



1 **A novel causal structure-based framework for comparing basin-**  
2 **wide water-energy-food-ecology nexuses applied to the data-**  
3 **limited Amu Darya and Syr Darya river basins**

4 Haiyang Shi<sup>1,2,4,5</sup>, Geping Luo<sup>1,2,3,5</sup>, Hongwei Zheng<sup>1</sup>, Chunbo Chen<sup>1</sup>, Jie Bai<sup>1</sup>, Tie Liu<sup>1,5</sup>, Shuang  
5 Liu<sup>1</sup>, Jie Xue<sup>1</sup>, Peng Cai<sup>1,4</sup>, Huili He<sup>1,4</sup>, Friday Uchenna Ochege<sup>1</sup>, Tim van de Voorde<sup>4,5</sup>, and  
6 Phillipe de Maeyer<sup>1,2,4,5</sup>

7

8 <sup>1</sup> State Key Laboratory of Desert and Oasis Ecology, Xinjiang Institute of Ecology and Geography, Chinese Academy  
9 of Sciences, Urumqi, Xinjiang, 830011, China.

10 <sup>2</sup> University of Chinese Academy of Sciences, 19 (A) Yuquan Road, Beijing, 100049, China.

11 <sup>3</sup> Research Centre for Ecology and Environment of Central Asia, Chinese Academy of Sciences, Urumqi, China.

12 <sup>4</sup> Department of Geography, Ghent University, Ghent 9000, Belgium.

13 <sup>5</sup> Sino-Belgian Joint Laboratory of Geo-Information, Ghent and Urumqi.

14 *Correspondence to:* Geping Luo (luogp@ms.xjb.ac.cn)

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19 **Abstract.** The previous comparative studies on watersheds were mostly based on the comparison of dispersive  
20 characteristics, which lacked systemicity and causality. We proposed a causal structure-based framework for basin  
21 comparison based on the Bayesian network (BN), and focus on the basin-scale water-energy-food-ecology (WEFE)  
22 nexuses. We applied it to the Syr Darya river basin (SDB) and the Amu Darya river basin (ADB) that caused the  
23 Aral Sea disaster. The causality of the nexuses was effectively compared and universality of this framework was  
24 discussed. In terms of changes of the nexuses, the sensitive factor for the water supplied to the Aral Sea changed  
25 from the agricultural development during the Soviet Union period to the disputes in the WEFE nexuses after the  
26 disintegration. The water-energy contradiction of SDB is more severe than that of ADB partly due to the higher  
27 upstream reservoir interception capacity. It further made management of the winter surplus water downstream of  
28 SDB more controversial. Due to this, the water-food-ecology conflict between downstream countries may escalate  
29 and turn into a long-term chronic problem. Reducing water inflow to depressions and improving the planting  
30 structure prove beneficial to the Aral Sea ecology and this effect of SDB is more significant. The construction of  
31 reservoirs on the Panj river of the upstream ADB should be cautious to avoid an intense water-energy conflict as  
32 SDB. It is also necessary to promote the water-saving drip irrigation and to strengthen the cooperation.

### 33 1 Introduction

34 The Aral Sea disaster has warned us for the terrible impact of unsustainable water use on the ecosystem. Recently,  
35 with the growing focus on the water-energy-food (WEF) nexus (Biggs et al., 2015; Cai et al., 2018; Conway et al.,  
36 2015; Espinosa-Tasón et al., 2020; Sadeghi et al., 2020; Yang and Wi, 2018) in the integrated water resources'  
37 management, we have come to realize that a harmonious and optimized water-energy-food-ecology (WEFE) nexus  
38 may be the key to an effective cross-border water management of the Aral Sea basin (Jalilov et al., 2016, 2018; Lee  
39 and Jung, 2018; Ma et al., 2020; Sun et al., 2019), with 'ecology' added to the WEF nexus because ecology is usually  
40 more concerned in the Aral Sea basin. The latter mainly includes the Syr Darya river basin (SDB) and the Amu Darya  
41 river basin (ADB). Due to the similarity in the natural geographical conditions and management approaches, these two  
42 basins are generally considered to be very similar. The rapid melting of glaciers, drought disasters, excessive irrigation  
43 water use, increasing food demand, contradictions on water for the energy production and irrigation between the  
44 upstream and downstream countries, soil salinization and poor water quality are the common problems the two basins  
45 are facing nowadays (Immerzeel et al., 2020; Micklin, 2010). However, there seems to be a lack of attention to the  
46 quantitative differences on the characteristics of the interactions of the WEFE nexus between the two river basins. We  
47 want to understand the differences and their levels, and think about what experience can be gained from it. The practice  
48 of an integrated watershed management often draws on the experience and lessons of other watersheds with similar  
49 natural conditions, such as management concepts, hydrological model applications and climate change risk  
50 assessments (Grafton et al., 2012; Immerzeel et al., 2020; Joetzer et al., 2013; Ladson and Argent, 2002; Syed et al.,  
51 2005; Vetter et al., 2017; Wang et al., 2020; Zawahri, 2008). Most of these previous studies investigated the differences  
52 of dispersive or individual characteristics between the river basins but lacked attention to the systemicity and causality  
53 (Fig. 1) in the changing water systems at the basin scale which may be able to more directly provide new experience  
54 and knowledge for practical watershed management. In SDB and ADB, this kind of comparison might be more



55 practical and meaningful on the application level (based on a higher similarity in the natural conditions and  
56 management history). Learning from each other's successes and failures could reduce the trial and error costs in the  
57 water use management. For example, the seasonal runoff pattern and its impact on the water use of SDB nowadays  
58 with a low glacier cover might be considered as a reference for the water use management of ADB, if most glaciers  
59 would melt in a warmer future (Sorg et al., 2012). Analogously, such comparisons are focusing on the detailed  
60 differences under a general similarity and might also be helpful to understand the WEF nexus and a better assignment  
61 of the detailed responsibilities of countries regarding a transboundary watershed cooperation and management.

62  
63 When studying the water system and the WEF nexus in the Aral Sea basin, we found that the first main source of  
64 uncertainty might include the fact that it is difficult for us to accurately predict the runoff amount from the mountainous  
65 areas. In the arid regions of Central Asia, most of the available water resources originate from the precipitation, melting  
66 snow and glaciers of the water towers in the alps. But the observations of the water resources in the mountainous  
67 areas of this region have been greatly restricted (Chen et al., 2017), especially after the collapse of the Union of Soviet  
68 Socialist Republics (USSR) and some gauging stations were abandoned. It has restricted the implementation of the  
69 physics-based and statistical models for the runoff prediction, although remote sensing technology proved helpful in  
70 the estimation of the alpine precipitation and glacier melting (Guo et al., 2017; Pohl et al., 2017) as forcing data. In  
71 addition, the weak prediction capacity of incoming water might propagate the uncertainty on the downstream water  
72 use, food production, energy production, ecology and their interactions in the WEF nexus. Facing the uncertainty of  
73 the amount of incoming water and some other exogenous sources such as climate change and population growth, some  
74 models concerning the WEF nexus that are commonly used now, may not work well. Previous studies focused more  
75 on the WEF nexus in the integrated water resources' management (IWRM) (Cai et al., 2018) and many current WEF  
76 nexus studies applied the system analysis or integrated process-based model methods (Daher and Mohtar, 2015; Jalilov  
77 et al., 2018; Kaddoura and El Khatib, 2017; Lee et al., 2019, 2020; Payet-Burin et al., 2019; Zhang and Vesselinov,  
78 2017). However, in order to parameterize these models, we found that many empirical parameters or factors need to  
79 be set (Feng et al., 2016; Ravar et al., 2020), which could mask the shortcomings of an insufficient understanding of  
80 uncertain and complex processes. The effectiveness depends on our judgements on the values of each parameter under  
81 various conditions but in which we might ignore the dynamic influence of the probability distribution of some remotely  
82 related causal variables on the parameter setting. In order to improve this, we should consider a longer causal chain  
83 matching of the uncertainty propagation process and to obtain details on the possibility distributions of the parameters'  
84 values under various combinations of multiple conditions. Therefore, we realized that the Bayesian network might  
85 prove to be an effective tool for these two problems.

86  
87 The Bayesian network (BN) is based on the Bayesian theory and the graph theory (Friedman et al., 1997; Pearl, 1985).  
88 It can simulate complex causal relationships and integrate expert knowledge from multiple fields and has shown its  
89 superiority in water resources research and management (Chan et al., 2010; Fienen et al., 2013; Giordano et al., 2013;  
90 Hines and Landis, 2014; Hunter et al., 2011; Nash and Hannah, 2011; Pagano et al., 2014; Quinn et al., 2013; Taner et  
91 al., 2019; Xue et al., 2017). In our previous study, the WEF nexus in the single SDB was simulated based on a BN  
92 (Shi et al., 2020) which also demonstrated its superiority in terms of uncertainty quantification. Based on this, we try



93 to explore the framework significance and portability of this method when applied to other watersheds for comparing  
94 watershed systemic behaviours focusing more on the global causality, which aimed at obtaining the universal evolution  
95 law and discovering the specific differences of the basin-wide WEFE nexus.

96

97 The research goals of this paper mainly include: (1) to propose a causal structure-based framework to compare basin-  
98 wide WEFE nexuses and apply it to SDB and ADB with the BN method, (2) to compare the differences in historical  
99 and current causality of the WEFE nexus and water use between SDB and ADB within the new framework and (3) to  
100 propose a comprehensive optimization proposal of the WEFE nexus management.

## 101 **2 A generalized causal structure-based framework for comparing basin-wide water-energy-food-ecology** 102 **nexuses**

103 We propose a new framework (Fig. 2) for comparing the basin-wide WEFE nexuses and watershed management  
104 representing the causal structure based on combining the similar causal structure and data differences. Under different  
105 levels of similarity, similar causal structures generated by expert knowledge are combined with the observation and  
106 statistical datasets of different river basins. The elements of WEFE nexus can be adjusted to water-energy, water-food-  
107 ecological nexus (Fig. 2), etc. according to the dynamic research aims and similarity levels among the specifically  
108 investigated river basins.

109

110 The steps of the workflow of the framework are as follows:

111 (1) We conduct a preliminary screening of the basin. Such screening can be based on similar geographic region,  
112 landform, climate type, etc. which reflect the basic natural conditions. Based on other factors such as whether the river  
113 is transboundary, whether the country that manages the basin is economically developed, etc., we further filter the  
114 selected basins.

115 (2) We construct a same WEFE nexus causality structure for the river basins selected in the previous step, which can  
116 be represented by a directed graph model such as the Bayesian network. In this step, we need to balance the degree of  
117 refinement of the causal relationship structure and its universality in the selected river basins. At the same time, the  
118 availability of actual expert knowledge and data should also be considered to avoid constructing a causal structure that  
119 is too detailed so that the available expert knowledge and data are not enough to fill it, or too rough that the causal  
120 relationship is underfitted and knowledge and data are underutilized.

121 (3) In this step, we combine the causal structure representing expert knowledge from multiple fields with actual  
122 statistics and observation data to update the initial understanding of causality. In this way, the original qualitative  
123 causal structure is quantified by actual data, and the originally scattered actual data is closely connected by the causal  
124 structure.

125 (4) Based on the quantified new causal structure in the previous step, we can explore its value in practical applications  
126 within the new framework including: discovering the common evolutionary law of the nexuses, discovering the  
127 posterior differences concerning the nexuses, analyzing causality of the historical nexuses changes, incorporating  
128 previous unsystematic and local studies on water resources, agriculture, ecology, etc. into the new causal framework



129 such as incorporating the upstream multi-source causal factors into the downstream soil salinization studies, sharing  
130 experience and reflecting on the failure cases of the historical management, optimizing the current nexuses,  
131 incorporating causality and uncertainty into the decision making and the future risk assessment, etc.

### 132 **3 Application of the Framework in the Syr Darya river basin (SDB) and the Amu Darya river basin (ADB)**

#### 133 **3.1 Location of the selected SDB and ADB**

134 The Aral Sea Basin is located in Central Asia (Fig. 3) with a total area of 1,549 million km<sup>2</sup> and is one of the largest  
135 endorheic river basins in the arid regions worldwide. The two major rivers, the Syr Darya and the Amu Darya, originate  
136 from the West Tien-Shan and Pamir Plateau as a part of the Central Asian water tower. They flow through five  
137 countries in Central Asia, which were once part of the USSR. The surface water resources of the basin mainly stem  
138 from the precipitation, snow melting and ice in the mountainous area. The lower part of the basin is very dry and most  
139 areas are deserts. The large-scale agricultural production here is highly dependent on the irrigation and large amounts  
140 of water are consumed by a high evapotranspiration and leakage during the water diversion.

#### 141 **3.2 The priori and general mode of the Water-energy-food-ecology nexus (WEFE) of SDB and ADB**

142 Since the 1960s, the WEFE in the Aral Sea Basin has been suffering from an increasing pressure (Fig. 4). In addition  
143 to the population growth, climate change, ecological degradation and other problems, the issue of the transboundary  
144 water and energy disputes in this region has intensified with the collapse of the USSR. Therefore, this basin-wide  
145 transboundary WEFE has unique characteristics on spatial and chronological scales. In this study, according to the  
146 spatial characteristics of the transboundary management, the watershed is divided into an upstream and downstream  
147 area. In response to the impact of the collapse of the USSR, the water resources' management period was divided into  
148 four periods: namely 1970-1980, 1980-1991, 1991-2005 and 2005-2015. This is mainly based on the WEFE change  
149 between the upstream and downstream areas in different periods, which are applicable to both SDB and ADB as a  
150 priori and general mode:

151  
152 (1) The agricultural development stage (1970-1980): During this period, a large-scale land development was carried  
153 out, mainly planting cotton with high water consumption and by means of flood irrigation. During this period, large-  
154 scale reservoirs, irrigation and drainage canals and other hydraulic irrigation projects were built. With serious leakage  
155 and a low efficiency, a large amount of water resources was being consumed before going to the farmlands and the  
156 water amount entering the Aral Sea has already begun to decrease (Micklin, 1988).

157 (2) Cultivated land development reaches the highest level and agricultural production continued to be high-load (1980-  
158 1991): During this period, because the Aral Sea basin was regarded as the main agricultural production area of the  
159 USSR, the agricultural demand was extremely large. When the agricultural products were ready, they were handed  
160 over to Moscow, where they were uniformly distributed to other regions of the USSR. The scale of the agricultural  
161 development has reached its peak and was relatively stable. The water amount entering the lake from the Aral Sea has  
162 been reduced further (Micklin, 2007, 2010). In some years, even river depletion occurred. The agricultural water in the  
163 downstream area was given priority and the gap in the upstream power generation needs was compensated for by free



164 fossil energy from the downstream area. The operation mode of the reservoir in the upstream mountain area was close  
165 to the natural mode. When the summer streamflow was large, the reservoir outflow was also high in order to ensure  
166 the agricultural water use in the lower part.

167 (3) The stage of economic stagnation after the collapse of the USSR (1991-2005): The politic in the newly born Central  
168 Asian countries remained unstable during this period and there was a social and economic stagnation. The cotton  
169 production scale of the previous USSR period was far greater than the actual demand of the five new countries. The  
170 area of agricultural land has decreased. But due to population growth and the new countries' own food security needs,  
171 the proportion of food crops grown has increased. The downstream area no longer supplied energy to the upstream  
172 area for free. The upstream region had an energy crisis and the demand for electricity was not met, especially in the  
173 cold winter during the peak in electricity consumption. In order to ensure the electricity supply in winter, the upstream  
174 countries increased the interception water with reservoirs in the high mountains during summer and released more  
175 water in winter so as to generate electricity. This resulted in a downstream agricultural water shortage in summer and  
176 flood risk during winter (Micklin, 2007, 2010). The long-term flood irrigation has caused serious salinization and  
177 decreased the fertility of the farmland soil downstream. Pesticides and salt in the return flow of irrigation entered the  
178 river, causing the downstream water quality to decline. The exposed Aral Sea lake bed increased the frequency of the  
179 sand and salt dust storms, threatening the health of the residents and the Aral Sea crisis developed further as a result.

180 (4) The stage of socioeconomic recovery (2005-2015): Kazakhstan and Turkmenistan were rich in fossil energy and  
181 have a certain foundation for industrial development, have experienced a rapid economic development. Relatively  
182 wealthy, Kazakhstan built large reservoirs so as to prevent floods and to regulate the irrigation, alleviating its own  
183 disadvantages in the water resources' competition. Turkmenistan withdraws more water, along with the economic  
184 development and population growth. The energy disputes between the upstream and downstream areas have become  
185 increasingly fierce. For example, the amount of natural gas exported from Uzbekistan to the upstream region, was  
186 greatly reduced. The power satisfaction and living standards of the upstream countries have only improved little. The  
187 Aral Sea continued to shrink and by 2010, only 10 % of the area was left compared to the 1960s (Micklin, 2010).

### 188 **3.3 A general Bayesian network (BN) structure with macro spatial information within the new framework** 189 **applied to SDB and ADB**

190 We separated the upstream area, downstream area and the Aral Sea as geographically discrete regions and introduced  
191 the elements in the WEFE joint to these regions into the BN as different variables (Fig. 5). Each variable represents a  
192 certain element in the WEFE of a certain region. The BN could be divided into six modules, including the natural water  
193 resources, upstream, downstream, Aral Sea and target variables and a causal structure has been established based on  
194 the experts' experiences (Fig. 6). We established this common framework as a prerequisite for establishing a joint  
195 probability table and at the same time we tried to adapt SDB and ADB so as to keep each variable universal, although  
196 the specific meaning of the variables should be different in the two river basins. The responsibility for exploring the  
197 differences between the two river basins mainly relies on the continuous updates of new input cases.



### 198 3.4 Compiling and Evaluation of the BN

199 We discretize the value range of nodes to reduce computational requirements (Table 1). The discretized interval also  
200 has a certain extension to ensure the robustness of the later prediction function and to prevent cases from easily  
201 exceeding the boundary. According to the differences in the political and economic backgrounds at different stages,  
202 we divided the development process during the past 50 years into four stages: 1970-1980, 1980-1991, 1991-2005 and  
203 2005-2015, based on the assumption that the WEF E shows a relative stability under similar political and economic  
204 backgrounds. Next, the expectation-maximization (EM) algorithm (Moon, 1996) function of Netica software is used  
205 to iteratively calculate the joint probability distribution of BN.

206  
207 We used the sensitivity analysis of the BN (Castillo et al., 1997; Laskey, 1995; Marcot, 2012) to assess the degree of  
208 agreement between the parameterized BN and the actual situation. The index variance of belief (VB) and the index  
209 mutual information (MI) based on the change of information entropy (Barton et al., 2008; Marcot, 2012) - are applied  
210 to evaluate the change in strength and uncertainty of the causal relation between the nodes. These two indicators are  
211 as follows:

$$212 \quad MI = H(Q) - H(Q|F) = \sum_q \sum_f P(q, f) \log_2 \left( \frac{P(q, f)}{P(q)P(f)} \right) \quad (1)$$

$$213 \quad VB = V(Q) - V(Q|F) = \sum_q P(q) [X_q - \sum_q P(q)X_q]^2 - \sum_q P(q|f) [X_q - \sum_q P(q|f)X_q]^2 \quad (2)$$

214 , where H stands for the entropy, V stands for the variance, Q stands for the target node, F stands for other nodes and  
215 q and f stand for the status of Q and F.  $X_q$  is the true value of the status q.

### 216 3.5 A BN-based analysis of the historical factors on the water entering the Aral Sea, the post-test probability 217 prediction and multi criteria evaluation with the Markov chain-Monte Carlo sampling

218 We used the index VB that is utilized in the sensitivity analysis to analyze the factors that affect the water entering the  
219 Aral Sea in the four stages during the past 50 years. It is mainly significant to form a quantified understanding that was  
220 originally only qualitative. Quantifying and updating the past knowledge can help us to better understand the impact  
221 and differences of the water resources' development and the WEF E change at different stages in SDB and ADB.  
222 Because the difference in the current status of the two rivers may have been accumulated from the historical differences  
223 in the water-land-energy development during the past 50 years.

224  
225 We utilized the posterior probability prediction function of BN so as to support the decision optimization. Assuming  
226 that the values of some variables have been determined, the posterior probability prediction of BN might be employed  
227 to infer the possible effect on the variables we are concerned about. Further, we selected the scenarios with a good  
228 effect for the multi-criteria assessment and to test whether they seemed beneficial or as optimal solutions under multiple  
229 comprehensive criteria. And based on the Markov chain-Monte Carlo (MCMC) (Neal, 1993) sampling of the BN, we  
230 explore its role in multi-criteria assessment and optimization based on previous studies (Farmani et al., 2009; Molina  
231 et al., 2011; Shi et al., 2020; Wathayu and Peng, 2004). The point or solution set obtained from MCMC sampling  
232 matches the high-dimensional joint probability distribution of BN nodes, which encompasses the causality of the



233 system (Neal, 1993). This will be applied so as to determine the size of the uncertainty behind the optimization effect  
234 of the scenario and to verify the ability of the BN to manipulate the multi-dimensional uncertainty in the decision-  
235 making. When the states of some nodes in the BN are determined, the joint probability distribution of the posterior  
236 changes, and the distribution of the point set in the multi-criteria space also changes accordingly. The distribution of  
237 this point set is constrained by the causality constructed by BN. If the pareto solutions obtained by conventional system  
238 optimization analysis are far outside the distribution range of this point set, then these optimization solutions may  
239 actually not meet the true causality constraints as an overestimated optimized solution that does not conform to the  
240 reality. In addition, this process could be seen as a test of the robustness of the optimization solutions. The degree in  
241 dispersion of the optimization cases in the three-dimensional criterion space could visually illustrate the size of its  
242 uncertainty, which is helpful for the decision- making with intuitively displaying a high-dimensional joint probability.  
243 The three indicators the reliability (REL) (Cai et al., 2002), total benefit (TB) and degree of cooperation (DC) (Shi et  
244 al., 2020) used for multi-criteria evaluation are as follows:

$$245 \quad \text{REL} = \beta \frac{HA}{A} + (1 - \beta) \frac{WECO}{TWECO} \quad (3)$$

246 , where HA is the planted area, A represents the area suitable for planting, WECO determines the ecological flow  
247 calculated as the water entering the Aral Sea, TWECO is the target flow and  $0 \leq \beta \leq 1$  is an adjustable weight.

$$248 \quad \text{TB} = P_a \times AP + P_e \times EB + P_h \times HP \quad (4)$$

$$249 \quad \text{DC} = \text{HP}/AP \quad (5)$$

250 , where HP indicates the benefits of hydroelectric power generation from upstream dams. EB is the benefit of  
251 downstream ecological flow calculated as a linear function of WECO in this paper although it can be calculated as the  
252 sum of multiple ecosystem services if further necessary. AP indicates the agricultural production in downstream  
253 countries.  $P_a$ ,  $P_h$  and  $P_e$  are the prices which can be adjusted according to the actual market price in the international  
254 trade. We linearly normalized these indexes to  $[0, 1]$ .

### 255 3.6 Data

256 We collected data on WEFEX nexus from 1970 to 2015 in the Aral Sea basin (Table 2). They will be entered into the  
257 BN along with expert knowledge. For SDB, the upstream area includes Kyrgyzstan and the downstream area covers  
258 Kyzylorda, Shymkent in Kazakhstan and Namangan, Andijan, Fergana, Jizzakh, Syrdarya and Tashkent in Uzbekistan.  
259 Regarding ADB, the upstream region includes Tajikistan and the downstream region comprises Surxondaryo,  
260 Qashqadaryo, Samarqand, Bukhara, Navoiy, Khorezm, Karakalpakstan in Uzbekistan and the entire Turkmenistan.

## 261 4 Results

### 262 4.1. Model evaluation

263 We input the collected data and expert knowledge into the BN and compiled it with the EM algorithm in the Netica.  
264 In this study, we selected four nodes as target variables for a sensitivity analysis (Fig. 7). We found that VB and MI





265 have similar trends, and when VB is larger, MI is also larger. This indicates that the correlation and uncertainty between  
266 nodes are synchronized in response to changes in the parent node. The ranking of these sensitivity factors matches our  
267 knowledge and experience about the Aral Sea basin well. A strong pseudo-causality was not found between two  
268 variables with no obvious prior causality. In general, the variables with a strong causality are directly (or indirectly)  
269 connected in the network. This indicates that the established priori causal structure has withstood the test of the actual  
270 data.

#### 271 **4.2 Comparing the WEF of SDB and ADB during the past 50 years**

272 We applied the sensitivity analysis to the node ‘water inflow to the Aral Sea’ of SDB and ADB at different historical  
273 stages (Fig. 8). During the period 1970 - 1980, there was no significant difference between the influencing factors of  
274 the two river basins and the related variables of the increased agricultural development contributed greatly. With the  
275 completion of the upstream reservoirs, the rising reservoir storage also had a certain contribution in both river basins.  
276 In this period, the variability of the natural runoff of the Syr Darya River was significantly larger than the Amu Darya  
277 River’s and the contribution of the natural runoff was higher. During the period 1980 - 1991, the contribution of most  
278 variables has declined, which may be related to the normalization of the maximized agricultural production, leaving  
279 only the natural runoff as the main variation contribution. During the period 1991 - 2005, for SDB, the contribution of  
280 the water inflow into the depression has risen significantly. In both river basins, the reservoir storage and summer  
281 release contribution also augmented largely, with SDB even higher, and the support of the upstream energy import  
282 from the downstream area has also increased. During the period 2005 - 2015, for SDB, the contributions of the  
283 agricultural water and downstream crop area has risen significantly and the output of the water inflow to the depression  
284 has been decreasing.

285

286 In general, before the collapse of the USSR, the difference was mainly sourced from the runoff variability and the  
287 proportion of the upstream reservoir interception to the total natural runoff. The runoff proportion of the Naryn River  
288 tributary (about 35% of the total runoff of the Syr Darya river) intercepted by the Toktogul hydropower station, was  
289 higher than the one of the Vakhsh River tributary (about 25% of the total runoff of the Amu Darya river) intercepted  
290 by the Nurek hydropower station. It also shows that SDB’s upstream major reservoir had a stronger streamflow control  
291 capability than the ADB’s. After the collapse of the USSR, the contradiction on the question “Should water be used  
292 for the summer irrigation water of the downstream country or the winter power generation in the upstream country?”  
293 in both river basins has escalated but the conflict in SDB has become more and more intense and the Toktogul reservoir  
294 operation in Kyrgyzstan has changed completely from the original natural model to a winter-release dominated mode.  
295 However, the contribution of downstream energy supplied to the upstream country has not augmented much. This  
296 might be due to the fact that the changes in the energy trade agreements are hard to match with the annual hydrological  
297 cycle change. Receiving too much winter flow, the contribution of SDB’s water entering the Aydar depression  
298 increased rapidly after the disintegration and is higher than ADB. The other part of the water entering the Aydar  
299 depression is the irrigation drainage water from collectors, which is similar to the Sarykamys Lake in ADB. However,  
300 during the 2005-2015 period of SDB, the sensitivity to the flow of depressions has been reduced. This may be due to



301 the increased water storage capacity of Kazakhstan's newly built plain reservoirs such as Koksaray, which reduces the  
302 risk of dam failure of the Chardara reservoir located on the border of Uzbekistan and Kazakhstan. As there is no  
303 provision in the basin water distribution agreement for the discharge of water from the Chardara reservoir to the Aydar  
304 depression, Kazakhstan may tend to release the surplus water from the Chardara reservoir to Koksaray rather than the  
305 Aydar depression. This will threaten the volume, water salinity, stability and fishery production (Groll et al., 2016) of  
306 the Aydar depression in Uzbekistan and intensify the water conflict between Uzbekistan and Kazakhstan. In addition,  
307 the contribution of some variables (such as livestock water use) has always been very low, possibly because the  
308 livestock water consumption only accounts for a small amount of the total runoff.

#### 309 **4.3 Scenario analysis and optimization of the WEFE nexus based on the BN**

310 Based on the Bayesian posterior probability prediction ability, we enumerated the influence of some variables on other  
311 target nodes under different scenarios. Reducing the water volume entering depressions (Table 3) may be the most  
312 positive and helpful to restore the ecological water entering the Aral Sea. This implies that the efficiency of salt  
313 leaching and irrigation should be improved. It is also effective to increase the planting ratio of food crops and reduce  
314 cotton planting with high water consumption to ensure food security. Among the damages that need prevention,  
315 drought is the first because it has a significant and positive effect on the desertification, soil salinization and water  
316 mineralization.

#### 317 **4.4 The multi-criteria evaluation based on the MCMC sampling of the BN**

318 The causal constraint of Bayesian network on the distribution range of the point set in the multi-criteria evaluation  
319 space makes the decision makers more intuitive about the multi-dimensional uncertainty of the system (Fig. 9). We  
320 found that the advantage of Bayesian probability theory was effectively integrated into the multi-criteria assessment.  
321 As one of the parent nodes, the prior distribution of 'runoff' affects the probability distribution of child nodes (such as  
322 benefit variables) through the transfer of joint probability calculations (Fig. 9). After the determination of the decision  
323 nodes, the distribution of the point set changed (shifted from the prior joint distribution to the posterior distribution).  
324 The distribution of comprehensive benefits under different runoffs is obviously more regular or clustered. Unlike the  
325 independent Monte Carlo sampling of different variables which makes the distribution of point set in the multi-criteria  
326 assessment space appear disorderly or chaotic in the previous system optimization analysis (Fig. 9), the BN-based  
327 MCMC sampling contains the causality and dependence between sampling of different variables.

328  
329 But this phenomenon varies on the specific axis of the two river basins. For example, for SDB, the degree of  
330 cooperation (DC), which is calculated as the ratio of the upstream hydropower profit to the downstream agricultural  
331 production, is an effective index to cluster the cases under various runoffs. In view of ADB however, the DC is not a  
332 good index for clustering and the partial distribution pattern of the cases on the DC axis is hardly controlled by various  
333 runoffs. This illustrates that in SDB and ADB, the relationship between the DC and the annual runoff is quite different.  
334 The DC in SDB driven by water-energy conflict is more affected by annual runoff. When the nodes for optimization  
335 determined ('water inflow to the depression' and 'downstream grain crop area'), in the practical decision-making, the  
336 Pareto fronts can be solved as the optimal solution set, with no other solution than the cases which could be found



337 better in all three criteria in a multi-objective optimization. The solution sets under a high, medium and low runoff  
338 could be solved separately but in this study we paid more attention to the uncertainty of the Pareto solutions. For  
339 example, under a high runoff, the uncertainty of the pareto fronts of ADB is higher than the one of SDB, which shows  
340 that if these two optimization measures are applied to ADB, the stability and robustness of the comprehensive benefits  
341 may be lower than SDB.

## 342 **5 Discussion**

### 343 **5.1 Effectiveness of the new generalized framework for comparing WEFE nexuses**

344 The BN proved its effectiveness in the WEFE nexus modeling and uncertainty analysis. In terms of basin comparison  
345 studies, this new BN-based framework performs well in SDB and ADB. Compared with previous comparison methods  
346 (Grafton et al., 2012; Immerzeel et al., 2020; Joetzjer et al., 2013; Ladson and Argent, 2002; Syed et al., 2005; Vetter  
347 et al., 2017; Wang et al., 2020; Zawahri, 2008), this framework is more systematic and paid more attention to the  
348 description of causality. Based on the similarity of detail causality, the comparison of the WEFE nexus is  
349 comprehensive and meaningful in terms of historical water analysis, uncertainty comparison and future system  
350 optimization. As far as the scalability of this framework is concerned, due to the similarities between the concepts of  
351 the WEFE nexus and integrated water resources management, the past water resources management studies based on  
352 BNs in some arid regions or data limited river basins (Frank et al., 2014; Keshtkar et al., 2013; Xue et al., 2017), may  
353 be able to provide additional evidence for the effectiveness of this framework. If we use this framework to compare  
354 more river basins, we may lose a little in the details of the structure and need to consider the trade-off of structure  
355 refinement and universality (Fig. 10). For example, comparing the Aral Sea basin with the Tarim river basin may  
356 require removing the water-energy conflict module, because there is no energy conflict between the upper and lower  
357 reaches in the non-transboundary Tarim river basin. However, this may also lead to deviations in the attribution of  
358 some specific downstream water system behaviours, because the difference in upstream water-energy conflict is  
359 ignored.

360  
361 When the data are not sufficient, the BN could express and update the system knowledge efficiently. But at the same  
362 time, we found that the factors that differ from the annual scale of hydrological information may not well be modeled.  
363 For example, the changes in the energy supply from downstream to upstream might not match the variation of the  
364 annual water supply from upstream to downstream, although there is an obvious causal relation between them. In  
365 addition, the variables with cumulative values may not match the annual variation of the hydrological information. For  
366 example, as a cumulative value, the node ‘the area of the Aral Sea’ is not as good as the annual water entering the Aral  
367 Sea to adapt to the annual hydrological variation and the node ‘soil salinity’ is also not as good as the node ‘water  
368 mineralization’ in order to adapt to the annual hydrological variation. Therefore, this BN trained from the year-scale  
369 data may be more suitable for modeling variables that are sensitive to the annual hydrological variation, because each  
370 hydrological year is considered to be independent in this BN. The evaluation of some long-term variables may require  
371 a further integration of the process models, such as the long-term trend of soil salinization below the root zone and the



372 long-term melting trend of the upstream glaciers with its impacts on components and spatiotemporal processes of the  
373 runoff in these river basins (Liu et al., 2011; Wang et al., 2016). Differences also exist in the spatial modeling of the  
374 two river basins. For example, although they are simply divided into ‘upstream’ and ‘downstream’, the specific  
375 attributes of the upstream and downstream region, such as which area belonging to which country, are different and  
376 the defined location and attributes of ‘depressions’ also vary.

### 377 **5.2 The main differences between SDB and ADB concerning the WEFE**

378 In addition to the widely recognized differences in glacier melting in high mountainous areas, this study shows that  
379 the ratio of the upstream reservoir interception water to the total runoff is largely different in these two river basins.  
380 This could alter the seasonal distribution of the runoff and determine the upper limit of the level of water-energy  
381 conflicts between the upstream and downstream countries. In ADB, although the new Rogun dam on the Vakhsh river  
382 has been put into power in 2018, it has a modest impact on downstream irrigation if the reservoir is operated to  
383 maximize basin-wide benefits (Jalilov et al., 2016). We should warn that in the future some large reservoirs may be  
384 constructed on the upstream Panj river, which would account for more than 40% of the total runoff of the Amu Darya  
385 River. If so, the water-energy conflict between the upstream area of Tajikistan and the downstream part of Uzbekistan  
386 might escalate just like SDB. One possible solution is to re-establish the complementary water-energy mechanism of  
387 the USSR period.

388  
389 The water-energy conflicts between the upstream and downstream have gradually become accustomed, but new  
390 conflicts and changes have been generated in the middle and lower reaches of the two rivers. In SDB, in the face of  
391 excessive winter water discharge from Kyrgyzstan upstream, from 1991 to 2005, Kazakhstan could only release the  
392 surplus water from the Chardara reservoir to the Aydar depression in Uzbekistan in order to reduce flooding risk.  
393 However, after 2005, with the construction of more water conservancy projects in Kazakhstan, such as the Koksaray  
394 reservoir built to receive surplus water from the Chardara reservoir for irrigation, the water volume of the Aydar  
395 depression was affected. The current basin water distribution agreement does not specify the amount of water that the  
396 Aydar depression should receive from the Chardara reservoir. If this part of the water is subtracted, the Aydar  
397 depression can only be fed by irrigation drainage water with poor quality. These will lead to reduced water volume,  
398 deterioration of water quality, decreased ecological stability and fishery production of the Aydar depression. Therefore,  
399 it is necessary to pay more attention to the ecological problems of new water bodies in the water allocation of the basin,  
400 such as determining the annual release of Kazakhstan's Chardara reservoir to Uzbekistan's Aydar depression. This is  
401 also of reference value for Turkmenistan and Uzbekistan in the lower reaches of ADB. With the increase in population  
402 and economic development, the contradictions in water use between downstream countries will gradually increase.  
403 The water-food-ecology conflict between downstream countries may be a chronic problem compared to the water-  
404 energy conflict with upstream mountainous countries.

405  
406 In terms of ecological restoration, since 2005, Kazakhstan's North Aral Sea Restoration Programme has separated the  
407 North and South Aral Sea by a dam so as to ensure that the water volume of the North Aral Sea is sufficient and stable  
408 (Shi et al., 2014; Singh et al., 2012), and the fisheries, biodiversity and ecosystem services are recovered in the North



409 Aral Sea. Relatively, for the lower reaches of ADB, whether such small-scale ecological reconstruction is feasible is  
410 worthy of further assessment.

### 411 **5.3 Other external measures**

412 The optimization based on the BN is limited by data and empirical knowledge and it is difficult to consider measures  
413 that have never appeared before in the river basins' framework, such as a large-scale drip irrigation promotion. After  
414 the collapse of the USSR, the decline in the agricultural demand allowed more water to flow into the Aral Sea. But the  
415 downstream countries in the basin seemed to lack concern for ecological water use of the Aral Sea and tended to leave  
416 water in their own territory. The expansion of the water volume and depression area (Fig. 11) confirms this, although  
417 part of the water flow into the depressions is necessary for the leaching of soil salt in the irrigation lands. These  
418 expanding water bodies or wetlands could provide some ecosystem services, such as fish supply, to their own countries.  
419 Such lower water efficiency will be challenged in the future and saving water is the long-term solution. In addition to  
420 the repair of channels so as to reduce leakage, a spread and large-scale drip irrigation may reduce the total water  
421 consumption by more than 30% and provide 20 to 30 km<sup>3</sup> more ecological flow for the Aral Sea. It could also lower  
422 the high-salinity groundwater levels (Fig. 11), curb the secondary soil salinization (Zhang et al., 2014), reduce the  
423 drainage water with pesticides and salt to rivers, and reduce diseases caused by the poor water quality downstream.  
424 The promotion of drip irrigation has been considered as useful to improve the irrigation efficiency in other arid regions,  
425 such as the Tarim River Basin (Zhang et al., 2014), which is also located in the arid region of Central Asia, whose  
426 downstream water use efficiency has increased during recent years after the drip irrigation promotion. In addition,  
427 using the Colorado River (Table 4) as an example, the construction of water conservancy facilities in SDB and ADB  
428 could be improved. Increasing the ability to regulate the runoff should allow a better use of the surplus water in the  
429 high flow years but at the same time, it is necessary to avoid the upstream and downstream conflicts caused by the new  
430 large reservoirs. But building a water market as efficient as the Colorado River in the Aral Sea Basin, still seems to  
431 have a long way to go. The Tarim River Basin has started to set prices for the irrigation water since 2003 but in most  
432 parts of the Aral Sea Basin, the irrigation water has not been priced yet. It might depend on the economic flexibility  
433 and a more efficient water delivery network.

434  
435 It is also necessary to strengthen the water-energy cooperation and to avoid zero-sum games between the upstream and  
436 downstream countries. This is a prerequisite for an optimal management of the Aral Sea Basin. In addition,  
437 strengthening the cooperation with the neighbouring countries, such as Russia and China, might be helpful in terms of  
438 the water conservancy projects, energy and agricultural trade and indirectly ease the crisis in the WEFE as a result.

### 439 **6 Conclusions**

440 In this paper, we applied a new causal structure-based framework to compare the WEFE nexuses and applied it to SDB  
441 and ADB with the BN. The main conclusions are as follows:

442 (1) The new causal structure-based framework (combined with the support of actual data) is proved effective when  
443 modeling and comparing the basin-wide causal WEFE nexuses under uncertainty with a lower cost in data limited



444 or poor gauged river basins. It might characterize the hidden uncertainty in the decision support. This systematic  
445 and causal comparison framework can be used to compare more basins based on the different levels of similarity  
446 of the causal structure.

447 (2) Before the collapse of the USSR, the water flow entering the Aral Sea was sensitive to the agricultural development  
448 of the two river basins. After the collapse of the USSR, its sensitivity to the water-energy conflicts between the  
449 upstream and downstream countries increased a lot. Compared with the Syr Darya, the amount of water flowing  
450 into the Aral Sea from the Amu Darya is less sensitive to the water competition between downstream summer  
451 irrigation and upstream winter hydropower partly due to the lower percentage of total runoff intercepted by  
452 upstream reservoirs. It further made the management of the surplus water in the lower reaches of SDB in winter  
453 more difficult and controversial than ADB with a large amount of water flowing into depressions outside the river  
454 and irrigation area.

455 (3) In the short term, reducing the water inflow to depressions and improving the planting structure prove beneficial  
456 to the Aral Sea ecology. In the long term, the construction of large reservoirs on the Panj river of the upstream  
457 ADB should be cautious so as not to get an intense water-energy conflict as SDB's. Moreover, the water-food-  
458 ecology conflict between downstream countries may escalate and turn into a long-term chronic problem such as  
459 between Kazakhstan and Uzbekistan. More attention should be paid to the reasonable ecological water  
460 consumption of new water bodies such as the Aydar-Arnasay depression in the basin-wide water allocation. It is  
461 also necessary to promote the water-saving drip irrigation and to strengthen the cooperation between internal and  
462 external countries.

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#### 465 **Data availability**

466 The data sources we used in this study have been listed in the main text. Data can also be obtained by requesting the  
467 corresponding author.

#### 468 **Competing interests**

469 The authors declare that they have no conflict of interest.

#### 470 **Author contribution**

471 Haiyang Shi: Conceptualization, Methodology, Software, Data, Writing. Geping Luo: Conceptualization, Supervision,  
472 Revision. Hongwei Zheng: Methodology. Jie Xue: Methodology, Software. Tim van de Voorde: Supervision. Philippe



473 de Maeyer: Supervision, Revision. Chunbo Chen, Jie Bai, Tie Liu, Shuang Liu, Peng Cai, Huili He, Friday Uchenna  
474 Ochege: Data.

475

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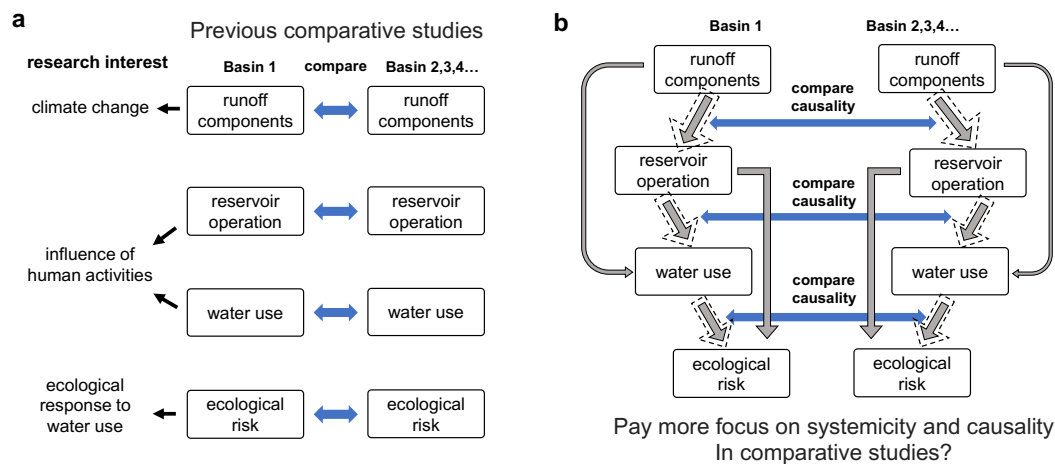
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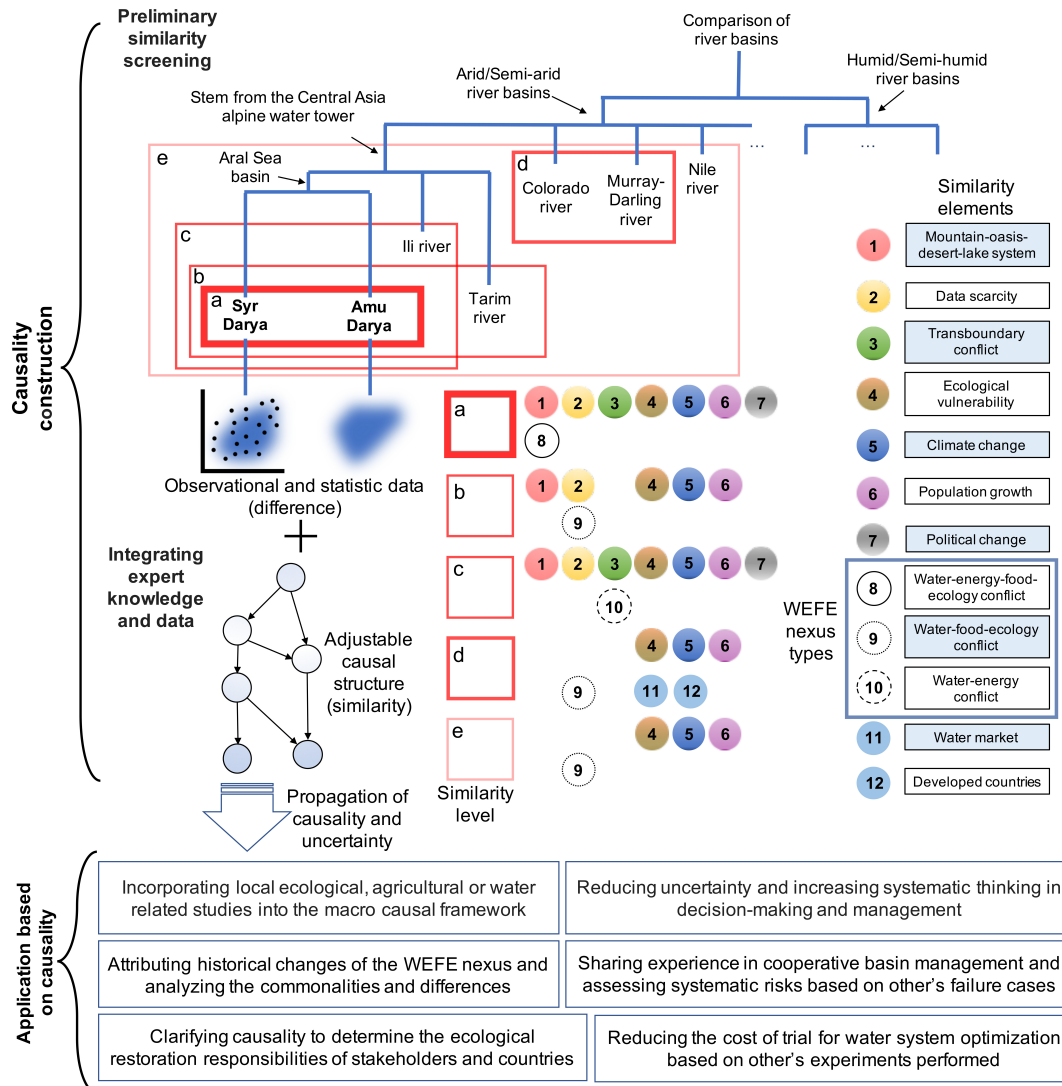
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**Figure 1** Previous comparative studies focusing on local or individual aspects (a) and more attention should be directed to the identification and comparison of causality and systemicity between river basins (b).

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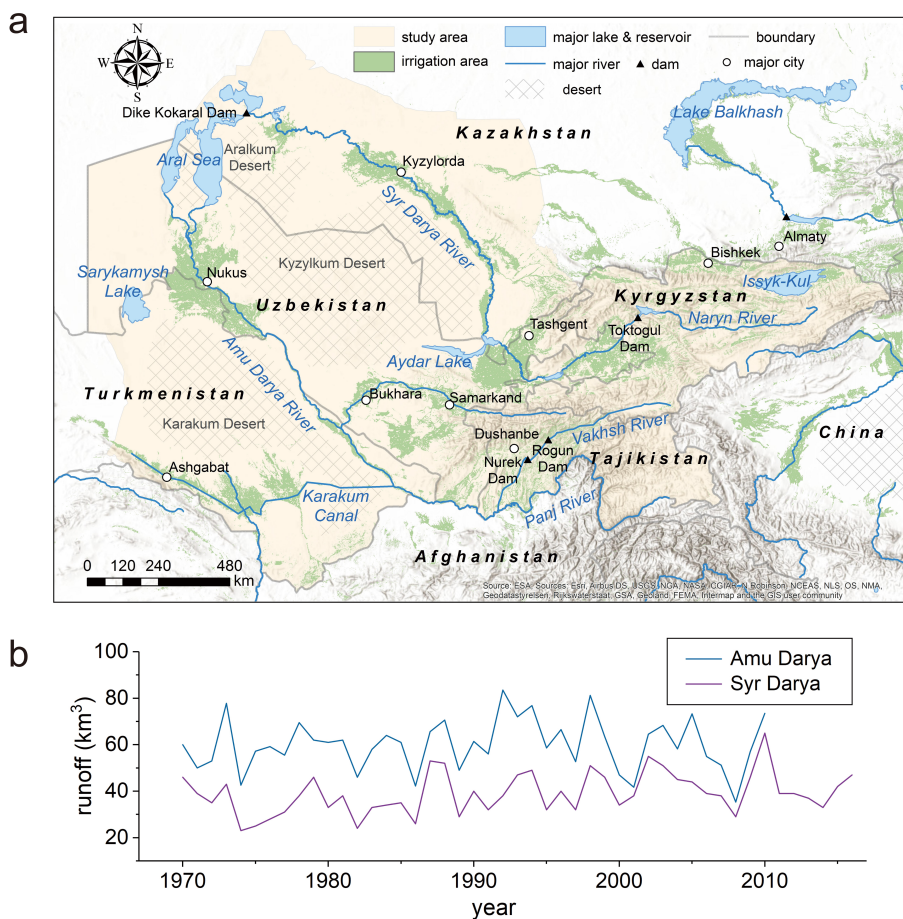
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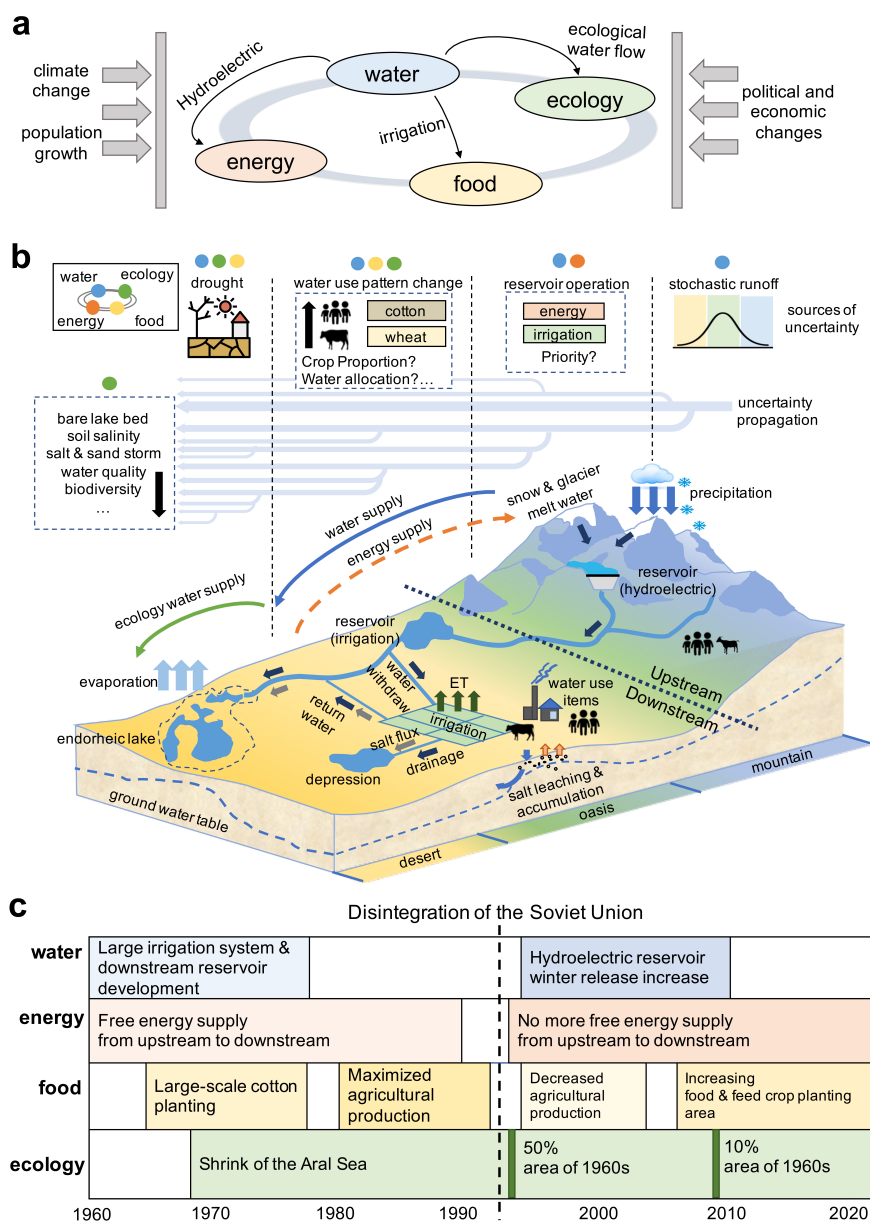
**Figure 2** The new generalized basin-wide water-energy-food-ecology nexus comparison framework based on combining the similar causal structure and data differences. The upper tree structure shows the priori classification of river basins and the arid/semi-arid branch is more subdivided. The lower left part illustrates the operation mode of the new basin comparison framework: combining the similar causal structure determined by experts and the multi-dimensional observation dataset containing differences. The red boxes marked with a, b, c, d, and e contain elements identified by the 1-12 serial number on the right that measure similarities at different levels. Number 8-10 show the different water-energy-food-ecology related nexus type adjusted according to box a, b, c, d, and e. River basins in the same red box can be compared by a specific structure of causality generated by the elements the box contains. The bottom part shows the significance of the application under this new framework.



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Figure 3 Location of the Aral Sea basin and the water resources' variation. (a) shows the location of the Aral Sea Basin, the two main rivers are the Syr Darya and Amu Darya. This map is made with ArcGIS and the layers come from the public layers in ESRI base map and ArcGIS online. (b) demonstrates the annual runoff variation of the Syr Darya river total runoff and the Amu Darya river main stream at the Atamyrat cross-section upstream the Karakum Canal.

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659 Figure 4 The priori and general basin-wide WEF mode of SDB and ADB and its temporal change during the past 50 years

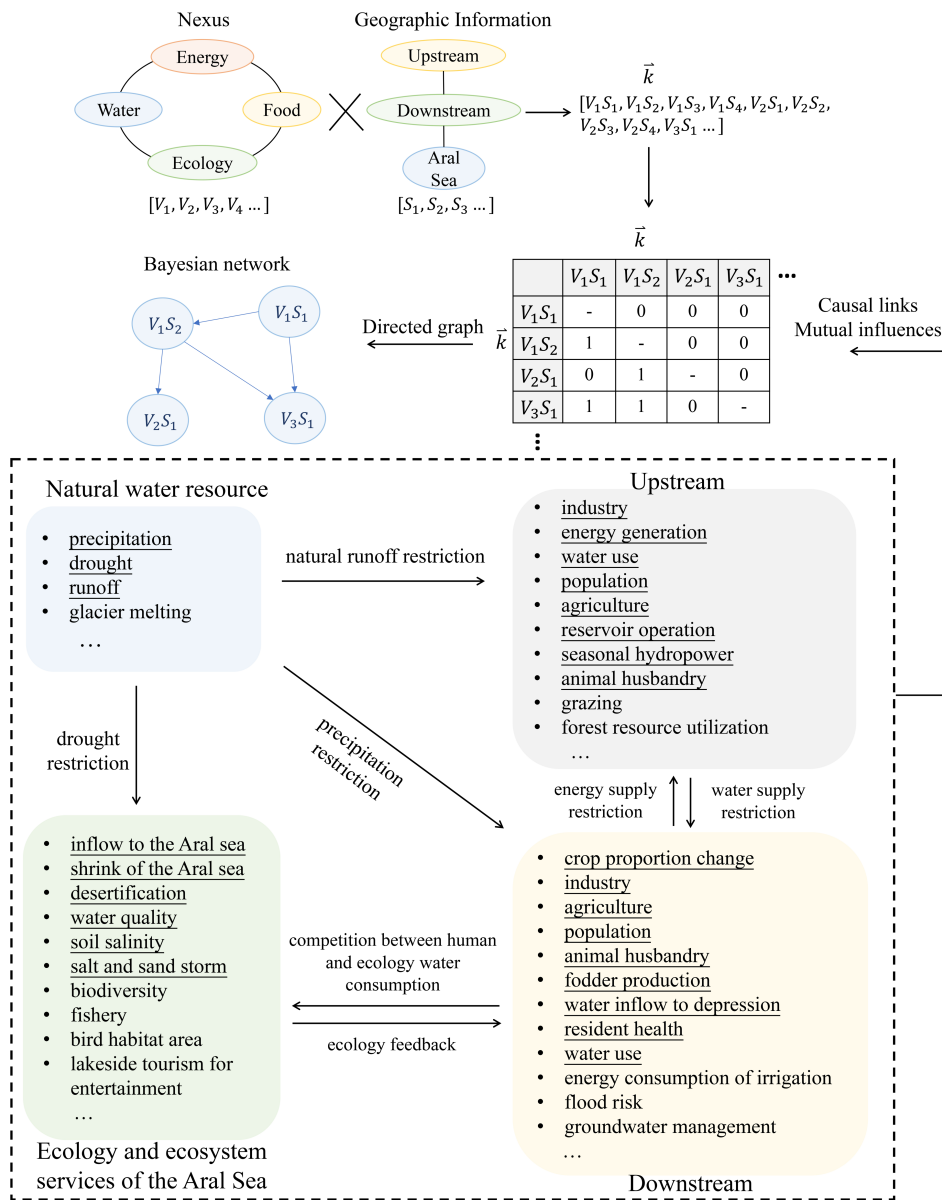
660 (a) shows the sources of the exogenous stress on the WEF dominated by water in the Aral Sea basin. (b) illustrates the

661 hydrologic uncertainty spread from the alpine area to the lower part through a typical 'mountain-oasis-desert-lake' system.

662 The elements of the WEF are represented by circles in four colours and the relevant uncertainty items are tagged with

663 these icons as a classification by respective roles in the WEF. (c) demonstrates the specific changes of the elements in the

664 WEF during the past 50 years and the influence from the collapse of the USSR in 1991.



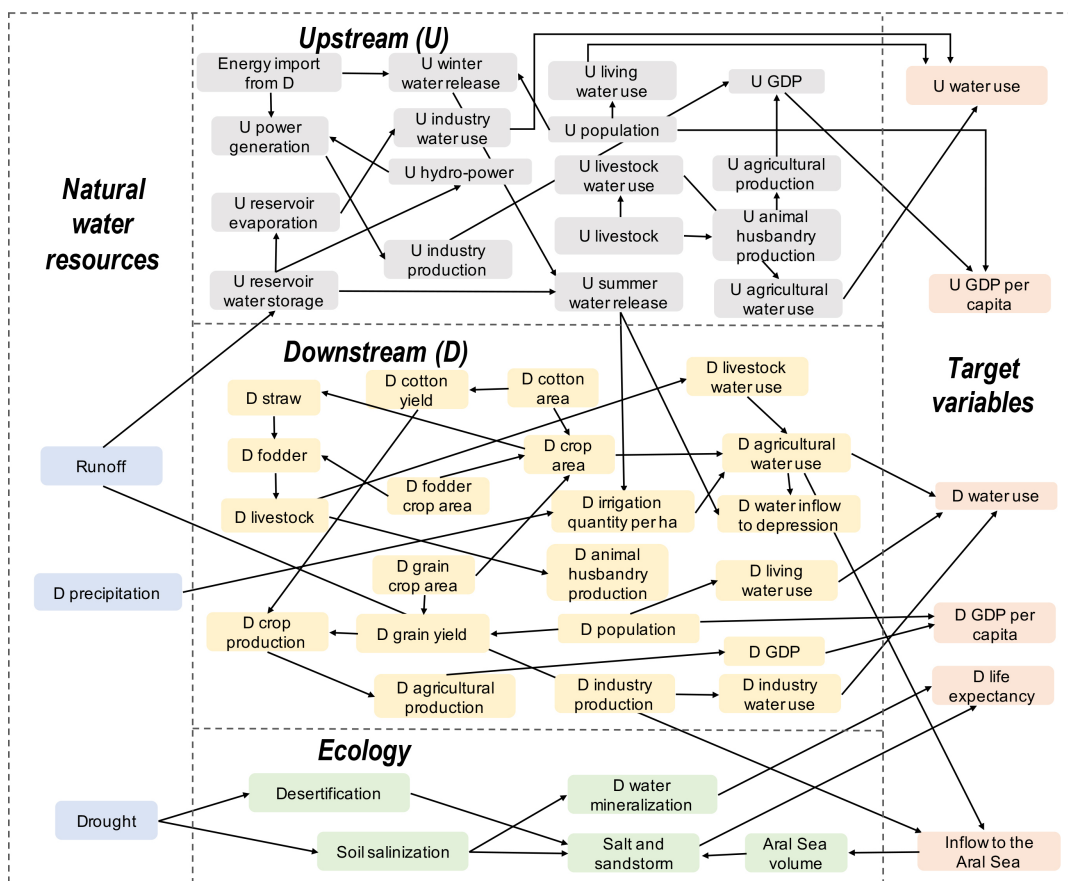
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Figure 5 Integrate expert knowledge into Bayesian networks to simulate the WEF. The geographical area is divided into the upstream, downstream region and the surrounding area of the Aral Sea. The lower part contains the factors that can be considered in the framework, and the underlined ones are actually used in this study.

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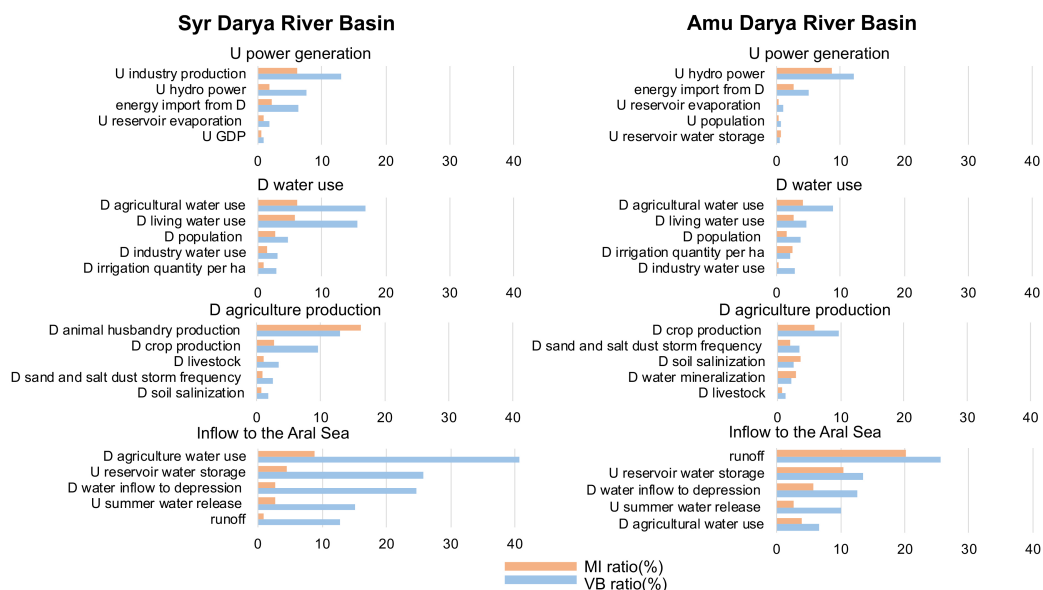


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Figure 6 The Bayesian network structure shared by ADB and SDB when simulating the water-energy-food-ecology nexus. D stands for 'downstream' and U stands for 'upstream'.

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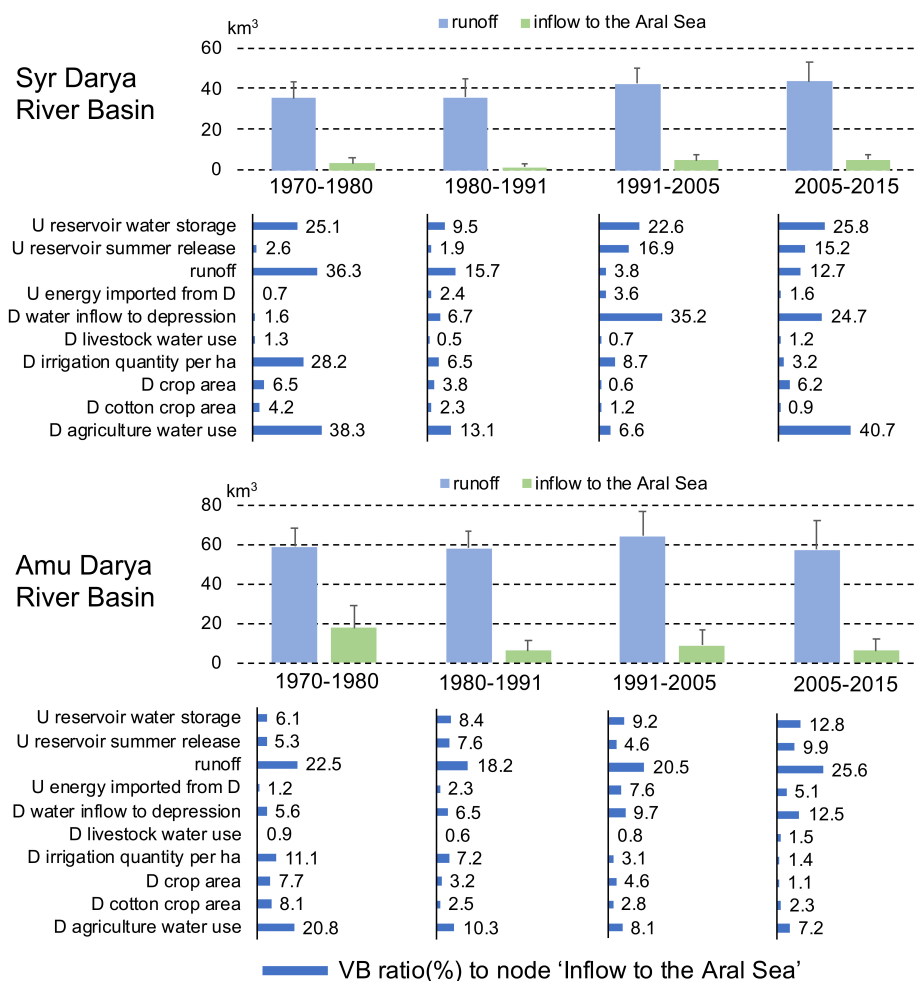


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**Figure 7 Sensitivity analysis of some variables. VB stands for variance of belief and MI stands for mutual information. D stands for ‘downstream’, correspondingly, U stands for ‘upstream’.**

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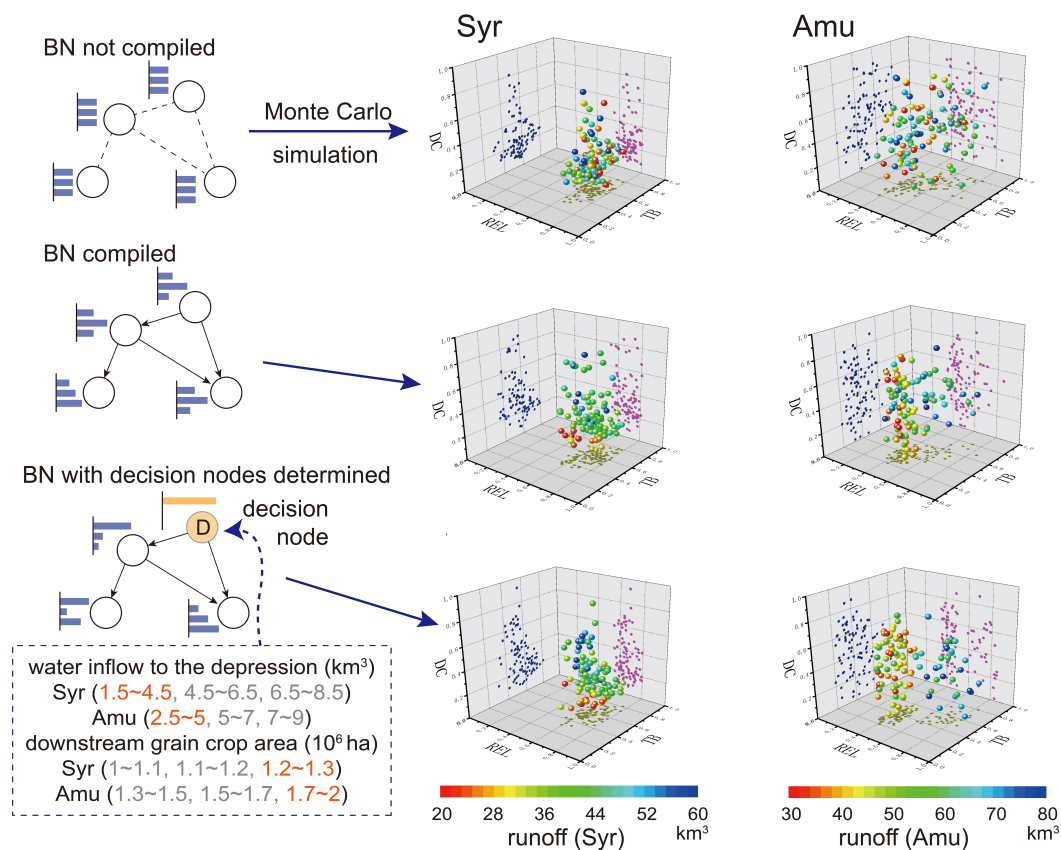
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**Figure 8 Comparison of the sensitivity analysis of 'water inflow to the Aral Sea' node of ADB and SDB in four historical periods from 1970 to 2015. D stands for 'downstream', correspondingly, U stands for 'upstream'. VB stands for variance of belief.**

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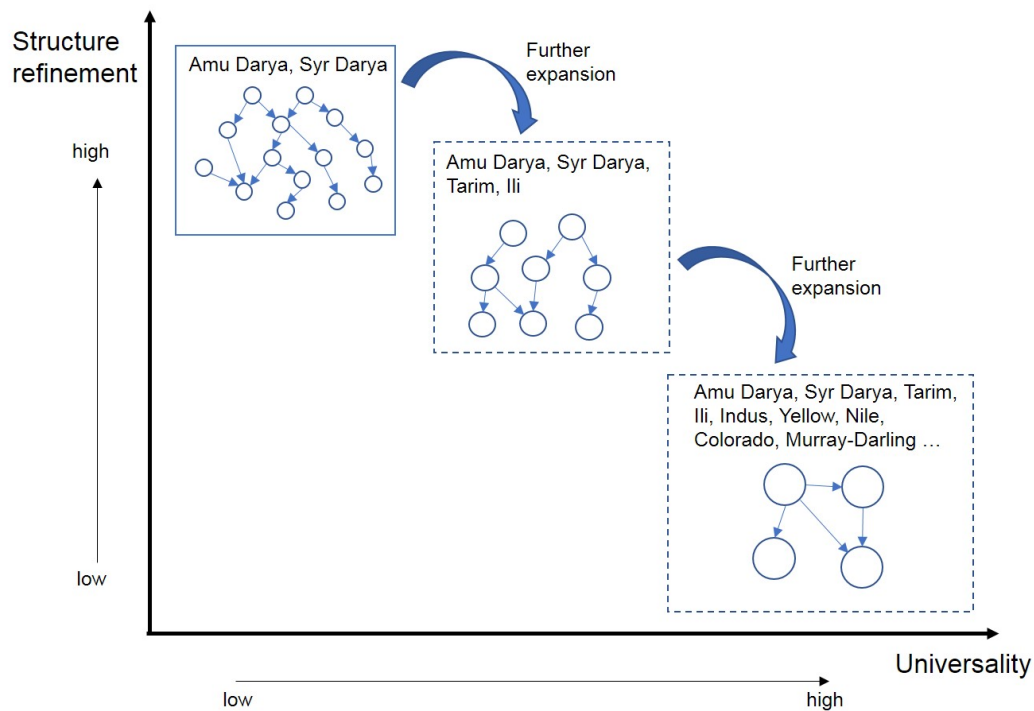
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**Figure 9 Comparison of multi-criteria evaluation of SDB and ADB based on the BN causality constraint-based MCMC sampling. At the top is the multi-criteria evaluation based on random sampling with no joint probability included, in the middle is the multi-criteria evaluation containing the BN causality constraints and at the bottom is the multi-criteria evaluation based on the BN with nodes for optimization determined.**

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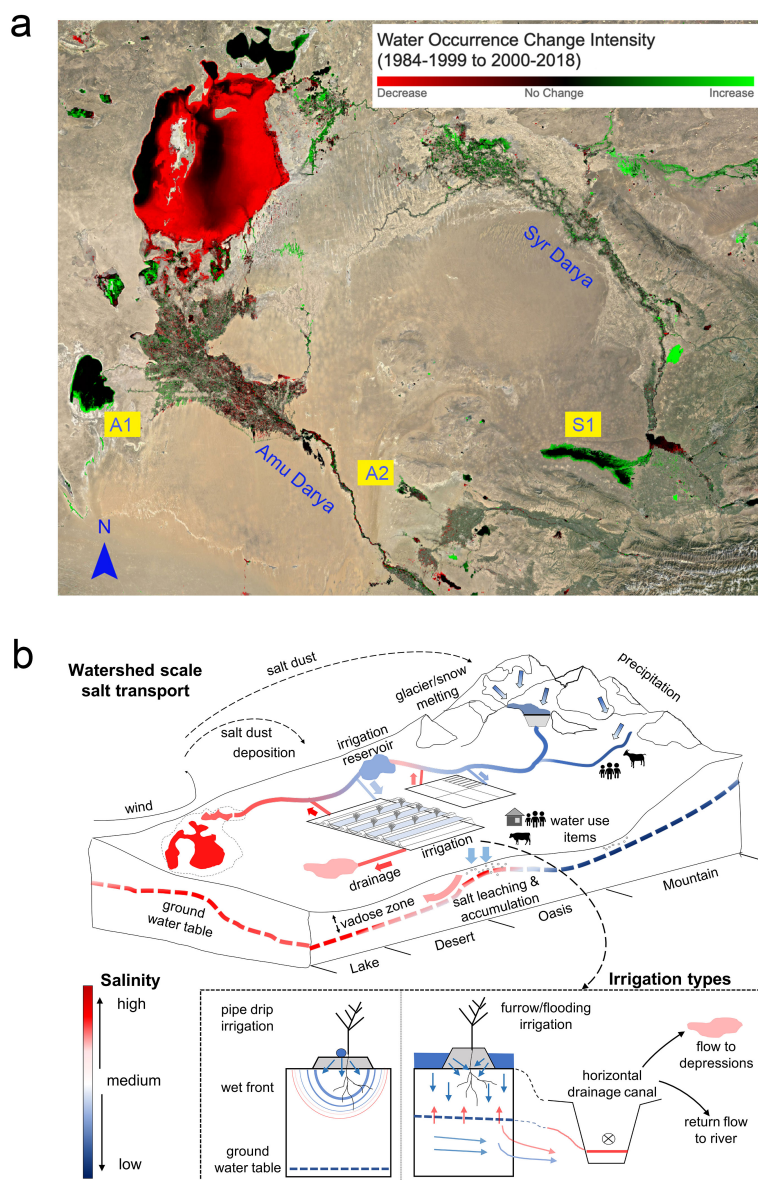
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Figure 10 The trade-off of structure refinement and universality in the new framework for comparing basin-wide water-energy-food-ecology nexuses based on the adjustable causal structure.

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694 **Figure 11** The long-term inefficiency and risk of the irrigation-drainage system. (a) Changes in the surface water occurrence  
 695 in the Aral Sea Basin. The data and information originate from the Global Water Surface Explorer ([https://global-surface-](https://global-surface-water.appspot.com/)  
 696 [water.appspot.com/](https://global-surface-water.appspot.com/)) (Pekel et al., 2016). S1, A1 and A2 are examples of expanded depressions, which collected the drainage  
 697 and surplus water. S1 is the Aydar Lake in the Syr Darya river basin. In the Amu Darya river basin, A1 represents the  
 698 Sarykamysh Lake and A2 illustrates a drainage depression of the Bukhara irrigation district. (b) Salinity concentration in  
 699 the irrigation-drainage system of the Aral Sea Basin. The upper part stands for the salt transport and concentration at the  
 700 river basin scale. The lower part shows the positive effect of drip irrigation compared with flood irrigation on reducing the  
 701 drainage water and lowering the groundwater level to reduce the secondary salinization.

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703 Table 1. Discretization and description of variables

Variables	Status discretization	Unit	Explanation
Runoff	280~360, 360~440, 440~650 (SDB)	10 <sup>8</sup> m <sup>3</sup>	
	300~500, 500~700, 700~900 (ADB)		
D PDSI	-8~-4, -4~0, 0~6 (SDB)		
	-8~-4, -4~0, 0~4 (ADB)		
D precipitation	170~190, 190~210, 210~230 (SDB)	mm	
	80~100, 100~120, 120~150 (ADB)		
U reservoir storage	0~6, 6~12, 12~20 (SDB)	km <sup>3</sup>	Toktogul reservoir (SDB)
	5~8, 8~10, 10~12 (ADB)		Nurek reservoir (ADB)
Outflow of the reservoir in summer	1800~2800, 2800~3800, 3800~4800 (SDB)	10 <sup>6</sup> m <sup>3</sup>	
	4000~7000, 7000~12000, 12000~15000 (ADB)		
Outflow of the reservoir in winter	3500~3800, 3800~4200, 4200~4500 (SDB)	10 <sup>6</sup> m <sup>3</sup>	
	2000~3000, 3000~4000, 4000~5000 (ADB)		
Energy import from D	0~1, 1~2, 2~3 (SDB)	10 <sup>9</sup> m <sup>3</sup>	Natural gas export from D to U
	0~0.5, 0.5~1, 1~3 (ADB)		
U hydropower generation	0.3~0.8, 0.8~1.2, 1.2~1.5 (SDB)	10 <sup>10</sup> kW·h	
	0.5~1, 1~1.4, 1.4~2 (ADB)		
D cotton production	1100~2200, 2200~3300, 3300~4400 (SDB)	10 <sup>3</sup> t	
	2000~2500, 2500~3000, 3000~3500 (ADB)		
D cotton cropland	700~750, 750~800, 800~850 (SDB)	10 <sup>3</sup> ha	
	1100~1250, 1250~1400, 1400~1600 (ADB)		
D grain crop area	1000~1100, 1100~1200, 1200~1300 (SDB)	10 <sup>3</sup> ha	
	1300~1500, 1500~1700, 1700~2000 (ADB)		
D grain production	1500~2500, 2500~3500, 3500~4500 (SDB)	10 <sup>3</sup> t	



Variables	Status discretization	Unit	Explanation
	4500~5000, 5000~5500, 5500~6500 (ADB)		
Number of D livestock	7~10, 10~13, 13~16 (SDB)	10 <sup>6</sup>	cattle and sheep
	10~20, 20~30, 30~40 (ADB)		
D irrigation quantity per ha	9500~10000, 10000~10500, 10500~11000 (SDB)	m <sup>3</sup> /ha	
	11000~13000, 13000~15000, 15000~17000 (ADB)		
D water use	33~35, 35~37, 37~40 (SDB)	km <sup>3</sup>	
	45~50, 50~55, 55~60 (ADB)		
Inflow to the Aral Sea	0~4, 4~7, 7~10 (SDB)	km <sup>3</sup>	
	0~7, 7~14, 14~21 (ADB)		
Volume of the Aral Sea	10~100, 100~200, 200~300	km <sup>3</sup>	
Inflow to depression	1.5~4.5, 4.5~6.5, 6.5~8.5 (SDB)	km <sup>3</sup>	Water entering the Aydar lake (SDB)
	2.5~5, 5~7, 7~9 (ADB)		Water entering the Sarykamysk lake (ADB)
D agricultural production	2~4, 4~6, 6~8 (SDB)	10 <sup>9</sup> US\$	
	2~4, 4~7, 7~10 (ADB)		
D GDP	10~30, 30~50, 50~70 (SDB)	10 <sup>9</sup> US\$	
	10~40, 40~60, 60~80 (ADB)		
D population	14~16, 16~18, 18~20 (SDB)	10 <sup>6</sup>	
	16~18, 18~20, 20~22 (ADB)		
D desertification	14~16, 16~18, 18~20 (ADB)	10 <sup>4</sup> km <sup>2</sup>	Including the Aralkum Desert
	10~20, 20~30, 30~40 (SDB)		
Sand and salt storm	0~30, 30~60, 60~100	Day per year	Frequency





Variables	Status discretization	Unit	Explanation
D water mineralization	0~0.5, 0.5~1, 1~3	g/L	Kyzylorda (SDB)
			Nukus (ADB)
Soil salinization	low, medium, high		Soil salinity near Kyzylorda (SDB)
			Soil salinity near Khorezm (ADB)
D life expectancy	64~66, 66~68, 68~70, 70~72	Age	

704 Note: D stands for ‘downstream’ and U stands for ‘upstream’.

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706 **Table 2. Data description and sources.**

Data	Source	Description	Years 707 duration
Discharge/runoff	CA WATER info <a href="http://www.cawater-info.net/water_quality_in_ca/amu_e.htm">http://www.cawater-info.net/water_quality_in_ca/amu_e.htm</a> , <a href="http://www.cawater-info.net/water_quality_in_ca/syr_e.htm">http://www.cawater-info.net/water_quality_in_ca/syr_e.htm</a> Global Runoff Data Centre (GRDC) <a href="http://www.bafg.de/GRDC/EN/Home/homepage_node.htm">http://www.bafg.de/GRDC/EN/Home/homepage_node.htm</a>	Streamflow gauging stations, daily and yearly	1970-2015
Water intake and consumption	CA WATER info <a href="https://www.cawater-info.net">https://www.cawater-info.net</a>	Province and country scale, yearly	1970 to 2015
Precipitation	National Climate Data Centre (NCDC) <a href="http://www.ncdc.noaa.gov/">http://www.ncdc.noaa.gov/</a>	Meteorological station, daily	1970 to 2000, 2010 to 2015
Palmer Drought Severity Index (PDSI)	Google Earth Engine <a href="https://developers.google.com/earth-engine/datasets/catalog/IDAHO_EPSCOR_PDSI">https://developers.google.com/earth-engine/datasets/catalog/IDAHO_EPSCOR_PDSI</a> (Abatzoglou et al., 2018)	0.04° grid, daily	1979 to 2015
Water budgets of the Aral Sea	CA WATER info	Annual scale	1970 to 2015
Ecological and environmental indicators	CA WATER info Micklin P (Micklin, 1988, 2007, 2010)	Sample site scale, annual scale	1980 to 2010
Energy	CEIC <a href="https://www.ceicdata.com">https://www.ceicdata.com</a> IEA <a href="https://www.iea.org/data-and-statistics">https://www.iea.org/data-and-statistics</a>	Country scale, yearly	1991 to 2015
Operation of reservoirs	Siegfried T (Siegfried and Bernauer, 2007) ICWC <a href="http://www.icwc-aral.uz">http://www.icwc-aral.uz</a>	Monthly	1974 to 2015
Social economy	CA WATER info Statistical data online <a href="https://stat.uz/uz">https://stat.uz/uz</a> , <a href="http://www.stat.kg">http://www.stat.kg</a> , <a href="https://data.worldbank.org/cn">https://data.worldbank.org/cn</a> , <a href="http://stat.gov.kz">http://stat.gov.kz</a> FAO <a href="http://www.fao.org/statistics">http://www.fao.org/statistics</a> , Soviet National Economic Statistics Yearbook, Commonwealth of Independent States Statistical Committee database	Province scale, yearly	1970 to 2015

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710 **Table 3. Comparison of the BN-based scenario analysis of SDB and ADB**

Target nodes	Nodes for scenario setting											
		DR	EI	UR	WR	IQ	DG	DC	DL	UL	DI	WD
U energy value (high)	SDB		+5.9	-2.7	+2.6							
	ADB		+4.4	-1.6	-1.2							
D water use (low)	SDB			+0.2		+1.2	+1.7		-1.6		-1.8	+0.3
	ADB			-1.1		-1.9	-0.9		-0.6		-3.8	-5.3
U water use (low)	SDB			+2.5						-0.9		
	ADB			+0.7						+1.4		
D GDP (high)	SDB						+0.6		+0.5		+4.7	
	ADB						+2.9		+1.4		+17.5	
U GDP (high)	SDB		+0.3							+1.3		
	ADB		-1.5							+3.7		
D grain yield (high)	SDB	+0.3				-0.3	+13.6					
	ADB	-2.7				-2.1	+19.3					
D livestock production (high)	SDB								+5.1			
	ADB								+10.3			
Volume of the Aral Sea (high)	SDB			+0.6								
	ADB			+3.1								
Inflow to the Aral Sea (high)	SDB		+2.6	+3.6	+1.3	+2.3	+0.5	+2.6				+23.5
	ADB		+5.1	+3.7	+4.2	+6.1	-1.7	+3.4				+13.2
Salinization (low)	SDB	+5.5										
	ADB	+11.3										
Desertification (low)	SDB	+9.6										
	ADB	+16.2										
Water mineralization (low)	SDB	+1.3										
	ADB	+8.7										
Sand and salt storm (low)	SDB	+3.7		+0.8								+1.1
	ADB	+13.1		-0.4								+0.7
D life expectancy (high)	SDB	+0.2										
	ADB	-0.2										

711 Note: D stands for the downstream region and U stands for the upstream region. DR represents drought index (low), EI represents  
 712 energy import from D (high), UR represents U reservoir water storage (high), WR represents U winter water release (high), DG  
 713 represents D grain crop area (high), IQ represents D irrigation quantity per ha (low), DC represents D cotton crop area (low), UL  
 714 represents U livestock amount (high), WD represents D water inflow to depressions (low), DI represents D industry production  
 715 (high) and DL represents D livestock amount (high). The 'high' and 'low' respectively indicate the highest or lowest level of each  
 716 node after discretization. The values in the table show the change of the percentage probability values of the specific status of the  
 717 response nodes on the left after the 'high' or 'low' states of the upper scenario nodes are determined.  
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719 **Table 4. Comparison of four river basins in the arid regions**

River basin	Syr Darya	Amu Darya	Tarim river	Colorado River
Runoff (km <sup>3</sup> )	41	78	39	20
Population (10 <sup>6</sup> )	25	27	11	40
Runoff / population (km <sup>3</sup> /10 <sup>6</sup> )	1.64	2.89	3.45	0.50
Reservoir capacity / runoff	+++	++	++	++++++
Hydrological observation	++	++	+++	++++
Crop area (10 <sup>6</sup> ha)	3.3	4.5	2.8	1.8
Runoff / crop area (km <sup>3</sup> /10 <sup>6</sup> ha)	12.4	17.3	13.9	11.1
Drip or sprinkler irrigation	+	+	+++	+++
Water market	+	+	++	++++++
Ecological flow	+	+	+++	+++

720 Note that the number of '+' represents the values from qualitative knowledge.  
 721