



1 **An Analysis of Conflict and Cooperation Dynamics over Water Events in the Lancang-**
2 **Mekong River Basin**

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14 **Abstract**

15 Riparian countries have their respective values and priorities for water management, and
16 their values of shared water often has possible impacts for their propensity to involve in
17 cooperative management and adhere to treaties/agreements. Improving transboundary
18 water management therefore firstly requires nuance understanding of the changing values
19 and interests of each riparian country to better understand factors that encourage and
20 discourage changes toward cooperation or conflict. This paper provides understanding of
21 the evolution of conflict and cooperation dynamics in Lancang-Mekong River Basin with
22 in-depth analysis of the perspectives of multiple countries. Newspaper articles were used
23 as a key data source as it provides insights into events reported on by the media that are
24 representative of each country/sector they are published within. The results depict a
25 continual trend of cooperative sentiments towards water events occurring within the region.
26 The six riparian states have had a greater average sentiment score for cooperation than
27 international countries for the majority of the study period showing that the region
28 perceived transboundary water management more positively than global audiences. Except
29 for few outliers, the trend also shows that countries further downstream showed lower
30 cooperative sentiments. Dam infrastructure was often negatively reported, thus, it is likely
31 a major contributor to conflict for the Lancang-Mekong River Basin, while events that are
32 positively reported are those that aid in connecting leaders and project developers between
33 riparian countries including meetings, bilateral and multilateral cooperation and
34 development projects. These findings provide the basis for further revealing the mechanism
35 of cooperation and conflicts through understanding these inherent and diverse perspectives
36 of each riparian country, we can gain an insight into the underlying interests that create
37 conflictive or cooperative environments and ultimately predict and manage
38 cooperation/conflict in transboundary rivers.

39 **Keywords:** transboundary river management, conflict and cooperation, Lancang-Mekong
40 river basin, newspaper, sentiment analysis, societal value, big data



41 **1. Introduction**

42 Globally there are 310 transboundary rivers that flow across more than 47% of the world's land
43 surface (McCracken and Wolf, 2019), providing approximately 60% of the world's freshwater
44 (Wolf et al., 2005). Transboundary river flows across political boundaries with spatial and
45 temporal variance, often resulting in conflicting criteria for its uses among riparian nations. The
46 very different views on how the water should be used, and how it should be managed makes
47 collaborative management difficult (Sunchindah, 2013). Tensions and uncertainties often occur
48 when sharing this consumable, indispensable resource and compounded by the dynamic
49 interaction of hydrological, technical and social systems (Zeitoun and Mirumachi, 2008).
50 Transboundary rivers are therefore characterized for evolving cooperation and conflict dynamics
51 (Wolf et al., 1999; Petersen - Perlman and Wolf, 2015; Yoffe et al., 2003; Zeitoun and Mirumachi,
52 2008). Given the future projections of population growth and aridity, some scholars even
53 proposed the idea of global 'water wars' (Cooley, 1984; Starr, 1991; Bulloch, 1995; Remans,
54 1995; Gleick, 1993), emphasizing that management of international rivers will be a challenging
55 task if knowledge of conflict and cooperation is not fully developed (Song and Whittington,
56 2004; Barnaby, 2009).

57 Understanding transboundary waters by conflict and cooperation has been a dominant approach
58 embraced by many scholars in different disciplines (Wolf et al., 2003; Yoffe et al., 2003; De
59 Stefano et al., 2010; Zawahri, 2008; Gleick, 1998). A large and growing body of literature has
60 attempted to explore factors that are potentially conducive to conflict, considering issues such as
61 water scarcity (Dinar, 2009), climate change (Gleditsch, 2012; Nordås and Gleditsch,
62 2007; Raleigh and Kniveton, 2012), water quality (Wolf et al., 2005), and the role of
63 transboundary treaties/river basin organizations (Song and Whittington, 2004; Dinar et al.,
64 2019; Berardo and Gerlak, 2012; Zawahri and Mitchell, 2011); while others have explored
65 cooperation management, focusing on scenario-based analysis of the distribution of benefits from
66 cooperation, and benefit-sharing mechanisms as pivotal role in motivating cooperation (Hogarth
67 and Dinar, 2015; Madani, 2010). Recently, conflict and cooperative dynamics in transboundary



68 rivers have been considered as a socio-hydrological phenomenon (Di Baldassarre et al., 2019),
69 emerged as a result of the long-term evolution of hydrological, political, economic, technical and
70 social processes settled within the transboundary system (Di Baldassarre et al., 2019). Socio-
71 hydrological approach is thus proposed in understanding transboundary river problem to unravel
72 how and why different actors came into cooperation.

73 As the first and fundamental advance to analyse the tendency for conflict or cooperation along
74 international rivers, few inventories have been built up to provide global snapshot of conflict and
75 cooperation dynamic to recognise future tensions. Often cited is the Transboundary Freshwater
76 Dispute Database (TFDD) developed by Oregon State University (Wolf, 1999) that compiled
77 historical water incidents, both conflictive and cooperative, on a global scale from 1948. Based
78 on the data, Basin in Risk Projects (BAR) (Yoffe and Larson 2001) categorised intensities of
79 water incidents, varying between -7 and +7, in order to understand possible social - political
80 threats. Underpinned by the recognition that cooperation and conflict are not a binary construct,
81 as all-or-nothing (Grey and Sadoff, 2002), but rather in co-existence, In their Transboundary
82 Waters Interaction Nexus (TWINS) tool, Zeitoun and Mirumachi (2008) attended to the nexus of
83 water conflict and cooperation underlining the dual nature of interaction.

84 While these inventories provide a global snapshot of conflicts and cooperation in transboundary
85 rivers, they also provide a simplistic image of the inherent complexity of tensions (De Stefano et
86 al., 2017). As often stated by Zeitoun and Warner (2006) that “the absence of war does not mean
87 the absence of conflict”. Simply classifying water events into conflict or cooperation could mask
88 various forms of conflictive or cooperative responses elicited from each riparian state underneath
89 (Watson et al., 2009). Riparian countries have their respective values and priorities for water
90 management (Wolf et al., 2005; Di Baldassarre et al., 2013), and their values of shared water often
91 has possible impacts for their propensity to involve in cooperative management and adhere to
92 treaties/agreements. Understanding value in the context of transboundary river basins is therefore
93 vital for developing effective management and policies toward cooperation (Bennett and Dearden,
94 2014; Hartley, 2006; Larson et al., 2009; Turner et al., 2014).



95 Values, arising from the concept of culture along with norms and beliefs, posit as deeply held
96 ideas that influence on water management decisions and outcomes (Caldas et al.,
97 2015;Roobavannan et al., 2018;Wei et al., 2017). Shaping the way we see, perceive and interpret
98 the outer environment (Caldas et al., 2015), values is considered as mediating variable that
99 connect human with the natural environment. In the context of local-scales studies, i.e. urban or
100 agricultural sectors in river basin (Elshafei et al., 2014;van Emmerik et al., 2014;Li et al.,
101 2013;Chen et al., 2016;Kandasamy et al., 2014), value is often used synonymously with
102 “ecological worldviews” or “environmental value” (Schwartz and Bilsky, 1987;White et al., 2019)
103 that guide water use behavior or management focus from human uses to restore ecological flows
104 (Roobavannan et al., 2018;Wei et al., 2017). In the context of transboundary rivers, where
105 multiple water users are interconnected (Petersen-Perlman et al., 2017), their different values
106 towards their shared water are often manifested as conflictive or cooperative attitudes toward
107 other competing water users, when further complicated by the interdependent web of
108 hydrological, political, economic, technical, and social processes (Dinar, 2004;Di Baldassarre et
109 al., 2019), could resulted in greater cooperation or conflict at basin-scale. Improving
110 transboundary water management therefore firstly requires nuance understanding the changing
111 values and interests of each riparian country, however, it remains under researched. Therefore,
112 current event-based approach is inadequate to recognise the nuance nature of conflict and
113 cooperation instances. An in-depth analysis that looks into each riparian country’s conflictive or
114 cooperative perspectives is key to understand their cooperative or non-cooperative behaviour. It
115 can also provide empirical advances to made it possible more rigorously model social element at
116 transboundary level in socio-hydrological models or similar studies, and ultimately contribute to
117 understanding the mechanism that drives the conflict or cooperation choices in the long run. This
118 paper takes Lancang-Mekong River Basin as a case study to investigate how has the conflict and
119 cooperation dynamics as reflected by each the riparian countries changed over time.

120 **2. Case Study Area - Lancang-Mekong River Basin**

121 The Lancang-Mekong River is one of the largest and longest river systems in South-East Asia,



122 originates from the north-eastern rims of the Tibetan plateau in China, down 4,880km through
123 Myanmar/Burma, Lao PDR (Laos), Thailand, Cambodia and exiting into the South China Sea
124 through Vietnam (MRC, 2019), as seen in Figure 1. The river courses that runs within China is
125 named Lancang River, whilst river course flows through downstream is referred as Mekong
126 River. The Lancang-Mekong River is an essential water source that supports the livelihoods for
127 some 65 million people from the six riparian countries in maintaining food security and nutrition
128 (Dugan et al., 2010).

129 One of the most prominent sources of tension between the riparian states is their competing
130 desires for the use of the water. China in particular has an interest in hydropower projects to
131 generate electricity and also in clearing and expanding waterways to improve navigation for
132 greater trade (Yorth, 2014). Myanmar has access to part of the Lancang-Mekong River through
133 the sharing of a border with Laos but has not projected a preferred use of the water vocally but is
134 generally cooperative with China (Yorth, 2014). Laos, similarly to China also has a great interest
135 in hydropower developments and are in a position favourite to alter the downstream flow of the
136 Mekong River (Dugan et al., 2010). Thailand primarily utilises water for agriculture and irrigation
137 and diverts water from the main Lancang-Mekong tributary into its North-eastern areas for
138 cultivation and exports (Nesbitt, 2005). Cambodia has a particular interest in preserving water
139 quantity and quality for their fisheries sector to ensure aquatic species abundance (Yorth, 2014).
140 As a result, Cambodia demands that fewer large structures are constructed along the Lancang-
141 Mekong, such as dams and irrigation systems that may affect the sediment flow and water quantity
142 downstream (Yorth, 2014). Vietnam has an interest in utilizing the water for agriculture and
143 aquaculture and generally contests upstream dams that will have an effect on its water quantity
144 for irrigation and aquaculture and its flood control abilities (Nesbitt, 2005).

145 The Lancang-Mekong River has experienced a lengthy record of conflictive and cooperative
146 events. Significant movement towards cooperation over water resources between the riparian
147 countries primarily began in the 1950's when the Mekong Committee was established, consisting
148 of the lower Mekong countries, after the Geneva Convention granted independence to Laos,
149 Cambodia, and Vietnam (Hirsch and Cheong, 1996). This committee ran from 1957 to 1978



150 despite disagreements among the riparian countries in how the decision-making processes were
151 implemented (Yorth, 2014). In 1995, all members of the Mekong River Commission (hereafter,
152 MRC) signed the “Agreement on the Cooperation for the Sustainable Development of the
153 Mekong River Basin” (Hirsch and Cheong, 1996), with China and Myanmar presenting as
154 Dialogue Partners of the MRC throughout discussions (Yorth, 2014). The beginning of the 21st
155 Century has marked China’s cooperative commitment for providing 24-hour water level and 12-
156 hour rainfall data as well as entering cooperative regimes with the MRC (Dore, 2003).
157 Meanwhile, construction of large-scale dams in upstream has received mounting criticism, i.e.
158 the Xayaburi Dam, as the first of the eleven proposed cascade dams on the Lower Mekong began
159 construction in 2010 despite a lack of agreement between all four lower Mekong countries and
160 failure of the regional consultation process. After that, several treaties and plans were signed,
161 including the Lancang-Mekong Environmental Cooperation Centre in 2016 and the formation of
162 the Lower Mekong Committee (LMC) framework, marking a significant step towards
163 cooperation.

164 **3. Methods**

165 It has been an important though challenging task to directly measure values related to
166 environmental concern or specific evaluation towards certain issues (Roobavannan et al., 2018).
167 News media has increasingly been recognized as a valid proxy to track societal values or public
168 opinion (Wei et al., 2015; Wei et al., 2017; Quesnel and Ajami, 2017). News media write the first
169 draft of history (Howland et al., 2006), it provide insights into events reported on by the media
170 that are representative of each country/sector they are published within (Cooper, 2005). Through
171 its noted “agenda-setting” capability, news media reflect what is important to the public as well
172 as it shapes the public perception of an issue (Bengston et al., 1999; Hurlimann and Dolnicar,
173 2012; Neuendorf, 2017). The prominence of an issue reported in news media can be framed
174 through frequency of coverage, content details, and prominent position, i.e. front page
175 (Roznowski, 2003). Recently years have witnessed an increasing trend of examining the water-
176 related news coverage to understand portrayal of water issues (Altaweel and Bone, 2012; Wei et



177 al., 2015;Xiong et al., 2016), drought salience (Ruiz Sinoga and León Gross, 2013), public
178 perception (Hale, 2010), societal values (Wei et al., 2017), or to link the volume of water-related
179 news coverage with consumption behaviour change (Quesnel and Ajami, 2017) and public
180 preferences in mitigation strategies (Russell-Verma et al., 2016). Thus, utilizing newspaper
181 articles as a key data source for this project allows the analysis of the perceptions of different
182 countries pertaining to water events in the Lancang-Mekong River over time. The approach for
183 achieving the objectives of this paper is given in Figure 2.

184 **3.1 Data Retrieval**

185 The Lexis-Nexis database was selected to extract newspaper information, which is home to more
186 than 6000 news publications around the world and is among most commonly used news sources
187 in the field of social sciences (Weaver and Bimber, 2008;Racine et al., 2010). Searching scope
188 include both major English regional and international newspapers. Although English is not
189 frequently used in most riparian states, English newspapers are accessible and regularly reach an
190 international audience, and is therefore considered a reference to the government's foreign policy
191 (Curtin, 2012). News articles in these newspapers reflect national interests and political responses
192 that riparian countries want to deliver to the international public.

193 The search terms are one of the key determinants of the validity and relevance of the data to be
194 collected. The search terms used in this study, as seen in Table 1, were adopted from Yoffe and
195 Larson (2001) and refined to enable the results to water events along the Lancang-Mekong river
196 related to conflict and cooperation between riparian countries. Specifically, the five block of terms
197 requires articles to be included in the search results must discuss “Mekong” river basin in one of
198 topics indicated below, such as dam, irrigation, pollution, etc. These articles need to discuss the
199 conflictive or cooperative aspects of the events involving at least one of riparian countries. The
200 above categories can narrow down the search to the desired scope, with the list of unwanted words
201 further screen out irrelevant topics.



202 **3.2 Data Cleaning**

203 Initially, the search generated a total of 12,316 results. To further ensure the accuracy of results,
204 all articles were then manually read and examined for their relevancy to ensure the sentiment
205 analysis to be conducted would be reflective of the perspectives of water events along the
206 Lancang-Mekong. Those articles not relevant were removed from the analysis alongside any
207 duplicate articles and those with missing necessary information including article body and date
208 published. The relevancy of each article was determined using the criteria in Table 2. The final
209 number of articles utilized for the analysis was 3,877 after all duplicates and irrelevant articles
210 were removed.

211 **3.3 Sentiment analysis and Topic Analysis**

212 Generally, there are two ways of coding available when examining the news content, manual
213 coding and computer-assisted coding. While manual coding could uncover latent content to a
214 larger extent (Wei et al., 2015; Wei et al., 2017), it is more time consuming and less efficient when
215 examining large datasets. Sentiment analysis, a widely used computer-based analysis, was
216 utilized in determining the cooperative or conflictive perspectives towards water events, and how
217 they have changed over time. Sentiment analysis is the process in which thoughts, attitudes and
218 perceptions expressed in a text are identified and classified in computational way, particular in
219 order to determine authors' viewpoints and position towards certain issues (positive, negative, or
220 neutral) (Danneman and Heimann, 2014). The sentiment analysis was conducted through the
221 interface of R, a statistical software program. The process involved inputting textual data into the
222 program, tokenising the sentences to differentiate each word from one another, and then attaching
223 the tokenised text to a sentiment lexicon to identify the overall sentiment (Danneman and
224 Heimann, 2014). As there is no "conflict and cooperation" lexicon for transboundary rivers
225 available, a general sentiment lexicon AFINN was utilized in this analysis. AFINN contains a
226 total of 2,477 attached word-sentiments, which produces a positive and negative value on a scale
227 from -5 to +5 (Nielsen, 2011). In order to represent the conflictive and cooperative sentiments,



228 the searching scope has limited the articles content to instance of cooperation or conflict that
229 occurs within an international basin involving one or more riparian to that basin. Therefore, the
230 calculated sentiment scores based on AFINN scores ranging from - 5 to + 5 was considered being
231 able to reflect the intensity of conflict and cooperation accordingly.

232 To reflect topics associated with conflict or cooperation in water events, topic analysis - Structural
233 Topic Modelling (STM) (Roberts et al., 2014) was utilized. Structural Topic Modelling (STM)
234 allows frequent words to be extracted from text, identify commonalities between the words,
235 phrases and groups of words to generate a topical prevalence and topic content factor (Roberts et
236 al., 2013). This tool is particularly useful when managing big data sources as the process to
237 identify key topics manually is inefficient and time-consuming, whereas STM has the ability to
238 identify topics automatically. The STM was processed by using the STM package in R (Roberts
239 et al., 2014). The number of topics selected was ten which was decided through an analysis of
240 the topics produced until clear, relevant topics emerged as a result. For example, at a chosen five
241 topics, all topics were pertaining to water, resources, and the six riparian countries; however, at
242 ten topics, there were more clear events emerging such as dam infrastructure, agriculture and
243 fisheries. The topics were then manually labelled based on the most frequent words found within
244 each topic as the statistical software cannot extrapolate the overall topic from most frequent
245 words. This topic classification was based on previous literature reviews and the main water-
246 related topics outlined in Wei et. al.'s (2015) study.

247 **4. Results**

248 **4.1 Overall Coverage of Conflict/Cooperation Water Events on Lancang-** 249 **Mekong River Basin**

250 Overall, news articles pertaining to water conflict/cooperation events along the Lancang-Mekong
251 River have increased in frequency since 1991. As seen in Figure 3a, Thailand, China and the
252 international countries consistently have the largest number of articles published each year on this
253 topic. There are also several peaks in year 2011, 2012, 2014 and 2016 where the number of articles



254 published were considerably higher than years prior and following.

255 Overall, conflict and cooperation as reflected in newspaper coverage showed that there was an
256 observed increase in both the number of conflictive and cooperative articles published over time
257 (see Figure 3b). From 2014 to 2018, the number of articles with a cooperative sentiment was
258 more than double that of the number of articles with a conflictive sentiment each year. Number
259 of cooperative articles had peaked in 2016 and 2018, while definitive peak in the number of
260 articles published with a conflictive sentiment was in 2011. When examining the relative
261 prominence of conflictive sentiments to cooperative sentiment over time as seen in Figure 3b,
262 there has consistently been a greater number of articles with cooperative sentiment than
263 conflictive sentiments since 2002. This ratio of cooperative to conflictive articles published has
264 remained relatively stable since 2000 with majority of all years having 60% to 70% of all articles
265 being cooperative. There are also multiple peaks and troughs in terms of the proportion of
266 cooperative and conflictive reported articles shown in Figure 3b, peaks were reached in year 2004
267 and 2015, troughs were found in year 2011.

268 To understand the most concerned topics associated with conflict/ cooperation events, topic
269 analysis was conducted with ten topics identified. It was found that nearly one third of all articles
270 were pertaining to dam infrastructure, implying that this is a significant topic that countries have
271 a vested interest in (Figure 4a). Following this there is a large proportion of topics that are
272 associated with the reporting of relationships between countries or their cooperation. 30.4% of
273 the overall topic proportion includes bilateral relations, multilateral relations, joint management
274 and meetings. Thus, a significant proportion of all articles published have country interactions
275 and relationships as a major topic.

276 Figure 4b also depicts the proportion of topics that are frequently associated with conflictive
277 sentiment. Dam infrastructure and hydropower, which operate hand-in-hand, were negatively
278 reported by the media accounting for 60% of the total topics. Whilst another 10% of all negative
279 articles had a focus on meetings, bilateral relations, flooding and fishing/environment. When
280 analysing the major topics that prompt a greater cooperative sentiment towards water events in
281 the media, it is clear that there are five main topics that are focused on: development, meetings,



282 hydropower, bilateral cooperation and multilateral cooperation (Figure 4c). Development,
283 meetings and hydropower all are key topics, accounting for 22.22% of topic relevance to articles.
284 Topic analysis was also conducted in those years that were identified as peaks and troughs. Figure
285 5 depicts the proportion of topics and most frequent words present in the articles published in
286 year 2004, 2011 and 2015. 2004 is a year of significance for high proportion of cooperation to
287 conflict articles published, with approximately 85% of all articles having a positive sentiment. As
288 per the topic identification and relevance in Figure 5a, main topics reported on during this time
289 were international relations and bilateral and multilateral projects and cooperation under The
290 Greater Mekong Subregion (GMS). One major contributor is the Asian Development Bank
291 (ADB) who provides support to the GMS with the overall objective of poverty reduction, in which
292 the principal path to this is markets development and cross-border transfer of goods and people
293 across borders (ADB, 2004). The multitude of annexes and protocols signed throughout 2004 in
294 regard to a proposed transport facilitation program, with numerous summits and meetings being
295 held within the riparian countries have contributed to the significantly high proportion of
296 cooperative sentiment to conflictive sentiment in year. On the other hand, the high proportion of
297 cooperation score in this year can be also attributed to the absence of often negatively perceived
298 events, i.e. dam infrastructure.

299 The year 2011 was a considerable trough as there is a significant drop in the sentiment proportion
300 with a greater percentage of conflictive articles. This was due to a dramatic increase in the number
301 of articles published concerning the controversial Xayaburi dam which was identified as one of
302 the most frequent words in Figure 5b. The major contributing factor of the conflictive sentiments
303 in this year is the criticism it received from both riparian countries and international community
304 for the potential impacts of the dam as well as the wrongful consultation process. Finally, in 2015
305 there was a higher proportion of cooperation articles to conflict. The main topics identified in the
306 articles published in 2015 are multilateral cooperation and meetings, encompassing four out of
307 the ten identified topics. This is also corroborated in the word cloud in Figure 5c where the most
308 frequent words are associated with meetings, development and the inclusion of multiple countries.
309 In 2015, the early stages of the Lancang-Mekong Cooperation were in development and included



310 multiple meetings throughout the duration of the year, these meetings are representative of the
311 countries' movement towards greater cooperation and working towards joint, collaborative
312 transboundary water management.

313 **4.2 Conflict and Cooperation Dynamics as Perceived by Each Country**

314 Sentiment scores for each country was calculated to reveal detailed insights into the evolving
315 perspectives of each country, as seen in Figure 6a. It was observed that from 1991 to 2018 there
316 is an apparent trend in increasing cooperative sentiment scores for both international and regional
317 publications (Figure 6a). There has also been decreased variability in the average sentiments over
318 time, both international and regional newspaper articles had a similar sentiment score between
319 2008 and 2018 approximately. The six riparian states have had a greater average sentiment for
320 cooperation than international countries for the majority of the time scale showing that the region
321 perceived transboundary water management in the Lancang-Mekong River Basin more positively
322 than global audiences.

323 Within the riparian states, upstream riparian countries, such as China, Laos, and Myanmar, are
324 exhibiting more cooperative sentiments compared to the downstream countries, Cambodia and
325 Thailand (Figure 6b). However, one major outlier is Vietnam, the most downstream country,
326 which exhibits the highest sentiment value among all riparian countries. With the exception of
327 Vietnam's sentiment score, the trend shows that countries further downstream show more
328 conflictive sentiments. This figure also highlights some of the key players in transboundary river
329 basin management for the Lancang-Mekong region such as Australia, the United States of
330 America, and the Philippines. Both Australia and the United States of America are development
331 partner of the region and thus positively involved in the water management. Philippines is one of
332 the major publication places for the Asian Development Bank (ADB) which is a key player for
333 funding and international aid and has been frequently mentioned in the publications.

334



335 Most importantly, Figure 7 shows the average sentiment scores for each of the riparian countries
336 from 1991 until 2018. The results showed that all riparian countries demonstrated mostly
337 cooperative sentiment relating to water events in Lancang-Mekong River Basin with overall
338 average sentiments scores from each riparian country in order of lowest to highest are Cambodia
339 (0.13), Thailand (0.34), Laos (0.46), Myanmar (0.58), China (0.86) and Vietnam (0.91). Upstream
340 riparian countries, such as China, Laos, and Myanmar, are exhibiting more positive sentiments
341 compared to the downstream countries, Cambodia and Thailand. However, one major outlier is
342 Vietnam, the most downstream country, which constantly exhibited the positive sentiment. China
343 has also consistently expressed very positive sentiments relating to water events in Lancang-
344 Mekong River Basin over time (Figure7). Upon inspection into the articles from China,
345 predominantly published by Xinhua News, the Lancang-Mekong Cooperation (LMC) is a
346 common occurrence in the text that contributes China's positive outlook on transboundary river
347 basin management in the region. Thailand presents similar results, except for one year, 2011,
348 which shows a negative average sentiment score. Laos' average sentiment scores between 2007
349 and 2018 are very variable and do not seem to follow any certain trend. Cambodia showed
350 predominantly negative average sentiment scores as fishing issues has been a concerned issue
351 cited in newspaper throughout the study period. Myanmar has minimal data with only 32 articles
352 were found in total, and only one year, 2014, has shown a negative average sentiment score.

353 **5. Discussion and Conclusion**

354 Understanding value is crucial for establishing effective governance and policies for natural
355 resources. It is important to understand the change of value toward shared transboundary water
356 resources, the factors that encourage and discourage changes toward cooperation or conflict. This
357 paper aimed to develop understanding of the evolution of conflict and cooperation dynamics in
358 Lancang-Mekong River Basin with in-depth analysis of the perspectives of multiple countries.
359 Key findings of this study are summarised below.

360



361 The overall sentiment analysis in correspondence with the current literature depicts a current trend
362 of overly cooperative sentiments towards water events occurring within the region. This is
363 consistent with the previous studies in which the dominant trend in media coverage analysis was
364 the decreasing of cooperative events from 1948 to 2008 (De Stefano et al., 2010). This research
365 was also able to bridge the gap in the literature and depict the continual trends that the proportion
366 of cooperative to conflictive articles has begun to stabilize and started to rise in favour of
367 cooperative events. There are several reasons for this trend to occur. Firstly, between 1948 and
368 1999, extensive headway was made towards cooperative actions with the establishment of the
369 MRC with all lower Mekong countries and the adoption of associated treaties and agreements
370 throughout its duration (Yorth, 2014). There was also a number of projects in operation outside
371 the MRC including the “Quadripartite Economic Cooperation (QEC)” with China, Laos,
372 Myanmar, and Thailand in 1993, the Indicative Basin Plan published in 1970 and the signing of
373 the agreement on the “Cooperation for the Sustainable Development of the Mekong River Basin”
374 in 1995 (Yorth, 2014). Moreover, the majority of negative publications are associated with dam
375 infrastructure and development as per Figure 4, which is also reflective of the worldwide
376 transboundary rivers with infrastructure and water quantity being identified as key controversial
377 issues (De Stefano et al., 2010). Therefore, with an absence of dam proposals and construction
378 prior to the 1990s and hence a significant source of conflict was absent during this time (Yorth,
379 2014). The general concerns associated with infrastructure development along a river including
380 limited sediment flow, lower water quality, the effect on fish species and the livelihoods of people
381 who rely on the river, were not overly present without the threat of infrastructure (Network, 2009).
382 There is also a likely decline in the percentage of positive articles due to the fact that the Lower
383 Mekong Basin countries were experiencing civil and regional wars throughout the 1970s to
384 1980’s (Wilson, 2014). As the majority of finances, infrastructure and strategic focus was devoted
385 to the war during these times, there were no major projects or developments occurring along the
386 Lancang-Mekong River, contributing to the overall positive perspective of the region.
387 This study also differentiates between international countries and regional countries in how each
388 topic is perceived by the media differently, whether riparian is over-critical of water events or



389 view them from a more cooperative perspective than international countries. This understanding
390 can allow for greater collaboration in realizing individual concerns of each country and
391 distributing funding and aid accordingly and ultimately create greater collaborative water
392 management schemes. It was found that regional countries on average have a higher cooperative
393 sentiment score than international countries in each year from 1991 to 2018. This is likely
394 associated with the topics that are considered ‘newsworthy’ to be published in a regional area,
395 pertaining to another country. Generally speaking, when countries report on events not occurring
396 within their close proximity and in different countries, they do so to focus on the major and
397 complex issues and relationships that occur across the globe (Lewis, 2010). Hence, foreign news
398 often focuses on significant instances of either great cooperative events such as international
399 freshwater treaties and major strategic alliances, or significantly conflictive events including
400 extensive war acts and hostile interactions of both physical and verbal nature (De Stefano et al.,
401 2010). Given that 38.3 % of the total number of topics reported on are associated with meetings,
402 bilateral relations, multilateral relations, joint management programs and local water resources as
403 identified in Figure 6a, it is likely that these topics were not as ‘newsworthy’ or significantly
404 cooperative or conflictive enough to be reported on consistently by international countries.

405 By identifying the perspectives of different types of water events, trends begin to emerge
406 regarding the frequency of topics resulting in either greater positive or negative sentiments. It was
407 found that the majority of water events that are negatively reported on are associated with dam
408 infrastructure (see Figure 4b) and thus, this is likely a major contributor to conflict for the
409 Lancang-Mekong River Basin. This could be attributed to a variety of reasons. Historically, for
410 all transboundary river systems, infrastructure and water quantity have been the most contested
411 events occurring in rivers for their ability to completely alter the current water system and the
412 significant downstream and upstream impacts (De Stefano et al., 2010). Primarily, major concerns
413 over the construction of dams is associated with water quantity and the effects this has on
414 sediment flux changes, water discharge, fisheries and water access for irrigation and agriculture
415 (Yorth, 2014). Throughout the history of all dam proposals and construction in the Lancang-
416 Mekong, it is found that not just the construction and operation of the dam that received a



417 significant amount of negative media attention but also the proposal and planning process.
418 Therefore, to ensure this pattern of conflict over dam infrastructure is minimized in the future,
419 investments need to be made in promoting the duty to notify, conducting proper consultation
420 programs and producing impact assessments available publicly. It was also found that that the
421 greatest events that are positively reported on by the media are those that aid in connecting leaders
422 and project developers between riparian countries including meetings, bilateral and multilateral
423 cooperation and development projects. Development is also generally viewed positively in the
424 media due to the potential for desired growth and is promoted by many international NGOs
425 including the ADB. In fact, the ADB aided in the establishment of the Greater Mekong Subregion
426 Economic Cooperation in 1992 to focus on nine priority areas of economic growth along the
427 Lancang-Mekong: transport, telecommunications, energy, tourism, human resources
428 development, environment, agriculture, trade, and investment (Krongkaew, 2004). Thus,
429 development is considered a crucial topic and action in providing greater cooperation and
430 collaboration between riparian countries. By allowing this continual interaction and joint projects
431 that facilitate riparian countries considering all interests and impacts on a larger, transboundary
432 river scale, there is great potential for future cooperation to solve the current issues within the
433 Lancang-Mekong Basin.

434 With the exception of Vietnam's sentiment score, the trend shows that countries further
435 downstream showed lower positive sentiments. It was predicted that Vietnam and Cambodia
436 would express negative sentiments, however, these expectations were not met in the study. The
437 reason behind this pattern is that the true perspectives of some riparian countries including
438 Vietnam and Cambodia could not be analysed as not many regional newspapers from those
439 countries were accessible through Lexis-Nexis and as a result hinders the conclusions made. This
440 is also one of the major limitations of this study that only English newspapers published in
441 regional and international countries that are accessible through LexisNexis database were
442 included for analysis. For future research it is imperative that a greater variety of newspaper
443 sources covering local languages are utilized through using multiple newspaper databases in order
444 to gain a representative analysis of the perspectives of all riparian countries.



445 In conclusion, the future of the Lancang-Mekong is reliant on the riparian countries to
446 collaboratively manage these resources. If the cooperative water events continue to increase and
447 the issues associated with negative events can be collaboratively identified, managed and
448 overcome, there is great potential for the region to achieve effective transboundary water
449 management. As Kofi Annan, Secretary-General of the United Nations argued in 2002, "... the
450 water problems of our world need not be only a cause of tension; they can also be a catalyst for
451 cooperation...If we work together, a secure and sustainable water future can be ours" (Wolf,
452 2007).

453

454 **Code/Data availability**

455 The data is available on request from the corresponding author (tianfq@mail.tsinghua.edu.cn).

456

457 **Author contribution**

458 Jing Wei, Yongping Wei and Fuqiang Tian designed research framework. Jing Wei,
459 Natalie Nott and Claire de Witt collected data, conducted manual data sorting, and data
460 analysis. Liying Guo and You Lu revised the code for data analysis. Jing Wei,
461 Yongping Wei and Fuqiang Tian prepared the manuscripts with contributions from all
462 co-authors.

463

464 **Competing interests**

465 The authors declare that they have no conflict of interest.

466

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470



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- 652 CHAPTER 2 BASINS AT RISK: WATER EVENT DATABASE METHODOLOGY:
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671 **List of Figure Captions**

672

673 Figure 1. The location of the Lancang-Mekong River, the main river pathway and its tributaries
674 across the six riparian countries (Tian et al., 2020)

675 Figure 2. Outline of the Data Retrieval Process and Coding for Sentiment Analysis and Structural
676 Topic Modelling

677 Figure 3. The Number of articles published pertaining to water events along the Lancang-
678 Mekong River Basin (a); the proportion of the number of overall positive and negative articles
679 (b)

680 Figure 4. The proportion of all topics identified as key topics in newspapers from 1991 to 2018
681 (a); The proportion of Topics Identified within all articles published with an overall conflictive
682 sentiment (b); The proportion of Topics Identified within all articles published with an overall
683 cooperative sentiment (c).

684 Figure 5. Frequency of Topics identified in all articles published in the year 2004 calculated
685 using STM analysis (a); Frequency of Topics identified in all articles published in the year 2011
686 calculated using STM analysis (b); Frequency of Topics identified in all articles published in the
687 year 2015 calculated using STM analysis (c)

688 Figure 6. The Average Sentiment Score of Regional and International Newspapers from 1991 to
689 2018 (a) and number of articles published relating to water events in the Lancang-Mekong
690 River Basin, average sentiment score for each country (excluding countries with no data), and
691 number of publication sources as denoted by the bubble size (b)

692 Figure 7. Average sentiment scores for the riparian countries (Cambodia, China, Laos,
693 Myanmar, Thailand, and Vietnam) from 1991 until 2018

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Table 1 The Search Terms Established to Generate Results

Lexis Nexis Requirements	Key Word Search
Must Include the words:	Mekong
Includes at least one of the following words related to water :	water* or river* or lake* or dam* or stream* or tributar* or diversion* or irrigati* or polluti* or "water quality" or flood* or drought* or channel*
Includes at least one of the following words related to conflict/cooperation :	treat* or agree* or negotiat* or resolution* or commission* or secretariat* or "joint management" or "basin management" or "peace accord" or settle* or cooperat* or collaborat* or dispute* or conflict* or disagree* or sanction* or war* or troop* or "letter of protest" or hostil* or "shots fired" or boycott* or protest*
Includes at least one of the following words related to countries involved :	Thai* or Cambodia* or China or Chinese or Lao* or Myanmar* or Burm* or "viet nam" or Vietn*
Does not include any of the following words:	sea, ocean, navigation, nuclear, "water cannon", "light water reactor", "mineral water", "hold water", "cold water", "hot water", "water canister", "water tight", "water down", "flood of refugees", oil, drugs

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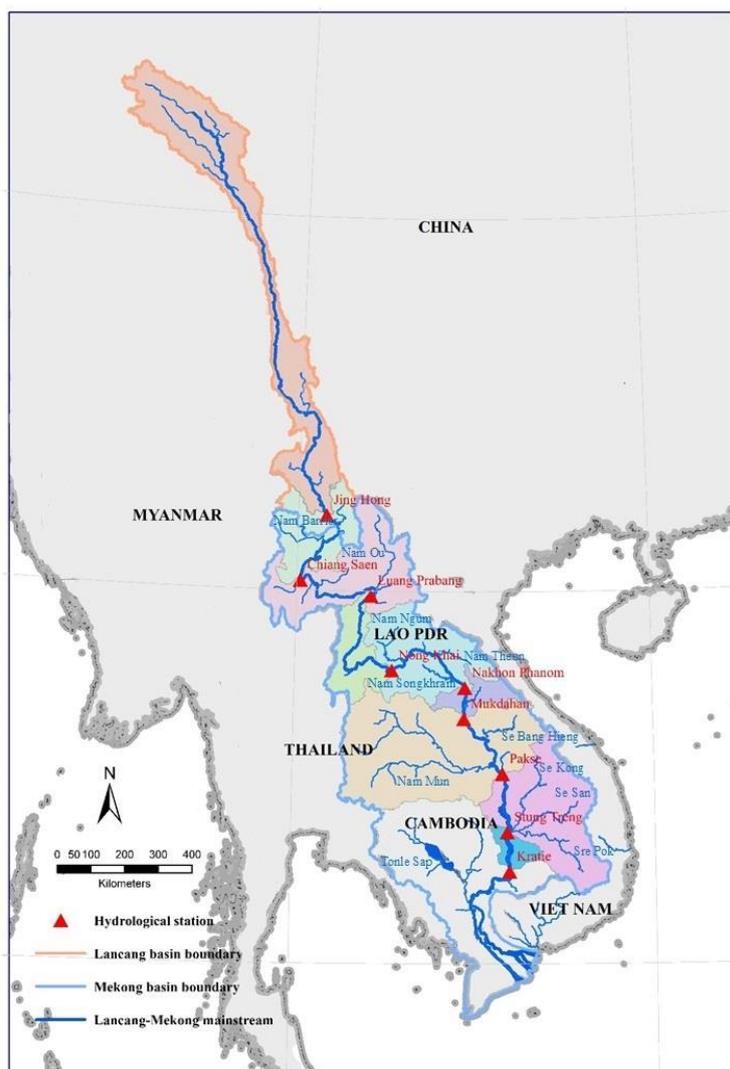


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Table 2 Criteria for inclusion and exclusion of news articles

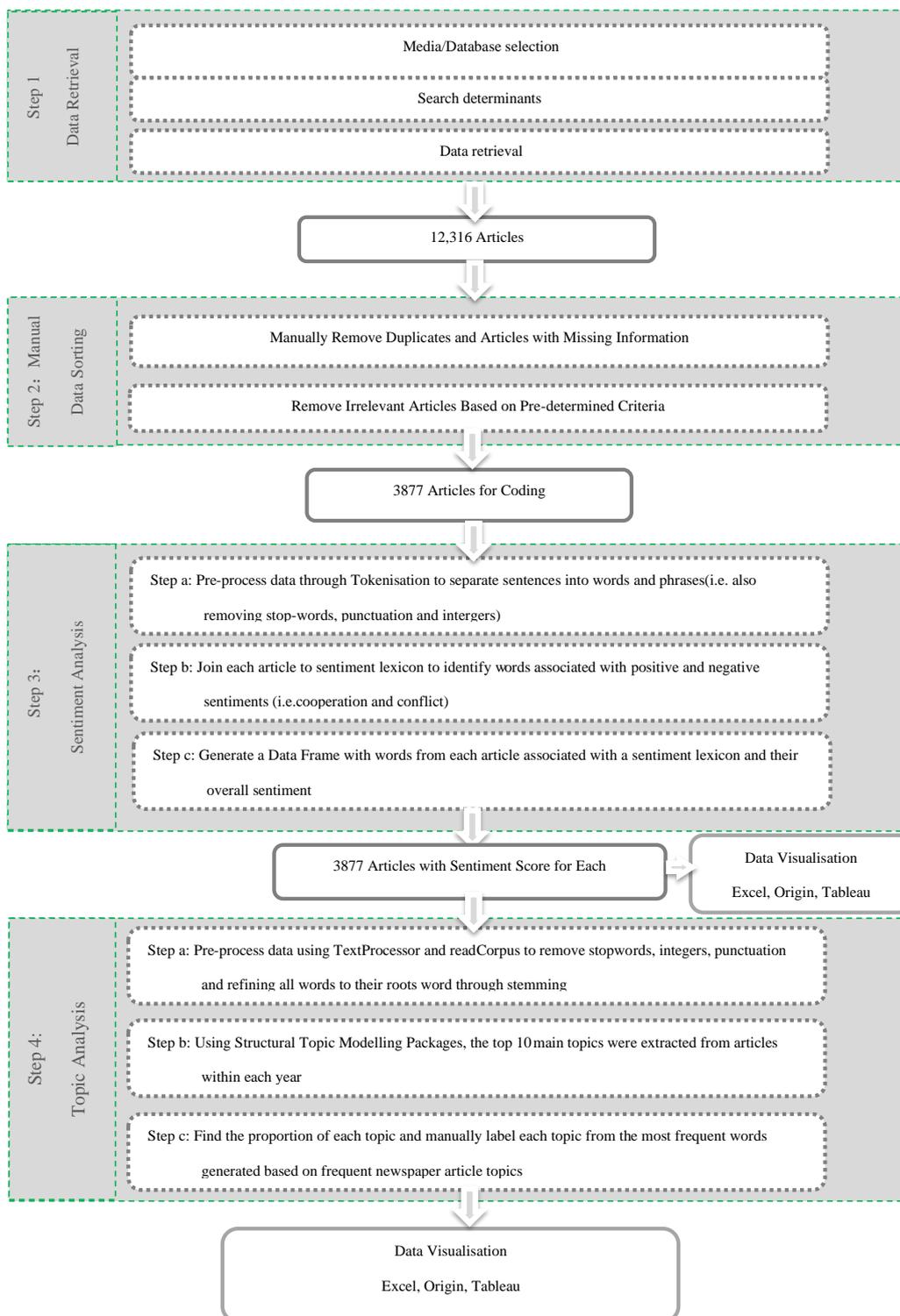
Criteria for Including Data	Irrigation using the Lancang-Mekong river as a source
	Conflict over water resources: e.g. proposed development
	Cooperation over water resources: e.g. bilateral/multilateral agreements, MRC, ASEAN
	Species affected by development projects: e.g. pollution, water quantity and quality
	Salt intrusion due to decreased water quantity and flow from upstream: e.g. dams/diversions
	Livelihoods affected by use of water resources: e.g. dams, diversions, dam failures, contamination of water
	Flooding or droughts as a result of water release or containment in dams
	Infrastructure development that can affect water resources/species e.g. proposed bridge development, dams, diversions
Criteria for Excluding Data	Tourism not related to the use of water resources by riparian countries: e.g. cruises, blogs, personal recounts
	War: e.g. history of Vietnam War, awarding of medals
	Economic development not related to water resources in Lancang-Mekong River
	Bridges across the Lancang-Mekong River and not referring to effects on water resources
	Tariffs and trade agreements that have no association with water resources
	Border conflicts not pertaining to water resources: e.g. security, border control, land ownership disputes

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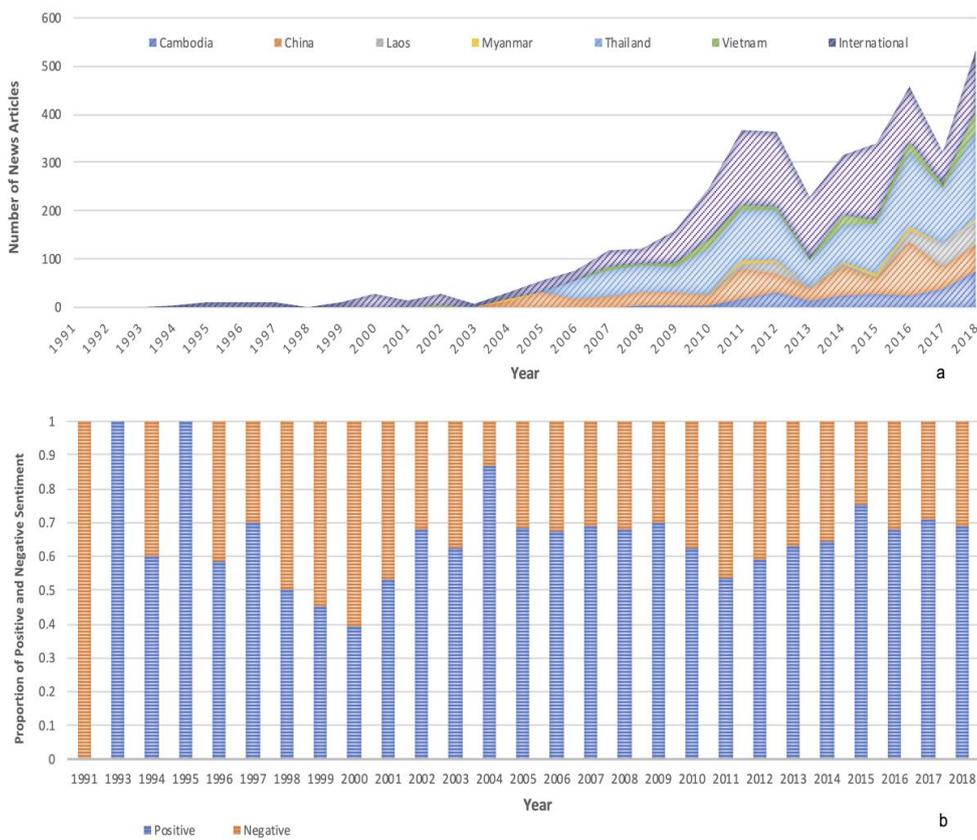
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706 across the six riparian countries (Tian et al., 2020)

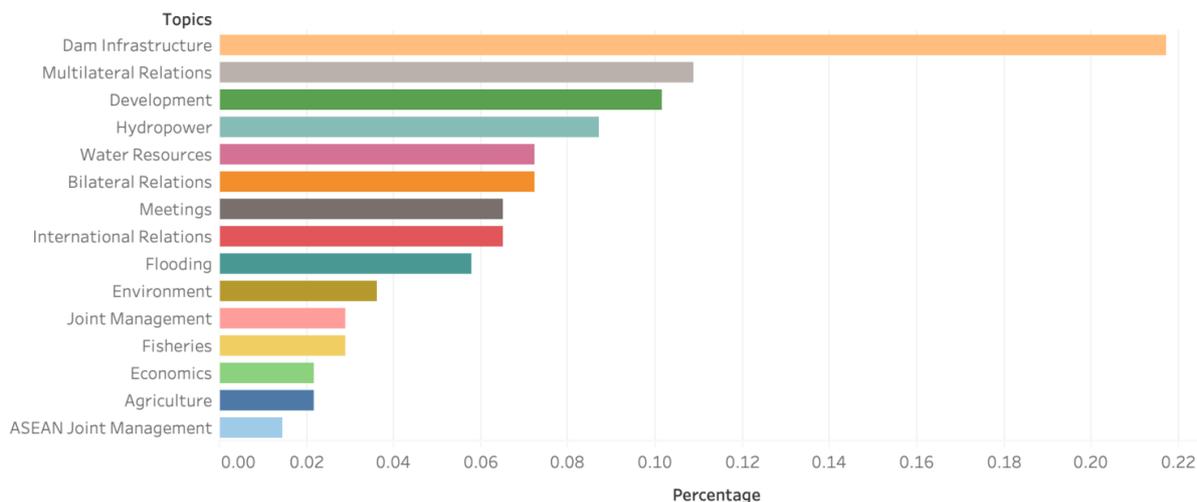




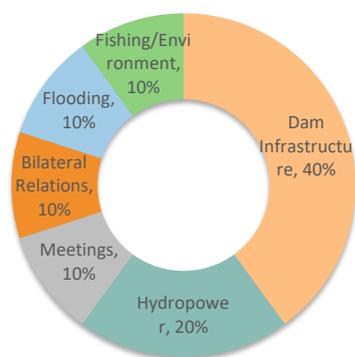
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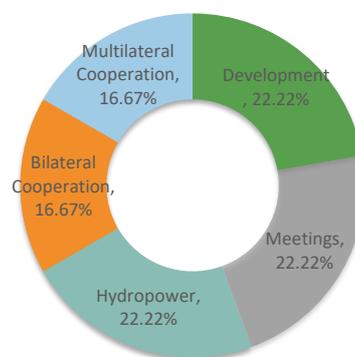
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713 (b)



a

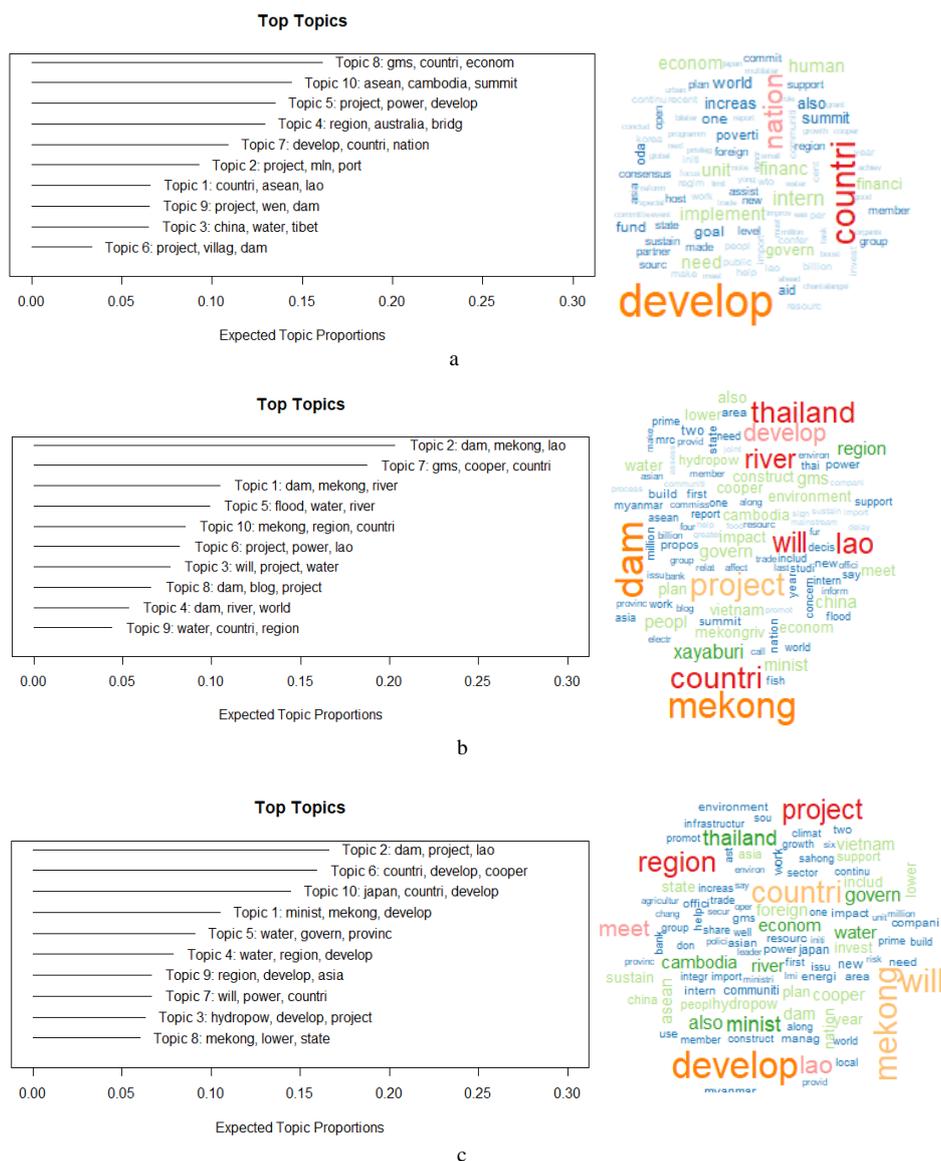


b



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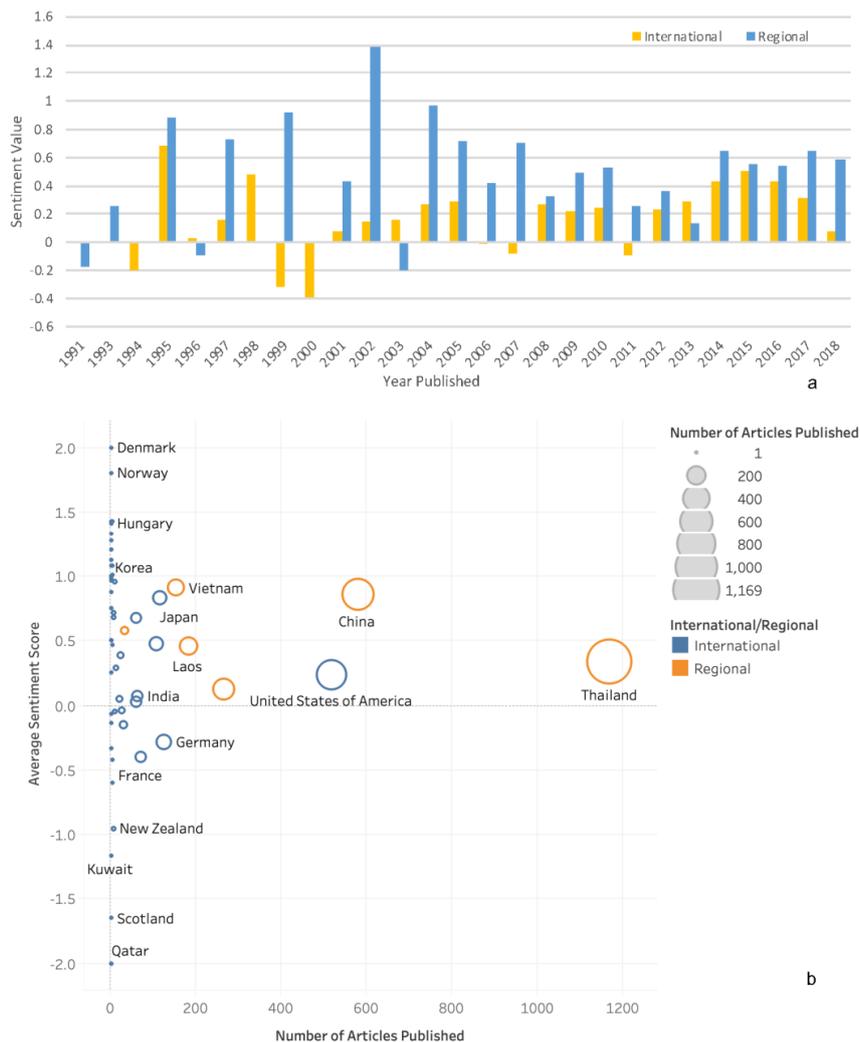
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722 Figure 5. Frequency of Topics identified in all articles published in the year 2004 calculated
 723 using STM analysis (a); Frequency of Topics identified in all articles published in the year 2011
 724 calculated using STM analysis (b); Frequency of Topics identified in all articles published in the
 725 year 2015 calculated using STM analysis (c)



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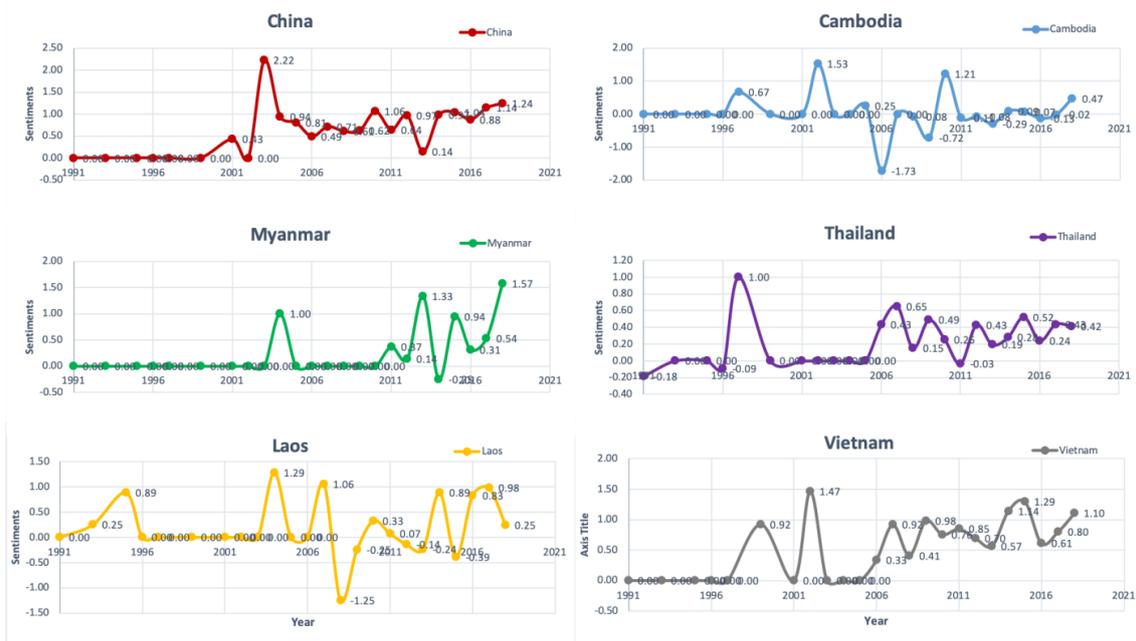
727 Figure 6. The Average Sentiment Score of Regional and International Newspapers from 1991 to
 728 2018 (a) and number of articles published relating to water events in the Lancang-Mekong
 729 River Basin, average sentiment score for each country (excluding countries with no data), and
 730 number of publication sources as denoted by the bubble size (b)

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736 Figure 7. Average sentiment scores for the riparian countries (Cambodia, China, Laos,
 737 Myanmar, Thailand, and Vietnam) from 1991 until 2018
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