Unshielded precipitation gauge collection efficiency with wind speed and hydrometeor fall velocity. Part I: modelling results

3 Author Response to Anonymous (Referee #2)

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5 In this work the authors presented "A new method for assessing collection efficiency using wind speed and hydrometeor fall 6 velocity", but this methodology, based on CFD simulations and Lagrangian particle tracking model have been previously used 7 in the recent literature (e.g. Thériault et al. 2012, Colli et al. 2016a,b). The Geonor precipitation gauge has been studied in 8 these works in both shielded and unshielded configuration.

9 Authors' response: This is the first CFD study to develop a universal collection efficiency transfer function based on wind 10 speed and hydrometeor fall velocity, which is broadly applicable, novel, and important. Previous studies, including those of 11 Thériault et al. (2012) and Colli et al. (2016a,b), have certainly used CFD simulations and Lagrangian particle tracking to 12 study collection efficiency for Geonor gauges in shielded and unshielded configurations. These studies, as well as others that 13 used CFD to study gauge collection efficiency for rain and snow (e.g. Nešpor and Sevruk, 1999; Colli et al., 2015; Baghapour 14 et al., 2017; and Baghapour and Sullivan, 2017), are described and referenced clearly in the manuscript. None of these studies 15 developed a collection efficiency transfer function based on the wind speed and hydrometeor fall velocity, as in the present 16 study; hence, this is a new method. The hydrometeor fall velocity can be measured by a variety of instruments for both rain 17 and snow and the use of this transfer function can dramatically improve experimental collection efficiency estimates, as shown 18 in Part II.

Thériault et al. (2012) developed transfer functions with wind speed for specific hydrometeor types (radiating assemblage of plates, dendrite, heavily rimed dendrites, hexagonal plates, lump graupel, dry snow, and wet snow), with a different transfer function for each hydrometeor type. The contribution of Thériault et al. (2012) is captured in the current manuscript in a number of places (ln. 69-79, 91-93, 469-471, 492-495, 501-503, 562-564, 568-570, and 596-597). The contributions of Colli et al. (2016a,b) do not develop a collection efficiency transfer function. There are also modelling differences between the present study and each of these two earlier studies, as shown in Table A1 (below) and discussed in the manuscript.

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26 **Table A1:** Summary of Thériault et al. (2012), Colli et al. (2016a,b), and present study numerical collection efficiency models.

	Thériault et al. (2012)	Colli et al. (2016a,b)	Present study
Numerical	Reynolds-averaged Navier-	Reynolds-averaged Navier-	Favre-averaged Navier-Stokes
model	Stokes (RANS) k-ε	Stokes (RANS) k-ω, Large-eddy	(FANS) k-e
		simulation (LES)	

Gauge	Single-Alter shielded Geonor T-	Unshielded and single-Alter	Unshielded Geonor T-200B
	200B	shielded Geonor T-200B	
Gauge	Not specified ~1 cm orifice	Not specified ~1 cm orifice	Refined orifice thickness
geometry	thickness	thickness	(3.15mm) and length (360 mm)
(orifice)			to match actual gauge
Shield	Single-Alter	Unshielded, Single-Alter	Unshielded
Mesh	0.35 M cells	Tetrahedra and prisms, 1.5 M –	Structured, 8.3 M cells
		29.5 M cells	
Inlet	Not specified	0 %	5 %
turbulence			
intensity			
Precipitation	Dry snow, wet snow, radiating	Dry snow, wet snow	Orographic rain, thunderstorm
type	assemblage of plates, hexagonal		rain, dry snow, wet snow, ice
	plates, dendrite, graupel, and		pellet, snow, dendrites, rimed
	heavily rimed dendrite		dendrites, columns and plates,
			dendrites and aggregates of
			plates
Hydrometeor	Lagrangian uncoupled	Lagrangian uncoupled	Lagrangian uncoupled
model			
Drag	Constant over hydrometeor	Constant over hydrometeor	Drag varies with relative
coefficient	trajectory	trajectory	hydrometeor to air velocity over
			trajectory
Injection plane	Not specified	Vertical	Horizontal
Model	Wind speed, precip type,	Numerical model, wind speed,	Wind speed, fall velocity, precip
parameters	hydrometeor size distribution,	precip type, hydrometeor size,	type, precip intensity
studied	turbulence	shielding	
Collection	$CE = \int_{0}^{D_{\max}} A_{inside}(D) N(D)$	$CE = \int_{0}^{d_{P_{\text{max}}}} V_{w}(d_{p}) A_{\text{inside}}(d_{p}, U_{w}) N(d_{p}) d_{p}$	$\int_{0}^{\infty} CE(u_{\rm w},u_{\rm f})D^{3}N_{R}(D)u_{\rm f}(D)dD$
efficiency	$CL = \frac{1}{\int_{0}^{D_{\max}} A_{gauge}(D) N(D)}$	$CL = \frac{\int_{0}^{d_{p_{\max}}} V_{w}(d_{p}) A_{gauge} N(d_{p}) d_{p}}{\int_{0}^{d_{p_{\max}}} V_{w}(d_{p}) A_{gauge} N(d_{p}) d_{p}}$	$CE_{\text{R,Overall}} = \frac{0}{\int_{0}^{\infty} D^{3}N(D)u(D)dD}$
definition			$\int_{0}^{D} N_{R}(D) \mu_{f}(D) dD$
			$\int_{0}^{\infty} CE(u_{\rm w},u_{\rm f})D^{3}N_{\rm S}(D)u_{\rm f}(D)dD$
			$CE_{\rm S,Overall} = \frac{0}{\int_{-\infty}^{\infty} D^3 N_{\rm s}(D) u_{\rm s}(D) dD}$
			J S (-) (-)

Derived	$CE_{snow} = f(wind speed)$ with	None	$CE_{rain\&snow} = f(wind speed,$
transfer	unique transfer function for		hydrometeor fall velocity)
function	specific solid precip types		universal transfer function
			across rain and snow precip
			types
			Derived fall velocity cutoff for
			zero collection efficiency

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One of the main conclusion of this work is the relation between "Collection Efficiency" (CE) and the particle fall velocity instead of the particle diameter as shown in Colli et al. 2016b. However, for wet and dry snow they use the relation proposed by Rasmussen et al. 1999 to calculate the particle fall velocity as a function of the particle diameter. Furthermore, in equation 9 and 17 the authors reported the formulas for the "overall Collection Efficiency" for rain and snow respectively. In these equations is highlighted that the fall velocity is a function of the particle diameter (D) and therefore the overall

35 CE depends only on the wind speed and D. For this reason, there is no novelty in this approach.

Authors' response: The statement by the reviewer that "the fall velocity is a function of the particle diameter (D) and therefore the overall CE depends only on the wind speed and D" would certainly apply to situations where the hydrometeor type and fall velocity dependence with size is known, only one hydrometeor type is present, and the size distribution of hydrometeors is known. This is essentially what has been shown in the previous studies by Thériault et al. (2012) and Colli et al. (2016b). This is not what is shown in the present study. Further, neither of these previous studies considered fall velocity explicitly in the collection efficiency formulation, as it is in the present study.

42 The present study shows that a single transfer function based on wind speed and hydrometeor fall velocity, developed herein, 43 can accurately capture collection efficiencies across a wide range of wind speeds without explicit knowledge of the 44 hydrometeor type, size distribution, or intensity, and in situations where multiple hydrometeor types are present. By using the 45 fall velocity, which is a singular, observable parameter, it is possible to describe the collection efficiency without any further 46 knowledge of the hydrometeors. It is not apparent from the work of Colli et al. 2016b that the collection efficiency for different 47 hydrometeors with the same fall velocity (rain, wet snow, and dry snow) would be similar despite large differences in the hydrometeor diameter, density and mass. For example, using the present approach, a small raindrop with a fall velocity of 0.5 48 49 m s⁻¹ is assigned the same collection efficiency as a spherical dry snow hydrometeor with the same fall velocity. There is significant novelty in the approach developed in this study, as adjustments based on fall velocity are more broadly applicable 50 51 than those developed in previous studies that require knowledge of the hydrometeor size and type.

52 With respect to the specific formulations used, Eqs. 9 and 17 derive the overall collection efficiency by integrating over the 53 hydrometeor size distribution. The sizes here correspond to the equivalent diameters of water droplets as described in ln. 24554 246, which differ from the values of Rasmussen et al. (1999) based on the hydrometeor size. For snowfall, the power law 55 values in this study are given by Langleben (1954) as described in ln. 206-264 and shown in Table 4. Substituting the fall 56 velocity expression with equivalent drop diameter (Eq. 16) into the overall collection efficiency expression (Eq. 17) would 57 indeed provide different collection efficiency curves for different hydrometeor types, as the relationship between the 58 hydrometeor size and fall velocity is different. These differences are shown in Fig. 9 for different liquid and solid precipitation 59 types and intensities.

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62 L 175: a) The authors use the relation proposed by Rasmussen et al. 1999 to calculate the terminal velocity, and they stated 63 that "hydrometeor density was chosen to provide the desired hydrometeor fall velocity", but in the work of Rasmussen et al. 64 the density value relations are provided for both wet and dry snow. How did the authors vary the hydrometeor density? 65 Authors' response: The hydrometeor density was not varied in this study. As described in Sect. 2.2, the hydrometeor density 66 for wet snow and dry snow was determined from the hydrometeor diameter and fall velocity using a spherical drag model (ln. 67 175-178). The size and fall velocity relationship for spherical wet snow and dry snow hydrometeors follows that of Rasmussen 68 et al. (1999), which was used in previous studies (Thériault et al., 2012;Colli et al. 2016b). The drag coefficient for spherical 69 hydrometeors is given by Henderson (1976) based on the relative hydrometeor to air velocity. This drag formulation closely 70 matches that of Haider and Levenspiel (1989) used in previous studies (Baghapour and Sullivan, 2017). Fig. 5 shows collection 71 efficiencies are similar for hydrometeors with the same fall velocity despite differences in type, size, density, and mass (Table 72 2). This enables the development of a collection efficiency transfer function based on wind speed and hydrometeor fall velocity, 73 independent of hydrometeor type, size, density, and mass.

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76 L 175: b) Are these density values realistic? or are they used only to obtain the fall velocity the authors desired?

Authors' response: The density values provided in Table 2 are realistic for spherical hydrometeors with the diameter and fall velocity relationship provided by Rasmussen et al. (1999) and used in previous studies (Thériault et al., 2012; Colli et al. 2016b). The results of this study show that across rain, ice pellet, wet snow, and dry snow hydrometeors, collection efficiency results are highly sensitive to the wind speed and hydrometeor fall velocity, and relatively insensitive to differences in hydrometeor density across hydrometeor types.

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L 175: c) The smaller particle of wet snow has a density value greater than water, is it right?

Authors' response: For wet snow, the density increases rapidly with decreasing size below approximately 3 mm, as shown in Table 2 in the manuscript. Comparing between the 1.0 m s⁻¹ fall velocity hydrometeor (with 0.22 mm diameter and 1.35 kg

 m^{-3} density) and 1.25 m s⁻¹ fall velocity hydrometeor (with 1.7 mm diameter and 0.3 kg m⁻³ density) in Table 2 shows the rapid

decrease in the diameter and increase in the density as the fall velocity is reduced. While a density above 1 kg m⁻³ is unrealistic, it was included to show the results at the edge of this low fall velocity range, despite slightly over-shooting the density of water. It is worth noting that higher densities for wet snow (2.88 kg m⁻³ and 1.44 kg m⁻³) were included in the modelling analysis of Colli et al. (2016b) for 0.25 mm and 0.5 mm diameter hydrometeors. This overestimation of the density at small hydrometeor sizes may be due to errors in the power law relationship between hydrometeor diameter and fall velocity at small hydrometeor diameters, which is beyond the scope of the present study to assess further.

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Fig. 5 and 6 : in figure 5 the authors showed the "collection efficiency" for different precipitation types and fall velocities
respect to wind speed.

98 Authors' response: We agree with this statement.

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100 It is clear from the figure that there are differences in the CE values of different precipitation type but with the same fall 101 velocity.

102 Authors' response: We agree there are differences, and these are already discussed in Sect. 3.2 in the paper.

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Furthermore, the authors used only part of these data to obtain the "empirical collection efficiency expression" showed in figure 6, but this relation has been used to calculate the "overall Collection Efficiency" for all the particle types. How do this affect the obtained results?

Authors' response: Selected curves were chosen representative of each hydrometeor type to span the range of possibilities in
a uniform and unbiased way. This does not significantly affect the obtained results. This is described in Sect. 3.3.

109 We will modify the discussion in Sect 3.3 to further highlight this point, including adding the RMSE results for rain (0.04),

110 ice pellets (0.02), wet snow (0.02), and dry snow (0.05) compared with the collection efficiency transfer function to further

111 describe the agreement of the transfer function with the CFD results. We also recommend including all the CFD results from

Fig. 5 with the transfer function fits in Fig. 6 to better show the performance of the transfer function relative to the entire CFDmodelling dataset.

Results from this transfer function using the "overall collection efficiency" are compared with Colli et al. (2016b) dry snow and wet snow results and show good overall agreement (Fig. 8) despite modelling differences as discussed in Sect. 4.4.1. The transfer function is also directly assessed with experimental results for rain, snow and mixed precipitation over a wide range of environmental conditions in the Part II manuscript, showing good agreement (Tables 4 and 7).

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Sections 3.4.3 and 4.43: in these sections (Results and Discussion sections) the authors highlight the dependency of overall CE with precipitation intensity. This topic is addressed in the recent work of Colli et al. 2020. Do the authors compare their

124 results with that work?

125 Authors' response: The authors recommend that the work of Colli et al. (2020) is added to the introduction and referenced in 126 the discussion (Sect. 4.4.3) with respect to the dependence of overall collection efficiency on precipitation intensity for a given 127 hydrometeor type. Their findings support the results of the present study using the transfer function based on wind speed and 128 hydrometeor fall velocity developed herein. It is important to note that the work of Colli et al. (2020) is for a single-Alter 129 shielded Geonor gauge, and is not directly comparable to this work using an unshielded Geonor gauge. The work of Colli et 130 al. (2020) also does not develop an explicit transfer function equation based on CFD modelling results to be directly compared 131 with experimental results, as is developed in the present study. Instead, transfer function fit coefficients are derived 132 experimentally, with different coefficients for each test site at temperatures below -4 °C.

133 The present approach is fundamentally different than that of Colli et al. (2020), in which wind speed and precipitation intensity 134 (determined from the measured gauge accumulation) are used to adjust the measured gauge accumulation. While this enables 135 adjustments to be performed at sites where only precipitation gauge and wind speed measurements are available, the collection 136 efficiency adjustment (obtained from the precipitation intensity and gauge measurement) is not independent from the measured 137 value to be adjusted. This could be problematic for adjusted precipitation accumulation estimates, as gauge measurement 138 uncertainties can be propagated through both the measured gauge accumulation and the collection efficiency transfer function. 139 It is also difficult to apply this approach across different hydrometeor types (e.g. rain and snow), as different types can have 140 different fall velocities and associated collection efficiencies, even for the same precipitation intensity, as shown in Fig. 10 of 141 the present manuscript. The fall velocity transfer function developed in the present study can be applied more broadly across 142 different precipitation types, and the collection efficiency adjustment is determined independently from the gauge 143 accumulation, with separate instruments for measuring the wind speed, precipitation fall velocity (e.g. a Precipitation 144 Occurrence Sensor System (POSS) as shown in Part II), and precipitation accumulation.

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147 In general, in this work the authors reproduced methodologies used in previous works and there are no significant 148 improvements or novelty.

Authors' response: The authors disagree strongly that there are no significant improvements or novelty in this work. This is the first CFD modelling study to: (1) characterize precipitation gauge collection efficiency with respect to wind speed and fall velocity; (2) show that collection efficiencies are similar for different hydrometeor types with identical fall velocities; and (3) develop a universal transfer function based on wind speed and hydrometeor fall velocity that is broadly applicable across both liquid and solid precipitation types.

Previous studies have developed different transfer functions with wind speed for different snowfall crystal types (Thériault et
al., 2012) or based on the wind speed and precipitation intensity for snowfall (Colli et al., 2020). The approach in Thériault et

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156 al. (2012) requires specific knowledge of the hydrometeor type, and has not been demonstrated to be viable for situations in 157 which more than one precipitation type is present (e.g. both liquid and solid precipitation, different snowflake types) or the 158 precipitation type is unknown or different from the specific crystal types considered; this makes it difficult to implement 159 operationally. The approach in Colli et al. (2020) requires knowledge of the precipitation intensity, which is not independent 160 from the gauge accumulation, considers temperatures below -4 °C only, and does not address the challenge of accurately 161 adjusting liquid and/or solid precipitation at temperatures where either or both of these types may be present. The collection 162 efficiency transfer function using fall velocity developed in the present study addresses these limitations and is broadly 163 applicable. That stated, the authors acknowledge the limitations of the spherical hydrometeor model and recommend the study 164 of non-spherical hydrometeors for future work (ln. 524-525).

With respect to the Reviewer's statement that "the authors reproduced methodologies used in previous works," the authors do not claim to be the first to use a CFD model and Lagrangian particle tracking to study the collection efficiency of hydrometeors for Geonor gauges. Previous studies using these approaches have been discussed and referenced in the manuscript. Similarities and differences in the approaches and results are discussed in the manuscript and in the responses above.

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Furthermore, there are a few points the authors need to clarify, like e.g. the choice of the particle density values and the use of an unique empirical CE relation for different precipitation types and they need to evaluate how these impact on the results.

Authors' response: As discussed above (response to comment regarding L175), the density values used in this study are for spherical hydrometeors matching the diameter and fall velocity relationship provided by Rasmussen et al. (1999) and used in previous studies. The method by which the density values were determined is important to clarify, but it should be reiterated that the collection efficiency results in this study are highly sensitive to the wind speed and hydrometeor fall velocity and relatively insensitive to differences in hydrometeor density across hydrometeor types.

178 With respect to evaluating how a "unique empirical CE relation for different precipitation types" impacts results, the transfer 179 function was developed using a uniform and unbiased approach, providing low RMSE values when fit to all modelled datasets 180 including rain (0.04), ice pellets (0.02), wet snow (0.02), and dry snow (0.05). It is also assessed against wet snow and dry 181 snow modelling results from Colli et al. (2016b) and demonstrates good overall agreement (Fig. 8). Further, this transfer 182 function is directly applied to experimental results in the Part II manuscript and shows very good agreement over a wide range 183 of conditions, supporting the modelling methodology and establishing further the fundamental role of hydrometeor fall velocity 184 on gauge collection efficiency. The agreement between the modelling and experimental results suggests that this approach 185 may be universally applicable across different climate regions and sites, demonstrating its potential for improving estimates 186 of precipitation accumulation globally.

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- 191 Colli, M., Stagnaro, M., Lanza, L. G., Rasmussen, R. and Thériault, J. M. (2020). Adjustments for wind-induced undercatch
- 192 in snowfall measurements based on precipitation intensity, Journal of hydrometeorology, 21, 1039-1050.