1 The impact of spatiotemporal structure of rainfall on flood 2 frequency over a small urban watershed: an approach coupling 3 stochastic storm transposition and hydrologic modeling

4 Zhengzheng Zhou¹, James A. Smith², Mary Lynn Baeck², Daniel B. Wright³, Brianne K. Smith⁴,

5 Shuguang Liu¹

6 ¹Department of Hydraulic Engineering, Tongji University, Shanghai, China.

⁷ ²Department of Civil and Environmental Engineering, Princeton University, USA.

8 ³Department of Civil and Environmental Engineering, University of Wisconsin-Madison, USA.

- ⁴Department of Earth and Environmental Sciences, City University of New York-Brooklyn College, USA.
- 10 Corresponding to: Zhengzheng Zhou (zhouzz@tongji.edu.cn); Shuguang Liu (liusgliu@tongji.edu.cn)

11 Abstract. The role of rainfall space-time structure, as well as its complex interactions with land surface properties, in flood 12 response remains an open research issue. This study contributes to this understanding, specifically in small (<15 km²) urban 13 watersheds. Using a flood frequency analysis framework that combines stochastic storm transposition-based (SST) rainfall 14 scenarios with the physically-based distributed GSSHA model, we examine the role of rainfall spatial and temporal 15 variability in flood frequency across drainage scales in the highly-urbanized Dead Run watershed (14.3 km²)-outside of 16 Baltimore, Maryland, USA. The results show the complexities of flood response within several subwatersheds for both 17 short (<50 years) and long (>100 years) rainfall return periods. The impact of impervious area on flood response decreases 18 with increasing rainfall return period. For extreme storms, the maximum discharge is closely linked to the spatial structure 19 of rainfall, especially storm core spatial coverage. The spatial heterogeneity of rainfall increases flood peak magnitudes by 20 50% on average at the watershed outlet and its subwatersheds for both small and large return periods. The <u>framework of</u> 21 SST-GSSHA-coupled frequency analysis also highlights -results imply-that commonly made assumption of spatially-22 uniform distributed rainfall scenarios are needed in urban-quick-response flood frequency modeling is problematic even for_-relatively small basin scales. 23

24 **1. Introduction**

Rainfall spatiotemporal structure plays an important role in flood generation in urban watersheds (Saghafian *et al.*, 1995;
Smith *et al.*, 2005b; Emmanuel *et al.*, 2012; Nikolopoulos *et al.*, 2014). Spatial heterogeneities in land use and land cover
complicate the translation of rainfall spatiotemporal distribution into flood responses (Galster *et al.*, 2006; Morin *et al.*,
2006; Ntelekos *et al.*, 2008; Ogden *et al.*, 2011; Yin *et al.*, 2016; ten Veldhuis *et al.*, 2018), especially for small catchments
(Faurès *et al.*, 1995; Smith *et al.*, 2005a; Zhou *et al.*, 2017; Zhou *et al.*, 2019; Yang *et al.*, 2020). Due to the varying nature

30 of rainfall and complexities of urban characteristics, t<u>T</u>he influence of rainfall spatial-temporal structure on flood frequency
 31 analysis in urban areas remains an open research issue.

32 Previous studies have demonstrated the sensitivity of hydrological response to rainfall variability in both space and time 33 (Smith et al., 2012; Ochoa-Rodriguez et al., 2015; Raficeinasab et al., 2015). Many studies have examined the interaction 34 between rainfall variability and flood response. By necessity, early studies tended to explore rainfall variability using rain 35 gages, which were the main source of rainfall measurements until relatively recently. The accuracy of flood simulations 36 using spatially detailed rainfall scenarios has been examined (Dawdy and Bergmann, 1969; Schilling, 1991), along with 37 the sensitivity of hydrologic response to rainfall gage network density (Faurès et al., 1995; Arnaud et al., 2002; Younger et 38 al., 2009; Notaro et al., 2013). Beven and Hornberger (1982) argued that the spatial variability affects the response time 39 more than the peak magnitude, whereas Wilson et al. (1979) found the reverse. These studies were limited, however, by 40 the general sparsity of rain gages, which may not adequately capture the spatial distribution of rainfall. Following the 41 advent of rainfall measurement using weather radar (Fulton et al., 1998; Krajewski and Smith, 2002), many studies have 42 highlighted the use of high-resolution rainfall data in assessing rainfall variability over various range of spatial and temporal 43 scales (Berne et al., 2004; Gebremichael and Krajewski, 2004; Moreau et al., 2009; Emmanuel et al., 2012) and how their 44 use could improve runoff estimation (Morin et al., 2006; Smith et al., 2007; Schellart et al., 2012; Wright et al., 2014b; 45 Rafieeinasab et al., 2015; Gourley et al., 2017). {Wright, 2014 #230} {Wright, 2013 #4}

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47 There are conflicting findings on the relative importance of rainfall temporal and spatial characteristics. Paschalis et al. 48 (2014), Ochoa-Rodriguez et al. (2015) and Yang et al. (2016), for example, found that "coarsening" temporal resolution 49 has a stronger impact than coarsening spatial resolution, especially for small watersheds. Similar results were found in the 50 study of Paschalis et al. (2014) in a 477 km²-catchment in Switzerland. Adams et al. (2012) found the space-time averaging 51 effects of routing through the catchment noticeably remove the impact of spatially variable rainfall at a 150-km² catchment 52 scale. Bruni et al. (2015), in contrast, found a higher sensitivity of modeled flow peaks to spatial resolution rather than the 53 temporal resolution. Peleg et al. (2017) showed an increasing contribution of the spatial variability of rainfall to the 54 variability of flow discharge with longer return periods. Cristiano et al. (2018); Cristiano et al. (2019) found the spatial 55 aggregation of rainfall data can have a strong effect on hydrological responses. Zhu et al. (2018) examined the influence 56 of rainfall variability on flood frequency analysis and addressed the impact of antecedent moisture in flood generation for 57 various basin scales ranging from 16 km² up to 4,400 km². Using observational data, Zhou et al. (2017) showed that the 58 impact of antecedent moisture is low in a highly urbanized catchment.

59 Previous studies have demonstrated the sensitivity of hydrological response to rainfall variability in both space and time

60 (Smith *et al.*, 2012; Ochoa Rodriguez *et al.*, 2015; Rafieeinasab *et al.*, 2015). The relationship between rainfall and flood 61 are scale-dependent, varying with rainfall patterns, basin characteristics, and runoff generation processes. However, there 62 is still no clear answer on the relative importance of temporal and spatial features of rainfall on flood responses (Cristiano 63 *et al.*, 2017). Moreover, studies focusing on small ($\leq 15 \text{ km}^2$) urbanized basins are relatively few (Peleg *et al.*, 2017) and 64 the issues remain poorly understood.

65 Stochastic Storm Transposition (SST) was developed as a physically-based stochastic rainfall generator for rainfall 66 frequency analysis. Previous studies show that SST with relatively short-term rainfall records (10 or more years) of high-67 resolution radar rainfall fields can produce reasonable rainfall scenarios with realistic spatial-temporal structure, which cannot be provided by conventional design storm methods (Wright et al., 2013; Wright et al., 2017; Zhou et al., 2019). In 68 69 the conventional approach, the idealized assumtions include idealized rainfall temporal structure, uniformed spatial 70 distribution and 1:1 rainfall-flood return periods equivalence (see (Wright et al., 2013; Wright et al., 2017; Zhou et al., 71 2019), and references therein). These assumptions ignore the interaction between spatiotemporal structure of rainfall and 72 flood responses, which increases the uncertainty of frequency estimations. Coupled with hydrological models, the SST-73 based framework can be used for multiscale rainfall frequency analysis and flood frequency analysis that accounts for 74 rainfall variability and surface characteristics (Wright et al., 2014a; Perez et al., 2019; Yu et al., 2019; Wright et al., 2020). 75 Previous studies have demonstrated the sensitivity of hydrological response to rainfall variability in both space and time 76 (Smith et al., 2012; Ochoa Rodriguez et al., 2015; Rafieeinasab et al., 2015). The relationship between rainfall and flood 77 are scale-dependent, varying with rainfall patterns, basin characteristics, and runoff generation processes. However, there 78 is still no clear answer on the relative importance of temporal and spatial features of rainfall on flood responses (Cristiano 79 et al., 2017). Moreover, studies focusing on small (<-15 km²) urbanized basins are relatively few (Peleg et al., 2017) and 80 the issues remain poorly understood.

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This study contributes to the <u>understanding of the</u> interaction between rainfall variability and flood response over smallscale urbanized watersheds (<15 km²) for a short-duration rainfall and quick hydrologic response setting. We build on the SST-based rainfall study of Zhou *et al.* (2019) using the physically-based hydrological model implementation introduced by Smith *et al.* (2015) in-for the Dead Run watershed outside of Baltimore, Maryland, USA. The framework of SST-based rainfall frequency analysis coupled with a hydrological model provides an effective approach for detailed flood frequency study (Wright *et al.*, 2014a; Yu *et al.*, 2019). Under the framework, we characterize the spatial and temporal features of rainfall events under different return periods and examine their roles in determining flood frequency in small urban

89 <u>watersheds. T-by addressing the following questions will be addressed</u>: (1) How does flood frequency in small urban

90 watersheds vary with diverse space-time rainfall structure and rainfall magnitude? (2) Among the space time feature of 91 rainfall, wWhat are the dominant space-time feature of rainfall features that control flood peak distribution in small urban 92 watersheds? By answering the above questions, the study can improve the understanding of interactions between rainfall 93 and flood process in small urbanized area. In addition, some idealized assumption used in the convetional rainfall-flood 94 frequency analysis will be questioned.

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96 Using a framework that combines high resolution realistic SST and radar based rainfall scenarios with model based flood 97 frequency analysis, we characterize the spatial and temporal features of rainfall events under different return periods and 98 examine their roles in determining flood frequency in small urban watersheds. The paper is organized as follows: in Section 99 2, we introduce the study region and describe the SST-based methodology, GSSHA model, and the metrics used to 100 characterize rainfall and flood response. In Section 3, we present model validation and analyses of flood frequency 101 distributions and rainfall-flood relationships. A summary and conclusions are presented in Section 4.

102 2. Data and method

103 2.1 Study region and data

The study focuses on the highly-urbanized 14.3 km² Dead Run (DR) watershed located west of Baltimore, Maryland, USA 104 105 (Fig. 1). DR is a tributary to the Gwynns Falls watershed, which is the principal study catchment of the Baltimore 106 Ecosystem Study (BES;) Pickett and Cadenasso (2006). The basin has an impervious fraction of approximately 52.3% 107 (Table 1). The watershed has a dense network of six stream gauges with drainage areas ranging from 1.2 to 14.3 km² (Fig. 108 1; Table 1). The subwatersheds are developed after the implementation of the Maryland Stormwater Management Act of 109 1982 (Maryland, 1982) with many detention infrastructures such as small local ponds. The wealth of data for Dead Run 110 provides exceptional resources to examine rainfall and hydrologic response (Beighley and Moglen, 2002; Nelson et al., 111 2006; Meierdiercks et al., 2010; Smith et al., 2015). For example, Meierdiercks et al. (2010) analyzed the impact of storm 112 drains and detention basins on a single storm event in DR, while Ogden et al. (2011) used the Gridded Surface Subsurface 113 Hydrologic Analysis (GSSHA) model to analyze the effects of storm drains, impervious area, and drainage density on 114 hydrologic response. Smith et al. (2015) created a DR model using GSSHA to examine the effects of storage and runoff 115 generation processes through analyses of a large number of storm events.





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122 High resolution (15-min temporal resolution, 1-km² spatial resolution) <u>34</u>radar rainfall fields for the 2000-2015 period 123 were derived from volume scan reflectivity fields from the Sterling, Virginia WSR-88D (Weather Surveillance Radar-1988 124 Doppler) radar. The two-dimentional radar rainfall fields are then developed from the reflectivity fields using the The 125 Hydro-NEXRAD algorithms (Krajewski et al., 2011) which have been used in rainfall and hydrological studies (Smith et 126 al., 2007; Lin et al., 2010; Smith et al., 2013; Wright et al., 2014b; Zhou et al., 2017) are used to estimate rainfall from 127 reflectivity fields. The Hydro-NEXRAD algorithms includes quality control algorithms, Z-R conversion of reflectivity to 128 rainfall rate, time integration, and spatial mapping algorithms (Seo et al., 2011). To improve the rainfall estimates, a 129 multiplicative mean-field bias correction (Smith and Krajewski, 1991; Wright et al., 2012) is applied on a daily basis using <u>a network of 54 rain gauges in and around the Baltimore County. The bias computation takes the form</u> $B_i = \frac{\sum_{i} G_{ij}}{\sum_{s, R_{ij}} . Where}$ 130 131 G_{ii} is the rainfall accumulation for gage *i* on day *i*, R_{ii} is the daily rainfall accumulation for the co-located radar pixel 132 accumulation on day i, and S_i is the index of the rain gage stations for which both the rain gage and the radar report positive 133 rainfall accumulations for day *i*. Each 15-min radar rainfall field from day *i* is then multiplied by B_i. - A network of 54 rain 134 gauges in and around Baltimore City is used for mean field bias correction of the radar rainfall. The reader is directed to 235 Zhou et al. (2019) and references therein for further details on the rainfall data and bias correction methods.

136 Instantaneous discharge data with a resolution of five minutes from the U.S. Geological Survey (USGS) were used for DR-

137 <u>1, DR-2, DR-5, and Franklintownfor each of the six gaged watersheds</u>. For DR-3 and DR-4, the discharge data is converted

through stage discharge curves from (Lindner and Miller, 2012). Streamflow observations for the outlet station at

- 139 Franklintown extend back to 1960. <u>T, while the DR1 DR5 subwatersheds stations have records beginning in 2008.</u>
- 140 **Table 1: Characteristics of Dead Run watershed** (Smith *et al.*, 2015).

	<u>USGS ID</u>	<u>Area</u> (km ²)	Developed Land ^a	<u>Imperviousness</u> (%)	Dentention controlled area ^b (%)
<u>DR1</u>	<u>01589317</u>	<u>1.32</u>	<u>99%</u>	<u>73.6</u>	<u>41.9</u>
<u>DR2</u>	<u>01589316</u>	<u>1.92</u>	<u>98%</u>	<u>55.5</u>	<u>18.5</u>
<u>DR3</u>	<u>01589320</u>	<u>4.95</u>	<u>98%</u>	<u>62.2</u>	<u>24.4</u>
DR4	<u>01589315</u>	<u>6.29</u>	<u>98%</u>	<u>51.5</u>	12.2
DR5	01589312	2.05	<u>96%</u>	<u>47.9</u>	3.2
Franklintown	<u>01589330</u>	<u>14.3</u>	<u>96%</u>	<u>52.3</u>	25.1

Note:

a. Developed lands include "Developed, open space" (>20% impervious surface), "Developed, low intensity" (20%-49% impervious surface), "Developed, medium intensity" (50%-79% impervious surface), and "Developed, high intensity" (80% or more impervious surface). Data source: USGS 2012 National Land Cover Dataset (NLCD).

b. Dentention controlled areab refers to the area controlled by detention infrastructure.

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142 **2.2 GSSHA Hydrological Model**

143 The distributed physics-based GSSHA model is used to simulate multi-scale flood response. GSSHA is a two-dimensional,

144 distributed-parameter raster-based (i.e. square computational cell-based) hydrologic modeling system. It uses explicit finite

difference and finite volume methods in two dimensions on a structured grid to simulate overland flow and in one dimension

to simulate channel flow (Downer and Ogden, 2004; 2006). Previous studies of the GSSHA model show that the model

147 with fine grid resolution can produce adequate simulations of flood response, especially when driven by high-resolution

radar rainfall fields (Sharif et al., 2010; Sharif et al., 2013; Wright et al., 2014a; Cristiano et al., 2019).

- 149 In this study, we use the Dead Run model created by Smith et al. (2015). A brief description of the model is provided here;
- 150 see Smith et al. (2015) for more details. The delineation of the watershed and channel network was based on a 30-m USGS
- digital elevation model (Gesch et al., 2002). Channel flow overland flow was set with different Manning's roughness
- 152 coefficients. Additional stream channels were added based on the Baltimore County hydrography Geographic Information

Systems- (GIS) map. Stream cross sections were extracted from a 1-m resolution topography data set for Dead Run developed from lidar. Storm sewers in DR-2 and DR-5 were added using the Baltimore County Stormwater Management GIS map and digitized storm sewer maps. The semicircle's diameter was set to the pipe diameter. Detention basins were represented within the channel with cross sections extracted from the 1-m lidar topographic data.<u>3</u>

Several aspects of the model were modified from those used in Smith *et al.* (2015), primarily to improve computational speed. Infiltration is calculated using Richards' equation (RE) in Smith *et al.* (2015), while this study uses the three-layer Green-Ampt (GA) scheme. A uniform Manning's roughness coefficient of 0.01 is set for all the stream channels for model simplification. Initial soil moisture is approximated to be one third of field capacity for each storm event.

161 **2.3 SST procedure**

162 The rainfall scenarios in this study are developed using RainyDay, an open-source SST software package (Wright *et al.*, 163 2017). The steps used are briefly summarized here; the reader is directed to Zhou *et al.* (2019) and references therein for 164 further details.

The first step is to identify a geospatial "transposition domain" that contains the watershed of interest. In this study, we use a square 7,000 km² transposition domain centered on the DR watershed. (Zhou *et al.*, 2019) presented a detailed examination of heterogeneity in extreme rainfall over the transposition domain using a variety of metrics, including storm counts, mean storm depths and intensities, convective activity indicated by lightning observations, and analysis of spatial and temporal rainfall structure.

170 The second step is to identify the largest *m* storms within the domain at the *t*-hr time scale. This collection of storms is 171 referred to as a storm catalog. The storms are selected with respect to the size, shape and orientation of the DR watershed. 172 We henceforth refer to these as "DR-shaped storms." The *m* DR-shaped storms are selected from an *n*-year rainfall record, 173 such that an average of $\lambda = m/n$ storms per record year are included in the storm catalog. In this study, we chose m = 200174 storms over the 16-year radar record.

The third step is to randomly sample a subset of *k* storms from the storm catalog, where *k* refers to a stochastic number of storms per year. The *k* is assumed to follow a Poisson-distributed number of storm occurrences with rate parameter $\lambda = m/n$ storms per year. All rainfall fields associated with a storm are transposed by an east-west distance Δx and a north-south distance Δy , where Δx and Δy are drawn from distributions $D_X(x)$ and $D_Y(y)$ which are bounded by the limits of the transposition domain. Based on the spatial heterogeneity analysis of extreme rainfall in the domain, distributions $D_X(x)$ and $D_Y(y)$ can be set as uniform or non-uniform. In Zhou *et al.* (2019) and this study, since the assumption of regional homogeneity cannot be relaxed, we used the non-uniform distribution. A two-dimensional probability density function (PDF) of spatial storm occurrence (Wright *et al.*, 2017)-and an intensity factor that rescales the rainfall magnitude (Zhou et al., 2019) are-is_used as the basis for non-uniform spatial transposition (Fig. A1 in Appendix A). This step can be understood as generating a "synthetic year" of extreme rainfall events over the domain based on resampling and transposing observations. For each of the *k* transposed storms, compute the *t*-hr basin-average rainfall depth over the watershed. Among the *k* rainfall depths, the maximum depth is retained as a synthetic *t*-hr annual rainfall maximum for the watershed, while the transposed rainfall fields are saved for use as inputs to a GSSHA model simulation.

The fourth step simply-repeats Step 3 many- \underline{S} times to recreate multiple years of synthetic *t*-hour "annual" rainfall maxima and associated transposed rainfall fields for the watershed. In this study, these steps are repeated $\underline{S} = 31,000$ times and the ordered "annual" maxima are used to generate rainfall return period estimates up to $\underline{2500}$ years. $\underline{31,000}$ such realizations of $\underline{2500}$ -yr series are generated, and the median value of $\underline{1,0300}$ realizations are used to generate estimates for return periods up to $\underline{2500}$ years.

193 2.4 Characteristics of rainfall and hydrologic response

194 2.4.1 Spatio-temporal characteristics of rainfall

195Rainfall statistics were computed for each event, based on radar rainfall data at 15-min, 1-km² resolution, to characterize196the spatial and temporal variability of rainfall (following Smith *et al.* (2002); Smith *et al.* (2005b); see also Zoccatelli *et al.*197(2011) and Emmanuel *et al.* (2015)). For basin scale of A, Bthe basin-average rainfall rate (mm/h) at time t during the storm198is given by:

199
$$M(t) = \int_{\theta A}^{t} R(t, x) dx$$
 (1)

where R(t, x) is the rain rate at radar grid x at time t, and T is the time period of rainfall event. Peak basin-average rainfall rate (mm/h) is denoted:

202
$$M_{max} = \max\{M(t); t \in [0, T]\}$$
 (2)

203 and storm total rainfall depth (mm) is:

$$R_{sum} = \sum_{0}^{T} M(t)$$
(3)

To characterize the spatial properties of rainfall, several <u>dimensionless</u> quantities are computed. Fractional coverage of storm core at t is given by:

207
$$Z(t) = \frac{1}{A} \int_{A} I_{(R(t,x))} dx$$
(4)

208 where $I_{(R(t,x))}$ is the indicator function and equals 1 when R(t,x) > 25 mm/h or 0 otherwise.

1

210
$$L(t) = \int_{A} \omega(t, x) d(x) dx$$
(5)

211 where
$$\omega(t, x) = \frac{R(t, x)}{\int_A R(t, x) dx}$$
, $d(x)$ is the linear distance from point x to the outlet. –The rainfall-weighted flow distance is:

212
$$\operatorname{RWD}(t) = \int_{A} \omega(t, x) d_f(x) dx \tag{6}$$

where distance function $d_f(x)$ is the flow distance between point x and the outlet. It is calculated as the sum of the overland flow distance from x to the nearest channel and the distance along the channel to the basin outlet. The flow distance $d_f(x)$ is normalized by the maximum flow distance, ranging from 0 to 1. RWD with values close to 0 indicates that rainfall is distributed near the basin outlet; with values close to 1 indicates rainfall concentrated at the far periphery of the basin. For a uniformly distributed rainfall, the mean RWD is:

218
$$\overline{\text{RWD}} = \int_{A} d_{f}(x) dx$$
(7)

219 The dispersion of RWD:

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$$S(t) = \frac{1}{\bar{s}} \int_{A} \omega(t, x) [d_f(x) - \bar{d}]^2 dx$$
(8)

where $\bar{s} = \int_{A} [d_f(x) - \bar{d}]^2 dx$, S is a spatial indicator with values < 1 indicates that rainfall is a unimodal distribution, that is, spatially one peak over the watershed; S with values >1 indicates that rainfall is a multimodal distribution.

223 The Eqs.1-3 are typical rainfall characteristics used in conventional rainfall-flood analysis since they reflect the general

information of rainfall. Since the basin-averaged index will ignore the potential spatial heterogeneity over the watershed,
 Eqs. 4-8 describe the spatial distribution of rainfall within the area.

226 2.4.2 Spatiotemporal characteristics of hydrologic response

- Flood peak (Q_{peak} , mm³/s), total runoff (Q_{sum} , mm), and lag time (T_{lag} , min) are defined as:
- 228 $Q_{peak} = \max\{Q(t); t \in T_d\}$ (9)

$$229 \qquad \mathbf{Q}_{sum} = \sum_{0}^{T_d} Q(t) \tag{10}$$

$$230 T_{lag} = T_{Fpeak} - T_{Rpeak} (11)$$

231 Respectively, where Q(t) is the flow discharge at time t; T_d is the duration of hydrological response, which is from the

start of rainfall event to the time when $f(t) < 0.05 * Q_{peak}$.

233 3. Results and Discussion

234 3.1 Model validation

We validated the Dead Run GSSHA model through analyses of the 21 largest warm season (April-September) flood events with peak discharges ranging from 70.3 to 253 m³/s in the 2008-2012 period. The simulated discharge was compared to USGS streamflow observations for all six gaging stations. We assessed peak discharge, peak time and Nash-Sutcliffe Efficiency (NSE) (Nash and Sutcliffe, 1970) to examine the performance of the model. The GSSHA modeled and USGS gage measured hydrographs for three storm events are compared in Fig. A2-A4 in Appendix A.

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241 Peak discharge difference is calculated as the difference between the modeled peak and measured peak as a percentage of 242 the measured peak (Fig. 2a). The peak discharge is underestimated at DR2, DR4, DR5 and Franklintown. The median peak 243 discharge difference at the downstream Franklintown gage was -14%. For the subwatersheds, the modeled peak at DR2 244 matches observation best with a median difference of -7.8%. This represents relatively good performance in reproducing 245 peak discharges for such a large collection of flood events with various peak discharges ranging from 70 m³/s to 253 m³/s. 246 The peaks at DR1 are overestimated substantially by 57% on average Median peak discharge differences across all 21 247 events range from 35% to 57% (Fig. 2a). The largest difference is in sub basin DR 1. The reason of less fit between 248 observations and model The issue at DR-1 was shown before in is likely that it has a large area of land which was not 249 represented fully on county storm sewer maps (Smith et al., 2015) who speculate that the watershed contains a large land 250 area which is not represented fully on county storm sewer maps. The median peak discharge difference at the watershed 251 outlet was 14%.

-The peak time difference is calculated as the time difference between the simulated peak time and measured peak time
(Fig. 2b). The median difference ranges from -15 min to +10 minutes, which is within the temporal resolution of the data
(15 min_utes for rainfall; 5 minutes for streamflow). It should be noted that there are several large peak time differences
occurred within the 21 storm events. These are due to the storms that produce multiple discharge peaks. The measured
discharge may have the first peak as the largest while the modeled discharge has the next peak as the largest which is
hundreds of minutes later. Nontheless, the figure shows that the timing of the peak fow is well captured by the model.

The median Nash-Sutcliffe Efficiency (NSE) for the 21 events at Franklintwon is 0.77 (Fig. 2c). The best NSE at Franklintown is 0.97 indicating that the match between model and measured data was nearly exact. For the <u>subwatersheds</u> sub basins, the best median NSE is at DR-4 with a value of 0.74, while the least median NSE is at DR-1 with a value of 0.21. The reason of less fit between observations and model at DR-1 is likely that it has a large area of land which was not
 represented fully on county storm sewer maps (Smith *et al.*, 2015). The results show that the main tendency of flood
 response is captured by the model.





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Figure 3. Hydrographs and rainfall for the the 14 August 2011 storm event. Time refers to minutes from the start
 of the model simulation.

274 It should be noted that the error in simulated response may be attributable to measurement errors tied to stage-discharge 275 curves and to conversions of radar reflectivity to rainfall rate, as well as to the features that were simplified within the 276 model, such as initial soil moisture and some aspects of the storm drain network (Smith et al., 2015). For example, it has been documented that the average error of discharge between USGS direct measurements and stage-discharge curves for 277 278 Franklintown is 17.4% between 2008 and 2010 (Lindner and Miller, 2012); this error likely grows for high flow conditions. 279 Furthermore, for the rainfall data set used in this study, the median difference of the storm total rainfall between a rain gage 280 and the bias-corrected radar rainfall data for all the pixel of gages over the 21 storms is 22.6% (Smith et al., 2015). It may 281 also increase the error in the measurements and modeling results. {Potter, 1981 #688} {Potter, 1985 #689} {Lindner, 2012 282 #690} {Smith, 2015 #126}

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Overall, the validation shows that the <u>hydrological-physically based</u>, <u>minimally calibrated</u> model can capture the main shape and timing of the measured response in Dead Run. We therefore conclude that the model is suitable for the subsequent flood frequency analysis. It should be noted that the errors in simulated response may be attributable to measurement errors tied to stage discharge curves and to conversions of radar reflectivity to rainfall rate, as well as to the features that were

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3.2 Flood frequency distribution

Under the SST framework, 3-h rainfall scenarios for 10-yr, 50-yr, 100-yr and 200-yr return periods were generated (Fig.
 A5-A2 in Appendix A). For each rainfall return period, 300 realizations of rainfall events are used as input to drive the
 hydrological model. Henceforce, for each rainfall return period, 300 flood responses can be simulated We then simulated
 hydrographs using the GSSHA model and rainfall scenarios ff or Franklintown and the five DR subwatershedss.

simplified within the model, such as initial soil moisture and some aspects of the storm drain network (Smith et al., 2015).

294 **3.2.1 Flow discharge estimates**

295 The distribution of maximum discharge at the Franklintown gage for rainfall return periods ranging from 10 to 200 years 296 is illustrated in Fig. 3a4a. To compare the distributions of rainfall and flood peaks, the values are normalized to range from 297 0 to 1. The normalization is the ratio of values minus the minimum to the maximum minus minimum. The most striking feature is that the distributions of total rainfall and flood peaks are highly variable across the four return periods. The kernel 298 299 density distribution of rainfall shows a peak at the position of 50th quantile for four return periods. The distribution of flood 300 peak is more complex. For the 100-yr rainfall return period, the kernel density distribution of flood peaks shows a 301 multimodal trend with two small peaks around the 25th and 75th quantiles, which contrasts with the unimodal distribution 302 of rainfall. The following results will show that flood peak is highly related to spatial rainfall features, implying that the 303 multimodal distribution of flood peaks is associated with the spatial distribution of rainfall. For the 200 yr rainfall return 304 period, the interquartile range (IQR) is larger than other return periods. The relative standard deviation, known as the 305 coefficient of variation (CV), is used to present the dispersion of peak distribution. CV is defined as the ratio of the standard 306 deviation to the mean. Unlike the IQR results, CV decreases with increasing return period. According to (Zhou et al., 2019), 307 the variability of basin average total rainfall increases with return period. The pronounced difference in the distributions 308 of total rainfall and flood peaks highlights a complex relationship between rainfall properties and flood response in this 309 relatively small urbanized watershed.

The flood response time is calculated as the difference between the time of maximum rainfall rate and maximum discharge (Fig. 3b4b). Median values of response time are similar under all return periods, ranging from 70 to 83 minutes, which, given the temporal resolution of rainfall is 15 minutes, can be similar for all four return periods. It can be concluded that although the flood peak magnitude increases with rainfall return period, the response time is consistent for various rainfall scenarios. This implies in this small highly urbanized watershed the response time is more linked to the drainage system rather than to rainfall characteristics.



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317 <u>Figure 34. Violin plots of (a) normalized flood peak and normalized total rainfall; and (b) response time-based on</u> 318 <u>the 3-h design storms from 10-y to 200-y rainfall return periods. (The red dot indicates mean value. Dashed line in</u> 319 <u>the middle indicates the median value. Upper and lower dashed lines indicate the 75th and 25th quantiles,</u> 320 <u>respectively.) The rainfall return periods are calculated with respect to average rainfall rate over the entire DR</u> 321 <u>watershed.</u>

Figure 4-5 demonstrates the simulated hydrographs for the four return periods. The upper and lower spread (75th and 25th 323 324 quantiles) of the hydrograph indicates the range of variability of simulated hydrographs. For the 10-yr return period, the 325 hydrograph is relatively smooth with smaller spread. With increasing return period, the hydrograph is peakier with shorter 326 duration of high magnitude discharge. The hydrograph for the 50-yr return period shows a transitional shape between small 327 (10-yr) and large (100-yr and 200-yr) rainfall return periods. For the 100-yr return period, the upper spread shows a 328 tendency toward dual peaks, which cannot be revealed from conventional design flood practices. Since in the conventional 329 rainfall flood frequency approach, the design storm is temporally idealized as a unimodal peak process. By using theses 330 design storm, the flood response is generally simulated as a unimodal peak process. The above results imply the uncertainty 331 and insufficiency of flood frequency analysis in the conventional method. For the 200-yr return period, the hydrograph is 332 peakiest with a large upper spread.



333

Figure 4<u>5</u>. Time series of simulated hydrographs for Franklintown based on the 3-h design storms from 10-yr to
 200-yr return periods with spatially uniform (blue) and spatially distributed (red) rainfall. The grey bar indicates
 the median value of basin-averaged rainfall rate.

338 **3.2.2 Spatial distribution of flood magnitude**

339 The distribution of flood peaks over the five subwatersheds exhibits contrasting variation with rainfall return periods 340 ranging from 10 to 200 years (Fig. 56). Generally, basin scale plays an important role in determining the distribution of 341 flood magnitudes. Under the 10-yr rainfall return period, DR1 and DR2, with similar basin scales of 1.32 and 2.01.92 342 km² respectively, have higher flood peaks and interquartile ranges than other subwatersheds. DR5 (2.1–05 km²) has 343 comparable flood magnitude with DR4 (6.3 km²) and Franklintown (14.3 km²), while has a a larger interquartile range than 344 the latter two. DR3 with a basin scale of 4.95 km², has comparable flood magnitudes with DR1 and DR2. Under the 200-345 yr rainfall return period, DR2 and DR3 has a slightly larger flood magnitude than DR1. DR5 has the largest interquartile 346 range than others, though its flood peaks are smaller than other small watersheds.



347 348

Figure 56, Boxplots of normalized flood peaks for Franklintown and five subwatersheds.

350 Results show that sub-basin flood distributions vary significantly with rainfall return periods. DR1 with 33% larger 351 impervious area and more than double of dentention controlled area than DR2 (Table 1), h-has 26% larger median flood peaks under small small rainfall return period. F. For large return periods, DR2 has a slightly larger median peak and a 352 353 DR2 has larger peak and interquartile range than DR1. The contrasting peaks in DR1 and DR2, implying imply that flood 354 peaks are less impacted by impervious area for extreme storms whil for small rainfall events, detention infrastreture may 355 play a less role in the detention of flood peaks. s. DR5, with the smallest dentention controlled area by detention 356 infrastructure, has the smallest flood peaks under small rainfall return period. Under large return period, however, it has 357 the largest changes in peak discharges with comparable flood peaks with subwatersheds larger than 6 km². DR3 and DR4, 358 with basin scale of 4.95 and 6.29 km², have contrasting flood magnitude under small and large return periods. DR3 with 359 larger impervious area and dentention controlled area has larger flood peaks than DR4. The difference is more significant 360 for small rainfall events with the median value of flood peak for DR3 more than double that of DR4. From these results, it 361 can be concluded implies that impervious area and dentention controlled area play a significant role in determining the peak 362 discharges, but the impact reduces with increasing rainfall return period. The less dentention controlled sub basin has larger 363 flood variability under large return period. The detention infrastructure impacts flood peak and its variability. It should be 364 noted that difficulties remain in attributing specific changes in urban flood peak distributions to specific urbanization 365 characteristics (Zhou et al., 2017). The role of specific urban features in flood responses is beyond the scope of this paper.

366

367 We further examine the spatial distribution of flood magnitude over the Dead Run watershed under the 100-yr return period

368 of flood at Franklintown (Fig. 67). The dimensionless flood index is used to compare flood peak magnitudes over the 369 watershed (Lu et al., 2017). The flood index is computed as the maximum flow discharge divided by the computed 10-yr 370 flood (Q_{10-y}) at the same location, which is set as the median value of 10-yr peak discharge at the watershed outlet for each 371 100-yr design storm simulation. At Franklintown, the flood index and its interquartile range are largest across the 372 watersheds, with the median value greater than 2.5. The flood index in the five sub-watersheds is relatively lower, within 373 a median value between 1.5 and 2. DR2, as a sub-watershed of DR3, has a larger median value than DR1 and DR3. The 374 flood indices at DR1 and DR3 have similar median values and interquartile ranges. Values in DR4 are higher than its sub-375 watershed, DR5, with a median value of 2. The variability of flood magnitudes, indicated by the CV, is stable among the 376 watersheds, ranging from 0.30 to 0.39. The spatial distribution of flood magnitude points to the significant heterogeneity 377 of flood distributions over the 14.3-km² watershed. For storm events that produce the same peak discharge return period at 378 the watershed outlet, the subsequent upstream flood response can vary substantially in the Dead Run watershed.



380 Figure 6.7. Boxplot of flood index across the DR subwatersheds for the 100-yr design storms.

381

379

382 3.3 Rainfall-Flood Relationships

383 3.3.1 Rainfall structure and flood response

We investigate the relationship between the spatial and temporal characteristics of rainfall and flood response for small and large rainfall return periods based on Spearman's rank correlation (Fig. A<u>36</u> in Appendix A). The peak rainfall rate (M_{max}), total rainfall (R_{sum}), fractional coverage (Z), rainfall location (L), rainfall-weighted flow distance (RWD) and the dispersion of RWD (S) are used to characterize rainfall spacetime structure. For the 10-yr return period, the flood peak is somewhat slightly correlated with total rainfall, peak rainfall rate and storm core coverage with correlation coefficient of 0.16. For the 200-yr return period, in contrast, there is no significant correlation between these features with correlation coefficients of -0.09, 0.07 and -0.02, respectively, implying a complex and nonlinear relationship between extreme storms and floods in the watershed.

392 We used random forest regression models to examine the importance of rainfall characteristics to the flood response. 393 Random forests (RF) is an ensemble learning method (Breiman, 2001) that aggregates results from multiple models to 394 achieve better accuracy. RF is one of the most widely-used method for regression and classification. Moreover, it is 395 relatively easy to train and tests. In this study, Rrainfall spacetime structure characteristics are used as RF model features. 396 {Breiman, 2001 #465} The flood peak is set as the model target. The relationship between rainfall structure and flood peak 397 is then explored under the RF-based regression method. The main parameters of RF model are tuned by a grid search 398 approach_(Probst et al., 2019). The prediction performance is assessed using Mean Absolute Error (MAE), Root Mean 399 Square Error (RMSE), and explained variance regression score (E score) (Achen, 2017). Smaller values of MAE and RMSE 400 indicate better model performance. E score ranges from 0 to 1 and a larger value indicates a better model (The training 401 process of RF model is shown in Fig. A47 in Appendix A).

402 The difference in feature importance is compared between the 10-yr and 200-yr return periods (Fig. <u>87</u>). For the 10-yr 403 return period, peak rainfall rate (M_{max}) and total rainfall (R_{sum}) are the most two important features with feature importance 404 of 0.17. For the 200-yr return period, however, the dispersion of RWD (S) and fractional coverage of storm core (Z) are 405 more important than $\underline{M_{max}}$ peak rainfall rate and $\underline{R_{sum}}$ total rainfall. The rainfall location (L) has the smallest importance for 406 both return periods. The results demonstrate the different relationships between rainfall structure and flood response under 407 small and extreme rainfall events. For extreme storms, the maximum discharge is more closely linked to the spatial structure 408 of rainfall, which is consistent with the results in {Peleg, 2017 #313}; (Peleg et al., 2017; Zhu et al., 2018) {Zhu, 2018 409 #584}. Though it appears that the difference is moderate, but for a such small watershed, the tendency of the change of

410 <u>spatiotemperol rainfall feature importance is noteworthy.</u>



412 <u>Figure 87. Feature importance analysis of RF model for space-time rainfall structure and 10-yr (red) and 200-yr</u>
 413 (blue) flood peaks.

411

The temporal shapes of hydrographs and hyetographs are compared by using the coefficient of skewness (Fig. 8). The 415 416 skewness is used to assess the shape of rainfall process and discharge process. A negative value of skew indicates a left tail 417 of the distribution, and positive indicates a right tail. For the 10 yr return period, the rainfall skewness ranges from 0.1 to 418 3.5, demonstrating the mixed shapes of temporal distribution. Similar features are found for discharge shapes. For the 200-419 yr return periods, the skewness of discharge is mostly positive while the skewness of rainfall events still varies from -1 to 420 2.5. The general conclusion of these analyses is that regardless of the temporal distribution of rainfall, the flood response 421 is relatively rapid, highlighting the role of the urban drainage system for the hydrographic response. The relationship 422 between the variability of discharge and rainfall is not significant for the four return periods, which implies that in a highly-423 urbanized watershed, drainage rainfall variability the system smooths somewhat.



. _ .

425 <u>Figure 8. Scatter plots of skewness of rainfall and peak discharge left: 10-yr return period; right: 200-yr return</u>

426 period.



428 **3.3.2 Rainfall return period vs. flood return period**

429 In conventional design storm/flood practices, the return period of rainfall and peak discharge is often assume to be 430 equivalent (Rahman et al., 2002). Under the SST framework, we can examine this assumption (Wright et al., 2014a). At 431 the 14.3-km² basin scale, F for each SST realization containing 100 rainfall scenarios with return period from 5 years up to 432 100 years, the peak discharge can be simulated and ordered. Flood frequency for return periods from 5 years up to 100 433 years are then estimated from the ordered peaks. We run 30 SST realizations in total. The Spearman's rank correlation of 434 the two return periods is 0.5 (Fig. 29). The results quantitatively confirm that the assumption of a 1:1 return period 435 equivalency between design storm and design flood cannot hold, even in a small highly-urbanized watershed where 436 drainage network and rainfall structure play an important role in flood response. Similar results can be found between 437 subbasins flood and DR-scale rainfall return periods (results not shown for the sake of brevity).



439 <u>Figure 9. Scatterplot comparison return periods for rainfall and peak discharge for individual SST-based</u> 440 <u>simulations.</u>

441

438

442 **3.3.3 Impact of rainfall spatial heterogeneity on flood responses**

⁴⁴³ We also compared the simulated flood response resulting when rainfall is uniform over the watershed, rather than spatially

444 distributed as in previous analyses (Fig. 4 and Table 2). Generally, the flood peaks generated from uniform rainfall have 445 lower peaks than for non-uniform rainfall. The difference increases with return period. Under the 10-yr return period, the 446 shapes of the two hydrographs have similar upper and lower bounds (75% and 25% quantiles). The median flood peak 447 using non-uniform scenarios is 22% higher than the uniform scenarios. Under the 200-yr return period, the hydrograph resulting from non-uniform rainfall is much peakier than the uniform SST scenarios with higher upper and lower bounds. 448 449 The lower bound of hydrograph by non-uniform SST scenarios is close to the median hydrograph of uniform SST scenarios. 450 The impact of rainfall spatial heterogeneity among the five subwatersheds is different. DR1, with a basin scale of 1.32 km² 451 and located in the north-west boundary of the watershed, was the least-impacted by rainfall spatial distribution for all return 452 periods. In DR2, on the other hand, which is similar in drainage area to DR1, the flood peak increased by 46% for the 200-453 vr return period. For DR3 and DR4, the spatial heterogeneity of rainfall contributes more to the flood peaks in DR4 than 454 in DR3. The most striking difference in flood peaks is in DR5 for the 50-yr return period. The difference in flood magnitude 455 is 75%. As mentioned above, DR5 is the sub-basin with the least dentention controlled area. This finding is likely tied to 456 the complex relationship between space-time rainfall structure and the drainage network. We can thus conclude that the 457 spatial heterogeneity of rainfall can increase flood peaks dramatically under both small and large return periods. The impact 458 increases with return period. This result shows that the assumption of spatially uniform rainfall will underestimate flood 459 frequency.

460

	<u>T=10 yr</u>	<u>T=50 yr</u>	<u>T=100 yr</u>	<u>T=200 yr</u>
<u>DR1</u>	<u>14%</u>	<u>20%</u>	<u>13%</u>	<u>26%</u>
<u>DR2</u>	<u>19%</u>	<u>40%</u>	<u>28%</u>	<u>42%</u>
DR3	<u>24%</u>	<u>33%</u>	<u>27%</u>	<u>31%</u>
<u>DR4</u>	<u>32%</u>	<u>51%</u>	<u>38%</u>	<u>35%</u>

75%

36%

<u>37%</u>

<u>31%</u>

30%

<u>42%</u>

Table 2. The median flood peak reductions using spatially uniform and spatially distributed rainfall.

15%

<u>22%</u>

461

462 **4. Summary and conclusions**

DR5

Franklin

This paper addresses the problem of the impacts of short-duration rainfall variability on hydrologic response in small urbanized watershed. By coupling a high-resolution radar rainfall dataset and stochastic storm transposition (SST) with the GSSHA distributed physics-based model (see also (Wright *et al.*, 2014a; Zhu *et al.*, 2018)), the relationships between rainfall spatiotemporal structure and urban flood response is examined. The main findings are as follows: 1. The flood frequency distributions for subwatersheds within the highly-urbanized 14.3-3-km² Dead Run watershed demonstrates the complexitiesy of flood response for both short and long rainfall return periods. Especially ff or 3-h extreme storms, the distribution of flood peaks shows large variability. The large variability of flood magnitude shows a pronounced role of rainfall space-time structure in flood production. This calls into question the commonly-made design storm assumption of spatially uniform rainfall. The response time is less affected by rainfall structure and appears to be more closely associated with the basin scale and drainage network features.

2. The spatial heterogeneity of flood frequency over the 14.3-km² watershed is striking for the 100-yr return period. The intercomparison between subwatersheds show that the impact of impervious area decreases with increasing return periods. The subbasin with the least detention infrastructure shows the largest flood variability for long return periods. For the_100-yr return period, the flood index of five subwatersheds are different from that of their downstream outlet. It shows that storm events that produce the same peak discharge return period at the basin outlet can be the result of very different upstream flood responses even in a small-scale watershed.

479 3. The relationship between the spacetime structure of rainfall and flood response is complex. The random forest based 480 feature importance analysis shows very different relationships between rainfall structure and flood response for frequent 481 vs. extreme rainfall events. For smaller and more frequent rainfall events, flood peaks are more closely linked to the 482 temporal features of rainfall (total rainfall and peak rainfall rate). For extreme storms, the maximum discharge is closely 483 linked to the spatial structure of rainfall (storm core coverage). This finding is broadly consistent with Peleg et al. (2017) 484 and Zhu et al. (2018), despite the very different drainage scales considered in those studies. There is no significant 485 correlation between rainfall peak, total rainfall and flood peaks, implying an important role of surface properties in 486 urbanized watersheds. Similar to Wright et al. (2014a), this comparison calls into question the conventional design storm 487 assumption of a 1:1 equivalency between rainfall and flood peak return periods.

4. The spatial heterogeneity of rainfall is a key driver of flood response across scales. Relative to spatially uniform rainfall, 489 spatially distributed rainfall can increase flood peaks by 50% on average at the watershed outlet and its subwatersheds for 490 both small and large return periods. This finding is broadly consistent with prior results at much larger scales in an 491 agricultural setting (Zhu *et al.* (2018)) and suggests both spatial and temporal rainfall distributions need to be considered 492 in flood frequency analyses, even in relatively small urban watersheds. This study also implies that the drainage network 493 substantially alters the impact of rainfall characteristics on the runoff.

494 Coupling the GSSHA model and SST-based rainfall frequency analysis, this study provides an effective approach for 495 regional flood frequency analysis for urban watersheds. Some idealized assumptions used in the conventional methods are

496 <u>questioned</u>. It-<u>The approach</u> can be used to explore the dominant control on the upper tail of urban flood peaks, without

- 497 many of the limiting assumptions associated with design storm methods. The study area could be extended in future work
- 498 with larger basin scales and by manipulating the spatial heterogeneity of basin characteristics within GSSHA or other
- 499 similar modeling systems.

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502 Data availability

503 Radar data are archived at Princeton University and can be downloaded from the url 504 http://arks.princeton.edu/ark:/88435/dsp01q524jr55d.

505

506 Author contributions.

507 Main contributions from each co-authors are as follows. Zhengzheng Zhou contributed to computation and organization of 508 the paper. James A. Smith contributed to the supervision and writing. Mary Lynn Beack is responsible for generating the 509 radar rainfall data. Brianne K. Smith contributed to the construction of the initial hydrological model. Daniel B. Wright 510 contributed to the writing of the paper. Shuguang Liu contributed to the supervision and writing.

511 512

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715 Figure 1. Overview of Dead Run study region including (a) location of DR, elevation, and transposition 716 domain of SST; (b) land use land cover and stream gages. Land use land cover was obtained from the National 717 Land Cover Data set (NLCD, http://www.mrlc.gov)



Figure 2. Comparison of (a) flood peak discharges, (b) response times and (c) NSE for 21 historical rainfall events.

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Figure 3. Violin plots of (a) normalized flood peak and normalized total rainfall; and (b) response time based on the
 3-h design storms from 10-y to 200-y return periods. (The red dot indicates mean value. Dashed line in the middle
 indicates the median value. Upper and lower dashed lines indicate the 75th and 25th quantiles, respectively.)



median value of basin-averaged rainfall rate.

yr return periods with spatially uniform (blue) and spatially distributed (red) rainfall. The grey bar indicates the







Figure 7. Feature importance analysis of RF model for space-time rainfall structure and 10-yr (red) and 200-yr (blue)
 flood peaks.



Figure 8. Scatter plots of skewness of rainfall and peak discharge—left: 10-yr return period; right: 200-yr return
 period.



740 Figure 9. Scatterplot comparison return periods for rainfall and peak discharge for individual SST-based 741 simulations.

Table 1: Characteristics of Dead Run watershed.

	USGS ID	Area (km²)	Developed Land (%)	Imperviousness- (%)	Controlled - area (%)
DR1	01589317	1.32	99%	73.6	41.9
DR2	01589316	1.92	98%	55.5	18.5
DR3	01589320	4.95	98%	62.2	24.4
DR4	01589315	6.29	98%	51.5	12.2
DR5	01589312	2.05	96%	4 7.9	3.2
Franklintown	01589330	14.3	96%	52.3	25.1

Table 2. The median flood peak reductions using spatially uniform and spatially distributed rainfall.

	T=10 yr	T=50 yr	T=100 yr	T=200 yr
DR1	14%	<u>20%</u>	13%	26%
DR2	19%	40%	28%	42%
DR3	24%	33%	27%	310/
DR4	32%	51%	38%	35%
DR5	15%	75%	37%	30%
Franklin	22%	36%	31%	42%



Appendix A





Figure A3: Correlation between space-time rainfall structure and flood responses at Franklintown under 10-yr and 200-yr
 return periods.



758 Figure A4: The parameter tuning process of RF model (use RMSE for example)759