

Authors' general response: We want to thank the reviewers and the editor for their valuable comments and constructive criticisms, which gave us the opportunity to significantly improve the quality of the manuscript.

The manuscript has been reviewed by two reviewers and myself, with responses to the reviewer comments submitted by the authors. Since the reviewers saw potential in the manuscript I will invite a revision, but the manuscript needs much additional work to fulfil its claims.

As a study on the entanglements of science and politics, the manuscript remains superficial. I suggest a much closer reading of Science and Technology Studies – a field that studies exactly what the authors wish to do – and Political Ecology. In particular, the following papers may serve as templates for how to write such a “story” (reviewed in Krueger et al., 2016): Alatout, 2013; 2014; Bouleau, 2014; Budds, 2009; Deroubaix, 2008; Fernandez, 2014; Forsyth, 2008; Mehta, 2010; Milman and Ray, 2011; Zimmerer, 2008.

As evidenced by these papers, the uncertainty frame the authors chose is sensible (despite some confusion discussed below), but the authors must be careful not to reduce the case to an epistemic problem. This was highlighted by Reviewer 2. He suggests analyzing the positions and work practices of those making choices in the production of hydrological knowledge in this politically charged situation. This is exactly what studies analyzing the entanglements of science and politics do (see for example Milman and Ray, 2011). In their response the authors note that they lack empirical material on these points. In this case the claims of the paper should be adjusted; as a study on the entanglements of science and politics it leaves too many questions unanswered. Analyzing the stakeholder reactions to the hydrological knowledge (model) produced (as also suggested by Reviewer 2) will go some way towards a reframing of the paper.

Authors' response: After reflecting on the editor's and the second reviewer's comment, we agree that we needed to improve throughout the manuscript how actors reflected on the controversies and the results of the UNOPS' study to provide more depth to the analysis; as well as to improve the structure of the story as suggested by the first reviewer.

We decided to improve the readability and the message of the manuscript with the following changes. First, we modified the structure of the text and added a general description of the study areas under Section 3, as suggested by the first reviewer. Second, we improved and moved the text that was formerly as 3.1 Case Study to the Results section “4.1 The Zapotillo conflict”. Moreover, we improved this same section by adding a timeline in Figure 3 to facilitate the understanding of the case story. Moreover, after analyzing our field notes, we also expanded on the description of the back story behind the hiring UNOPS in L305-322, as well as in the description of the two controversies. Third, to supplement the absence of interviews with the UNOPS team, we thoroughly checked again their scientific report to provide a quote on the reasonings behind their modeling decisions (L480-488). Finally, we added the impressions of the actors after hearing the results and recommendations of the UNOPS team (L575-593).

Regarding the STS studies the editor recommended, we had already reviewed the paper by Budds (2009) in our paper. However, we thoroughly read the other recommended papers. Below we summarize the key elements of the papers that we mobilized to improve readability of the paper, as well as support our analytical approach and/or findings.

Fernandez (2014) describes the history of water management in the Garonne watershed in France to highlight how the use of indicators erases the context-specific circumstances in which they were created. By using a Foucauldian approach, the author unveils interests that molded scientific practices, which were naturalized in a way that hid the adversarial points of view of different actors. The study shares some analytical elements with ours, such as the use of controversies, claims of knowledge and the use of black-boxing models that hide interests.

Milman & Ray (2011) discuss how uncertainties related to groundwater can produce ambiguities to support practices and interests at each side of the US-Mexico border. The paper analyses transboundary groundwater management between Mexico and USA. For Mexico, the objective is to provide water for human use and improve livelihoods regardless of environmental uses; while, for USA, the objective is to balance the aquifer also for environmental considerations. They described how both countries differ in their appreciation of how the groundwater system works, driven by the inherent uncertainties of the system. They conclude how these appreciations are explained by the values of each country. We improved our manuscript by using a similar structure regarding the water availability in Los Altos, and how two different interests interpret this epistemic uncertainty, as well as reproducing verbatim comments by the actors.

Bouleau (2014) describes how the understanding of different water systems in France and their problems were the result of context-specific scientific developments that prioritized certain solutions and marginalized others. Consequently, two hydrological regions in France developed different ways to understand the water system.

Forsyth (2011) examines the possibility of acknowledging social influence on scientific explanations and also developing situated knowledge that can explain causal relationships between environmental systems and society. To examine that possibility, he analyzed soil erosion in Thailand, and the way that the universal soil erosion loss equation played a role in wrongly identifying local agricultural practices as the culprits of erosion. Forsyth found that local practices actually avoided soil erosion and that there were nonagricultural causes of erosion. These findings contributed to the potential of political ecology to provide better causal explanations of environmental problems.

Zimmerer (2008) offers a counter-explanation of the Cochabamba war rather than a simplistic explanation as caused by scarcity. The author analyses the standardization of spatial-geographic frameworks to understand scarcity in a basin and decide on irrigation projects. These analysis reinforce and even construct the portrayal of regions of abundance and scarcity. The author recommends the use of social-environmental models, as well as participatory social processes and planning.

These papers arrive at conclusions that sometime converge and sometimes differ, depending on the case at hand; which we try to summarise here. Forsyth (2011) concludes that knowledge needs to be situated to have more impact, and that if political ecology intends to remain relevant, it needs to go beyond how knowledge is generated and legitimized, and be able to also offer explanatory considerations of environmental issues. Bouleau (2014) concludes that the hydrosocial cycle is a useful concept to understand the dialogical relationship between the generation of science and the creation of waterscapes. Budds (2009) concludes that scientific assessments are never neutral and always uncertain to some degree; taking this into account

she developed the hypothesis that considering local knowledge in the groundwater assessment of La Ligua, Chile by water authorities would have produced an alternative solution even though it may not have changed the outcome. Zimmerer (2008) reaches a similar conclusion in the case of Bolivia. We find these conclusions similar to the conclusion of our manuscript, in which a more collaborative scientific production would have opened also alternative solutions.

Our take aways from the above references are some story cues (such as graphic timelines) and similarities in structure to improve the readability of the paper. From Fernández (2014), and also from the comment by reviewer 1, we incorporated a graphic timeline to our manuscript. Specifically, on the structure followed by most of the papers, from Budds, (2009), Milman & Ray (2011), Fernandez (2014) we have adopted a brief introduction of the study areas into our manuscript; an improvement also suggested by reviewer 1. Then, we also present a critical history of the water developments in the study areas, which brings socio-political context to the environmental problem being analyzed. Thereafter, we follow the same pattern as most papers suggested by the editor; since we critically analyze the adversarial knowledge claims by different and opposing actors, the inherent uncertainties in the water system, and how hegemonic actors instrumentalize alleged scientific knowledge to close the debate and impose/advocate certain solutions to the environmental problem.

In discussing their empirical material, the authors recommend a participatory approach to knowledge production and water management. As noted by both reviewers, this recommendation lacks awareness of the shortcomings of participatory processes, especially in development contexts (e.g. Blaikie, 2006; Cooke and Kothari, 2001). The problem is that the authors don't have any empirical material on participation, they can only diagnose a lack of participation in their case and speculate about what this means for the dilemma at hand and how it might be resolved through more participation. This does not contribute sufficiently to the literature. What I would suggest is that the authors foreground the stakeholder perspective as part of the science/policy entanglement (as advised above based on Reviewer 2) and stay close to the empirical material rather than speculating about the success of hypothetical participatory processes.

Authors' response: We reviewed the texts provided by the reviewer and concluded the following. First, Blaikie (2006) discusses decentralization and public participation, but in the context of community-based natural resources management in Africa. We think the cases discussed by Blaikie are very different from the Zapotillo case. Before the Zapotillo project was announced there was no conflict over the water resources in the Verde River Basin; so the conflict is not on how to manage locally the water from the basin, but on imposing a large infrastructure project. Therefore, we think is not possible to make a valid comparison between the Zapotillo and the African cases.

From the book *The Tyranny of Participation* (Cooke & Kothari, 2001), we analyzed the chapter by Mosse "People's Knowledge', Participation and Patronage: Operations and Representations in Rural Development." We also found that this chapter cannot be directly compared with the Zapotillo case. Mosse revised rural development cases in India, and although he has a compelling story on how local knowledge reflects the interests of the funding agencies, dominant groups and project donors, these are clearly cases of participation in contexts of development cooperation, not contexts of conflict. According to Mosse's reflection of the case, local actors manipulate planning knowledge to advance their own interests in a way that can seem legitimate by the project managers; while project planners, involved in the logic of

delivering objectives overlook this and unintentionally reinforce patronage networks and hierarchical modes of operation. However, in the case of the Zapotillo case, the local actors' positions and their own interests are public and do not intend to legitimize their positions to any donor agency; it is hard to imagine that people from Temacapulín can be influenced by powerful groups (water authority, construction companies, etc.), since their main interest is to save their town from being flooded.

We understand that it is better to give cautious recommendations, especially when it is not backed by empirical material. However, it is worth noting that the alternative approach (a lack of participation) has already failed. What we can conclude, in a similar way as Zimmerer (2008) and Budds (2009) concluding hypothesis, is that the inclusion of participation in the production of knowledge, although it would probably not have changed the decision, it would have at least opened alternative solutions.

In order to be more cautious in our recommendations we rephrased to clarify this as a hypothesis. We replaced the original text for this one (L745-762): "Returning to the original question whether science can depoliticize conflicts or if whether science is politicized in the process, this case has shown that attempting to depoliticize science-policy processes is very difficult, since these processes are inherently political. Moreover, involving alleged neutral - or apolitical - third parties to depoliticize scientific knowledge to resolve water conflicts can backfire if they act - or are perceived - as stealth advocates of political interests. However, we identified two elements that can contribute to a possible transformation of the conflict and management of such politicization. First, scientist in contexts of conflict should be aware of not promoting specific solutions, since that is the role of the political actors, When scientists assume the role of "honest broker of policy alternatives" (Pielke, 2007), it restrains them from offering a specific course of action and compels them to expand the scope of choice for the actors in the conflict. And second, to promote social mechanisms to filter as much as possible which knowledge claims are more value-laden, and which are less, in particular in contexts of conflict and high uncertainties. There is an urgent need to design water resources models in a more open way to allow the participation of stakeholders and legitimize the data used in them (Islam & Susskind, 2018) as well as the values hidden in them ; t. This can support the necessary task of reviewing alternatives to large infrastructures (Van der Zaag & Gupta, 2008). Additionally, fostering stakeholder participation could collaboratively bring about socially relevant research questions that open the decision space (Voinov & Gaddis, 2008; Zimmerer, 2008; Budds, 2009; Lejano & Ingram, 2009; Brugnach et al., 2011; Blöschl et al., 2013; Armitage et al., 2015; Basco-Carrera et al., 2017; Van Cauwenbergh et al., 2018; van der Molen, 2018; Norstöm et al., 2020). However, since participation could present some pitfalls (i.e. Mosse, 2001; Godinez Madrigal et al., 2019), Krueger et al. (2016) recommend to test each actor's claims and preconceptions through object-based processes (i.e. maps and models, see also Brugnach & Ingram, 2012) to co-produce knowledge beyond discourse."

Reviewer 1 made further helpful suggestions for improving the structure of the paper. As part of this the authors should include more details on the interviews conducted and the subsequent analysis of the empirical material (as also suggested by Reviewer 1). Including the interview guides for the semi- structured interviews in the Appendix and information on coding would seem especially important.

Authors' response: We added additional text in the method section to explain better how we conducted the interviews: "Due to the delicate nature of the situation, all interviewees remain anonymous, and not all interviews could be recorded; in such cases we relied on fieldnotes taken immediately after the interview. The interviews that were recorded, were transcribed. We analyzed the interview transcripts and fieldnotes to extract the summarized viewpoint of the stakeholders, which are described in Table 1."

About the interview guides, we mentioned in the manuscript that "the semi-structured interviews consisted of exploring three main themes: the root causes of the problem and the conflict, what were the sources of controversy in the conflict, and what would be the preferred solutions to the conflict and the water scarcity problem." Since sub-sequent questions were tailored to each interviewee, revealing those questions would compromise the anonymity of them. So, in compliance with our research ethics, we would prefer not to include the guides nor the coding we use to analyze them.

In addition, I had the following comments:

L71-77: The uncertainty frame is helpful but only as far as the role of uncertainty in science/policy relations is concerned, not as the root cause of the problem in the present case (compare Reviewer 2). There is also a misunderstanding of aleatory and epistemic uncertainty; aleatory is the one conceived of as irreducible. Scientific uncertainty does matter as it allows the same piece of evidence to be interpreted differently for different political ends (e.g. Milman and Ray, 2011). But the real challenge seems to be value disagreement (to speak with Funtowicz and Ravetz). It would seem more fruitful to analyze knowledge claims and ask how they are produced, what they leave out, what authority they enjoy and why and how they have political consequences.

Authors' response: We consulted again the work by Di Baldassarre et al. (2016), and indeed we found that aleatory uncertainty is considered irreducible, albeit manageable with probabilistic methods: " While the exact time of occurrence of future flood events cannot be deterministically predicted, this intrinsic uncertainty can be assumed to be predominantly aleatory and can easily be treated in probabilistic terms."

Concerning the value disagreement issue, we reviewed our fieldwork material, and found that in our interviews, some actors agreed on the difference of values, which contributes to the political side of the conflict. So, we added the following text under the section 4.2.2 Negative consequences for the donor basin (L490-493): "Regarding the dam's height and the three communities under threat of displacement, the controversy lies in incompatible values that have different legal and technical consequences. The Temacapulín community reasserted its human rights of consult and consent, participation, and cultural and historical heritage; while the government of Jalisco reasserted the utilitarian argument of the greatest good to the largest number of people over the right of a few ones."

On the last comment about analyzing knowledge claims, we actually did that. In section 4.3 we analyzed the knowledge claims by IMTA, Conagua, Observatory and the animal farmer's association. We precisely analyzed what they left out from each study and its political consequences.

Reference:

Di Baldassarre, G., Brandimarte, L., & Beven, K. The seventh facet of uncertainty: wrong assumptions, unknowns and surprises in the dynamics of human–water systems. *Hydrological Sciences Journal*, 61(9), 1748-1758, 2016.

L82: It would be naïve to think there could ever be a fair assessment of different kinds of knowledge (compare both reviewers).

Authors' response: Perhaps it is naïve indeed to think there could be a fair assessment of different kinds of knowledge, but that does not mean we want to stop striving for that to happen. In fact, this paper aims to check on the power asymmetry between different kinds of knowledge. However, we changed the wording of the sentence to highlight how technical knowledge may have a privileged position despite that intrinsic uncertainties are often unchecked and taken for granted compared to other kind of knowledges.

L86: But why exactly does science have this authority and how exactly is it entangled with power?

Authors' response: We think L87 provide the answer to that question, but we reformulated it to be clearer by citing Flyvberg, 2009, on how politicians and construction companies downplay the risks and uncertainties of large infrastructural works.

L88: There is a lot more to say about bottom-up or participatory or transdisciplinary approaches; they are not just aiming at reducing epistemic uncertainty, and even if they did there is enough critical literature on the limits of achieving this aim.

Authors' response: L88 does not state that bottom-up approaches reduce epistemic uncertainty; it says “epistemic uncertainties and ambiguity can be made manageable through bottom-up approaches.” This may be a contentious issue, but there is also enough literature to support this point (to a certain extent of course), which we referred to in the text. To support our point (that bottom-up approaches can improve how epistemic uncertainties and ambiguity are managed) we quoted paragraphs of the papers that we cited. Brugnach et al. (2011) mentions examples of participatory and transdisciplinary projects whereby they “resulted in a more comprehensive understanding of the problem, and constituted a step towards building a problem representation where the different actor’s perspectives were taken into account.” Especially Di Baldassarre et al. (2016) mentions that there is a need to go beyond top-down approach “based on probabilistic assessments of water-related hazards and associated uncertainty.” While Blöschl et al. (2013) are optimistic in the potential of bottom-up approaches, although “The bottom-up approach is ‘messier’ involving methods that can be less clearly structured, but it has a social motivation and is more amenable to accounting for surprises as it strives for reduced vulnerability and increased resilience by robust methods.”

L121: This is a limited reading of Krueger et al., 2016. The paper is not advocating non-expert knowledge per se, but argues for people who are not scientists to get involved with science for epistemic, political and ethical reasons. It bases this argument on a review of case studies of the entanglements of hydrological science and politics.

Authors' response: We changed the wording of the sentence to replace non-expert knowledge to “stakeholders without an academic background to be included in research and decision making”

Fig3: The differences between the scenarios should be explained better.

Authors' response: We improved the description of the five scenarios in L199-205.

Section 4: More should be made of the authors' own modelling study. Why was the scenario they created omitted in the official study? With what consequences?

Authors' response: As we mentioned previously, we added a quote of UNOPS' study, where they justify the choice of scenarios: "Although UNOPS' team could have developed many other scenarios with different variables, the report of the study justified choosing only these five scenarios in the following way "the definition of the number of scenarios is not absolute, but may be subject to future changes at any time that it is required to attend to different questions from those raised in the framework of this study [...] Specifically, it is interesting to know under which configuration of the dam's height and volume of water transfer can guarantee water demand and what percentage of satisfaction corresponds to it, which leads to justifying technically the presence of the dam and its geometric configuration (UNOPS, 2017b: 27-28)."

Then, we finalized the section with the following explanation: "Therefore, the poor results of these indicators do not seem to justify the implementation of the Zapotillo project as it is currently designed."

Moreover, in the discussion section we explore the consequences of omitting this scenario: "According to the best social and hydrological knowledge available, it can be inferred from our scenario that there are insufficient surface water resources to satisfy the demand of the three regions' explosive demographic and economic growth, which means that at least one region will continue to unsustainably deplete its groundwater resources. In fact, UNOPS fifth scenario generated positive results only because it considered null demographic and economic growth for the future and did not consider climate change in the Verde River Basin."

L409-410: Epistemic uncertainties are (partly) about accuracy and precision.

Authors' response: Yes, we agree. We added to the text " , which partially addressed epistemic uncertainties"

L426: It is not readily evident from the empirical material that this is a case of an epistemic controversy. A general framing of science/politics entanglements will be better suited.

Authors' response: We argue that it is both, because although the entanglement of science and politics is clearly present in the case, there are latent/emerging problems that have not been addressed partly due to epistemic uncertainties, and the overwhelming focus given to the Zapotillo project. For example, it is still unclear what the real extent of groundwater overexploitation in the Verde River Basin is. In order to make that clearer, we added in the conclusions the following text: "This study has two main findings. 1) Intractable water conflicts tend to isolate the process of knowledge production, which foregrounds issues that are politically convenient for each actor, while other issues, perhaps more important for sustainability (like groundwater over-exploitation), remain unaddressed."

L434: Participation is not only about impact, but also about substance and ethics.

Authors' response: We agree to this comment. We changed the text in the following way: "not only its impact, but also to better policies and contravene the rights of stakeholders to participate (Krueger et al., 2016)."

L434: Note the large body of literature on participation in a development context (e.g. Blaikie, 2006; Cooke and Kothari, 2001).

Authors' response: We have answered this issue above in Page 3.

Section 6: Many of the claims made are not substantiated by empirical material; the authors should be careful to stick with the case material and not speculate beyond it (compare Reviewer 1).

Authors' response: We re-considered some of our claims, especially in the third paragraph of section 6.

L469: How exactly science and politics are entangled does not become clear from the case study.
L470-471: That the case is one of knowledge controversies remains equally unclear.

Authors' response: We added the impressions of the actors to the UNOPS study and analyzed them through the work of Pielke, in which the UNOPS' team was perceived to have acted as stealth issue advocates. We think that this addition clarifies how science and politics were entangled in the Zapotillo case. These responses make it clear how the key stakeholders perceived the study of UNOPS as a political maneuver rather than a "pure" scientific product.

For the second comment, we respectfully disagree for reasons we have explained under the editor's comment of L426.

L473-475: Here the authors foreground the epistemic problem, which is only part of the story (compare Reviewer 2).

Authors' response: We agree with this comment. We modified the text as follows:

"The conflict is defined by epistemic uncertainties, ambiguity, and incompatibility of values. The first two consist of several knowledge controversies regarding water availability and the negative effects of the water transfer and dam construction in the donor basin, and the possible alternatives to supply augmentation strategies in the recipient basins. The latter consists of a dispute over the distribution of the environmental, social and economic costs and benefits derived of the Zapotillo project."

L493-494: This belief in the power of science and participation is unjustified, see basic texts like Pielke (2007).

Authors' response: We thoroughly read Pielke's text. The book states that science alone cannot provide concrete courses of action, since these are almost exclusive of politics and policy, otherwise there is a risk of 'technocracy' or 'scientization' (p.35). However, the author also provides some promising reflections on the relationship between public participation and

science. On page 114, Pielke states that in contexts of deep uncertainty and conflict, public policies derived from public participation make more sense than large-scale commitments.

In the Zapotillo case, we described how actors engaged in the “manufacture of ignorance”, which is also described by Pielke (2008: 63) as inventing “facts” as convenient. Since these questionable facts enhanced the intractability of the conflict, we think it is justified to assert that scientific information has a role to play in the policy process of the Zapotillo conflict, but only as a function of democratic and participative decision-making as stated by Pielke (2007: 37). Also Krueger et al. (2016) recommends to put knowledge (of all actors participating in knowledge production) to the test to keep up with the scientific spirit.

However, we agree that our text may not have been clear on this, and may have sounded overly optimistic about the power of science. Therefore, we rephrased the lines in the text: “Returning to the original question whether science can depoliticize conflicts or whether science is politicized in the process, this case has shown that attempting to depoliticize science-policy processes is very difficult, since these processes are inherently political. Moreover, involving alleged neutral - or apolitical - third parties to depoliticize scientific knowledge to resolve water conflicts can backfire if they act - or are perceived - as stealth advocates of political interests. However, we identified two elements that can contribute to a possible transformation of the conflict and management of such politicization. First, scientist in contexts of conflict should be aware of not promoting specific solutions, since that is the role of the political actors, When scientists assume the role of “honest broker of policy alternatives” (Pielke, 2007), it restrains them from offering a specific course of action and compels them to expand the scope of choice for the actors in the conflict. And second, to promote social mechanisms to filter as much as possible which knowledge claims are more value-laden, and which are less, in particular in contexts of conflict and high uncertainties. There is an urgent need to design water resources models in a more open way to allow the participation of stakeholders and legitimize the data used in them (Islam & Susskind, 2018) as well as the values hidden in them ; t. This can support the necessary task of reviewing alternatives to large infrastructures (Van der Zaag & Gupta, 2008). Additionally, fostering stakeholder participation could collaboratively bring about socially relevant research questions that open the decision space (Voinov & Gaddis, 2008; Zimmerer, 2008; Budds, 2009; Lejano & Ingram, 2009; Brugnach et al., 2011; Blöschl et al., 2013; Armitage et al., 2015; Basco-Carrera et al., 2017; Van Cauwenbergh et al., 2018; van der Molen, 2018; Norstöm et al., 2020). However, since participation could present some pitfalls (i.e. Mosse, 2001; Godinez Madrigal et al., 2019), Krueger et al. (2016) recommend to test each actor’s claims and preconceptions through object-based processes (i.e. maps and models, see also Brugnach & Ingram, 2012) to co-produce knowledge beyond discourse.”

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Unravelling intractable water conflicts: the entanglement of science and politics in decision-making on ~~a~~ large hydraulic infrastructure project

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Abstract. ~~Global trends suggest that~~The development of large infrastructure to address the water challenges of cities around
10 the world ~~are increasingly depleting available water resources. A common strategy is to opt for supply augmentation~~
~~infrastructure. However, this response~~ can be a financial and social burden for many cities, because ~~they~~of the hidden costs
~~these works~~ entail ~~developing expensive infrastructure~~ and ~~can trigger~~ social conflicts. ~~Science~~ they often trigger. When
~~conflicts erupt, science~~ is often expected to play a key role in informing policymakers and social actors to clarify controversies
surrounding policy responses to water scarcity. However, managing conflicts is a socio-political process, and ~~the use of~~often
15 ~~quantitative~~ models ~~may have the effect of~~are used as an attempt to ~~de-politicizing~~politicize such processes; conveying the
idea that optimal solutions can be objectively identified despite the many perspectives and interests at play. This raises the
question whether science can depoliticize water conflicts, or whether instead conflicts politicize science-policy processes? We
use the Zapotillo dam and water transfer project in Mexico to ~~analyze~~analyse the ~~roles~~role of science-policy processes in water
conflicts. The Zapotillo project aims at augmenting urban water supply to Guadalajara and León, two large cities in Western
20 Mexico, but a social and legal conflict has stalled the project until today. To analyse the conflict and how stakeholders make
sense of it, we interviewed the most relevant actors and studied ~~the~~ negotiations between different interest groups through
participant observation. To examine the role of science-policy processes in the conflict, we mobilized concepts of epistemic
uncertainty and ambiguity and analysed the design and use of water resources models produced by key actors aiming to resolve
the conflict. While the use of models is a proven method to construct future scenarios and test different strategies, the
25 parameterization of scenarios and their results ~~depend on~~are influenced by the knowledge and/or interests of actors ~~who~~
~~own~~behind the model. We found that in the Zapotillo case, scenarios reflected the interests and strategies of actors on one side
of the conflict, resulting in increased distrust by the opposing actors. We conclude that the dilemma of achieving urban water
security through investing in either large infrastructure (supply augmentation) or alternative strategies (demand-side
management), cannot be resolved if some key interested parties have not been involved in the scientific processes framing the
30 problem and solution space.

1 Introduction

Urban water systems around the world are experiencing ~~manyvarious~~ urgent challenges ~~related to secure themselves fromaddress~~ water scarcity, flooding, and bad water quality (Zevenbergen et al., 2008; McDonald et al., 2014). The scope of these challenges is such that individual scientific disciplines and traditional approaches fall short of addressing them in a thorough manner to unequivocally inform policy (Funtowicz & Ravetz, 1994; Larsen et al., 2016; Hoekstra et al., 2018). Any solution to the challenges facing urban water systems will have manifold uncertainties in projected costs, benefits and risks, and this is especially true when large infrastructures are considered (e.g., see Flyvbjerg, 2009 and Crow-Miller et al., 2017, for a general description of the contentious process of cost-benefits assessments of large infrastructures, and for specific cases, see Berkoff, 2003, for China; Hommes et al., 2016, for Turkey; Hommes & Boelens, 2017, for Peru; and Molle & Floch, 2008, for Thailand). How the perceived costs, benefits and risks are shared among the stakeholders is one of the causes of water conflicts (Delli Priscoli & Wolf, 2009).

Since these conflicts are politically perilous situations, many policymakers seek ~~the need of~~ specialized scientific knowledge that is perceived as neutral and unbiased to serve as the basis of making difficult decisions over controversial issues (Schneider & Ingram, 1997). In recent years, political ecology literature has acknowledged that this specialized scientific knowledge can act as a form of ~~covertstealth~~ advocacy in politically charged socio-environmental problems (e.g. Pielke, 2007; Budds, 2009, and Sanz et al., 2019, for groundwater over-exploitation and allocation; Godinez-Madriral et al., 2019, for water scarcity and surface water allocation). This paper has two objectives, 1) to ~~contribute to identifyingidentify~~ the causes of failure in science-policy processes to solve intractable conflicts and promote well-informed water management solutions; and 2) to explore the multiple influences in the production of water knowledge in a context of conflict, and its political use by actors. We contribute to the literature on science-policy process by analyzing the conflict over the Zapotillo dam and water transfer project, perhaps the most politically charged water conflict in Mexico in recent years. This case is of special relevance due to what is at stake: the water supply for the two most important cities in Western Mexico, the economic importance of its semi-arid donor basin, and the possible displacement of three communities lying in the reservoir's area. Furthermore, the conflict can be considered intractable, given its length (started more than 15 years ago) and that is still largely unresolved due to the ~~immobileintransigent~~ positions of the stakeholders (Putnam & Wondolleck, 2003). The focus of this paper is the scientific knowledge produced through a water resources model developed by an independent international team of experts convened by UNOPS (United Nations Office for Project Services), hereafter referred to as the UNOPS team, as a means to clarify controversies, fill gaps in knowledge and depoliticize the Zapotillo conflict; ~~while emphasizing. We demonstrate~~ how the process of scientific production, in spite of its intended neutrality, favored the Zapotillo project, ignored alternatives proposed by the dam-affected stakeholders based on demand management strategies in the recipient cities, ~~proposed by the dam-affected stakeholders~~, and improperly managed core uncertainties related to climate change and future water demand.

The paper is structured as follows. The ~~paper starts with an analysis of~~ first section analyzes the literature on science-policy processes ~~literature~~ in relation to epistemic uncertainties and controversies in water conflicts. We then describe the ~~case of the Zapotillo project, study area~~ and the methods used to analyze ~~itthe conflict~~. Subsequently, ~~we in the results section, we first describe the trajectory of the regions that would benefit from the Zapotillo project; we then~~ describe the main ~~scientific~~

~~knowledge uncertainties and controversies that articulate the positions and frames~~ of the ~~actors in~~ conflict; and ~~subsequently we analyze the water resources modelsscientific products~~ that were developed to ~~help-resolve~~support decision-making in the conflict, ~~albeit unsuccessfully~~. Finally, we discuss the theoretical contributions of the case to the literature of the role of science-policy processes in water conflicts.

70

2 Science-policy processes and water conflicts

2.1 Uncertainties and ambiguity in science-policy processes

Effective science-policy processes in water management are those where water knowledge informs decision-makers as to what are the most appropriate solutions to water challenges, and what is likely to happen if nothing is done (Karl et al., 2007).
75 However, Funtowicz & Ravetz (1994) have argued that complex socio-environmental issues ~~like~~(e.g., climate change) are confronted by uncertainties, ethical complexities, and policy riddles regarding societal values, from which no clear-cut policies can be concluded.

Uncertainties consist not only ~~of~~on matters of lack of precision and accuracy in the data being analyzed, but also of epistemic uncertainties, understood as the ignorance of the functioning of a given system (Funtowicz & Ravetz, 1990; Di Baldassarre et al., 2016; Cabello et al., 2018) and of ambiguity, understood as ~~the “simultaneous presence of multiple knowledge frames valid and, sometimes conflicting ways, of framing a problem.”~~ (Brugnach ~~et al., 2014~~& Ingram, 2012). Scientists cannot address these levels of uncertainty by simply improving their techniques or computational prowess, ~~which can only; they even cannot~~ reduce aleatory uncertainty, ~~i.e. uncertainty related to the random variability of data~~processes, but only manage it in ~~probabilistic terms~~ (Di Baldassarre et al., 2016). ~~However, epistemic~~Epistemic uncertainties and ambiguity stem from
85 controversies of what the real problem is and how to frame the solutions in the political arena between actors with different interests (Gray, 2003; Cabello et al., 2018).

When facing epistemic uncertainties in a complex socio-environmental problem, stakeholders stand on unexplored territory; even scientists face an ambiguous path in deciding which methodologies to use and how to interpret the phenomena (i.e. Melsen et al., 2018, and Srinivasan et al., 2018; see also Brugnach & Pahl-Wostl, 2008). Boelens et al. (2019) noted the relation
90 of knowledge and power asymmetry between stakeholders in the context of large infrastructural schemes. Such asymmetry is characterized by hegemonic discourses ~~and does not allow for a fair assessment of different~~that privilege technical knowledge as infallible, while other kinds of ~~knowledge~~knowledge are disregarded to understand a socio-environmental problem (Schneider & Ingram, 1997; Wesselink et al., 2013). This may result in what Boelens et al. (2019) denominate ‘the manufacture of ignorance’, understood as the process of cherry-picking facts and knowledge to further one’s position, while discrediting
95 ex-ante competing knowledge without a thorough debate (see also Flyvbjerg, 2009, Moore et al., 2018). In ~~the~~ case of large infrastructures, ~~governments undertake~~this process ~~is~~ often ~~undertaken~~ by invoking scientific evidence (Brugnach et al., 2011),

which is ~~often presented a-critically by downplaying the inherent risks and uncertainties (Flyvbjerg, 2009), and by presenting it as the only valid frame to understand the socio-environmental~~ ~~problemproblems~~.

When science-policy debates ignore intrinsic epistemic uncertainties and ambiguity, it is expected that irreducible uncertainty be present in their scientific recommendations to policy (Funtowicz & Ravetz, 1994), which makes such recommendations dubious, or at least contestable. ~~Instead~~ Alternatively, Pielke (2007: 17) proposed that the role of scientists in issues of high uncertainties and politicization should be that of “honest broker of policy alternatives”, consisting of expanding the scope of alternatives to decision-makers. Moreover, epistemic uncertainties and ambiguity can be made manageable through bottom-up approaches¹ consisting of the inclusion of local stakeholders, their knowledge, problem-framing and alternative solutions in the policy debates (for a general description see Brugnach et al., 2011, and for hydrological risk management see Lane et al., 2011, and Blöschl et al., 2013). ~~But this kind of~~ Nevertheless, public participation in socio-environmental decisions is a political decision often aimed at improving the acceptability and legitimization of policies (Newig, 2007), rather than reducing epistemic uncertainty and handling ambiguity (Bloomquist & Schlager, 2005; Brugnach & Ingram, 2012). ~~In a context of conflict, however, the possibility of acceptability and legitimization of policies is already severely constrained, which changes the dynamics of science-policy processes. The next section analyses the literature regarding water conflicts and science-policy processes. In such situations the underlying causes for conflict remain un-addressed.~~

2.2 Water conflicts and co-production of knowledge

Water conflicts emerge for many reasons, but we will explore those that emerge from the imposition of large infrastructural projects. These projects may produce many benefits, but also socio-environmental costs and risks that are unevenly distributed between stakeholders. An example is the apparent urgency to implement supply augmentation and reallocation solutions to guarantee water supply to large cities. These solutions may hamper due processes of transparency, public participation and the rights of other water users and stakeholders. The absence of these processes may create social conflicts (Barraqué & Zandaryaa, 2011; Roa-García, 2014), which are defined as “two or more entities, one or more of which perceives a goal as being blocked by another entity, and power being exerted to overcome the perceived blockage” (Frey, 1993, cited in Delli Priscoli & Wolf, 2009). Thus, water conflicts may block such supply augmentation projects to alleviate water scarcity, while no alternative solutions are implemented. In doing so, actors in conflict may worsen the system as a whole (Madani, 2010), aggravating the social conditions by rationing water, and deteriorating hydrological conditions by further depleting available water reserves like aquifers or dams.

When these conflicts are prolonged in time, the positions of the actors in conflict tend to harden and the conflict may become intractable with small chances for a negotiated solution (Putnam & Wondolleck, 2003). Intractable conflicts are often

¹ The difference between a top-down and a bottom-up approach is that the first focuses on highly technical assessments, while the second on the communities’ vulnerabilities, making the latter more robust to a changing and unpredictable climate, no matter how low the probabilities of the occurrence of any event (Blöschl et al., 2013).

characterized also by ambiguity, in which actors with different systems of knowledge (engineers, communities, policymakers, etc.) perceive the problem with different frames, as well as its possible solutions (~~Brugnach & Ingram, 2012~~). ~~Even within stakeholder groups, stakeholders can make sense of the conflict in different frames (Brummans et al. e.g. Table 1 presents the multiple frames of the actors in the Zapotillo conflict).~~ A diversity of frames is possible since ~~2008~~. The water problems are often unstructured and riddled by uncertainties in information and cause-effect relationships (Islam & Susskind, 2018). ~~Even within stakeholder groups, stakeholders can make sense of the conflict using different frames (Brummans et al. 2008).~~ Due to the high public regard of science, ~~it is expected for~~ politicians expect scientists to contribute to unravelling what the problem is, and to offer solutions supported by all actors (Schneider & Ingram, 1997). However, ~~many~~ some studies have identified political biases in allegedly neutral scientific studies (*i.e.*, Budds, 2009; Milman & Ray, 2011; Fernandez, 2014; Sanz et al., 2018; Godinez-Madrigal et al., 2019), which ~~has~~ have lately discredited the public perception of science as a fair knowledge creator in some controversial large infrastructural water projects around the world (Boelens et al., 2019). Due to this situation, among others, more attention has been given to ~~non-expert knowledges~~ include stakeholders without an academic background in research and decision making (Armitage et al., 2015; Krueger et al., 2016).

~~The~~ Specialized literature ~~has~~ provides some consistent recommendations regarding knowledge in contexts of conflict and a diversity of values in socio-environmental problems. Van der Zaag & Gupta (2008) recommend to consider five principles based on feasibility, sustainability, ~~looking for~~ considering alternatives, good governance and respecting rights and needs before undertaking large infrastructural schemes; Funtowicz & Ravetz (1994), Van Cauwenbergh (2008), Islam & Susskind (2015), Armitage et al. (2015) Dunn et al. (2017) and Norström et al. (2020) argue that since no expertise or discipline can claim to have the monopoly of wisdom in complex socio-environmental issues, ~~then~~ the problem definition and possible solutions need to include local and non-technical knowledges, therefore engaging in co-production of knowledge. This approach even provides the advantage of designing more robust and resilient solutions (Blöschl et al., 2013). This does not belittle scientific studies, but changes their role to become boundary objects, which cannot illuminate stakeholders' decision-making, but rather elicit new relationships and innovative solutions among the different systems of knowledge and frames present in all stakeholders (Lejano and Ingram, 2009). True knowledge controversies have the potential to be generative events in the sense that they open the ontological question of what is reality and how it is framed, and redefine it in, hopefully, better terms (Callon, 1998; Latour, 2004; Whatmore, 2009).

However, little attention has been paid to science-policy processes in cases of intractable water conflicts based on the development of large infrastructures to solve urgent water problems. The next sections ~~will~~ present the historical context of the conflict over the Zapotillo water transfer project in Mexico, analyze the knowledge controversies around the conflict and the scientific products ~~that were developed with a view~~ developed by team of experts fielded by UNOPS and by Conagua (the federal water authority) to solve the conflict and generate acceptance and legitimacy for the project.

3 Case study and Methods

3.1 ~~Case study: a tale~~Study areas

Since the Zapotillo project entails the water transfer from the Verde River Basin in the northeast of Jalisco to two cities located outside of the boundaries of the basin, three different regions constitute the area of interest of this study. Figure 1 shows the two recipient cities of the projected water transfer, Guadalajara and León, and the contiguous donor basin, the Verde River Basin. Currently, Guadalajara has more than 4.5 million people, and is the capital of the State of Jalisco. León has a population of around 1.5 million people and is the most populous and economically most important city of the State of Guanajuato.² The Verde River Basin is a sub-basin of the Lerma-Santiago-Pacífico basin and discharges its water to the Santiago River located north-west of Guadalajara. The area of this sub-basin is around 21,000 km² large and is mainly located in the State of Jalisco (55%). The sub-basin is considered as semi-arid in the north, with an average precipitation of around 360 mm/year, and sub-tropical in the south with an average precipitation of 900 mm/year; the average temperature varies between 11°C and 18°C in winter and 17°C and 25°C in summer; and the average potential evaporation in the basin is around 1550 mm/year (UNOPS, 2017a). The basin is home to around 2 million people, of which almost half inhabit the region of Los Altos, located in the part of the basin that belongs to the State of Jalisco. The northern part of the basin, located in the State of Aguascalientes, is characterized by a developed industrial sector; while Los Altos is characterized by a vibrant primary sector of the economy, contributing to the production of around 20% of the total animal protein produce of the country (Ochoa-García et al., 2014).

² For further information on Guadalajara and León, consult supplementary material.

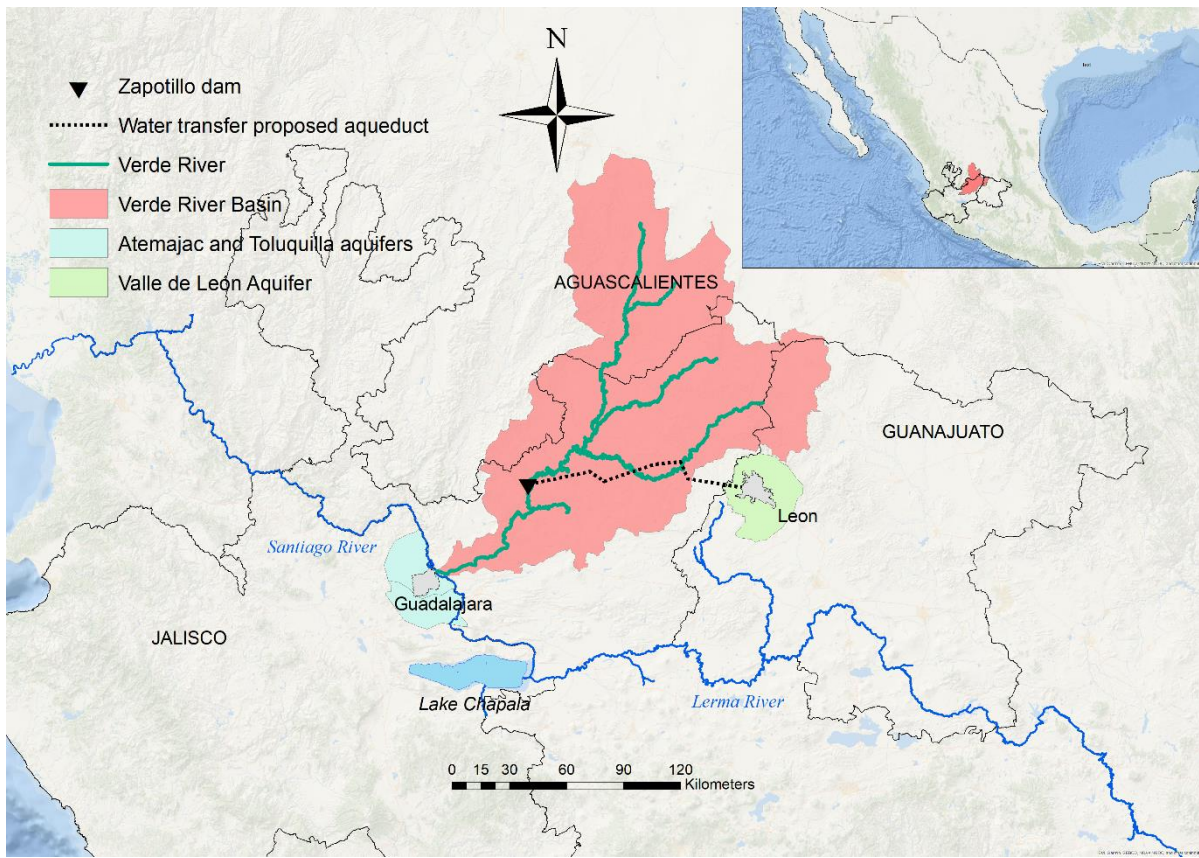


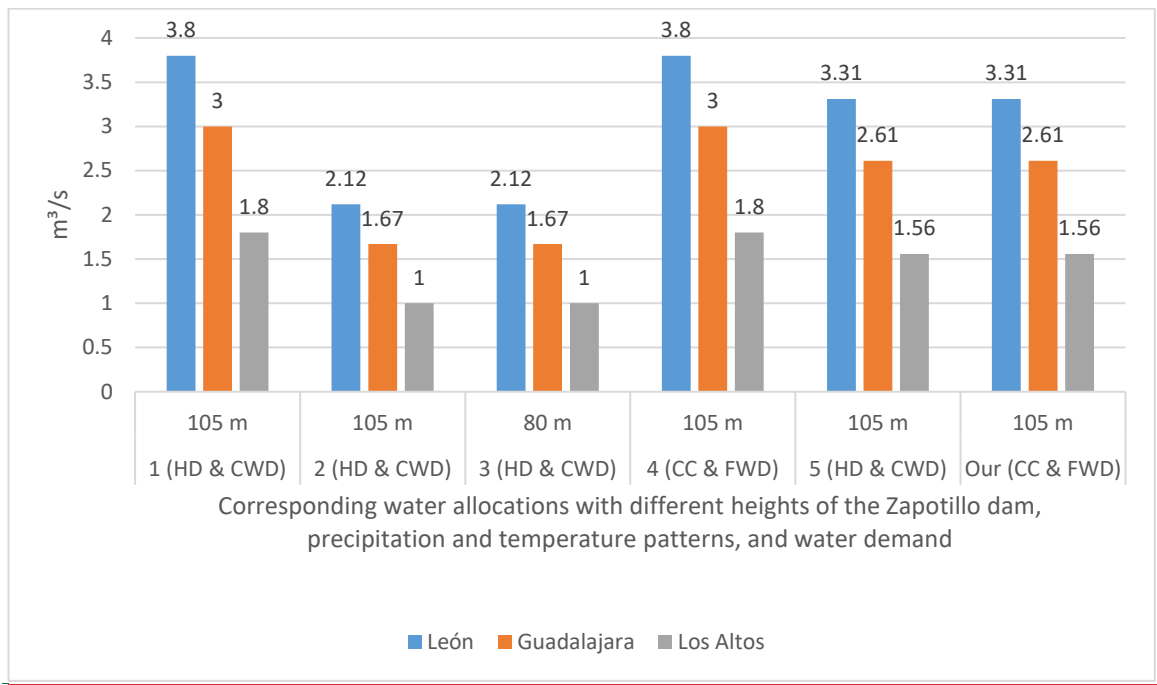
Figure 1: Map of the Verde River Basin and main cities (Source of GIS layers: © 2018 Conagua, and © 2019 Esri, Garmin, GEBCO, NOAA NGDC, and other contributors).

3.2 Methods

To understand the science-policy processes in a context of an intractable conflict we adopted an interdisciplinary method to comprehensively analyze the technical as well as the social issues that are central to the conflict. The first author spent five months before the public release of the report by the UNOPS team in Guadalajara in 2017 and one month after. He conducted 22 in-depth, semi-structured interviews to most of the key actors of the conflict: members of Jalisco's government, national and state water authorities, NGOs, scholars, the Citizen Water Observatory (hereafter referred to as the Observatory) and representatives of the communities affected by the dam. Since the hotspot of the conflict was located in Jalisco, we decided to focus on Jalisco instead of Guanajuato; although we also collected information on Guanajuato through many actors in Jalisco that had close contact with key stakeholders in Guanajuato and through public statements and official documents of the local water utility and state water authorities. The semi-structured interviews consisted of exploring three main themes: the root causes of the problem and the conflict, what were the sources of controversy in the conflict, and what would be the preferred

solutions to the conflict and the water scarcity problem. The interviews also served to identify the position and interests of the actors in the conflict after Fisher et al. (2000) that in turn allowed differentiation of stakeholders following Reed et al. (2009). Due to the delicate nature of the situation, all interviewees remain anonymous, and not all interviews could be recorded; in such cases we relied on fieldnotes taken immediately after the interview. The interviews that were recorded, were transcribed. We analyzed the interview transcripts and fieldnotes to extract the summarized viewpoint of the stakeholders, which are described in Table 1. We then conducted participant observation during five key meetings of the Observatory and Jalisco's government to analyze the discourses, knowledge claims, and main controversies on the coupled human-water system of the region. This allowed us to identify controversies and link the position of actors in the conflict to knowledge frames. Immediately after the presentation of results from the study by UNOPS' team, we conducted informal interviews with most of the key actors that were present, to chronicle in our fieldnotes their reactions and opinions on the outcome of the study. Afterwards, we requested from Jalisco's government the full water resources model that the UNOPS team developed; we received it by the end of 2017. The model was developed using the Water Evaluation and Planning System (WEAP21) software (see supplementary material for a detailed description of the model), and contained the five scenarios that the UNOPS team used to test the viability of the Zapotillo dam project to reliably allocate water until the year 2069 (Figure 2). The five scenarios switched parameters under different reservoir storage volumes (at dam heights 80 m and 105 m), different water allocation volumes to Guadalajara, León, and the urban localities within the Verde River Basin (three aggregated flows of water were considered: 8.6 m³/s, 4.8 m³/s and 7.5 m³/s; Figure 2 disaggregate these flows to the three users), changes in water availability related to climate change (RPC 8.5 or no climate change) and changes in agricultural water demand in the donor basin (static water demand since year 2018 or expected water demand in year 2030). The UNOPS team recommended decision makers that the best possible configuration of the Zapotillo project was that of scenario 5: to build a dam at 105 m, with the only caveat of reducing the water allocation by 13%. However, many actors were negatively surprised that although the UNOPS team developed a scenario with climate change and future water demand (scenario 4, see Figure 2), these changing future conditions were not included in their scenario 5, which only considers current water demand and ignores reduced water availability due to climate change. Therefore, we considered it important to replicate the results developed by the UNOPS team, and to test and analyze its choice of scenarios and recommendation by developing an additional scenario (our) that included the variables climate change and future water demand as developed by the UNOPS team in scenario 4 to their scenario 5 (Figure 2). We then compared the results of our scenario with the original scenario 5 using the same indicators the UNOPS team used to assess their own scenarios. These indicators (reliability, vulnerability, and resilience) were based on the methodology of Loucks and Gladwell (1999). Reliability assessed the percentage of months the dam was able to supply its intended volume. The ideal score would be 100%. Vulnerability assessed the percentage of water supplied vis-à-vis water demand for all months. The ideal score would also be 100%. And resilience assessed the speed of recovery of the dam after a period of being empty by calculating the number of times a satisfactory value (when all water demand is satisfied) follows an unsatisfactory value (when not all water demand is satisfied) divided by the number of

220 unsatisfactory values. The scores range from 1 to 0, being close to 1 represents a highly resilient system, and 0 a poorly resilient system.³



225 **Figure 2: Key variables of the five water allocation scenarios (in m³/s for León, Guadalajara and Los Altos) developed by UNOPS (2017b) and ours (“HD & CWD” = historical run-off data and current water demand; “CC & FWD” run-off under climate change and future water demand).**

230 **4. Results**

4.1 The Zapotillo conflict

Guadalajara and León are the most important cities of their respective States, Jalisco, and Guanajuato, in terms of population and economic size. ~~Currently, Guadalajara has more than five million people, and León almost two million.~~ Since the 1950s, Guadalajara’s local water resources availability was overrun by the increasing water demand, and water managers sought to increase its water supply from Lake Chapala, the largest lake in the country. ~~Currently, Guadalajara complements its water demand mainly through groundwater~~ (see Table S1 in the supplementary material). ~~Guadalajara’s~~ However, due to their intense use, the aquifers are considered as over-exploited and with presence of ~~heavy metals~~ nitrate and sulfate due to farming activities and wastewater disposal, and naturally occurring contaminants like lithium, manganese, fluorine, and barium due to mixing of ~~hydrothermal fluids~~ (Hernandez-Antonio et al., 2015; Mahlknecht et al., 2017; Moran-Ramirez., 2016). León, on the other

³ The resilience indicator is only useful when the system presents unsatisfactory values, therefore Ifif the system does not present any unsatisfactory values, the indicator is non-existent, as seen in Figure 5.6 (below).

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hand, does not have large bodies of surface water in close vicinity and therefore it has historically relied solely on groundwater, which is now ~~is~~ considered as heavily over-exploited with a drawdown of 1.5 m/year and with presence of ~~metals~~chromium due to industrial activities, related to anthropogenic activities nitrate, chloride, sulfate, vanadium and pathogens, and naturally occurring contaminants like fluoride, arsenic, iron, and manganese due to the ~~over-exploitation~~ (introduction of older groundwater with longer residence times (Esteller et al., 2012; Villalobos-Aragon et al., 2012; Cortes et al., 2015; SAPAL, 2020).

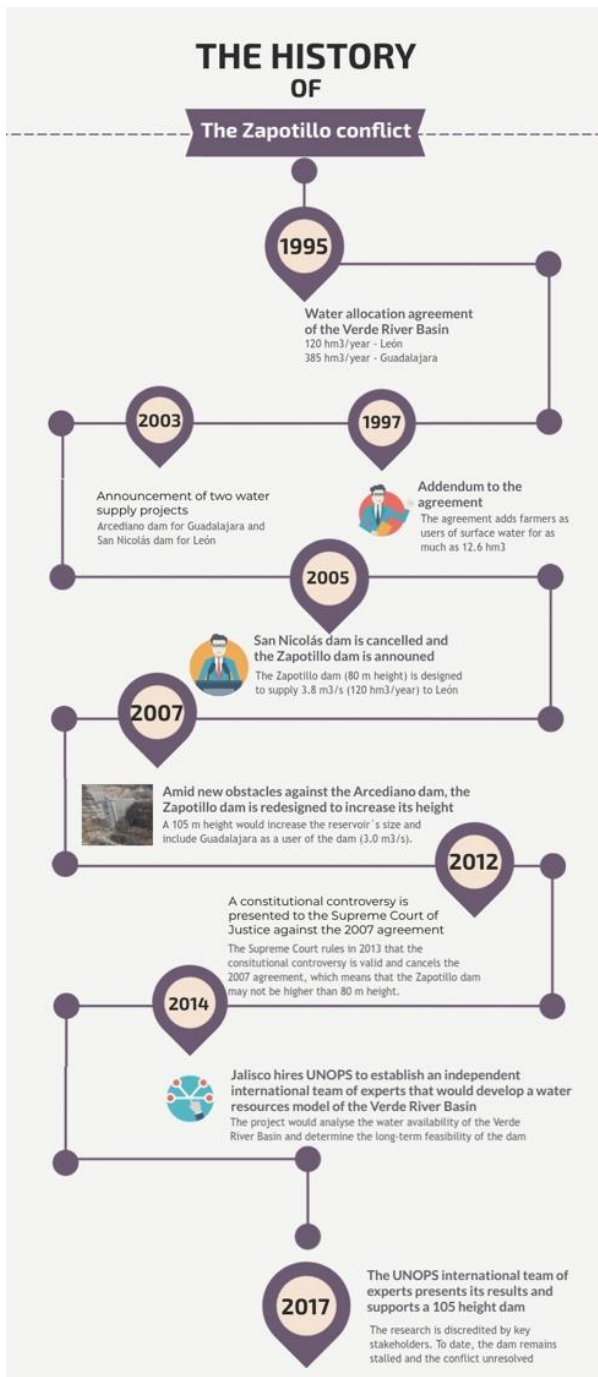


Figure 3. Timeline of the Zapotillo conflict.

During the 1980s, water managers in Jalisco were aware of the relentless growth of Guadalajara and sought to develop new sources of water besides groundwater and Lake Chapala (Flores Berrones, 1987). They analyzed that the only nearby region

with enough water to supply Guadalajara was the Verde River ~~basin~~Basin, located in the north of Jalisco (Figure 1). They calculated a potential of more than 20 m³/s, enough to supply water for Guadalajara for the coming decades ~~with its expected urban growth and future water demand.~~ However, it was technically complicated to develop the Verde River ~~basin~~Basin and transfer its water to Guadalajara. The Verde River discharges into the Santiago River at ~~more than~~around 500 meters below the altitude of Guadalajara, which skyrockets pumping energy costs. ~~Also choosing a good site for the dams was difficult, because some dam sites were situated on tectonic faults (López-Ramírez & Ochoa-García, 2012).~~ During the ~~slow process of concretizing realizable~~1990s Jalisco developed many projects that failed to materialize due to financial and political issues (Von Bertrab, 2003). During this time and partially because of the inability of Jalisco to materialize a water transfer project, Guanajuato requested ~~to~~Conagua (~~Federal~~the federal water authority) legal rights over a portion of the Verde River's water for the city of León. In 1995, Conagua accepted this request and added Guanajuato as a potential user of the river's water.

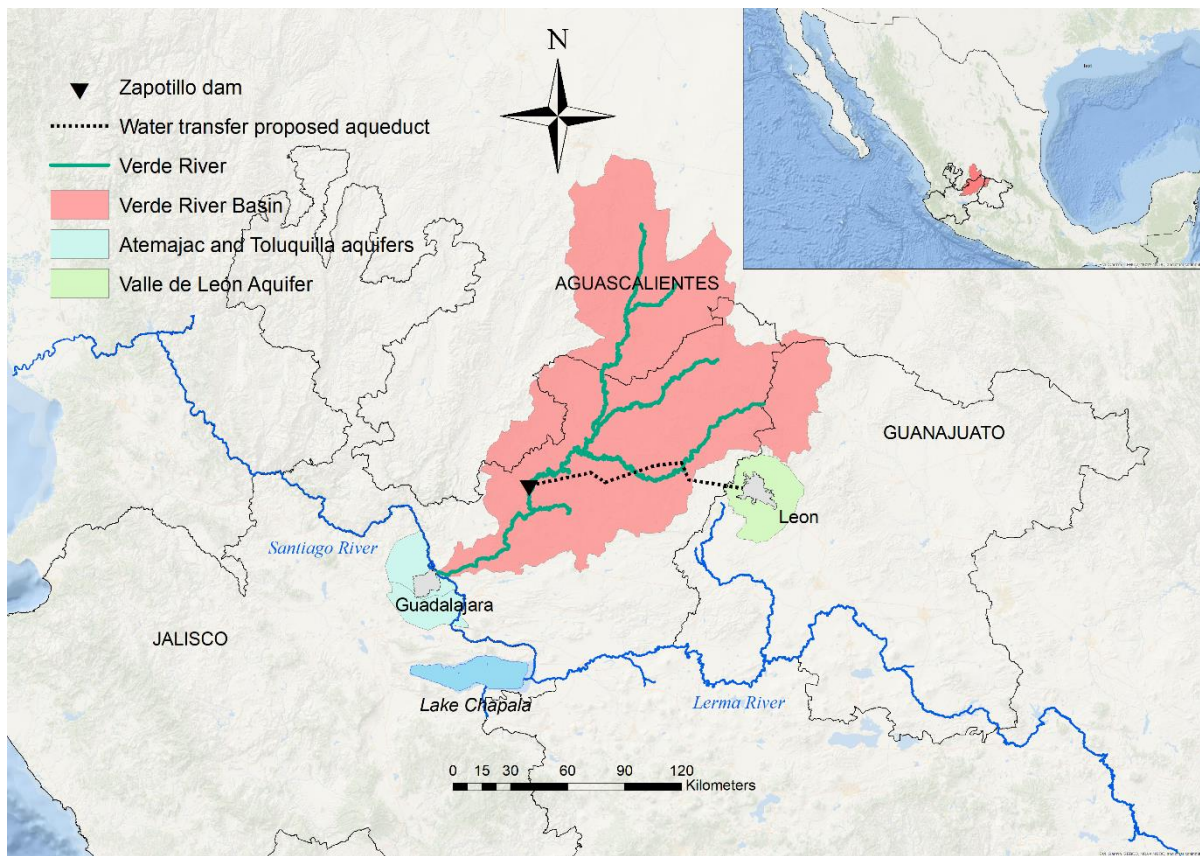


Figure 1: Map of the Verde River Basin and main cities (Source of GIS layers: © 2018 Conagua, and © 2019 Esri, Garmin, GEBCO, NOAA NGDC, and other contributors).

During the year 2000, a drought started in the Lerma-Chapala basin that caused a water crisis for Lake Chapala, which decreased its volume to less than 10% of its capacity. Since Guadalajara heavily relied on the lake for its water supply and upstream farmers in Guanajuato used most of the surface water that fed the lake, the situation triggered a surface water

allocation conflict between Jalisco and Guanajuato (Godinez-Madrigal et al., 2019). The conflict was resolved by reducing the water rights of upstream farmers to increase the volume of water reaching the lake. But, in exchange, in 2003 Conagua promised to build at the San Nicolás dam in the Verde River basin to transfer water to León, and the Arcediano dam in the Santiago River for Guadalajara (Godinez-Madrigal et al., 2019). ~~It~~After a swift mobilization of the San Nicolás community, the dam was cancelled in 2004. However, in 2005, the Zapotillo project was ~~concretized with an agreement where León was the only beneficiary. The dam's unveiled, it was designed at 80 m height was supposed with the objective to be 80 m and provide 3.8 m³/s only to León.~~ Nevertheless, because ~~Jalisco failed to concretize a project of its own to increase the water supply for Guadalajara, Jalisco's authorities could not solve important social, financial and technical issues to build the~~ Arcediano dam (López-Ramírez & Ochoa-García, 2012), Jalisco's government advocated in 2007 to change the design of the Zapotillo project to include Guadalajara as a user and receive 3.0 m³/s by increasing the dam's height to 105 m to increase its storage capacity.⁴

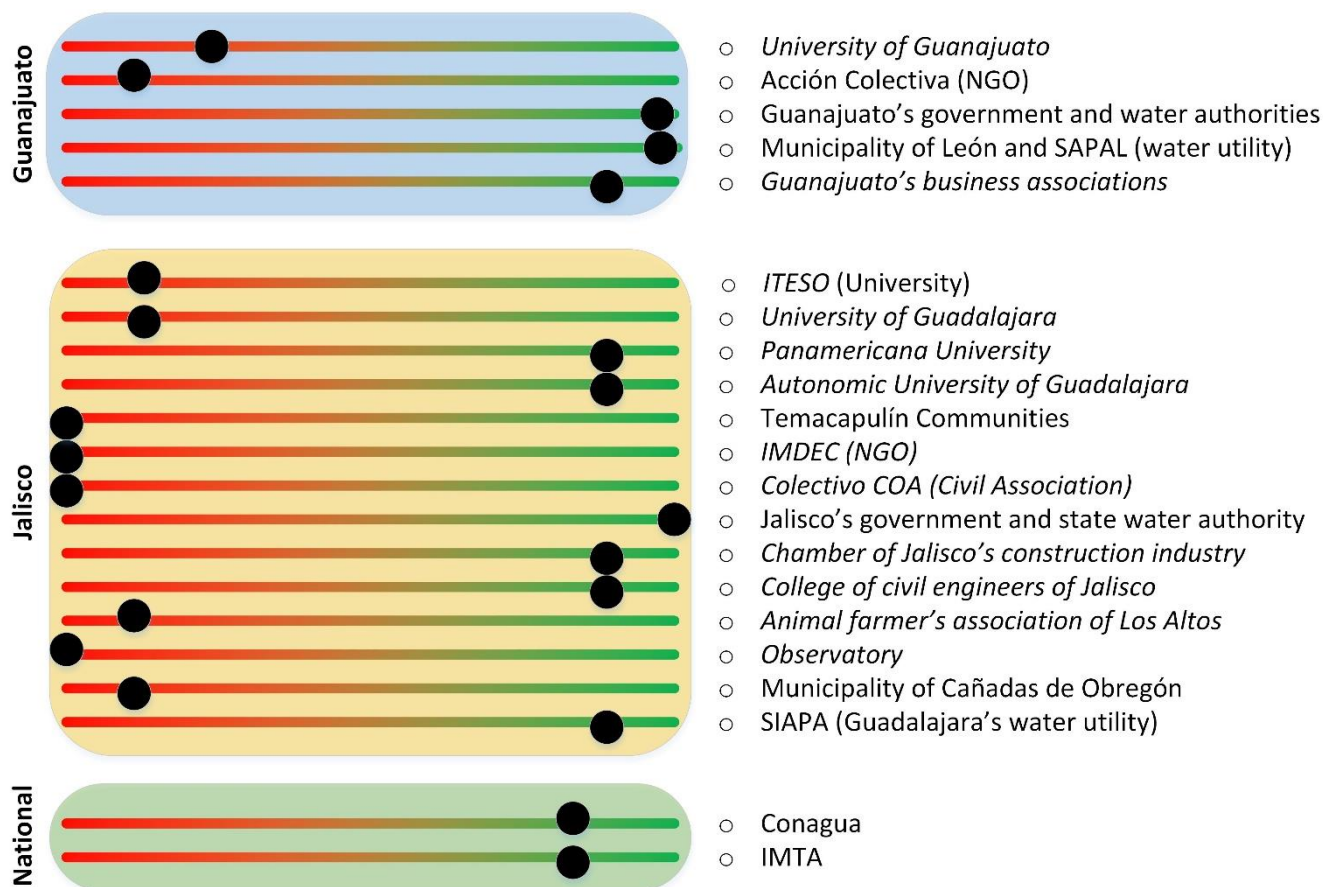
By this time, the dam-affected communities, Temacapulín, Acasico and Palmarejo, (hereafter Temacapulín), had already started a fierce opposition against the project with the objective to avoid the flooding and relocation of their communities. Their representatives followed a social and legal strategy, which consisted of claiming that the 2007 agreement was unconstitutional because Jalisco's governor did not consult the State congress. In ~~2012~~2013, the Mexican Supreme Court ruled ~~in favor of against~~ the ~~communities~~2007 agreement and ordered Conagua to stop the construction of the dam, which by then already had reached 80 m height (DOF, ~~2012~~2013). The Zapotillo project has remained paralyzed since then. Although the dam wall has already been built, the reservoir has not been filled, because of the uncertainty of the dam's final height.

Given the politicization of the conflict and the urgency of meeting the water deficits of Guadalajara and León without implementing any additional or alternative strategy, new actors have entered the political arena (Figure 24 in italics). Some farmers' associations in the Verde River basin of Los Altos coalesced and lobbied against the Zapotillo project ~~on~~using the ~~basis~~argument that the region is semi-arid, already ~~has water~~presents groundwater over-exploitation, that climate change will worsen the condition of the regional water resources, and that the region is one of the most productive agricultural ~~productive~~ regions in the country (Ochoa-Garcia et al., 2014). ~~It~~Additionally, due to the increased political pressure, in 2014, Jalisco's government supported the creation of a Citizen's Water Observatory, led by an active spokesperson of farmers of Los Altos, and composed of a wide range of representatives of universities and civil society organizations (see supplementary material for more information) that ~~could theoretically would, at least in theory,~~ have the mandate to formulate binding recommendations to local and state governments ~~within~~of Jalisco. The Observatory, NGOs and local universities ~~proposed~~argued that demand management strategies in Guadalajara and León could be more sustainable and socially just than the Zapotillo project. In contrast, IMTA (the engineering body of Conagua) released a technical study concluding that the

⁴ Several urban locations in the Los Altos region were included as well in the water allocation agreement of the project, which would receive 1.8 m³/s.

Zapotillo project was feasible (there was enough water availability in the basin) even in the context of climate change- (IMTA, 2015).

Guanajuato	Universidad de Guanajuato (not an institutional position)	Guanajuato's government and State water authorities
	Acción Colectiva	Municipality of León and SAPAL Guanajuato's business association
Jalisco	ITESO	Universidad Panamericana
	Universidad de Guadalajara	Universidad Autónoma de Guadalajara
	Temacapulin	
	IMDEC	Chamber of the industry of construction of Jalisco
	Colectivo COA	College of Civil Engineers of Jalisco
	Animal farmers' association of Los Altos	SIAPA
	Observatory	Jalisco's government and State water authorities
	Municipality of Cañadas de Obregón	
Federal	Conagua	
	IMTA	
<div>← Against In favour →</div>		



¹Universidad de Guanajuato has not released any official position on the project, however many of its academics have publicly ~~support~~supported its cancellation.

Figure 24. Position of key actors on a horizontal axis against (left, red) and in favor (right, green) the Zapotillo dam project, and new actors are highlighted in italics (for more details on the Figure methodology and description of actors see Table 2 in the supplementary material).

In ~~2015~~2014 Jalisco's government hired the United Nations Office for Project Services (UNOPS) to establish an independent international team of experts tasked to develop a water resources model of the Verde River ~~basin~~Basin and formulate an informed recommendation to address, once and for all, the controversies regarding the possible negative effects in the Verde River ~~basin~~Basin and analyze the optimal configuration of the Zapotillo project. ~~The~~The involvement of UNOPS was immediately seen as an existential threat to the recently created Observatory, because the latter assumed as its primary function to determine the future of the Zapotillo project and recommend actions to solve the conflict. In fact, the chair of the Observatory criticized the involvement of UNOPS as a political play by Jalisco's government to decrease the Observatory's influence. He also questioned the integrity of the UNOPS' team due to the apparently suspicious high cost of the study (4.5 million USD); and refuted *ex-ante* the technical study of the UNOPS' team. Based on these criticisms, the leadership of the Observatory lamented that Jalisco's government had not funded them and the University of Guadalajara instead to do the research. However,

a high-level official of Jalisco's government (personal comm. 22/05/2017) characterized the criticisms from the Observatory as representing the political interests of the University of Guadalajara, who often lobby Jalisco's government to receive more financial resources (Jalisco's government determines the University's budget) and research contracts. Moreover, Jalisco's government had previously awarded environmental research projects to academics of the Universidad de Guadalajara, but, according to the official, the resulting studies were technically deficient and unusable. Related to IMTA, the appreciation of this official is that its function has been relegated to technically legitimize Conagua's projects, and that it was reluctant to share any information. The official concluded that "the scientific debate is very poor, because it has been co-opted by politics." This explains why Jalisco's government neither trusted the University of Guadalajara nor IMTA and that it approached UNOPS as an alleged apolitical third party with proven independence (UN-affiliated) and technical capabilities that were locally absent to help solve the conflict. The government official said that "[Hiring] UNOPS will articulate a paradigmatic change in the way we make decisions on water management in Jalisco."

The UNOPS' study took two years, and the process followed and methods adopted were largely unknown by most actors. Finally, in 2017, the UNOPS team of experts recommended that the Zapotillo dam should have been built at 105 m height of 105 m and that the original water allocation should decrease by 13%, since Conagua had over-estimated the available water in the Verde River basin (UNOPS, 2017b). This recommendation was based on the assessment of three indicators: reliability, vulnerability and resilience (these are explained in the following section). Basin and underestimated water demand (UNOPS, 2017c). The results of the study were discredited and discarded by some of the main stakeholders in the conflict. Currently, at the publication of this paper, the conflict continues, as described in Section 4.3.

3.2 Methods

To understand the science-policy processes in a context of an intractable conflict we adopted an interdisciplinary method to assess the scientific products that were developed with the intention to have a decisive role in de-politicizing the conflict, and their effect on the perceptions of actors. We spent five months previous and one month after to the public release of the UNOPS' report in Guadalajara in 2017. We conducted 22 in-depth, semi-structured interviews to most of the key actors of the conflict (Figure 2): members of Jalisco's government, national and state water authorities, NGOs, scholars, the Citizen Water Observatory (hereafter referred to as the Observatory) and representatives of the communities affected by the dam. The semi-structured interviews consisted of asking three main themes: the root causes of the problem and the conflict, what were the sources of controversy in the conflict, and what would be the ideal solutions to the conflict and the water scarcity problem. We then conducted participant observation during five key meetings of the Observatory and Jalisco's government to analyze the discourses, knowledge claims, and main controversies on the coupled human-water system of the region. This allowed us to identify controversies and link the position of actors in the conflict to knowledge frames. Immediately after the presentation of UNOPS' results, we conducted informal interviews with most of the key actors that were present, to record their reactions and opinions of the outcome of UNOPS' study.

Afterwards, we requested from Jalisco government the full water resources model that UNOPS developed; we received it by the end of 2017. The model was developed on the Water Evaluation and Planning System (WEAP21) software and contained the five scenarios that UNOPS used to test the viability of the Zapotillo dam project to reliably allocate water until the year 2069 (Figure 3). The five scenarios switched parameters under different reservoir storage volumes (at dam heights 80 m and 105 m), different water allocation volumes to Guadalajara, León, and the urban localities within the Verde River basin (8.6 m³/s, 4.8 m³/s and 7.5 m³/s), and changes in water availability related to climate change (RPC 8.5 or no climate change) and changes in agricultural water demand in the donor basin (static water demand since year 2018 or expected water demand in year 2030).

UNOPS recommended to the decision makers that the best possible configuration of the Zapotillo project was that of scenario 5: to build a dam at 105 m, with the only caveat of reducing the water allocation by 13%. However, many actors were negatively surprised that although UNOPS developed a scenario with climate change and future water demand (scenario 4, see Figure 3), these changing future conditions were not included in their scenario 5, which only considers current water demand and ignores reduced water availability due to climate change. Therefore, we considered it important to replicate the results developed by UNOPS, and to test and analyze UNOPS' choice of scenarios and its recommendation by developing an additional scenario (our) that included the variables climate change and future water demand as developed by UNOPS in scenario 4 to their scenario 5 (Figure 3). We then compared the results of our scenario with the original scenario 5 using the same indicators UNOPS used to assess their own scenarios. These indicators were based on the methodology of Loucks and Gladwell (1999). Reliability assessed the percentage of months the dam was able to supply its intended volume. The ideal score would be 100%. Vulnerability assessed the percentage of water supplied vis à vis water demand for all months. The ideal score would also be 100%. And resilience assessed the speed of recovery of the dam after a period of being empty by calculating the number of times a satisfactory value follows an unsatisfactory value divided by the number of unsatisfactory values. The scores range from 1 to 0, being close to 1 represents a highly resilient system, and 0 a poorly resilient system.⁵

⁵ The resilience indicator is only useful when the system presents unsatisfactory values, therefore. If the system does not present any unsatisfactory values, the indicator is non-existent, as seen in Figure 5.6 (below).

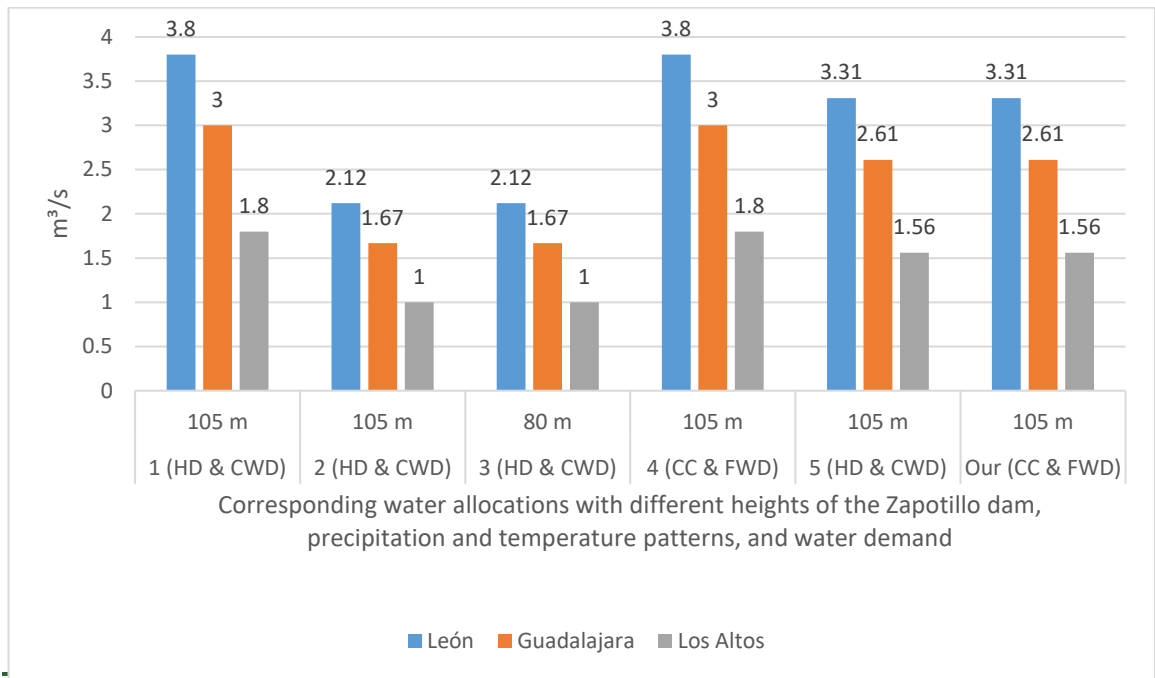


Figure 3: Comparison of the five water allocation scenarios (in m³/s for León, Guadalajara and Los Altos) developed by UNOPS (2017a) and ours (“HD & CWD” – historical run off data and current water demand, “CC & FWD” run off under climate change and future water demand).

4. Results

4.1.2 Controversies

Table 1 summarizes the main controversies and frames raised by the ~~actors~~ interviewed actors in the conflict. ~~They~~ These can be divided into two: 1) what are the appropriate policies to solve the water scarcity problems in the recipient basins (Guadalajara and León); and 2) what are the risks, uncertainties and negative effects of a dam and a water transfer in the Verde River Basin, the donor basin.

Table 1. Main controversies and frames on the coupled human-water system of the regions, and the Zapotillo project (ZP).

General controversies	Specific controversies	Frames
Recipient basins: policies for urban water security	<ul style="list-style-type: none"> — The urgency to apply supply augmentation policies — Demand management policies as an alternative to <u>achieve water security</u>. 	<ul style="list-style-type: none"> – Actors in favor of the Zapotillo project ZP: alternatives are unrealistic. The Zapotillo project ZP is the only feasible solution <u>to achieve water security</u>.

	<ul style="list-style-type: none"> – <u>Replacing supply-side policies for demand management policies and small-scale infrastructure</u>: reducing physical losses <u>in the network</u> and <u>implementing</u> rainwater harvesting. – <u>Increasing Financial burden because of increasing unexpected</u> costs of large infrastructure. – Alternative, in-basin water sources for León and Guadalajara. – Sectoral water transfers <u>to reduce groundwater over-exploitation</u>. 	<ul style="list-style-type: none"> – Actors against the Zapotillo project<u>ZP</u>: Alternatives exist<u>exist</u> and can be cheaper, more sustainable, and socially just than the Zapotillo project<u>ZP</u>.
Negative consequences for the donor basin	<ul style="list-style-type: none"> – Dam's height in relation to the resettlement of the three communities <u>and the water allocation commitments to León and Guadalajara</u>. – Overestimation of surface run-off <u>in the Verde River Basin</u>. – Droughts<u>Future water scarcity due to droughts</u> and climate change <u>in the Verde River Basin</u>. – Underestimated official water abstractions <u>in the Verde River Basin</u>. – Regional socio-economic dynamic is growing, as well as water demand <u>in the Verde River Basin</u>. – Groundwater overexploitation<u>Current groundwater over-exploitation will increase in the future</u>. – <u>The human rights of Temacapulín should be respected</u>. 	<ul style="list-style-type: none"> – Actors in favor of the Zapotillo project<u>ZP</u>: There is enough water in the donor basin for all existing and future users. <u>And a 105 m height dam is the best and most efficient solution that benefits a great majority despite the social costs of relocating Temacapulín</u>. – <u>Only a 60 m height dam is socially feasible, since human rights are not negotiable</u>. – Actors against the Zapotillo project<u>ZP</u>: There is currently not enough water in the donor basin, and a water transfer will have enduring negative effects <u>for the region</u>.

4.12.1 Recipient basins: policies for urban water security

Since the 1980s, Guadalajara's per capita water use has remained above 200 l/cap/day (Flores-Berrones, 1987; Consejo Consultivo del Agua, 2010). Ever since, water authorities have strived to keep pace with the fast-growing city population, because they consider a relatively large per capita water use as an important indicator for water security. The In a context of a decreasing per capita water availability because of population growth, the actors in favor of the Zapotillo dam project have emphasized ~~of~~ the urgent necessity of supply augmentation for the cities of León and Guadalajara. Representatives from CEA-Jalisco (Jalisco's water ~~authorities~~authority) and Sapal (León's water utility) argued that without supply augmentation, both cities might suffer a water crisis due to water scarcity derived from the over-exploitation of its aquifers. Water authorities from

Jalisco and Guanajuato concluded that pressure on aquifers in both cities and Lake Chapala need to be decreased, as aquifers represent a safe backup in times of drought. An additional risk for Guadalajara is the aging Lake Chapala aqueduct, whose life expectancy has already been exceeded. Repairing the aqueduct may affect the water supply for the city for weeks or even months.

390 Against this argument, representatives of Temacapulín, the Observatory, NGOs and universities have argued that supply augmentation will always lag ~~water demand. Del Castillo (2018a) described how a high-ranking public servant of Jalisco ordered Siapa's (Guadalajara's water utility) director to grant any water request to promote the city's growth in 2004 when the construction of the Arcediano dam was announced⁶ behind water demand.~~ This group of opposing actors argues that there is an urgent need to curb the per capita water use, and to limit the cities' physical expansion and demographic growth, supported

395 by a transition to a demand management paradigm that considers a reduction of physical losses, development of alternative water sources like rainwater harvesting, sectoral water transfers and full cost recovery of water utilities.

Regarding urban rainwater harvesting, a group within the Universidad de Guadalajara (not a member of the Observatory) has been developing and promoting this solution over the last decade (Gleason-Espíndola et al., 2018). Representatives of CEA-Jalisco considered all these alternative solutions as too expensive and cumbersome. For example, rainwater harvesting would

400 require the installation of hundreds of thousands individual systems. However, in 2006, the Zapotillo dam's original budget was USD 750 million (USD 1,250 million in today's value). Currently, the project's total costs are officially estimated at USD 1,800 million (IMDEC, 2019). Considering these escalating costs, NGOs argue that demand management solutions can be more economical and without the social costs of the Zapotillo project.

They claim that harvesting rain through household systems distributed across the city could eventually make unnecessary a

405 supply-augmentation project such as the Zapotillo project. However, according to their own estimates, the proposed system could harvest approximately 21 hm³/year, which could account for only about 7% of the total water use of Guadalajara, which is 313 hm³/year (SIAPA, 2017). Researchers at the University of Guanajuato calculated an approximate annual rainwater harvest of 27.3 hm³/year for the city of León, amounting to 33% of the total water use of 81 hm³/year (Tagle-Zamora et al., 2018). It should be noted, however, that both studies differed in their methodology and approach, and both did not account for

410 implementation uncertainties, a reason for Jalisco's water authority to dismiss rainwater harvesting as a realistic option.

The Observatory has argued that the municipality of León and the government of Guanajuato should integrate their water resources at the basin scale to save water and reallocate it to where it is most needed. For this, Jalisco's Observatory proposed a two-way strategy for León: to abstract water from Sierra de Lobos, a mountain range located close to León, and to implement an agricultural water modernization program and to reallocate its savings to León. The Observatory claims such a strategy

415 would increase available water for León with 360 hm³/year, which is four times León's current water use (Del Castillo, 2018). However, even after request, the technical details of this alternative have not been shared nor made public anywhere. In fact,

⁶In 2001, Jalisco's water authorities announced the Arcediano dam in the Santiago river to increase water supply for Guadalajara. In 2009, due to technical infeasibilities, the project was indefinitely postponed (López-Ramírez & Ochoa-García, 2012).

a member of the Observatory recognized that the technical members of the Observatory produce these claims based on “feeling” rather than on technical analysis (personal comm. 08/05/2017).

When looking at a reduction of physical losses, Fitch Ratings (2015) stated that the current losses of Guadalajara’s distribution system account for more than 3 m³/s (around 32% of distributed flow). Gómez-Jauregui-Abdo (2015) warned that this situation may worsen, because of the network’s obsolescence rate, which is higher than the replacement rate. CEA-Jalisco has argued that Siapa’s budget is not sufficient to replace the entire distribution system and that even if sufficient financial resources were available it would imply a huge social cost by ~~openingbreaking~~ the asphalt of the streets of the whole city and paralyze the traffic. This would also imply a political cost that no local politician is willing to assume. In León, Sapal’s ~~physical losses~~ amountnon-revenue water also amounts to aroundapproximately 32%. Although the replacement rate of their distribution system is higher than Guadalajara’s, their distribution system’s deterioration rate is not precisely known.

~~As an alternative to fixing the distribution system, a group within the Universidad de Guadalajara has suggested to develop an urban rainwater harvesting system (Gleason-Espíndola et al., 2018). They claim that the system could harvest approximately 21 hm³/year, which could account for about 7% of the total water use of 313 hm³/year (SIAPA, 2017). Similarly, researchers at the University of Guanajuato calculated an approximate annual harvest of 27.3 hm³/year for the city of León, amounting to 33% of the total water use of 81 hm³/year (Tagle-Zamora et al., 2018).~~

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Representatives of CEA-Jalisco consider all these alternative solutions not only cumbersome and ineffective, but also too expensive to implement. However, IMDEC, the most outspoken NGO against the project, released public information of mounting costs of the Zapotillo project: the Zapotillo project’s original budget (2006) was USD 750 million (USD 1,250 million in today’s value), which according to official estimates has increased to USD 1,800 million (IMDEC, 2019). Considering these escalating costs, the NGO argues that demand management solutions (i.e. reduction of physical losses) could be more economical than this large infrastructure and without its large social costs.

A key anonymous actor opposing the project (personal comm. 15/05/2017) pointed out that officials of Jalisco’s water authority are not interested in demand management strategies, because they benefit the interests of large real estate companies who need more water rights to keep building housing developments, “it is the nature of capitalism, to keep growing [...] this [the Zapotillo conflict] is actually a class conflict.”

4.12.2 Negative consequences for the donor basin

In the past decades Los Altos has experienced two major socio-economic changes. First, a decreasing rural population due to migration to the United States (Durand and Arias, 2014) and to nearby cities in Jalisco. Second, the increasing industrialization of the regional economy. In the 1990s, Mexico liberalized its markets and supported agriculture for export. ~~This industrialized~~ These policies helped industrialize the agricultural sector of Los Altos (Cervantes-Escoto et al., 2001). Currently, the region is the second largest producer of animal protein in the country (Ochoa-García et al., 2014), and hosts one of the largest egg producers in the world (WATTA gNet, 2015). This economic development has increased competition for water, especially groundwater, due to the government's restrictions on surface water use (DOF, 2018). Several water users confirmed the existence of a black groundwater market, and groundwater rights ~~hoarding~~ grabbing in hands of industrial farmers. Consequently, most aquifers present serious water balance deficits, which jointly amount to more than 150 hm³/year in Los Altos' aquifers (CEA Jalisco, 2018); and many have presence of selenium, fluoride and arsenic (Hurtado-Jimenez & Gardea-Torresdey, 2005, 2006). As agricultural outputs keep increasing around 9%/year (Ochoa-García et al., 2014), groundwater overexploitation may exacerbate in the future due to an increasing water demand. Although there are no clear numbers on the water balance for surface and groundwater separately, water authorities calculated a combined renewable water availability in the Verde River ~~basin~~ Basin, which also includes groundwater in Aguascalientes (Figure 1), of 1,624 hm³/year, while current water ~~demand was~~ demand was 1,804 hm³/year (Conagua-Semarnat, 2012).

~~Due to the water deficit in the basin~~ The Observatory's leadership has defended the interests Los Altos' farmers by pitching the human right to food as equally important to the human right to water, which is used by Jalisco's government. Due to the water deficit in the basin and the effects of climate change, the technical chair of the Observatory has argued that there is insufficient water in the basin to fill the dam at the planned 105 m height, and that, based on the precautionary principle, the ~~donor basin~~ Verde River Basin should not be burdened with additional commitments due to a water transfer. Additionally, he ~~has~~ stated that water information provided by gauging stations in the Verde River Basin cannot be trusted, as the network of hydrological stations is allegedly defective and unattended.

An interviewee from CEA-Jalisco (personal comm. 20/04/2017) did not deny the possibility of some defective hydrological gauging stations, but claimed that even if it is true that run-off is overestimated in the basin, ~~CEA-Jalisco~~ CEA-Jalisco is confident that the gauging station at the entry point of the dam is reliable. This station has measured an average flow of 599 hm³/year (IMTA, 2015), which is enough to fill the Zapotillo dam in one year at a height of 80 m, or in two years at a height of 105 m. Currently ~~that the Verde River~~ water flows ~~directly~~ to the Santiago River ~~(see Figure 1)~~. Farmer with only minor abstractions (UNOPS, 2017d). However, farmer representatives in Los Altos ~~have~~ stated in a meeting that, ~~even if indeed~~ even if ~~these claimed~~ surface water resources of the Verde River exist, ~~they (they insist that the flow of the river has dramatically decreased over the past years),~~ these should be used to contribute to the potential growth of Los Altos.

The Jalisco's government official addressed this continuous growth of agricultural groundwater demand as the main sustainability problem in the basin, and suggested farmers should become more efficient and stop groundwater over-exploitation (personal comm. 22/05/2017); but such an endeavor might be more complex, as described by a representative of a large industrial protein producer in Los Altos (personal comm. 02/05/2017) "[Groundwater over-exploitation] does not

constrain economic development. [...] If you need water you can get it in the black market. Because of corruption, Conagua cannot stop groundwater over-exploitation.” The procedure to acquire or renew a groundwater right is a legal conundrum that forces farmers to hire ‘coyotes’ (literally: a relative of wolves, here are meant officials within Conagua that illegally ease the procedure for a considerable fee). This situation has forced smallholder farmers to sell their lands for a penny and migrate when they cannot renew their groundwater rights, since as three interviewees confirmed that “a land without water is worthless.” Large producers have the means to hire coyotes and have been grabbing water rights and large portions of land from impoverished farmers.

Regarding the dam’s height and the three communities under threat of displacement, the controversy lies in incompatible values. These communities reasserted their rights of consultation and consent, participation, and the protection of their cultural and historical heritage. In turn, the government of Jalisco reasserted the utilitarian argument of the greatest good for the largest number of people. Temacapulín’s representatives proposed a dam with a height of 60 m, whereby the towns would be safe from floods/flooding. However, a smaller dam would not be able to transfer the agreed volume of water to Guadalajara and León, since the dam’s storage capacity would then be 145 hm³, too small to sustain a steady water transfer of 8.6 m³/s. At a height of 80 m, Temacapulín, Acasico and Palmarejo would be flooded. However, CEA-Jalisco’s representatives claimed that the construction of dikes could prevent this—, albeit only for Temacapulín—and IMDEC, the NGO accompanying the affected communities, and representatives of Temacapulín are against this solution as it would create a huge unnecessary risk for the inhabitants in case of the dikes would fail. Also, a Moreover, an 80 m dam with a capacity of 411 hm³ would not be able to allocate sufficient water for both León and Guadalajara. With a height of 105 m and a storage capacity of 910 hm³, the dam could be potentially supply sufficient water for both Guadalajara, León, and Los Altos.

4.23 Analysis of scientific products

The history of the conflict over the Zapotillo project has created several scientific products that have attempted to address the many uncertainties and risks of a project of this magnitude. But most of them have not analyzed the system in an integrated way. The first one (IMTA, 2005), based on the Mexican norm of NOM-011-CAN-2000, estimated not the feasibility of the dam, but assessed the relationship between its height/the dam’s size and its maximum water extraction/yield. Although this study explored scenarios of future water demand in the donor basin, it did not explore scenarios of the effect of climate change on precipitation patterns, which is officially recognized as likely to decrease in Jalisco (Martínez et al., 2007). Moreover, the study did not consider the role/effect of increasing groundwater over-exploitation in the basin on the base flow of the river. The study recommended the most optimistic scenario where surface water use in the donor basin would not increase in the future. Conagua (2006, 2008) subsequently released the Environmental Impact Assessment of the project, which dismissed any potential negative impact on the donor basin, based on the argument that local farmers have caused already most of the environmental degradation. However, the studies/study analyzed the impact of the dam only at the dam site, not the overall regional impact (CACEGIAEJ, 2018). Later, when the dam design was redesigned to 105 m in 2007, IMTA did not release

515 any complementary study to assess the implications of a larger ~~inundated reservoir~~ area, of ~~including a second~~ an additional water user (Guadalajara)), nor of ~~an increased~~ a higher water allocation.

In 2014, the Los Altos' Animal Farmers Association commissioned ITESO (the Jesuit University in Guadalajara) to study the possible social effects of the water transfer. The study (Ochoa-García et al., 2014) concluded that according to official data the Los Altos region already had a groundwater deficit of more than 100 hm³/year and growing, due to the continuing growth of the agricultural output of the region. It also concluded that, since the region's climate is semi-arid, the region was especially vulnerable to droughts, hence the water transfer project would have serious negative socio-economic and environmental effects. However, the study could not make a surface water assessment nor a climate change analysis due to lack of information. Recently, the Observatory ~~released~~ made public a haphazard ~~hydrological~~ water footprint analysis to assess the water needed for supporting the agricultural activity in the region (Ágora, 2018). It concluded that the water footprint of Los Altos agricultural output was 14,081 hm³/year, therefore the 12 hm³/year allocated to animal farming in the allocation agreement of the Verde River of ~~1997~~ was 1997 was insufficient. However, this argumentation is flawed, since they did not consider that the water footprint of a given agricultural product includes the virtual water imported from other regions in the form of fodder. So, the actual water needed by the region is much less than 14,081 hm³/year.

To counter the study of Ochoa-García et al. (2014), ~~and to prove that there was enough water availability in the basin,~~ CEA-Jalisco ~~released~~ conducted a new water availability study ~~based on the updated Mexican norm NOM-011-CAN-2015~~ (IMTA, 2015). Although this time the study included climate change as a variable in the water resources by using IPCC's regional models based on RCP-4.5 and RCP-8.5 climate scenarios, the ~~same~~ study discarded ~~its~~ the negative effects ~~to~~ of climate change on the ~~surface~~ water balance due to its high uncertainty: "Climate change results should not be analyzed deterministically, but probabilistically... [we should not lose] perspective that climate change studies are still in an early stage, thus, their results cannot be taken as absolute truths, due to their low probability of occurrence... There is no certainty that projected rainfall and temperatures in climate change models will occur." (Our translation from IMTA, 2015: 212). The study did not consider possible future increases in water demand nor evaluated the dam's behavior according to input variables (river run-off) and output variables (water allocation and other losses). As a result, the study could conclude that sufficient water was available in the Verde River Basin to comply with the water allocation agreement and environmental flows for the coming decades. The study was discredited by the leadership of the Observatory, who accused IMTA of allegedly forging data.

What can be concluded from the previous studies is that there were at least four important uncertainties that were still ignored: (1) physical groundwater processes and ~~its~~ the interaction ~~with~~ between groundwater and surface water in the Verde River ~~basin~~ Basin, (2) the effect of future water demand in Los Altos' water resources, (3) the effect of climate change, and (4) potential impact on water quality and ecosystem services downstream in the Santiago River. Moreover, the studies did not consider other possible alternatives to the Zapotillo project for water supply to Guadalajara and León. ~~In~~ As previously mentioned, in late ~~2015~~ 2014, Jalisco's government hired UNOPS to develop a comprehensive water resources model of the Verde River Basin. ~~For Jalisco's government, UNOPS scientific role would represent a milestone in the history of science policy processes in Mexico, because of its alleged impartiality and technical capacity to analyze these uncertainties~~

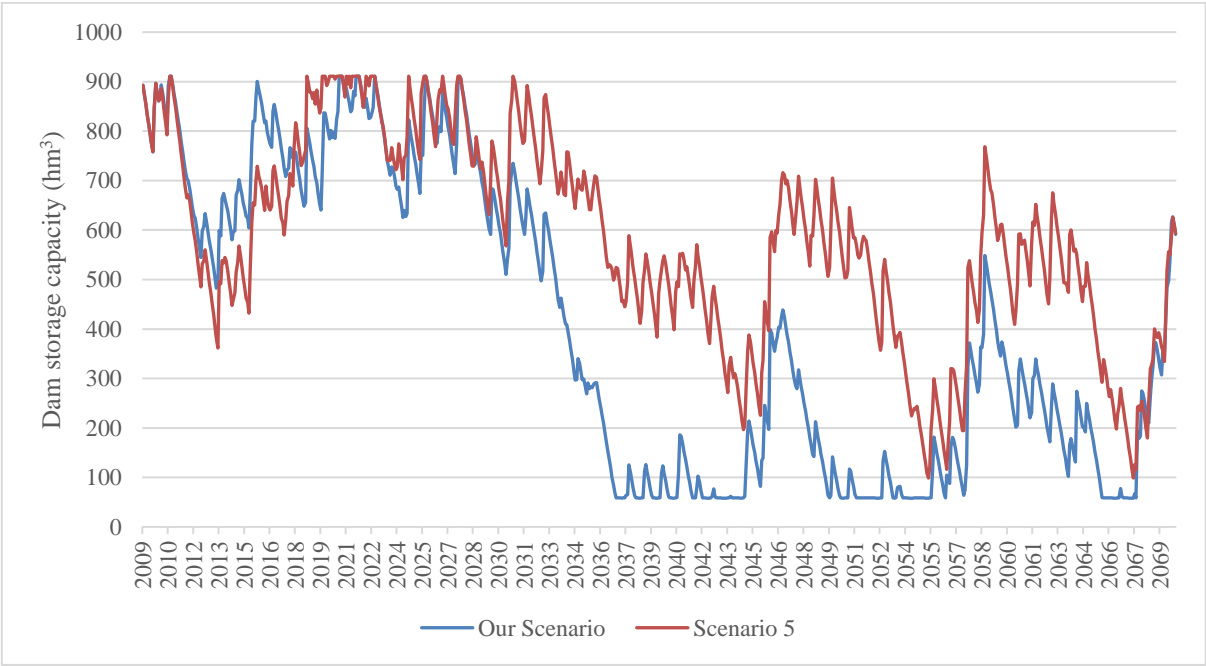
~~and make a depoliticized evidence-based decision.~~ UNOPS' multidisciplinary team of international experts addressed the four
550 uncertainties in the following way. 1) They analyzed groundwater dynamics by using information from NASA's GRACE earth
observation project. 2) For two years, the team collected social and hydrological information in situ from the Verde River
Basin to ~~calculate~~estimate current water demand and project future water demand. 3) They used IPCC's RCP-8.5 regional
model of climate change for Los Altos; ~~i.e. the worst case scenario.~~ And 4), they calculated environmental flows downstream
of the Zapotillo dam. These analyses were used as input variables for the water resources model of the Verde River ~~basin~~Basin
555 using WEAP software, which allowed ~~to simulate~~the simulation of future scenarios- (for a more detailed description of the
model see supplementary material).

After months of speculation over UNOPS' results, the team released a preliminary study ~~where they, which~~ found ~~a 50%~~
~~increased that current~~ water demand was 50% higher compared to ~~current~~ official data (UNOPS, 2017b). ~~A year~~2017c).
Months later, they presented the final results in a public meeting (29 June 2017). The UNOPS team developed five main
560 scenarios ~~which alternated with~~ different variables (see Figure 32). Although UNOPS' team could have developed many other
scenarios with different variables, the report of the study justified choosing these five scenarios in the following way "the
definition of the number of scenarios is not absolute, but may be subject to future changes at any time that it is required to
attend to different questions from those raised in the framework of this study [...] Specifically, it is interesting to know under
which configuration of the dam's height and volume of water transfer can guarantee [the satisfaction of] water demand and
565 what percentage of satisfaction corresponds to it, which leads to justifying technically the presence of the dam and its geometric
configuration. It is important to be clear that this focus considers only the hydrological aspects related to the satisfaction of
demands. Any other conclusion about the configuration of the Zapotillo project needs to be complemented by broader technical
analyses [...] social and economic evaluations, among others, which fall outside the scope of this study." (UNOPS, 2017b: 27-
28). They assessed the performance of each scenario based on reliability (to supply urban water), vulnerability (volume of
570 unmet water demand) and resilience (of the dam to recover its water levels after an empty period) indicators. UNOPS' The
UNOPS team concluded that only scenario five satisfied all these scored positively on the three indicators. However, the good
performance of scenario five (Figure 32) depended on reducing by 13% the volume of water to be transferred to León,
Guadalajara, and Los Altos in accordance with the 2007 agreement. UNOPS' The UNOPS team recommended Jalisco's
government to proceed with the project with such settings and a dam height of 105 m. Jalisco's governor immediately
575 confirmed this decision during the public ~~meeting~~presentation of the results: "We are going after the benefit of the majority
and what Jalisco needs [...] May history single me out for being the harbinger of the services that our people need."
~~The results were controversial because under this scenario Temacapulín would be flooded. Despite this, the~~The consultants
immediately left the venue after the presentation, leaving no time to discuss with the attending stakeholders the key
assumptions of the model, nor the justification and relevance of the five scenarios. Temacapulín's representatives ~~responded~~
580 ~~negatively and took over the podium to declare their distrust in UNOPS and its results.~~reacted negatively, as their community
would be flooded, and took over the podium and declared: "[The government] paid 4.6 million dollars for this stupid study,
it's not a real study, it is a study of lies." (our translation). Later, Temacapulín's representatives demonstrated in front of

Jalisco's government main building and declared that "We do not accept the UNOPS team's recommendation because the decision was made beforehand [...] [the UNOPS' team] did not research for alternatives, all the variables referred to the dam." (our translation).

The local academics criticized UNOPS's the UNOPS team's study for not considering climate change nor future water demand in scenario five, the limitations of the chosen indicators, and the still incomplete assessment of groundwater given the low reliability of GRACE's coarse spatial resolution data. Members of the Observatory interpreted these omissions in the study as deliberate, ~~alleging that the UNOPS experts, who were financed by Jalisco's government, would have never recommended a solution against the Zapotillo project.~~ "[T]hey applied a methodology that was biased to get the results that we heard [in the presentation]: a 105 m dam [...] It makes me worried that organizations like this [UNOPS] be used to do this kind of research [...] We will surely present a formal complaint in the United Nations." (this is an excerpt from a public interview with the head of the Observatory, Radio UdeG Guadalajara, 2017, our translation).

To explore the possibility of a deliberate omission, Figure 45 shows a comparison between scenario 5 and our own scenario, which configures a scenario with the allocation variables of scenario 5 and the climate change and future water demand variables of scenario 4, as described in section 3 and illustrated in Figure 32. The results show a poor performance of the Zapotillo dam's projected storage and the three indicators chosen by UNOPS (Figure 56); whereas scenario 5 shows all three indicators (reliability, vulnerability, and resilience) on target, our scenario results into substantially lower performance, notably on vulnerability and resilience. Therefore, the poor results of these indicators do not seem to justify the implementation of the Zapotillo project as it is currently designed.



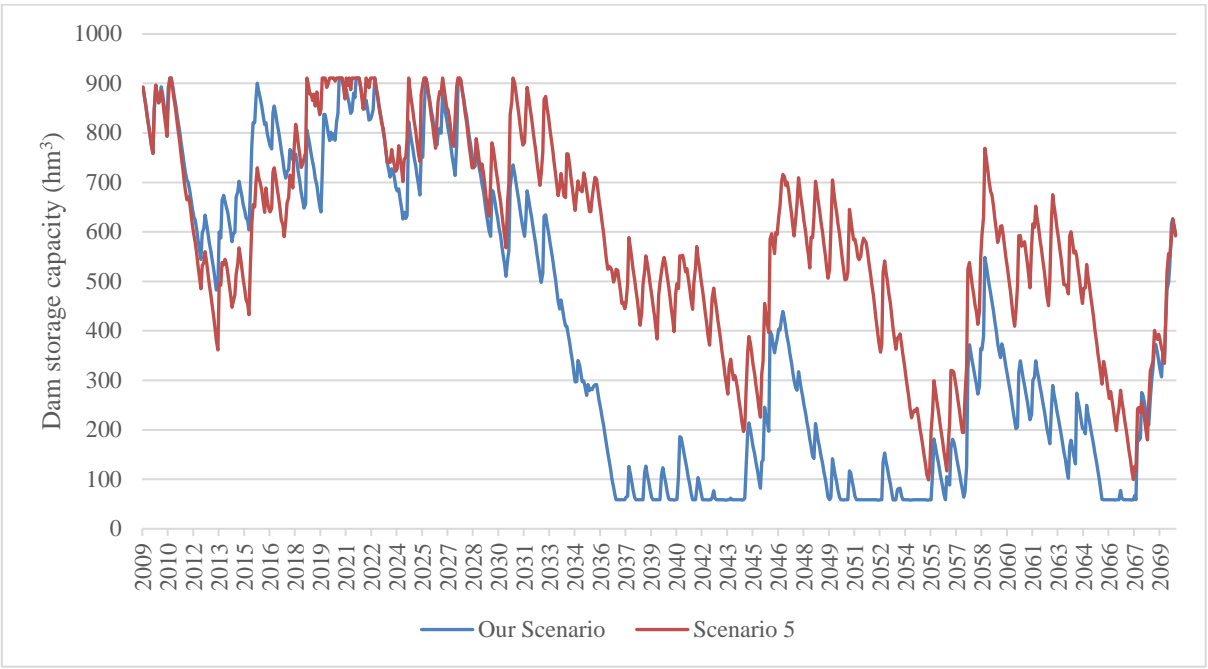
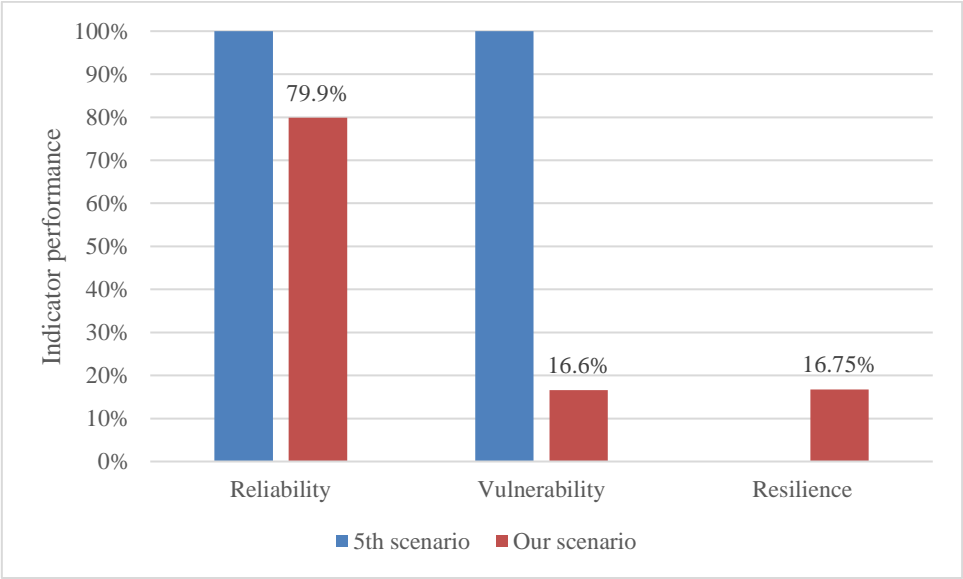


Figure 45: Comparison of Zapotillo Dam’s behavior in scenario 5 (UNOPS, [2017a](#)[2017b](#)) and our scenario, which includes climate change and future water demand.



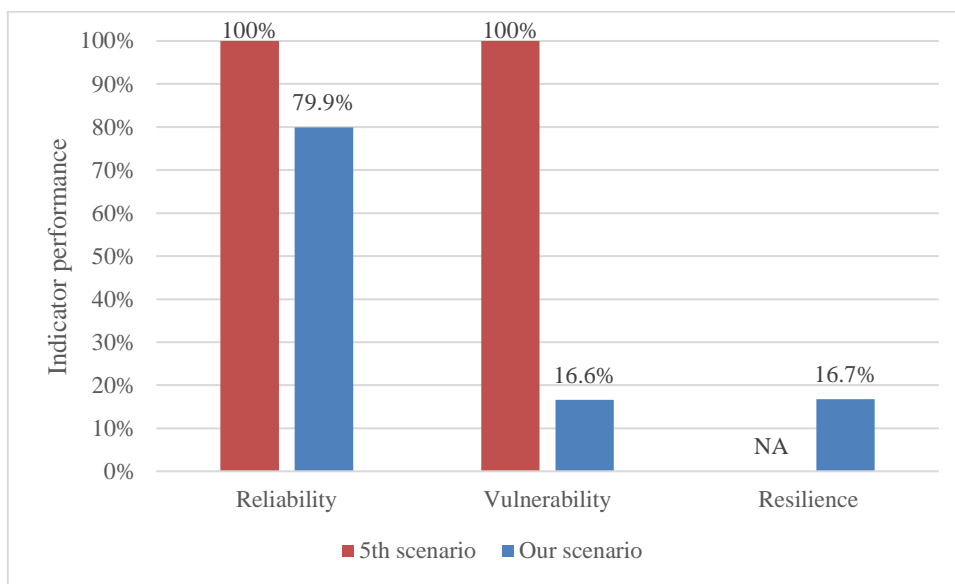


Figure 56. Performance of the indicators for the two scenarios.⁷

5 Discussion

Since large infrastructural projects are still depicted as the main solution to current water problems (Muller et al., 2015; Boelens et al., 2019), it is important to critically assess the uncertainties embedded in the scientific products that support such projects in the face of the social and environmental costs they can cause. In the case of the Zapotillo project, we found that although a lot of efforts were substantial effort had been made to reduce uncertainties, the those efforts were directed towards reducing uncertainties of accuracy and precision, not which partially addressed epistemic uncertainties nor, but not the ambiguity of multiple frames: is supply augmentation the only solution for Guadalajara and León or are there alternative solutions?. Should the benefit of the majority trump the rights of a minority? The UNOPS team of experts improved the assessment of groundwater dynamics four uncertainties: climate change, future water demand, climate change groundwater dynamics and environmental flows in the Verde River basin, but Basin. It however did not improve the understanding of the Zapotillo project was adequate project's adequacy to improve the urban water problems of Guadalajara and León, nor of how and to what extent the Zapotillo project would negatively affect stakeholders in the donor region.

Regarding the efforts to reduce the four uncertainties of accuracy and precision identified in the previous section, the UNOPS study improved the knowledge of the system, but not without caveats. Since the effects of climate change depend on the severity (moderate or extreme) of the chosen IPCC climate scenarios, IMTA and the water authorities seemed doubtful to accept that this uncertainty in their decision-making, and removed climate change as a factor to consider when developing large

⁷ NA (not applicable): the resilience indicator only applies when the scenario projects the water storage in the dam to reach the minimum level, impeding water supply to its users.

hydraulic infrastructure. The water balance assessment by UNOPS (2017b, 2017c) found that Conagua was underestimating water demand and revealed a serious over-exploitation of surface and groundwater in the Verde River basin. Hence Basin. Given the difficulty to properly estimate current water demand, future water demand becomes/became a large uncertainty since Conagua cannot properly estimate current water demand. The third uncertainty is still largely unresolved: the groundwater situation in the Verde River basin Basin. Conagua lacks sufficient measuring infrastructure to gauge the state of the aquifers, and there are no long-term series of groundwater levels available. Also, UNOPS's use of earth observation (GRACE) to estimate groundwater added little new information. ~~It; it~~ may even have been inappropriate, given the very coarse spatial resolution of GRACE, rendering it only suitable for very large aquifers, much larger than the Verde River basin Basin aquifers. (Castellazzi et al., 2018; Vishwakarma et al., 2018). Finally, as all previous studies, ~~this~~ UNOPS' study also ignored possible downstream effects of the dam beyond the city of Guadalajara and until the natural outlet of the receiving Santiago basin Basin in the Pacific.

Regarding Since the epistemic uncertainties, since UNOPS team did not address the epistemic controversies and ambiguity related to the (un)feasibility of the project, the possible alternatives for water supply in the recipient regions, the possible negative effects in the donor basin, and the injustice and unfair treatment of communities in the vicinity of the dam, the results of UNOPS' study remained contentious and mistrusted. Considering the goal of urban water security, UNOPS' model seemed to answer the wrong research question to address the ambiguity of the conflict: how to optimize the management and operation of the Zapotillo project. ~~to guarantee the satisfaction of water demand in Guadalajara and León.~~ Deciding this research question ~~is was~~ a political choice that determined the outcome of the research, since it ~~implies~~ implied that the decision to proceed with the infrastructure is already taken, and that the only valuable decision criteria are those related to optimizing the water supply to Guadalajara and León with that infrastructure, leaving other controversies described in this paper unaddressed. The reaction of actors to the UNOPS' study is clear; their impression is that the study and research was restricted only to the dam configuration, which was only one issue, among many, of the problem and the conflict.

The importance of asking the right question is highlighted by DFID (2013) and Feldman and Ingram (2009) ~~argued who argue~~ that the impact of research ~~that and development may decrease when it~~ lacks a deliberative process with stakeholders, including in the definition of what the research questions are, ~~may decrease its impact. We argue that this applies to development projects as well. Since.~~ Additionally, Krueger et al. (2016) state that it also leads to poor policies and contravening the rights of stakeholders to participate (Krueger et al., 2016). In general and since the 1990s, research ~~have has~~ been consistent in promoting knowledge co-production to solve pressing and disputed environmental problems (i.e. Funtowicz & Ravetz 1994; Van Cauwenbergh, 2008; Brugnach et al., 2011; Islam & Susskind, 2015; Armitage et al. 2015; Norström et al. 2020). The UNOPS team therefore missed the opportunity for answering a much more relevant question for all actors in the conflict: ~~and~~ based on decision criteria (and indicators) agreed by all stakeholders; how does the Zapotillo project compare to alternative solutions for creating a sustainable and socially just urban water system?

The knowledge generated by the UNOPS team effectively filtered out other feasible solutions to the water problems of the three regions in conflict and did not take into consideration downstream users nor environmental flows for the Santiago River.

If the goal is to achieve water security and solve a water conflict, then it was not justified to restrict the research and modelling to supply augmentation byscenarios with the Zapotillo project. According to the best social and hydrological knowledge available, it can be inferred from our scenario that there are insufficient surface water resources to satisfy the demand of the three regions' explosive demographic and agricultural-economic growth, which means that at least one region will continue to unsustainably deplete its groundwater resources. In fact, UNOPS fifth scenario generated positive results only because it considered null demographic and economic growth for the future and did not consider climate change in the Verde River Basin.

~~The case~~The case and the persistence of the conflict blocking the dam project, shows that water authorities have lost their power to impose their decisions and need the support and legitimacy of the incumbent social actors in the donor region. Given the absence of a legitimate authority to enforce decisions, actors from the three regions have entered the knowledge arena to build their cases that support their interests. Norström et al. (2020) proposed that pluralistic, goal-oriented, interactive, and context-based knowledge co-production can improve system understanding and reduce conflicts. The opposite also seems to be true - when actors in conflict produce knowledge only in relation to their interests and in isolation, they reinforce their frame and lose the overall perspective of emerging problems in the coupled water-human system at hand. In those cases, science is not able to depoliticize the conflict, but instead the conflict ends up politicizing the science-policy process. This became evident when most actors in the conflict produced or claimed unverifiable knowledge, which was never put to the test. In contexts of conflict, creating agonistic spaces to test knowledge is an important process to positively challenge knowledge claims and stakeholders' frames (Krueger et al., 2016). However, there was a lack of systematic analysis, methodological transparency and open discussion from which firm conclusions could be drawn from the side of both the water authorities and opposing actors like the Observatory, academics, communities, and the NGOs. Especially the Observatory produced unverifiable but allegedly scientific knowledge that hardened the multiple frames at play and contributed to an increased ambiguity and partisan science.

Although the conflict is related to the control of surface water resources, groundwater seems to be a defining issue and emerging problem in the conflict. The three regions are competing for limited surface water resources aimed at protecting their available groundwater resources and their current and future demographic and economic growth. However, given the heavy reliance on groundwater for water supply, other threats seem to have been overlooked. Water quality and land subsidence has been almost absent in the debate, even though there is increasing evidence that groundwater quality is rapidly declining and land subsidence is increasing as over-exploitation intensifies (for Guadalajara see Hernández-Antonio et al., 2015; Morán-Ramírez et al., 2016; Mählknecht et al., 2017; for León see Villalobos-Aragón et al., 2012; Cortés et al., 2015; Hoogesteger & Wester, 2017; and for Los Altos see Hurtado-Jiménez & Gardea-Torresdey, 2005, 2006, 2007).

This case study serves as a cautionary tale for actors in a water conflict, who are embroiled not in solving the problem, but in implementing their own preferred solution. Madani (2010) warned that the behavior of non-cooperative actors might result in a worse condition for all. Although science has the potential to bridge the positions of actors, it can also be misused by

hegemonic actors to support their own solutions. However, as this case exemplifies, that can be counter-productive and backfire instead.

6 Conclusions

This paper sought to scrutinize and unravel the entanglement of politics and science in the production of water knowledge for intractable conflicts, by analyzing the case of the Zapotillo conflict in Mexico. The conflict is defined by epistemic uncertainties, ambiguity, and incompatibility of values. The first two consist of several knowledge controversies regarding water availability and the negative effects of the water transfer and dam construction in the donor basin, and the possible alternatives to supply augmentation strategies in the recipient basins. The latter consists of a dispute over the distribution of the environmental, social, and economic costs and benefits derived of the Zapotillo project.

This study has two main findings. 1) Intractable water conflicts tend to isolate the process of knowledge production, which ~~then creates knowledge controversies.~~ foregrounds issues that are politically convenient for each actor, while other issues, perhaps more important for sustainability (like groundwater over-exploitation) are concealed and remain unaddressed. And, 2) isolated knowledge ~~have~~ has less potential for transforming the conflict by missing core epistemic uncertainties and pushing value-laden knowledge claims as facts. After analyzing the model of UNOPS, we found that its research team made a significant contribution to knowledge by reducing uncertainties related to precision and accuracy of future water demand, climate change, groundwater dynamics and ecological flow. But the team failed to address epistemic uncertainty around emerging problems induced by groundwater over-exploitation as well as ambiguity related to the negative effects in the donor basin and more sustainable and socially just alternatives to the Zapotillo project. We found some indications that the UNOPS team indulged into what Boelens et al. (2019) call the manufacture of ignorance, by recommending Jalisco's government to build a 105 m dam without taking into account climate change, future water demand, nor alternative water supply options. But this result may also be explained by the absence of efforts by the UNOPS team to facilitate the co-production of knowledge. ~~AsSo, even if the UNOPS team never organized workshops with the stakeholders to design the research, except for Jalisco's government, the research results did not contribute to reduce epistemic uncertainties nor to handling the ambiguity of different frames. So, even if UNOPS did not deliberately indulge in the manufacture of ignorance, their~~ by building a water resources model based on political interests, its research suffered from tunnel vision by inadequately managing the ambiguity of the conflict. Nevertheless, the mere suspicion of deliberate manufacture of ignorance was enough to discredit UNOPS results by most stakeholders.

However, contrary to the conclusion of Boelens et al. (2019), deliberate production of biased knowledge ~~with epistemic uncertainties~~ is not exclusive to powerful actors. Instead, this kind of knowledge was produced by most of the actors in the conflict. ~~There was a lack of systematic analysis, methodological transparency and open discussion from which firm conclusions could be drawn from the side of both the water authorities and opposing actors like the Observatory, communities~~

and the NGOs. Especially the Observatory produced unverifiable but allegedly scientific knowledge that hardened the multiple frames at play and contributed to increased ambiguity.

We conclude that science has the potential to reduce the intractability of a water conflict, and contribute to its transformation, but only if science is carried out in an open and participatory manner (Voinov & Gaddis, 2008; Armitage et al., 2015; Baseo-Carrera et al., 2017; Norström et al., 2020), and by collaboratively bringing about research questions that address the interests of all relevant actors. There is an urgent need to design water resources models in a more open way to allow the participation of stakeholders and legitimize the data used in them (Islam & Susskind, 2018). This can allow the revision of alternatives to large infrastructures (Van der Zaag & Gupta, 2008), such as demand-oriented alternatives in the domestic, industrial and agricultural sectors, link them within an integrated basin management framework, and systematically compare them with the proposed centralized supply side infrastructure options. This might not be a panacea against vested interests (Molle, 2008), but can be an improvement to identify arbitrary decisions in public policies by hegemonic actors.

Nonetheless, social movements, often regarded as weak actors, already represent a force to be reckoned with in the water sector, yet many still lack technical knowledge to propose feasible alternatives. Building technical capacities of stakeholders and the general public through reiterated and interactive stakeholder workshops can allow for in depth discussions on the nature of the problem, alternative solutions, risks, and epistemic uncertainties, as discussed by Lejano & Ingram (2009), Di Baldassarre et al. (2016), Van Cauwenbergh et al. (2018), van der Molen (2018) and Norström et al., (2020). Therefore, knowledge by itself cannot solve conflicts, since it needs to be trusted. And a process of knowledge co-production can offer that; one that engages not only technical issues, but also social ones: recognizing interdependencies, fostering good relationships and working together through boundary objects (Brugnach & Ingram, 2012). This effort is not only recommended to water authorities, but also boundary organizations such as the Observatory, who all lack transparency in their practices and willingness to work together. Without bridging open science through co-production of knowledge and capacity building of non-technical actors in a water conflict, partisan science and epistemic controversies will remain a recurring issue in intractable water conflicts against the urgency of providing reliable and sustainable water to all.

Returning to the original question whether science can depoliticize conflicts or whether science is politicized in the process, this case has shown that attempting to depoliticize science-policy processes is very difficult, since these processes are inherently political. Moreover, involving alleged neutral - or apolitical - third parties to depoliticize scientific knowledge to resolve water conflicts can backfire if they act - or are perceived - as stealth advocates of political interests. However, we identified two elements that can contribute to a possible transformation of the conflict and management of such politicization. First, scientists in contexts of conflict should be aware of not promoting specific solutions, since that is the role of the political actors. When scientists assume the role of “honest broker of policy alternatives” (Pielke, 2007), it restrains them from offering a specific course of action and compels them to expand the scope of choice for the actors in the conflict. And second, to promote social mechanisms to filter as much as possible which knowledge claims are more value-laden, and which are less so, particularly in contexts of conflict and high uncertainties. There is an urgent need to design water resources models in a more

755 open way to allow the participation of stakeholders and legitimize the data used in them (Islam & Susskind, 2018) as well as
the values hidden in them; this can support the necessary task of reviewing alternatives to large infrastructures (Van der Zaag
& Gupta, 2008). Additionally, fostering stakeholder participation could collaboratively bring about socially relevant research
questions that open the decision space (Voinov & Gaddis, 2008; Zimmerer, 2008; Budds, 2009; Lejano & Ingram, 2009;
760 Brugnach et al., 2011; Blöschl et al., 2013; Armitage et al., 2015; Basco-Carrera et al., 2017; Van Cauwenbergh et al., 2018;
van der Molen, 2018; Norstöm et al., 2020). However, since participation could present some pitfalls (i.e. Mosse, 2001;
Godinez Madrigal et al., 2019), Krueger et al. (2016) recommend to test each actor's claims and preconceptions through object-
based processes (i.e. maps and models, see also Brugnach & Ingram, 2012) to co-produce knowledge beyond discourse.
Brugnach et al. (2011) support this as one of the main strategies to handle ambiguity, albeit with the drawback of necessary
765 high social skills to bring people together, which, in a context of conflict, is difficult to achieve. However, despite this
difficulty, attempting such an effort could already improve the capacity to innovate by incorporating new perspectives, as
suggested by Brugnach et al. (2008), and by identifying arbitrary decisions in public policies by hegemonic actors. Such
transparency could decrease the capacity of powerful actors to capture the science-policy process. However, further research
is needed to evaluate if co-production of knowledge can bring about cooperation and consensus between the stakeholders and
limit the influence of politics and vested interests in decision-making in water conflicts.

770 **Data availability**

The reader can access the Verde River ~~basin~~**Basin** model developed by the UNOPS team of experts and modified by the authors at: <https://github.com/jongmadrigal/Verde-River-Basin>. Although the model is only accessible through the software WEAP (www.weap21.org), it is possible to download the software for free and run its test version to replicate this article's findings.

775 **Competing interests:** The authors declare that they have no conflict of interest.

Author contribution: Conceptualization, JGM, NVC and PvdZ; Data curation, JGM; Formal analysis, JGM; Investigation, JGM and NVC; Methodology, JGM, NVC and PvdZ; Software, JGM; Supervision, NVC and PvdZ; Writing—original draft, JGM; Writing—review & editing, NVC and PvdZ.

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Supplementary material 1. Detailed information of Guadalajara, León and Los Altos.

Table S1: Comparison of water demand and supply for Guadalajara, León and Los Altos (Source: CEA Jalisco, 2018; INEGI 2015; SAPAL, 2018; SIAPA, 2017; UNOPS, 2017)

	Population ¹	Total water supplied and per capita	Water source	Over-exploitation of aquifers ²
León	1.5 million	2.56 m ³ /s (81 hm ³ /year) 140 l/cap/d	<ul style="list-style-type: none"> – Aquifers 2.42 m³/s (76.6 hm³/year) 95.3% – Palote Dam 0.13 m³/year (4.2 hm³/year) 4.7% 	Deficit in Valle de León and Romita aquifers = 177 hm ³ /year
Guadalajara³	4.2 million	9.97 m ³ /s (313 hm ³ /year) 207 l/cap/day	<ul style="list-style-type: none"> – Lake Chapala 5.97 m³/s (188.5 hm³/year) 60% – Aquifers 2.72 m³/s (85.8 hm³/year) 27% – Calderón Dam 1.1 m³/s (35.4 hm³/year) 11% – Local springs 0.14 m³/s (4.6 hm³/year) 2% 	Deficit in Atemajac and Toluquilla aquifers = 84.5 hm ³ /year
Los Altos	0.8 million	4.6 m ³ /s (146 hm ³ /year) 493 l/cap/d	<ul style="list-style-type: none"> – Groundwater 1.49 m³/s (46.9 hm³/year) – Surface water 3.14 m³/s (99 hm³/year) 	Deficit in 17 aquifers = 155 hm ³ /year

1. This data is from 2015, the latest official data available.
2. The overexploitation counts all aquifer users, including agriculture and industry.
3. We consider only the municipalities of Guadalajara, Zapopan, Tonalá and Tlaquepaque.

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Supplementary material 2. Detailed information on the key actors in the conflict.

Figure 4 show the position of key actors vis-à-vis the Zapotillo dam project. Each actor's position depended on some factors described in Table S2. If an actor is a key and direct stakeholder of the project, lobbied for or against the project, and has publicly condemned or be in favor of the project, it is at the extreme of the spectrum.

Table S2. Detailed information on the key actors in the conflict.

Actor	Sector	Position
Universidad de Guanajuato	Academy	Although the university has not released any public position on the project, many of their academics and research groups have positioned themselves against the project, arguing that the project is not a reliable solution for León's water scarcity.
Acción Colectiva	NGO	This NGO's area of influence is Guanajuato and promotes democratization of decision-making on water resources. They are against the project because they see it as an imposition.
Guanajuato's government and State water authorities	Government	This actor has been consistently lobbying for the project throughout many administrations since the 1990s.
León municipality and SAPAL	Government	This is León's water utility; it has publicly declared to be in favour of the Zapotillo project, because it considers it central to the city's future water security.
Guanajuato's business associations	Social actor	Most of Guanajuato's business associations have publicly declared their support for the Zapotillo project, arguing that it is instrumental for León's development.
ITESO (Jesuit University of Guadalajara)	Academy	This university has publicly released their position against the project, arguing that it is not based on principles of Integrated Water Resources Management nor respect to human rights, and that Mexican society should transit to a new water management approach based on demand management, instead of large infrastructure.
Universidad de Guadalajara	Academy	This university has publicly released their position against the project, arguing that the project is unfeasible based on the increasing water scarcity and higher temperatures in the donor basin, as well as the absence of an environmental management plan of the region.
Temacapulín	Social actor	As one of the central actors of the conflict, Temacapulín's representatives have always been against the Zapotillo dam to protect their communities from forced displacement.
IMDEC	NGO	IMDEC is an NGO that has been supporting the affected communities of Temacapulín, Acasico and Palmarejo since the start of the Zapotillo project.
Colectivo COA	NGO	This NGO specializes in legal support for affected communities of large projects. As such, they have provided legal support to Temacapulín, Acasico and Palmarejo.
Animal farmers' associations of Los Altos	Social actor	Some associations from Los Altos have publicly been against the water transfer, arguing that the region is already affected by water scarcity, but not necessarily against the dam. They lack consensus among the many municipalities within the region.
Observatory	Social actor	Although recently created, the Observatory has been adamantly against the water transfer, arguing that the donor basin is already affected by water scarcity and that the region is the most important producer of animal protein in the country. The Observatory's members have

		changed over time, but some of its core members are representatives of University of Guadalajara, Animal Farmers Associations of Los Altos, representatives of the catholic church from Los Altos, business and industry associations, local universities (ITESO, UNIVA), and civil society organizations.
Universidad Panamericana	Academy	This university has publicly released their position in favour of the project, arguing that it is key to the water security for Guadalajara, León and Los Altos.
Universidad Autónoma de Guadalajara	Academy	This university has publicly released their position in favour of the project, arguing that domestic use has priority over other kinds of uses.
Chamber of the industry of construction of Jalisco	Social actor	This actor has publicly released their position in favour of the project, arguing that the benefit of the majorities should prevail over the benefit of the minorities.
College of civil engineers of Jalisco	Social actor	This actor has publicly released their position in favour of the project, arguing that it is the only feasible solution to guarantee water supply to Guadalajara, León and Los Altos.
SIAPA	Government	Guadalajara's water utility has publicly declared its support to the project as a key element in the city's water security.
Jalisco's government and State water authority	Government	Its position has changed over time from supporting different configurations of the Zapotillo project, but always supported the project.
Conagua	Federal government	The official position has changed from implicitly favoring the project to being neutral when the latest administration and presidency took over (December 2018).
IMTA	Government	The official position has changed from implicitly favoring the project to being neutral when the latest administration and presidency took over (December 2018).
Municipality of Cañadas de Obregón	Government	This is the municipality where the Zapotillo dam is located. Because the duration of local administrations lasts only three years, there has been many administrations throughout the conflict. Some mayors have shown support for the communities of Temacapulín, Acasico and Palmarejo, while others have remained neutral, or at least kept a low profile.

Supplementary material 3. Detailed information of the UNOPS' Verde River Basin model.

UNOPS model of the Verde River basin is developed in WEAP software. This is a water planning software, functioning with the principle of water balance accounting. The software analyses the diverse water supply sources, as well as the withdrawal and transmission to water demand nodes. To start the analysis the software needs a "time frame, spatial boundary, system components and the configuration of the problem." (WEAP, 2020). The software uses two main features to analyze the water resources system. One, called 'Current accounts' analyses the present water demand, resources and supplies based on economic, demographic, hydrological trends to present a snapshot of a business-as-usual scenario. The other explores scenarios to evaluate different strategies such as supply augmentation or demand management.

To create the model, it is necessary to delimit the area and establish the system boundaries. UNOPS first delimited the study area to that of the Verde River Basin, which was 21,495 km². The main natural variables that condition the models is percolation, precipitation, run-off, evapotranspiration, infiltration and interflow, while the variables derived from manmade interventions are reservoirs, groundwater draft, water transfers, water demand, derived flows and return flows. UNOPS used the data of Table S3 to fill these variables. The basic parameters used by

WEAP are the monthly variation of demand, climate data, and then uses the MABIA water balance method to compute the water balance. This method is based on the two-bucket structure that processes the root zone as the top bucket and what is below the root zone as the bottom bucket (representing groundwater). The model proceeds to process 8 necessary steps to compute the water balance: 1) reference evapotranspiration, 2) soil water capacity, 3) basal crop coefficient, 4) evaporation coefficient, 5) potential and actual crop evapotranspiration, 6) water balance of the root zone, 7) irrigation, and 8) yield. Groundwater is calculated through nodes that compute the natural recharge flows (the top bucket), demand returns, infiltration losses from aqueducts and reservoirs and river recharges as flows that replenish the groundwater storage, and groundwater draft and base flows are computed as flows that deplete groundwater storage.

Then, since the software is configured to create semi-distributed models, UNOPS created 18 sub-regions that were characterized by a similar climate by using data mentioned in Table S3 through GIS extrapolation procedures. To ascertain the validity of all this sub-regions, UNOPS integrated the following data layers of all 18 sub-regions: 1) the hydrographic network, 2) the artificial regulation and monitoring system (dams, sluices and hydrometric stations), 3) the overlaps of the limits of aquifers with the density of surface and groundwater extractions, 4) soil and land cover characteristics, and the climatic distribution within the basin (UNOPS, 2017).

For the first layer, UNOPS used specialized algorithms to process in a digital elevation model a hydrographic network. For the second layer, the model used CONAGUA's observed data of 7 reservoirs (flows, volume and storage levels) and 8 hydrometric stations; this data was used to calibrate the model. For the third layers, CONAGUA's georeferenced database of water rights (REPDA) was used to determine hotspot areas of groundwater draft. With the fourth layer the model was able to process the relation with the upper and bottom buckets of the MABIA water balance method, and used SGM's, SSN's, INEGI's and CONAFOR's data for the soil characteristics, and CLCICOM's and INIFAP's data for the climatic distribution.

Table S3. UNOPS' Verde River Basin model variables (source: UNOPS, 2017).

	Source	Spatial resolution	Temporal resolution
Climate	CLCICOM (CONAGUA-SMN)	315 stations including a buffer of 50 km outside the contour of the basin	Daily (1943-2014)
Hydroclimatology	INIFAP	105 stations including a buffer of 50 km outside the contour of the basin	Monthly (2002-2014)
Hydrometry in rivers and reservoirs	BANDAS (CONAGUA)	Timeseries of 8 hydrometric stations	1941-2016
Groundwater	CONAGUA	Studies of 21 aquifers of the region.	1997-2010
	GRACE (GFZ German Research Centre for Geosciences, Center for Space Research - The University of Texas at Austin and NASA Jet Propulsion Laboratory)	Monthly fields with gravity coefficients at a spatial resolution of 1°x1° ($\approx 11,000 \text{ km}^2$)	2002-2014
Soil and Land cover	INEGI, CONAFOR	Land cover maps 1:50,000, irrigation district maps 1:250,000, and images of SPOT 6 and 7	2012-2016
Water demand	REPDA (CONAGUA)	All georeferenced surface and groundwater rights	2016
Geology	SGM, SSN	Maps 1:50,000 and 1:250,000	2007

Population/returns	INEGI	Population of towns with more than 2,500 people	2010
Digital Elevation Model	CEM v2.0	Raster with resolution of 15m (1:20000)	2013

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