# Seasonality of density currents induced by differential cooling

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- 10 Abstract. When lakes experience surface cooling, the shallow littoral region cools faster than the deep pelagic waters. The lateral density gradient resulting from this differential cooling can trigger a cold downslope density current that intrudes at the base of the mixed layer during stratified conditions. This process is known as a *thermal siphon* (TS). A TS flushes the littoral region and increases water exchange between nearshore and pelagic zones and may thus potentially impact the lake ecosystem. Past observations of TS in lakes are limited to specific cooling events. Here, we focus on the seasonality of TS-induced lateral
- 15 transport and investigate how seasonally varying forcing conditions control the occurrence and intensity of TS. This research interprets one-year-long TS observations from Rotsee (Switzerland), a small wind-sheltered temperate lake with an elongated shallow region. We demonstrate that a TS occurs for more than 50 % of the days from late summer to winter and efficiently flushes the littoral region within ~10 hours. We further quantify the occurrence, intensity and timing of the TS over seasonal time scales. The conditions for TS formation become optimal in autumn when the duration of the cooling phase is longer than
- 20 the time necessary to initiate a TS. The decrease in surface cooling by one order of magnitude from summer to winter reduces the lateral transport by a factor of two. We interpret this transport seasonality with scaling relationships relating the daily averaged cross-shore velocity, unit-width discharge and flushing timescale to the surface buoyancy flux, mixed layer depth and lake bathymetry. The timing and duration of diurnal flushing by TS relate to daily heating and cooling phases. The longer cooling phase in autumn increases the flushing duration and delays the time of maximal flushing relative to the summer diurnal
- 25 cycle. Given their scalability, the results reported here can be used to assess the relevance of TS in other lakes and reservoirs.

# **1** Introduction

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As lateral boundaries in lakes, littoral regions exchange matter and energy with the surrounding watershed. The littoral region receives both sediment and chemicals from the terrestrial environment, including anthropogenic contaminants (Rao and Schwab, 2007). Particulate matter accumulates in the littoral zone (Cyr, 2017) and this biologically active region can host unique and intensified biogeochemical reactions (Wetzel, 2001). Cross-shore flows, which transport water laterally, connect a lake's littoral region to its pelagic region and thereby facilitate critical ecosystem processes (MacIntyre and Melack, 1995).

They control the residence time of nearshore waters and redistribute heat, dissolved and particulate compounds throughout the lake. Representing lakes as isolated vertical water columns fails to account for the effects of cross-shore flows on lake biogeochemistry (Effler et al., 2010; Hofmann, 2013).

- 35 Various mechanisms drive horizontal exchange flows. The pioneer limnologist already elucidated the effect of largescale surface and internal gravity waves on horizontal transport (Forel, 1895; Wedderburn, 1907; Mortimer, 1952). In addition to serving as the primary energy source for gravity waves, wind is also responsible for fuelling lateral flows via wind-driven cross-shore circulation, cross-shore Ekman transport in rotating environments and differential deepening (Imberger and Patterson, 1989; Brink, 2016). Buoyancy-driven lateral flows can be induced by horizontal temperature gradients due to
- 40 differential heating or cooling. Such gradients could form when heat fluxes are spatially heterogeneous, due to large-scale differences of meteorological forcing (Verburg et al., 2011), variable geothermal heating (Roget et al., 1993), shading from vegetation (Lövstedt and Bengtsson, 2008), wind sheltering (Schlatter et al., 1997) or variation in turbidity (MacIntyre et al., 2002). Horizontal temperature gradients also occur when waters at different depths experience a spatially uniform heat flux (Horsch and Stefan, 1988; Monismith et al., 1990). For a given surface area, the volume of water in the shallow littoral region
- 45 is less than its offshore counterpart. Littoral waters will thereby cool or warm faster than pelagic waters. Monismith et al.
   (1990) designated cross-shore circulation resulting from a bathymetrically induced temperature gradient as a *thermal siphon*. The fact that all lakes have sloping boundaries makes thermal siphons potentially ubiquitous.

This study focusses on thermal siphons driven by differential cooling, wherein lake temperature exceeds the temperature of maximum density (Fig. 1). The study does not consider heating-driven thermal siphons because induced lateral exchange

50 remains weaker and more sensitive to wind disturbance than cooling-driven thermal siphons (Monismith et al., 1990; James et al., 1994). Atmospheric forcing affects lakes at diurnal, seasonal or synoptic scales. This includes periods of net cooling when the net surface heat flux is directed towards the atmosphere (Bouffard and Wüest, 2019). This diel or seasonal surface cooling mixes and deepens the surface layer by penetrative convection. Once the shallow littoral region becomes fully mixed, it cools down faster than the deep pelagic region. Littoral waters become denser and plunge as a downslope density current, which intrudes at the base of the mixed layer during stratified conditions. At the surface, a reverse flow brings water from the pelagic to the littoral region (Horsch and Stefan, 1988; Monismith et al., 1990). We will hereafter refer to the density current induced by differential cooling simply as the thermal siphon (TS).

Thermal siphons have often been evoked to explain cold anomalies observed in lakes. These include tilted isotherms above the sloping sides (Talling, 1963; Eccles, 1974; MacIntyre and Melack, 1995; Woodward et al., 2017) or cold water intrusions (Wells and Sherman, 2001; Peeters et al., 2003; Rueda et al., 2007; Forrest et al., 2008; Ambrosetti et al., 2010). However, only a few studies have provided detailed in situ descriptions of the process. The first extensive studies of TS assessed power plant heat disposal within cooling lakes (Adams and Wells, 1984). Warm water discharged into these reservoirs flows onshore at the surface, cools and induces a TS in sidearms. These observations are however not representative of naturally formed TS. Cooling lakes constantly receive heat from power plants, which increases the intensity and duration of

65 surface cooling compared to that experienced in other lakes and reservoirs (Horsch and Stefan, 1988). An extensive review of

literature suggests that Monismith et al. (1990) provided the first in situ observations of a diurnal cycle associated with a naturally formed TS. The authors studied a sidearm of Wellington Reservoir (Australia) in summer and described the complete diurnal cycle of daytime differential heating and nighttime differential cooling. In both cases they observed cross-shore flows at velocities of  $\sim 2 \text{ cm s}^{-1}$ . Cross-shore circulation was characterized by inertia with a delay of several hours between the shift

- 70 in forcing conditions and the flow reversal. Other studies have reported the presence of TS along the thalweg of narrow reservoir embayments (James and Barko, 1991a, b; James et al., 1994; Rogowski et al., 2019) but also along the sloping sides of lakes (Sturman et al., 1999; Thorpe et al., 1999; Fer et al., 2002a, b; Pálmarsson and Schladow, 2008) and between different basins (Roget et al., 1993). In most of these examples, TS characteristics comported with the description given in Monismith et al. (1990). These included thermal stratification above the sloping bottom, inertial effects and a cross-shore velocity on the
- 75 order of 1 cm s<sup>-1</sup>. The work by Fer et al. (2002b) on the sloping sides of Lake Geneva (Switzerland) represents one of the most extensive TS studies. The authors collected continuous measurements over two winters and identified density currents initiated in the middle of the night and lasting until the late morning. The research detected increasing discharge with distance downslope and found that one TS event could flush the littoral region almost twice.
- The lake stratification and the intensity and duration of the daily cooling period vary seasonally (Bouffard and Wüest, 2019). These changes in forcing conditions may affect the occurrence and magnitude of TS over time. Previous studies have focused on specific TS events and did not monitor the process over different seasons. A three-year-long dataset on Lake Anna (VA, USA; Adams and Wells, 1984) addressed a cooling lake and thus did not reflect the seasonality of naturally formed TS. Although the time series collected by Roget et al. (1993) between two lobes of Lake Banyoles (Spain) spans eight months (October-May), these authors do not address seasonal variability in the observed TS. Geothermal fluxes between the lobes
- 85 enhance differential cooling in Lake Banyoles, which may modify the seasonality of TS. James and Barko (1991b) performed six tracer experiments between June and September. These authors obtained estimates of TS velocity that varied between the experiments and were higher for days with stronger lateral temperature gradients. While the experiments did not identify a clear seasonal trend, thermistor arrays detected an increase in the occurrence of differential cooling from May to August suggesting a higher frequency of TS events in late summer. This hypothesis was not verified due to the lack of long-term 90 velocity measurements.

Thus, the seasonality of TS occurrence and intensity has not been systematically investigated in lakes. Dimensional analysis and laboratory experiments have provided relationships linking forcing and background conditions (surface cooling, stratification) to cross-shore transport by TS have been derived from dimensional analysis and laboratory experiments (Harashima and Watanabe, 1986), but these lack validation in the field. The comprehension of the TS seasonality would

95 improve the prediction of TS events in lakes and their contribution to exchanges between littoral and pelagic regions. The present research monitored TS in a small temperate lake over a one-year period. The study establishes three characteristics of TS seasonality including its occurrence (Sect. 3.3), intensity (Sect 3.4) and diurnal dynamics (Sect 3.5). The observed TS seasonality clearly relates to forcing parameters and thus pinpoints key parameters for predicting TS events in lakes (Sect. 4).

The observation and interpretation of TS events across seasonal timescales provides a comprehensive understanding of the conditions required to form TS in lakes.

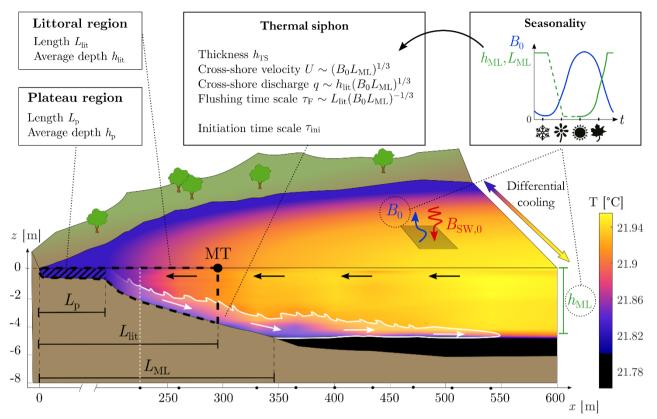


Figure 1: Data-based schematic of the cooling-driven thermal siphon. The schematic shows the plateau, littoral and mixed regions, the seasonality of the forcing and the variables used for the transport scaling. Here, the littoral zone is the region upslope of MT, where the current velocity is measured and transport variables are calculated. The cross-shore temperature field is linearly interpolated from a transect of CTD (Conductivity-Temperature-Depth) profiles collected in the morning on 22 August 2019 (08:20–08:50 UTC), from x = 225 m (white dashed line) to x = 714 m. Black dots on the x-axis show the location of the profiles. The green dashed line in the seasonality diagram corresponds to the transition period between the mixing period (winter) and the stratified period (summer), when the mixed layer is not well defined.

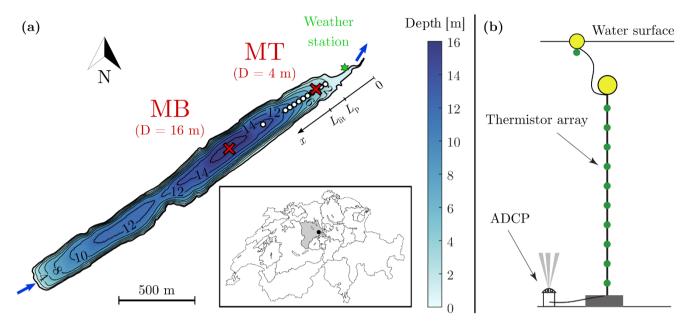
# 2 Methods

### 110 2.1 Study site and field measurements

Rotsee is a small peri-alpine monomictic lake near Lucerne, Switzerland (Fig. 2a). It is located at 419 m above sea level and has a surface area of 0.5 km<sup>2</sup> with a mean and maximum depth of 9 m and 16 m, respectively. The main in- and outflows are located at the south-western and north-eastern ends of the lake, respectively, and have a low discharge of  $\sim 0.1 \text{ m}^3 \text{ s}^{-1}$ . Rotsee is an elongated lake (2.5 km long, 0.2 km wide), with steep sides (slope of 15°) across its longitudinal axis and more gradual

115 slopes (1.5°) at its two ends. The north-eastern end of the lake finishes with a 200 m long plateau region of approximately 1 m

depth that can trigger TS. Moreover, Rotsee is located in a depression and sheltered from wind. It is thereby famous for international rowing championships and an ideal field-scale laboratory where convective processes are distinctively observable.



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Figure 2: (a) Bathymetry of Rotsee indicating the location of the two moorings MB and MT (red crosses), the cross-shore transect of CTD profiles (white dots), the weather station (green star) and the length of the plateau and littoral regions ( $L_p$  and  $L_{lit}$ , respectively). Blue arrows correspond to the main inflow and outflow. The location of Rotsee is shown on the map of Switzerland with a black dot. Source: Federal Office of Topography. (b) Schematic of the mooring MT. A similar setup is used for the thermistor array at MB. The detailed setup is provided in Appendix A.

This study focuses on quantifying TS originating from the north-eastern plateau region (Fig. 2a). Because of the elongated shape of Rotsee, we use the 2D (x, z) framework shown in Fig. 1 by orienting the x-axis along the thalweg. We assume that TS flows preferentially along the x-axis, and we neglect the influence of perpendicular flows. We will now refer to the north-eastern end of the lake as the "shore" and call the direction of the x-axis the "offshore direction".

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We monitored the background stratification at the deepest location ("background mooring" MB, approx. 16 m deep) as well as the dynamics of TS off the plateau region ("TS mooring" MT, approx. 4 m deep), from March 2019 to March 2020 (Fig. 2a). Mooring MT was located along the thalweg, at the beginning of the sloping region. This shallow water column is already vertically mixed in summer by the action of surface cooling. We briefly describe the moorings below, and provide more detailed information on the specifications and setup of the instruments in Appendix A. Each mooring included an array

135 of thermistors (Fig. 2b). The evolution of the background temperature was monitored at MB with Vemco Minilog II-T loggers (accuracy: 0.1 °C). High-resolution thermistors RBR TR-1050 and RBR Duet TD (accuracy: 0.002 °C) were used at MT to track finer-scale temperature fluctuations near the sloping boundaries. The thermistors were installed from 1 to 13 m above the bottom with 1 m vertical resolution at MB and from 0.25 to 2 m above the bottom with 0.25 m resolution at MT. The evolution of the near-surface temperature (0.2 to 0.3 m below the surface) was monitored with Vemco loggers attached to

140 surface buoys at both locations. An upward-looking Acoustic Doppler Current Profiler (ADCP, Nortek Aquadopp Profiler 1 MHz) was deployed at MT for fine-scale measurements of the bottom currents (Fig. 2b). It collected velocity profiles with a vertical bin resolution of 0.05 m from 0.25 to 3 m above the bottom. Velocity was obtained by averaging 512 burst measurements every 15 min. A week-long maintenance of the moorings was conducted every 40 days on average. The measurement periods by the ADCP were shorter in December and January due to low battery (Appendix A). The monitoring 145 was stopped in May 2019 to avoid any hazard during the European Rowing Championships.

To capture the spatial variability of TS, cross-shore transects of Conductivity-Temperature-Depth (CTD) profiles (Sea&Sun CTD 60M, sampling interval of 0.4 s) were performed along the x-axis. The chosen CTD lowering speed of 15 cm s<sup>-1</sup> offered an optimal 6 cm vertical resolution while completing an entire transect of 11 profiles in ~45 min (i.e. short time compared to the duration of flushing by TS, Sect. 3.1). The 11 profiles were distributed along the sloping region of the lake, between 2 and 14 m depth (Fig. 2a). Figure 1 shows a CTD transect collected in the morning on 22 August 2019, as an example.

- A WxPRO Campbell weather station was installed at the lake shore, near the plateau region, in September 2019 (Fig. 2a). It measured atmospheric pressure, air temperature, wind speed, incoming shortwave radiation and relative humidity every 10 min. In addition, incoming longwave radiation was obtained from the Lucerne weather station (47°02'12'' N, 8°18'04'' E; source MeteoSwiss), ~4 km from Rotsee. The Lucerne station also provided meteorological forcing before September 2019 or
- 155 to fill data gaps from the reference Rotsee weather station. In these cases, the Lucerne dataset was adjusted based on the Rotsee observations from September 2019 to June 2020. Pressure, air temperature and shortwave radiation were linearly corrected  $(R^2 > 0.9)$ . This simple method did not allow reliable correction to the relative humidity and wind speed. Instead, we applied a Neural Network Fitting approach to correct these two variables. The shallow neural network consisted of one hidden layer with 50 neurons and was trained by a Levenberg-Marquardt algorithm. 70 % of the reference period September 2019–June
- 160 2020 was used to train the neural network, and the remaining percentage was equally distributed for validation and testing. All the meteorological variables measured in Lucerne were used as inputs to the network and the procedure was repeated for each of the two target variables. The network performance was satisfactory, with a coefficient of determination  $R^2$  and a root mean square error  $E_{\text{RMS}}$  between observations and estimates of  $R^2 \approx 0.72$  and  $E_{\text{RMS}} \approx 0.67$  m s<sup>-1</sup> for wind speed, and  $R^2 \approx 0.94$ and  $E_{\text{RMS}} \approx 4.7\%$  for relative humidity. The uncertainty in wind speed and relative humidity estimates leads to an average 165 uncertainty of 5 % and 3 % of the surface heat fluxes (Sect. 2.2), respectively.
  - We captured the spatial variability of TS in the morning on 22 August 2019 (Fig. 1) by collecting 11 Conductivity-Temperature-Depth (CTD) profiles (Sea&Sun CTD 60M) along the x-axis, between 2 and 14 m depth (Fig. 2a).

#### 2.2 Heat and buoyancy fluxes

Heat fluxes were estimated from meteorological data and lake surface temperature at MB. We assumed that the meteorological conditions and the heat fluxes were spatially uniform over the lake surface (0.5 km<sup>2</sup>). Heat fluxes were defined positive in the

upward direction (lake cooling) and negative in the downward direction (lake heating). The non-penetrative surface heat flux  $H_{Q_0}$  is defined as

$$H_{Q_0} = H_C + H_E + H_{LW,in} + H_{LW,out}$$
 [W m<sup>-2</sup>], (1)

where  $H_{\rm C}$  and  $H_{\rm E}$  are the sensible and latent heat fluxes, and  $H_{\rm LW,in}$  and  $H_{\rm LW,out}$  are the incoming and outgoing longwave radiation, respectively. The net surface heat flux  $H_{0,\rm net}$  includes the shortwave radiative flux at the surface  $H_{\rm SW,0}$ :

$$H_{0,\text{net}} = H_{Q_0} + H_{SW,0} \quad [W \text{ m}^{-2}].$$
 (2)

The different terms of the heat budget were calculated similarly to Fink et al. (2014). Cloudiness modulates the proportion of direct and diffuse shortwave radiation and was derived from daily clear-sky irradiance as in Meyers and Dale (1983). We modified the empirical wind and air temperature-based calibration function, f, in the sensible and latent heat fluxes estimates of Fink et al. (2014) to take the lake fetch into account, as proposed in McJannet et al. (2012).

The non-penetrative surface buoyancy flux  $B_0$  was inferred from  $H_{Q_0}$  under the assumption that only heat fluxes modify the potential energy near the surface (Bouffard and Wüest, 2019):

$$B_0 = \frac{\alpha g H_{Q_0}}{\rho c_{p,w}} \quad [W \ kg^{-1}].$$
(3)

In Eq. (3),  $\alpha = -(1/\rho) \partial \rho / \partial T$  is the thermal expansivity of water [°C<sup>-1</sup>], *g* is the gravitational acceleration [m s<sup>-2</sup>],  $\rho$  is the water density [kg m<sup>-3</sup>] and  $C_{p,w}$  is the specific heat of water [J °C<sup>-1</sup> kg<sup>-1</sup>]. The thermal expansivity was estimated from the surface temperature using the relationship reported in Bouffard and Wüest (2019). To calculate water density, we used the equation of state from Chen and Millero (1986), with a measured average salinity of S = 0.2 g kg<sup>-1</sup> for Rotsee. Our analysis assumed a constant salinity over the year since the seasonal changes in surface density are controlled by temperature fluctuations rather than variations in salinity.

190 Similarly, a radiative surface buoyancy flux  $B_{SW,0}$  was obtained as

$$B_{\rm SW,0} = \frac{\alpha g H_{\rm SW,0}}{\rho c_{\rm p,w}} \quad [W \ \rm kg^{-1}].$$
(4)

The net buoyancy flux at the surface is  $B_{0,\text{net}} = B_0 + B_{\text{SW},0}$ .  $B_{0,\text{net}} > 0$  indicates a destabilizing buoyancy flux (net cooling) whereas  $B_{0,\text{net}} < 0$  indicates a stabilizing buoyancy flux (net heating). We used  $B_{0,\text{net}}$  to identify the daily cooling and heating phases (Sect. 3.1) and we averaged  $B_0$  over the daily cooling phase to quantify the intensity of convection (Sect. 2.6).

#### 195 2.3 Mixed layer depth

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We used hourly averaged temperature data from MB to calculate the thermocline depth  $(h_t)$  and the mixed layer depth  $(h_{ML})$ . Based on the review of Gray et al. (2020), we estimated  $h_{ML}$  from the temperature-gradient method with a threshold of dT/dz = 0.05 °C m<sup>-1</sup>. The water column was defined as fully mixed when dT/dz < 0.05 °C m<sup>-1</sup> at all depths. In this case,  $h_{ML}$  was set to the depth of the bottom temperature sensor. For stratified conditions, the thermocline depth was defined as the depth of maximum gradient in dT/dz. Starting from  $h_t$  and moving upward, the lower end of the mixed layer was reached when the local temperature gradient dropped to dT/dz < 0.05 °C m<sup>-1</sup>. When dT/dz > 0.05 °C m<sup>-1</sup> at all depths, the entire column was stratified and  $h_{\rm ML}$  was zero.

## 2.4 Occurrence of thermal siphons

We used the velocity data from the Aquadopp profiler at MT to estimate the cross-shore transport over the bottom 3 m of the sloping region. Quality control of the velocity was performed by discarding values with beam correlation below 50 % or signal amplitude weaker than 6 dB above noise floor. The horizontal velocity was projected onto the x-axis (angle of 56° from north), which crosses the isobath at MT perpendicularly (Fig. 2a). Following the 2D framework of Fig. 1, we will now call  $U_x$  the "cross-shore velocity".

The time series of  $U_x$  was then divided into 24 h subsets, starting and ending at 17:00 UTC. Each 24 h subset was analysed separately to identify TS events. We decided to focus on periods when MT was located in the sloping mixed region, with TS flowing at the bottom of the water column (Fig. 1). This condition allowed us to relate our current measurements to scaling formulae of downslope density currents (Sect. 3.4). A downslope TS event was detected on a specific day if the three following conditions were met:

- 1. significant cross-shore flow: depth-averaged velocity of the current  $\overline{U_x} > 0.5$  cm s<sup>-1</sup> for at least two hours;
- weak wind during the selected event: |L<sub>MO,avg</sub>|/h<sub>ML,avg</sub> < 0.5, with L<sub>MO,avg</sub> and h<sub>ML,avg</sub> the averaged Monin-Obukhov length scale (Wüest and Lorke, 2003) and mixed layer depth during the cross-shore flow event, respectively;
  - 3. mixed water column at MT before the onset of the flow:  $dT/dz < 0.05^{\circ}$ C m<sup>-1</sup> at all depths.

Cross-shore flows that did not meet conditions #2 and #3 were noted as "wind-driven circulation" and "stratified flows", respectively. The term "stratified flows" refers here to any cross-shore flow occurring when the mixed layer depth was shallower than the lake depth at MT. This includes basin-scale internal waves and intruding TS. Further justifications of the above criteria are provided in Appendix D.

### 2.5 Cross-shore transport by thermal siphons

The cross-shore transport was calculated for each identified TS event (Sect. 2.4). We defined for each event sub-periods with 225 continuous positive  $U_x$  (further details in Appendix D). We calculated the unit-width volume of water transported over each of these sub-periods:

$$V_{\rm x,i} = \int_{t_{0,i}}^{t_{f,i}} q_{\rm x}(t) \,\mathrm{d}t \quad [\rm{m}^2], \tag{5}$$

where  $t_{0,i}$  and  $t_{f,i}$  are the initial and final times of the sub-period *i*, respectively, and  $q_x$  is the unit-width discharge defined as

$$q_{\rm x}(t) = \int_{z_{\rm bot}(t)}^{z_{\rm top}(t)} U_{\rm x}(z,t) \, {\rm d}z \quad [{\rm m}^2 \, {\rm s}^{-1}]. \tag{6}$$

230 In Eq. (6),  $z_{bot}$  and  $z_{top}$  are the bottom and top interfaces of the region over which  $U_x > 0$  at time t. For each day, we then defined the flushing period of TS as the sub-period with the largest volume transported  $V_{x,i}$ .

Four daily quantities characterizing the cross-shore transport were calculated over the flushing period of each day  $(t_0 \le t \le t_f)$ : the average cross-shore velocity  $U_{avg}$  and unit-width discharge  $q_{avg}$ , the maximum cross-shore velocity  $U_{max}$  (both in time and depth) and the flushing timescale  $\tau_F = V_{lit}/q_{avg}$  with  $V_{lit} \approx 500 \text{ m}^2$  the unit-width volume of the littoral region upslope of MT (Fig. 1).  $U_{avg}$  and  $q_{avg}$  were obtained as follows:

$$U_{\text{avg}} = \frac{1}{t_{\text{f}} - t_0} \int_{t_0}^{t_{\text{f}}} \overline{U_{\text{x}}(t)} \, \mathrm{d}t \quad [\text{m s}^{-1}], \tag{7}$$

$$q_{\rm avg} = \frac{1}{t_{\rm f} - t_0} \int_{t_0}^{t_{\rm f}} q_{\rm x}(t) \, \mathrm{d}t \quad [\mathrm{m}^2 \, \mathrm{s}^{-1}]. \tag{8}$$

## 2.6 Scaling formulae

We relate the transport quantities introduced in Sect. 2.5 to the forcing parameters by using scaling formulae from theoretical and laboratory studies.

The horizontal velocity scale of TS is  $U \sim (B_0 L)^{1/3}$  where  $B_0$  is the destabilizing surface buoyancy flux and L is a horizontal length scale (Phillips, 1966). Following Wells and Sherman (2001), we used the horizontal length scale  $L_{ML}$  based on the mixed layer depth:

$$U = c_{\rm U} (B_0 L_{\rm ML})^{1/3} \quad [{\rm m \ s^{-1}}], \tag{9}$$

with  $c_{\rm U}$  a proportionality coefficient. For each value of  $h_{\rm ML}$ , we calculated  $L_{\rm ML}$  as the distance between the north-eastern edge of the lake and the location where the mixed layer intersects the lake sloping bottom (Fig. 1).

The unit-width discharge is scaled by  $q \sim (B_0 L)^{1/3} H$ , where *H* is a vertical length scale. We used the average depth of the littoral region upslope of MT ( $h_{\text{lit}} = V_{\text{lit}}/L_{\text{lit}}$ , Fig. 1) as *H*:

$$q = c_{\rm q} (B_0 L_{\rm ML})^{1/3} h_{\rm lit} \quad [{\rm m}^2 \, {\rm s}^{-1}], \tag{10}$$

250 with  $c_q$  a proportionality coefficient. We define the flushing timescale from Eq. (10) as

$$\tau_{\rm F} = \frac{v_{\rm lit}}{q} = c_{\rm F} \frac{L_{\rm lit}}{(B_0 L_{\rm ML})^{1/3}} \quad [{\rm s}],\tag{11}$$

with  $c_{\rm F} = 1/c_{\rm q}$ . The flushing timescale represents the time that TS needs to flush the littoral region of volume  $V_{\rm lit}$ .

The daily cooling phase of duration  $\tau_c$  is defined by  $B_{0,net} > 0$ . After the onset of surface cooling, TS does not form immediately. Vertical convective mixing takes place before the horizontal density gradient starts to drive TS (Wells and Sherman, 2001; Ulloa et al., 2022). The period between the start of the cooling phase and the formation of TS is quantified by an initiation timescale ( $\tau_{ini}$ ). If the littoral region is initially mixed vertically,  $\tau_{ini}$  equals the transition time scale  $\tau_t$  derived by Ulloa et al. (2022) under constant surface cooling:

$$\tau_{\rm t} = \frac{2(L_{\rm ML} - L_{\rm p})^{2/3}}{B_0^{1/3} (1 - h_{\rm p}/h_{\rm ML})^{1/3}} \quad [{\rm s}], \tag{12}$$

with  $L_{\rm p} \approx 170$  m and  $h_{\rm p} \approx 1$  m the length and depth of the plateau region of Rotsee, respectively. This timescale is based on

a three-way momentum balance between the lateral pressure gradient due to differential cooling and the inertial terms (Finnigan and Ivey, 1999).

In Eqs. (9)-(12),  $B_0$  and  $L_{ML}$  are averaged over  $\tau_c$  every day. We discuss the choice of the length scales  $L_{ML}$  and  $h_{lit}$  in more detail in Sect. 4.3. The key parameters used for the scaling formulae and TS dynamics are listed in Table 1.

Symbol	Units	<b>Ranges of values in Rotsee</b>	Definition and equation
$ au_{c}$	h	[0 - 24]	Duration of the cooling phase
$ au_{ m F}$	h	[5 - 20]	Flushing timescale: $\tau_{\rm F} = V_{\rm lit}/q_{\rm avg}$
$ au_{ m ini}$	h	[2 - 170]	Initiation timescale: $\tau_{ini} = \tau_{mix} + \tau_t$
$ au_{ m mix}$	h	[0 - 250]	Mixing timescale: $\tau_{\text{mix}} = 0.5 (h_{\text{MT}}^2 - h_{\text{ML,ini}}^2) N^2 / B_0 (1 + 2A)$
$ au_{t}$	h	[2 - 45]	Transition timescale: $\tau_{\rm t} = 2(L_{\rm ML} - L_{\rm p})^{2/3} / (1 - h_{\rm p} / h_{\rm ML})^{1/3} B_0^{-1/3}$
$B_0$	W kg <sup>-1</sup>	$[0 - 1.4 \times 10^{-7}]$	Surface buoyancy flux: $B_0 = \alpha g H_{Q_0} / \rho C_{p,w}$
$B_{0,\text{net}}$	W kg <sup>-1</sup>	$[(-1.3 \times 10^{-7}) - 1.1 \times 10^{-7}]$	Net surface buoyancy flux: $B_{0,\text{net}} = B_0 + B_{SW,0}$
$B_{SW,0}$	W kg <sup>-1</sup>	$[(-1.8 \times 10^{-7}) - 0]$	Radiative buoyancy flux: $B_{SW,0} = \alpha g H_{SW,0} / \rho C_{p,w}$
$h_{\rm p}$	m	1	Depth of the plateau region
$h_{\rm MT}$	m	4.2	Depth at MT
$F_{\rm G}$	-	[5 - 18]	Flow geometry parameter: $F_{\rm G} = \sqrt{\langle U_{\rm x}^2 \rangle} / \sqrt{\langle U_{\rm z}^2 \rangle}$
$h_{\mathrm{TS,avg}}$	m	[1.2 - 2.4]	Daily averaged TS thickness: $h_{TS,avg} = q_{avg}/U_{avg}$
$h_{\rm lit}$	m	1.7	Average depth of the littoral region: $h_{\text{lit}} = V_{\text{lit}}/L_{\text{lit}}$
$h_{\rm ML}$	m	[0 - 15.3]	Mixed layer depth
$H_{0,\text{net}}$	W m <sup>-2</sup>	[(-200)-180]	Net surface heat flux: $H_{0,\text{net}} = H_{Q_0} + H_{SW,0}$
$H_{Q_0}$	W m <sup>-2</sup>	[(-10)-250]	Non-penetrative surface heat flux
H <sub>SW,0</sub>	W m <sup>-2</sup>	[(-300)-0]	Surface shortwave heat flux
$L_{\rm p}$	m	173	Length of the plateau region
$L_{\rm lit}$	m	295	Length of the littoral region
$L_{\rm ML}$	m	[200-800]	Length of the mixed region
$N^2$	s <sup>-2</sup>	$[10^{-5} - 10^{-3}]$	Squared buoyancy frequency below the mixed layer
$q_{\rm avg}$	$m^2 s^{-1}$	[0.005 - 0.030]	Daily averaged unit-width TS discharge
$q_{\mathrm{x}}$	$m^2 s^{-1}$	-	Unit-width TS discharge
$U_{\rm avg}$	m s <sup>-1</sup>	[0.005 - 0.015]	Daily averaged TS cross-shore velocity
$U_{\rm max}$	m s <sup>-1</sup>	[0.01 - 0.04]	Daily maximum TS cross-shore velocity
$U_{\rm x}$	m s <sup>-1</sup>	[(-0.05)-0.07]	Cross-shore velocity
$U_{z}$	m s <sup>-1</sup>	[-0.01 - 0.01]	Vertical velocity
$V_{\rm lit}$	m <sup>2</sup>	499	Unit-width volume of the littoral region
$W_*$	m s <sup>-1</sup>	[0.001 - 0.009]	Convective velocity scale: $w_* = (B_0 h_{\rm ML})^{1/3}$

265	Table 1: Key parameters related to the forcing parameters, bathymetry and TS dynamics. For seasonally varying parameters, the
	given ranges of values are daily averages.

# **3** Results

#### 3.1 Diurnal cycle

- 270 The magnitude of the net surface buoyancy flux B<sub>0,net</sub> oscillates daily and the intensity of the diel fluctuation is modulated at a seasonal timescale (Fig. 3a). We illustrate the diurnal cycle with an example on 9–10 September 2019 (Figs. 3b-d). This summertime period is characterized by a strong day-night variability, which is ideal to elucidate how changes in forcing conditions affect the formation and destruction of TS. The net surface buoyancy flux B<sub>0,net</sub> varies from -2 × 10<sup>-7</sup> W kg<sup>-1</sup> during daytime to 1 × 10<sup>-7</sup> W kg<sup>-1</sup> during nighttime (Fig. 3b). In contrast to B<sub>0,net</sub>, B<sub>0</sub> remains positive over 24 hours, indicating a continuous cooling at the air-water interface. In late summer, B<sub>0</sub> is ~10<sup>-7</sup> W kg<sup>-1</sup> with limited variability, with diel variations that are one order of magnitude larger than B<sub>0</sub> during cloud-free summer days like 9–10 September 2019. We observe differential cooling at night, with lateral temperature gradients of ~5 × 10<sup>-4</sup> °C m<sup>-1</sup> in late summer (Fig. 1).
- We divide the diurnal cycle into a net cooling phase during the night  $(B_0 > |B_{SW,0}|)$  and a net heating phase during the day  $(B_0 < |B_{SW,0}|)$ . Starting the period at 17:00 (UTC), the water column is initially thermally stratified by the radiative 280 heating of the daytime period (Fig. 3d). During the first part of the night, convective cooling mixes and deepens the surface layer (period #1 in Fig. 3d). The water column becomes entirely mixed at MT around 00:00 (see the vertical isotherms starting around 00:00 in Fig. 3d). The convective plumes intensify in the second part of the night to reach vertical velocities of  $\sim$ 5 mm s<sup>-1</sup> (Fig. 3c). Three hours after complete vertical mixing at MT, a cross-shore circulation is initiated (period #3 in Fig. 3d), with 285 a downslope flow near the bottom (positive  $U_x$ ) and an opposite flow above (negative  $U_x$ ). The velocity magnitude of ~1 cm  $s^{-1}$  is typical for TS. We will hereafter refer to the period during which TS flows as the flushing period. The interface between the two flows oscillates vertically by 1-2 m (~100 % of the TS thickness), suggesting that the transport is limited by the vertical mixing of convective plumes (Fig. 3c). At the end of the cooling phase, the water column is distinctly stratified near the bottom, indicating the presence of persistent colder water flowing downslope. A striking observation is the intensification of TS in 290 phase with the weakening of vertical convection at the onset of radiative heating. The cross-shore velocity increases above 2 cm s<sup>-1</sup>, and the induced bottom stratification reaches  $\sim 0.1$  °C m<sup>-1</sup> at the beginning of the heating phase. This strong flushing lasts for several hours, while radiative heating increases and re-stratifies the water above the density current. The cross-shore flow weakens in the late morning, yet continues until noon.
- The example detailed above presents all characteristics of typical TS, with different phases and inertia between the cooling phase and the flushing period. Other examples, provided in Appendix B (Fig. B2), show that the timing and magnitude of the flow change with the forcing conditions.

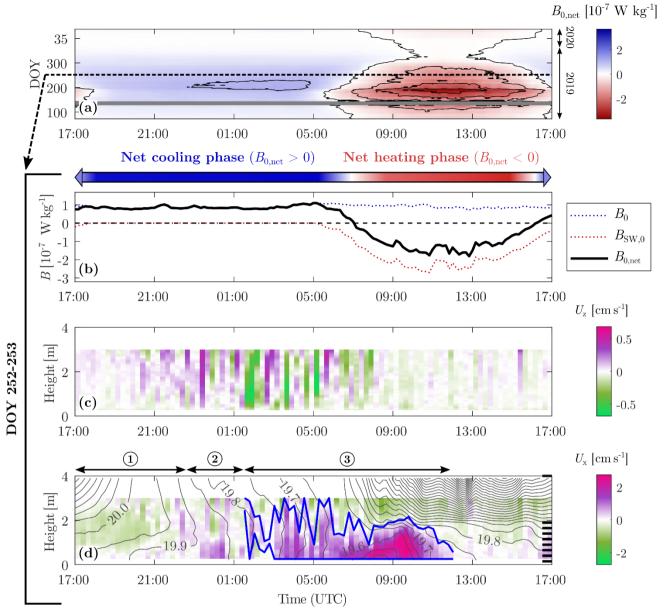


Figure 3: Diurnal cycle of TS. (a) Net surface buoyancy flux as a function of time during the day, represented over one year (from 13 March 2019 to 13 March 2020). DOY stands for "Day of the Year". A 30-day moving average has been applied to smooth the time series. The 45-day-long period without measurement in May 2019 is shown in grey. The contour lines have a spacing of 1 × 10<sup>-7</sup> W kg<sup>-1</sup>. The dashed line corresponds to the diurnal cycle shown in (b), (c), (d) (9–10 September 2019; DOY 252–253). (b) Time series of surface buoyancy fluxes defining the cooling and heating phases on 9–10 September 2019. (c) Vertical velocity measured every 15 min at MT (depth of ~4 m) as a function of time and height above the sediment. Positive (purple) values correspond to a

- 305 flow moving upward. Strong vertical movements are the signature of convective plumes. (d) Cross-shore velocity measured every 15 min at MT as a function of time and height above the sediment. Positive (purple) values correspond to a flow moving offshore (south-westward flow). Black lines are 0.05 °C-spaced isotherms that are linearly interpolated between each thermistor. The TS detected by the algorithm and used for the transport calculation is depicted in blue. The vertical resolution of the thermistors is shown by the horizontal black ticks on the right axis. Horizontal arrows identify three periods: (1) deepening of the mixed layer, (2)
- 310 transition period before the initiation of TS and (3) flushing period.

#### 3.2 Seasonal variability of the forcing parameters

Two key parameters for the formation of TS are  $B_0$  and  $h_{ML}$  (Sect. 2.6), indirectly related to  $B_{0,net}$ . Hereafter, we refer to these parameters as "forcing parameters" of TS. The daily intensity of convective cooling is estimated based on the convective velocity scale  $w_* = (B_0 h_{ML})^{1/3}$  (Deardorff, 1970), where  $B_0$  and  $h_{ML}$  are daily averages during the cooling phase at MB.

315 These two parameters are both seasonally dependent and their relationship is characterised by an annual hysteresis cycle (Fig. 4a). Although the surface heat flux  $H_{Q_0}$  increases from winter to summer, the strong seasonal variability of  $B_0$  (one order of magnitude larger in summer than in winter) is mostly due to seasonal changes in the thermal expansivity  $\alpha$ .

From spring to summer,  $h_{ML}$  remains shallow (< 2 m) and  $B_0$  increases from ~10<sup>-9</sup> W kg<sup>-1</sup> in March to ~10<sup>-7</sup> W kg<sup>-1</sup> <sup>1</sup> in August. The latter results in an increase of the convective velocity scale from  $w_* \approx 3.8 \pm 0.7$  mm s<sup>-1</sup> to  $w_* \approx 6.7 \pm 0.9$ <sup>320</sup> mm s<sup>-1</sup> over the same period (Figs. 4a, b). The lake undergoes net daily heating over this period ( $B_{0,net} < 0$ ), with a cooling phase that remains shorter than 15 h (Fig. 4b). In August,  $B_{0,net} \approx 0$  and daily heating is balanced by daily cooling. At this period, the intensity of convective mixing reaches its maximum and the diurnal heating-cooling cycle is pronounced (Fig. 3a).

From September to January, the lake undergoes every day a net cooling  $(B_{0,\text{net}} > 0)$  and the mixed layer deepens by convection with an average rate of ~0.1 m d<sup>-1</sup>, leading to a complete mixing in mid-December. Over the same period,  $B_0$ 325 continuously decreases due to the drop in  $\alpha$  with colder temperatures. This decrease in  $B_0$  balances the deepening of  $h_{\text{ML}}$  and  $w_*$  remains constant around  $w_* \approx 7 \pm 1$  mm s<sup>-1</sup> from August to November. The duration of the cooling phase increases in autumn to reach its maximum in November ( $\tau_c \approx 21 \pm 2$  h). Convective cooling is occurring almost continuously at that time. In winter, the convective velocity  $w_*$  drops to  $w_* \approx 3.5 \pm 1$  mm s<sup>-1</sup> and the effect of the cooling phase is again balanced by the heating phase ( $B_{0,\text{net}} \approx 0$ ,  $\tau_c \approx 15 \pm 3$  h in February). The differences between the cooling and heating phases of the 330 diurnal cycle are reduced in winter, with  $B_{0,\text{net}} \approx 0$  over the entire day (Fig. 3a). Note that winter 2019–2020 was warm and

Rotsee remained mixed from December to February (no inverse stratification, surface temperature  $T > 4^{\circ}$ C).

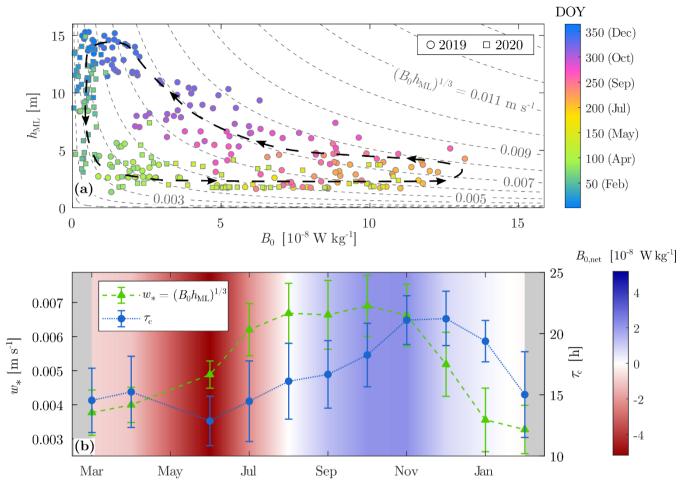


Figure 4: Seasonal variability of the forcing parameters over one year (from March 2019 to March 2020). (a) Daily averages of mixed layer depth as a function of surface buoyancy flux during cooling periods. Grey dashed lines indicate the corresponding convective velocity scale. The black dashed line with arrows is a qualitative representation of the annual cycle. (b) Monthly averages of convective velocity scale  $w_*$  during the cooling phase (green line), duration of the cooling phase  $\tau_c$  (blue line) and net surface buoyancy flux  $B_{0,net}$  (linearly interpolated colour map). Error bars represent the standard deviation of  $w_*$  and  $\tau_c$ .

#### 3.3 Seasonal occurrence of thermal siphons

- We apply our detection algorithm for cross-shore flows (Sect. 2.4) on 227 days with continuous measurements at MT from March 2019 to February 2020. We identify 156 days with a significant cross-shore flow (69 % of the days with observations), over which 85 days (37 %) were reported as TS events. The remaining days with identified cross-shore flow were either associated with wind-driven circulation for 13 days (6 %) or stratified flows for 58 days (26 %). We estimate the percentage of occurrence of TS relative to the number of days with measurements ( $p_{TS}$ ) for each month (Fig. 5). Before late July, the
- mixed layer is usually shallower than 4 m (Fig. 4a) and stratified flows prevail at MT (Fig. 5). The first events identified as TS occur on 28 July and 31 July ( $p_{TS} = 12.5$  % in July). Yet, it is rather in August when TS reaches a significant percentage of occurrence of ~50 %. The occurrence increases in autumn to reach 87 % in November. In winter, TS is less common: it occurs

for only 38 % of the days in December, two days in early January (not shown in Fig. 5 due to the few days measured during this month) and is not observed later in winter.

- The 15-day moving average in Fig. 5 reveals the short-term variability due to synoptic changes in the meteorological conditions that naturally modulate the seasonal pattern. Although the monthly averaged occurrence increases from August to November, the bi-weekly percentage of occurrence drops over shorter periods. These periods are associated with strong heating that re-stratifies the water column at MT, reduces surface cooling at night and prevents the formation of TS (Appendix B, Fig. B1). The 15-day averaged occurrence reaches 100 % in November, when TS is present over 25 consecutive days.
- Although TS becomes more frequent in autumn, the percentage of occurrence of cross-shore flows ( $p_{CS}$ ) remains constant around 80–90 % from July to November. Lateral flows observed at MT in spring and summer primarily result from direct wind forcing or subsequent stratified mixing. These flows may also include TS intruding before reaching MT and thereby not counted as downslope TS (further details in Appendix D). These different processes become less frequent in autumn due to the deeper mixed layer and are replaced by downslope TS. Overall, there seems to be an effect of TS on the number of cross-shore flows if we compare the period from July to December ( $p_{CS} \approx 80 \pm 14$  %) with the rest of the year ( $p_{CS} \approx 47 \pm 12$  %), suggesting that TS is the main mechanism connecting the littoral and pelagic regions in Rotsee.

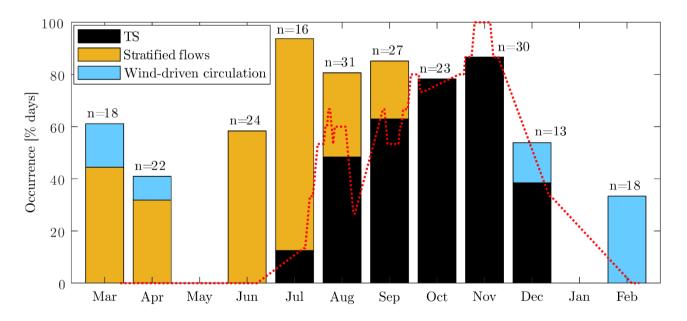


Figure 5: Monthly occurrence of TS and other cross-shore (south-westward) flows at MT, expressed as a percentage of the days with measurements. The other cross-shore flows are divided between wind-driven circulation ( $|L_{MO}|/h_{ML} > 0.5$ ) and stratified flows at MT ( $dT/dz > 0.05^{\circ}$ C m<sup>-1</sup>). The red dashed line indicates the 15-day moving average of the percentage of occurrence of TS. The number of days with measurements (n) is shown for each month. Months with less than 10 days of measurements have been removed (May 2019 and January 2020).

15

#### 3.4 Scaling the cross-shore transport

We compare our field-based transport estimates for the TS events identified in Fig. 5 with the laboratory-based scaling formulae introduced in Sect. 2.6 (Fig. 6). The daily average and maximum cross-shore velocities are linearly correlated with the horizontal velocity scale from Eq. (9) (R<sup>2</sup> > 0.5, p<sub>val,F</sub> < 0.01; Figs. 6a, b). The daily average velocity U<sub>avg</sub> ≈ 0.9 ± 0.2 cm s<sup>-1</sup> is three times lower than the daily maximum velocity U<sub>max</sub> ≈ 2.6 ± 0.7 cm s<sup>-1</sup> that occurs in the morning (Fig. 3). The best linear fits (with zero intercept) are given by U<sub>avg</sub> = 0.33 · (B<sub>0</sub>L<sub>ML</sub>)<sup>1/3</sup> and U<sub>max</sub> = 0.99 · (B<sub>0</sub>L<sub>ML</sub>)<sup>1/3</sup>. The 95 % confidence interval of the proportionality coefficient is 0.32 ≤ c<sub>U</sub> ≤ 0.34 for U<sub>avg</sub> and 0.95 ≤ c<sub>U</sub> ≤ 1.02 for U<sub>max</sub>. Despite the natural variability, a seasonal trend is distinguishable, with a decrease of U<sub>avg</sub> and U<sub>max</sub> by a factor of two from July to

December. This is consistent with the weakening of convective cooling observed in Fig. 4.

The unit-width discharge  $q_{avg}$  and flushing timescale  $\tau_F$  are also well predicted by the scaling formulae (10) and (11), despite a larger scatter than for the cross-shore velocity ( $R^2 \approx 0.2 - 0.3$ ,  $p_{val,F} < 0.01$ ; Figs. 6c, d). The unit-width discharge  $q_{avg} \approx 0.015 \pm 0.004 \text{ m}^2 \text{ s}^{-1}$  corresponds to an average thickness of  $h_{TS,avg} = q_{avg}/U_{avg} \approx 1.8 \pm 0.2 \text{ m}$  and a flushing timescale of  $\tau_F \approx 9.7 \pm 2.7$  h. The best relationships (with zero intercept) are given by:  $q_{avg} = 0.34 \cdot (B_0 L_{ML})^{1/3} h_{\text{lit}} (R^2 = 0.27)$  and  $\tau_F = 2.99 \cdot L_{\text{lit}}/(B_0 L_{ML})^{1/3}$  ( $R^2 = 0.18$ ). The 95 % confidence interval of the proportionality coefficient is  $0.33 \leq c_q \leq 0.36$  for  $q_{avg}$  and  $2.83 \leq c_F \leq 3.15$  for  $\tau_F$ . The strong daily variability is explained by the fluctuating thickness of TS during the convective period (Figs. 3c, d), which affects the calculation of the discharge (further details in Appendix D).

As observed for the cross-shore velocity, the cross-shore transport weakens from summer to winter:  $q_{avg}$  decreases and  $\tau_F$  increases by a factor of two. The flushing timescale is  $\tau_F \approx 7$  h in summer but reaches  $\tau_F \approx 20$  h in winter. Yet, due to longer TS events in winter than in summer, the volume flushed by a single TS event is independent of the season and remains larger than the volume of the littoral region (Appendix C).

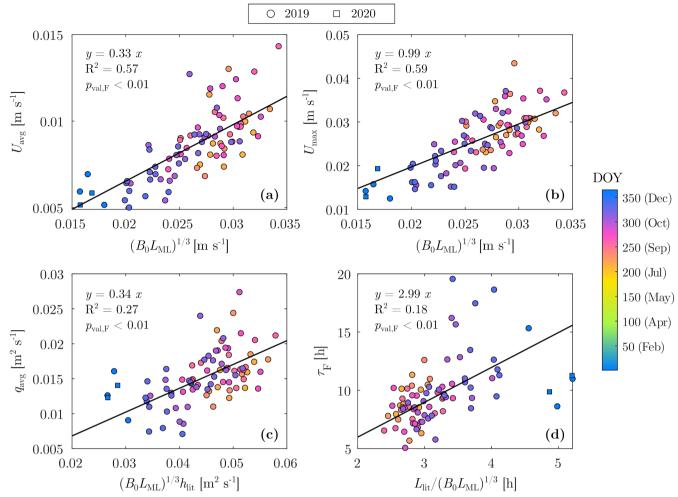


Figure 6: (a) Daily averaged cross-shore velocity, (b) daily maximum cross-shore velocity, (c) daily averaged unit-width discharge and (d) flushing timescale as a function of scaling formulae (Sect. 2.6). The equation of the linear regressions with zero intercept, the coefficient of determination ( $\mathbb{R}^2$ ) and the p-value of an F-test ( $p_{val,F}$ ) are indicated.

#### 3.5 Flushing period

The diurnal cycle described in Sect. 3.1 varies at seasonal scale, as the forcing parameters change. To assess the effects on the flushing period (period #3 in Fig. 3d), we average the diurnal cycle monthly between August and December (Fig. 7). The cooling and heating phases are illustrated with the diurnal cycle of  $B_{0,net}$ . The duration of the cooling phase (blue shading in Fig. 7) markedly increases from August to December, as already observed in Figs. 3a and 4b. The histograms of  $|B_{0,net}^{max}|$  show that the magnitude and variability of  $B_{0,net}$  decrease over the same period (Fig. 7b, d, f, h, j).

These changes of the forcing parameters have a direct effect on the cross-shore transport. The unit-width discharge in 400 Fig. 7 (white dotted line) is obtained by monthly averaging the 24 h time series  $q_x(t)$ . The discharge  $q_x(t)$  is calculated from Eq. (6) during the flushing period  $t_0 \le t \le t_f$  and  $q_x(t) = 0$  for  $t < t_0$  or  $t > t_f$ . In August and September (Fig. 7a, b),  $q_x$  increases at night to reach its maximum at the beginning of the heating phase. The flow generally stops in the late morning, depicted by a drop of  $q_x$  around 10:00. This cycle corresponds to the example of Fig. 3. The daily peak of flushing is also observed in October and November but it is more spread with a continuous increase of cross-shore transport at night, starting

- 405 in the evening already (Figs. 7e, g). The average flushing duration of TS is thus longer in late autumn (see for example the 24 h long TS event in Appendix B, Fig. B2). In December, the peak of discharge is reduced and the cross-shore transport is nearly continuous over 24 h (Fig. 7i). The time of maximal  $q_x$  (vertical black dashed line in Fig. 7) is delayed from August to December. We associate this maximal  $q_x$  to the heating phase as it always occurs ~1–2 h after  $B_{0,net}$  becomes negative (except in December where the peak is less pronounced).
- 410 The diurnal cycle of the cross-shore transport is also described by the flow geometry parameter introduced by Ulloa et al. (2022) as the ratio between the root mean square (RMS) of the cross-shore velocity and the RMS of the vertical velocity:

$$F_{\rm G}(t) = \frac{\sqrt{\langle U_{\rm x}(t)^2 \rangle}}{\sqrt{\langle U_{\rm z}(t)^2 \rangle}},\tag{13}$$

where <...> denotes a depth-average.  $F_G$  informs on the relative magnitude of TS with respect to the surface convection. We expect  $F_G$  to be close to unity when surface convection is the only process acting on the water column. A deviation from unity 415 towards larger values of  $F_G$  (i.e.,  $F_G \gg 1$ ) implies that the flow is anisotropic, with a stronger cross-shore component, as TS develops. A sharp peak of the monthly averaged  $F_G$  is visible at the beginning of the heating phase, from August to November (Fig. 7). It matches the time of maximal  $q_x$  and results from the combined effect of TS-induced flushing (increase of  $U_x$ ) and convection weakening (decrease of  $U_z$ ).

To give a theoretical estimation of the starting time of the flushing, we estimate the initiation time scale of TS from the 420 monthly averaged transition timescale  $\tau_{ini} \approx \tau_t$  (Eq. (12)). The assumption of constant surface cooling is reasonable for nighttime cooling in Rotsee (Fig. 3b). The starting time depicted by a vertical pink dashed line in Fig. 7 corresponds to  $(t_{sunset} + \tau_t)$ , with  $t_{sunset}$  the time of sunset when  $B_{SW,0}$  drops to zero. The increase of  $\tau_t$  from  $\tau_t \approx 3$  h in late summer to  $\tau_t \approx 20$  h in winter leads to a later theoretical onset of TS in winter, despite the earlier time of sunset. Based on this seasonal variability of  $\tau_t$ , TS is expected to start in the evening in summer but in the morning in winter. This theoretical starting time, 425 however, can be improved by considering the initial stratification at the beginning of the cooling phase, as further discussed

in Sect. 4.2 and Sect. 4.4.

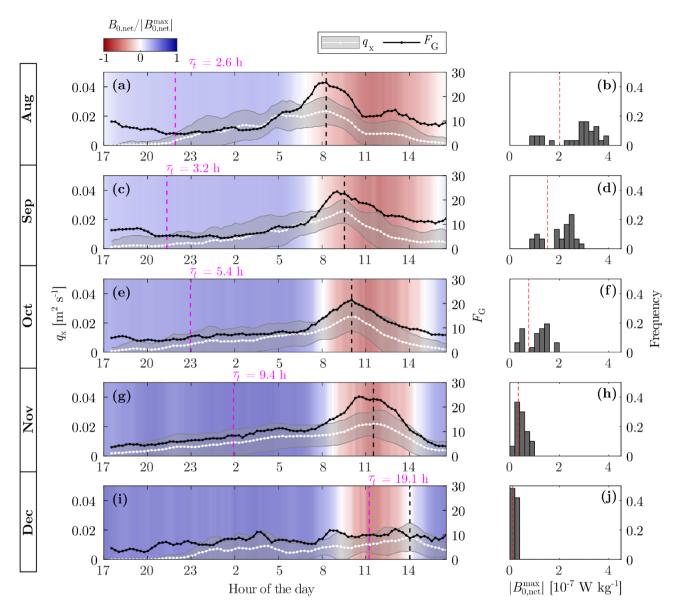


Figure 7: Seasonal variability of the diurnal cycle. (a), (c), (e), (g), (i) Monthly average of the diurnal cycle of the unit-width discharge 430  $q_x$  and  $F_G$  parameter. Only days with observed TS between August and December have been averaged. The shaded white area corresponds to average discharge ± standard deviation. The monthly averaged net buoyancy flux represented as a colour map is normalized by the maximum absolute value of each month. The vertical black dashed line indicates the time of maximal  $q_x$ . The transition timescale  $\tau_t$  defines the starting time of the flushing, represented by a pink vertical dashed line. (b), (d), (f), (h), (j) Histograms of the maximum absolute value of the net buoyancy flux  $|B_{0,net}^{max}|$  for each month. The red vertical line corresponds to

# **4** Discussion

### 4.1 Seasonality of thermal siphons

Our one-year-long measurements show that both the occurrence and intensity of TS vary seasonally in lakes with shallow littoral zones comparable to Rotsee. TS occurs regularly from late summer to early winter, with a maximum frequency in

- 440 November, and is absent the rest of the year (Sect. 3.3). While the frequency of TS increases in autumn, the intensity of the net cross-shore transport decreases compared to the summer period (Sect. 3.4). Yet, the daily averaged volume flushed from the littoral region increases from summer to autumn (Appendix C). The diurnal cycle is well defined in summer and divided into a cooling phase at night and a heating phase during daytime (Sect. 3.1). TS forms during the second part of the night and lasts until late morning, reaching a maximal flushing at the beginning of the heating phase. In autumn, the flushing duration
- 445 increases, and the time of maximal flushing is shifted later in the day (Sect 3.5).

To explain the seasonality of TS, we need to relate it to the seasonal variability of the forcing parameters. The duration of the cooling phase ( $\tau_c$ ) is a key parameter to understand the occurrence of TS and the diurnal dynamics, while the intensity of surface cooling ( $B_0$ ) and the stratification ( $h_{ML}$ ) parametrize the cross-shore transport. We discuss the effects of the forcing parameters on the seasonality of TS in the following sections.

## 450 4.2 Effects of the forcing parameters on the occurrence of thermal siphons

The occurrence of TS can be predicted by estimating the initiation timescale  $\tau_{ini}$  and comparing it with the duration of the cooling phase ( $\tau_c$ ). TS will occur if the cooling phase is long enough, i.e.  $\tau_c > \tau_{ini}$ . In initially stratified surface waters, the initiation period is divided into (1) a stratified period during which the mixed layer deepens and (2) a transition period during which the littoral region is vertically mixed (Figs. 3d and 8a). We express the initiation time scale as  $\tau_{ini} = \tau_{mix} + \tau_t$ , with  $\tau_c$  the time needed to vertically mixed (Figs. 3d and 8a). We express the initiation time scale as  $\tau_{ini} = \tau_{mix} + \tau_t$ , with

455  $\tau_{\text{mix}}$  the time needed to vertically mix the littoral region and  $\tau_{\text{t}}$  the transition time scale given by Eq. (12). To estimate  $\tau_{\text{mix}}$ , we use the deepening rate of the mixed layer expressed as (Zilitinkevĭc, 1991):

$$\frac{dh_{\rm ML}}{dt} = (1+2A)\frac{B_0}{h_{\rm ML}N^2} \quad [{\rm m \ s^{-1}}], \tag{14}$$

where  $N^2 = -(g/\bar{\rho})(d\rho/dz)$  [s<sup>-2</sup>] is the squared buoyancy frequency below the mixed layer,  $\bar{\rho}$  is the depth-averaged water density and  $A \approx 0.2$  is an empirical coefficient. The model of Eq. (14) assumes that the mixed layer deepens by convection only, without any wind contribution. This assumption is valid for calm conditions, which prevail in Rotsee due to wind sheltering (Zimmermann et al., 2021). The average duration required for mixing the water column at MT, assuming a constant

$$\tau_{\rm mix} = \left(h_{\rm MT}^2 - h_{\rm ML, ini}^2\right) \frac{N^2}{2B_0(1+2A)} \quad [s]. \tag{15}$$

In Eq. (15), the buoyancy frequency squared is approximated as  $N^2 = -(g/\bar{\rho})[\rho(z = -h_{\text{ML,ini}}) - \rho(z = -h_{\text{MT}})]/[h_{MT} - h_{\text{ML,ini}}]$ . The depth  $h_{\text{MT}}$  corresponds to the maximum depth of the littoral region upslope of MT (Fig. 1), which has to be mixed to observe downslope TS at MT.

surface cooling  $B_0$  and an initial mixed layer depth  $h_{ML,ini}$ , can be derived from Eq. (14) as:

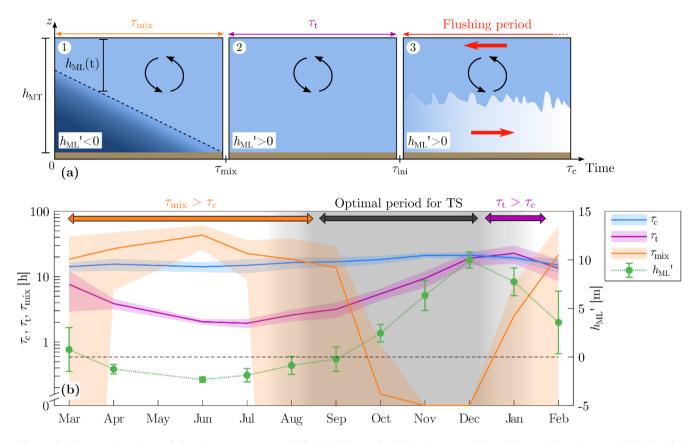


Figure 8: Time scales determining the occurrence of TS. (a) Schematic of the three periods of the cooling phase at MT (Fig. 3d) parametrized by the mixing time scale  $\tau_{mix}$ , transition time scale  $\tau_t$  and cooling duration  $\tau_c$ . The mixed layer depth is expressed as the relative depth  $h_{ML}' = h_{ML} - h_{MT}$ , with respect to  $h_{MT} = 4$  m. The mixed layer deepens during the first period ( $0 < t < \tau_{mix}$ ), until the complete mixing of the water column. The transition period ( $\tau_{mix} < t < \tau_{in1}$ ) is dominated by convection. TS occurs during the flushing period ( $\tau_{ini} < t < \tau_c$ ). (b) Effects of the seasonality of  $\tau_{mix}$ ,  $\tau_t$ ,  $\tau_c$  and  $h_{ML}'$  on the occurrence of TS. Monthly averages are represented, with shaded areas ( $\tau_c$ ,  $\tau_t$ ,  $\tau_{mix}$ ) and error bars ( $h_{ML}'$ ) indicating the monthly standard deviation. Note the log-scale for the axis of timescales. The grey shaded period corresponds to optimal conditions for the occurrence of TS.

- From the seasonality of the forcing parameters (Fig. 4), we predict the optimal period for the occurrence of TS based on  $\tau_{ini}$  (Fig. 8b). In summer, the shallow mixed layer ( $h_{ML} \approx 2$  m) and strong nighttime surface cooling ( $B_0 \approx 10^{-7}$  W kg<sup>-1</sup>) lead to a short transition timescale of  $\tau_t \approx 2 \pm 0.5$  h. However, the strong stratification near the surface limits the deepening of the mixed layer and causes  $\tau_{mix}$  to be too large for the water column to mix at MT (orange period in Fig. 8b). The littoral region remains stratified for most of the nights ( $h_{ML} < h_{MT}$ ) and the occurrence of downslope TS at MT is low ( $\tau_{ini} > \tau_c$  on average). Downslope TS starts to be observed in late summer when the mixed layer reaches  $h_{MT}$  before the end of the night.
- Starting in October, the monthly averaged mixed layer is deeper than  $h_{MT}$  and  $\tau_{mix} \approx 0$ . At the same time,  $\tau_t$  increases because of the deepening of the mixed layer and the decrease of  $B_0$ . The transition timescale becomes longer than  $\tau_c$  in winter (purple period in Fig. 8b), reaching its maximum of  $\tau_t \approx 23 \pm 7$  h in January. This prevents TS to form in winter, except for days with continuous surface cooling (i.e.,  $\tau_c > 24$  h). The transition timescale decreases in spring but  $\tau_{mix}$  increases

- 485 simultaneously due to the re-stratification of the littoral region, which prevents TS to occur. The conditions to observe downslope TS at MT are thus optimal between September and December, when  $\tau_{ini} \ll \tau_c$  (grey shaded period in Fig. 8b). This period coincides well with our frequent observations of TS in autumn. The limiting factor for the formation of TS in spring and summer is  $\tau_{mix}$  and it is  $\tau_t$  in winter.
- During the optimal period of occurrence, the variability of forcing conditions  $(B_{0,net})$  between days can modify the 490 occurrence condition  $\tau_{ini} < \tau_c$  at a shorter time scale than the seasonal scale. Days with TS are characterized by higher  $B_{0,net}$ than days without TS (Appendix B, Fig. B1). This results in the short-term variability of the biweekly averaged occurrence in Fig. 5. Other mechanisms affect the occurrence of TS on a daily basis, such as wind events. Direct wind forcing either enhances or suppresses TS. We identified several wind events from September to December that prevented any flow in the offshore direction (i.e., no significant flow detected by the algorithm) or, on the opposite, generated a strong cross-shore circulation 495 (wind-driven circulation in Fig. 5).

Although the occurrence of TS over short periods may vary from one year to another, we expect the seasonal trend previously described to be repeated every year in Rotsee. We also suggest that a similar trend should be common in other temperate lakes. Most of the TS events reported for other systems were observed in late summer or autumn, corresponding to the occurrence period in Rotsee (Monismith et al., 1990; James and Barko, 1991a, b; James et al., 1994; Rogowski et al.,

- 500 2019). James and Barko (1991b) showed that the percentage of days with differential cooling in Eau Galle Reservoir (WI, USA) increased from 31 % in May to 95 % in August. However, these high values do not correspond to the percentage of occurrence of TS since differential cooling can happen without necessarily forming a cross-shore circulation. Pálmarsson and Schladow (2008) also found that differential cooling was rare in May and June in Clear Lake (CA, USA). Only two nights were cold enough to drive cooling-driven TS. In winter, TS events are not frequent in Rotsee but they were reported for larger
- systems such as Lake Geneva in January (Thorpe et al., 1999; Fer et al., 2002a, b). A different bathymetry modifies  $\tau_t$  (Eq. 505 (12)), which becomes shorter if  $h_p/h_{ML}$  is small or the slope is high, leading to a more frequent occurrence of TS in winter. In addition, convective cooling stops earlier in small lakes like Rotsee that reach the temperature of maximum density before larger and deeper lakes.

# 4.3 Effects of the forcing parameters on cross-shore transport

- 510 The seasonality of the cross-shore transport induced by TS can be examined by using the scaling for the cross-shore velocity  $U_x$  (Eq. (9)) and the unit-width discharge  $q_x$  (Eq. (10)). The timescale  $\tau_F$  is also a key parameter to assess the seasonality of the littoral region flushing (Eq. (11)). We showed that these scaling formulae reproduce the observed seasonality in Rotsee (Fig. 6). The weakening of the transport from summer to winter is explained by the decrease of  $B_0$  by a factor of 10, which overcomes the increase of  $L_{ML}$  due to the deepening of the mixed layer (Fig. 4). Yet,  $\tau_F$  remains shorter than the duration of
- the cooling phase (Fig. 8b), and the littoral region upslope of MT ( $V_{\text{lit}} \approx 500 \text{ m}^2$ ) is entirely flushed by a single event 515 (Appendix C). The use of the constant length scale  $h_{\text{lit}}$  in Eq. (10) implies that the daily averaged thickness of TS  $h_{\text{TS,avg}} =$

 $q_{\rm avg}/U_{\rm avg}$  should not show any seasonal variability. This is what we observe in Rotsee:  $h_{\rm TS,avg}$  remains close to its yearly average of 1.8  $\pm$  0.2 m, without any seasonal trend.

- We further compare our scaling for  $U_x$ ,  $q_{avg}$  and  $\tau_F$  with previous studies on buoyancy-driven flows. The velocity scale 520  $U \sim (B_0 L)^{1/3}$  from Phillips (1966) is commonly used, with *L* defined as the horizontal length scale along which a lateral density gradient is set. This length scale varies with the experimental configuration and basin geometry (Table 2). In laboratory experiments with a localized buoyancy loss, *L* is the length along which the destabilizing buoyancy flux applies (Harashima and Watanabe, 1986; Sturman et al., 1996; Sturman and Ivey, 1998). For more realistic systems undergoing uniform surface cooling, *L* is the length of the littoral region, which can be defined as the plateau zone (Ulloa et al., 2022) or the region vertically
- 525 mixed by convection (Wells and Sherman, 2001). In the first case,  $L = L_p$  is a constant whereas  $L = L_{ML}$  varies with the stratification in the second case. We chose to use  $L_{ML}$  because it corresponds to the region affected by differential cooling. We expect that a deeper mixed layer will increase the temperature difference  $\Delta T$  between the littoral and pelagic regions, resulting in a higher velocity  $U_x$ . In Eqs. (10) and (11), the transport is expressed as a function of the velocity scale and the size of the littoral region of length  $L_{lit}$  and depth  $h_{lit}$  (Fig. 1). We defined the littoral region based on the location of MT since we
- 530 measured the discharge out of this region. This definition of  $h_{\text{lit}}$  in Eq. (10) is consistent with the fact that  $q_x$  varies spatially, increasing with distance from the shore (Fer et al., 2002b). The vertical length scale  $h_{\text{lit}}$  can also be chosen as the depth of the plateau ( $h_p$ ) (Sturman and Ivey, 1998; Wells and Sherman, 2001; Fer et al., 2002b; Ulloa et al., 2022) to predict the discharge from the plateau region only. Despite these different choices of length scales, the coefficient  $c_q \approx 0.34$  is close to other estimates from the literature (Table 2). Harashima and Watanabe (1986) found that  $c_q$  is increasing with the flux Reynolds
- 535 number defined as  $Re_f = B_0^{1/3} h_{\text{lit}}^2 / L_{\text{ML}}^{2/3} v$ , with  $v \approx 1.5 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$  the kinematic viscosity of water. The empirical relationship  $c_q \approx 0.43 1.67 / Re_f^{1/2}$  was obtained for  $Re_f > 50$ . For the days where TS is observed in Rotsee,  $Re_f$  varies from 250 in summer to 50 in winter, with an average value of ~140 which leads to  $c_q \approx 0.29$ , close to our estimate of  $c_q \approx 0.34$ .

540 Table 2: Comparison of the transport scaling formula  $q = c_q h (B_0 L)^{1/3}$  between different studies on sloping basins, with h a vertical length scale and L a horizontal length scale. The different notations can be found in Fig. 1 and Table 1. The littoral region is based on the location of discharge measurement, as in Fig. 1.  $L_{forc}$  is the length over which the destabilizing forcing  $B_0$  applies.

Study	Geometry of the basin	Length of the littoral region L <sub>lit</sub>	Horizontal length scale <i>L</i>	Vertical length scale <i>h</i>	Coefficient c <sub>q</sub>
Harashima and Watanabe (1986)	Plateau/Infinite slope	$L_{\rm lit} < L_{\rm p}$	$L = L_{\rm forc} = L_{\rm p}$	$h = h_{ m p}$	$0.13 \le c_{\rm q} \le 0.33$
Sturman and Ivey (1998)	Plateau/Slope	$L_{\rm lit} = L_{\rm p}$	$L = L_{\rm forc} = L_{\rm p}$	$h = h_{\rm p}$	0.2
Ulloa et al. (2022)	Plateau/Slope	$L_{\rm lit} = L_{\rm p}$	$L = l_{\rm p}$	$h = h_{ m p}$	0.35
This study	Plateau/Slope	$L_{\rm p} < L_{\rm lit} \le L_{\rm ML}$	$L = L_{\rm ML}$	$h=h_{ m lit}$	0.34

# 4.4 Effects of the forcing parameters on the flushing period

- 545 The seasonality of the forcing parameters does not only affect the occurrence and magnitude of TS but also the diurnal dynamics of the littoral flushing (Fig. 7). The initiation of TS always occurs several hours after the beginning of the cooling phase. This delay is modulated by τ<sub>ini</sub> and is consistent with previous studies reporting a formation of TS at night (Monismith et al., 1990; James et al., 1994; Fer et al., 2002b; Pálmarsson and Schladow, 2008) or in the morning (Rogowski et al., 2019; Sturman et al., 1999). τ<sub>t</sub> is a good estimate of the initiation period when the water column is initially mixed (τ<sub>mix</sub> = 0). In summer and warm autumn days, however, the initiation of TS occurs later than predicted by τ<sub>t</sub>, due to the initial stratification at the beginning of the cooling phase (τ<sub>mix</sub> ≠ 0). This leads to a delay in the increase of q<sub>x</sub> and F<sub>G</sub> (Fig. 7a, c). In autumn, TS events lasting for more than 24 h lead to monthly averaged q<sub>x</sub> > 0 before the starting time predicted by τ<sub>t</sub> (Fig. 7e, g). In winter, τ<sub>t</sub> → 24 h implies that the rare TS events are all characterized by a continuous flow (Fig. 7i), without any diurnal cycle of flushing anymore.
- When a diurnal cycle is present in summer and autumn, the flushing period ends several hours after the beginning of the heating phase (Fig. 3, Fig. 7). This inertia was already reported by Monismith et al. (1990), who observed TS until the midafternoon, despite the opposite pressure gradients due to differential heating. In addition to the delay between the end of the cooling and the end of the flow, we also showed that a peak of flushing occurred a few hours after the beginning of the heating phase (Fig. 3). The time of maximal flushing is shifted later in the day from summer to winter, as the heating phase starts later (Fig. 7). The maximal flushing seems related to the transition from the cooling phase to the heating phase. This finding suggests

that convective plumes eroding the flow control the weak initial transport during the cooling phase. A weakening of convection

reduces this vertical mixing and finally enables the flushing to reach its maximum intensity. Further investigations are required to better understand this process.

## 4.5 Practical recommendations to predict and measure thermal siphons in other lakes

- 565 Rotsee is an ideal field-scale laboratory to investigate TS, due to wind sheltering and its elongated shape minimizing complex recirculation. To assess the seasonality of TS in other lakes, long-term velocity and temperature measurements in the sloping region are required. The developed algorithm to detect TS events (Sect. 2.4) and calculate the cross-shore transport (Sect. 2.5) fulfilled our requirements but remained lake specific. The limitations of the algorithm are discussed in more detail in Appendix D. The general structure of the algorithm (Sect. 2.4) can serve as a basis for detecting TS in lakes, by adapting the lake-specific
- 570 criteria to other systems. The 2D framework of TS requires specific validation in more complex nearshore systems and large lakes, where the topography, large-scale circulation and Coriolis may also affect the TS dynamics (Fer et al., 2002b). In these systems, the along-shore velocity component of TS must be considered in the cross-shore transport analysis. Further development is needed to build a more robust algorithm with lake independent physically based criteria.
- The effects of a different bathymetry can be predicted from the scaling discussed in the previous sections. A shallower nearshore plateau region or a steeper sloping region would decrease the transition timescale  $\tau_t$  (Eq. (12)) causing the initiation of TS to happen earlier and more often. Higher slopes would also decrease the length of the mixed region  $L_{ML}$  and reduce the horizontal velocity of TS (Eq. (9)). Past observations of TS reported horizontal velocities ranging from ~0.1 cm s<sup>-1</sup> (James and Barko, 1991a, b) to ~10 cm s<sup>-1</sup> (Roget et al., 1993; Fer et al., 2002b), with  $U_x \sim 1$  cm s<sup>-1</sup> in most cases (Monismith et al., 1990; Sturman et al., 1999; Pálmarsson and Schladow, 2008; Rogowski et al., 2019). The cross-shore transport  $q_x$  and flushing timescale  $\tau_F$  are strongly dependent on the size and depth of the littoral region considered (Eqs. (10) and (11)). A deeper littoral
- region (larger  $h_{lit}$ ) would lead to a stronger discharge and a longer littoral region (larger  $L_{lit}$ ) would take more time to flush. Values of TS thickness and discharge can be one order of magnitude larger in deeper lakes than Rotsee (Thorpe et al., 1999; Fer et al., 2002a; Rogowski et al., 2019). The effects of more complex bathymetries, departing from our 2D framework, could be further investigated with 3D numerical simulations.
- 585

Information on lake bathymetry and thermal structure are needed to predict the occurrence ( $\tau_{ini} < \tau_c$ ) and intensity of TS ( $U_x, q_x, \tau_F$ ) under specific forcing. This approach does not consider wind effects, which deserve further investigation. Windier conditions would hinder TS by locally enhancing and reducing the cross-shore transport. In this case, the scaling formulae (9), (10) and (11) should be modified to take wind shear into account. The littoral region would also be mixed faster under windy conditions and the effects of wind mixing on  $\tau_{mix}$  should be included in Eq. (15). These questions could be addressed by performing numerical simulations with varying wind speed.

590

The seasonality of TS may evolve in a changing climate, which also needs to be investigated. Changes in heat fluxes, summer stratification and surface temperature would affect both the intensity ( $B_0$ ) and occurrence ( $\tau_{ini}$ ) of TS.

## **5** Conclusions

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The flushing of the littoral region by cross-shore flows increases the exchange between nearshore and pelagic waters. In this

595 study, we investigated one of the processes that enhance the renewal of littoral waters, the so-called thermal siphon (TS) driven by differential cooling. From a one-year-long monitoring of TS in a small temperate wind-sheltered lake, we quantified the seasonality of the cross-shore transport induced by TS. This seasonality is related to the intensity of surface cooling (surface buoyancy flux  $B_0$ ) and the lake stratification (mixed layer depth  $h_{\rm ML}$ ). Three aspects of the seasonality of TS are highlighted. First, TS is a recurring process from late summer to winter (when  $\tau_c > \tau_{ini}$ ), occurring on ~80 % of the autumn days. Second,

the seasonal changes in the TS-induced transport are well reproduced by the scaling  $U_{avg} \approx 0.33 \cdot (B_0 L_{ML})^{1/3}$ ,  $q_{avg} \approx 0.34 \cdot (B_0 L_{ML})^{1/3}$  $(B_0 L_{\rm ML})^{1/3} h_{\rm lit}$  and  $\tau_{\rm F} = V_{\rm lit}/q_{\rm avg} \approx 2.99 \cdot L_{\rm lit}/(B_0 L_{\rm ML})^{1/3}$ , with  $L_{\rm ML}$  the length of the region mixed by convection and  $V_{\text{lit}} = L_{\text{lit}}h_{\text{lit}}$  the unit-width volume of the littoral region. This study provides a field validation of this laboratory and theoretically based scaling. Third, the diurnal dynamics of the flushing follow the seasonal changes of the cooling and heating phases, by evolving from a well-defined diurnal cycle in summer to a more continuous flow in winter.

- 605 Our results demonstrate that TS significantly contributes to the flushing of the nearshore waters. This process occurs frequently during the cooling season (> 50 % of the time), each time flushing the entire littoral region. We stress that this buoyancy-driven transport is, perhaps counterintuitively, stronger in summer and in the morning. Such a timing has implications for the transport of dissolved compounds, with, for instance, maximal exchange between littoral and pelagic waters at a time of high primary production (summer and daytime). Overall, this study provides a solid framework to integrate 610 the role of TS in the lake ecosystem dynamics.

# **Appendix A: Instrumentation**

Table A1: Specifications and setup of the sensors from the two moorings and the meteorological station. For the ADCP, only the complete days of measurements used for the transport estimates are taken into account in the measurement periods.

Sensors	Accuracy	Resolution	Number	Setup	Periods of measurements	
Thermistor array at MB						
		0.01 °C		Sampling interval [s]: 120;	. 13.03.19–24.04.19	
Vemco Minilog II-T (temperature)	0.1 °C		14	Approximate depths [m]: 0.2, 3, 4,	. 07.06.19–02.07.19	
				5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15	. 16.07.29-03.09.19	
			1	Sampling interval [s]: 1; Approximate depth [m]: 15	. 05.09.19–18.10.19	
RBR Duet TD	T: 0.002 °C;	T: 5×10 <sup>-5</sup> °C;			. 24.10.19–16.12.19	
temperature, pressure)	P: 0.05 %	P: 0.001 %			. 20.12.19–29.01.20	
					. 12.02.20-05.06.20	
Thermistor array at MT						
Vemco Minilog II-T	0.1 °C	0.01 °C	1	Sampling interval [s]: 120;		
(temperature)	0.1 C			Approximate depth [m]: 0.2		
NDD TD 1050				Sampling interval [s]: 10;		
RBR TR-1050 (temperature)	0.002 °C	5×10 <sup>-5</sup> °C	8	Approximate depths [m]: 2.4, 2.7,	Same periods than above	
				2.9, 3.2, 3.4, 3.7, 3.9, 4.2		
RBR Duet TD	T: 0.002 °C;	T: 5×10 <sup>-5</sup> °C;	1	Sampling interval [s]: 1;		
temperature, pressure)	P: 0.05 %	P: 0.001 %		Approximate depth [m]: 3.4		
ADCP at MT					. 13.03.19–23.04.19	
			1	Cell size [m]: 0.05; Burst interval [s]: 900; Sampling interval [s]: 0.5; Number of samples per burst: 512	. 07.06.19–01.07.19	
Nortek Aquadopp Profiler 1 MHz	MHz 1%	0.1 mm s <sup>-1</sup>			. 16.07.29–02.09.19	
velocity)					. 05.09.19–16.10.19	
					. 24.10.19–03.12.19	
					. 21.12.19–07.01.20	
					. 12.02.20-03.03.20	
Campbell meteostation WxPRO						
Young Wind Sentry	Speed: 0.5 m s <sup>-1</sup> ;	Speed: 0.001 m s <sup>-1</sup> ;	1	Sampling interval [s]: 600;		
wind speed and direction)	Direction: 5°	Direction: 0.1°		Height [m]: 3		
onginion SUT75	T: 0 4 °C:	T: 0.01 °C:		Sampling interval [2], 600.		
Sensirion SHT75 (air temperature and relative	T: 0.4 °C; RH: 4 %	T: 0.01 °C; RH: 0.03 %	1	Sampling interval [s]: 600;		
umidity)	RH: 4 % RH: 0.03 % Height [m]: 2		14.09.19-05.06.20			
Setraceram 278	1 hPa	0.01 hPa	1	Sampling interval [s]: 600;		
(barometric pressure)				Height [m]: 2		
Apogee Instruments SP-110-SS pyranometer	5 %	1 W m <sup>-2</sup>	1	Sampling interval [s]: 600;		
(solar radiation)				Height [m]: 3		

### Appendix B: Diurnal variability of the occurrence and duration of thermal siphons

- In addition to the seasonality, TS also varies between days. A change of forcing conditions (B<sub>0,net</sub>) between days may enhance or prevent the formation of TS, by modifying the occurrence condition τ<sub>ini</sub> < τ<sub>c</sub> at a short timescale. We average the net buoyancy flux over 48 h (B<sub>0,net</sub><sup>2d</sup>), for each 24 h subset starting at 17:00 UTC. B<sub>0,net</sub><sup>2d</sup> is the average of B<sub>0,net</sub> between 00:00 UTC on the first day of the 24 h subset and 23:59 UTC on the following day. This quantity includes the effects of the forcing on (1) the initial stratification, which affects τ<sub>ini</sub> (day preceding the TS event), and (2) the duration of the cooling phase τ<sub>c</sub>
  (following day). We compare the values of B<sub>0,net</sub><sup>2d</sup> between days with and without TS (Fig. B1). Days with TS are characterized
- by higher  $B_{0,\text{net}}^{2d}$  than days without TS, which is confirmed by a t-test at a 5 % significance level, from August to December. The variability of the forcing conditions between days also affects the flushing duration of TS (Fig. B2).

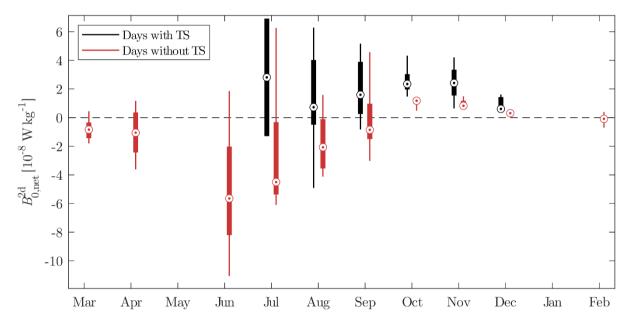


Figure B1: Box plots of the two-day-averaged net surface buoyancy flux for each month, depending on the occurrence of TS.

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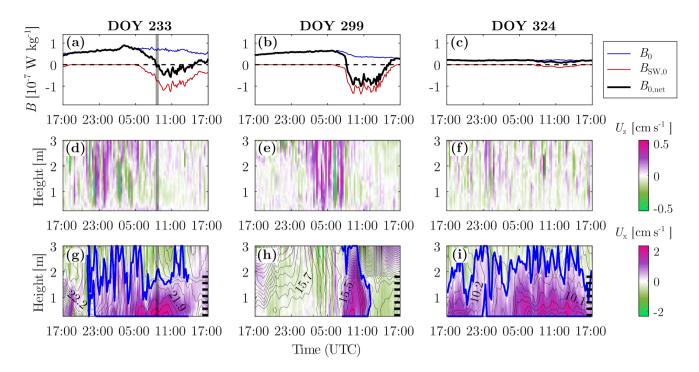


Figure B2: Three examples of TS events, with (a)-(c) buoyancy fluxes, (d)-(f) vertical velocity and (g)-(i) cross-shore velocity, as in Fig. 3. (a), (d), (g) Long TS event on 21–22 August 2019 due to short heating phases on both days. (b), (e), (h) Short TS event on 26–27 October 2019 due to strong heating on both days (re-stratification). (c), (f), (i) Continuous flushing on 20–21 November 2019 due to continuous net cooling. See the caption of Fig. 3 for more details about each subpanel. The shaded area in (a), (d), (g) indicates the time of the CTD transect shown in Fig. 1.

## Appendix C: Seasonality of the flushing of the littoral region

- 635 The unit-width volume flushed by each TS event is calculated by integrating the discharge  $q_x$  over the flushing period (Eq. 5). We estimate the daily averaged flushed volume  $V_{\text{flush,day}}$  and the averaged flushed volume of TS events  $V_{\text{flush,TS}}$  by dividing the total volume of water flushed every month by the number of days with measurements and by the number of days with TS, respectively. The volume  $V_{\text{flush,day}}$  includes the effect of the occurrence of TS on the daily flushing whereas  $V_{\text{flush,TS}}$  only depends on the intensity and duration of TS. We express both flushed volumes as fractions of the littoral region of volume  $V_{\text{lit}}$
- 640 (Fig. C1). The seasonal trend of V<sub>flush,day</sub> is similar to the occurrence of TS (Fig. 5), with an increase of flushing from July to November (Fig. C1a). This indicates that the increase of occurrence of TS from summer to autumn overcomes the weakening of the transport over the same period. The flushed volume of TS events does not vary significantly with the seasons (Fig. C1b). A single TS event flushes on average the littoral region more than once. Overall, the occurrence of TS is the primary factor controlling the seasonality of the littoral flushing in Rotsee.

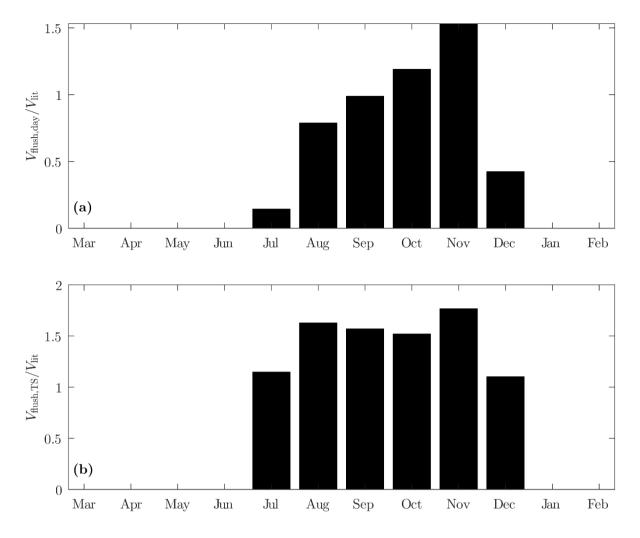


Figure C1: Fraction of the littoral region flushed by TS in one day for each month. Months with less than 10 days of measurements have been removed as in Fig. 5 (May 2019 and January 2020). (a) Daily averaged flushed fraction including all days with measurements. (b) Daily averaged flushed fraction for days with TS only.

#### 650 Appendix D: Identification of thermal siphons by the algorithm

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The developed algorithm used to detect TS events (Sect. 2.4) and calculate the cross-shore transport (Sect 2.5) aimed at automatizing the identification of TS and thereby at limiting subjective bias in the characterization of the process. We manually assessed the performance of the algorithm over different days. We further tested the validity of the identified TS events with the fact that a cross-shore transport resulting from TS should be associated with a decrease in water temperature (Fig. 1). We

calculated the correlation  $r(U_x, T)$  between the cross-shore velocity  $U_x$  and the temperature T from the thermistor array at MT, at each depth and during the cross-shore flow events. The negative correlation during 93 % of the identified TS events  $(r(U_x, T) < -0.48$  according to the 95 % confidence interval from t-test) and the lack of clear negative correlation (at a 5 % significance level) during the other days justified the skills of the detection algorithm. The general structure of the algorithm can be used in other systems. Yet, several criteria are lake-specific and must be adapted to the system of interest. We discuss the limitations of the algorithm below and provide suggestions for improvement.

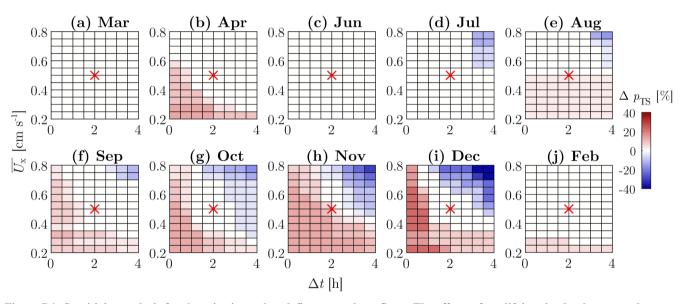


Figure D1: Sensitivity analysis for the criteria used to define cross-shore flows. The effects of modifying the depth-averaged crossshore velocity  $\overline{U_x}$  and the averaging duration  $\Delta t$  are shown as changes in the percentage of occurrence of TS ( $p_{TS}$ ) for each month.  $\Delta p_{TS}$  is the percentage difference with respect to the occurrence of Fig. 5, obtained with  $\overline{U_x} = 0.5$  cm s<sup>-1</sup> and  $\Delta t = 2h$  (reference point shown by the red cross).

The definition of a significant cross-shore flow by the algorithm (i.e.,  $\overline{U_x} > 0.5$  cm s<sup>-1</sup> for at least two hours) is lakespecific. This criterion is based on typical examples of TS in Rotsee, where  $\overline{U_x}$  is above 0.5 cm s<sup>-1</sup> over most of the flushing period. Theoretical estimates of the period between the onset time and the end of the cooling phase (e.g.,  $\tau_c - \tau_{ini} \approx 10$  h in autumn) show that most of TS events last more than two hours and justify the chosen threshold for the duration. From a sensitivity analysis (Fig. D1), we notice that the occurrence of TS does not change significantly if the duration threshold is increased by a few hours. Shorter cross-shore flows events must be discarded because they are not consistent with the gravitational adjustment triggered by differential cooling. They could be wind-driven or result from free surface convection.

In Rotsee, cross-shore flows driven by internal waves are expected to be short and to be discarded by the algorithm  $(T_{V1H1}/2 \approx 2.5 \text{ h} \text{ in summer with } T_{V1H1}$  the period of V1H1 internal waves). Other types of data could be included to detect TS, such as lateral temperature gradients, near-surface velocity (return flow) or vertical velocity (convective plumes). Using other techniques, like machine learning algorithms, could also be a useful approach to better identify TS events.

The filter used to discard days with wind-driven circulation is based on the criterion  $|L_{MO}|/h_{ML} = \kappa^{-1}|u_*/w_*|^3 > 0.5$ , with  $\kappa = 0.41$  the von Kármán constant and  $u_*$  the friction velocity. The ratio  $u_*/w_*$  expresses the relative importance of wind shear compared to convection. The threshold value of 0.5 was successfully tested on wind-driven circulation events observed in Rotsee in winter. This filter discards the strongest wind events but cross-shore flows with  $|L_{MO}|/h_{ML} < 0.5$  can still be affected by a wind peak during the flushing period (e.g., interaction between wind and TS). Being more conservative and decreasing the threshold value from 0.5 to 0.1 would discard TS events mainly in December (when  $w_*$  is low) but would not significantly modify the occurrence of TS during the other periods (Fig. D2). Additional filters could be implemented to distinguish between TS and wind-driven cross-shore flows, based for example on high-resolution vertical velocity measurements, observed oscillations of the thermocline (e.g., wavelet analysis), estimates of the period of internal waves and

identification of upwelling events (e.g., Wedderburn and Lake numbers) (Imberger and Patterson, 1989).

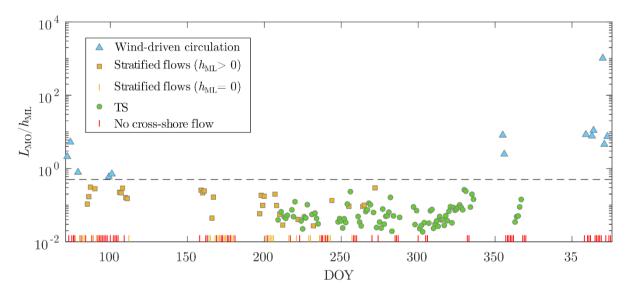


Figure D2: Filtering of the 227 days analysed, shown as a time series of  $L_{MO}/h_{ML}$  from Mars 2019 to Mars 2020. Days without a significant cross-shore flow or without a mixed layer are depicted as bottom ticks. Stratified flows are cross-shore flows without complete mixing at MT. The wind-driven circulation threshold  $L_{MO}/h_{ML} = 0.5$  is shown by the horizontal dashed line.

By discarding days with stratified conditions at MT, the second filter does not consider a TS that intrudes before reaching MT and appears as an interflow. The occurrence of TS in summer is thereby underestimated. In Fig. 5, the higher percentage of occurrence of stratified flows in July (81 %) compared to spring (around 40–50 %) suggests a possible contribution of intruding TS. From visual inspection of each event, we estimated that intruding TS could represent almost half

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of the stratified flows in July. Including downslope TS only was relevant, since the transport properties of interflows can be different from downslope gravity currents, for which the scaling formulae were derived. In addition, intruding TS events could be confounded with other baroclinic flows, and further filtering steps would have been required to detect them correctly.

- The transport quantities of TS are averaged over the flushing period (Sect. 2.5). The onset of the flushing is challenging to define because of the interaction between TS and convective plumes (Fig. 3c). The cross-shore velocity field can be very variable over time, with vertical fluctuations of the region with positive  $U_x$  (Fig. 3d). We decided to include only the period over which the region with positive  $U_x$  remained at the same depths (i.e., the limits of the flushing period correspond either to vertical displacements of the region of positive  $U_x$  or to  $U_x \leq 0$  at all depths). This approach might sometimes include only a part of the TS event in the transport calculations. Daily averaged velocities should not be affected. The average thickness and discharge, however, vary between days depending whether the vertical oscillations of the TS interface are included or not. The
- latter is a possible reason for the larger variability observed for  $q_{avg}$  and  $\tau_F$  (Fig. 6). A moving average could be applied to the velocity data to reduce this variability.

# Code and data availability

The data (raw, processed and figures) and the scripts used for all the analysis are available for download on the Eawag Data Institutional Collection (<u>https://doi.org/10.25678/00057K<sup>1</sup></u>, Doda et al., 2021).

#### Author contribution

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TD and DB designed the field experiments. TD led the data collection and analysis, with the help of DB, HNU and CLR. TD wrote the initial draft of the manuscript and all co-authors commented and edited the text.

#### **Competing interests**

715 The authors declare that they have no conflict of interest.

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