

Effects of spatial resolution of terrain models on modelled discharge and soil loss in Oaxaca, Mexico

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Abstract. The effect of spatial resolution of digital terrain models (DTM) on topography and soil erosion modelling is well documented for low resolutions. Nowadays, the availability of high spatial resolution DTM from unmanned aerial vehicles (UAV) opens new horizons for detailed assessment of soil erosion with hydrological models, but effects of DTM resolution on model outputs at this scale have not been systematically tested. This study combines plot scale soil erosion measurements, UAV-derived DTM, and spatially explicit soil erosion modelling to select an appropriate spatial resolution based on allowable loss of information.

15 During 39 precipitation events, sediment and soil samples were collected on five bounded and unbounded plots and four land covers (forest, fallow, maize, and eroded bare land). Additional soil samples were collected across a 220 ha watershed to generate soil maps. Precipitation was collected by two rain gauges and vegetation was mapped. Two UAV campaigns over the watershed resulted in a 0.60 m spatial resolution DTM used for resampling to 1, 2, 4, 8, and 15 m; and a multispectral orthomosaic to generate a land cover map. The OpenLISEM model was calibrated at plot level at 1 m resolution and then extended to the watershed level at the different DTM resolutions.

20 Resampling the 1 m DTM to lower resolutions resulted in an overall reduction of slope. This reduction was driven by migration of pixels from higher to lower slope values; its magnitude was proportional to resolution. At the watershed outlet, 1 and 2 m resolution models exhibited the largest hydrograph and sedigraph peaks, total runoff and soil loss; they proportionally decreased with resolution. Sedigraphs were more sensitive than hydrographs to spatial resolution, particularly at the highest resolutions. The highest resolution models exhibited a wider range of predicted soil loss due to their larger number of pixels and steeper slopes. The proposed evaluation method showed to be appropriate and transferable for soil erosion modelling studies, indicating that 4 m resolution (< 5 % loss of slope information) was sufficient for describing soil erosion variability at the study site.

1 Introduction

30 The expansion of the agricultural frontier has been identified as one of the factors driving the increase in global agricultural production (FAO, 2013), but this increase has a cost. Removal of natural vegetation due to agricultural expansion results in loss of soil, soil biota, organic matter and nutrients ultimately reducing the productivity of ecosystems (Palm et al., 2007). Up to 24 Pg (1 Pg = 1 bn Mg) of topsoil are lost annually and globally through land degradation (UNCCD, 2017), mainly due to soil erosion costing more than US\$40 billion in lost productivity (UNEP, 2012).

35 There are two main approaches to assess soil erosion: measurement and estimation. Measurement is time and energy consuming and hence often limited to a small number of experimental plots (Wischmeier and Smith, 1978). Estimation, on

the other hand, requires information about influencing factors (precipitation, soil properties, soil surface and topography). To estimate erosion, two types of simulation models, empirical and physically based, are distinguished (Batista et al., 2019; Pandey et al., 2016). Physically based models such as OpenLISEM (Jetten, 2018) or LUCIA (Lippe et al., 2014) aim at capturing relevant processes from two-dimensional plots to three-dimensional landscapes, and from minutes to days in temporal resolution.

Comment [W1]: Added in R1

Model input parameters can be measured in situ or in the laboratory or remotely sensed. At the landscape level, a cost-effective and reliable technique for data acquisition is remote sensing. In hydrologic and soil erosion modelling, required remote sensing datasets include topography (e.g. digital terrain model (DTM)) and spectral imagery to derive land cover maps. Currently, the highest resolution DEM sets, which are free and publicly available, are 30 m (i.e. SRTM and ASTER) with almost global coverage.

Comment [W2]: Deleted in R1: a special case of a Digital Elevation Model (DEM)

UAV technology has been successfully applied in agriculture for crop health monitoring (Loladze et al., 2019), crop height estimation, vegetation segmentation (Hassanein et al., 2018), weed management (Castaldi et al., 2017), crop row detection (Comba et al., 2015), crop phenology, among others, aiming at a cost-effective, low environmental impact agriculture (Hassanein et al., 2018). Current UAV technology offers an increase in spatial resolution of spectral imagery products (tenths of cm) compared to satellite datasets (tenths of meters).

Comment [W3]: Corrected in R1 (originally 10 m)

Hydrologic modelling of relatively large areas using high spatial resolution DTM often implies vast calculations, resulting in large modelling time and storage size. An option to reduce both is to resample to lower resolutions finding a balance between a well-represented topography and realistic modelled processes. Resampling of high resolution DTM to lower resolutions involves loss of information (Olson, 2007), and the magnitude of this loss depends on the heterogeneity of topography and geomorphology (Laso Bayas et al., 2015). Several studies mostly working with SRTM / ASTER and airborne-LIDAR (Hoang et al., 2018; Olson, 2007; Wang et al., 2012; Wu et al., 2005) have shown that spatial resolution of DTM has a significant effect on maximum, mean and standard deviation of elevation and slope: as resolution progressively decreases, ranges of elevation and slope narrow. This impacts hydrological (Hoang et al., 2018) and soil erosion modelling (Wu et al., 2005). The availability of high resolution DTM allows for the evaluation of the effects of different spatial resolutions on topographic characteristics, hydrologic and soil erosion modelling against measured high resolution data. The present study pioneers in the use of both high resolution DTM and multispectral imagery generated from a UAV at hundreds of hectares, combined with plot scale measurements and OpenLISEM modelling, aiming to assess the effect of spatial resolution on modelled soil erosion.

Comment [W4]: Added in R1.

The objectives of this study were to: a) assess the effect of DTM resolution on hydrologic and soil erosion modelling; and b) propose a method to identify an appropriate spatial resolution. To reach these objectives, the three tasks were: (i) calibrate / validate OpenLISEM at the plot level using a 1 m resolution DTM; (ii) adjust the calibrated / validated parameters to the watershed level at five different DTMs' spatial resolution (1, 2, 4, 8 and 15 m); and (iii) identify an appropriate spatial resolution for modelling of the study area.

2 Materials and methods

2.1 Description of the study area

Field data were collected in a 219.6 ha watershed known for severe erosion. Cuauhtemoc watershed lies within Santa Catarina Tayata (S.C. Tayata) municipality in the *Mixteca Alta* region, Oaxaca, Mexico (Fig. 1), where serious soil erosion has been described as an "ecological disaster" (Guerrero-Arenas et al., 2010). The severity of soil erosion is presumably caused by highly erodible soils and inadequate land use / farming practices since precolonial times (Palacio-Prieto et al., 2016).

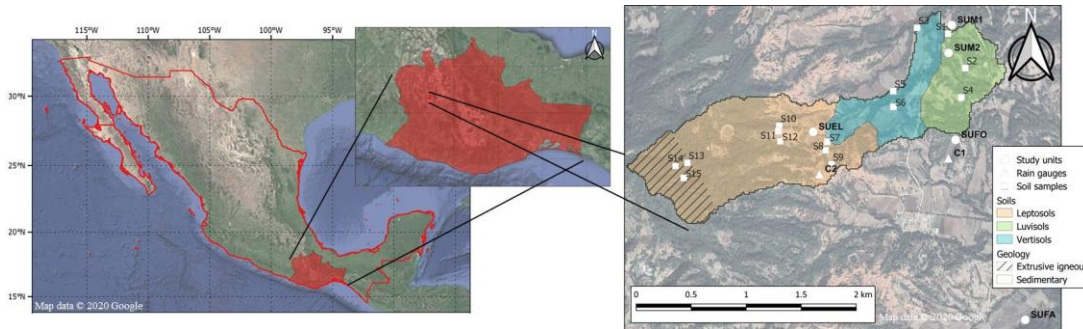


Figure 1. Cuahtemoc watershed (study units, rain gauges, soil samples, soil and geology). Source: (INEGI, 2002, 2013)

Comment [W5]: Increased legend font sizes in R1.

80 The watershed area is dominated by silty and clayey continental sediments (Ferrusquia Villafranca, 1976), which form the highly erodible Yanhuitlan formation (Palacio-Prieto et al., 2016). Dominant reference soil groups in the watershed are (i) Leptosols, i.e. shallow soils formed by erosion, occupying the largest proportion, (ii) Vertisols, deep clayey soils and (iii) Luvisols, soils with Bt horizon of clay illuviation and relatively high base saturation (INEGI, 2014) (Fig. 1). The climate is temperate sub-humid with mean annual temperature between 12 and 18° C and a rainy season from late June to late September (INEGI, 2008).

Comment [W6]: Added in R1.

85 Land cover types within the watershed are representative of those at the municipality level, mainly mature natural forest, eroded bare land, cultivated area, and other covered areas (buildings, roads). Five soil erosion monitoring study units (SU) represented the four main land covers (Fig. 1): Forest (SUFO), eroded bare land (SUEL), maize cultivation on two different slopes and soil types (SUM1 and SUM2), and fallow (SUFA). The term study units includes bounded Wischmeier and Smith plots (4 x 22.1 m) (Wischmeier and Smith, 1978) for SUFO and SUFA and unbounded micro catchments delineated by GPS for SUM1, SUM2 and SUEL.

Comment [W7]: Added in R1.

90 The SUFO plot was installed on a steep slope (29 %) on a Luvisol, slightly outside the watershed under dense pine (*Pinus* sp.) and understory shrub vegetation. The SUFA plot was installed on a former maize field fallowed in 2017. The plot was located on a 15 % slope on a Luvisol 1.7 km from the watershed as it had been installed prior to watershed delineation. SUM1 and SUM2 micro catchments were located on 6 and 12 % slopes and had an area of 1024 and 1061 m², respectively. 95 Soil in SUM1 was less cohesive than in SUM2 (3 and 10 kPa, respectively). Both were mono-cropped with maize of the local variety “Blanco”, planted at 90 x 25 cm on 8 May 2017 and not weeded. SUEL micro catchment had an area of 110.1 m², was located on a 13 % slope, had very low soil cohesion and been bare for many years. Table 1 provides the study units’ characteristics.

100 Table 1: Soil properties at the study units

| Soil sample | Horizon ¹ | Textural class ² | Sand [-] | Silt [-] | Clay [-] | ρ_{bulk} [g cm ⁻³] | α [cm ⁻¹] | n |
|-------------|----------------------|-----------------------------|----------|-----------------|-----------------|--|------------------------------|--------|
| SUFO | 1 | CL | 0.35 | 0.38 (+/- 0.06) | 0.27 (+/- 0.02) | 1.30 (+/- 0.04) | 0.0096 | 1.5116 |
| | 2 | CL | 0.27 | 0.34 (+/- 0.02) | 0.39 (+/- 0.03) | 1.23 (+/- 0.03) | 0.0135 | 1.4106 |
| SUFA | 1 | CL | 0.38 | 0.26 (+/- 0.02) | 0.36 (+/- 0.04) | 1.39 (+/- 0.08) | 0.0153 | 1.3774 |
| | 2 | C | 0.26 | 0.34 (+/- 0.03) | 0.40 (+/- 0.05) | 1.33 (+/- 0.06) | 0.0134 | 1.3967 |
| SUM1 | 1 | SCL | 0.55 | 0.22 (+/- 0.04) | 0.23 (+/- 0.02) | 1.39 (+/- 0.02) | 0.0178 | 1.4236 |

Comment [W8]: Moved here from Annex in R1.

| | | | | | | | | |
|------|---|-----|------|-----------------|-----------------|-----------------|--------|--------|
| | 2 | SCL | 0.54 | 0.19 (+/- 0.02) | 0.27 (+/- 0.02) | 1.27 (+/- 0.04) | 0.0179 | 1.4145 |
| SUM2 | 1 | CL | 0.30 | 0.32 (+/- 0.03) | 0.38 (+/- 0.02) | 1.25 (+/- 0.07) | 0.0137 | 1.4110 |
| | 2 | C | 0.24 | 0.35 (+/- 0.03) | 0.40 (+/- 0.07) | 1.22 (+/- 0.01) | 0.0137 | 1.3970 |
| SUEL | 1 | C | 0.39 | 0.21 (+/- 0.02) | 0.40 (+/- 0.06) | 1.76 (+/- 0.01) | 0.0240 | 1.1817 |
| | 2 | C | 0.39 | 0.20 (+/- 0.03) | 0.41 (+/- 0.05) | 1.82 (+/- 0.05) | 0.0265 | 1.1600 |

Table 1: Soil properties at the study units (cont.)

| Soil sample | Horizon ¹ | Φ [cm ³ cm ⁻³] | θ_{res} [cm ³ cm ⁻³] | K_{sat} [cm day ⁻¹] | Surface roughness [cm] | Cohesion at saturation [kPa] | d_{50} [μ m] | S_f [cm] | Overland roughness [-] | Manning's coefficient |
|-------------|----------------------|--|--|---|---------------------------|---------------------------------|---------------------|------------|---------------------------|-----------------------|
| SUFO | 1 | 0.51 | 0.0772 | 17.58 | 0.7 | 10 | 13 | 40 | 0.130 (N. rangeland) | |
| | 2 | 0.54 | 0.0942 | 26.64 | | 10 | 6 | 40 | | |
| SUFA | 1 | 0.47 | 0.0852 | 12.16 | 0.7 | 10 | 12 | 40 | 0.040 (C. Maize s.) | |
| | 2 | 0.50 | 0.0923 | 15.31 | | 12 | 5 | 35 | | |
| SUM1 | 1 | 0.48 | 0.0670 | 25.79 | 1.0 | 3 | 70 | 25 | 0.070 (C. plough) | |
| | 2 | 0.52 | 0.0763 | 38.75 | | 3 | 70 | 25 | | |
| SUM2 | 1 | 0.53 | 0.0924 | 23.95 | 1.0 | 10 | 7 | 40 | 0.070 (C. plough) | |
| | 2 | 0.54 | 0.0961 | 28.03 | | 12 | 5 | 35 | | |
| SUEL | 1 | 0.34 | 0.0712 | 2.31 | 0.1 | 3 (33) | 9 | 1 | 0.020 (B. Soil, r.d. < | |
| | 2 | 0.31 | 0.0694 | 1.80 | | 3 (33) | 9 | 1 | 25 mm) | |

¹ Horizon 1 from 0-40 cm, horizon 2 from 40-100 cm

² C: Clay; CL: Clay Loam, SCL: Sandy Clay Loam

Notes:

1. Sand, silt and clay fractions and ρ_{bulk} were averages of the subsamples 10 cm depth increments per horizon (soil properties / surface roughness in section 2.2.2)
2. f , Van Genuchten parameters (α and n), Φ , θ_{res} , and K_{sat} were derived from Rosetta using texture and ρ_{bulk} as inputs
3. Cohesion at saturation, S_f , and d_{50} were derived from texture
4. Surface roughness is the average of 5 vertical measurements (soil properties / surface roughness in section 2.2)
5. Overland Manning's roughness coefficient were obtained by primary land use using OpenLISEM Documentation and User Manual (Jetten 2018)

105 2.2 Data collection and processing

2.2.1 Weather

Two automatic rain gauges were installed (Fig. 1), C1 being a Decagon ECRN – 100 connected to a Decagon Em50 data logger and C2 a Pessl iMETOS connected to a HOBO UA-003-64 logger. The volumetric resolution of both rain gauges was 0.2 mm and the logging interval was set to 2 min. The collection period was from 14 May to 10 August 2017. For modelling, a precipitation event was defined as a minimum of 2.0 mm (Miralles et al., 2010) with minimum hiatus of 60 min between events.

Global radiation, air temperature, and relative humidity at 10 min intervals were measured with a Davis weather station model Vantage Pro2 installed in the town of S.C. Tayata, 3 km north of the watershed. Daily global radiation was summed, while air temperature and relative humidity were averaged. Actual evaporation and transpiration were estimated based on the vegetation crop coefficient (K_C), exposed and vegetated soil fractions and the reference crop evapotranspiration (ET_0), calculated using the FAO Penman–Monteith equation (Allen et al., 1998).

2.2.2 Soil properties / surface roughness

One soil profile and two disturbed auger samples at 10 cm depth increments down to 100 cm were collected per study unit in addition to 15 augers throughout the watershed (Fig. 1), based on observation of soil surface characteristics and existing soil maps from INEGI. All samples were analysed for texture, volumetric (θ) and gravimetric (θ_m) soil moisture, bulk density (ρ_{bulk}), and stone surface cover. Derived soil properties were saturated hydraulic conductivity (K_{sat}), average suction at the wetting front (S_f), porosity (Φ), residual soil moisture (θ_{res}), and van Genuchten parameters (f , α and n), cohesion, median particle diameter (d_{50}) and overland/channel Manning's roughness coefficient. Surface roughness was measured in the field. Early in the campaign and for a brief period, volumetric soil moisture (θ) at 20 cm depth was measured at SUFO and SUFA to calibrate infiltration.

For profile description, two soil horizons were determined: 0-40 and 40-100 cm depth given OpenLISEM setting. Texture and volumetric soil moisture were averaged per sampling point and horizon. Soil texture was derived by Pipette method (Black, 1965; Palmer and Troeh, 1977). Gravimetric soil moisture was estimated by the difference between wet and oven-dry samples. Bulk density was calculated as proposed by (Lal and Shukla, 2004; Miyazaki, 2006).

Cohesion values were derived from textural classes based on (Morgan et al., 1998). Median particle diameter was determined by using the 50th percentile in a distribution curve of cumulative particle size interpolating from proportions of texture classes of clay < 2 μm , silt 2-50 μm , and sand 50-2000 μm (Bittelli et al., 2015). Overland and channel Manning's roughness coefficients were derived from OpenLISEM documentation (Jetten, 2018). Aggregate stability, the median number of drops required to decrease the soil aggregate mass by 50 %, was model calibrated.

A set of three 0.9 x 0.9 m sampling squares was installed randomly on every study unit where surface roughness (cm) was determined as average of five vertical measurements from the horizontal profile line to the soil surface at the beginning of the collection period (Lehrsch et al., 1988). As INEGI-based soil units contained a range of soil physical measurements, a soil reclassification was performed by merging both our own transect data and the INEGI soil map (Fig. 1) as described in Appendix A. The resulting map is shown in Fig. 7c.

140 2.2.3 Vegetation

Fraction of vegetation cover (fCover) and leaf area index (LAI) were derived from Sentinel-2 satellite images processed in Sen2Agri version 2.0.1 (Defourny et al., 2019). Eleven images of bottom of atmosphere reflectance (L2A) between 24 April

and 26 September 2017 were processed to generate fCover and LAI maps using a non-linear regression model established by (Weiss et al., 2002). Average values per study unit were plotted against the day of the year (DOY) and least squares polynomial regression equation fitted with NumPy 1.13.3 (Oliphant, 2006). Canopy water storage was estimated as a function of LAI as proposed in OpenLISEM (Jetten, 2018). Vegetation height in SUM and SUFA was measured with a measuring tape as average of all plants within sampling squares at 2-weeks intervals and interpolated with a least square polynomial regression between sampling dates. In SUFO, a constant height of 12 m was visually estimated.

2.2.4 Sediment

150 One sediment collection station was installed at the outlet of each study unit, consisting of a 0.5 m high, 2.0 m wide L-shaped plastic sheet attached to the soil and wooden poles, to trap sediment. Sediments were processed the day after each precipitation event: ponding excess water was removed; wet sediment was weighed to 0.5 kg precision. Above a minimum of 0.25 kg, small sediment amounts (250 to 1 000 g) were collected in plastic bags. Above 3 kg of wet soil, one sub sample of 100 g was taken from every impair sample number. Samples were oven-dried at 105° C until constant weight.

155 2.3 UAV flight campaign and imagery processing: Digital Terrain Model (DTM) and multispectral imagery

The flight campaigns were carried out using a SenseFly fixed-wing eBee plus, equipped with either a multispectral Parrot Sequoia camera, which acquired images in four wavelengths: green (550 nm, 40 nm Full Width at Half Maximum, FWHM), red (660 nm, 40 nm FWHM), red-edge (735 nm, 10 nm FWHM), and near-infrared (790 nm, 40 nm FWHM) with a resolution of 1.2 MP, or an RGB camera SODA with a resolution of 20.0 MP. The two cameras were mounted separately, and each flight campaign was conducted with different cameras.

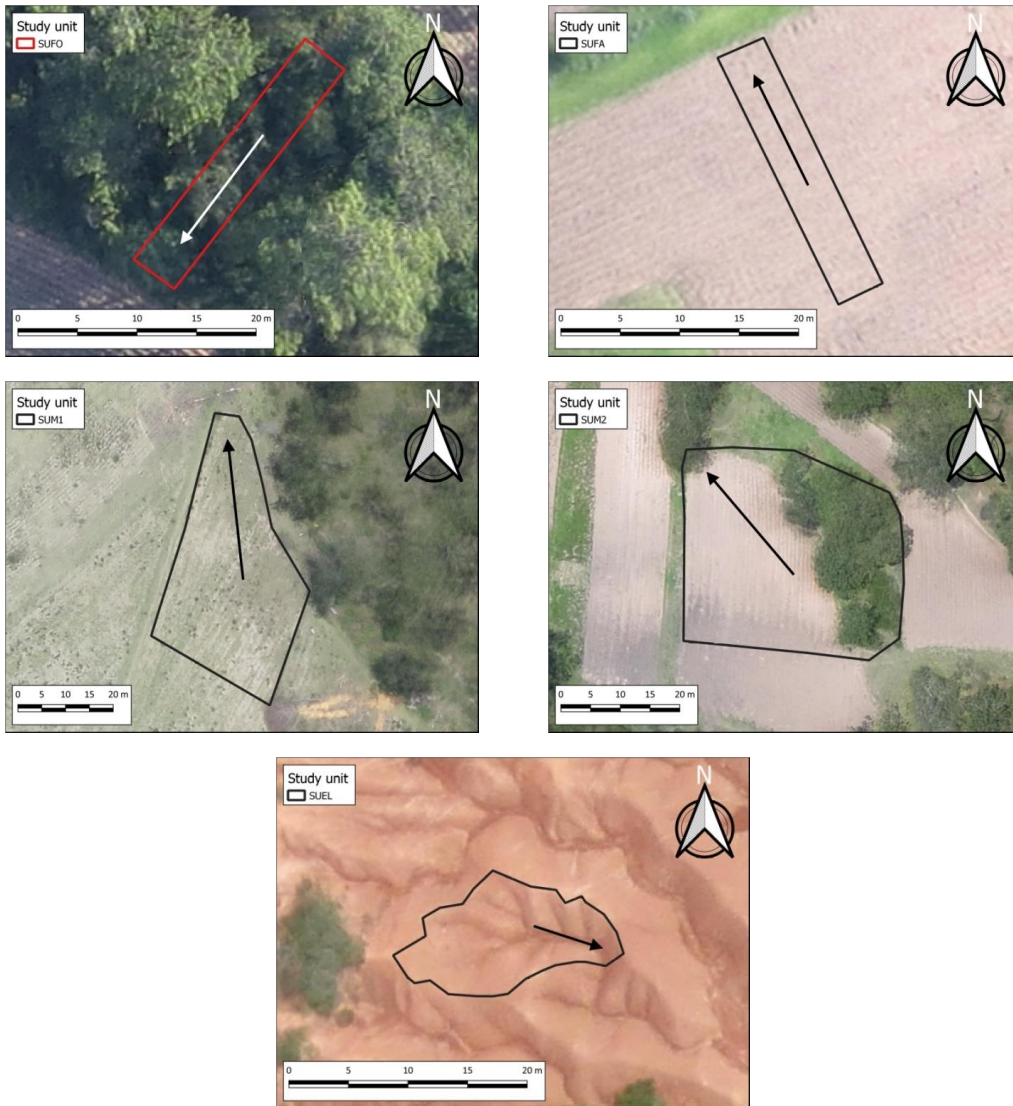
160 The first campaign was flown in April 2016, before the rainy season, acquiring images during sunny conditions using the SODA camera, to obtain the DTM while most agricultural areas were not cropped. The flight covered the entire S.C. Tayata municipality (39.2 km²) including Cuauhtemoc watershed (2.20 km²), flying at 425 m above ground acquiring images with 65 % lateral (sidelap) and 75 % longitudinal (frontlap) overlaps flying east/west, resulting in a ground resolution of approx. 0.12 m.

165 The second campaign was flown in early October 2017 during high vegetation cover to obtain a multispectral orthomosaic and derive a land cover classification. The flight at 320 m above ground acquired images with 60 % lateral and 80 % longitudinal overlaps flying east/west, resulting in a ground resolution of approx. 0.40 m.

170 For both flight campaigns, high-accuracy corrections of the geolocation data measured with the UAV global navigation satellite system (GNSS) were calculated in the post-processing stage using the position of a fixed pre-established real-time kinematic (RTK) base station as a reference. Post-processing kinematic (PPK) correction was then implemented during imagery geotagging processing (Benassi et al., 2017; Forlani et al., 2018; Volpato et al., 2021).

Comment [W9]: Changed in R1.

Comment [W10]: Added in R1.



175 **Figure 2. Study unit set up: (a) SUFO (forest), (b) SUFA (fallow), (c) SUM1 (maize), (d) SUM2 (maize), and (e) SUEL (eroded bare land). Arrows indicate the main flow direction. Image source: 2016 UAV flight campaign.**

Comment [W11]: Figure modified in R2 (Thicker lines around plots)

Comment [W12]: Added in R1.

UAV images were processed using Pix4D Mapper software (Pix4D, n.d.). Due to a reduction in spatial resolution when processing the DTM due to the Pix4D algorithm, which requires a minimum resolution of 5 times the ground sampling distance (GSD), DTM resolution was reduced to approx. 0.60 m.

2.4 DTM resampling and land cover classification

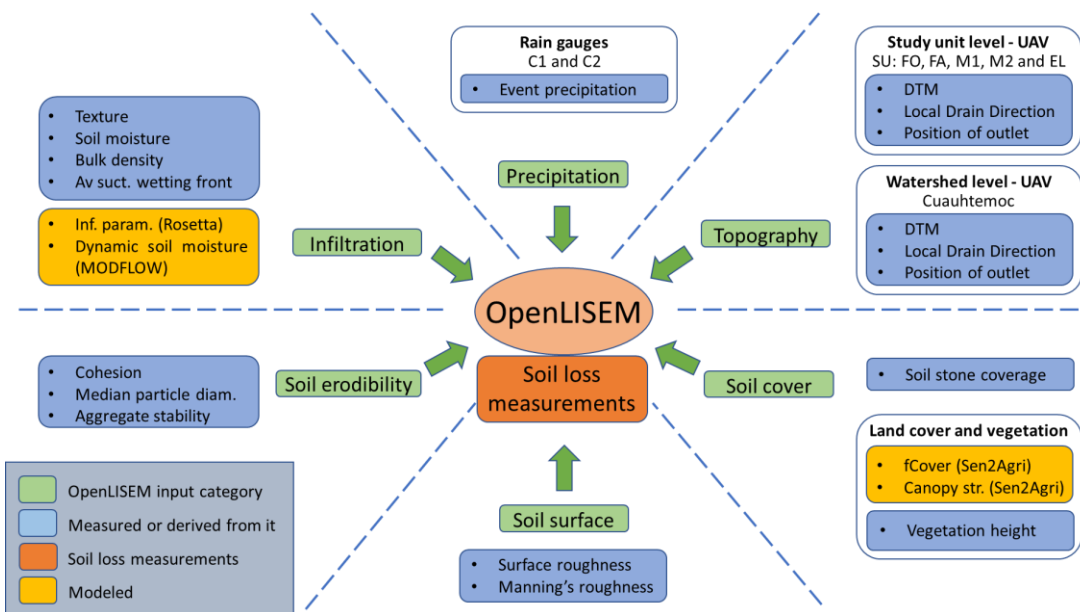
180 DTM resampling consisted of two steps: (i) resampling the original DTM with a spatial resolution of approx. 0.60 m to a
baseline resolution of 1 m and (ii) resampling the baseline 1 m spatial resolution DTM to resolutions of 2, 4, 8 and 15 m. For
resampling OSGeoShell4W, the Geospatial Data Abstraction Library (GDAL) (GDAL/OGR contributors, 2020) and the
average resampling method were used.

For land cover classification, the multispectral orthomosaic was processed using the Orfeo Toolbox plug-in (Grizonnet et al.,
185 2017) version 5.0.0 in QGIS 2.18.13 (QGIS Development Team, 2009). The land use classification procedure consisted of:
(i) creation of in situ data polygons for training and validation using both, the orthomosaic and ground observations; (ii)
training the random forest algorithm; (iii) performing the classification of the orthomosaic using the derived trained model
(iv) validation of the previous classification using the validation polygons. The classification was performed with the classes:
190 mature forest, eroded bare land, maize, and fallow following FAO's Land Cover Classification System (di Gregorio and
Jansen, 1998). An additional class for permanent structures (i.e. roads) was added during post-classification.

Forest, maize, eroded bare land, fallow, and roads accounted for 60, 32, 4, 4, and <1 % of the watershed area, respectively.
The overall accuracy index was 0.98 while the precision, recall, and F score of the first three land covers ranged from 0.71-
1.0, 0.86-1.0, and 0.80-1.0, respectively. Table B2 shows the confusion matrix of the classification.

2.5 Soil erosion modelling

195 We used OpenLISEM 4.96 (Jetten, 2018), a physically based, dynamic and distributed model that predicts event-based
runoff and erosion via the following processes: overland and channel flow, detachment, deposition, sediment in transport,
and soil loss. OpenLISEM was selected because 1) it is an open source software (routines are transparent); 2) most input
parameters are required in a grid format, i.e. respond to spatial resolution (our research question), and 3) its temporal
resolution is user-defined, i.e. can make full use of detailed rainfall measurements. A detailed description of processes
200 simulated by OpenLISEM is given by Jetten (2018). Input parameters were either directly measured on site (on the ground or
by UAV), derived using other software based on own measurements, or calibrated. Figure 3 provides an overview on data
sources by OpenLISEM category.



205 **Figure 3. Overview of measured / derived input data used for modelling. Soil loss measurements on the ground were used for model validation.**

Each study unit belonged to a single soil and land use class with homogeneous soil and vegetation properties (Fig. 2), while at the watershed scale soil and land cover maps, including their soil and vegetation properties, differed with resolution.

210 For study unit parameterization precipitation data were obtained from the nearest rain gauge and temporal resolution of 1 min. Slope, local drain direction, and outlet positions were calculated from the DTM.

Dynamic soil moisture and infiltration, used to determine initial soil moisture before measured events were modeled using MODFLOW 2005 (Winston, 2009) and the package Unsaturated Flow Zone (UFZ) with a time step of 1 day, requiring soil physical properties, daily precipitation and evapotranspiration as inputs. Initial values of K_{sat} and Φ were estimated for every soil type using Rosetta, a software to estimate soil hydraulic parameters (Schaap et al., 1998), which required measured soil texture and bulk density (ρ_{bulk}) as inputs (Fig. 3). The selected infiltration model in OpenLISEM (Green and Ampt) requires S_r , which was derived from texture according to (Rawls et al., 1983). To reduce computation time, we stopped event simulations once 95 % of the runoff had reached the outlet. The reason being, to set sediment in transport ~ 0 , and $Soil_{loss} \sim Detachment - |Deposition|$.

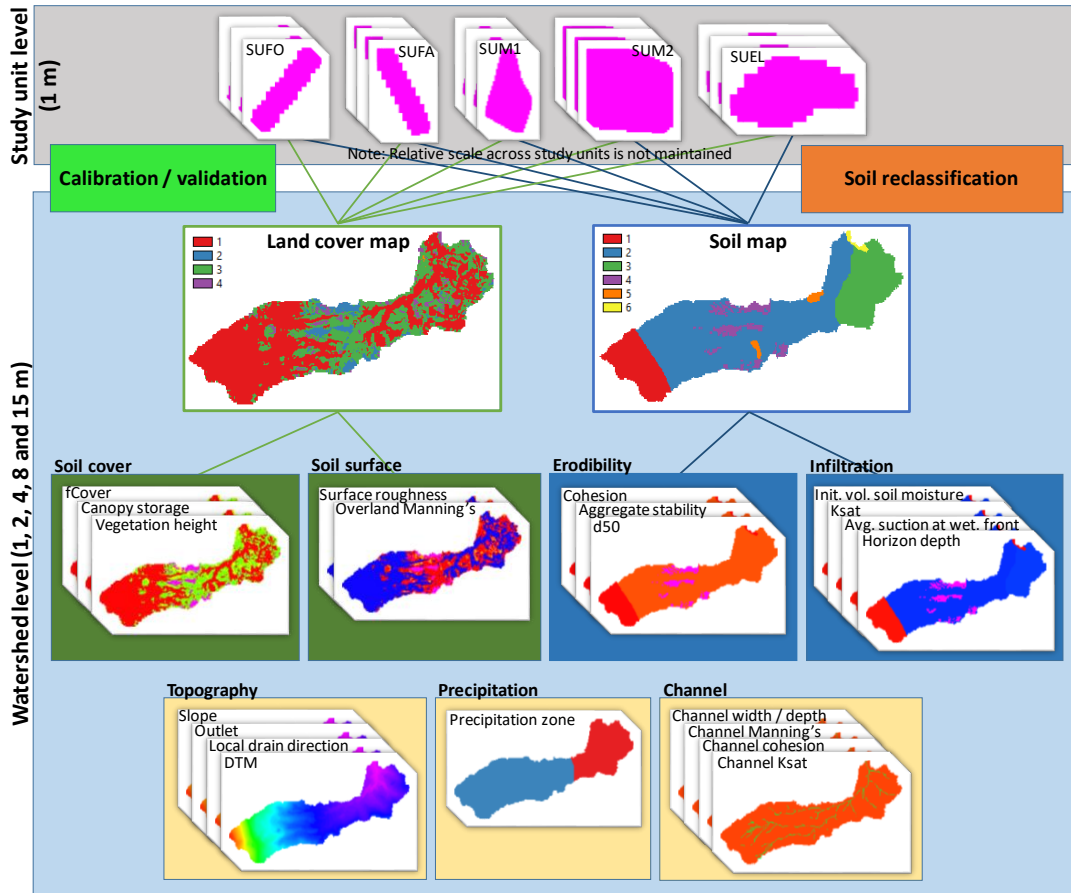
220 Calibration / validation of water balance components followed a two-step procedure: 1) Infiltration was estimated in MODFLOW using K_{sat} , and the infiltration / precipitation ratio computed for the collection period; 2) infiltration was then calibrated / validated in OpenLISEM using K_{sat} against the ratio infiltration / precipitation found in the first step. The events were split into 2/3 for calibration and 1/3 for validation.

225 Sediment balance components were calibrated and validated with soil cohesion and d_{50} , selected out of eight parameters after a sensitivity analysis. Collected sediment was compared against modelled $Soil_{loss}$ per event and study unit. Again, 2/3 of the events were used for calibration and 1/3 for validation. Table B4 and B5 show the final parameterization per land cover, soil

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type and channel. To evaluate model performance at the study unit level, root mean square error (RMSE), coefficient of determination (CD), and model efficiency (EF) were computed as proposed by (Loague and Green, 1991).

Our model scenarios consisted of watershed level map sets at resolutions of 1, 2, 4, 8 and 15 m. An additional map of channels per resolution was created based on the local drain direction assuming that a channel initiates when it accumulates 1 ha of area upstream. Assigned values of channel width / depth, Manning's roughness coefficient, cohesion and K_{sat} were typical of channels in mountainous headwaters. Figure 4 shows a flow chart of upscaling from the study unit to the watershed level starting with calibration / validation of water and sediment balance components at the study unit level.



235 Figure 4. Process of upscaling from study unit to watershed level.

Afterwards the DTM was resampled to the different spatial resolutions, followed by the creation of slope, local drain direction and outlet maps. All spatially explicit soil and vegetation maps were subsequently produced for each resolution.

To assess effects of different spatial resolution on hydrological and soil erosion, modelling results were compared in two categories: (i) event hydrographs ($L s^{-1}$) and sedigraphs ($kg min^{-1}$) at the watershed outlet using three distinctive precipitation magnitudes: low (2.6 mm), mid (8.4 mm), and high (23.0 mm) based on the distribution of all the events during the collection period, and cumulative sediment yield during the collection period; and (ii) watershed wide and land cover wise spatially distributed cumulative soil loss ($Mg ha^{-1}$) during the collection period.

3. Results and discussion

3.1 Data collection and processing – variables for model parameterization

3.1.1 Weather

Sums of event precipitation during the collection period (14 May to 10 August 2017) in C1 (n=37) and C2 (n=38) were 428.4 and 460.4 mm, respectively, during 39 rain events. Minimum, mean, and maximum of event precipitation were 2.2, 11.6, and 35.4 mm at C1; and 2.2, 12.1, and 36.2 mm at C2, respectively. Minimum, mean, and max of total global radiation were 6.20, 15.71 and 24.21 $MJ m^{-2} day^{-1}$, respectively. Mean air temperature and relative humidity were 18.9° C and 82.1 %, respectively. Maximum and minimum air temperature were 31.2 and 7.9° C, respectively. Assuming the 2017 rainy season followed the distribution of the long-term normal precipitation in Mexico's Hydro-Administrative Region V (Table B1), the precipitation in 2017 was between 8 and 15 % lower than in the long term (1981-2010).

3.1.2 Soil properties / surface roughness

Among topsoil properties, texture in the study units (USDA - Soil Science Division Staff, 2017) was clay loam in SUFO, SUFA, and SUM2; sandy clay loam in SUM1, and clay in SUEL. Average bulk densities ranged between 1.22 to 1.39 $g cm^{-3}$, except for SUEL (1.76 to 1.82 $g cm^{-3}$), characterized by highly compacted to consolidated material. K_{sat} ranged between 12 and 26 $cm day^{-1}$, except in SUEL where it was 2 $cm day^{-1}$ (Table 1). Figure 7c further below and Table A2 respectively show the reclassified soil map and a summary of soil properties after reclassification.

3.1.3 Vegetation

In SUFO, soil cover (fCover) detected by Sentinel-2 (only photosynthetically active vegetation) was constant at ~0.5. In SUFA, cover in mid-May started at 0.17, reaching a maximum at 0.57 by the end of July and decreasing thereafter. SUM1 and SUM2 were averaged in one land use (SUM) in which cover in mid-May started at 0.04, reaching a maximum at 0.65 by the end of the collection period. Over time, canopy water storage from Sentinel-2 LAI data followed a similar pattern than soil cover. Largest values were estimated in SUM (2.43 mm), followed by SUFA (1.44 mm) and the coniferous SUFO (1.60 mm) (Table B3). Vegetation heights was constantly 12 m in SUFO. SUM1 and SUM2 reached a maximum of 2.1 m and SUFA of 0.15 m towards the end of the season.

3.1.4 Sediment

Sediment yield was highly variable both in occurrence and magnitude amongst study units. During the collection period there were 0, 1, 6, 22 and 24 events that produced > 250 g of sediment) in SUFO, SUFA, SUM1, SUM2 and SUEL, respectively (the latter being shown in Fig. 5).

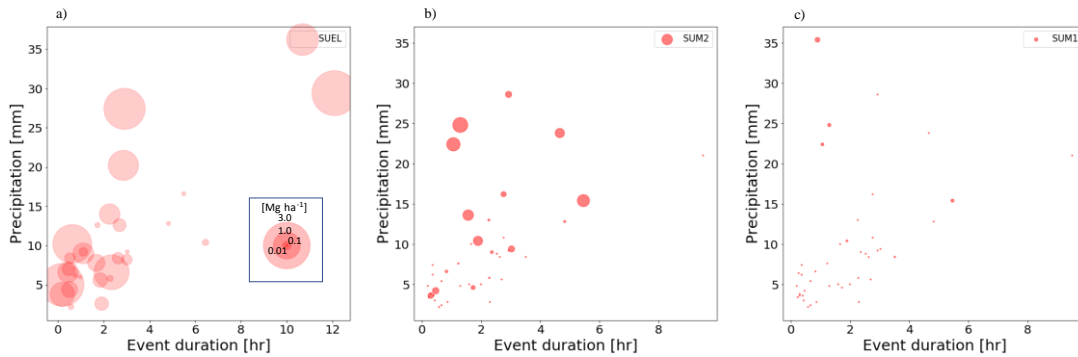


Figure 5. Event-based sediment yield ($\text{Mg ha}^{-1} \text{ event}^{-1}$) in relation to rainfall amount and duration in (a) SUEL, (b) SUM2, and (c) SUM1.

For most combinations of event intensities, sediment yield in SUEL (max. $2.99 \text{ Mg ha}^{-1} \text{ event}^{-1}$) was by far the largest corresponding to rain gauge C2. Likewise, sediment yield in SUM2 (max. $0.32 \text{ Mg ha}^{-1} \text{ event}^{-1}$) was generally larger than in SUM1 (max. $0.04 \text{ Mg ha}^{-1} \text{ event}^{-1}$) corresponding to rain gauge C1.

Total erosion during the collection period was 19.1 , 1.5 , and 0.1 Mg ha^{-1} at SUEL, SUM2 and SUM1, respectively. Considering the annual historical precipitation, these figures could be 31.0 , 2.0 , and $0.2 \text{ Mg ha}^{-1} \text{ yr}^{-1}$, respectively. SUEL was amongst the largest values globally (Pimentel et al. 1998; Panagos et al. 2015) and locally (SEMARNAT, 2008). SUM2 was moderate to high, while SUM1 were in the null to slight category according to Pimentel and Kounang (1998) and SEMARNAT (2008). SUFO and SUFA ($\ll 1 \text{ Mg ha}^{-1}$) were in the null category. Table B6 shows a summary of collected sediment.

3.2 UAV flight campaign and imagery processing

3.2.1 Study unit level: Setup, DTM and slope

The probability density function (PDF) of slopes at the study units (Fig. 6) was extracted from the DTM of the 2016 UAV flight campaign. SUFO exhibited the largest proportion of steep pixels (mean of 0.29 m m^{-1}), followed by SUFA (0.15 m m^{-1}), SUEL (0.13 m m^{-1}), SUM2 (0.12 m m^{-1}), and SUM1 (0.06 m m^{-1}). SUFO was located near the watershed divide with steep slopes. SUFA, SUEL, and SUM2 were located between ridges and flat agricultural areas and SUM1 in a typical flat agricultural area (Fig. 7d).

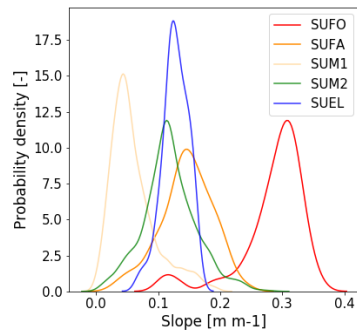
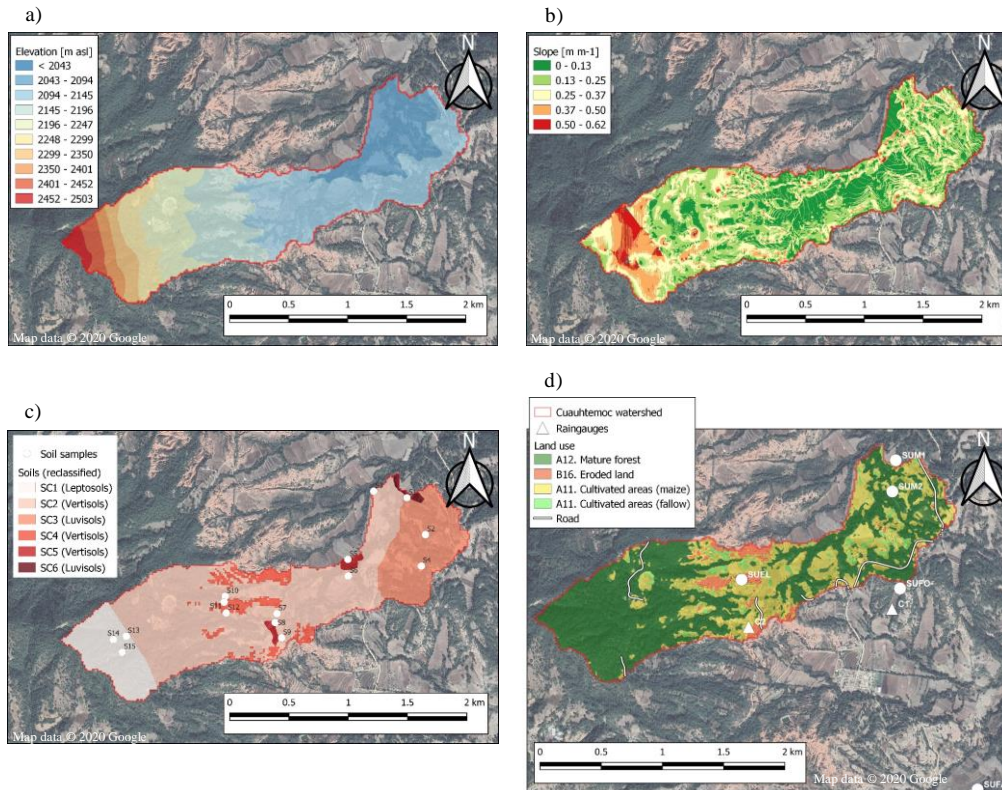


Figure 6. Probability density function (PDF) of slope of study units based on UAV data.

3.2.2 Watershed level: DTM and slope

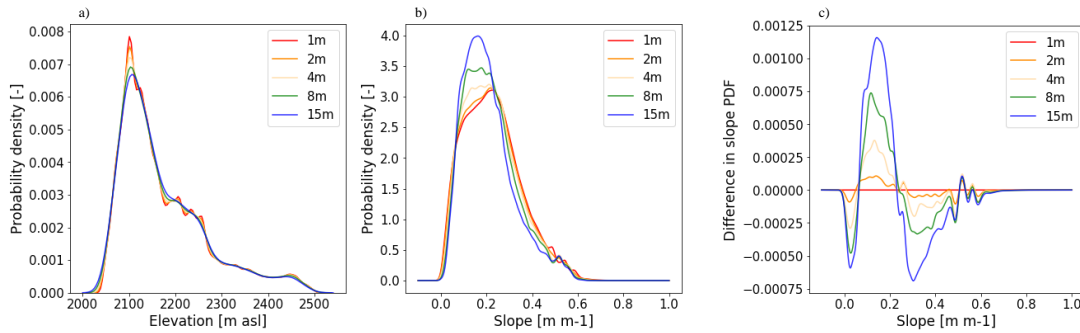
295 Cuauhtemoc watershed DTM and slope at 1 m spatial resolution are shown in Fig. 7. Maximum elevation was 2 503 m above sea level (m asl) in the southwest and minimum 2 043 m asl in the northeast at the watershed outlet. East-West extension was approx. 3.6 km. Relief is diverse with > 20 % of the watershed area having slopes > 0.2 (Fig. 8b). Steeper slopes were found in the southwest and along most of the divide and moderate to lower slopes towards the middle and northeast parts of the watershed.



300 **Figure 7.** (a) Elevation, (b) slope, (c) reclassified soil map (c), and (d) land cover classification of Cuauhtemoc watershed.

3.2.3 DTM resampling

Resampling to lower resolutions smoothed peak elevation (in the range 2 175 to 2 275 m asl, Fig. 8a) and slope values (in the ranges 0.45 to 0.65 m m⁻¹, Fig. 8b).



305 **Figure 8. Probability density functions (PDF) of resampled (a) elevation, (b) slope, and (c) difference of slopes between 1 m and other resolutions of Cuauhtemoc watershed.**

Comment [W14]: Added in R1.

The difference in slope PDF between 1 m and the resampled lower resolutions (Fig. 8c) shows a frequency reduction (negative sign in Fig. 8c) at both extremes (between 0 to 0.06 $m m^{-1}$ and 0.25 to 0.65 $m m^{-1}$ and a frequency increase in the range 0.06 and 0.25 $m m^{-1}$, and such difference increased with decreasing resolution. In other words, the downgrading
 310 caused a migration of pixels from the lower and upper end regions to the middle region. Differences (area below or above the curve) in slope PDF are shown in Table 1.

Table 2. Difference in slope PDF between 1 m and lower resolutions

| Res. [m] | Difference in slope PDF [$m m^{-1}$] |
|----------|--|
| 1 | 0.0 |
| 2 | 0.015 |
| 4 | 0.041 |
| 8 | 0.079 |
| 15 | 0.134 |

Comment [W15]: Corrected index number in R2

315 The largest difference, 0.134 corresponds to the 15 m resolution and it reduced proportionally until 0.015 corresponding to the 2 m resolution. The mean slope decreased with resolution (0.227, 0.225, 0.221, 0.216, and 0.207 $m m^{-1}$ in decreasing order from 1 to 15 m resolutions), which has also been observed in other studies. Olson (2007) found for a topographically diverse watershed in Minnesota, USA, that the slope's PDF aggressively shifted to a smaller magnitude when comparing a 2 m (peak of the PDF at 74°) LIDAR-generated digital elevation model (DEM) and a 30 m (peak of the PDF at 8°) DEM.
 320 Wang et al. (2012) found for six study areas in China with contrasting topographical reliefs that the mean slope was proportionally reduced as resolution decreased when comparing 10, 25, 50 and 100 m DEM. The authors also found that the reduction was larger in more (25 to 15°) than in less diverse topographies (10 to 7°).
 Wu et al. (2005) working on a watershed in Virginia, USA, found that mean slope length and steepness factor (LS, non-dimensional) of the RUSLE equation, which summarize the effect of topography on erosion, was proportionally reduced
 325 from 5.8 to 2.9 as resolution decreased when comparing 10, 30, 60, 100, 150, 200, and 250 m DEM. Finally, Hoang et al. (2018) working on a watershed in New York, USA, found that mean slope was proportionally reduced from 0.16 to 0.13 $m m^{-1}$ as resolution decreased when comparing much higher resolution DEMs: 1, 3, 10, and 30 m.

330 Amongst these studies, variation in slopes can be attributed to at least two factors: range of spatial resolutions (1-250 m) and degree of topographical diversity (from highly diverse mountainous regions to less diverse mostly flat agricultural regions) across the studies.

335 When comparing the difference in slope (or slope related parameter) between highest and lowest resolution and the range of spatial resolutions, the difference tends to decrease when the highest resolution tends to 1 m and the lowest resolution is close to approx. 30 m but not coarser. In Hoang et al. (2018), the difference in slope between the highest (1 m) and lowest resolution (30 m) was about 0.2. On the other end, in Wu et al. (2005), the difference in the slope length and steepness (LS) factor between the highest (10 m) and lowest resolution (250 m) was about 0.5. In our study, the difference in slope between the highest (1 m) and lowest resolution (15 m) was 0.13, which was lower than in Hoang et al. (2018) probably due to the lower resolution (30 m) of their lowest resolution as compared to our study (15 m) or to differences in topography diversity amongst the two study areas.

340 This trend suggests that, independently of topographical diversity, a small difference is achievable with resolutions much coarser than 1 m given that the resolution of the base dataset is at least 1 m. This difference has implications on the selection of an appropriate spatial resolution in hydrological and soil erosion modeling as discussed later in this study.

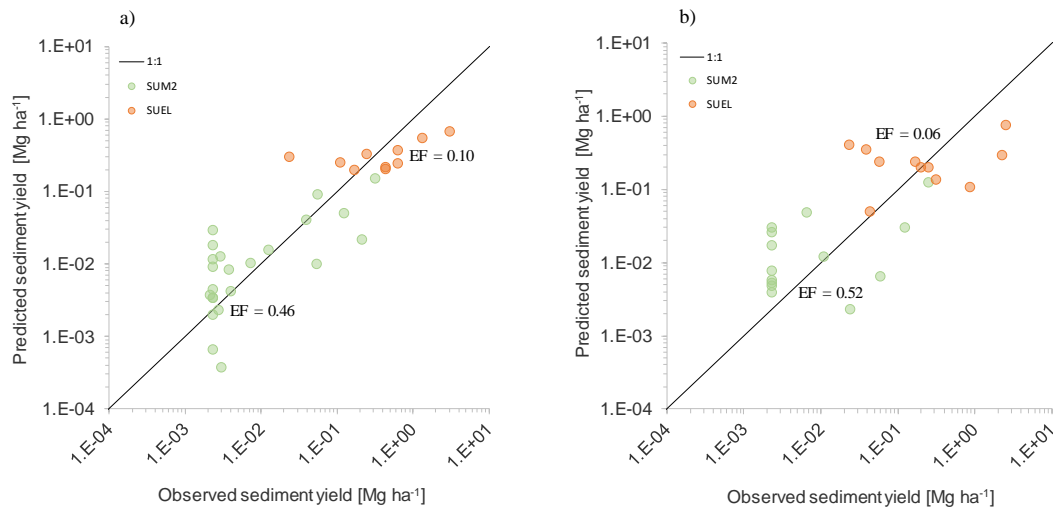
3.3 Soil erosion modelling at the study unit level

345 Early campaign soil moisture measurements in SUFO and SUFA at 20 cm depth were used to calibrate infiltration in MODFLOW. Model performance at SUFO and SUFA were: CD=0.93 and 0.03, EF=0.92 and -28.68 and RMSE=1.91 and 1.57, respectively. Better performance at SUFO was probably due to a wider range of measurements (0.26-0.31 θ) as opposed to the narrow range / short period at SUFA (0.341-0.343 θ), which made it unsuitable for calibration as shown by the poor model performance at SUFA but rather small RMSE. A reduction in the range 15-25 % of initial values of K_{sat} (Table 1) calculated with Rosetta was required for calibration of infiltration. For the remaining study units, reductions of initial K_{sat} values in this range were applied.

350 As a second step, the ratio infiltration / precipitation obtained from MODFLOW was used for infiltration calibration in OpenLISEM. A reduction in the range 0.6-1.3 % of MODFLOW values of K_{sat} (Table 1) was required for calibration. Grum et al. (2017) achieved good agreement (Nash-Sutcliffe Efficiency (NSE) > 0.6) between observed and predicted runoff by modifying K_{sat} in the range 0.2-7 % of the estimated K_{sat} . Hessel et al. (2006) by 0.8-3.6 % (NSE > 0.5). and de Barros et al. (2014) by 5 % (NSE > 0.6). This suggests that the model over-predicts infiltration when parametrizing K_{sat} values in normal ranges. Possible causes are that the infiltration routine in the model's structure requires some tuning or that processes other than the ones considered in the model are relevant (i.e. sealing or crusting of the soil surface).

355 Figure 9 shows observed and predicted sediment yield at SUM2 and SUEL during calibration and validation. For SUM1 only 6 rain events produced > 250 g of sediments.

Comment [W16]: Deleted in R2: slightly



360 **Figure 9. (a) Calibration and (b) validation of sediment yield at the study units SUM2 and SUEL.**

The best model performance in SUM2 and SUEL was achieved by modifying soil cohesion in the range 10^3 - 10^4 and d_{50} in the range 10^1 - 10^4 of the measured / estimated values (Table 1). Calibration and validation parameters were: for SUM2: EF = 0.46, 0.52; CD = 3.95, 4.12; and RMSE = 154 and 133; for SUEL: EF = 0.10, 0.06; CD = 4.54, 5.06; and RMSE = 114, 137. Parameters for SUFO, SUFA and SUM1 were non-acceptable under modeling criteria. The reason for this was the narrow range of observed values (sediment yield $\ll 1$ Mg ha⁻¹). OpenLISEM realistically predicted soil erosion in highly erodible soils (SUEL) and low to mild slopes (SUEL / SUM2). On the other hand, it had limitations in predicting soil erosion in highly cohesive soils (SUFO / SUFA), high slope terrains (SUFO) and low cohesive soils in combination with low slopes (SUM1, results not shown).

The below-mentioned studies calibrated sediment yield with soil cohesion and d_{50} . Grum et al. (2017) achieved good model fit ($R^2 > 0.5$, $n=27$) for sediment yield by modifying soil cohesion by 10^4 and d_{50} by 10^3 . Similarly, de Barros et al. (2014) achieved good agreement on some events ($NSE > 0.5$, $n=5$) modifying soil cohesion by 10^4 . In both studies the model generally overpredicted erosion. Calibration of sediment balance components in these studies was challenging since the model performed satisfactorily for some events but poorly for others. The authors unanimously assumed that certain processes may not be adequately represented in the model. In these studies model calibration was done either per event (Grum et al., 2017) or per class of events (de Barros et al., 2014), that is different values of selected calibration parameters for every event or class of events. In our study, we calibrated the selected parameters using a single value for all events because we wanted to isolate the effect of different spatial resolutions and to achieve this, all the other parameters had to remain constant.

OpenLISEM performance was satisfactory for SUM2 and SUEL given the fact that its final parametrization, as it was mentioned above, evenly distributes over- and under-estimated predictions which, over the collection period averages observed erosion. Table B4 and B5 lists OpenLISEM parameters and calibrated values at the watershed level.

3.4 Soil erosion modelling at the watershed level with different spatial resolutions

For scenario modelling, watershed simulations with a distributed model based exclusively on plot data would not be good practice without additional downstream sampling points for validation. However, the main goal of our study was to determine the relative effect of DTM resolutions without validation to absolute values. Maps of model input parameters are shown in Fig. B1.

3.4.1 Modelled discharge and sediment yield at the watershed outlet

Event hydrograph ($L s^{-1}$) and sedigraph ($kg min^{-1}$) at the watershed outlet for three selected events with low, medium and high precipitation events are shown in Fig. 10.

390

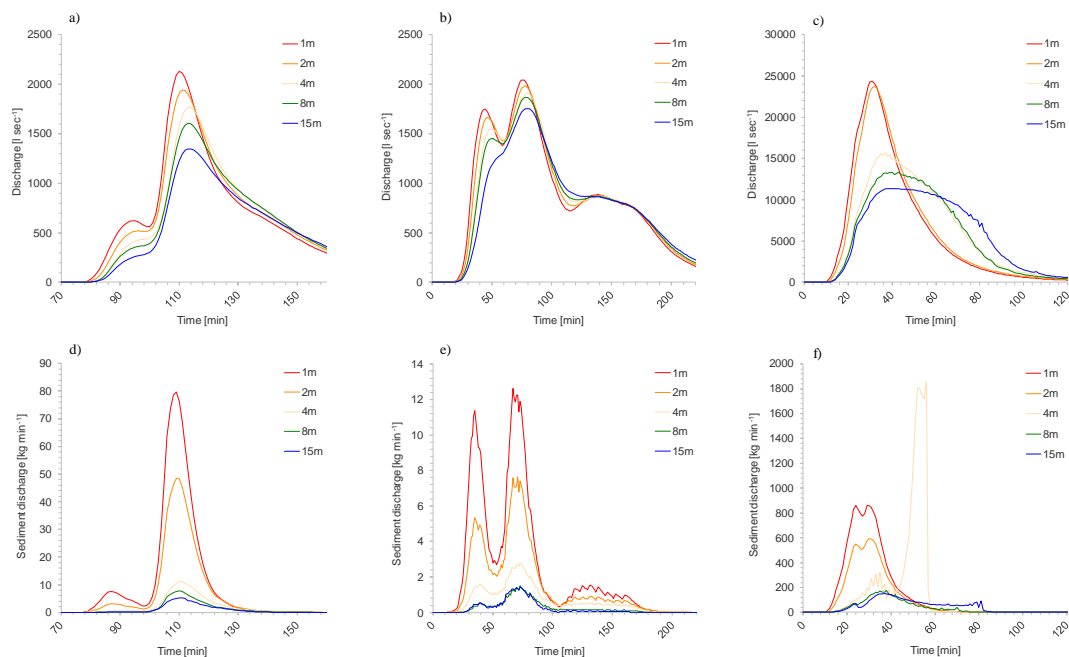


Figure 10. Hydrographs (top row) and sedigraphs (bottom) at the watershed outlet at low (2.6 mm, left), medium (8.4 mm, centre), and high (23.0 mm, right) precipitation events. Note different scaling of x and y axes between figures.

Hydrographs and sedigraphs differed significantly across resolutions with a trend: largest peak values occurred at the highest resolution and decreased with resolution (Fig. 10). Discharge at all three events started earlier (rising limb) and at a higher rate the higher the resolution was, while this ranking was reversed towards the end of the falling limb. The low and mid precipitation events exhibited a characteristic double peak (bimodal) hydrograph at 1 m resolution which was gradually smoothed, shifting to one peak (unimodal) at lower resolutions.

395

Relative differences between peak discharge of the highest and lowest resolutions were not proportional across magnitudes of precipitation. While the highest peak at 15 m resolution was about 60 and 40 % to that of 1 m resolution in the low and high precipitation events, respectively, this ratio was about 80 % in the mid precipitation event suggesting a significant influence of rainfall intensity. Total runoff (area under the hydrograph) was $4.51, 4.44, 4.26, 4.07$ and $3.78 \times 10^3 \text{ m}^3$ in the low precipitation event; $11.78, 11.74, 11.56, 11.34$ and $10.95 \times 10^3 \text{ m}^3$ in the mid precipitation event; and $44.24, 44.19, 43.64, 40.83$ and $42.14 \times 10^3 \text{ m}^3$ in the high precipitation event in the 1, 2, 4, 8 and 15 m resolutions respectively. The ratio $\text{runoff}_{15\text{m}} / \text{runoff}_{1\text{m}}$ was 0.84, 0.93 and 0.95 for the low, mid and high precipitation events respectively.

Hessel et al. (2006) observed that at low temporal resolution (15 min) the model did not predict bimodal hydrographs and attributed this to smoothed rainfall intensities. Grum et al. (2017) assumed that asynchronous rainfall distribution in the watershed (3 rain gauges in $\sim 12 \text{ km}^2$) was overriding temporal discharge peaks. In contrast, de Barros et al. (2014) reported a good prediction even for multimodal hydrographs, and attributed this performance, amongst others, to the high spatial discretization of parameters. Our model time step of 1 min was not limiting for detecting bimodal discharge patterns and thus allowed us to explore the effects of spatial resolution on temporal discharge patterns.

Sedigraphs as product of discharge and sediment concentration exhibited larger differences across resolutions than hydrographs. Rising limbs started much earlier and rates of sediment discharge were larger in the 1 and 2 m resolution as compared to the remaining resolutions and to their hydrographs. At the same time bimodal patterns were much more pronounced than in hydrographs. Total sediment yield was 0.98, 0.63, 0.19, 0.12 and 0.09 Mg in the low precipitation event; 0.53, 0.33, 0.14, 0.06 and 0.05 Mg in the medium precipitation event; and 18.28, 12.63, 18.76, 4.24 and 5.21 Mg in the high precipitation event in the 1, 2, 4, 8 and 15 m resolutions respectively. The ratio $\text{sediment yield}_{15\text{m}} / \text{sediment yield}_{1\text{m}}$ was 0.09, 0.09 and 0.29 for the low, medium and high precipitation events, respectively.

Sedigraphs imposed more challenge in achieving a good fit between predicted and observed values. However, sedigraphs were more sensitive than hydrographs to spatial resolution (lower ratios $\text{sediment yield}_{15\text{m}} / \text{sediment yield}_{1\text{m}}$ than $\text{runoff}_{15\text{m}} / \text{runoff}_{1\text{m}}$). This sensitivity, particularly in the three highest resolutions (Fig. 10d-10f), provides an opportunity for studies aiming at detailed sedigraphs.

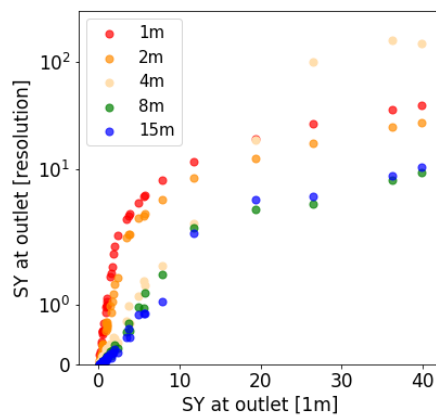


Figure 11. Event sediment yield (SY) at watershed outlet across resolutions.

The difference in event sediment yield between the 1 m and the remaining resolutions fluctuates from close to 0 up to ~ 1 order of magnitude as event precipitation increases (Fig. 11). Cumulative sediment yield at the outlet during the collection

period was 191.9, 128.4, 38.1, and 39.7 Mg in the 1, 2, 8 and 15 m resolutions. Four events in the 4 m resolution (one of them in Fig. 10f) were excessively large driving the cumulative sediment yield to an unmatched 440.9 Mg. The four extreme sediment yield events occurred during high precipitation events, even though the hydrograph (Fig. 10c) followed an intermediate trend between resolutions. This suggest that OpenLISEM's numerical routine for sediment balance find an unexpected combination of values when processing the 4 m resolution in combination with high precipitation events.

3.4.2 Spatial distribution of soil loss in the watershed

Spatial distribution of cumulative soil loss during the study period for three selected spatial resolutions and a box plot of its distribution per land use are shown in Fig. 12.

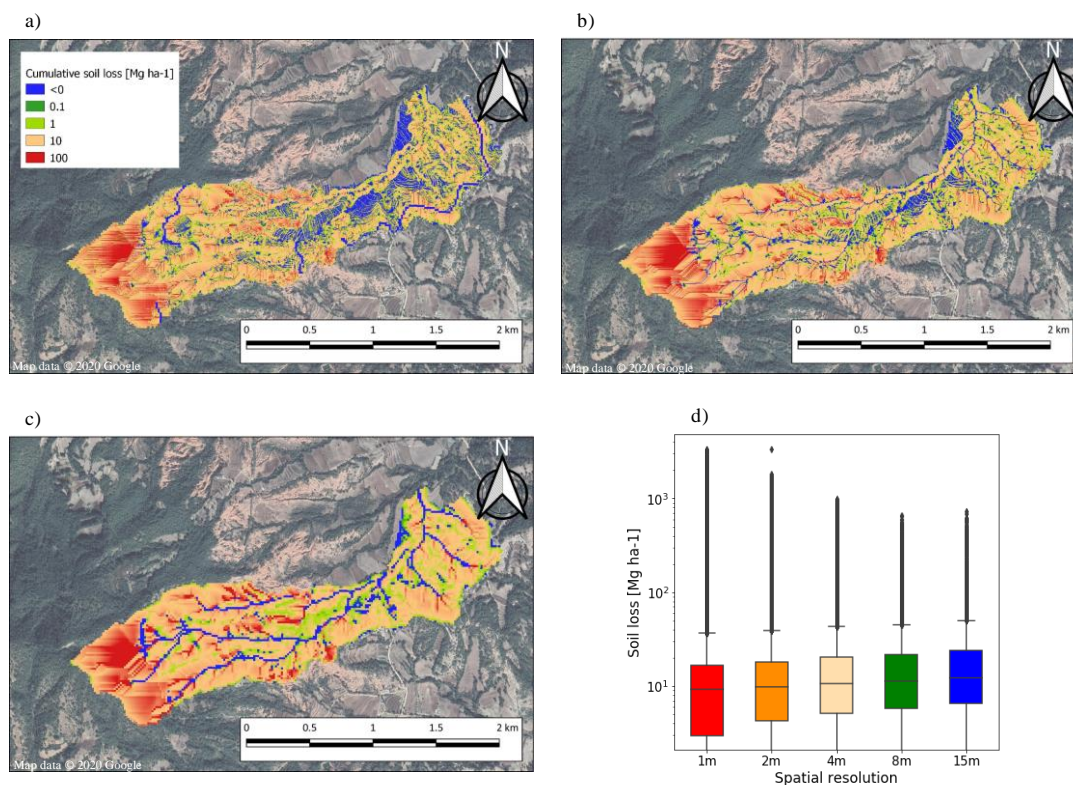


Figure 12. Cumulative soil loss (Mg ha^{-1}) during the collection period at: (a): 1 m, (b): 4 m, (c): 15 m resolution, and (d) box-plot per resolution.

Watershed-wide median soil loss (Fig. 12d) increased from 9.2 to 10.2 Mg ha^{-1} from 1 m to 15 m resolution. The proportional shifting upwards of the quartiles as resolution decreased (Fig. 12d) might be due to a combination of number of pixels and hence, pixel diversity. In this study, the highest resolution map (1 m) had 225 times (15^2) more pixels than the

lowest resolution map (15 m), following a quadratic behavior. The highest resolution map (1 m) contained much higher diversity of values (e.g. soil loss) due to 2.196×10^6 pixels than a lowest resolution map (15 m) with 0.009×10^6 pixels. This diversity of pixels widened the distribution of the highest resolution map while narrowing it in the lowest resolution map. The fact that the quartiles shifted downwards as resolution increased may be due to the effect of a larger number of pixels with low slope (Fig. 8b / 8c in the range 0 to 0.06 m m^{-1}) which translated in low soil loss. On the other hand, Fig. 12d also showed that the highest soil loss values in particular areas were obtained at the highest resolution (highest variation) as expected due to higher slopes in some pixels.

Independently of spatial resolution, along the watershed we found hotspots of soil loss in some areas in the southwest end, in some areas along the watershed divide (typical high slope areas, Fig. 7b) and in the eroded bare land (Fig. 7c, SC4: highly erodible soil). Likewise, negligible soil loss occurred where slope was lower (Fig. 7b) e.g. on agricultural terraces in the middle of the watershed. Setting aside the eroded bare land, there appeared to be a good correlation between slope (Fig. 7b) and soil loss (Fig. 12), which supports the well-known influence of slope on flow velocity and net soil transport. Hessel et al., 2006 also report similar erosion patterns (although of different magnitude) between the predicted soil loss map from the model and a soil erosion assessment map based on a survey conducted in the same rainy season, suggesting the ability of OpenLISEM to adequately capture spatial patterns of erosion.

Land cover-wise (Fig. 13a–13d) the range of soil loss tended to decrease with resolution as depicted by the envelope of values from the highest to the subsequent lower resolutions. Another characteristic was the loss of continuity in values as resolution decreased: while 1 and 2 m resolution showed continuity between the highest and lowest soil loss value in all resolutions, 4 m showed quasi-continuity, 8 m shows continuity in forest and maize but not in fallow and eroded bare land, while 15 m showed discontinuity in all land cover except forest. This characteristic is most probably due to pixel diversity discussed earlier given the land cover area proportions in the watershed: 60, 32, 4 and 4 % corresponding to forest, maize, fallow and eroded bare land, respectively.

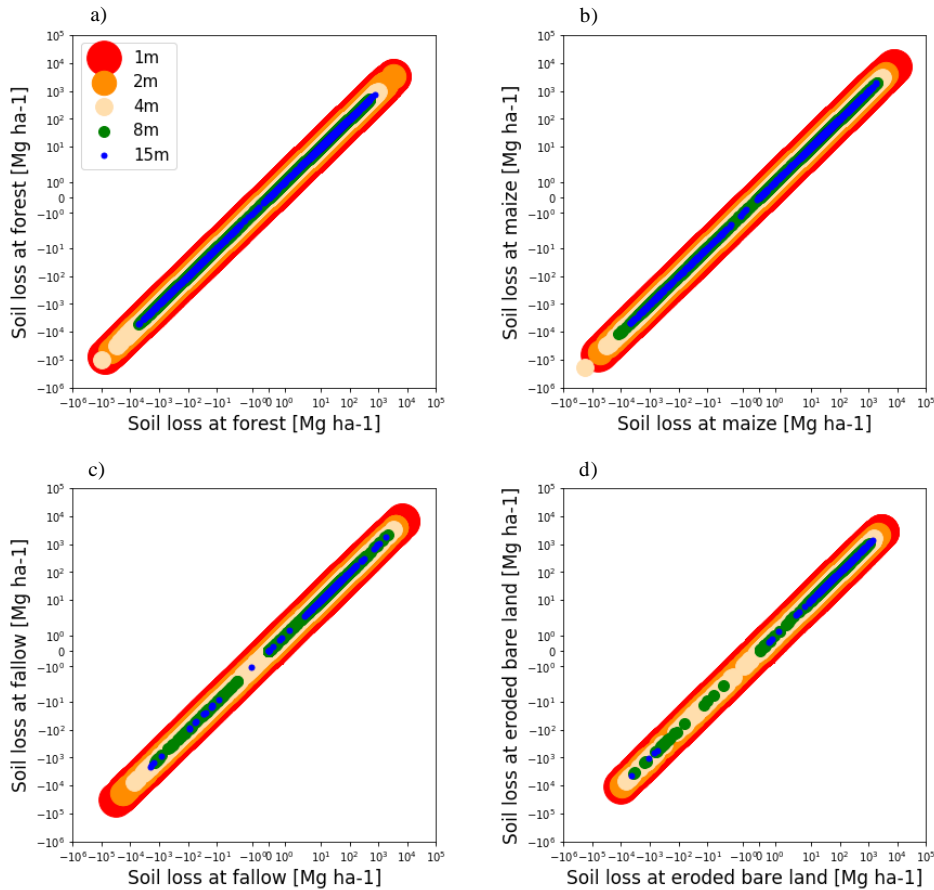


Figure 13. Cumulative soil loss (Mg ha^{-1}) of: (a) forest, (b) maize, (c) fallow, and (d) eroded bare land cover across resolutions.

465 Resolutions 1 and 2 m for maize and fallow (Fig. B2), exhibited a larger share of pixels in the vicinity of low soil loss (≤ 5
 Mg ha^{-1}) as compared to the remaining resolutions and land covers. On the other end, 8 and 15 m resolutions at all land uses
exhibited a larger share of pixels in the upper range ($\geq 10 \text{ Mg ha}^{-1}$). A key message is that transition zones between
agricultural lands (e.g. maize and fallow) and forest / eroded bare land represent candidate areas for preventive action. In this
context, Koomson et al. (2020) highlighted the importance of critical slope length to control soil erosion. Moreover,
470 corrective actions can also be promoted by locating and estimating erosion hotspots within the region and encouraging land
use interventions to change eroded bare land back to agricultural land / forest.

The fact that higher resolution sets did not exhibit larger overall soil loss (Fig. 12), even though exhibiting larger sediment
yield at the watershed outlet (Fig. 11) may appear contradictory, but we propose two explanations. One argument is the
contribution of pixels with extreme values to overall sediment regime. Extreme soil loss (Fig. 12d) in the 1, 2, 4, 8 and 15 m

475 resolution ranged from ~40 to ~3500, ~2000, ~1000, ~700 and ~800 Mg ha⁻¹, respectively. In our study, extreme values contributed with large quantities to the watershed sediment, and their effect was larger in the higher resolutions due to their largest pixel diversity. In other words, despite pixel area, much more extreme pixels with higher magnitudes of soil loss in the higher resolution maps contributed overall more sediment to the watershed.

480 The second argument is the effect of both slope and density of the fluvial system on the time of concentration and hence on the watershed's sediment delivery ratio (SDR) which is the ratio sediment yield / gross sediment production. The time of concentration (time needed for water to flow from the most remote point in the watershed to the outlet) and the SDR are directly proportional to resolution: the higher the resolution, the higher the slope, and the higher density of the fluvial system (more channels as they are more clearly defined). For instance, the highest resolution (1 m) with its higher slope (Fig. 8b) promotes faster flow velocities, and its denser fluvial system (results not shown) promotes a more efficient transport system, 485 reducing time of concentration. Hence, both larger sediment inputs and shorter time of concentration experienced by higher resolution sets exhibit a larger sediment yield (Fig. 10) as compared to their lower resolution counterparts.

3.5 Selection of an appropriate spatial resolution

490 Selection of an appropriate resolution in spatially distributed modeling depends, amongst others, on the spatio-temporal resolution of the process to be modeled. In soil erosion modelling, deposition is dependent on a detailed representation of both slope and fluvial system since these define flow velocity and path to the outlet, respectively. Detachment on the other hand is considered spatially independent since precipitation is assumed homogeneously distributed within the area represented by a rain gauge. An appropriate representation of both processes, however, depends on a high temporal resolution of precipitation and runoff. In this study, the temporal resolution was 1 min, which provided the highest possible temporal resolution in LISEM (mm min⁻¹), coming closest to field conditions. Our purpose for choosing this time step was to focus on aspects of spatial resolution. For scenario modelling exercises, temporal resolution may be reduced to economize 495 computing power. The study area was heterogeneous in topography with more than 20 % of the area exhibiting slopes > 0.2 (Fig. 7b / 8b) as such, slope maps were selected as an evaluation parameter given the findings of previous sections regarding DTM resampling, i.e. slope magnitude and distribution dependence on resolution.

500 The loss of information as a consequence of resampling is expressed by the difference in slope PDF (Table 1) between the highest resolution (1 m in our study) and the remaining resolutions, which can be interpreted as a deviation from reality, if we define the highest resolution as the reality. In this study we set the borderline between acceptable and unacceptable deviation from reality at 5 % (i.e. 0.05). The highest resolution that fulfilled this criterion was 4 m.

Zhang et al. (1994) studied the effect of DEM resolution on hydrological simulations in two catchments in the western USA. They report that 10 m resolution provided a substantial improvement over 30 and 90 m resolutions, while 2 and 4 m 505 provided only marginal improvement over the 10 m. They suggested 10 m resolution as a compromise between detail and computer storage volume. Wu et al. (2005) suggested that the best resolution may not necessarily be the highest resolution, but that spatial variability ought to be adequately represented.

Hoang et al. (2018) reported that 10 m resolution was the most appropriate in their study, since it provided a good representation of the landscape and was a compromise between too much detail in higher resolutions and lost information in coarser resolutions. Hessel et al. (2006) mentioned that a 20 m resolution was insufficient in their erosion modeling exercise given complex land use patterns occurring at small scales not captured by the resolution. On the other end, de Barros et al. (2014) working with a 5 m resolution mentioned that amongst the reasons for a good performance of OpenLISEM in predicting runoff was the high spatial discretization of the surface.

515 The abovementioned studies with their particular topographies conclude that a spatial resolution of around 10 m may comprise a balance between a sufficiently detailed topography and allowable computational indicators (e.g. storage volume,

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modeling time). The diverse topography in our study area required a further increase in resolution to 4 m to stay within the 5 % deviation slope criteria chosen.

4 Conclusions

This study explored some of the effects that differences in spatial resolution have on modelling of hydrographs and sedigraphs at the outlet from different events predicted by a spatially distributed soil erosion model in a topographically diverse ~2.2 km² tropical watershed in southeast Mexico. Furthermore, we explored effects on spatial distribution of soil loss during a period of ~3 months in the 2017 rainy season.

Resampling resolution of DTM changed slope, with consequences for water residence time in the watershed (hydrograph) further influencing sediment transport and concentration in runoff (sedigraph). Effects on soil loss were most pronounced where the change in slope was most significant. A high-resolution map implies more pixels and hence higher diversity of values than a low-resolution map covering the same area. The higher the diversity of soil loss values, the more influence on overall sediment regime in a watershed. The results of this study allowed us to conclude the following:

- Event-wise calibration of water balance components in OpenLISEM was more flexible and provided far better results than calibration of sediment balance components. Calibration of sediment balance components achieved better model fit in low cohesion / highly erodible soils than in high cohesion / low erodible soils. Model fit was also better for low to mild slopes / low flow velocity compared to steep slopes / high flow velocity.
- At the watershed outlet, the highest resolutions (i.e. 1 and 2 m) exhibited the largest hydrograph and sedigraph peaks, total runoff and total soil loss; while these variables proportionally decreased with resolution. Sedigraphs were more sensitive than hydrographs to spatial resolution, particularly at the highest resolutions. Spatially distributed soil loss prediction fluctuated within a desirably narrow range across resolutions. The two highest resolutions exhibited a broader range of predicted soil loss due to their larger quantity of pixels and wider diversity of slopes; while slope proportionally decreased with resolution.
- Resampling the DTM of a topographically diverse terrain from a fine resolution (1 m) to lower resolutions implied loss of information and a reduction in slope. This reduction was driven by the migration of pixels from the upper end (higher slope values) to lower values (the middle region) and its magnitude was proportional to resolution. There was also a less sensitive migration of pixels from the lower end (lower slope values) to higher values (the middle region), however insufficient to overcome the effect of the first mentioned migration.
- The criterion for selection of an appropriate spatial resolution was based on the evaluation of loss of information (5 % max) due to resampling as compared to the highest available resolution. The 4 m resolution proved to be sufficient for describing soil erosion at the studied area.

Appendices

Appendix A. Soil reclassification

A summary of soil properties at the study units and at the 15 sampling locations is shown in Tables 1 (main text) and A1. The INEGI soil map (Fig. 1) was amended to include three more classes, based on the soil properties at the study units plus the sampling locations. It was clear that the boundary between Leptosols and Vertisols was located between samples S12 and S13 coinciding with the boundary between extrusive igneous and sedimentary rocks, which was verified with a transect

around the area, so it was decided to assign the boundary between SC1 (Leptosols) and SC2 (Vertisols) to match the one between igneous and sedimentary rocks.

555 Within SC2, 10 samples were taken ranging between clay (S03, S06, S07, S08, and S10), clay loam (S11 and S12), and loam texture (S05 and S09). SUEL also had clay texture but differed from the clay group in its higher ρ_{bulk} (Table 1). SC2 soil properties matched average clay group properties, while two new soil types were created within SC2: SC5 whose soil properties were set to those of the average loam group, and SC4 whose soil properties were set to those of SUEL whose extension matched the eroded bare land area. Samples S11 and S12 were not considered in the reclassification because their properties differed from both, clay and loam groups.

560 Within SC3 (Luvisols), 6 samples were taken and ranged between clay loam (SUM2, S02, S04 and SUFO) and sandy clay loam (S01 and SUM1). SC3 soil properties were set to those of SUM2. Within SC2 and SC3, one additional class was defined (SC6) which soil properties were set to those of SUM1. A summary of soil properties after reclassification is shown in Table A2.

Table A1. Soil properties at transect points

| Soil sample | Horizon ¹ | Textural class ² | Sand [-] | Silt [-] | Clay [-] | ρ_{bulk} [g cm ⁻³] |
|-------------|----------------------|-----------------------------|----------|----------|----------|--|
| S01 | 1 | SC | 0.48 | 0.16 | 0.36 | 1.22 |
| | 2 | CL | 0.44 | 0.18 | 0.38 | 1.26 |
| S02 | 1 | CL | 0.34 | 0.28 | 0.38 | 1.25 |
| | 2 | C | 0.28 | 0.30 | 0.42 | 1.27 |
| S03 | 1 | C | 0.18 | 0.34 | 0.48 | 1.35 |
| | 2 | C | 0.16 | 0.33 | 0.51 | 1.38 |
| S04 | 1 | CL | 0.41 | 0.22 | 0.36 | 1.38 |
| | 2 | CL | 0.37 | 0.24 | 0.39 | 1.35 |
| S05 | 1 | L | 0.40 | 0.34 | 0.26 | 1.42 |
| | 2 | CL | 0.35 | 0.36 | 0.29 | 1.45 |
| S06 | 1 | C | 0.32 | 0.28 | 0.40 | 1.47 |
| | 2 | C | 0.28 | 0.29 | 0.43 | 1.49 |
| S07 | 1 | C | 0.22 | 0.24 | 0.54 | 1.32 |
| | 2 | C | 0.18 | 0.26 | 0.56 | 1.35 |
| S08 | 1 | C | 0.26 | 0.28 | 0.46 | 1.40 |
| | 2 | C | 0.21 | 0.29 | 0.50 | 1.43 |
| S09 | 1 | L | 0.49 | 0.29 | 0.21 | 1.53 |
| | 2 | L | 0.46 | 0.32 | 0.22 | 1.45 |
| S10 | 1 | C | 0.22 | 0.26 | 0.52 | 1.30 |
| | 2 | C | 0.18 | 0.28 | 0.54 | 1.33 |
| S11 | 1 | CL | 0.35 | 0.25 | 0.39 | 1.45 |
| | 2 | C | 0.33 | 0.24 | 0.43 | 1.45 |

| | | | | | | |
|-----|---|-----|------|------|------|------|
| S12 | 1 | CL | 0.40 | 0.32 | 0.28 | 1.12 |
| | 2 | SiL | 0.37 | 0.33 | 0.30 | 1.22 |
| S13 | 1 | SiL | 0.28 | 0.58 | 0.14 | 1.15 |
| | 2 | SiL | 0.26 | 0.57 | 0.17 | 1.19 |
| S14 | 1 | SL | 0.66 | 0.28 | 0.06 | 1.32 |
| | 2 | SL | 0.60 | 0.32 | 0.08 | 1.36 |
| S15 | 1 | L | 0.42 | 0.46 | 0.12 | 1.26 |
| | 2 | SiL | 0.38 | 0.46 | 0.16 | 1.29 |

¹ Horizon 1 from 0-40 cm, horizon 2 from 40-100 cm

² L: Loam; C: Clay; CL: Clay loam; SCL: Sandy clay loam; SiL: Silt loam; SL: Sandy loam; SC: Sandy clay

Notes:

1. Sand, silt and clay fractions and ρ_{bulk} were the averages of the subsamples 10 cm depth increments per horizon (soil properties / surface roughness in section 2.2.2)

Table A2. Soil properties per soil class in Cuauhtemoc watershed.

| Soil class | Horizon ¹ | Textural class ² | Sand [-] | Silt [-] | Clay [-] | ρ_{bulk} [g cm ⁻³] | f [-] | α | n |
|------------|----------------------|-----------------------------|----------|----------|----------|--|---------|----------|--------|
| SC1 | 1 | L | 0.42 | 0.46 | 0.12 | 1.26 | 0.48 | 0.0073 | 1.5918 |
| | 2 | L | 0.38 | 0.46 | 0.16 | 1.29 | 0.41 | 0.0068 | 1.6042 |
| SC2 | 1 | C | 0.24 | 0.28 | 0.48 | 1.29 | 0.50 | 0.0170 | 1.3333 |
| | 2 | C | 0.20 | 0.29 | 0.51 | 1.32 | 0.49 | 0.0171 | 1.3171 |
| SC3 | 1 | CL | 0.30 | 0.32 | 0.38 | 1.25 | 0.53 | 0.0137 | 1.4110 |
| | 2 | C | 0.24 | 0.35 | 0.40 | 1.22 | 0.54 | 0.0137 | 1.3970 |
| SC4 | 1 | C | 0.39 | 0.21 | 0.40 | 1.76 | 0.34 | 0.0240 | 1.1817 |
| | 2 | C | 0.39 | 0.20 | 0.41 | 1.82 | 0.31 | 0.0265 | 1.1600 |
| SC5 | 1 | L | 0.40 | 0.34 | 0.26 | 1.42 | 0.42 | 0.0116 | 1.4666 |
| | 2 | CL | 0.35 | 0.36 | 0.29 | 1.45 | 0.42 | 0.0112 | 1.4541 |
| SC6 | 1 | SCL | 0.55 | 0.22 | 0.23 | 1.39 | 0.48 | 0.0178 | 1.4236 |
| | 2 | SCL | 0.54 | 0.19 | 0.27 | 1.27 | 0.52 | 0.0179 | 1.4145 |

Table A2. Soil properties per soil class in Cuauhtemoc watershed (cont.).

| Soil class | Φ [cm ³ cm ⁻³] | θ_{res} [cm ³ cm ⁻³] | K_{sat} [cm d ⁻¹] | Cohesion at saturation [kPa] | S_f [cm] | d_{50} [μ m] |
|------------|---|--|------------------------------------|------------------------------------|------------|---------------------|
| SC1 | 0.48 | 0.05 | 26.30 | 3 | 25 | 30 |
| | 0.46 | 0.06 | 29.70 | 3 | 25 | 21 |
| SC2 | 0.50 | 0.10 | 20.94 | 12 | 40 | 2.5 |
| | 0.49 | 0.10 | 16.79 | 12 | 35 | 2.0 |
| SC3 | 0.53 | 0.09 | 23.95 | 10 | 40 | 7 |
| | 0.54 | 0.10 | 28.03 | 12 | 35 | 5 |
| SC4 | 0.34 | 0.07 | 2.31 | 3 (33) | 1 | 9 |
| | 0.31 | 0.07 | 1.80 | 3 (33) | 1 | 9 |
| SC5 | 0.50 | 0.07 | 10.15 | 3 | 40 | 11 |
| | 0.49 | 0.08 | 7.84 | 10 | 35 | 12 |
| SC6 | 0.48 | 0.07 | 25.79 | 3 | 25 | 70 |
| | 0.52 | 0.08 | 38.75 | 3 | 25 | 70 |

¹ Horizon 1 from 0-40 cm, horizon 2 from 40-100 cm

² L: Loam; C: Clay; CL: Clay loam; SCL: Sandy clay loam

Notes:

1. Sand and silt fractions and ρ_{bulk} were the average of the selected soil samples (soil profile and augers) within each soil class
2. Clay fraction was calculated from sand and silt fractions
3. f , Van Genuchten parameters (α and n), Φ , θ_{res} , and K_{sat} were derived from Rosetta using texture and ρ_{bulk} as inputs.
4. Cohesion at saturation, S_f , and d_{50} were derived from texture

Appendix B

Table B1. Normal monthly precipitation for the period 1981-2010 in CONAGUA's Hydro-Administrative Region V¹.

| | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Sum |
|----|-----|-----|-----|-----|-----|------|------|------|------|-----|-----|-----|------|
| mm | 8 | 8 | 6 | 15 | 71 | 230 | 200 | 219 | 242 | 113 | 20 | 7 | 1139 |
| % | 0.7 | 0.7 | 0.5 | 1.3 | 6.2 | 20.2 | 17.6 | 19.2 | 21.2 | 9.9 | 1.8 | 0.6 | 100 |

¹ Hydro-Administrative Region V includes the State of Oaxaca's and part of the State of Guerrero's basin flowing to the Pacific. Source: CONAGUA (2016)

Table B2. Confusion matrix of land cover classification.

| | | Reference label | | | |
|----------------|------------------|-----------------|------------------|-------|--------|
| | | Mature forest | Eroded bare land | Maize | Fallow |
| Produced label | Mature forest | 1846 | 0 | 26 | 0 |
| | Eroded bare land | 0 | 147 | 0 | 0 |
| | Maize | 0 | 0 | 80 | 7 |
| | Fallow | 0 | 0 | 6 | 38 |

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Table B3. Land cover based OpenLISEM parameterization for Cuauhtemoc watershed

| Land use | Soil surface | | Soil cover | | |
|------------------|------------------------|----------------------------|--|-----------------|---------------------|
| | Surface roughness [cm] | Manning's rough. coef. [-] | fCover [m ² m ⁻²] | Veg. height [m] | Canopy storage [mm] |
| Forest | 0.70 | 0.13 | 0.49 | 12 | 1.60 |
| Maize | 1.0 | 0.07 | 0.04 to 0.65 | 0 to 2.12 | 0.19 to 2.43 |
| Fallow | 1.0 | 0.07 | 0.17 to 0.57 | 0 to 0.15 | 0.48 to 1.44 |
| Eroded bare land | 0.1 | 0.02 | 0 | 0 | 0 |

Table B4. Soil type based OpenLISEM parameterization for Cuauhtemoc watershed

| Soil type | Soil erodibility | | d ₅₀ [μm] | Infiltration | | | Initial moisture [cm ³ cm ⁻³] | Depth of horizon [mm] |
|-----------|-------------------|-------------------------------|-------------------------|---|------------------------|--|--|--------------------------|
| | Cohesion [kPa] | Aggregate stability [-] | | K _{sat} [mm h ⁻¹] | S _r [cm] | Φ [cm ³ cm ⁻³] | | |
| SC1 | 500 | 500 | 200 | h1: 0.078 | h1: 25 | h1: 0.48 | h1: 0.24-0.37 | h1: 400 |
| | | | | h2: 0.085 | h2: 25 | h2: 0.46 | h2: 0.17-0.38 | |
| SC2 | 500 | 700 | 700 | h1: 0.019 | h1: 40 | h1: 0.50 | h1: 0.23-0.42 | h2: 1000 |
| | | | | h2: 0.019 | h2: 35 | h2: 0.49 | h2: 0.19-0.41 | |
| SC3 | 500 | 700 | 700 | h1: 0.02 | h1: 40 | h1: 0.53 | h1: 0.23-0.42 | |
| | | | | h2: 0.02 | h2: 35 | h2: 0.54 | h2: 0.19-0.41 | |
| SC4 | 300 | 9 | 9 | h1: 0.0005 | h1: 40 | h1: 0.34 | h1: 0.07-0.09 | |
| | | | | h2: 0.0005 | h2: 40 | h2: 0.31 | h2: 0.07-0.07 | |
| SC5 | 500 | 700 | 700 | h1: 0.02 | h1: 40 | h1: 0.50 | h1: 0.23-0.42 | |
| | | | | h2: 0.02 | h2: 35 | h2: 0.49 | h2: 0.19-0.41 | |
| SC6 | 5000 | 20000 | 20000 | h1: 0.08 | h1: 25 | h1: 0.48 | h1: 0.24-0.37 | |
| | | | | h2: 0.09 | h2: 25 | h2: 0.52 | h2: 0.17-0.38 | |

580 **Table B5. Channel OpenLISEM parameterization for Cuauhtemoc watershed**

| Width [m] | Depth [m] | Side angle [°] | Manning's rough. coef. [-] | Cohesion [kPa] | K _{sat} [mm h ⁻¹] |
|--------------|--------------|----------------------|----------------------------------|-------------------|---|
| 2.0 | 0.9 | 0 | 0.05 | 500 | 0.09 |

Table B6. Collected sediment at study units

| Date | Event | Collected sediment [kg] | | | | SUEL |
|---|-------|-------------------------|------|------|-------|-------|
| | | SUFO | SUFA | SUM1 | SUM2 | |
| 5/14/2017 | a | 0.05 | 0.05 | | | |
| | b | 0.05 | 0.05 | | | |
| 5/24/2017 | a | 0.05 | 0.05 | | 16.34 | |
| 5/28/2017 | a | 0.05 | 0.05 | 0.05 | 5.56 | |
| 5/30/2017 | a | 0.05 | 0.05 | 0.05 | 12.8 | |
| 6/3/2017 | a | 0.05 | 0.05 | 1.59 | 32.8 | |
| 6/4/2017 | a | 0.05 | 0.05 | 1.27 | 26.2 | |
| 6/6/2017 | a | 0.05 | 0.05 | 0.62 | 12.7 | |
| 6/8/2017 | a | 0.05 | 0.05 | 1.49 | 21.7 | 0.05 |
| 6/10/2017 | a | 0.05 | 0.05 | 3.25 | 0.05 | 0.05 |
| 6/14/2017 | a | 0.05 | 0.05 | 0.05 | 2.49 | 3.21 |
| 6/15/2017 | a | 0.05 | 0.05 | 0.05 | 6.19 | 3.9 |
| 6/16/2017 | a | 0.05 | 0.05 | 0.05 | 4.61 | 6.4 |
| | b | | | | | 0.05 |
| 6/18/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 4.49 |
| 6/19/2017 | a | 0.05 | 0.05 | 0.3 | 0.3 | 6.39 |
| 6/26/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 0.6 |
| 6/27/2017 | a | 0.05 | 0.05 | 0.4 | 0.28 | 18.6 |
| 6/28/2017 | a | 0.05 | 0.05 | 0.05 | 1.29 | 6.56 |
| 6/28/2017 | a | 0.05 | 0.05 | 0.05 | 1.12 | 2.81 |
| 6/30/2017 | a | 0.05 | 0.05 | 0.05 | 5.7 | 25.7 |
| | b | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 |
| 7/3/2017 | a | 0.05 | 0.05 | 0.05 | 0.31 | 0.45 |
| 7/4/2017 | a | 0.05 | 0.05 | 0.05 | 0.41 | 0.58 |
| 7/9/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 2.07 |
| | b | 0.05 | 0.05 | 0.05 | 0.75 | 0.05 |
| 7/10/2017 | a | 0.05 | 0.05 | 0.05 | 0.39 | 1.13 |
| 7/16/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 0.4 |
| 7/18/2017 | a | 0.05 | 0.05 | 0.05 | 4.0 | 13.8 |
| 7/24/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 2.55 |
| 7/25/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 1.73 |
| 7/28/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 1.73 |
| 7/29/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | |
| | b | 0.05 | 0.05 | 0.05 | 0.05 | 2.59 |
| 7/31/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 28.2 |
| 8/1/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 |
| 8/3/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 23.0 |
| 8/5/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 8.9 |
| 8/10/2017 | a | 0.05 | 0.05 | 0.05 | 0.05 | 30.8 |
| Total sediment yield [Mg ha ⁻¹] | | << 1 | << 1 | 0.10 | 1.52 | 19.11 |

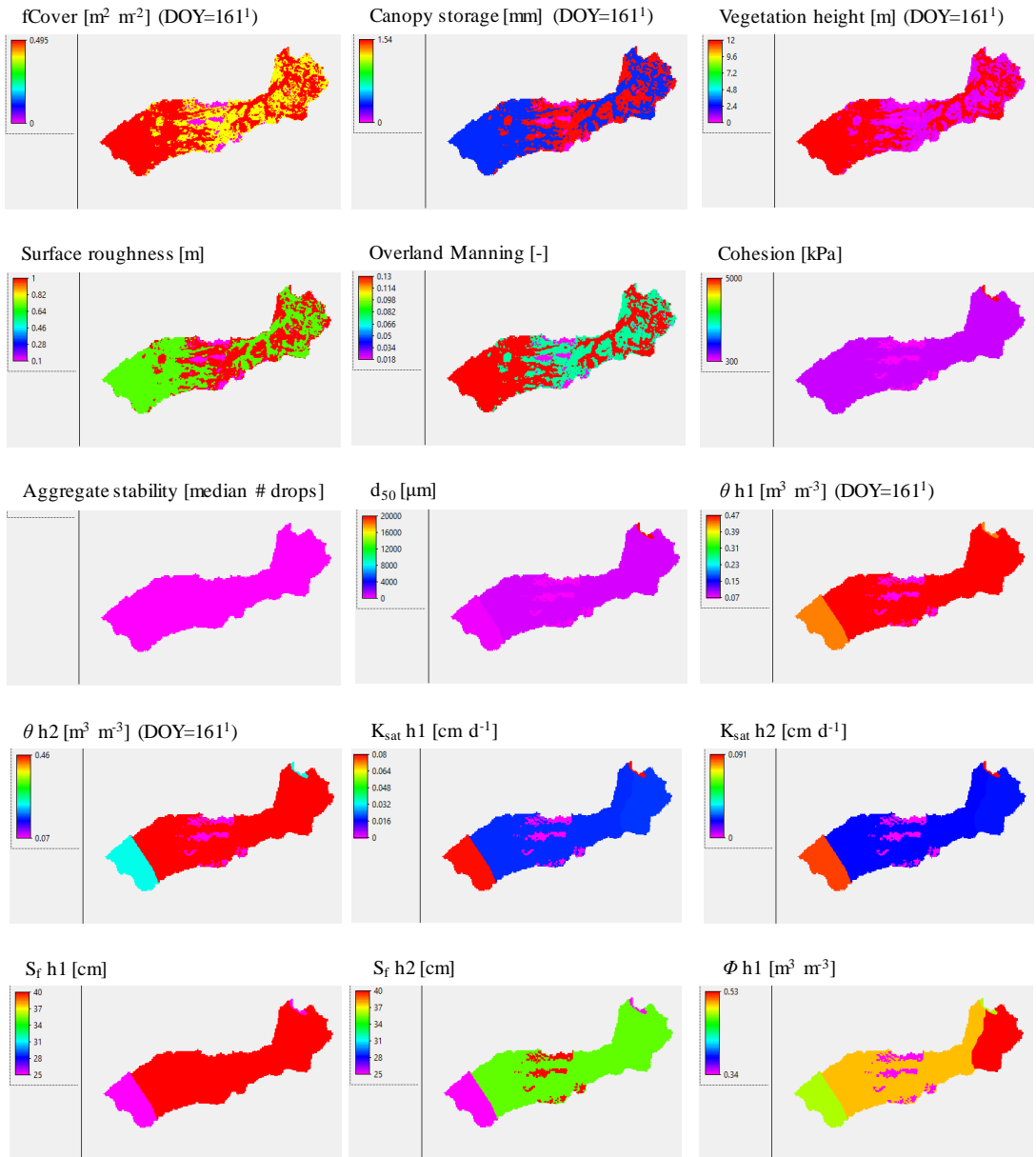
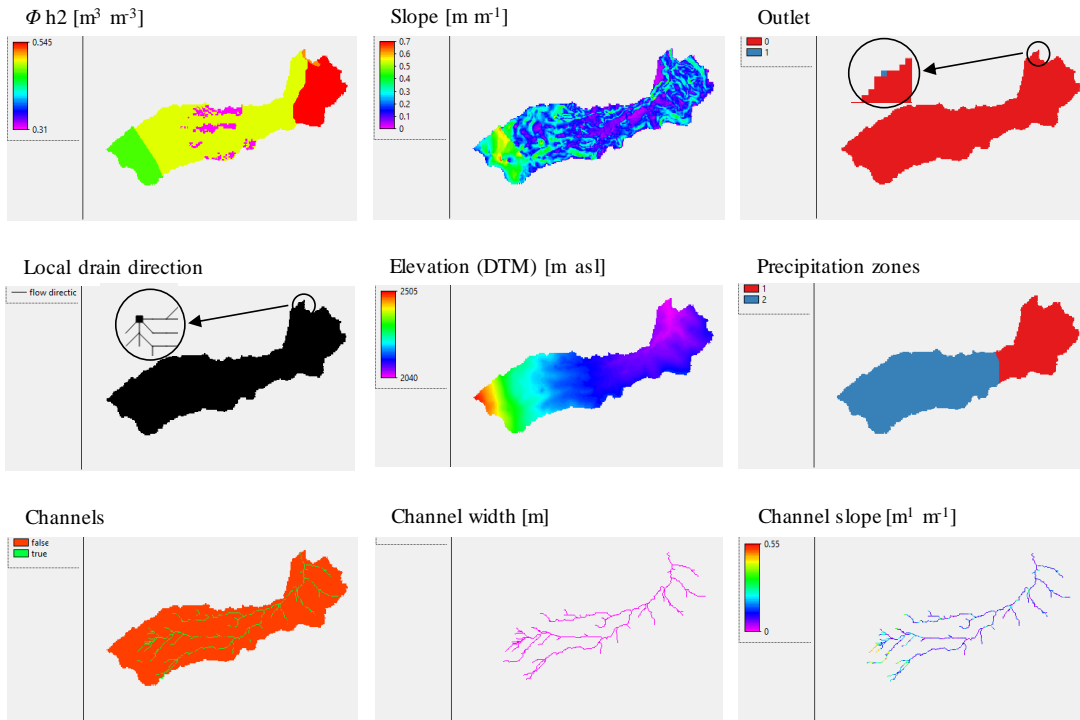


Figure B1. Maps of input parameters



¹ Day of the Year (DOY) 161 corresponds to June 10, 2017 and 34 days after maize in SUM was planted.

Notes:

1. Value of aggregate stability map per Table B4
2. Maps of horizon depth 1 and 2 are constant (similar to aggregate stability map) per Table B4
3. Values of channel depth, side angle, Manning's roughness, cohesion, and K_{sat} are constant (sim. to channel width) per Table B5

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Figure B1. Maps of input parameters (cont.)

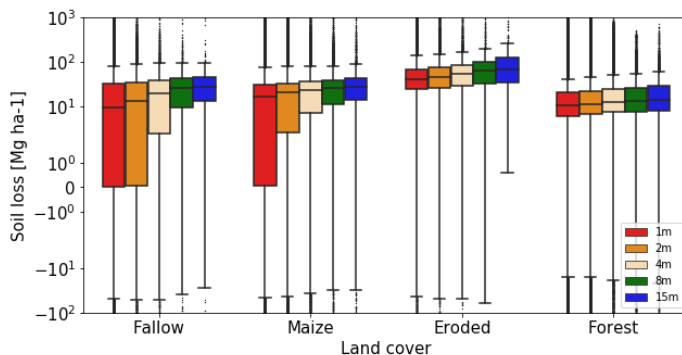


Figure B2. Cumulative soil loss (Mg ha^{-1}) box-plot per land cover across resolutions

Author contribution

SN carried out on site data collection, performed the simulations and prepared the manuscript with contributions from all co-
 600 authors. GC, CM and FR were the main advisors in the methodology. MF performed soil sample processing. MF, FR and SL
 provided on site logistics.

Competing interests

The authors declare that they have no conflict of interest.

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Comment [W18]: Inserted in R2

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