

# Scaling procedure for straightforward computation of sorptivity

Laurent Lassabatere<sup>1</sup>, Pierre-Emmanuel Peyneau<sup>2</sup>, Deniz Yilmaz<sup>3</sup>, Joseph Pollacco<sup>4</sup>,  
Jesús Fernández-Gálvez<sup>5</sup>, Borja Latorre<sup>6</sup>, David Moret-Fernández<sup>6</sup>, Simone Di Prima<sup>7</sup>,  
Mehdi Rahmati<sup>8,9</sup>, Ryan D. Stewart<sup>10</sup>, Majdi Abou Najm<sup>11</sup>, Claude Hammecker<sup>12</sup>, and  
Rafael Angulo-Jaramillo<sup>1</sup>

<sup>1</sup>Univ Lyon, Université Claude Bernard Lyon 1, CNRS, ENTPE, UMR5023 LEHNA, F-69518, Vaulx-en-Velin, France

<sup>2</sup>GERS-LEE, Univ Gustave Eiffel, IFSTTAR, F-44344 Bouguenais, France

<sup>3</sup>Civil Engineering Department, Engineering Faculty, Munzur University, Tunceli, Turkey

<sup>4</sup>Manaaki Whenua - Landcare Research, 7640 Lincoln, New Zealand

<sup>5</sup>Department of Regional Geographic Analysis and Physical Geography, University of Granada, 18071 Granada, Spain

<sup>6</sup>Departamento de Suelo y Agua, Estación Experimental de Aula Dei, Consejo Superior de Investigaciones Científicas (CSIC), PO Box 13034, 50080 Zaragoza, Spain

<sup>7</sup>Agricultural Department, University of Sassari, Viale Italia, 39, 07100 Sassari, Italy

<sup>8</sup>Department of Soil Science and Engineering, Faculty of Agriculture, University of Maragheh, Maragheh, Iran

<sup>9</sup>Forschungszentrum Jülich GmbH, Institute of Bio- and Geosciences: Agrosphere (IBG-3), Jülich, Germany

<sup>10</sup>School of Plant and Environmental Sciences, Virginia Polytechnic Institute and State University, Blacksburg, VA, United States

<sup>11</sup>Department of Land, Air and Water Resources, University of California, Davis, CA 95616, United States

<sup>12</sup>University of Montpellier, UMR LISAH, IRD, Montpellier, France

**Correspondence:** Laurent Lassabatere (laurent.lassabatere@entpe.fr)

## Abstract.

Sorptivity is a parameter of primary importance in the study of unsaturated flow in soils. This integral parameter is often considered for modeling the computation of water infiltration into vertical soil profiles. Sorptivity can be directly estimated from the knowledge of the soil hydraulic functions (water retention and hydraulic conductivity curves), using the integral formulation of Parlange (1975). However, calculating sorptivity in this manner requires the prior determination of the soil hydraulic diffusivity and its numerical integration between initial and final saturation degrees, which may be difficult in some situations (e.g., coarse soil with diffusivity functions quasi-infinite close to saturation). In this paper, we present a procedure to compute sorptivity using a scaling parameter,  $c_p$ , that corresponds the sorptivity of a unit soil (i.e., unit values for all parameters and zero residual water content) utterly dry at the initial state and saturated at the final state. The  $c_p$  parameter was computed numerically and analytically for five hydraulic models: delta (i.e., Green and Ampt), Brooks and Corey, van Genuchten-Mualem, van Genuchten-Burdine, and Kosugi. Based on the results, we proposed brand-new analytical expressions for some of the models and validated previous formulations for the other models. We also tabulated the output values so that they can easily be used to determine the actual sorptivity value for any case. At the same time, our numerical results showed that the relation between  $c_p$  and the hydraulic shape parameters strongly depends on the chosen model. These results highlight the need for careful selection of the proper model for the description of the water retention and hydraulic conductivity functions when estimating sorptivity.

## 1 Introduction

Soil sorptivity represents the capacity of a soil to absorb or desorb liquid by capillarity, and is therefore one of the key factors for modelling water infiltration into soil (Cook and Minasny, 2011). Knowledge of soil sorptivity is also important when deciphering soil physical properties, such as hydraulic conductivity, from infiltration tests (e.g., Lassabatere et al., 2006). Sorptivity is incorporated in a wide range of infiltration models (Angulo-Jaramillo et al., 2016; Lassabatere et al., 2009, 2014, 2019). Sorptivity varies depending on initial and boundary conditions (e.g., water contents). However, calculated sorptivity values can also vary depending on the chosen soil hydraulic model, making it important to assess the impact of such a choice on the computation of sorptivity. In this study, we address this issue and propose a new scaling procedure to simplify its computation.

One of the first equations proposed for the computation of sorptivity was developed by Philip (1957), who modeled the 1D gravity-free water infiltration as:

$$\begin{cases} I(t) = S(\theta_0, \theta_1) \sqrt{t} \\ S(\theta_0, \theta_1) = \int_{\theta_0}^{\theta_1} \chi(\theta) d\theta \end{cases} \quad (1)$$

In the above equations,  $S(\theta_0, \theta_1)$  stands for the sorptivity between  $\theta_0$  and  $\theta_1$ ,  $\chi(\theta) = \frac{x(\theta, t)}{\sqrt{t}}$  stands for the Boltzmann transformation variable,  $\theta_0$  is the initial water content,  $\theta_1$  the final water content corresponding also to the water content applied at the soil surface, and  $t$  is the elapsed time. In practical applications, the user must perform numerical modeling of horizontal infiltration using a given set of hydraulic function and initial and final conditions. Then, the Boltzmann transformation must be computed by integrating the modeled water content profile. This procedure is often time-consuming and subject to numerical instabilities that lead to substantial errors.

To avoid such complexity, Parlange (1975) proposed a formulation that directly relates sorptivity to the hydraulic functions and the initial and final water contents:

$$S_D^2(\theta_0, \theta_1) = \int_{\theta_0}^{\theta_1} (\theta_1 + \theta - 2\theta_0) D(\theta) d\theta \quad (2)$$

where  $D(\theta) = K(\theta) \frac{dh}{d\theta}$  is the hydraulic diffusivity function. Note that several integral expansions were proposed for the computation of sorptivity (Angulo-Jaramillo et al., 2016). This point is beyond the framework of this study and will be the subject of another study. While the above equation provides the diffusivity form for sorptivity determination, it can be equally defined as a function of the hydraulic conductivity function,  $K(h) = K(\theta(h))$ :

$$\begin{aligned} S_K^2(h_0, h_1) &= \int_{h_0}^{h_1} (\theta(h_1) + \theta(h) - 2\theta(h_0)) K(h) dh \\ &= \int_{h_0}^{h_1} (\theta_1 + \theta(h) - 2\theta_0) K(h) dh \end{aligned} \quad (3)$$

where  $h$  is the water pressure head,  $h_0$  and  $h_1$  are respectively the initial and final water pressure heads, and  $\theta_0 = \theta(h_0)$  and  $\theta_1 = \theta(h_1)$ . For the sake of clarity, the functions  $S_D^2$  and  $S_K^2$  are respectively referred to as the ‘‘diffusivity’’ and ‘‘conductivity’’

forms of sorptivity.  $S_D^2$  and  $S_K^2$  are equivalent so long as the water retention function  $\theta(h)$  is bijective over the water pressure head interval  $[h_0, h_1]$ , which is the case when the water pressure head at the surface is lower than the air-entry water pressure head, i.e.,  $h_1 \leq h_a$ . Then, Eq. (3) can be easily deduced from Eq. (2) by a simple change of variable from  $\theta$  to  $h$ :

$$\begin{aligned} S_K^2(h_0, h_1 \leq h_a) &= S_D^2(\theta(h_0), \theta(h_1)) \\ &= S_D^2(\theta_0, \theta_1) \end{aligned} \quad (4)$$

Otherwise, when the surface water pressure head exceeds the soil air-entry pressure, i.e.,  $h_1 > h_a (\leq 0)$ , sorptivity must be computed using Eq. (3) (Ross et al., 1996). Indeed, the function  $\theta(h)$  is no longer bijective over the interval  $[h_0, h_1]$ . Consequently, Eq. (2) and Eq. (3) are no longer equivalent. In this case, the integration involved in Eq. (3) must be divided into two parts, one part integrating over the interval  $[h_0, h_a]$  ensuring the bijectivity of the function  $\theta(h)$ , and the other part retaining the integration interval  $[h_a, h_1]$  corresponding to the saturated part of the integration (Ross et al., 1996). Then, the change of variable from  $\theta$  to  $h$  in the first integral leads to the retrieval of  $S_D^2$ :

$$\begin{aligned} S_K^2(h_0, h_1 > h_a) &= \int_{h_0}^{h_a} (\theta_1 + \theta(h) - \theta_0) K(\theta(h)) dh + \int_{h_a}^{h_1} (\theta_1 + \theta(h) - 2\theta_0) K(\theta(h)) dh \\ &= \int_{h_0}^{h_a} (\theta_1 + \theta(h) - 2\theta_0) K(\theta(h)) dh + 2(\theta_s - \theta_0) K_s \int_{h_a}^{h_1} dh \\ &= \int_{h_0}^{h_a} (\theta_1 + \theta(h) - 2\theta_0) K(\theta(h)) dh + 2(\theta_s - \theta_0) K_s (h_1 - h_a) \\ &= \int_{\theta_0}^{\theta_s} (\theta_1 + \theta - 2\theta_0) D(\theta) d\theta + 2(\theta_s - \theta_0) K_s (h_1 - h_a) \\ &= S_D^2(\theta_0, \theta_s) + 2(\theta_s - \theta_0) K_s (h_1 - h_a) \end{aligned} \quad (5)$$

The computation of sorptivity with Eqs. (2) or (3) requires choosing a set of hydraulic functions from the wide range of available models. Here, we considered five of the most widely used hydraulic models. Firstly, we considered the Delta model (d, Delta), which involves Dirac delta functions (stepwise functions) for the description of both the water retention (WR) and hydraulic conductivity (HC) functions. Indeed, this model is often considered for analytical resolutions to the Richards equation and the determination of analytical expressions for water infiltration, like the Green and Ampt approach (Triadis and Broadbridge, 2012). Secondly, we considered the Brooks and Corey (1964) model, referred to as the “BC” model, since it is among the first hydraulic models of soil physics (Hillel, 1998). The BC model involves power functions for both the WR and HC functions, thus allowing for analytical integration of Eq. (2) and leading to analytical expressions for sorptivity (e.g. Varado et al., 2006). Thirdly, the van Genuchten – Burdine (vGB) model was studied since it has been used for the development of the BEST methods (Beerkan Estimation of Soil pedoTransfer functions) for the characterization of soil hydraulic properties (Lassabatere et al., 2006; Yilmaz et al., 2010; Bagarello et al., 2014). The vGB model combines the van Genuchten

(1980) model with Burdine condition ( $m = 1 - \frac{2}{n}$ ) for the WR function and the Brooks and Corey (1964) model for the HC function. Fourthly, we considered the van Genuchten – Mualem (vGM) model that combines van Genuchten (1980) model with Mualem's condition ( $m = 1 - \frac{1}{n}$ ) for the WR function and the Mualem (1976) capillary model for the HC function. The vGM is among the most widely-used models and often used for the numerical modelling of flow in the vadose zone (Šimůnek et al., 2003). Lastly, the Kosugi (KG) model (Kosugi, 1996), was also considered since it relates the water retention function to physical characteristics of the soil pore size distribution assuming log-normal distributions.

These five models have the following mathematical expressions (Triadis and Broadbridge, 2012; Brooks and Corey, 1964; van Genuchten, 1980; Mualem, 1976; Kosugi, 1996; Nasta et al., 2013):

$$\begin{cases} \theta_d(h) = \theta_r + (\theta_s - \theta_r) H\left(1 + \frac{h}{|h_d|}\right) \\ K_d(h) = K_s H\left(1 + \frac{h}{|h_d|}\right) \end{cases} \quad \text{Delta model} \quad (6)$$

75

$$\begin{cases} \theta_{BC}(h) = \begin{cases} \theta_s & h \geq h_{BC} \\ \theta_r + (\theta_s - \theta_r) \left(\frac{h_{BC}}{h}\right)^{\lambda_{BC}} & h < h_{BC} \end{cases} \\ K_{BC}(\theta) = K_s \left(\frac{\theta - \theta_r}{\theta_s - \theta_r}\right)^{\eta_{BC}} \end{cases} \quad \text{BC model} \quad (7)$$

$$\begin{cases} \theta_{vGB}(h) = \theta_r + (\theta_s - \theta_r) \left(1 + \left(\frac{h}{h_{vGB}}\right)^{n_{vGB}}\right)^{-m_{vGB}} \\ K_{vGB}(\theta) = K_s \left(\frac{\theta - \theta_r}{\theta_s - \theta_r}\right)^{\eta_{vGB}} \end{cases} \quad m_{vGB} = 1 - \frac{2}{n_{vGB}} \quad \text{vGB model} \quad (8)$$

$$\begin{cases} \theta_{vGM}(h) = \theta_r + (\theta_s - \theta_r) \left(1 + \left(\frac{h}{h_{vGM}}\right)^{n_{vGM}}\right)^{-m_{vGM}} \\ K_{vGM}(\theta) = K_s \left(\frac{\theta - \theta_r}{\theta_s - \theta_r}\right)^{l_{vGM}} \left(1 - \left(1 - \left(\frac{\theta - \theta_r}{\theta_s - \theta_r}\right)^{\frac{1}{m_{vGM}}}\right)^{m_{vGM}}\right)^2 \end{cases} \quad m_{vGM} = 1 - \frac{1}{n_{vGM}} \quad \text{vGM model} \quad (9)$$

80

$$\begin{cases} \theta_{KG}(h) = \theta_r + \frac{(\theta_s - \theta_r)}{2} \operatorname{erfc}\left(\frac{\ln(h) - \ln(h_{KG})}{\sqrt{2}\sigma_{KG}}\right) \\ K_{KG}(\theta) = K_s \left(\frac{\theta - \theta_r}{\theta_s - \theta_r}\right)^{l_{KG}} \left(\frac{1}{2} \operatorname{erfc}\left(\operatorname{erfc}^{-1}\left(2\frac{\theta - \theta_r}{\theta_s - \theta_r}\right) + \frac{\sigma_{KG}}{2}\right)\right)^2 \end{cases} \quad \text{KG model} \quad (10)$$

where  $H$  stands for the one-sided Heaviside step function:  $H(x < 0) = 0$ ,  $H(x \geq 0) = 1$  (Triadis and Broadbridge, 2012);  $\operatorname{erfc}$  stands for the complementary error function. These models involve several specific hydraulic shape parameters and the following common scale hydraulic parameters: residual water content,  $\theta_r$ , saturated water content,  $\theta_s$ , scale parameter for the water pressure head,  $h_g$ , ( $=h_d, h_{BC}, h_{vGB}, h_{vGM}$ , or  $h_{KG}$ ), and saturated hydraulic conductivity,  $K_s$ . The Delta and BC models involve a non-null air-entry water pressure head,  $h_d$  and  $h_{BC}$ , meaning that air needs a nonzero suction to enter into the soil and start to desaturate it. For the sake of simplicity, the scale parameter for water pressure head is fixed at the air-entry pressure head, i.e.,  $h_g = h_d$  and  $h_g = h_{BC}$ , respectively.

90 The computation of sorptivity by applying Eqs. (2) or (3) to hydraulic models, and in particular to those selected for this study, i.e., Eqs. (6)-(10), are quite tricky, given the complexity of the hydraulic functions. Such computation might exhibit the following shortcomings. First of all, the diffusivity functions must be determined analytically, by multiplying the hydraulic conductivity with the derivative of water pressure head with regards to water content, which may involve complex algebraic operations. Then, the integration involved in the right-hand side of Eq. (3) may lead to numerical indetermination for very low  
95 initial water pressure heads, in case of very dry initial conditions. Meanwhile, the integration involved in the right-hand side of Eq. (2) may pose numerical shortcomings for infinite hydraulic diffusivity, which is the case of some of the hydraulic functions detailed above, Eqs. (6)-(10).

In this study, we propose a specific scaling procedure to avoid all these shortcomings and to simplify the computation of sorptivity for the hydraulic models described in Eqs. (6)-(10) under the boundary conditions of a slightly positive water pres-  
100 sure head at surface and relatively dry initial conditions. We focus on these conditions since they constitute the most common experimental conditions for most water infiltration experiments and related procedures for characterizing soil hydraulic properties (Angulo-Jaramillo et al., 2016). In particular, these conditions feature the Beerkan method that involves pouring water into a ring placed on the ground (Braud, 2005; Lassabatere et al., 2006). The theory section details the scaling procedure that relates the square sorptivity to the square scaled sorptivity,  $c_p = S_K^{*2}(-\infty, 0)$ , the product of scale parameters, and correcting  
105 factors accounting for the contribution of initial water contents. The square scaled sorptivity corresponds to the sorptivity of a unit soil (unit value for all the scale parameters, except the residual water content fixed at zero) and for the whole range of water pressure head, i.e.,  $(-\infty, 0]$ . It depends only on the soil hydraulic shape parameters, and its determination features the main algebraic complexity of the whole scaling procedure, the rest relies on simple algebraic operations (multiplication and sums). It was then computed for the hydraulic models defined by Eqs. (6)-(10), either analytically when feasible or numeri-  
110 cally, otherwise. For each model, it was computed and tabulated as a function of a shape index that characterizes the spreads of the water retention function (from gradual to stepwise shapes, corresponding to soils with a broad or a very narrow pore size distribution, respectively). The evolution of the square scaled sorptivity versus the shape index was compared and discussed between models. In the last section, we illustrate the application of the proposed scaling procedure. We show how the tabulated values of the square scaled sorptivity  $c_p$  can be used to upscale sorptivity and easily provide the sorptivity corresponding to  
115 zero water pressure head at surface for relatively small initial water contents.

## 2 Theory

### 2.1 Global scaling procedure

The proposed scaling procedure relies on two main steps already used in some previous studies: (i) relating the actual square sorptivity  $S_K^2(h_0, 0)$  to the maximum square sorptivity  $S_K^2(-\infty, 0)$  by isolating the effect of initial and final conditions ( $h_0$ ),  
120 and (ii) scaling hydraulic functions and sorptivity to split the contributions of shape and scale parameters and facilitate the computation of  $S_K^2(h_0, 0)$ . In our case, we consider that the final conditions always involve a null water pressure head,  $h_1 = 0$ , and saturated conditions,  $\theta_1 = \theta_s$ , while initial conditions  $(h_0, \theta_0)$  may vary.

### 2.1.1 Isolating the effect of initial conditions

The first step of the proposed procedure involves the work of Haverkamp et al. (2005) who isolated the contributions of the initial and final conditions to sorptivity. These authors considered that, at dry initial states ( $\theta_0 \leq \frac{1}{4} \theta_s$ ) and zero water pressure head at surface (i.e.,  $h_1 = 0$  and  $\theta_1 = \theta_s$ ), the following approximation applied:

$$\begin{cases} S^2(\theta_0, \theta_s) \approx S^2(0, \theta_s) \frac{K_s - K_0}{K_s} \frac{\theta_s - \theta_0}{\theta_s} \\ S^2(0, \theta_s) = c_p |h_g| K_s \theta_s \end{cases} \quad (11)$$

where  $K_0$  corresponds to the initial hydraulic conductivity  $K_0 = K(\theta_0)$ . The parameter  $c_p = \frac{S^2(0, \theta_s)}{|h_g| K_s \theta_s}$  is a proportionality constant that depends only on the hydraulic shape parameters (Haverkamp et al., 2005). By combining both expressions in Eq. (11), the sorptivity,  $S^2(\theta_0, \theta_s)$ , can be defined as the product of three different terms that account for the respective contributions of the shape parameters (lumped into the proportionality constant  $c_p$ ), the scale parameters  $|h_g|$ ,  $K_s$ ,  $\theta_s$ , and initial conditions,  $\theta_0$ :

$$S^2(\theta_0, \theta_s) \approx c_p |h_g| K_s \theta_s \frac{K_s - K_0}{K_s} \frac{\theta_s - \theta_0}{\theta_s} \quad (12)$$

Eq. (12) offers an accurate and practical approximation for the computation of sorptivity in the case of Beerkan runs, i.e., for a zero water pressure head imposed at the soil surface,  $h_1 = 0$ . Eq. (12) was frequently used for the treatment of Beerkan data and in particular in all BEST methods (Lassabatere et al., 2006; Yilmaz et al., 2010; Angulo-Jaramillo et al., 2019). However, it addresses the case of soils with null residual water content,  $\theta_r = 0$ , and without any air-entry pressure head,  $h_a = 0$ . Besides, it was developed and used for the vGB model.

In this study, we adapt Eq. (12) to any type of hydraulic models, including those with non-null residual water contents,  $\theta_r > 0$ , and air-entry water pressure heads,  $h_a < 0$ . First of all, we consider that  $\theta_r$  must be accounted for, and we replace Eq. (11) by the following equation:

$$\frac{S^2(\theta_0, \theta_s)}{S^2(\theta_r, \theta_s)} \approx \frac{K_s - K_0}{K_s} \frac{\theta_s - \theta_0}{\theta_s - \theta_r} \quad (13)$$

Indeed, the denominator  $\theta_s$  must be replaced by  $(\theta_s - \theta_r)$  when  $\theta_r \neq 0$  to ensure that the ratio  $\frac{S^2(\theta_0, \theta_s)}{S^2(\theta_r, \theta_s)}$  tends towards unity when  $\theta_0 \rightarrow \theta_r$ . Secondly, we consider that the approximation behind Eq. (11) involves only the unsaturated part of sorptivity, i.e.,  $S_D^2(\theta_0, \theta_s)$ . As mentioned above, when the air-entry water pressure head is non-null, the computation of sorptivity  $S_K^2(h_0, 0)$  must be split into its unsaturated and saturated parts, as illustrated by Eq. (5). In that case, the following derivations are proposed:

$$\begin{aligned} S_K^2(h_0, h_1 = 0) &= S_D^2(\theta_0, \theta_s) + 2(\theta_s - \theta_0) K_s (h_1 - h_a) \\ &= \frac{K_s - K_0}{K_s} \frac{\theta_s - \theta_0}{\theta_s - \theta_r} S_D^2(\theta_r, \theta_s) + 2(\theta_s - \theta_0) K_s |h_a| \quad \text{since } h_1 = 0 \\ &= \frac{K_s - K_0}{K_s} \frac{\theta_s - \theta_0}{\theta_s - \theta_r} S_D^2(\theta_r, \theta_s) + \frac{\theta_s - \theta_0}{\theta_s - \theta_r} (2(\theta_s - \theta_r) K_s |h_a|) \end{aligned} \quad (14)$$

Eq. (14) can be simplified by introducing correcting factors  $R_\theta$  and  $R_K$  to account for the contribution of the initial conditions:

$$150 \quad S_K^2(h_0, 0) = R_K R_\theta S_D^2(\theta_r, \theta_s) + R_\theta (2(\theta_s - \theta_r) K_s |h_a|) \quad (15)$$

where  $S_D^2(\theta_r, \theta_s)$  and  $2(\theta_s - \theta_r) K_s |h_a|$  refer to the unsaturated and saturated parts of the square sorptivity  $S_K^2(-\infty, 0)$  (see Eq. (5) with  $h_0 = -\infty$ , i.e.,  $\theta_0 = \theta_r$ , and  $h_1 = 0$ ), and where the two correcting factors  $R_K$  and  $R_\theta$  are defined as follows:

$$\begin{cases} R_\theta = \frac{\theta_s - \theta_0}{\theta_s - \theta_r} \\ R_K = \frac{K_s - K_0}{K_s} \end{cases} \quad (16)$$

### 2.1.2 Scaling sorptivity and defining parameters $c_p$ and $c'_p$

155 So far, the computation of the square sorptivity  $S_K^2(h_0, 0)$  was treated considering dimensional equations. The second step of the proposed procedure scales the sorptivity in order to alleviate any numerical difficulty in relation to the values of dimensional variables. The square dimensional sorptivity  $S_K^2(h_0, 0)$  can be easily related to the square scaled sorptivity  $S_K^{*2}(h_0^*, 0)$  by scaling variables (water content, water pressure head, and hydraulic conductivity) as follows (Ross et al., 1996):

$$\begin{cases} S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r} \\ h^* = \frac{h}{|h_g|} \\ K_r = \frac{K}{K_s} \end{cases} \quad (17)$$

160 This scaling procedure defines the dimensionless water retention,  $S_e(h^*)$ , the dimensionless (or relative) hydraulic conductivity,  $K_r(S_e)$ , and the dimensionless hydraulic diffusivity function  $D^*(S_e) = K_r(S_e) \frac{dh^*}{dS_e}$ . These dimensionless hydraulic functions define the hydraulic characteristics of the unit soil that has the same values for the shape parameters and unit value for all the scale parameters,  $\theta_s = 1$ ,  $h_g = 1$ ,  $K_s = 1$ , excepted the residual water content that is fixed at zero,  $\theta_r = 0$ . In other words, these hydraulic parameters define the so-called "unit soil". The use of the scaled expressions in Eq. (17) allows us to

165 relate the square sorptivity (dimensional soil),  $S^2$ , to the square scaled sorptivity (unit soil),  $S^{*2}$  (Ross et al., 1996):

$$S^2 = S^{*2} |h_g| K_s (\theta_s - \theta_r) \quad (18)$$

Then,  $S^{*2}$  can be computed by applying Eqs. (2) or (3) to the dimensionless hydraulic functions as a function of the initial and final water pressure heads,  $h_0^*$  and  $h_1^*$ , or saturation degrees,  $S_{e,0} = S_e(h_0^*)$  and  $S_{e,1} = S_e(h_1^*)$ :

$$\begin{cases} S_D^{*2}(S_{e,0}, S_{e,1}) = \int_{S_{e,0}}^{S_{e,1}} (S_{e,1} + S_e - 2 S_{e,0}) D^*(S_e) dS_e \\ S_K^{*2}(h_0^*, h_1^*) = \int_{h_0^*}^{h_1^*} (S_{e,1} + S_e(h^*) - 2 S_{e,0}) K_r(h^*) dh^* \end{cases} \quad (19)$$

170  $S_D^{*2}$  and  $S_K^{*2}$  define the "diffusivity" and "conductivity" forms of the square scaled sorptivity and are related to each other by Eqs. (4) and (5), leading to:

$$\begin{cases} S_K^{*2}(h_0^*, h_1^* \leq h_a^*) = S_D^{*2}(S_{e,0}, S_{e,1}) \\ S_K^{*2}(h_0^*, h_1^* > h_a^*) = S_D^{*2}(S_{e,0}, S_{e,1}) + 2(1 - S_{e,0})(h_1^* - h_a^*) \end{cases} \quad (20)$$

with  $h_a^* = \frac{h_a}{|h_g|}$ . The scaled sorptivity functions,  $S_D^{*2}$  and  $S_K^{*2}$ , depend only on the shape parameters and the initial and final saturation degrees.

175 By analogy with the approach of Haverkamp et al. (2005) (see Eq. (11)), we scaled the maximum dimensional square sorptivity  $S_K^2(-\infty, 0)$  and its unsaturated part  $S_D^2(\theta_r, \theta_s)$ , which are involved in Eq. (15), to define the parameters  $c_p$  and  $c'_p$ :

$$\begin{cases} c_p = S_K^{*2}(-\infty, 0) = \frac{S_K^2(-\infty, 0)}{(\theta_s - \theta_r) K_s |h_g|} \\ c'_p = S_D^{*2}(0, 1) = \frac{S_D^2(\theta_r, \theta_s)}{(\theta_s - \theta_r) K_s |h_g|} \end{cases} \quad (21)$$

The application of Eq. (19) with the proper lower and upper initial and final conditions, ( $S_{e,0} = 0, h_0 = -\infty, S_{e,1} = 1, h_1 = 0$ ), leads to the following expression for the two parameters  $c_p$  and  $c'_p$ :

$$180 \begin{cases} c_p = \int_{-\infty}^0 (1 + S_e(h^*)) K_r(h^*) dh^* \\ c'_p = \int_0^1 (1 + S_e) D^*(S_e) dS_e \end{cases} \quad (22)$$

The parameters  $c_p$  and  $c'_p$  depend exclusively on the soil shape parameters. The application of Eq. (20) provides a very simple equation linking the two parameters:

$$c_p = c'_p + 2 |h_a^*| \quad (23)$$

### 2.1.3 Final expansion for computing sorptivity

185 The combination of the two previous steps (sections 2.1.1 and 2.1.2) lead to the final expression for sorptivity. As mentioned above, in order to avoid numerical problems, we first compute the scaled sorptivity before up-scaling. The application of the scaling procedure, Eq. (18), to the approximations Eq. (15) leads to the following equivalent equations for the square scaled sorptivity  $S_K^{*2}(h_0, 0)$ :

$$\begin{cases} S_K^{*2}(h_0^*, 0) = c'_p R_K R_\theta + 2 |h_a^*| R_\theta \\ S_K^{*2}(h_0^*, 0) = (c_p - 2 |h_a^*|) R_K R_\theta + 2 |h_a^*| R_\theta \end{cases} \quad (24)$$

190 where the correcting factors defined in Eq. (16) can be scaled and expressed as a function of the initial saturation degree and relative hydraulic conductivity:

$$\begin{cases} R_\theta = \frac{\theta_s - \theta_0}{\theta_s - \theta_r} = 1 - S_{e,0} \\ R_K = \frac{K_s - K_0}{K_s} = 1 - K_r(S_{e,0}) \end{cases} \quad (25)$$

These developments, based on the combination of the equation proposed by Haverkamp et al. (2005) to isolate the effect of initial and final conditions and the scaling procedure proposed by Ross et al. (1996), provide a new simple equation for a



195 straightforward computation of sorptivity  $S_K^2(h_0, 0)$ :

$$\begin{cases} S_K^2(h_0, 0) = S_K^{*2}(h_0^*, 0) (\theta_s - \theta_r) K_s |h_g| \\ S_K^{*2}(h_0^*, 0) = (c_p - 2 |h_a^*|) R_K R_\theta + 2 |h_a^*| R_\theta \\ R_\theta = 1 - S_{e,0} \\ R_K = 1 - K_r(S_{e,0}) \end{cases} \quad (26)$$

The application of Eq. (26) requires the prior determination of the parameter  $c_p$ . In the following, we compute the value of parameter  $c_p$  for different hydraulic models.

## 2.2 Scaling hydraulic functions

### 200 2.2.1 General expressions

The first step of the determination of  $c_p$  requires the computation of the dimensionless functions,  $S_e(h^*)$ ,  $K_r(S_e)$ , and  $D^*(S_e)$  to be injected in Eqs. (22). The application of the scaling variables, Eq. (17), to the hydraulic functions defined by Eqs. (6)-(10) leads to the following expressions:

$$\begin{cases} S_{e,d}(h^*) = H(1 + h^*) \\ K_{r,d}(S_e) = H(S_e - 1) \end{cases} \quad \text{Delta model} \quad (27)$$

205

$$\begin{cases} S_{e,BC}(h^*) = (1 - H(1 + h^*)) |h^*|^{-\lambda_{BC}} + H(1 + h^*) \\ K_{r,BC}(S_e) = S_e^{\eta_{BC}} \end{cases} \quad \text{BC model} \quad (28)$$

$$\begin{cases} S_{e,vGB}(h^*) = (1 + |h^*|^{n_{vGB}})^{-m_{vGB}} \\ K_{r,vGB}(S_e) = S_e^{\eta_{vGB}} \end{cases} \quad \text{with } m_{vGB} = 1 - \frac{2}{n_{vGB}} \quad \text{vGB model} \quad (29)$$

210

$$\begin{cases} S_{e,vGM}(h^*) = (1 + |h^*|^{n_{vGM}})^{-m_{vGM}} \\ K_{r,vGM}(S_e) = S_e^{l_{vGM}} \left( 1 - \left( 1 - S_e^{\frac{1}{m_{vGM}}} \right)^{m_{vGM}} \right)^2 \end{cases} \quad \text{with } m_{vGM} = 1 - \frac{1}{n_{vGM}} \quad \text{vGM model} \quad (30)$$

$$\begin{cases} S_{e,KG}(h^*) = \frac{1}{2} \operatorname{erfc} \left( \frac{\ln(|h^*|)}{\sqrt{2} \sigma_{KG}} \right) \\ K_{r,KG}(S_e) = S_e^{l_{KG}} \left( \frac{1}{2} \operatorname{erfc}(\operatorname{erfc}^{-1}(2S_e) + \frac{\sigma_{KG}}{2}) \right)^2 \end{cases} \quad \text{KG model} \quad (31)$$

Note that the scaling parameter for water pressure head,  $h_g$ , used in the Eqs. (6)-(10) was set equal to the air-entry pressure head for the Delta and BC WR functions, i.e.,  $h_d$  and  $h_{BC}$  were set equal to  $h_a$ , as mentioned above.

215 Eqs. (27)-(31) were then used to derive the following formulations for the dimensionless diffusivity functions,  $D^*(S_e)$ , applying  $D^*(S_e) = K_r(S_e) \frac{dh^*}{dS_e}$  (see Appendix A):

$$D_d^*(S_e) = \delta(S_e) \quad (32)$$

$$D_{BC}^*(S_e) = \frac{1}{\lambda_{BC}} S_e^{\eta_{BC} - \left(\frac{1}{\lambda_{BC}} + 1\right)} \quad (33)$$

220

$$D_{vGB}^*(S_e) = \frac{1 - m_{vGB}}{2m_{vGB}} S_e^{\eta_{vGB} - \frac{1+m_{vGB}}{2m_{vGB}}} \left(1 - S_e^{\frac{1}{m_{vGB}}}\right)^{-\frac{1+m_{vGB}}{2}} \quad (34)$$

$$D_{vGM}^*(S_e) = \frac{1 - m_{vGM}}{m_{vGM}} S_e^{l_{vGM} - \frac{1}{m_{vGM}}} \left( \left(1 - S_e^{\frac{1}{m_{vGM}}}\right)^{-m_{vGM}} + \left(1 - S_e^{\frac{1}{m_{vGM}}}\right)^{m_{vGM}} - 2 \right) \quad (35)$$

$$225 \quad D_{KG}^*(S_e) = \frac{1}{2} \sqrt{\frac{\pi}{2}} \sigma_{KG} S_e^{l_{KG}} \left( \operatorname{erfc} \left( \operatorname{erfc}^{-1}(2S_e) + \frac{\sigma_{KG}}{\sqrt{2}} \right) \right)^2 e^{(\operatorname{erfc}^{-1}(2S_e))^2 + \sqrt{2} \sigma_{KG} \operatorname{erfc}^{-1}(2S_e)} \quad (36)$$

where  $\delta$  stands for the one-sided Dirac delta function (Triadis and Broadbridge, 2012).

### 2.2.2 Further simplifications

In the following section, several simplifications are proposed based on previous studies and the literature (Angulo-Jaramillo et al., 2016; Haverkamp et al., 2005). Several authors used capillary models to relate the HC to the WR functions. For the vGB  
230 model, Haverkamp et al. (2005) linked the shape parameter related to the HC function,  $\eta$ , with the combination of those of the WR function,  $\lambda = mn$  as follows:

$$\eta = \frac{2}{\lambda} + 2 + p \quad (37)$$

where the tortuosity parameter,  $p$ , takes the values of 1 for the case of the Burdine's condition. We also consider the same equation for the BC model given its similarity with the vGB model (as demonstrated below, in the Results section). In addition,  
235 further simplifications involved the values of the tortuosity parameters,  $l_{vGM}$  and  $l_{KG}$  in the vGM and KG models. These parameters were fixed at the default values:  $l_{vGM} = l_{KG} = 1/2$ ;  $l_{vGM} = l_{KG} = 1/2$  (Šimůnek et al., 2003; Kosugi, 1996; Kosugi and Hopmans, 1998). In practice, these shape parameters rarely vary (Haverkamp et al., 2005). With those supplementary considerations, the diffusivity functions for the BC, vGB, vGM, and KG models become:

$$D_{BC}^*(S_e) = \frac{1}{\lambda_{BC}} S_e^{\frac{1}{\lambda_{BC}} + 2} \quad (38)$$

$$D_{vGB}^*(S_e) = \frac{1 - m_{vGB}}{2m_{vGB}} S_e^{\frac{1+3m_{vGB}}{2m_{vGB}}} \left(1 - S_e^{\frac{1}{m_{vGB}}}\right)^{-\frac{1+m_{vGB}}{2}} \quad (39)$$

$$D_{vGM}^*(S_e) = \frac{1 - m_{vGM}}{m_{vGM}} S_e^{\frac{m_{vGM}-2}{2m_{vGM}}} \left( \left(1 - S_e^{\frac{1}{m_{vGM}}}\right)^{-m_{vGM}} + \left(1 - S_e^{\frac{1}{m_{vGM}}}\right)^{m_{vGM}} - 2 \right) \quad (40)$$

$$245 \quad D_{KG}^*(S_e) = \frac{1}{2} \sqrt{\frac{\pi}{2}} \sigma_{KG} S_e^{\frac{1}{2}} \left( \operatorname{erfc} \left( \operatorname{erfc}^{-1}(2S_e) + \frac{\sigma_{KG}}{\sqrt{2}} \right) \right)^2 e^{(\operatorname{erfc}^{-1}(2S_e))^2 + \sqrt{2} \sigma_{KG} \operatorname{erfc}^{-1}(2S_e)} \quad (41)$$

The set of equations Eqs. (38)-(41) shows that each hydraulic diffusivity function involves only one shape parameter, i.e.,  $\lambda_{BC}$ ,  $m_{vGB}$ ,  $m_{vGM}$  and  $\sigma_{KG}$  for the respective BC, vGB, vGM and KG hydraulic models, respectively. In the following, we consider both the general (i.e., Eqs. 33-36) and simplified (i.e., Eqs. 38-41) versions of the hydraulic diffusivity functions for the analytical determination of  $c_p$ . Then numerical applications are performed only for the simplified Eqs. (38)-(41).

### 250 2.3 Integral determination of parameter $c_p$

Once the dimensionless diffusivity functions are determined, the use of Eq. (22) allows the determination of  $c_p$ , either analytically or numerically, depending on the considered hydraulic models.

#### 2.3.1 $c_p$ for the Delta model

This case is the easiest one. Indeed, the HC function is characterized by a null hydraulic conductivity for  $h^* < -1$  and a unit value  $K_r = 1$  for  $h^* \geq -1$ , as featured by Eq. (27). In this case, Eq. (22) yields:

$$\begin{aligned} c_{p,d} &= \int_{-\infty}^0 (1 + S_{e,d}(h^*)) K_{r,d}(h^*) dh^* \\ &= \int_{-\infty}^{-1} (1+0) \cdot 0 \cdot dh^* + \int_{-1}^0 (1+1) \cdot 1 \cdot dh^* \\ &= 2 \end{aligned} \quad (42)$$

Note that this value of 2 was already proposed by Haverkamp et al. (2005) for the ‘‘Green and Ampt’’ soils, as defined by these authors, which corresponds to the ‘‘Delta’’ model for the description of WR and HC functions.

#### 2.3.2 $c_p$ for the Brooks and Corey (BC) model

260 The BC model involves an air-entry water pressure head  $h_a^* = -1$ . We used Eq. (22) while accounting for the saturated part of sorptivity and used the diffusivity form for the determination of the unsaturated sorptivity. Indeed, the diffusivity function  $D_{BC}^*(S_e)$  shown in Eq. (38) obeys a power law, which makes it possible to integrate analytically the diffusivity form

of sorptivity. Simple algebraic operations and integrations of Eq. (22) lead to the following equation (see demonstration in Appendix B1):

$$265 \quad c_{p,BC}(\lambda_{BC}, \eta_{BC}) = 2 + \frac{1}{\lambda_{BC}\eta_{BC} - 1} + \frac{1}{\lambda_{BC}\eta_{BC} + \lambda_{BC} - 1} \quad (43)$$

When the relation between  $\eta_{BC}$  and  $\lambda_{BC}$  is ruled by Eq. (37) with  $p = 1$ , the analytical expression of  $c_p$  turns into:

$$c_{p,BC}(\lambda_{BC}) = 2 + \frac{1}{3\lambda_{BC} + 1} + \frac{1}{4\lambda_{BC} + 1} \quad (44)$$

Our results are in line with previous studies (Varado et al., 2006).

### 2.3.3 $c_p$ for the van Genuchten-Burdine (vGB) model

270 In the case of the vGB model, there is no air-entry pressure head, i.e.,  $h_a^* = 0$ . Eq. (22) shows that  $c_p$  reverts to the diffusivity form of the square scaled sorptivity,  $\int_0^1 (1 + S_e) D^*(S_e) dS_e$ . Besides, the diffusivity function  $D_{vGB}^*(S_e)$ , Eq. (29), makes the square scaled sorptivity analytically integrable, leading to (see demonstration in appendix B2):

$$c_{p,vGB}(m_{vGB}, n_{vGB}, \eta_{vGB}) = \Gamma\left(1 + \frac{1}{n_{vGB}}\right) \left[ \frac{\Gamma\left(m_{vGB}\eta_{vGB} - \frac{1}{n_{vGB}}\right)}{\Gamma(m_{vGB}\eta_{vGB})} + \frac{\Gamma\left(m_{vGB}\eta_{vGB} + m_{vGB} - \frac{1}{n_{vGB}}\right)}{\Gamma(m_{vGB}\eta_{vGB} + m_{vGB})} \right] \quad (45)$$

where  $\Gamma$  is the gamma function:

$$275 \quad \Gamma(z) = \int_0^{+\infty} t^{z-1} e^{-t} dt \quad (46)$$

Considering the relations between  $m$  and  $n$ , i.e.,  $m = 1 - \frac{2}{n}$ , and the relation between  $\eta$  and  $\lambda = mn$  in Eq. (37), the following simplification emerges:

$$c_{p,vGB}(m_{vGB}) = \Gamma\left(\frac{3 - m_{vGB}}{2}\right) \left[ \frac{\Gamma\left(\frac{1+5m_{vGB}}{2}\right)}{\Gamma(1+2m_{vGB})} + \frac{\Gamma\left(\frac{1+7m_{vGB}}{2}\right)}{\Gamma(1+3m_{vGB})} \right] \quad (47)$$

The expression corresponding to Eq. (45) was already proposed and discussed by Haverkamp et al. (2005).

### 280 2.3.4 $c_p$ for the van Genuchten-Mualem (vGM) model

In contrast with the vGB model, no analytical expressions have been reported so far in the literature for this model. By analogy with the case of vGB model, analytical developments were proposed to analytically integrate the diffusivity form of the scaled sorptivity, leading to the following analytical expression for  $c_p$  (see demonstration in appendix B3):

$$c_{p,vGM}(m_{vGM}, l_{vGM}) = \Gamma(2 - m_{vGM}) \left( \frac{\Gamma(m_{vGM}(1+l_{vGM}))}{(m_{vGM}(1+l_{vGM})-1)\Gamma(m_{vGM}l_{vGM})} + \frac{\Gamma(m_{vGM}(2+l_{vGM}))}{(m_{vGM}(2+l_{vGM})-1)\Gamma(m_{vGM}(1+l_{vGM}))} \right) \\ + (1 - m_{vGM}) \left[ \frac{\Gamma(m_{vGM}(1+l_{vGM}))\Gamma(1+m_{vGM})}{(m_{vGM}(1+l_{vGM})-1)\Gamma(m_{vGM}(2+l_{vGM}))} + \frac{\Gamma(m_{vGM}(2+l_{vGM}))\Gamma(1+m_{vGM})}{(m_{vGM}(2+l_{vGM})-1)\Gamma(m_{vGM}(3+l_{vGM}))} \right) \\ - 2 \left( \frac{1}{m_{vGM}(1+l_{vGM})-1} + \frac{1}{m_{vGM}(2+l_{vGM})-1} \right) \quad (48)$$

285 Note that, this equation requires that  $m_{vGM} \neq \frac{1}{1+l_{vGM}}$  and  $m_{vGM} \neq \frac{1}{2+l_{vGM}}$ . Considering that shape parameter  $l_{vGM} = \frac{1}{2}$ , as usually considered, Eq. (48) can be simplified to:

$$c_{p,vGM}(m_{vGM}) = \Gamma(2-m_{vGM}) \left( \frac{\Gamma(\frac{3m_{vGM}}{2})}{(\frac{3m_{vGM}}{2}-1)\Gamma(\frac{m_{vGM}}{2})} + \frac{\Gamma(\frac{5m_{vGM}}{2})}{(\frac{5m_{vGM}}{2}-1)\Gamma(\frac{3m_{vGM}}{2})} \right) \\ + (1-m_{vGM}) \left[ \left( \frac{\Gamma(\frac{3m_{vGM}}{2})\Gamma(1+m_{vGM})}{(\frac{3m_{vGM}}{2}-1)\Gamma(\frac{5m_{vGM}}{2})} + \frac{\Gamma(\frac{5m_{vGM}}{2})\Gamma(1+m_{vGM})}{(\frac{5m_{vGM}}{2}-1)\Gamma(\frac{7m_{vGM}}{2})} \right) - 2 \left( \frac{1}{\frac{3m_{vGM}}{2}-1} + \frac{1}{\frac{5m_{vGM}}{2}-1} \right) \right] \quad (49)$$

These sets of equations have never been proposed and constitute one of the novel outputs of this study. The complexity of algebraic developments for the derivation of Eqs. (48) and (49) make them valuable.

### 290 2.3.5 $c_p$ for the Kosugi (KG) model

No analytical formulation was found for the case of Kosugi's hydraulic functions. Therefore, the square scaled sorptivity was computed numerically with a generic procedure that can be applied to any type of hydraulic model (i.e., any set of HC and WR functions). To avoid integration over infinite intervals with respect to  $h$  and integration of an infinite diffusivity close to saturation, the integral was split into two parts, leading to the following developments:

$$c_{p,KG}(\sigma_{KG}, l_{KG}) = \int_{-\infty}^0 (1 + S_{e,KG}(h^*)) K_{r,KG}(h^*) dh^* \\ = \int_{-\infty}^{h_{KG}^*(\frac{1}{2})} (1 + S_{e,KG}(h^*)) K_{r,KG}(h^*) dh^* + \int_{h_{KG}^*(\frac{1}{2})}^0 (1 + S_{e,KG}(h^*)) K_{r,KG}(h^*) dh^* \\ = \int_0^{\frac{1}{2}} (1 + S_e) D_{KG}^*(S_e) dS_e + \int_{h_{KG}^*(\frac{1}{2})}^0 (1 + S_{e,KG}(h^*)) K_{r,KG}(h^*) dh^* \quad (50)$$

where  $h_{KG}^*(\frac{1}{2})$  is the water pressure head corresponding to  $S_e = \frac{1}{2}$ . In the last expression of Eq. (50),  $c_p$  is composed of two integrals of continuous functions over closed intervals, which are thus well defined and easily computable. Again, a simplified version is proposed assuming that the shape parameter  $l_{KG}$  is fixed to  $\frac{1}{2}$ .

## 2.4 Shape indexes for comparing $c_p$ between the selected hydraulic models

300 The approach described below allows  $c_p$  to be determined for the selected BC, vGB, vGM, and KG models. In addition to its contribution to simplifying Eq. (26),  $c_p$  has a real physical meaning: it corresponds to the square sorptivity of unit soils with zero water pressure head at the soil surface and utterly dry initial profile. Therefore,  $c_p$  should not depend on the choice of the hydraulic models. We then investigate the dependency of  $c_p$  upon the selected hydraulic model. Consequently, we designed shape indexes to compare  $c_p$  between models. These shape indexes were built to describe the same state of the WR functions  
305 regardless of the chosen hydraulic model. We designed these shape indexes to vary WR functions between two extreme states: (i) values close to zero for gradual WR functions corresponding to soils with broad pore size distributions, (ii) values of unity

for stepwise WR functions mimicking an abrupt change of water content corresponding to soils with very narrow pore size distributions. This section presents the design of these shape indexes.

A sensitivity analysis of vGB and vGM models showed that the parameter  $m$  is adequate for varying the WR functions between a gradual shape ( $m = 0$ ) and a stepwise function ( $m = 1$ ). We thus consider  $m_{vGB}$  and  $m_{vGM}$  to be the appropriate shape indexes, i.e.,  $x_{vGB} = m_{vGB}$  and  $x_{vGM} = m_{vGM}$ . Next, we designed the shape index for BC,  $x_{BC}$ , deriving from vGB,  $m_{vGB}$ , considering that vGB and BC functions describe close WR curves. Indeed, for large values of  $n_{vGB}$ , the vGB model converge towards a power function similar to the BC model (Haverkamp et al., 2005):

$$\begin{aligned} \lim_{n_{vGB} \rightarrow +\infty} (1 + |h^*|^{n_{vGB}})^{-m_{vGB}} &\approx |h^*|^{-n_{vGB} m_{vGB}} \\ &\approx |h^*|^{-\lambda_{vGB}} \quad \text{with} \quad \lambda_{vGB} = n_{vGB} m_{vGB} = n_{vGB} - 2 \end{aligned} \quad (51)$$

The equation  $\lambda_{BC} = \lambda_{vGB} = n_{vGB} - 2$  defines a relation between  $\lambda_{BC}$  and  $n_{vGB}$  that ensures a similar state for WR functions. Substituting  $n_{vGB}$  by  $m_{vGB}$  according to  $m_{vGB} = 1 - \frac{2}{n_{vGB}}$  leads to:

$$m_{vGB} = \frac{\lambda_{BC}}{2 + \lambda_{BC}} \quad (52)$$

Since  $m_{vGB}$  is the appropriate shape index for the vGB model, we consider its equivalent,  $\frac{\lambda_{BC}}{2 + \lambda_{BC}}$ , as the appropriate shape index for the BC model, leading to:

$$x_{BC} = \frac{\lambda_{BC}}{2 + \lambda_{BC}} \quad (53)$$

For KG functions, we considered that stepwise WR functions are associated with a narrow pore size distribution, i.e., a null standard deviation,  $\sigma_{KG}$ . In contrast, gradual WR functions correspond to a spread distribution of pore size distribution, i.e. very large values of  $\sigma_{KG}$ . Consequently, by analogy with Eq. (53), i.e. by using a ratio, we propose the following shape index,  $\sigma_{KG}$ :

$$x_{KG} = \frac{1}{1 + \sigma_{KG}} \quad (54)$$

Finally, the hydraulic shape parameters for each model can be expressed as a function of the shape index, by inverting the previous equations. For the sake of simplicity, we use the same letter “ $x$ ”, to denote the different shape indexes,  $x_{BC}$ ,  $x_{vGB}$ ,  $x_{vGM}$ , or  $x_{KG}$ . We obtain the following relations:

$$\begin{cases} \lambda_{KG} = \frac{2x}{1-x} \\ m_{vGB} = x \\ m_{vGM} = x \\ \sigma_{KG} = \frac{1-x}{x} \end{cases} \quad (55)$$

where  $x$  takes values in the interval  $[0, 1]$ . Eqs. (55) provide the shape parameters of the studied models for a given value of shape index  $x$ , i.e., for a similar state of WR function between gradual ( $x = 0$ ) and stepwise functions ( $x = 1$ ).

On the basis on these relations between hydraulic shape parameters and indexes,  $c_p$  can easily be related to the shape index using Eq. (44), Eq. (47) and Eq. (49), obtained for the simplified diffusivity functions, Eqs. (38)-(41), based on the capillary model Eqs. (37). For the KG model, the computations of  $c_p$  remained numerical. The following set of equations were obtained:

335

$$\left\{ \begin{array}{l} c_{p,BC}(x) = 2 + \frac{1-x}{5x+1} + \frac{1-x}{7x+1} \\ c_{p,vGB}(x) = \Gamma\left(\frac{3-x}{2}\right) \left[ \frac{\Gamma\left(\frac{1+5x}{2}\right)}{\Gamma(1+2x)} + \frac{\Gamma\left(\frac{1+7x}{2}\right)}{\Gamma(1+3x)} \right] \\ c_{p,vGM}(x) = \Gamma(2-x) \left[ \frac{\Gamma\left(\frac{3}{2}x\right)}{\left(\frac{3}{2}x-1\right)\Gamma\left(\frac{1}{2}x\right)} + \frac{\Gamma\left(\frac{5}{2}x\right)}{\left(\frac{5}{2}x-1\right)\Gamma\left(\frac{3}{2}x\right)} \right] + (1-x) \left[ \frac{\Gamma\left(\frac{3}{2}x\right)\Gamma(1+x)}{\left(\frac{3}{2}x-1\right)\Gamma\left(\frac{5}{2}x\right)} + \frac{\Gamma\left(\frac{5}{2}x\right)\Gamma(1+x)}{\left(\frac{5}{2}x-1\right)\Gamma\left(\frac{7}{2}x\right)} - 2 \left( \frac{1}{\frac{3}{2}x-1} + \frac{1}{\frac{5}{2}x-1} \right) \right] \\ c_{p,KG}(x) = \int_0^{\frac{1}{2}} (1+S_e) D_{KG(x)}(S_e) dS_e + \int_{h_{KG(x)}^*}^0 (1+S_{e,KG(x)}(h^*)) K_{r,KG}(h^*) dh^* \quad \text{with} \quad \sigma_{KG}(x) = \frac{1-x}{x} \end{array} \right. \quad (56)$$

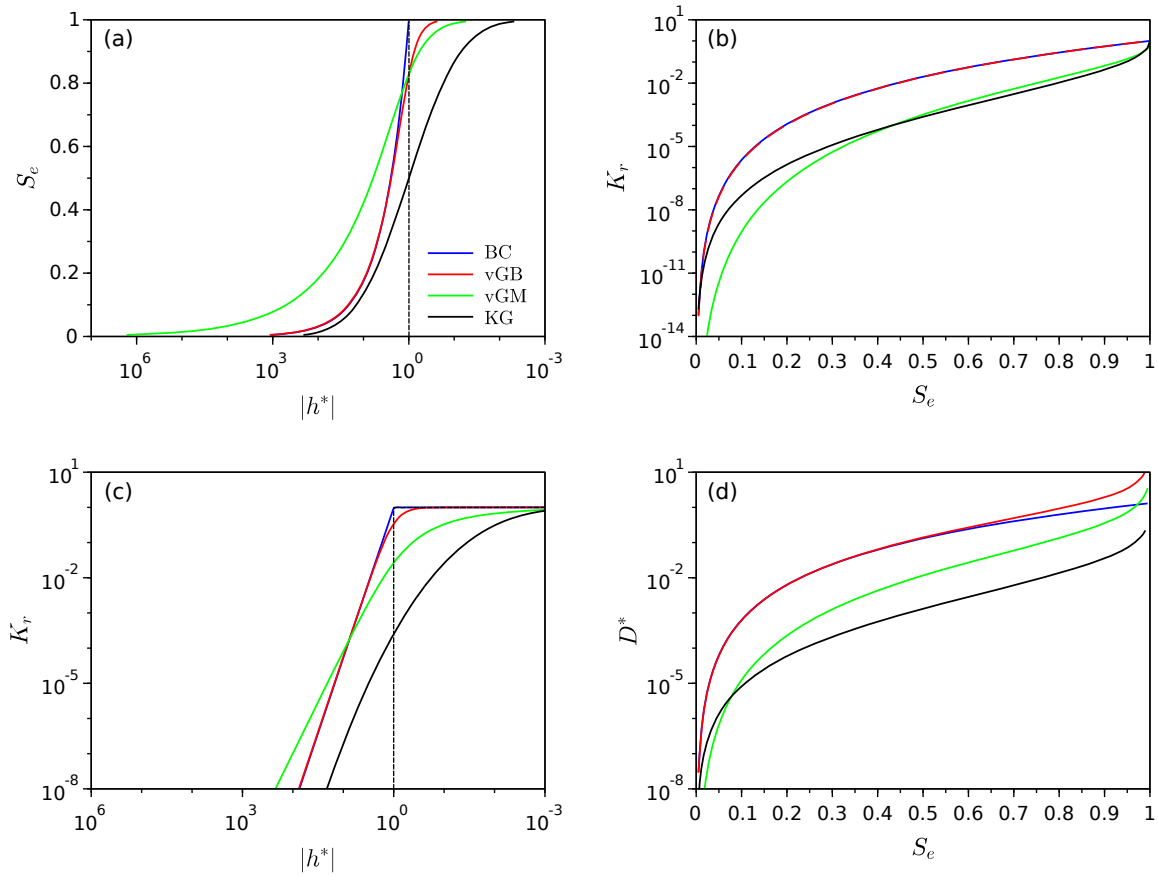
We performed a sensitivity analysis by varying the shape index  $x$  for each model between 0 and 1 by increments of 0.025. We then computed the different shape parameters for BC, vGB, vGM, and KG models using Eq. (55) and then plotted the related hydraulic functions Eqs. (28)-(31) with  $\eta = \frac{2}{\lambda} + 2 + p$  and  $l_{vGM} = l_{KG} = \frac{1}{2}$  and diffusivity functions Eqs. (38)-(41). Lastly, we  
 340 computed the square scaled sorptivity  $c_p$  as a function of the shape index, Eqs. (56), and discussed the function  $c_p(x)$  regarding the choice of the hydraulic model. The values of  $c_p(x)$  are also compared to that of the Delta model (Dirac delta functions), i.e.  $c_{p,d} = 2$ .

### 3 Results

#### 3.1 Analysis of the hydraulic functions and hydraulic diffusivity functions

345 The hydraulic and diffusivity functions are plotted in Fig. 1 for the shape index value of 0.275, and their sensitivity upon each model shape index is shown in Fig. 2. For the sake of clarity, we plotted the relative hydraulic conductivity both as functions of saturation degree,  $K_r(S_e)$ , and water pressure head,  $K_r(h^*)$ , noting that these functions have distinct uses:  $K_r(S_e)$  defines the HC functions as a property of the soils, whereas  $K_r(h^*)$  is mostly used to compute sorptivity, e.g., as in Eq. (19). Thus,  $K_r(h^*)$  has a similar role as the diffusivity function  $D^*(S_e)$ , and the shapes and properties of these two functions determine  
 350 the values of the square scaled sorptivity  $c_p$ .

The comparison between the hydraulic models (with the same shape index value) reveals some similarities and discrepancies (Fig. 1). Three of the water retention models (vGB, vGM, KG) exhibit an inflection point with a continuous increase in  $S_e(h^*)$  over the whole interval  $(-\infty, 0]$  (Fig. 1a, “vGB”, “vGM”, and “KG”), while the BC model reaches the asymptote  $S_e = 1$  at  $h^* = -1$  with full saturation for  $h^* \geq -1$  (Fig. 1a, “BC”). Despite that difference, the BC and vGB models exhibit  
 355 similar shapes (Fig. 1a, “BC” versus “vGB”). The vGM model exhibits a more progressive increase in  $S_e(h^*)$  while remaining asymmetrically distributed across the inflection point (Fig. 1a, “vGM”). Lastly, the KG model exhibits an even more progressive



**Figure 1.** Examples of water retention,  $S_e(h^*)$  (a), relative unsaturated hydraulic,  $K_r(S_e)$  (b) and  $K_r(h^*)$  (c), and diffusivity  $D^*(S_e)$  functions (d) for the four hydraulic models: Brooks and Corey (BC), van Genuchten – Burdine (vGB), van Genuchten – Mualem (vGM), and Kosugi (KG); the curves were plotted for the same value of the shape index,  $x = 0.275$ . The hydraulic parameters  $\lambda_{BC}$ ,  $m_{vGM}$ ,  $m_{vGB}$ , and  $\sigma_{KG}$  were computed as a function of  $x$  using Eq. (55) with  $l_{vGM} = l_{KG} = \frac{1}{2}$ . The dashed line represents the "Delta" model.

increase and a perfect symmetry around the inflection point (Fig. 1a, “KG”). The position of the inflection points depends on the chosen hydraulic model. By construction, the inflection point is positioned at  $h^* = -1$  for the KG model. The others models have inflection points positioned at larger abscissas (in absolute values), with similar intermediate values for the BC and the  
 360 vGB models and the largest abscissas for the vGM model (Fig. 1a).

Regarding the relative hydraulic conductivity, the BC and vGB models have similar shapes for  $K_r(S_e)$ , both typical of power functions (Fig. 1b, “BC” and “vGB”). In contrast, the vGM and KG models have an inflection point, with larger increase both at low saturation degrees and close to saturation compared to intermediate saturation degrees. In particular, these two models exhibit a very large increase close to saturation whereas BC and vGB models have a gradual increase (Fig. 1b, “vGM”  
 365 and “KG” versus “BC” and “vGB”, close to  $S_e = 1$ ). This feature allows the vGM and KG models to simulate large drops in

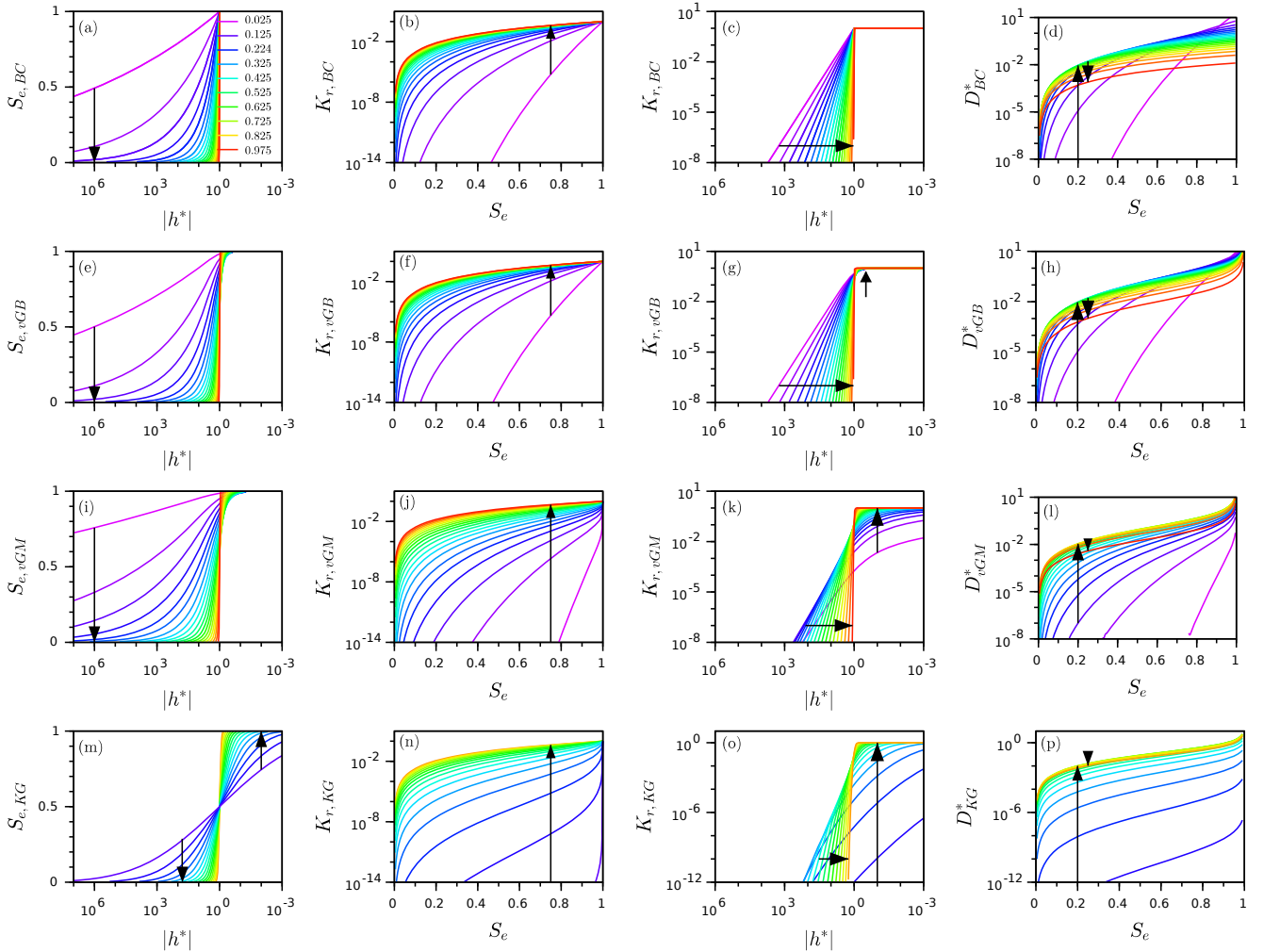


hydraulic conductivity close to saturation that are typical for certain soils. The functions  $K_r(h^*)$  are depicted in Fig. 1c and combine the properties of the functions  $K_r(S_e)$  and  $S_e(h^*)$ , as described depicted above. The function  $K_r(h^*)$  exhibit similar shapes for BC and vGB models, with a quasi linear and sharp increase for  $h^* \leq -1$  followed by a plateau (Fig. 1c, “BC” and “vGB”). The vGM and KG models exhibit a much more progressive increase, involving a much larger range of water pressure heads. This feature is the most pronounced for the KG model. This more progressive increase reflects the more gradual WR functions,  $S_e(h^*)$ , as described in Fig. 1a combined with the drop in  $K_r(S_e)$  that reaches unity only for saturation degrees extremely close to unity (Fig. 1b).

As for the WR and HC functions, the diffusivity functions exhibit close shapes for the BC and vGB models (Fig. 1d). The BC model defines a concave shape with a finite maximum equal to  $\lambda_{BC}$  obtained at  $S_e = 1$ , in line with the use of Eq. (38) at  $S_e = 1$  (Fig. 1d, “BC”). In opposite, the vGB model diverts from the concave shape to tend towards infinity close to saturation, at  $S_e = 1$  (Fig. 1d, “vGB” versus “BC”). The vGB model defines an S-shape that reflects the larger increases at both low and high saturation degrees with lower increase at intermediate saturation degrees (Fig. 1d, “vGB”). The two other models, vGM and KG, exhibit the same type of S-shape with an infinite limit close to saturation (Fig. 1d, “vGM” and “KG”). Such infinite limit spoils the numerical integration of Eqs. (22) for the determination of  $c_p$ , requiring the use of the mixed formulation for the KG models defined by Eqs. (50) and (56).

Varying the shape index changes the WR and HC functions in an expected way (Fig. 2). For the WR functions, increasing the shape index from 0 to 1 makes the shift from a gradual and moderate to an abrupt increase in saturation degree, respectively. Values close to unity makes the WR functions close to a stepwise function corresponding to the Delta model (Fig. 2, 1<sup>st</sup> column, arrows). As for the WR functions, the increase in the shape index put the curves  $K_r(h^*)$  close to stepwise functions (Fig. 2, 3<sup>rd</sup> column, arrows). For the BC model, we notice a decrease in  $K_r(h^*)$  for  $h^* \leq -1$  whereas  $K_r(h^*)$  remains equal to unity above (Fig. 2c, 3<sup>rd</sup> column). In opposite, for the vGB, vGM and KG models, the increase in the shape index has two antagonist effects: a decrease of  $K_r(h^*)$  for  $h^* \leq -1$  followed by an increase for  $h^* \geq -1$  (Fig. 2g,k,o, 3<sup>rd</sup> column, arrows). Briefly, as expected, the water retention and the relative hydraulic conductivity tend towards stepwise functions when the shape index tends towards unity (Fig. 2, 1<sup>st</sup> and 3<sup>rd</sup> columns). This trend is less evident for the diffusivity functions (Fig. 2, 4<sup>th</sup> column).

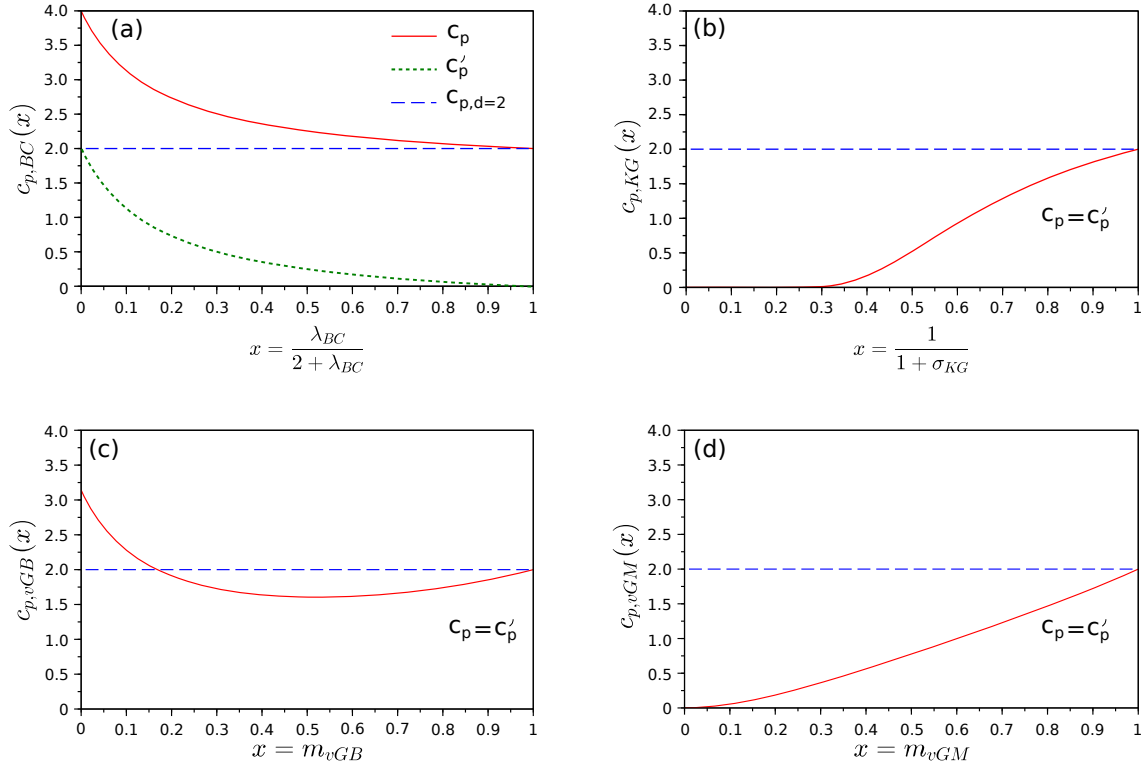
These results show that, regardless of the selected model, increasing the shape index put the hydraulic functions closer to the Delta model that corresponds to soils with narrow pore size distribution. In opposite, very small values of the shape index ensure very gradual shapes for WR and HC functions. However, the results point at contrasting trends when the shape index is decreased towards zero. It is clear that for the vGB and BC models, the relative hydraulic conductivity  $K_r(h^*)$  is not greatly impacted close to  $h^* = 0$  (Fig. 2c,g). In opposite, for the vGM and KG models,  $K_r(h^*)$  tends towards zero in the vicinity of  $h^* = 0$  (Fig. 2k,o, inverted arrows). Similarly, the dimensionless diffusivity,  $D^*(S_e)$ , tends towards zero over the whole interval  $[0, 1]$  when the shape index tends towards zero (Fig. 2l,p, inverted arrows). Consequently, the features of  $K_r(h^*)$  and  $D^*(S_e)$  functions suggest that the square scale sorptivity is very small for vGM and KG models, when the shape index tends towards zero (see results below).



**Figure 2.** : Impact of the shape index,  $x$ , on the WR and HC functions versus the selected hydraulic models: WR functions,  $S_e(h^*)$  (1<sup>st</sup> column), HC functions,  $K_r(S_e)$  (2<sup>nd</sup> column) or  $K_r(h^*)$  (3<sup>rd</sup> column), and diffusivity function  $D^*(S_e)$  functions; Brooks and Corey (BC) (1<sup>st</sup> row), van Genuchten – Burdine (vGB) (2<sup>nd</sup> row), van Genuchten – Mualem (vGM) (3<sup>rd</sup> row), and Kosugi (KG) models (4<sup>rd</sup> row); the arrows indicate increasing values of the shape index  $x$ . The hydraulic parameters  $\lambda_{BC}$ ,  $m_{vGM}$ ,  $m_{vGB}$ , and  $\sigma_{KG}$  were computed as a function of  $x$  using Eq. (55) with  $l_{vGM} = l_{KG} = \frac{1}{2}$ .

### 3.2 square scaled sorptivity $c_p$ as a function of shape indexes

400 The square scaled sorptivity,  $c_p(x)$ , is plotted as a function of the shape index,  $x$  (Fig. 3). The results show contrasting evolutions. For the BC model, we note a decrease of  $c_{p,BC}(x)$  from 4 down to 2 (Fig. 3a,  $c_p$ ). Such a decrease is expected since  $K_{r,BC}(h^*)$  functions decrease over the whole interval  $(-\infty, 0]$  with the shape index (see Fig. 2c). This leads to the decrease of the integral involved in Eq. (22), and thus  $c_p$ . The upper and lower limits can be easily determined by applying



**Figure 3.** : square scaled sorptivity,  $c_p$ , as a function of shape index,  $x$ , for the four hydraulic models: (a) Brooks and Corey (BC), (b) Kosugi (KG), (c) van Genuchten – Burdine (vGB), and (d) van Genuchten – Mualem (vGM); the models were sorted as a function of their monotonic features with monotonically increasing functions on the right.

Eq. (56), leading to  $c_{p,BC}(0) = 4$  and  $c_{p,BC}(1) = 2$ . The air-entry water pressure head is non-null, so that the  $c_p$  and  $c'_p$  are  
 405 related by  $c_p = c'_p + 2|h_a^*| = c'_p + 2$ , since by convention  $h_a^* = -1$ . Consequently,  $c'_p$  decreases from 2 to 0, with a simple  
 vertical shift compared to  $c_p$  (Fig. 3a,  $c'_p$ ). For the other hydraulic models, the air-entry water pressure head is null so that  
 $c_p = c'_p$  (Fig. 3b-d). In the following, we compare the evolutions of  $c_p$  as a function of the shape index of each model.

In contrary to the BC model,  $c_{p,vGB}(x)$  for the vGB model does not decrease monotonically (Fig. 3c). Instead,  $c_{p,vGB}(x)$   
 decreases to 1.5 before increasing up to 2 for  $x \geq 0.52$ . This feature is in line with the effect of the shape index on the relative  
 410 hydraulic conductivity,  $K_r(h^*)$ , as described above. The shape index has two antagonist effects: a decrease of  $K_r(h^*)$  for  
 $h^* \leq -1$  and an increase for  $h^* \geq -1$  (Fig. 2g, arrows). The numerical computation sorts out these contrasting effects and  
 demonstrates the two-step variation, i.e., a decrease followed by an increase. The two boundaries of the function  $c_{p,vGB}(x)$   
 can be easily found by using Eq. (56) with a lower limit of  $c_{p,vGB}(0) = 2\Gamma(\frac{3}{2})\Gamma(\frac{1}{2}) = \Gamma(\frac{1}{2})^2 = \pi$  and an upper limit of  
 $c_{p,vGB}(1) = \Gamma(1) \left[ \frac{\Gamma(3)}{\Gamma(3)} + \frac{\Gamma(4)}{\Gamma(4)} \right] = 2$ .

415 For the KG and vGM models, the trend is opposite and the functions  $c_{p,KG}(x)$  and  $c_{p,vGM}(x)$  both increase (Fig 3b,d). This  
 increase is in line with the fact that the shape index mainly increases the diffusivity function,  $D^*(S_e)$ , over the whole interval

**Table 1.** Values of the square scaled sorptivity  $c_p$  as a function of the shape index  $x$  for the studied hydraulic models: Brooks and Corey (BC), van Genuchten – Burdine (vGB), van Genuchten – Mualem (vGM), and Kosugi (KG).

$x$	BC	vGB	vGM	KG	$x$	BC	vGB	vGM	KG
0.00	4.000	3.142 ( $\pi$ )	0.000	0.000	...	...	...	...	..
0.02	3.749	2.891	2.559 E-03	3.38 E-776	0.52	2.237	1.605	0.820	0.604
0.04	3.549	2.692	9.814 E-03	2.14 E-188	0.54	2.220	1.605	0.864	0.686
0.06	3.384	2.529	2.126 E-02	3.106 E-81	0.56	2.205	1.608	0.908	0.768
0.08	3.246	2.393	3.646 E-02	2.125 E-44	0.58	2.191	1.611	0.953	0.848
0.10	3.129	2.279	5.500 E-02	1.161 E-27	0.60	2.177	1.616	0.998	0.927
0.12	3.028	2.183	7.654 E-02	9.772 E-19	0.62	2.164	1.623	1.043	1.004
0.14	2.940	2.099	0.101	1.875 E-13	0.64	2.151	1.631	1.089	1.079
0.16	2.863	2.028	0.127	4.350 E-10	0.66	2.140	1.640	1.135	1.151
0.18	2.794	1.966	0.156	7.998 E-08	0.68	2.128	1.651	1.181	1.220
0.20	2.733	1.911	0.187	3.107 E-06	0.70	2.117	1.662	1.228	1.287
0.22	2.678	1.864	0.219	4.429 E-05	0.72	2.107	1.676	1.275	1.351
0.24	2.629	1.823	0.253	3.218 E-04	0.74	2.097	1.690	1.322	1.412
0.26	2.584	1.787	0.288	1.463 E-03	0.76	2.088	1.706	1.370	1.471
0.28	2.543	1.755	0.325	4.760 E-03	0.78	2.079	1.723	1.418	1.527
0.30	2.506	1.727	0.362	1.212 E-02	0.80	2.070	1.741	1.467	1.580
0.32	2.471	1.704	0.401	2.568 E-02	0.82	2.062	1.761	1.516	1.630
0.34	2.440	1.683	0.440	4.735 E-02	0.84	2.054	1.782	1.566	1.680
0.36	2.410	1.665	0.480	7.837 E-02	0.86	2.046	1.804	1.617	1.727
0.38	2.383	1.650	0.521	0.119	0.88	2.039	1.828	1.669	1.771
0.40	2.358	1.637	0.562	0.170	0.90	2.032	1.853	1.721	1.814
0.42	2.334	1.627	0.604	0.229	0.92	2.025	1.879	1.775	1.854
0.44	2.312	1.619	0.646	0.295	0.94	2.018	1.907	1.829	1.893
0.46	2.291	1.613	0.689	0.367	0.96	2.012	1.937	1.885	1.930
0.48	2.272	1.608	0.732	0.444	0.98	2.006	1.967	1.942	1.966
0.50	2.254	1.606	0.776	0.523	1.00	2.000	2.000	2.000	2.000

[0, 1] and thus the integral of Eq. (22). The two functions  $c_{p,KG}(x)$  and  $c_{p,vGM}(x)$  increase from 0 up to 2. For the vGM model, the lower and upper limits can be demonstrated using Eq. (56) leading to  $c_{p,vGM}(0) = 0$  (numerical determination) and  $c_{p,vGM}(1) = \Gamma(1) \left[ \frac{\Gamma(\frac{1}{2})}{\Gamma(\frac{1}{2})} + \frac{\Gamma(\frac{3}{2})}{\Gamma(\frac{3}{2})} \right] = 2$ . For KG hydraulic functions, the lower and upper limits were determined numerically, leading also to 0 and 2, with almost zero values over a large interval of shape index, i.e.,  $x \in [0, 0.3]$  (Fig. 3b).

The four functions  $c_{p,BC}(x)$ ,  $c_{p,vGB}(x)$ ,  $c_{p,vGM}(x)$ , and  $c_{p,KG}(x)$  all reach the value of 2 when the shape index approaches unity, i.e., when the WR and HC functions tend towards stepwise functions. In fact, the value of  $c_p(x)$  converges to the value

obtained for the Delta model,  $c_{p,d} = 2$  (see Eq. 42). Such a result indicates that similar results should be obtained for soils with a narrow pore size distribution (like coarse soils with narrow pore size distributions), regardless of the selected model for describing their WR and HC functions. In other words, the choice of the hydraulic model should not matter for soils with narrow pore size distributions. In opposite, contrasting trends are obtained when the shape index tends towards zero: quasi-null values for the vGM and KG models,  $\pi$  for the vGB model and 4 for the BC model. The null values of  $c_p$  for the KG and vGB models are in line with the large decrease in  $D^*(S_e)$  over the whole interval  $[0, 1]$  when the shape index,  $x$ , tends towards zero (see Fig. 21,p and comments above). Such results show that the choice of the hydraulic model matters for soils with graded pore size distributions. Between these two extreme states, the four functions  $c_{p,BC}(x)$ ,  $c_{p,vGB}(x)$ ,  $c_{p,vGM}(x)$ , and  $c_{p,KG}(x)$  exhibit contrasting evolutions, with  $c_{p,vGM}(x)$ , and  $c_{p,KG}(x)$  increasing monotonously,  $c_{p,BC}(x)$  decreasing monotonously,  $c_{p,vGB}(x)$  exhibiting a two-step behavior of decreasing followed by increasing values.

These contrasting evolutions of functions  $c_{p,BC}(x)$ ,  $c_{p,vGB}(x)$ ,  $c_{p,vGM}(x)$ , and  $c_{p,KG}(x)$  point at different implications regarding the physics of flow and infiltration in soils. It should be borne in mind that the choice of the hydraulic models should not impact the value of the square sorptivity,  $S_K^2(h_0, 0)$  for a given soil and given initial conditions,  $h_0$ . Indeed, sorptivity should be independent of the choice of hydraulic models since it always equals the ratio between the cumulative infiltration and the square root of time for gravity-free infiltration, as illustrated by Eq. (1). However, this work shows that the choice of the hydraulic model strongly impacts the estimation of  $c_p$ , for soils with broad pore size distributions, i.e., when the shape index gets close to zero: the BC and vGB models predict non-null values for  $c_p$ , thus ensuring non-null values of the sorptivity (see Eq. (26)), whereas the KG or vGM models predict quasi-null values of  $c_p$ . We then expect the product of scale parameters “ $(\theta_s - \theta_r) K_s |h_g|$ ” to compensate for the very low values of  $c_p$  (see Eq. (26)). Given that the scale parameters  $\theta_s$ ,  $\theta_r$ , and  $K_s$ , characterize dry (residual) or saturated states of the soil, these parameters are not expected to vary between the hydraulic models and are supposed fixed. Consequently, only the scale parameters for water pressure head,  $|h_g|$ , is expected to compensate for the very low values of  $c_p$  when the vGM and KG models are used. We conclude that the value of  $|h_g|$  must tends towards infinite when the shape index tends towards zero for these two models. For the KG model, such relation  $|h_{KG}| \sim x_{KG}$  is related to the relation  $|h_{KG}| \sim \sigma_{KG}$  since  $x_{KG} = (1 + \sigma_{KG})^{-1}$ . Our statements imply that very large values of  $\sigma_{KG}(x_{KG} \rightarrow 0)$  should be associated to very larges values of  $|h_{KG}|$ , i.e., to very small pore radius. In other words, fine (coarse) soils with small (large) pores should have broad (narrow) pore size distributions. These considerations are in line the previous studies on the KG model by Pollacco et al. (2013) (see Fig. 1 of their paper), and Fernández-Gálvez et al. (2019). These authors even related the pore radius of soil to the standard deviation of pore size distribution with a strongly decreasing function. Similar trends relating to some extent a relation between pore mean and pore standard deviation should also apply to the vGM model given our findings (and to avoid null sorptivity for soils with broad pore size distributions). More investigations are needed to verify for real soils such link between average pore size and standard deviation. More investigations are also needed to guide on the proper choice of the hydraulic models as a function of the soil type, as already suggested by Fuentes et al. (1992). These aspects will be the subject of a specific study.

Regarding the numerical accuracy of computed values of  $c_p$ , we used analytical formulations for the BC, vGB, and vGM models as detailed in Eqs. (56). These values are expected to be perfect without any error since they correspond to the ap-

plication of exact analytical formulations. Instead, we used the mixed numerical formulation defined by Eqs. (50) for the KG model that relies on the numerical integration of the HC or diffusivity functions. In that case, the numerical integration may bring some numerical errors. The mixed form, Eq. (50), was designed to minimize numerical indetermination and uncertainty. Such formulation was applied to the other models (BC, vGB, and vGM) and the resulting values were compared against the analytical formulations (considered as the benchmark). A perfect agreement was obtained (errors < 1%), thus validating the numerical mixed formulation and reinforcing the confidence on the tabulated values in Table 1. Note that the promotion of the numerical mixed formulation, Eq. (50), and the study of its uncertainty will be the subject of another study.

### 3.3 Upscaling sorptivity $S_K(h_0, 0)$ from $c_p$

In this section, we elaborate on the use of Eq. (26) for the easy and straightforward computation of  $S_K^2(h_0, 0)$  from the tabulated values of  $c_p$  (Table 1). The proposed scaling procedure Eq. (26) allows the computation of  $S_K^2(h_0, 0)$  given initial conditions (water contents or water pressure heads), hydraulic shape and scale parameters, and specific hydraulic models selected among Eqs. (7)-(10):

1. Use the shape parameter ( $\lambda_{BC}$ ,  $m_{vGB}$ ,  $m_{vGM}$ , or  $\sigma_{KG}$ ) to compute the related shape index,  $x$ , considering the following definitions:  $x_{vGB} = m_{vGB}$ ,  $x_{vGM} = m_{vGM}$ ,  $x_{KG} = 1/(1 + \sigma_{KG})$ , and  $x_{BC} = \frac{\lambda_{BC}}{2 + \lambda_{BC}}$
2. Choose in Table 1 the value of  $c_p$  corresponding to the shape index,  $x$ , and the chosen WR and HC functions
3. Consider or compute the initial water content,  $\theta_0$  or  $\theta(h_0)$ , depending on the description of the initial condition (either  $\theta_0$  or  $h_0$ )
4. Compute the related hydraulic conductivity,  $K_0 = K(\theta_0)$ , using the HC function
5. Compute the correcting factors  $R_\theta = \frac{\theta_s - \theta_0}{\theta_s - \theta_r}$  ( $= 1 - S_{e,0}$ ) and  $R_K = \frac{K_s - K_0}{K_s}$  ( $= 1 - K_r(S_{e,0})$ )
6. Compute the scaled air-entry water pressure head:  $|h_a^*| = \left| \frac{h_a}{h_g} \right|$
7. Compute the square scaled sorptivity  $S_K^{2*}(h_0^*, 0) = R_K R_\theta (c_p - 2|h_a^*|) + 2R_\theta |h_a^*|$
8. Upscale to derive the square sorptivity:  $S_K^2(h_0, 0) = S_K^{2*}(h_0^*, 0) (\theta_s - \theta_r) K_s |h_g|$

As an illustrative example, let consider the case of a loamy soil at water saturation with a slightly positive water pressure head at the surface ( $h_1 = 0$ ) and an initial water pressure head of  $h_0 = -10$  m (dry conditions). The loamy soil has the features of “loam” as defined in the database of Carsel and Parrish (1988). Its WR and HC functions are described by the vGM model, with the following shape and scale parameters:  $\theta_r = 0.078$ ,  $\theta_s = 0.43$ ,  $h_g = -277$  mm,  $K_s = 2.88 \cdot 10^{-3}$  mm s<sup>-1</sup>,  $n_{vGM} = 1.56$ , and  $l_{vGM} = 0.5$ . The application of the step-by-step procedure gives the following results:

1. Shape index:  $x = m_{vGM} = 1 - 1/n_{vGM}$  leading to:  
 $x = 0.359$

2. Corresponding value of  $c_p$  in Table 1 (“vGM model” column,  $x = 0.36$ ):

$$c_p = 0.480$$

3. Initial water content: computed from the initial water pressure head of -10 m using vGM-WR function, i.e., Eqs. (9):

490  $\theta_0 = 0.125$

4. Initial hydraulic conductivity: computed from the initial water content using vGM-HC function, i.e., Eqs. (9):

$$K_0 = 1.87 \cdot 10^{-9} \text{ mm s}^{-1}$$

5. Corresponding correction factors:  $R_\theta = \frac{\theta_s - \theta_0}{\theta_s - \theta_r}$  and  $R_K = \frac{K_s - K_0}{K_0}$ , leading to:

$$R_\theta = 0.865 \text{ and } R_K = 1.000$$

495 6. Air-entry water pressure head: no air-entry water pressure head, leading to,:

$$|h_a^*| = 0$$

7. Square scaled sorptivity:  $S_K^{2*}(h_0^*, 0) = R_K R_\theta c_p$  leading to:

$$S_K^{2*}(h_0^*, 0) = 0.416$$

8. Sorptivity:  $S_K^2(h_0, 0) = S_K^{2*}(h_0^*, 0)(\theta_s - \theta_r) K_s |h_g|$ , leading to:

500  $S_K^2(h_0, 0) = 0.117 \text{ mm}^2 \text{ s}^{-1}$ , and  $S_K(h_0, 0) = 0.342 \text{ mm s}^{-\frac{1}{2}}$

To check the accuracy of the proposed approximation, we computed the nominal value of sorptivity, using the regular Eq. (2). We found a very close value, with less than 0.5% relative error, demonstrating the accuracy of the proposed scaling procedure, Eq. (26).

As a second illustrative example, we consider the computation of sorptivity for the case of BC model, for the same conditions.  
505 The difference with the previous case is that the BC model has a non-null air-entry water pressure head, inducing a non-null saturated sorptivity. We consider the same loamy soil with the following parameters for BC model:  $\theta_r = 0.078$ ,  $\theta_s = 0.43$ ,  $h_g = -277 \text{ mm}$ , and  $K_s = 2.88 \cdot 10^{-3} \text{ mm s}^{-1}$ , with a value of  $\lambda_{BC} = 0.56$ .  $\lambda_{BC}$  was deduced from the previous value of  $n = 1.56$  considering the usual relation  $\lambda = mn$ , as suggested by Haverkamp et al. (2005). The application of the proposed procedure leads to the following computations:

510 1. Shape index:  $x_{BC} = \lambda_{BC} / (2 + \lambda_{BC})$  leading to:

$$x_{BC} = 0.219$$

2. Corresponding value of  $c_p$  in Table 1 (“BC model” column,  $x_{BC} = 0.22$ ):

$$c_p = 2.678$$

3. Initial water content: computed from the initial water pressure head of -10 m using BC-WR function, i.e., Eqs. (7):

515  $\theta_0 = 0.125$

4. Initial hydraulic conductivity: computed from the initial water content using BC-HC function, i.e., Eqs. (7):

$$K_0 = 3.342 \cdot 10^{-9} \text{ mm s}^{-1}$$

5. Corresponding correction factors:  $R_\theta = \frac{\theta_s - \theta_0}{\theta_s - \theta_r}$  and  $R_K = \frac{K_s - K_0}{K_0}$ , leading to:

$$R_\theta = 0.866 \text{ and } R_K = 1.000$$

520 6. Air-entry water pressure head: significant air-entry water pressure head, with  $h_{BC} = h_a$  leading to:

$$|h_a^*| = 1$$

7. Square scaled sorptivity:  $S_K^{2*}(h_0^*, 0) = R_K R_\theta (c_p - 2|h_a^*|) + -2R_\theta |h_a^*|$  leading to:

$$S_K^{2*}(h_0^*, 0) = 2.318$$

8. Sorptivity:  $S_K^2(h_0, 0) = S_K^{2*}(h_0^*, 0) (\theta_s - \theta_r) K_s |h_g|$ , leading to:

525  $S_K^2(h_0, 0) = 0.651 \text{ mm}^2 \text{ s}^{-1}$ , and  $S_K(h_0, 0) = 0.806 \text{ mm s}^{-\frac{1}{2}}$

Again, the exact value of sorptivity was estimated using the accurate Eq. (3) and lead to a similar value with a relative error of 1%. Note that, in this case, due to the non-null air-entry water pressure head, Eq. (3) must be employed instead of Eq. (2) for the determination of the targeted value of sorptivity.

The two preceding applications illustrated the accuracy of the proposed scaling procedure, Eq. (26), for both hydraulic  
530 functions, with and without air-entry water pressure heads. Eq. (26) proved appropriate and very accurate for the determination of the sorptivity,  $S_K^2(h_0, 0)$ .

It must be noted that the proposed scaling procedure applies only for a dry initial state. Indeed, Haverkamp et al. (2005) stated that their approximation, Eq. (11), was valid only when  $\theta_0 \leq \frac{1}{4}\theta_s$ . For fine soils, even a small initial water pressure head may cause  $\theta_0 > \frac{1}{4}\theta_s$ , which may spoil the proposed scaling procedure. To illustrate this point, we investigated the case of the  
535 silty clay soil, as defined by Carsel and Parrish (1988). This soil is defined for the following parameters:  $\theta_r = 0.07$ ,  $\theta_s = 0.36$ ,  $h_g = -2000 \text{ mm}$ ,  $K_s = 5.555 \cdot 10^{-5} \text{ mm s}^{-1}$ ,  $n = 1.09$ , and  $l = 0.5$ . Considering the same value for the initial water pressure head, i.e.,  $h_0 = -10 \text{ m}$ , the initial water content is  $\theta_0 = 0.318$ ; which exceeds  $\frac{1}{4}\theta_s$ . The application of the scaling procedure lead to an estimated sorptivity of  $0.0475 \text{ mm s}^{-\frac{1}{2}}$ , whereas the targeted sorptivity computed with Eq. (2) was  $0.0127 \text{ mm s}^{-\frac{1}{2}}$ . Such error corresponds to an overestimation by a factor of 2.73. Thus, we advise that the user verify that  $\theta_0 \leq \frac{1}{4}\theta_s$  before using  
540 the proposed scaling procedure.

## 4 Conclusions

The proper estimation of sorptivity is crucial to understand and model water infiltration into soils. However, its estimation may be complicated, requiring complicated algebraic derivations and exhibiting potential numerical shortcomings when using Eq. (2) or Eq. (3). In this study, we present a new scaling procedure for simplifying the computation of sorptivity for the case of  
545 zero water pressure head imposed at the surface and dry initial state ( $\theta_0 \leq \frac{1}{4}\theta_s$ ). We based our approach on the combination and adaptation of the scaling procedure proposed by Ross et al. (1996) and the approximation proposed by Haverkamp et al. (2005).



We then obtain a simple relation that relates the square sorptivity to the product of the square scaled sorptivity, referred to as  $c_p$ , the product of scale parameters and two correction factors that account for the initial conditions, (i.e., initial water content and hydraulic conductivity). The value of the square scaled sorptivity,  $c_p$ , was computed either analytically, when feasible, or numerically, for four hydraulic models: Brooks and Corey, van Genuchten – Mualem, van-Genuchten – Burdine and Kosugi models. The values of  $c_p$  were tabulated as a function of specific shape indexes representing similar states of WR functions (well-graded versus stepwise shapes) between hydraulic models. The proposed scaling procedure is easy of use, and, given a selected hydraulic model with related hydraulic shape and scale parameters, the following steps are conducted: computation of the shape index from the hydraulic shape parameters, reading of the corresponding value of  $c_p$  in Table 1, computation of the correction factors (ratios in hydraulic conductivity and water contents,  $R_K$  and  $R_\theta$ ), computation of the square scaled sorptivity from  $c_p$  and the correction factors, and, lastly, upscaling by multiplying with the scale parameters. All these steps are easy to conduct and straightforward. Illustrative examples are proposed at the end of this study and the accuracy of the proposed scaling procedure is clearly demonstrated (with errors less than 1%), provided that the initial water content fulfills the conditions  $\theta_0 \leq \frac{1}{4}\theta_s$ .

In addition to providing a straightforward method for the determination of sorptivity, this study brings very interesting findings on the square scaled sorptivity,  $c_p$ , and its dependency upon the shape index,  $x$ , and the chosen hydraulic model. The results show that the function  $c_p(x)$  strongly depends on the hydraulic model selected for the WR and HC curves. If all the functions  $c_p(x)$  converge for the same value, i.e., 2, close to  $x = 1$  (stepwise WR functions – narrow pore size distribution), they strongly divert close to  $x = 0$  (graded WR functions – broad pore size distribution), with values of 0 for vGM and KG models versus 3 - 4 for the vGB and BC models. However, the sorptivity should remain the same regardless of the selected hydraulic model: one soil under particular initial conditions, one single sorptivity. Consequently, the contrast of scaled sorptivity must be compensated by a contrast in scale parameters. However, among scale parameters, the residual and saturated water contents and the saturated hydraulic conductivity cannot be changed between models, since they characterize the dry and saturated states of the same soil. Consequently, the value of the scale parameter,  $h_g$ , must be the one to compensate. Previous studies on the Kosugi model have already hypothesized a strong relation between the scale parameter,  $h_{KG}$ , and the standard deviation,  $\sigma_{KG}$  (Pollacco et al., 2013). In other words, the scale parameter,  $h_{KG}$ , should be parametrized as a function of the shape parameter,  $\sigma_{KG}$ , to get plausible WR and HC functions and estimates of sorptivity. We may also expect the same link between the scale parameter,  $h_{g,vGM}$ , and the shape parameter,  $m_{vGM}$ , to avoid unphysical scenarios and null sorptivity. However, such hypothesis has never been suggested and requires further investigations. These results show the need to better understand the mathematical properties of the hydraulic models, including the links between the hydraulic shape and scale parameters, and to better relate these properties to the physical processes of water infiltration into soils (Fuentes et al., 1992).

In addition to the proposed scaling procedure, this study gave the opportunity to derive analytically the scaled sorptivity for the three models, BC, vGB, and vGM, thus confirming the expressions provided by previous studies. For the vGM model, the analytical derivation is brand new and had never been proposed before. Its use is of great interest and could be implemented into soil hydraulic characterization methods. For instance, additional BEST methods could be developed on the basis of the use of the proposed formulations for the parameter  $c_p$  to relate sorptivity to shape and scale parameters. In current BEST

methods, only the vGB model is considered. The prior estimation of shape parameters allows the determination of the parameter  $c_p$  using Eq. (45). Then, the estimation of saturated hydraulic conductivity, and sorptivity allows the determination of scale parameter  $h_g$  once  $c_p$  is determined (Lassabatere et al., 2006). A similar procedure may be proposed for the vGM model, using Eq. (49) that relates the parameter  $c_p$  to the shape parameter  $m_{vGM}$ . The development of the BEST method for the specific vGM hydraulic model, that is much more used than the vGB model, will be the subject of further investigations. Another improvement concerns the consideration of the residual water content  $\theta_r$  in the proposed scaling procedure. It would also be interesting to derive somehow the residual water content, and not to assume it to be equal to zero as it might also alter the shape of the soil water retention function. The use of the scaled sorptivity and the proposed scaling procedure for these purposes are the subject of ongoing studies and will lead to implementations in many methods and tools for the characterization of single or dual permeability soils, including the BEST methods (Fernández-Gálvez et al., 2019; Lassabatere et al., 2019) and the SoilWater-ToolBox software developed for the characterization of homogeneous and heterogeneous soils (Fernández-Gálvez et al., 2021).

*Code availability.* Note all computations were done using Scilab free software. The scripts for the computation of Eqs. (28)-(31) for the computation of WRHC functions, Eqs. (38)-(41) for the computation of the dimensionless diffusivity, and Eqs. (56) for the computation of the  $c_p$  parameter can be downloaded online: <https://zenodo.org/record/4587160> (Lassabatere, 2021). Note also that the computation of the proposed sorptivity was implemented in the SoilWater-ToolBox software that interrelates specific modules to derive the soil hydraulic parameters by using a wide range of cost-effective methods, accessible online: [https://github.com/manaakiwhenua/SoilWater\\_ToolBox/](https://github.com/manaakiwhenua/SoilWater_ToolBox/) (open source under the GP-3.0 License).

## 600 **Appendix A: Dimensionless hydraulic diffusivity functions, $D^*(S_e)$**

In the appendices, for the sake of clarity the notations of the shape parameters were simplified to  $\lambda, m, n, \sigma$ , in order to avoid heavy equations. The dimensionless diffusivity functions were derived from their definition  $D^*(S_e)$ , applying  $D^*(S_e) = K_r(S_e) \frac{dh^*}{dS_e}$ . This task requires first to derive the inverse functions for the dimensionless water retention curves. The following equations can be easily found through usual algebraic developments:

$$605 \quad h_{BC}^*(S_e) = -S_e^{-\frac{1}{\lambda}} \quad (A1)$$

$$h_{vGB}^*(S_e) = -\left(S_e^{-\frac{1}{m}} - 1\right)^{\frac{1}{n}} \quad \text{with} \quad m = 1 - \frac{2}{n} \quad (A2)$$

$$h_{vGM}^*(S_e) = -\left(S_e^{-\frac{1}{m}} - 1\right)^{\frac{1}{n}} \quad \text{with} \quad m = 1 - \frac{1}{n} \quad (A3)$$

$$h_{KG}^*(S_e) = -e^{\sqrt{2}\sigma \operatorname{erfc}^{-1}(2S_e)} \quad (A4)$$

where  $erfc^{-1}$  is the inverse function of the complementary error function. These functions can be differentiated to define their  
610 relative derivatives,  $\frac{dh^*}{dS_e}$ :

$$\frac{dh_{BC}^*}{dS_e}(S_e) = \frac{1}{\lambda} S_e^{-\frac{1}{\lambda}-1} \quad (A5)$$

$$\frac{dh_{vGB}^*}{dS_e}(S_e) = \frac{1-m}{2m} S_e^{-\frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{m+1}{2}} \quad (A6)$$

$$\frac{dh_{vGM}^*}{dS_e}(S_e) = \frac{1-m}{m} S_e^{-\frac{1}{m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-m} \quad (A7)$$

$$\frac{dh_{KG}^*}{dS_e}(S_e) = \sqrt{2\pi}\sigma e^{(erfc^{-1}(2S_e))^2 + \sqrt{2}\sigma erfc^{-1}(2S_e)} \quad (A8)$$

615 The differentiation of the function  $h_{KG}^*$  involves the following usual rules of differentiation  $(f \circ g)' = f' \circ g \cdot g'$  and  $(f^{-1})' = \frac{1}{f' \circ f^{-1}}$ , considering bijective functions. We also use the usual derivative of the function  $erf$  function,  $erf'(x) = \frac{2}{\sqrt{\pi}}e^{-x^2}$ , and the relation between  $erfc$  and  $erf$  functions,  $erfc(x) = 1 - erf(x)$ .

The derivatives  $\frac{dh^*}{dS_e}$  can now be multiplied with the hydraulic conductivity:

$$K_{r,BC}(S_e) = S_e^\eta \quad (A9)$$

620  $K_{r,vGB}(S_e) = S_e^\eta \quad (A10)$

$$K_{r,vGM}(S_e) = S_e^l \left(1 - 2 \left(1 - S_e^{\frac{1}{m}}\right)^m + \left(1 - S_e^{\frac{1}{m}}\right)^{2m}\right) \quad (A11)$$

$$K_{r,KG}(S_e) = S_e^l \left(\frac{1}{2}erfc\left(erfc^{-1}(2S_e) + \frac{\sigma}{2}\right)\right)^2 \quad (A12)$$

Note that for the hydraulic conductivity function of vGM model,  $K_{r,vGM}$ , we distributed the terms according to  $(a+b)^2 = a^2 + 2ab + b^2$ . The multiplication of Eqs. (A5)-(A8) with the expressions of relative conductivity Eq. (A9)-(A12) lead to the  
625 following expressions of dimensionless diffusivity:

$$\begin{cases} D_{BC}^*(S_e) = \frac{1}{\lambda} S_e^{\eta - (\frac{1}{\lambda} + 1)} \\ D_{vGB}^*(S_e) = \frac{1-m}{2m} S_e^{\eta - \frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} \\ D_{vGM}^*(S_e) = \frac{1-m}{m} S_e^{l - \frac{1}{m}} \left(\left(1 - S_e^{\frac{1}{m}}\right)^{-m} + \left(1 - S_e^{\frac{1}{m}}\right)^m - 2\right) \\ D_{KG}^*(S_e) = \frac{1}{2} \sqrt{\frac{\pi}{2}} \sigma S_e^l \left(erfc\left(erfc^{-1}(2S_e) + \frac{\sigma}{\sqrt{2}}\right)\right)^2 e^{(erfc^{-1}(2S_e))^2 + \sqrt{2}\sigma erfc^{-1}(2S_e)} \end{cases} \quad (A13)$$

These equations, Eqs. (A13), correspond to the expressions of Eqs. (33)-(36). Afterwards, the combination with the capillarity model Eq. (37) leads to Eqs. (38)-(41).

## Appendix B: Analytical developments for $c_p$ parameter

### 630 B1 Parameter $c_p$ for BC model

For the BC model, we need to account for the air entry pressure,  $h_a^* = -1$ . We remind that by convention, the scale parameters for water pressure head,  $h_{BC}$  is equalled to the air-entry water pressure head,  $h_a$ . We then use the equation Eq. (22) with  $|h_a^*| = 1$ . Then, the first part  $\int_0^1 (1 + S_e) D_{BC}^*(S_e) dS_e$  can be integrated analytically given that the hydraulic diffusivity  $D_{BC}^*(S_e)$  obeys a power law. The following developments can be done:

$$\begin{aligned}
 c_{p,BC} &= \int_0^1 (1 + S_e) D_{BC}^*(S_e) dS_e + 2|h_a^*| \\
 635 \quad &= \int_0^1 (1 + S_e) D_{BC}^*(S_e) dS_e + 2
 \end{aligned} \tag{B1}$$

$$\begin{aligned}
 \int_0^1 (1 + S_e) D_{BC}^*(S_e) dS_e &= \int_0^1 D_{BC}^*(S_e) dS_e + \int_0^1 S_e D_{BC}^*(S_e) dS_e \\
 &= \int_0^1 \frac{1}{\lambda} S_e^{\eta - (\frac{1}{\lambda} + 1)} dS_e + \int_0^1 \frac{1}{\lambda} S_e S_e^{\eta - (\frac{1}{\lambda} + 1)} dS_e \\
 &= \frac{1}{\lambda} \left( \int_0^1 S_e^{\eta - (\frac{1}{\lambda} + 1)} dS_e + \int_0^1 S_e^{\eta - \frac{1}{\lambda}} dS_e \right) \\
 &= \frac{1}{\lambda} \left( \frac{1}{\eta - \frac{1}{\lambda}} + \frac{1}{\eta - \frac{1}{\lambda} + 1} \right) \\
 &= \frac{1}{\eta\lambda - 1} + \frac{1}{\eta\lambda + \lambda - 1}
 \end{aligned} \tag{B2}$$

The final expression can be easily computed by combining Eqs. (B1) and Eqs. (B2):

$$c_{p,BC}(\lambda, \eta) = \frac{1}{\eta\lambda - 1} + \frac{1}{\eta\lambda + \lambda - 1} + 2 \tag{B3}$$

640 The concatenation of the Eq. (B3) with the capillary model, Eq.( 37), leads to the following final expression:

$$c_{p,BC}(\lambda) = 2 + \frac{1}{3\lambda + 1} + \frac{1}{4\lambda + 1} \tag{B4}$$

These development demonstrate the equations proposed for  $c_p$  for the BC model, i.e., Eqs. (43)-(44). This demonstration is in line with Varado et al. (2006).

## B2 Parameter $c_p$ for vGB model

645 For vGB model, and the remaining models, there is no air-entry water pressure head,  $h_a^* = 0$ , leading to:

$$\begin{aligned} c_{p,vGB} &= \int_0^1 (1 + S_e) D_{vGB}^*(S_e) dS_e + 2|h_a^*| \\ &= \int_0^1 (1 + S_e) D_{vGB}^*(S_e) dS_e \end{aligned} \quad (\text{B5})$$

Then, the integral  $\int_0^1 (1 + S_e) D_{vGB}^*(S_e) dS_e$  can be decomposed into well-known integrals:

$$\begin{aligned} c_{p,vGB}(m, \eta) &= \int_0^1 (1 + S_e) D_{vGB}^*(S_e) dS_e \\ &= \int_0^1 D_{vGB}^*(S_e) dS_e + \int_0^1 S_e D_{vGB}^*(S_e) dS_e \\ &= \int_0^1 \frac{1-m}{2m} S_e^{\eta - \frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e + \int_0^1 S_e \frac{1-m}{2m} S_e^{\eta - \frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e \\ &= \frac{1-m}{2m} \left( \int_0^1 S_e^{\eta - \frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e + \int_0^1 S_e S_e^{\eta - \frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e \right) \\ &= \frac{1-m}{2m} \left( \int_0^1 S_e^{\eta - \frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e + \int_0^1 S_e^{\eta+1 - \frac{1+m}{2m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e \right) \\ &= \frac{1-m}{2m} \left( \int_0^1 \left(S_e^{\frac{1}{m}}\right)^{m\eta - \frac{1+m}{2}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e + \int_0^1 \left(S_e^{\frac{1}{m}}\right)^{m\eta+m - \frac{1+m}{2}} \left(1 - S_e^{\frac{1}{m}}\right)^{-\frac{1+m}{2}} dS_e \right) \end{aligned} \quad (\text{B6})$$

The change of variable  $y = S_e^{\frac{1}{m}}$  provides the following expressions:

$$650 \quad c_{p,vGB}(m, \eta) = \frac{1-m}{2} \int_0^1 y^{m\eta + \frac{m}{2} - \frac{3}{2}} (1-y)^{-\frac{m+1}{2}} dy + \frac{1-m}{2} \int_0^1 y^{m\eta + \frac{3m}{2} - \frac{3}{2}} (1-y)^{-\frac{m+1}{2}} dy \quad (\text{B7})$$

We can recognize in equation the beta function B and use its following properties (assuming  $x > 0$  and  $y > 0$ ):

$$\begin{aligned} B(x, y) &= \int_0^1 t^{x-1} (1-t)^{y-1} dt \\ &= \frac{\Gamma(x)\Gamma(y)}{\Gamma(x+y)} \end{aligned} \quad (\text{B8})$$

Where the  $\Gamma$  function is already defined by Eq. (46):  $\Gamma(z) = \int_0^{+\infty} t^{z-1} e^{-t} dt$  ( $z > 0$ ). Then, the parameter  $c_{p,vGB}$  can be expressed as follows:

$$\begin{aligned}
c_{p,vGB}(m,\eta) &= \frac{1-m}{2} B\left(m\eta + \frac{m}{2} - \frac{1}{2}, \frac{1-m}{2}\right) + \frac{1-m}{2} B\left(m\eta + \frac{3m}{2} - \frac{1}{2}, \frac{1-m}{2}\right) \\
&= \frac{1-m}{2} \Gamma\left(\frac{1-m}{2}\right) \left(\frac{\Gamma\left(m\eta + \frac{m}{2} - \frac{1}{2}\right)}{\Gamma(m\eta)} + \frac{\Gamma\left(m\eta + \frac{3m}{2} - \frac{1}{2}\right)}{\Gamma(m\eta + m)}\right) \\
&= \Gamma\left(\frac{3-m}{2}\right) \left(\frac{\Gamma\left(m\eta + \frac{m}{2} - \frac{1}{2}\right)}{\Gamma(m\eta)} + \frac{\Gamma\left(m\eta + \frac{3m}{2} - \frac{1}{2}\right)}{\Gamma(m\eta + m)}\right)
\end{aligned} \tag{B9}$$

655

The last equation uses the fact that  $\Gamma(z+1) = z\Gamma(z)$ . The Eq. (B9) corresponds to the equation suggested by Haverkamp et al. (2005), considering  $m = 1 - \frac{2}{n}$ :

$$c_{p,vGB}(n,m,\eta) = \Gamma\left(1 + \frac{1}{n}\right) \left(\frac{\Gamma\left(m\eta - \frac{1}{n}\right)}{\Gamma(m\eta)} + \frac{\Gamma\left(m\eta + m - \frac{1}{n}\right)}{\Gamma(m\eta + m)}\right) \tag{B10}$$

Note that the proposed simplification using the beta function, Eq. (B9) requires that  $m\eta + \frac{m}{2} - \frac{1}{2} = \frac{1}{2} + \left(\frac{3}{2} + p\right)m > 0$ , which is quite evident since  $m > 0$ . When Eq. (B9) is combined with capillary model, i.e., Eq. (37), the expression becomes:

660

$$c_{p,vGB}(m) = \Gamma\left(\frac{3-m}{2}\right) \left(\frac{\Gamma\left(\frac{1+5m}{2}\right)}{\Gamma(1+2m)} + \frac{\Gamma\left(\frac{1+7m}{2}\right)}{\Gamma(1+3m)}\right) \tag{B11}$$

The expressions of the parameter  $c_p$  for the vGB model are accurately demonstrated, leading to Eqs. (45) and (47).

### B3 Parameter $c_p$ for vGM model

For the vGM model, the same equation Eq. (B5) applies and can be cut into two parts:

$$\begin{aligned}
c_{p,vGM}(m,l) &= \int_0^1 (1+S_e) D_{vGM}^*(S_e) dS_e \\
&= \underbrace{\int_0^1 D_{vGM}^*(S_e) dS_e}_A + \underbrace{\int_0^1 S_e D_{vGM}^*(S_e) dS_e}_B
\end{aligned} \tag{B12}$$

665

For the sake of clarity, we demonstrate separately the simplifications of the two terms A and B:

$$\begin{aligned}
A &= \frac{1-m}{m} \int_0^1 S_e^{l-\frac{1}{m}} \left( \left(1 - S_e^{\frac{1}{m}}\right)^{-m} + \left(1 - S_e^{\frac{1}{m}}\right)^m - 2 \right) dS_e \\
&= \frac{1-m}{m} \left( \int_0^1 S_e^{l-\frac{1}{m}} \left(1 - S_e^{\frac{1}{m}}\right)^{-m} dS_e + \int_0^1 S_e^{l-\frac{1}{m}} \left(1 - S_e^{\frac{1}{m}}\right)^m dS_e - 2 \int_0^1 S_e^{l-\frac{1}{m}} dS_e \right) \\
&= \frac{1-m}{m} \left( \int_0^1 \left(S_e^{\frac{1}{m}}\right)^{ml-1} \left(1 - S_e^{\frac{1}{m}}\right)^{-m} dS_e + \int_0^1 \left(S_e^{\frac{1}{m}}\right)^{ml-1} \left(1 - S_e^{\frac{1}{m}}\right)^m dS_e - 2 \int_0^1 S_e^{l-\frac{1}{m}} dS_e \right)
\end{aligned} \tag{B13}$$

The last term of A can be simplified easily:

$$\begin{aligned} \int_0^1 S_e^{l-\frac{1}{m}} dS_e &= \int_0^1 S_e^{l-\frac{1}{m}} dS_e = \left[ \frac{S_e^{l-\frac{1}{m}+1}}{l-\frac{1}{m}+1} \right]_0^1 \\ &= \frac{m}{(l+1)m-1} \end{aligned} \quad (\text{B14})$$

670 For the two first, terms, we use the same change of variable  $y = S_e^{\frac{1}{m}}$  as above to transform the integrals, leading to:

$$A = (1-m) \left( \int_0^1 y^{ml+m-2} (1-y)^{-m} dy + \int_0^1 y^{ml+m-2} (1-y)^m dy - \frac{2}{(l+1)m-1} \right) \quad (\text{B15})$$

In this case, we need to assume that  $ml+m-2 > -1$ , i.e.  $m > \frac{1}{l+1}$  to use the beta function. Such a condition corresponds to  $m > \frac{2}{3}$ , for the by-default value of  $l = \frac{1}{2}$ . The two first integrals can then be replaced using the beta and the gamma functions, leading to the final expression for part A of  $c_p$ :

$$\begin{aligned} A &= (1-m) \left( B(m(l+1)-1, 1-m) + B(m(l+1)-1, 1+m) - \frac{2}{(l+1)m-1} \right) \\ 675 \quad &= (1-m) \left( \frac{\Gamma(m(l+1)-1)\Gamma(1-m)}{\Gamma(ml)} + \frac{\Gamma(m(l+1)-1)\Gamma(1+m)}{\Gamma(m(l+2))} - \frac{2}{(l+1)m-1} \right) \end{aligned} \quad (\text{B16})$$

By analogy, the following developments come out for parameter B:

$$B = (1-m) \left( \frac{\Gamma(m(l+2)-1)\Gamma(1-m)}{\Gamma(ml+m)} + \frac{\Gamma(m(l+2)-1)\Gamma(1+m)}{\Gamma(m(l+3))} - \frac{2}{(l+2)m-1} \right) \quad (\text{B17})$$

The simplification for B is valid as soon as  $m > \frac{1}{2+l}$ , which is the case since we suppose that  $m > \frac{1}{1+l}$ . After rearranging terms, the following expressions comes out for the scaled sorptivity:

$$\begin{aligned} c_{p,vGM}(m,l) &= \Gamma(2-m) \left( \frac{\Gamma(m(l+1)-1)}{\Gamma(ml)} + \frac{\Gamma(m(l+2)-1)}{\Gamma(ml+m)} \right) \\ &+ (1-m) \left[ \left( \frac{\Gamma(m(l+1)-1)\Gamma(1+m)}{\Gamma(m(l+2))} + \frac{\Gamma(m(l+2)-1)\Gamma(1+m)}{\Gamma(m(l+3))} \right) - 2 \left( \frac{1}{(l+1)m-1} + \frac{1}{(l+2)m-1} \right) \right] \end{aligned} \quad (\text{B18})$$

680

$$\begin{aligned} c_{p,vGM}(m,l) &= \Gamma(2-m) \left( \frac{\Gamma(m(l+1))}{(m(l+1)-1)\Gamma(ml)} + \frac{\Gamma(m(l+2))}{(m(l+2)-1)\Gamma(m(1+l))} \right) \\ &+ (1-m) \left[ \left( \frac{\Gamma(m(l+1))\Gamma(1+m)}{(m(l+1)-1)\Gamma(m(l+2))} + \frac{\Gamma(m(l+2))\Gamma(1+m)}{(m(l+2)-1)\Gamma(m(l+3))} \right) - 2 \left( \frac{1}{(l+1)m-1} + \frac{1}{(l+2)m-1} \right) \right] \end{aligned} \quad (\text{B19})$$

Note that, as stated above, that equation theoretically should apply only for the case of  $m > \frac{1}{1+l}$ , which is quite restrictive. However, thanks to the analyticity of the functions involved in the expression, this equality remains valid for  $m < \frac{1}{1+l}$  and can  
685 be considered for any value of  $m \in [0, 1]$  provided that  $m \neq \frac{1}{1+l}$  and  $m \neq \frac{1}{2+l}$ . The Eq. (B19) demonstrates the Eqs. (48). The combination of these equations with the capillary model Eq. (37) leads to Eqs. (49).

*Author contributions.* L.L.: established the question, performed the analytical developments, computed the numerical results, provided the first draft of the manuscript. P.-E.P. performed the analytical developments and verified the numerical results. D.Y., B.L., D.M.-F., S.d.P. and M.R. verified parts of the developments and numerical computations. J. P. and J. F.-G. helped for the use of the Kosugi model. R.D.S. and  
690 M.A.N. helped for the editing and the presentation of the results, in particular the writing of the application of the proposed approach for end-users. All the authors contributed to the editing of the manuscript.

*Competing interests.* No competing interest to declare.

*Acknowledgements.* This work was performed within the INFILTRON project supported by the French National Research Agency (ANR-17-CE04-010).



- Angulo-Jaramillo, R., Bagarello, V., Iovino, M., and Lassabatere, L.: Infiltration measurements for soil hydraulic characterization, *Infiltration Measurements for Soil Hydraulic Characterization*, Springer, <https://doi.org/10.1007/978-3-319-31788-5>, 2016.
- Angulo-Jaramillo, R., Bagarello, V., Di Prima, S., Gosset, A., Iovino, M., and Lassabatere, L.: Beerkan Estimation of Soil Transfer parameters (BEST) across soils and scales, *Journal of Hydrology*, 576, 239–261, <https://doi.org/10.1016/j.jhydrol.2019.06.007>, 2019.
- 700 Bagarello, V., Di Prima, S., and Iovino, M.: Comparing alternative algorithms to analyze the beerkan infiltration experiment, *Soil Science Society of America Journal*, 78, 724–736, <https://doi.org/10.2136/sssaj2013.06.0231>, 2014.
- Braud, I.: Use of scaled forms of the infiltration equation for the estimation of unsaturated soil hydraulic properties (the Beerkan method), *European Journal of Soil Science*, 56, 361, 2005.
- Brooks, R. and Corey, A.: *Hydraulic Properties of Porous Media*, Hydrology Papers, Colorado State University, 1964.
- 705 Carsel, R. F. and Parrish, R. S.: Developing joint probability distributions of soil water retention characteristics, *Water Resources Research*, 24, 755–769, <https://doi.org/10.1029/WR024i005p00755>, 1988.
- Cook, F. and Minasny, B.: Sorptivity of Soils, in: *Encyclopedia of Earth Sciences Series*, pp. 824–826, Springer, [https://doi.org/10.1007/978-90-481-3585-1\\_161](https://doi.org/10.1007/978-90-481-3585-1_161), 2011.
- Fernández-Gálvez, J., Pollacco, J., Lassabatere, L., Angulo-Jaramillo, R., and Carrick, S.: A general Beerkan Estimation of Soil Transfer parameters method predicting hydraulic parameters of any unimodal water retention and hydraulic conductivity curves: Application to the Kosugi soil hydraulic model without using particle size distribution data, *Advances in Water Resources*, 129, 118–130, 2019.
- 710 Fernández-Gálvez, J., Pollacco, J., Lilburne, L., McNeill, S., Carrick, S., Lassabatere, L., and Angulo-Jaramillo, R.: Deriving physical and unique bimodal soil Kosugi hydraulic parameters from inverse modelling, *Advances in Water Resources*, 153, 103933, <https://doi.org/10.1016/j.advwatres.2021.103933>, 2021.
- 715 Fuentes, C., Haverkamp, R., and Parlange, J.-Y.: Parameter constraints on closed-form soil water relationships, *Journal of hydrology*, 134, 117–142, 1992.
- Haverkamp, R., Leij, F. J., Fuentes, C., Sciortino, A., and Ross, P.: Soil water retention, *Soil Science Society of America Journal*, 69, 1881–1890, 2005.
- Hillel, D.: *Environmental soil physics: Fundamentals, applications, and environmental considerations.*, Academic press, 1998.
- 720 Kosugi, K.: Lognormal Distribution Model for Unsaturated Soil Hydraulic Properties, *Water Resources Research*, 32, 2697–2703, <https://doi.org/https://doi.org/10.1029/96WR01776>, 1996.
- Kosugi, K. and Hopmans, J.: Scaling water retention curves for soils with lognormal pore-size distribution, *Soil Science Society of America Journal*, 62, 1496–1505, 1998.
- Lassabatere, L.: Scilab script for sorptivity, <https://doi.org/10.5281/zenodo.4587160>, 2021.
- 725 Lassabatere, L., Angulo-Jaramillo, R., Soria Ugalde, J. M., Cuenca, R., Braud, I., and Haverkamp, R.: Beerkan estimation of soil transfer parameters through infiltration experiments—BEST, *Soil Science Society of America Journal*, 70, 521, <https://doi.org/10.2136/sssaj2005.0026>, 2006.
- Lassabatere, L., Angulo-Jaramillo, R., Soria-Ugalde, J., Šimůnek, J., and Haverkamp, R.: Numerical evaluation of a set of analytical infiltration equations, *Water Resources Research*, 45, <https://doi.org/10.1029/2009WR007941>, 2009.
- 730 Lassabatere, L., Yilmaz, D., Peyrard, X., Peyneau, P., Lenoir, T., Šimůnek, J., and Angulo-Jaramillo, R.: New analytical model for cumulative infiltration into dual-permeability soils, *Vadose Zone Journal*, 13, 1–15, <https://doi.org/10.2136/vzj2013.10.0181>, 2014.

- Lassabatere, L., Di Prima, S., Bouarafa, S., Iovino, M., Bagarello, V., and Angulo-Jaramillo, R.: BEST-2K Method for Characterizing Dual-Permeability Unsaturated Soils with Ponded and Tension Infiltrometers, *Vadose Zone Journal*, 18, 180–124, <https://doi.org/10.2136/vzj2018.06.0124>, publisher: John Wiley & Sons, Ltd, 2019.
- 735 Mualem, Y.: A new model for predicting the hydraulic conductivity of unsaturated porous media, *Water Resources Research*, 12, 513–522, 1976.
- Nasta, P., Assouline, S., Gates, J. B., Hopmans, J. W., and Romano, N.: Prediction of unsaturated relative hydraulic conductivity from Kosugi's water retention function, *Procedia Environmental Sciences*, 19, 609–617, 2013.
- Parlange, J.-Y.: On Solving the Flow Equation in Unsaturated Soils by Optimization: Horizontal Infiltration, *Soil Science Society Of America Journal*, 39, 415–418, 1975.
- 740 Philip, J. R.: The theory of infiltration: 2. the profile at infinity, *Soil Science*, 83, 257–264, 1957.
- Pollacco, J., Nasta, P., Soria-Ugalde, J., Angulo-Jaramillo, R., Lassabatere, L., Mohanty, B., and Romano, N.: Reduction of feasible parameter space of the inverted soil hydraulic parameter sets for Kosugi model, *Soil Science*, 178, 267–280, <https://doi.org/10.1097/SS.0b013e3182a2da21>, 27, 2013.
- 745 Ross, P., Haverkamp, R., and Parlange, J.-Y.: Calculating parameters for infiltration equations from soil hydraulic functions, *Transport in porous media*, 24, 315–339, 1996.
- Triadis, D. and Broadbridge, P.: The Green–Ampt limit with reference to infiltration coefficients, *Water Resources Research*, 48, WR011 747, <https://doi.org/10.1029/2011WR011747>, 2012.
- van Genuchten, M.: A closed-form equation for predicting the hydraulic conductivity of unsaturated soils., *Soil science society of America journal*, 44, 892–898, 1980.
- 750 Varado, N., Braud, I., Ross, P. J., and Haverkamp, R.: Assessment of an efficient numerical solution of the 1D Richards' equation on bare soil, *Journal of Hydrology*, 323, 244–257, 2006.
- Yilmaz, D., Lassabatere, L., Angulo-Jaramillo, R., Deneele, D., and Legret, M.: Hydrodynamic characterization of basic oxygen furnace slag through an adapted best method, *Vadose Zone Journal*, 9, 107–116, <https://doi.org/10.2136/vzj2009.0039>, 2010.
- 755 Šimůnek, J., Jarvis, N. J., van Genuchten, M. T., and Gärdenäs, A.: Review and comparison of models for describing non-equilibrium and preferential flow and transport in the vadose zone, *Journal of Hydrology*, 272, 14–35, 2003.