# **Representation of seasonal land-use dynamics in SWAT+ for improved assessment of blue and green water consumption**

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Abstract. In most (sub)-tropical African cultivated regions, more than one <u>cropping cycle\_cropping season</u> exists following the 10 (one or two) rainy seasons. During the dry season, an additional <u>cropping cycle\_cropping season</u> is possible when irrigation is applied, which could result in 3 cropping seasons. <u>However, most studies for mapping the blue and green waterET with agrohydrological models such as SWAT do not better-represent these cropping seasonsIn most agro hydrological model applications such as SWAT+ in Africa, only one cropping season per year is represented. <u>Blue ET is a portion of crop</u> evapotranspiration after application of irrigation while green ET is the evapotranspiration as a result of rainfall. In this paper,</u>

- 15 we derived dynamic and static trajectories from seasonal land-use maps to represent the land-use dynamics following the major growing seasons, for the purpose of improving simulated— blue and green water consumption from simulated evapotranspiration (ET) in SWAT+. This study builds upon earlier research that proposed an approach on how to incorporate seasonal land use dynamics in the SWAT+ model but mainly focused on the temporal pattern of LAI and tested the approach in a small catchment (240 km<sup>2</sup>). Together with information obtained from the cropping calendar, we implemented agricultural
- 20 management operations for the dominant trajectory of each agricultural land-use class for the Kikuletwa basin (6650km<sup>2</sup> area coverage) in Tanzania. A comparison between the default SWAT+ (with static land use representation) set up, and a dynamic SWAT+ model (with seasonal land use representation) is done by spatial mapping of the evapotranspiration (ET) results. MoreoverAdditionally, the SWAT+ blue and green ET were compared with the results from the four remote sensing databased methods namely: SN (Senay et al., 2016), EK (van Eekelen et al., 2015), Budyko method and Soil Water Balance method
- 25 (SWB). The results show that ET with seasonal representation is closer to remote sensing estimationsestimates, giving higher performance than ET with static land use representation. than default: The Root Mean Squared Error decreased from 181 to 69 mm/year; the percent bias decreased from 20 % to 13% and Nash Sutcliffe Efficiency increased from -0.46 to 0.4. Further It is concluded that representation of seasonal land-use dynamics produces better ET results which provide better estimations of blue and green agricultural water consumption. The results of blue and green ET from the dynamic SWAT+ model aswere
- 30 <u>compared to the four remote sensing methods</u>. The results further shows that the SWAT+ blue and green ET are similar to the van Eekelen method that performed better thant the other three remote sensing methods. It is concluded that representation of

seasonal land-use dynamics produces better ET results which provide better estimations of blue and green agricultural water consumption.

# 1. Introduction

- 35 Freshwater availability will become is a limitinged resource in many regions throughout the world and the problem is projected to increase in the near future due to the increasing risks of land use change, population growth, and climate change. The availability of freshwater is mostly determined by precipitation on land. When rain falls on land, it travels via either green or blue waterways (Velpuri and Senay, 2017; Hoekstra, 2019). The green water resource is the water that is held in the unsaturated soil
- 40 layer, whereas the blue water resource is the water that is stored in rivers, streams, surface-water bodies, and groundwater (Falkenmark and Rockström, 2006). One of the solutions to lessen the threat of freshwater scarcity is to minimize consumptive water use in agriculture. However, for water resource management, it is critical to understand water use in agricultural production by source (rainwater or irrigation water from surface and groundwater) (Velpuri and Senay, 2017). For efficient water resource
- 45 management, knowing how much direct rainwater (green water) and non-rainwaterabstracted water (blue water) is being utilized for irrigation is crucial. Yet such information is not readily available, especially forin developing countries.

Hydrological models such as the Soil Water Assessment Tool (SWAT) arecan be used to provide information on blue and green water onat a basin and continental scales (Xie et al., 2020; Jeyrani et al.,

- 50 2021; Liang et al., 2020; Serur, 2020). For instance, (Schuol et al., (2008) used the SWAT model to simulate blue and green water availability for the African continent. to (Xie et al., (2020), evaluated the evolution of the blue and green water resources, water footprints, and water scarcities in time and space in the Yellow River basin in China from 2010–2018. The study accounts for the effects of irrigation on blue and green water resources. (Liang et al., (2020) used the SWAT model combined with future land
- 55 <u>use and climate scenarios, which was successfully applied to quantify the spatiotemporal distribution of</u> blue and green water change for the Xiangjiang River Basin in China between 2015 and 2050.

However, a few of these studies have implemented annual land-use dynamics. Since land-use refers to manmade socio-economic activities and management practices on the land, these anthropogenic activities may change depending on a season, specifically on cultivated land (Anderson et al., 1976). These changes

- 60 per season are called seasonal land-use dynamics (Msigwa et al., 2019). Hence, mapping the blue and green water with agro-hydrological models such as SWAT need a better representation of the seasonality/cropping seasons. To best of our knowledge there are no studies<del>y</del> that implemented seasonal land-use dynamics in estimation of blue and green water resources. For example, Jeyran et al. (2021), assessed basin blue and green available water components under different management and climatic
- 65 scenario using SWAT. The annual land-use dynamicchange implementation showed that the 30% increase in agricultural land use from 1987 to 2015 has caused significant changes in water shortages of Tashk-Bakhtegan basin in Iran. However, other studies do not implement even the annual land-use dynamic in order to decrease the computational time of the very large-scale models. In most cases, the dominant soil and land cover are used. For instance, (Serur, (2020) used a 10-year land use map to model
- 70 <u>blue and green water availability for the Weyb River basin in Ethiopia.</u>

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The major problem with the previous limitation of applying thisese approaches is thatin, ttTropical African cultivated areas is typically that typically they have more than one growing cycle, most of the time ranging between 2 to 3 depending on the sequence of rainy and dry seasons and availability of irrigation water (Msigwa et al., 2019). The right representation and timing of these cropping seasons is therefore important in order to quantify the crop water consumption.

<u>A FA Representation of land-use dynamics in agro-hydrological models is important due to the numerous</u> impacts of land-use changes on water resources . LULC changes affect hydrologic processes such as infiltration, groundwater recharge, evapotranspiration, and runoff (Welde and Gebremariam, 2017; Liu et al., 2008; Schilling et al., 2010). Several studies have demonstrated the importance of incorporating dynamic land-use change in models (Chiang et al., 2010; Wagner et al., 2016). For instance, Wagner et

al., (2016) found a continuous decrease of annual evapotranspiration of up to -53mm (-7%) when dynamic land-use change is implemented per sub-basin scale.

However, most of these studies have implemented annual land use dynamic. Since land-use refers to manmade socio-economic activities and management practices on the land, these anthropogenic activities may change depending on a season, specifically on cultivated land (Anderson et al., 1976). These changes 85 per season are called seasonal land-use dynamics (Msigwa et al., 2019). Few studies that have implemented seasonal land-use dynamic for other purposes such as nNitrogen leaching and plant growth (Glavan et al., 2015), when estimating water withdrawals (Msigwa et al., 2019) and Leaf Area Index (LAI) simulation (Nkwasa et al., 2020), have found an impact of representing seasonal land-use dynamics in models. For instance, Nkwasa et al. (2020) found that the implementation of seasonal land-use 90 dynamics in SWAT and SWAT+ models led to an improved vegetation simulation. The LAI dynamics of the seasonal land-use dynamic implementation showed more realistic temporal advancement patterns that corresponded to the seasonal rainfall within the basin. Moreover, Msigwa et al., (2019) found that water withdrawals for irrigated mixed crops increased by 482 Mm<sup>3</sup>/year when seasonal land-use maps 95 are used. On the other hand, the seasonal land use-dynamics have been studied and evaluated using four methods that use multi-scalar datasets to assess cropping intensity of smallholder farms. In this study, the cropping intensity is the number of crops planted annually (Jain et al., 2013). However, in this case, the

impact of seasonal land use on water resources has not been studied. Also, the implementation of seasonal land-use dynamic in SWAT and SWAT + models led to an improved vegetation simulation (Nkwasa et al., 2020). The LAI dynamics of the seasonal land-use dynamic implementation showed more realistic temporal advancement patterns that corresponded to the seasonal rainfall within the basin.

The SWAT model incorporates crop rotation and its management at the level of the Hydrological Response Unit (HRU) within a sub-basin (Neitsch et al., 2002). It is represented as a sequence of planting and harvesting operations within the same HRU supplemented with management operations (Gao et al.,

105 <u>2017</u>). The representation of agricultural management is done through a separate management file by specifying the planting, harvesting, tillage, irrigation, fertilizer and pesticide application by heat units or month and date (Arnold et al., 2018). Although, the SWAT (+) model is capable of representing multiple cropping seasons, however this is mainly implemented outside Africa catchments. Agro-hydrological model applications in Africa basins do typically not represent different cropping seasons. Rather

- 110 implement the default SWAT simulation of a single growing cycle every year (Ndomba et al., 2008; Koch et al., 2012; Gashaw et al., 2018). Lack of consideration of the seasonal land-use dynamics in hydrologic modelling studies, especially in African cultivated basins, may be attributed to past constraints of model capabilities, as well as lack of availability of crop-specific and agricultural management practices data (van Griensven et al., 2012).
- 115 Hence, the crop-specific and data management practices could be obtained from the seasonal land use maps using trajectory analysis. There has been a lot of improvement in how to represent the management operations by utilizing decision tables in the SWAT+ model, which is the revised and improved version of SWAT (Arnold et al., 2018; Bieger et al., 2017). In hydrological models, such as SWAT, the seasonal land-use dynamics could be implemented using trajectory analysis. Nkwasa et al., (2020) implemented
- 120 seasonal land use dynamic in SWAT and SWAT+ through land use trajectories, and not land cover classes. Trajectories represent changes of land-use over time by comparing changes between two or several land-use maps at a grid scale. Trajectory analysis has been applied widely to assess the changes and impact of Land Use and Land Cover (LULC) (Feng et al., 2014; Wang et al., 2012), and as a pre-processing tool for LULC (Zomlot et al., 2017). In these studies, change analysis is done pixel by pixel
- 125 for each year in order to identify land use change (Mertens and Lambin, 2000; Swetnam, 2007; Zhou et al., 2008; Wang et al., 2012; Zomlot et al., 2017). However, none of these studies have analysed pixel by pixel within a year with the aim of identifying the different (cropping) seasons, further referred to as land use dynamics.

Tropical African cultivated areas typically have more than one growing cycle, most of the time ranging
 between 2 to 3 depending on the sequence of rainy and dry seasons and availability of irrigation water
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This paper builds from previous work that proposed an approach on how to incorporate seasonal land use dynamics in SWAT and SWAT+ models but was only evaluated for the temporal pattern of LAI simulations at a small catchment of 240 km<sup>2</sup> (Nkwasa et al., 2020). This study builds on-the Nkwasa et al. (2020)<sup>2</sup>s approach aims to evaluate the effects of seasonal land-use dynamics on blue and green

160 <u>evapotranspirationET</u>, with two main objectivesto; (i) investigate the effect of implementing seasonal land-use dynamics on the water balance component in Kikuletwa basin (6650 km<sup>2</sup>) with focus on the ET using SWAT+ and (ii) to estimate blue and green water consumption from simulated ET. Seasonal landuse maps were obtained from remote sensing to derive dynamic and static trajectories at 30m resolution (Msigwa et al., 2019). Together with information obtained from the cropping calendar, we implemented

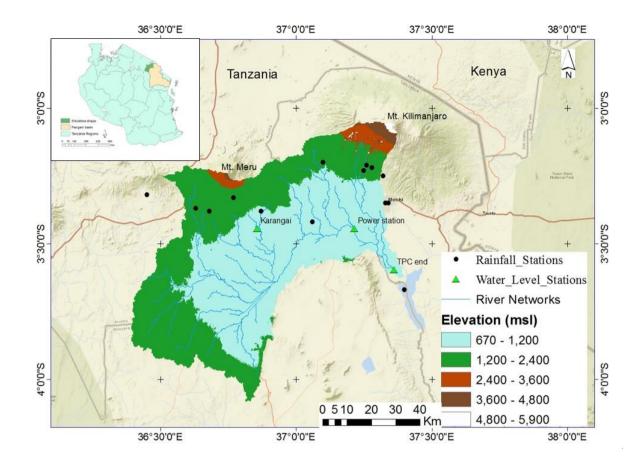
agricultural operations for the dominant dynamic trajectory of each land-use class using the approach proposed by Nkwasa et al., (2020). The paper compares spatial mapping of ET obtained from the default SWAT+ model set up with a static land-use map and a SWAT+ model set up with seasonal dynamic land-use representation. Findings from this study are intended to enhance the understanding of the spatial-temporal variability of water consumption (e.g. expressed as blue and green water uses) through improved
 ET estimations.

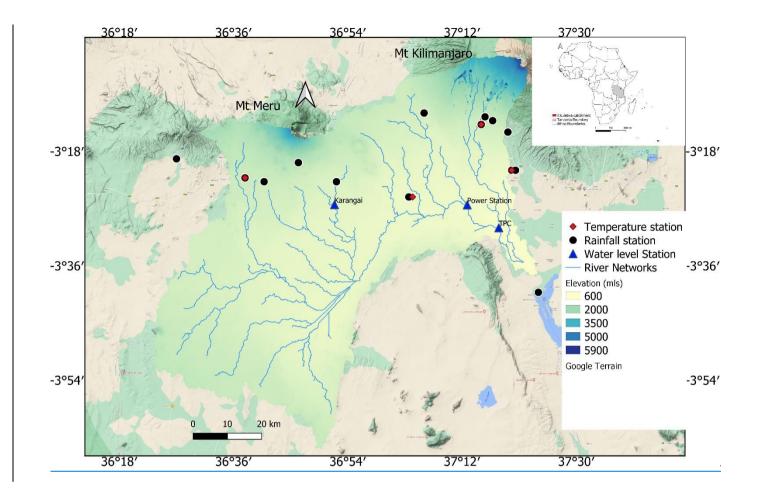
# 2. Methods

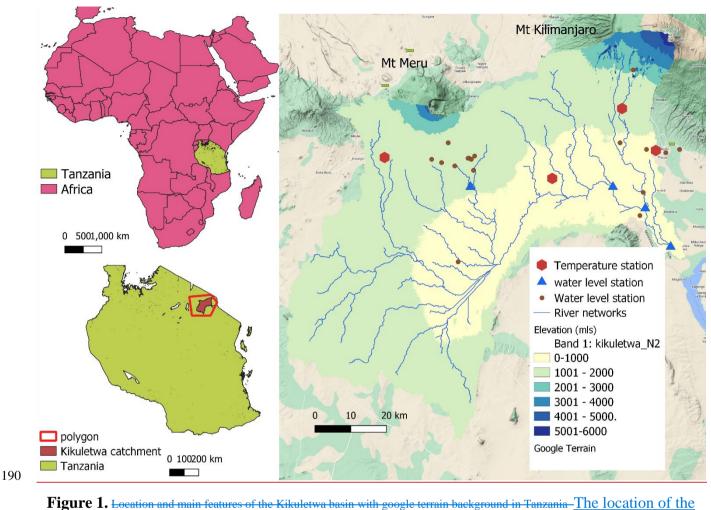
# 2.1. Study Area

The Kikuletwa basin is a sub-basin of the Pangani basin that covers approximately 6,650 km<sup>2</sup> (Figure 1).
Rainfall within the basin is bi-modalbimodal, meaning that the area receives long rains (masikaMasika) from March to June and short rains (vuliVuli) from November to December, as shown in Figure 2. Annual rainfall ranges between 300-800 mm in the lower part of the basin to 1200-2000 mm in the highlands of Mount Meru and Kilimanjaro. The maximum temperature ranges from 25 to 33<sup>o</sup>C and minimum temperature ranges from 15 to 20<sup>o</sup>C. The basin comprises of diverse LULC classes such as agricultural land, dense forest on Mount Kilimanjaro (5880m) and Meru (4562m), grazed land, mixed urban and shrubland/thickets. Shrubland and thickets in the study area are found mainly in the lowlands where rainfed agriculture is dominant. Urban areas concentrate around Arusha, although there are also emerging small towns. Moreover, grazed land is mainly found in the Maasai land of Monduli and Simanjiro districts. Irrigated agriculture in Kikuletwa is mainly practiced in the highlands and lowlands along the

river of Moshi, Moshi urban, Hai, Arumeru, Arusha, and Siha districts. The main crops in the highlands are banana, coffee, and maize, while the lowlands are dominated by mixed vegetable crops such as tomatoes, onions, and beans.







Kikuletwa catchment in Africa (inset map). The catchment map shows the river networks and the location of ground water level, rainfall and temperature station in and around the catchment. (by

Authors).

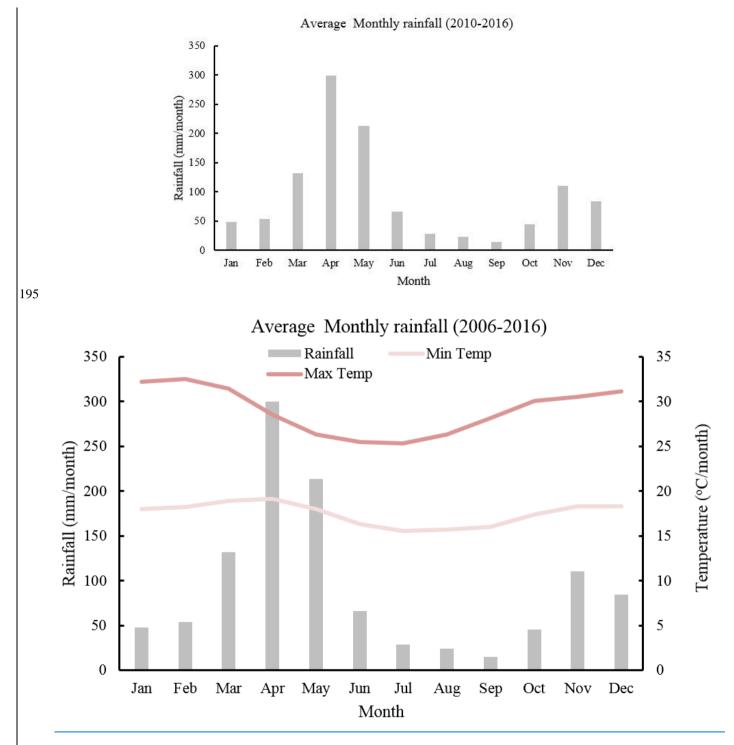


Figure 2. Monthly average rainfall (mm) and temperature of Kikuletwa basin ground rainfall stations

## 2.2 Input dataset for SWAT+

The required rainfall, <u>river</u> discharge, climate data, topography, soil map and land-use map were collected from different sources. The <u>90-m Shuttle Radar Topographic Mission (SRTM)</u> <u>90m resolution</u> Digital Elevation Model (DEM) was obtained from the United States Geological Survey (USGS) website (<u>https://earthexplorer.usgs.gov/</u>); the soil map was extracted from the African Soil Information Service (AFSIS;-<u>(</u>Hengl et al., 2015)<u>Hengl et al., 2015</u>). Daily rainfall records for 10 stations were obtained from the Tanzania Meteorological Agency (TMA) and Pangani Basin Water Office (PBWO). <u>Daily discharge</u> records were obtained from PBWO. The daily climate records of temperature (maximum and minimum) for three stations were obtained from PBWO and TMA. The different data sets had variable record length and quality. However, for the selected 10 rainfall and 4 temperature stations, only good quality data records for the overlapping period (2006 to 2013) were selected.

Our study used an improved LULC maps with local observation unlike other studies in the same catchment such as (Notter et al., 2012; Ndomba et al., 2008). For instance, Notter et al. 2012 used only a few herbaceous crops in model parametrization without a cropping calendar. The LULC maps were created using Landsat 8 (30m resolution) image of three months (March, August and October)

representing three seasons in the basin (Msigwa et al., 2019). The March map represents the LULC during the long-wet season (Masika), the August map represents the dry season, and the October map represents

- 215 the short rainy seasons (Vuli). The overall classification accuracy for the land use maps of March, August, and October 2016 were 85.5%, 88.5%, and 91.6% with a kappa coefficient of 0.84, 0.87 and 0.91, respectively (Msigwa et al., 2019). About 20 and 19 LULC classes in the Kikuletwa catchment were mapped for the wet and dry seasons, respectively. More details on the land use classes and their accuracies are found in Msigwa et al. (2019). The LULC maps were reclassified to match the SWAT land-use
- 220 classification (see Table 3B in Appendix B). For instance, the SWAT land-use code 'PAST' was used to represent grazed grassland in the maps-see Table 3B in Appendix B.

# 2.3 Land-use Trajectories

The LULC change trajectory methodology has been widely applied in many areas to assess LULC change and its impact on the environment. Researchers use trajectories to analyse the change happening between

two images pixel by pixel (Mertens and Lambin, 2000; Swetnam, 2007; Zhou et al., 2008; Wang et al., 2012; Zomlot et al., 2017).

In this study, we extended the meaning of land-use trajectories from 'land-use change' to 'seasonal succession of land-use types for a given sample unit (pixel) with more than two observations at different times' (Zhou et al., 2008). We applied the method in this study to assess the agricultural seasonal dynamics for the meteorological dry and wet seasons of the Kikuletwa basin.

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The land-use change trajectories were obtained by integrating three classified images to represent the three cropping seasons so that pixel-based change trajectories could be found using GIS. A land-use trajectory is the trajectory of a certain pixel in each of the three images. For example, a trajectory of  $2\rightarrow 3\rightarrow 0$  means for that pixel the land-use in March was rain-fed Maize (2), then in August, irrigated mixed crop (3) and finally, in October, Bare land (0). This type of trajectory is classified as dynamic, 235 whereas a trajectory of  $4 \rightarrow 4 \rightarrow 4$  meaning the land-use is irrigated banana and coffee (4) in March, August, and October, is a static trajectory. Thus, the LULC change trajectories were categorized into dynamic and static land-use trajectories. We only implemented the trajectories from all agricultural land-uses except irrigated banana and coffee and irrigated banana, maize and coffee land-uses which were combined as irrigated banana and coffee land-use. About 74% of the trajectories were static while 26% of the 240 trajectories were dynamic. Figure 3 shows the spatial distribution of static and dynamic land-use trajectories found in the study area. Only agricultural land-use and extensive agriculture LULC such as grazed grassland and shrubland were considered when analysing the seasonal changes (dynamic landuses) and implemented in the SWAT+ model. We analyzed and implemented 40 land-use trajectories, Appendix B, Table 1B shows few trajectories that were implemented. 245

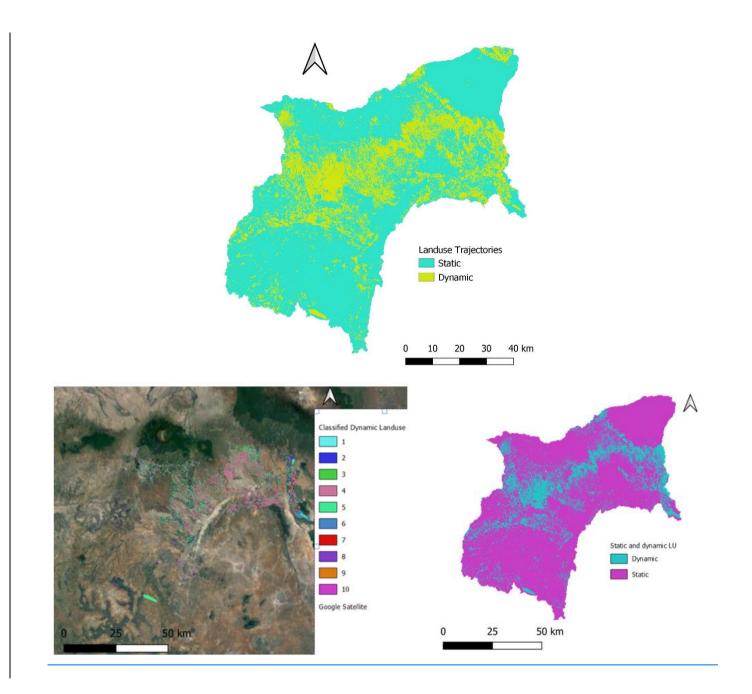


Figure 3. Spatial distribution of <u>main dynamic and static land-use trajectories and distinction</u>
 <u>between dynamic and static land-use</u> identified in the study area.

Id		Main Trajectory	Crop/ vegetation cover meaning
	<u>1</u>	AGRL-BSVG-AGRL	Beans-space vegetation-beans
	<u>2</u>	CORN-AGRL-PAST	Rainfed maize-beans-grassland
	3	CORN-AGRL-BSVG	Rainfed maize-beans-space vegetation
			Irrigated mixed crops- irrigated mixed crops -space
	<u>4</u>	AGRL-AGRL-BSVG	vegetation
	5	CORN-AGRL-AGRL	Rainfed maize- Irrigated mixed crops - Irrigated mixed cro
			Irrigated mixed crops - Irrigated mixed crops - Irrigated
	<u>6</u>	AGRL-AGRL-AGRL	mixed crops
	<u>7</u>	AGRL-AGRL-PAST	Irrigated mixed crops - Irrigated mixed crops -grassland
	8	AGRL-AGRL-PAST	Irrigated mixed crops - Irrigated mixed crops -grassland
	_		Irrigation sugarcane- Irrigated mixed crops - Irrigated mix
	9	SUGC-AGRL-AGRL	crops
	_		Irrigated mixed crops - Irrigated mixed crops - Irrigated
	10	AGRL-AGRL-AGRL	mixed crops

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# 2.4. SWAT+ Model

SWAT+ is a physically based, semi-distributed hydrological model and a restructured version of the Soil and Water Assessment Tool (SWAT) designed to face present and future challenges in water resources modelling and management (Bieger et al., 2017). SWAT+ is more flexible in simulating the basin processes such as evapotranspiration, runoff, crop growth, <u>nutrientnutrient</u>, and sediment transport due to its watershed discretization and configuration. The HRUs are defined as a contiguous area, i.e., a representative field, with an associated user-defined length and width. The actual HRU is calculated based on the DEM, soil and land-use map inputs. Sub basins are delineated during the model construction, but they are divided into water areas and one or more landscape units (LSU)-(Bieger et al., 2017).

Land-use and management representation in SWAT+ can be done through the management file or using decision tables. Decision tables are an accurate yet compact way to model complex rule sets and their corresponding actions (Arnold et al., 2018). Nkwasa et al., (2020) highlighted the greater flexibility provided by decision tables during the representation of agricultural practices in SWAT+. The model

270 gives room for two or more crops growing at the same time by defining the plant community in the specific plant file. The model enables the representation of the reality of cultivated tropical basins.

The ET in the model is estimated at HRU level. There are different methods (Priestley-Taylor, Penman-Monteith and Hargreaves) used to estimate ET in the SWAT+ model. More detailed information can be found in (Abiodun et al., 2017; Neitsch et al., 2002; Alemayehu et al., 2016). Our study adopted the

Hargreaves method (Hargreaves and Samani, 1982) to estimate ET due to the limited amount of input dataset such as solar radiation. The method has been tested to be useful in tropical basins such as the Mara basin linking Tanzania and Kenya, rather than using remote sensing climate data which is associated with uncertainties (Alemayehu et al., 2016). Our aim was to use available ground dayta and not rely on remote sensing climate data such as solar radiation which is reported to have -uncertainties (Alemayehu et al., 2016). SWAT model have also been successfully used in Pangani basin for different purposes (Ndomba et al., 2008; Notter et al., 2012).

# 2.5 Land-use Trajectories Implementation in SWAT+

We combined three land-use maps (March, August and October) to obtain the trajectory land-use map. Forty land-use trajectories were produced from the three seasonal land-use maps. These trajectories differ 285 from the traditional approach as they not only use the agricultural statics but use land use maps to define the space. Then each trajectory was assigned a placeholder-SWAT+ land-use code (placeholder). For instance, a placeholder SWAT+ land-use code 'MIXC' signifies a trajectory CORN-AGRLTOMA-AGRL-TOMA trajectory (rainfed maize to tomato to tomato land use trajectory) or 'MIGS' signifies a CORN  $\rightarrow$  AGRL-TOMA  $\rightarrow$  BSVG trajectory (rainfed maize to tomato to sparse vegetation land use trajectory) as shown in Ttable 1B-of (aAppendix B). Further, the 290 SWAT + dynamic model was set up with the A trajectory land-use map represented with the placeholder SWAT+ land-use codes using the lookup Table 1B (Appendix B) for Kikuletwa basin was created.

The final model was then implemented by assigning each placeholder SWAT+ land-use codes according to its respective trajectory using the lookup Table 1B, in Appendix B. A python code (Appendix A) was used to first-assign trajectories of the placeholder SWAT+ land-use codes, and to create the trajectories' management files <u>i.e.i.e.</u>, <u>such as</u>-'landuse.lum', 'management.sch' and 'hru-data.hru' files. In the 'Landuse.lum' file, the trajectories were defined with respect to the plant community. 'Management.sch' file controls the timing of the planting and harvesting of the individual crops in the community (Table 1). For instance, the tomato and soya beans are planted in the same field with different planting and harvesting scheduleschedule but grown at the same period. However, each crop was defined by its own plant community in new SWAT+ to make distinction between these crops. The 'hru-data.hru' file links the HRUs to the corresponding land-use management. The irrigation schedules were implemented using decisions tables. The sources of irrigation water in the catchment was river and irrigation techniques were mostly furrow. The irrigation schedules were implemented using decisions tables.

305	Table 1. An example of a	'management.sch'	file input in d	vnamic SWAT+ model

name	numb_ops <sup>9</sup>	numb_auto <sup>10</sup>	op_typ <sup>11</sup>	Mon <sup>12</sup>	Day <sup>13</sup>	hu_sch <sup>14</sup>	op_data1*	op_data2*	op_data3*
cor_agr_agr_m1	8	2							
			irr_toma_soy2						
			irr_corn <sup>2</sup>						
			plnt <sup>3</sup>	3	15	0	corn <sup>5</sup>	grain <sup>8</sup>	0
			hvkl <sup>4</sup>	8	15	0	corn	grain	1
			plnt	7	1	0	soyb <sup>6</sup>	grain	2
			plnt	8	20	0	toma	null	3
			hvkl	10	1	0	soyb	grain	4
			hvkl	10	20	0	toma <sup>7</sup>	null	5
			plnt	10	30	0	corn	grain	6
			hvkl	2	28	0	corn	grain	7
agr_agr_agr_m <sup>1</sup>	8	2							
			irr_toma_soy <sup>2</sup> irr_corn <sup>2</sup>						
			plnt	3	15	0	soyb	grain	0
			hvkl	6	30	0	soyb	grain	1
			plnt	7	1	0	soyb	grain	2
			plnt	8	20	0	toma	null	2 3
			hvkl	10	1	0	soyb	grain	4
			hvkl	10	20	0	toma	null	5
			plnt	10	30	0	corn	grain	6
			hvkl	2	28	0	corn	grain	7

<sup>1</sup> name of the land-use management, <sup>2</sup> points to the irrigation decision tables, <sup>3</sup> planting operation, <sup>4</sup> harvesting operation, <sup>5</sup> rainfed maize, <sup>6</sup> soy bean, <sup>7</sup> tomato, <sup>8</sup> harvest the grain portion of the crop, <sup>9</sup> number

of operations, <sup>10</sup> number of auto-operations, <sup>11</sup> operation type, <sup>12</sup> month, <sup>13</sup> day, <sup>14</sup> heat unit schedule, \* 310 operations

# 2.6 Model Configuration for both Static and Dynamic SWAT+ Models

The SWAT+ model was setup using DEM, soil map and land-use map of March 2016 for the static representation scenario (sStatic model) and using a trajectory map and files (described in section 2.5) for the dynamic representation scenario (dynamic Model). In the static model the crops were grown in the rain seasons from March till July and the land would be left bare. This is normally the case with most SWAT model Application in SWAT (Ndomba et al., 2008; Gashaw et al., 2018; Koch et al., 2012). The same ground observations of rainfall and temperature were used (Appendix C, Table 1C) for both models. The precipitation stations were adjusted manually according to elevation and the potential maximum leaf area index of maize was adjusted to correspond to the field measurements of the basin. USDA Soil
Conservation Service (SCS) curve number was used to estimate surface runoff and first order markov

chain for rainfall distribution and the muskingum method used for channel routing.

For the static SWAT+ model, 23 sub-basins, 171 land scape units and 6086hru were generated with 14 land-use classes, while for the dynamic SWAT+ model, 23 sub-basins, 171 land scape units and 9333hru were generated with 40 land use classes representing the 40 different trajectories. The difference in the number of HRUs is related to the higher number of land-use classes in the dynamic land-use mapping. The irrigation schedules were implemented through decisions tables (Arnold et al., 2018) by specifying a furrow irrigation method and using the rivers within the sub-basins as the source of irrigation. The model was run from for a period of 8 years (20068 to 2013). The year 2006 and 2007 first two years were used as a warm up period. was a warming period.

# 330 2.7 Model Evaluation

Both the static and dynamic SWAT+ models were compared on how they simulate the water balance and with specific focus on the ET component since evapotranspiration estimations as the focus of this study isaims at mainly to improve improving the spatial distribution of blue and green water consumption. -and

not discharge simulation. is to estimate the land use fluxes to estimate blue and green water consumption.

- 335 <u>Hence, the SWAT+ models waswere not calibrated.</u> The ET from both static and dynamic SWAT+ representation scenarios at basin level werewas compared with the remote sensing ET at a basin level for the same simulation period from 2008 to 2013. The remote sensing ET is an ensemble ET product from six-seven existing global scale ET products (IHE Delft, 2020)(IHE Delft, 2020). All the ET products are based on multi-spectral satellite measurements and surface energy balance models i.e.; Global Land
- 340 Evaporation Amsterdam Model (GLEAM) (Miralles et al., 2011), CSIRO MODIS Reflectance-based Evapotranspiration (CMRS-ET) (Guerschman et al., 2009), Operational Simplified Surface Energy Balance (SSEBop) (Senay et al., 2013), Atmosphere-Land Exchange Inverse Model (ALEXI) (Anderson et al., 2007), Surface Energy Balance System (SEBS) (Su, 2002), ETMonitor (Hu and Lia, 2015) and MODIS Global Terrestrial Evapotranspiration Algorithm (MOD16) (Mu et al., 2011). The ET maps
- <sup>345</sup> produced by IHE Delft have a resolution of 250x250m at a monthly scale. The detailed information on the ET products description and method are found in (Hugo et al., (2019). The product was evaluated for the study area by comparing the basin water balance at three gauged stations; Karangai, Kikuletwa Power station and Tanzania Plantation Company (TPC) over a period of six years (2008-2013). The comparison of ET calculated using the water balance and remote sensing showed good agreement (NSE= 0.77) for
- Kikuletwa Power station which covered 86% of the total basin area (Msigwa et al., 2019, 2021)(Msigwa et al., 2019). Statistical metrices such as Nash-Sutcliffe efficiency (NSE), Root Mean Square Error (RMSE), Percent Bias (PBIAS) and adjusted R squared (R<sup>2</sup>) were used to evaluate the both monthly ET from static and dynamic SWAT+ models against the remote sensing ET. Moreover, the Paired T-test statistical analysis was performed to find if there is significant difference between the ET from the static model and that of dynamic model for only the dynamic land uses. NSE is a normalized statistic that determines the relative magnitude of the residual variance ("noise") compared to the measured data

$$NSE = 1 - \begin{bmatrix} \frac{\sum_{i=1}^{n} (Y_{i}^{\Theta bs} - Y_{i}^{Sim})^{2}}{\sum_{i=1}^{n} (Y_{i}^{\Theta bs} - Y_{i}^{mean})^{2}} \end{bmatrix}$$
(1)

RMSE is one of the commonly used error index statistics in many models (Kiptala et al., 2014; Jia et al.,
2012; Yang et al., 2016; Miralles et al., 2011). RMSE is computed as shown in Eq. (2):

$$RMSE = \left[ \sqrt{\sum_{i=1}^{n} (Y_i^{obs} - Y_i^{sim})^2} \right]$$
(2)

PBIAS measures the average tendency of the simulated data to be larger or smaller than their observed data (Gupta et al., 1999). The ideal value of PBIAS is 0.0, with low magnitude values indicating accurate model simulation. Positive values indicate model underestimation bias, and negative values indicate model overestimation bias (Gupta et al., 1999). PBIAS is calculated with Eq. (3):

$$PBIAS = \begin{bmatrix} \frac{\sum_{t=1}^{n} \left( \frac{y \, obs}{t} - \frac{y \, sim}{t} \right)}{\sum_{t=1}^{n} \left( \frac{y \, obs}{t} \right)} * 100 \end{bmatrix}$$
(3)

R<sup>2</sup>-describes the degree of collinearity between simulated and measured data. It describes the proportion of the variance in measured data explained by the model. R<sup>2</sup> ranges from 0 to 1, with higher values indicating less error variance, and typically values greater than 0.5 are considered acceptable (Santhi et al., 2001). For more details of statistical matrices, see Moriasi et al., (2007).

## 2.8 Estimating blue and green ET

The blue ET is a portion of crop evapotranspiration after application of irrigation while green ET is the evapotranspiration as a result of rainfall. The blue ET in this study was estimated as a difference between ET under irrigation and ET without irrigation (Liu and Yang, 2010). The SWAT+ dynamic land-use implementation was run without irrigation and then later irrigation was applied. The green ET is the actual evapotranspiration from precipitation which can be kept in unsaturated soil and absorbed by plants and is then returned to the atmosphere via evapotranspiration. In this study, only the portion of blue water consumed from irrigation was considered and not all the blue water resources like other studies (Xie et al., 2020).

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The SWAT+ model was run first assuming that no irrigation was carried out. The computed ET is called  $ET_{green}$ . Then the SWAT+ model was run again with irrigation being implemented and the ET computed is called  $ET_{total}$  as explained in the two scenarios below.  $ET_{blue}$  is computed by the difference of  $ET_{total}$  from the run with irrigation implantation and  $ET_{green}$  "Eq. (4)".

385 The two scenarios to estimate blue ET

- 1. The seasonal dynamic SWAT+ is carried out by assuming the soil does not receive any irrigation water. The evapotranspiration computed using this first run is referred to as ET<sub>green</sub>
- 2. The seasonal dynamic SWAT+ is carried out by assuming the soil receives sufficient irrigation water. The evapotranspiration computed using this second run is referred to as ET<sub>total</sub>
- 390 Hence,  $ET_{blue}$  is computed from the "Eq. (4)" below

 $ET_{blue} = ET_{total} - ET_{green} \tag{4}$ 

It should be noted that the trajectory implementation involves only two of the agricultural land-uses i.e. rainfed maize and mixed crop with exception of irrigated banana and coffee land-use and irrigated banana, coffee and maize land-use.

# 395 <u>2.9 Comparison of SWAT+ results with other remote sensing methods</u>

The SWAT+ blue and green ET were compaired compared with the results from the four remote sensing data based methods namely: SN (Senay et al., 2016), EK (van Eekelen et al., 2015), Budyko method (Simons et al., 2020) and Soil Water Balance method -SWB (FAO and IHE Delft, 2019).

The SN method (Senay et al., 2016) is the simplest method whereby blue water is estimated as a difference
 between precipitation (P) and ET, followed by the modified method of van Eekelen et al., (2015) where
 the effective fraction was introduced to reduce the amount of precipitation that evaporates. The Budyko
 method, as described in Simons et al., (2020), estimates green water from precipitation using an empirical
 relationship between actual evapotranspiration, precipitation and reference evapotranspiration. The
 Budyko equation, also called the Budyko curve, assumes a relationship between the evaporation ratio
 (ET/P) and climate aridity index (ETo/P) to describe the water-energy balance for long term analysis.

The soil moisture balance model computes green (ETgreen) and blue (ETblue) water components of ET, by keeping track of the soil moisture balance and determining whether ET can be satisfied through direct precipitation and precipitation stored as soil moisture alone or if an additional water (surface or groundwater supply) is required. The study compares blue and green water estimations for all LULC

410 classes for the Kikuletwa catchment. Further detailed description of each method is presented below.

# **3. Results**

# 3.1 Comparison of Simulated basin ET from Remote Sensing

Figure 4 shows the average monthly ET at <u>the</u> basin scale of Kikuletwa for the two model scenarios of SWAT+ and that from remote sensing. The dynamic SWAT+ model shows higher ET (by 20mm/month)
matching <u>the</u> remote sensing pattern in the dry seasons (July to October) than the static SWAT+ model implementation. This shows that there are agricultural activities occurring in the dry seasons. In the dynamic SWAT+ model, we implemented <u>irrigation\_irrigated cropping\_during\_the</u> dry seasons on the growing crops, which led to an increase in ET.

The statistical analysis (Table 2) shows that both the SWAT+ simulations have a correlation (R<sup>2</sup>) of above
0.5, when compared with <u>monthly</u> remote sensing <u>monthly</u> ET. However, the monthly average ET value for the dynamic land-use scenario is closer to the remote sensing ET, especially during the dry months from July to November where we implement more than one cropping season.

Unlike the commonly used static land-use scenario where only one cropping season was implemented per year, the monthly ET for the dynamic SWAT+ model implementation shows acceptable PBIAS of 13%

425 whereas, the static SWAT+ model shows higher PBIAS of 30%. Moreover, the dynamic SWAT+ model shows a good NSE of 0.4 while the static SWAT+ shows very low performance with an NSE of -0.46.

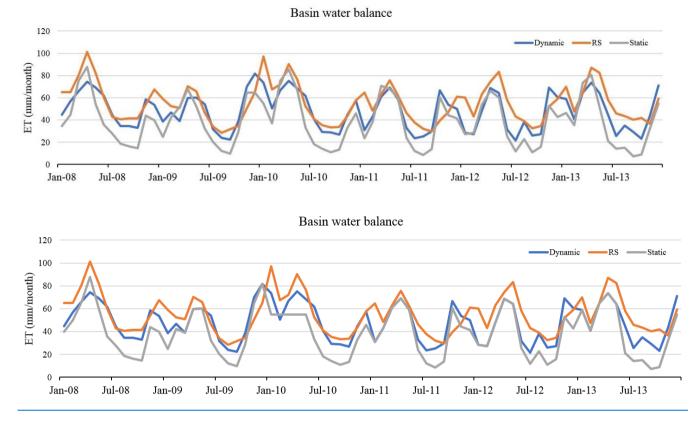
Table 3 shows the water balance component for the two scenarios. <u>The A</u> notable difference is seen in ET increase (24%) and decrease in other water balance components (lateral flow; 27%, percolation; 42%, surface runoff; 32%). The mass balance (change in soil water balance) in percentage for the static SWAT+ model is higher (1.8%) than the dynamic SWAT+ model (0.5%). The most pronounced differences are

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found when comparing the dynamic land-use representation on basin scale and the commonly used static land-use approach with remote sensing. Figure 5 shows the spatial distribution of ET from remote sensing, dynamic land-use and static land-use representation.

The average basin ET is 461mm/y, 573mm/y and 642 mm/y for the static SWAT+ model, dynamic SWAT+ model, and remote sensing, respectively. Generally, all the simulated ET from SWAT+ shows lower annual average ET than remote sensing ET. However, the ET from static land-use representation shows a higher difference of 181mm/y whereas with the use of dynamic land-use, the difference in ET is only 69mm/y. The paired T-test results show that there is a significant difference between the ET from the static model and that of the dynamic model for the dynamic land-uses. A P value of 0.013 was obtained, which was less than the 0.05 confidence interval. Spatial distribution of ET from the SWAT+ models is different from remote sensing. However, visually, the spatial distribution of ET from the dynamic land-use scenario is closer and shows similar patches to remote sensing than the ET from the static land-use scenario (Figure 5).

The differences in ET spatial distribution (Figure 5) are vivid mostly in the trajectory implemented areas in the lowlands see Figure 3. Figure 6 shows the ET on the dynamic land-uses alone, the differences of the amount of the ET in these areas is more than 100mm per year. The vivid differences are seen on the right lower corner of the catchment where the differences in ET are more than 200mm/y. There are more areas with less that 400mm/y in the static model as compared to the dynamic model. However, the pattern of ET in SWAT+ is also influenced by the rainfall pattern. Likewise, the changes seen in the high land areas of irrigated banana and coffee and the forested areas might be due to the increase in the number of HRUs in the dynamic SWAT+ model that contributed to the more accurate results.



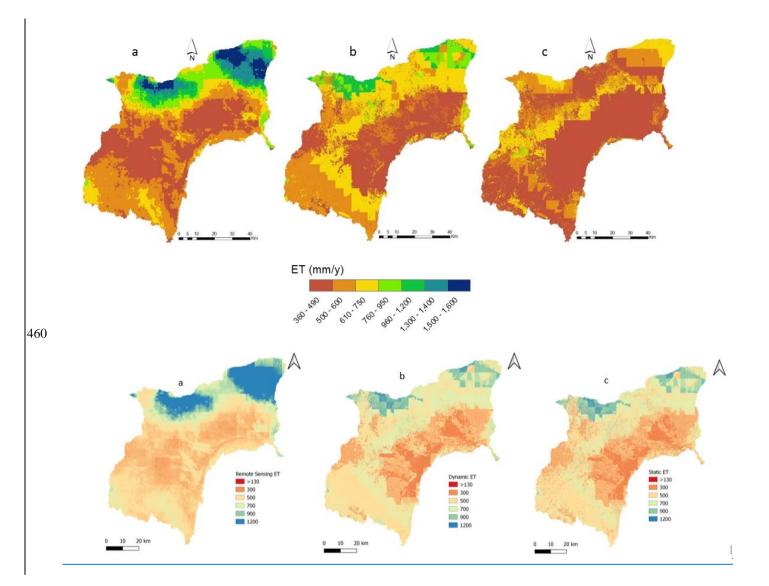
**Figure 4.** Average monthly ET for basin-scale summarized from remote sensing, dynamic land-use scenario and static land-use scenario.

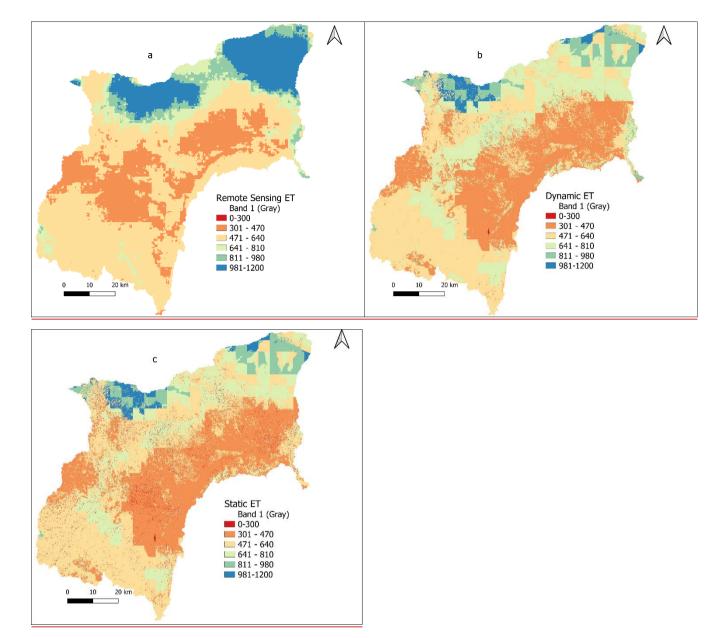
Statistic Parameter	Static SWAT+	Dynamic SWAT+
PBIAS	30%	13%
Nash-Sutcliffe efficiency (NSE)	-0.46	0.4
Adjusted R Square	0.6	0.6
RMSE (mm/month)	20.8	13.3

Table 2. Statistical analysis of ET comparison of SWAT scenarios from Remote sensing

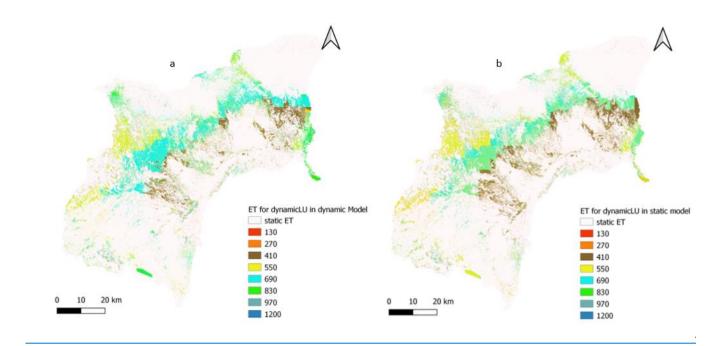
Table 3. Comparison of water balance component for the basin level

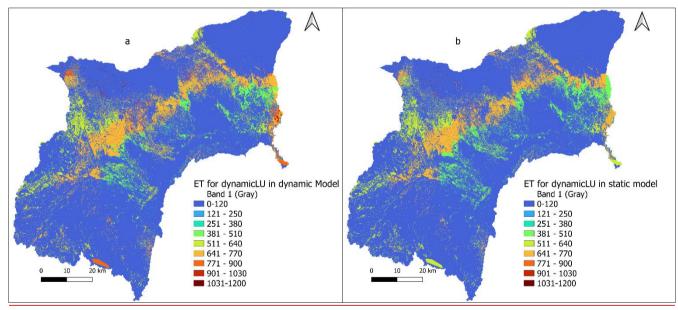
Water balance component (mm)	Static	Dynamic
Precipitation	814	814
Irrigation	0	8.25
Evapotranspiration	461	573
Lateral flow	139	101
Surface runoff	207	140
Percolation	21.7	12.6
% mass balance	1.8	0.53





**Figure 5**. Spatial distribution of ET from a) Remote sensing b) dymanic land-use scenario and c) static land-use scenario.



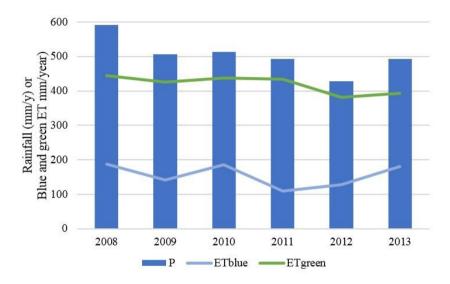


**Figure 6**. Spatial distribution of ET from dynamic Land-use for both a) dynamic and b) static SWAT+ Models.

# 470 3.2 Blue and Green ET

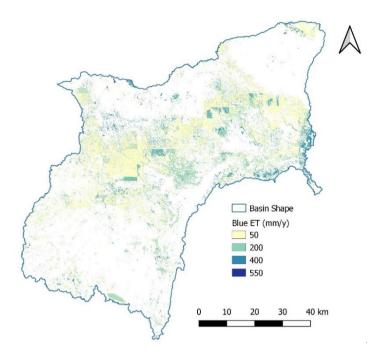
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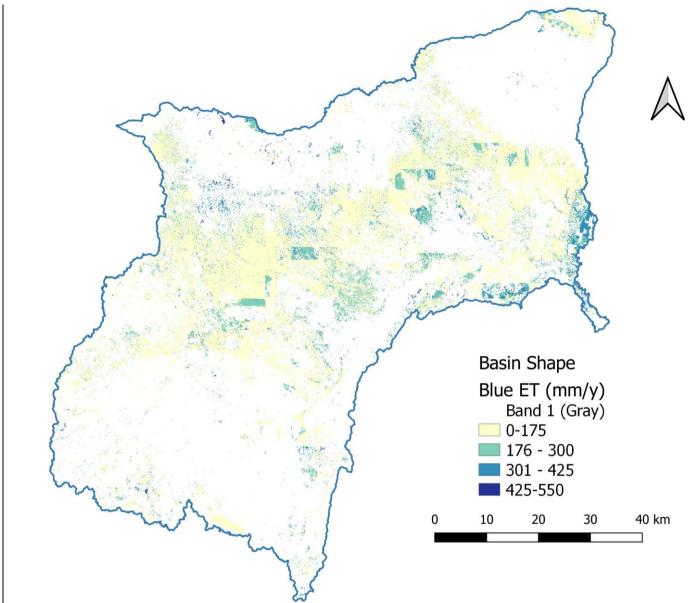
Figure 6-7 shows the trends of blue and green <u>annual ET in the Kikuletwa basin for <del>annual (Figure 6)</del> and from <u>a period from</u> 2008 to 2013. The implemented blue and green ET were mainly for irrigated mixed crop land-use due to implementation of trajectories. The annual average blue ET for irrigated mixed crops is 138mm which accounts for 25.5% of the annual average total ET and the annual average green ET is 402mm which accounts for 74.5% of the annual average total ET.</u>



**Figure 67.** The annual variation of blue and green ET for from 2008–2013.

Figure 7-8 shows that the spatial distribution of blue ET for agricultural areas in the Kikuletwa basin for implemented trajectories such as rainfed maize to tomato to irrigated maize land use trajectory. (See
480 Appendix 2, Table 2). The blue water is calculated from the irrigated implemented trajectories that mainly include irrigated mixed crops (soybeans, tomato and irrigated maize). Figure 7-8 shows that more than half of the total area consumes less than 200mm of blue ET. The higher blue ET is seen in the lower right corner where the irrigated sugarcane plantation is found.





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Figure 78. Spatial distribution of Blue ET for the implemented trajectories of rainfed and irrigated mixed crops land-use.

Figure 8–9\_shows the comparison of average blue and green ET from four methods (Msigwa et al., 2021)(Msigwa et al 2021 forthcoming) with dynamic SWAT+. The value of both blue and green ET is closer to two methods, EK (van Eekelen) and SWB (Soil Water Balance) methods, which were indicated

to have realistic values of blue and green ET. Van Eekelen et al., (2015) is the method that analysed <u>precipitation (P)</u> and <u>ET, andET and</u> applied an effective rainfall factor since not all rainfall will infiltrate and be stored in the unsaturated zone to be available for uptake by plants. Both ground data and remote sensing data could be used for data analysis-based approaches on an annual basis. The SWB model is a pixel by pixel vertical soil water balance model that splits green and blue ET by tracking of soil moisture balance and determining if the ET is satisfied only from rainfall or stored in the soil moisture or additional sources if required (FAO and IHE Delft, 2019).

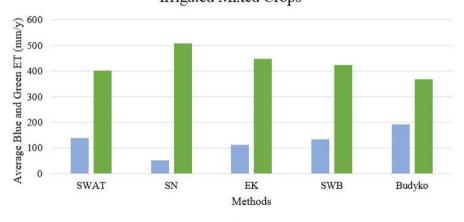






 Figure 89. Blue and green ET comparison with other four methods from forthcoming publication by

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 Msigwa et al., (2020)(Msigwa et al., (2021)).

# 4. Discussion

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Some previous studies have represented annual land-use changes in SWAT and found that these have a significant impact on hydrology (Wagner et al., 2016; Woldesenbet et al., 2017; Wagner et al., 2019)(Wagner et al., 2016, 2019; Woldesenbet et al., 2017). However, none of these studies has represented the seasonal dynamics of land use within a single year in a spatially distributed manner. Nkwasa et al., (2020) incorporated the seasonal land-use dynamic in SWAT and SWAT+ and found that models led to an improved vegetation simulation. This study did not show how the seasonal land-use

dynamic improved water balance component such as ET. Our study <u>uses of agro-hydrological model</u> (SWAT+) to represent blue and green ET for different cropping seasons (represented by trajectory with

- time and space) and the use of remote sensing ET to evaluate the simulated ET from SWAT+. The study has compared a common default modelling approach where a static land-use map is used together with its management practices and a seasonal dynamic land-use representation where more than one cropping cyclecropping season is represented in a year. The spatial and temporal ET estimates from two model setups were compared with remote sensing ET. An increase of 112mm/y of the ET is seen when seasonal dynamic land-use is implemented in the dynamic model to match the remote sensing ET as compared to
- when a static land-use map is used in the static model. The ET results from the dynamic model are significantly differencet from the ET in the static model for the dynamic land-use. The models show differences in water balance components, this is due to implementation of the land-use trajectory in the dynamic model.
- A remarkable difference is seen in the spatial distribution of ET from static and dynamic land-use SWAT+ representation. The dynamic land-use SWAT+ visually is similar to a remote sensing map compared to the static land-use SWAT+. This is because of the added management practices such as irrigation irrigated cropping in the dry seasons, unlike the default SWAT+ where there were no activities in the dry seasons with a static land use throughout the simulation period. The ET from dynamic land-use setup could not reach maximum satellite ET because the satellite ET estimates also have uncertainties in the mountainous areas because of the presence of cloud cover. Moreover, different <u>-datamethods for</u>
- estimating ET could lead to these differences. RainfallClimate ground stations (temperature, wind speed, relative humidity and solar radiation) were used for ET simulation in SWAT+ model while the remote sensing use the energy balance models, mostly remote sensing data.
- 530 On the other hand, the ET from the static land cover such as forest from the static and dynamic model setup show different ET values this could be because of the difference in the initial model setup. The model setup for static used a March land use map with only 14 land use classes, while the dynamic model used a land use map with 40 trajectories. Hence, the changes in the ET might be due to the different land

<u>use maps yielding different number of HRUs. In order to avoid such difference, one could have a initial</u> 535 setup with same land uses then trajectory implementation could only be with the agricultural land use

Further<u>more</u>, the ET estimates from the dynamic SWAT+ model were used to estimate blue and green ET. The blue and green ET estimates from SWAT+ for the mixed crop land-use show no significant difference in the values from the two methods (EK and SWB) assessed in the\_(Msigwa et al., 2021). upcoming paper by Msigwa et al., (2021).

- These findings demonstrate the importance of the representation of seasonal land-use dynamic in modelling hydrological models when quantifying blue and green water consumption. Normally, most models use NDVI to represent seasonal changes (Amri et al., 2011; Ferreira et al., 2003), whereas the use of dynamic land-use leads to improved accuracy of seasonal simulations of the water uses (Nkwasa et al., 2020). Seasonal land-use maps can add information on management practices of changes in temporal crop
- 545 rotation and irrigation water use at a spatial scale. However, to account for accurate seasonality of landuse, more than 3 maps within a year should be represented, ideally 12 maps each year. This would enable a more complete understanding of the agricultural land-use classes and minimize errors in the trajectory analysis. <u>However, Landsat 8 is associated with cloud most especially in the rainy season. Cloud masking techniques is needed before further analysis of the images. Also, there were uncertainties associated with</u>
- 550 <u>the trajectories for example unrealistic trajectories like change from crop to forest then crop again. These</u> <u>types of trajectories were corrected and reclassified.</u>

The Landsat 8 images used in this study to map seasonal land-use dynamics did not have a revisit time (16-day) that is small enough to acquire an adequate number of monthly images to represent the year. More products are now becoming available (Sentinel-2, 5-day revisit time) that have a higher temporal method.

resolution, which would aid in the collection of more cloud free images to represent seasonality within the year.

Although it appears important to include seasonal land use dynamic, one may claim that the annual landuse implementation is enough when studying the effect of land use in hydrology. Our study shows a significant impact of the representation of seasonal land-use in the SWAT+ model by reducing the errors 560 in water consumption estimations.

# **5.** Conclusion

Understanding of the spatial-temporal variability of agricultural water consumption in terms of blue water, requires accurate estimates of ET. This study has demonstrated the importance of incorporating seasonal land-use dynamic to improve simulated ET for further blue and green ET estimates in-using a SWAT+<u>model</u>. Although the static representation gives equally reasonable  $\frac{1}{2}$  good R<sup>2</sup> results of more than 565 0.5, we found out that the RMSE for the static model result is significantly higher as compared to the RMSE of the dynamic seasonal-model result by about 112 mm per year. Moreover, the ET from the dynamic SWAT+ model gave a low a-PBIAS of (13%) and an relatively good NSE of 0.4 compared to the ET from static SWAT+ that gives a higher PBIAS (20.8%) and a very low negative NSE of -0.46. The study showed that a dynamic land use representation in the SWAT+ model gave a closer comparison 570 to ET estimates closer to the remote sensing ET as compared to the default model with a static land-use representation. The improved ET maps with calculated blue water use from the dynamic SWAT+ model improved the blue ET estimates as compared to use of static ET maps that does not implement irrigation in dry season. Hence, estimated blue ET correspond to the known irrigated area and the calculated to the blue water ET amount is in lineconforms with previous of past studies study done in the basin (Msigwa et 575 al., 2021). It is concluded that the representation of seasonal land use dynamics is essential to correctly simulate the agricultural (blue and green) water consumption. Also, for land use change studies, it is important to correctly represent the seasonal land use dynamics.

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## Appendices

705

Appendix A. Make Management Script

```
import sys
715 from PIL import Image
import numpy as np

def open_tif_as_array(tif_file):
    im = Image.open(tif_file)
720 imarray = np.array(im)
```

```
def empty line():
         print("")
725
     def write to(filename, text to write, report = False):
         a function to write to file
         1.1.1
730
         g = open(filename, 'w')
         try:
             g.write(text to write)
             if report:
                 print('\n\t> file saved to ' + filename)
735
         except:
             print("\t> error writing to {0}, make sure the file is not open in another program"
     .format(
                 filename))
             response = input("\t> continue? (Y/N): ")
             if response == "N" or response == "n":
740
                 sys.exit()
         g.close
     def show progress(count, end val, string before = "percent complete", string after = "", ba
745 r length = 30):
         percent = float(count) / end val
         hashes = "#" * int(round(percent * bar length))
         spaces = ' ' * (bar length - len(hashes))
         sys.stdout.write("\r{str_b} [{bar}] {pct}% {str_after}\t\t".format(
750
             str b = string before,
             bar = hashes + spaces,
             pct = '{0:.2f}'.format(percent * 100),
             str after = string after))
         sys.stdout.flush()
755
     def read from(filename):
         a function to read ascii files
         \mathbf{r} = \mathbf{r}
760
         try:
             g = open(filename, 'r')
         except:
             print("\t> error reading {0}, make sure the file exists".format(filename))
             return
765
         file text = g.readlines()
         g.close
         return file text
```

```
class schedule data:
770
        def init (self, crop name):
             self.crop name = crop name
             self.oct plant = ""
             self.oct harvest = ""
             self.aug plant = ""
775
             self.aug harvest = ""
             self.mar plant = ""
             self.mar harvest = ""
    base txt = "C:/Users/james/Desktop/root/anna/new/new swat plus model/kikuletwa/Scenarios/De
780
    fault/TxtInOut"
     inputs path = "trajectory files"
    # read trajectory data
    trajectories = open tif as array({''base}/{fn}'', format(base = inputs path, fn = "trajectory")
    map thres.tif"))
785
    legend raw = read from("{base}/{fn}".format(base = inputs path, fn = "trajectory lookup fin
     al.csv"))
     dates raw = read from("{base}/{fn}".format(base = inputs path, fn = "crop plant harvest.csv
     "))
790
    landuse lum raw = """landuse.lum: created for trajectories
     name
                                  cal group
                                                     plnt com
                                                                             mgt
                                                                                               cn
     2
                                                       urb ro
                                                                                             tile
               cons_prac
                                     urban
                                                                        ov mann
                                      vfs
                                                       grww
                                                                           bmp
                    sep
     .....
795
     plant_ini_raw = """plant.ini: created for trajectories
                        plt cnt rot yr ini plt name lc status
     pcom name
                                                                      lai init
                                                                                     bm init
     phu_init
                    plnt pop
                                  yrs init
                                                 rsd init
     n'n n
800
    management_raw = """management.sch: created for trajectories
     name
                                numb ops numb auto
                                                                                       dav
                                                                op_typ
                                                                             mon
                                        op data2
     hu sch
                      op data1
                                                      op data3
     805
    landuse lum = landuse lum raw
    plant ini = plant ini raw
    trajectories_dictionary = {}
810 # trajectory hru lum dict = {}
    crop schedule dictionary = {}
    month_dictionary = {'': "None", "Jan": "1", "Feb": "2", "Mar": "3", "Apr": "4", "May": "5",
     "Jun": "6", "Jul": "7", "Aug": "8", "Sep": "9", "Oct": "10", "Nov": "11", "Dec": "12"}
815 for line in dates raw[1:]:
         parts = line.split(",")
```

```
crop schedule dictionary[parts[0].lower()] = schedule data(parts[0])
         crop schedule dictionary[parts[0].lower()].oct plant = "{0}".format(parts[5]).strip("\n
     ")
820
         crop schedule dictionary[parts[0].lower()].oct harvest = "{0}".format(parts[6]).strip("
     \n")
         crop schedule dictionary[parts[0].lower()].aug plant = "{0}".format(parts[3]).strip("\n
     ")
         crop schedule dictionary[parts[0].lower()].aug harvest = "{0}".format(parts[4]).strip("
825
    \n")
         crop schedule dictionary[parts[0].lower()].mar plant = "{0}".format(parts[1]).strip("\n
     ")
         crop schedule dictionary[parts[0].lower()].mar harvest = "{0}".format(parts[2]).strip("
     \n")
830
    for line in legend raw[1:]:
        trajectories dictionary[line.split(",")[1].lower()] = line.split(",")[2].strip("\n").lo
    wer()
    growing list = ["FRST", "BANA", "SHRB", "SUGC"]
835
    for crop name in trajectories dictionary:
         # create lum
         parts = trajectories dictionary[crop name].split("-")
         com mgt prefix = \{0\} \{1\} \{2\}".format(parts[0][:3], parts[1][:3], parts[2][:3])
840
         com mgt prefix = com mgt prefix.lower()
         if True: \#not ((parts[0] == parts[1]) and (parts[0] == parts[2])):
             line = "{lum t}
                                                  null
                                                               {plt comm} {mgt}
                                                                                        rc strow g
            cross slope
                                                        null
                                                                convtill nores
                                     null
                                                                                             null
                                                                         null \n".format(
845
                  null
                                    null
                                                       null
                 lum t = trajectories dictionary[crop name].lower().replace("-", " "),
                 plt comm = "{0} c".format(com mgt prefix),
                 mgt = "{0} m".format(com mgt prefix),
             )
850
             landuse lum += line
             # print(trajectories_dictionary[crop_name])
         # create comm
         comm = "{comm n} c
                                         //no
                                                       1 n^{"}.format(comm n = com mgt prefix)
855
         plt count = 0
         done = []
        for plt in parts:
             if plt == "AGRL":
                 for agrl crop in ["TOMA", "CORN", "SOYB"]:
                     if not agrl crop.lower() in done:
860
                         if plt in growing_list:
                             grow ini = "y"
                         else:
                             grow ini = "n"
865
                         plt count += 1
```

```
comm += "
                                                                              {agrl crop}
         {growing}
                         0.00000
                                        0.00000
                                                      0.00000
                                                                     0.00000
                                                                                   0.00000
                                                                                             10000
     .00000 \n".format(agrl crop = agrl crop.lower(), growing = grow ini)
                         done.append(agrl crop)
870
                 continue
             if not plt.lower() in done:
                 if plt in growing list:
875
                     grow ini = "y"
                 else:
                      grow_ini = "n"
                 plt count += 1
                 comm += "
                                                                      {plt 1}
                                                                                          {growing
880
             0.00000
                           0.00000
                                          0.00000
                                                        0.00000
                                                                       0.00000
                                                                                 10000.00000 \n".
    }
    format(plt_l = plt.lower(), growing = grow_ini)
                 done.append(plt)
         comm = comm .replace("//no", str(plt count))
885
         plant ini += comm___
         # create management
         schedule name = "{0} m".format(com mgt prefix)
         number of manual ops = 0
890
         number of auto ops = 0
         done 2 = []
         management_section_head = "{mgt_name}
                                                                          {number manual}
895
      {number auto}
         management_section_body = ""
         counter_mgt = 0
         for plant_index in range(0, 3):
900
             date_day_plant = None
             date_mnt_plant = None
             date_day_harvest = None
905
             date mnt harvest = None
             agrl list = []
             if plant index == 0:
                 agrl list = ["soyb"]
910
             if plant index == 1:
                 agrl_list = ["soyb", "toma"]
             if plant index == 2:
```

915

```
if parts[plant index] == "agrl":
                 for agrl crop mgt in agrl list:
                     if plant index == 0:
920
                         date day plant = crop schedule dictionary[agrl crop mgt].mar plant.spli
    t("-")[0]
                         date mnt plant = crop schedule dictionary[agrl crop mgt].mar plant.spli
    t("-")[1]
                     if plant index == 1:
925
                         date day plant = crop schedule dictionary[agrl crop mgt].aug plant.spli
    t("-")[0]
                         date mnt plant = crop schedule dictionary[agrl crop mgt].aug plant.spli
    t("-")[1]
                     if plant index == 2:
930
                         date day plant = crop schedule dictionary[agrl crop mgt].oct plant.spli
    t("-")[0]
                         date mnt plant = crop schedule dictionary[agrl crop mgt].oct plant.spli
    t("-")[1]
935
                     management body line = "
              {activity}{mnt}{day}
                                         0.00000
                                                               {crp}
                                                                                  null
                                                                                              {ord
     er}.00000 ".format(
                         activity = "plnt",
                         mnt = month dictionary[date mnt plant].strip(" ").rjust(10),
940
                         day = date day plant.rjust(10),
                         crp = agrl crop mgt.lower(),
                         order = counter mgt,
                     )
                     management section body += "{0}\n".format(management body line)
945
                     counter mgt += 1
                 for agrl crop mgt in agrl list:
                     if plant index == 0:
                         date_day_harvest = crop_schedule_dictionary[agrl_crop_mgt].mar_harvest.
    split("-")[0]
950
                         date mnt harvest = crop schedule dictionary[agrl crop mgt].mar harvest.
     split("-")[1]
                     if plant index == 1:
                         date day harvest = crop_schedule_dictionary[agrl_crop_mgt].aug_harvest.
     split("-")[0]
                         date mnt harvest = crop schedule_dictionary[agrl_crop_mgt].aug_harvest.
955
    split("-")[1]
                     if plant index == 2:
                         date day harvest = crop schedule dictionary[agrl crop mgt].oct harvest.
    split("-")[0]
960
                         date mnt harvest = crop schedule dictionary[agrl crop mgt].oct harvest.
    split("-")[1]
```

```
management body line = "
               {activity}{mnt}{day}
                                                                                    null
                                          0.00000
                                                                {crp}
                                                                                               {ord
965 er}.00000 ".format(
                          activity = "hvkl",
                          mnt = month_dictionary[date_mnt_harvest].strip(" ").rjust(10),
                          day = date day harvest.rjust(10),
                          crp = agrl_crop_mgt.lower(),
970
                          order = counter mgt,
                      )
                      management_section_body += "{0}\n".format(management_body_line)
                      counter_mgt += 1
975
              elif parts[plant index] in crop schedule dictionary:
                  if not parts[plant index] == "past":
                      if plant_index == 0:
                          date_day_plant = crop_schedule_dictionary[parts[plant_index]].mar_plant
980
     .split("-")[0]
                          date mnt plant = crop schedule dictionary[parts[plant index]].mar plant
      .split("-")[1]
                      if plant index == 1:
                          date_day_plant = crop_schedule_dictionary[parts[plant_index]].aug_plant
985
      .split("-")[0]
                          date_mnt_plant = crop_schedule_dictionary[parts[plant_index]].aug_plant
      .split("-")[1]
                      if plant index == 2:
                          date_day_plant = crop_schedule_dictionary[parts[plant_index]].oct_plant
990
     .split("-")[0]
                          date_mnt_plant = crop_schedule_dictionary[parts[plant_index]].oct_plant
      .split("-")[1]
                      management_body_line = "
995
               {activity}{mnt}{day}
                                          0.00000
                                                                {crp}
                                                                                               {ord
                                                                                    null
      er}.00000 ".format(
                          activity = "plnt",
                          mnt = month dictionary[date mnt plant].strip(" ").rjust(10),
                          day = date_day_plant.rjust(10),
1000
                          crp = parts[plant index].lower(),
                          order = counter_mgt,
                      )
                      management section body += "\{0\} \setminus n".format(management body line)
                      counter_mgt += 1
1005
                      if plant index == 0:
                          date_day_harvest = crop_schedule_dictionary[parts[plant_index]].mar_har
     vest.split("-")[0]
                          date_mnt_harvest = crop_schedule_dictionary[parts[plant_index]].mar_har
1010 vest.split("-")[1]
```

```
if plant index == 1:
                          date day harvest = crop schedule dictionary[parts[plant index]].aug har
     vest.split("-")[0]
                          date mnt harvest = crop schedule dictionary[parts[plant index]].aug har
1015 vest.split("-")[1]
                      if plant index == 2:
                          date day harvest = crop schedule dictionary[parts[plant index]].oct har
     vest.split("-")[0]
                          date mnt harvest = crop schedule dictionary[parts[plant index]].oct har
1020 vest.split("-")[1]
                      management body line = "
               {activity}{mnt}{day}
                                          0.00000
                                                                {crp}
                                                                                   null
                                                                                               {ord
      er}.00000 ".format(
1025
                          activity = "hvkl",
                          mnt = month dictionary[date mnt harvest].strip(" ").rjust(10),
                          day = date day harvest.rjust(10),
                          crp = parts[plant index].lower(),
                          order = counter mgt,
1030
                      )
                      management section body += "{0}\n".format(management body line)
                      counter mgt += 1
          if counter mgt == 0:
1035
              continue
         management raw += management section head.format(mgt name = schedule name, number manua
     1 = \text{counter mgt}, number auto = number of auto ops) + "\n" + management section body
1040 # fix hrus based on dictionary
     hru data string = """hru-data.hru: for trajectories
     id name
                                              topo
                                                                hydro
                                                                                   soil
            lu mgt soil plant init
                                              surf stor
                                                                                       field
                                                                      snow
     .....
1045
     hru_data_hru_raw = read_from("{base}/{fn}".format(base = base_txt, fn = "hru-data.hru"))
     for line in hru data hru raw[2:]:
1050
         for part = line
         for i in range(0, 20):
              for part = for part.replace(" ", " ")
         parts = for part.split(" ")
          # print(parts[6].split(" ")[0])
1055
          hru_data_string += line.replace(parts[6], trajectories_dictionary[parts[6].split("_")[0
     ]].lower().replace("-", " "))
     write_to("{base}/{fn}".format(base = 'model_files\Scenarios\Default\TxtInOut', fn = "landus")
     e.lum"), landuse lum)
```

```
1060 write_to("{base}/{fn}".format(base = 'model_files\Scenarios\Default\TxtInOut', fn = "manage
ment.sch"), management_raw)
write_to("{base}/{fn}".format(base = 'model_files\Scenarios\Default\TxtInOut', fn = "plant.
ini"), plant_ini)
write_to("{base}/{fn}".format(base = 'model_files\Scenarios\Default\TxtInOut', fn = "hru-
1065 data.hru"), hru data string)
```

1070

1075

## **Appendix B. Trajectories Description**

Map_id		Code	Trajectory
	1	TUWO	TUWO-TUWO-TUWO
	2	GRAS	GRAS-GRAS-GRAS
	6	BSVG	BSVG-BSVG-BSVG
	11	FRST	FRST-FRST-FRST
	78	BANA	BANA-BANA-BANA
	110	HMEL	SHRB-SHRB-SHRB
	121	INDN	CORN-BSVG-BSVG
	146	LETT	CORN-BSVG-PAST
	167	PAST	PAST-PAST-PAST
	182	SUGC	SUGC-SUGC-SUGC
	204	ASPN	FRST-BSVG-FRST
	224	LIMA	CORN-PAST-PAST
	225	MAPL	CORN-PAST-BSVG
	243	MESQ	CORN-AGRLTOMA-PAST
	248	MIGS	CORN-AGRLTOMA-BSVG
	249	MINT	AGRLTOMA-AGRLTOMA-BSVG
	254	MIXC	CORN-AGRLTOMA-AGRLTOMA

**Table 1B.** Trajectories <u>examples</u> for each fake land-use code use for dynamic SWAT+ implementation.

262 AGR<u>R</u>L AGRL-AGRL-AGRL

ID	Trajectory		Crop/vegetation cover Meaning				
1	CORN-PAST-PAST		rainfed maize-grass-grass				
2	CORN-PAST-BSVG		rainfed maize-grass- sparse vegetation				
3	CORN-AGRLTOMA-PAST		rainfed maize- tomato-grass				
4	CORN-AGRLTOMA-BSVG		rainfed maize-tomato-sparse vegetation				
5	AGRL-AGRLTOMA-BSVG		Beans-tomato-sparse vegetation				
6	CORN-AGRLTOMA-AGRLIRRM		rainfed maize-tomato-irrigated maize				
7	CORN-PAST- <u>IRRM</u> AGRL		Rainfed maize-grass-irrigated maize				
Table 3B. Land use classes as represented in the Static SWAT+ Model							
LANDUSE ID		Land use Class	SWAT CODE				
	1	Water	WATR				
	<u>2</u>	Grazed grassland	PAST				
	<u>3</u>	Grazed shrubland	CRGR				
	<u>4</u>	Space vegetation	BSVG				
	<u>5</u>	Rainfed Maize	CORN				
	<u>6</u>	Irrigated Sugarcane	SUGC				
	<u>7</u>	Dense forest	FRST				
	<u>8</u>	Sub_Alpine grassland	GRAS				
	<u>9</u>	Woodland	TUWO				
	<u>10</u>	Mixed Crops	TOMAAGRL				
	<u>11</u>	Irrigated Banana and Cof	fee <u>BANA</u>				
	<u>12</u>	Wetland	WEHB				
	<u>13</u>	<u>Urban</u>	URMD				
	<u>14</u>	<u>Shrubland</u>	SHRB				

Table 2B. Dynamic agricultural land-use trajectory and their crop or vegetation cover meaning

1085

## Appendix C. Data used in this study

Data Type	Description	Source/ reference	
Climate	Ten station data of rainfall and four stations of maximum/minimum temperature	Tanzania Meteorological Agency (TMA) and Pangani Basin Water Office (PBWO)	
Digital Elevation Model (DEM)	Elevation data from at 90m resolution	United States Geological Survey (USGS) website	
Seasonal land use maps	Seasonal land use maps at 30m	(Msigwa et al., 2019)	
Soil	Africa Soil Information System (AFSIS) at 250m resolution	(Hengl et al., 2015)	
Remotely sensed based Actual ET	Ensemble ET from six remote sensing products	(IHE Delft, 2020)	
Land management data	Planting dates, harvesting dates and irrigation application dates and frequency	Farmers interview	

**Table 1C.** Summary of the different data used in the study with description and sources