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Discussions

Interactive comment on "Groundwater Level Forecasting with Artificial Neural Networks: A Comparison of LSTM, CNN and NARX" *by* Andreas Wunsch et al.

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1 General Comments

The manuscript sets out to compare the groundwater level forecasts of three different data-driven model classes. Interestingly, they find that the simplest model (NARX) outperforms the more complicated models in almost all settings. And, it exhibits at least competitive performance over the entire testing battery.

Overall, the manuscript is honest and well written; the experiments all appear carefully executed; and the findings are both interesting and novel. I would therefore recommend accepting the contribution. I do however suggest major changes. Simply, because I

believe that the manuscript does not fully exhaust its potential. And, I do believe that with a few – but crucial – changes the paper can be improved greatly.

2 Specific Comments

Framing. The authors present their research as a comparative study between different model classes. In reality, it is a reflection upon the data-dependency of empirical modelling. In the current version of the manuscript this second version is somewhat concealed. It does not appear in the abstract and introduction, and only slowly emerges in the discussion of the manuscript. I would recommend emphasising it from the start to the end. It is closer to the underlying theme. More importantly, however, it connects the study with an important branch of environmental/empirical modelling research. Loosely speaking, the task of figuring out how much data is warranted for a given setup (for a classical reference I suggest Jakeman and Hornberger; 1993 – for a more modern flavour I would like to recommend Gauch, Mai and Lin; 2021). And, goes even further by connecting it to a common thread of machine learning literature, which attributes parts of the recent success to the availability of large-scale datasets (see for example Halevy, Norvig and Pereira; 2009). The discussion and conclusions of the current version suggests to me that the authors are aware of this "data-scarcity" theme. For some reasons they did however not commit fully to it. A reframing would not require new experiments, but would give the manuscript more clarity, while also enriching the scholarly depth of the manuscript.

Showing. The manuscript should contain more summary tables and explanatory depictions to guide the readers. This is not to say that information is lacking. No. A lot of information is provided throughout the manuscript! It is just distributed over the entire text and some summary tables and figures are provided in the supplement. That is already useful. However, the supplement has itself 80 pages and serves a different purpose. I am thinking more about some kind of guide/help for readers in the style of Figure 1 (Page 6). In the following I provide some examples. They are supposed to exhibit the "form of exposition" that I am thinking about. I'd like to emphasize that they are however neither exhaustive nor imperative. My hope is that they inspire the authors to provide more clarity.

- A table with the different model setups. This would make it easier for readers to keep an overview.
- A set of graphs that shows the training/calibration development of the different models. This would allow readers to see if and how the model converged. Maybe that is something for an Appendix.
- An overview map of the basin-locations. This would provide an intuition about the scope of the study.
- A table with the different input parameters and their relation to the models. This would be a convenient look back while the results and discussion section.
- A conceptual depiction of the different models. This would be helpful to make a distinction between the models (and setups) clearer. It should probably not be super technical but illustrate the conceptual differences.
- A depiction which shows the data-availability of the different hydrographs. This would provide readers with an intuition about the actual length of the data. How many started in 1967? How many in 1994? What is their distribution?

Naming. I do not agree with many of the introduced terminology conventions of the manuscript. I did stumble upon some of the used conventions, and believe that other readers will too. Some adaptations could avoid this and make the manuscript more clearer. Concretely:

1. **NARX.** A nonlinear autoregressive exogenous model (NARX) can be seen as an extension of the ARIMA style time-series models (see for example Box and Jenkins; 2011) . This means that we can defined a NARX using the equation $y_t = f(y_{t-1},\ldots,y_{t-M},x_t,\ldots,x_{t-N}) + \epsilon_t$, where t is the time-index, y is the regressand and x constitutes the additional exogenous variables, and $f(\cdot)$ is our nonlinear linking function. The NARX setting does not necessitate the use of a specific linking function. As a matter of fact, all of the presented approaches – the shallow network, the CNN and the LSTM – can be used as non-linear components. All approaches can be used in a normal regression setting (where past estimations or measurements of the regressand is not provided to the model); an auto-regression setting (where past estimation or measurements are provided to the model); as well the presented open-loop, and closed-loop settings. I therefore think the chosen terminology (which contrasts NARX with CNNs and LSTMs) can be difficult to understand for many readers.
2. **Coefficient of Determination.** The equation for the coefficient of determination (R^2, equation 2) is actually the square of Pearson's correlation coefficient (note: r^2). The two statistical coefficients only correspond to each other in the simple linear-regression setting (and even there only post-hoc model fit). Clearly not the setting of the study. I see several possible fixes. 1. Renaming it. 2. Renaming and decapitalizing it. Reporting Pearsons's correlation coefficient instead. Regarding point 3. I admit it is the most laborious solution and eyeballing the results I would assume that it will not change much in practise. It is however the solution I would prefer, since it is closer to general scientific practise, and has the theoretical advantage that negative correlations are exposed instead of mapped back to a positive value.
3. **Sequence-to-value.** I was not able to figure out the difference between the terms "sequence-to-value" and "sequence-to-one". If the underlying settings are the same I would recommend to use the more common terminology (to give a com-

parison: A search on google scholar revealed around 4,400 results for the query "sequence to one", but only 38 for "sequence to value").

Lastly, I would like to reemphasize the already existing quality of the study. I just see much potential for improvements.

3 Technical Corrections

I do not have many technical corrections since the paper as such is well written.

- L.32ff. I would love to have some more references to the usage of the compared models in environmental research.
- L. 121ff. I miss references/sources for the CNNs (and their development).
- Figure description of Figure 1. The text starts without capitalization and the provided description is insufficient.
- L.280. The title capitalization is used differently from the reminder of the manuscript, which seems to follow an American English style.

4 References

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