rates occurring 48 hours after a precipitation event. The EC tower at the TUCC site is installed 10 m over a building 420 with a flat roof, intensifying the interception loss even in low radiation and air temperature conditions. For the denser built-up 421 site (TUCC), the lower accuracy and the relative underestimation (-0.13 of bias) in comparison to ROTH could mostly be 422 attributed to interception loss combined with higher land surface temperature caused by anthropogenic heating (Fig. 5b). Based 423 on Figs. 4 and 5, the interception loss could explain most of the model error at the TUCC site, indicating that the impervious 424 urban canopy may intercept more precipitation and evaporate faster than the vegetated canopy.

The monthly and annual ET values may be underestimated, especially in the highest built-up areas, as interception loss and precipitation are not part of the model. Furthermore, in winter, condensation generates wet surfaces at night (cars, windows, roads, metal roofs), which evaporate again during the day, increasing the ET measured by the EC method, similarly to interception loss. The model underestimation occurs mainly at night and winter, which makes us conclude that direct anthropogenic heat sources have a minor contribution to LE during the spring and summer. However, during winter, neither moisture nor the cooling effect capacity of ET is important in this part of the globe.

The intercepted precipitation on impervious surfaces does play a role in increasing the evaporation after rainfall, as demonstrated by the underestimated model prediction in the period when more frequent rain events occur (Fig. 5). However, 433 intercepted precipitation is an independent process that should not be mixed with evaporation from plants and soil for two 434 reasons. First of all, it is difficult to assess the contribution of interception loss to measured LE, as the EC data during and just 435 after rain is not available (missing values) or non-reliable. Wouters et al. (2015) could only select four events with at least 18 436 continuous hours observed after rainfall due to data gaps during these periods. The authors conclude that ET increases 437 immediately after rain in the daytime and remains high up to 12 hours, estimating that 12.5% of the precipitation evaporates 438 from impervious surfaces at one of the sites. The second reason is that despite increasing ET and affecting the EC 439 measurements, interception loss from impervious surfaces does not mitigate UHI, droughts or make cities more sustainable. 440 On the contrary, partially- or non-sealed surfaces favour percolation, recharging the groundwater and maintaining soil moisture 441 (Gillefalk et al., 2021). Also, depending on the topography, the capacity to store water on impervious surfaces can vary greatly. 442 Classical process-based models using the Penman-Monteith equation focus mostly on the atmospheric interfaces, lacking 443 representation of soil and vegetation properties. The crop or grassland factors suggested for the Penman-Monteith equation are 444 often calibrated for the growing season in optimal conditions (Allen et al., 1998), which otherwise overestimate ET. However, 445 using variables to characterise plant phenology and water availability in the soil offered in the SCOPE model allows for a 446 comprehensive parameterisation to capture the ET variation in the vegetated areas of the city (van der Tol et al., 2009). The 447 effect of surface heterogeneity in the horizontal direction, typical in an urban environment, is not addressed by (1D) SCOPE 448 or Penman-Monteith-based models. However, accounting for surface-atmosphere interactions in vegetated fractions with a 449 SCOPE model combined with high-resolution land cover to mask the impervious areas makes it possible to predict ET 450 accurately in urban environments.

#### 451 **4.2 ET time series and model validation**

452 The EC system used in this study is one of the most suitable approaches for deriving observed terrestrial ET, especially in 453 urban areas (Foltýnová et al., 2020; Nouri et al., 2013). Nevertheless, there are some drawbacks to EC measurements, such as 454 (1) LE is a measurement of energy to transform water to vapour (ET) but also the other way around (condensation), which 455 produces negative ET values; (2) The source area representing EC measurements varies continuously in size and shape, which 456 make difficult to identify the surface from which ET is released in heterogeneous urban environments (Kljun et al., 2002; 457 Kotthaus and Grimmond, 2014; Schmid and Oke, 1990); (3) During rain and after a certain subsequent period, EC 458 measurements are not reliable, presenting unrealistically high values of ET (Kotthaus and Grimmond, 2014; Ward et al., 459 2013a); (4) Anthropogenic vapour emissions such as car exhaust or building heating are accounted as ET as well (Karsisto et 460 al., 2016; Kotthaus and Grimmond, 2012; Nordbo et al., 2012; Ward et al., 2013a); and (5) It is not possible to separate 461 evaporation into soil evaporation, plant transpiration and interception loss from precipitation (Nouri et al., 2013).

462 Despite the EC method being the closest attempt to measure ET directly, studies have reported accuracy varying from 5 % to 463 20 % (Foken, 2008; Liang and Wang, 2020), which may be even higher in urban environments as the lack of energy balance 464 closure is more pronounced. The ET concept also raises open questions about whether terrestrial ET should be considered zero 465 during rain or nighttime and to what extent all mentioned evaporation processes exhibit similar seasonality. For instance, soil 466 evaporation and plant transpiration are strongly correlated (in energy-limited regions) as they have similar drivers. On the 467 other hand, vaporisation of intercepted water behaves differently across seasons, and it is mainly driven by precipitation and 468 less constrained by net radiation (Webb et al., 1980). However, interception can exceed daytime transpiration rates even at 469 night and is disproportionately high in winter (Martens et al., 2017; Miralles et al., 2020). A combination of sap flow and flux 470 tower measurements could increase the understanding of under what circumstances or atmospheric conditions (in particular 471 solar radiation and precipitation) ET measurements based on eddy covariance agree with the water uptake by trees.

472 The differences between evaporation processes also vary across space in an urban environment and present space-time 473 interactions. For instance, the ROTH site presents a considerably higher overall ET and vegetation fraction, but the average 474 ET at night at TUCC is higher than at ROTH for all seasons. ET shows a moderate correlation (0.35 and -0.44) with vegetation 475 fraction and impervious fraction extracted from the footprints for the ROTH site but no significant correlation for the TUCC 476 site. However, vegetation fractions can partially explain the difference between observed ET and reference ET (ETo) in spring 477 and summertime, presenting a correlation of 0.44 for the TUCC site and 0.62 when both locations are analysed together. In 478 summer at the ROTH site, the percentage of vegetation fraction increases during the day up to noon, while the impervious 479 fraction presents the opposite behaviour (Fig. 4b), which may partially explain the better correlation. These differences in 480 footprint size across the day are affected by alterations in atmospheric stability and wind speed, which, combined with the 481 vegetation-impervious composition in the tower surroundings, determine the vegetation fraction in the zone of influence.

The discrepancy between the concept of modelled ET and the direct EC measurements makes model validation challenging. Some of the model bias could be attributed to the flux tower measurements. For instance, the underestimation in the ET predictions around winter and periods with higher precipitation could be an artefact of bias in EC measurements caused by water in the instrument. Ward et al. (2013) also indicate that LE measured by the EC method presents significantly higher values than modelled LE in the following hours after rainfall. EC measurements can also be unreliable during certain conditions such as non-steady-state or absence of well-developed turbulence. LE measurements from EC towers are reported as slightly underestimated due to the lack of closure in energy balance caused by low turbulence (Kracher et al., 2009).

489 Both predictions and observations present a certain level of bias and imprecision (random and systematic errors) that behave 490 differently according to the environmental conditions and model calibration. Therefore, when seeking global model accuracy, 491 one may increase the bias to fit the observed ET better in general, affecting predictions in other conditions in which the model 492 could be closer to reality than the EC measurement. A better approach would be to calibrate the model separately for different 493 conditions. For instance, the bias values for TUCC can be further reduced if the correction is applied only during the daytime 494 or if LE soil and LE canopy estimates from the SCOPE model are corrected by vegetation fraction and impervious fraction 495 separately (not shown). Also, model accuracy was significantly improved when the option to correct the parameter vcmax by 496 the hourly temperature was selected, showing that the seasonality of photosynthetic parameters is highly important for ET 497 estimates.

The inclusion of remote sensing data proved beneficial in modelling urban ET using SCOPE. Important plant phenology parameters such as LAI, water content and chlorophyll (Chl) can be obtained using available satellite images (Raj et al., 2020). 500 Our study included remote sensing-derived LAI from Copernicus, reducing bias in ET predictions in the early spring. 501 Incorporating LAI was particularly beneficial in April, as using the default constant value of LAI overestimates ET. In 2019. 502 the air temperature started to increase in this period, but the canopy foliage was still incomplete. The inclusion of canopy 503 height in combination with LAI further improved the model accuracy in general. The temporal variability of ET makes it 504 challenging to align all of the essential SCOPE parameters in space and time with remote sensing data (Pacheco-Labrador et 505 al., 2020). Given the differences in temporal resolution of the model inputs and ET seasonality (daily and yearly), the validation 506 should not only focus on the general accuracy but also assess the residuals across time and space to evaluate the impact of each 507 parameter in the model performance.

#### 508 **4.3 Model comparison and generalisation**

509 The advantage of a process-based model (i.e. fully deterministic) over an empirical model is that training is not required, which 510 increases the chances of generalising the model to other locations. Our approach can be applied to estimate ET at any location 511 in the city or time aggregation (ranging from hourly to annually). The network of DWD stations could be used to create 512 spatiotemporal raster layers with the primary inputs of atmospheric conditions required to model ET using the grid resolution 513 of the land surface data. Combining high temporal resolution raster data of atmospheric conditions and land cover surface data 514 with high spatial resolution can make it feasible to produce accurate ET maps for entire metropolitan regions. We demonstrate 515 that one meteorological station is enough to provide input variables to characterise the atmospheric conditions for different 516 locations in a large city such as Berlin. For instance, the incoming solar radiation inputs (shortwave and longwave) used in 517 this study were provided by a DWD station located in another town (Potsdam) more than 20 km from both sites. A high spatial 518 resolution is not as crucial to represent the atmospheric conditions as a high temporal resolution (e.g. hourly). However, this 519 approach requires adequate spatial resolution of the vegetation fraction to apply the correction for urban environments.

520 Our approach requires fewer and freely available model inputs, demanding less calibration and computational cost than 521 hydrological and urban models that provide ET or LE as output. For instance, SUEWS models have many non-ordinary inputs 522 that are difficult to supply in a high temporal and spatial resolution (Järvi et al., 2011). Several inputs are described as important 523 in the model, including the fraction of irrigated surface area, soil fraction without rocks and maximum soil storage capacity. 524 Rafael et al. (2020) state that the availability of measured data is a limitation for applications. UT&C models require even 525 more complex parameters, including the wall's distance to the tree trunk (m), albedo and emissivity of walls, the thickness of 526 walls and roofs, and volumetric heat capacity of impervious surfaces, roofs and walls. These variables are possible to estimate 527 for experimental models at a reduced scale but unreasonable to be applied in real-life cases, especially when aiming to map 528 ET at a high spatial resolution for an entire city. While the SCOPE model includes more than 60 inputs, our study shows that 529 calibrating no more than ten inputs was enough to have relatively high accuracy for ET/LE predictions. The remaining 530 parameters were kept constant by default. There are infinite variables and interactions that a model can explore, but a 531 transferable model (empirical or physical-based) requires a source of parsimony.

532 Despite our approach using a simplifying assumption and few required inputs, the prediction accuracy (precision and bias) is 533 compatible with the state-of-the-art urban ET models while potentially more transferable. The estimations of LE may be critical 534 output in most urban models, often showing a low accuracy, especially in dense urban areas. Rafael et al. (2020) applied the 535 SUEWS model in two locations in Portugal, concluding that the performance of LE predictions in suburban areas was far 536 better than the denser urban site (correlation 0.61 and 0.13, respectively). The statement is consistent with previous studies 537 using two areas with different levels of urbanisation, conducted in the surroundings of London (R<sup>2</sup> 0.72 and 0.25) and Helsinki 538 (correlation 0.79 and 0.44) (Karsisto et al., 2016; Ward et al., 2016). Although the UT&C model is a very sophisticated and 539 detailed urban model (i.e. urban canyon design), the accuracy is similar to the SUEWS models. The R<sup>2</sup> reported for the three 540 locations (Singapore, Melbourne and Phoenix) range from 0.50 to 0.62 (Meili et al., 2020). However, given that the model 541 was developed and calibrated for these sites, the accuracy may be lower when transferred to a different location or period. Our 542 modelling approach also presents better accuracy for the suburban site ROTH (R<sup>2</sup>0.82) than the build-up area TUCC (R<sup>2</sup>0.47), 543 similar to the SUEWS models. In general, the accuracy of the dense urban sites is lower than more vegetated areas, independent 544 of the model approach. However, a specialised urban model should perform optimally in denser build-up areas as they were 545 designed for such environments.

546 Our study opted to use a simplifying assumption that (dry) impervious surfaces do not evaporate, similarly to other models. 547 Ward et al. (2016) suggest that future model development should allow some evaporation from paved and built-up surfaces 548 other than evaporation of intercepted water. Therefore, the assumption of urban models such as SUEWS is similar to our 549 simplification, which considers that completely impervious surfaces have no ET using the correction factor.

550 We also compared our approach with the hydrological water balance model (ABIMO 3.2), which models and maps evaporation 551 from precipitation for Berlin available in the study "Surface runoff, percolation, total runoff and evaporation from 552 precipitation" (Senate Department for Urban Planning and the Environment, 2019). This model requires approximately twenty-553 five data inputs for almost 25,000 single sections of the city (blocks, streets and other features), providing a detailed spatial 554 resolution but only an annual temporal resolution which is not updated every year. It reports that around 60 % of Berlin's 555 precipitation evaporates and varies from less than 50 mm/year to more than 400 mm/year according to the land surface and 556 water systems available in the region. Compared with the 2013 edition, evaporation estimated by the ABIMO model has 557 decreased due to the increase of impervious surfaces and the expansion of drainage systems. For the block where the two EC 558 towers are installed, the evaporation from precipitation was reported as 344 mm/year at ROTH and 196 mm/year at the TUCC 559 site. When considering the average footprint of each tower, the annual values of the Berlin Environmental Atlas reduce to 266 560 mm at ROTH and 165 mm at TUCC. Our approach estimated 330 mm and 151 mm, respectively, while the EC observations 561 (gap-filled with MDS) were 336 mm and 188 mm. Our study arrives at similar annual values of ET using a much simpler 562 approach while providing accurate ET estimates at an hourly scale that can better support actions to mitigate the UHI effect. 563 Again, the higher differences are observed at the TUCC site, confirming that the intercepted precipitation on impervious 564 surfaces may cause underestimation in this location.

565 The accuracy (i.e. bias) varied differently based on the season. In general, our models underestimate ET in wintertime. 566 Modelling SCOPE separately for each season may improve the accuracy as aerodynamic, photosynthetic, soil, and canopy 567 constants could be better specified for these periods. Given that most of the applications to model ET are constrained to the 568 growing season, constants and default parameters are likely to be optimised for these conditions (Ward et al., 2016). Tuning 569 or measuring most of the input parameters to match the reality of the specific urban environment under consideration can 570 further improve the model accuracy. SCOPE has more than sixty model inputs, allowing for greater model customisation to 571 the local environment than presented in this study. However, the objective of this study was to demonstrate the potential of 572 our approach for estimating hourly ET with open data rather than to provide a final model for Berlin. Thus, most of the 573 parameters were kept constant using default model values. Process-based models (SCOPE or hydrological) provide the 574 opportunity to perform sensitivity analysis and simulate scenarios to understand the impact of underlying drivers of ET on 575 accuracy. The latent heat flux from SCOPE can be separated into soil LE and canopy LE, which can be used to improve the 576 correction factor as transpiration and evaporation may differ across seasons and land surfaces. By separating soil LE, the 577 influence of different levels of imperviousness on ET could be better investigated.

Applications providing accurate ET maps can range from controlling irrigation for managing green spaces in cities to planning more sustainable urban environments. Such maps could also support local governments in mitigating UHI effects, reducing health risks during extreme summer temperatures. Smart and green city initiatives could utilise dynamic ET maps to monitor the impact of climate change and identify solutions to improve the quality of life in cities worldwide. A better understanding and management of the water cycle (green, blue and grey) will be vital for human well-being in the near future.

#### 583 **5.** Conclusion

584 This study has proposed a novel approach to estimate hourly evapotranspiration (ET) in urban environments using a process-585 based model and freely available meteorological and remote sensing data. Therefore, this modelling approach can predict ET 586 in an entire city in different spatial and temporal resolutions, paying the way for mapping urban ET systematically without 587 highly specialised and costly EC tower equipment. Although the SCOPE model was successfully applied to predict ET in 588 previous studies, this is the first time that SCOPE has been applied in an urban environment. Most process-based model 589 approaches to estimating ET, including SCOPE, are designed for homogeneous vegetated landscapes, resulting in the 590 overestimation of ET in urban areas. However, we developed a correction factor for urban environments using vegetation 591 fraction derived from remote sensing data that has proved to reduce model bias and improve global accuracy. The solution 592 combines high temporal resolution data of atmospheric conditions from meteorological stations and high spatial resolution 593 data of land surface derived from remote sensing. We demonstrate that a single meteorological station is enough to provide 594 model input to characterise the atmospheric conditions for different locations in a city, which increases the potential to 595 generalise the approach to produce ET maps for other urban regions. The model performance decreases at nighttime, winter 596 and in the presence of wet surfaces as interception loss is not considered. However, these conditions are not important for

- 597 adapting to droughts and mitigating the urban heat island (UHI). Therefore, our approach is well-suited to produce ET maps
- that are highly relevant to urban planning and climate change mitigation.

#### 599 Code and data availability

The SCOPE documentation and codes are freely available (https://scope-model.readthedocs.io/en/latest/mSCOPE.html and https://github.com/peiqiyang/mSCOPE). The data used are available from the author upon request.

#### 602 Author contributions

- ADR and BK were responsible for the overall research goals and aims. ADR was responsible to prepared the manuscript draft.
- 504 SV and ADR co-worked in the measured data pre-processing and footprint modelling. ADR was responsible for the modelling 505 and results and CT as curated and specialist in SCOPE model. MF and BK contribute to the remote sensing inputs and model
- 606 evaluation. All authors contributed to discussion of results and the evolution of the written manuscript.

#### 607 **Competing interests**

608 The authors declare that they have no conflict of interest

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Figure A1. ET by day of the year and hours of the day for the TUCC site. Observed ET after cleaning (a), observed ET gap-filled with MDS
(b), Penman-Monteith ETo (c), predicted ET with SCOPE\_ETo model (d), predicted ET with SCOPE\_DWD model (e), and predicted ET
with SCOPE\_RS model (f).

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