

# Improving radar-based rainfall nowcast by a nearest neighbour approach: Part I – Storm Characteristics

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## **Abstract.**

The nowcast of rainfall storms at fine temporal and spatial resolutions is quite challenging due to the erratic nature of rainfall at such scales. Typically, rainfall storms are recognized by weather radar, and extrapolated in the future by the Lagrangian persistence. However, storm evolution is much more dynamic and complex than the Lagrangian persistence, leading to short forecast horizons especially for convective events. Thus, the aim of this paper is to investigate the improvement that past similar storms can introduce to the object-oriented radar based nowcast. Here we propose a nearest neighbour approach that measures first the similarity between the “to-be-nowcasted” storm and past observed storms, and later uses the behaviour of the past most similar storms to issue either a single nowcast (by averaging the 4 most similar storm-responses) or an ensemble nowcast (by considering 30 most similar storm-responses). Three questions are tackled here: i) what features should be used to describe storms in order to check for similarity? ii) how to measure similarity between past storms? and iii) is this similarity useful for object-oriented nowcast? For this purpose, individual storms from 110 events in the period 2000-2018 recognized within the Hannover Radar Range (R~115km<sup>2</sup>), Germany, are used as a basis for investigation. A “leave-one-event-out” cross-validation is employed to test the nearest neighbour approach for the prediction of the area, mean intensity, the x and y velocity components, and the total lifetime of the “to-be-nowcasted” storm for lead times from +5min up to + 3 hours. Prior to the application, two importance analyses methods (Pearson correlation and partial information correlation) are employed to identify the most important predictors. The results indicate that most of storms behave similarly, and the knowledge obtained from such similar past storms helps to capture better the storm dissipation, and improves the nowcast compared to the Lagrangian persistence especially for convective events (storms shorter than 3 hours) and longer lead times (from 1 to 3 hours). The main advantage of the nearest neighbour approach is seen when applied in a probabilistic way (with the 30 closest neighbours as ensembles) rather than in a deterministic way (averaging the response from 4 closest neighbours). The probabilistic approach seems promising, especially for convective storms, and it can be further improved by either increasing the sample size, employing more suitable methods for the predictor identification, or selecting physical predictors.

## **Keywords:**

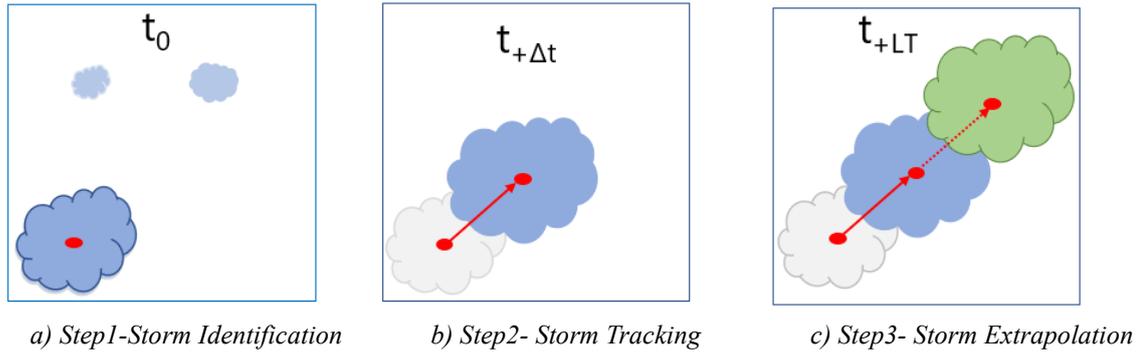
Rainfall nowcast, Lagrangian persistence, probabilistic nowcast, similar storms, nearest neighbour

## 31 1. Introduction

32 Urban pluvial floods are caused by short, local and intense rainfall convective storms, that overcome rapidly the  
33 drainage capacity of the sewer network and lead to surface inundations. These types of floods are becoming more relevant  
34 with time due to the expansion of urban areas worldwide (Jacobson, 2011; United, 2018), and the potential of such storms  
35 getting more extreme under the changing global climate (Van Dijk et al., 2014). Because of the high economical, and  
36 even human losses associated with these floods, modelling and forecasting becomes crucial for impact-based early  
37 warnings (i.e. July 2008 in Dortmund Grünewald (2009), August 2008 in Tokyo, Kato & Maki (2009)). However, one of  
38 the main challenges in the urban pluvial flood forecasting, remains the accurate estimation of rainfall intensities at very  
39 fine scales. Since the urban area responds fast and locally to the rainfall (due to the sealed surfaces and the artificial  
40 deviation of watercourse), the Quantitative Precipitation Forecasts (QPFs) fed into the urban models should be provided  
41 at very fine temporal (1-5min) and spatial ( $100\text{m}^2 - 1\text{km}^2$ ) scales (Berne et al., 2004). The Numerical Weather Prediction  
42 Models (NWP) are typically used in hydrology for weather forecast at several days ahead, nevertheless they are not  
43 suitable for urban modelling as they still cannot produce reliable and accurate intensities for spatial scales smaller than  
44  $10\text{km}^2$  and temporal time steps short than an hour (Golding, 2009; Surcel et al., 2015). Ground rainfall measurements  
45 (rain-gauges) are considered the true observation of rainfall but they are as well not adequate for QPFs because they  
46 cannot capture the spatial structure of rainfall. Therefore, the only product useful in providing QPFs for urban pluvial  
47 floods remains the weather radar. The weather radar can measure indirectly the rainfall intensities at high spatial ( $\sim 1\text{km}^2$ )  
48 and temporal ( $\sim 5\text{min}$ ) scales by capturing the reflected energy from the water droplets in the atmosphere. The rainfall  
49 structures and their evolution in time and space can be easily identified by the radar and hence serve as a basis for issuing  
50 QPFs at different forecast horizons. One of the main drawbacks of radar-based forecast, is that a rainfall structure has to  
51 be first identified in order to be extrapolated in the future. In other words, rainfall cannot be predicted before it has started  
52 anywhere in the region, only the movement can be predicted. As already discussed in Bowler et al., (2006) and Jensen et  
53 al. (2015), these initialization errors cause the radar forecast to be used only for short forecast horizons (up to 3 hours),  
54 and that is why are typically referred to as nowcasts. For longer lead times a blending between NWP and radar based  
55 nowcast should be used instead (Codo & Rico-Ramirez, 2018; Foresti et al., 2016; Jasper-Tönnies et al., 2018).  
56 Nonetheless, for short forecast horizons up to 2-3h, the radar nowcast remains the best product for pluvial flood simula-  
57 tions as it outperforms the NWP one (Berenguer et al., 2012; Jensen et al., 2015; Lin et al., 2005; Zahraei et al., 2012).

58 Two approaches can be distinguished on the radar based QPFs depending on how the rainfall structures are  
59 identified, tracked and extrapolated into the future: object-oriented nowcast (herein as object-based to avoid the confusion  
60 with the programming term) and field-based nowcast. The object-based nowcast treats rainfall structures as objects, each  
61 object is regarded as a storm and is defined as a set of radar grid cells that moves together as a unit (Dixon & Wiener,  
62 1993). The field-based approach considers the rainfall as an intermittent field inside a given domain, and through methods  
63 like optical flow, tracks and extrapolates how the intensity is moving from one pixel to the other inside this domain  
64 (Ruzanski et al., 2011; Zahraei et al., 2012). Convective storms have been proven to have an unique movement from  
65 nearby storms (Moseley et al., 2013), thus are thought to be better nowcasted with object-based approach (Kyznarová &  
66 Novák, 2009). On the other hand, the field-based approach with an optical flow solution, tracks and extrapolates rainfall  
67 structures inside a region of size  $W$  together as a unit with a constant velocity (Lucas & Kanade, 1981) and are considered  
68 more suitable for major scale events, i.e. stratiform storms, as they are widespread in the radar image and exhibit more  
69 uniform movements (Han et al., 2009). Even though the field-based approach has gained popularity recently (Ayzel et  
70 al., 2020; Imhoff et al., 2020) the focus in this study is on object-based nowcast as they are more convenient for convective  
71 storms that typically cause urban pluvial floods.

72 **Figure 1** illustrates the three main steps performed in an object-based nowcast: a) first the storm is identified –a  
 73 group of grid cells with intensity higher than a threshold is recognized in the radar image at time  $t_0$ , b) the storm identified  
 74 is then tracked for the time  $t_0+\Delta t$  (where  $\Delta t$  is the temporal resolution of the radar data) and velocities are assigned, and  
 75 finally c) the storm as lastly observed at time  $t$  (when the nowcast is issued) is extrapolated at a specific lead time (the  
 76 time in the future when the forecast is needed)  $t_{+LT}$ , with the last observed velocity vector. This is a linear extrapolation  
 77 of the storm structure in the future, considering the spatial structure and the movement of the storm as constant in time -  
 78 also referred to as Lagrangian Persistence (Germann et al., 2006). Applications of such storm-based nowcast are common  
 79 in literature like TITAN, HyRaTrac, Konrad etc. (Han et al., 2009; Hand, 1996; Krämer, 2008; Lang, 2001; C. E. Pierce  
 80 et al., 2004).



**Figure 1** The main steps of an object-based radar nowcast. Blue indicates the current state of the storm at any time  $t$ , grey indicates the past states of the storm (at  $t-\Delta t$ ), and green indicates the future states of the storm ( $t_{+LT}$ ) (Shehu, 2020)

81 Apart from the initialization errors mentioned before, other error sources in the object-based nowcast can be  
 82 attributed to storm identification, storm tracking and Lagrangian extrapolation (L. Foresti & Seed, 2015; C. Pierce et al.,  
 83 2012; Rossi et al., 2015). Many works have been already conducted to investigate the role of different intensity thresholds  
 84 on the storm identification, or of different storm tracking algorithms on the nowcasting results (Goudenhoofd & Delobbe,  
 85 2013; Han et al., 2009; Hou & Wang, 2017; Jung & Lee, 2015; Kober & Tafferner, 2009). Very high intensity thresholds  
 86 may be suitable for convective storms, however can cause false splitting of the storms and which can affect negatively  
 87 the tracking algorithm. Thus, one has to be careful in adjusting the intensity threshold dynamically over the radar field  
 88 and type of storm. Storm tracking algorithm can be improved if certain relationships are learned from past observed  
 89 dataset (like a Fuzzy approach in Jung & Lee (2015) or a tree-based structure in Hou & Wang (2017)), but there is still a  
 90 limit that the tracking improvement cannot surpass due to the implementation of the Lagrangian persistence (Hou & Wang,  
 91 2017). These errors due to the Lagrangian persistence are particularly high for convective events at longer lead times (past  
 92 1 hour) as the majority of convective storms dissipate within 60 minutes (Goudenhoofd & Delobbe, 2013; Wilson et al.,  
 93 1998). At these lead times, the persistence fails to predict the dissipation of these storm cells, while for shorter lead times  
 94 it fails to represent the growing/decaying rate and the changing movement of a storm cell (Germann et al., 2006). For  
 95 stratiform events, since they are more persistent in nature, Lagrangian persistence can give reliable results up to 2 or 3  
 96 hours lead time (Krämer, 2008). Nevertheless studies have found that for fine spatial ( $1\text{km}^2$ ) and temporal (5min) scales,  
 97 the Lagrangian Persistence can yield reliable results up to 20-30 min lead time, which is also known in the literature as  
 98 the predictability limit of rainfall at such scales (Greco & Krajewski, 2000; Kato et al., 2017; Ruzanski et al., 2011). In  
 99 object-based radar nowcast, this predictability limit can be extended up to 1 hour for stratiform events and up to 30-45min  
 100 for convective events if a better radar product (merged with rain gauge data) is fed into the nowcast model (Shehu &  
 101 Haberlandt, 2021). Past these lead times, the errors due to the growth/decay and dissipation of the storms govern.

102 The rainfall predictability of convective storms can be extended, if instead of the Lagrangian persistence, one  
103 estimates these non-linear processes (growth/decay/dissipation) by consulting storm life characteristics analysed from  
104 past observations (Goudenhoofdt & Delobbe, 2013; Zawadzki, 1973). For instance, Kyznarova and Novak (2009) used  
105 the CellTrack algorithm to derive life cycle characteristics of convective storms and observed that there is a dependency  
106 between storm area, maximum intensity, life phase and height of 0°C isotherm level. Similar results were also found by  
107 (Moseley et al., 2013) which concluded that convective storms show a clear life cycle with the peak occurring at 1/3 of  
108 total storm duration, a strong dependency on the temperature and increasing average intensity with longer durations. In  
109 case of extreme convective storms, earlier peaks are more obvious causing a steeper increase to maximum intensity. A  
110 later study by (Moseley et al., 2019) found that the longest and most intense storms were expected in the late afternoon  
111 hours in Germany. Thus, it is to be expected that an extensive observation of past storm behaviours can be very useful in  
112 creating and establishing new nowcasting rules (Wilson et al., 2010) that can outperform the Lagrangian persistence. An  
113 implementation of such learning from previous observed storms (with focus only on the object-based nowcast and not the  
114 field-based one) is for instance shown by Hou & Wang (2017) where a Fuzzy classification scheme was implemented to  
115 improve the tracking and matching of storms which resulted in an improved nowcast, and Zahraei et al. (2013) where a  
116 Self-Organizing-Maps (SOM) algorithm was used to predict the initialization and dissipation of storms on coarse scales  
117 extending the predictability of storms by 20%. These studies suggest that past observed relationships may be useful in  
118 extending the predictability limit of the convective storms. Under this context, a nearest neighbour method (k-NN) may  
119 be developed at the storm scale and used to first recognize similar storms in the past, and then assign their behaviours to  
120 the “to-be-nowcasted” storm. The nearest neighbour method has been used in the field of hydrology mainly for  
121 classification, regression or resampling purposes (e.g. Lall & Sharma (1996)) but there are some examples of prediction  
122 as well (Galeati, 1990). The assumption of this method is that similar events are described by similar predictors, and if  
123 one identifies the predictors successfully, similar events that behave similarly can be identified. For a new event, the  
124 respective response is then obtained by averaging the responses of past k – most similar storms. The k-value can be  
125 optimized by minimizing a given cost function. Because of the averaging, the response obtained, will be a new one,  
126 satisfying thus the condition that nature doesn’t repeat itself, but nevertheless it is confined within the limits of the  
127 observed events (therefore is unable to predict extreme behaviours outside of the observed range).

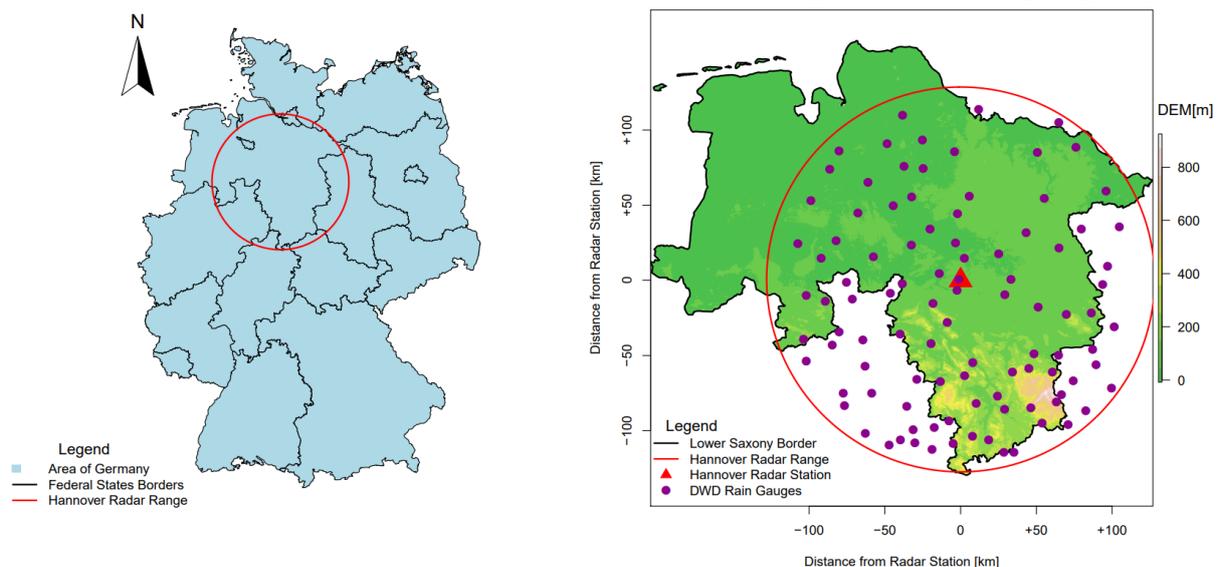
128 Similar approaches are implemented in field-based nowcast (referred to as analogue events), where past similar  
129 radar fields are selected based on weather conditions and radar characteristics i.e. in NORA nowcast by (Panziera et al.,  
130 2011) mainly for orographic rainfall, or in the multi-scaled analogues nowcast model by (Zou et al., 2020). Panziera et  
131 al. 2011 showed that there is a strong dependency between air-mass stability, wind speed and direction and the rainfall  
132 patterns observed from the radar data, and that the NORA nowcast can improve the hourly nowcasts of orographic rain  
133 up to 1 hour when compared to Eulerian Persistence and up to 4 hours when compared with the COSMO2 NWP.  
134 Improvement of predictability through a multi-scaled analogues nowcast was also reported by (Zou et al., 2020), which  
135 identified neighbours first by accounting similar meteorological conditions and then the spatial information from radar  
136 data. However, both of these studies show the applicability of the method on rainfall types that tend to repeat the rainfall  
137 patterns; i.e. the orographic forcing in the case of Panziera et al. (2011) and winter stratiform events in the case of Zou et  
138 al. (2020). So far, to the authors knowledge, such application of the k-NN has not been applied for convective events.  
139 This application seems reasonable as an extension of the object-based radar nowcast, in order to treat each convective  
140 storm independently. It can be used instead of the Lagrangian persistence in step 3 in Figure 1-c, for the extrapolation of  
141 rainfall storms into the future. Moreover, the benefit of the k-NN application is that one can either give a single or an  
142 ensemble nowcast; since k-neighbours can be selected as similar to a storm at hand, a probability based on the similarity  
143 rank, can be issued at each of the past storm, providing so an ensemble of responses, which are more preferred compared

144 to the deterministic nowcast due to the high uncertainty associated with rainfall predictions at such fine scales (Germann  
145 & Zawadzki, 2004). Thus, it is the aim of this study to investigate the suitability of the k-NN application to substitute the  
146 Lagrangian Persistence in the nowcasting of mainly convective events that have the potential to cause urban pluvial floods.

147 We would like to achieve this by first investigating if a K-NN is able to nowcast successfully storm characteristics  
148 like Area, Intensity, Movement and Total Lifetime at different life cycles and lead times. Based on the observed  
149 dependency of the storm characteristics on the life cycle, it would be interesting to see if the morphological features are  
150 enough to describe the evolution of the convective storms. Therefore, the focus is here only of the features recognized by  
151 the radar data, and further works will include as well the use of meteorological factors. To reach our aim, the suitability  
152 of the k-NN approach is studied as an extension of the existing object-based nowcast algorithm HyRaTrac developed  
153 from Krämer (2008). Before such an application, questions that arise are I) what features are more important when  
154 describing a storm, II) how to evaluate similarity between storms and III) how to use their information for nowcasting the  
155 storm at hand. The paper is organized as follows: first in Section 2 the study area is described, following with the structure  
156 of the k-NN method in Section 3.1 where: the generation of the storm database is discussed in Section 3.1.1, the predictors  
157 selected and target variables are given in in Section 3.1.2, the methods used for predictor identification in Section 3.1.3,  
158 and different application of the k-NN in Section 3.1.4. The optimization and the performance criteria are shown in Section  
159 3.2 followed by the results in Section 4 separated into predictors influence (Section 4.1), deterministic k-NN (Section  
160 4.2), probabilistic k-NN performance (Section 4.3), and the nowcasting of unmatched storms (Section 4.4). Finally, the  
161 study is closed by derived conclusions and outlook in Section 5.

## 162 2. Study Area and Data

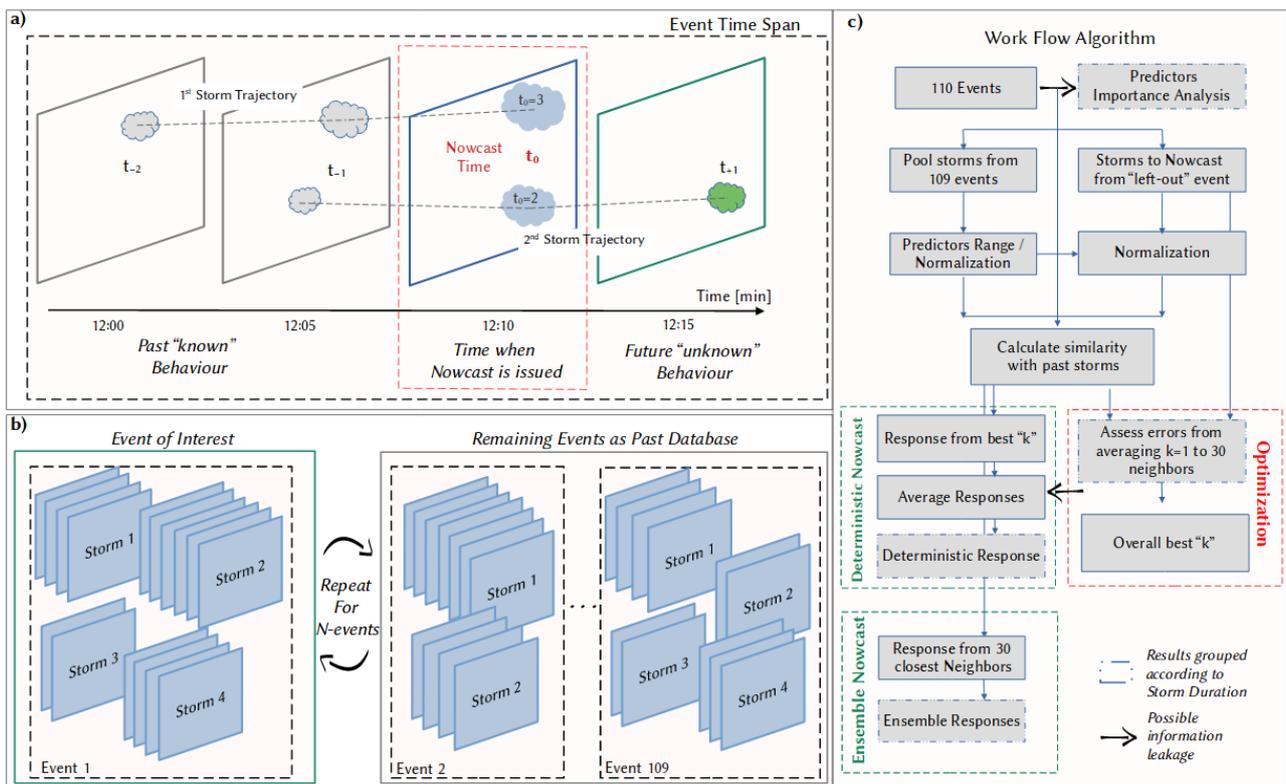
163 The study area is located in northern Germany, and lies within the Hannover Radar Range as illustrated in **Figure**  
164 **2**. The radar station is situated at the Hannover Airport, and it covers an area with a radius of 115 km. The Hannover radar  
165 data are C-band data (single-pol) provided by German Weather Service (DWD), and measure the reflectivity at an azimuth  
166 angle of 1° and at 5 min scans (Winterrath et al., 2012). The reflectivity is converted to intensity according to Marshall-  
167 Palmer relationship with the coefficients  $a=256$  and  $b=1.42$  (Bartels et al., 2004). The radar data are corrected from the  
168 static clutters and erroneous beams and then converted to Cartesian Coordinate system (1 km<sup>2</sup> and 5 min) as described in  
169 (Berndt et al., 2014). Additionally, following the results from Shehu & Haberlandt (2021), a conditional merging between  
170 the radar data and 100 gauge recording (see Figure 2 -right) with the radar range at 5 min time steps is performed. The



**Figure 2** The location of the study area left) within Germany and right) with the corresponding elevation and boundaries, and as well with the available recording rain gauges (purple) and radar (red) station. The DEM is short for Digital Elevation Model (adapted from Shehu and Haberlandt, 2021).

171 conditional merging aims to improve the kriging interpolation of the gauge recordings by adding the spatial variability  
 172 and maintaining the storm structures as recognized by the radar data. In case a radar image is missing, the kriging  
 173 interpolation of the gauge recordings is taken instead.

174 The period from 2000 to 2018 is used as a basis for this investigation, from which 110 events with different  
 175 characteristics were extracted (see Shehu & Haberlandt (2021) or Shehu (2020)). These events were selected for urban  
 176 flood purposes, and contain mainly convective events and few stratiform ones. Here, rainfall events are referred to a time  
 177 period when rainfall has been observed inside the radar range and at least at one rain gauge has registered an extreme  
 178 rainfall volume (return period higher than 5 years) for durations varying from 5 min to 1 day. The start and the end of the  
 179 rainfall event is determined when areal mean radar intensity is lower than 0.05mm for more than 4 hours. Within a rainfall  
 180 event many rainfall storms, at different times and locations, can be recognized. Figure 3-a shows a simple illustration to  
 181 distinguish between the rainfall event and rainfall storm concepts employed in this study.



**Figure 3** Illustration of concepts and workflows in this study a) an event contains many rainfall storms inside the radar range which are tracked and nowcasted: the dashed grey lines indicate the movements of storms in space-time within the radar event and the event time span. b) The “leave-one-out-event cross-validation” – the storms of the event of interest are removed from the past database, and the nowcast of these storms is issued based on the past database. This process is repeated 110 times (once for each event). c) the workflow implemented here for the optimization and application of the  $k$ -NN approach.

## 182 3. Methods

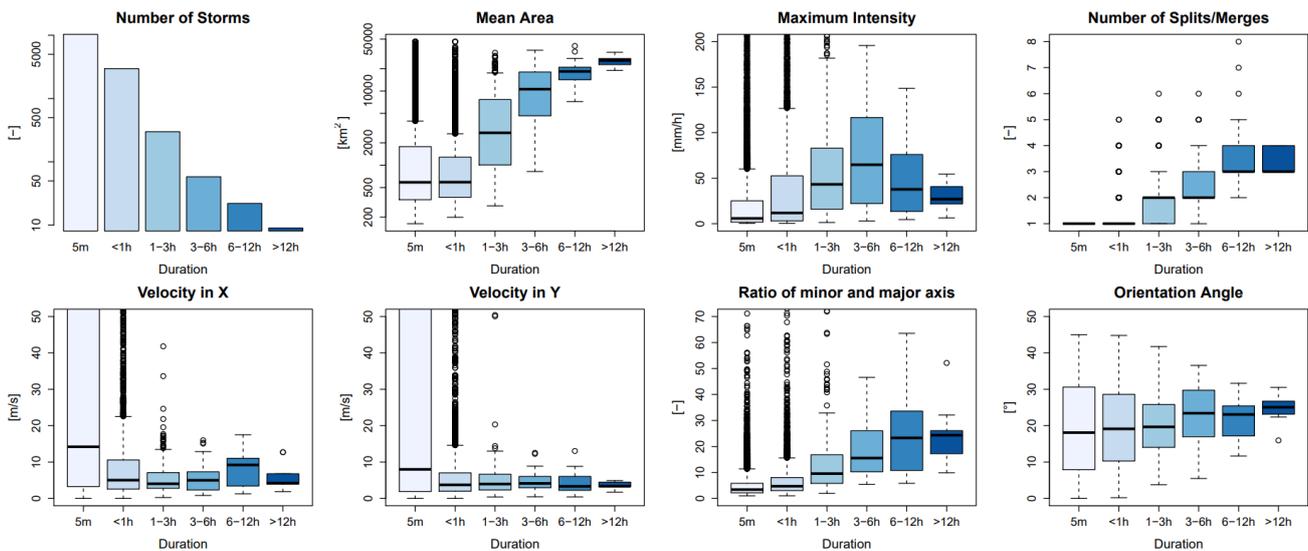
### 183 3.1 Developing the $k$ -NN model

#### 184 3.1.1 Generating the storm database

185 Each of the selected events contains many storms, whose identification and tracking was performed on the basis  
 186 of the HyRaTrac algorithm in the hindcast mode (Krämer, 2008; Schellart et al., 2014). A storm is initialized if a group  
 187 of radar grid cells ( $> 64$ ) has a reflectivity higher than  $Z=20$ dBz, while storms are recognized as convective – if a group

188 bigger than 16 radar grid cells has an intensity higher than 25 dBz, and as stratiform – if a group bigger than 128 radar  
 189 grid cells has an intensity higher than 20 dBz. Typically, higher values (40dBz) are used to identify the core of convective  
 190 storms (as in E-Titan), but to avoid false splitting of convective storms and to test the methodology on all types of storms,  
 191 these identification thresholds were kept low (following as well the studies from Moseley et al. 2013). The tracking of  
 192 individual storms in consecutive images is done by the cross-correlation optimization between the last 2 images (t=0 and  
 193 t-5 min), and local displacement vectors for each storm are calculated. In case a storm is just recognized, then global  
 194 displacement vectors based on cross-correlation of the entire radar image are assigned to them.

195 Thus, a dataset with several types of storms is built and saved. The storms are saved with an ID based on the  
 196 starting time and location, and for each time step of the storm evolution the spatial information is saved. Here the spatial  
 197 structure of the rainfall inside the storm boundaries at a given time step (in 5min) of the storms' life, is referred to as the  
 198 “state” of the storm. A storm that has been observed for 15 minutes, consists of three “states” each occurring at a 5 min  
 199 time step. For each of the storm states an ellipsoid is fitted to the intensities in order to calculate the major and minor axis  
 200 and the orientation angle of the major axis. This storm database is the basis for developing the k-NN method and for  
 201 investigating the similarity between storms. Some characteristics of the identified storms like duration (or also total  
 202 lifetime of the storm), mean area, maximum intensity, number of splits/merges, local velocity components, and ellipsoidal  
 203 features, are shown in the **Figure 4**. These storms characteristics were obtained by an hindcast analysis run of all 110  
 204 events with the HyRaTrac algorithm which resulted in around 5200 storms. The local velocities in x and y direction are  
 205 obtained by a cross-correlation optimization within the storm boundary. For more information about the tracking  
 206 identification and algorithm, reader is directed to Krämer (2008).



**Figure 4** Different properties of the storms recognized from 110 events separated into 6 groups according to their duration (shown in different shades of blue).

207 As seen from the number of storms for each duration in **Figure 4**, the unmatched storm cells make the majority  
 208 of the storms recognized. These are storms that last just 5 min (one-time step) as the algorithm fails to track them at  
 209 consecutive time steps. These “storms” can either be dynamic clutters from the radar measurement, as they are  
 210 characterized by small area, circular shapes (small ratio of minor and major axis) and by very high velocities, or artefacts  
 211 created by low intensity thresholds used for the storm identification, or finally produced by the unrepresentativeness of  
 212 the volume captured by the radar station. Another thing to keep in mind, is that merged radar are fed to the algorithm for  
 213 storm recognition, and this affect the storm structures particularly when the radar data is missing. In such case, the ordinary  
 214 kriging interpolation of rain gauges is given as input, which is well known to smoothen the spatial distribution of rainfall

215 and hence resulting in a short storm characterized by a very large area. Since the “not” matched storms can either be  
216 dynamic clutter or artefacts, they are left outside of the k-NN application. Nonetheless, they are treated shortly in section  
217 4.5.

218 Apart from the unmatched storms, the majority of the remaining storms are of convective nature: storms with  
219 short duration (shorter than 6 hours), high intensity and low areal coverage. Here two types of convective storms are  
220 distinguished: local convective with very low coverage (on average lower than 1000 km<sup>2</sup>) and low intensity (on average  
221 ~ 5 mm/h), and mesoscale convective which are responsible for floods (with intensity up to 100 mm/h or more) and have  
222 a larger coverage (on average lower than 5000 km<sup>2</sup>). The stratiform storms characterized by large area, long duration and  
223 low intensities, as well as meso-  $\gamma$  scale convective events with duration up to 6 hours, are not very well represented by  
224 the dataset as only a few of them are present in the selected events (respectively circa 20 and 50 storms). Therefore, it is  
225 to be expected for the k-NN approach not to yield very good results for such storms due to the low representativeness.  
226 From the characteristics of the storms illustrated in **Figure 4**, it can be seen that for stratiform storms that live longer than  
227 twelve hours the variance of the characteristics is quite low (when compared to the rest of the storms) which can either  
228 be attributed to the persistence of such storms or to the low representativeness in the database. Even though the data size  
229 for stratiform is quite small, the k-NN may still deliver good results as characteristics of such storms are more similar.  
230 Nevertheless, the stratiform storms are typically nowcasted well by the Lagrangian persistence (specially by a field-  
231 oriented approach) as they are wide-spread and persistent. Hence the value of the k-NN is primarily seen for convective  
232 storms and not for stratiform ones.

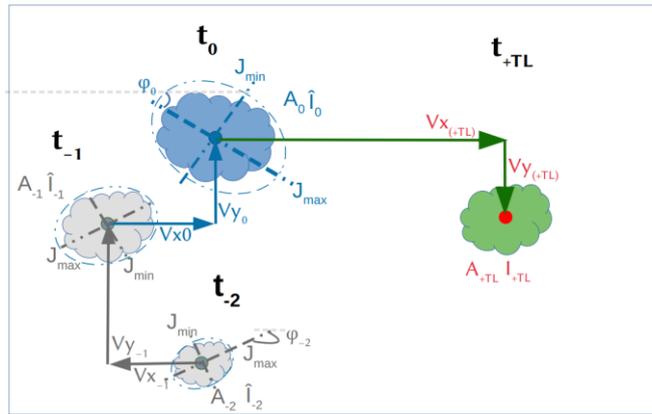
### 233 3.1.2 Selecting features for similarity and target variables

234 At first storms are treated like objects that manifest certain features (predictors) like area, intensity, lifetime etc.,  
235 at each state of the storms' life until the storm dissipates (and the predictors are all set to zero). The features of the objects  
236 are categorized into present and past features, as illustrated in **Figure 5** (shown respectively in blue and grey). The present  
237 features describe the current state of the storm at the time of nowcast (denoted with  $t_0$  in **Figure 5**), and are calculated  
238 from one state of the storm. To compute certain features, an ellipsoid is fitted to each state of the storm. The past features,  
239 on the other hand, describe the predictors of the past storm states (denoted with  $t_{-1}$ ,  $t_{-2}$  in **Figure 5**) and their change over  
240 the past life of the storm. For example, the average area from time  $t_{-2}$  to  $t_{-1}$  is a past feature. A pre-analysis of important  
241 predictors showed that the average features over the last 30 minutes are more suitable as past predictors than the averages  
242 over last 15 or 60 min or than the calculation of past changing rates. Therefore, averages over past 30 minutes are  
243 computed here:

$$244 \quad P_{30} = \sum_{i=t_0}^{t-30min} P_i / 7, \quad (1)$$

245 where  $P_i$  is the predictors value at time  $i$ , and  $P_{30}$  the average value of the predictor over last 30min. In case of missing  
246 values, the remaining time steps are used for averaging. The selected features (both present and past) that are used here  
247 to describe storms as objects, and hence tested as predictors, are shown in **Table 1**. The present features help to recognize  
248 storms that are similar at the given state when the nowcast is issued (blue storm in **Figure 5**) and the past ones give  
249 additional information about the past evolution of the storm (average of grey storms in **Figure 5**). The aim of these features  
250 is to recognize the states of previously observed storms that are most similar to the current one (shown in blue in **Figure**  
251 **5**) of the “to-be-nowcasted” storm. Once the most similar past storm states are recognized, their respective future states  
252 at different lead times can be assigned as the future behaviour (shown in green in **Figure 5**) of the current state of the “to-  
253 be-nowcasted” storms. Since the storms are regarded as objects with specific features, future behaviours at different lead  
254 times are determined by four target variables: area ( $A_{+LT}$ ), mean intensity ( $I_{+LT}$ ) and velocity in X ( $V_{X+LT}$ ) and Y ( $V_{Y+LT}$ )  
255 direction. Additionally, the total lifetime of the storm is considered as a fifth target ( $L_{tot}$ ). Theoretically, the total lifetime

256 is predicted indirectly when any of the first four targets is set to zero, however here it is considered as an independent  
 257 variable in order to investigate if similar storms have similar lifetime durations.



**Figure 5** The features describing the past (grey) and present (blue) states of the storm used as predictors to nowcast the future states of the storm (green) at a specific lead time ( $T_{+TL}$ ) that are described by 4 target variables (in red). The nowcast is issued time  $t_0$ . A full description of these predictors and target variables is given in **Table 1**.

258 For each state of each observed storm in the database, the past and present features of that state with its' respective  
 259 future states of the five target variables from +5min to +180min (every 5 min) lead times are saved together and form the  
 260 predictor-target database that is used for the development of the k-NN nowcast model. A summary of the predictors and  
 261 target variables calculated per state is given in **Table 1**. Before optimizing and validating the k-NN method (advise Figure  
 262 3- c), an importance analysis is performed for each of the target variables in order to recognize the most important  
 263 predictors. As the predictors have different ranges, prior to the importance analysis and the k-NN application, they are  
 264 normalized according to their median and range between the 0.05 and 0.95 quantiles:

$$265 \quad normP_i = \frac{P_i - Q_{P_i}^{0.5}}{Q_{P_i}^{0.95} - Q_{P_i}^{0.05}}, \quad (2)$$

266 where  $P$  is the actual value,  $normP$  the normalized value, and  $Q_{P_i}^{0.5}$ ,  $Q_{P_i}^{0.95}$ ,  $Q_{P_i}^{0.05}$  the quantiles 0.5, 0.05 and 0.95 of the  $i^{th}$   
 267 predictors' vector. The reason why these quantiles were used for the normalization instead of the typical mean and  
 268 maximum to minimum range, is that some outliers are present in the data. For instance, very high and unrealistic velocities  
 269 are present in some convective storms where the tracking algorithm fails to capture adequate velocities (Han et al., 2009).  
 270 Thus, to avoid the influence of these outliers, the given range is employed.

### 271 3.1.3 Selection of most relevant predictors

272 The application of the k-NN method can be relevant if there is a clear connection between the target variable and  
 273 the features describing this target variable. For instance, in the case of Galeati (1990), a physical background backed up  
 274 the connection between target variable (discharge) and the features (daily rainfall volume and mean temperature). In the  
 275 case of the storms at such fine temporal and spatial scales, due to the erratic nature of the rainfall itself, there are no  
 276 physical related information that can be extracted from radar data. Different features of the storm itself can be investigated  
 277 for their importance to the target variable. Nevertheless, the identification of such features (referred here as predictors) is  
 278 difficult because it is bounded to the set of the available data and the relationships considered. Commonly a strong Pearson  
 279 correlation between the predictors selected and the target variable is used as an indicator of a strong linear relationship  
 280 between them. However, the relationship between predictors and target variables may still be of non-linear nature, thus  
 281 another predictor importance analysis should be advised when selecting the predictors. Sharma & Mehrotra (2014)  
 282 proposed a new methodology, designed specifically for the k-NN approach, where no prior assumption about the system

283 type is required. The method is based on a metric called the Partial Information Correlation and is computed from the  
 284 Partial Information as:

$$285 \quad PIC = \sqrt{(1 - \exp(-2PI))} \text{ with } PI = \int f_{X,P|Z}(x, p|z) \log \left[ \frac{f_{X|Z,P|Z}(x, p|z)}{f_{X|Z}(x|z) f_{P|Z}(p|z)} \right] dx dp dz, \quad (3)$$

286 where  $PIC$  is the Partial Information Correlation,  $PI$  is the Partial Information which represents the partial dependence of  
 287  $X$  on  $P$  conditioned to the presence of a predictor  $Z$ . The Partial Information itself is a modification of the Mutual  
 288 Information in order to measure partial statistical dependency between the predictors ( $P$ ) and the target variable ( $X$ ), by  
 289 adding predictors one at a time ( $Z$ ) (step-wise procedure). The evaluation of  $PIC$  needs a pre-existing identified predictor  
 290 from which the computation can start. If the pre-defined predictor is correctly selected, then through the Equation (3), the  
 291 method is able to recognize and leave out the new predictors which are not related to the response and which don't bring  
 292 additional value to the existing relationship between the current predictors and target variable. Relative weights for the k-  
 293 NN regression application can be derived for each predictor, as a relationship between the  $PIC$  metric and the associated  
 294 partial correlation:

$$295 \quad \alpha_j = PIC_{X,Z_j|Z(-j)} \frac{S_{X|Z(-j)}}{S_{Z_j|Z(-j)}}, \quad (4)$$

296 where  $X$  is the target response,  $Z_j$  is the added predictor from the step-wise procedure,  $Z(-j)$  previous predictor vector  
 297 excluding the predictor  $Z_j$ ,  $S_{X|Z(-j)}$  the scaled conditional standard deviations between target ( $x$ ) and predictor vector  $Z(-j)$ ,  
 298  $S_{Z_j|Z(-j)}$  the scaled conditional standard deviations between the additional predictor ( $Z_j$ ) and the first predictor vector  $Z(-j)$ ,  
 299 and the  $\alpha_j$  denotes the predictors weight. The R package NPRED was used for the investigation of the  $PIC$  derived  
 300 importance weights (Sharma et al., 2016).

**Table 1** List of all the past and present features of the storms that are investigated for their importance as predictors,  
 and the respective target variables calculated for different lead times.

	<b>Features</b>	<b>Symbol</b>
<b>Present Features</b>	number of storm cells within the storm region	Cells [-]
	current storm lifetime at time of nowcast	$L_{now}$ [min]
	area of the storm	$A$ [km <sup>2</sup> ]
	mean spatial intensity	$I_{ave}$ [mm/h]
	maximum spatial intensity	$I_{max}$ [mm/h]
	standard deviation of the spatial intensities	$I_{sd1}$ [-]
	standard deviation of intensities groups inside the storm	$I_{sd2}$ [-]
	global velocity of the entire radar image	$V_g$ [m/s]
	x and y component of the local velocity of the storm region	$V_x, V_y$ [m/s]
	major and minor axis of the ellipsoid and their ratio	$J_{max}, J_{min}$ [km], $J_r$ [-]
orientation angle of the major axis of the ellipsoid	$\Phi$ [°]	
<b>Past Features</b>	average area over the last 30 min of storm existence	$A_{30}$ [km <sup>2</sup> ]
	average mean intensity over the last 30 min of storm existence	$I_{ave30}$ [mm/h]
	average maximum intensity over the last 30 min of storm existence	$I_{max30}$ [mm/h]
	average standard deviation of intensity over the last 30 min of storm existence	$I_{sd130}$ [-]
	average standard deviation of intensity groups over the last 30 min of storm existence	$I_{sd230}$ [-]
	average global velocity over the last 30 min of storm existence	$V_{g30}$ [m/s]
	average x and y component of the local velocity over the last 30 min of storm existence	$V_{x30}, V_{y30}$ [m/s]
	average value of the major and minor axis of the ellipsoid and their ratio over the last 30 min of storm existence	$J_{max30}, J_{min30}$ [km] $J_{r30}$ [-]

	average major axis orientation of the ellipsoid over the last 30 min of storm existence	$\Phi_{30} [^\circ]$
<b>Target Variables</b>	Total lifetime of the storm	$L_{tot} [\text{min}]$
	Estimated Area and Intensity at LT from +5min to +180min	$A_{+LT} [\text{km}^2]$ , $I_{ave+LT} [\text{mm/h}]$ ,
	Estimated Velocity X and Y at LT from +5min to +180min	$V_{X+LT}, V_{Y+LT} [\text{m/s}]$

301 Here in this study, these two importance analyses are used to determine the most important predictors and their  
302 respective weights in the k-NN similarity calculation. For each target variable the most important predictor identified from  
303 Pearson Correlation, is given to the PIC metric as the first predictor. The analysis is complex due to the presence of several  
304 predictors, 38 states of future behaviour for each target variable (for each 5min between +5min to +180 min lead times),  
305 and different nowcast times; the weights were calculated first for three lead times +15min, +60min and +180 min, and for  
306 three storm groups separated according to their duration <60min, 60min-180min, and > 3 hours. Here the averages weights  
307 over these groups and lead times are calculated and used as a reference for each importance analysis. The k-NN errors  
308 with these average weights are compared in Section 4.1.

### 309 3.1.4 Developing the k-NN structure

310 The structure of the proposed k-NN approach at the storm scale is illustrated at **Figure 6** - left) the current “to-  
311 be-nowcasted” storm is shown, while at - right) the past observed storms. First in Step 1, the Euclidean distance between  
312 the most important predictors (either present or past predictors), of past storm states and the current one is calculated to  
313 identify the most-similar states of the past storms (distance between the blue shapes at left and right side of **Figure 6**):

$$314 E_d = \sqrt{\sum_{i=1}^N w_i \cdot (X_i - Y_i)^2}, \quad (5)$$

315 where  $w$  is the weight of the respective  $i^{th}$  predictor as dictated by the importance analysis (results are shown in **Table 2**),  
316  $X$  the predictor of the “to-be-nowcasted” storm,  $Y$  the predictor of a past observed storm,  $N$  the total number of predictors  
317 used and  $E_d$  the Euclidian distance between the “to-be-nowcasted” and a past observed storm. The assumption made here  
318 is that the smaller the distance, the higher the similarity of future behaviour between the selected storms and the “to-be-  
319 nowcasted” storm. Therefore, in **Step 2** these distances are ranked in an ascending order and 30 past storm states with the  
320 smallest distance are selected (**Step 3**). Once the similar past storm states have been recognized (the blue-shape in **Figure**  
321 **6** - right), the future states of these storms (the green-shapes in **Figure 6** - right, each for a specific lead time from the  
322 occurrence of the selected similar blue-state), are treated as future states (the green-shape in **Figure 6** - left) of the “to-  
323 be-nowcasted” storm. In **Step 4**, either a single (deterministic) or an ensemble (probabilistic) nowcast is issued. If a single  
324 nowcast is selected, then the green-instances of the k-neighbours are averaged with weights for each lead time:

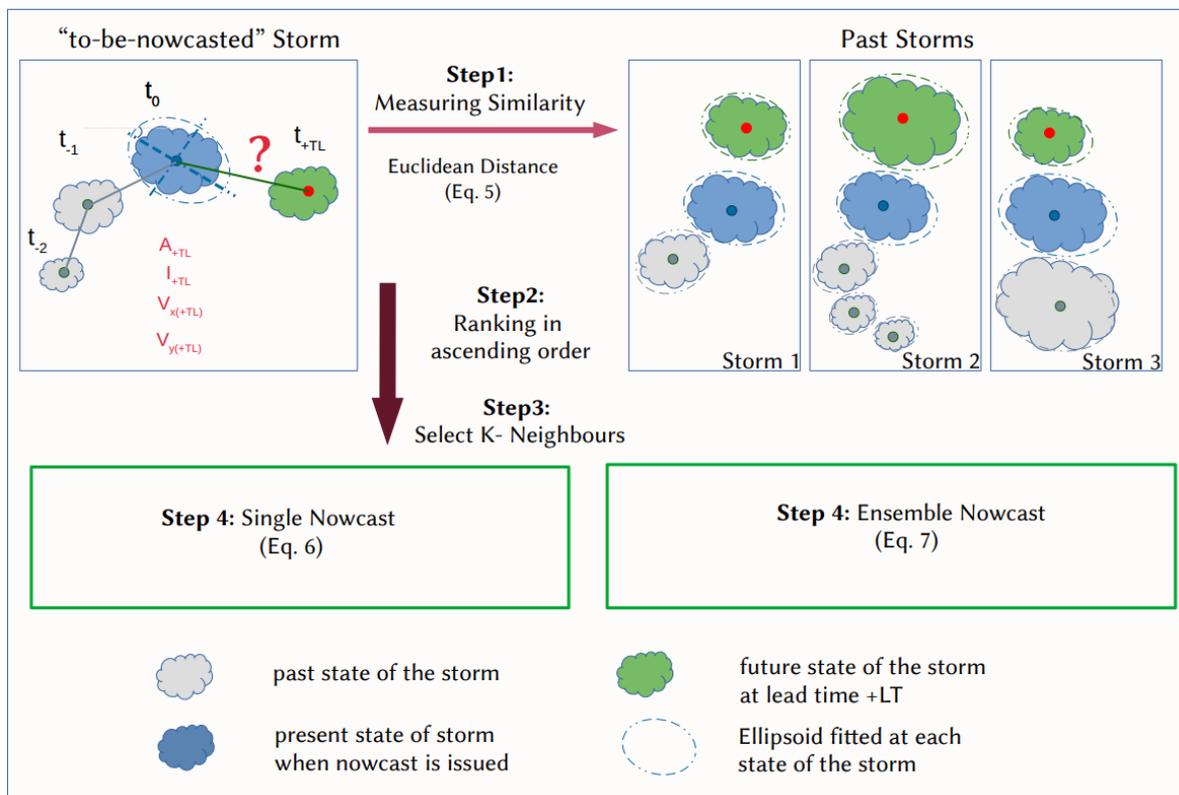
$$325 R_{new} = \sum_{i=1}^k Pr_i \cdot R_i, \quad (6)$$

326 where  $k$  is the number of neighbours obtained from optimization,  $R$  and  $Pr$  are respectively the response and weight of  
327 the  $i^{th}$  neighbour and the  $R_{new}$  the response of the “to-be-nowcasted” storm as averaged from  $k$  neighbours. The response  
328  $R$  refers to each of the 5 target variables: Area, Intensity, Velocity in X and Y direction, and Total Lifetime. Contrary, if a  
329 probabilistic nowcast is selected, 30- members ensembles are selected from the closest 30 storms where each member is  
330 assigned a probability according to the rank of the respective neighbour storm:

$$331 Pr_i = \frac{(1/Rank_i)}{\sum_{i=1}^k (1/Rank_i)}, \quad (7)$$

332 where  $k$  is the selected number of neighbours and  $Rank$  and  $Pr$  are respectively the rank and the probability weights of  
333 the  $i^{th}$  neighbour/ensemble member. An ensemble member is then selected randomly based on the given probability  
334 weights. These probability weights calculated here are as well used for computation of the single nowcast in Equation (6).

335 Since the performance of the single k-NN nowcast is highly dependent on the number of k – neighbours used for  
 336 the averaging, a prior optimization should be done in order to select the right k-neighbours that yield the best performance  
 337 (as illustrated in **Figure 3-c**). The application of the k-NN can either be done per each target variable independently, or  
 338 for all target variables grouped together. In the first approach, the dependency of the target variables between one another  
 339 is not assured, they are predicted independently from one another. This is referred here as the target-based k-NN and is  
 340 denoted in the results as VS1. The main advantage of this application is that, since the relationship between the target  
 341 variables are not kept, new storms can be generated. Theoretically, the predicted variables should have a lower error since  
 342 the application is done specifically per each variable, nevertheless this approach doesn't say much if similar storms behave  
 343 similarly. Therefore, it is used here as a benchmark for best possible optimization that can be reached by the k-NN with  
 344 the current selected predictor set. In the second approach, the relationships between target variables as exhibited by  
 345 previous storms are kept. The storm structure and the relationship between features are maintained as observed and thus  
 346 the question if similar storms behave similarly can be answered. This is referred here as the storm-based k-NN and is  
 347 denoted in the results as VS2. In this study the two approaches are used (respectively called VS1 and VS2) to understand  
 348 the potential and the actual improvement that the k-NN can bring to the storm nowcast.



**Figure 6** The main steps involved in the k-NN based nowcast with the estimation of similar storms (Step 1 to 3) and assigning the future responses of past storm as the new response of the “to-be-nowcasted” storm either in a deterministic nowcast (Step4-left) or in a probabilistic nowcast (Step4-right).

## 349 3.2 Application of the k-NN and performance assessment

### 350 3.2.1 Optimizing the deterministic k-NN nowcast

351 The optimization of the k-NN is done based on the 5189 storms extracted from 110 events on a “leave-one-out”  
 352 cross-validation. Since the “not” matched storms can either be dynamic clutter or artefacts of the tracking algorithm, they  
 353 are left outside of the k-NN optimization and validation. The assumption is here that an improvement of the radar data or  
 354 tracking algorithm would eliminate the “not” matched storms, hence the focus is only on the improvement that the k-NN

355 can introduce to the matched storms. “Leave-one-event-out” cross-validation means here that the storms of each event  
 356 have to be nowcasted by considering as a past database the storms from the remaining 109 events (a detailed visualization  
 357 is given in Figure 3-b). The objective function is the minimization of the mean absolute error between predicted and  
 358 observed target variables at lead times from +5min to +180 min:

$$359 \quad MAE_{target} = \sum_{i=1}^N (|Pred_{i,+LT}| - |Obs_{i,+LT}|) / N, \quad (8)$$

360 where the *Pred* is the predicted response, *Obs* the observed response for the  $i^{th}$  storm, *+LT* the lead time and *N* the number  
 361 of storms considered inside an event. The results of the storms’ nowcast are also dependent on the nowcast time in respect  
 362 to the storms’ life (time step of the storm existence when the nowcast is issued – refer to Figure 3-a). If the nowcast time  
 363 is 5min, only the present predictors are used for the calculation of storm similarity, and as higher the nowcast time, as  
 364 more predictors are available for the similarity calculation. It is expected for the nowcast to perform worse at the first  
 365 5min of the storm existence, as the velocities are not assigned properly to the storm region and the past predictors are not  
 366 yet calculated. Therefore, the optimization is done separately for three different groups of nowcast times, in order to  
 367 achieve a proper application of the k-NN model: Group 1 – Nowcast issued at 1<sup>st</sup> timestep of storm recognition, Group 2  
 368 – Nowcast issued between 30min to 1 hour of storm evolution, and Group 3 – Nowcast issued between 2 and 3 hours of  
 369 storm evolution. The k-number with the lowest absolute error averaged over all the events for most of the lead times (as  
 370 median of MAE from Equation (8) over all events) is selected as a representative for the deterministic nowcast.

### 371 **3.2.2 Validating the k-NN deterministic and probabilistic nowcast**

372 Once the important predictors are identified and the k-NN has been optimized, the performance of both  
 373 deterministic and probabilistic k-NN is assessed also in a “leave-one-event-out” cross-validation mode. Two performance  
 374 criteria are used to assess the performance: i) absolute error per lead time and target variable (as in the optimization of  
 375 the k-NN in Equation (8), and ii) the improvement (%) per each lead time and target variable that the k-NN approach  
 376 introduces to the nowcast when compared to the Lagrangian persistence in object-based approach;

$$377 \quad Error_{impr} [\%] = 100 \cdot \frac{(|Error_{ref}| - |Error_{new}|)}{|Error_{ref}|}, \quad (9)$$

378 where the *Error<sub>new</sub>* is the event error manifested by the k-NN, the *Error<sub>ref</sub>* the event error manifested by the Lagrangian  
 379 persistence and the *Error<sub>impr</sub>* the improvement in reducing the error per each lead time. For improvements higher than  
 380 100% or lower than -100%, the values are reassigned to the limits respectively 100% and -100%. Here the Lagrangian  
 381 persistence refers to as persistence of the storm characteristics (Area, Intensity, Velocity in X and Y Direction) as last  
 382 observed and constant for all lead times. For the probabilistic approach, the Continuous Rank Probability Score (CRPS)  
 383 as shown in Equation (10) is computed.

$$384 \quad CRPS(F, y) = \int_{-\infty}^{\infty} (F(x) - 1\{y \leq x\})^2 dx = E_F |Y - y| - \frac{1}{2} E_F |Y - Y'| \quad (10)$$

385 where *F* is a probabilistic forecast, *y* the observed value, *Y* the independent random variable with CDF of *F* and *Y'* the  
 386 finite first moment (Gneiting and Katzfuss, 2014). The CRPS is a generalization of the mean absolute error, thus if a  
 387 single nowcast is given, it is reduced to the mean absolute error (Equation 8). This enables a direct comparison between  
 388 the probabilistic and deterministic nowcast and to investigate the advantages of the probabilistic one. As in Equation (8),  
 389 the values obtained in Equation (9) and (10) are averaged per each of the 110 events.

390 As stated earlier the results depend on the nowcast time and also storm duration (in regard to available storms).  
 391 Therefore, the performance criteria for both k-NN nowcasts were computed separately for different storm durations and  
 392 nowcast times as illustrated in **Table 2**. It is important to mention as well, that since one event may contain many storms  
 393 of similar nature, when leaving one event out for the cross-validation, the number of available storms is actually lower

394 than the numbers given in **Table 2**. This is particularly affecting the performance of the storms longer than 6 hours, as the  
 395 “leave-one-event-out” cross-validation leaves fewer available storms for the similarity computation.

**Table 2** The selected storm durations and nowcast times for the performance calculation of the deterministic and probabilistic nowcast and the respective number of storms for each case.

Storm living less than 30 min		Storms living within 0.5 - 3 hours		Storms living longer than 3 hours	
Nowcast Time	No. Storms	Nowcast Time	No. Storms	Nowcast Time	No. Storms
5 min	4106	5 min	994	5min	89
15 min	2265	1h	370	2h	89
30 min	271	3h	6	6h	33

396 **4. Results:**

397 **4.1 Predictors Importance Analysis**

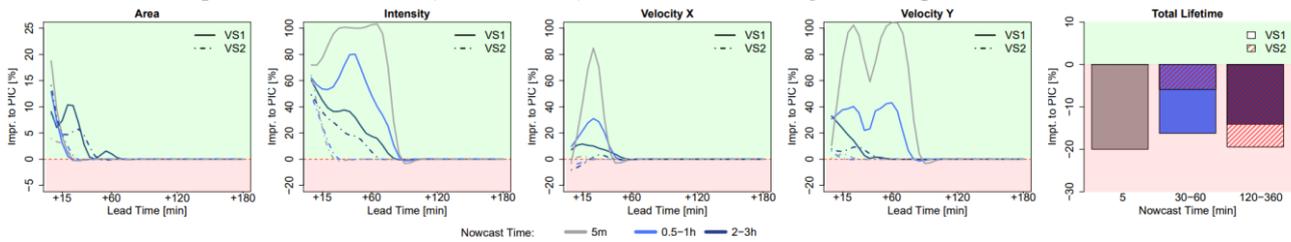
398 **Table 3** illustrates the results of the two important analysis methods (Pearson correlation and partial information  
 399 correlations - PIC) for each of the target variable and their average over the 5 variables. The stronger the shade of the  
 400 green colour, the more important is the predictor for the target variable. The weights given here are averaged from the  
 401 weights calculated at three different lead times and storm durations (see **Appendix 8.1** and **8.2** for more detailed  
 402 information about the calculated weights). First the Pearson Correlation weights are advised for the identification of the  
 403 most important predictors. From the results it is clear that the autocorrelation has a higher influence, as the target variables  
 404 are mostly correlated with their respective past and present values. This influence logically is higher for the shorter lead  
 405 times and smaller for the longer lead times. For longer lead times the importance of other predictors, that are not related  
 406 directly with the target variable, increases. Similar patterns can be observed among the Area, Intensity and Total Lifetime  
 407 target variables, indicating that these three variables may be dependent on each other, and on similar predictors like:  
 408 current lifetime, area, standard deviation of intensity, the major and minor ellipsoidal axis and the global velocity. This  
 409 conclusion agrees well with the life cycle characteristics of convective storms reported in the literature review. On the  
 410 other hand, are the velocity components, which seem to be highly dependent on the autocorrelation and slightly correlated  
 411 to area and ellipsoidal axes. It has to be mentioned that apart for the standard deviation intensities also the mean, median,  
 412 and maximum spatial intensities were investigated. Nevertheless, it was found that the  $I_{sd1}$  and  $I_{sd2}$  had the higher  
 413 correlation weights, and since there is a high collinearity between these intensity predictors, they were left out of the  
 414 predictor’s importance analysis.

**Table 3** Strength of relationship between the selected predictors and the target variables averaged for three lead times and storm duration groups (original weights can be seen in the Appendix 8.1 and 8.2) based on two predictors identification methods: upper –correlation, and lower –PIC weights. The green shade indicates the strength of the relationship: with 0 for no relationship at all, and 1 for highest dependency.

Method	Target	Present Predictors												Past Predictors - averaged from last 30 min									
		Cells	$L_{now}$	A	$PI_{sd1}$	$PI_{sd2}$	$V_g$	$V_x$	$V_y$	$J_{max}$	$J_{min}$	$J_r$	$\Phi$	A	$PI_{sd1}$	$PI_{sd2}$	$V_g$	$V_x$	$V_y$	$J_{max}$	$J_{min}$	$J_r$	$\Phi$
Pearson Correlation	A	0.09	0.18	0.67	0.15	0.48	0.05	0.00	0.00	0.50	0.49	0.09	0.00	0.65	0.17	0.00	0.07	0.00	0.06	0.51	0.49	0.12	0.00
	I	0.00	0.07	0.11	0.36	0.14	0.04	0.00	0.00	0.12	0.12	0.00	0.04	0.10	0.33	0.13	0.00	0.00	0.05	0.12	0.11	0.05	0.04
	$V_x$	0.00	0.00	0.10	0.02	0.04	0.16	0.21	0.00	0.08	0.00	0.00	0.03	0.09	0.00	0.00	0.18	0.28	0.00	0.09	0.00	0.00	0.00
	$V_y$	0.00	0.05	0.00	0.00	0.05	0.00	0.00	0.15	0.04	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.04	0.22	0.05	0.04	0.00	0.00
	$L_{tot}$	0.00	0.11	0.36	0.10	0.22	0.09	0.00	0.00	0.22	0.20	0.05	0.05	0.34	0.00	0.21	0.10	0.00	0.00	0.22	0.20	0.08	0.07
	Average	0.00	0.08	0.25	0.13	0.18	0.07	0.10	0.10	0.19	0.16	0.05	0.04	0.24	0.10	0.08	0.07	0.10	0.10	0.19	0.17	0.05	0.02
Partial Information Correlation	A	0.00	0.08	0.15	0.00	0.00	0.22	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.00	0.00	0.33	0.00	0.07	0.00	0.00	0.33	0.00
	I	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	$V_x$	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00
	$V_y$	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.00	0.00	0.00	0.00
	$L_{tot}$	0.00	0.15	0.13	0.00	0.00	0.24	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.33	0.00	0.00	0.00	0.11	0.33	0.00
	Average	0.00	0.05	0.06	0.00	0.00	0.09	0.00	0.00	0.20	0.00	0.00	0.00	0.00	0.00	0.00	0.13	0.20	0.01	0.20	0.02	0.13	0.00

415 The application of the PIC analyses requires that the most important predictors should be introduced to the  
 416 analysis first. Hence based on the Pearson correlation values the following most important predictors were selected: Area  
 417 -A, Intensity -PI<sub>sd1</sub>, - Velocity X - V<sub>X30</sub>, Velocity Y -V<sub>Y30</sub>, Total Lifetime - A. The results of the PIC analysis are shown  
 418 in the lower row of **Table 3** and **Appendix 8.2**. For storm duration lower than 3 hours, where a lot of zeros are present,  
 419 the PIC methods seems to be unable to converge to stable results or to identify important predictors. For the intensity and  
 420 velocity components, the PIC identifies only 1 important predictor which, in the case of the Intensity and Velocity in the  
 421 Y direction, does not correspond with the most important predictor fed first in the analysis. Contrary for Total Lifetime  
 422 and Area, only for storms that last longer than 3 hours, the method is able to converge and give the most important  
 423 predictors; for Area - A, V<sub>g</sub>, past V<sub>Y30</sub> and the L<sub>now</sub>, while for Total Lifetime - A, Vel<sub>g</sub>, L<sub>now</sub> and Jmin<sub>30</sub>. At the moment it  
 424 is unclear why the PIC method is unable to perform well for all of the target variables and storm groups. One reason might  
 425 be that only the Area and Total Lifetime are dependent on the chosen target variables. Another most probable reason might  
 426 be that for the other target variables the heavy-tail of the probability distribution and the high zero sample size may  
 427 influence the calculation of the joint and mutual probability distribution. The reason why this method is performing poorly  
 428 for the application at hand, even though developed specifically for the k-NN application, is not completely understood  
 429 and is not investigated further on for the time being since it is outside the scope of this paper.

430 Overall, the results from the Pearson correlation seem more robust and stable (throughout the lead times and  
 431 storm groups) than the PIC method (refer to **Appendix 8.1** and **8.2**); the importance weights increase with the lifetime of  
 432 the storm and decrease with higher lead time. These behaviours are expected as with increasing lead time the uncertainty  
 433 becomes bigger and with increasing lifetime the storm dynamic becomes more persistent (due to the large scales and the  
 434 stratiform movements involved). Moreover, the important predictors do not change drastically from one lead time or  
 435 storm group to the other, as seen in the PIC. Therefore, the predictors estimated from the correlation with the given weights  
 436 in **Table 3** are used as input to the k-NN application. In order to make sure that the predictor set from the Pearson  
 437 correlation was the right one, the improvement in the single k-NN training error of using these predictors instead of the  
 438 ones from PIC are shown in **Figure 7**. The results shown in this figure are computed according to the Equation (9) (where  
 439 “new” is k-NN with correlation weights, and “ref” is the k-NN with PIC weights) for the target-based k-NN approach  
 440 (solid lines) and storm-based k-NN approach (dashed lines) and are averaged for three groups of nowcast times as  
 441 indicated in the optimization of k-NN (Section 3.2.3) and as well in the legend of **Figure 7**.



**Figure 7** The median Mean Absolute Error (MAE) improvement per lead time and target variable from applying the k-NN (VS1 target-based, VS2 storm-based) with the predictors and weights derived by the Pearson correlation instead of PIC. The improvements are averaged for different times of nowcast. The green plot region indicates a positive improvement of the correlation predictors in comparison to the PIC, and the red region indicates a deterioration.

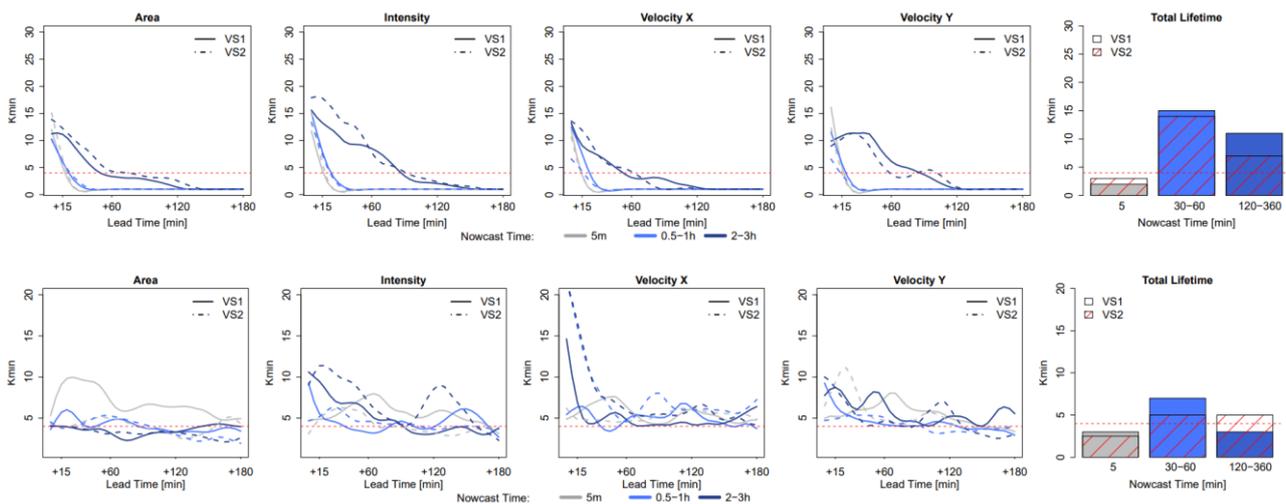
442 The results from **Figure 7** indicate that for the Area, Intensity, and Velocity components, the Pearson correlation  
 443 weights improve the performance of target-based k-NN from 5 up to 100% compared to the PIC weights. This happens  
 444 mainly for the short lead times (LT<+60min) throughout the three groups of nowcast times. For longer lead times there  
 445 seems to be no significant difference between the predictors sets. The same cannot be said for the Total Lifetime as a  
 446 target variable, here the Pearson correlation weights do not give the best results for all the nowcast times. In fact, here the  
 447 k-NNs based on the PIC weights seem to be more appropriate and yielded better results. However, as the other 4 target

448 variables are better for the Pearson correlation, this predictor set was selected for all applications of the k-NN (with  
 449 different weights according to **Table 3**) to keep the results consistent with one another. A further analysis was done that  
 450 proved that the application of the correlation weights produces lower errors than the non-weighted k-NN application (all  
 451 weights are assigned to 1 to the most important predictors from Pearson correlation).

452 Lastly, it should be emphasized that for the computation of predictors weights, all the events were grouped  
 453 together, and thus when applying the k-NN nowcast in the cross-validation mode, there is a potential that the information  
 454 leaks from the important analysis to the performance of the k-NN (also illustrated in **Figure 3-c**). In other words, the  
 455 performance of the k-NN will be better, because the weights were derived from all the events grouped together. Typically,  
 456 in modelling applications, the optimization dataset should be clearly separated by the validating one, in order to remove  
 457 the effect of such information leakage. For this purpose, the correlation weights were computed 110 times, on a “leave-  
 458 one-event-out” cross-sampling, in order to investigate their dependence on the event database. The results of such cross-  
 459 sampling are visualized in Appendix 8.3 and indicate a very low deviation of the predictors weights (lower than 0.01)  
 460 over all the target variables. The shown low variability of the Pearson Correlation weights justifies the decision to estimate  
 461 the weights from the whole database, as the potential information leakage is not likely affecting the results of the k-NN  
 462 performance. This is another reason favouring the calculation of the predictor’s weights based on the Pearson Correlation.  
 463 On the other hand, the weights from the PIC analysis are changing very drastically depending on the dataset and hence  
 464 the effect of the information leakage would be much larger in the k-NN developed from PIC weights. Moreover, a  
 465 sensitivity analysis as done in Appendix 8.3 cannot be performed for the PIC analysis because it would be extremely time  
 466 consuming.

#### 467 4.2 Optimizing the deterministic k-NN nowcast

468 Once the most important predictors and their weights are determined, the optimization of the single k-NN  
 469 nowcast for the two k-NN applications (storm-based and target-based) was performed. The optimal k-value obtained from  
 470 minimizing the mean absolute error (MAE) produced by k-NN are shown in **Figure 8-upper row**. The results are  
 471 computed for the given nowcast times, lead times and target variables for both k-NN applications (VS1 target-based and



**Figure 8** The optimization of the k-NN per target variable based on predictors and weights derived from Pearson correlation analysis: the median optimal selected “k” neighbours yielding the lowest absolute errors over the 110 events. Two k-NN applications are shown here – VS1 in solid line and VS2 in dashed line: First row – The optimal neighbour is found from minimizing the MAE for given group of nowcast times per event, Second row – The optimal neighbour is found from minimizing the mean error (ME) for the given group of nowcast times per event. The red dashed horizontal line indicates the k=4 that is chosen in this study for the deterministic k-NN application.

472 VS2 storm-based). For the 4 target variables Area, Intensity and Velocity in X/Y direction, the number of optimal values  
473 decreases quasi exponentially for lead time up to 1 hour. After these lead time, when the majority of the storms are  
474 dissipated, the optimal k-number converges at 1, meaning that the closest neighbour is enough to predict the dissipation  
475 of the storms. Contrary, for the very short lead times, the closest identified neighbour is unable to capture the growth/decay  
476 processes of the storms, thus the response has to be average from k-neighbours, with k depending strongly on target  
477 variable, nowcast time, lead time, and total lifetime. This seems to be the case also for the Total Lifetime, where averages  
478 between 3-15 neighbours are computed as  $K_{min}$ . Overall the k=1 seems to yield the lowest MAE for the majority of the  
479 lead time, nowcast times and target variables, and therefore is selected to continue further on with the analyses. However,  
480 selecting the first neighbour does not satisfy the requirement that the nature doesn't repeat itself, and ideally a k>1 should  
481 be achieved such that the responses from similar neighbour can be averaged to create a new response. For this purpose,  
482 the optimal K were additionally obtained by minimizing the mean error (ME) and are shown in **Figure 8 -lower row**.  
483 Here the overestimation and underestimation of different storms balance one another, and the results seem to converge  
484 when averaging 3-5 neighbours. A direct comparison of the MAE for k~2-5 and k=1 was performed in order to understand  
485 if a higher k will benefit the application of both k-NN versions. The median improvements of using neighbours from 2-5  
486 instead of 1 (over the selected groups of nowcast times) are shown only for the Total Lifetime in **Table 4**. The other target  
487 variables are left outside this analysis as the improvements averaged over all the lead times are very close to zero, as the  
488 dissipation of storms is captured well by all the 5 closest neighbours. From the results of the Table 4 it is visible that k=4  
489 brings the most advantages and hence was selected for both applications as a better compromise. The selection of k=4  
490 is not an optimization per se, as it was not learned with artificial intelligence, instead was selected based on human intuition,  
491 and it does not represent the best possible training of the  $K_{min}$ . For a more complex optimization, the machine learning  
492 can be employed in the future to learn the parameters of the exponential relationship between  $K_{min}$ , lead time, nowcast  
493 time and target variable. In that case a proper splitting of the database into training and validation should be done in order  
494 to avoid, information being leaked from the optimization to the validation of the k-NN. In our case, the effect of the  
495 information leakage at this stage (also illustrated in Figure 3-c) is minimized by obtaining the  $K_{min}$  on a cross-sampling  
496 of the events, and averaged over the events, lead times and nowcast times.

**Table 4** The median improvement of the total lifetime MAE when using k= 2- 5 instead of k=1 over the three selected groups of nowcast times.

	k=2	k=3	k=4	k=5
Storm-based	9.09%	10.74%	13.09%	11.94%
Target-based	3.40%	5.89%	6.54%	6.02%

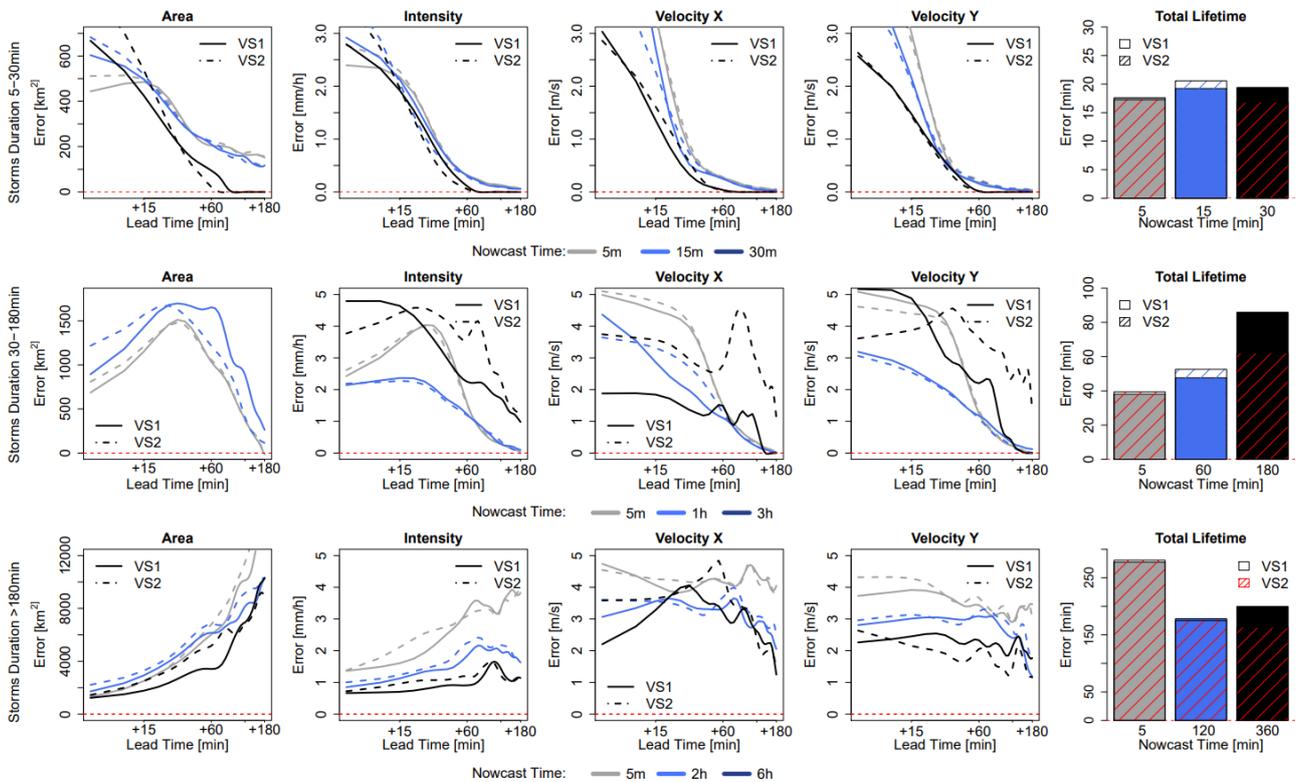
497

#### 498 4.3 Results of the deterministic 4-NN nowcast

499 The median MAE of the 4-NN determinist nowcast over all the events, run for both target- and storm-based  
500 approaches are shown in **Figure 9** for each lead time and target variable. The results are grouped according to the storm  
501 duration; i) upper row – for storms that live 30min, ii) middle row – for storms that live up to 3 hours and iii) lower row  
502 – for storms that live longer than 3 hours, and are averaged per nowcast times given in **Table 2**. As shown as well in the  
503 optimization of the 4-NN, the target-based k-NN exhibits lower Area, Intensity and Velocity errors than the storm-based  
504 4-NN. **Table 5-a** illustrates the median deterioration (-) or improvement (+) in percent (%) over all lead times that the  
505 storm-based 4-NN can reach when compared to the target-based one.

506 For storm living less than 30 minutes, the MAE is decreasing with the lead time and past LT+30 min is mostly  
507 zero, as the dissipations of the storms have been captured successfully. The Total Lifetime of the majority of the storms  
508 can be captured with ~ 15 min over-/underestimation regardless of the nowcast time. The errors for the 4 target variables

509 (except Total Lifetime) are lower for the later nowcast times than for the earlier ones (as expected). The difference between  
 510 the storm- and target-based 4-NN is very small for Area, Intensity and Total Lifetime, but much higher for the velocity  
 511 components (with storm-based exhibiting up to 40% higher errors than the target-based). The biggest difference seems to  
 512 be for shorter lead times (LT < +1h). For the storms living up to three hours, the same behaviour is, more or less, observed.  
 513 The only difference is for nowcasts issued at 3<sup>rd</sup> hour of the storm existence (last moment the storm is observed). Here it  
 514 is clear that the 4-NN fails to capture the dissipation of the storms that live exactly three hours, however this is attributed  
 515 to the number of available storms with duration of 3 hours (median over 6 storms available). Since the Area, Intensity and  
 516 Total Lifetime are overestimated and not converging to zero for high lead times, it is clear that the nearest neighbours are  
 517 being selected from the longer storms that do not dissipate within the next 3 hours. The differences between the two 4-  
 518 NN approaches are visible mainly for lead times up to 30 min (except the nowcast at 3<sup>rd</sup> hour of storms life), afterwards  
 519 the errors are relatively converging to each other. The storm-based 4-NN produces circa 10-20% higher errors than the  
 520 target-based one for the nowcast times lower than 3hours, while for nowcast time of 3 hours, the errors are up to 100%  
 521 higher than the target- based one. At these storms as well, the higher discrepancy between the two versions of 4-NN is



**Figure 9** The median mean absolute error (MAE) over all the events, for each target variable (Area, Intensity, Velocity in X and Y direction and Total Lifetime) based on two 4-NN applications: -VS1 in solid and VS2 in dashed lines. The performance is shown for storms that are: shorter than 30 min (upper row), than 3 hours (middle row), and longer than 3 hours (lower row), and over the selected nowcast times.

522 seen at the Velocity components.

523 For the storms that live longer than 3 hours (under 100 storms available) the same problem, as in the nowcast  
 524 time of 3 hours seen before, is present. The Total Lifetime is clearly underestimated (up to 100min) as due to database the  
 525 information is taken from shorter storms. It is important to notice here, that although 70 storms are present, because of  
 526 the “leave-one-event-out” validation, the storm database is actually smaller. Nevertheless, the error is manifested here  
 527 differently: as the long storms are more persistent in their features: the Area, Intensity and Velocity components are

528 captured better for the short lead times with the error increasing at higher lead times. Here as well the nowcast issued at  
 529 the earlier stages of the storm’s life exhibit higher errors than in the later stages. Especially for the nowcast at the 6<sup>th</sup> hour  
 530 of the storm’s existence, the errors are quite low for all 5 target variables due to the persistence of the stratiform storms.  
 531 For this group of long storms, the storm-based nowcast yields up to 10% higher errors than the target-based one, with  
 532 only few exceptions depending on the time of nowcast and variable. It is clear that the storm-based 4-NN is more  
 533 influenced by the number of available storms than the target-based approach.

**Table 5** Median Deterioration (-) or Improvement (+) of *k*-NN storm-based (VS2) compared to target-based (VS1) over all lead times according to the storm duration and nowcast times (shown in %). Equation 9 is used here, where “ref” – is the target-based and “new” is the storm-based *k*-NN.

a) deterministic comparison of median MAE from storm-based to target - based

Storm Duration	Storm-based						Storm Duration	Target-based					
	Nowcast Time	Area	Intensity	Velocity X	Velocity Y	Total Lifetime		Nowcast Time	Area	Intensity	Velocity X	Velocity Y	Total Lifetime
30min	5min	-3%	8%	-8%	-27%	2%	0.5-3h	5min	-0.21%	-2%	3%	-1%	-4%
	15min	0%	7%	-14%	-38%	-7%		60min	30.00%	2%	-5%	23%	-11%
	30min	0%	0%	0%	0%	13%		180min	-15%	-28%	-100%	-100%	28%
30min	5min	120min	-9%	0%	2%	-1%	>3h	5min	-9.30%	-4.24%	1.10%	-0.67%	-9.27%
		120min	-10%	-7%	-3%	-10%		2%					
		360min	-10%	-8%	-8%	21%		18%					

b) probabilistic comparison of median MAE from storm-based to target - based

Storm Duration	Storm-based						Storm Duration	Target-based						
	Nowcast Time	Area	Intensity	Velocity X	Velocity Y	Total Lifetime		Nowcast Time	Area	Intensity	Velocity X	Velocity Y	Total Lifetime	
30min	5min	-19%	7%	-12.75%	-50.00%	0.50%	0.5-3h	5min	-3.00%	0.00%	-0.50%	-14.40%	-4.02%	
	15min	3%	4%	-6.95%	-58%	-9.61%		60min	11.58%	-0.23%	-11.60%	15.37%	3%	
	30min	30.23%	29.62%	35%	40.18%	3.45%		180min	-8%	-4%	-100%	-88%	5%	
30min	5min	120min	-9.30%	-4.24%	1.10%	-0.67%	-9.27%	>3h	5min	-9.30%	-4.24%	1.10%	-0.67%	-9.27%
		120min	-5%	2%	-4%	-5%	4.79%							
		360min	-3.50%	-0.42%	-16%	11%	5.14%							

c) deterministic comparison of median improvement towards Lagrangean Persistence from storm-based to target-based

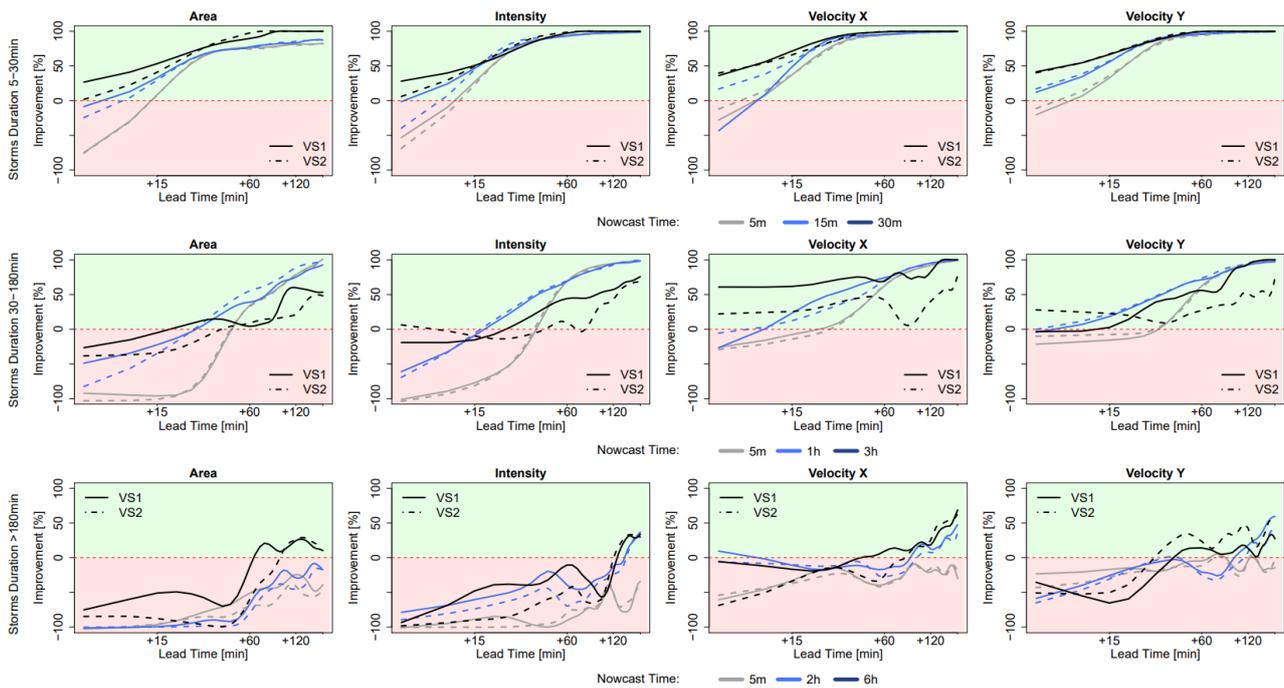
Storm Duration	Storm-based					Storm Duration	Target-based					
	Nowcast Time	Area	Intensity	Velocity X	Velocity Y		Nowcast Time	Area	Intensity	Velocity X	Velocity Y	Total Lifetime
30min	5min	-1%	0%	0%	-1%	0.5-3h	5min	0.00%	0%	1%	0%	
	15min	0%	0%	0%	-1%		60min	12.53%	1%	-1%	3%	
	30min	0%	0%	0%	0%		180min	0%	0%	1%	0%	
30min	5min	120min	-26%	0%	6%	-8%	>3h	5min	-26%	0%	6%	-8%
		120min	-31%	-22%	-29%	-39%						
		360min	-5%	-21%	-8%	89%						

d) probabilistic comparison of median improvement towards Lagrangean Persistence from storm-based to target-based

Storm Duration	Storm-based					Storm Duration	Target-based					
	Nowcast Time	Area	Intensity	Velocity X	Velocity Y		Nowcast Time	Area	Intensity	Velocity X	Velocity Y	Total Lifetime
30min	5min	-1%	0%	0%	0%	0.5-3h	5min	-1.40%	0%	0%	-1%	
	15min	0%	0%	0%	-1%		60min	2.50%	0%	0%	0%	
	30min	0%	0%	0%	0%		180min	-1%	0%	0%	-1%	
30min	5min	120min	-44%	-11%	5%	-3%	>3h	5min	-44%	-11%	5%	-3%
		120min	-20%	11%	-5%	-6%						
		360min	-8%	0%	-24%	6%						

534 **Figure 10** shows the improvement that the 4-NN introduces to the nowcast when compared to the Lagrangian  
 535 persistence (either target- or storm-based) and are averaged per lead time for each of the three group of storms and the  
 536 respective times of nowcast. Since the Lagrangian Persistence doesn’t issue a Total Lifetime nowcast, only the four target  
 537 variables (Area, Intensity and Velocity components) are considered. The green area indicates the percent of improvement  
 538 from the application of the 4-NN approach, and the red area indicates the percent of deterioration from the 4-NN  
 539 application (Lagrangian persistence is better). Additionally, median improvements (+) or deterioration (-) over all lead  
 540 times of the storm-based compared to target-based 4-NN approach in respect to the Lagrangian Persistence are illustrated  
 541 in Table 5-c. For the 30min storms, the 4-NN approach (both target- and storm-based) are considerably better than the  
 542 Lagrangian persistence: improvement is higher than 50% from the LT+15min and up to 100% from LT+60min. The  
 543 improvement is greater for nowcast at the 15<sup>th</sup> min of storm existence (when the persistence predictors are considered). It  
 544 is clear than due to the autocorrelation, the Lagrangian persistence is more reliable for the short lead times and for earlier  
 545 nowcast times. However, after LT+15min and for nowcast times near to the dissipation of the storms, where the non-  
 546 linear relationships govern, the improvements from the nearest neighbour are more significant. The target-based 4-NN  
 547 results in slightly higher improvements than the storm-based one only for lead time up to 30min, past this lead time the  
 548 improvements from both versions are converging. For the storms that live between 30 min to 3 hours, the improvements  
 549 are introduced first after LT+15 or +30 min depending on the nowcast time: with increasing nowcast time increases the  
 550 improvement as well. The only exception is for the nowcast of Area and Intensity on the 3<sup>rd</sup> hour of the storm existence,  
 551 where no clear improvement of the 4-NN approaches could be seen before LT+30min or LT+1h. This low improvement  
 552 for the nowcast time of 3 hours was expected following the poor performance of the 4-NN shown in **Figure 9**. It seems  
 553 like the Lagrangian persistence is particularly good for predicting the Area and Intensity at very short lead times (up to  
 554 LT+20min). Here, for nowcast times of 5min, the Lagrangian Persistence is 100% better than any of the 4-NN approaches.

555 But not the same is true for the Velocity Components, with the Lagrangian Persistence exhibiting very low advantages  
 556 against the 4-NN for the short lead times. Regarding the difference of the two 4-NN approaches, with few exceptions, the  
 557 storm-based nowcast exhibits similar improvements as the target-based. Another exception is the nowcast time of 3 hours,  
 558 where the storm-based improvements are clearly lower, especially for the higher lead times, than the target-based (up to  
 559 40%). For storms living longer than 3 hours, the improvements are present for lead times higher than 2 hours. Since the  
 560 features of the long storms (mostly of stratiform nature) are persistent in time, is understandable for the Lagrangian  
 561 Persistence to deliver better nowcast up to LT+2h. Past this lead time non-linear transformations should be considered.  
 562 Here, even though the storm database is small, the non-linear predictions based on the 4-NN capture better these  
 563 transformations than the persistence. The improvement introduces by the storm-based are generally from 20-30% lower



**Figure 11** The median improvements over all the events that the single 4-NN application can introduce in the nowcast of the target variables (Area, Intensity, Velocity in X and Y direction) in comparison to the Lagrangian persistence. The results are shown for each 4-NN application: VS1 in solid and VS2 in dashed lines and are calculated separately for storms that live shorter than 30 min (upper row), shorter than 3 hours (middle row) and longer than 3 hours (lower row), and for the respective nowcast times. The green region of the plot indicates a positive improvement (better nowcast by the 4-NN application) and the red region indicates a deterioration (better nowcast by the Lagrangian persistence).

564 than the improvements introduced from the target based.

565 To conclude, the 4-NN deterministic nowcast brings up to 100% improvements for lead times higher than the  
 566 predictability limit of the Lagrangian persistence and are dependent mainly on the storm type and the size of database.  
 567 Overall, for all storms the improvement is mainly at the high lead times and later times of nowcast, as the 4-NN is  
 568 capturing particularly well the dissipation of the storms. The results from the long events are suffering the most from the  
 569 small size of the database. This was anticipated, as the events were mainly selected from convective events that have the  
 570 potential to cause urban floods. A bigger database, with more stratiform events included, can introduce a higher  
 571 improvement to the Lagrangian persistence. These improvements are expected to be higher for lead times longer than 2  
 572 hours, but is yet to be seen if a larger database can as well behave better than the persistence even for lead times shorter  
 573 than the predictability limit. Regarding the two different 4-NN approaches, the storm-based performs 0-40% worse than

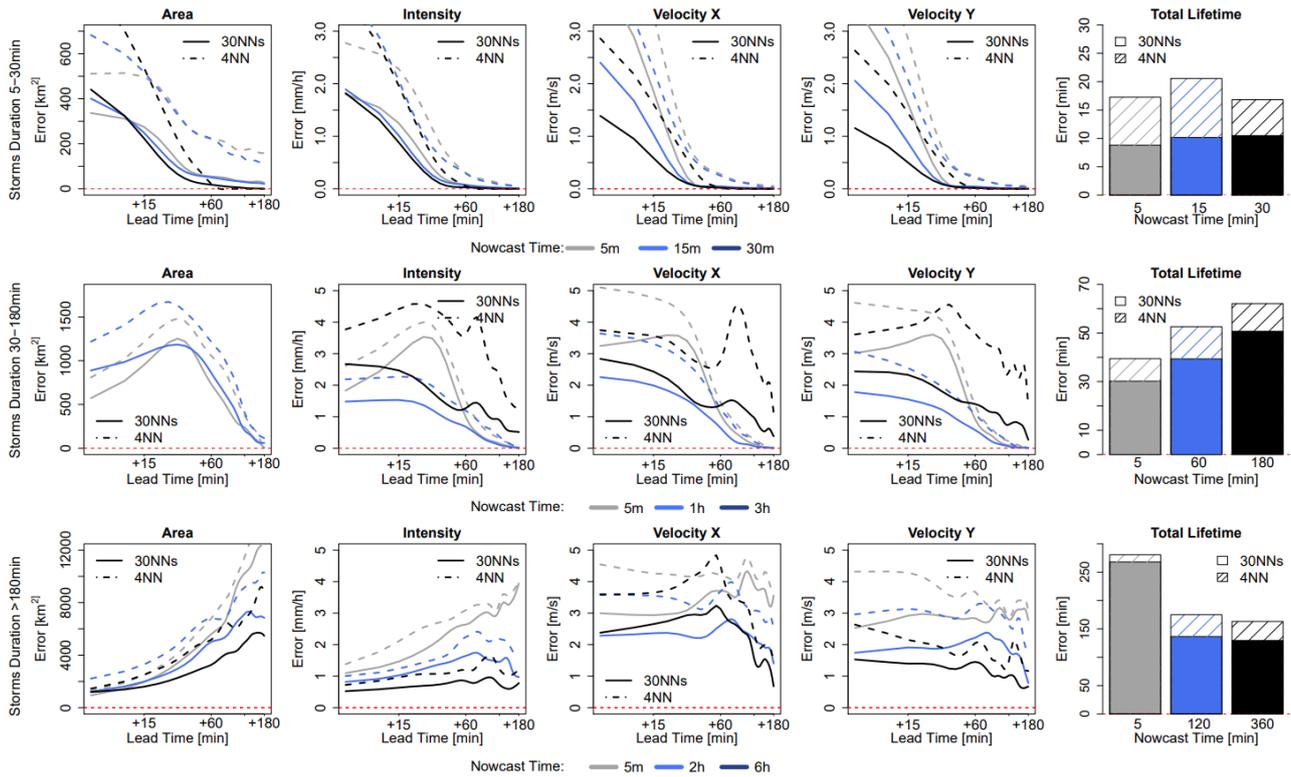
574 the target-based nowcast, introducing generally 40% lower improvements to the Lagrangian persistence. The main  
575 differences between these two approaches lie between the growth/decay processes, which the target-based 4-NN can  
576 capture better. Also, these differences are particularly larger for the Velocity Components and for the Total Lifetime, than  
577 in the Area and Intensity as target variables. Furthermore, it seems that the storm-based 4-NN is more susceptible to the  
578 size of the database than the target-based one. Nevertheless, there are some cases where the storm-based behaves better  
579 than the target-based nowcast (as illustrated with green in **Table 5** -a) even though the target-based approach should be  
580 profiting more from the selected predictors and their respective weights. A better optimized  $K_{\min}$  for each lead time and  
581 nowcast time, may actually improve further on the results of both 4-NN versions, and give the advantages mainly to  
582 target-based nowcast.

#### 583 *4.4 Results of the ensemble 30-NN nowcast*

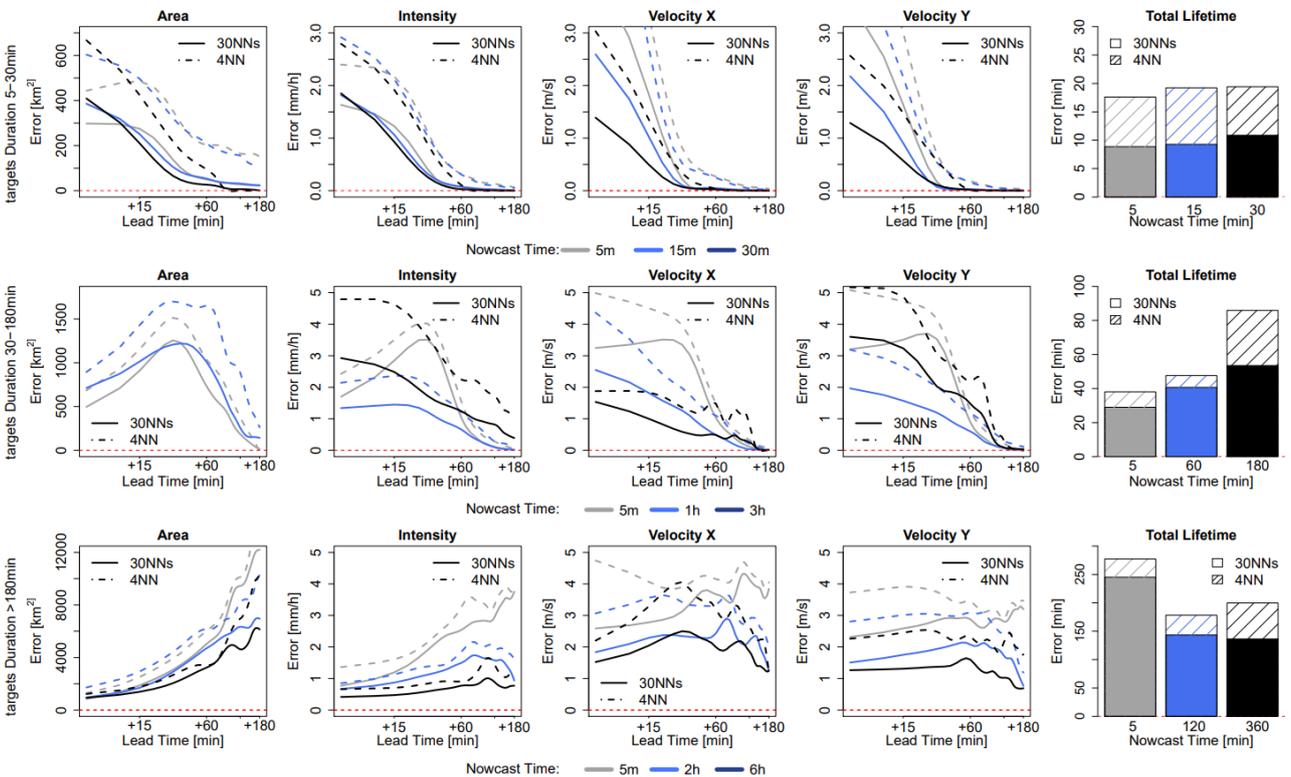
584 The median CRPS over all the events for the probabilistic 30NNs (in solid lines) together with the  
585 median MAE for the deterministic 4-NN (in dashed lines), are illustrated respectively for storm-based approach in **Figure**  
586 **11** and for target-based approach approaches in **Figure 12**. The results are shown as in the previous Figures per each lead  
587 time and target variable, for storms divided into 3 groups according to their duration and averaged depending on the time  
588 of nowcast. Additionally, the median improvements (+) or deterioration (-) of storm-based CRPS values in comparison  
589 with the target-based are given in Table 5-b. For the 30min long storms, the errors of the probabilistic nowcast are typically  
590 lower than the single 4-NN nowcast for all the variables, lead times and nowcast times, independent of the 30NNs  
591 approach (either storm- or target-based). In contrast to the deterministic 4-NN, the probabilistic 30NNs performance is  
592 very little dependent on the nowcast time (mainly for Area, Intensity and Total Lifetime). The storm-based 30NNs has up  
593 to 50% higher errors than the target-based, but on the other side can have up to 40% lower errors than the target-based  
594 for nowcast times of 30min. This suggests that storms in this duration behave similarly and their dissipation can be  
595 predicted adequately by the storm-based approach with more than 4 similar neighbours. For storms that live shorter than  
596 3 hours, the same performance is as well exhibited: the probabilistic 30NNs has lower errors than the deterministic 4-NN.  
597 The difference between the target- and storm-based nowcasts is within the range of the single 4-NN nowcast for the first  
598 4 target variables, with storm-based 30NNs having 15% higher errors in the first 30 min of the nowcast than the target-  
599 based. For Intensity and the Total Lifetime, both of the 30NNs exhibit very similar errors for most of the nowcast times.  
600 It is worth mentioning here, that for the nowcast at the 3<sup>rd</sup> hour of storms' existence the errors are much lower than the  
601 single 4-NN nowcast. This proves that the most similar storms are within the 30 members, but not within the first 4  
602 neighbours selected in the case of the single 4-NN nowcast. Due to the unrepresentativeness in the database, the errors of  
603 the longer storms are considerably higher than the other storm groups, and the errors of the first 4 target variables are  
604 increasing with the lead time and decreasing with the nowcast time, as in the case of the deterministic 4-NN nowcasts.  
605 However here unlike the other storm groups, the differences between the storm-based and target-based approach are  
606 visible past 30 min lead time, with the storm-based errors being up to 15% higher than the target-based.

607 Overall the ensemble results are clearly better than the single 4-NN nowcast, suggesting that the best responses  
608 are obtained by singular neighbours (either the closest one or within the 30 neighbours) and not by averaging. Thus, there  
609 is still room for improving the single 4-NN nowcast by selecting better the important predictors and their weights or  
610 averaging differently the nearest neighbours. Nevertheless, the results from **Figure 11** and **Figure 12** emphasize that  
611 similar storms do behave similarly, and that the developed k-NN on the given database with 30 ensembles gives  
612 satisfactory results. Compared to the deterministic 4NNs it has the advantage that no k-optimization is needed, and the  
613 two approaches (storm- and target-based) have less discrepancies with one another.

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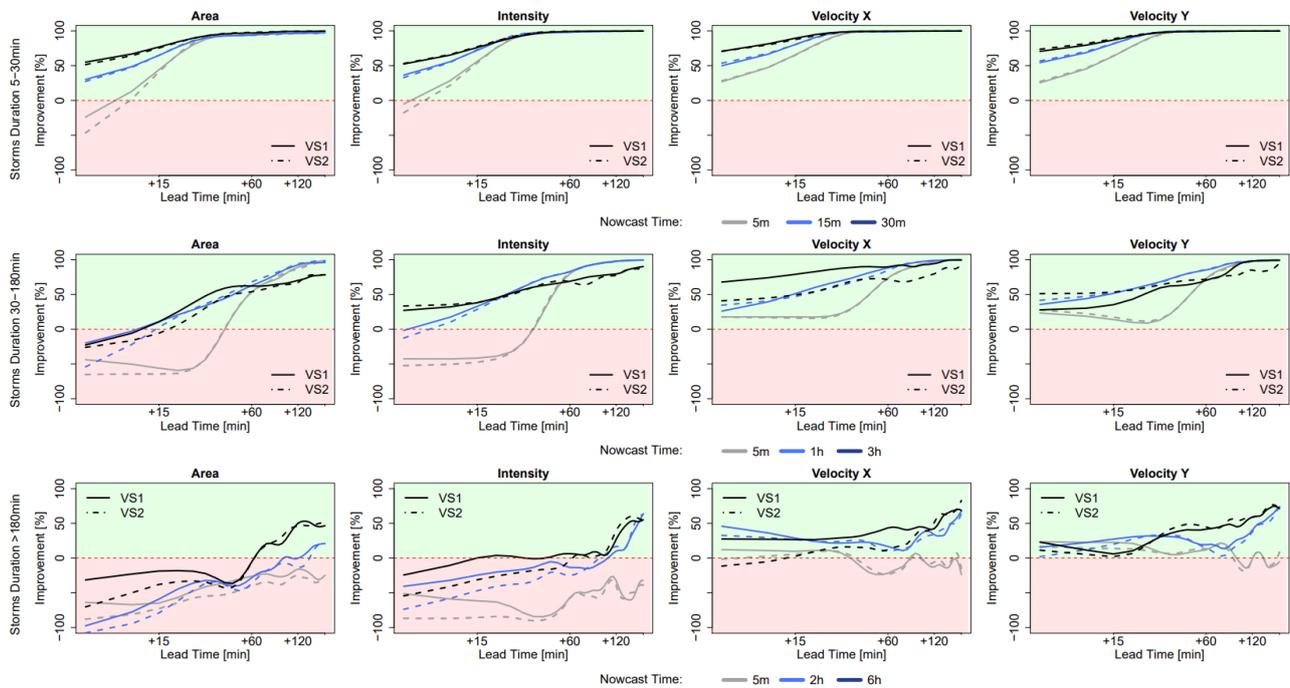


**Figure 14** The median CRPS over all the events for each target variable (Area, Intensity, Velocity in X and Y direction and Total Lifetime) on the storm-based applications: 4-NN (deterministic) in solid and 30NNs (probabilistic) in dashed lines. The performance is computed over storms that are: shorter than 30 min (upper row), than 3 hours (middle row), and longer than 3 hours (lower row), and over the selected nowcast times.



**Figure 12** The median CRPS over all the events for each target variable (Area, Intensity, Velocity in X and Y direction and Total Lifetime) on the target-based applications: 4-NN (deterministic) in solid and 30NNs (probabilistic) in dashed lines. The median errors are computed over storms that are: shorter than 30 min (upper row), than 3 hours (middle row), and longer than 3 hours (lower row), and over the selected nowcast times.

616 **Figure 13** demonstrates the improvement of the probabilistic 30NNs when compared to the Lagrangian  
617 persistence (storm-based in dashed line, and target-based in solid line). As before the median improvement over the events  
618 is computed and shown for each storm duration group, nowcast time, lead time and target variables (except for the Total  
619 Lifetime). For all the three groups it is visible that performance increases considerably with the lead time – suggesting  
620 that the ensemble predictions are particularly useful for the longer lead times where the single nowcast is not able to  
621 capture the storm evolution. For short storms (duration shorter than 30min) the Lagrangian persistence is only better for  
622 the Area and Intensity at 5min nowcast time and for very short lead times (up to 10min). However, past this lead time,  
623 the probabilistic 30NNs has the clear advantage with improvements up to 100%. Past LT+30min, which coincides with  
624 the predictability limit of the Lagrangian persistence at such scales, there is no difference between the nowcast time and  
625 30NNs approach (less than 1% for all target variables and nowcast times). For storms that live shorter than 3 hours, the  
626 results are slightly worse than the very short storms., but still exhibit the same patterns. Here as well the main  
627 improvements of the 30NNs probabilistic approach is seen between LT+15min to LT+30min for all the target variables.  
628 Interesting in this storm group are the results from the nowcast time of 3 hours that exhibit different behaviours than the  
629 deterministic approach. This is expected as the Lagrangian persistence performs particularly poorly because it cannot  
630 model the storms dissipations. The difference between the two types of 30-NN is insignificant, although a bit higher than  
631 for the very short storms (~2.5% difference). For the longer storms the benefit of the probabilistic 30NNs is seen mainly  
632 for LT+60min to LT+120min, but still not as high as in the other storm groups. The worse performance is at nowcast time  
633 of 5min, where the 30NNs fails to bring any advantage to the prediction of Area and Intensity when compared to the  
634 Lagrangian Persistence. Interesting from these storms, is that the improvement is more significant at the Velocity  
635 Components than in the Area and Intensity predictions. This suggest the velocity components are more persistent (see  
636 **Figure 4**) and easier to be predicted from similar storms.



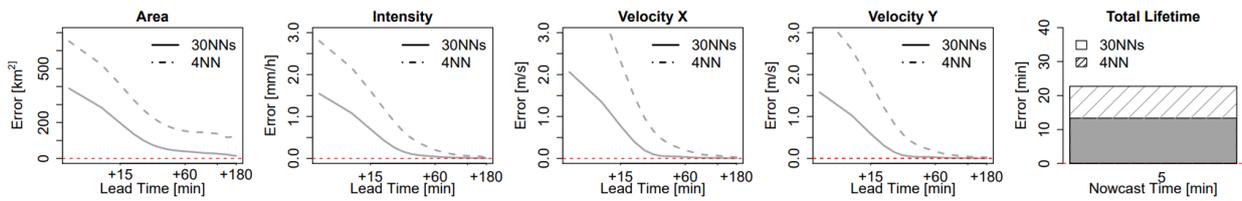
**Figure 13** The median improvements over all events, that the 30NNs nowcast can introduce in the nowcast of the target variables (Area, Intensity, Velocity in X and Y direction) in comparison to the Lagrangian persistence. The results are shown for each 30NNs application: VS1 in solid and VS2 in dashed lines and are calculated separately for storms that live shorter than 30 min (upper row), shorter than 3 hours (middle row) and longer than 3 hours (lower row), and for the respective nowcast times. The green region of the plot indicates a positive improvement (better nowcast by the 4-NN application) and the red region indicates a deterioration (better nowcast by the Lagrangian persistence).

637 As a conclusion the probabilistic nowcasts are better than the Lagrangian Persistence mainly for convective  
638 storms that last shorter than 3 hours and lead times higher than LT+15min. Of course, there is still room for improving  
639 the 30NNs application by increasing the size of the past database. Overall, it seems that the velocity components can be  
640 captured much better by the 30NNs application than the Lagrangian Persistence, while the Lagrangian Persistence is more  
641 suitable for long persistent storms and for nowcast times of 5min where not enough information is available to select  
642 similar storms. An increase in the database, with more stratiform storms, may improve the performance of the 30NNs and  
643 its advantage towards the Lagrangian Persistence. However, the value of the probabilistic 30NNs relies mainly in the  
644 nowcasting of convective events. Moreover, the possibility of merging Lagrangian Persistence with a probabilistic 30NNs  
645 approach should be explored and further investigated; the Lagrangian Persistence should be implemented for very short  
646 lead times (up to 30min) and for the first nowcast times where the predictors are not enough to select similar past storms.

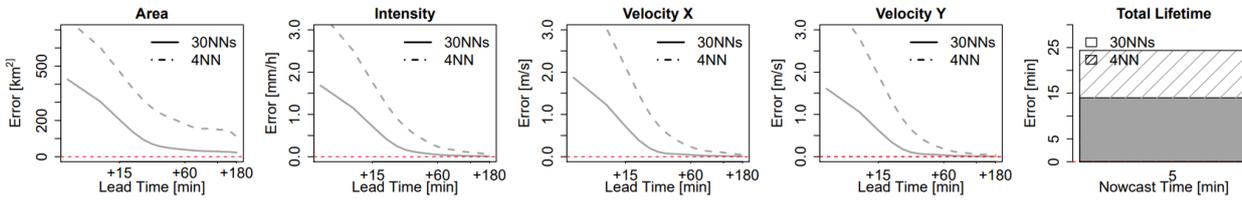
647 Improving the nowcasting of storm characteristics is the first step in improving rainfall nowcasting at fine  
648 temporal and spatial scales. On a second step, the knowledge about the storm characteristics (as nowcasted by the 30NNs)  
649 should be implemented on the spatial structure of the storms to estimate rainfall intensities at fine scales (1km<sup>2</sup> and 5min).  
650 There are two options to deal with the spatial distribution of the rainfall intensities inside the storm region (which is so  
651 far no treated in this study): 1. Increase/Reduce the area by the given nowcasted area (as target variable) for each lead  
652 time, scale the average intensity with the nowcasted intensity, and move the position of the storm in the future with the  
653 nowcasted velocity in x and y direction. 2. Take the spatial information of the selected neighbours, perform an  
654 optimisation in space (such that present storm and the neighbour's storms locations match) and assign this spatial  
655 information to the present storm for each lead time. The former is an extension of the target-based 30NNs, while the later  
656 an extension of the storm-based 30NNs. So far, the comparison between these two versions, showed that the target-based  
657 approach is better suited mainly to nowcast the velocity components, thus a merging of the two could also be reasonable:  
658 the storm-based approach is used for nowcasting Area-Intensity-Total Lifetime (features that are co-dependent based on  
659 the life cycle characteristics of convective storms), and the target-based approach for the nowcasting of the velocity  
660 components. The suitability of the proposed combinations and the merging of the 30NNs with the Lagrangian persistence  
661 for nowcasting rainfall intensities at fine scales, is currently under investigation and will be discussed in a follow up paper.

#### 662 *4.5 Nowcasting the unmatched storms*

663 For the optimization and testing of the k-NN approaches, the unmatched storms from the tracking algorithm were  
664 left outside of the database. Nevertheless, in an online application (operational nowcast), when the storm is recognized  
665 for the first time, one can not predict if the storm is an artefact, or it will not be matched by the tracking algorithm.  
666 Therefore, it is important to investigate how the developed k-NN deals with these unmatched storms. **Figure 14** illustrates  
667 the median performance over the 110 events of the developed target-based (upper row) and storm-based (lower row) k-  
668 NN when predicting the target variables of the unmatched storms from a past database of only matched storms (storms  
669 with duration equal or longer than 10min). As in the previous results, the 30NNs probabilistic application yields better  
670 errors than the deterministic one, causing an overestimation of these storms for the first 10-20min for the target-based  
671 approach and 15-30min for the storm-based one. A direct comparison of these errors with the Lagrangian Persistence is  
672 shown in **Figure 15**, with the deterministic 4-NN in the upper row and the probabilistic 30NNs in the lower row. As  
673 expected the probabilistic 30NNs brings the most improvement when compared to the Lagrangian Persistence for all lead  
674 times and target variables. Thus, even though, most of these unmatched storms will be overestimated in their duration,  
675 the 30NNs will capture their dissipation much better than either the deterministic 4-NN or the Lagrangian Persistence.

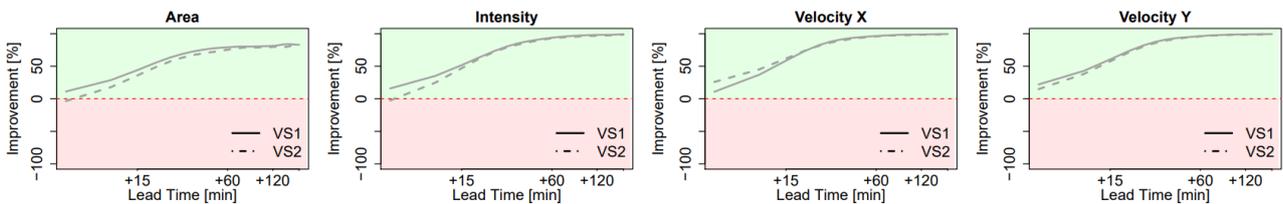


a) Target-based k-NN application

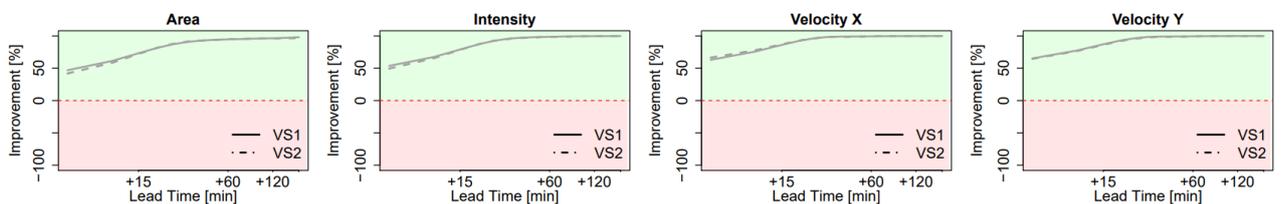


b) Storm-based k-NN application

**Figure 154** Median CRPS error over the 110 events for each of the target variables nowcasted from 4-NN deterministic (in dashed lines) and 30NNs probabilistic (in solid lines) applications for both target- (upper row) and storm-based (lower row) approaches. The results shown here are from the “unmatched storms” when the nowcast time is 5 min.



a) deterministic 4-NN approach



b) probabilistic 30-NN approach

**Figure 15** Median performance improvement over the 110 events for each of the target variables nowcasted from 4-NN deterministic (upper row) and 30NNs probabilistic (lower row) applications when compared to the Lagrangian Persistence, for both target- (upper row) and storm-based (lower row) approaches. The results shown here are from the “unmatched storms” when nowcast time is 5 min.

676 **5. Conclusions**

677 Accurate predictions of rainfall storms at fine temporal and spatial scales (5min, 1km<sup>2</sup>) based on radar data are  
 678 quite challenging to achieve. The errors associated with the radar measurements, identification and tracking of the storms,  
 679 and more importantly the extrapolation of the storms in the future based on the Lagrangian persistence, are limiting the  
 680 forecast horizons of such radar based nowcasts to 30-45 min for convective storms and to 1 hour for stratiform events.  
 681 The focus of this paper was the improvement of the storm-oriented radar based nowcasts by considering other non-linear  
 682 behaviours for future extrapolation instead of the Lagrangian persistence. For this purpose, a nearest neighbour approach  
 683 was proposed that predicts future behaviours based on past observed behaviours of similar storms. The method was  
 684 developed and validated for the Hannover Radar Range where storms from 110 events were pooled together and used in  
 685 a “leave-one-event-out” cross-validation. From 110 events a total of around 5200 storms with different morphology were

686 identified and tracked with HyRaTrac in order to build up the database for the k-NN implementation. The storms were  
687 treated as ellipses and for each state of the storms' evolution different features (describing both present and past states)  
688 were computed. The k-NN approach was developed on these features to predict the behaviour of the storms in the future  
689 (for lead times up to 3 hours) through 5 target variables (Area, Intensity, Velocity in X and Y direction and Total Lifetime).

690 First an importance analysis was performed in order to recognize the most important predictors for each of the  
691 target variable. Two different approaches were employed for this purpose: Pearson correlation, and Partial Information  
692 Correlation (PIC). A comparison of these two methods revealed that for the application at hand the Pearson Correlation  
693 is more reliable at determining important predictors, and delivers 5%-30% better results than the PIC method. However,  
694 the PIC seems promising mainly for determining the most important predictors of the Area and Total Lifetime for storms  
695 longer than 3 hours, and is still recommended for investigation in the future. The Area, Intensity and Total Lifetime of the  
696 storms seem to be co-dependent on one another and on the features that describe their evolution. In particularly the  
697 variance of the spatial intensity is an important predictor for the three of them. On the other hand, the velocity components  
698 are dependent as well more on features that describe their evolution. Nevertheless, there is still a dependency of the area  
699 and velocity components, and should be included when predicting each other mainly for high lead times.

700 The weights derived from the Pearson correlation were used for the similarity estimation of different storms  
701 based on the Euclidian distance. Two k-NN approaches were developed on two measurements of similarity: a) target-  
702 based approach – similarity was computed for each target independently and indicates the best performance possible by  
703 the given predictors and weights, and b) storm-based approach – similarity was computed for each storm keeping the  
704 relationship between the target variables. For the two approaches a deterministic (averaging the 4 closest neighbours) and  
705 a probabilistic (with 30 nearest neighbours) nowcast were issued for all of the storms in “leave-one-event-out” cross-  
706 validation mode. In the deterministic nowcast the difference between the two remains mainly at short lead times (up to  
707 30 min) and at the Velocity Components, with the storm-based results yielding up to 40% higher errors than the target-  
708 based ones. However, at higher lead times the difference between the two became insignificant, as the dissipation  
709 processes were captured well for the majority of the storms. The same behaviours were observed as well in the ensemble  
710 nowcast, with target-based ensembles being slightly better than the storm-based nowcast. Overall the storm-based  
711 approach seems reasonable for Area-Intensity and Total Lifetime, as they are co-dependent and their relationship should  
712 be maintained for each storm, while target-based approach captures better the velocity components. A combination of the  
713 both approaches, may results in better nowcasting of storms' characteristics.

714 To investigate what value each of the two k-NN approaches introduces to the nowcast, their errors (for both  
715 deterministic and probabilistic nowcast) were compared to the errors produced by the Lagrangian persistence. For both  
716 of the approaches the improvement was more than 50% for convective storms for lead times higher than 15 min, and for  
717 mesoscale storms for lead times higher than 2 hours. The results were particularly good for the small convective storms  
718 due to the high number of storms available in the database. For the mesoscale storms (with duration longer than 3 hours)  
719 the improvements were not satisfactory due to the small sample size of such long storms. Increasing the sample size is  
720 expected to improve the performance of the k-NN for these storms as well. However, when consulting the probabilistic  
721 k-NN application it seems that, even for these storms and the given database, there are enough similar members in the 30  
722 neighbours that are better than the Lagrangian persistence. This emphasizes that the probabilistic nowcast is less affected  
723 by the sample size than the deterministic 4-NN. Moreover, the differences between the storm-based and target-based  
724 approaches, become smaller in the probabilistic approach than the deterministic ones. Lastly, the optimization of the  
725 adequate neighbours for the deterministic approach is far more complex than implemented here, but when issuing the  
726 probabilistic nowcast there is no need to optimize the k – number. It is clear that the probabilistic application of the k-NN  
727 outperforms the deterministic ones, and has more potential for future works.

728 Overall the results suggest that if the database is big enough, storms that behave similarly can be recognized by  
729 their features, and their responses are useful in improving the nowcast up to 3 hours lead times. We recommend the use  
730 of the nearest neighbour in a probabilistic application (30NNs) to capture better the storm characteristics at different lead  
731 times. A merging with the Lagrangian Persistence for short lead times (up to 15min) and early nowcast times can be as  
732 well implemented. Further improvements can be achieved if the predictors importance is estimated better (i.e. Monte  
733 Carlo approach, or neural networks) or if additional predictors are included from other data sources like: cloud information  
734 from satellite data, temperature, convective available potential energy (CAPE) and convective inhibition (CIN) from  
735 Numerical Weather Prediction Models, lightning flash activity, additional measurements from Doppler or dual polarized  
736 radar data (like phase shift, doppler velocity, vertical profile at different elevation angels), various geographical  
737 information (as distance from heavy urbanized areas, mountains or water bodies) and so on. The main benefit of the  
738 probabilistic 30NNs is mainly seen for convective events and creating new nowcasting rules based on the predicted storm  
739 characteristics. Future works include the integration of the developed 30NNs application in the object-oriented radar  
740 based nowcast to extend the rainfall predictability limit at fine spatial and temporal scales (1km<sup>2</sup> and 5min). In conclusion,  
741 the results seem promising at the storms scale, nevertheless is still to be seen if the methodology applied here can introduce  
742 improvements as well at the local scale, i.e. validation with the measurements from the rain gauge observations.

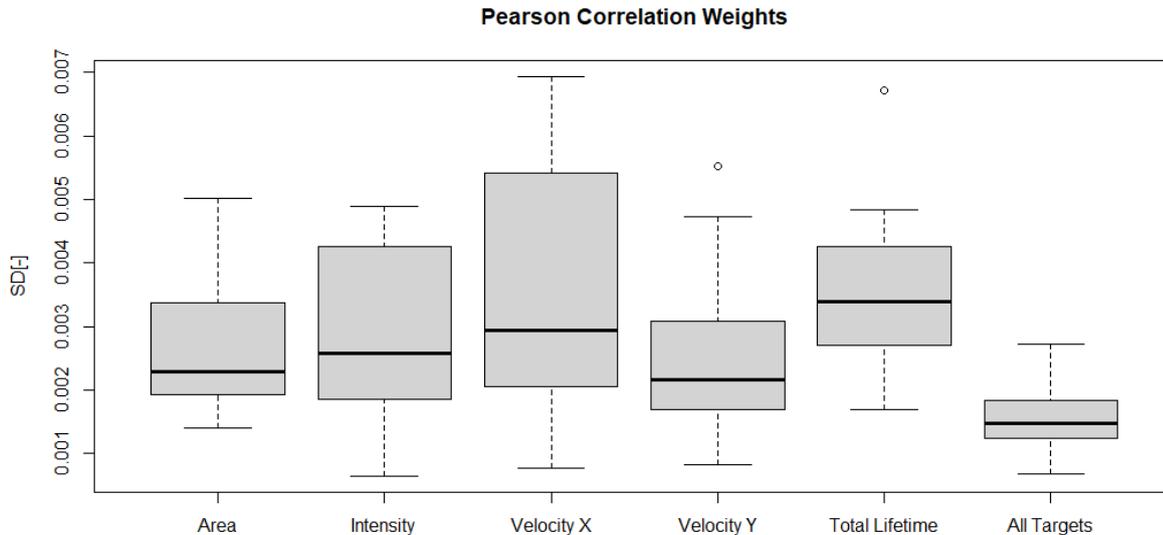
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761 **9. References**

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