



- 1 The impact of spatiotemporal structure of rainfall on flood
- 2 frequency over a small urban watershed: an approach coupling
- **stochastic storm transposition and hydrologic modeling**
- 4 Zhengzheng Zhou<sup>1</sup>, James A. Smith<sup>2</sup>, Mary Lynn Baeck<sup>2</sup>, Daniel B. Wright<sup>3</sup>, Brianne K. Smith<sup>4</sup>,
- 5 Shuguang Liu<sup>1</sup>
- 6 Department of Hydraulic Engineering, Tongji University, Shanghai, China.
- 7 Department of Civil and Environmental Engineering, Princeton University, USA.
- 8 <sup>3</sup>Department of Civil and Environmental Engineering, University of Wisconsin-Madison, USA.
- 9 <sup>4</sup>Department of Earth and Environmental Sciences, City University of New York-Brooklyn College, USA.
- 10 Corresponding to: Zhengzheng Zhou (zhouzz@tongji.edu.cn); Shuguang Liu (liusgliu@tongji.edu.cn)
- 11 **Abstract.** The role of rainfall space-time structure, as well as its complex interactions with land surface properties, in
- 12 flood response remains an open research issue. This study contributes to this understanding, specifically in small (<15 km²)
- 13 urban watersheds. Using a flood frequency analysis framework that combines stochastic storm transposition-based rainfall
- scenarios with the physically-based distributed GSSHA model, we examine the role of rainfall spatial and temporal
- variability in flood frequency across drainage scales in the highly-urbanized Dead Run watershed (14.3 km²) outside of
- Baltimore, Maryland, USA. The results show the complexities of flood response within several subwatersheds for both
- 17 short (<50 years) and long (>100 years) rainfall return periods. The impact of impervious area on flood response decreases
- with increasing rainfall return period. For extreme storms, the maximum discharge is closely linked to the spatial structure
- of rainfall, especially storm core spatial coverage. The spatial heterogeneity of rainfall increases flood peak magnitudes by
- 20 50% on average at the watershed outlet and its subwatersheds for both small and large return periods. The results imply
- 21 that commonly-made assumption of spatially uniform rainfall in urban flood frequency modeling is problematic even for
- 22 relatively small basin scales.

#### 1. Introduction

- 24 Rainfall spatiotemporal structure plays an important role in flood generation in urban watersheds (Ogden et al., 1995;
- 25 Saghafian et al., 1995; Smith et al., 2005b; Emmanuel et al., 2012; Nikolopoulos et al., 2014). Spatial heterogeneities in
- 26 land use and land cover complicate the translation of rainfall spatiotemporal distribution into flood responses (Galster et
- 27 al., 2006; Morin et al., 2006; Ntelekos et al., 2008; Ogden et al., 2011), especially for small catchments (Faurès et al., 1995;
- 28 Smith et al., 2005a; Zhou et al., 2017). Due to the varying nature of rainfall and complexities of urban characteristics, the
- 29 influence of rainfall spatial-temporal structure on flood frequency analysis in urban areas remains an open research issue.



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31 tended to explore rainfall variability using rain gages, which were the main source of rainfall measurements until relatively 32 recently. The accuracy of flood simulations using spatially-detailed rainfall scenarios has been examined (Dawdy and 33 Bergmann, 1969; Schilling, 1991), along with the sensitivity of hydrologic response to rainfall gage network density 34 (Faurès et al., 1995; Arnaud et al., 2002; Younger et al., 2009; Notaro et al., 2013). Beven and Hornberger (1982) argued 35 that the spatial variability affects the response time more than the peak magnitude, whereas Wilson et al. (1979) found the 36 reverse. These studies were limited, however, by the general sparsity of rain gages, which may not adequately capture the 37 spatial distribution of rainfall. Following the advent of rainfall measurement using weather radar (Fulton et al., 1998; 38 Krajewski and Smith, 2002), many studies have highlighted the use of high-resolution rainfall data in assessing rainfall 39 variability over various range of spatial and temporal scales (Berne et al., 2004; Gebremichael and Krajewski, 2004; 40 Moreau et al., 2009; Emmanuel et al., 2012) and how their use could improve runoff estimation (Morin et al., 2006; Smith 41 et al., 2007; Schellart et al., 2012; Wright et al., 2014b; Bruni et al., 2015; Rafieeinasab et al., 2015; Gourley et al., 2017). 42 There are conflicting findings on the relative importance of rainfall temporal and spatial characteristics. Ochoa-Rodriguez 43 et al. (2015) and Yang et al. (2016), for example, found that "coarsening" temporal resolution has a stronger impact than 44 coarsening spatial resolution, especially for small watersheds. Similar results were found in the study of Paschalis et al. 45 (2014) in a 477 km<sup>2</sup> catchment in Switzerland. Adams et al. (2012) found the space-time averaging effects of routing 46 through the catchment noticeably remove the impact of spatially variable rainfall at a 150-km<sup>2</sup> catchment scale. Bruni et 47 al. (2015), in contrast, found a higher sensitivity of modeled flow peaks to spatial resolution rather than the temporal 48 resolution. Peleg et al. (2017) showed an increasing contribution of the spatial variability of rainfall to the variability of 49 flow discharge with longer return periods. Cristiano et al. (2018); Cristiano et al. (2019) found the spatial aggregation of 50 rainfall data can have a strong effect on hydrological responses. Zhu et al. (2018) examined the influence of rainfall 51 variability on flood frequency analysis and addressed the impact of antecedent moisture in flood generation for basin scales ranging from 16 km<sup>2</sup> up to 4,400 km<sup>2</sup>. Using observational data, Zhou et al. (2017) showed that the impact of antecedent 52 53 moisture is low in a highly-urbanized catchment. 54 Previous studies have demonstrated the sensitivity of hydrological response to rainfall variability in both space and time 55 (Smith et al., 2012; Ochoa-Rodriguez et al., 2015; Rafieeinasab et al., 2015). The relationship between rainfall and flood 56 are scale-dependent, varying with rainfall patterns, basin characteristics, and runoff generation processes. However, there 57 is still no clear answer on the relative importance of temporal and spatial features of rainfall on flood responses (Cristiano 58 et al., 2017). Moreover, studies focusing on small (< 15 km²) urbanized basins are relatively few (Peleg et al., 2017) and 59 the issues remain poorly understood.

Many studies have examined the interaction between rainfall variability and flood response. By necessity, early studies



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Stochastic Storm Transposition (SST) was developed as a physically-based stochastic rainfall generator for rainfall frequency analysis. Previous studies show that SST with relatively short-term records (10 or more years) of high-resolution radar rainfall field can produce reasonable rainfall scenarios with spatial-temporal structure, which cannot be provided by conventional methods (Wright et al., 2013; Wright et al., 2017; Zhou et al., 2019). Coupled with hydrological models, the SST-based framework can be used for multiscale rainfall frequency analysis and flood frequency analysis that accounts for rainfall variability and surface characteristics (Wright et al., 2014a; Perez et al., 2019; Yu et al., 2019; Wright et al., 2020). This study contributes to the interaction between rainfall variability and flood response over small-scale urbanized watersheds (<15 km²) for a short-duration rainfall and quick hydrologic response setting. We build on the SST-based rainfall study of Zhou et al. (2019) using the physically-based hydrological model implementation introduced by Smith et al. (2015) in the Dead Run watershed outside of Baltimore, Maryland, USA by addressing the following questions: (1) How does flood frequency in small urban watersheds vary with diverse space-time rainfall structure and rainfall magnitude? (2) Among the space-time feature of rainfall, what are the dominant features that control flood peak distribution in small urban watersheds? Using a framework that combines high-resolution realistic SST- and radar-based rainfall scenarios with model-based flood frequency analysis, we characterize the spatial and temporal features of rainfall events under different return periods and examine their roles in determining flood frequency in small urban watersheds. The paper is organized as follows: in Section 2, we introduce the study region and describe the SST-based methodology, GSSHA model, and the metrics used to characterize rainfall and flood response. In Section 3, we present model validation and analyses of flood frequency distributions and rainfall-flood relationships. A summary and conclusions are presented in Section 4.

# 2. Data and method

#### 2.1 Study region and data

81 The study focuses on the highly-urbanized 14.3 km<sup>2</sup> Dead Run (DR) watershed located west of Baltimore, Maryland, USA 82 (Fig. 1). DR is a tributary to the Gwynns Falls watershed, which is the principal study catchment of the Baltimore 83 Ecosystem Study (BES) (Pickett and Cadenasso, 2006). The basin has an impervious fraction of approximately 52.3% 84 (Table 1). The watershed has a dense network of six stream gauges with drainage areas ranging from 1.2 to 14.3 km<sup>2</sup> (Fig. 85 1; Table 1). The subwatersheds are developed after the implementation of the Maryland Stormwater Management Act of 86 1982 (Maryland, 1982) with many detention infrastructures such as small local ponds. The wealth of data for Dead Run provides exceptional resources to examine rainfall and hydrologic response (Beighley and Moglen, 2002; Nelson et al., 87 88 2006; Meierdiercks et al., 2010; Smith et al., 2015). For example, Meierdiercks et al. (2010) analyzed the impact of storm



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90 Hydrologic Analysis (GSSHA) model to analyze the effects of storm drains, impervious area, and drainage density on 91 hydrologic response. Smith et al. (2015) created a DR model using GSSHA to examine the effects of storage and runoff 92 generation processes through analyses of a large number of storm events. 93 94 High resolution (15-min temporal resolution, 1-km² spatial resolution) radar rainfall fields for the 2000-2015 period were 95 derived from volume scan reflectivity fields from the Sterling, Virginia WSR-88D (Weather Surveillance Radar-1988 96 Doppler) radar. The Hydro-NEXRAD algorithms (Krajewski et al., 2011; Seo et al., 2011) which have been used in rainfall 97 and hydrological studies (Smith et al., 2007; Lin et al., 2010; Smith et al., 2013; Wright et al., 2014b; Zhou et al., 2017) 98 are used to estimate rainfall from reflectivity fields. A network of 54 rain gauges in and around Baltimore City is used for 99 mean field bias correction of the radar rainfall. The reader is directed to Zhou et al. (2019) and references therein for further details on the rainfall data and bias correction methods. 100 101 Instantaneous discharge data with a resolution of five minutes from the U.S. Geological Survey (USGS) were used for each 102 of the six gaged watersheds. Streamflow observations for the outlet station at Franklintown extend back to 1960, while the 103 DR1 – DR5 stations have records beginning in 2008. 104 2.2 GSSHA Hydrological Model 105 The distributed physics-based GSSHA model is used to simulate multi-scale flood response. GSSHA is a two-dimensional, 106 distributed-parameter raster-based (i.e. square computational cell-based) hydrologic modeling system. It uses explicit finite 107 difference and finite volume methods in two dimensions on a structured grid to simulate overland flow and in one dimension 108 to simulate channel flow (Downer and Ogden, 2004; 2006). Previous studies of the GSSHA model show that the model 109 with fine grid resolution can produce adequate simulations of flood response, especially when driven by high-resolution 110 radar rainfall fields (Sharif et al., 2010; Sharif et al., 2013; Wright et al., 2014a; Cristiano et al., 2019). 111 In this study, we use the Dead Run model created by Smith et al. (2015). A brief description of the model is provided here; 112 see Smith et al. (2015) for more details. The delineation of the watershed and channel network was based on a 30-m USGS 113 digital elevation model (Gesch et al., 2002). Channel flow overland flow was set with different Manning's roughness 114 coefficients. Additional stream channels were added based on the Baltimore County hydrography Geographic Information 115 Systems (GIS) map. Stream cross sections were extracted from a 1-m resolution topography data set for Dead Run 116 developed from lidar. Storm sewers in DR-2 and DR-5 were added using the Baltimore County Stormwater Management 117 GIS map and digitized storm sewer maps. The semicircle's diameter was set to the pipe diameter. Detention basins were

drains and detention basins on a single storm event in DR, while Ogden et al. (2011) used the Gridded Surface Subsurface





119 Several aspects of the model were modified from those used in Smith et al. (2015), primarily to improve computational 120 speed. Infiltration is calculated using Richards' equation (RE) in Smith et al. (2015), while this study uses the three-layer 121 Green-Ampt (GA) scheme. A uniform Manning's roughness coefficient of 0.01 is set for all the stream channels for model 122 simplification. Initial soil moisture is approximated to be one third of field capacity for each storm event. 123 2.3 SST procedure 124 The rainfall scenarios in this study are developed using RainyDay, an open-source SST software package (Wright et al., 125 2017). The steps used are briefly summarized here; the reader is directed to Zhou et al. (2019) and references therein for 126 further details. 127 The first step is to identify a geospatial "transposition domain" that contains the watershed of interest. In this study, we use 128 a square 7,000 km2 transposition domain centered on the DR watershed. (Zhou et al., 2019) presented a detailed 129 examination of heterogeneity in extreme rainfall over the transposition domain using a variety of metrics, including storm 130 counts, mean storm depths and intensities, convective activity indicated by lightning observations, and analysis of spatial 131 and temporal rainfall structure. 132 The second step is to identify the largest m storms within the domain at the t-hr time scale. This collection of storms is 133 referred to as a storm catalog. The storms are selected with respect to the size, shape and orientation of the DR watershed. 134 We henceforth refer to these as "DR-shaped storms." The m DR-shaped storms are selected from an n-year rainfall record, 135 such that an average of  $\lambda = m/n$  storms per record year are included in the storm catalog. In this study, we chose m = 200136 storms over the 16-year radar record. 137 The third step is to randomly sample a subset of k storms from the storm catalog, where k refers to a stochastic number of 138 storms per year. The k is assumed to follow a Poisson-distributed number of storm occurrences with rate parameter  $\lambda = m/n$ 139 storms per year. All rainfall fields associated with a storm are transposed by an east-west distance  $\Delta x$  and a north-south 140 distance  $\Delta y$ , where  $\Delta x$  and  $\Delta y$  are drawn from distributions  $D_{\lambda}(x)$  and  $D_{\gamma}(y)$  which are bounded by the limits of the 141 transposition domain. Based on the spatial heterogeneity analysis of extreme rainfall in the domain, distributions  $D_X(x)$  and 142  $D_{l}(y)$  can be set as uniform or non-uniform. In Zhou et al. (2019) and this study, since the assumption of regional 143 homogeneity cannot be relaxed, we used the non-uniform distribution. A two-dimensional probability density function 144 (PDF) of spatial storm occurrence (Wright et al., 2017) and an intensity factor that rescales the rainfall magnitude (Zhou 145 et al., 2019) are used as the basis for non-uniform spatial transposition (Fig. A1 in Appendix A). This step can be understood 146 as generating a "synthetic year" of extreme rainfall events over the domain based on resampling and transposing

represented within the channel with cross sections extracted from the 1-m lidar topographic data.





- 147 observations. For each of the k transposed storms, compute the t-hr basin-average rainfall depth over the watershed. Among
- the k rainfall depths, the maximum depth is retained as a synthetic t-hr annual rainfall maximum for the watershed, while
- the transposed rainfall fields are saved for use as inputs to a GSSHA model simulation.
- 150 The fourth step simply repeats Step 3 many times to recreate multiple years of synthetic t-hour "annual" rainfall maxima
- and associated transposed rainfall fields for the watershed. In this study, these steps are repeated 1,000 times and the ordered
- 152 "annual" maxima are used to generate rainfall return period estimates up to 500 years. 1,000 such realizations of 500-yr
- 153 series are generated, and the median value of 1,000 realizations are used to generate estimates for return periods up to 500
- 154 years.

## 2.4 Characteristics of rainfall and hydrologic response

#### 2.4.1 Spatio-temporal characteristics of rainfall

- 157 Rainfall statistics were computed for each event, based on radar rainfall data at 15-min, 1-km² resolution, to characterize
- the spatial and temporal variability of rainfall (following Smith et al. (2002); Smith et al. (2005b); see also Zoccatelli et al.
- 159 (2011) and Emmanuel et al. (2015)). Basin-average rainfall rate at time t during the storm is given by:

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$$M(t) = \int_0^T R(t, x) dx$$
 (1)

- where R(t,x) is the rain rate at radar grid x at time t, and T is the time period of rainfall event. Peak basin-average rainfall
- rate is denoted:

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$$M_{max} = \max\{M(t); t \in [0, T]\}$$
 (2)

and storm total rainfall depth is:

$$R_{sum} = \sum_{0}^{T} M(t) \tag{3}$$

- 166 To characterize the spatial properties of rainfall, several quantities are computed. Fractional coverage of storm core at t is
- 167 given by:

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$$Z(t) = \frac{1}{4} \int_{A} I_{(R(t,x))} dx$$
 (4)

- where  $I_{(R(t,x))}$  is the indicator function and equals 1 when  $R(t,x) > 25 \, mm/h$  or 0 otherwise.
- 170 Rainfall location is given by:

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$$L(t) = \int_{A} \omega(t, x)d(x)dx \tag{5}$$





where  $\omega(t,x) = \frac{R(t,x)}{\int_A R(t,x)dx}$ , d(x) is the linear distance from point x to the outlet. The rainfall-weighted flow distance is:

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$$RWD(t) = \int_{A} \omega(t, x) d_f(x) dx$$
 (6)

- where distance function  $d_f(x)$  is the flow distance between point x and the outlet. It is calculated as the sum of the
- overland flow distance from x to the nearest channel and the distance along the channel to the basin outlet. The flow distance
- $d_f(x)$  is normalized by the maximum flow distance, ranging from 0 to 1. RWD with values close to 0 indicates that rainfall
- 177 is distributed near the basin outlet; with values close to 1 indicates rainfall concentrated at the far periphery of the basin.
- For a uniformly distributed rainfall, the mean RWD is:

$$\overline{\text{RWD}} = \int_{A} d_f(x) dx \tag{7}$$

180 The dispersion of RWD:

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$$S(t) = \frac{1}{\varepsilon} \int_{A} \omega(t, x) [d_f(x) - \bar{d}]^2 dx$$
 (8)

- where  $\bar{s} = \int_A [d_f(x) \bar{d}]^2 dx$ , S is a spatial indicator with values < 1 indicates that rainfall is a unimodal distribution; S
- with values >1 indicates that rainfall is a multimodal distribution.

# 184 2.4.2 Spatiotemporal characteristics of hydrologic response

Flood peak ( $Q_{peak}$ , mm<sup>3</sup>/s), total runoff ( $Q_{sum}$ , mm), and lag time ( $T_{lag}$ , min) are defined as:

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$$Q_{neak} = \max\{Q(t); t \in T_d\}$$
 (9)

$$Q_{sum} = \sum_{0}^{T_d} Q(t) \tag{10}$$

$$T_{lag} = T_{Fpeak} - T_{Rpeak} \tag{11}$$

- Respectively, where Q(t) is the flow discharge at time t;  $T_d$  is the duration of hydrological response, which is from the
- 190 start of rainfall event to the time when  $f(t) < 0.05 * Q_{peak}$ .

# 191 3. Results and Discussion

#### 192 3.1 Model validation

- 193 We validated the Dead Run GSSHA model through analyses of the 21 largest warm season (April-September) flood events
- with peak discharges ranging from 70.3 to 253 m³/s in the 2008-2012 period. The simulated discharge was compared to
- 195 USGS streamflow observations for all six gaging stations. We assessed the peak discharge and peak time to examine the





196 performance of the model. The GSSHA modeled and USGS gage measured hydrographs for three storm events are 197 compared in Fig. A2-A4 in Appendix A. Peak discharge difference is calculated as the difference between the modeled peak and measured peak as a percentage of 198 199 the measured peak. Median peak discharge differences across all 21 events range from -35% to 57% (Fig. 2a). The largest 200 difference is in sub-basin DR-1. The reason is likely that DR-1 has a large area of land which was not represented fully on 201 county storm sewer maps (Smith et al., 2015). The median peak discharge difference at the watershed outlet was -14%. 202 The peak time difference is calculated as the time difference between the simulated peak time and measured peak time (Fig. 203 2b). The median difference ranges from -15 to +10 minutes, which is within the temporal resolution of the data (15 minutes 204 for rainfall; 5 minutes for streamflow). The results show that the main tendency of flood response is captured by the model. 205 Overall, the validation shows that the physically-based, minimally-calibrated model can capture the main shape and timing 206 of the measured response in Dead Run. We therefore conclude that the model is suitable for the subsequent flood frequency 207 analysis. It should be noted that the errors in simulated response may be attributable to measurement errors tied to stage 208 discharge curves and to conversions of radar reflectivity to rainfall rate, as well as to the features that were simplified within 209 the model, such as initial soil moisture and some aspects of the storm drain network (Smith et al., 2015).

## 3.2 Flood frequency distribution

- 211 Under the SST framework, 3-h rainfall scenarios for 10-yr, 50-yr, 100-yr and 200-yr return periods were generated (Fig.
- 212 A5 in Appendix A). We then simulated hydrographs using the GSSHA model and rainfall scenarios for Franklintown and
- the five DR subwatershedss.

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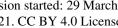
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# 3.2.1 Flow discharge estimates

The distribution of maximum discharge at the Franklintown gage for rainfall return periods ranging from 10 to 200 years is illustrated in Fig. 3a. To compare the distributions of rainfall and flood peaks, the values are normalized to range from 0 to 1. The most striking feature is that the distributions of total rainfall and flood peaks are highly variable across the four return periods. The kernel density distribution of rainfall shows a peak at the position of 50<sup>th</sup> quantile for four return periods. The distribution of flood peak is more complex. For the 100-yr rainfall return period, the kernel density distribution of flood peaks shows a multimodal trend with two small peaks around the 25<sup>th</sup> and 75<sup>th</sup> quantiles, which contrasts with the unimodal distribution of rainfall. For the 200-yr rainfall return period, the interquartile range (IQR) is larger than other return periods. The relative standard deviation,





224 known as the coefficient of variation (CV), is used to present the dispersion of peak distribution. CV is defined as the ratio of the standard deviation to the mean. Unlike the IQR results, CV decreases with 225 increasing return period. According to Zhou et al. (2019), the variability of basin-average total rainfall 226 227 increases with return period. The pronounced difference in the distributions of total rainfall and flood 228 peaks highlights a complex relationship between rainfall properties and flood response in this relatively 229 small urbanized watershed. 230 The flood response time is calculated as the difference between the time of maximum rainfall rate and 231 maximum discharge (Fi. 3b). Median values of response time are similar under all return periods, ranging from 70 to 83 minutes, which, given the temporal resolution of rainfall is 15 minutes, can be 232 similar for all four return periods. It can be concluded that although the flood peak magnitude increases 233 with rainfall return period, the response time is consistent for various rainfall scenarios. This implies 234 in this small highly urbanized watershed the response time is more linked to the drainage system rather 235 236 than to rainfall characteristics. Figure 4 demonstrates the simulated hydrographs for the four return periods. The upper and lower 237 spread (75th and 25th quantiles) of the hydrograph indicates the range of variability of simulated 238 hydrographs. For the 10-yr return period, the hydrograph is relatively smooth with smaller spread. 239 240 With increasing return period, the hydrograph is peakier with shorter duration of high magnitude 241 discharge. The hydrograph for the 50-yr return period shows a transitional shape between small (10-242 yr) and large (100-yr and 200-yr) rainfall return periods. For the 100-yr return period, the upper spread 243 shows a tendency toward dual peaks, which cannot be revealed from conventional design flood practices. For the 200-yr return period, the hydrograph is peakiest with a large upper spread. 244

### 3.2.2 Spatial distribution of flood magnitude

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The distribution of flood peaks over the five subwatersheds exhibits contrasting variation with rainfall return periods ranging from 10 to 200 years (Fig. 5). Generally, basin scale plays an important role in determining the distribution of flood magnitudes. Under the 10-yr rainfall return period, DR1 and DR2, with basin scales of 1.3 and 2.0 km<sup>2</sup>, have higher flood peaks and interquartile ranges than other subwatersheds. DR5 (2.1 km<sup>2</sup>) has comparable flood magnitude with DR4 (6.3 km<sup>2</sup>) and Franklintown (14.3 km<sup>2</sup>), while has a larger interquartile range than the latter two. DR3 with a basin scale of 4.95 km<sup>2</sup>, has comparable flood magnitudes with DR1 and DR2. Under the 200-yr rainfall return period, DR2 and DR3 has a slightly larger flood magnitude than DR1. DR5 has the largest interquartile range than others, though its flood peaks are



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smaller than other small watersheds.

Results show that sub-basin flood distributions vary significantly with rainfall return periods. DR1 with larger impervious area and dentention controlled area than DR2 (Table 1), has larger flood peaks under small rainfall return period. For large return periods, DR2 has larger peak and interquartile range than DR1, implying that flood peaks are less impacted by impervious area for extreme storms. DR5, with the smallest dentention controlled area by detention infrastructure, has the smallest flood peaks under small rainfall return period. Under large return period, however, it has the largest changes in peak discharges with comparable flood peaks with subwatersheds larger than 6 km2. DR3 and DR4, with basin scale of 4.95 and 6.29 km<sup>2</sup>, have contrasting flood magnitude under small and large return periods. DR3 with larger impervious area and dentention controlled area has larger flood peaks than DR4. The difference is more significant for small rainfall events with the median value of flood peak for DR3 more than double that of DR4. From these results, it can be concluded that impervious area and dentention controlled area play a significant role in determining the peak discharges, but the impact reduces with increasing rainfall return period. The less dentention controlled sub-basin has larger flood variability under large return period. The detention infrastructure impacts flood peak and its variability. We further examine the spatial distribution of flood magnitude over the Dead Run watershed under the 100-yr return period of flood at Franklintown (Fig. 6). The dimensionless flood index is used to compare flood peak magnitudes over the watershed (Lu et al., 2017). The flood index is computed as the maximum flow discharge divided by the computed 10-yr flood  $(Q_{10-y})$  at the same location, which is set as the median value of 10-yr peak discharge at the watershed outlet for each 100-yr design storm simulation. At Franklintown, the flood index and its interquartile range are largest across the watersheds, with the median value greater than 2.5. The flood index in the five sub-watersheds is relatively lower, within a median value between 1.5 and 2. DR2, as a sub-watershed of DR3, has a larger median value than DR1 and DR3. The flood indices at DR1 and DR3 have similar median values and interquartile ranges. Values in DR4 are higher than its subwatershed, DR5, with a median value of 2. The variability of flood magnitudes, indicated by the CV, is stable among the watersheds, ranging from 0.30 to 0.39. The spatial distribution of flood magnitude points to the significant heterogeneity of flood distributions over the 14.3-km<sup>2</sup> watershed. For storm events that produce the same peak discharge return period at the watershed outlet, the subsequent upstream flood response can vary substantially in the Dead Run watershed.

## 3.3 Rainfall-Flood Relationships

#### 3.3.1 Rainfall structure and flood response

We investigate the relationship between the spatial and temporal characteristics of rainfall and flood response for small and large rainfall return periods based on Spearman's rank correlation (Fig. A6 in Appendix A). The peak rainfall rate ( $M_{max}$ ),





282 total rainfall ( $R_{sum}$ ), fractional coverage (Z), rainfall location (L), rainfall-weighted flow distance (RWD) and the dispersion 283 of RWD (S) are used to characterize rainfall spacetime structure. For the 10-yr return period, the flood peak is somewhat 284 correlated with total rainfall, peak rainfall rate and storm core coverage with correlation coefficient of 0.16. For the 200-yr 285 return period, in contrast, there is no significant correlation between these features with correlation coefficients of -0.09, 286 0.07 and -0.02, respectively, implying a complex and nonlinear relationship between extreme storms and floods in the 287 watershed. 288 We used random forest regression models to examine the importance of rainfall characteristics to the flood response. 289 Rainfall spacetime structure characteristics are used as RF model features. The flood peak is set as the model target. The 290 main parameters of RF model are tuned by a grid search approach(Probst et al., 2019). The prediction performance is 291 assessed using Mean Absolute Error (MAE), Root Mean Square Error (RMSE), and explained variance regression score (E 292 score)(Achen, 2017). Smaller values of MAE and RMSE indicate better model performance. E score ranges from 0 to 1 and 293 a larger value indicates a better model (The training process of RF model is shown in Fig. A7 in Appendix A). The difference 294 in feature importance is compared between the 10-yr and 200-yr return periods (Fig. 7). For the 10-yr return period, peak 295 rainfall rate  $(M_{max})$  and total rainfall  $(R_{sum})$  are the most two important features. For the 200-yr return period, however, the 296 dispersion of RWD (S) and fractional coverage of storm core (Z) are more important than peak rainfall rate and total rainfall. 297 The rainfall location (L) has the smallest importance for both return periods. The results demonstrate the different 298 relationships between rainfall structure and flood response under small and extreme rainfall events. For extreme storms, 299 the maximum discharge is more closely linked to the spatial structure of rainfall, which is consistent with the results in 300 (Peleg et al., 2017; Zhu et al., 2018). 301 The temporal shapes of hydrographs and hyetographs are compared by using the coefficient of skewness (Fig. 8). The 302 skewness is used to assess the shape of rainfall process and discharge process. A negative value of skew indicates a left tail 303 of the distribution, and positive indicates a right tail. For the 10-yr return period, the rainfall skewness ranges from -0.1 to 304 3.5, demonstrating the mixed shapes of temporal distribution. Similar features are found for discharge shapes. For the 200-305 yr return periods, the skewness of discharge is mostly positive while the skewness of rainfall events still varies from -1 to 306 2.5. The general conclusion of these analyses is that regardless of the temporal distribution of rainfall, the flood response 307 is relatively rapid, highlighting the role of the urban drainage system for the hydrographic response. The relationship 308 between the variability of discharge and rainfall is not significant for the four return periods, which implies that in a highly-309 urbanized watershed, the drainage system smooths rainfall variability somewhat.



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#### 3.3.2 Rainfall return period vs. flood return period

In conventional design storm/flood practices, the return period of rainfall and peak discharge is often assume to be equivalent (Rahman *et al.*, 2002). Under the SST framework, we can examine this assumption (Wright *et al.*, 2014a). For each SST realization containing 100 rainfall scenarios with return period from 5 years up to 100 years, the peak discharge can be simulated and ordered. Flood frequency for return periods from 5 years up to 100 years are then estimated from the ordered peaks. We run 30 SST realizations in total. The Spearman's rank correlation of the two return periods is 0.5 (Fig. 9). The results quantitatively confirm that the assumption of a 1:1 return period equivalency between design storm and design flood cannot hold, even in a small highly-urbanized watershed where drainage network and rainfall structure play an important role in flood response.

#### 3.3.3 Impact of rainfall spatial heterogeneity on flood responses

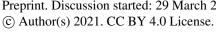
We also compared the simulated flood response resulting when rainfall is uniform over the watershed, rather than spatially distributed as in previous analyses (Fig. 4 and Table 2). Generally, the flood peaks generated from uniform rainfall have lower peaks than for non-uniform rainfall. The difference increases with return period. Under the 10-yr return period, the shapes of the two hydrographs have similar upper and lower bounds (75% and 25% quantiles). The median flood peak using non-uniform scenarios is 22% higher than the uniform scenarios. Under the 200-yr return period, the hydrograph resulting from non-uniform rainfall is much peakier than the uniform SST scenarios with higher upper and lower bounds. The lower bound of hydrograph by non-uniform SST scenarios is close to the median hydrograph of uniform SST scenarios. The impact of rainfall spatial heterogeneity among the five subwatersheds is different. DR1, with a basin scale of 1.32 km<sup>2</sup> and located in the north-west boundary of the watershed, was the least-impacted by rainfall spatial distribution for all return periods. In DR2, on the other hand, which is similar in drainage area to DR1, the flood peak increased by 46% for the 200yr return period. For DR3 and DR4, the spatial heterogeneity of rainfall contributes more to the flood peaks in DR4 than in DR3. The most striking difference in flood peaks is in DR5 for the 50-yr return period. The difference in flood magnitude is 75%. As mentioned above, DR5 is the sub-basin with the least dentention controlled area. This finding is likely tied to the complex relationship between space-time rainfall structure and the drainage network. We can thus conclude that the spatial heterogeneity of rainfall can increase flood peaks dramatically under both small and large return periods. The impact increases with return period. This result shows that the assumption of spatially uniform rainfall will underestimate flood frequency.





337 4. Summary and conclusions 338 This paper addresses the problem of the impacts of short-duration rainfall variability on hydrologic response in small 339 urbanized watershed. By coupling a high-resolution radar rainfall dataset and stochastic storm transposition (SST) with the 340 GSSHA distributed physics-based model (see also (Wright et al., 2014a; Zhu et al., 2018), the relationships between rainfall 341 spatiotemporal structure and urban flood response is examined. The main findings are as follows: 342 1. The flood frequency distributions for subwatersheds within the highly-urbanized 14.3 km<sup>2</sup> Dead Run watershed 343 demonstrates the complexities of flood response for both short and long rainfall return periods. Especially for 3-h extreme 344 storms, the distribution of flood peaks shows large variability. The variability of flood magnitude shows a pronounced role 345 of rainfall space-time structure in flood production. This calls into question the commonly-made design storm assumption 346 of spatially uniform rainfall. The response time is less affected by rainfall structure and appears to be more closely 347 associated with the basin scale and drainage network features. 348 2. The spatial heterogeneity of flood frequency over the 14.3-km² watershed is striking for the 100-yr return period. The 349 intercomparison between subwatersheds show that the impact of impervious area decreases with increasing return periods. 350 The subbasin with the least detention infrastructure shows the largest flood variability for long return periods. For the 100-351 yr return period, the flood index of five subwatersheds are different from that of their downstream outlet. It shows that 352 storm events that produce the same peak discharge return period at the basin outlet can be the result of very different 353 upstream flood responses. 354 3. The relationship between the spacetime structure of rainfall and flood response is complex. The random forest-based 355 feature importance analysis shows very different relationships between rainfall structure and flood response for frequent 356 vs. extreme rainfall events. For smaller and more frequent rainfall events, flood peaks are more closely linked to the 357 temporal features of rainfall (total rainfall and peak rainfall rate). For extreme storms, the maximum discharge is closely 358 linked to the spatial structure of rainfall (storm core coverage). This finding is broadly consistent with (Peleg et al., 2017) 359 and (Zhu et al., 2018), despite the very different drainage scales considered in those studies. There is no significant 360 correlation between rainfall peak, total rainfall and flood peaks, implying an important role of surface properties in 361 urbanized watersheds. Similar to (Wright et al., 2014a), this comparison calls into question the conventional design storm 362 assumption of a 1:1 equivalency between rainfall and flood peak return periods. 363 4. The spatial heterogeneity of rainfall is a key driver of flood response across scales. Relative to spatially uniform rainfall, 364 spatially distributed rainfall can increase flood peaks by 50% on average at the watershed outlet and its subwatersheds for

both small and large return periods. This finding is broadly consistent with prior results at much larger scales in an







366 agricultural setting ((Zhu et al., 2018)) and suggests both spatial and temporal rainfall distributions need to be considered 367 in flood frequency analyses, even in relatively small urban watersheds. This study also implies that the drainage network 368 substantially alters the impact of rainfall characteristics on the runoff. 369 Coupling the GSSHA model and SST-based rainfall frequency analysis, this study provides an effective approach for 370 regional flood frequency analysis for urban watersheds. It can be used to explore the dominant control on the upper tail of 371 urban flood peaks, without many of the limiting assumptions associated with design storm methods. The study area could 372 be extended in future work with larger basin scales and by manipulating the spatial heterogeneity of basin characteristics 373 within GSSHA or other similar modeling systems. 374 Acknowledgments 375 This study was supported by the National Science Foundation of China (Grant 51909191). 376 Data availability 377 data archived at Princeton University and can be downloaded from url 378 http://arks.princeton.edu/ark:/88435/dsp01q524jr55d. 379 380 Author contributions. 381 Main contributions from each co-authors are as follows. Zhengzheng Zhou contributed to computation and organization of 382 the paper. James A. Smith contributed to the supervision and writing. Mary Lynn Beack is responsible for generating the 383 radar rainfall data. Brianne K. Smith contributed to the construction of the initial hydrological model. Daniel B. Wright 384 contributed to the writing of the paper. Shuguang Liu contributed to the supervision and writing. 385 386 Reference 387 Achen, C. H. (2017), What Does "Explained Variance" Explain?: Reply, Political Analysis, 2, 173-184. 388 doi:10.1093/pan/2.1.173 389 Adams, R., A. W. Western, and A. W. Seed (2012), An analysis of the impact of spatial variability in rainfall on runoff and 390 sediment predictions from a distributed model, Hydrological Processes, 26(21), 3263-3280. doi:10.1002/hyp.8435 391 Arnaud, P., C. Bouvier, L. Cisneros, and R. Dominguez (2002), Influence of rainfall spatial variability on flood prediction,

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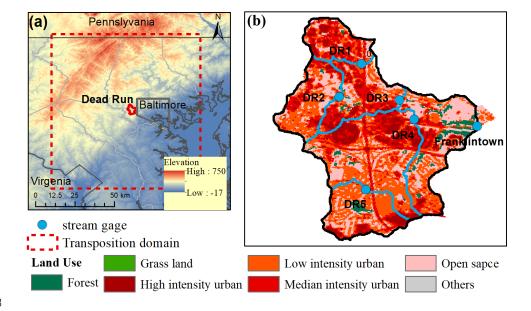
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Figure 1. Overview of Dead Run study region including (a) location of DR, elevation, and transposition domain of SST; (b) land use land cover and stream gages. Land use land cover was obtained from the National Land Cover Data set (NLCD, http://www.mrlc.gov)

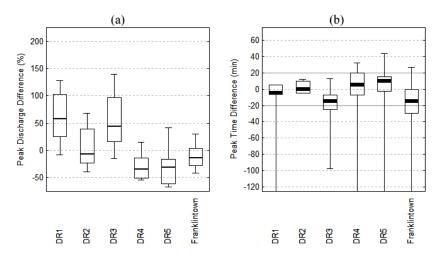


Figure 2. Comparison of (a) flood peak discharges and (b) response times for 21 historical rainfall events.

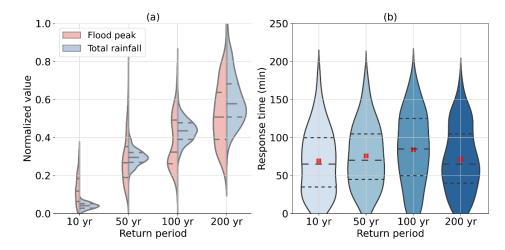


Figure 3. Violin plots of (a) normalized flood peak and normalized total rainfall; and (b) response time based on the 3-h design storms from 10-y to 200-y return periods. (The red dot indicates mean value. Dashed line in the middle indicates the median value. Upper and lower dashed lines indicate the 75<sup>th</sup> and 25<sup>th</sup> quantiles, respectively.)



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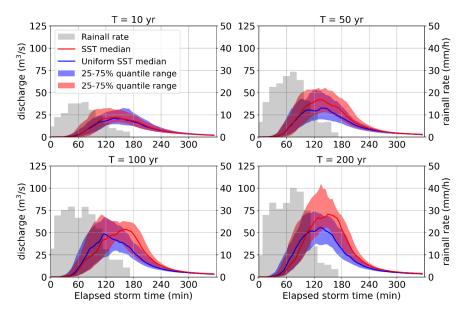


Figure 4. Time series of simulated hydrographs for Franklintown based on the 3-h design storms from 10-yr to 200-yr return periods with spatially uniform (blue) and spatially distributed (red) rainfall. The grey bar indicates the median value of basin-averaged rainfall rate.

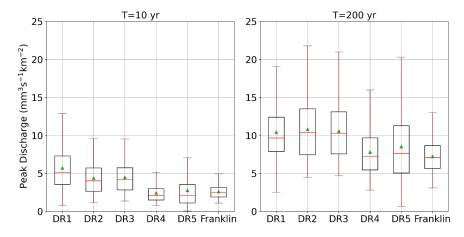


Figure 5. Boxplots of normalized flood peaks for Franklintown and five subwatersheds.



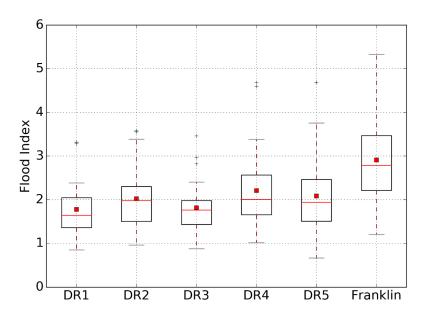
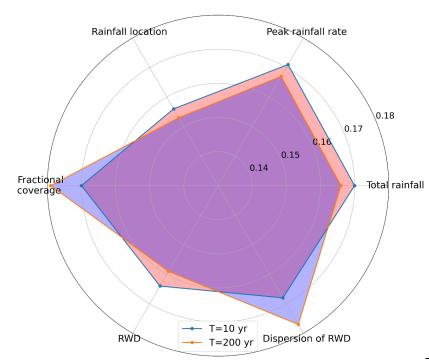


Figure 6. Boxplot of flood index across the DR subwatersheds for the 100-yr design storms.



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572 Figure 7. Feature importance analysis of RF model for space-time rainfall structure and 10-yr (red) and 200-yr (blue)

573 flood peaks.



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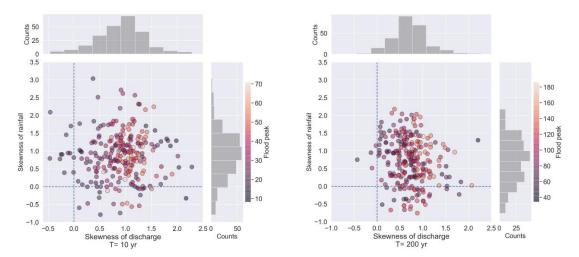


Figure 8. Scatter plots of skewness of rainfall and peak discharge—left: 10-yr return period; right: 200-yr return period.

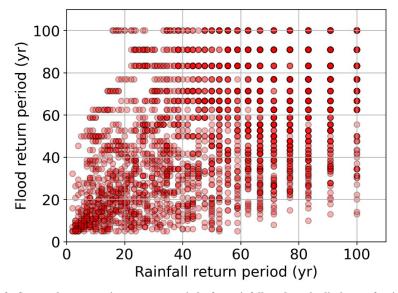


Figure 9. Scatterplot comparison return periods for rainfall and peak discharge for individual SST-based simulations.

Table 1: Characteristics of Dead Run watershed.

USGS ID	Area (km²)	Developed Land (%)	Imperviousness (%)	Controlled area (%)	
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DR1	01589317	1.32	99%	73.6	41.9
DR2	01589316	1.92	98%	55.5	18.5
DR3	01589320	4.95	98%	62.2	24.4
DR4	01589315	6.29	98%	51.5	12.2
DR5	01589312	2.05	96%	47.9	3.2
Franklintown	01589330	14.3	96%	52.3	25.1

# Table 2. The median flood peak reductions using spatially uniform and spatially distributed rainfall.

	T=10 yr	T=50 yr	T=100 yr	T=200 yr
DR1	14%	20%	13%	26%
DR2	19%	40%	28%	42%
DR3	24%	33%	27%	31%
DR4	32%	51%	38%	35%
DR5	15%	75%	37%	30%
Franklin	22%	36%	31%	42%

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