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- 1 **Attribution of growing season evapotranspiration variability**
 - 2 **considering snowmelt and vegetation changes in the arid alpine**
 - 3 **basins**
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11 **Abstract:** Previous studies have successfully applied variance decomposition
12 frameworks based on the Budyko equations to determine the relative contribution of
13 variability in precipitation, potential evapotranspiration (E_0), and total water storage
14 changes (ΔS) to evapotranspiration variance (σ_{ET}^2) on different time-scales; however,
15 the effects of snowmelt (Q_m) and vegetation (M) changes have not been incorporated
16 into this framework in snow-dependent basins. Taking the arid alpine basins in the
17 Qilian Mountains in northwest China as the study area, we extended the Budyko
18 framework to decompose the growing season σ_{ET}^2 into the temporal variance and
19 covariance of rainfall (R), E_0 , ΔS , Q_m , and M . The results indicate that the incorporation
20 of Q_m could improve the performance of the Budyko framework on a monthly scale;
21 σ_{ET}^2 was primarily controlled by the R variance with a mean contribution of 63%,
22 followed by the coupled R and M (24.3%) and then the coupled R and E_0 (14.1%). The
23 effects of M variance or Q_m variance cannot be ignored because they contribute to 4.3%
24 and 1.8% of σ_{ET}^2 , respectively. By contrast, the interaction of some coupled factors
25 adversely affected σ_{ET}^2 , and the ‘out-of-phase’ seasonality between R and Q_m had the
26 largest effect (−7.6%). Our methodology and these findings are helpful for
27 quantitatively assessing and understanding hydrological responses to climate and
28 vegetation changes in snow-dependent regions on a finer time-scale.

29 **Keywords:** evapotranspiration variability; snowmelt; vegetation; attribution



30 **1 Introduction**

31 Actual evapotranspiration (ET) drives energy and water exchanges among the
32 hydrosphere, atmosphere, and biosphere (Wang et al., 2007). The temporal variability
33 in ET is, thus, the combined effect of multiple factors interacting across the soil–
34 vegetation–atmosphere interface (Katul et al., 2012; Xu and Singh, 2005). Investigating
35 the mechanism behind ET variability is also fundamental for understanding
36 hydrological processes. The basin-scale ET variability has been widely investigated
37 with the Budyko framework (Budyko, 1961, 1974); however, most studies are
38 conducted on long-term or inter-annual scales and cannot interpret the short-term ET
39 variability (e.g. monthly scales).

40 Short-term ET and runoff (Q_r) variance have been investigated recently for their
41 dominant driving factors (Feng et al., 2020; Liu et al., 2019; Wu et al., 2017; Ye et al.,
42 2015; Zeng and Cai, 2015; Zeng and Cai, 2016; Zhang et al., 2016a); to this end, an
43 overall framework was presented by Zeng and Cai (2015) and Liu et al. (2019). Zeng
44 and Cai (2015) decomposed the intra-annual ET variance into the variance/covariance
45 of precipitation (P), potential evapotranspiration (E_0), and water storage change (ΔS)
46 under the Budyko framework based on the work of Koster and Suarez (1999).
47 Subsequently, Liu et al. (2019) proposed a new framework to identify the driving
48 factors of global Q_r variance by considering the temporal variance of P , E_0 , ΔS , and
49 other factors such as the climate seasonality, land cover, and human impact. Although



50 the proposed framework performs well for the *ET* variance decomposition, further
51 research is necessary for considering additional driving factors and for studying regions
52 with unique hydrological processes.

53 The impact of vegetation change should first be fully considered when studying the
54 variability of *ET*. Vegetation change significantly affects the hydrological cycle through
55 rainfall interception, evapotranspiration, and infiltration (Rodriguez-Iturbe, 2000;
56 Zhang et al., 2016b). Higher vegetation coverage increases *ET* but reduces the ratio of
57 Q_r to P (Feng et al., 2016). However, most of the existing studies on *ET* variance
58 decomposition either ignored the effects of vegetation change or did not quantify its
59 contributions. Vegetation change is closely related to the Budyko controlling
60 parameters, and several empirical relationships have been successfully developed on
61 long-term and inter-annual scales (Li et al., 2013; Liu et al., 2018; Ning et al., 2020; Xu
62 et al., 2013; Yang et al., 2009). However, the relationship between vegetation and its
63 controlling parameters on a finer time-scale has received less attention. As such, it is
64 important to quantitatively investigate the contribution of vegetation change to *ET*
65 variability on a finer time-scale.

66 Second, for snow-dependent regions, the water balance equation should be modified to
67 consider the influence of snowmelt in short-term time scale, which has been the
68 foundation for decomposing *ET* or runoff variance and is expressed as:



$$69 \quad P = ET + Q_r + \Delta S, \quad (1)$$

70 where P , including liquid (rainfall) and solid (snowfall) precipitation, is the total water
71 source of the hydrological cycle. However, this equation is unsuitable for regions where
72 the land-surface hydrology is highly dependent on the winter mountain snowpack and
73 spring snowmelt runoff. The global annual Q_r originating from snowmelt accounts for
74 20–70% of the total runoff, including west United States (Huning and AghaKouchak,
75 2018), coastal areas of Europe (Barnett et al., 2005), west China (Li et al., 2019b),
76 northwest India (Maurya et al., 2018), south of the Hindu Kush (Ragettli et al., 2015),
77 and high-mountain Asia (Qin et al., 2020). In these regions, the mountain snowpack
78 serves as a natural reservoir that stores cold-season P to meet the warm-season water
79 demand (Qin et al., 2020; Stewart, 2009). As such, the water balance equation in these
80 regions on a short time-scale should be rewritten as:

$$81 \quad R + Q_s = ET + Q_r + \Delta S, \quad (2)$$

82 where R is the rainfall, and Q_s is the snowmelt runoff. Many observations and modelling
83 experiments have found that due to global warming, increasing temperatures would
84 induce earlier runoff in the spring or winter and reduce the flows in summer and autumn
85 (Barnett et al., 2005; Godsey et al., 2014; Stewart et al., 2005; Zhang et al., 2015).
86 Therefore, the role of snowmelt change on ET variability in snow-dependent basins on
87 a finer time-scale should be studied.



88 The overall objective of this study was to decompose the *ET* variance into the temporal
89 variability of multiple factors considering vegetation and snowmelt change. The six
90 cold alpine basins in the Qilian Mountains of northwest China were taken as an example
91 study area. Specifically, we aimed to: (i) determine the dominant driving factor
92 controlling the *ET* variance; (2) investigate the roles of vegetation and snowmelt change
93 in the variance; and (3) understand the interactions among the controlling factors in *ET*
94 variance. The proposed method will help quantify the hydrological response to changes
95 in snowmelt and vegetation in snowmelt-dependent regions, and our results will prove
96 to be insightful for water resource management in other similar regions worldwide.

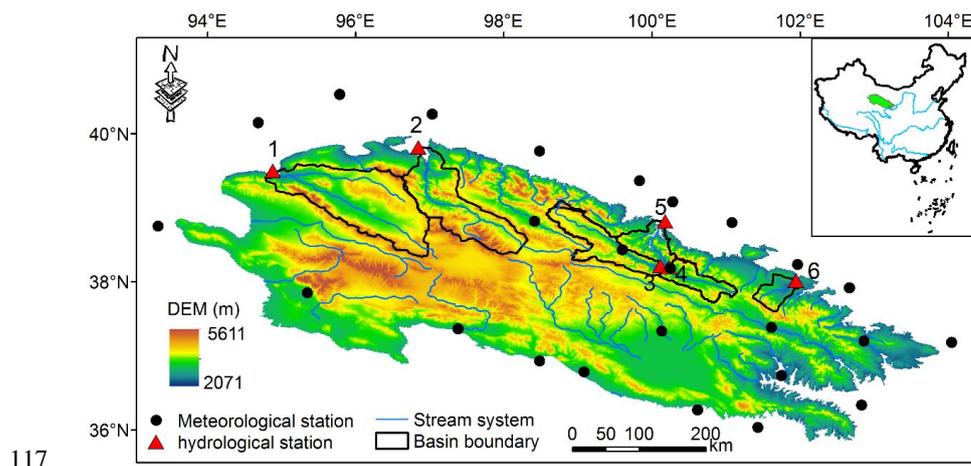
97 **2 Materials**

98 **2.1 Study area**

99 Six sub-basins located in the upper reaches of the Heihe, Shiyang, and Shule rivers in
100 the Qilian Mountains were chosen as the study area (Figure 1). They are important
101 inland rivers in the dry region of northwest China. The runoff generated from the upper
102 reaches contributes to nearly 70% of the water resources of the entire basin and thus
103 plays an important role in supporting agriculture, industry development, and ecosystem
104 maintenance in the middle and downstream rivers (Cong et al., 2017; Wang et al.,
105 2010a). Snowmelt and in-mountain-generated rainfall make up the water supply system
106 for the upper basins (Matin and Bourque, 2015), and the annual average *P* exceeds 450



107 mm in this region. At higher altitudes, as much as 600–700 mm of P can be observed
108 (Yang et al., 2017). Nearly 70% of the total rainfall concentrates between June and
109 September, while only 19% of the total rainfall occurs from March to June. Snowmelt
110 runoff is an important water source (Li et al., 2012; Li et al., 2018; Li et al., 2016); in
111 the spring, 70% of the runoff is supplied by snowmelt water (Wang and Li, 2001).
112 Characterised by a continental alpine semi-humid climate, alpine desert glaciers, alpine
113 meadows, forests, and upland meadows are the predominant vegetation distribution
114 patterns (Deng et al., 2013). Furthermore, this region has experienced substantial
115 vegetation changes and resultant hydrological changes in recent decades (Bourque and
116 Mir, 2012; Du et al., 2019; Ma et al., 2008).



118 Figure 1 The six basins in China's northern Qilian Mountains. The Digital elevation data, at
119 30 m resolution, was provided by the Geospatial Data Cloud site, Computer Network Information
120 Center, Chinese Academy of Sciences.



121 **2.2 Data**

122 Daily climate data were collected for 25 stations distributed in and around the Qilian
123 Mountains from the China Meteorological Administration. They comprised rainfall, air
124 temperature, sunshine hours, and relative humidity and would be used to calculate the
125 monthly E_0 using the Priestley and Taylor (1972) equation.

126 The monthly runoff at the Dangchengwan, Changmabu, Zhamashike, Qilian,
127 Yingluoxia, and Shagousi hydrological stations were obtained for 2001–2014 from the
128 Bureau of Hydrology and Water Resources, Gansu Province. The sum of the monthly
129 soil moisture and plant canopy surface water with a resolution of $0.25^\circ \times 0.25^\circ$ from the
130 Global Land Data Assimilation System (GLDAS) Noah model was used to estimate the
131 total water storage. The monthly ΔS was calculated as the water storage difference
132 between two neighbouring months. Eight-day composites of the MODIS MOD10A2
133 Version 6 snow cover product from the MODIS TERRA satellite were used to produce
134 the monthly snow cover area (SCA) of each basin. The SCA data were used to drive the
135 snowmelt runoff model.

136 A monthly normalised difference vegetation index ($NDVI$) at a spatial resolution of 1
137 km from the MODIS MOD13A3.006 product was used to assess the vegetation
138 coverage (M), which can be calculated from the method described in Yang et al. (2009).
139 A land-use map with 1-km resolution in 2010 was used to determine the forest area of



140 each basin, and it was provided by the Data Centre for Resources and Environmental
141 Sciences of the Chinese Academy of Sciences. The percentages of forestland area to
142 the whole basin area served as the F for each basin (%).

143 **3 Methods**

144 **3.1 The Budyko framework at monthly scales**

145 Probing the ET variability in the growing season can provide basic scientific reference
146 points for agricultural activities and water resource planning and management (Li et al.,
147 2015; Wagle and Kakani, 2014). Thus, we focus on the growing season ET variability
148 on a monthly scale in this study.

149 Among the mathematical forms of the Budyko framework, this study employed the
150 function proposed by Choudhury (1999) and Yang et al. (2008) to assess the basin water
151 balance for good performance (Zhou et al., 2015):

$$152 \quad ET = \frac{P \times E_0}{(P^n + E_0^n)^{1/n}}, \quad (3)$$

153 where n is the controlling parameter of the Choudhury–Yang equation, and P is the total
154 available water supply for ET . In Equation 2, however, the available water supply (P_e)
155 includes the rainfall, snowmelt runoff, and water storage change in the snow-dependent
156 basins on a finer time-scale, which can be rewritten as:



$$157 \quad P_e = R + Q_s - \Delta S. \quad (4)$$

158 Equation 3 can thus be redefined as follows:

$$159 \quad ET_i = \frac{(R_i + Q_{s_i} - \Delta S_i) \times E_{0_i}}{((R_i + Q_{s_i} - \Delta S_i)^{n_i} + E_{0_i}^{n_i})^{1/n_i}}, \quad (5)$$

160 where i indicates each month of the growing season (April to September). After
161 estimating the monthly ET of the growing season using Equation 2, the values of n for
162 each month can be obtained via Equation 5.

163 3.2 Estimating the equivalent of snowmelt runoff

164 With the developed relationship between snowmelt and air temperature (Hock, 2003),
165 the degree-day model simplifies the complex processes and performs well, so it is
166 widely used in snowmelt estimation (Griessinger et al., 2016; Rice et al., 2011;
167 Semadeni-Davies, 1997; Wang et al., 2010a). This study estimated the monthly Q_s using
168 the degree-day model following the Wang et al. (2015) procedure. Specifically, the
169 water equivalent of snowmelt (W , mm) during the period m can be calculated as:

$$170 \quad \sum_{i=1}^m W_i = DDF \sum_{i=1}^m T_i^+, \quad (6)$$

171 where DDF denotes the degree-day factor (mm/day \cdot $^{\circ}$ C), and T^+ is the sum of the
172 positive air temperatures of each month. After obtaining W , the monthly Q_s of each
173 elevation zone can be expressed as:



$$174 \quad \sum_{i=1}^m Q_{Si} = \sum_{i=1}^m W_i SCA_i, \quad (7)$$

175 where SCA_i is the snow cover area of each elevation zone.

176 According to Gao et al. (2011), the DDF values of Basins 1–6 were set to 3.4, 3.4, 4.0,
177 4.0, 4.0, and 1.7 mm/day · °C, respectively. The six basins were divided into seven
178 elevation zones with elevation differences of 500 m. The sum of Q_s in each elevation
179 zone could be considered as the total Q_s of each basin. Previous studies have found that
180 the major snow melting period is from March to July in this area (Wang and Li, 2005;
181 Wu et al., 2015); furthermore, the MODIS snow product also showed that the SCA
182 decreased significantly at the end of July. Thus, the snowmelt runoff from April to July
183 for the growing season was estimated in this study.

184 **3.3 Relationship between the Budyko controlling parameter and vegetation** 185 **change**

186 The relationships between the monthly parameters n and M for each basin in the
187 growing season for 2001–2014 are presented in Figure 2. It can be seen that parameter
188 n was significantly positively related to M in all six basins ($p < 0.05$), which means that
189 ET increased with increasing vegetation conditions under the given climate conditions.

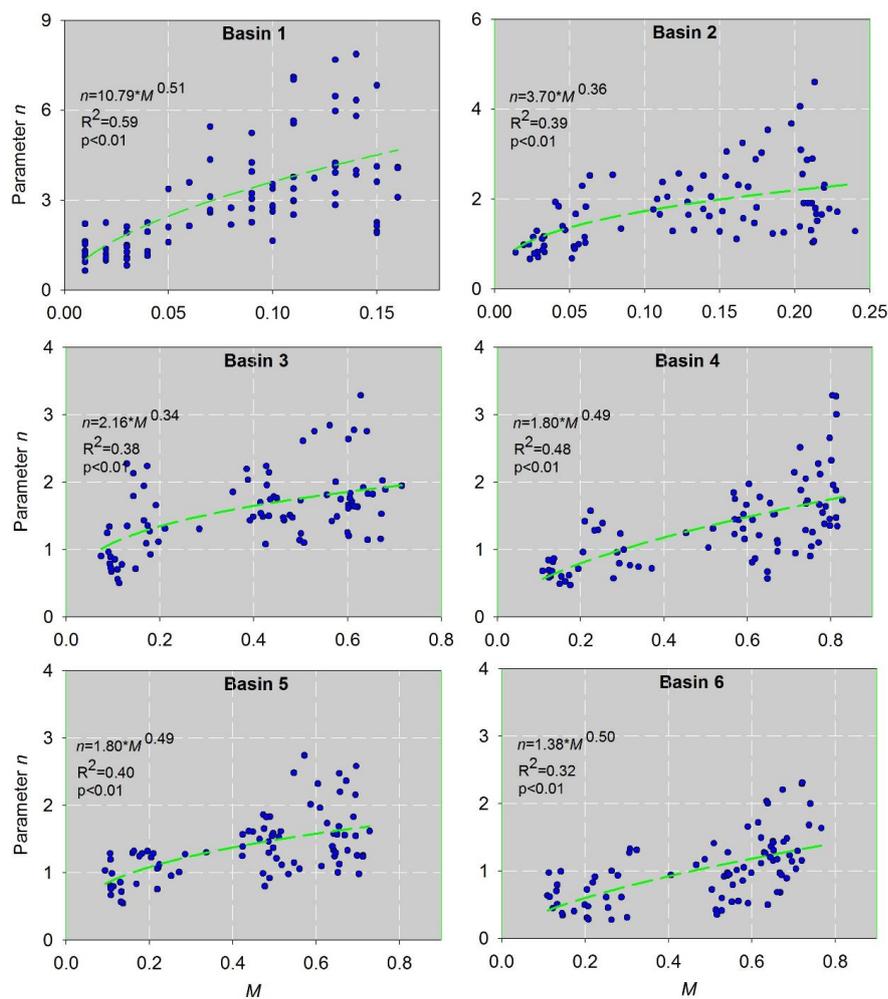
190 In Equation 5, when $n \rightarrow 0$, $ET \rightarrow 0$, which means M should have the following limiting
191 conditions: if $ET \rightarrow 0$, $T \rightarrow 0$ (transpiration), and thus $M \rightarrow 0$. Considering the relationship



192 shown in Figure 2 and the above limiting conditions, the general form of parameter n
193 can be expressed as follows:

194
$$n = a \times M^b, \quad (8)$$

195 where a and b are constants, and their specific values for each basin are fitted in Figure
196 2.



197

198 Figure 2 Relationships between the parameter n and the vegetation coverage for each basin on a

199

monthly scale.

200 3.4 ET variance decomposition

201 Liu et al. (2019) proposed a framework to identify the driving factors behind the

202 temporal variance of Q_r by combining the unbiased sample variance of Q_r with the total



203 differentiation of Q_r changes. Here, we extended this method by considering the effects
 204 of changes in snowmelt runoff and vegetation coverage on ET variance.

205 By combining Equation 5 with Equation 8, Equation 5 can be simplified as $ET \approx f(R_i,$
 206 $Q_{si}, \Delta S_i, E_{0i}, M_i)$. Thus, the total differentiation of ET changes can be expressed as:

$$207 \quad dET_i = \frac{\partial f}{\partial R} dR_i + \frac{\partial f}{\partial Q_s} dQ_{si} + \frac{\partial f}{\partial \Delta S} d\Delta S_i + \frac{\partial f}{\partial E_0} dE_{0i} + \frac{\partial f}{\partial M} dM_i + \tau, \quad (9)$$

208 where τ is the error. The partial differential coefficients can be calculated as:

$$209 \quad \frac{\partial ET}{\partial R} = \frac{\partial ET}{\partial Q_s} = -\frac{\partial ET}{\partial \Delta S} = \frac{ET}{P_e} \times \left(\frac{E_0^n}{P_e^n + E_0^n} \right), \quad (10a)$$

$$210 \quad \frac{\partial ET}{\partial E_0} = \frac{ET}{E_0} \times \left(\frac{P_e^n}{P_e^n + E_0^n} \right), \quad (10b)$$

$$211 \quad \frac{\partial ET}{\partial M} = \frac{ET}{n} \left(\frac{\ln(P_e^n + E_0^n)}{n} - \frac{P_e^n \ln P_e + E_0^n \ln E_0}{P_e^n + E_0^n} \right) \times a \times b \times M^{b-1}. \quad (10c)$$

212 The first-order approximation of ET changes in Equation 9 can be expressed as:

$$213 \quad \Delta ET_i \approx \varepsilon_1 \Delta R_i + \varepsilon_2 \Delta Q_{si} + \varepsilon_3 \Delta S_i + \varepsilon_4 \Delta E_{0i} + \varepsilon_5 \Delta M_i, \quad (11)$$

$$214 \quad \text{where } \varepsilon_1 = \frac{\partial ET}{\partial R}; \varepsilon_2 = \frac{\partial ET}{\partial Q_s}; \varepsilon_3 = \frac{\partial ET}{\partial \Delta S}; \varepsilon_4 = \frac{\partial ET}{\partial E_0}; \varepsilon_5 = \frac{\partial ET}{\partial M}.$$

215 The unbiased sample variance of ET is defined as:

$$216 \quad \sigma_{ET}^2 = \frac{1}{N-1} \sum_{i=1}^N (ET_i - \overline{ET})^2 = \frac{1}{N-1} (\Delta ET_i)^2. \quad (12)$$

217 Combining Equation 11 with Equation 12, σ_{ET}^2 can be decomposed as the contribution



218 from different variance/covariance sources:

$$219 \quad \sigma_{ET}^2 = \sum_{i=1}^N (\varepsilon_1 \Delta R_i + \varepsilon_2 \Delta Q_{S_i} + \varepsilon_3 \Delta S_i + \varepsilon_4 \Delta E_{0_i} + \varepsilon_5 \Delta M_i)^2. \quad (13)$$

220 Expanding Equation 13, σ_{ET}^2 can be further rewritten as:

$$\begin{aligned} 221 \quad \sigma_{ET}^2 &= \varepsilon_1^2 \sigma_R^2 + \varepsilon_2^2 \sigma_{Q_s}^2 + \varepsilon_3^2 \sigma_{\Delta S}^2 + \varepsilon_4^2 \sigma_{E_0}^2 + \varepsilon_5^2 \sigma_M^2 + 2\varepsilon_1 \varepsilon_2 \text{cov}(R, Q_s) + \\ 222 \quad &2\varepsilon_1 \varepsilon_3 \text{cov}(R, \Delta S) + 2\varepsilon_1 \varepsilon_4 \text{cov}(R, E_0) + 2\varepsilon_1 \varepsilon_5 \text{cov}(R, M) + 2\varepsilon_2 \varepsilon_3 \text{cov}(Q_s, \Delta S) + \\ 223 \quad &2\varepsilon_2 \varepsilon_4 \text{cov}(Q_s, E_0) + 2\varepsilon_2 \varepsilon_5 \text{cov}(Q_s, M) + 2\varepsilon_3 \varepsilon_4 \text{cov}(E_0, \Delta S) + 2\varepsilon_3 \varepsilon_5 \text{cov}(M, \Delta S) + \\ 224 \quad &2\varepsilon_4 \varepsilon_5 \text{cov}(E_0, M), \quad (14) \end{aligned}$$

225 where σ represents the standard deviation, and cov represents the covariance. Equation

226 14 can be further simplified as:

$$\begin{aligned} 227 \quad \sigma_{ET}^2 &= F(R) + F(Q_s) + F(\Delta S) + F(E_0) + F(M) + F(R_{Q_s}) + F(R_{\Delta S}) + \\ 228 \quad &F(R_{E_0}) + F(R_M) + F(Q_s_{\Delta S}) + F(Q_s_{E_0}) + F(Q_s_M) + F(\Delta S_{E_0}) + \\ 229 \quad &F(\Delta S_M) + F(E_0_M), \quad (15) \end{aligned}$$

230 By separating out Equation 15, the contribution of each factor to σ_{ET}^2 can be calculated

231 as:

$$232 \quad C(X_j) = \frac{F(X_j)}{\sigma_{ET}^2} \times 100\%, \quad (16)$$

233 where $C(X_j)$ is the contribution of factor $F(j)$ to σ_{ET}^2 , and $j = 1-15$, representing the 15

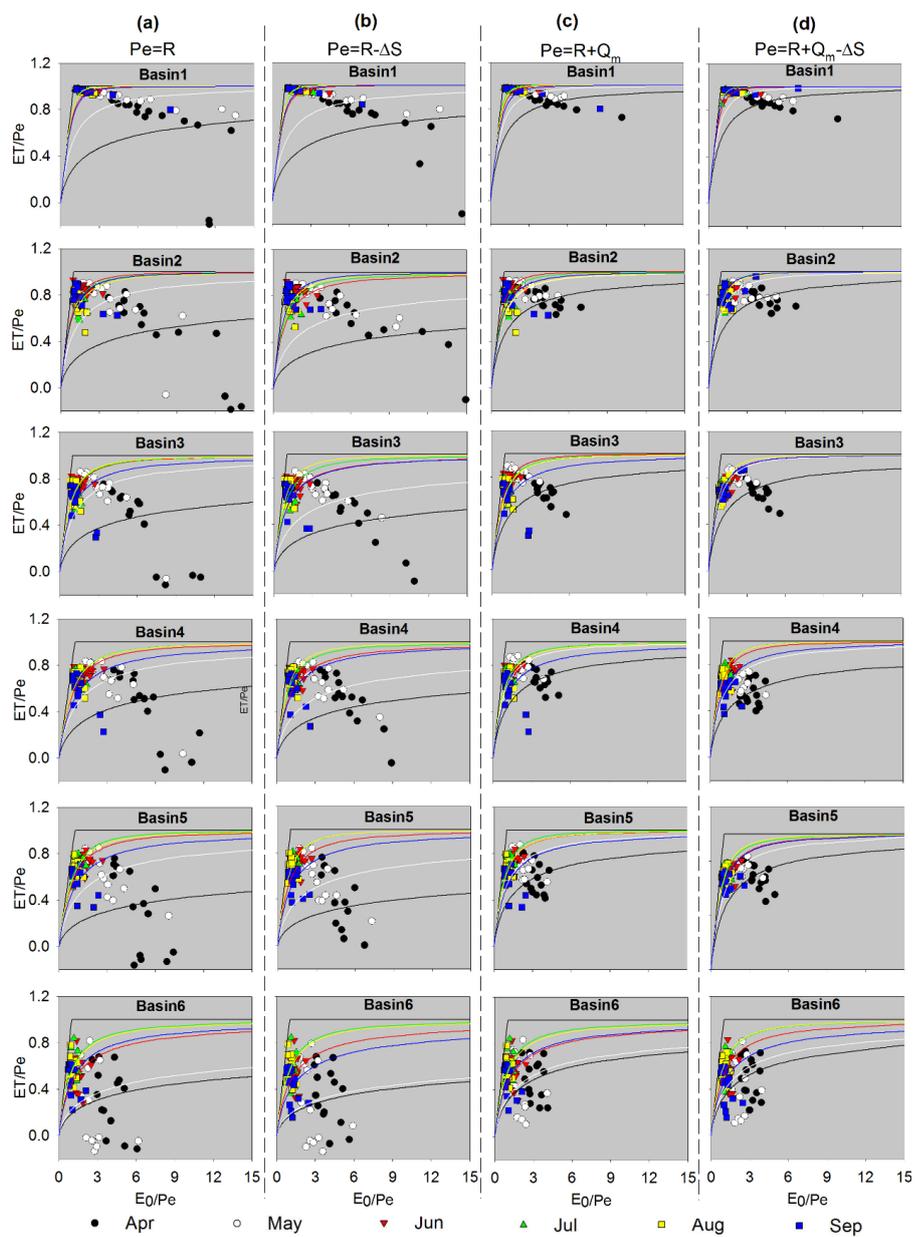
234 factors in Equation 15.



235 4 Results and Discussion

236 4.1 Performance of the monthly Budyko framework

237 The importance of considering ΔS in the Budyko framework on a finer time-scale has
238 been underscored by several studies (Chen et al., 2013; Du et al., 2016; Liu et al., 2019;
239 Zeng and Cai, 2015); however, the effects of Q_m in snowmelt-dependent basins are
240 mostly ignored. Here, the monthly Budyko curves—scaled by different available water
241 supply values (P_e) for monthly series in the growing season—were compared. When P_e
242 $= R$ and $P_e = R - \Delta S$, the data points of the monthly ET ratio and aridity index ($\phi = E_0/P_e$)
243 in April and May were well below the Budyko curves in the six sub-basins; the monthly
244 ET ratio was even negative during several years (Figure 3a,b), which means that local
245 rain and water storage are not the only sources of ET in this area, especially in the spring.
246 When $P_e = R + Q_m$, the outlier points in April and May were significantly improved
247 (Figure 3c), suggesting that Q_m is an important source of spring ET . Similarly, Wang
248 and Li (2001) also determined that 70% of the runoff is supplied by snowmelt water in
249 the spring in this area. Compared to the points in Figures 3a–c, all the points focused
250 on Budyko’s curves more closely in each basin when $P_e = R + Q_m - \Delta S$ (Figure 3d).
251 Therefore, considering Q_m and ΔS in the water balance equation can improve the
252 performance of the Budyko framework in snowmelt-dependent basins on a monthly
253 scale.



254

255 Figure 3 Plots for the aridity index vs. evapotranspiration index scaled by the available water

256 supply for monthly series in the growing season. The total water availability is (a) R , (b) $R - \Delta S$,

257 (c) $R + Q_m$, and (d) $R + Q_m - \Delta S$. The n value for each Budyko curve is fitted by long-term



258 averaged monthly data.

259 4.2 Variations in the growing season water balance

260 The mean and standard deviation (σ) for each item in the growing season water balance
261 in the six basins are summarised in Tables 1 and 2. The proportion of ΔS in the water
262 balance was small, with a mean value of 1.2 mm; however, its intra-annual fluctuation
263 was relatively large, with a $\sigma_{\Delta S}$ of 5.3 mm, and $\sigma_{\Delta S}$ was even as high as 9.0 mm in
264 Basin 6. Compared to ΔS , Q_m represented a larger proportion of the water balance with
265 a mean of 8.5 ± 6.5 mm, indicating its important role in the basin water supply. For this
266 region, the water supply of ET was not only R but also included Q_m and ΔS .
267 Consequently, the mean monthly ET generally approached R (55.8 ± 27.4 mm) or higher
268 values in Basin 1.

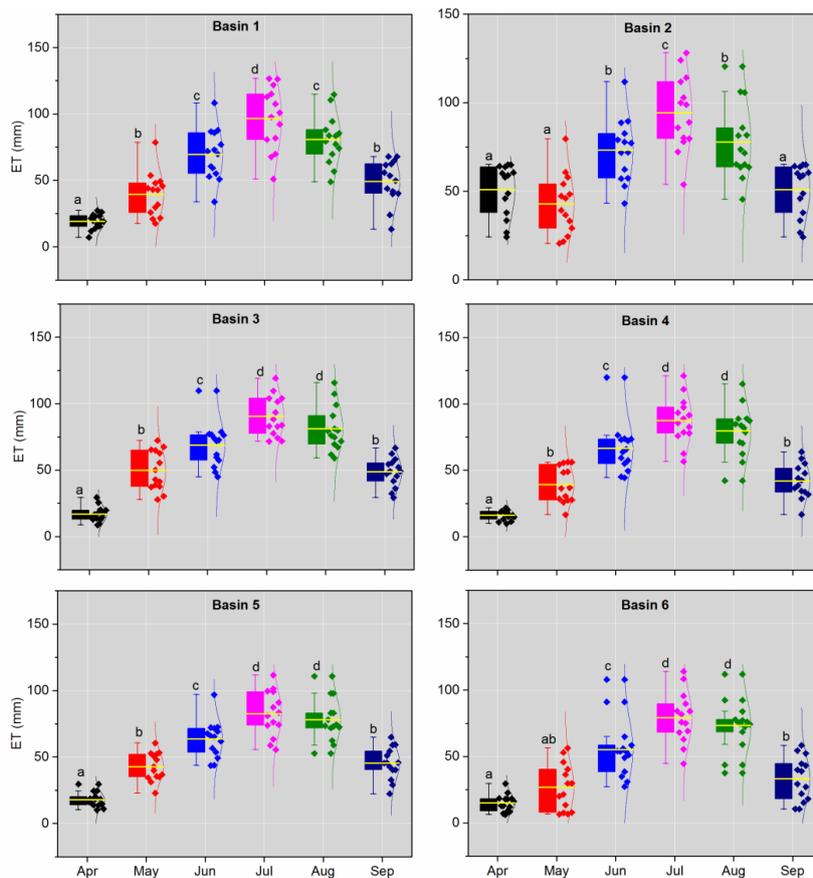
269 Table 1 Averaged monthly hydrometeorological characteristics and vegetation coverage in the
270 growing season (2001–2014).

ID	Station	Area	R	Q_m	ΔS	E_0	M	n	E
1	Dangchengwan	14325	57.2	8.6	0.7	126.7	0.08	3.08	59.1
2	Changmabu	10961	68.9	10.8	1.1	123.0	0.13	1.79	59.3
3	Zhamashike	4986	73.5	10.6	1.5	120.3	0.40	1.59	59.1
4	Qilian	2452	74.5	9.0	1.4	116.8	0.44	1.37	54.9
5	Yingluoxia	10009	77.2	7.4	1.1	117.4	0.53	1.35	55.1
6	Shagousi	1600	83.5	4.8	1.4	116.3	0.48	1.01	47.1

271 The change patterns of the monthly R , ΔS , Q_m , and ET during the growing season are
272 presented in Figure 4 and Supplementary Figures S1–S3. R exhibited a regular



273 unimodal trend, with a maximum value occurring in July. The maximum Q_m appeared
274 in May, which is a result that is in agreement with previous studies in this region (Wang
275 and Qin, 2017; Zhang et al., 2016c). The peak of ΔS lagged that of Q_m for one month
276 in Basins 1–4 and three months in Basins 5–6, indicating a recharge of soil water by
277 snowmelt. Yang et al. (2015) also detected the time differences between ΔS and Q_m and
278 found that ΔS had a time lag of 3–4 months more than did Q_m in the Tarim River Basin,
279 another arid alpine basin in north-western China with hydroclimatic conditions similar
280 to those of the study region. Further, the abundant R in July should contribute to more
281 available water for ΔS ; however, the ΔS in July was relatively small. This can be
282 partially explained by the higher water consumption, i.e. the ET in July. In a manner
283 similar to the change pattern of R , ET exhibited a unimodal trend, suggesting the crucial
284 role of R .



285

286 Figure 4 Variations in the monthly *ET* for each basin during 2001–2014. A distribution curve is

287 shown to the right side of each box plot, and the data points are represented by diamonds.

288 Different letters indicate significant differences at $p < 0.05$.

289 4.3 Controlling factors of the *ET* variance

290 The contributions of R , E_0 , Q_m , ΔS , and M to σ_{ET}^2 for each basin are shown in Figure

291 5. The results showed that the variance of these five factors could explain σ_{ET}^2 , with the

292 total contribution rates ranging from 56.5% (Basin 6) to 98.6% (Basin 1). With the



293 decreasing ϕ from Basin 1 to Basin 6, $C(R)$ showed an increasing trend, ranging from
294 40.6% to 94.2%; conversely, $C(E_0)$ exhibited a decreasing trend, ranging from 0.2% to
295 4.1%. This result indicated that R played a key role in σ_{ET}^2 in this region. Similarly,
296 Zhang et al. (2016a) found that $C(P)$ increased rapidly with increasing ϕ , whereas $C(E_0)$
297 decreased rapidly based on 282 basins in China. Our results are also consistent with
298 previous conclusions that changes in ET or Q_r are dominated by changes in water
299 conditions rather than by energy conditions in dry regions (Berghuijs et al., 2017; Yang
300 et al., 2006; Zeng and Cai, 2016; Zhang et al., 2016a).

301 The M variance had the second largest contribution to σ_{ET}^2 with a mean $C(M)$ value of
302 4.3% for the six basins. Specifically, $C(M)$ showed an increasing trend from 0.5% to
303 9.5% with decreasing ϕ , implying that the contribution of the vegetation change to the
304 ET variance was larger in the humid basin. This can be explained by the fact that better
305 vegetation conditions, especially forest cover, could have a stronger impact on ET
306 variance. With the estimated percentages of forestland relative to the whole basin (F)
307 (Table S1), we found that the M variance indeed had a larger contribution to σ_{ET}^2 in
308 Basins 4–6 with a higher F . Wei et al. (2018) showed that the global average variation
309 in the annual Q_r due to the vegetation cover change was $30.7 \pm 22.5\%$ in forest-
310 dominated regions on long-term scales, which was higher than our results because of
311 their higher forest cover.

312 The contribution of the Q_m variance ranked third with a mean value of 1.8%. Similar to



313 $C(R)$, $C(Q_m)$ showed a downward trend from Basin 1 to Basin 6, ranging from 2.9% to
314 0.4%. The larger $C(Q_m)$ can be explained by the larger variance in Q_m in Basins 2–4 (σ
315 values in Table 2). However, the Q_m in Basin 1 was only 8.6 mm, and $C(Q_m)$ was the
316 largest in all six sub-basins (2.9%). This is because the contribution of each variable to
317 σ_{ET}^2 was not only the product of its variance value but also relied on the elasticity
318 coefficient of σ_{ET}^2 according to Equation 13. The ε_{Q_m} value was the largest in Basin 1
319 and thus led to the largest $C(Q_m)$. In addition, shifts in the snowmelt period can also
320 partially explain the positive contribution of the Q_m variance. Like many snow-
321 dominated regions of the world (Barnett et al., 2005), climate warming shifted the
322 timing of snowmelt earlier in the spring in the Qilian Mountains (Li et al., 2012). Earlier
323 snowmelt due to a warmer atmosphere resulted in increased soil moisture and a greater
324 proportion of Q_m to ET (Barnhart et al., 2016; Bosson et al., 2012).

325 Previous studies have considered that most precipitation changes are transferred to
326 water storage (Wang and Hejazi, 2011); thus, ΔS has distinct impacts on the intra-annual
327 ET or Q_r variance in arid regions (Ye et al., 2015; Zeng and Cai, 2016; Zhang et al.,
328 2016a). However, the study region under investigation has a small $C(\Delta S)$ with a mean
329 value of 1.02%, which is likely to be caused by the vegetation conditions and time-
330 scale. First, the six basins have good vegetation conditions compared to other arid
331 basins; consequently, plant transpiration and rainfall interception consume most of the
332 water supply and reduce the transformation of rainfall to water storage. This is



333 consistent with previous studies that showed that the fractional contribution of
334 transpiration to ET would increase with increasing woody cover (Villegas et al., 2010;
335 Wang et al., 2010b). Second, the large contribution of ΔS to the intra-annual ET or Q_r
336 variance in arid regions is mostly detected at monthly scales. The smaller ΔS in the non-
337 growing season will increase the annual value of $\sigma_{\Delta S}$. However, this study focused on
338 the growing season with a smaller $\sigma_{\Delta S}$, which consequently led to a lower $C(\Delta S)$.

339 **4.4 Interaction effects between controlling factors on the ET variance**

340 The interaction effect of two factors on the ET variance was represented by their
341 covariance coefficients using Equations 14 and 15 (Figure 5). Among the ten groups of
342 interaction effects, the coupled R and M had the largest contribution to the ET variance,
343 with a mean value of 24.3%. The positive covariance of R and M indicated that M
344 changes in-phase with R (i.e. R occurred in the growing season), thus increasing the ET
345 variance. $C(R_M)$ showed an increasing trend from 9.9% to 34.6% with decreasing ϕ .
346 With different water conditions, the types and proportions of the main ecosystems
347 varied across basins. In particular, F showed an increasing trend with decreasing ϕ ,
348 which partially explained the spatial variations in $C(R_M)$. Previous studies concluded
349 that the differences in physiological and phenological characteristics of ecosystem
350 types are likely to modulate the response of the ecosystem ET to climate variability
351 (Brummer et al., 2012; Falge et al., 2002; Li et al., 2019a). For example, Yuan et al.
352 (2010) found that, at the beginning of the growing season, a significantly higher ET was



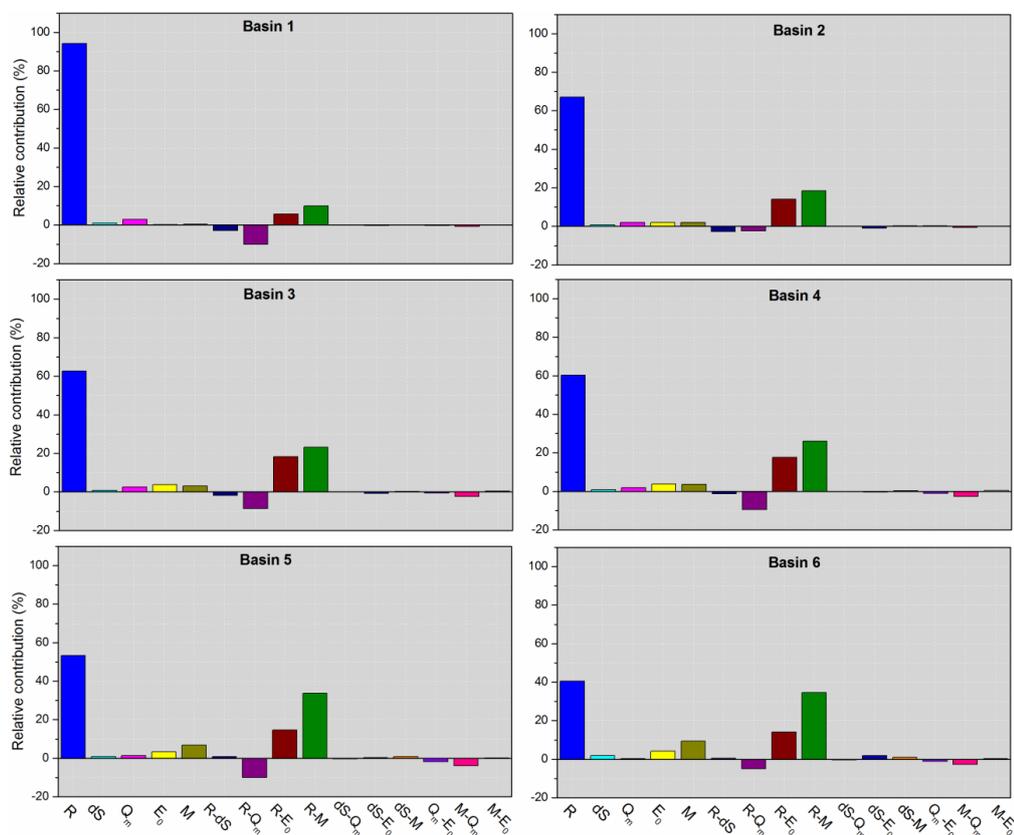
353 observed in evergreen needleleaf forests; however, during the middle term of the
354 growing season (June–August), the ET was largest in deciduous broadleaf forests in a
355 typical Alaskan basin.

356 As an indicator of climate seasonality, the covariance of R and E_0 indicates matching
357 conditions between the water and energy supplies, such as the phase difference between
358 the storm season and warm season. A positive $cov(R, E_0)$ suggests an in-phase R change
359 with E_0 and consequently increases the ET variance. In this study, following $C(R_M)$,
360 the coupled R and E_0 had a large impact on the ET variance with a mean contribution
361 of 14.1%. With a typical temperate continental climate, the study area has in-phase
362 water and energy conditions; however, its ET is limited by the water supply in spite of
363 the abundant energy supply (Yang et al., 2006). The vegetation receives the largest
364 water supply in the growing season and can vary its biomass seasonally in order to
365 adapt to the R seasonality (Potter et al., 2005; Ye et al., 2016). Consequently, the impact
366 of climate variability on ET variance was mainly reflected by the R seasonality in the
367 study area.

368 In comparison, the interacting effects between R and Q_m , M and Q_m , R and ΔS , and Q_m
369 and E_0 contributed negatively to the ET variance. Among them, the effect of the coupled
370 R and Q_m was largest with a $C(R_{Q_m})$ of -7.6% . This may suggest that Q_m changes
371 were out-of-phase with R . Specifically, the major snow melting period was from March
372 to May, when snowmelt water accounts for $\sim 70\%$ of the water supply; however, $\sim 65\%$



373 of the annual R occurred in the summer (June–August) (Li et al., 2019a). Overall, Q_m
 374 sustains the ET in the spring, but R supports the ET in the summer.



375
 376 Figure 5 Contribution to the ET variance in the growing season from each component in Equation

377 15.

378 5 Conclusion

379 Recently, several studies have applied a variance decomposition framework based on
 380 the Budyko equation to elucidate the dominant driving factors of the ET variance at



381 annual and intra-annual scales by decomposing the intra-annual ET variance into the
382 variance/covariance of P , E_0 , and ΔS . Vegetation changes can greatly affect the ET
383 variability, but their effects on the ET variance on finer time-scales was not quantified
384 by this decomposed method. Further, in snow-dependent regions, snowpack stores
385 precipitation in winter and releases water in spring; thus, Q_m plays an important role in
386 the hydrological cycle. Therefore, it is also necessary to consider the role of the Q_m
387 changes on the ET variability.

388 In this study, six arid alpine basins in the Qilian Mountains of northwest China were
389 chosen as examples. The monthly Q_m during 2001–2014 was estimated using the
390 degree-day model, and the growing season ET was calculated using the water balance
391 equation ($ET = R + Q_s - Q_r - \Delta S$). The controlling parameter n of the Choudhury–
392 Yang equation was found to be closely corrected with M , as estimated by $NDVI$ data.
393 Thus, by combining the Choudhury–Yang equation with the semi-empirical formula
394 between n and M , the growing season σ_{ET}^2 is decomposed into the temporal variance
395 and covariance of R , E_0 , ΔS , Q_m , and M . The main results showed that considering Q_m
396 and ΔS in the water balance equation can improve the performance of the Budyko
397 framework in snow-dependent basins on a monthly scale; σ_{ET}^2 was primarily enhanced
398 by the R variance, followed by the coupled R and M and then the coupled R and E_0 . The
399 enhancing effects of the variance in M and Q_m cannot be ignored; however, the
400 interactions between R and Q_m , M and Q_m , R and ΔS , and Q_m and E_0 dampened σ_{ET}^2 .



401 As a simple and effective method, our extended *ET* variance decomposition method has
 402 the potential to be widely used to assess the hydrological responses to changes in the
 403 climate and vegetation in snow-dependent regions at finer time-scales.

404 Table 2 The elasticity coefficients of ET for five variables and the standard deviation of each variable
 405 for the six basins.

Basin	Elasticity coefficients					Standard deviation						
	ϵ_R	ϵ_{Q_m}	$\epsilon_{\Delta S}$	ϵ_{E_0}	ϵ_M	σ_R , mm	σ_{Q_m} , mm	$\sigma_{\Delta S}$, mm	σ_{E_0} , mm	σ_M	Predicted σ_{ET} , mm	Assessed σ_{ET} , mm
1	0.85	0.85	-0.85	0.06	41.94	34.4	6.0	3.4	25.5	0.05	30.2	31.2
2	0.56	0.56	-0.56	0.16	55.84	40.6	7.0	4.3	24.7	0.07	27.8	30.3
3	0.46	0.46	-0.46	0.20	20.81	42.5	8.5	4.9	23.6	0.21	24.9	27.9
4	0.44	0.44	-0.44	0.19	20.58	40.1	7.2	4.8	23.1	0.21	22.5	25.8
5	0.43	0.43	-0.43	0.19	24.60	39.8	6.3	5.1	22.0	0.25	23.3	25.0
6	0.33	0.33	-0.33	0.18	31.51	41.2	4.0	9.0	23.6	0.21	21.3	24.3

406



407

408 **Data availability**

409 Meteorological data are available at

410 http://data.cma.cn/data/detail/dataCode/SURF_CLI_CHN_MUL_DAY_CES_V3.0.htm

411 [m](#). The runoff records were obtained from the Bureau of Hydrology and Water

412 Resources, Gansu Province. The GLDAS data are available at

413 https://disc.gsfc.nasa.gov/datasets/GLDAS_NOAH025_M_2.0/summary. MODIS

414 MOD10A2 Version 6 snow cover products are available at

415 <https://nsidc.org/data/mod10a2>. MODIS MOD13A3.006 products are available at

416 <https://lpdaac.usgs.gov/products/mod13a3v006/>. The land-use map with 1-km

417 resolution in 2010 is available at <http://www.resdc.cn/data.aspx?DATAID=99>. The

418 Digital elevation data is available at

419 <http://www.gscloud.cn/sources/accessdata/310?pid=302>.

420 **Author contributions**

421 Tingting Ning: Methodology, Writing–original draft, Software, Visualisation

422 Zhi Li: Writing–review & editing

423 Qi Feng: Conceptualisation, Supervision



424 Zongxing Li and Yanyan Qin: Data curation, Resources

425 **Competing interests**

426 The authors declare that they have no conflicts of interest.

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