

# Flash drought onset over the Contiguous United States: Sensitivity of inventories and trends to quantitative definitions

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## Authors' response

We would like to thank the reviewers for their supportive and constructive comments. The original comments are noted below in black; our responses are noted after each comment in blue.

# Anonymous Referee #1

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Osman et al. provide an overview of definitions that have been developed to identify and quantify flash droughts (including a new definition developed by the authors here) and examine the robustness of these definitions with regard to characterizing flash droughts over the United States. They find that different definitions often lead to different conclusions with regard to flash drought frequency and trends, as well as the characterization of well-known past events. The results stress the importance of careful consideration of physical drivers when selecting a flash drought definition and the need to exercise caution when interpreting the results derived from a given definition. The paper is both informative and comprehensive and the topic is highly relevant to the broader scientific community, which is becoming more and more interested in the topic of flash drought. I have only minor comments related to clarity and presentation, described below.

## Comments:

Figure 1: While this figure is generally informative and understandable, some aspects of it are a bit confusing and can use further explanation/clarification. First, I suggest expanding the acronyms SM, PET, and AET on the figure. The general reader may not immediately know what these acronyms represent, especially since they do not appear to be defined prior to Fig. 1 in the text. Second, some aspects of the diagram itself are unclear. For example, it's unclear exactly what the box "pre-drought conditions" fundamentally represents and why there is an arrow drawn to it from "agriculture and ecological impacts" and another arrow drawn from this box toward "PET". Also, it seems some information may be omitted from some boxes, which may raise questions – e.g., could PET itself also be a function of crop type and density, and isn't air temperature also a function of surface heat fluxes? Overall, I think it would be helpful to provide a brief explanation of this figure (either in the main text or as part of the caption), to clarify some of the issues raised above.

Thank you for these suggestions. Regarding presentation of the figure, we have expanded all acronyms as the reviewer suggests. Regarding substance, we have attempted to clarify what is meant by "pre-drought conditions" in the text (revised manuscript lines 34-37 and line 49, with reference to Wolf et al., 2016). The reviewer's points about missing elements and simplifications in other components of the diagram are also appreciated. We recognize that there are many ways to think about different processes feedbacks and interactions with environment, and that our schematic does not capture all possible links and feedbacks. We now emphasize in the text (line 34) that the diagram is a simplification that shows "key" processes identified in previous literature. We do this to provide insight and a general framework for some interactions between environmental processes that could help in identifying pathways for the onset of a flash drought event.

L98 and in the Fig. 2 caption: Please define NDVI and briefly explain what this quantity represents. Including a reference that provides more information would also be helpful.

Revised manuscript is updated with the clarification.

L122-126: The procedure to compute SESR could use more clarification. As currently written, the method is difficult to understand, particularly with regard to changes in SESR and how they relate to the given percentiles (40th, 25th). A suggestion is to emphasize that the change in SESR must be less than the Nth percentile of SESR changes (determined from a distribution of SESR changes, with lower percentiles representing more negative changes or larger decreases).

We appreciate that the SESR method may appear confusing due to the multiple criteria and thresholds that can be difficult to follow. To address the reviewer's specific point, the percentiles defined in the SESR method are based on the climatology of SESR at every grid point as defined by Christian et al. (2019a). In an effort to provide as much detail as possible within the constraints of the current manuscript, we have simplified the SESR description in section 2.1 in the revised manuscript to remove the confusion with percentiles used. For further details we refer the reader to Christian et al. (2019a), as it would take quite a significant amount of space to offer a full explanation and rationale for SESR methods.

L283: "SESR stands out as having no positive correlation with any other definition" There is indeed one positive correlation. I suggest adding the phrase "(except with QD1.0, which is small)" to the end of this sentence.

Agreed. Revised manuscript is updated with the suggestion.

Fig. 5 and especially Fig. S1: It would help to display the region name above each panel.

Labels added to Fig. 5 and Fig. S1.

L339-340: Could you say a bit more about the scientific consensus on when the 2017 flash drought actually occurred, as done for the other 3 events? Is it believed to have started in the summer?

The 2017 Flash drought had started with as small footprint in April and May and the onset then spread widely over the three impacted states. Text is updated with these information and references added (Line 371)

Fig. 9: Is this for CONUS? Please clarify in the figure caption.

Yes. Revised manuscript is updated with the clarification.

### **Typos/writing:**

Abstract, L17: "several types of event" -> "several types of events"

Revised manuscript is updated with the correction.

L62: "is the concept of flash drought robust to different definitions" should end with a question mark.

Revised manuscript is updated with the correction.

L289: I suggest changing "less flash droughts frequency" to "lower flash drought frequency"

Revised manuscript is updated with the suggestion.

Fig. 7: For temperature, the legend shows a square but on the plot it is an "x". Please correct.

Revised manuscript is updated with the correction.

## **References:**

Christian, J. I., Basara, J. B., Otkin, J. A., Hunt, E. D., Wakefield, R. A., Flanagan, P. X. and Xiao, X.: A Methodology for Flash Drought Identification: Application of Flash Drought Frequency Across the United States, *J. Hydrometeorol.*, JHM-D-18-0198.1, doi:10.1175/JHM-D-18-0198.1, 2019a.

Wolf, S., Keenan, T. F., Fisher, J. B., Baldocchi, D. D., Desai, A. R., Richardson, A. D., Scott, R. L., Law, B. E., Litvak, M. E., Brunsell, N. A., Peters, W. and Van Der Laan-Luijkx, I. T.: Warm spring reduced carbon cycle impact of the 2012 US summer drought, *Proc. Natl. Acad. Sci. U. S. A.*, 113(21), 5880–5885, doi:10.1073/pnas.1519620113, 2016.

## Anonymous Referee #2

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### Major concerns:

>Though there are a bunch of flash drought definition, it is generally accepted by the scientific community that flash drought should emphasize the intensification rate to distinguish other types of drought [1]. I think the HWD definition is not suitable for flash drought, considering two aspects: 1) this definition cannot describe the rapid intensification of flash drought; 2) this definition may not be able to distinguish between flash droughts and short-term compound dry-hot events, leading to miscalculate flash droughts. Assuming that during dry-hot summer, conditions of HWD definition are relatively easy to meet, but actually such conditions may not form flash drought. Please clarify how to distinguish between flash droughts and short-term compound dry-hot events in this paper.

Reference: [1] Otkin, J. A., Svoboda, M., Hunt, E. D., Ford, T. W., Anderson, M. C., Hain, C., Basara, J. B., Otkin, J. A., Svoboda, M., Hunt, E. D., Ford, T. W., Anderson, M. C., Hain, C. and Basara, J. B.: Flash Droughts: A Review and Assessment of the Challenges Imposed by Rapid-Onset Droughts in the United States, *Bull. Am. Meteorol. Soc.*, 99(5), 911–919

First, we thank the reviewer for clarifying the aspects required to define flash droughts and highlighting some deficiencies that may arise within definitions such as HWD. We agree with the reviewer that the HWD definition is not necessarily a definition suitable for capturing flash drought events as it does not count for rapid intensification and it is only based on anomalies within a short period. Both HWD and PDD are introduced in this paper since they are widely used in flash droughts identification literature despite their major limitation. The presented comparison emphasizes the limitation within these definitions in a fair and objective matter. We have clarified these points in multiple sections within the manuscript: Lines 161-169, Lines 291-292, Lines 365-366, Lines 391-392 and Lines 416-418.

>The presentation of typical flash drought events is weak and needs more specific cases. The authors may wish to show the temporal variation of real-world flash droughts in a Bukovsky Region, and further compare the differences of flash drought monitoring ability between definitions;

We thank the reviewer for pointing out the need for more specific case studies and clarification. The revised manuscript is updated with more of the suggested discussion. The main purpose of the paper is to compare different flash drought definitions and explore how different criteria – with careful selection - may be applied to define flash droughts in the context of proposed mechanisms of interest. The four case studies are intended to provide examples that may be familiar to readers and that can make the conceptual distinctions between definitions more concrete. We do not attempt fully detailed case analysis of these events. As the reviewer suggests, we do make use of Bukovsky Regions to understand variation of real-world flash droughts, though we do this for flash drought statistics rather than for time series analysis of the case study events. In section 3.1 we discuss the differences between the different definitions in terms frequency of occurrence and spatial differences and the possible reasons for these variations. Bukovsky regions are presented in more detail in the next sections as we look into the correlations, interannual variability, trends and climate drivers. Section 3.3 discusses the onset and conditions of the observed 1988, 2011, 2012 and 2017 flash droughts (2016 flash drought is added to the revised manuscript; Lines 333-335, Lines 355-366) and highlights the spatial and temporal differences in capturing flash droughts' onset between the different definitions. Figure 7 shows time series of variables relevant to different flash drought definitions for the selected case studies, and the associated text (Section 3.4) describes the relevance of those time series to the drought monitoring ability of different definitions.

>The climate variation during typical events should also be shown to help understand climate drivers, if climate data are available. In addition, in order to reflect whether these events have real impacts, it is better to analyze the changes of vegetation indicators (such as NDVI), rather than just present description. Regarding these, I'm not very convinced that SMVI definition can well capture flash drought onset in both humid and arid regions.

Thanks to the reviewer for emphasizing the importance of showing the vegetation impact to support the introduced definition. We agree that NDVI is a powerful vegetation impact indicator. We have included it in a descriptive way, as the reviewer notes; e.g., Figure 2 (Line 107) depicts an example for the SMVI definition for a selected grid point within the state of Montana in 2017 and shows how the NDVI drops below the climatological mean values for the same grid point. We do not pursue a full quantitative analysis of vegetation indicators of drought in this manuscript, in part because these analyses require careful consideration of metrics, timing, and ecological context that would require substantial expansion of the paper. We intend to undertake such analyses in future papers. In order to offer better vegetation context for the events analyzed in this manuscript, we have added Figures S2 and S3 (shown below) to the revised manuscript to illustrate the tempo-spatial change in NDVI within selected flash drought impacted regions in 2012 and 2017 respectively. The change in NDVI anomalies show similar patterns and timing to those captured by SMVI.

Regarding SMVI, In Section 3.3 we present examples for major flash droughts (1988, 2011, 2012, 2016 and 2017) that span a range of climatic regions. The SMVI definition appears to perform well across these diverse regions. For example, 1988 historical flash drought hit many parts of the US covering humid regions (such as the Great lakes region) and semi-arid regions (such as Northern plains). SMVI successfully captured the event as observed (Lines 324-331), and did so again for the climatically extensive 2012 flash drought (Lines 347-354). The 2011 flash drought is another example for which SMVI captures an event that includes semi-arid regions, this time in Texas. That said, we acknowledge the reviewer's implication that arid zones are not fully explored, and that vegetation might not respond to flash droughts in a truly arid region in a manner that would demonstrate SMVI performance. For this reason, we have replaced "arid" with "semi-arid" in all passages that refer to SMVI performance.

>The authors shows the climate variation for typical regions during 2011 and 2017 flash droughts. I think it cannot well describe climate driver for the occurrence of flash drought, because such long-term climate anomalies could also lead to traditional droughts. I suggest that authors only focus on climate anomalies during flash drought events, such as extreme atmospheric anomalies (like rainfall deficit, high surface temperatures, strong winds, or clear skies)..

Thank you for the constructive suggestion and underlining the importance of focusing on climate anomalies during flash droughts. Assuming that the comment about section 3.4, the presented analyses show only the standardized anomalies for the main variables involved within the discussed definitions during the onset year only. The discussion is focused on the onset season as observed and calculated. In lines 383-389, we explain the observed climate conditions (in terms of anomalies) during the 2011 flash drought that show early signs of drought intensification during spring and remain for the summer before recovering in fall. In lines 390-395, the discussion is focused on the climate conditions as illustrated in Fig. 7b and how some climate variables may not be appropriate to use for identifying flash droughts; for example, depending on temperature anomalies only would lead to mischaracterization of the event, or even missing it completely, as happened for the HWD and (partially) the PDD definitions.

#### **Other comments:**

Many thanks to the reviewer for the comments and suggestions.

>Line 48: Please illustrate here that each color represents the flash drought definition.

Revised manuscript is updated to clarify that colors are used to represent the different definitions (Lines 109-110).

>Line 80: When the RZSM contains several layers, which layer of soil water should be selected?

SMERGE dataset used contains RZSM of the 0-40cm layer. However, if the average of multiple layers from a different dataset is used, similar results would be achieved since the power of the SMVI definition is the relative comparison between two moving averages. Line 98 clarifies the confusion.

>Line 256: Please re-draw the Fig. 4. The legend can be a clear color segment.

Thank you. Figure updated in the revised manuscript as suggested.

>Line 318: Figure 6 shows the frequency of flash drought during typical years or the values of the indices? Please make it clear.

Figure 6 shows the onset of major flash drought events in the different discussed years (section 3.3) marked by seasons. Caption is edited in the revised manuscript for clarification.

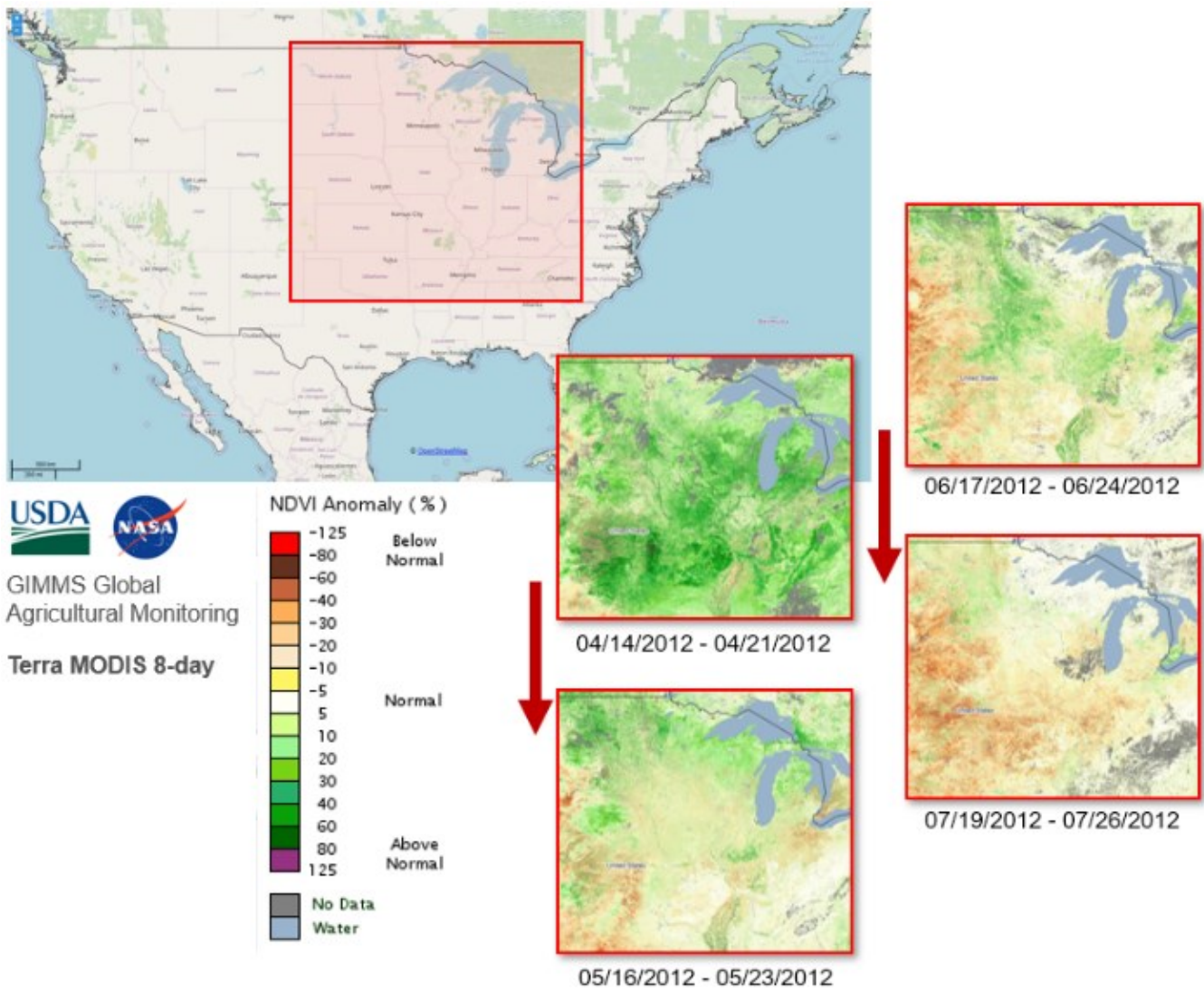


Figure S2: Tempo-spatial change in NDVI within selected flash drought impacted regions in 2012.



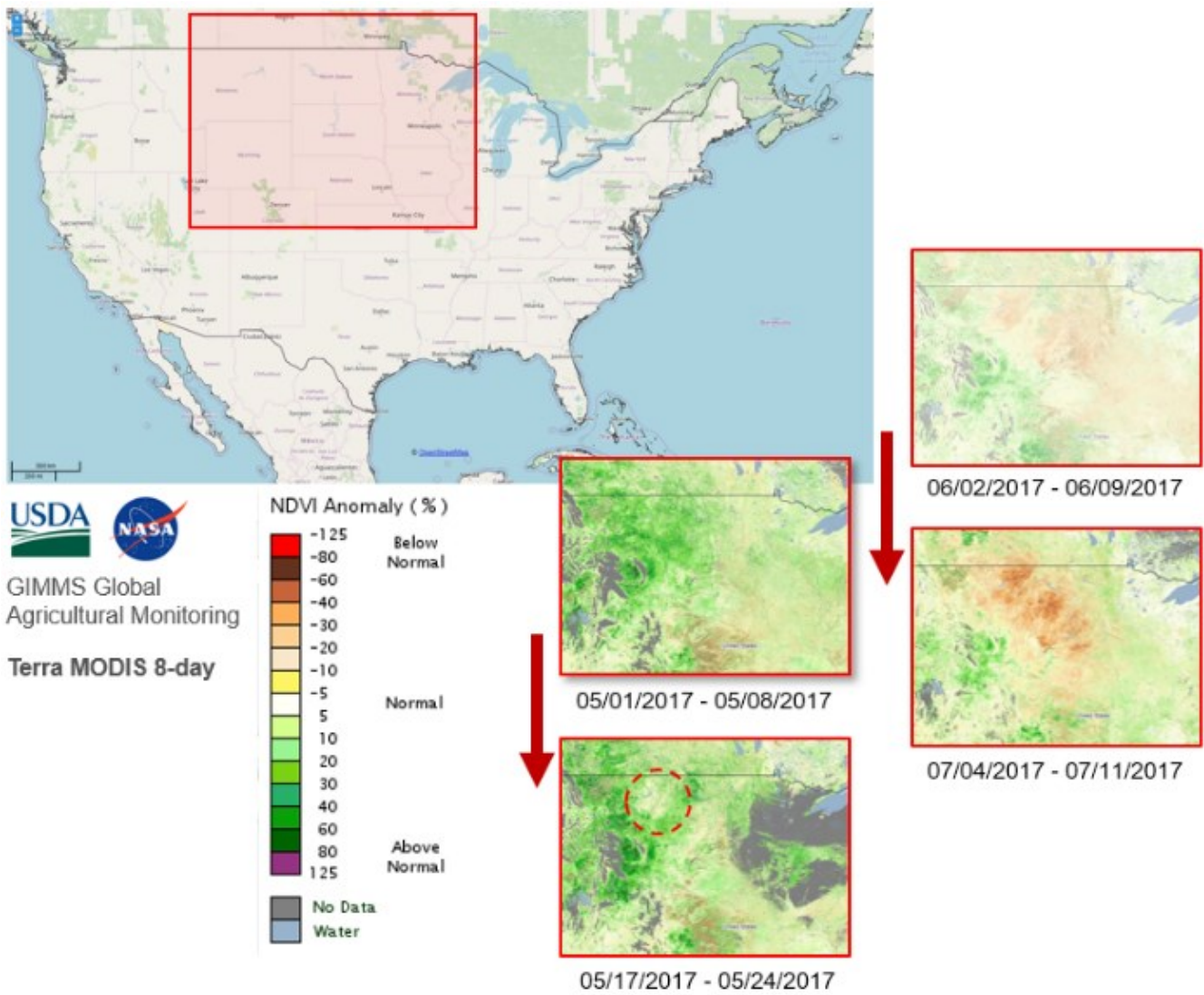


Figure S3: Similar to Fig. S2 for 2017 flash drought.

# 1 Flash drought onset over the Contiguous United States: Sensitivity of 2 inventories and trends to quantitative definitions

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## 3 **Abstract:**

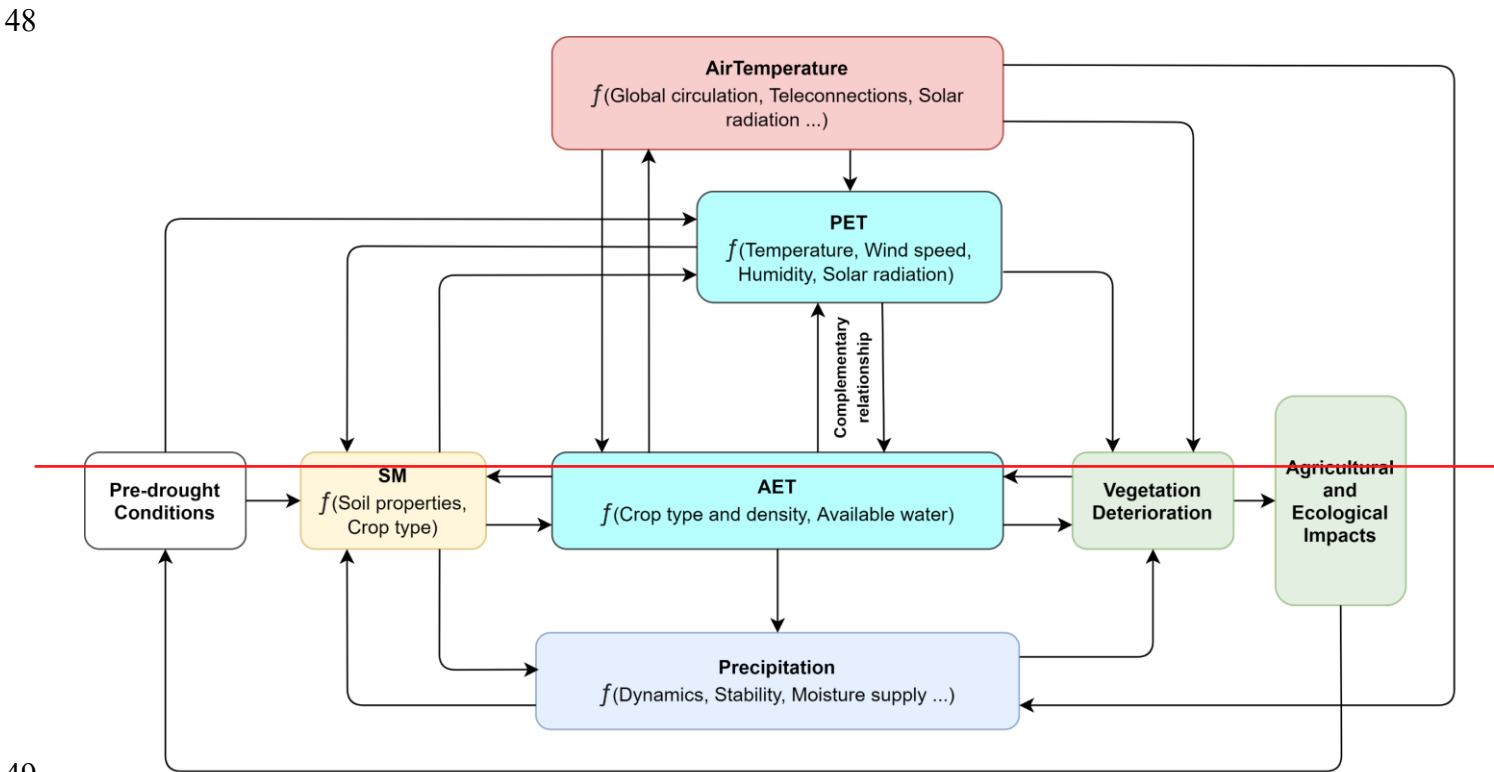
4 The term “flash drought” is frequently invoked to describe droughts that develop rapidly over a relatively short timescale. Despite  
5 extensive and growing research on flash drought processes, predictability, and trends, there is still no standard quantitative  
6 definition that encompasses all flash drought characteristics and pathways. Instead, diverse definitions have been proposed,  
7 supporting wide-ranging studies of flash drought but creating the potential for confusion as to what the term means and how to  
8 characterize it. Use of different definitions might also lead to different conclusions regarding flash drought frequency,  
9 predictability, and trends under climate change. In this study, we compared five previously published definitions, a newly proposed  
10 definition, and an operational satellite-based drought monitoring product to clarify conceptual differences and to investigate the  
11 sensitivity of flash drought inventories and trends to the choice of definition. Our analyses indicate that the newly introduced Soil  
12 Moisture Volatility Index definition effectively captures flash drought onset in both humid and semi-arid regions. Analyses also  
13 showed that estimates of flash drought frequency, spatial distribution, and seasonality vary across the contiguous U.S. depending  
14 upon which definition is used. Definitions differ in their representation of some of the largest and most widely studied flash  
15 droughts of recent years. Trend analysis indicates that definitions that include air temperature show significant increases in flash  
16 droughts over the past forty years, but few trends are evident for definitions based on other surface conditions or fluxes. These  
17 results indicate that “flash drought” is a composite term that includes several types of events<sub>s</sub>, and that clarity in definition is critical  
18 when monitoring, forecasting, or projecting the drought phenomenon.

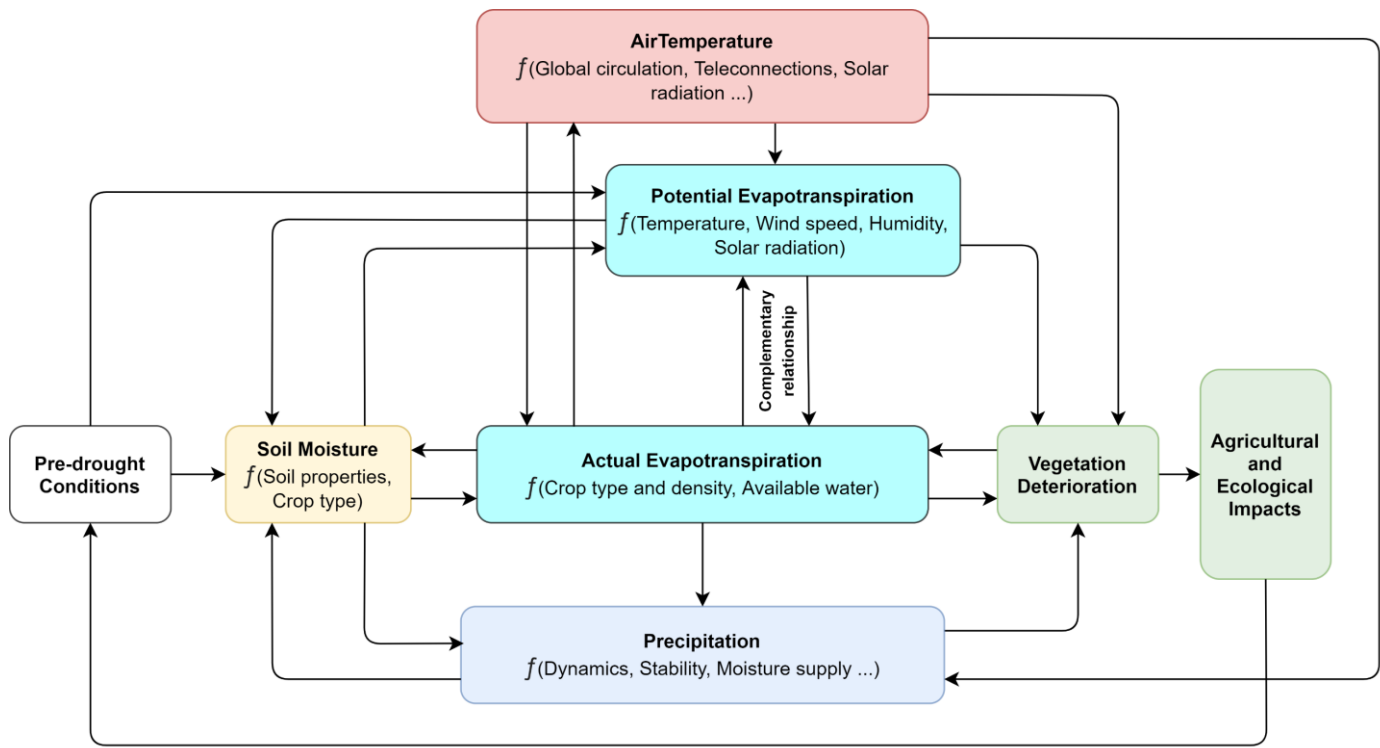
19 **1. Introduction:**

20 The concept of *flash drought* (Svoboda et al., 2002) has drawn considerable attention in recent years (Anderson et al., 2013; Basara  
 21 et al., 2019; Chen et al., 2019; Christian et al., 2019a; Ford and Labosier, 2017; Gerken et al., 2018; Hunt et al., 2009; Koster et  
 22 al., 2019; Li et al., 2020; Liu et al., 2020; Otkin et al., 2013, 2018, 2019; Pendergrass et al., 2020; Yuan et al., 2019). While there  
 23 is no single quantitative definition for what constitutes such an event, it is widely understood that some of the most damaging  
 24 droughts in the United States in the past decade have been flash droughts, in that they have emerged rapidly and caused significant  
 25 damage to natural and managed vegetation (Zhang and Yuan, 2020). These flash droughts have been difficult to predict and monitor  
 26 (Chen et al., 2019; Ford and Labosier, 2017; Pendergrass et al., 2020). There is also an understanding that many flash droughts are  
 27 triggered or exacerbated by high temperatures leading to increased evaporative demand (Anderson et al., 2013; McEvoy et al.,  
 28 2016; Otkin et al., 2013, 2018). The significant impacts and limited predictability of these events and their apparent link to high  
 29 temperatures has led to studies of customized event inventories, forecast methods, and trend analysis (e.g., Mo and Lettenmaier,  
 30 2015, 2016; Ford and Labosier, 2017).

31 The burst of research interest in flash droughts has yielded useful insights on process and predictability. But in the absence of a  
 32 single generalizable definition, there is potential for divergent results and general fragmentation of research agendas insomuch as  
 33 the same term “flash drought” might be applied in inconsistent ways. This potential is evident in Fig. 1, which offers a simplified  
 34 schematic of key flash drought processes, drawing on previous literature. Flash drought can be triggered due to one or more  
 35 processes, as for example in Fig 1, pre-drought conditions such as: early vegetation green-up due to a warm spring can be a key  
 36 indicator of vulnerability (Wolf et al., 2016). Therefore, a feedback between pre-drought conditions and other climate variables  
 37 would be highly should be considered when defining and identifying a flash drought event. Different colored boxes in the figure  
 38 indicate variables or processes that are included in different published definitions of flash droughts. For example, as will be  
 39 described in detail in the methods and results section, the “heat wave flash drought” definition (Mo and Lettenmaier, 2015) stresses  
 40 the role of temperature anomalies and identifies features with short duration, while definitions based on rapid soil drying (e.g.,  
 41 Hunt *et al.*, 2009; Ford and Labosier, 2017; Yuan et al., 2019) focus on the rate of change in soil moisture. Other researchers (e.g.,  
 42 Christian *et al.*, 2019a; Pendergrass *et al.*, 2020) have proposed definitions that use actual and/or potential evapotranspiration  
 43 anomalies, and still others have applied multivariate products like Quick Drought Response Index (QuickDRI) hybrid satellite-  
 44 based maps or the United States Drought Monitor, which consider vegetation status and agricultural impacts in addition to  
 45 hydrological variables (e.g., Chen *et al.*, 2019).

46 Given this range of variables used to assess flash drought risk and diagnose its occurrence, it is possible that the definitions are  
 47 capturing partially or entirely different pathways in the flash drought process (i.e., different boxes in Fig. 1).





50

51 *Figure 1: Schematic of flash drought states and processes. Arrows indicate suggested feedback directions and their relation to the*  
 52 *process or variable (for simplicity, not all proposed feedbacks are represented here). Each color represents a core group of*  
 53 *processes ~~that can be used to~~ represent the different definitions of define the onset of flash drought events.*

54 This diversity of definitions is not necessarily a weakness of the literature. Flash droughts, like droughts in general, are likely a  
 55 composite class for which no single definition can meet all needs (Heim Jr., 2002). But it is important to understand the extent to  
 56 which flash drought inventories are sensitive to the choice of definition, as these inventories are the basis for assessing which  
 57 regions are most vulnerable to flash droughts and whether there are trends in flash drought frequencies in any region. These  
 58 inventories also determine the population of flash drought events used as prediction targets when developing forecast systems.

59 With this motivation, this study presents inventories generated using a number of prominent published flash drought definitions.  
 60 In some cases, these definitions have already been used to generate inventories, and we simply recalculate those inventories using  
 61 a common set of input data and thresholds. In other cases, the definitions were published without an inventory, and sometimes  
 62 without any recommended thresholds. For those definitions we adapt the descriptive definitions to a quantitative framework for  
 63 the purpose of creating an inventory. In addition, we propose our own definition, based on root zone soil moisture volatility, which  
 64 is designed to complement existing definitions, and we compare all proposed flash drought definitions to selected indicators of  
 65 drought impacts.

66 In comparing definitions, we can: (1) evaluate whether the current diversity of flash drought definitions is convergent or  
 67 divergent—i.e., is the concept of flash drought robust to different definitions?; (2) identify and characterize the potential divergence  
 68 between definitions, and assess whether different definitions capture similar processes but diverge because of threshold effects,  
 69 timing of diagnosis, or extent of drought, or whether they capture fundamentally different types of events; and (3) identify events  
 70 that are considered to be flash droughts under some definitions but not others, and learn from these case studies what elements of  
 71 a definition are important when attempting to identify particular kinds of flash droughts.

72 **2. Data and Methods:**

73 **2.1. Flash Drought Definitions:**

74 We inventory potential flash drought events using a range of definitions. As we are concerned primarily with drought impacts on  
 75 agriculture and natural vegetation, we focus our analysis on spring (MAM), summer (JJA) and fall (SON) and do not consider  
 76 winter months. We consider seven methods for identifying a flash drought. The first—the Soil Moisture Volatility Index (SMVI)—  
 77 is a new definition proposed here. The next five are drawn from published literature on flash droughts, and the seventh is based on  
 78 a remotely sensed product designed to be sensitive to rapid onset droughts. Where data coverage allows, we use the 1979-2018

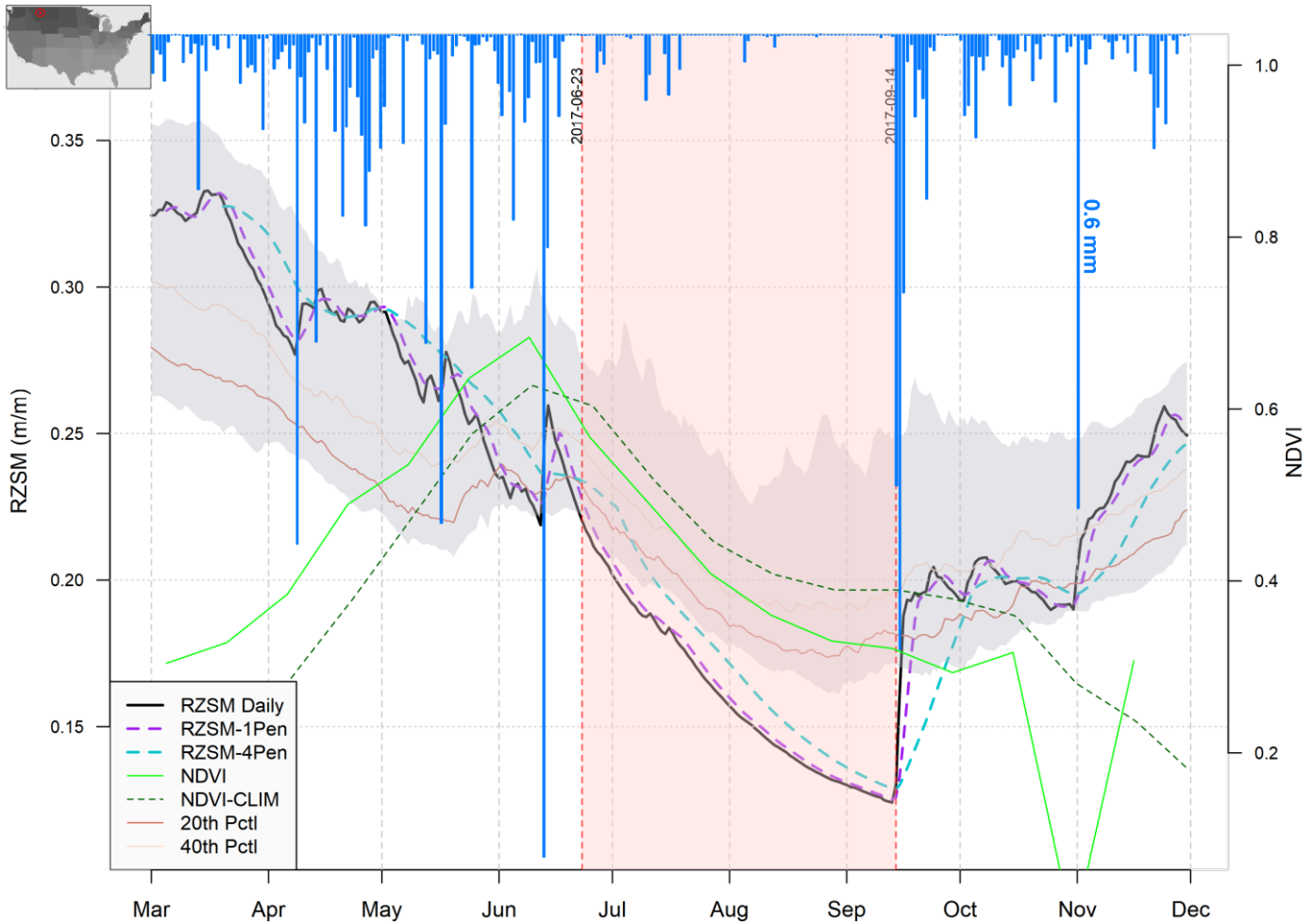
79 period for index calculation and comparisons. For some products, there is a more limited data record, and in those cases, we use  
80 all available data. Differences in input dataset requirements and baseline period can affect comparisons across definition, and are  
81 noted when relevant. Here we describe each definition and present the datasets used to calculate them.

## 82 1. SMVI (Soil Moisture Volatility Index):

83 As flash droughts are characterized by rapid onset, we adopt an approach inspired by studies of market volatility, where robust  
84 identification of rapid yet significant changes in stock prices is critical. In this definition, a flash drought is said to occur when: (1)  
85 the 1-pentad (5 day) running average root zone soil moisture (RZSM) falls below the 4-pentad (20 day) running average for a  
86 period of at least 4 pentads; (2) by the end of the period, RZSM drops below the 20<sup>th</sup> percentile for that time of year according to  
87 the 1979-2018 period of record. Figure 2 shows an example for the proposed definition applied over Montana, where the vertical  
88 red-shaded region represents the suggested flash drought onset. However, specifying the duration of the event, including transition  
89 from flash drought to standard drought, is a subject of ongoing research. RZSM is chosen over the surface SM on account of its  
90 relevance to vegetation, low noise relative to surface soil moisture, and consistency with previous studies' recommendations (Ford  
91 and Labosier, 2017; Hunt et al., 2009). Within the framework of the SMVI, the 1-pentad running average represents rapid changes  
92 in RZSM (short memory), while the 4-pentad running average represents slower changes (longer memory). The 20<sup>th</sup> percentile  
93 threshold is selected as recommended by the USDM to represent "Moderate Drought – D1" conditions, under which vegetation  
94 may start showing signs of water stress. The minimum intensification period of 4 pentads is consistent with recommendations from  
95 Otkin et al., (2018) that a 2-week period of rapid intensification is the minimum length required to capture rapid changes relevant  
96 to vegetation health.

97 SMVI is a soil moisture-based index (yellow box in Fig. 1). The strength of the novel SMVI method lies in its ability to capture  
98 rapid changes with respect to a slower drying trend. The index is sensitive to interruptions in drought onset, however, as it can be  
99 reset by rain events. Since RZSM is key to computing SMVI—as it is to several other flash drought definitions—we prioritize use  
100 of a high-quality soil moisture estimate. For this reason, we use the Soil MERGE (SMERGE) product. SMERGE is a hybrid daily  
101 12.5 km resolution product generated by combining satellite observations from the European Space Agency Climate Change  
102 Initiative and the North American Land Data Assimilation System-2 (NLDAS-2; Xia et al., 2012a, 2012b) Noah Land Surface  
103 Model output for RZSM averaged from 0-40 cm (Tobin et al., 2019). The SMERGE dataset has been evaluated against [Normalized](#)  
104 [Different Vegetation Index \(NDVI\)](#) products as well as in situ observations, indicating reliability for agricultural and ecological  
105 applications. For drought monitoring, this product has the advantage of offering spatially and temporally complete RZSM estimates  
106 on an NLDAS-2 grid, while incorporating additional satellite-derived information intended to improve these RZSM estimates.

2017 - (111W,47N)



107  
108 *Figure 2: SMVI proposed definition as applied to a grid point within the state of Montana in 2017. Shaded red region represents*  
109 *the flash drought event. Gray shading represents the 10<sup>th</sup> to 90<sup>th</sup> percentile climatology of daily RZSM. Vertical blue bars are the*  
110 *region's averaged daily precipitation. Vegetation deterioration is evident during the defined flash drought event as NDVI (solid*  
111 *green line) drops below the climatological NDVI (dashed green line) acquired from MODIS.*

112 2. SMPD (Soil Moisture Percentiles Drop)

113 Ford and Labosier (2017) introduced a definition based on a characterization of flash drought as a rapid descent into agricultural  
114 drought conditions, referred to hereafter as the Soil Moisture Percentiles Drop (SMPD) method. It defines flash drought onset as  
115 occurring when the 1-pentad running average RZSM falls from the 40<sup>th</sup> to the 20<sup>th</sup> percentile in a period less than or equal to 4  
116 pentads. The original definition is based on RZSM from the NLDAS-2 (Xia et al., 2012a, 2012b) dataset in the eastern U.S. for  
117 the top 40 cm of the soil column. Here, we apply the definition to gridded 12.5 km resolution SMERGE data for the 1979-2018  
118 period to generate a dataset that can be compared to those derived using other definitions. Like SMVI, SMPD is a soil moisture-  
119 based index (yellow box in Fig. 1).

120 3. SESR (Standardized Evaporative Stress Ratio):

121 Whereas SMVI and SMPD focus directly on soil moisture, the Standardized Evaporative Stress Ratio (SESR) of Christian et al.,  
122 (2019a) diagnoses flash drought occurrence on the basis of the normalized ratio between estimated actual and potential  
123 evapotranspiration. This approach is guided by the principle that development of vegetation stress is key to an impactful flash  
124 drought event, and this stress induces a rapid decrease in the transpiration flux during the drought intensification process (Basara  
125 et al., 2019; Christian et al., 2019b, 2020). For SESR, six pentads (~~30 days~~) is defined as the minimum length for flash drought  
126 development with a final SESR value less than the climatological 20<sup>th</sup> percentile. These two criteria are used to satisfy the drought  
127 component of flash drought and to capture flash drought events that lead to drought impacts. ~~The rate of rapid drought~~  
128 ~~intensification is evaluated with two additional criteria. The first criterion requires a change in SESR between pentads less than~~

the 40<sup>th</sup> percentile. This criterion also allows for a temporary relaxation of the threshold for only one pentad to account for temporary mild weather conditions or small rainfall events as long as the successive pentad does not exceed the 40<sup>th</sup> percentile. The second criterion requires the mean change in SESR be less than the 25<sup>th</sup> percentile to ensure that the events identified have an overall rapid rate of development toward drought conditions. The more lenient 40<sup>th</sup> percentile threshold is used to account for large variations in rapid drought development while still capturing periods with worsening environmental conditions. Together, the pentad to pentad change threshold (40<sup>th</sup> percentile) and the mean rate of change (25<sup>th</sup> percentile) work in tandem to identify flash drought events with rapid drought development. SESR has strong criteria that limit flash drought identification to very rapid drought development, and so it is designed not to capture “flash drought” unless there are general drought conditions. Variables used in SESR are shown in the cyan boxes in Fig. 1. The rate of rapid drought intensification is also evaluated with the methodology. Overall, the methodology requires the mean change in SESR during the six pentads to be less than the 25<sup>th</sup> percentile to ensure that the events identified have an overall rapid rate of development toward drought conditions. The percentiles are determined from the climatological distribution of SESR changes for the given time of year of the flash drought event, with lower percentiles of SESR changes representing a more rapid rate toward drought conditions. Additional details of the criteria and an example schematic of the identification process are available in Christian et al. (2019a). It is important to note that SESR has strong criteria that limit flash drought identification to very rapid drought development, and so it is designed not to capture “flash drought” unless there are general drought conditions. Variables used in SESR are shown in the cyan boxes in Fig. 1.

In this paper we use SESR exactly as it was implemented in the original publication, using the North American Regional Reanalysis (NARR) dataset to provide input variables. NARR is a high-resolution atmospheric reanalysis for North America, performed at approximately 0.3-degree resolution. The NARR is an appropriate dataset for hydrological applications due to the improved analysis of the climate variability and diurnal cycle within the model and data assimilation system (Mesinger et al., 2006). We regrid SESR to match the 12.5-km resolution of the other products (SMERGE and NLDAS-2).

#### 4. HWD (Heatwave Driven):

In a set of papers, Mo and Lettenmaier (2015, 2016) introduce two paradigms for flash drought definitions. The first is a heatwave driven (HWD) flash drought definition, which diagnoses flash drought conditions for any pentad in which the 2 m air temperature anomaly is greater than one standard deviation, 1 m depth SM falls below the 40<sup>th</sup> percentile, and the evapotranspiration anomaly is greater than zero. This third condition is designed to capture events in which high temperature and low soil moisture are defining characteristics, but for which evapotranspiration has not yet become anomalously low. The HWD definition incorporates information from the red, yellow, and (actual ET) cyan box in Fig. 1.

We apply the HWD definition using NLDAS-2 meteorological forcing data and the NLDAS-2 implementation of the Noah Land Surface Model. We use NLDAS-2 because SMERGE does not contain all variables required for the calculation. However, we have confirmed that replacing NLDAS-2 RZSM with SMERGE RZSM has little impact on our HWD flash drought inventory.

#### 5. PDD (Precipitation Deficit Driven)

The second paradigm suggested by Mo and Lettenmaier (2015, 2016) is the precipitation deficit driven flash drought (PDD). In this study we have adopted their recommended definition where in a 1-pentad period precipitation drops below the 40<sup>th</sup> percentile and the 2 m air temperature anomaly is greater than one standard deviation (similar to the HWD), while the evapotranspiration anomaly is negative. The PDD definition incorporates information from the red, blue, and cyan boxes in Fig. 1. Like the HWD, we have also used the NLDAS-2 forcing and Noah model datasets to calculate the definition and to inventory our results.

We note that PDD and HWD differ from other proposed flash drought indices in their explicit use of multiple meteorological and hydrological variables. Additionally, these definitions diagnose flash droughts on the basis of the duration of anomalies rather than their change over time. That is, flash droughts in PDD and HWD are acute deviations from climatology, rather than periods of rapid intensification.

#### 6. USDM (U.S. Drought Monitor)

The United States Drought Monitor (USDM) (Svoboda et al., 2002), produced by the National Oceanic and Atmospheric Administration, the United States Department of Agriculture, and the National Drought Mitigation Center, classifies drought into 5 intensity categories, ranging from Abnormally Dry (D0) to Exceptional Drought (D4). The USDM is produced in a hybrid process, in which regional expert “authors” are provided information on more than 40 drought-relevant variables, and these authors then work as a team to establish the drought map each week. The final product embodies a best estimate of drought conditions as informed by quantitative indicators, field reports, and expert judgment. Data are released as shapefiles, which we rasterized to match the resolution of the other products. Following Chen et al. (2019), we then define a flash drought as a degradation of two

178 categories or more in a four-week period. The USDM-based flash drought definition potentially includes all boxes in Fig. 1, as the  
179 USDM authors are provided with information on all of these variables. USDM data are available from 2000-present.

## 180 7. QuickDRI (Quick Drought Response Index)

181 QuickDRI (Quick Drought Response Index) is a Classification and Regression Trees (CART) machine learning model developed  
182 by the National Drought Mitigation Center (NDMC) and the Center for Advanced Land Management Information Technologies  
183 (CALMIT) at the University of Nebraska. The index was developed specifically to capture rapidly changing drought conditions.  
184 QuickDRI maps drought intensification across CONUS at 1-km, weekly resolution on the basis of nine variables (two vegetation,  
185 two hydrologic, one climatic, and four static biophysical parameters) to estimate drought conditions, with resulting drought  
186 intensification values scaled according to the Standardized Precipitation Evaporation Index (SPEI) (<https://quickdri.unl.edu/>). The  
187 QuickDRI inputs span the yellow (included as the soil moisture), blue (included as the standardized precipitation index - SPI),  
188 cyan (included as the evaporative stress index - ESI), and green (included as the standardized vegetation index - SVI) boxes in Fig.  
189 1.

190 As QuickDRI generates estimates of drought intensification as a continuous variable, it is necessary to define a threshold for flash  
191 drought occurrence. We set this threshold as one standard deviation below the 4-week historical normal, referred to hereafter as  
192 the QuickDRI model flash drought definition (QD1.0). Since QuickDRI relies heavily on real-time remotely sensed data, there are  
193 gaps and noise in the record that must be addressed. We fill in missing data through linear temporal interpolation, and we mask  
194 values greater than  $\pm 4$  standard deviations. QuickDRI data are available from 2000-present.

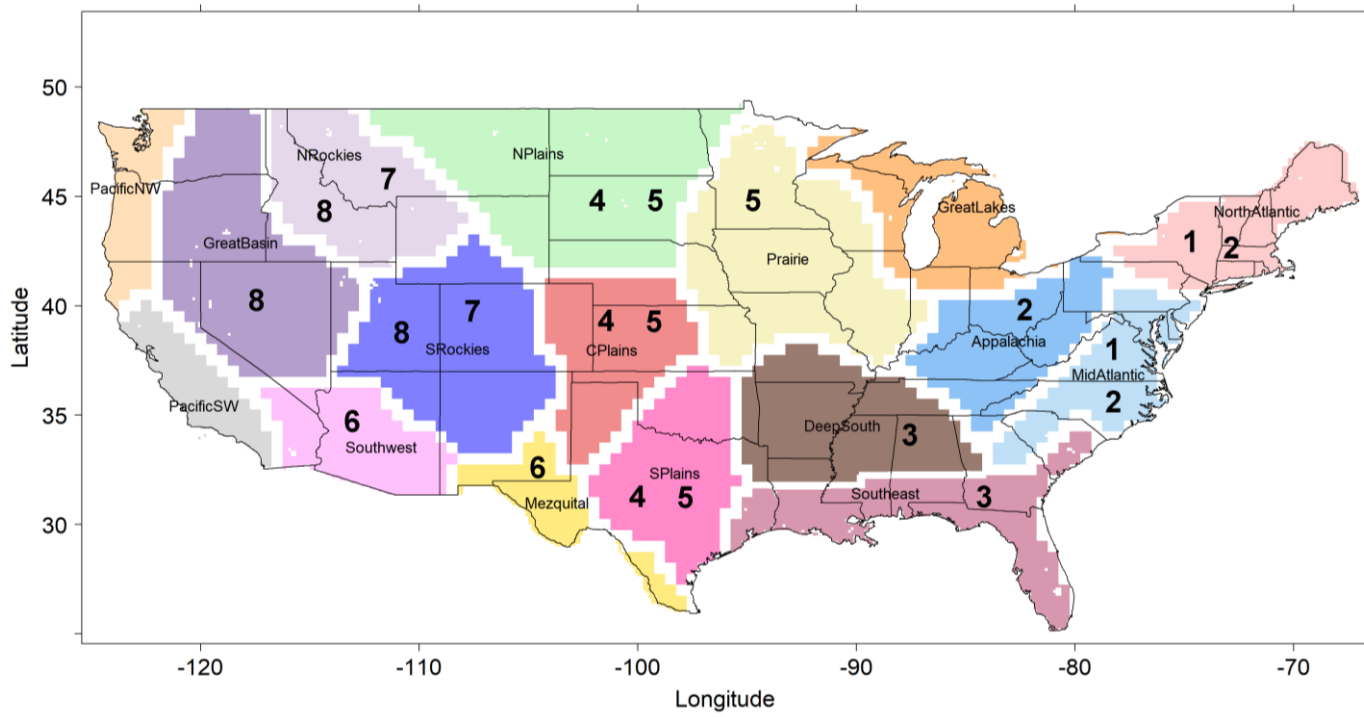
### 195 2.2. Methods

196 The analyses presented here have been organized using Bukovsky Regions. The Bukovsky Regions are 29 eco-regions over United  
197 States, Canada, and northern Mexico designed to represent climatically homogeneous areas. They are similar to the National  
198 Ecological Observatory Network (NEON) (Kampe, 2010) ecological regions, with similar sensitivity to variations in regional  
199 climatology (Bukovsky, 2011). Analyses were conducted over the 17 unique regions within CONUS (Fig. 3) as well as the eight  
200 grouped regions as suggested by Bukovsky (2011). Here we present results for a subset of regions that capture a relevant diversity  
201 of results, while results for all regions are available at ([https://github.com/mosman01/Flash\\_Droughts/](https://github.com/mosman01/Flash_Droughts/)).

202 The flash drought inventories presented in this paper are based on flash drought occurrence: as soon as a flash drought is identified  
203 according to a given definition in a given grid cell, that grid cell is tallied as having experienced flash drought in that year. That is,  
204 we are concerned with spatial pattern and general seasonality of the occurrence of flash drought events as diagnosed by different  
205 definitions. Intensity and duration of drought are not evaluated. Also, since definitions differ in if and how they mark the end of a  
206 flash drought event, we count only the first flash drought identified for a grid cell in each year. The season of this flash drought  
207 (MAM, JJA, or SON) is assigned based on onset date. This approach risks missing cases where two distinct flash drought events  
208 hit a single location in one growing season, but it allows for a consistent inventory across definitions on the basis of “years with  
209 flash droughts.” The problem of counting multiple events at the same location in a single year using different definitions is a point  
210 for further research, as differences and ambiguities in how different definitions define the end of a flash drought can lead to cases  
211 where one definition diagnoses multiple flash droughts within a period that is classified as a single flash drought in another  
212 definition. We do note that this approach captures the first drought, so it undercounts late season droughts if they occur in the same  
213 location as an early season drought. When calculating frequency, we use all the available data for each definition from 1979 to  
214 2018.

215 For results presented by Bukovsky Region we calculate the percentage of area within each region hit by flash drought in each year.  
216 This metric is used for qualitative comparison of definitions for selected events and for quantitative comparison using Pearson  
217 correlations. Spearman and Kendall correlations were also calculated but yielded similar results and are not presented. Finally, an  
218 analysis of the trends in flash droughts annual footprint is carried out for each climatic region within the Bukovsky regions using  
219 the Mann-Kendell nonparametric trend test. Trend analysis is only performed for the definitions that can be calculated for the full  
220 40-year period (1979-2018).





**1: EastCoast      3: South      5: Central      7: Rockies**  
**2: East          4: GreatPlains      6: Desert      8: MtWest**

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222 *Figure 3: Bukovsky regions within CONUS. Numbers represent groups of regions of similar climate characteristics.*

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### 3. Results and Discussion:

#### 3.1. Spatial distribution of flash droughts

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As flash droughts have become recognized as a significant climate hazard, one key question is whether certain regions have an elevated probability of experiencing flash drought. As shown in Fig. 4, the seven drought definitions considered in this paper offer different answers to this question. This figure depicts the frequency of flash drought onset at each grid point within the specified season over the period of data availability for each definition through 2018. As noted in Christian *et al.* (2019a), the SESR identifies the Great Plains and western Great Lakes regions as hot spots for flash droughts. This band of high flash drought frequency running down the middle of the country resembles the region of strong land-atmosphere coupling identified in Koster *et al.* (2004) and in subsequent studies of climate feedback zones. In this sense, the SESR, which depends directly on the ratio of actual to potential evapotranspiration, may be emphasizing flash droughts that emerge through land-atmosphere temperature and evaporation couplings, which are strongest in transitional climate zones. There is a tendency for this SESR hot spot to emerge in the southern Great Plains in the spring (MAM) and to move further north in the summer (JJA).

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Interestingly, this SESR pattern is nearly inverse to the pattern seen for PDD. In PDD, we see the strongest hotspot in the southwest, with a secondary maximum in the more humid eastern United States. While PDD includes actual evapotranspiration and temperature rules in its definition, it is designed to capture short meteorological droughts triggered by precipitation deficit. This results in higher frequencies in semi-arid regions with high precipitation variability and, to some extent, in regions where average rainfall is high and a significant negative anomaly in precipitation generally occurs in concert with the warm conditions required by the PDD definition. In contrast to PDD, the HWD yields a relatively uniform pattern of flash drought frequency, with lower totals overall.

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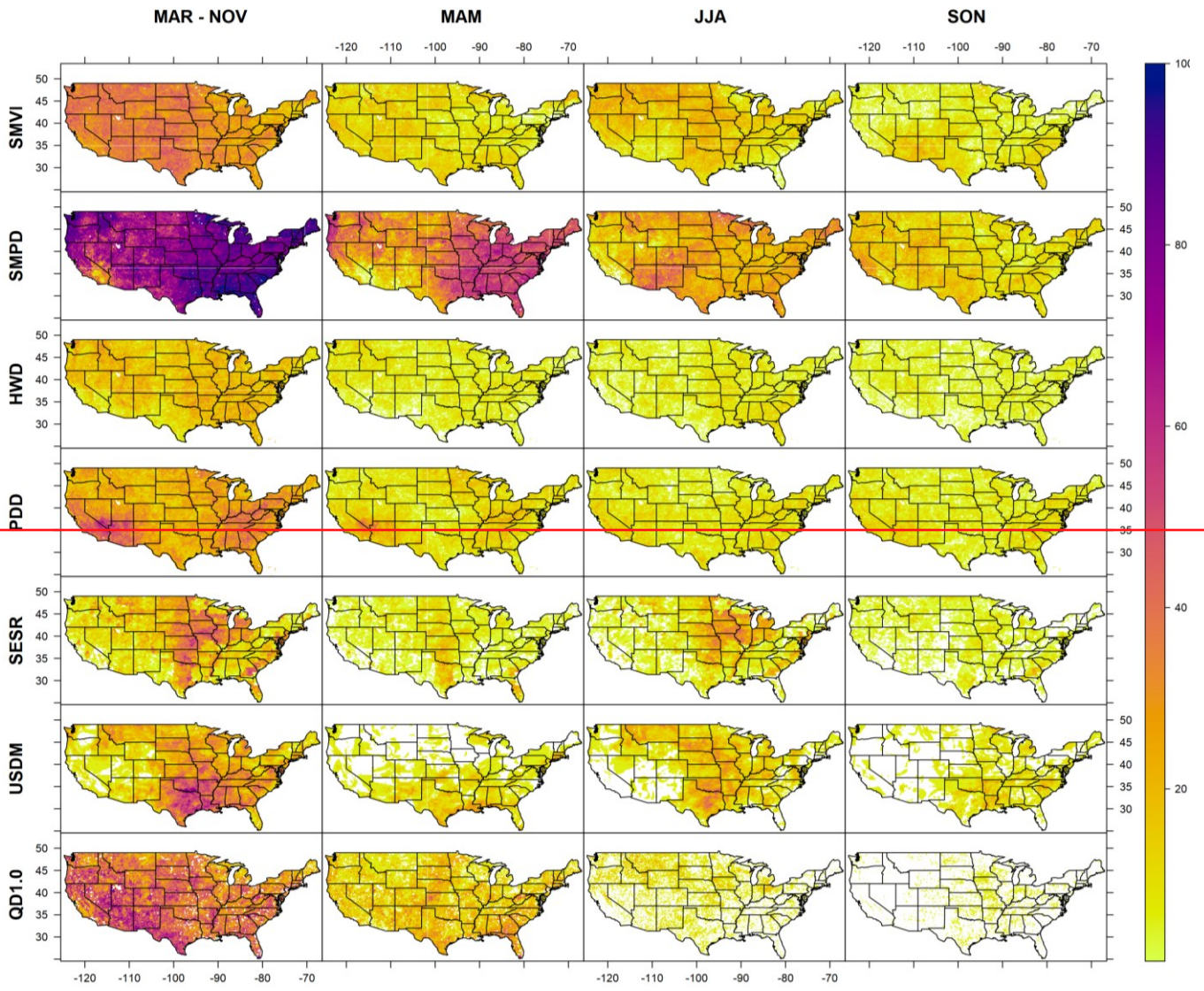
Looking at the two soil moisture definitions, SMVI and SMPD, we see differences in overall frequency and spatial and seasonal distribution—which may reflect choice of threshold values. SMVI shows a relatively muted spatial pattern, with a broad maximum extending across the middle of the country and the western northern tier in summer, and a southwestern maximum in fall. SMPD has a springtime maximum in humid regions of the eastern United States and the Pacific Northwest, followed by a summertime pattern that includes significant frequency in the southwest. These differences trace to conceptual differences in the definition. Where SMPD focuses on soil moisture decline over several pentads, and thus is likely to capture vegetation-enhanced soil moisture draw-down that occurs in warm or dry springs in highly vegetated areas, SMVI controls for steady decline in order to isolate very rapid soil moisture drops. This makes it relatively less sensitive to seasonal forcing (e.g., warm springs leading to steady drying) and more sensitive to subseasonal processes. SMPD shows a noticeably high frequency of flash drought onset due to the duration threshold of 4 pentads or less, which allows short meteorological droughts to be misclassified as flash drought events.

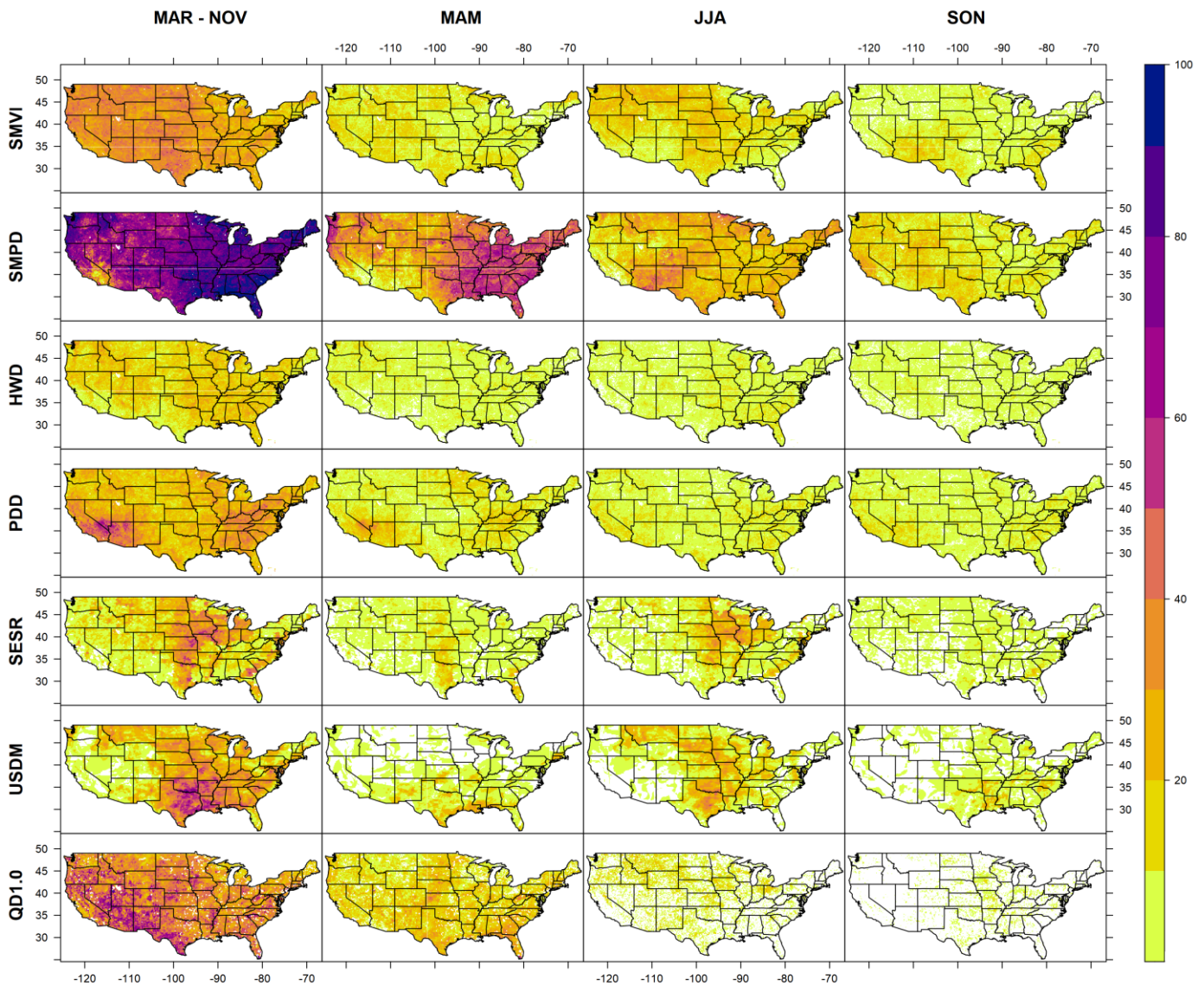
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Considering the hybrid products, USDM and QuickDRI both show a summertime maximum in flash drought frequency, but with distinctly different spatial patterns. In general, the QuickDRI areas of maximum frequency occur in drier regions in the western United States while USDM shows a maximum in the middle of the country that resembles the summertime SESR and SMVI patterns, though with a stronger maximum in Texas and Oklahoma. While it is difficult to diagnose the source of these patterns in a precise way given the composite nature of both products and the subjective component to USDM, it is likely that USDM authors are particularly attuned to agricultural impacts, and thus focus on rapid drying events that have severe impacts on crops and pastures, while the QuickDRI satellite-derived product may also be capturing variability in natural ecosystems and regions with less intensive agricultural activities. Different datasets and different algorithms involved within such complex model-based products could be a considerable source of uncertainty and variability.

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The identification of geographic or seasonal flash drought hot spots, then, depends strongly on the definition. This choice of definition, in turn, will depend on the objective of the flash drought study. Investigating flash drought with an emphasis on vegetative impact, for example, might usefully apply a flux-informed definition like SESR, and would consequently focus on flash droughts in regions with land cover types associated with denser vegetation (e.g., agriculture, grasslands, and forests). A study or forecast system primarily concerned with the rapid intensification of a flash drought over either a humid or semi-arid region might employ SMVI, which explicitly controls for more gradual drying in order to isolate the most rapidly intensifying portion of the events.





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270 *Figure 4: Flash drought onset frequency for the selected definitions, calculated for the period of available data for each definition*  
 271 *through 2018 (1979-2018 for SMVI, SMPD, HWD, PDD, and SESR; 2000-2018 for USDM and QD1.0). White color represents*  
 272 *zero frequency.*

273 **3.2. Interannual variability**

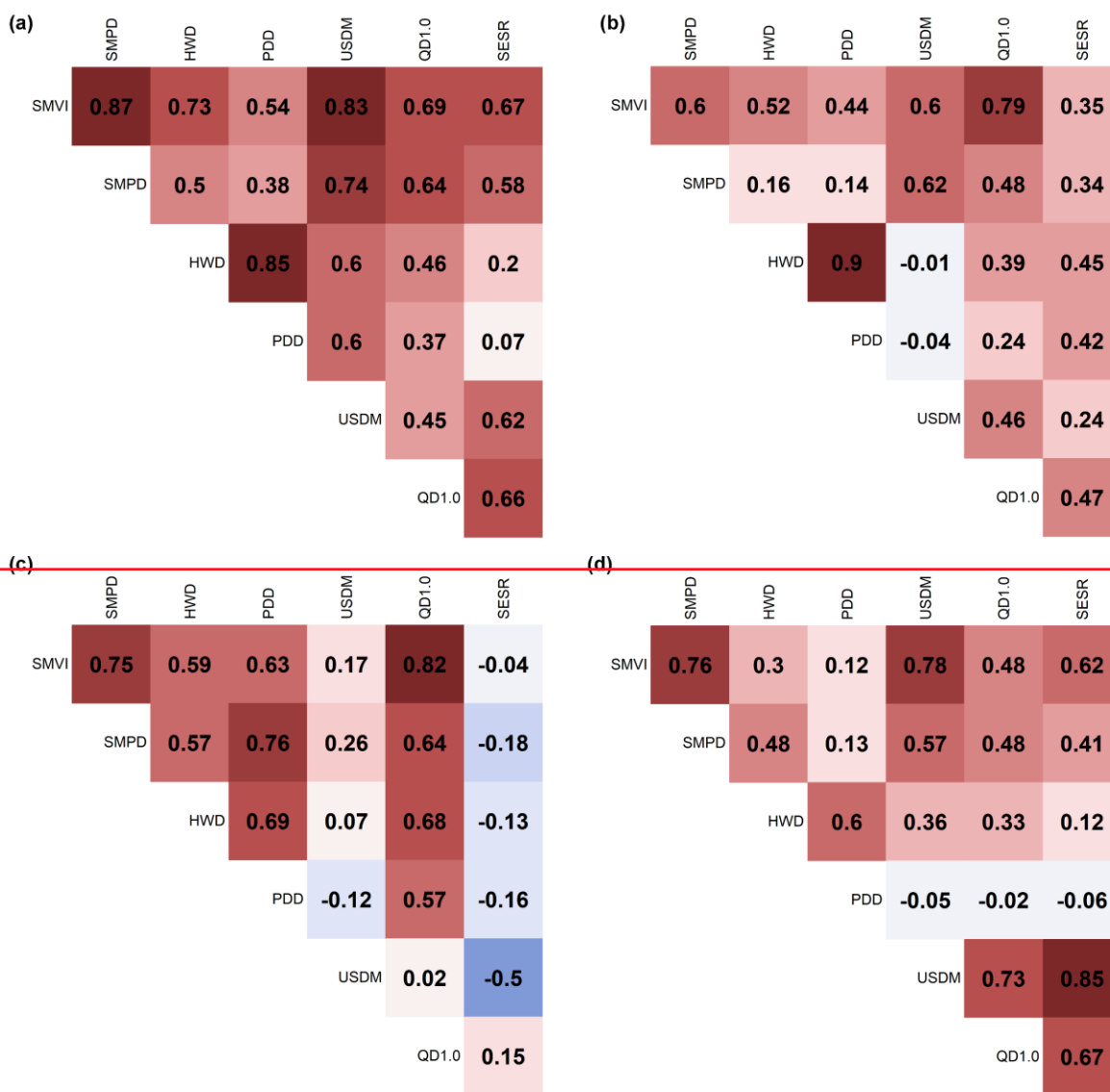
274 The definition-based differences in the geography and seasonality of flash drought frequency described above suggest that  
 275 definitions might also differ with respect to interannual variability. This is a particularly relevant issue for forecasting, as  
 276 differences in interannual variability imply differences in the prediction-relevant drivers of flash droughts. Indeed, if we examine  
 277 interannual variability in flash drought extent—defined as the percent area that experiences at least one flash drought in a given  
 278 year, within a specified region of interest—we see substantial differences between definitions. Figure 5 shows the Pearson’s  
 279 correlation coefficients between different definitions’ area hit by flash droughts annually for four different climatic regions. At  
 280 CONUS scale (Fig. 5a), the correlation between certain definitions, such as the two soil-moisture based definitions (SMPD and  
 281 SMVI) and the USDM is relatively high ( $> 0.7$ ). This still leaves substantial unexplained variability between definitions, but the  
 282 differences between definitions are larger when comparing definitions that include other variables. SESR and PDD, for example,  
 283 have virtually no correlation in interannual variability at CONUS scale, which is consistent with the differences seen in Fig. 4 and  
 284 with the fact that the two definitions are based on very different principles and variables.

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These differences become even more pronounced at regional scale. Figs 5b-d show regions in which differences are particularly dramatic—the Southern Plains, Pacific Southwest, and North Atlantic Bukovsky Regions—and supplementary Fig. S1 shows the remaining regions. We note that Fig. 5 is designed to highlight regions with substantial disagreement between definitions; the full suite of regions shown in Fig. S1 includes a number of regions where definitions are in closer agreement with each other.

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The Southern Plains is of particular interest, since it is a hotspot in the USDM-based definition and is an active agricultural region. Here we see that the PDD and HWD definitions have no positive correlation with the USDM definition, which is again consistent with differences in spatial patterns seen in Fig. 4 and with the fact that PDD and HWD are defined to capture short droughts rather than periods of rapid intensification. Across other definitions, the correlations for the Southern Plains also tend to be (though are not always) lower than the CONUS scale correlations. In the North Atlantic region, the PDD shows very weak correlations with all definitions except the HWD since they share the common heatwave condition. Moving to the more arid Pacific Southwest and Desert regions, we begin to see extremely low correlations across definitions, which in part reflects low signal to noise ratio for drought indicators in dry climate zones and in part may point to implicit limitations in the useful climatic range of each definition. In the Pacific Southwest, SESR stands out as having no positive correlation with any other definition except with QD1.0, which is small, and the USDM also shows very weak association with other definitions. This is a complicated region that includes arid zones and irrigated agriculture, which would pose complications for an expert-informed composite indicator like USDM, and which is not represented in NARR or NLDAS. Large expanses of arid areas with sparse vegetation coverage might also reduce the utility of a flash drought indicator based on the actual to potential evapotranspiration ratio, such as SESR. Nevertheless, it is still possible that rapid onset droughts matter in the region, particularly if they drive up irrigation demand or impact natural semi-arid ecosystems. Specifically, for the Pacific Southwest region, all definitions show relatively less-lower flash droughts frequency (SMVI, SMPD, USDM, SESR, and QD1.0; local minimums in Fig. 4) except for PDD.



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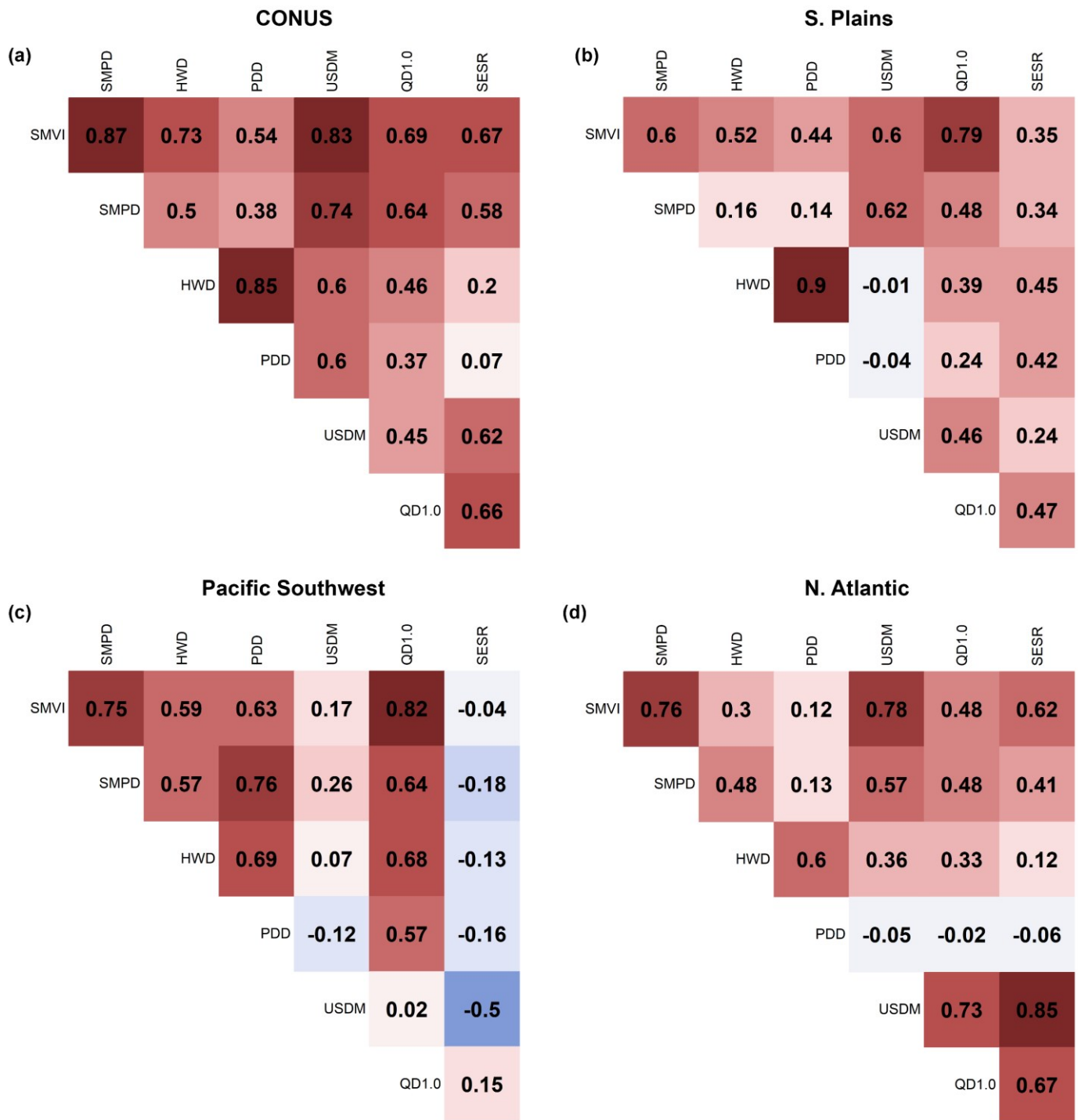
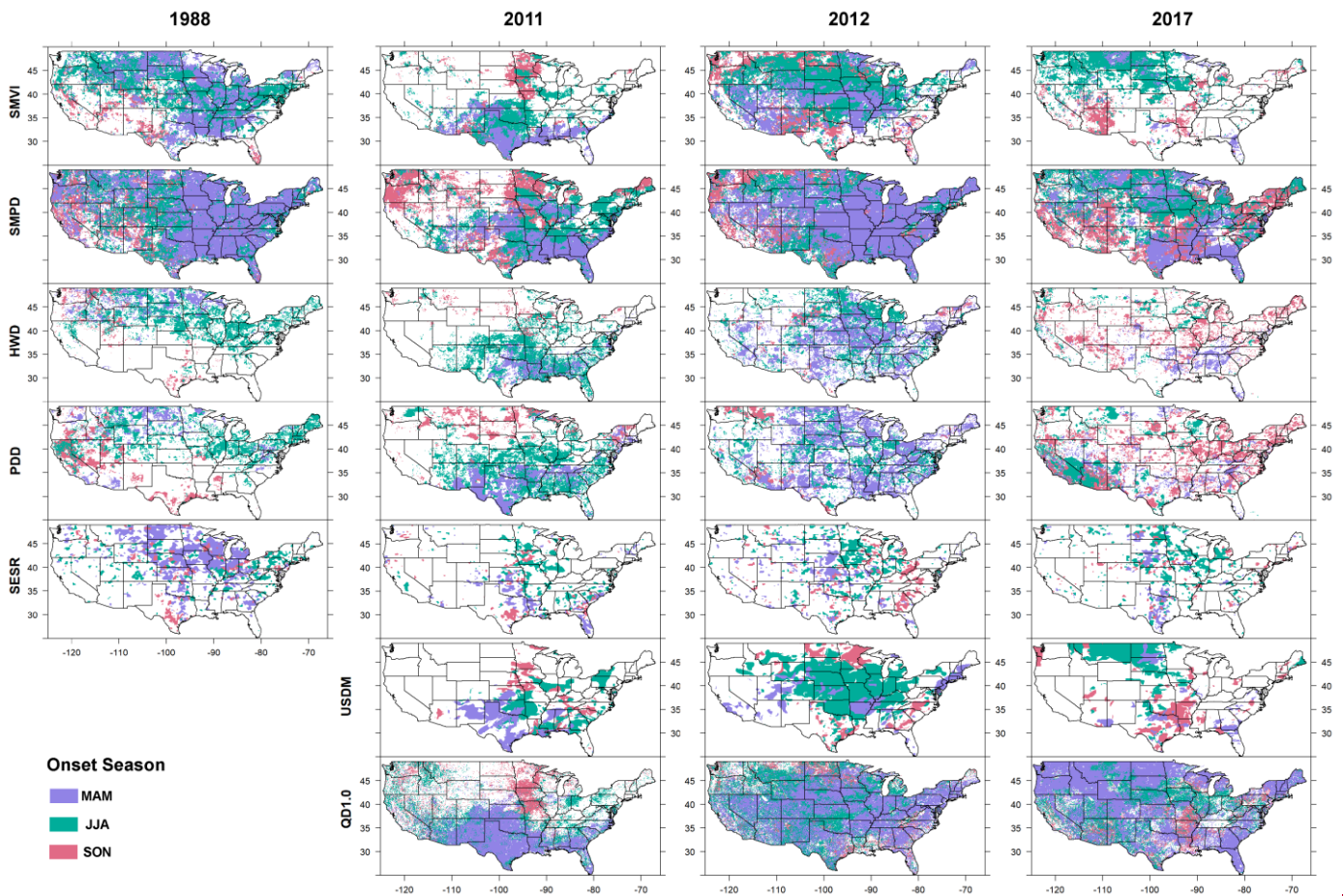


Figure 5: Pearson's correlation coefficients matrix for the different definitions' percentage of area hit by flash droughts over the Bukovsky regions: (a) CONUS, (b) Southern Plains, (c) Pacific Southwest, (d) North Atlantic.

### 3.3. Representation of Major Flash Drought Events

Though there is no single agreed-upon definition for flash droughts, a number of major events in the past decade are widely recognized as having flash drought characteristics, to the point that these events can be thought of as canonical flash drought events. In addition, several major droughts that occurred prior to the popularization of the term "flash drought" have since been recognized as being consistent with flash drought. To obtain a clearer picture of how different definitions capture flash droughts, we examine several of these canonical flash droughts in greater detail.

315 We begin with an event that pre-dates the term flash drought, but has since been recognized as a member of the class (Basara et al., 2020; Jencso et al., 2019; Trenberth et al., 1988; Trenberth and Guillemot, 1996). The 1988 drought in the northwest, central and midwest United States developed over a period of less than 5 weeks, resulting in severe to extreme dry conditions over more than 10 states that cost the nation at least \$30 billion dollars (National Oceanic and Atmospheric Administration, 1988). There was below average precipitation prior to the onset of the event, which contributed to its evolution. However, the most dramatic meteorological forcings were the pronounced and extended series of heatwaves that gripped the country in June, July, and August, and which were in their own right responsible for thousands of deaths (Changnon et al., 1996; Ramlow and Kuller, 1990; Whitman et al., 1997). These heatwaves occurred in combination with below average precipitation in June and July (Lyon and Dole, 1995). As this event predates QuickDRI and the USDM, we present a simple comparison of the other five flash drought definitions (Fig. 6). All definitions capture widespread drought, but timing and patterns differ. For example, whereas HWD emphasizes acute drought associated with high temperatures in JJA in the northern tier, SESR is more sensitive to evapotranspiration deficits across the middle of the country, which appear as a MAM signal in these seasonal maps. Similarly, SMPD is sensitive to dryness that appears in MAM, particularly in the eastern United States (consistent with the general spatial pattern of this definition; Fig. 6), while SMVI has characteristics of both the dry signal in the MAM window and intensification in the JJA period. We note that our seasonal cutoff dates are arbitrary, and could mask differences in timing within a season (e.g., March vs. May) while emphasizing relatively small timing differences that cross a seasonal break (e.g., May vs. June). Nevertheless, the analysis captures the general character of the seasonal timing of events.



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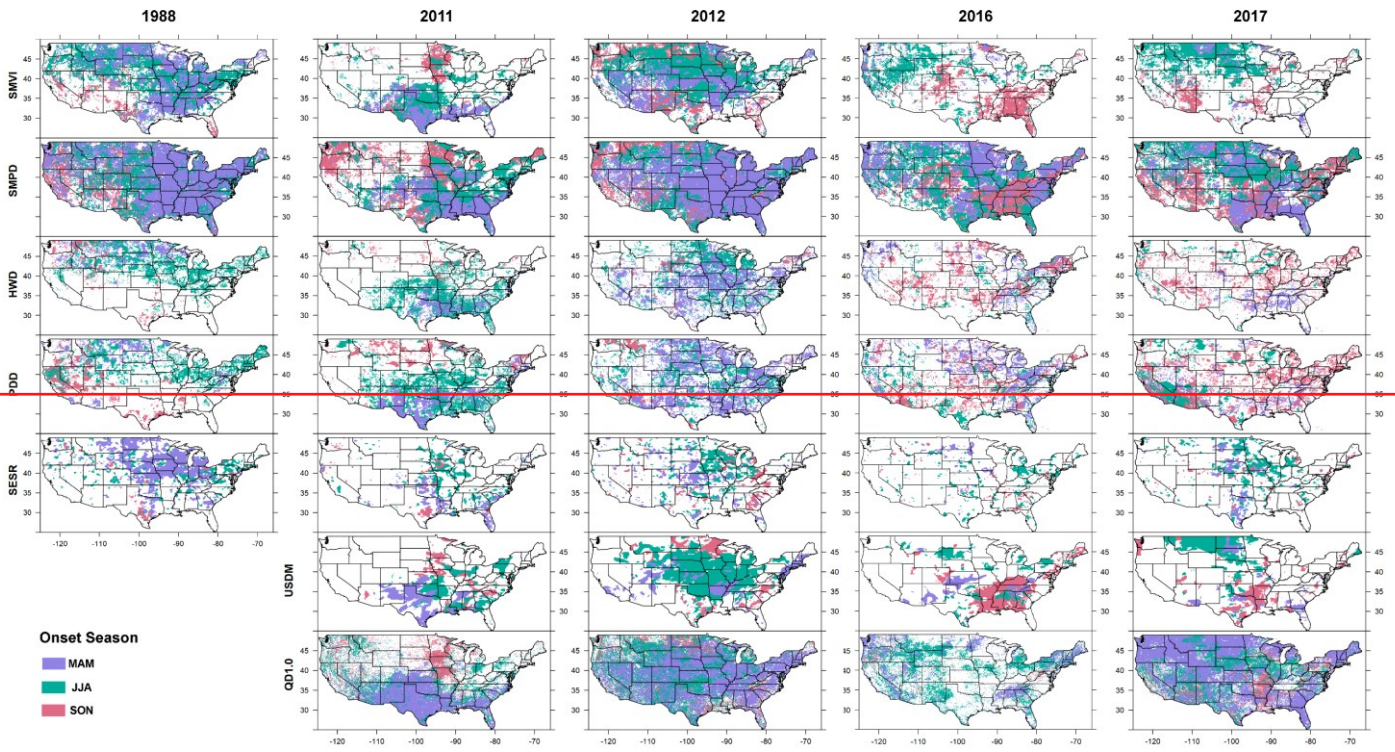


Figure 6: Flash drought *onset* maps as captured by different definitions for the years 1988, 2011, 2012, 2016 and 2017. USDM and QD1.0 are available since 2000.

Jumping forward, in 2011, the Southern Plains experienced a rapid onset, geographically focused flash drought that led into an extended drought during the remainder of the year, making this one of the driest years in Texas since 1917 (Ejeta, 2012; Nielsen-Gammon, 2012). The different flash drought definitions show signs of an early onset in spring in Texas and the southeast (Fig. 6), which was the actual scenario according to the Office of the State Climatologist in Texas (Nielsen-Gammon 2012), that then spread to other regions during the summer. SESR shows a more eastern pattern (where it is more humid), while the QD1.0 has a broad drought signal across the southern tier of the county, but overall agreement across definitions is quite good. This suggests that the 2011 flash drought has a consistent signature in multiple meteorological and hydrological variables, which can be explained due to the strong relationship between surface fluxes in the Southern Great Plains region (Mo and Lettenmaier, 2016).

The following year, 2012, produced one of the largest and most well documented flash droughts to date (Basara et al., 2019; Fuchs et al., 2012; Hoerling et al., 2013, 2014; Mallya et al., 2013; Otkin et al., 2016). According to post-event analysis, large scale teleconnections may have set the stage for the flash drought onset in spring and early summer (Basara et al., 2019; Fuchs et al., 2012), with rapid intensification coming in summer as vegetation stress and heat set in. Results from the definitions (Fig. 6) show different patterns for the spread of the drought. While an extensive drought in the middle of the country was in some form by all definitions, the geographic pattern differed. Both HWD and SMVI, for example, capture a rapid drying in spring in Missouri and surrounding regions, as abnormally warm conditions led to rapid soil moisture drawdown. The USDM-based definition, in contrast, shows only limited drought in the MAM window, with widespread flash drought emerging in JJA. This likely reflects the fact that the USDM did not make extensive use of vegetation indices in 2012, such that it is not optimized to capture rapid droughts (Senay et al., 2008), and the warm spring conditions that set the stage for the catastrophic drought of summer are not identified as flash drought when using the USDM as the input variable.

In 2016, the southeast has been hit by an “exceptional drought” (Svoboda et al., 2002), which has sparked unusual wildfires that covered area more than ever occurred since 1984 leading to the destruction of thousands of structures (Park Williams et al., 2017) and severe ecological and socioeconomic impacts (Konrad II and Knox, 2018). The southeast region has generally experienced an exceptional precipitation deficit since 1939 beside a rapid substantial increase in maximum air temperature and solar radiation (Konrad II and Knox, 2018; Park Williams et al., 2017) which amplified the event and resulted in the observed severe flash drought event over the months of the fall (Otkin et al., 2018). The 2016 flash drought was expected to extend eastward towards the Carolinas except for the heavy precipitation events from the tropical storms and hurricanes (Hermine and Matthew) that hit the region and ended the catastrophic event (Konrad II and Knox, 2018). Results from SMVI and USDM-based definitions (Fig. 6) show similar spatial patterns, however, the USDM one shows an early timing for the onset in MAM and JJA which is similar to what is captured by the QuickDRI based definition. SESR definition has underestimated the spread of the drought event capturing only very few



365 spots of onset in spring and summer months. Despite the high temperatures and precipitation deficit, HWD and PDD did not show  
366 a clear pattern for the onset which may be due to the lack of the rapid intensification criteria in both definitions (Otkin et al., 2018).

367 Finally, we examine the 2017 northern high plains flash drought. This was a geographically focused drought event that primarily  
368 affected Montana, North Dakota, and South Dakota (Jencso et al., 2019). In contrast to the geographically focused flash drought  
369 event of 2011, which was captured in a relatively similar way by most definitions, there is little consensus in the representation of  
370 the 2017 event (Fig. 6). Both USDM and SMVI show spotty areas of drought in the northern high plains in MAM that expanded  
371 during JJA, which is similar to the observed onset (Gerken et al., 2018; He et al., 2019; Jencso et al., 2019). This pattern is almost  
372 entirely absent in HWD (despite the likelihood of being driven by reduction in snowpack due to an early spring heat wave; Kimball  
373 et al., 2019) and is evident only in spots in Montana for PDD and North Dakota for SESR. SMPD identifies flash drought in this  
374 region in MAM and in some areas in JJA, but the region does not stand out relative to the rest of the country. Similarly, QuickDRI  
375 shows widespread drought conditions that are not focused on the northern high plains. These results show that the 2017 event  
376 qualified as a flash drought for some but not for all methods.

### 377 3.4. Climate drivers

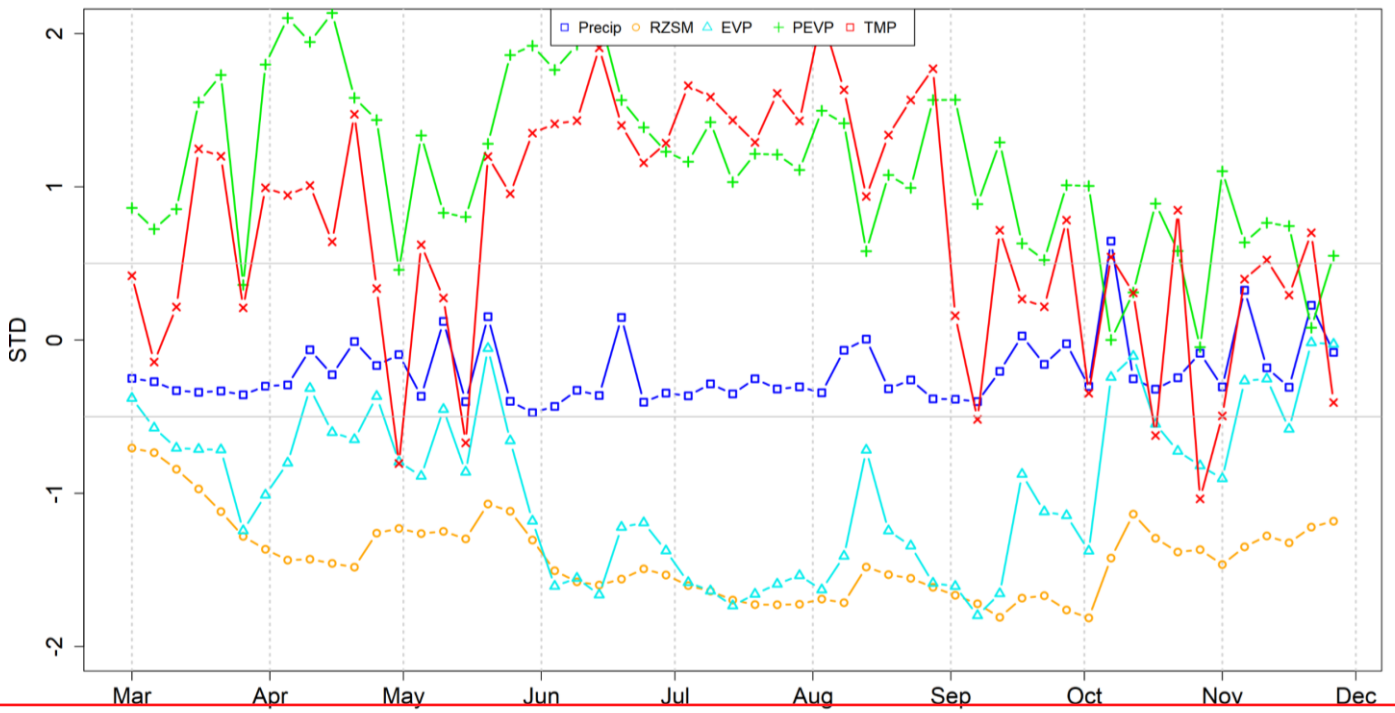
378 Building on the event analysis presented in the preceding section, we now examine meteorological fields in the region of maximum  
379 drought intensity for the 2011 and 2017 events—i.e., two regionally focused events, one of which presents relatively similar results  
380 across all of the definitions (2011) and one which does not (2017). To simplify the problem, we examine only the main climate  
381 variables used in creating the flash drought definitions (precipitation, RZSM, temperature, and actual and potential  
382 evapotranspiration).

383 During the 2011 flash drought event, temperatures rapidly went extremely high and stayed that way for most of the spring and the  
384 whole summer, as did potential evapotranspiration. While precipitation anomalies remained negative with very few exceptions,  
385 actual evapotranspiration decreased just after the rapid increase in potential evapotranspiration. The RZSM shows a relatively rapid  
386 decline in early summer, which occurs on top of a negative RZSM anomaly inherited from spring (Fig. 7a). In short, all of the key  
387 variables applied in the flash drought definitions show a clear signal of rapid change to dry and hot conditions that were sustained  
388 throughout the event, while precipitation stayed consistently low. For this type of event, choice of definition may not be critical  
389 when attempting to characterize, monitor, or predict the drought.

390 In contrast, during the 2017 Northern High Plains drought (Fig. 7b) temperature was highly variable, and SM and ET did not fulfill  
391 the HWD conditions for drought onset, so the HWD does not capture the observed drought onset. Precipitation was also less  
392 consistent, explaining why PDD is spotty and may have missed the onset in multiple locations. Potential evapotranspiration,  
393 interestingly, is fairly consistent even though temperature was noisy, so SESR captures the onset in some areas (though mostly  
394 misses Montana), and RZSM gives the clearest signal, which is why SMVI and, to some extent, SMPD do well. In essence, the  
395 2017 event is a flash drought primarily from the perspective of rapid soil drying, likely reinforced by high evaporative demand. It  
396 is not a cleanly defined heatwave flash drought, and the rainfall signal is noisy. This suggests that efforts to understand and forecast  
397 an event like 2017 will be concerned with different variables and different biophysical intensification processes than were active  
398 in events like 2011.

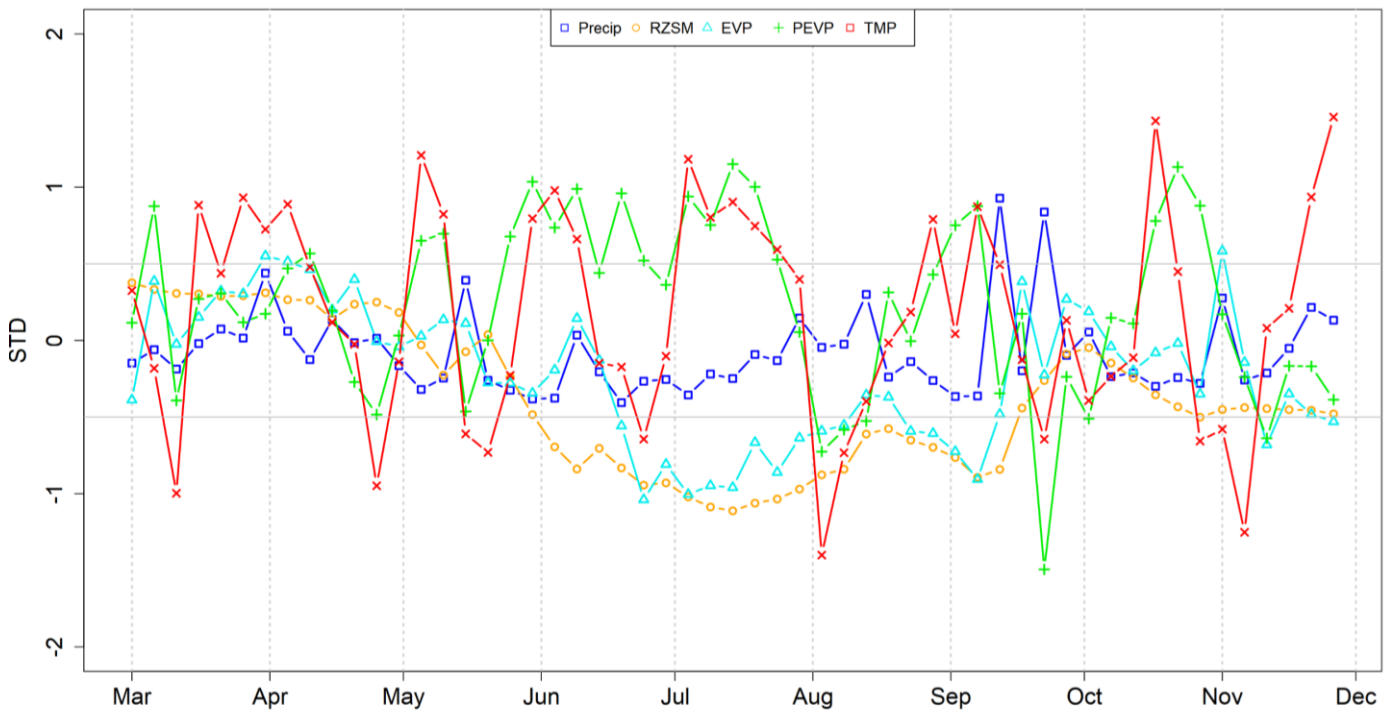
(a)

SPlains 2011



(b)

NPlains 2017



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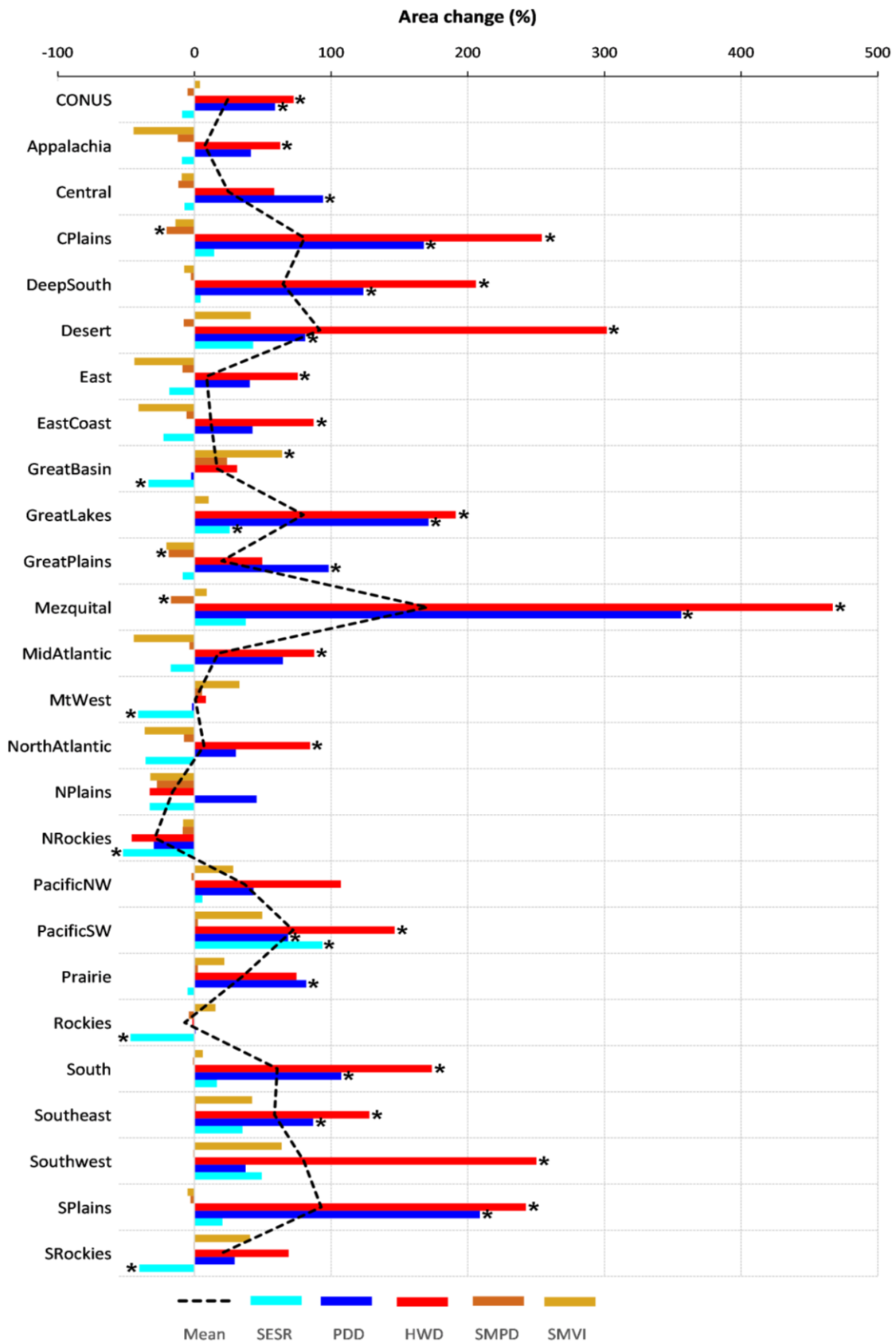
Figure 7: Timeseries of standardized main climate variables formulating the different flash drought definitions averaged within regions of observed flash drought events. (a) 2011 flash drought observed over Southern Plains. (b) 2017 Northern Plains flash drought event. Grey horizontal lines represent  $\pm 0.5$  standard deviation which is roughly equivalent to the 30<sup>th</sup> percentile of each variable's climatology.

406 Over the past century there has been an increase in precipitation over much of the United States (IPCC, 2018). Studies over the  
407 CONUS (Andreadis and Lettenmaier, 2006) also show positive trends in soil moisture and runoff, which lead to fewer hydrological  
408 drought events. At the same time, temperature has increased for much of CONUS in recent decades, and Mo and Lettenmaier,  
409 (2016) show that there was a dramatic increase in HWD events in the 90's due to this rapid warming. An increasing trend in flash  
410 drought frequency according to this definition may be attributed to anthropogenic climate change as the rising temperature  
411 increases evapotranspiration in humid and densely vegetated regions, which consequently causes a decrease in soil moisture (Wang  
412 et al., 2016; Yuan et al., 2019).

413 In our analysis of flash droughts trends from 1979 to 2018 (USDM and QuickDRI definitions are not included due to the short  
414 period of data availability), we see an increase in areas hit by HWD and PDD over most of the CONUS region in the past decade  
415 (2009-2018) compared to (1979-1988) and almost no difference in SM and evaporative demand-based flash droughts definitions  
416 (Fig. 8 and Fig. 9). Inasmuch as HWD and PDD indices capture acute drought anomalies rather than the rapid intensification  
417 targeted by other definitions, these results suggest that there is consensus across definitions that the frequency of rapidly  
418 intensifying flash droughts did not increase between 1979-1988 and 2009-2018.

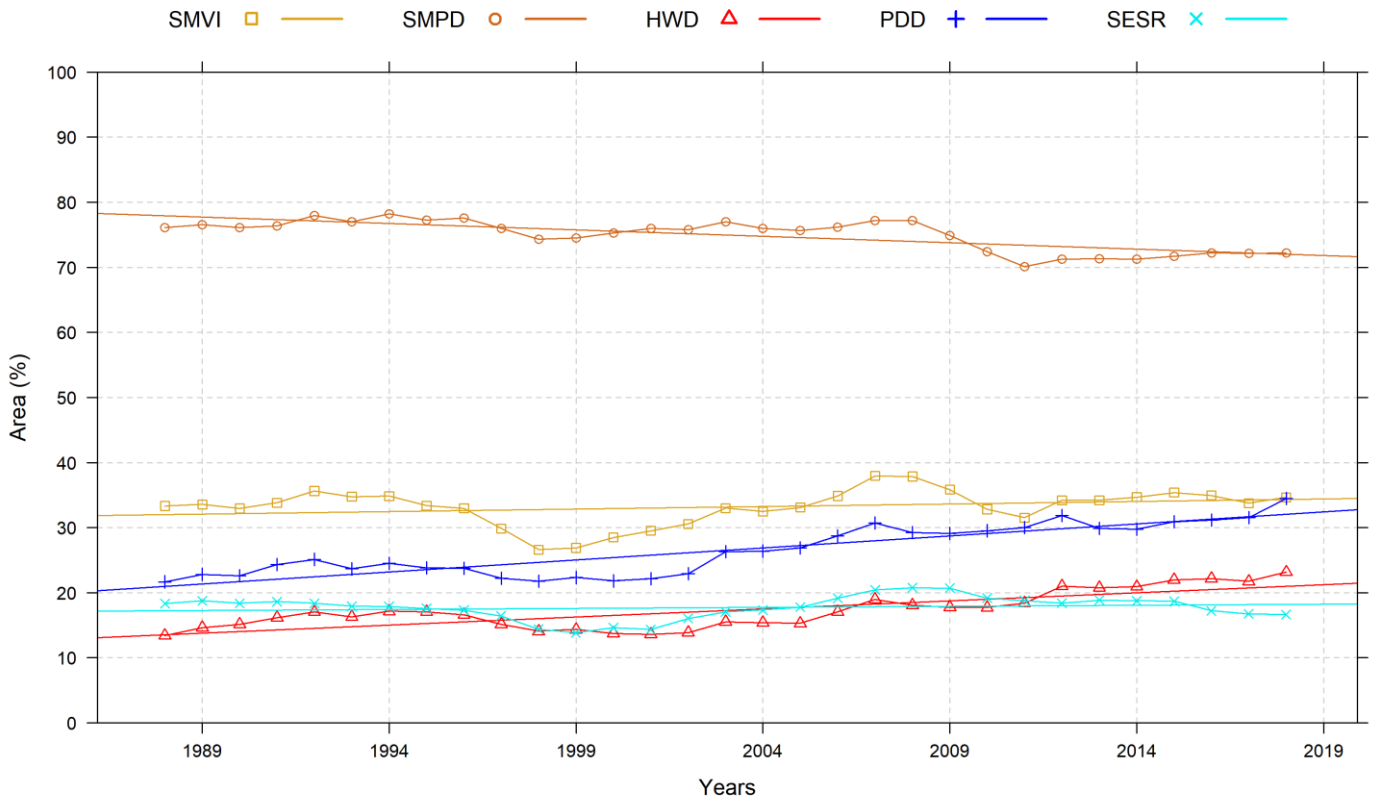
419 Considering each Bukovsky region (Fig. 8), however, we do observe different patterns of change in percentage of area experiencing  
420 flash droughts over time. For example, the western coast (Pacific regions and Southwest) show an increase in areas experiencing  
421 flash droughts while the Northern Plains and Rockies are characterized by a decrease in flash drought impacted areas. PDD shows  
422 positive trends in almost all regions, and about half of the regions show a statistically significant trend. HWD is also positive in  
423 almost all regions, with the majority of these trends showing statistical significance (Mann-Kendall test at  $p < 0.05$ ; Fig. 8). Trends  
424 in PDD and HWD are also positive and significant for CONUS on the whole. Trends for SMVI, SMPD, and SESR are mixed in  
425 sign and generally not significant.

426 The presence of significant trends in PDD and HWD can be attributed to the fact that both directly depend, in part, on air  
427 temperature. The other definitions are indirectly influenced by air temperature through its impact on evaporative demand and soil  
428 moisture, but trends in those mediating variables are not as clear as the trend in temperature over the period of study. Inasmuch as  
429 there are systematic trends in flash drought across CONUS, then, it appears that those trends are only prevalent in definitions that  
430 include the meteorological drivers of flash drought in the definition of the event. In this study, those definitions are limited to PDD  
431 and HWD, which are definitions that target acute drought anomalies rather than rapidly intensifying flash droughts. The trends are  
432 not evident when a definition depends only on a drought outcome of interest, such as soil moisture or evaporative stress. We do  
433 note that there are very few cases of direct disagreement in sign between statistically significant trends across definitions. This  
434 only occurs in the Central Plains, where SMPD differs in sign from HWD and PDD, and in the arid Great Basin region, where  
435 SMVI shows a significant positive trend while SESR is significantly negative.



436

437 *Figure 8: Percentage change in areas hit by flash drought in (2009-2018) compared to (1979-1989) for CONUS and all Bukovsky*  
 438 *regions. Dashed black line represents the mean of all definitions per region. Significant trends (according to the Mann-Kendall*  
 439 *test) are marked by asterisks.*



440  
441  
442

Figure 9: 10-years running average percentage of area hit by flash droughts *in CONUS* from March to November as estimated by different definitions from (1979 to 2018). Linear trends are represented by the straight solid lines.

443 **4. Conclusion:**

444 The present diversity in definitions of flash drought can be thought of as a feature, rather than a bug, of research in this field. This  
445 diversity supports investigations of rapidly intensifying drought hazards from perspectives of meteorological forcing, drought  
446 impacts, and various drought dynamics and feedbacks. However, trends and hotspots should be cautiously defined to avoid the  
447 confusion that may arise due to the diversity of definitions and their ability to capture different aspects of flash drought. To answer  
448 the question “are flash droughts increasing in the United States?” one needs to be clear on the manner in which the events are being  
449 defined and calculated.

450 In applying definitions to the historic record, we see that the spatial coverage of some canonical flash drought events is well-  
451 captured by most or all of the evaluated definitions. This includes the Southern Plains event of 2011, where consistent high  
452 temperature and rainfall deficit led to a rapid and sustained increase in potential evapotranspiration, soil moisture drawdown, and  
453 reduced evaporation. For other events, however, the definitions differed substantially in their assessment of the extent and timing  
454 of the drought, or even on whether a notable flash drought had occurred at all. This was the case for the northern High Plains in  
455 2017, for example, where variable temperatures and a noisy rainfall record interfered with some definitions, even as a rapid and  
456 highly damaging drought struck the region. These results strongly indicate that “flash drought” represents a composite class of  
457 events, with several possible pathways all leading to rapidly intensifying drought conditions. When assessing risk patterns,  
458 developing forecast systems, or quantifying and projecting climate change impacts, it is critically important to be clear in the choice  
459 of definition and the rationale in making that choice.

460 The SMVI definition shows an ability to capture the onset of major reported flash drought events regardless of the vegetation or  
461 humidity conditions of the region [similar to the observed impacts on vegetations as seen in Fig. S2 and S3](#). Ongoing research will  
462 enhance the definition’s capabilities to report flash droughts severity and intensity.

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467 **Author Contribution:**

468 MO and BZ took the lead in writing the manuscript. BZ and HB supervised the formulation of the introduced definitions. JC and  
469 TT provided research data and provided critical feedback and edits. JO and MA aided in interpreting the results and helped shape  
470 the research and analysis. All authors discussed the results and contributed to the final manuscript.

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